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MODIFIED STANDARD PENETRATION TEST–BASED DRILLED SHAFT DESIGN METHOD FOR WEAK ROCKS

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EXECUTIVE SUMMARY

Results are presented for the research project titled "Modified Standard Penetration Test–based Drilled Shaft Design Method for Weak Rocks (Phase 2 study)." In this phase of the project, the research team focused on the load-transfer mechanism of axially loaded drilled shafts socketed into weak, finegrained rocks (e.g., weak shales). We also enhanced and verified the method of characterization of weak shales and the design procedure developed during Phase 1 of this study (Stark et al. 2013). The new design procedure will improve safety and reduce the Illinois Department of Transportation's (IDOT's) deep-foundation costs for future bridge structures.

The main objectives of this study were to: (1) improve the Modified Standard Penetration Test (MSPT) method developed during Phase 1 of this study; (2) improve the reliability of the empirical correlation between the unconfined compressive strength and MSPT penetration rate; (3) drill and test at 16 additional IDOT bridge sites and by including the influence of SPT hammer energy on the measured MSPT penetration rate; (4) conduct two full-scale, drilled shaft load tests to investigate the loadtransfer mechanism in weak, fine-grained rocks and to evaluate the proposed predictive methods; (5) improve and verify Phase 1 drilled shaft side- and tip resistance predictive methods by including more drilled shaft load tests; (6) develop appropriate reliability-based resistance factors for drilled shaft design using the load and resistance factors design (LRFD) framework; (7) develop and calibrate a numerical model using the load test results to study the load-transfer mechanism of weak, finegrained, socketed drilled shafts; and (8) conduct a parametric study to investigate the main factors controlling drilled shaft design. The major findings from this project are summarized below.

FIELD EXPLORATION AND LABORATORY TESTING

Field exploration was conducted at 16 additional IDOT bridge sites where weak shales are present. The main objective of this exploration was to augment and refine the relationship proposed in Phase 1 of this study regarding MSPT penetration rate (N_{Rate}) versus unconfined compressive strength (UCS) of weak shales and to investigate the strength and compressibility properties of weak shale in Illinois. The following is a summary of the major findings of this research phase:

- Undrained Young's modulus can be correlated with the in situ water content and the unconfined compressive strength of weak shales. These correlations can be used for estimating the modulus of shales for preliminary settlement analysis of bridge piers when site-specific data are not available or to evaluate site-specific data and laboratory testing.
- SPT hammer energy measurement for all IDOT drill rigs used in the MSPT penetration rate measurement imparted an average of 90% of the theoretical maximum hammer energy. A normalized penetration rate, $(N_{Rate})₉₀$, was developed herein to improve the reliability of the proposed correlation between unconfined compressive strength and MSPT penetration rates so that future MSPTs could be corrected to an energy rate of 90%.
- An energy-based correlation between unconfined compressive strength (UCS) and normalized MSPT penetration rate (N_{Rate}) was developed and verified using field load tests for Illinois weak shales or rocks. This correlation can be used with the MSPT penetration rate for drilled shaft

design, especially when obtaining high-quality shale samples for triaxial compression testing is difficult or impossible. The use of MSPT penetration rates for drilled shaft design should reduce the design time and costs by reducing or eliminating shale coring and laboratory triaxial compression testing by IDOT.

IMPROVEMENTS OF THE ILLINOIS-SPECIFIC DESIGN PROCEDURE

Additional drilled shaft load test data was developed herein and located in the literature and incorporated in the Phase 1 database to refine the proposed side- and tip resistance design methods. This updated load test database was used for more detailed statistical analysis and development of reliability-based resistance factors for the design method of drilled shafts in weak, clay-based rock. This larger database allowed identification of outlying data points in the original database and a refinement of the resistance factors, increasing the efficiency of the design correlations and reducing uncertainty in the design procedure.

Unit Side Resistance

Findings related to drilled shaft unit side resistance include the following:

- This study recommends a linear function to predict unit side resistance in weak shales—instead of the power functions commonly used to correlate rock undrained compressive strength to measured unit side resistance in a drilled shaft load test.
- Side resistance does not change significantly with changes in shaft diameter.
- After the ultimate unit side resistance is mobilized, additional drilled shaft displacement along the drilled shaft/weak rock interface does not decrease unit side resistance significantly.

Unit Tip Resistance

Findings related to drilled shaft unit tip resistance include the following:

- Available predictive methods (with the exception of the methods of Abu-Hejleh et al. [2003] and Abu-Hejleh and Attwooll [2005], and the *Canadian Foundation Engineering Manual,* [Canadian Geotechnical Society 2006]) correlate only the measured tip resistance in load tests to the unconfined compressive strength of weak rock.
- Analysis of load test data herein indicates that mobilized tip resistance is governed by the undrained compressive strength of weak rock, by drilled shaft movement at the tip elevation, and by depth of embedment of the drilled shaft in the weak shale or rock. Therefore, predictive methods for tip resistance should account for all of these factors, not just unconfined compressive strength.
- The load test database developed herein was used to develop a design method that can account for all of these tip resistance factors. The new method uses tip settlement, embedment depth, and strength criteria to predict unit tip resistance.

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CHAPTER 1: INTRODUCTION

1.1 PROBLEM STATEMENT

Use of drilled shafts as foundations for Illinois bridge structures is increasing. For example, over a 5 year period (i.e., 2007−2012), the Illinois Department of Transportation's (IDOT's) annual budget for driven-pile foundation systems was approximately constant at \$12 million per year, while drilled shafts increased significantly. For the same 5-year period, use of drilled shafts increased from less than \$0.5 million per year to almost \$6.5 million per year because of a lower unit cost; flexibility during construction; widely available material, equipment, and contractors to construct the shafts; increased steel costs; and some additional scour resistance.

Drilled shafts are traditionally designed using predictive methods that are developed based on results of field load tests in similar soils or rocks. There is uncertainty in these methods due to assumptions involved in their development. Major projects can support axial field load tests and reduce the uncertainty associated with these predictive measures. The results of these load tests can be beneficial for satisfying design requirements for both bearing capacity and settlement. However, drilled shaft field load tests may or may not be justifiable for smaller projects, including bridge pier construction or replacement, because the cost of a load test can be a significant percentage of the total cost of the project. As a result, drilled shafts are traditionally designed using empirical predictive methods that were developed based on load tests in similar soils or rocks. These methods often have a degree of uncertainty due to their empirical nature and different subsurface conditions. Resistance factors developed for a given target reliability are used to compensate for these uncertainties.

Other state departments of transportation (DOTs) (e.g., Colorado and Missouri) have addressed this knowledge gap by conducting a number of field load tests on drilled shafts in weak, clay-based rocks (e.g., shale, mudstone, and claystone) and developed state-specific predictive methods for such foundations. These state-specific correlations have resulted in more refined and reliable drilled shaft designs and considerable cost savings for the corresponding state DOTs. Currently, IDOT uses correlations developed in other states or design methods developed for stronger rocks, which could result in conservative designs, as shown herein.

Considerable research has been devoted to improvement of drilled shaft design in various types of soils and rocks but not in weak, fine-grained rocks such as shale. During this study, *weak, finegrained rock* is defined as a cohesive intermediate geomaterial (IGM) with unconfined compressive strengths between 10 and 100 ksf. Phase 1 of this study (i.e., Stark et al. 2013) developed an empirical design method and resistance factors for prediction of side and tip resistance of drilled shafts in weak rock, based on unconfined compressive strength (UCS). A preliminary modified standard penetration test method (MSPT) was also developed to predict the UCS of weak rock for the empirical design method via the measured penetration rate (N_{rate}) , using only five IDOT bridge sites. The MSPT provides a convenient means for obtaining the UCS required for drilled shaft tip resistance design by eliminating or reducing the need for rock coring and laboratory undrained triaxial compression testing by correlating MSPT penetration rate directly to UCS of weak rock, e.g.,

 Illinois shales. The standard penetration test (SPT) had to be modified because 18 in. (0.45-m) penetration of the split-spoon sampler cannot be obtained in weak rock or shales.

This Phase 2 study was undertaken to refine, augment, and verify the methods for characterization of weak rock and predictive methods for side and tip resistance developed in the 18 months of the Phase 1 project. MSPTs were conducted at 16 additional locations in weak rock in Illinois. Rock cores were obtained at these sites, and undrained triaxial compression and unconfined compression tests were performed on the weak rock core samples to augment and refine the correlation between MSPT N_{rate} and the UCS of weak rock proposed in Phase 1. The laboratory values of UCS for weak rock were calibrated using the mobilized shear strength of weak rock estimated from an inverse analysis of the two drilled shaft load tests conducted herein to assess the effects of sample disturbance, mode of shear, progressive failure, time to failure, and presence of joints and fissures in the laboratory specimens. The resulting mobilized UCSs were correlated to MSPT penetration rate to develop a predictive method for estimating the in situ undrained strength parameters for drilled shaft design in Illinois weak rocks. One of the full-scale load tests was performed by IDOT at the IL 89 bridge over the Illinois River, and the other full-scale load test was performed by this research team at the IL 133 bridge over the Embarras River to refine and verify the proposed predictive methods for side and tip resistance of drilled shafts in weak rock and to study the load-transfer mechanisms in drilled shafts in Illinois weak rock.

Additional drilled shaft load test data were located in the literature and incorporated in the limited Phase 1 database to refine the proposed side- and tip resistance design methods. This updated load test database was used for more detailed statistical analysis and development of reliability-based resistance factors for the design method for drilled shafts in weak, clay-based rock. This larger database allowed identification of outlier data points in the original load test database, increasing the efficiency of the design correlations and reducing uncertainty in the design procedure; and it was used to justify the larger resistance factors for side and tip resistance developed herein.

1.2 SCOPE OF THIS RESEARCH

The following paragraphs provide a brief description of the main tasks and outcomes of this research project.

• The modified standard penetration test method was improved to reduce the need for shale coring and laboratory triaxial compression testing for IDOT drilled shaft design. MSPTs were performed; and rock cores were obtained at 16 additional IDOT bridge sites where weak shales were present, to augment the empirical correlation between the MSPT penetration rate and the unconfined compressive strength of weak shales outlined in Phase 1. Furthermore, SPT hammer energies for all drill rigs used in this study (Phases 1 and 2) were measured and/or obtained to improve reliability of the NRate v. UCS correlation. This correlation will allow IDOT engineers to utilize MSPT penetration rate for future drilled shaft design and verification of

laboratory undrained shear strength values. This approach is recommended where shale is weathered, so blow counts can be measured; and obtaining shale cores is either difficult or involves sample disturbance levels that are not acceptable.

- Phase 1 predictive methods for drilled shaft side and tip resistance were modified based on the additional drilled shaft load tests collected during this phase of the study. This method allows the design engineer to account for mobilization of both tip and side resistance in the drilled shaft design instead of using only one of these resistances because of strain incompatibility between side and tip resistances. The proposed method accounts for this strain incompatibility between the tip and side resistances. The new design criteria ensure settlement or serviceability limits will be met even though axial movement of the drilled shaft occurs, mobilizing both tip and side resistance.
- The first order second moment (FOSM) method, as defined in NCHRP-507 (Paikowsky et al. 2004) with the modification proposed by Bloomquist et al. (2007), is used herein to calculate the resistance factor for the design method developed in this study. The resistance factor allows geotechnical engineers to adopt a load and resistance factor design procedure to be consistent with the structural design of bridge superstructures (Brown et al. 2010).
- A numerical model was developed in Phase 2 to investigate the factors influencing the axial capacity of drilled shafts socketed into weak, fine-grained rocks. Some of the factors investigated with this calibrated numerical model are drilled shaft socket roughness, relative stiffness between the drilled shaft and weak rock, mechanical properties of the weak rock, socket length, and socket diameter.
- Two Osterberg load-cell (O-cell) field load tests were conducted during this phase of the study on drilled shafts socketed into weak clay shales in Illinois. These two load tests were conducted at the IL 89 over the Illinois River and IL 133 over the Embarras River bridge sites. Results of these field load tests were used to understand the loadtransfer mechanism of axially loaded drilled shafts and evaluate and update the side- and tip resistance design equations proposed in Phase 1.

CHAPTER 2: FIELD EXPLORATION AND TESTING

2.1 INTRODUCTION

In Phase 1 of this study (i.e.ICT-R27-99), the modified procedure for conducting and interpreting the standard penetration test (SPT) was proposed to improve its performance in weak, fine-grained rock (e.g., shales). An empirical correlation was also proposed that relates the split-spoon sampler penetration rate (N_{Rate}) to the laboratory-measured UCS, using only five IDOT bridge sites.

In Phase 2, MSPTs were conducted at 16 additional IDOT bridge sites where weak shales are present. Rock cores were obtained, and undrained triaxial compression tests and unconfined compressive tests were performed on shale cores to refine estimation of N_{Rate} and augment the proposed correlation between N_{Rate} and UCS of weak shales. Furthermore, SPT hammer energies were measured and/or obtained for all of the drill rigs used in this study (Phases 1 and 2) and an energy-based correlation between the N_{Rate} and UCS for weak shales that exhibits UCS between 10 and 100 ksf was developed. This Chapter summarizes the major finding of the field exploration and laboratory testing efforts conducted during this phase of the research.

2.2 SUBSURFACE INVESTIGATION

Two borings were drilled at each IDOT bridge site. The first boring was used to obtain shale core samples for determination of the UCS and undrained Young's modulus for the weak shales. Shale cores were retrieved using a 5-ft-long NQ2 or NWD4 size (2-in. internal diameter) core bit with a split double tube, swivel type core barrel to decrease sample disturbance during core removal. This type of core barrel is preferred and/or required because it minimizes exposure of the cored shale to the drilling fluid; and it allows easy examination and extraction of the shale cores, which improves the quality and integrity of the shale for laboratory strength testing. Shale cores were first examined in the field to calculate the rock quality designation (RQD) (Deere and Deere 1988) of the core, total core recovery (TCR) of the rock mass, and vertical spacing of joints and fractures. The shale cores were placed in a piece of half-circle, white PVC plastic pipe after extrusion from the double-core barrel, to support the cores and minimize mechanical breakage during handling and transportation of the cores. A piece of thick, nonwoven geotextile was placed on the PVC pipe to provide some cushioning to the bottom of the core. After placing the cores on the PVC pipe, the cores and plastic trays were wrapped with several layers of plastic wrap and duct tape to maintain the field-moisture content and condition. The sealed cores were transported to the University of Illinois at Urbana–Champaign (UIUC) at the end of drilling that day and tested within 24 hours of arrival to measure the UCS at or near the field-moisture content and condition.

A second boring was drilled, usually 10 to 15 ft from the first boring at each site, to obtain MSPT penetration rates at various depths. These MSPTs were performed in accordance with the procedure outlined in Appendix Q. Measurement of the MSPT penetration rate was performed using automatic hammers, to be consistent with Phase 1. Split-spoon samplers without liners were used to eliminate overestimation of the measured penetration rate, which could be as large as 30% due to the additional friction. Table 2.1 summarizes the weak rock formations, shale type, and a

brief description of the shales that were encountered at each of the 21 IDOT bridge sites investigated in Phases 1 and 2 of this study. [Figure 2.1](#page-15-0) shows a state of Illinois map that illustrates the areas of weak shales and the location of the 21 shale sites drilled during both phases of this project. Each color code presents the percentage of weak shales in the sedimentary rock formation shown on the map. The shale map is based on the distribution and extent of geologic units within the state of Illinois (Willman et al, 1967; and ISGS 1996). In situ and laboratory results for the 16 IDOT bridge sites are presented in Appendices A through P.

Figure 2.1 State of Illinois map showing areas of weak shales and the location of the 21 shale sites drilled during this project.

2.3 LABORATORY TESTING

2.3.1 Unconfined compression tests

Unconfined compression tests were performed on the obtained shale cores in accordance with ASTM D7012–14 (method D). An axial strain rate of 1% per minute was used in all of the unconfined compression tests to create an undrained shear condition and equal distribution of excess pore-water pressure. The peak deviator stress from each triaxial compression test was used to calculate the unconfined compressive strength for each test. A height-to-diameter ratio of 2 to 1 was used for the rock cores to minimize end effects. Because the shale cores were fractured and weathered, techniques to minimize or eliminate sample trimming were developed, as sample trimming usually results in specimen breakage along existing joints or fractures. To eliminate sample trimming, new base and top platens for the triaxial compression apparatus were fabricated so they matched the exact diameter of the shale cores obtained from the various core barrels. Therefore, only the ends of the triaxial specimens had to be trimmed or mitered to create a triaxial compression specimen. This end-trimming usually coincided with the direction of the joints or fissures, reducing additional disturbance. This mitering of the specimen ends was initially accomplished using a circular table saw, and then a 6-in. (15.2 cm)- long surgical razor blade.

2.3.2 Young's Modulus and In situ Water Content

The unconfined and confined triaxial compression test results (i.e., stress–axial strain relationship) were used to calculate the undrained Young's modulus (E_u) in accordance with ASTM D7012 (method D). In short, the modulus was calculated from the slope of the stress–strain relationship that corresponds to 50% of mobilized undrained compressive strength. In situ water content of the weak shale specimens was measured in accordance with ASTM D2216-10, using trimmings from the mitering. These data were used to develop the undrained Young's modulus versus in situ water content relationship proposed in Phase 1 of this study[. Figure 2.2](#page-20-0) shows results of unconfined and confined triaxial compression tests on Illinois shale specimens tested in both phases of this study. Figure 2.2 shows the Young's modulus increases rapidly with deceasing in situ water content, which is in agreement with the proposed Phase 1 relationship. The proposed relationship can be used to estimate the undrained Young's moduli of weak shales when site-specific triaxial compression test results are not available. This relationship can be used for preliminary settlement analyses of bridge piers founded on weak shales.

Young's modulus and the undrained compressive strength of shales are sensitive to moisture content, as shown in [Figure 2.2.](#page-20-0) Therefore, it is important to preserve the shale cores at the in situ moisture content and test the cores as soon as possible for a reliable measurement of unconfined compressive strength, for correlations with the MSPT penetration rate.

2.3.3 Young's Modulus and Unconfined Compressive Strength

The results of the unconfined and confined compression tests were used to update the relationship between the undrained Young's modulus and the unconfined compressive strength of weak shales proposed in Phase 1 of this study.

[Figure 2.3.](#page-21-0) Undrained strength and modulus of weak shales are strongly related, which agrees with the Phase 1 observations and previous studies on shales (e.g., Mesri and Gibala 1972).

[Figure 2.3.](#page-21-0) Undrained Young's modulus increases rapidly as the unconfined compressive strength increases.

[Figure 2.3.](#page-21-0) Preliminary elastic-settlement analysis of bridge piers resting on weak shales.

Figure 2.2. Relationship between in situ water content and undrained Young's modulus for shales in Illinois.

Figure 2.3 Relationship between unconfined compressive strength and undrained Young's modulus for shales in Illinois.

2.4 MODIFIED STANDARD PENETRATION TEST (MSPT)

The standard penetration test (SPT) has been used to estimate strength parameters for soils and weak rock when it is difficult to obtain high-quality/undisturbed samples for laboratory testing (Peck et al., 1974). SPTs require 18-in.-penetration of the split-spoon sampler, which can be difficult to impossible to obtain in weak rocks or shales. In Phase 1 of this study, the procedure for conducting and interpreting the standard penetration test was modified to provide results in penetration per 10 blows increments where the penetration is less than 18 in. in weak shales. This new procedure is termed the modified standard penetration test (MSPT) and utilizes the concept of the split-spoon sampler penetration rate (N_{Rate}), not the sum of the penetration blow counts, to estimate the undrained strength parameters of weak shales. The penetration rate is the inverse of the linear slope of the penetration depth versus cumulative blow count relationship. This proposed test and recommended test procedure are discussed in detail in Appendix Q.

During this phase of the study, 16 IDOT bridge sites where weak shales are present were investigated. Modified standard penetration tests were conducted, and penetration rates were determined at various depths in weak shales in accordance with the MSPT procedure and recommendations developed herein and outlined in Appendix Q. MSPT results from the 16 sites investigated herein are presented in Appendices A through P. The results of the MSPT penetration rates (NRate), together with the laboratory-measured unconfined compressive strength for weak shales tested during both phases of the study were used to develop a useable empirical correlation between N_{Rate} and UCS (see Section 2.5.1).

2.5 SPT HAMMER ENERGY MEASUREMENTS

The SPT hammer energy used to measure penetration rate can vary from 40 to 100% of the maximum theoretical energy of a 140-lb weight falling 30 in. The wide variation in the transferred energy can cause inconsistent measurements of the MSPT penetration rate, which can undermine the targeted correlation. This inconsistency can lead to inaccurate values of UCS. Therefore, an energy correction must be developed and applied to the MSPT penetration rate to improve the reliability of the correlation, as is done for blow counts in soils where they are corrected to 60% of the maximum theoretical energy. In general, a higher energy results in a lower MSPT penetration rate, a lower UCS, and thus a more conservative drilled shaft design. Thus, it was important that the energy used to measure penetration rate be measured and/or obtained for each drill rig used in this study, to develop this energy-based correlation between UCS and penetration rate so designers can enter the correlation with a similar magnitude of MSPT energy to obtain an accurate estimate of UCS.

The research team measured the SPT hammer energy for all IDOT drill rigs used in this study. The tests were performed using an instrumented AW-J rod and a dynamic pile analyzer. Dynamic measurements were obtained using pairs of strain transducers and accelerometers mounted about 1 ft from the top of the drill rod. Measurements from the gauges were

processed using the pile-driving analyzer (PDA), manufactured by Pile Dynamics, Inc. Table 2.2 summarizes the SPT hammer energy efficiencies for all of the operational IDOT drill rigs, together with the reported energies of the private drilling companies' drill rigs used in this study. Detailed SPT hammer energy measurements and results for all of the IDOT drill rigs are presented in Appendix S.

IDOT District/Drilling Company	Drill Rig	Hammer Energy Efficiency (%)	
District 3	CME-75	93.2	
	CME-45c	85.8	
District 5	CME-75	91.3	
District 6	CME-75	96.4	
	$CME-550x$	80.4	
District 7	CME-55	97.5	
Wang Engineering	Mobile B-57	100	
	D-50 TMR	78	
Bulldog Drilling	CME-550x	94	
Geocon	$D-120$	77	
TSi Engineering	$CME-550x$	92	

Table 2.2 Summary of the SPT Hammer Energies for all Drill Rigs Used in this Study

The results from this study indicate that 75 to 100% of the theoretical maximum hammer energy was delivered to the drill rod by the automatic hammers used herein. Because automatic hammers are now being widely used, an energy ratio of 90% shall be used to correct N_{Rate} for all of the drill rigs used during this study. In short, all of the drill rigs used during this study utilized an automatic trip hammer that imparted an average of 90% of the theoretical maximum hammer energy. Thus, MSPT N_{Rate} values obtained using an automatic trip hammer, which is the hammer most commonly used by IDOT, do not require significant corrections, in comparison to the previously suggested energy correction factor for soils, i.e., 60% of the theoretical maximum hammer energy, which is primarily based on a ropeand-pulley system. A normalized penetration rate, $(N_{Rate})₉₀$, was developed herein and is defined as follows for hammers that deliver 90% of theoretical maximum energy:

$$
(N_{\text{rate}})_{90} = \frac{N_{\text{rate}} \times E_M \times C_B \times C_S \times C_R}{90}
$$

where:

 $(N_{Rate})₉₀$ = Nrate corrected for 90% of the theoretical energy and various field procedures

 E_M = hammer efficiency, %

 C_B = borehole diameter correction

 C_S = sampler correction

 C_R = rod length correction, and

 N_{Rate} = measured penetration rate, bpf

Table Q.1 in appendix Q shows the recommended borehole diameter, rod length, and sampler correction factors from Skempton (1986). If the hammer does not yield 90% of the theoretical maximum hammer energy, the measured hammer energy should be inserted for E_M in the equation above to normalize the measured N_{Rate} to 90% of the theoretical maximum hammer energy. The sampler correction assumes that liners will be installed in the split-spoon sampler to be consistent with Skempton (1986) even though the practice now is to not use liners.

2.5.1 Proposed Correlation

The MSPT provides a convenient means for estimating the in situ strength properties of weak, fine-grained rocks, e.g., weak shales. [Figure 2.4](#page-27-1) presented the refined and calibrated correlation of MSPT penetration rate, corrected for 90% of the theoretical energy and various field procedures (N_{Rate})₉₀, and UCS of the weak shales tested herein. [Figure 2.4](#page-27-1) shows a linear relationship between $(N_{Rate})₉₀$ and the UCS of weak shales that can be used for future drilled

shaft design. This correlation for estimating the UCS of weak rocks reduces or eliminates the need for rock coring and subsequent laboratory testing that may be expensive, timeconsuming, and problematic because of the fractured nature of weak rocks or shales.

[Figure 2.4](#page-27-1) shows the current line of best fit of the MSPT penetration rate and UCS data for the of Illinois weak shales tested herein. The following equation is recommended to estimate the UCS of weak shales, using the normalized MSPT penetration rate:

UCS (ksf) = 0.092 *
$$
(N_{rate})_{90}
$$
 (2.2)

where

UCS = Unconfined compressive strength, ksf

 $(N_{Rate})₉₀$ = MSPT penetration rate corrected for 90% of the theoretical energy and various field procedures, bpf. (see appendix Q)

Figure 2.4 also presents upper and lower bounds of the empirical correlation, which can be used to investigate the range of UCS and thus drilled shaft design. For less critical structures, it may be possible to use the upper bound; while for vital structures, the lower bound may be relevant. This correlation should only be used to estimate the UCS values for geomaterials that have a UCS of 10 to 100 ksf. For fine-grained soils with UCS values lower than 10 ksf, previously published correlations (e.g. Stroud 1974) should be used. Differences in the compressive strength of the geomaterials and the procedures used to measure the blow count or penetration rate (N_{spt} and Nrate) are the reasons for the significant difference between previous correlations (e.g., Stroud 1974) and the correlation presented herein to estimate the UCS.

