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4 **A 3-year randomised trial of liraglutide 3·0 mg for type 2 diabetes risk reduction and weight**
5 **management**

6

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25

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27 individuals with and without diabetes (SCALE) Obesity and Prediabetes NN8022-1839 study group
28 is provided in the Supplementary Appendix, available at thelancet.com.

29
30 Suggested running head: Liraglutide 3·0 mg and diabetes risk reduction

31
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40 **Summary**

41 **Background:**

42 Liraglutide 3·0 mg reduced body weight and improved glucose metabolism after the 56-week period
43 of this trial, one of four trials comprising the SCALE programme. The primary objective of the 3-
44 year SCALE Obesity and Prediabetes trial was to evaluate the proportion of individuals with
45 prediabetes who were diagnosed with type 2 diabetes.

46 **Methods:**

47 In this 3-year double-blind trial, 2254 adults with prediabetes and a body-mass index of at least 30
48 kg/m², or at least 27 kg/m² with comorbidities, were randomised 2:1, using a telephone or web-based
49 system, to once-daily subcutaneous liraglutide 3·0 mg or placebo, as an adjunct to a reduced-calorie
50 diet and increased physical activity. Time to diabetes onset after 160 weeks was the primary
51 endpoint, evaluated in randomised treated individuals with at least one post-baseline assessment. The
52 trial was conducted at 191 sites in 27 countries and is registered with ClinicalTrials.gov, number
53 NCT01272219.

54 **Findings:**

55 The study ran between June 1, 2011, and March 2, 2015; 791 of 1505 (52·6%) participants
56 randomised to liraglutide and 337 of 749 (45·0%) randomised to placebo completed 3 years. By
57 week 160, 26 of 1472 individuals in the liraglutide group *vs* 46 of 738 in the placebo group were
58 diagnosed with diabetes while on treatment. For the 26 individuals in the liraglutide group, the mean
59 time from randomisation to diagnosis was approximately 99±47 weeks *vs* 87±47 weeks for the 46
60 individuals in the placebo group. Taking the different diagnosis frequencies between the treatment
61 groups into account, the time to onset of diabetes over 160 weeks among all randomised individuals
62 was 2·7 times longer with liraglutide than with placebo (95% CI, 1·9 to 3·9, p<0·0001),
63 corresponding to a hazard ratio of 0·21 (95% CI, 0·13 to 0·34). Liraglutide induced greater weight

64 loss than placebo at week 160 ($-6.1 \pm 7.3\%$ vs $-1.9 \pm 6.3\%$, estimated treatment difference -4.3% [95%
65 CI, -4.9 to -3.7], $p < 0.0001$). Serious adverse events were reported by 15.1% of randomised treated
66 individuals in the liraglutide group vs 12.9% in the placebo group.

67 **Interpretation:**

68 This trial provides results for up to 3 years of treatment, with the limitation that withdrawn
69 individuals were not followed up after discontinuation. Liraglutide 3.0 mg may provide health
70 benefits in terms of reduced diabetes risk in individuals with obesity and prediabetes.

71 **Funding:**

72 Novo Nordisk, Denmark.

73 Research in context

74 **Evidence before this study**

75 We searched PubMed from Jan 1, 1990, to April 30, 2016, using the terms “obesity” and
76 “liraglutide” and “randomised clinical trial”. We found 45 articles assessing liraglutide treatment,
77 including one phase 1 study, seven randomised controlled studies and seven review articles that
78 evaluated liraglutide at a dose of 3·0 mg for weight management. Of those, one randomised
79 controlled study was performed in individuals with and without prediabetes over a 56-week
80 treatment period. According to study design, individuals who had prediabetes at screening continued
81 on treatment for a further two years, and are the subject of the current report.

82 **Added value of this study**

83 Few trials of anti-obesity medications have been performed over 3 years. This study provides
84 clinically important long-term data on the efficacy and safety of liraglutide 3·0 mg in individuals
85 with prediabetes treated for 3 years followed by a 12-week off-treatment follow-up period.
86 Treatment with liraglutide 3·0 mg over 3 years was associated with a reduced risk of type 2 diabetes,
87 weight loss and improvements in glycaemic control in individuals with prediabetes. Liraglutide 3·0
88 mg was generally well tolerated and no new safety signals were observed as compared to the
89 previous evaluation after 56 weeks of treatment.

90 **Implications of all the available evidence**

91 Treatment with once-daily subcutaneous liraglutide 3·0 mg for 3 years, combined with a reduced-
92 calorie diet and increased physical activity, may not only provide a sustained clinically relevant
93 weight loss, but also additional health benefits in terms of reduced risk of type 2 diabetes, as well as
94 improvements in glycaemic control in a high-risk group of individuals with prediabetes and
95 overweight/obesity.

96

97 **Introduction**

98 Prediabetes and obesity are risk factors for type 2 diabetes mellitus¹⁻³ and its complications.³ The
99 prevalence of diabetes is increasing,¹⁻³ and 5–10% of people with prediabetes develop diabetes
100 yearly.⁴ Weight loss through lifestyle intervention, with or without pharmacotherapy, can reduce the
101 risk of developing diabetes.⁴⁻⁹

102

103 Once-daily subcutaneous liraglutide 3·0 mg, as an adjunct to a reduced-calorie diet and increased
104 physical activity, is approved for weight management in several regions including North America
105 and Europe. Liraglutide promotes weight loss through reduced appetite and energy intake.¹⁰ The 56-
106 week part of the current trial was reported previously and evaluated the efficacy and safety of
107 liraglutide 3·0 mg for weight loss over 56 weeks.¹¹ Liraglutide 3·0 mg provided substantial weight
108 loss in individuals with or without prediabetes who had obesity or overweight with comorbidities,
109 and reduced type 2 diabetes incidence. Individuals who had prediabetes at screening continued on
110 treatment in the trial for a further two years, and are the subject of the current report.

111

112 This 3-year trial aimed to evaluate the effect of liraglutide 3·0 mg in delaying the onset of type 2
113 diabetes in individuals with prediabetes, as well as on weight loss and safety over 3 years.

