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**Title:** The independent effects of hypovolemia and pulmonary vasoconstriction on ventricular function and exercise capacity during acclimatisation to 3800 m.

**Authors:** Mike Stembridge

Philip Ainslie

Lindsey Boulet

James Anholm

Prajan Subedi

Michael Tymko

Christopher Willie

Stephen-Mark Cooper

Robert Shave

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**Author Contribution:** Mike Stembridge: Conception or design of the work; Acquisition or analysis or interpretation of data for the work; Drafting the work or revising it critically for important intellectual content; Final approval of the version to be published; Agreement to be accountable for all aspects of the work Philip Ainslie: Conception or design of the work; Acquisition or analysis or interpretation of data for the work; Drafting the work or revising it critically for important intellectual content; Final approval of the version to be published; Agreement to be accountable for all aspects of the work Lindsey Boulet: Acquisition or analysis or interpretation of data for the work; Drafting the work or revising it critically for important intellectual content; Final approval of the version to be published; Agreement to be accountable for all aspects of the work James

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Anholm: Acquisition or analysis or interpretation of data for the work; Drafting the work or revising it critically for important intellectual content; Final approval of the version to be published; Agreement to be accountable for all aspects of the work Prajan Subedi: Acquisition or analysis or interpretation of data for the work; Drafting the work or revising it critically for important intellectual content; Final approval of the version to be published; Agreement to be accountable for all aspects of the work Michael Tymko: Acquisition or analysis or interpretation of data for the work; Drafting the work or revising it critically for important intellectual content; Final approval of the version to be published; Agreement to be accountable for all aspects of the work Christopher Willie: Acquisition or analysis or interpretation of data for the work; Drafting the work or revising it critically for important intellectual content; Final approval of the version to be published; Agreement to be accountable for all aspects of the work Stephen-Mark Cooper: Acquisition or analysis or interpretation of data for the work; Drafting the work or revising it critically for important intellectual content; Final approval of the version to be published; Agreement to be accountable for all aspects of the work Robert Shave: Conception or design of the work; Acquisition or analysis or interpretation of data for the work; Drafting the work or revising it critically for important intellectual content; Final approval of the version to be published; Agreement to be accountable for all aspects of the work

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## **The independent effects of hypovolemia and pulmonary vasoconstriction on ventricular function and exercise capacity during acclimatisation to 3800 m.**

Mike Stembridge<sup>1</sup>, Philip N. Ainslie<sup>2</sup>, Lindsey M. Boulet<sup>2</sup>, James Anholm<sup>3</sup>, Prajan Subedi<sup>3</sup>, Michael M. Tymko<sup>2</sup>, Christopher K. Willie<sup>2</sup> Stephen-Mark Cooper<sup>1</sup> and Rob Shave<sup>1,2</sup>.

1. Cardiff Centre for Exercise and Health, Cardiff Metropolitan University, Cardiff, United Kingdom.

2. Centre for Heart Lung and Vascular Health, University of British Columbia, Kelowna, BC, Canada.

3. VA Loma Linda Healthcare System and Loma Linda University School of Medicine, both in Loma Linda, CA, USA 92357

Address for correspondence:

Dr M Stembridge

Cardiff Metropolitan University

Cardiff

CF23 6XD

UK

02920 416593

[mstembridge@cardiffmet.ac.uk](mailto:mstembridge@cardiffmet.ac.uk)

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### Key Points Summary

- We sought to determine the isolated and combined influence of hypovolemia and hypoxic pulmonary vasoconstriction on the decrease in left ventricular (LV) function and maximal exercise capacity observed under hypobaric hypoxia.
- We performed echocardiography and maximal exercise tests at sea level (344m), and following 5-10 days at the Barcroft Laboratory (3800m; White Mountain, California) with and without (i) plasma volume expansion to sea level values and (ii) administration of the pulmonary vasodilator Sildenafil in a double-blinded and placebo-controlled trial.
- The high altitude-induced reduction in LV filling and ejection was abolished by plasma volume expansion but to a lesser extent by sildenafil administration; however, neither intervention had a positive effect on maximal exercise capacity.
- Both hypovolemia and hypoxic pulmonary vasoconstriction play a role in the reduction of LV filling at 3800 m, but the increase in LV filling does not influence exercise capacity at this moderate altitude.

## Abstract

We aimed to determine the isolated and combined contribution of hypovolemia and hypoxic pulmonary vasoconstriction in limiting left ventricular (LV) function and exercise capacity under chronic hypoxemia at high altitude. In a double-blinded, randomized and placebo-controlled design, twelve healthy participants underwent echocardiography at rest and during submaximal exercise before completing a maximal test to exhaustion at sea level (SL; 344 m) and after 5-10 days at 3800 m. Plasma volume was normalised to SL values, and hypoxic pulmonary vasoconstriction was reversed by administration of Sildenafil (50 mg) to create four unique experimental conditions that were compared with SL values; high altitude (HA), Plasma Volume Expansion (HA-PVX), Sildenafil (HA-SIL) and Plasma Volume Expansion with Sildenafil (HA-PVX-SIL). High altitude exposure reduced plasma volume by 11% ( $P < 0.01$ ) and increased pulmonary artery systolic pressure ( $19.6 \pm 4.3$  vs.  $26.0 \pm 5.4$ ,  $P < 0.001$ ); these differences were abolished by PVX and SIL respectively. LV end-diastolic volume (EDV) and stroke volume (SV) were decreased upon ascent to high altitude, but were comparable to sea level in the HA-PVX. LV EDV and SV were also elevated in the HA-SIL and HA-PVX-SIL trials compared to HA, but to a lesser extent. Neither PVX or SIL had a significant effect on the LV EDV and SV response to exercise, or the maximal oxygen consumption or peak power output. In summary, at 3800 m both hypovolemia and hypoxic pulmonary vasoconstriction contribute to the decrease in LV filling, however, restoring LV filling does not confer an improvement in maximal exercise performance.

## Introduction

Following acclimatisation to high altitude, cardiac output at rest and during exercise is comparable to sea level, but is achieved through an increased heart rate and a lower stroke volume (Sutton *et al.*, 1988). The reduction in stroke volume is accompanied by a decrease in left ventricular (LV) filling (Alexander & Grover, 1983; Boussuges *et al.*, 2000; Stembridge *et al.*, 2015). Two mechanisms have been proposed to explain this reduction in stroke volume: (i) decreased blood volume (Alexander *et al.*, 1967; Grover *et al.*, 1976) and (ii) increased pulmonary vascular resistance (Allemann *et al.*, 2004; Naeije *et al.*, 2010). A reduction in plasma volume with acclimatisation to high altitude has been consistently reported in the literature over the last 50 years (Pugh, 1964; Alexander *et al.*, 1967; Robach *et al.*, 2000; Ryan *et al.*, 2014), but there is no consensus as to whether the decrease in LV filling is a direct consequence of hypovolemia. For example, Alexander *et al.* (1967) observed a reduction in both blood volume and directly measured ventricular filling pressures, but a Dextran infusion only restored stroke volume in one of the two subjects tested despite the restoration in right atrial pressure in both. Moreover, Calbet *et al.* (2004) reported no change in stroke volume and an increase in heart rate following plasma volume expansion after 9 weeks at 5,260 m. In contrast, Grover *et al.* (1976) demonstrated a maintained stroke volume during acclimatisation when subjects (n=5) were kept isovolemic by preventing alkalosis via CO<sub>2</sub> supplementation, and Siebenmann *et al.* (2013) restored stroke volume (as estimated by pulse contour analysis) following normalisation of plasma volume via Dextran infusion.

The second candidate mechanism for the lower LV filling at high altitude is the increase in pulmonary vascular resistance secondary to hypoxic pulmonary vasoconstriction. In response to hypoxia, the pulmonary vasculature constricts and creates a greater load against which the right ventricle (RV) must contract (Naeije & Dedobbeleer, 2013). During acute hypoxia, pulmonary pressure is increased, RV systolic function is elevated and LV end-diastolic volume (EDV) is maintained. Following acclimatisation, pulmonary pressure remains elevated but RV systolic function is slightly decreased (Stembridge *et al.*, 2014) and LV EDV is lower. Indeed, pulmonary artery systolic pressure has been shown to be inversely related to LV diastolic filling at high altitude (Allemann *et al.*, 2004).