Figure 2.4. Relationship between UCS and (NRate)90 from MSPTs at 21 IDOT bridge sites.

2.6 SUMMARY

Field exploration was conducted at 16 additional IDOT bridge sites where weak shales are present. The main objective of this exploration was to develop and validate the MSPT penetration rate versus the unconfined compressive strength of weak shales relationship proposed in Phase 1 of this study and to investigate the strength and compressibility properties of weak shale in Illinois. The following is a summary of the major findings:

> • Undrained Young's modulus was correlated with the in situ water content and the unconfined compressive strength of weak shales. These correlations can be used for estimating the modulus of shales for preliminary settlement analysis of bridge piers when site-specific data are not available or to evaluate site-specific data and laboratory testing.

- SPT hammer energy measurements for all operational IDOT drill rigs and the ones used for MSPT penetration rate measurements imparted an average of 90% of the theoretical maximum hammer energy. As a result, a normalized penetration rate, $(N_{Rate})₉₀$, was developed herein to improve the reliability and consistency of the proposed correlation between unconfined compressive strength and MSPT penetration rates.
- An energy-based correlation between unconfined compressive strength and normalized MSPT penetration rate was developed and validated herein for Illinois weak shales. This correlation can be used with MSPT penetration rates for drilled shaft design, especially when obtaining high-quality shale samples for triaxial compression testing is difficult or impossible. The use of MSPT penetration rates for drilled shaft design should reduce the design time and costs by reducing or eliminating shale coring and laboratory triaxial compression testing by IDOT.

CHAPTER 3: DRILLED SHAFT STATIC-LOAD TEST DATABASE

3.1 INTRODUCTION

Predictive methods for the design of drilled shafts in soils and rocks are empirical. Many of these predictive methods were developed based on databases consisting of load tests on drilled shafts in different types of rocks. In Phase 1 of this study, two load test databases for estimating the side and tip resistances of drilled shafts socketed into weak, fine-grained rocks (e.g., shales) was started. These two databases were used to evaluate the applicability of current design methods in estimating the axial capacity of drilled shafts in Illinois shales and to develop Illinois-specific design methods for the axial loaded drilled shafts in weak, fine-grained rocks.

In Phase 2, the side- and tip resistance databases were increased to include 27 additional relevant drilled shaft load tests, with a total of 155 values of side and tip resistance. These augmented databases were used to evaluate and update the Illinois-specific design equations started in Phase 1. This database is also used herein to study the load-transfer mechanism in side and tip resistance of drilled shafts in weak, fine-grained rocks.

3.2 SIDE RESISTANCE DATABASE

The updated unit side resistance database includes 93 values of side resistance from more than 65 drilled shaft load tests. The new load tests added during this phase include the two O-cell load tests conducted during this study in Illinois weak shales (see Chapter 5), three load tests conducted by Iowa DOT, and 22 load tests conducted by MoDOT on drilled shafts in Missouri shales. The updated unit side resistance database is summarized in Table R.1 in Appendix R. This drilled shaft load test database includes the following:

- Data from Osterberg load-cell tests, ring cells, and conventional top-loaded, drilled shaft load tests
- Drilled shafts embedded in weak shales, claystones, and mudstones
- Drilled shaft diameters from 13 to 78 in. (0.33 to 1.98 m)
- Most of the drilled shaft sockets were drilled normally. Only a few of them had artificially roughened socket walls that increase socket side resistance.
- *Side resistance* is defined as the maximum unit side resistance reached before load test termination.
- The ratio of drilled shaft vertical movement to diameter is less than 1.7%.

3.3 TIP RESISTANCE DATABASE

The updated unit tip resistance database includes 62 values of tip resistance from 62 drilled shaft load tests. This database is summarized in Table R.2 in Appendix R. The drilled shaft load test database includes the following:

- Data from Osterberg load-cell tests and conventional top-loaded drilled shaft load tests
- Drilled shafts embedded in weak shales, claystones, and mudstones
- Unconfined compressive strength of weak rocks, at two shaft diameters below the tip, between 10 to 100 ksf
- Drilled shaft diameters ranged from 12 to 96 in. (0.30 to 2.44 m).
- In most cases, the bottom of the drilled shaft was cleaned of loose debris before concreting.
- *Tip resistance* is defined as the maximum unit tip resistance reached before load test termination.
- Drilled shaft vertical movement at the tip elevation was 0.4 to 4.3 in. (10.2 to 109.2 mm) during the load tests.

3.4 SUMMARY

Drilled shaft load test databases for unit side and unit tip resistance started in Phase 1 of this research were augmented and are described in this chapter. These databases include only drilled shaft load tests involving weak, fine-grained rocks, not soils and stronger rocks. Drilled shaft diameters in the database range from 12 to 96 in. (0.30 to 2.44 m) for the tip resistance database and 13 to 78 in. (0.33 to 1.98 m) for the unit side resistance database.

These databases are used to in this research phase to augment and evaluate the Illinois-specific design procedure started in Phase 1. This database is also used to study the load-transfer mechanism in side and tip resistance of drilled shafts in weak, fine-grained rocks.

CHAPTER 4: EVALUATION OF PREDICTIVE METHODS

4.1 INTRODUCTION

Existing predictive methods for the side and tip resistances were reviewed in Phase 1 of this study. These methods are purely empirical and were developed using load test databases of measured side and tip resistances in different types and strengths of rock, so many of the existing correlations are not applicable to weak Illinois shales. Databases of measured side and tip resistances for drilled shafts in weak shales, claystones, and mudstones were started in Phase 1 and updated herein (see Chapter 3) to include 27 more load tests. These databases were used to evaluate the applicability of the predictive methods for drilled shafts in weak Illinois shales. The databases were also used to refine and evaluate the design correlations proposed in Phase 1.

4.2 PREDICTIVE METHODS FOR SIDE RESISTANCE

Effective stress analyses can be used to study load-transfer mechanism(s) in axially loaded drilled shafts socketed into weak shales. However, this type of analysis requires input parameters for effective stress–friction angle, cohesion intercept, and some quantitative measure of dilatancy of weak rocks. Such information is not routinely collected in field or laboratory tests (Carter and Kulhawy 1988). For this reason, available predictive methods mainly use a total-stress analysis for predicting axial capacity. These empirical total-stress methods use three general mathematical functions to correlate unconfined compressive strength of intact rock specimen to measured unit side resistance of drilled shafts: (1) linear functions, (2) power functions, and (3) piecewise functions (combination of different functions).

The database of measured side resistance of drilled shafts in weak rocks developed herein is used below to evaluate existing predictive total-stress side resistance methods.

4.2.1 Linear Functions

Reynolds and Kaderabek (1980) and Gupton and Logan (1984) recommend a linear function between undrained strength and unit side resistance for drilled shafts in rocks. Table 4.1 summarizes these methods and shows the linear design function. Table 4.1 also shows the mean and coefficient of variance (COV) of the predicted (denoted by the letter *p*) to measured (denoted by the letter *m*) unit side

resistance values, using the drilled shaft database developed herein and described in Chapter 3. In other words, the design linear functions in Table 4.1 and a q_u value were used to calculate the unit side resistance for the 87 depths at which side resistance was measured in the 74 load tests in the database. The predicted values of side resistance were then divided by the measured values at the corresponding depth to calculate the ratio of predicted (p) to measured (m) side resistance for the 87 measured values of side resistance at various depths. From these 87 ratios of predicted to measured side resistance, the mean and standard deviation were computed. Once the mean and standard deviation were computed, the coefficient of variance for each predictive method was computed by dividing the standard deviation of the predicted to measured (p to m) values by the

mean of the predicted to measured values (p to m). This mean and COV are the values shown in Table 4.1.

		Mean of Ratios of	COV of Ratios of p
Design Method	Design Equation	p to m	to m
Reynolds and Kaderabek (1980)	f (ksf) = 0.3 *q	1.1	0.37
Gupton and Logan (1984)	f (ksf) = 0.2 * q	0.73	0.37

Table 4.1. Statistics for Linear Functions for Unit Side Resistance

The side resistance method in the *Canadian Foundation Engineering Manual* (Canadian Geotechnical Society 2006) was not evaluated herein because the discontinuity spacing of weak rock for most data available is smaller than the required value of 12 in. Field exploration at 21 IDOT sites further showed that discontinuity spacing for Illinois shale is smaller than 12 in. Therefore, the method in the *Canadian Foundation Engineering Manual* (2006) is not recommended.

4.2.2 Power Functions

Rosenberg and Journeaux (1976), Horvath and Kenney (1979), Williams et al. (1980), Rowe and Armitage (1987), Toh et al. (1989), Kulhawy and Phoon (1993), O'Neil et al. (1996), Miller (2003), Kulhawy et al. (2005), and AASHTO *LRFD Bridge Design Specifications* (2006) use a power function for their predictive methods. Table 4.2 summarizes these methods, with the mean and coefficient of variance (COV) of the predicted to measured unit side resistance values for the drilled shaft database described in Chapter 3. The mean and coefficient of variance for each predictive method was computed as described above under "4.2.1 Linear Functions." The resulting mean and COV values are shown in Table 4.2.

		Mean of Ratios	COV of Ratios of
Design Method	Design Equation	of p to m	p to m
Rosenberg and Journeaux (1976)	$f_s/P_a = 1.09*(q_u/P_a)^{0.52}$	1.25	0.50
Horvath and Kenney (1979)	$f_s = 0.2 * \sqrt{q_u(MPa)}$	0.69	0.51
Williams et al. (1980)	$f_s/P_a = 1.84*(q_u/P_a)^{0.37}$	1.49	0.58
Rowe and Armitage (1987)	$f_{\rm c} = 0.45 * \sqrt{q_{\rm u}(\rm MPa)}$	1.54	0.51
Toh et al. (1989)	$f_{\rm g}$ (KPa) = m $*$ q	0.92	0.65
Kulhawy and Phoon (1993)	$f_s/P_a = 2*(q_a/2*P_a)^{0.5}$	1.55	0.51
O' Neil et al. (1996)	f_{ϵ} (ksf) = $\alpha^* q_{\alpha}$	0.71	0.59
AASHTO LRFD (2006)	$f_s/P_a = \alpha_E * 0.65 * (q_s/P_s)^{0.5}$	0.71	0.58
Miller (2003)	$f_{s} = 0.4 * \sqrt{q_{u} (MPa)}$	1.37	0.51
Kulhawy et al. (2005)	$f_s/P_a = (q_a/P_a)^{0.5}$	1.1	0.51

Table 4.2. Statistics for Power Functions for Unit Side Resistance

4.2.3 Piecewise Functions

Alternatively, Meigh and Wolski (1979), Carter and Kulhawy (1988), and Abu-Hejleh and Attwooll (2005) use a piecewise function instead of a linear or power function for their proposed unit side resistance correlations. Table 4.3 summarizes these methods with the mean and coefficient of variance (COV) of predicted to measured values of unit side resistance for load tests in the drilled shaft database described in Chapter 3. The mean and coefficient of variance for each predictive method was computed as described above under "4.2.1 Linear Functions." The resulting mean and COV values are shown in Table 4.4.

Table 4.3. Statistics for Piecewise Functions for Unit Side Resistance

4.2.4 Discussion of Unit Side Resistance Results

The statistics presented in Tables 4.1, 4.2, and 4.3 for the various predictive methods for unit side resistance suggest that a linear function is better to predict the measured side resistance from load test data. Power functions give inaccurate predictions for the weaker range of IGMs (i.e., power functions commonly overestimate side resistance for values of UCS less than 40 ksf). For example, predictive methods by Miller (2003), Kulhawy et al. (2005), and Rosenberg and Journeaux (1976) show that power functions, in general, overestimate the unit side resistance when the unconfined compressive strength of the rock is less than 40 ksf and underestimate drilled shaft unit side resistance when the UCS is greater than 40 ksf. Therefore, power functions exhibit a poor representation of the observed relationship between side resistance and UCS and are not recommended.

Piecewise functions are more accurate than power functions; however, they occasionally underestimate the unit side resistance. Furthermore, the same level of accuracy can be obtained in design by using a simpler linear function as a predictive method, so a linear function is recommended. In summary, it is recommended that a linear function (e.g., a modified version of one of those shown in Table 4.1) be used to predict unit side resistance for drilled shafts constructed in weak Illinois shales.

4.3 PREDICTIVE METHODS FOR TIP RESISTANCE

Linear functions, power functions, or a combination of both are also commonly used to correlate tip resistance of drilled shafts to UCS for the design of drilled shafts in rocks. Drilled shaft load tests from the database described in [Chapter 2:](#page-14-0) whose tip displacements are $\geq 3\%$ of their tip diameter during the load test were used to evaluate the existing predictive methods. A tip displacement of \geq 3% of the tip diameter is used to ensure all of the tip resistance predictive methods are evaluated consistently and to eliminate the influence of tip displacement on the measured capacity and the design recommendation.

4.3.1 Linear Functions

Teng (1962), Coates (1967), Rowe and Armitage (1987), and Carter and Kulhawy (1988) use linear functions for their proposed predictive methods. Table 4.4 summarizes these methods, the design equation to predict the unit tip resistance, and the mean and coefficient of variance (COV) of the predicted to measured unit tip resistance values for load test results in the drilled shaft database described in [Chapter 2:](#page-14-0) The mean and coefficient of variance for each predictive method was computed as described above under "4.2.1 Linear Functions." The resulting mean and COV values are shown in Table 4.4.

		Mean of Ratios of p to	COV of Ratios of p to
Method	Design Equation	m	m
Teng (1962)	$q_{i} = 3/5$ to $3/8$ [*] q	0.12	0.35
Coates (1967)	$q_{\rm t} = 3 \star q_{\rm L}$	0.60	0.35
Rowe and Armitage (1987)	$q_{\text{t}} = 2.5 \cdot q_{\text{t}}$	0.50	0.35
Carter and Kulhawy (1988)	$\mathbf{q}_{t} = \left(\sqrt{\mathbf{s}} + \sqrt{\mathbf{m}\sqrt{\mathbf{s}} + \mathbf{s}}\right) \star \mathbf{q}_{u}$	0.01	0.40

Table 4.4. Statistics for Linear Functions for Unit Tip Resistance

4.3.2 Power Functions

Zhang and Einstein (1998) use a power function for their predictive method, which is summarized in Table 4.5. The mean and coefficient of variance (COV) of the predicted to measured values of unit tip resistance for the drilled shaft database described in [Chapter 2:](#page-14-0) are also shown in Table 4.5. The high COV, shown in the table below, reflects the inconsistency of this method in predicting capacity. However, on average the predicted value agrees well with the measured one, as indicated by its computed mean.

Method	Design Equation	\vert Mean of Ratios of p to m \vert COV of Ratios of p to m	
Zhang and Einstein (1998) $ \mathbf{q}_{t} = 4.8 \star \sqrt{\mathbf{q}_{n}(\text{MPa})} $		1.09	0.54

Table 4.5. Statistics for Power Functions for Unit Tip Resistance

4.3.3 Piecewise Functions

ARGEMA (1992) and Abu-Hejleh and Attwooll (2005) use a combination of linear and power functions for different ranges of rock UCS for their predictive methods. The tip resistance database in Chapter 3 was used to evaluate these methods for the design of drilled shafts in weak rocks (i.e., weak Illinois shales). The values of mean and COV of the predicted to measured tip resistance values are summarized in Table 4.6. In general, piecewise functions are more accurate than the linear functions, as indicated by their means; however, the high values of COV shown in Table 4.6 are the result of great scatter in the values of the predicted to measured capacity and reflects the great uncertainty attributed to these predictive methods.

Table 4.6. Statistics for Piecewise Functions for Unit Tip Resistance

4.3.4 Discussion of Unit Tip Resistance Results

Some of the predictive methods underestimate the tip resistance of drilled shafts, which is indicated by their low computed mean (e.g., Teng 1962; Carter and Kulhawy 1988). This would lead to a conservative design in which tip resistance is included as one of the components that contribute to total axial capacity. The underestimate of tip resistance could be up to 90%. Some other methods have high COVs (e.g., Zhang and Einstein 1998), which reflects the high uncertainty attributed to these methods or, in other words, the inconsistency of these methods in predicting the capacity. These methods would lead to conservative design because they will probably need a high factor of safety (or low LRFD [load and resistance factor design] resistance factors)

The mobilized tip resistance of drilled shafts in weak rocks is a function of allowed tip displacement, rock socket length, and UCS of the socket rock (see [Figure 4.1\)](#page-36-1). [Figure 4.1](#page-36-1) shows that
the greater the tip displacement, the greater the tip resistance, up to a ratio of tip displacement to tip diameter of about 4.

Most of the predictive methods reviewed and evaluated herein ignore allowable displacement of the shaft tip and socket length. A new design method that implicitly accounts for these important parameters was developed herein and will be introduced in Chapter 7.

Figure 4.1. Effect of shaft tip displacement on tip resistance.

4.4 SUMMARY

Existing predictive methods for side and tip resistance were evaluated using a database of drilled shaft load tests assembled. Observations regarding the evaluation of the side resistance predictive methods are as follow:

- Power functions overestimate side resistance when UCS is less than 40 ksf and underestimate side resistance when UCS is greater than 40 ksf.
- Piecewise functions provide more accurate predictions than power functions; but they occasionally underestimate unit side resistance, which can lead to an overly conservative design.
- Linear functions, with the modifications suggested Chapter 7, are recommended for IDOT design to predict unit side resistance in weak rocks. Linear equations are simpler and easier to use than piecewise equations, represent the assembled load test data, and thus are recommended for use by IDOT to design drilled shafts in weak shales.

Observations regarding tip resistance methods are as follow:

- Tip resistance predictive methods tend to underestimate tip resistance.
- Tip resistance methods assume a predetermined tip displacement, and thus the serviceability of the drilled shafts and bridge cannot be determined. This also leads to designs in which strain compatibility does not exist between side and tip resistance.
- Many tip resistance predictive methods ignore the contribution of embedment depth to bearing capacity.
- The load test database developed herein was used to develop a design method that accounts for tip displacement, embedment depth, and UCS. This new method, presented in Chapter 7, allows the user to include allowable settlement and design shear strength to predict unit tip resistance.

CHAPTER 5: FULL-SCALE FIELD LOAD TESTS

5.1 INTRODUCTION

Two Osterberg cell (O-cell) load tests were conducted during this phase of the study on drilled shafts socketed into weak clay shales at IDOT bridge sites. These two load tests were conducted at the IL 89 over Illinois River near Spring Valley, Illinois, and IL 133 over the Embarras River near Oakland, Illinois. The results of these load tests were used to refine and calibrate the side- and tip resistance design equations proposed in Phase 1. The results of the two O-cell load tests were also used to calibrate the finite element numerical model developed for the parametric analysis to investigate the factors influencing the axial response of weak shale-socketed drilled shafts. Details of the subsurface investigation, test shaft construction, O-cell testing arrangements, and testingresults interpretations for the two load tests are presented in this section.

5.2 BRIDGE SITE AT IL 89 OVER THE ILLINOIS RIVER

[Figure 5.1](#page-38-0) shows the location of the bridge site at IL 89 over the Illinois River, located in Putnam County, just south of Spring Valley, Illinois. The eight-span bridge structure carries a two-lane highway over the Illinois River and connects Putnam and Bureau counties via IL 89. The north and south abutments of the bridge, together with Piers 1, 6, and 7 are supported on driven H-piles. Piers 2 to 5 are supported on drilled shafts socketed into the underlying sedimentary rocks.

Figure 5.1. Location of bridge site at IL 89 over the Illinois River.

As a part of the geotechnical design of the proposed bridge foundations, a full-scale O-cell load test was conducted on a test shaft socketed into the underlying weak clay shale. The main objective of this test was to measure/evaluate the mobilized unit side and tip resistances that can be used in the drilled shaft design. The O-cell load test was performed on a 5.0-ft-diameter and 71.5-ft-long test shaft adjacent to Pier 1[. Figure 5.2](#page-39-0) shows a plan view for the new bridge structure and the location of the test shaft.

Figure 5.2. Location of test shaft of bridge site at IL 89 over the Illinois River.

Prior the test shaft construction, four borings were advanced near the test shaft. Two of the four were drilled by McCleary Engineering, and the other two by the IDOT District 3 drilling crew. The first two borings were used to obtain shale core samples. Initially, rock cores were used for determination of recovery ratio, rock quality designation (RQD) of the rock mass, and vertical spacing of joints and fractures in the shale. Afterwards, unconfined compression tests were conducted at UIUC on the retrieved weak shale specimens. The in situ moisture content of the shale specimens used in the unconfined compression tests were also measured for correlation purposes. The unconfined compression test results were also used to determine the deformability characteristics of the shale under undrained loading conditions. The other two borings were used to obtain the MSPT penetration rate at various depths in the weak shale formation. The obtained penetration rate was then used to estimate the unconfined compressive strength of the weak shales, based on the correlation developed herein. The measured and estimated values of UCS were compared to investigate the accuracy of the proposed penetration rate/UCS correlation (see Section 2.5.1).

5.2.1 Subsurface conditions

The subsurface profile at the test shaft location consists of 10 ft of silty loam and clay underlain by 25 ft of a brown, stiff, silty clay layer. Below this layer is a medium-dense sand layer 7-ft thick, underlain by another 17.5-ft-thick brown, stiff, silty clay layer. Below these strata is a gray to dark gray, thinly bedded clay–shale formation. The ground surface elevation at the test shaft is about +447.9 ft. The gray shale formation was encountered at an elevation of 390.4 ft. [Figure 5.3](#page-41-0) shows

the idealized subsurface profile at the test shaft location and the unconfined compressive strength profile developed for design of the test shaft.

5.2.2 Test Shaft Construction and Instrumentation

Illini Drilled Foundations, Inc., of Danville, Illinois, completed construction of the test shaft on November 5, 2014, under the direction supervision of the project team. The 5-ft-diameter test shaft was excavated to a base elevation of +376.4 ft. The shaft was started by predrilling and installing a 72-in.-diameter temporary outer casing. Drilling of the shaft continued through an open hole under bentonite slurry until the tip of the shaft was several feet above the top of the shale. A 66-in. permanent casing was inserted and screwed into the stiff, silty clay layer above the shale. After the inner casing was screwed in, bentonite slurry was removed; and drilling continued into the clay shales. Before reaching the required tip elevation, the contractor pulled and removed the 72-in diameter temporary casing. An auger was used for drilling the shaft, and a cleanout bucket for cleaning the base of the shaft prior to placement of the reinforcing cage and concrete. After the shaft was approved for concrete placement, the reinforcing cage with the attached Ocell assembly was lowered into the excavated shaft. Concrete was then delivered to the bottom of the shaft by a pump pipe into the base of the shaft until the top of the concrete reached the ground surface elevation of +447.2 ft.

The load testing assembly consisted of a 26-in.-diameter O-cell located 2.0 ft above the tip of the shaft (i.e., at elevation = 378.4 ft). Four linear vibrating-wire displacement transducers (LVWDTs, Geokon model 4450 series) were installed between the upper and lower plates of the O-cell to measure its expansion during loading. Two vibrating-wire strain gauges (Geokon model 4911 series) were installed at four different elevations above the O-cell (see [Figure 5.3\)](#page-41-0), to assess the mobilized unit side resistance along the drilled shaft. Two upper compression telltale casings were attached diametrically opposite each other on the reinforcing cage and extending from the top plate of the O-cell to the ground level to measure the upper compression displacements of the shaft. The top of the shaft displacement was monitored using two automated digital-survey levels (Leica NA3000 series). A Bourdon pressure gage, voltage pressure transducer, and vibrating-wire pressure transducer were used to measure the pressure applied to the O-cell at each load interval. To evaluate the integrity of the concrete in the test shaft, four cross-hole sonic logging (CSL) tubes with a diameter of 2 in. were also installed along the full length of the test shaft and extended about 3 ft above the top of the test shaft.

Figure 5.3. Idealized subsurface profile and the unconfined compressive strength profile in the vicinity of the bridge site at IL 89 over the Illinois River.

5.2.3 Data Acquisition and Testing Procedure

All instrumentation was connected through a data logger (Data Electronics 515 Geologger) to a laptop computer. The data logger recorded instrument readings every 30 seconds during the test. The test was initiated by pressurizing the O-Cell at the bottom of the shaft to break the tack welds that held the upper and lower plates of the O-Cell together and to form a fracture plane in the concrete surrounding the O-Cell. After the concrete break occurred, the pressure was released; and instrumentation readings were set to zero. The test shaft was then loaded using the O-Cell in a total of eight equal loading increments, resulting in a maximum sustained bi-directional load of 1,551 kips. Each load increment was held for 8 minutes. Load increments were applied using the "Quick Load Test Method" described in ASTM D1143M-07. An average of one minute was required to increase the O-cell pressure to the next load increment. Unloading of the test shaft was performed in five equal decrements.

5.2.4 Test Results and Analysis

[Figure 5.4](#page-42-0) shows the downward movement of the base plate of the O-cell and the upward movement of the top of the shaft during the bi-directional load test. The maximum sustained bidirectional load applied to the shaft was 1,551 kips. Under this load, the displacement above and

below the O-cell assembly were 0.355 and 0.158 in., respectively. Further increase in the loading led to failure along the sides of the test shaft (i.e., ultimate side resistance was reached). Maximum displacements of 1.66 and 0.19 in. were measured at a maximum bi-directional load of 1,713 kips, above and below the O-cell assembly, respectively.