114

115 **Methods**

116 *Study design*

117 SCALE Obesity and Prediabetes was conducted as part of a large global phase 3a clinical
118 development programme of 4 randomised, double-blind, placebo-controlled trials with over 5000
119 participants that was designed to investigate the efficacy and safety of liraglutide 3·0 mg, a
120 glucagon-like peptide-1 (GLP-1) receptor agonist (RA), for weight management.¹¹⁻¹⁴ We conducted
121 the study at 191 sites in 27 countries in Europe, North and South America, Asia, Africa and

122 Australia. The study design is shown in the appendix p 9. The protocol was approved by local ethics
123 committees or institutional review boards and is available with the full article text at thelancet.com.
124 The study was conducted according to the Declaration of Helsinki¹⁵ and Good Clinical Practice.¹⁶
125 The 56-week period of the trial evaluated the efficacy and safety of liraglutide 3·0 mg for weight
126 management in individuals with and without prediabetes.¹¹ The methodology is summarised below.
127 From week 56, individuals with prediabetes at screening continued on treatment for a further two
128 years, with a 12-week off-treatment follow-up period. Thus individuals with prediabetes were on
129 treatment for up to 3 years.

130

131 *Participants*

132 We enrolled adults aged ≥ 18 years with stable body weight and a body-mass index (BMI) of
133 ≥ 30 kg/m², or ≥ 27 kg/m² with treated or untreated dyslipidaemia and/or hypertension. Each
134 individual provided written informed consent before participation. Key exclusion criteria were type 1
135 or 2 diabetes, medications causing significant weight gain or loss, bariatric surgery, history of
136 pancreatitis, major depressive or other severe psychiatric disorders, and family or personal history of
137 multiple endocrine neoplasia type 2 or familial medullary thyroid carcinoma. Detailed eligibility and
138 exclusion criteria are provided in the appendix p 31.

139

140 *Randomisation and masking*

141 Participants were randomly assigned, in a 2:1 ratio, to receive liraglutide 3·0 mg or placebo.
142 Randomisation was performed using a sponsor-provided telephone or web-based system. The
143 sponsor generated the random allocation sequence, and the trial investigators enrolled individuals,
144 and assigned them to treatment. Participants were stratified at screening by BMI (≥ 30 vs < 30 kg/m²)
145 and according to whether or not they had prediabetes. Those who had prediabetes and completed 56
146 weeks of treatment continued for an additional 104 weeks of treatment, allowing for a total of 160

147 weeks of treatment. Participants without prediabetes were on treatment for 56 weeks, followed by a
148 12-week re-randomised period; results for this period of the trial have been reported previously.¹¹
149 Participants without prediabetes are not included in the current report, thus the stratification factor
150 was not included in the statistical analysis. Participants and investigators were blinded to treatment
151 allocation during the entire trial (160 weeks plus the 12-week off-treatment follow-up period),
152 whereas the sponsor was unblinded to treatment allocation at week 56.

153

154 *Procedures and treatments*

155 The current report covers participants with prediabetes who were randomised to treatment for the full
156 3-year period. The diagnosis of prediabetes was based on fulfilment of at least one of the three
157 American Diabetes Association (ADA) 2010 criteria: glycated haemoglobin 5·7–6·4% both
158 inclusive and/or fasting plasma glucose $\geq 5\cdot6$ mmol/L and $\leq 6\cdot9$ mmol/L and/or 2-hour post-challenge
159 plasma glucose $\geq 7\cdot8$ mmol/L and $\leq 11\cdot0$ mmol/L.¹⁷ Diagnosis of diabetes was confirmed by two
160 consecutive measurements of the same type of criteria: glycated haemoglobin $\geq 6\cdot5\%$ and/or fasting
161 plasma glucose $\geq 7\cdot0$ mmol/L and/or 2-hour post-challenge plasma glucose $\geq 11\cdot1$ mmol/L.¹⁷
162 Liraglutide and placebo were provided in prefilled FlexPen devices (Novo Nordisk A/S, Bagsværd,
163 Denmark), starting at 0·6 mg with weekly 0·6-mg incremental increases to 3·0 mg. All trial
164 participants received standardised lifestyle intervention counselling from randomisation to end of
165 follow-up, at approximately monthly intervals (appendix p 3). Participants were advised to achieve at
166 least 150 minutes of physical activity per week and to reduce their daily energy intake to 500 kcal
167 below their individualised energy requirement.

168

169 *Efficacy and safety endpoints*

170 The primary objective was to evaluate the proportion of individuals with type 2 diabetes at 160
171 weeks, with time to onset of diabetes as the primary endpoint. This was the fourth coprimary

172 endpoint of the trial; the first three coprimary endpoints, mean weight loss and the proportion of
173 participants losing $\geq 5\%$ and $>10\%$ of their baseline body weight, were met at week 56.¹¹ Secondary
174 endpoints included changes from baseline to week 160 in glycaemic control parameters, mean and
175 categorical body weight, BMI, waist circumference, cardiometabolic biomarkers, and health-related
176 quality of life, assessed using validated questionnaires.¹⁸⁻²⁰ Additional methodology, including
177 timing of assessments, is described in the appendix p 3.

178

179 Specific adverse events with increased prevalence among people with obesity, or of relevance to the
180 GLP-1 drug class were assessed (as described in the appendix p 33). Independent medical experts
181 prospectively adjudicated 9 of 17 event types in a blinded manner. We report adverse events that
182 occurred during the 160-week trial period, from the first treatment day to 14 days after the last
183 treatment day, unless otherwise stated.

184

185 *Statistical analysis*

186 A sample size of 3600 randomised individuals, 2400 to liraglutide 3·0 mg and 1200 to placebo, was
187 chosen to provide an assessment of the safety and efficacy of liraglutide 3·0 mg over 3 years. This
188 provided enough power for the primary endpoint of the 3-year trial, the fourth coprimary endpoint,
189 which was the long-term efficacy of liraglutide 3·0 mg in delaying onset of diabetes in individuals
190 with a diagnosis of prediabetes at screening, as reported here. Superiority for liraglutide 3·0 mg vs
191 placebo was tested in a hierarchical manner with respect to the four coprimary endpoints to control
192 for multiple testing, whereby the second, third and fourth endpoints were tested only if the previous
193 endpoint had achieved statistical significance.