Changes in plasma volume and pulmonary vascular resistance in response to hypoxia have also been investigated as potential limiting factors to maximal exercise performance at high altitude. Pharmacological reversal of hypoxic pulmonary vasoconstriction has been shown in multiple studies to improve maximal exercise performance in acute and chronic hypoxia (Ghofrani *et al.*,

2004; Faoro *et al.*, 2009; Naeije *et al.*, 2010). Plasma volume expansion has been shown to improve  $VO_{2 \max}$  at high altitude (Robach *et al.*, 2000), although this is not a consistent finding (Calbet *et al.*, 2004). Theoretical analysis has demonstrated that the importance of cardiac output in oxygen transport diminishes with increasing altitude, such that on the summit of Mount Everest ( $P_b = 253$  Torr),  $VO_{2 \max}$  is independent of maximal cardiac output (Wagner, 1996). However, at moderate altitudes, any improvement in maximal exercise performance with either plasma volume expansion or pulmonary vasodilation may be underpinned by changes in cardiac function that are evident during submaximal intensities (Hsu *et al.*, 2006; Kjaergaard *et al.*, 2007). Importantly though, both interventions may alter arterial oxygen content at maximal exercise via either hemodilution with plasma volume expansion (Duke *et al.*, 2016), or increased diffusion limitation with shorter pulmonary transit times (Wagner, 1996).

Collectively, the available evidence suggests that changes in blood volume and pulmonary vascular resistance may affect stroke volume and exercise capacity at high altitude either independently or in combination, however this remains to be experimentally determined. The aims of this study are therefore fourfold: determine the independent and combined effect of high altitude-induced (1) hypovolemia and (2) hypoxic pulmonary vasoconstriction on LV filling and the resultant changes in ventricular function, (3) examine how hypovolemia and hypoxic pulmonary vasoconstriction influence the left and right ventricular response to submaximal exercise and (4) explore the potential role of plasma volume normalisation and the reversal of pulmonary vasoconstriction to improve maximal exercise at high altitude. We hypothesised that (1) both plasma volume normalisation and (2) reversal of hypoxic pulmonary vasoconstriction would increase LV filling at rest and (3) these responses would be evident during exercise, but (4) only the reversal of hypoxic pulmonary vasoconstriction would increase maximal exercise performance.

## Methods

### *Ethical Approval*

Ethical approval was granted by the Clinical Research Ethics Board at the University of British Columbia and conformed to the *Declaration of Helsinki*, except for registration in a database. Written informed consent was obtained from all volunteers prior to participation in the study. The current study was a standalone experiment that formed part of a high altitude expedition to the Barcroft Research Station (White Mountain, California, USA; 3800 m). As such, the participants took part in a number of investigations, however care was taken to ensure no overlap existed between any of the studies performed, and each study addressed a distinct *a priori* research question.

### *Participants*

Twelve male participants (aged  $27 \pm 6$ , height  $178 \pm 4$  cm and weight  $73 \pm 7$  kg) were recruited from the expedition team who were normotensive, non-smokers with no previous history of cardiovascular or respiratory diseases and were taking no prescription medications. Lung function, lung volume and lung diffusing capacity were assessed at baseline in accordance with the American Thoracic Society and European Respiratory Society's joint guidelines (Macintyre *et al.*, 2005; Miller *et al.*, 2005) as previously reported by our group (Tymko *et al.*, 2017). The participants completed baseline trials at sea level (Kelowna, British Columbia; 344 m) before travelling to California and ascending to 3800 m over the course of 9-10 hours by motor vehicle. The high altitude phase of testing was performed between days 5 and 10 after arrival at 3800 m. None of the participants were experiencing any symptoms of acute mountain sickness, as assessed by the Lake Louise questionnaire.

### *Experimental Design*

The two phases of testing required three laboratory visits at sea level and three visits at high altitude. Prior to each visit, participants were asked to abstain from exercise, alcohol and caffeine for at least 12 hours. The experimental design allowed the examination of cardiac function at rest and during exercise, at sea level (SL) and high altitude (HA) with and without the administration of the pulmonary vasodilator Sildenafil (SIL), before and after plasma volume expansion (PVX) to return plasma volume to sea level values. This generated five experimental conditions: Sea Level (SL), High Altitude (HA), High Altitude with Plasma Volume Expansion (HA-PVX), High Altitude with Sildenafil (HA-SIL) and High Altitude with both Plasma Volume Expansion and Sildenafil (HA-PVX-SIL). In addition, maximal aerobic capacity was assessed in four conditions: Sea Level (SL), High Altitude (HA) and High Altitude with both



Plasma Volume Expansion (HA-PVX) and Sildenafil (HA-PVX-SIL). An overview of the experimental design is illustrated in Figure 1.

#### *Sea level experimental visits*

At sea level, participants completed an incremental test to exhaustion on a semi-recumbent exercise ergometer (Lode Angio, Lode, Groningen, the Netherlands; see 'Experimental Protocols' below for full details). The aim of this test was to establish each individual's peak power so that relative intensities could be prescribed in the subsequent visits. All exercise echocardiograms at sea level and high altitude were performed at 50% of the power achieved during this visit in the semi-recumbent position. During the second visit, echocardiography was performed during rest and at 50% peak power. After 30 minutes rest, the participants then completed another incremental test to exhaustion to assess maximal oxygen consumption. The following day, the participants returned to the laboratory for the measurement of absolute blood volume via the carbon monoxide rebreathing method described below. Absolute blood volume was used to calculate the volume of fluid that was required to restore plasma volume in visits 2 and 3 at high altitude.

#### *High altitude experimental visits*

To maintain consistency between sea level and high altitude testing, the same equipment was driven from Kelowna, Canada to The Barcroft Laboratory, USA including the ultrasound machine and the exercise ergometer. During high altitude testing, an electrical fault developed in our cycle ergometer, so this was replaced by a second electronically-braked ergometer from the same manufacturer. Given that the order of visits were randomized, we are confident any differences between devices would be accounted for by our experimental design. At high altitude, the order of experimental visits was randomised to avoid any order effect due to acclimatisation. Before all trials, haemoglobin (Hb) concentration (Hemocue, Radiometer, Copenhagen, Denmark) and haematocrit (HCT; microhaematocrit centrifugation technique) were assessed from a blood sample taken from an indwelling catheter placed in the antecubital vein in order to calculate the percentage change in blood volume from sea level (Dill & Costill, 1974). In visits 2 and 3, the percentage change and the absolute plasma volume from sea level was used to generate a target infusion volume for each individual. Sildenafil was administered orally (50mg) in a randomised and double-blinded approach.

During the high altitude visit 1, participants completed a  $VO_2$  peak test that was used to determine the change in aerobic capacity from sea level. Visits 2 and 3 were identical, apart from the study clinician administering either 50mg Sildenafil or placebo 60 minutes prior to

commencing testing. All experimenters and participants were blinded to the conditions except the study clinician (JA). The participants underwent an echocardiogram during rest and at 50% peak power. The required target volume of Saline was then infused over the course of 20-40 minutes by infusion pump (Carefusion, San Diego, CA, USA) and Hb and HCT were reassessed to check plasma volume expansion to sea level values had been achieved. The volume required to restore plasma volume in each condition is reported in the results. This was followed by repeating the rest and exercise echocardiogram. A 30-minute rest period then preceded the  $VO_2$  peak test.