[Figure 5.5](#page-43-0) shows the load distribution curves along the test shaft for the eight load increments applied to the test shaft. The load distribution relationships were generated based on the recorded strain-gauge readings and the estimated drilled shaft stiffness. The elastic modulus of concrete was estimated based on the American Concrete Institute (ACI) formula, as expressed by the equation below:

$$
E_c = 0.033 \ (\delta_c)^{1.5} \sqrt{f'_c}
$$
 (5.1)

where:

Ec = concrete elastic modulus, in ksi

 δ_c = concrete total unit weight, in pcf

 f_c = unconfined compressive strength of concrete, in psi

Figure 5.4. Measured load-displacement curves for downward and upward loading in the load test at the shaft tip at IL 89 over the Illinois River.

Figure 5.5. Axial load distribution curves along the test shaft during the load test at IL-89 over the Illinois River.

Concrete modulus combined with the area of reinforcing steel and nominal socket diameter provided an average shaft stiffness (EA) of 12,415,000 kips in the rock socket portion of the shaft. The magnitude of the unit side resistance mobilized for a segment of the shaft was computed as the change in the axial load over the length of the segment between adjacent strain gage (SG) measurements divided by surface area of the shaft segment. The calculated values of ultimate side resistance, assuming constant shaft stiffness and diameter, at the maximum sustained load of the O-cell, are summarized in Table 5.1[. Figure 5.5](#page-43-0) plots this data and shows about 95% of the applied load was carried by the clay–shale socket, and negligible load was transferred to the overburden soils. Mobilized net unit side resistance vs. displacement (t–z) relationships/curves based on the strain gage data along the test shaft and the estimated shaft stiffness are also presented in [Figure](#page-44-0) [5.6.](#page-44-0)

Load-Transfer Zone	Unit Side Resistance (ksf)
O-cell to strain gage Level 1	10.7
Strain gage Level 1 to strain gage Level 2	3.3
Strain gage Level 2 to strain gage Level 3	0.1
Strain gage Level 3 to strain gage Level 4	0.2

Table 5.1. Average Unit Side Resistance Values for Maximum Sustained Load

Figure 5.6. Mobilized unit side resistance along the test shaft for the load test at IL 89 over the Illinois River.

The mobilized unit tip resistance vs. displacement (q–z) relationships/curves are presented in [Figure 5.7.](#page-45-0) The ultimate tip resistance was not reached during this test due to insufficient displacement being induced by the applied loading. The maximum measured tip resistance was

66.8 ksf at a relatively low displacement of 0.19 inches, which is less than 0.3 % of the drilled shaft socket diameter. Therefore, information/conclusions regarding ultimate tip resistance cannot be deduced from this load test.

Figure 5.7. Mobilized unit tip resistance for the test shaft at IL-89 over the Illinois River.

5.3 BRIDGE SITE AT IL 133 OVER THE EMBARRAS RIVER

[Figure 5.8](#page-46-0) shows the proposed location of the bridge site at IL 133 over the Embarras River, located in Coles County just west of Oakland, Illinois. This two-span bridge structure is designed to carry a two-lane highway over the Embarras River. East and west abutments of this bridge are supported on driven H-piles foundations. The single pier is supported by drilled shaft foundations socketed into weak shales. In Phase 2 of this study, a full-scale O-cell load test was conducted on a test shaft, socketed into weak clay–shale, constructed near the existing river bridge pier (see [Figure 5.9\)](#page-46-1). The main objective of this load test was to measure the mobilized unit side and tip resistances along the weak shale socket and to evaluate the predictive design equations for side and tip resistance proposed in Phase 1. In addition, this load test complemented the prior Spring Valley, Illinois, load test because a drilled shaft with a shorter length and smaller diameter was going to be tested. The O-cell load test was performed on a test shaft 4.0 ft in diameter and 27.3-ft long. [Figure 5.9](#page-46-1) shows a plan view for the bridge structure and the location of the test shaft.

Figure 5.8. Location of the bridge at IL 133 over the Embarras River near Oakland, Illinois.

Figure 5.9. Location of test shaft of the bridge site at IL 133 over the Embarras River.

Prior to test shaft construction, four borings were advanced near the test shaft by the IDOT District 7 drilling crew and the UIUC research team. The first two borings were used to obtain shale core samples. Initially, rock cores were used for determination of recovery ratio, RQD of the rock mass, and vertical spacing of shale joints and fractures. Afterwards, unconfined compression tests were conducted on the retrieved specimen of weak shale. The in situ moisture content of the shale specimens used in the unconfined compression tests were also measured for correlation purposes. The unconfined compression test results were also used to determine the deformability characteristics of shale under undrained loading conditions (see Section 2.3.2 & 2.3.3). The other two borings were used to obtain MSPT penetration rate and blow counts at various depths in the weak shale formation. The penetration rate obtained was then used to estimate the unconfined compressive strength of the weak shales based on the correlation developed herein. The

measured and estimated values of UCS were compared to investigate the accuracy of the proposed penetration rate/UCS correlation.

5.3.1 Subsurface Conditions

The subsurface profile at the test shaft location consists of 11 ft of soft to stiff, silty clay overlying the sedimentary bedrock. The ground surface elevation at the test shaft is about +600.0 ft. Weathered gray clay shale was exposed at an elevation of about +589.0 ft (11 ft below ground surface) and extending to an elevation of 564.1 ft, where the drilling was terminated. [Figure 5.10](#page-48-0) shows the idealized subsurface profile and the unconfined compressive strength profile at the test shaft location.

5.3.2 Test Shaft Construction and Instrumentation

Illini Drilled Foundations, Inc., of Danville, Illinois, completed construction of the test shaft on August 5, 2014. The 4-ft-diameter test shaft was excavated under dry conditions to a base elevation of +572.9 ft. The shaft was started by predrilling and inserting a 54-in-diameter temporary outer casing into the top of the shale bedrock. Drilling of the shaft continued into the shale layer using a 48-in.-diameter auger until the tip of the shaft was reached. After the shaft was approved for concrete placement, the reinforcing cage with the attached O-cell assembly was lowered into the excavated borehole to an elevation of +572.9 ft. Concrete was then delivered by a tremie pipe to the base of the shaft until the tip of concrete reached an elevation of +597.2 ft.

The load test assembly consisted of a 20 in.-diameter O-cell located 2.3 ft above the tip of the shaft (i.e., at elevation = +575.2 ft). Similar to the Spring Valley, Illinois, load test, four linear vibrating-wire displacement transducers (LVWDTs; Geokon model 4450 series) were installed between the upper and lower plates of the O-cell to measure its expansion during loading. Four vibrating-wire strain gauges (Geokon model 4911 series) were installed at three different elevations above the O-cell (see [Figure](#page-48-0) 5.10), to assess the mobilized unit side resistance. Two upper compression telltale casings were attached diametrically opposite to the reinforcing cage and extending from the top plate of the O-cell to the ground level to measure the upper compression displacements of the drilled shaft. The displacement at the top of the drilled shaft was monitored using two automated digital-survey levels (Leica NA3000 series). A Bourdon pressure gage, voltage pressure transducer, and vibrating-wire pressure transducer were used to measure the pressure applied to the O-cell at each load interval. To evaluate the integrity of the concrete test shaft, four cross-hole sonic logging (CSL) tubes with a diameter of 2 in. were also installed along the full length of the test shaft and extending about 3 ft above the top of the test shaft.

Figure 5.10. Idealized subsurface and unconfined compressive strength profiles of the bridge site at IL 133 over the Embarras River.

5.3.3 Data Acquisition and Testing Procedure

All instrumentation was connected through a data logger (Data Electronics 515 Geologger) to a laptop computer. The data logger recorded instrument readings every 30 seconds during the Ocell load test. The test was initiated by pressurizing the O-Cell to break the tack welds that held the upper and the lower plates of the O-Cell and to form a fracture plane in the concrete surrounding the O-Cell. After the concrete break occurred, the pressure was released; and instrumentation readings were set to zero. The test shaft was then loaded using the O-Cell in a total of ten equal load increments, resulting in a maximum sustained bi-directional load of 913 kips. Each load increment in the test was held for 8 minutes. Load increments were applied in accordance with the "Quick Load Test Method" (ASTM D1143M-07).

An average of one minute was required to increase the O-cell pressure to the next load increment. The loading was then increased beyond the maximum sustained load to examine the post-peak softening of the clay shales in terms of side resistance. A maximum applied load of 993 kips was reached during this stage of the test; however, this load was not sustained because the upper shaft above the O-cell started displacing rapidly. Afterwards, the test shaft was unloaded in five equal decrements.

5.3.4 Test Results and Analysis

[Figure 5.11](#page-50-0) shows the downward movement of the base plate of the O-cell and the upward movement of the top of the shaft during the bi-directional load test. The maximum sustained bidirectional load applied to the test shaft was 913 kips. Under this load, the displacements above and below the O-cell assembly were 1.282 and 1.684 in., respectively. Further increases in loading led to failure along the sides of the test shaft (i.e., ultimate side resistance was reached).

Maximum displacements of 4.155 and 1.929 in. were measured above and below the O-cell assembly. These displacements (4.155 and 1.929 in.) occurred during the first decrement of load.

[Figure 5.12](#page-50-1) shows the load distribution curves along the test shaft for the ten load increments applied to the test shaft. The load distribution is generated based on the recorded strain-gauge readings and the estimated drilled shaft stiffness. The elastic modulus of concrete was estimated using the American Concrete Institute formula. Concrete modulus (Equation 5.1), combined with the area of reinforcing steel and nominal socket diameter, provided an average shaft stiffness (EA) of 6,342,000 kips in the rock socket portion of the drilled shaft. The calculated values of ultimate side resistance, assuming constant stiffness and shaft diameter at maximum sustained load of the O-cell, are summarized in Table 5.2[. Figure 5.13](#page-51-0) shows the mobilized net unit side resistance vs. displacement (t–z) relationships, or curves, based on the strain gage data and the estimated shaft stiffness[. Figure 5.13](#page-51-0) also shows a notable post-peak-strain softening response of the clay–shale layer between the O-cell and SG-1, corresponding to a 20% decrease in unit side resistance. The other two shale layers between SG-1 to SG-2 and SG-2 to SG-3 did not exhibit strain softening but rather gained resistance with increasing shaft displacements.

Load-Transfer Zone	Unit Side Resistance (ksf)		
O-cell to strain gage Level 1	6.3		
Strain gage Level 1 to strain gage Level 2	7.4		
Strain gage Level 2 to strain gage Level 3	2.4		

Table 5.2. Average Unit Side Resistance Values for Maximum Sustained Load

Figure 5.11. Measured load-displacement relationships for downward and upward loading of the test shaft at IL 133 over the Embarras River

Figure 5.12. Axial load distribution relationships for the test shaft at IL 133 over the Embarras River.

Figure 5.13. Mobilized unit side resistance for test shaft at IL 133 over the Embarras River.

The mobilized unit tip resistance vs. displacement (q–z) relationship, or curve, is shown in [Figure](#page-52-0) [5.14.](#page-52-0) Ultimate tip resistance was not reached during this test, this may be due in part to insufficient cleanout of the shaft base before concrete placement, which could severely affect the unit tip resistance and settlement[. Figure 5.13](#page-51-0) and [Figure 5.14](#page-52-0) show a soft response of the unit tip resistance, which resulted in a low unit end bearing at a relatively large displacement of 1.64 in. Thus, a low bearing capacity factor (N_c = mobilized unit end bearing/unconfined compressive strength) of 3.0 was measured, which corresponds to a 40% decrease in tip resistance. This finding highlights the importance of the drilled shaft tip cleanout before placing concrete, in agreement with O'Neil and Reese (1999). If tip resistance is to be considered in design of a drilled shaft, proper techniques and inspections for doing and verifying adequate tip cleanout should be developed and followed by IDOT personnel. .

Figure 5.14. Mobilized unit tip resistance for test shaft at IL 133 over the Embarras River.

Figure 5.15. Mobilized unit side resistance for the four load tests conducted in Illinois weak shales and the line of best fit to the data.

5.4 BACK-CALCULATED ADHESION FACTORS

Measured unit side resistance of the two load tests conducted during this study were used, along with the laboratory-measured unconfined compressive strength, to back-calculate the mobilized adhesion factors (α) , where it can be determined by dividing the maximum unit side resistance divided by the average unconfined compressive strength of the weak shales (i.e. $\alpha = f_{smax}/q_u$) Table 5.3 summarizes the results of the two load tests together with two load tests obtained during Phase 1 of this study. Data summarized in Table 5.3 are also used in [Figure 5.15](#page-52-1) to show the average mobilized adhesion factors of the four load tests. Data presented in Table 5.3 an[d Figure](#page-52-1) [5.15](#page-52-1) show that the overall adhesion factors mobilized in these tests are slightly lower than values that the existing literature would suggest for drilled shaft load tests in weak, fine-grained rocks. However, the design procedure outlined in Chapter 7, along with the recommended LRFD resistance factors, accounts for the slight difference in the predicted to measured adhesion factors.

Site	Strain-Gage Level	Average q_u (ksf)	$F_{\rm smax}$ (ksf)	Maximum Displacement (in.)	Adhesion Factor
IL 133 over Embarras	SG1 to O-cell	23.5	7	1.27	0.30
River					
IL 133 over Embarras	SG1 to SG2	17.1	6.18	1.27	0.36
River					
IL 89 over Illinois River	SG1 to O-cell	39.8	10.72	0.59	0.27
IL 89 over Illinois River	SG1 to SG2	25.1	3.35	0.58	0.133
John Deere Road (IL5	SG1 to SG2	11.7	2.7	0.44	0.23
over IL 84)					
John Deere Road (IL5	SG1 to O-cell	55.7	13.3	0.45	0.23
over IL 84)					
Illinois River Bridge	SG6 to SG7	2.65	1.0	0.1	0.37
replacement (FAU 6265)					

Table 5.3. Illinois Load Test Results for Drilled Shafts in Weak, Fine-Grained Rocks

CHAPTER 6: NUMERICAL ANALYSES

6.1 INTRODUCTION

A two-dimensional (2D) finite element method (FEM) was used in this phase of the study to investigate the load-transfer mechanism of axially loaded drilled shafts socketed into weak rock, e.g., shales. The commercial finite element program, PLAXIS 2D (Brinkgreve, 2016), was used to simulate loading of a drilled shaft. A parametric study was conducted to investigate the factors that significantly affect the axial capacity of drilled shafts. Some of the factors investigated are drilled shaft socket roughness, relative stiffness between the drilled shaft and weak rock, mechanical properties of the weak rock, socket length, and socket diameter. The FEM model was calibrated and verified using an analytical solution proposed by Carter and Kulhawy (1988) and published numerical solutions by Rowe and Armitrage (1987), Pells and Turner (1979), and Hassan and O'Neill (1997). The results of the two Osterberg load tests conducted at the bridge sites at IL 89 over the Illinois River and IL 133 over the Embarras River were also used to calibrate the FEM model for predicting drilled shaft capacity in weak rocks.

6.2 FINITE ELEMENT MESH AND BOUNDARY CONDITIONS

Fifteen-node triangular axisymmetric elements (see [Figure 6.1\)](#page-54-0) were used in PLAXIS 2D to simulate the drilled shaft and the surrounding weak rock mass and overburden soils (see [Figure 6.3\)](#page-56-0). A relatively fine mesh was used in the regions where stress concentrations were anticipated, particularly along the weak rock/drilled shaft interface and at the tip of the drilled shaft. Interface elements are used to simulate the sliding of the drilled shaft along the weak rock. The loading of the shaft is simulated by applying incremental vertical displacement to the shaft head. Other boundary conditions consist of restraining both the vertical and radial displacements at the base of the model and the radial displacement on the right-hand side of the model and along the axis of symmetry. The boundary conditions used in the model are also shown in [Figure 6.3.](#page-56-0) The selected model boundaries were set wide enough to eliminate significant boundary effects on load-transfer from the drilled shaft to the weak rock and overburden soils.

Figure 6.1. Axisymmetric FEM representation (from *PLAXIS 2D User's Manual***).**

6.3 CONSTITUTIVE MODELS

The drilled shaft is assumed to be homogeneous, isotropic, and elastic with a constant Young's modulus (Es) and Poisson's ratio (ν). The soil(s) overlying the weak rock are modeled using a Mohr-Coulomb (MC) linearly elastic, perfectly plastic constitutive model. The MC failure criteria is expressed by the equation below:

$$
\tau_f = \sigma'_{\text{nf}} \tan \phi' + c' \tag{6.1}
$$

where:

 τ_f = shear stress at failure

 σ' _{nf} = effective normal stress at failure

φ' = effective stress angle of internal frictional, i.e., friction angle

c' = effective stress cohesion

Figure 6.2. Schematic of hyperbolic stress–strain model from Schanz et al. (1998).

Figure 6.3. Typical finite element mesh and boundary conditions applied in drilled shaft parametric study.

The hardening soil (HS) model, developed by Schanz et al. (1998), was used to simulate the nonlinear stress–strain relationship of weak rock mass. The HS constitutive model was derived from the hyperbolic stress–strain model developed by Duncan and Chang (1970). The HS model is considered an improvement over the hyperbolic model because it utilizes the theory of plasticity rather than the theory of elasticity and includes soil dilatancy. As a result, the HS model can predict the plastic strains based on a multi-surface yield criterion. Some of the basic characteristics of the HS model are

- Failure is defined according to the MC failure criterion.
- Total strains are calculated based on stress-dependent stiffness moduli both for loading and unloading/reloading cases.
- Hardening is assumed to be isotropic, depending on both plastic shear and volumetric strain.
- The hyperbolic equation in terms of axial strain (ϵ_1) and stress difference (q) is

$$
\epsilon_1 = \frac{1}{E_i} \frac{q}{1 - \frac{q}{q_a}} \tag{6.2}
$$

where q_a is the asymptotic value of the stress difference, i.e., ultimate value of q at infinite strain, as illustrated i[n Figure 6.2;](#page-55-0) and E_i is the initial tangent modulus. E_i is related to the secant modulus by the modulus at 50% axial strain (E_{50}) by

$$
E_{\rm i} = \frac{2E_{50}}{2 - R_f} \tag{6.3}
$$

where R_f is a fitting ratio that forces the hyperbolic stress–strain relationship to pass through the point of failure, i.e., ϵ_f , q_f , and can be expressed in terms of the failure stress, q_f :

$$
R_f = \frac{q_f}{q_a} \tag{6.4}
$$

Typical values of R_f are in the range of 0.75 to 1.0. In this study a fitting ratio of 0.9 is used, which is the default setting in PLAXIS 2D.

6.4 INTERFACE ELEMENTS

The use of continuum elements in a finite element analysis prohibits relative displacement between structure elements, e.g., a drilled shaft, and adjacent soils and rock materials. To simulate relative displacement, i.e., slippage of the side of the drilled shaft along a weak rock boundary, interface elements are introduced. Potts and Zdravkovic (2001) summarize the different methods to simulate soil-structure interaction and slippage. In the parametric study conducted herein, a zero-thickness interface formulation was used, which is proposed by Goodman et al.

(1968). To implement the interface element option, node pairs are created at the weak rock/shaft interface. As a result, one node belongs to the drilled shaft and the other node belongs to the adjacent weak rock (see [Figure 6.4\)](#page-58-0). The interaction between these two interface nodes involves two elastic–perfectly plastic springs to simulate slippage and gaps. [Figure 6.4](#page-58-0) shows a schematic representation of a node pair and the zero-thickness interface elements used along the drilled shaft.

Interface elements are modelled using the elastic–perfectly plastic Mohr-Coulomb strength model. The strength of the interface is defined with an interface strength-reduction factor, *Rint*. This reduction factor is similar to the adhesion factor in the total-stress analysis of axially loaded drilled shafts in cohesive soils and rock, as shown below:

$$
c'_{i} = R_{int} \cdot c' \tag{6.5}
$$

$$
\tan \phi'_{i} = R_{int} \cdot \tan \phi' \tag{6.6}
$$

$$
\psi_i = 0^0 \text{ for } R_{int} < 1.0, \text{ otherwise } \psi_i = \psi \tag{6.7}
$$

Where c_i is the interface effective stress cohesion or the undrained shear strength in a total-stress analysis, ϕ' is the effective stress interface friction angle, and ψ_i is the interface dilation angle. Based on analysis developed herein of the drilled shaft load test database in cohesive weak rocks, the interface-reduction factor, *Rint*, was assigned a value of 0.60.

6.5 VALIDATION OF NUMERICAL ANALYSIS

During this study, two Osterberg cell (O-cell) load tests were conducted on drilled shafts socketed in weak rock, to validate the drilled shaft design methodology developed herein and to calibrate the FEM model for the parametric analysis. The load-displacement and load-transfer relationships measured during these two load tests were used to develop weak rock/shale-specific parameters for the drilled shaft parametric model discussed above. To calibrate the FEM model, the boundary conditions, interface elements, and load application via the O-cell at the bottom of the drilled shaft are modeled accurately for each test. These modeling features were adjusted until agreement was good between the measured and calculated drilled shaft load-deformation relationships for the measured values of UCS and Young's modulus. UCS and Young's modulus are the main input parameters for each load test site and were derived from laboratory testing performed on high-quality shale core samples. This calibrated model was then used in the subsequent parametric analysis.

6.5.1 Load Test at IL 133 over the Embarras River

An O-cell drilled shaft load test was conducted on a test drilled shaft socketed in weak "clayey shale" of the Pennsylvanian formation at the IL Route 133 bridge crossing of the Embarras River. The test shaft was 4.0 ft in diameter, with a socket length of 16.0 ft. [Figure 6.5](#page-60-0) shows an idealization of the subsurface profile and the as-built dimensions of the instrumented test drilled shaft. Four borings, two for shale coring and two for MSPTs, were conducted near the test shaft to measure the strength and compressibility parameters for the shales. [Figure 6.6](#page-60-1) shows the measured rock quality designation (RQD), total core recovery (TCR), and unconfined compression strength (UCS) for the weak shales at the vicinity of the test shaft. Details of the subsurface investigation, test shaft construction, O-cell testing arrangements, and testing-results interpretations are discussed in more detail in [Chapter 5:](#page-38-1)

6.5.1.1 Numerical Model

[Figure 6.7](#page-61-0) shows the FEM model developed for the IL 133 O-cell test and the applied boundary conditions. The concrete shaft was again assumed to be an isotropic, homogeneous, and elastic with an elastic modulus (E_c) of 3,500 ksi, Poisson's ratio (v_c) of 0.15, and a unit weight (γ_c) of 145 pcf. The HS and MC constitutive models were again used to simulate the weak shale layer and the overburden soil, respectively. The interface-reduction factor between the drilled shaft and the weak rock was assumed to be equal 0.60 as discussed above. The O-cell below the drilled shaft was simulated using a 1-ft-thick solid element. To simulate the loading induced by the load test, the O-cell was expanded upward and downward to force movement of the drilled shaft. Upon applying the bi-directional load at the O-cell location, the solid element was deactivated so the interaction between the downward and upward shaft displacement could be decoupled. This procedure is important because it allows proper simulation of the O-cell arrangement.

Figure 6.5. Idealized soil profile and as-built dimensions of the instrumented test shaft at IL 133 over the Embarras River.

Figure 6.6. Measured UCS, RQD, and TCR versus elevation before IL 133 drilled shaft load test.

Figure 6.7. FE model and boundary conditions at IL 133 over the Embarras River.

6.5.1.2 Numerical Prediction vs. Measured

The numerically predicted load-displacement relationships for the top and bottom O-cell plates are compared to the measured values in [Figure 6.8. Figure 6.8](#page-62-0) shows good agreement between the FEM predicted and measured tip resistances. In particular, the measured tip resistance shows a soft response because the bottom of the shaft was not thoroughly cleaned before concrete was tremied in to construct the drilled shaft. As a result, to achieve a match of the measured tip resistance response, a low modulus was assigned for the weak rock directly below the shaft base. [Figure 6.9](#page-62-1) shows a comparison between the predicted and measured load-transfer relationship for this load test. [Figure 6.8](#page-62-0) and [Figure 6.9](#page-62-1) show that the numerical analysis results are in good agreement with the measured field loads and displacements. As a result, the input parameters used to calculate the load-displacement and load-transfer relationships are calibrated and can be used in the parametric study to understand the factors that significantly influence drilled shaft behavior in weak rock.

Figure 6.8. Comparison of measured and numerically predicted load-displacement relationships for the load test at IL 133 over the Embarras River.

Figure 6.9. Comparison of measured and numerically predicted load-transfer relationships for last loading increment (O-cell load = 820 kips).

6.5.2 Load Test at IL 89 over the Illinois River

An O-cell load test was also conducted on a drilled shaft socketed in weak "clayey shale" of the Pennsylvanian formation at IL 89 over the Illinois River near Spring Valley, Illinois. The test shaft was 5.0 ft in diameter with a socket length of 12.0 ft. A numerical model using the same simulation techniques developed for the load test at IL 133 over the Embarras River was developed for this load test, too[. Figure 6.11](#page-64-0) shows an idealization of the subsurface profile and the as-built dimensions of the instrumented drilled shaft. Two borings (one for shale coring and one for MSPT) were conducted near the test shaft to measure the strength and compressibility of the shales. [Figure 6.12](#page-65-0) shows the measured RQD, TCR, and UCS for the weak shales in the vicinity of the test shaft. Additional details of the subsurface investigation, test shaft construction, O-cell testing arrangements, and interpretation of the test results are presented i[n Chapter 5:.](#page-38-1)

Figure 6.10. Idealized soil profile and as-built dimensions of the instrumented drilled shaft at IL 89 over the Illinois River.