194

195 For the power estimation, a conservative approach was chosen using the binary endpoint type 2
196 diabetes “yes vs no” assessed in completers during the 160 weeks of treatment and analysed with a
197 two-sided Chi-square test with a 5% significance level.

198

199 It was assumed that the annual conversion rate to type 2 diabetes of the individuals with prediabetes
200 would be 7% in the placebo group and 2.1% in the liraglutide 3.0 mg group, i.e., 70% lower. After
201 160 weeks of treatment, the percentage of individuals with diabetes was therefore estimated to be 1-
202 $(1-0.07)^3$ or 20% among individuals in the placebo group, and $1-(1-0.021)^3$ or 6% among those in the
203 liraglutide group. The drop-out rate during the 160 weeks of treatment was assumed to be 65% in
204 both groups.

205

206 The prespecified efficacy analyses used data from the full-analysis set of all randomised individuals
207 who received at least one treatment dose and had at least one post-baseline assessment. The safety-
208 analysis set included all randomised individuals who received at least one treatment dose. Missing
209 values were imputed using last-observation-carried-forward for post-baseline measurements. The
210 primary endpoint of the 3-year trial was analysed using a Weibull model, using methods for the
211 analysis of interval-censored time-to-event data. The Weibull model included treatment, sex and
212 baseline BMI stratum as fixed effects, and baseline fasting glucose value as a covariate. Mean
213 changes in continuous endpoints were analysed using an analysis of covariance. Categorical changes
214 for dichotomous endpoints were analysed with logistic regression. Sensitivity analyses were
215 performed to assess the robustness of the primary analysis, and the analyses for mean and categorical
216 weight loss (appendix p 36).

217

218 The handling of missing data has progressed since the prespecified analyses were defined,²¹ therefore
219 additional post-hoc analyses were specified to further address the issue. A post-hoc Cox regression

220 analysis was done at week 172 in which diabetes status was imputed for all withdrawn individuals. It
221 was firstly assumed that 1% of withdrawn individuals in the liraglutide 3·0 mg group had
222 undiagnosed diabetes at withdrawal (based on the five additional cases observed in the 12-week off-
223 treatment follow-up period), whereas it was assumed that none of those withdrawn in the placebo
224 group did so. It was secondly assumed that the risk of developing diabetes after withdrawal in
225 individuals who did not have diabetes at withdrawal (diagnosed or undiagnosed) was the same in
226 both treatment groups.

227

228 Five prespecified subgroup analyses were performed to investigate whether baseline BMI (four
229 categories) had any effect on weight or glycated haemoglobin level (see the appendix p 4). All
230 statistical analyses in the trial were performed with SAS software, version 9·3 (SAS Institute).
231 Additional statistical analysis details are included in the appendix. The trial is registered with
232 ClinicalTrials.gov, number NCT01272219.

233

234 **Role of the funding source**

235 The sponsor, Novo Nordisk, participated in discussions regarding study design and protocol
236 development, and provided logistical support during the trial. The sponsor collected the data, and
237 planned and performed the statistical analyses, which were assessed by both authors and sponsor.
238 The authors interpreted the data in collaboration with the sponsor, and wrote the report together with
239 medical writing services provided by the sponsor. The corresponding author had full access to all
240 data and had final responsibility for the decision to submit for publication.

241

242 **Results**

243 *Trial population*

244 The study was conducted between June 1, 2011, and March 2, 2015. A total of 2254 individuals with
245 prediabetes, based on ADA 2010 criteria,¹⁷ were randomised to 3 years of lifestyle intervention plus
246 treatment with liraglutide 3.0 mg (n=1505) or placebo (n=749); see the trial profile in figure 1. In the
247 liraglutide group, 791 of 1505 participants (52.6%) completed 160 weeks of treatment, as did 337 of
248 749 participants (45.0%) in the placebo group. A greater proportion of participants in the liraglutide
249 group than the placebo group withdrew owing to adverse events (13.3% [199 of 1501 participants] vs
250 6.2% [46 of 747]). A smaller proportion of participants in the liraglutide group than in the placebo
251 group withdrew owing to ineffective therapy (1.9% [29 of 1505 participants] vs 4.8% [36 of 749]) or
252 withdrew their consent to remain in the trial (21.5% [324 of 1505] vs 31.1% [233 of 749]).
253 Individuals who withdrew were slightly younger than the average trial population; otherwise, no
254 noteworthy differences in baseline characteristics or medical history were observed (appendix p 35).
255 The full-analysis set comprised 1472 participants in the liraglutide group and 738 participants in the
256 placebo group.

257

258 Baseline characteristics of each group were similar (table 1; additional characteristics are shown in
259 the appendix p 35).

260

261 *Type 2 diabetes diagnosis*

262 By week 160, 26 of 1472 individuals in the liraglutide group vs 46 of 738 in the placebo group were
263 diagnosed with diabetes while on treatment. Figure 2A shows the Kaplan-Meier plot of cumulative
264 probability of a diagnosis of diabetes taking censoring into account; 3% of individuals in the
265 liraglutide group vs 11% in the placebo group were diagnosed with diabetes by week 160.

266

267 For the 26 individuals in the liraglutide group, the mean time from randomisation to diagnosis was
268 approximately 99±47 weeks vs 87±47 weeks for the 46 individuals in the placebo group, in a post-
269 hoc analysis. Taking the different diagnosis frequencies between the treatment groups into account,
270 the time to onset of diabetes over 160 weeks among all randomised individuals, while on treatment,
271 was 2.7 times longer with liraglutide than with placebo (95% confidence interval [CI], 1.9 to 3.9,
272 $p<0.0001$) (appendix p 10). Hence the time to any specific percentile (for instance 1% or 10%) of the
273 randomised population that will be diagnosed with diabetes is prolonged by a factor of 2.7 for
274 individuals treated with liraglutide instead of placebo, corresponding to a hazard ratio of 0.21 (95%
275 CI, 0.13 to 0.34) and a risk reduction of approximately 80% for liraglutide vs placebo.