### *Experimental Protocols*

**Incremental test to exhaustion ( $VO_2$  Peak Test):** The incremental exercise test was performed in the semi-recumbent position on an electronically-braked cycle ergometer (Lode Angio, Lode, Groningen, the Netherlands). Participants were instructed to keep their cadence between 70-80 rpm throughout the test. Following a two-minute warm up at 100 W, 20 W was added every minute until volitional exhaustion. Where the test was terminated midway through a stage, the 20 W increment was divided by 60, multiplied by the time completed and added to the power output from the last complete stage. During the incremental tests, ventilation ( $V_E$ ),  $O_2$  uptake ( $VO_2$ ) and  $CO_2$  production ( $VCO_2$ ) were assessed via breath-by-breath online gas analysis (Oxycon Mobile, Carefusion, San Diego, CA, USA), as previously used at high altitude (Faoro *et al.*, 2013). In addition to respiratory analysis, heart rate was recorded via a 3-lead electrocardiogram and peripheral oxygen saturation ( $SpO_2$ ) via finger pulse oximetry.

**Rest and exercise echocardiogram:** Echocardiographic images were obtained with the participants resting or cycling in the left lateral decubitus position, by the same sonographer (MS), using a commercially available ultrasound system (Vivid E9, GE Healthcare, Piscataway, NJ, USA). All images were acquired at end-expiration. Exercise images were obtained after two minutes of cycling to allow the participant to reach steady state, and were completed within five minutes.

Parasternal long- and short-axis views and apical four and two chamber views were recorded for three consecutive cycles and stored for offline analysis (Echopac, GE Healthcare, Piscataway, NJ, USA). Left ventricular volumes were assessed from apical four and two chamber images in accordance with the American Society of Echocardiography guidelines (Lang *et al.*, 2015). Transmitral early (E) and atrial (A) filling was assessed via pulsed-wave Doppler recordings from an apical four chamber view, with the sample volume placed between the tips of the mitral valve leaflets. Right ventricular areas were assessed from a modified apical 4 chamber view in

line with the RV American Society of Echocardiography guidelines for the assessment of the right heart (Rudski *et al.*, 2010). Pulmonary artery systolic pressure (PASP) was quantified as the maximum systolic pressure gradient across the tricuspid valve added to right atrial pressure estimated from the collapsibility of the inferior vena cava. To derive pressure, the modified Bernoulli equation ( $4V^2$ ) was applied to the peak systolic regurgitation jet velocity measured via continuous wave Doppler. Unfortunately, due to the difficulty in identifying the regurgitant jet during exercise with a higher ventilation at high altitude, it could only be achieved in 26% of time points during exercise. The missing data meant from our  $n=12$ , we only acquired PASP data in  $n=3$  across all time points. For this reason, PASP data during exercise is not reported. At rest, pulmonary vascular resistance was estimated from the ratio of the tricuspid regurgitant jet velocity to the velocity-time integral obtained in the right ventricular outflow tract in a parasternal short-axis view (Rudski *et al.*, 2010). Pulmonary vascular resistance (PVR) was estimated from the ratio of the tricuspid regurgitant jet velocity to the velocity-time integral obtained in the right ventricular outflow tract in a parasternal short-axis view (Abbas *et al.*, 2003; Rudski *et al.*, 2010).

Left and right ventricular mechanics were assessed via two-dimensional speckle-tracking echocardiography. LV circumferential strain, rotation, strain rate and rotation velocity were measured from parasternal short-axis views at the base and apex, as previously described in detail (Stembridge *et al.*, 2014). LV twist, systolic twist velocity and untwisting velocity were calculated by subtracting the apical frame-by-frame data from the basal data. Left and right ventricular longitudinal strain and strain rate were analysed from apical four chamber views. All images for speckle-tracking analysis were obtained at the highest possible frame rates ( $> 70$  frames per second) and kept consistent within individuals. Intra-observer coefficient of variation for the study sonographer (MS) have been reported previously (Stembridge *et al.*, 2015), and range from 8.1-11% for speckle-tracking imaging.

**Absolute blood volume:** Blood volume was assessed at sea level using the carbon monoxide rebreathing method (Schmidt & Prommer, 2005), as previously used at high altitude (Ryan *et al.*, 2014). The participants were instructed to inhale maximally from a custom-made glass spirometer (Bloodtec, GbR, Germany) attached to a 5 L reservoir bag containing 100%  $O_2$  whilst carbon monoxide was added ( $1.0 \text{ ml kg}^{-1}$ ). The participants then held the full inspiration for 10 seconds before breathing in and out of the spirometer for two minutes. At the end of the two minutes, the participants exhaled fully into the spirometer before returning to room air. Venous blood samples were taken before and after the rebreathing for the assessment of Hb concentration, HCT and the percentage of Hb bound to carbon monoxide (HbCO; ABL 90,

Radiometer, Denmark). Exhaled carbon monoxide was measured using a portable carbon monoxide device (Dräger Pac 3500, Daeger Safety Inc., Texas, USA) at baseline, 4-minutes post and 7-minutes post rebreathe.

### *Statistical Analyses*

Results are presented as means  $\pm$  standard deviation (SD), and each participant has their own unique symbol across Figures 2-4 so that individual responses can be tracked between experimental conditions. Our four hypotheses stated in the introduction were approached using the following statistical methods. To explore the effect of the (1) hypovolemia and (2) hypoxic pulmonary vasoconstriction at rest, two separate repeated measures one-way analysis of variance (ANOVA) were run with paired-samples t tests used to detect differences where there was a significant main effect; (3) to explore the response to exercise during the different conditions, a two-way repeated measures ANOVA was used (condition and exercise intensity) with paired-samples t tests used to detect differences where there was a significant main effect and (4) to examine the differences in maximal exercise performance, a one-way repeated measures ANOVA was employed with paired-samples t tests used to detect differences where there was a significant main effect. A Bonferroni correction was applied to all post hoc t tests to account for multiple comparisons, with adjusted p values reported. To determine whether meaningful differences were present, effect sizes (Cohen's *d*) are reported. Data were normally distributed, as confirmed via Shapiro-Wilk normality test. Where sphericity could not be assumed (Mauchly's) the Greenhouse-Geisser correction was applied.

## Results

### *Effectiveness of interventions*

The haematological and pulmonary vascular changes following the interventions are shown in Table 1. Plasma volume was decreased at high altitude by  $11 \pm 7\%$  and  $12 \pm 7\%$  in the HA and HA-SIL trials, respectively. Following the infusion in the HA-PVX and HA-PVX-SIL trials, plasma volume was comparable to sea level. The average infusion volume required to restore plasma volume was  $398 \pm 248$  ml in the HA-PVX trial and  $438 \pm 247$  ml in the HA-PVX-SIL trial. PASP and PVR were both elevated in the placebo trials at high altitude (HA and HA-PVX); however, Sildenafil was effective in reversing this response as there was no significant difference in either PASP or PVR in the Sildenafil trials (HA-SIL and HA-PVX-SIL) when compared to sea level.

### *Effects of plasma volume expansion and Sildenafil administration on left ventricular filling and ejection at rest*

LV EDV and SV were reduced in the HA condition in comparison to SL (Figure 2). In addition, there was also a decrease in transmitral E velocity and E/A ratio and an increase in LV twist, apical rotation and apical circumferential strain rate (Table 2). Although there was a significant main effect for right ventricular end-diastolic ( $P=0.045$ ) and end-systolic area ( $P=0.038$ ), post-hoc tests failed to reach significance ( $P=0.085$  and  $P=0.054$ , respectively). The reduction in LV EDV and SV from sea level to high altitude was abolished after HA-PVX, and transmitral E ( $P=0.142$ ) velocity and E/A ratio ( $P=0.524$ ) were no longer significantly decreased; however, LV twist and apical circumferential strain rate remained elevated (Table 2).