Figure 6.11. Measured UCS, RQD, and TCR versus elevation at IL 89 over the Illinois River.

6.5.2.1 Numerical Prediction vs. Measured

The numerically predicted load-displacement relationships for the top and bottom O-cell plates are compared with the measured values and are shown in [Figure 6.12.](#page-65-0) This comparison shows excellent agreement between the PLAXIS 2D model and the measured load-displacement relationships. [Figure 6.13](#page-65-1) presents a comparison of the predicted and measured load-transfer relationships for the last O-cell loading increment. Review of [Figure 6.12](#page-65-0) and [Figure 6.13](#page-65-1) suggests that the numerical analysis predictions are in excellent agreement with the field-measured loaddisplacement and load-transfer relationships. As a result, the input parameters used to calculate the load-displacement and load-transfer relationships were considered to be calibrated and can be used in the parametric study to understand the factors that significantly influence drilled behavior in weak rock.

In summary, the 2D FEM model provided good agreement with the measured load-displacement and load-transfer relationships measured for the IL 133 and IL 89 drilled shaft load tests. As a result, the boundary conditions, interface elements, and load application via the O-cell at the bottom of the drilled shaft are modeled accurately. Thus, the 2D FEM model described above is used below to study the impact of a number of factors, e.g., UCS, shaft length to diameter, and rock socket length, in this parametric study.

Figure 6.12. Comparison of measured and numerically predicted load-displacement relationships for drilled shear-load test at IL 89 over the Illinois River.

Figure 6.13. Comparison of measured and numerically predicted load-transfer relationships for last loading increment (O-cell load = 1,350 kips).

6.6 PARAMETRIC ANALYSIS

The parametric analysis described below used the load test–calibrated 2D FEM axisymmetric model. As shown above, the calibrated boundary conditions, interface elements, and load application via the O-cell at the bottom of the drilled shaft resulted in good agreement between the measured and predicted load-displacement and load-transfer relationships.

The axial response of drilled shafts socketed into weak cohesive rock is a function of the unconfined compressive strength of the weak rock, relative stiffness between the weak rock and the concrete shaft, rock socket geometry, and the weak rock/drilled shaft interface roughness. The calibrated numerical model described above was used to conduct a parametric analysis to investigate these factors. The analysis procedure consists of the following two main steps: (1) application of initial in situ stress(es) due to self-weight of overburden soils, weak rock mass, ground water and drilled shafts; and (2) application of structural loads by applying incremental vertical displacement to the shaft head.

6.6.1 Effect of Rock Socket Geometry

The effect of rock socket geometry is studied in terms of the ratio of socket length (L_s) to socket diameter (D), with a range of $1 \leq L_s/D \leq 10$. This analysis is conducted for a UCS of 20 ksf and a ratio of Young's modulus for the rock (E_r) to concrete (E_c) of 0.02. In other words, the concrete is much stiffer than the weak rock. Other pertinent parameters remained constant.

[Figure 6.14](#page-67-0) shows the percentage of ultimate axial load carried by the skin friction and tip resistance, where the ultimate load is assumed to occur at a tip displacement equal to 5% of the shaft diameter (O'Neill and Reese 1999). [Figure 6.14](#page-67-0) shows that as the Ls/D ratio increases, less load is transferred to the drilled shaft base and more load is carried by the skin friction. This implies that the axial behavior of drilled shafts with short rock sockets will be largely affected by the condition and stiffness of the weak rock at the tip of the shaft, whereas shafts with longer sockets will be less sensitive to these conditions because most of the load is carried by skin friction. Therefore, in order to rely on short-socketed drilled shafts (i.e., small L_s/D) to carry the anticipated load, proper inspection and cleanout of the tip of the drilled shaft is essential..

[Figure 6.15](#page-68-0) displays the load-transfer mechanism for different rock socket geometries. At early stages of loading, the load is predominately carried by skin friction; and a small percentage of the load is transferred to the tip of the shaft. With increasing load and displacements, the skin friction is fully mobilized; and the remaining loads will be carried by the tip resistance. This behavior is intensified for shafts with long sockets or with large ratios of socket length to diameter. However, it still applies for shorter sockets; but the tip resistance contributes more at early stages of loading.

Figure 6.14. Percentage of applied load carried by skin friction and tip resistance for different socket geometries.

In summary, these results show that the portion of applied axial compressive load that is transferred to the tip of the shaft is a function of rock socket geometry, i.e., Ls/D ratios. With increasing socket lengths, the relative tip load-transfer decreases. For instance, more than 80% of the applied load is transferred to the base of the socket for Ls/D of 2. Therefore, short-socketed drilled shafts can be used only when the rock mass condition beneath the tip of shaft is relatively sound/intact and when proper inspection and cleanout of the base of the shaft is ensured. The difference in stiffness between the rock and concrete has a significant effect on the axial behavior of weak rock-socketed drilled shafts, as discussed in more detail below.

6.6.2 Effect of Relative Stiffness

The range of weak rock moduli measured during this study is between 500 to 15000 ksf. This range suggests that the relative stiffness ($n=E_r/E_c$) is low (0.005–0.04) for most of the weak cohesive rock tested herein. For this reason, understanding the influence of Young's modulus of the rock on the axial response of the drilled shaft is important. This parametric analysis was performed using a UCS of 20 ksf, a socket length of 5 ft, and a socket diameter of 15 ft.

Comparison between load-displacement relationships for different relative stiffnesses (n) is shown in [Figure 6.16. Figure 6.16](#page-69-0) shows the drilled shaft tip resistance is significantly affected by the soft response of the base, with a decrease of up to 40% of the axial load carried by the tip resistance with a soft base. [Figure 6.16](#page-69-0) also shows that skin friction is fully mobilized at greater axial displacements when the shaft is socketed in softer shales. Conversely, [Figure 6.17](#page-69-1) shows that the percent of axial load carried by skin friction for different socket geometries is only slightly

influenced by rock mass modulus; and the load distribution between the side and tip resistance is mainly controlled by the ratio of the socket length to the diameter.

Figure 6.15. Load-transfer mechanism for weak rock-socketed drilled shafts.

In summary, these results show that tip resistance can be significantly reduced, for a given amount of serviceable displacement, when the base of the shaft is resting on soft/weathered rock or the tip is not sufficiently cleaned out prior to concrete placement. Therefore, proper inspection of the rock mass conditions beneath the tip of the shaft is necessary for the cases where tip resistance is considered to contribute in the total axial capacity of the drilled shaft. For the cases where soft/weathered rock is encountered at the base, it may be necessary to either neglect the tip resistance contribution or increase the socket length to an elevation where sound/intact rock is encountered.

Figure 6.16. Effect of relative stiffness (n) on load-displacement response of weak rock drilled shaft sockets.

Figure 6.17. Percentage of applied load carried by skin friction for different rock socket geometries and rock stiffnesses.

6.6.3 Effect of Socket Roughness

The drilled shaft socket roughness also has a large influence on the mobilized side resistance (O'Neil and Reese 1999). [Figure 6.18](#page-70-0) shows the axial load-settlement response of three different socket roughness conditions, i.e., rough, normal, and smeared sockets. The interface roughness coefficients were selected based on the adhesion factors derived from the compiled load test database for unit side resistance (see Chapter 3). This analysis was also performed using a UCS of 20 ksf, a socket length of 15 ft, and socket diameter of 5 ft. [Figure 6.18](#page-70-0) indicates that the loadtransfer in side resistance can be significantly improved for drilled shafts in weak rock if the rock socket or shaft walls are roughened by mechanical means, as compared to normally constructed rock sockets that exhibit smoother walls. By contrast, disintegration/smearing (i.e., formation of a soil-like material/remolded rock along the rock–socket interface) of the socket wall may compromise the unit side resistance significantly. Therefore, proper inspection of the drilled shaft side walls is needed, especially for cases where drilled shafts are constructed under bentonite slurry, which can result in formation of a bentonite layer or cake along the shaft wall.

Figure 6.18. Axial load-displacement response for three different socket roughness conditions.

In summary, these results show that the axial capacity of drilled foundations is affected by the conditions at the soil/concrete interface immediately adjacent to the shaft. Artificially roughing the socket wall significantly improves the unit side resistance and thus total axial capacity at small shaft displacements. By contrast, smearing or degradation of the drilled shaft side walls can significantly reduce the drilled shaft load-carrying capacity.

6.6.4 Effect of Soil-Overburden Thickness

The effect of soil-overburden thickness on the overall load-displacement behavior was investigated next. This analysis also was performed using a UCS of 20 ksf, a socket length of 15 ft, and socket diameter of 5 ft., while changing the thickness of the soil overlying the weak rock. [Figure 6.19](#page-71-0) shows the axial load-displacement response for the different cases. [Figure 6.19](#page-71-0) indicates that the loadsettlement response is not significantly affected by the overburden soil thickness because most of the load is transferred through the rock socket portion of the shaft. This conclusion is in agreement with the analysis of the drilled shaft load test database i[n Chapter 3:.](#page-29-0)

Figure 6.19. Effect of overburden height on the axial load-displacement behavior of weak rock-socketed shafts.
CHAPTER 7: ILLINOIS DESIGN METHOD FOR DRILLLED SHAFTS IN WEAK, FINE-GRAINED ROCKS

7.1 INTRODUCTION

Existing predictive methods for side and tip resistance of axially loaded drilled shafts socketed in rocks were reviewed in Phase 1 of this study and statistically evaluated, in [Chapter 4:](#page-31-0) of this report, to investigate their applicability to weak, fine-grained rocks (e.g., weak shales). Drilled shafts are attractive for use in weak rock (e.g., shales); because such geomaterials are easy to excavate, drilled shafts are relatively stable, and drilled shafts provide good resistance to both axial and lateral loads. However, little attention has been given to the design of drilled shafts in weak rock. To rectify this design void, an Illinois-specific design procedure for axially loaded drilled shafts in weak, fined-grained rock was outlined in Phase 1 of this study and enhanced and verified herein (see sections below).

The enhancement and verification are based on the new load test results that include only weak, fine-grained rocks (see [Chapter 3:\)](#page-29-0). This chapter summarizes the new design method and the corresponding LRFD resistance factors that can be used to design drilled shaft foundations in weak Illinois shales.

7.2 PREDICTIVE METHOD FOR SIDE RESISTANCE

Undrained shear strength is the primary engineering property that controls the mobilized unit side resistance in drilled shafts socketed into weak, fine-grained rock. Analysis of the drilled shaft, full-scale load tests shows that the ultimate side resistance is not significantly affected by drilled shaft geometry (e.g., socket length and diameter) and is often fully mobilized with relatively small displacement. Analysis of the load test database also showed no significant post-peak reduction in unit side resistance with increasing shaft displacement. Review of the literature further indicates that drilled shafts in weak shales, mudstones, and claystones exhibit similar behavior in side resistance (O'Neill et al. 1996). Therefore, the proposed design method utilizes a simple first order model based solely on the unconfined compressive strength of weak, fine-grained rock to predict the unit side resistance for a drilled shaft socketed in weak, fine-grained rocks.

7.2.1 Side Resistance Predictive Method

The updated side resistance database was used to select representative and applicable load test data for developing an empirical design method for drilled shafts in weak, fine-grained rocks. Regression analyses were used to determine the line of best fit to the selected side resistance data. [Figure 7.1](#page-73-0) shows a linear function is used to correlate the measured unit side resistance and unconfined compressive strength for the design of drilled shafts in weak rocks.

Figure 7.1. Predictive method for unit side resistance of drilled shafts in weak, finegrained rocks.

[Figure 7.1](#page-73-0) shows that the adhesion factor did not significantly change from that proposed in Phase 1.(0.31 versus 0.30). The adhesion factor is confirmed in Phase 2 by using 34 more values of side resistance from 27 load tests in weak fine-grained rocks. As shown below, the new predictive method for side resistance, f_s , in weak Illinois shales uses an adhesion factor of 0.31 and average unconfined compressive strength, q_u , along the shaft wall:

$$
f_s (ksf) = 0.31^* q_u \le 31 ksf
$$
 (7.1)

where

 f_s = unit side resistance of drilled shafts socketed into weak fine-grained rocks, ksf q_u = average unconfined compressive strength of weak, fine-grained rocks along socket wall, ksf 0.31 = empirical adhesion factor, dimensionless

It is important to note that the precision of the side resistance predictive method, as reflected by the coefficient of variance, did not significantly improve (i.e. COV is approximately the same and equal to 0.43). In other words, increasing the number of load tests considered in this study confirmed the mobilized adhesion factors but did not improve the reliability of the design method.

7.3 PREDICTIVE METHOD FOR TIP RESISTANCE

Analysis of the tip resistance load test database for drilled shafts socketed into weak, finegrained rock shows that the unit tip resistance is also a function of the unconfined compressive strength of weak rock, embedment depth in weak rock, and shaft tip displacement. The

predictive method for tip resistance proposed in Phase 1 was modified herein, based on the updated load test database described in Chapter 3. The new tip resistance predictive method is also a function of the UCS of the weak rock, the embedment depth, and the shaft tip displacement.

7.3.1 Tip Resistance Predictive Method

The results of the unit tip resistance database analysis are summarized in [Figure 7.2](#page-74-0) The embedment depth of drilled shafts in weak, fine-grained rocks is normalized with the shaft diameter (see labels next to data in [Figure 7.2\)](#page-74-0). The line of best fit (see equation 7.2) for the load test data is shown for an embedment ratio of 2.5. [Figure 7.2](#page-74-0) shows that a bearing capacity factor (i.e., ratio between the measured unit tip resistance and unconfined compressive strength) of 4.5 can be used to predict the unit tip resistance (q_t) of shafts in weak, fine-grained rocks. This conclusion is in agreement with the common practice bearing capacity factors for drilled shafts in clays (i.e., $q_t = 9^*$ undrained shear strength (Su)).

Figure 7.2. Predictive method for tip resistance of drilled shafts in weak, fine-grained rocks.

[Figure 7.3](#page-76-0) shows the effect of the embedment ratio (L/D) on the mobilized unit tip resistance for load test measurements where the maximum tip resistance was mobilized (i.e., tip displacement ≥ 3.0% of the tip diameter). [Figure 7.3](#page-76-0) suggests that the bearing capacity factor (qt/qu) increases with depth of embedment ratios less than 2.5, which agrees with the expression for the depth-correction factor proposed by Skempton (1951).

Regression analyses were used to determine the equation of best fit shown in Figure 7.2 for an embedment ratio of 2.5. The expression for the depth-correction factor proposed by Skempton (1951) was then used to back-calculate the equation for cases for which the embedment depth is zero, which is referred to as the "reference equation." The new predictive method for tip resistance in Illinois weak rocks is shown below.

$$
q_{t} = \frac{4.0^{*} \delta/D}{\delta/D + 0.015} * q_{u} * d_{c} \le 3.0 * q_{u} * d_{c}
$$
 (7.2)

where:

 q_t = tip resistance, ksf q_u = unconfined compressive strength, ksf δ = tip movement, in. D = tip diameter, in. d_c = Skempton's depth-correction factor = 1.0 + 0.2 L/D \leq 1.5 $L =$ embedment depth in weak rock, in.

A displacement equal to 5% of the shaft diameter (O'Neil and Reese 1999) is recommended for mobilizing the ultimate tip resistance, which can be used to estimate the tip movement, δ , in the tip resistance equation above. Other serviceability-limit states (i.e., tip displacements) could be considered if a tip displacement equal to 5% of shaft diameter produces total or differential settlements that are unacceptable for the structural aspects of the design or serviceability. This can be accomplished by using a different value of δ (tip movement) in the predictive equation above.

Figure 7.3. Effect of embedment ratio (L/D) on mobilized unit tip resistance of rock socketed drilled shafts.

7.4 MSPT-BASED DESIGN METHOD

An energy-based empirical correlation between normalized penetration rate (N_{Rate})₉₀ and unconfined compressive strength was developed herein based on the MSPT penetration rate measurements at and laboratory triaxial compression tests conducted for 21 IDOT bridge sites where weak shales were present (se[e Chapter 2:\)](#page-14-0). This relationship can be substituted in the above drilled shaft side- and tip resistance relationships to develop an MSPT-based, drilled shaft design method. The MSPT design method proposed herein provides an economic solution for situations where the shale is highly weathered, and obtaining undisturbed/high-quality cores for laboratory testing is difficult. More importantly, it is anticipated that the MSPT-based design method will be preferred because it reduces or omits expensive and time-consuming shale-rock coring and subsequent laboratory triaxial compression testing. This will decrease the time and cost required to develop design parameters for drilled shaft design in weak Illinois shales. Furthermore, every IDOT district is equipped to measure MSPT penetration rates in weak Illinois shales, which will facilitate comparison of results and drilled shaft designs. It is anticipated future drilled shaft designs will be based, at least in part, on the proposed MSPTbased method described below:

$$
f_s (ksf) = 0.028^*(N_{Rate})_{90} \le 31 \text{ ksf}
$$
 (7.3)

where:

 f_s = unit side resistance of drilled shafts socketed into weak, fine-grained rocks, ksf

 $(N_{Rate})_{90}$ = MSPT penetration rate corrected for 90% of the theoretical energy and various field procedures. (N_{Rate})₉₀ is calculated based on the procedure outlined in Appendix Q

Unit Tip Resistance

$$
q_{t} = \frac{0.368 \times \delta/D}{\delta/D + 0.015} * (N_{Rate})_{90} * d_{c} \le 0.276 * (N_{Rate})_{90} * d_{c}
$$
\n(7.4)

where:

 q_t = tip resistance, ksf

 $(N_{Rate})₉₀$ = MSPT penetration rate corrected for 90% of the theoretical energy and various field procedures, bpf

 $δ = tip movement, in.$

 $D = tip$ diameter, in.

 d_c = Skempton's depth-correction factor = 1.0 + 0.2 L/D \leq 1.5

L = embedment depth in weak rock, in.

The Limits to the unit side and tip resistance in equation 7.3 & 7.4 are set based on the measured values of these resistances in weak shales that exhibit unconfined compressive strength between 10 to 100 ksf.

7.5 NEW DESIGN PROCEDURE FOR DRILLED SHAFTS IN WEAK ROCKS

The predictive methods introduced in sections [7.2,](#page-72-0) [7.3,](#page-73-1) and [7.4](#page-76-1) were developed for drilled shafts in weak rocks. The proposed design method for side resistance uses only the unconfined compressive strength (UCS) of the weak rock along the shaft. Tip resistance, however, is based on UCS and shaftsettlement criteria and accounts for the effect of socket length. The general Brown et al. (2010) design procedure flowchart shown in [Figure 7.4](#page-78-0) is recommended for use by IDOT with the side- and tip resistance equations presented in Equations 7.3 and 7.4 above for the design of drilled shafts in weak sedimentary rocks.

Figure 7.4. General design procedure for drilled shafts (after Brown et al. 2010).

7.6 LOAD AND RESISTANCE FACTOR DESIGN

The first order second-moment (FOSM) method as defined in NCHRP-507 (Paikowsky et al. 2004) with the modification proposed by Bloomquist et al. (2007) is used herein to calculate the load-resistance factor for the design method developed in this study. The modified FOSM approach was also checked against the first order reliability method (FORM) and both approaches yielded approximately the same resistance factors. Tables R1 and R2 provide information of the load tests considered in the resistance factor calculations. The modified FOSM formula used herein to determine the resistance factor (ϕ)

$$
\varphi \geq \frac{\frac{Q_{D}^{2}}{Q_{L}^{2}} \cdot \lambda_{Q_{D}^{2}}^{2} \cdot COV_{Q_{D}^{2}}^{2} + \lambda_{Q_{L}^{2}}^{2} \cdot COV_{Q_{L}}^{2}}{\frac{Q_{D}^{2}}{Q_{L}^{2}} \cdot \lambda_{Q_{D}^{2}}^{2} + 2\frac{Q_{D}^{2}}{Q_{L}^{2}} \cdot \lambda_{Q_{D}^{2}} \cdot \lambda_{Q_{L}^{2}} + \lambda_{Q_{L}^{2}}^{2}}}{(1+COV_{R}^{2})}
$$
\n
$$
\left(\lambda_{Q_{D}^{2}} \cdot \frac{Q_{D}^{2}}{Q_{L}} + \lambda_{Q_{L}}\right) exp\left\{\beta_{t}\left[ln[(1+COV_{R}^{2})]\left(\frac{Q_{D}^{2}}{Q_{L}^{2}} \cdot \lambda_{Q_{D}^{2}}^{2} + 2\frac{Q_{D}^{2}}{Q_{L}^{2}} \cdot \lambda_{Q_{D}^{2}} + \lambda_{Q_{L}^{2}}^{2} \cdot COV_{Q_{L}^{2}}^{2}\right)\right\}}
$$
\n(7.5)

where

- λ_R = bias factor (mean value of the measured to predicted resistance (R_m/R_p) (calculated based on the analysis of the load test database)
- COV_{Q_D = coefficient of variation for dead load (0.1)}
- COV_{Q_I} = coefficient of variation for live load (0.2)
- Cov_R = coefficient of variation for resistance (calculated based on the analysis of the load test database)
- $β_T$ = target reliability index (3.0)
- v_D = load factor for dead loads (1.25)
- y_L = load factor for live loads (1.75)
- Q_D / Q_L = ratio of dead load to live load (2.0)
- $\lambda_{\text{O}_{\text{D}}}$ = bias factor for dead load (1.05)

 $\lambda_{\text{Q}_{\text{r}}}$ = bias factor for live load (1.15)The resistance factor allows geotechnical engineers to adopt load and resistance factor design to be consistent with structural design of the bridge superstructure (Brown et al. 2010). The FOSM method requires quantifying the inherent uncertainty of the loads and resistances with a bias and coefficient of variance (COV), as well as the target reliability.

Statistical analyses were performed on two sets of drilled shaft load test data to quantify the COV and bias of the new predictive method proposed herein. *Bias* is defined as the average ratio of measured to predicted capacity and reflects how well the predicted capacity agrees with the measured one on average. Alternatively, the COV reflects the consistency of the method to predict the measured axial capacity (Long and Anderson 2012). The first set of data includes 14 load test cases where total resistance (i.e., combined side and tip resistance) is reported. The second data set includes separate measurements for side and tip resistance for 90 load tests. Analysis of these two data sets yielded a resistance factor of 0.55, which is a little higher than the 0.5 that is recommended in FHWA-NHI-10-016 for cohesive IGMs (e.g., weak shales). Because the resistance factor calculations performed herein are based on a limited number of load tests, it is recommended that a resistance factor of 0.5 be used for drilled shaft design in weak, fine-grained rocks and also

to be consistent with FHWA-NHI-10-016 recommendations. This resistance factor should be applied to the total axial resistance or capacity of the drilled shaft. The resulting equation to estimate the design factored resistance of axial loaded drilled shafts is given by the following expression:

$$
Q_{\text{design}} = \varphi * (f_s * P_{\text{socket}} * L_{\text{socket}} + q_t * A_{\text{tip}})
$$
\n(7.6)

where :

 $\mathsf{Q}_{\mathsf{design}}^{}$ = design factored resistance,kips φ = LRFD resistance factor = 0.50 $\mathsf{f}_{\mathsf{s}}^{}$ = unit side resistance, ksf P_{socket} = rock socket perimeter, ft $L_{\rm socket}$ = rock socket length, ft $\mathsf{q}_{\mathsf{t}}^{}$ = unit tip resistance, ksf ${\sf A}_{\sf tip}^{}$ = rock socket tip area, ft 2

7.7 SUMMARY

The predictive methods for side and tip resistances proposed in Phase 1 were revised to reflect the additional load test compiled in Phase 2 and described in [Chapter 2:.](#page-14-0) The side resistance predictive method is a function of only the unconfined compressive strength of weak rock, which is similar to existing methods. Conversely, the tip resistance method is a function of unconfined compressive strength, tip displacement, and socket length. The drilled shaft design flowchart presented by Brown et al. (2010) is recommended for IDOT designs, with the modifications of sections 7.2 to 7.6 of this report for the design of drilled shafts in weak, finegrained rocks.

CHAPTER 8: SUMMARY AND CONCLUSIONS

8.1 INTRODUCTION

The research project ICT R27-145, Modified Standard Penetration Test–based Drilled Shaft Design Method for Weak Rocks (Phase 2 study), investigated (1) load-transfer mechanisms of drilled shafts that are fully or partially embedded in weak, fine-grained rocks (e.g., weak shales) encountered in the state of Illinois; and (2) the accuracy of the method for characterizing weak shales and the design procedure developed during Phase 1 of this study (ICT- R27-99). The new design procedure developed in Phase 2 improves safety and reduces IDOT's deep-foundation costs for future bridge structures by reducing investigation and testing costs and providing a less conservative design.

The main objectives of this study were to: (1) improve the Modified Standard Penetration Test (MSPT) method developed during Phase 1 of this study; (2) improve the reliability of the empirical correlation between the unconfined compressive strength and MSPT penetration rate; (3) drill and test at 16 additional IDOT bridge sites and by including the influence of SPT hammer energy on the measured MSPT penetration rate; (4) conduct two full-scale, drilled shaft load tests to investigate the load-transfer mechanism in weak, fine-grained rocks and to evaluate the proposed predictive methods; (5) improve and verify Phase 1 drilled shaft side- and tip resistance predictive methods by including more drilled shaft load tests; (6) develop appropriate reliability-based resistance factors for drilled shaft design using the load and resistance factors design (LRFD) framework; (7) develop and calibrate a numerical model using the load test results to study the load-transfer mechanism of weak, fine-grained, socketed drilled shafts; and (8) conduct a parametric study to investigate the main factors controlling drilled shaft design. The major findings from this project are summarized below.