276

277 Results were consistent across sensitivity analyses, and the treatment difference remained
278 statistically significant after the 12-week off-treatment follow-up period, with five vs one additional
279 individuals being diagnosed with diabetes with liraglutide vs placebo, respectively (appendix p 11).
280 An additional post-hoc analysis was done at week 172 that addressed the lack of follow-up
281 information for withdrawn participants, and assumed that 1% of those withdrawn in the liraglutide
282 group had undiagnosed diabetes at withdrawal, whereas none of those in the placebo group did. The
283 analysis provided a hazard ratio of 0.34, 95% CI, 0.22 to 0.53, $p<0.0001$, corresponding to a risk
284 reduction of approximately 66%.

285

286 *Regression to normoglycaemia*

287 While on treatment, more individuals in the liraglutide 3.0 mg group (970 of 1472; 66%) than the
288 placebo group (268 of 738; 36%) had regressed from prediabetes to normoglycaemia by week 160
289 (odds ratio 3.6 [95% CI, 3.0 to 4.4], $p<0.0001$; figure 2B), corresponding to a number-needed-to-
290 treat of 3. After a 12-week treatment cessation, some individuals in the liraglutide group reverted to
291 prediabetes but 740 of 1472 randomised and exposed individuals (50%) still had normoglycaemia at

292 week 172 compared to 263 of 738 (36%) of those in the placebo group (odds ratio 1.9 [95% CI, 1.6
293 to 2.3], $p < 0.0001$).

294

295 *Glycaemic control*

296 While on treatment, measures of insulin resistance and beta-cell function improved in the liraglutide
297 group vs the placebo group at week 160 (appendix p 37), and glycated haemoglobin, fasting glucose,
298 and fasting insulin levels were lower with liraglutide than with placebo (table 2). Effects on fasting
299 insulin and HOMA-IR were sustained after treatment cessation from week 160–172; effects on
300 fasting glucose and glycated haemoglobin were not (figure 2C).

301

302 *Body weight*

303 Liraglutide induced greater weight loss than placebo at week 160 while on treatment ($-6.1 \pm 7.3\%$ vs
304 $-1.9 \pm 6.3\%$, estimated treatment difference -4.3% [95% CI, -4.9 to -3.7], $p < 0.0001$). Weight loss
305 with liraglutide treatment was sustained over 3 years (figure 3A). Greater mean and categorical
306 weight loss were achieved in the liraglutide group vs the placebo group (table 2 and figure 3). After
307 treatment cessation at week 160, some weight was regained in the liraglutide group, although the
308 treatment difference was still significant at week 172 (-3.2% [95% CI, -4.3 to -2.2], $p < 0.0001$;
309 appendix p 38).

310

311 Several sensitivity analyses confirmed the superiority of liraglutide over placebo on mean weight
312 loss, as presented in the appendix p 37. Treatment effects for weight-related endpoints and glycated
313 haemoglobin were consistent across BMI subgroups (appendix p 18).

314

315 Of note, more than 90% of individuals in each treatment group who were diagnosed with diabetes
316 lost less body weight than the treatment group mean at the time of diagnosis (appendix p 20).

317

318 *Cardiometabolic variables*

319 Systolic blood pressure was significantly decreased with liraglutide vs placebo at week 160 while on
320 treatment; diastolic blood pressure was not (table 2). Effects on fasting lipids and cardiovascular
321 biomarkers were generally modest (appendix p 39), but levels of high-sensitivity C-reactive protein
322 were substantially lower with liraglutide vs placebo (-36.9% vs -11.0%; estimated treatment
323 difference -29% [95% CI, -34 to -23], $p < 0.0001$).

324

325 *Health-related quality of life*

326 Liraglutide 3.0 mg was associated with higher mean scores on the SF-36 physical component
327 summary score and the Impact of Weight on Quality of Life–Lite total score, indicating improved
328 health-related quality of life vs placebo (appendix p 39).

329

330 *Safety and tolerability*

331 Gastrointestinal disorders, 93% of mild or moderate severity, were the most common side effects in
332 the liraglutide 3.0 mg group (table 3), and also the most common cause of withdrawal (118 of 1501
333 individuals [7.9%] in the liraglutide group vs 11 of 747 [1.5%] in the placebo group) (see the
334 appendix p 23 for adverse events leading to discontinuation of $\geq 0.2\%$ individuals in either group).
335 More serious adverse events were reported in the liraglutide group than the placebo group (table 3).
336 Adverse event incidence generally declined during the trial (appendix p 25). Four individuals died —
337 two in the liraglutide group (due to cardiac arrest and metastatic cholangiocarcinoma) and two in the
338 placebo group (pulmonary failure and cancer, primary tumour unknown).

339

340 As previously reported,¹¹ gallbladder-related events were more common with liraglutide than with
341 placebo (occurring in 74 of 1501 individuals [4.9%], 2.9 events per 100 patient-years of observation

342 (PYO) vs 13 of 747 individuals [1.7%], 1.2 events per 100 PYO). More cases of cholelithiasis and
343 cholecystitis occurred at relatively constant rates over 3 years in the liraglutide group. Weight loss
344 among individuals with gallbladder-related events in the liraglutide group was generally greater than
345 the treatment group mean (appendix p 28).

346

347 Pancreatitis and neoplasms were assessed over 172 weeks, as described in the appendix p 5. Overall,
348 12 pancreatitis cases (eleven graded as mild, one as moderately severe),²² were confirmed by
349 adjudication, occurring in ten of 1501 individuals in the liraglutide group (0.7%; 0.3 events per 100
350 PYO), and in two of 747 placebo-group individuals (0.3%; 0.1 events per 100 PYO). Eight events in
351 the liraglutide group occurred in the first year, (appendix p 26). Five individuals (four in the
352 liraglutide group) had gallstone-related pancreatitis, with liver enzyme levels at least three times the
353 upper limit of the normal range; three individuals (two in the liraglutide group) had gallstones on
354 imaging.²³

355

356 The incidence of adjudicated and confirmed neoplasms was similar in both treatment groups (2.2 vs
357 2.4 events per 100 PYO). As reported previously,¹¹ a numerical imbalance was observed for
358 malignant and pre-malignant breast neoplasms: ten events in nine women in the liraglutide group,
359 seven occurring in the first year, and no events in the placebo group (appendix p 27). Most women
360 with events had above-average weight loss (appendix p 42). There were no cases of medullary
361 thyroid carcinoma or C-cell hyperplasia. Liraglutide treatment did not increase median serum
362 calcitonin concentrations.