In the Sildenafil conditions, LV EDV ( $P=0.132$ ) and SV ( $P=0.092$ ) were not different to sea level values. However, the probability values suggest a trend for SV to be lower, as do post hoc tests between SL and HA-SIL ( $P=0.060$ ). However, this was not the case for HA-PVX-SIL ( $P=0.471$ ). To explore whether there was a non-significant but meaningful change between SL and HA-SIL, effect sizes were calculated and, for completeness, reported for all conditions (Figure 2). The greatest effect size between SL and the altitude conditions for LV EDV and SV were seen in the HA condition (Cohen's  $d=0.874$  and  $0.729$ , respectively). The effect sizes for LV EDV and SV were indicative of a small effect for the HA-PVX condition (Cohen's  $d= 0.018$  and  $0.363$ , respectively), suggesting plasma volume expansion was effective at altering LV EDV and SV. In the Sildenafil trials, effect sizes were larger (Cohen's  $d= 0.408$  and  $0.523$  for LV EDV and SV, respectively) suggesting a meaningful difference remained despite no significant difference being apparent from difference testing. In the HA-PVX-SIL condition, effect sizes were smaller

than HA-SIL but not as small as HA-PVX (Cohen's  $d=$  0.273 and 0.292 for LV EDV and SV, respectively).

*The influence of plasma volume expansion and Sildenafil administration on the left ventricular response to exercise*

LV EDV did not increase from rest to exercise in either the placebo (main effect for exercise  $P=0.300$ ) or Sildenafil (main effect for exercise  $P=0.889$ ) trials (Figure 3). In contrast, both placebo and Sildenafil trials demonstrated an increase in stroke volume from rest to exercise, with post hoc differences evident in the SL and HA trials. When the main effect for condition is considered (pooled rest and exercise data), LV EDV was lower compared to SL in the HA, HA-SIL and HA-PVX-SIL conditions indicating that LV EDV was most comparable to sea level during the HA-PVX trial.

Maximal exercise

Peak power was significantly lower during all three high altitude conditions (HA, HA-PVX and HA-PVX-SIL) in comparison to sea level ( $P<0.001$ ; Figure 4); however, there were no differences between the reductions in peak power in the high altitude trials. Differences in  $VO_2$  peak between SL and HA conditions did not reach statistical significance (ANOVA  $P=0.091$ ).

## Discussion

In relation to our four hypotheses, we report the following principle findings: (1) at 3800 m, normalisation of plasma volume to sea level values restores LV EDV and SV at rest and (2) the reversal of hypoxic pulmonary vasoconstriction appears to also influence LV EDV in a similar manner, but to a lesser extent; (3) neither plasma volume expansion or Sildenafil administration altered the LV EDV and SV response to exercise; and (4) neither the restoration of plasma volume or the reduction of pulmonary vascular resistance ameliorated the reduction in maximal exercise capacity at 3800 m.

### *Left ventricular filling at high altitude*

Alexander and Grover (1983) first proposed that the decline in LV filling upon ascent to high altitude was a consequence of the reduction in plasma volume that occurs after the first 3-5 days above 3000 m. The current study demonstrates that normalising plasma volume to sea level values does indeed restore LV end-diastolic volume and stroke volume. This is in contrast to the previous study of Calbet *et al.* (2004) who reported no change in stroke volume when participants were made hypervolemic (via infusion of 1L Dextran solution) at high altitude, but in agreement with the more recent work of Siebenmann *et al.* (2013) who concluded that the reduction in stroke volume can be *exclusively* explained by the decrease in plasma volume. The differential finding between the work of Calbet *et al.* (2004) with that of Siebenmann *et al.* (2013) and ourselves may be explained by important distinctions in the methodological approach. First, the length of exposure varies greatly between the three studies with relatively short durations of ours (5-10 days) and Siebenmann *et al.* (2013) (26 days), compared to 9 weeks in the study by Calbet *et al.* (2004). Second, and most importantly, Siebenmann *et al.* (2013) and the current study were performed at 3454 m and 3800 m, respectively, which is a relatively low altitude compared to 5260 m in the work of Calbet and colleagues. The much higher elevation would elicit a greater pulmonary vasoconstriction than observed in the current study, and pulmonary vascular resistance may therefore play a more substantial role in limiting LV filling at higher altitude and render the impact of hypovolemia relatively inconsequential.

In the current study, the reversal of hypoxic pulmonary vasoconstriction at high altitude led to there being no statistically significant difference in LV EDV and SV compared to sea level. However, given that probability values of there being a significant difference were close to achieving significance, and effect sizes suggest there was a meaningful difference between sea level and HA-SIL, reversal of hypoxic pulmonary vasoconstriction may not have been as

effective in normalising LV EDV and SV as plasma volume expansion. Curiously, LV EDV and SV were lower in the HA-PVX-SIL trial compared to HA-PVX, despite the same volume normalisation protocol. This latter observation may be explained by the systemic vasodilatory effects of Sildenafil (Vardi *et al.*, 2002), resulting in a greater volume being required within the systemic circulation to maintain the same blood pressure. This would mean the volume infused in the HA-PVX-SIL trial would not necessarily present as an enhanced cardiac filling. Despite a reduction in stroke volume, we did not observe any decrement in right ventricular function upon ascent to high altitude. This is in contrast to previous measurements we have taken above 5000 m (Stembridge *et al.*, 2014), differences that might be related to the smaller increase in pulmonary artery systolic pressure observed in the current study at 3800 m (+32%) compared to 5050 m (+43%).

Whilst both plasma volume expansion and reversal of hypoxic pulmonary vasoconstriction increased LV filling, the increase in LV EDV was smaller with the reversal of hypoxic pulmonary vasoconstriction compared to plasma volume expansion. This suggests that the reduction in plasma volume may have a greater effect on LV filling at 3800 m compared to the increase in pulmonary vascular resistance. Interestingly, when plasma volume expansion and Sildenafil were combined, there was no additive effect. However, given the volume infused was intended to match sea level blood volume, and the pharmacological intervention lowered pulmonary vascular resistance towards but not below sea level values, there is no reason why LV EDV would increase beyond values recorded at sea level. Collectively, our findings and those from previous investigations suggest that both hypovolemia and hypoxic pulmonary vasoconstriction decrease LV filling and ejection, but hypoxic pulmonary vasoconstriction may play a greater role at more extreme altitudes.

#### *Restoration of left ventricular filling but persistent changes in left ventricular mechanics*

A number of recent studies have reported an increase in LV twist at high altitude (Stembridge *et al.*, 2014; Dedobbeleer *et al.*, 2015; Osculati *et al.*, 2015; Maufrais *et al.*, 2016). In our previous work (Stembridge *et al.*, 2015), we hypothesised that the increase in LV twist may be a consequence of the decrease in LV filling observed upon ascent to high altitude. In contrast to our hypothesis, restoring LV EDV in the current study had no effect on LV twist suggesting an alternative mechanism(s) for the elevation of LV mechanics at high altitude. Osculati *et al.* (2016) have proposed that the increase in LV twist is directly related to subendocardial dysfunction. This has yet to be experimentally investigated, but it is unlikely that the degree of hypoxemia experienced in our healthy subjects would be sufficient to impair myocardial



function and increase twist. More likely, is the effects of enhanced inotropic and chronotropic stimulation on LV twist, as circulating catecholamine's are known to be increased at high altitude (Mazzeo & Reeves, 2003) and have been shown to substantially increase LV twist (Dong *et al.*, 1999). As such, careful follow-up experimental investigation is required to delineate these mechanisms.

#### *Hypovolemia and Hypoxic pulmonary vasoconstriction as a limiting factor to exercise capacity*

Both the reversal of hypoxic pulmonary vasoconstriction and the restoration of plasma volume have been shown to increase exercise capacity at high altitude (Robach *et al.*, 2000; Ghofrani *et al.*, 2004; Faoro *et al.*, 2009; Naeije *et al.*, 2010), although this is not a universal finding (Calbet *et al.*, 2004; Fischler *et al.*, 2009). We observed no effect of plasma volume expansion or reduction in pulmonary vascular resistance on maximal exercise capacity in our cohort. Previous studies that have demonstrated the reversal of hypoxic pulmonary vasoconstriction to have a beneficial effect on exercise performance have been performed under more severe normobaric (FiO<sub>2</sub> 0.10-0.12) and hypobaric (5050-5245 m) hypoxia (Ghofrani *et al.*, 2004; Faoro *et al.*, 2009; Naeije *et al.*, 2010) than used in the current study. As such, similar to LV filling, hypoxic vasoconstriction may play a much greater role in limiting exercise capacity at altitudes above 5000 m. Thus, pharmacological strategies to increase exercise performance at high altitude may only be worthwhile when the athlete or mountaineer reaches a threshold altitude likely to be above 4500-5000 m.