8.2 FIELD EXPLORATION AND LABORATORY TESTING

Field exploration was conducted at 16 additional IDOT bridge sites where weak shales are present. The main objectives of this exploration were to refine, augment, and verify the relationship proposed in Phase 1 of this study of MSPT penetration rate versus unconfined compressive strength of weak shales and to investigate the strength and compressibility properties of weak shale in Illinois. The following is a summary of the major findings of Phase 2:

- Undrained Young's modulus can be correlated with the in situ moisture content and the unconfined compressive strength of weak shales. This correlation can be used for estimating the modulus of shales for preliminary settlement analysis of bridge piers when site-specific data are not available or to evaluate site-specific data and laboratory testing.
- SPT hammer energy measurements for all IDOT drill rigs used in MSPT penetration rate measurements used herein imparted an average energy of 90% of the theoretical maximum hammer energy. A normalized penetration rate, (NRate)90, was

developed herein to improve the reliability of the proposed correlation between unconfined compressive strength and MSPT penetration rate.

• An energy-based correlation between unconfined compressive strength and normalized MSPT penetration rate was developed for Illinois weak shales, i.e., UCS (ksf) = 0.092 $*(N_{rate})_{90}$. This correlation can be used with the MSPT penetration rate for drilled shaft design, especially when obtaining high-quality shale samples for triaxial compression testing is difficult or impossible. The use of MSPT penetration rates for drilled shaft design should reduce the design time and costs by reducing or eliminating shale coring and laboratory triaxial compression testing.

8.3 IMPROVEMENTS OF ILLINOIS DRILLED SHAFT DESIGN PROCEDURE

Additional drilled shaft load test data were located in the literature and incorporated in the Phase 1 database to refine and verify the proposed side- and tip resistance design methods. This updated load test database was used for more detailed statistical analyses and development of a reliability-based load-resistance factor (LRFD) for the drilled shaft design method for weak clay-based rock developed herein. This larger database allowed identification of outlier data points in the original database. This increased the efficiency of the design correlations, reduced uncertainty in the design procedure, and was used to justify larger resistance factors for side and tip resistance developed herein.

8.3.1 Unit Side Resistance

Findings related to drilled shaft unit side resistance include the following:

• This study recommends a linear function to predict unit side resistance in weak shales—instead of the power functions commonly used to correlate rock undrained compressive strength to measured unit side resistance in a drilled shaft load test. The linear equation recommended for drilled shaft design in Illinois shales is

$$
f_s(ksf)=0.31^*q_u\leq 31~\text{ksf}
$$

- Side resistance does not change significantly with changes in shaft diameter.
- After ultimate unit side resistance is mobilized, additional drilled shaft displacement along the drilled shaft/weak rock interface does not decrease unit side resistance.

8.3.2 Unit Tip Resistance

Findings related to drilled shaft unit tip resistance include the following:

• Available predictive methods (with the exception of the methods of Abu-Hejleh et al. [2003], Abu-Hejleh and Attwooll [2005], and the *Canadian Foundation Engineering Manual,* [Canadian Geotechnical Society 2006]) correlate only the measured tip resistance in load tests to the unconfined compressive strength of weak rock.

- Analysis of load test data assembled herein indicates that mobilized tip resistance is governed not only by the undrained compressive strength of weak rock but also by drilled shaft tip movement during loading and depth of embedment of the drilled shaft in the weak rock, i.e., rock socket. Therefore, predictive methods for tip resistance should account for all of these factors, not just unconfined compressive strength.
- The load test database developed herein was used to develop a tip-capacity design method that can account for these factors. The new method uses settlement and strength criteria to predict unit tip resistance, and the recommended equation for drilled shaft tip resistance in Illinois shales is

$$
q_t = \frac{4.0^* \, \delta/D}{\delta/D + 0.015} {^*q_u} {^*d_c} \leq 3.0^*q_u {^*d_c}
$$

8.4 NEW DRILLED SHAFT DESIGN PROCEDURE

New predictive methods for unit side resistance and tip resistance are presented in section 8.3 and described in detail in Chapter 7. The unit side resistance predictive method is a function of only unconfined compressive strength, while unit tip resistance is a function of unconfined compressive strength, embedment depth, and tip displacement under applied loads. The drilled shaft design flowchart proposed by Brown et al. (2010) is recommended with the use of the side and resistance equations presented in Section 8.3, for the design of drilled shafts in weak sedimentary rocks (e.g., weak shales in Illinois). Recommendations in Chapter 2 are also anticipated to be used for determining the strength and compressibility parameters.

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APPENDIX A FIELD EXPLORATION AT CH-9 OVER I-74

A.1 BACKGROUND

Figure A.1 shows the proposed location of CH-9 over I-74 bridge site, located in Knox County, just north of Knoxville, Illinois. This three-span bridge structure is designed to carry two-lane highway over the I-74. North and South abutments of this bridge are supported on driven Hpiles foundations. Piers 1 and 2, are supported by shallow foundations resting on the shallow weak shales. The weak shales near the north abutment was investigated during this study.

Figure A.1: Location of CH-9 over I-74 bridge near city of Knoxville.

Figure A.2: Location of boring holes at CH-9 over I-74.

Figure A.2 shows a plan view of CH-9 over the I-74 bridge structure and the location of the borings drilled on March 20, 2014 by Bulldog Engineering crew and the UIUC research team. Two borings were advanced near the north abutment. These borings were drilled to the elevation of 710.0 feet.

One of the two borings drilled for each pier was used to obtain shale core. Initially rock cores were used for determination of recovery ratio, RQD of the rock mass, and vertical spacing of joints. Afterwards unconfined compression tests were conducted on the retrieved shale specimens. The in situ water content of the shale specimens used in the triaxial compression tests was also measured for correlation purposes. Triaxial test results were also used to determine the deformability characteristics of shale under undrained loading conditions.

The second boring was used to obtain MSPT blow counts at various depths. This data was used to develop a new correlation between undrained compressive strength of weak shale in Illinois and MSPT penetration rate.

The following sections discuss geology of the bridge site, MSPT test results, and laboratory test results.

A.2 SITE GEOLOGY

The geology at the bridge site consists of 10 feet of soft to stiff silty clay overlying sedimentary bedrock, e.g., shale and sandstone. The ground surface elevation at the north abutment is about 748.0 Weathered gray clay shale was exposed at an elevation of about 738 feet. Sandstone layer was exposed at elevation of 710.0 feet where the drilling was terminated. Laboratory test results are summarized in Table A.1.

A.3 MODIFIED STANDARD PENETRATION TEST RESULTS

Figure A.3 shows the Modified Standard Penetration Test results obtained in one of the two borings at CH-9 over I-74.

Figure A.3 Modified Standard Penetration Test results.

A.4 LABORATORY TEST RESULTS

A.4.1 Moisture Content and Total Unit Weight

Figure A.4 shows the total unit weight profile at the CH-9 over I-74 site. The total unit weight of shale was computed in accordance with ASTM D7263.

Shale specimens from unconsolidated undrained and unconfined compressive tests were used for determination of in situ water content. The resulting water content profile is shown in Figure A.5. Water content of the shale was determined in accordance with ASTM D2216.

Figure A.4 Total unit weight profile.

Figure A.5 In situ moisture content profile.

A.4.2 Triaxial Compression Test Results

Unconfined compression tests were performed in accordance with ASTM D7012–14 (method D). The peak deviator stress was used to calculate the undrained compressive strength for each test. The resulting undrained compressive strengths are shown in Table A.1.

A.4.3 Young's Modulus of Shale Specimen

Young's modulus was measured from results of triaxial tests in accordance to ASTM D7012–14 (method D). In short, the modulus was calculated from the slope of the stress-strain relationships that correspond to 50% of mobilized undrained compressive strength. Figure A.6 shows the relationship between Young's modulus and undrained compressive strength for the shale core tested from the CH-9 over I-74 site. This data was also used to develop a relationship between Young's modulus and shale natural water content (see Figure A.7). The unconfined compressive strength to the undrained Young's modulus ratio shown in Figure A.6 agrees well with the general trends observed in Phase 1 & 2 of this study. The site-specific relationship between undrained Young's modulus and the in situ water content is also shown in Figure A.7. Table A.1 summarizes all of the data obtained from the laboratory testing and evaluation.

Figure A.6 Relationship between undrained compressive strength and Young's modulus.

Figure A.7 Relationship between in situ moisture content and Young's modulus.

APPENDIX B FIELD EXPLORATION AT CH-10 OVER THE BUCK CREEK

B.1 BACKGROUND

Figure B.1 shows location of CH10 over the Buck creek, located in Clay County, just North Flora, Illinois. This single span bridge structure carries a two-lane highway over the Buck creek. The weak shales near the south abutment, was investigated during this study.

Figure B.1 Location of CH10 over the Buck Creek.

Two borings were advanced near west abutment on September 18, 2014 by District 7 drilling crew. These borings were drilled to an elevation of 408.2 feet.

The first boring was used to obtain shale core samples. Initially rock cores were used for determination of recovery ratio, RQD of the rock mass, and vertical spacing of joints. Afterwards unconfined compression tests were conducted on the retrieved weak shales specimens. The in situ water content of the shale specimens used in the unconfined compression tests was also measured for correlation purposes. The unconfined compression test results were also used to determine the deformability characteristics of shale under undrained loading conditions.

The second boring was used to obtain MSPT blow counts at various depths. These data were used to improve/check the correlation between undrained compressive strength of weak shale in Illinois and MSPT penetration rates developed in Phase of this study. The following sections discuss geology of the bridge site, MSPT test results, and laboratory test results

B.2 SITE GEOLOGY

The geology at the bridge site consists of about 25 feet of soft silty clay, overlying sedimentary bedrock. The ground surface elevation at the two borings, is about 443.2.0 feet. A fairly

continuous layer of thinly bedded clay shale was exposed at an elevation of 418.2 feet and extended to elevation of 408.2 feet where coring was terminated. Laboratory test results are summarized in Table B.1.

B.3 MODIFED STANDARD PENETRATION TEST RESULTS

Figure B.2 shows the Modified Standard Penetration Test results obtained in one of the borings at CH10 over the Buck Creek.

Figure B.2 Modified Standard Penetration Test results.

B.4 LABORATORY TEST RESULTS

B.4.1 Moisture Content and Total Unit Weight

Figure B.3 shows the total unit weight profile at the CH10 over the Buck Creek site. The total unit weight of the encountered shales was computed in accordance with ASTM D7263. Shale specimens from unconfined compressive tests were used for determination of in situ water content. The resulting water content profile is shown in Figure B.4. Water content of the Shales was determined in accordance with ASTM D2216.

Figure B.4 In situ moisture content profile.

B.4.2 Triaxial Compression Test Results

Unconfined triaxial compression tests were performed in accordance with ASTM D7012–14 (method D). The peak deviator stress was used to calculate the undrained compressive strength for each test. The resulting undrained compressive strengths are shown in Table B.1.

B.4.3 Young's Modulus of Shale Specimen

Young's modulus was measured from results of triaxial tests in accordance to ASTM D7012–14 (method D). In short, the modulus was calculated from the slope of the stress-strain relationships that correspond to 50% of mobilized undrained compressive strength. Figure B.5 shows the relationship between Young's modulus and undrained compressive strength for the shales core tested from the CH10 over the Buck Creek site. This data was also used to develop a relationship between Young's modulus and natural water content (see Figure B.6). Table B.1 summarizes all of the data obtained from the laboratory testing and evaluation.

Figure B.5 Relationship between undrained compressive strength and Young's modulus.

Specimen Identification	BKC-S1	BKC -S2	BKC-S3
Core Run Number			$\mathbf{2}$
Depth (ft.)	26.5	28.0	30
Initial Water Content (%)	10.5	8.2	7.5
Total Unit Weight (pcf)	135	142	145
Undrained Compressive Strength (ksf)	4.35	22	38.0
Strain at Peak Strength (%)		3.5	5.0
Young's Modulus (ksf)	290	810.5	2110.5
Recovery (%)	70	70	100
Rock Quality Designation (%)	55	55	75
Joint Average Vertical Spacing (in)	1 to 2	1 to 2	1 to 2
Sample Description	Clay Shales	Clay Shales	Clay Shales
	Tan, thinly	Gray, thinly	Gray, thinly
	bedded, fissile	bedded,	bedded, fissile,
	sandy	fissile.	with coal seams

Table B.1 Laboratory Data Summary at the CH10 over the Buck Creek

APPENDIX C FIELD EXPLORATION AT IL 89 OVER THE ILLINOIS RIVER

C.1 BACKGROUND

Figure C.1 shows the location of IL 89 over Illinois River bridge site, located in Putnam County, just south of Spring Valley, Illinois. The eight-span bridge structure carries a two-lanes highway over Illinois River and connects Putnam and Bureau counties via IL-89. The north and south abutments of the bridge together with Piers 1,6 & 7 are supported on driven H-piles. Piers 2 to 5 are supported on drilled shafts socketed into the underlying sedimentary rocks.

Figure C.1 Location of IL 89 over Illinois River.

Figure C.2 Location of boring holes at IL 89 over Illinois River.

Figure C.2 shows a plan view of IL 89 over Illinois River structure and the location of the sixteen (16) borings drilled between May to October 2014 by Wang Engineering and District 3 drilling crew. The sixteen borings included two borings for each of the seven bridge piers plus another two for the test shaft near Pier 1 (see Chapter 5)

One of the two borings was used to obtain core samples. Initially rock cores were used for determination of recovery ratio, RQD of the rock mass, and vertical spacing of joints. Afterwards unconfined compression tests were conducted on the retrieved shale specimens. The in situ water content of the specimens used in the unconfined compression test was also measured for correlation purposes. The unconfined compression test results were also used to determine the deformability characteristics of the weak rock under undrained loading conditions.

The second boring was used to obtain MSPT blow counts at various depths. This data was used to check the applicability of the proposed correlation between undrained compressive strength in Illinois and MSPT penetration rate to shales. The following sections discuss geology of the bridge site, MSPT test results, and laboratory test results

C.2 SITE GEOLOGY

The geology at the site is primarily from the Quaternary and Pennsylvanian periods; the makeup of the other soils is from excavated and fill materials. The overburden at the site consists almost exclusively of materials from a number of formations in the Quaternary System. These formations range in composition from alluvial, eolian, or glacial deposits to a depth of approximately 50 ft. In terms of an engineering description, the overburden consists of largely of silts and clays with trace sand and the occasional sand and gravel lenses.

The north (Piers 1, 2, and 3) and south approaches (Piers 6, and 7), from the ground surface going downward, are underlain by excavated and fill materials, then by shales from the Bond and Mattoon Formations from the Pennsylvanian System. Willman et al, 1967 describe these formations as consisting of green to red shale, with medium gray fossililiferous limestone, medium to dark grayish green mudstone, and medium gray grainstone. Indeed shale, albeit medium to dark gray in color, was found at depths from approximately 50 to 90 ft; a coal seam with underclay was consistently encountered near depths of 85 ft. At 90 ft, the shale transitioned to light to medium gray lime mudstone and continued through 120 ft, where the majority of borings were terminated.

The piers located in the river (Piers 3 and 4), from the ground surface going downward, are underlain by sands from the Cahokia Formation in the Quarternary System, then by shales from the Bond and Mattoon Formations from the Pennsylvanian System (ISGS map). As with the north and south abutments, shale was found from depths of 50 to 90 ft with a coal seam and underclay near 85 ft. Again as with north and south abutments, the shale transitioned at 90 ft to a lime mudstone to 120 ft where the borings were terminated. Laboratory test results are summarized in Table C.1.

C.3 MODIFED STANDARD PENETRATION TEST RESULTS

Figure C3 to C10 show the Modified Standard Penetration Test results for the eight MSPTs conducted at the IL 89 over Illinois River piers and test shaft location.

Figure C.3 Modified Standard Penetration Test results for Pier 1.

Figure C.4 Modified Standard Penetration Test results for Pier 2.

Figure C.5 Modified Standard Penetration Test results for Pier 3.

Figure C.6 Modified Standard Penetration Test results for Pier 4.

Figure C.7 Modified Standard Penetration Test results for Pier 5

Figure C.8 Modified Standard Penetration Test results for Pier 6

Figure C.9 Modified Standard Penetration Test results for Pier 7

Figure C.10 Modified Standard Penetration Test results at the test shaft Location

C.4 LABORATORY TEST RESULTS

C.4.1 Moisture Content and Total Unit Weight

Figure C.11 shows the total unit weight profile at the IL89 over the Illinois River site. The total unit weight of the encountered sedimentary rock was computed in accordance with ASTM D 7263. Shale specimens from unconfined compressive tests were used for determination of in situ water content. The resulting water content profile is shown in Figure C.12. Water content of the Shales was determined in accordance with ASTM D 2216.

Figure C.11 Total unit weight profile.

C.4.2 Triaxial Compression Test Results

Unconfined triaxial compression tests were performed in accordance with ASTM D7012–14 (method D). The peak deviator stress was used to calculate the undrained compressive strength for each test. The resulting undrained compressive strengths are shown in Table C.1.

C.4.3 Young's Modulus of Shale Specimen

Young's modulus was measured from results of triaxial tests in accordance to ASTM D7012–14 (method D). In short, the modulus was calculated from the slope of the stress-strain relationships that correspond to 50% of mobilized undrained compressive strength. Figure C.13 shows the relationship between Young's modulus and undrained compressive strength for the shale cores tested from the IL 89 over the Illinois River site. This data was also used to develop a relationship between Young's modulus and natural water content (see Figure C.14). The unconfined compressive strength to the undrained Young's modulus ratio shown in Figure C.13 agrees well with the general trends observed in Phase 1 & 2 of this study. The site-specific relationship between undrained Young's modulus and the in situ water content is also shown in Figure C.14. Table C.1 summarizes all of the data obtained from the laboratory testing and evaluation.

Figure C.13 Relationship between undrained compressive strength and Young's modulus.

content and Young's modulus.

Table C.1 Laboratory Data Summary at the IL 89 over the Illinois River

APPENDIX D FIELD EXPLORATION AT TR 325 OVER THE ELM CREEK

D.1 BACKGROUND

Figure D.1 shows location of TR 325 over the Elm creek, located in Clay County, just North the city of Flora, Illinois. This single span bridge structure carries a two-lane highway over the Elm creek. The weak shales near the south abutment, was investigated during this study.

Figure D.1 Location of TR 325 over the Elm creek.

Two borings were advanced near south abutment on 16 September 2014 by District 7 drilling crew. These borings were drilled to an elevation of 441.0 feet.

The first boring was used to obtain shale core samples. Initially rock cores were used for determination of recovery ratio, RQD of the rock mass, and vertical spacing of joints. Afterwards unconfined compression tests were conducted on the retrieved weak shales specimens. The in situ water content of the shale specimens used in the unconfined compression tests was also measured for correlation purposes. The unconfined compression test results were also used to determine the deformability characteristics of shale under undrained loading conditions.

The second boring was used to obtain MSPT blow counts at various depths. These data were used to improve/check the correlation between undrained compressive strength of weak shale in Illinois and MSPT penetration rates developed in Phase of this study. The following sections discuss geology of the bridge site, MSPT test results, and laboratory test results

D.2 SITE GEOLOGY

The geology at the bridge site consists of about 22 feet of soft silty clay and clay till, overlying sedimentary bedrock, e.g., shale, and sandstone. The ground surface elevation at the two borings, is about 481.0 feet. A fairly continuous layer of thinly bedded clay shale was exposed at an elevation of 459 feet and extended to elevation of 441 feet where coring was terminated. Laboratory test results are summarized in Table D.1.

D.3 MODIFED STANDARD PENETRATION TEST RESULTS

Figure D.2 shows the Modified Standard Penetration Test results obtained in one of the borings at TR 325 over the Elm creek.

Figure D.2 Modified Standard Penetration Test results.

D.4 LABORATORY TEST RESULTS

D.4.1 Moisture Content and Total Unit Weight

Figure D.3 shows the total unit weight profile at the TR 325 over the Elm creek site. The total unit weight of the encountered shales was computed in accordance with ASTM D7263. Shale specimens from unconfined compressive tests were used for determination of in situ water content. The resulting water content profile is shown in Figure D.4. Water content of the Shales was determined in accordance with ASTM D2216.

D.4.2 Triaxial Compression Test Results

Unconfined triaxial compression tests were performed in accordance with ASTM D7012–14 (method D). The peak deviator stress was used to calculate the undrained compressive strength for each test. The resulting undrained compressive strengths are shown in Table D.1.

D.4.3 Young's Modulus of Shale Specimen

Young's modulus was measured from results of triaxial tests in accordance to ASTM D7012–14 (method D). In short, the modulus was calculated from the slope of the stress-strain relationships that correspond to 50% of mobilized undrained compressive strength. Figure D.5 shows the relationship between Young's modulus and undrained compressive strength for the shales core tested from the TR325 over the Elm creek site. This data was also used to develop a relationship between Young's modulus and natural water content (see Figure D.6). Table D.1 summarizes all of the data obtained from the laboratory testing and evaluation.

strength and Young's modulus.

Specimen Identification	EC-S1	$EC - S2$	EC-S3
Core Run Number			$\mathbf{2}$
Depth (ft.)	22.5	25.5	31.5
Initial Water Content (%)	11.93	8.15	7.94
Total Unit Weight (pcf)	141.5	131.1	135.0
Undrained Compressive Strength (ksf)	4.35	18.9	137.7
Strain at Peak Strength (%)	4.84	3.35	7.94
Young's Modulus (ksf)	226.6	784.5	1514.7
Recovery (%)	68	87	100
Rock Quality Designation (%)	35	40	61
Joint Average Vertical Spacing (in)	1 to 2	1 to 2	1 to 2
Sample Description	Clay Shales Tan, thinly bedded, fissile sandy	Clay Shales Gray, thinly bedded, fissile, sandy	Clay Shales Gray, thinly bedded, fissile, sandy

Table D.1 Laboratory Data Summary at the TR 325 over the Elm creek

APPENDIX E FIELD EXPLORATION AT TR 355 OVER THE SEMINARY CREEK

E.1 BACKGROUND

Figure E.1 shows location of TR 355 over the Seminary creek, located in Clay County, just South the city of Flora, Illinois. This single span bridge structure carries a two-lane highway over the Seminary creek. The weak shales near the south abutment, was investigated during this study.

Figure E.1 Location of TR 355 over the Seminary creek.

Figure E.2 Location of boring holes at TR 355 over the Seminary creek.

Figure E.2 shows a plan view of TR 355 over the Seminary creek bridge and the location of borings drilled on September,15 2014 by District 7 drilling crew and the UIUC research team. Two borings were advanced near west abutment. These borings were drilled to an elevation of 432.0 feet.

The first boring was used to obtain shale core samples. Initially rock cores were used for determination of recovery ratio, RQD of the rock mass, and vertical spacing of joints. Afterwards unconfined compression tests were conducted on the retrieved weak shales specimens. The in situ water content of the shale specimens used in the unconfined compression tests was also measured for correlation purposes. The unconfined compression test results were also used to determine the deformability characteristics of shale under undrained loading conditions.

The second boring was used to obtain MSPT blow counts at various depths. These data were used to improve/check the correlation between undrained compressive strength of weak shale in Illinois and MSPT penetration rates developed in Phase 1 of this study. The following sections discuss geology of the bridge site, MSPT test results, and laboratory test results

E.2 SITE GEOLOGY

The geology at the bridge site consists of about 10 feet of soft silty clay loam, overlying sedimentary bedrock, e.g., shale, and sandstone. The ground surface elevation at the two borings, is about 457.0 feet. A fairly continuous layer of thinly bedded sandy clay shale was exposed at an elevation of 447 feet and extended to elevation of 434 feet. A sandstone layer underlies this layer. Coring was terminated at elevation of 432.0 feet, i.e., 2.0 feet into the sandstone. Laboratory test results are summarized in Table E.1.

E.3 MODIFED STANDARD PENETRATION TEST RESULTS

Figure E.3 shows the Modified Standard Penetration Test results obtained in one of the borings at TR 355 over the Seminary creek.

Figure E.3 Modified Standard Penetration Test results.

E.4 LABORATORY TEST RESULTS

E.4.1 Moisture Content and Total Unit Weight

Figure E.5 shows the total unit weight profile at the TR 355 over the Seminary creek site. The total unit weight of the encountered shales was computed in accordance with ASTM D7263. Shale specimens from unconfined compressive tests were used for determination of in situ water content. The resulting water content profile is shown in Figure E.6. Water content of the Shales was determined in accordance with ASTM D2216.

Figure E.*4 Total unit weight profile.*

Figure E.5 In situ moisture content profile.

E.4.2 Triaxial Compression Test Results

Unconfined triaxial compression tests were performed in accordance with ASTM D7012–14 (method D). The peak deviator stress was used to calculate the undrained compressive strength for each test. The resulting undrained compressive strengths are shown in Table E.1.

E.4.3 Young's Modulus of Shale Specimen

Young's modulus was measured from results of triaxial tests in accordance to ASTM D7012–14 (method D). In short, the modulus was calculated from the slope of the stress-strain relationships that correspond to 50% of mobilized undrained compressive strength. Figure E.7 shows the relationship between Young's modulus and undrained compressive strength for the shales core tested from the TR355 over the Seminary creek site. This data was also used to develop a relationship between Young's modulus and natural water content (see Figure E.8). Table E.1 summarizes all of the data obtained from the laboratory testing and evaluation.

content and Young's modulus.