363

364 Resting pulse increased in the liraglutide group at week 160 by approximately 2 beats per minute
365 (table 2). Increases of >5, 10 and 20 beats per minute on at least two consecutive visits are shown in
366 the appendix p 42. Prespecified cardiovascular events (appendix p 33) occurred in 242 of 1501

367 individuals in the liraglutide group (16.1%; 12.1 events per 100 PYO) vs 142 of 747 individuals in
368 the placebo group (19.0%; 15.1 events per 100 PYO). The incidence of adjudication-confirmed
369 major adverse cardiovascular events (cardiovascular death, non-fatal myocardial infarction, non-fatal
370 stroke) was similarly low in both treatment groups (0.19 vs 0.20 events per 100 PYO).

371

372 No between-group differences were observed for psychiatric disorders, or questionnaire-based
373 depression or suicidal behaviour scores. However, seven individuals treated with liraglutide (vs none
374 treated with placebo) reported eight suicidal ideation events and one individual in the placebo group
375 (vs none in the liraglutide group) reported suicidal depression. There was one suicide attempt in each
376 treatment group (appendix p 6).

377

378 Results from the 12-week observational follow-up period and additional safety information,
379 including results on hypoglycaemia, anti-liraglutide antibodies and pregnancies, are provided in the
380 appendix.

381

382 **Discussion**

383 In individuals with overweight or obesity and prediabetes, 3 years of continued treatment with once-
384 daily liraglutide 3.0 mg, as an adjunct to diet and exercise, was associated with lower risk of a type 2
385 diabetes diagnosis and greater sustained weight loss compared with placebo.

386

387 Generally, lifestyle intervention can induce a 40-70% diabetes relative-risk reduction, and enhance
388 insulin sensitivity and beta-cell function in individuals with prediabetes at high-risk of developing
389 type 2 diabetes.⁴ In both the Diabetes Prevention Program (DPP) and Finnish Diabetes Prevention
390 Study (DPS), lifestyle intervention compared with placebo was associated with a 58% reduction in
391 the risk of diabetes after 3 years.^{6,7} Furthermore, the DPP showed that metformin treatment was

392 associated with a 31% risk reduction compared with placebo.⁶ In a pharmacotherapy trial in
393 individuals with obesity, four years of treatment with orlistat was associated with a 37% reduced risk
394 of diabetes, concomitant with a mean 5.8 kg weight loss vs 3.0 kg with placebo.⁸ Moreover, two
395 years of treatment with phentermine/topiramate provided a reduction vs placebo in the annualised
396 incident rate of type 2 diabetes of 71% or 79%, depending on the dose, in individuals with
397 prediabetes and/or the metabolic syndrome.⁹ Mean weight loss was 10.9 and 12.1% for the two
398 phentermine/topiramate doses vs 2.5% with placebo. Finally, pioglitazone reduced the conversion of
399 impaired glucose tolerance to type 2 diabetes by 72% compared with placebo after a median 2.4 year
400 follow-up period, though was associated with significant weight gain.²⁴ The present study addresses
401 both weight-loss mediated and direct glucose-dependent insulinotropic effects of liraglutide 3.0 mg
402 on the progression to type 2 diabetes. Liraglutide was associated with an approximate 80% risk
403 reduction relative to placebo (hazard ratio 0.21) in the onset of type 2 diabetes. However, the
404 primary analysis did not take into account the lack of follow-up information for withdrawn
405 individuals. Therefore, a post-hoc analysis was done that made assumptions about those withdrawn
406 individuals, and provided a risk reduction of approximately 66% relative to placebo (hazard ratio
407 0.34).

408

409 Whether the lack of a response to treatment for some individuals in the current trial was related to
410 individual participant characteristics, or due to other factors, is unclear. Most individuals who were
411 diagnosed with diabetes lost less body weight than the treatment group mean at the time of diagnosis.

412

413 Regression from prediabetes to normoglycaemia was observed in 66% of individuals in the
414 liraglutide group while on treatment over 160 weeks, and was associated with a lower risk of
415 diabetes.⁵ Similar results have been observed previously with liraglutide and other GLP-1 RAs.^{11, 25-}

416 ²⁷ The combination of weight loss and glycaemic improvements achieved with liraglutide and

417 lifestyle intervention likely contributed to the greater regression to normoglycaemia and longer time
418 to onset of diabetes observed. Furthermore, findings from the DPP Outcomes Study demonstrate that
419 regression to normoglycaemia by any means is associated with a 56% lower risk of diabetes.^{5,28}
420 Collectively these results support the beneficial use of pharmacotherapy to lower the risk of diabetes
421 with the potential to reduce cardiovascular risk factors in individuals with obesity and prediabetes.⁴

422

423 Compared to the DPP, which recruited individuals with impaired fasting glucose and impaired
424 glucose tolerance, we enrolled a lower-risk, less progressed population as we allowed for fulfilment
425 of any one of three ADA 2010 diagnostic criteria¹⁷ at enrolment. This, together with the weight loss
426 achieved, may partly explain the lower diabetes incidence of 11% observed in our lifestyle placebo
427 group, compared to the cumulative incidence of 14.4% at 3 years seen in the DPP for the lifestyle
428 intervention group and 28.9% in the placebo group.⁶

429

430 The improvements previously observed¹¹ in body weight, glycaemia and cardiometabolic risk factors
431 were generally sustained over 3 years. Similar improvements in many of these parameters, such as
432 high-sensitivity C-reactive protein, have been observed with several GLP-1 receptor agonists,^{29,30}
433 including liraglutide.¹²⁻¹⁴ After 12 weeks of treatment cessation, effects on glycated haemoglobin and
434 fasting glucose disappeared with liraglutide, whereas fasting insulin remained low and unchanged,
435 supporting differential (direct vs indirect) effects of liraglutide on glucose metabolism and diabetes
436 risk. As the participants lost more weight with liraglutide than placebo, it will be important for future
437 studies to quantify the relative contributions of weight loss vs the direct effects of liraglutide on
438 glucose homeostasis with respect to diabetes risk reduction.