#### *Limitations*

Due to the inherent risks, we were not able to directly assess the pulmonary hemodynamic responses to high altitude at rest or during exercise. However, in using echocardiography, we chose a technique that is recommended for the clinical assessment of the pulmonary vasculature (Rudski *et al.*, 2010) and shows good agreement with invasive measures (Yock & Popp, 1984; Currie *et al.*, 1985). Additionally, our cohort is relatively small and that may potentially have led to a type II error. Due to logistical issues associated with field work in remote locations, high altitude studies seldom report data on large cohorts (Ainslie, 2014). However, in support of our data, power analyses were completed *a priori* based on effect sizes for Hb concentration, LV EDV and PASP from previous work (Stembridge *et al.*, 2014) and they demonstrated that we needed n=8 as a minimum required sample size to detect a statistically significant effect with alpha set to 0.05 based on a 95% chance of a statistically significant outcome. Notwithstanding, some of the measures we report are associated with a higher degree

of variability (e.g. right ventricular areas), and this may explain why no significant differences were not observed in these measures.

By design, we chose to match absolute workload between conditions as cardiac output is known to be the same for a given power output at sea level and following 3-5 day at high altitude. However, caution must be exercised when interpreting our data, as the higher relative workload will mean our indices of cardiac function were recorded at 50% of peak power at sea level, but 56% and 57% of peak power in the HA-PVX and HA-PVX-SIL trials, respectively. This may therefore overestimate the cardiac response to submaximal exercise at high altitude. As stated in the methods, due to an electrical fault approximately half of the high altitude testing was performed on a different Lode ergometer. However, as the bike was from the same manufacturer and had undergone the same calibration procedures, and the order of visits were randomised, we are confident that this will not have affected the outcomes of the study. We acknowledge that some of the saline infused will leave the vascular compartment. However, following the infusion Hb concentration and haematocrit were checked to ensure a plasma volume expansion had been achieved. Lastly, this study only recruited male participants, as it would not have been possible to match testing days to specific periods of the menstrual cycle given that the experimental design required six visits over a 22-day period. This is particularly relevant to our study, as chemoreflex stimulation is known to vary depending on menstrual phase (Muza *et al.*, 2001; Usselman *et al.*, 2013). Unfortunately, this is an unavoidable issue with field testing and may therefore limit the broad applicability of our results to the general population.

### *Conclusion*

In summary, this study demonstrates that both plasma volume and hypoxic pulmonary vasoconstriction play a role in regulating LV filling during sojourn to 3800 m above sea level. However, at 3800 m the decrease in plasma volume appears to dominate over the increase in pulmonary vascular resistance, and there is no additive effect when plasma volume is normalised and hypoxic pulmonary vasoconstriction is reversed. In contrast to LV filling, there is no role of hypovolemia or hypoxic pulmonary vasoconstriction in limiting exercise capacity at moderate-high altitude which may suggest the existence of a “threshold altitude” where by pharmacological strategies to improve exercise capacity at high altitude via administration of pulmonary vasodilators may only worthwhile at more extreme altitudes.

## Additional Information

*Competing interests:* The authors have no conflict of interest.

*Author contributions:* MS, PNA and RS were involved in the conception and design of the work, and all authors were involved in the acquisition, analysis or interpretation of data for the work and drafting the work or revision it critically for important intellectual content.

All authors approved the final version of the manuscript, agree to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved and all persons designated as authors qualify for authorship, and all those who qualify for authorship are listed.

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### Author Profile:

Dr Mike Stembridge completed his PhD in 2015 investigating cardiac adaptation to short-term and life-long high altitude exposure under the supervision of Prof. Rob Shave at Cardiff Metropolitan University. During his subsequent postdoctoral work, he extended the hypoxia theme to examine the cardiovascular and cerebrovascular responses to free-diving with Prof. Philip Ainslie. In September 2017, he was appointed as a Lecture in Exercise Physiology, where he intends to investigate the mechanisms underpinning cardiovascular and autonomic adaptation to hypoxia through a series of laboratory-based studies and challenging field expeditions to high altitude locations in South America, Asia and Africa.

**Table 1- Haematological, pulmonary hemodynamic and systemic hemodynamic responses to high altitude, plasma volume expansion and sildenafil administration**

	SL (244 m)	High Altitude (3800 m)					
	Baseline	HA	HA-PVX	ANOVA	HA-SIL	HA-PVX-SIL	ANOVA
<i>Haematological</i>							
SpO <sub>2</sub> (%)	98 ± 1	89 ± 3*** (6.088)	90 ± 2*** (5.234)	<0.001	89 ± 2*** (5.682)	89 ± 1*** (9.686)	<0.001
Hb Concentration (g dl <sup>-1</sup> )	148 ± 5	160 ± 6** (2.256)	148 ± 3 (0.084)	<0.001	157 ± 8*** (1.443)	147 ± 7 (0.112)	<0.001
Haematocrit (%)	44 ± 2	47 ± 2** (1.161)	44 ± 2 (0.205)	<0.001	47 ± 3** (0.946)	44 ± 2 (0.205)	<0.001
Plasma Volume (ml)	3709 ± 573	-	-		-	-	
Blood Volume (ml)	6249 ± 925	-	-		-	-	
% Change BV vs. baseline	-	-6 ± 5**	0.2 ± 3.5	<0.001	-7 ± 4***	0.4 ± 3.6	<0.001
% Change PV vs. baseline	-	-11 ± 7**	0.4 ± 7	<0.001	-12 ± 7***	1.3 ± 6.3	<0.001
<i>Pulmonary Vascular</i>							
PASP (mmHg)	19.6 ± 4.3	26.0 ± 5.4* (1.331)	25.8 ± 5.1* (1.316)	<0.001	22.1 ± 3.9 (0.569)	21.2 ± 3.3 (0.423)	P=0.310
PVR (Woods units)	1.21 ± 0.14	1.51 ± 0.25* (1.544)	1.54 ± 0.20* (1.950)	P=0.007	1.37 ± 0.26 (0.807)	1.30 ± 0.12 (0.679)	P=0.130
<i>Systemic Vascular</i>							
Systolic Blood Pressure	133 ± 16	142 ± 17 (0.618)	139 ± 12 (0.454)	P=0.233	136 ± 15 (0.219)	140 ± 13 (0.502)	P=0.376
Diastolic Blood Pressure	75 ± 13	81 ± 9 (0.567)	82 ± 11 (0.621)	P=0.262	81 ± 9 (0.593)	85 ± 13 (0.803)	P=0.106

SpO<sub>2</sub>, peripheral oxygen saturation; Hb, haemoglobin; BV, blood volume; PV, plasma volume; PASP, pulmonary artery systolic pressure; PVR, pulmonary vascular resistance. Data are reported as mean ± SD (Cohen's *d*). \* = P<0.05, \*\*=P<0.01 and \*\*\*=P<0.001 all compared to sea level.