APPENDIX F FIELD EXPLORATION AT IL 23 OVER THE OTTER CREEK

F.1 BACKGROUND

Figure F.1 shows location of IL 23 over Otter Creek, located in LaSalle County, just north the city of Streator, Illinois. This three-span bridge structure carries a four-lane highway over the Otter Creek. North and South abutments of this bridge are supported on driven H-piles foundations. Piers 1 and 2, however, are supported by shallow foundations. The Mudstones near the south abutment, was investigated during this study.

Figure F.1 Location of IL 23 over Otter Creek.

Figure F.2 Location of boring holes at IL 23 over Otter Creek.

Figure F.2 shows a plan view of IL 23 over Otter Creek structure and the location of borings drilled on October 28, 2014 by the District 3 drilling crew and the UIUC research team. Two borings were advanced on the frontage road (south the bridge). These borings were drilled to an elevation of 562.2 feet.

One of the two borings was used to obtain core samples. Initially rock cores were used for determination of recovery ratio, RQD of the rock mass, and vertical spacing of joints. Afterwards unconfined compression tests were conducted on the retrieved mudstones specimens. The in situ water content of the specimens used in the unconfined compression test was also measured for correlation purposes. The unconfined compression test results were also used to determine the deformability characteristics of the weak rock under undrained loading conditions.

The second boring was used to obtain MSPT blow counts at various depths. This data was used to check the applicability of the proposed correlation between undrained compressive strength in Illinois and MSPT penetration rate to mudstones. The following sections discuss geology of the bridge site, MSPT test results, and laboratory test results

F.2 SITE GEOLOGY

The geology at the bridge site consists of about 25 feet of weak overburden soils overlying sedimentary bedrock, e.g., mudstones and limestone. The ground surface elevation at south abutment, i.e., the two borings, is about 595.4 feet. Micaceous Mudstone was exposed at an elevation of 570.4 feet and extended till the end of the borehole. Laboratory test results are summarized in Table F.1.

F.3 MODIFED STANDARD PENETRATION TEST RESULTS

Figure F.3 shows the Modified Standard Penetration Test results obtained in one of the borings at IL 23 over the Otter Creek.

Figure F.3 Modified Standard Penetration Test results.

F.4 LABORATORY TEST RESULTS

F.4.1 Moisture Content and Total Unit Weight

Figure F.4 shows the total unit weight profile at the IL23 over the Otter Creek site. The total unit weight of the encountered sedimentary rock was computed in accordance with ASTM D7263.

Mudstone specimens from unconfined compressive tests were used for determination of in situ water content. The resulting water content profile is shown in Figure F.5. Water content of the Mudstones was determined in accordance with ASTM D2216.

Figure F.4 Total unit weight profile.

Figure F.5 In situ moisture content profile.

F.4.2 Triaxial Compression Test Results

Unconfined triaxial compression tests were performed in accordance with ASTM D7012–14 (method D). The peak deviator stress was used to calculate the undrained compressive strength for each test. The resulting undrained compressive strengths are shown in Table F.1.

F.4.3 Young's Modulus of Mudstone Specimen

Young's modulus was measured from results of triaxial tests in accordance to ASTM D7012–14 (method D). In short, the modulus was calculated from the slope of the stress-strain relationships that correspond to 50% of mobilized undrained compressive strength. Figure F.6 shows the relationship between Young's modulus and undrained compressive strength for the mudstones core tested from the IL 23 over the Otter Creek site. This data was also used to develop a relationship between Young's modulus and natural water content (see Figure F.7). Table F.1 summarizes all of the data obtained from the laboratory testing and evaluation.

Figure F.6 Relationship between undrained compressive strength and Young's modulus.

Figure F.7 Relationship between in situ moisture content and Young's modulus.

APPENDIX G FIELD EXPLORATION AT IL 133 OVER THE EMBARRAS RIVER

G.1 BACKGROUND

Figure G.1 shows the proposed location of IL 133 over the Embarras River bridge site, located in Coles County, just west of Oakland, Illinois. This two-span bridge structure is designed to carry two-lane highway over the Embarras River. East and West abutments of this bridge are supported on driven H-piles foundations. The single pier is supported by drilled shaft foundations socketed into weak shales. In Phase 2 of this study, a test shaft was constructed near the pier to study the load-transfer mechanism of drilled shafts socketed into weak shales. The weak shale near the constructed test shaft was investigated during this study.

Figure G.1: Location of IL 133 over the Embarras River bridge near city of Oakland.

Figure G.2: Location of boring holes at IL 133 over the Embarras River.

Figure G.2 shows a plan view of IL 133 over the Embarras River bridge structure and the location of the borings drilled on May 21, 2015 and July, 22 2015 by the District 7 drilling crew and the UIUC research team. Four borings were advanced near the test shaft. The borings extended about 9.0 ft below the test shaft base (i.e. Elevation 564.1 ft).

Two of the four borings drilled were used to obtain shale core. Initially rock cores were used for determination of recovery ratio, RQD of the rock mass, and vertical spacing of joints. Afterwards unconfined compression tests were conducted on the retrieved shale specimens. The in situ water content of the shale specimens used in the triaxial compression tests was also measured for correlation purposes. Triaxial test results were also used to determine the deformability characteristics of shale under undrained loading conditions.

The other two boring were used to obtain MSPT blow counts at various depths. This data was used to improve the proposed correlation between undrained compressive strength of weak shale in Illinois and MSPT penetration rate developed in Phase 1 of this study.

The following sections discuss geology of the bridge site, MSPT test results, and laboratory test results.

G.2 SITE GEOLOGY

The geology at the bridge site consists of 11 feet of soft to stiff silty clay overlying sedimentary bedrock, e.g., shale and sandstone. The ground surface elevation at the test shaft is about 600.0 ft. Weathered gray clay shale was exposed at an elevation of about 589 feet and extend to elevation of 564.1 where the drilling was terminated. Laboratory test results are summarized in Table G.1.

G.3 MODIFIED STANDARD PENETRATION TEST RESULTS

Figure G.3 shows the Modified Standard Penetration Test results obtained in two of the four borings at IL 133 over the Embarras River.

Figure G.3 Modified Standard Penetration Test results.
G.4 LABORATORY TEST RESULTS

G.4.1 Moisture Content and Total Unit Weight

Figure G.4 shows the total unit weight profile at the IL 133 over the Embarras River site. The total unit weight of shale was computed in accordance with ASTM D7263.

Shale specimens from unconsolidated undrained and unconfined compressive tests were used for determination of in situ water content. The resulting water content profile is shown in Figure G.5. Water content of the shale was determined in accordance with ASTM D2216.

Figure G.4 Total unit weight profile.

Figure G.5 In situ moisture content profile.

G.4.2 Triaxial Compression Test Results

Unconfined compression tests were performed in accordance with ASTM D7012–14 (method D). The peak deviator stress was used to calculate the undrained compressive strength for each test. The resulting undrained compressive strengths are shown in Table G.1.

G.4.3 Young's Modulus of Shale Specimen

Young's modulus was measured from results of triaxial tests in accordance to ASTM D7012–14 (method D). In short, the modulus was calculated from the slope of the stress-strain relationships that correspond to 50% of mobilized undrained compressive strength. Figure G.6 shows the relationship between Young's modulus and undrained compressive strength for the shale core tested from the IL 133 over the Embarras River site. This data was also used to develop a relationship between Young's modulus and shale natural water content (see Figure G.7). The unconfined compressive strength to the undrained Young's modulus ratio shown in Figure G.6 agrees well with the general trends observed in Phase 1 & 2 of this study. The sitespecific relationship between undrained Young's modulus and the in situ water content is also shown in Figure G.7. Table G.1 summarizes all of the data obtained from the laboratory testing and evaluation.

Figure G.7 Relationship between in situ moisture content and Young's modulus.

APPENDIX H FIELD EXPLORATION AT I-55 OVER THE DES PLAINES RIVER

H.1 BACKGROUND

Figure H.1 shows location of I-55 over the Des Plaines River, located in Will County, just South the city of Channon, Illinois. This 7-span bridge structure carries a four-lane highway over the Des Plaines River. The abutments and the six piers of this bridge are supported shallow foundations resting on the shallow sedimentary rocks (i.e. shales, limestones). The weak shales near the Pier 2, was investigated during this study.

Figure H.1 Location of I-55 over the Des Plaines River.

Figure H.2 Location of boring holes at I-55 over the Des Plaines River.

Figure H.2 shows a plan view of I-55 over the Des Plaines River structure and the location of borings drilled on November 19, 2015 by Wang Engineering drilling crew and the UIUC research team. Two borings were advanced near south abutment. These borings were drilled to an elevation of 445.5 feet.

The first boring was used to obtain shale core samples. Initially rock cores were used for determination of recovery ratio, RQD of the rock mass, and vertical spacing of joints. Afterwards unconfined compression tests were conducted on the retrieved weak shales specimens. The in situ water content of the shale specimens used in the unconfined compression tests was also measured for correlation purposes. The unconfined compression test results were also used to determine the deformability characteristics of shale under undrained loading conditions.

The second boring was used to obtain MSPT blow counts at various depths. These data were used to improve/check the correlation between undrained compressive strength of weak shale in Illinois and MSPT penetration rates developed in Phase of this study. The following sections discuss geology of the bridge site, MSPT test results, and laboratory test results

H.2 SITE GEOLOGY

The geology at the bridge site consists of about 30 feet of very soft to stiff brown to gray clay overlying sedimentary bedrock, e.g., shale, and limestone. The ground surface elevation at the two borings, is about 510 feet. A fairly continuous layer of clay shale was exposed at an elevation of 480 feet and extended to elevation of 445.5 feet were the coring was terminated. Laboratory test results are summarized in Table H.1.

H.3 MODIFED STANDARD PENETRATION TEST RESULTS

Figure H.3 and Figure H.4 show the Modified Standard Penetration Test results obtained in one of the borings at I-55 over the Des Plaines River.

Figure H.3 Modified Standard Penetration Test results.

Figure H.4 Modified Standard Penetration Test results.

H.4 LABORATORY TEST RESULTS

H.4.1 Moisture Content and Total Unit Weight

Figure H.5 shows the total unit weight profile at the I-55 over the Des Plaines River site. The total unit weight of the encountered shales was computed in accordance with ASTM D7263.

Shale specimens from unconfined compressive tests were used for determination of in situ water content. The resulting water content profile is shown in Figure H.6. Water content of the Shales was determined in accordance with ASTM D2216.

Figure H.6 In situ moisture content profile.

H.4.2 Triaxial Compression Test Results

Unconfined triaxial compression and Undrained Triaxial tests were performed in accordance with ASTM D7012–14 (method D). The peak deviator stress was used to calculate the undrained compressive strength for each test. The resulting undrained compressive strengths are shown in Table H.1.

H.4.3 Young's Modulus of Mudstone Specimen

Young's modulus was measured from results of triaxial tests in accordance to ASTM D70 ASTM D7012–14 (method D)12. In short, the modulus was calculated from the slope of the stressstrain relationships that correspond to 50% of mobilized undrained compressive strength. Figure H.7 shows the relationship between Young's modulus and undrained compressive strength for the shales core tested from the I-55 over the Des Plaines River site. This data was also used to develop a relationship between Young's modulus and natural water content (see Figure H.8). The unconfined compressive strength to the undrained Young's modulus ratio shown in Figure H.6 agrees well with the general trends observed in Phase 1 & 2 of this study. The site-specific relationship between undrained Young's modulus and the in situ water content is also shown in Figure H.7. Table H.1 summarizes all of the data obtained from the laboratory testing and evaluation.

strength and Young's modulus.

content and Young's modulus.

Table H.1 Laboratory Data Summary at the I-55 over the Des Plaines River

APPENDIX I FIELD EXPLORATION AT US 24 OVER LITTLE SISTER CREEK

I.1 BACKGROUND

Figure I.1 shows location of US 24 over the Little Sister creek, located in Fulton County, Illinois. East and West abutments of this bridge are supported on driven H-pile foundations that likely extends to the underlying weak sedimentary rocks. The weak shale located near the east abutment, was investigated during this study.

Figure I.1 Location of US 24 over Little Sister Creek.

Figure I.2 Location of boring holes at US 24 over the Little Sister Creek.

Figure I.2 shows a plan view of this US 24 bridge structure over the Little sister creek and the location of borings drilled on March 1, 2016 and March 2, 2016 by Bulldog Engineering and the UIUC research team. Two borings were advanced near the south east quad of the bridge at the east abutment and in close proximity to the Little Sister Creek. These borings were drilled to the elevation of 405 feet.

The first boring was used to obtain shale core samples. Initially rock cores were used for determination of recovery ratio, RQD of the rock mass, and vertical spacing of joints. Afterwards unconfined compression tests were conducted on the retrieved weak shales specimens. The in situ water content of the shale specimens used in the unconfined compression tests was also measured for correlation purposes. The unconfined compression test results were also used to determine the deformability characteristics of shale under undrained loading conditions.

The second boring was used to obtain MSPT blow counts at various depths. These data were used to improve/check the correlation between undrained compressive strength of weak shale in Illinois and MSPT penetration rates developed in Phase of this study. The following sections discuss geology of the bridge site, MSPT test results, and laboratory test results

I.2 SITE GEOLOGY

The geology at the bridge site consists of about 18 feet of soft to medium stiff dark clay loam with traces of gravel overlying sedimentary bedrock, e.g., shale, and limestone. The ground surface elevation at the two borings, is about 453 feet. A fairly continuous layer of weak fissile clay shale was exposed at an elevation of 435 feet and extended to elevation of 405 feet were the coring was terminated. Laboratory test results are summarized in Table I.1.

I.3 MODIFIED STANDARD PENETRATION TEST RESULTS

Figure I.3 shows the Modified Standard Penetration Test results obtained in one of the borings at US 24 over the Little Sister Creek.

I.4 LABORATORY TEST RESULTS

I.4.1 Moisture Content and Total Unit Weight

Figure I.4 shows the total unit weight profile at the US 24 site. The total unit weight of shale was computed in accordance with ASTM D7263.

Shale specimens from unconsolidated undrained and unconfined compressive tests were used for determination of in situ water content. The resulting water content profile is shown in Figure I.5. Water content of the shale was determined in accordance with ASTM D2216.

Figure I.4 Total unit weight profile.

Figure I.5 In situ moisture content profile.

I.4.2 Triaxial Compression Test Results

Unconfined triaxial compression tests were performed in accordance with ASTM D7012–14 (method D). The peak deviator stress was used to calculate the undrained compressive strength for each test. The resulting undrained compressive strengths are shown in Table I.1.

I.4.3 Young's Modulus of Shale Specimen

Young's modulus was measured from results of triaxial tests in accordance to ASTM D7012–14 (method D). In short, the modulus was calculated from the slope of the stress-strain relationships that correspond to 50% of mobilized undrained compressive strength. Figure I.6 shows the relationship between Young's modulus and undrained compressive strength for the shale cores tested from the Little sister creek site. This data was also used to develop a relationship between undrained Young's modulus and shale natural water content (see Figure I.7). The unconfined compressive strength to the undrained Young's modulus ratio shown in Figure I.6 agrees well with the general trends observed in Phase 1 & 2 of this study. The sitespecific relationship between undrained Young's modulus and the in situ water content is also shown in Figure I.7. The scatter shown in Figure I.7 is relatively high, as reflected by the low Rsquared values. However, the correlation given in the same figure is in the acceptable range observed in this study Table I.1 summarizes all of the data obtained from the laboratory testing and evaluation.

content and Young's modulus.

APPENDIX J FIELD EXPLORATION AT US 24 OVER BIG SISTER CREEK

J.1 BACKGROUND

Figure J.1 shows location of US 24 over the Big Sister creek, located in Fulton County, Illinois. East and West abutments of this bridge are supported on driven H-pile foundations that likely extends to the underlying weak sedimentary rocks. The weak shale located near the east abutment, was investigated during this study.

Figure J.1 Location of US 24 over Big Sister Creek.

Figure J.2 Location of boring holes at US 24 over the Big Sister Creek.

Figure J.2 shows a plan view of this US 24 bridge structure over the Big sister creek and the location of borings drilled on February 29, 2016 and March 1, 2016 by Bulldog Engineering and the UIUC research team. Two borings were advanced near the north east quad of the

bridge at the east abutment and in close proximity to the Big Sister Creek. These borings were drilled to the elevation of 413 feet.

The first boring was used to obtain shale core samples. Initially rock cores were used for determination of recovery ratio, RQD of the rock mass, and vertical spacing of joints. Afterwards unconfined compression tests were conducted on the retrieved weak shales specimens. The in situ water content of the shale specimens used in the unconfined compression tests was also measured for correlation purposes. The unconfined compression test results were also used to determine the deformability characteristics of shale under undrained loading conditions.

The second boring was used to obtain MSPT blow counts at various depths. These data were used to improve/check the correlation between undrained compressive strength of weak shale in Illinois and MSPT penetration rates developed in Phase of this study. The following sections discuss geology of the bridge site, MSPT test results, and laboratory test results

J.2 SITE GEOLOGY

The geology at the bridge site consists of about 20 feet of very soft to stiff brown to gray clay with thin seams of silty loam overlying sedimentary bedrock, e.g., shale, and limestone. The ground surface elevation at the two borings, is about 454 feet. A fairly continuous layer of weak fissile clay shale was exposed at an elevation of 435 feet and extended to elevation of 405 feet were the coring was terminated. Laboratory test results are summarized in Table J.1.

J.3 MODIFIED STANDARD PENETRATION TEST RESULTS

Figure J.3 shows the Modified Standard Penetration Test results obtained in one of the borings at US 24 over the Big Sister Creek.

Figure J.3 Modified Standard Penetration Test results.

J.4 LABORATORY TEST RESULTS

J.4.1 Moisture Content and Total Unit Weight

Figure J.4 shows the total unit weight profile at the US 24 site. The total unit weight of shale was computed in accordance with ASTM D7263.

Shale specimens from unconsolidated undrained and unconfined compressive tests were used for determination of in situ water content. The resulting water content profile is shown in Figure J.5. Water content of the shale was determined in accordance with ASTM D2216.

Figure J.4 Total unit weight profile.

Figure J.5 In situ moisture content profile.

J.4.2 Triaxial Compression Test Results

Unconfined triaxial compression tests were performed in accordance with ASTM D7012. The peak deviator stress was used to calculate the undrained compressive strength for each test. The resulting undrained compressive strengths are shown in Table J.1.

J.4.3 Young's Modulus of Shale Specimen

Young's modulus was measured from results of triaxial tests in accordance to ASTM D7012. In short, the modulus was calculated from the slope of the stress-strain relationships that correspond to 50% of mobilized undrained compressive strength. Figure J.6 shows the relationship between Young's modulus and undrained compressive strength for the shale cores tested from the Big sister creek site. This data was also used to develop a relationship between undrained Young's modulus and shale natural water content (see Figure J.7). The unconfined compressive strength to the undrained Young's modulus ratio shown in Figure J.6 agrees well with the general trends observed in Phase 1 & 2 of this study. The site-specific relationship between undrained Young's modulus and the in situ water content is also shown in Figure J.7. The site-specific correlation in Figure J.7 yields slightly lower values for undrained Young's modulus for the range of the water contents measured in this site. Table J.1 summarizes all of the data obtained from the laboratory testing and evaluation.

APPENDIX K FIELD EXPLORATION AT ELDAMAIN ROAD OVER THE FOX RIVER

K.1 BACKGROUND

Figure K.1 shows the proposed location of Eldamain road over the Fox River bridge site, located in Kendall County, just west of Yorkville, Illinois. This eight-span bridge structure is designed to carry two-lane highway over the Fox River. Pier 1 to 7 together with the north and south abutments are supported by H-piles that are embedded into the weak shales. The weak shales near Pier # 5 & 7 were investigated during this study.

Figure K.1: Location of Eldamain Road over the Fox River near city of Yorkville.

Figure K.2: Location of boring holes at Eldamain Road over the Fox River.

Figure K.2 shows a plan view of Eldamain Road over the Fox River bridge structure and the location of the borings drilled on January 21, 2016 and January 22, 2016 by Geocon

Engineering crew, McCleary Engineering and the UIUC research team. Four borings were advanced near Pier # 5 & 7 (i.e. 2 for each pier) on the north side of river. These borings were drilled to the elevation of 518 feet.

One of the two borings drilled for each pier was used to obtain shale cores. Initially rock cores were used for determination of recovery ratio, RQD of the rock mass, and vertical spacing of joints. Afterwards unconfined compression tests were conducted on the retrieved shale specimens. The in situ water content of the shale specimens used in the triaxial compression tests was also measured for correlation purposes. Triaxial test results were also used to determine the deformability characteristics of shale under undrained loading conditions.

The second boring was used to obtain MSPT blow counts at various depths. This data was used to develop a new correlation between undrained compressive strength of weak shale in Illinois and MSPT penetration rate.

The following sections discuss geology of the bridge site, MSPT test results, and laboratory test results.

K.2 SITE GEOLOGY

The geology at the bridge site consists of 25 feet of soft to stiff black silty clay overlying sedimentary bedrock, e.g., shale and limestone. The ground surface elevation at Pier # 5 & 7, is about 566.7 and 572.5 feet respectively. Weathered gray to black clay shale was exposed at an elevation of about 548 feet. Limestone layer was exposed at elevation of 531.0 feet and extended to elevation of 523.5 feet where drilling was terminated. Laboratory test results are summarized in Table K.1.

K.3 MODIFIED STANDARD PENETRATION TEST RESULTS

Figure K.3 shows the Modified Standard Penetration Test results obtained in two of the four borings at Eldamain Road over the Fox River.

Figure K.3 Modified Standard Penetration Test results.

K.4 LABORATORY TEST RESULTS

K.4.1 Moisture Content and Total Unit Weight

Figure K.4 shows the total unit weight profile at the Eldamain Road over the Fox River. site. The total unit weight of shale was computed in accordance with ASTM D7263.

Shale specimens from unconsolidated undrained and unconfined compressive tests were used for determination of in situ water content. The resulting water content profile is shown in Figure K.5. Water content of the shale was determined in accordance with ASTM D2216.

K.4.2 Triaxial Compression Test Results

Unconfined compression tests were performed in accordance with ASTM D7012–14 (method D). The peak deviator stress was used to calculate the undrained compressive strength for each test. The resulting undrained compressive strengths are shown in Table K.1.

K.4.3 Young's Modulus of Shale Specimen

Young's modulus was measured from results of triaxial tests in accordance to ASTM D7012–14 (method D). In short, the modulus was calculated from the slope of the stress-strain relationships that correspond to 50% of mobilized undrained compressive strength. Figure K.6 shows the relationship between Young's modulus and undrained compressive strength for the shale core tested from the Eldamain Road over the Fox River site. This data was also used to develop a relationship between Young's modulus and shale natural water content (see Figure K.7). The unconfined compressive strength to the undrained Young's modulus ratio shown in Figure K.6 agrees well with the general trends observed in Phase 1 & 2 of this study. The sitespecific relationship between undrained Young's modulus and the in situ water content is also shown in Figure K.7.Table K.1 summarizes of the data obtained from the laboratory testing and evaluation.

content and Young's modulus.

Table K.1 Laboratory Data Summary at the Eldamain road over the Fox river

APPENDIX L FIELD EXPLORATION AT US 150 OVER THE LITTLE VERMILLION RIVER

L.1 BACKGROUND

Figure L.1 shows location of US 150 over the Little Vermillion River, located in Vermillion County, just south Georgetown city, Illinois. This 2-span bridge structure carries a two-lane highway over the Vermillion River. The north and south abutments of this bridge are supported on driven H-piles while the pier is supported on drilled shaft foundations socketed into the underlying sedimentary rock. The weak shales near the south abutment, was investigated during this study.

Figure L.1 Location of US 150 over the Little Vermillion River.

Figure L.2 Location of boring holes at US 150 over the Little Vermillion River.

Figure L.2 shows a plan view of US150 over the Little Vermillion River structure and the location of borings drilled on March, 24 2016 by Geocon drilling crew and the UIUC research team. Two borings were advanced near south abutment. These borings were drilled to an elevation of 587.0 feet.

The first boring was used to obtain shale core samples. Initially rock cores were used for determination of recovery ratio, RQD of the rock mass, and vertical spacing of joints. Afterwards unconfined compression tests were conducted on the retrieved shale specimens. The in situ water content of the shale specimens used in the unconfined compression tests was also measured for correlation purposes. The unconfined compression test results were also used to determine the deformability characteristics of shale under undrained loading conditions.

The second boring was used to obtain MSPT blow counts at various depths. These data were used to improve/check the correlation between undrained compressive strength of weak shale in Illinois and MSPT penetration rates developed in Phase 1 of this study. The following sections discuss geology of the bridge site, MSPT test results, and laboratory test results

L.2 SITE GEOLOGY

The geology at the bridge site consists of about 10.5 feet of brown/gray sandy clay loam overlying sedimentary bedrock, e.g., shale, and limestone. The ground surface elevation at the two borings, is about 622 feet. A fairly continuous layer of indurated clay shale was exposed at an elevation of 612.5 feet and extended to 587 feet were the coring was terminated. Laboratory test results are summarized in Table L.1.

L.3 MODIFED STANDARD PENETRATION TEST RESULTS

Figure L.3 shows the Modified Standard Penetration Test results obtained in one of the borings at US150 over the Little Vermillion River.

L.4 LABORATORY TEST RESULTS

L.4.1 Moisture Content and Total Unit Weight

Figure L.4 shows the total unit weight profile at the US150 over the Little Vermillion River site. The total unit weight of the encountered shales was computed in accordance with ASTM D7263.

Shale specimens from unconfined compressive tests were used for determination of in situ water content. The resulting water content profile is shown in Figure L.5. Water content of the Shales was determined in accordance with ASTM D2216.