439

440 The safety profile over 3 years was in line with that observed over the initial 56-week period.¹¹ The
441 numerical imbalance in gallbladder-related events, including cholelithiasis and cholecystitis events

442 that occurred at relatively constant rates over 3 years in the liraglutide group, is currently under
443 investigation. Obesity and weight loss are both associated with an increased risk of gallstone
444 formation.³¹ Greater weight loss was generally observed among individuals in the liraglutide group
445 who reported gallbladder-related events compared to the overall liraglutide population mean. The
446 cause of the greater number of breast neoplasms in the liraglutide group, 70% of which occurred
447 during the first year, is still unclear, but weight loss may have increased detection. The underlying
448 mechanism for the increased resting pulse with liraglutide is also unknown; a direct chronotropic
449 effect of liraglutide on the sino-atrial node has been suggested.³²

450
451 The prevalence of obesity and type 2 diabetes and their associated major comorbidities and
452 healthcare costs highlight the need for effective treatments. Adverse events in the current trial were
453 mostly predictable based on the known effects of GLP-1 RAs, including more gastrointestinal
454 disorders with liraglutide than with placebo, notably nausea, diarrhoea, constipation and vomiting.
455 Furthermore, the increased pulse associated with liraglutide, as observed with other GLP-1 RAs, did
456 not lead to an increased cardiovascular risk in a large cardiovascular-outcomes trial with liraglutide
457 doses up to 1.8 mg.³³ In general, liraglutide has a well-documented safety profile based on clinical
458 trials in over 5000 individuals with obesity and a large clinical development programme in
459 individuals with diabetes,³³ including extensive post-marketing data. While the frequencies of
460 gallbladder-related events and pancreatitis were greater in the liraglutide group than in the placebo
461 group, the incidence of both was relatively low and will be monitored regularly in the post-marketing
462 setting by routine pharmacovigilance. Overall, the long-term efficacy and safety results for the
463 current trial support that the benefits of treatment with liraglutide 3.0 mg outweigh the risks in this
464 already at-risk population of individuals with obesity or overweight with comorbidities. Data
465 provided here will enable clinicians to attenuate the risks of individuals while optimising the
466 benefits.

467

468 Although the 3-year retention rate of 53% in the liraglutide group and 45% in the placebo group can
469 be considered successful and comparable to another long-term obesity trial,⁸ the missing data due to
470 participant withdrawal is a limitation when interpreting the primary endpoint and reported adverse
471 events. However, a post-hoc analysis accounting for the lack of follow-up information demonstrated
472 an approximately 66% lower risk of diabetes with liraglutide compared to placebo, the magnitude of
473 which compares favourably with the 58% lower risk observed in the DPP⁶ and DPP Outcomes
474 Study,²⁸ with higher retention and longer follow-up.

475

476 In conclusion, 3 years of treatment with once-daily subcutaneous liraglutide 3·0 mg, as an adjunct to
477 a reduced-calorie diet and increased physical activity, reduced the risk of type 2 diabetes in
478 individuals with overweight or obesity and prediabetes, and promoted greater weight loss and
479 improvements in glycaemic control and cardiometabolic risk factors compared with placebo.
480 Liraglutide 3·0 mg, as a GLP-1 RA, provides a different treatment option for individuals with obesity
481 or overweight, with or without type 2 diabetes, having direct glucose-dependent effects on insulin
482 secretion and weight-loss mediated effects on improved insulin resistance. Liraglutide 3·0 mg was
483 generally well tolerated. However, post-market surveillance will be exercised to ensure detection of
484 potential side effects with a very low incidence.

485 **Contributors**

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491 **Declaration of interests**

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526

527 **References**

528

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611

612 **Table 1. Baseline characteristics of all randomised individuals.***

Characteristic	Liraglutide 3·0 mg (N=1505)	Placebo (N=749)
Sex – n (%)		
Female	1141 (75·8)	573 (76·5)
Male	364 (24·2)	176 (23·5)
Age – years	47·5±11·7	47·3±11·8
Race – n (%)†		
White	1256 (83·5)	628 (83·8)
Black or African-American	146 (9·7)	71 (9·5)
Asian	75 (5·0)	39 (5·2)
American Indian or Alaska Native	5 (0·3)	2 (0·3)
Native Hawaiian or other Pacific Islander	1 (<0·1)	1 (0·1)
Other	22 (1·5)	8 (1·1)
Hispanic or Latino ethnic group – n (%)†	143 (9·5)	70 (9·3)
Weight – kg	107·5±21·6	107·9±21·8
Body-mass index – kg/m ²	38·8±6·4	39·0±6·3
Body-mass index categories – n (%)		
27-29·9 – overweight	39 (2·6)	23 (3·1)
30-34·9 – obesity class I	427 (28·4)	197 (26·3)
35-39·9 – obesity class II	492 (32·7)	245 (32·7)
≥40 – obesity class III	547 (36·3)	284 (37·9)
Waist circumference (all participants) (cm)	116·5±14·4	116·7±13·9
Females (n=1141 vs 573)	113·9±13·0	113·8±12·7
Males (n=364 vs 176)	124·9±15·0	126·1±13·7
Glycated haemoglobin – %	5·8±0·3	5·7±0·3
Fasting glucose – mmol/L	5·5±0·6	5·5±0·5

2-hour plasma glucose during OGTT – mmol/L	7.4±1.8	7.4±1.7
Fasting insulin – pmol/L	127.6±76.5	125.1±79.1
Blood pressure – mm Hg		
Systolic	124.7±12.9	125.0±12.8
Diastolic	79.4±8.4	79.8±8.3
Cholesterol – mmol/L		
Total	5.0±19.0	5.1±19.0
LDL-cholesterol	2.9±27.9	3.0±28.0
HDL-cholesterol (all participants)	1.3±26.1	1.3±26.4
Females (n=1139 vs 572)	1.4±25.3	1.4±25.1
Males (n=363 vs 176)	1.1±22.1	1.1±25.1
VLDL-cholesterol	0.7±46.8	0.7±51.3
Non-HDL cholesterol	3.6±24.9	3.7±25.0
Free fatty acids – mmol/L	0.47±39.6	0.48±38.4
Triglycerides – mmol/L	1.5±54.1	1.5±66.6
Dyslipidaemia – n (%)‡	499 (33.2)	249 (33.2)
Hypertension – n (%)‡	635 (42.2)	312 (41.7)
Dyslipidaemia and hypertension – n (%)‡	317 (21.1)	156 (20.8)

613 *Data are observed means ± SD or number (%). For fasting insulin and lipids, data are geometric means and
614 coefficients of variation. HDL=high-density lipoprotein. LDL=low-density lipoprotein. OGTT=oral glucose-
615 tolerance test. SD=standard deviation. VLDL=very low density lipoprotein.