**Table 2- Left and right ventricular function responses to high altitude, plasma volume expansion and sildenafil administration**

	SL (244 m)	High Altitude (3800 m)					
	Baseline	HA	HA-PVX	ANOVA	HA-SIL	HA-PVX-SIL	ANOVA
Transmitral E (ms <sup>-1</sup> )	0.92 ± 0.12	0.81 ± 0.17** (0.755)	0.87 ± 0.15 (0.347)	P=0.003	0.81 ± 0.15* (0.826)	0.80 ± 0.15* (0.844)	P=0.006
Transmitral A (ms <sup>-1</sup> )	0.39 ± 0.05	0.42 ± 0.08 (0.600)	0.40 ± 0.08 (0.174)	P=0.128	0.40 ± 0.06 (0.239)	0.42 ± 0.10 (0.445)	P=0.369
Transmitral E/A Ratio	2.41 ± 0.37	1.93 ± 0.33** (1.392)	2.24 ± 0.41 (0.422)	P<0.01	2.07 ± 0.47* (0.810)	2.01 ± 0.58* (0.843)	P=0.013
LV Twist (°)	15.9 ± 4.0	19.4 ± 6.1* (0.697)	19.5 ± 6.2* (0.697)	P<0.05	19.2 ± 9.6 (0.484)	18.2 ± 7.1 (0.411)	P=0.231
Systolic Twist Velocity (° s <sup>-1</sup> )	107 ± 18	140 ± 28** (1.405)	132 ± 24* (1.190)	P=0.002	125 ± 41 (0.620)	135 ± 31 (1.142)	P=0.065
Untwisting Velocity (° s <sup>-1</sup> )	107 ± 26	127 ± 39 (0.594)	120 ± 20 (0.555)	P=0.143	125 ± 48 (0.475)	116 ± 34 (0.285)	P=0.278
Basal Rotation (°)	5.4 ± 2.2	6.4 ± 3.5 (0.353)	5.4 ± 2.1 (0.003)	P=0.357	6.7 ± 3.6 (0.451)	5.7 ± 2.7 (0.122)	P=0.179
Apical Rotation (°)	11.5 ± 4.3	14.7 ± 4.8* (0.724)	14.9 ± 5.5 (0.709)	P=0.038	13.7 ± 7.1 (0.395)	13.5 ± 5.9 (0.407)	P=0.317
Basal Circumferential Strain (%)	19.5 ± 1.7	21.1 ± 3.5 (0.623)	19.3 ± 3.4 (0.051)	P=0.198	20.0 ± 3.25 (0.214)	20.6 ± 3.0 (0.459)	P=0.445
Basal Circumferential Strain Rate (% <sup>-1</sup> )	1.12 ± 0.13	1.32 ± 0.26 (1.010)	1.32 ± 0.26 (0.657)	P=0.062	1.23 ± 0.22 (0.608)	1.24 ± 0.29 (0.537)	P=0.180
Apical Circumferential Strain (%)	26.6 ± 5.1	27.6 ± 5.2 (0.191)	26.7 ± 5.2 (0.018)	P=0.518	27.8 ± 0.64 (0.236)	27.8 ± 5.3 (0.233)	P=0.314
Apical Circumferential Strain Rate (% <sup>-1</sup> )	1.62 ± 0.42	1.99 ± 0.46*** (0.844)	1.86 ± 0.44** (0.562)	P<0.001	2.14 ± 0.64** (0.994)	1.95 ± 0.59** (0.655)	P=0.002
LV Longitudinal Strain (%)	19.4 ± 1.2	19.7 ± 2.8 (0.144)	20.0 ± 1.8 (0.426)	P=0.672	19.5 ± 1.9 (0.033)	19.0 ± 1.9 (0.261)	P=0.215
LV Longitudinal Strain Rate (% <sup>-1</sup> )	0.99 ± 0.11	1.17 ± 0.16 (1.328)	1.06 ± 0.09 (0.719)	P=0.027	1.11 ± 0.22 (0.738)	1.07 ± 0.14 (0.612)	P=0.196
End Diastolic Area (cm <sup>2</sup> )	23.7 ± 3.7	21.0 ± 2.5 (0.881)	23.5 ± 2.9 (0.078)	P=0.045	21.5 ± 3.0 (0.666)	21.6 ± 3.3 (0.612)	P=0.060
End Systolic Area (cm <sup>2</sup> )	13.3 ± 2.1	11.4 ± 2.8 (0.784)	12.2 ± 2.3 (0.493)	P=0.038	12.7 ± 2.6 (0.288)	12.0 ± 2.6 (0.572)	P=0.150
Fractional Area Change (%)	43.6 ± 5.5	45.7 ± 11.7 (0.245)	47.9 ± 7.3 (0.668)	P=0.478	41.0 ± 8.7 (0.358)	44.7 ± 4.8 (0.214)	P=0.299
Transtricuspid E (ms <sup>-1</sup> )	0.60 ± 0.08	0.53 ± 0.06 (0.881)	0.57 ± 0.06 (0.367)	P=0.271	0.52 ± 0.03 (1.219)	0.52 ± 0.18 (0.553)	P=0.469
Transtricuspid A (ms <sup>-1</sup> )	0.35 ± 0.09	0.33 ± 0.03(0.302)	0.30 ± 0.07 (0.703)	P=0.490	0.33 ± 0.04 (0.341)	0.36 ± 0.18 (0.035)	P=0.696
Transtricuspid E/A Ratio	1.78 ± 0.47	1.61 ± 0.23 (0.477)	2.03 ± 0.54 (0.492)	P=0.542	1.61 ± 0.20 (0.510)	1.67 ± 0.63 (0.208)	P=0.719
RV Longitudinal Strain (%)	23.2 ± 1.8	23.9 ± 2.9 (0.318)	23.0 ± 3.2 (0.050)	P=0.510	23.3 ± 2.5 (0.069)	24.3 ± 2.2 (0.559)	P=0.303
RV Longitudinal Strain Rate (% <sup>-1</sup> )	1.16 ± 0.22	1.31 ± 0.21 (0.716)	1.19 ± 0.12 (0.177)	P=0.161	1.21 ± 0.2 (0.248)	1.25 ± 0.19 (0.468)	P=0.363

E, early filling; A, late filling; LV, left ventricular. Data are reported as mean  $\pm$  SD (Cohen's *d*). \*= P<0.05, \*\*=P<0.01 and \*\*\*=P<0.001 all compared to sea level.

**Figure 1- An overview of the experimental design for both the sea level and high altitude protocols.**

**Figure 2- Left ventricular filling and ejection during rest at sea level (SL) and at high altitude (HA) following plasma volume expansion (HA-PVX), with sildenafil administration (HA-SIL) and combined plasma volume expansion and sildenafil administration (HA-PVX-SIL).** Statistical significance reported on the figures are from the two separate one-way analysis of variance tests run to compare SL with HA and HA-PVX and SL with HA-SIL and HA-PVX-SIL. P values in the smaller font refer to the post hoc tests where each condition was compared to sea level, and effect sizes (Cohen's *d*) and 5-95% confidence intervals (CI) are also reported.

**Figure 3- Left ventricular filling and ejection during 50% peak power supine cycling sea level (SL) and at high altitude (HA) following plasma volume expansion (HA-PVX), with sildenafil administration (HA-SIL) and combined plasma volume expansion and sildenafil administration (HA-PVX-SIL).** Statistical significance reported on the figures are from the two separate two-way analysis of variance tests run to compare SL with HA and HA-PVX and SL with HA-SIL and HA-PVX-SIL. P values are reported for Condition and Exercise (e.g. 0 to 50% power), and effect sizes (Cohen's *d*) are also reported.

**Figure 4- Maximal oxygen consumption ( $VO_{2\text{ peak}}$ ) and peak power achieve during the incremental tests to exhaustion at sea level (SL) and at high altitude (HA) following plasma volume expansion (HA-PVX) and combined plasma volume expansion and sildenafil administration (HA-PVX-SIL).** Statistical significance reported on the figures are from a one-way analysis of variance tests run to compare SL with HA, HA-PVX and HA-PVX-SIL, with effect sizes (Cohen's *d*) and 5-95% confidence intervals (CI) are also reported.