L.4.2 Triaxial Compression Test Results

Unconfined triaxial compression tests were performed in accordance with ASTM D7012– 14 (method D). The peak deviator stress was used to calculate the undrained compressive strength for each test. The resulting undrained compressive strengths are shown in Table L.1.

L.4.3 Young's Modulus of Mudstone Specimen

Young's modulus was measured from results of triaxial tests in accordance ASTM D7012–14 (method D). In short, the modulus was calculated from the slope of the stress-strain relationships that correspond to 50% of mobilized undrained compressive strengtL. Figure L.6 shows the relationship between Young's modulus and undrained compressive strength for the shales core tested from the US150 over the Little Vermillion River site. This data was also used to develop a relationship between Young's modulus and natural water content (see Figure L.7). Table L.1 summarizes all of the data obtained from the laboratory testing and evaluation.

Figure L.3 Modified Standard Penetration Test results.

Figure L.5 In situ moisture content profile.

Table L.1 Laboratory Data Summary at the US 150 over the Little Vermillion River

APPENDIX M FIELD EXPLORATION AT BL 55 OVER THE SALT CREEK

M.1 BACKGROUND

Figure M.1 shows location of BL 55 over Salt Creek, located in Logan County, just south of city of Lincolin, Illinois. This Five span bridge structure carries a four-lane highway over the Salt Creek. North and South abutments of this bridge are supported on driven H-piles foundations. Piers 1 to 4, however, are supported drilled shaft foundations that are socketed into weak shale. The weak shale near Pier 4, located near the south abutment, was investigated during this study.

Figure M.1 Location of BL 55 over Salt Creek.

Figure M.2 Location of boring holes at BL 55 over Salt Creek.

Figure M.2 shows a plan view of BL55 over Salt Creek structure and the location of borings drilled on June 1, 2016 by the District 6 drilling crew and the UIUC research team. Two borings were advanced near south abutment and in close proximity to the Salt Creek. These borings were drilled to an elevation of 591 feet (i.e. 30 ft of shale cores were retrieved).

One of the two borings was used to obtain shale core samples. Initially rock cores were used for determination of recovery ratio, RQD of the rock mass, and vertical spacing of joints. Afterwards unconfined compression tests were conducted on the retrieved weak shales specimens. The in situ water content of the shale specimens used in the unconfined compression test was also measured for correlation purposes. The unconfined compression test results were also used to determine the deformability characteristics of shale under undrained loading conditions.

The second boring was used to obtain MSPT blow counts at various depths. This data was used to develop a new correlation between undrained compressive strength of weak shale in Illinois and MSPT penetration rate. The following sections discuss geology of the bridge site, MSPT test results, and laboratory test results

M.2 SITE GEOLOGY

The geology at the bridge site consists of about 30 feet of dark gray silt clay overlying sedimentary bedrock, e.g., shale, and limestone. The ground surface elevation at south abutment, i.e., the two borings, is about 546 feet. Gray Clay shale was exposed at an elevation of 617.5 feet. Laboratory test results are summarized in Table M.1.

M.3 MODIFED STANDARD PENETRATION TEST RESULTS

Figure M.3 shows the Modified Standard Penetration Test results obtained in one of the borings at BL55 over the Salt Creek.

Figure M.3 Modified Standard Penetration Test results.

M.4 LABORATORY TEST RESULTS

M.4.1 Moisture Content and Total Unit Weight

Figure M.4 shows the total unit weight profile at the BL55 over the Salt Creek site. The total unit weight of shale was computed in accordance with ASTM D7263.

Shale specimens from unconsolidated undrained and unconfined compressive tests were used for determination of in situ water content. The resulting water content profile is shown in Figure M.5. Water content of the shale was determined in accordance with ASTM D2216.

Figure M.4 Total unit weight profile.

Figure M.5 In situ moisture content profile.

M.4.2 Triaxial Compression Test Results

Unconfined and confined triaxial compression tests were performed in accordance with ASTM D7012–14 (method D). The peak deviator stress was used to calculate the undrained compressive strength for each test. The resulting undrained compressive strengths are shown in Table M.1.

M.4.3 Young's Modulus of Shale Specimen

Young's modulus was measured from results of triaxial tests in accordance to ASTM D7012–14 (method D). In short, the modulus was calculated from the slope of the stress-strain relationships that correspond to 50% of mobilized undrained compressive strength. Figure M.6 shows the relationship between Young's modulus and undrained compressive strength for the shale core tested from the BL55 over the Salt Creek site. This data was also used to develop a relationship between Young's modulus and shale natural water content (see Figure M.7). The unconfined compressive strength to the undrained Young's modulus ratio shown in Figure M.6 agrees well with the general trends observed in Phase 1 & 2 of this study. The site-specific relationship between undrained Young's modulus and the in situ water content is also shown in Figure M.7. Table M.1 summarizes all of the data obtained from the laboratory testing and evaluation.

APPENDIX N FIELD EXPLORATION AT IL 108 OVER MACOUPIN CREEK

N.1 BACKGROUND

Figure N.1 shows location of the IL 108 over the Macoupin Creek, just east of the city of Carlinville, Illinois. East and west abutments of this bridge are supported on driven H-piles foundations. Piers 1, 2 and 3, however, are supported on drilled shaft foundations that are socketed. The weak shale near Pier 3, located near the east abutment, was investigated during this study.

Figure N.1 Location of IL 108 over Macoupin Creek.

Figure N.2 shows a plan view of this IL 108 bridge structure over Macoupin Creek and the location of borings drilled on July 13, 2016 by the District 6 drilling crew and the UIUC research team. Two borings were advanced near the south east quad of the bridge and in close proximity to Macoupin Creek. These borings were drilled to a depth of twenty feet below the top of the weak shale layer.

Figure N.2 Location of boring holes for obtaining MSPT blow counts and shale core samples.

One of the two borings were used to obtain shale core samples. Initially rock cores were used for determination of recovery ratio, RQD of the rock mass, and vertical spacing of joints. Afterwards unconfined compression and triaxial compression tests were conducted on representative and comparable shale specimens to study effect of confining pressure on behavior of shale specimens subjected to compressive mode of shear. The in situ water content of the shale specimens used in the triaxial compression tests was also measured for correlation purposes. Triaxial test results were also used to determine the deformability characteristics of shale under undrained loading conditions.

The second boring was used to obtain MSPT blow counts at various depths. This data was used to develop a new correlation between undrained compressive strength of weak shale in Illinois and MSPT penetration rate.

The following sections discuss geology of the bridge site, MSPT test results, and laboratory test results.

N.2 SITE GEOLOGY

The geology at the bridge site consists of sandy clay loam overlying sedimentary bedrock, e.g., shale, and limestone. The ground surface elevation at the two borings is about 554.5 feet. Overburden soil at this site consists of sandy loam and silty clay loam. A relatively continuous black to gray blocky clay shale was exposed at an elevation of about 537.5 feet that extends to elevation 517.5 feet where the boring terminated.

N.3 MODIFIED STANDARD PENETRATION TEST RESULTS

Figure N.3 shows the Modified Standard Penetration Test results obtained in one of the borings at IL 108 over the Macoupin Creek.

Figure N.3 Modified Standard Penetration Test results.

N.4 LABORATORY TEST RE**SULTS**

N.4.1 Moisture Content and Total Unit Weight

Figure N.4 shows the total unit weight profile at the Macoupin Creek site. The total unit weight of shale was computed in accordance with ASTM D7263.

Shale specimens from unconfined compressive tests were used for determination of in situ water content. The resulting moisture content profile is shown in Figure N.5. Moisture content of the shale was determined in accordance with ASTM D2216.

 \circ Figure N.4 Total unit weight profile.

Figure N.5 In situ water content profile.

N.4.2 Triaxial Compression Test Results

Unconfined compression tests were performed in accordance with ASTM D7012–14 (method D). The peak deviator stress was used to calculate the undrained compressive strength for each test. The resulting undrained compressive strengths are shown in Table N.1.

N.4.3 Young's Modulus of Shale Specimen

Young's modulus was measured from results of triaxial tests in accordance to ASTM D7012–14 (method D). In short, the modulus was calculated from the slope of the stress-strain relationships that correspond to 50% of mobilized undrained compressive strength. Figure N.6 shows the relationship between Young's modulus and undrained compressive strength for the shale cores tested from the Macoupin Creek site. This data was also used to develop a relationship between undrained Young's modulus and shale natural water content (see Figure N.7). The unconfined compressive strength to the undrained Young's modulus ratio shown in Figure N.6 agrees well with the general trends observed in Phase 1 & 2 of this study. The sitespecific relationship between undrained Young's modulus and the in situ water content is also shown in Figure N.7. Table N.1 summarizes all the data obtained from the laboratory testing and evaluation.

Figure N.7 Relationship between initial water content and Young's modulus.

APPENDIX O FIELD EXPLORATION AT CH-28 OVER THE HORSE CREEK

O.1 BACKGROUND

Figure O.1 shows location of CH28 over the Horse creek, located in Sangamon County, just South the city of Pawnee, Illinois. This 4-span bridge structure carries a two-lane highway over the Des Plaines River. The abutments and the 3 piers of this bridge are supported shallow foundations resting on the shallow sedimentary rocks (i.e. shales, limestones). The weak shales near the north abutment, was investigated during this study.

Figure O.1 Location of CH-28 over the Horse creek bridge site.

Figure O.2 Location of boring holes at CH-28 over the Horse creek.

Figure O.2 shows a plan view of CH-28 over the Horse Creek River structure and the location of borings drilled on September 1, 2016 by District 6 drilling crew and the UIUC research team.

Two borings were advanced near north abutment. These borings were drilled to an elevation of 545.0 feet.

The first boring was used to obtain shale core samples. Initially rock cores were used for determination of recovery ratio, RQD of the rock mass, and vertical spacing of joints. Afterwards unconfined compression tests were conducted on the retrieved weak shales specimens. The in situ water content of the shale specimens used in the unconfined compression tests was also measured for correlation purposes. The unconfined compression test results were also used to determine the deformability characteristics of shale under undrained loading conditions.

The second boring was used to obtain MSPT blow counts at various depths. These data were used to improve/check the correlation between undrained compressive strength of weak shale in Illinois and MSPT penetration rates developed in Phase 1 of this study. The following sections discuss geology of the bridge site, MSPT test results, and laboratory test results.

O.2 SITE GEOLOGY

The geology at the bridge site consists of about 21 feet of Medium Stiff, Dark Brown/Gray, Moist, Silty Clay, with traces of Gravel and Sand overlying sedimentary bedrock, e.g., shale, and limestone. The ground surface elevation at the two borings, is about 581 feet. A fairly continuous layer of clay shale was exposed at an elevation of 560 feet and extended to 545 feet were the coring was terminated. Laboratory test results are summarized in Table O.1.

O.3 MODIFED STANDARD PENETRATION TEST RESULTS

Figure O.3 shows the Modified Standard Penetration Test results obtained in one of the borings at CH28 over the Horse Creek River.

Figure O.3 Modified Standard Penetration Test results.

O.4 LABORATORY TEST RESULTS

O.4.1 Moisture Content and Total Unit Weight

Figure O.5 shows the total unit weight profile at the CH28 over the Horse creek site. The total unit weight of the encountered shales was computed in accordance with ASTM D7263.

Shale specimens from unconfined compressive tests were used for determination of in situ water content. The resulting water content profile is shown in Figure O.6. Water content of the Shales was determined in accordance with ASTM D2216.

Figure O.4 Total unit weight profile.

Figure O.5 In situ moisture content profile.

O.4.2 Triaxial Compression Test Results

Unconfined triaxial compression and Undrained Triaxial tests were performed in accordance with ASTM D7012–14 (method D). The peak deviator stress was used to calculate the undrained compressive strength for each test. The resulting undrained compressive strengths are shown in Table O.1.

O.4.3 Young's Modulus of Shale Specimen

Young's modulus was measured from results of triaxial tests in accordance to ASTM D7012–14 (method D). In short, the modulus was calculated from the slope of the stress-strain relationships that correspond to 50% of mobilized undrained compressive strength. Figure O.7 shows the relationship between Young's modulus and undrained compressive strength for the shales core tested from the CH28 over the Horse creek site. This data was also used to develop a relationship between Young's modulus and natural water content (see Figure O.8). Table O.1 summarizes all the data obtained from the laboratory testing and evaluation.

Figure O.7 Relationship between in situ moisture content and Young's modulus.

APPENDIX P FIELD EXPLORATION AT IL 160 OVER THE SILVER CREEK

P.1 BACKGROUND

Figure P.1 shows location of IL160 over the Silver Creek, located in Madison County, just south Grantfork, Illinois. This 3-span bridge structure carries a two-lane highway over the Silver Creek. The north and south abutments of this bridge are supported on driven H-piles while the piers are supported on drilled shaft foundations socketed into the underlying sedimentary rock. The weak clay shales near the north abutment, was investigated during this study.

Figure P.1 Location of IL160 over the Silver Creek.

Figure P.2 Location of boring holes at IL160 over the Silver Creek.

Figure P.2 shows a plan view of IL160 over the Silver Creek structure and the location of borings drilled on March 3, 2017 by TSi Geotechnical drilling crew and the UIUC research team. Two borings were advanced near north abutment. These borings were drilled to an elevation of 487.0 feet.

The first boring was used to obtain shale core samples. Initially rock cores were used for determination of recovery ratio, RQD of the rock mass, and vertical spacing of joints. Afterwards unconfined compression tests were conducted on the retrieved shale specimens. The in situ water content of the shale specimens used in the unconfined compression tests was also measured for correlation purposes. The unconfined compression test results were also used to determine the deformability characteristics of shale under undrained loading conditions.

The second boring was used to obtain MSPT blow counts at various depths. These data were used to improve/check the correlation between undrained compressive strength of weak shale in Illinois and MSPT penetration rates developed in Phase 1 of this study. The following sections discuss geology of the bridge site, MSPT test results, and laboratory test results

P.2 SITE GEOLOGY

The geology at the bridge site consists of about 16 feet of brown/gray silty clay overlying sedimentary bedrock, e.g., limestone, and shale. The ground surface elevation at the two borings, is about 515 feet. A 2.5 feet thick gray indurated limestone layer was exposed at an elevation of 499 feet underlain by a fairly continuous layer of clay shale that extended to elevation of 487 feet were the coring was terminated. Laboratory test results are summarized in Table P.1.

P.3 MODIFED STANDARD PENETRATION TEST RESULTS

Figure P.3 shows the Modified Standard Penetration Test results obtained in one of the borings at IL160 over the Silver Creek.

Figure P.3 Modified Standard Penetration Test results.

P.4 LABORATORY TEST RESULTS

P.4.1 Moisture Content and Total Unit Weight

Figure P.4 shows the total unit weight profile at the IL160 over the Silver Creek site. The total unit weight of the encountered shales was computed in accordance with ASTM D7263.

Shale specimens from unconfined compressive tests were used for determination of in situ water content. The resulting water content profile is shown in Figure P.5. Water content of the shales was determined in accordance with ASTM D2216.

Figure P.4 Total unit weight profile.

P.4.2 Triaxial Compression Test Results

Unconfined triaxial compression tests were performed in accordance with ASTM D7012. The peak deviator stress was used to calculate the undrained compressive strength for each test. The resulting undrained compressive strengths are shown in Table P.1.

P.4.3 Young's Modulus of Shale Specimen

Young's modulus was measured from results of triaxial tests in accordance to ASTM D7012. In short, the modulus was calculated from the slope of the stress-strain relationships that correspond to 50% of mobilized undrained compressive strength. Figure P.6 shows the relationship between Young's modulus and undrained compressive strength for the shales core tested from the IL160 over Silver Creek site. This data was also used to develop a relationship between Young's modulus and natural water content (see Figure P.7). Table P.1 summarizes all the data obtained from the laboratory testing and evaluation.

strength and Young's modulus.

content and Young's modulus.

Specimen Identification	SVC-S1	SVC -S2	SVC-S3
Core Run Number	$\mathbf{2}$	2	2
Depth (ft.)	19	20.5	22.7
Initial Water Content (%)	8.27	10.16	11.20
Total Unit Weight (pcf)	141.5	131.1	135.1
Undrained Compressive Strength (ksf)	44.9	9.5	5.1
Strain at Peak Strength (%)	2.65	4.03	1.73
Young's Modulus (ksf)	1996.2	905	463.1
Recovery (%)	90	90	90
Rock Quality Designation (%)	55	55	55
Joint Average Vertical Spacing (in)	2 to 5	2 to 5	2 to 5
Sample Description	Dark Gray	Dark Gray	Dark Gray Clay
	Clay Shales.	Clay Shales	Shales, fissile and weathered

Table P.1 Laboratory Data Summary at the IL 160 over Silver Creek

APPENDIX Q ILLINOIS MODIFIED STANDARD PENETRATION TEST PROCEDURE

Q.1 INTRODUCTION

The Standard Penetration Test (SPT) (ASTM D1586-11 or AASHTO T 206-09) has been used to estimate strength parameters of soils for a long time. It has also been used to estimate undrained shear strength parameters for weak rocks when it is difficult to obtain high-quality/undisturbed samples for laboratory testing. However, the full 18 inches (45 cm) of penetration required to measure an N-value (number of blows to drive split- spoon sampler the last 12 inches), can be difficult or impossible to obtain in weak rocks. To limit overstressing and damage to a split-spoon sampler, the ASTM and AASHTO test standards permit the penetration of a sampler to be halted under the following conditions:

- 1. A total of 50 blows have been applied during any one of the three 6 inch (0.15 m) increments,
- 2. A total of 100 blows have been applied, and
- 3. There is no observed advance of the sampler during the application of 10 successive blows

SPT data recently obtained from twenty one (21) Illinois Department of Transportation (IDOT) bridge sites underlain by weak shales typically exhibits penetrations of the split-spoon sampler of only 6 to 12 inches (15 to 30 cm) after 100 blows of an automatic trip hammer weighing 140 lbf (63.5 kg) with a drop distance of 30 inches (76 cm). This is problematic because it limits the correlated material strength to conservative values for foundation design by having less than 18 inches of penetration. Using these lower bound strengths may lead to conservative and more costly foundation designs. To expand the range of strengths interpreted from SPT results in weak fine-grained rocks (e.g. shales), the SPT procedure was modified to record penetraton data in 10 blow increments and correlate it to undrained shear strength of weak fine-grained rocks. The resulting Modified SPT (MSPT) procedure is summarized below.
Q.2 MSPT APPLICABILITY

The MSPT procedure is designed to be used in weak rocks and shales that exhibit unconfined compressive strength (UCS) between 10 and 100 ksf. The test provides a means for estimating undrained shear strength of such geomaterial as per the correlation developed by Stark et al. (2017). Geomaterial with a UCS between 10 and 100 ksf is also referred to as cohesive Intermediate Geologic Material (IGM) by O'Neill and Reese (1999).

Q.3 WHEN TO USE THE MSPT

The following two drilled shaft deisgn scenarios are envisioned for the MSPT: (1) site with prior subsurface investigation and (2) new site with no existing subsurface data. The following paragraphs describe how to use the MSPT for these two scenarios.

Prior Subsurface Investigation

If boring logs are available from a previous site investigation, determine the range of UCS from the boring logs and reported testing. If the UCS is between 10 and 100 ksf, use the MSPT for these materials and rock coring is not required if the foundation will be founded in these geomaterials. If the foundation will not be founded in these materials and the UCS exceeds 100 ksf in the other materials, rock coring of the founding materials is needed to measure the UCS for design purposes. If the foundation will not be founded in these materials and the UCS is less than 10 ksf in the other materials, traditional SPTs and soil testing of the founding materials is needed to measure the UCS for drilled shaft design purposes.

New Site with No Prior Subsurface Investigation

If investigating a new site where no previous testing or borings logs are available, a boring should be initially drilled with traditional SPTs being conducted at a reasonable depth interval, e.g., every 2.5 ft to 5 ft (0.75 to 1.5 m). Standard SPT sampling should be continued until a material with strengths typically in the range of 10 to 100 ksf, such as shale or other cohesive IGMs, are encountered, and/or the split-spoon sampler is unable to penetrate the full depth (18 inches) prior to termination. Under such

conditions, the drilling crew should switch to rock coring using a double tube swivel type, split core barrel to decrease the exposure of the cored shale to the drilling fluid and maintain the strength and integrity of the shale for laboratory testing. The core barrel could have a diameter of 2.0 to 2.5 inches, e.g., NX or NQ-2 core barrel.

Shale cores should be examined to identify the geologic description of the encountered shales. Fissure Spacing, Rock Quality Designation (RQD), and Total Core Recovery (TCR) should be measured. If the extracted shale cores are highly fragmented/broken that will prevent obtaining intact specimens for laboratory UCS testing, MSPT should be conducted in a second borehole adjacent to the rock coring borehole to evaluate the UCS of that layer.

Where there are multiple borings to be drilled at a new project site, both rock coring and MSPT are recommended for the first boring to determine if the site materials are a candidate for the MSPT and to have a visual sample of the materials for contracting purposes. If the rock core or split-spoon sample exhibits an UCS between 10 and 100 ksf via visual inspection, e,.g., weak and/or highly fractured, or using a field Rimac device, proceed with MSPTs and further rock coring may not be needed at the other boring sites. MSPTs should be conducted at a reasonable depth interval, e.g., every 2.5 ft to 5 ft (0.75 to 1.5 m). At any MSPT borehole, if the measured pentration for the last 40 blows is less than 0.5 inches, the drilling crew should stop the MSPT testing and switch to rock coring because the UCS probably exceeds 100 ksf.

Q.4 MODIFIED STANDARD PENETRATION TEST

The MSPT is based on a new defined parameter termed the Penetration Rate (Nrate) which utilizes penetration per 10 blows instead of blows per foot. The Penetration Rate is defined as the inverse of the slope of the secondary or linear portion of a penetration versus cumulative blow counts relationship for an individual SPT (see Figure 1). The results of MSPTs conducted for twenty one (21) Illinois Department of Transportation (IDOT) bridge sites underlain by weak rocks and shales show that Nrate generally approaches a constant value after 40 to 60 blows and it remains constant regardless of the achieved penetration (See Note 1). Therefore, the rate of penetration

can provide a means of evaluating the strength of the material beyond the current SPT procedure terminating criteria. The MSPT is stopped after 100 blows regardless of the depth of penetration.

Note 1:

This is likely due to the split-spoon sampler passing through the disturbed material at the bottom of the boring and reaching intact/undisturbed material below after 40 to 60 blows.

MSPT Procedure

The MSPT procedure is simple and similar in many respects to the SPT (ASTM D1586-11 or AASHTO T 206-09). The equipment used in the MSPT is the same as that used in SPT but the blow count and penetration data is collected differently. At each MSPT elevation or depth, the sampler penetration is measured at the end of ten (10) blows of a 140 lbf (63.5 kg) hammer falling 30 inches (76 cm) using a measuring device, such as a stick ruler. This measurement is repeated 10 times for a total of 100 blows and then the MSPT is stopped. MSPTs show a secondary/linear slope, which is often achieved after 40 to 60 blow counts for the weak fine-grained rocks tested herein with an unconfined compressive strength (UCS) of 10 to 100 ksf (0.48 to 4.8 MPa).

Figure 1 shows the penetration depth versus blow count relationship and the initial and secondary slopes of the blow count versus penetration relationship from a MSPT. The initial slope is associated with disturbed and loose material or cuttings at the bottom of the borehole and the tip of the split-spoon sampler of the MSPT. The initial slope is not representative of the UCS of the intact/undisturbed weak rock and thus is not used for the correlation between N_{rate} and UCS developed herein. The secondary slope is typically more linear and representative of the intact strength of the weak finegrained rock. The procedure for obtaining the secondary slope and penetration rate is outlined below:

- 1. Drill to the desired depth of the MSPT, insert the MSPT split-spoon sampler (see Note 2) and necessary drill rod,
- 2. Considering the length of drill rod exposed above the casing, choose and mark a convenient point on the drill rod at which depth of penetration measurements will be taken using a measuring device, e.g., a stick ruler. This convenient point could be the bottom of the anvil or a drill rod joint.
- 3. Measure the initial distance of the drill rod segment between the top of the hollow stem auger or borehole casing and the point chosen in Step 2.
- 4. Apply 10 blows to the top of the drill rod using a 140 lbf hammer falling 30 inches, measure and record the new distance between the top of the hollow stem auger casing and the point chosen in Step 2. This can be accomplished by stopping the test or by using a stick ruler that is inserted into this length and read between the 10th and 11th blows of this sequence.
- 5. Measure and record the new distance between the top of the hollow stem auger casing and the point chosen in Step 2 before the $11th$ blow of this sequence,
- 6. Repeat Steps 2 through 5 to obtain the sampler penetration for the 20-, 30-, 40-, 50-, 60-, 70-, 80-, 90-, and 100-blow count increments.
- 7. Obtain the SPT hammer energy rating from the driller for analyzing the MSPT results.

Note 2:

The split-spoon sampler and the driving shoe shall be in a good to new condition and must be replaced if it is dented or distorted. The opening of the driving shoe should be confirmed with a #11 rebar to ensure the opening is circular and 1 3/8 inches (34.9 cm) in diameter and the driving shoe reasonably sharp.