616 †Race and ethnic group were self-reported. Participants from France (44 in all) did not report race or ethnic
617 group.

618 ‡The diagnoses of dyslipidaemia and hypertension were based on reported medical history.

619 **Table 2: Changes in body weight and cardiometabolic risk factors between baseline and week**
 620 **160.***

Endpoint	Liraglutide 3·0 mg (N=1472)	Placebo (N=738)	Estimated treatment difference, liraglutide vs placebo (95% CI)†	p value
Change in body weight				
% of body weight	-6·1±7·3	-1·9±6·3	-4·3 (-4·9 to -3·7)	<0·0001
Kilograms of body weight	-6·5±8·1	-2·0±7·3	-4·6 (-5·3 to -3·9)	<0·0001
Loss of ≥5% body weight (%)‡	49·6	23·7	3·2 (2·6 to 3·9)	<0·0001
Loss of >10% body weight (%)‡	24·8	9·9	3·1 (2·3 to 4·1)	<0·0001
Loss of >15% body weight (%)‡	11·0	3·1	4·0 (2·6 to 6·3)	<0·0001
Body weight-related endpoints				
Body-mass index (kg/m ²)	-2·4±2·9	-0·7±2·6	-1·7 (-1·9 to -1·4)	<0·0001
Waist circumference (all) (cm)	-6·9±8·3	-3·4±7·5	-3·5 (-4·2 to -2·8)	<0·0001
Females (n=1110 vs 565)	-7·2±8·3	-3·1±7·3	-4·0 (-4·8 to -3·2)	<0·0001
Males (n=362 vs 173)	-5·9±8·1	-4·3±8·0	-1·9 (-3·4 to -0·5)	0·0080
Glycaemic control parameters				
Glycated haemoglobin (% points)	-0·35±0·32	-0·14±0·34	-0·21 (-0·24 to -0·18)	<0·0001
Fasting glucose (mmol/L)	-0·37±0·68	0·05±0·62	-0·41 (-0·46 to -0·36)	<0·0001
Fasting insulin (%)	-8·3	1·7	-10·1 (-14·3 to -5·6)	<0·0001
Fasting C-peptide (%)	-4·1	-3·2	-1·3 (-4·4 to 2·0)	0·44
PG AUC during OGTT (h*mmol/L)	-2·5±6·3	-0·16±7·2	-2·4 (-3·0 to -1·8)	<0·0001
Insulin AUC during OGTT (%)	-0·3	-11·4	11·0 (4·8 to 17·7)	0·0004
C-peptide AUC during OGTT (%)	-1·7	-10·2	8·8 (4·5 to 13·4)	<0·0001
2-hour PG during OGTT (mmol/L)	-1·6±2·1	-0·2±2·2	-1·4 (-1·6 to -1·3)	<0·0001
Vital signs				
Systolic blood pressure (mm Hg)	-3·2±13·0	-0·5±13·7	-2·8 (-3·8 to -1·8)	<0·0001

Diastolic blood pressure (mm Hg)	-2.3±9.0	-1.9±9.3	-0.6 (-1.3 to 0.1)	0.09
Pulse (beats per minute)	2.1±10.0	-0.02±9.8	2.0 (1.2 to 2.7)	<0.0001

621 *Data are observed means ± SD, unless otherwise stated, using available data from the full-analysis set, with
622 last-observation-carried-forward (LOCF) imputation. For insulin and C-peptide, data were log-transformed for
623 analysis and presented as the relative changes from baseline and relative treatment differences. Post-hoc
624 analysis was performed for weight loss greater than 15%. Changes from baseline to week 172, after a 12-week
625 observational follow-up period, are presented in the appendix p 38.

626 AUC=area under the curve. OGTT=oral glucose-tolerance test. PG=plasma glucose.

627 †Estimated treatment differences for all endpoints, except pulse, are from an analysis of covariance with
628 available data from the full-analysis set, with LOCF imputation. The full-analysis set comprised individuals
629 who underwent randomisation, were exposed to at least one treatment dose, and had at least one assessment
630 after randomisation (44 individuals were excluded from the full-analysis set: 38 due to lack of an assessment
631 and 6 due to no exposure). Data on pulse are based on the safety-analysis set, which included all individuals
632 who underwent randomisation and were exposed to at least one treatment dose.

633 ‡Loss of at least 5%, more than 10%, and more than 15% of body weight were analysed by logistic regression
634 with data from the full-analysis set (n=1467 in the liraglutide group and n=734 in the placebo group), with
635 LOCF imputation, and are presented as the proportions of participants (%) and odds ratios.

Table 3: Adverse events and serious adverse events.*

Event	Liraglutide 3·0 mg (N=1501)			Placebo (N=747)		
	Participants		Event rate per	Participants		Event rate per
	n (%)	Events (n)	100 years of observation	n (%)	Events (n)	100 years of observation
Total number of adverse events	1421 (94·7)	15759	489·6	668 (89·4)	6350	431·9
Adverse events in ≥5% of individuals	1322 (88·1)	8240	256·0	579 (77·5)	2837	193·0
Gastrointestinal disorders						
Nausea	614 (40·9)	961	29·9	125 (16·7)	166	11·3
Diarrhoea	379 (25·2)	610	19·0	107 (14·3)	145	9·9
Constipation	331 (22·1)	419	13·0	85 (11·4)	100	6·8
Vomiting	295 (19·7)	472	14·7	40 (5·4)	53	3·6
Dyspepsia	154 (10·3)	192	6·0	35 (4·7)	40	2·7
Abdominal pain	114 (7·6)	152	4·7	38 (5·1)	50	3·4
Abdominal pain upper	112 (7·5)	139	4·3	39 (5·2)	47	3·2
Gastroesophageal reflex disease	98 (6·5)	110	3·4	18 (2·4)	20	1·4
Eructation	85 (5·7)	95	3·0	4 (0·5)	4	0·3
Flatulence	81 (5·4)	94	2·9	20 (2·7)	23	1·6