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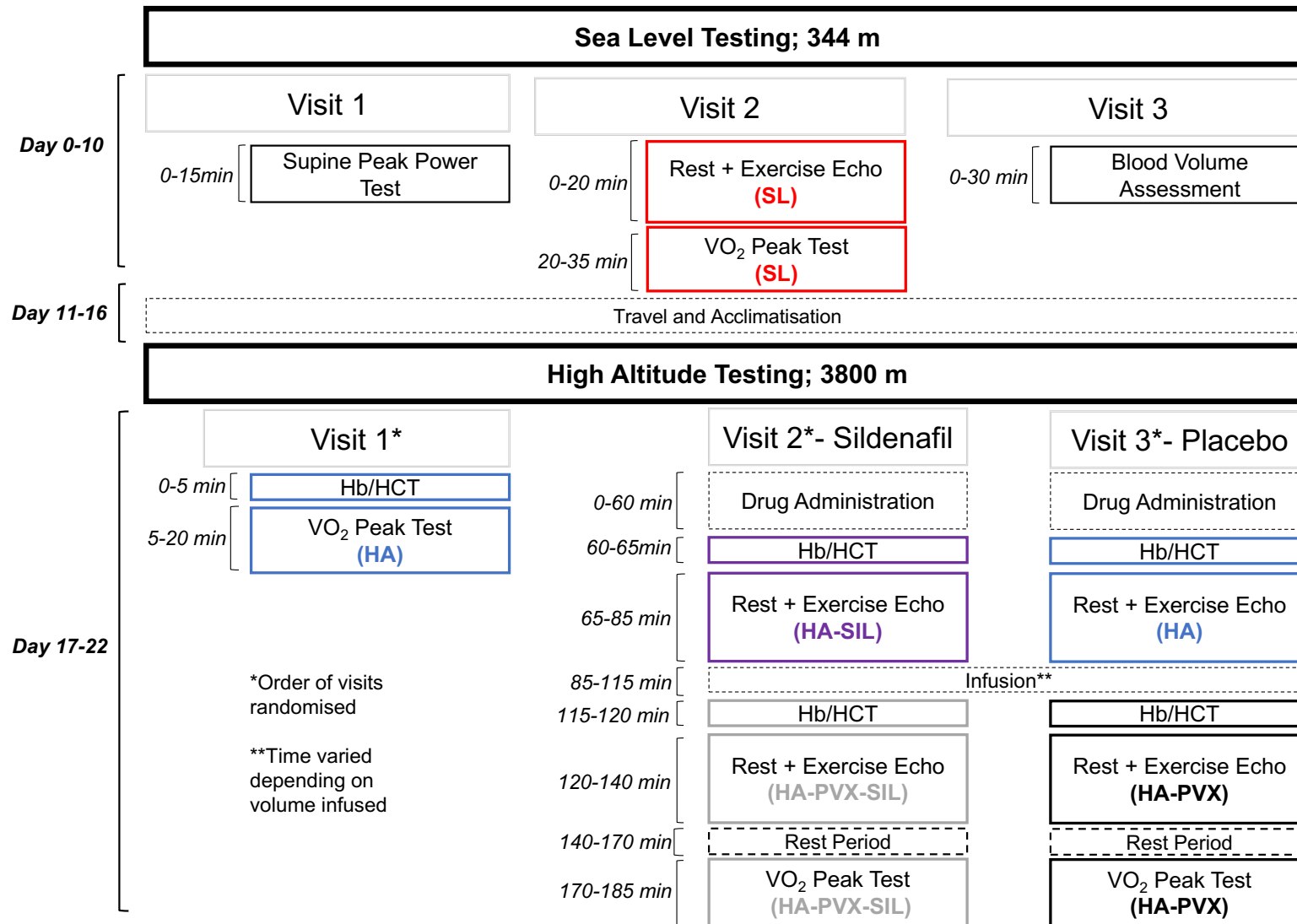
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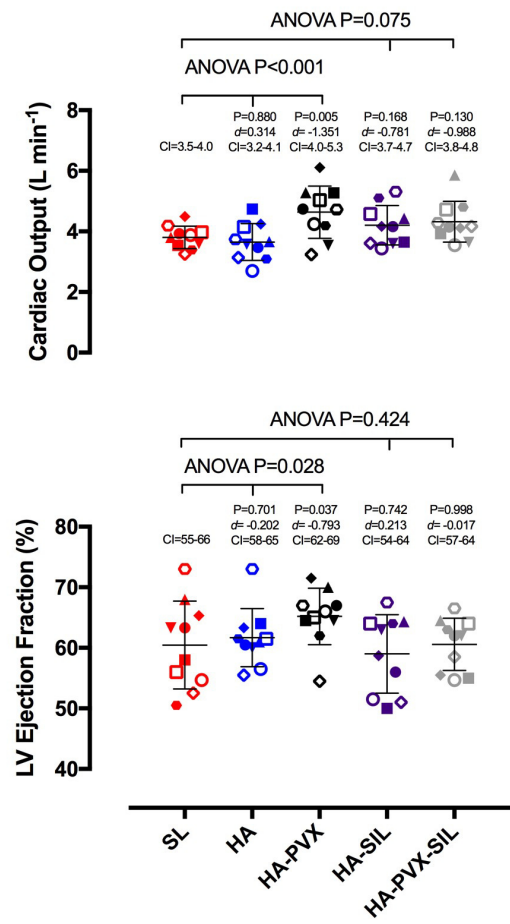
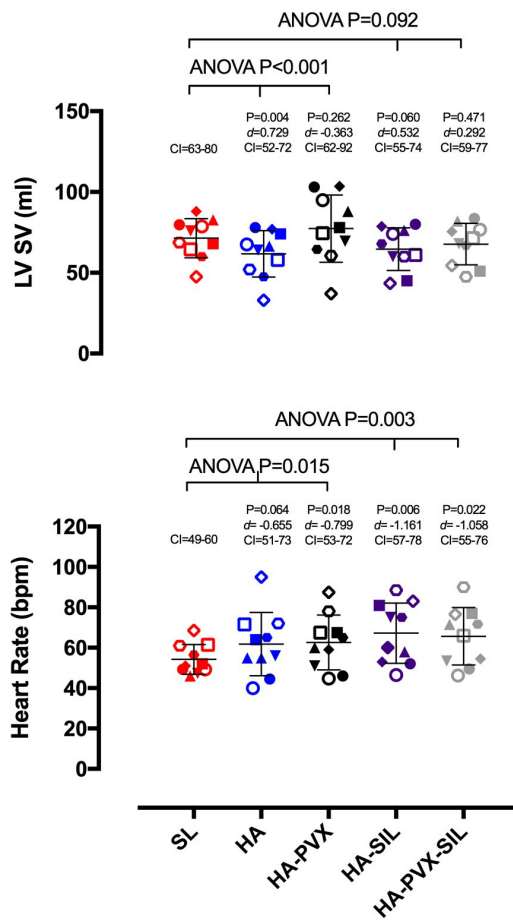
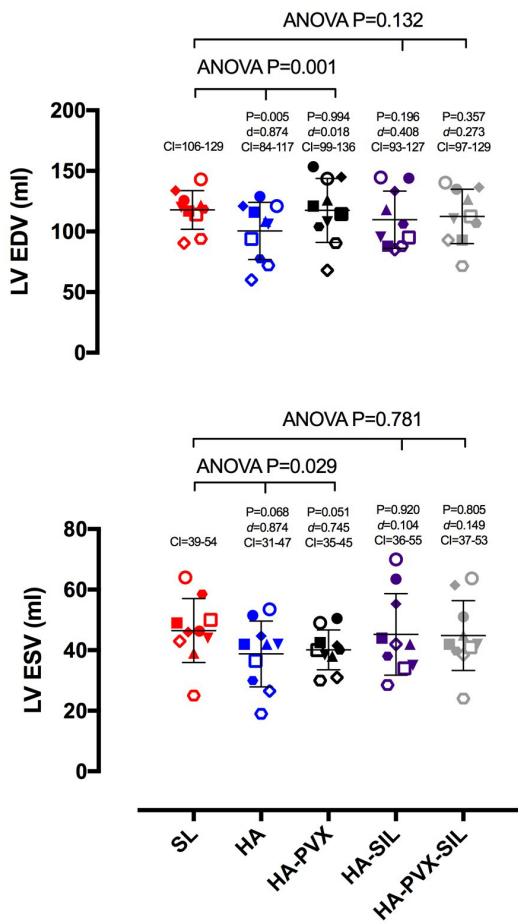
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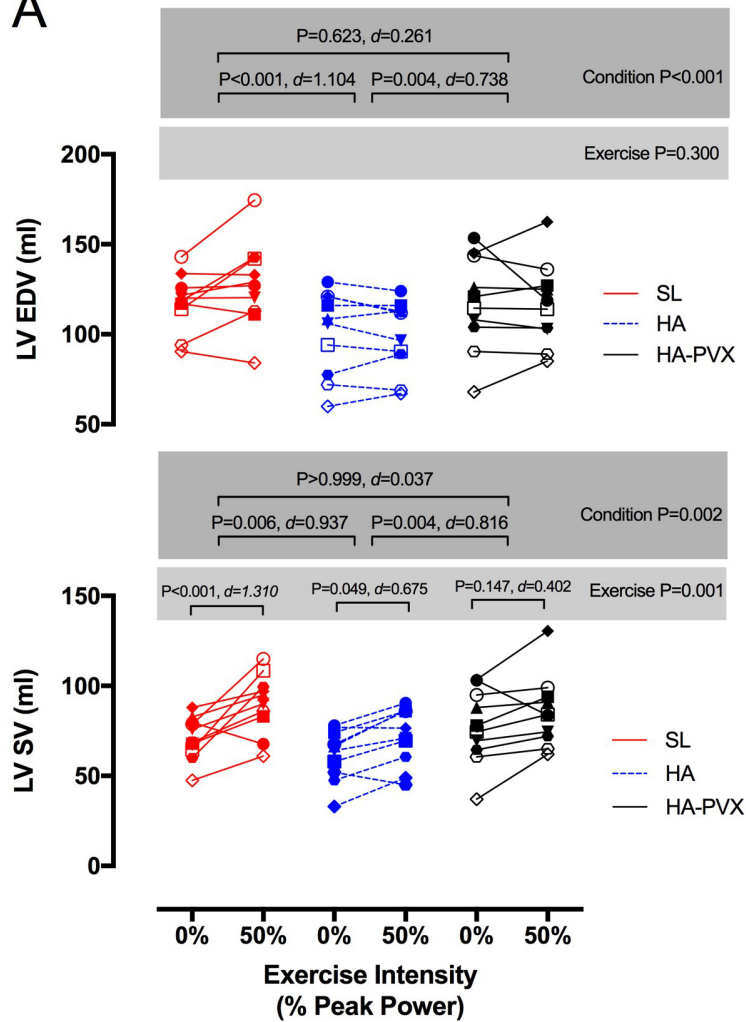
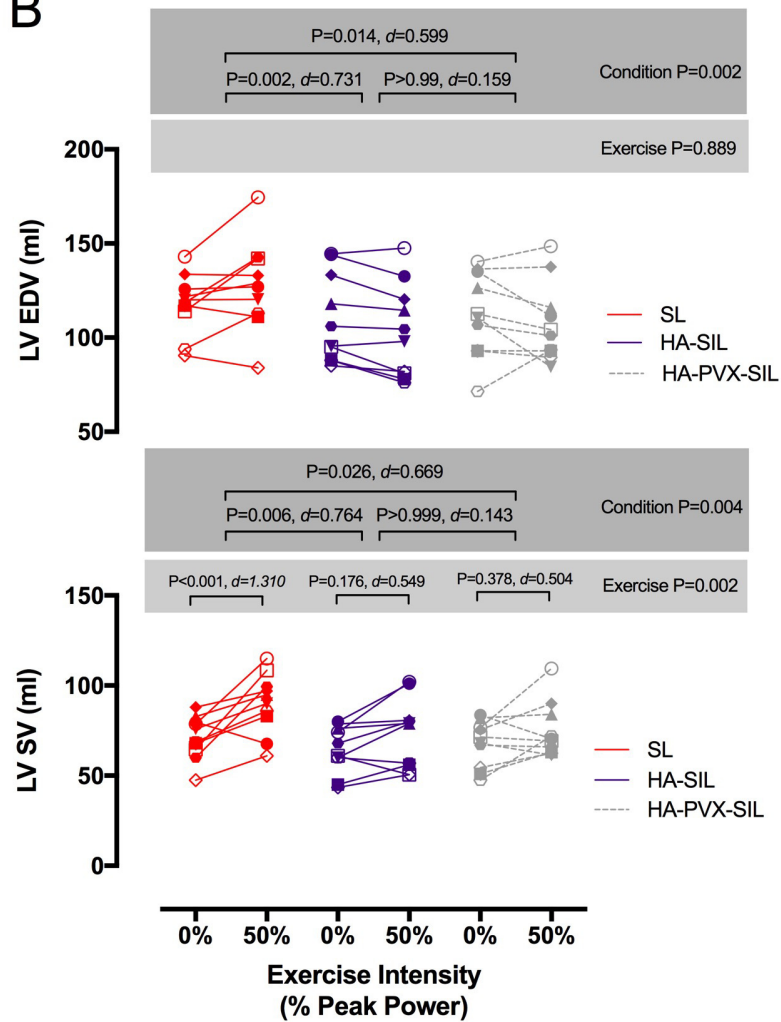
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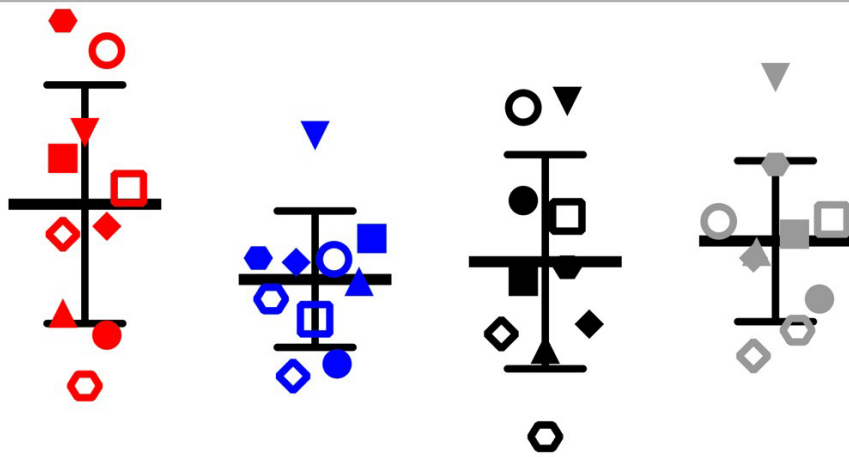