General disorders and administration site conditions

Fatigue	152 (10.1)	188	5.8	57 (7.6)	66	4.5
Injection site haematoma	91 (6.1)	102	3.2	60 (8.0)	68	4.6
Oedema peripheral	53 (3.5)	60	1.9	47 (6.3)	58	3.9

Infections and infestations

Nasopharyngitis	396 (26.4)	755	23.5	209 (28.0)	405	27.5
Upper respiratory tract infection	235 (15.7)	388	12.1	119 (15.9)	212	14.4
Influenza	181 (12.1)	252	7.8	79 (10.6)	122	8.3
Gastroenteritis	142 (9.3)	173	5.4	46 (6.2)	53	3.6
Sinusitis	128 (8.5)	173	5.4	65 (8.7)	111	7.6
Urinary tract infection	121 (8.1)	176	5.5	43 (5.8)	62	4.2
Bronchitis	114 (7.6)	139	4.3	62 (8.3)	82	5.6

Investigations

Lipase increased	146 (9.7)	208	6.5	23 (3.1)	25	1.7
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Metabolism and nutrition disorders

Decreased appetite	164 (10.9)	176	5.5	26 (3.5)	27	1.8
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Musculoskeletal and connective tissue disorders

Back pain	200 (13.3)	287	8.9	120 (16.1)	162	11.0
Arthralgia	184 (12.3)	229	7.1	97 (13.0)	135	9.2

Pain in extremity	108 (7.2)	127	3.9	54 (7.2)	64	4.4
Nervous system disorders						
Headache	270 (18.0)	427	13.3	122 (16.3)	219	14.9
Dizziness	146 (9.7)	195	6.1	54 (7.2)	72	4.9
Respiratory, thoracic and mediastinal disorders						
Cough	111 (7.4)	132	4.1	59 (7.9)	85	5.8
Oropharyngeal pain	74 (4.9)	81	2.5	44 (5.9)	52	3.5
Vascular disorders						
Hypertension	75 (5.0)	87	2.7	47 (6.3)	57	3.9
Total number of serious adverse events	227 (15.1)	350	10.9	96 (12.9)	143	9.7
Serious adverse events in $\geq 0.4\%$ of individuals						
Cholelithiasis	20 (1.3)	21	0.7	6 (0.8)	6	0.4
Cholecystitis acute	9 (0.6)	9	0.3	1 (0.1)	1	<0.1
Cholecystitis	6 (0.4)	6	0.2	0	0	0
Osteoarthritis	12 (0.8)	14	0.4	5 (0.7)	6	0.4
Intervertebral disc protrusion	6 (0.4)	6	0.2	1 (0.1)	1	<0.1
Back pain	4 (0.3)	4	0.1	3 (0.4)	3	0.2
Fall	0	0	0	4 (0.5)	4	0.3
Cellulitis	3 (0.2)	3	0.1	3 (0.4)	3	0.2

Obesity	1 (<0.1)	1	<0.1	3 (0.4)	3	0.2
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*Adverse events (grouped by their system organ class) and serious adverse events that occurred up to and including week 162 among individuals in the safety-analysis set are included and are presented by their preferred terms from the Medical Dictionary for Regulatory Activities. Events are included if they had an onset date on or after the first day that study drug was administered and no later than 14 days after the last day the study drug was administered.

Figure legends

Figure 1: Trial flow diagram

The 3-year trial population consisted of all individuals with prediabetes, except for those that were incorrectly stratified: 37 entered the re-randomised period of the 56-week part of the trial, reported previously,¹⁵ and are not included below, and 6 with normoglycaemia entered the 3-year part of the trial and are included below.

Figure 2: Liraglutide 3·0 mg and glycaemic status.

Panel A shows Kaplan-Meier estimates of the proportion of participants who received a diagnosis of type 2 diabetes during the course of the trial. Glycaemic status was defined according to American Diabetes Association 2010 criteria.²¹ All individuals for whom diabetes was diagnosed had prediabetes at screening, except for one in the placebo group, who had normoglycaemia. The numbers along the graph lines show the cumulative number of individuals who received a diagnosis of diabetes over the course of 172 weeks. The time until 1% were diagnosed with diabetes was 90 weeks with liraglutide 3·0 mg and 24 weeks with placebo (post-hoc analysis). Participants were off treatment during the 12-week observational follow-up period, but still on diet and exercise. The numbers of participants at risk (i.e., remaining in the trial) are shown below the week numbers on the x axis. Panel B shows the proportion of participants with prediabetes at screening who regressed to having normoglycaemia over the course of 172 weeks. Panel C shows changes in fasting plasma glucose (left) and fasting serum insulin (right) over the course of 172 weeks. Relative changes in fasting glucose (%) are shown in the appendix p 15. Changes in fasting glucose translated into a similar corresponding pattern for glycated haemoglobin changes. Changes in HOMA-IR followed a similar pattern as fasting insulin changes. Data shown are the observed means with standard error bars (fasting glucose) or with 95% confidence intervals (fasting insulin), and the separate symbols represent the 160-week changes using last-observation-carried-forward (LOCF) imputation.

Figure 3: Liraglutide 3·0 mg and body weight.

Panel A shows the mean relative change in body weight for individuals in the full-analysis set who completed each scheduled visit. Data shown are the observed means with standard error bars, and the separate symbols in the boxes represent the 160-week weight change using last-observation-carried-forward (LOCF) imputation. The full-analysis set comprised individuals who underwent randomisation, were exposed to at least one treatment dose, and had at least one assessment after randomisation (44 participants were excluded from the full-analysis set: 38 due to lack of an assessment and 6 due to no exposure). Panel B shows the proportions of participants who lost at least 5%, more than 10%, and more than 15% of their baseline body weight at week 160. Data shown are the observed means for the full-analysis set, with LOCF. Findings from a logistic-regression analysis showed an odds ratio of 3·2 (95% CI, 2·6 to 3·9) for at least 5% weight loss and an odds ratio of 3·1 (95% CI, 2·3 to 4·1) for more than 10% weight loss. The analysis for achieving more than 15% weight loss was performed post-hoc (odds ratio 4·0 [95% CI, 2·6 to 6·3]).