**A****B**

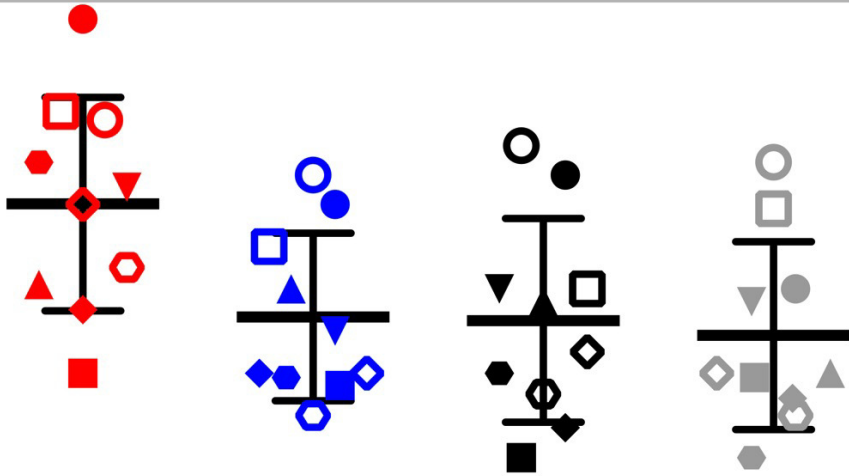
VO<sub>2</sub> Peak (ml kg min<sup>-1</sup>)

CI=37-48	P=0.117 d=0.800 CI=34-41	P=0.229 d=0.492 CI=34-43	P=0.453 d=0.368 CI=36-43	<b>Condition</b> <b>P=0.09</b>
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Peak Power (W)

CI=262-298	P=0.002 d=1.188 CI=239-268	P<0.001 d=1.116 CI=235-270	P=0.004 d=1.313 CI=233-265	<b>Condition</b> <b>P&lt;0.001</b>
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SL      HA      HA-PVX      HA-PVX-SIL