Figure 1. Typical MSPT cumulative penetration versus cumulative blow counts plot for Illinois weak shale

MSPT Analysis Procedure

The procedure for determining N_{rate} from the relationship of penetration depth versus MSPT blow counts is shown in Figure 1 and is outlined below:

- 1. Using the data obtained from a MSPT, plot the cumulative penetration versus cumulative blow count.
- 2. Determine the range of the linear portion of the resulting cumulative penetration versus cumulative MSPT blow count plot relationship.
- 3. Draw the best fit line through the linear portion of the cumulative penetration versus MSPT blow count plot.
- 4. Determine the slope of the best fit line, which is the Secondary Slope.
- 5. Nrate is the inverse of the Secondary Slope obtained in Step 3 and is defined as:

$$
N_{\rm rate} = \left(\frac{\Delta{\rm Cumulative\,\,MSPT\,\,Blow\,\,count}}{\Delta{\rm Cumulative\,\,Penet,ratio}}\right)
$$

Irregular Cumulative Penetration Rates Analysis

Cumulative penetration versus cumulative blow count relationships may contain two or more linear portions (see Figure 2). Irregular plots indicate the sampler has entered a different stratigraphic layer or encountered a gravel or cobble particle. Thus, rock and/or soil material present in the split-spoon sampler from a MSPT should be carefully inspected to document any changes in material type or presence of a gravel or cobble particle, which will assist in understanding aberrant trends in the data when it is plotted. Irregular cumulative penetration versus cumulative blow count relationships can be conservatively interpreted by using the secondary slope that yields the lowest value of N_{rate} or by taking the average slope which yields an average N_{rate}.

Figure 2. Irregular MSPT cumulative penetration versus cumulative penetration blow counts plot for Illinois weak shale

Q.5 MSPT Penetration Rate Correction

As with blow counts obtained from traditional SPTs, the MSPT penetration rate should be corrected for the effect of hammer energy, borehole diameter, sampler liner, and drill rod length (see Table Q.1). If the MSPT blow counts and penetration rate are obtained using an automatic trip hammer, the results from this study indicate 75% to 95% of the theoretical maximum hammer energy is delivered to the drill rod. To minimize the MSPT blow counts corrections, an energy ratio of 90% shall be used because all of the drill rigs used during this study utilized an automatic trip hammer and imparted an average

of 90% of the theoretical maximum hammer energy. Thus, MSPT Nrate values obtained using an automatic trip hammer, which is the most commonly used hammer by IDOT, do not require significant corrections in comparison to the previously suggested energy correction factor for soils, i.e., 60% of the theoretical maximum hammer energy. A normalized penetration rate, (N_{rate})₉₀, was developed herein and is defined as follows for hammers that deliver 90% of theoretical maximum energy:

$$
(N_{rate})_{90} = \frac{N_{rate} \times E_M \times C_B \times C_S \times C_R}{90}
$$

where:

(*N*rate)90 *=* Nrate corrected for 90% of the theoretical energy and various field procedures

 E_M = hammer efficiency (i.e. average energy transfer ratio), $%$

 C_B = borehole diameter correction

CS = sampler correction

CR = rod length correction, and

*N*rate = measured penetration rate, bpf

Table Q.1 shows the recommended borehole diameter, rod length, and sampler correction factors from Skempton (1986). If the hammer does not yield 90% of the theoretical maximum hammer energy, the measured hammer energy should be inserted for E_M in the equation above to normalize the measured N_{rate} to 90% of the theoretical maximum hammer energy. The sampler correction assumes that liners will be installed in the split-spoon sampler to be consistent with Skempton (1986) even though the practice now is to not use liners.

Table Q.1: Nrate Correction factors after Skempton (1986)

MSPT Data Sheets

Drilling information and MSPT data obtained at each borehole shall be recorded in the field and include the following:

- 1. Date,
- 2. Name of the Drilling Crew,
- 3. Type and Make of the drill rig,
- 4. SPT Hammer Efficiency,
- 5. Project/Bridge Location,
- 6. Boring Number and location (station and coordinates),
- 7. Ground Surface Elevation,
- 8. Ground water surface Elevation,
- 9. MSPT elevations and depths,
- 10.Description of recovered weak rock or shale, and
- 11.Measured penetration depth every 10 blows to the nearest 0.1 inches (2.5 mm).

Table Q.2 shows an example of a sample data sheet that could be used to record the MSPT data in the field.

Table Q.2: Sample MSPT Data Sheet

Modified SPT Log

APPENDIX R DRILLED SHAFT LOAD TESTS DATABASE

R.1 SIDE RESISTANCE DATABASE

Table R.1 Side Resistance Database from Drilled Shaft Load Tests

***Load tests added to the database in Phase 2 of this study.**

R.2 TIP RESISTANCE DATABASE

Table R.2 Tip Resistance Database from Drilled Shaft Load Test

***Load tests added to the database in Phase 2 of this study.**

APPENDIX S SPT HAMMER ENERGY MEASUREMENT

Pile Dynamics, Inc.
Page 1 of 6 SPT Analyzer Results and the set of the SPT Analyzer Results PDA-S Ver. 2016.16 - Printed: 1/21/2017 CME45C(SN302114) 20-21.5 TDS/AB/AB/TDS/AB Test date: 11/15/2016 AR: 1.18 in^{^2} SP: 0.492 k/ft;

LE: 23.80 ft EM: 30000 ksi LE: 23.80 ft WS: 16807.9 ft/s

FMX: Maximum Force EFV: Maximum Energy

BPM: Blows/Minute

VMX: Maximum Velocity ETR: Energy Transfer Ratio - Rated

Sample Interval Time: 37.14 seconds.

Pile Dynamics, Inc. **Provide a later of the Contract Contrac** SPT Analyzer Results PDA-S Ver. 2016.16 - Printed: 1/21/2017

Depth: (22.50 - 24.00 ft], displaying BN: 27

N-value: 10

Sample Interval Time: 11.54 seconds.

Pile Dynamics, Inc. **Provide a later of the Contract Contrac** SPT Analyzer Results PDA-S Ver. 2016.16 - Printed: 1/21/2017

Depth: (25.00 - 26.50 ft], displaying BN: 40

Sample Interval Time: 12.73 seconds.

Pile Dynamics, Inc. **Provide a later of the Contract of Contract Contract Contract Contract Page 4 of 6** SPT Analyzer Results PDA-S Ver. 2016.16 - Printed: 1/21/2017

Sample Interval Time: 13.93 seconds.

Pile Dynamics, Inc. **Provide the Contract of Contract Contra** SPT Analyzer Results PDA-S Ver. 2016.16 - Printed: 1/21/2017

Depth: (35.00 - 36.50 ft], displaying BN: 71

Sample Interval Time: 17.25 seconds.

Summary of SPT Test Results

Summary of SPT Test Results

Pile Dynamics, Inc. **Provide a later of 8** and 20 and SPT Analyzer Results PDA-S Ver. 2016.16 - Printed: 1/21/2017

FMX: Maximum Force **EFV: Maximum Energy**

VMX: Maximum Velocity
BPM: Blows/Minute

VMX: Maximum Velocity ETR: Energy Transfer Ratio - Rated

Sample Interval Time: 17.39 seconds.

Pile Dynamics, Inc. **Provide a later of the Contract of America** Page 2 of 8 SPT Analyzer Results PDA-S Ver. 2016.16 - Printed: 1/21/2017

Sample Interval Time: 11.20 seconds.

Pile Dynamics, Inc. **Provide a later of the Contract of Security Article 2** of 8 SPT Analyzer Results PDA-S Ver. 2016.16 - Printed: 1/21/2017

Sample Interval Time: 15.59 seconds.

Pile Dynamics, Inc.
Page 4 of 8
PDA-S Ver. 2016.16 - Printed: 1/21/2017 PDA-S Ver. 2016.16 - Printed: 1/21/2017

Sample Interval Time: 10.48 seconds.

Pile Dynamics, Inc. **Provide the Contract of America** Contract of America Contract Page 5 of 8 SPT Analyzer Results PDA-S Ver. 2016.16 - Printed: 1/21/2017

Sample Interval Time: 14.65 seconds.

Pile Dynamics, Inc. **Provide a later of the Contract of America** Page 6 of 8 SPT Analyzer Results PDA-S Ver. 2016.16 - Printed: 1/21/2017

Pile Dynamics, Inc. **Provide a later of 8** and 20 and SPT Analyzer Results PDA-S Ver. 2016.16 - Printed: 1/21/2017

Sample Interval Time: 54.91 seconds.

Pile Dynamics, Inc. **Provide a later of the Contract of A** Page 1 of 4 SPT Analyzer Results PDA-S Ver. 2016.16 - Printed: 1/20/2017

FMX: Maximum Force **EFV: Maximum Energy VMX: Maximum Velocity**
RPM: Blows/Minute

VMX: Maximum Velocity ETR: Energy Transfer Ratio - Rated

N-value: 18

Sample Interval Time: 22.84 seconds.

Pile Dynamics, Inc. **Provide a later of the Contract Contract Contract Contract Contract Page 2 of 4** SPT Analyzer Results PDA-S Ver. 2016.16 - Printed: 1/20/2017

Sample Interval Time: 17.55 seconds.

Pile Dynamics, Inc. **Provide a later of the Contract Contrac** SPT Analyzer Results PDA-S Ver. 2016.16 - Printed: 1/20/2017

Sample Interval Time: 27.50 seconds.

GRL Engineers, Inc. Page 1 of 12 SPT Analyzer Results PDA-S Ver. 2016.14.126 - Printed: 8/2/2016

 \overline{a}

N-value: 47

Sample Interval Time: 62.35 seconds.

GRL Engineers, Inc. Page 3 of 12 SPT Analyzer Results PDA-S Ver. 2016.14.126 - Printed: 8/2/2016

BN: 120 9-23-32

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Sample Interval Time: 68.45 seconds.

GRL Engineers, Inc. Page 5 of 12 SPT Analyzer Results PDA-S Ver. 2016.14.126 - Printed: 8/2/2016

BN: 168

Sample Interval Time: 49.69 seconds.

GRL Engineers, Inc. Page 7 of 12 SPT Analyzer Results PDA-S Ver. 2016.14.126 - Printed: 8/2/2016

GRL Engineers, Inc. Page 9 of 12 SPT Analyzer Results PDA-S Ver. 2016.14.126 - Printed: 8/2/2016

Sample Interval Time: 169.32 seconds.

GRL Engineers, Inc. Page 10 of 12 SPT Analyzer Results PDA-S Ver. 2016.14.126 - Printed: 8/2/2016

Sample Interval Time: 76.67 seconds.

Pile Dynamics, Inc.
Page 1 of 9
PDA-S Ver. 2016.16 - Printed: 3/15/2017 PDA-S Ver. 2016.16 - Printed: 3/15/2017

BPM: Blows/Minute

BL# BC FMX VMX BPM EFV ETR /6" kips ft/s bpm ft-lb (%) 1 4 24 17.7 42.5 266 77.9 2 4 25 16.5 52.9 271 79.5 3 4 25 16.0 57.0 281 82.4 4 4 26 16.0 54.2 291 85.3 5 14 25 16.1 55.7 276 80.7 6 14 25 16.2 54.5 274 80.2 7 14 26 16.3 55.2 275 80.5 8 14 25 16.1 54.5 270 79.1 9 14 25 16.1 54.9 277 81.1 10 14 25 16.3 55.0 268 78.5 11 14 26 16.6 54.2 286 83.9 12 14 24 15.3 54.9 255 74.6 13 14 25 16.0 54.6 261 76.4 14 14 25 16.1 55.4 267 78.2 15 14 26 16.5 55.5 283 82.9 16 14 25 15.9 54.7 265 77.7 17 14 25 16.0 54.1 267 78.3 18 14 24 15.2 55.3 245 71.8 19 23 26 16.5 55.6 279 81.6 20 23 25 16.1 54.3 270 79.1 21 23 26 16.4 54.5 279 81.6 22 23 25 16.0 54.1 269 78.8 23 23 26 16.6 55.5 273 79.9 24 23 25 15.8 54.5 273 79.9 25 23 25 16.2 55.6 275 80.5 26 23 26 16.3 55.1 272 79.7 27 23 24 15.6 54.5 262 76.7 28 23 26 16.9 54.9 286 83.7 29 23 24 15.5 54.8 254 74.3 30 23 24 15.7 54.8 257 75.2 31 23 26 16.8 55.3 280 82.0 32 23 26 16.5 54.7 273 79.9

33 23 25 16.1 55.4 271 79.3

FMX: Maximum Force EFV: Maximum Energy ETR: Energy Transfer Ratio - Rated

Pile Dynamics, Inc. Page 2 of 9 SPT Analyzer Results PDA-S Ver. 2016.16 - Printed: 3/15/2017

Sample Interval Time: 43.66 seconds.

Pile Dynamics, Inc. Page 3 of 9 SPT Analyzer Results PDA-S Ver. 2016.16 - Printed: 3/15/2017

Sample Interval Time: 68.70 seconds.

Pile Dynamics, Inc. Page 5 of 9 SPT Analyzer Results PDA-S Ver. 2016.16 - Printed: 3/15/2017

N-value: 25

Sample Interval Time: 29.58 seconds.

Pile Dynamics, Inc. Page 7 of 9 SPT Analyzer Results PDA-S Ver. 2016.16 - Printed: 3/15/2017

Pile Dynamics, Inc. Page 8 of 9 SPT Analyzer Results PDA-S Ver. 2016.16 - Printed: 3/15/2017

Sample Interval Time: 52.24 seconds.

Pile Dynamics, Inc.
Page 1 of 8
PDA-S Ver. 2016.16 - Printed: 1/17/2017 PDA-S Ver. 2016.16 - Printed: 1/17/2017

BPM: Blows/Minute

BL# BC FMX VMX BPM EFV ETR /6" kips ft/s bpm ft-lb (%) 1 6 30 17.3 1.9 309 90.6 2 6 30 17.2 59.5 325 95.1 3 6 29 17.1 59.5 335 98.2 4 6 29 17.3 59.7 330 96.7 5 6 30 17.7 59.6 339 99.2 6 6 30 18.0 59.9 346 101.4 7 17 31 17.9 59.4 346 101.3 8 17 30 17.8 59.6 341 99.9 9 17 29 17.4 59.9 337 98.8 10 17 30 17.6 59.6 340 99.4 11 17 30 17.3 59.8 319 93.5 12 17 30 17.5 59.5 323 94.7 13 17 30 16.9 59.4 330 96.5 14 17 31 17.3 59.7 323 94.5 15 17 28 16.0 59.8 322 94.3 16 17 30 16.7 59.6 330 96.6 17 17 30 16.7 59.6 315 92.3 18 17 30 16.8 59.5 333 97.4 19 17 28 16.3 59.4 331 96.9 20 17 29 16.3 59.4 331 96.9 21 17 27 15.9 59.3 327 95.8 22 17 29 16.6 59.7 333 97.4 23 17 28 16.2 59.4 314 91.8 24 20 28 15.9 59.3 327 95.8 25 20 29 16.7 59.3 316 92.5 26 20 30 16.6 59.6 334 97.8 27 20 27 15.8 59.5 313 91.5 28 20 29 16.2 59.3 333 97.6 29 20 31 16.8 59.3 340 99.5 30 20 28 16.2 59.4 332 97.2 31 20 31 17.0 59.5 340 99.4 32 20 29 16.8 59.1 320 93.7 33 20 27 15.9 59.5 322 94.3

FMX: Maximum Force EFV: Maximum Energy ETR: Energy Transfer Ratio - Rated

Pile Dynamics, Inc. **Provide a later of the Contract of America** Page 2 of 8 SPT Analyzer Results PDA-S Ver. 2016.16 - Printed: 1/17/2017

Sample Interval Time: 42.29 seconds.

Pile Dynamics, Inc. **Provide a later of the Contract of Security Article 2** of 8 SPT Analyzer Results PDA-S Ver. 2016.16 - Printed: 1/17/2017

Pile Dynamics, Inc. **Provide a later of 8** and 20 and SPT Analyzer Results PDA-S Ver. 2016.16 - Printed: 1/17/2017

BN: 83 3-11-26

Sample Interval Time: 39.31 seconds.

Pile Dynamics, Inc. **Provide the Contract of America** Contract of America Contract Page 5 of 8 SPT Analyzer Results PDA-S Ver. 2016.16 - Printed: 1/17/2017

BN: 100 2-6-9

Sample Interval Time: 16.12 seconds.

Pile Dynamics, Inc. **Provide a later of the Contract of America** Page 6 of 8 SPT Analyzer Results PDA-S Ver. 2016.16 - Printed: 1/17/2017

BN: 122 5-7-10

Sample Interval Time: 81.73 seconds.
Pile Dynamics, Inc. **Provide a later of 8** and 20 and SPT Analyzer Results PDA-S Ver. 2016.16 - Printed: 1/17/2017

BN: 138 .2-6-8

Sample Interval Time: 14.09 seconds.

Summary of SPT Test Results

APPENDIX T Full Scale Load Test

REPORT ON DRILLED SHAFT LOAD TESTING (OSTERBERG METHOD)

TS-1 - IL-89 Over Illinois River Bureau & Putnam Counties, IL (LT-1407)

Prepared for:

Illini Drilled Foundations, Inc. P.O. Box 1351 Danville, IL 61834

Attention:

Mr. Chris Shewmaker

PROJECT NO: LT-1407, November 18, 2014

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Issue and Revision History

Issue History

Revision History

TS-1 - IL-89 Over Illinois River Bureau & Putnam Counties, IL (LT-1407)

November 18, 2014

Illini Drilled Foundations, Inc. P.O. Box 1351 Danville, IL 61834

Attention: Mr. Chris Shewmaker

Load Test Report: TS-1 - IL-89 Over Illinois River Location: Bureau & Putnam Counties, IL (LT-1407)

Dear Mr. Shewmaker,

The enclosed report contains the data and analysis summary for the Osterberg Cell (O-cell) test performed on TS-1 - IL-89 Over Illinois River, on November 12, 2014. For your convenience, we have included an executive summary of the test results in addition to our standard detailed data report. Preliminary results were issued on November 14, 2014.

We would like to express our gratitude for the on-site and off-site assistance provided by your team and we look forward to working with you on future projects.

We trust that the information contained herein will suit your current project needs. If you have any questions or require further technical assistance, please do not hesitate to contact us at 352-378-3717.

Best Regards,

hadded & Regard

William G. Ryan, B.S.C.M. Regional Manager, Loadtest USA

EXECUTIVE SUMMARY

On November 12, 2014, Loadtest USA performed an O-cell test on the nominal 60-inch diameter test shaft TS-1. Illini Drilled Foundations, Inc. completed construction of the 71.5-foot deep shaft socketed in shale on November 05, 2014. Sub-surface conditions at the test shaft location consist primarily of silty loam and clay at the surface, underlain by layers of stiff silty clay with shale fragments and fine sand. Below these strata, hard gray shale with minor silt seams and gravel pieces was encountered. Representatives of Illinois Department of Transportation (IDOT), University of Illinois and others observed construction and testing of the shaft.

The maximum sustained bi-directional load applied to the shaft was 1,551 kips. At this maximum load (1L-8), the displacements above and below the O-cell assembly were 0.355 inches and 0.158 inches, respectively. However, the maximum displacements above and below the O-cell were 1.660 inches and 0.199 inches. respectively, which occurred at load increment 1L-9. Unit side shear data calculated from strain gages indicated a maximum mobilized net side shear of 10.7 ksf between the O-cell and Strain Gage Level 1, and an average unit side shear of 7.4 ksf in the rock socket. The maximum applied unit end bearing is calculated to be 67 ksf.

Using the procedures described in the report text and in Appendix C, an equivalent top load curve for the test shaft was constructed. For a top loading of 1,250 kips, the adjusted test data indicate this shaft would displace approximately 0.11 inches. For a top loading of 2,500 kips, the adjusted test data indicate this shaft would displace approximately 0.27 inches.

LIMITATIONS OF EXECUTIVE SUMMARY

We include this executive summary to provide a very brief presentation of some of the key elements of this O-cell test. It is by no means intended to be a comprehensive or stand-alone representation of the test results. The full text of the report and the attached appendices contain important information which the engineer can use to come to more informed conclusions about the data presented herein.

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TS-1 - IL-89 Over Illinois River Bureau & Putnam Counties, IL (LT-1407)

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- Schematic Section of Test Shaft, Figure A. \bullet
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- Upper Side Shear Creep Limit, Figure D-2.
- Soil Boring Log, Appendix E.

SITE CONDITIONS AND SHAFT CONSTRUCTION

Site Sub-surface Conditions: The sub-surface stratigraphy at the general location of the test shaft is reported to consist of silty loam and clay at the surface, underlain by layers of stiff silty clay with shale fragments and fine sand. Below these strata, hard gray shale with minor silt seams and gravel pieces was encountered. The generalized subsurface profile is included in Figure A and a boring log indicating conditions near the shaft is presented in Appendix E. More detailed geologic information can be obtained from IDOT.

Test Shaft Construction: Illini Drilled Foundations, Inc. completed construction of the dedicated test shaft socketed in shale on November 05, 2014. The nominal 60-inch diameter test shaft was excavated to a base elevation of +376.4 ft, The shaft was started by pre-drilling and installing a 72-inch O.D. temporary outer casing. Drilling of the shaft continued through an open hole under bentonite slurry until the tip of shaft was several feet above the socket. A 66-inch O.D. inner casing was inserted and screwed into silty clays above the shale. After screwing in the inner casing, bentonite slurry was removed and drilling continued into the rock socket. Before reaching tip, the contractor pulled and removed the 72-inch O.D. temporary casing. An auger was used for drilling the shaft and a clean-out bucket for cleaning the base. After the shaft was approved for concrete placement, the reinforcing cage with attached O-cell assembly was lowered into the excavation and held with a crane for the duration of the pour. Concrete was then delivered by tremie into the base of the shaft until the top of the concrete reached an elevation of $+447.2$ ft. Representatives of Illinois Department of Transportation (IDOT), University of Illinois and others observed construction of the shaft.

OSTERBERG CELL TESTING

Shaft Instrumentation: Loadtest USA assisted Illini Drilled Foundations, Inc. with the assembly and installation of test shaft instrumentation. The loading assembly consisted of one 26-inch diameter O-cell located 2.0 feet above the shaft base. The Osterberg cell was calibrated to 2,906 kips and then welded closed prior to shipping by American Equipment and Fabricating Corporation. Calibrations of the O-cell and instrumentation used for this test are included in Appendix B. Embedded O-cell testing instrumentation included the following:

Paired upper compression telltale casings (nominal 1/2-inch steel pipe) attached diametrically opposed to the reinforcing cage, extending from the top of the O-cell assembly to ground level.

- Four Linear Vibrating Wire Displacement Transducers (LVWDTs, Geokon Model 4450 series) positioned between the lower and upper plates of the O-cell assembly.
- Four levels of two sister bar vibrating wire strain gages (Geokon Model 4911 Series) attached diametrically opposed to the reinforcing cage above the top of the O-cell assembly.
- Two lengths of $\frac{1}{2}$ -inch steel pipe, extending from the top of the shaft to the top of the bottom plate, to vent the break in the shaft formed by the expansion of the O-cell.

Details concerning the instrumentation placement appear in Table B and Figures A and B.

Test Arrangement: Throughout the load test, key elements of shaft displacement response were monitored using the equipment and instruments detailed below:

- Top of shaft displacement was monitored using a pair of automated digital survey levels (Leica NA3000 series) from an average distance of 27.5 feet (Appendix A, Page 1).
- Upper compression displacement was measured using 1/4-inch telltale rods positioned inside the two casings and monitored by Linear Vibrating Wire Displacement Transducers (LVWDTs, Geokon Model 4450 series) attached to the top of the shaft (Appendix A, Page 1).
- Expansion of the O-cell assembly was measured using the four Expansion LVWDTs described under Shaft Instrumentation (Appendix A, Page 2).

A Bourdon pressure gage, voltage pressure transducer and vibrating wire pressure transducer were used to measure the pressure applied to the O-cell at each load interval. The pressure transducer was used for manually setting and maintaining loads, real time plotting and for data analysis. The Bourdon pressure gage readings were used as a real-time visual reference and as a check on the transducer. There was close agreement between the Bourdon gage and the pressure transducer.

Data Acquisition: All instrumentation were connected through a data logger (Data Electronics 515 GeoLogger) to a laptop computer allowing data to be recorded and stored automatically at 30-second intervals and displayed in real time. The same laptop computer synchronized to the data logging system was used to acquire the Leica NA3000 data.

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Testing Procedures: Loadtest USA conducted the load test. Testing was begun by pressurizing the O-cell in order to break the tack welds that hold it closed (for handling and for placement in the shaft) and to form the fracture plane in the concrete surrounding the base of the O-cell. After the break occurred, the pressure was immediately released and the testing recommenced from zero pressure. Zero readings for all instrumentation were taken prior to the preliminary weld-breaking load-unload cycle, which in this case involved a maximum load of 518 kips at the O-cell.

The Osterberg cell load test was conducted as follows: The 26-inch diameter O-cell, with its base located 2.0 feet above the shaft base, was pressurized in 8 nominally equal increments, resulting in a maximum sustained bi-directional load of 1,551 kips applied to the shaft above and below the O-cell. After increment 1L-8, additional loading was attempted during 1L-9, but was halted because the upper side shear was approaching ultimate capacity and sustained loading could not be maintained. The shaft was then unloaded in five decrements and the test was concluded.

The load increments were applied using the Quick Load Test Method for Individual Piles (ASTM D1143 Standard Test Method for Piles Under Static Axial Load). Each successive load increment was held constant for eight minutes by manually adjusting the O-cell pressure. The data logger automatically recorded the instrument readings every 30 seconds, but herein only the 1, 2, 4 and 8 minute readings during each increment of maintained load are reported.

TEST RESULTS AND ANALYSES

General: The loads applied by the O-cell assembly act in two opposing directions, counteracted by the resistance of the shaft above and below. For the purpose of the analysis herein, it is assumed that the O-cell assembly does not impose an additional upward load until its expansion force exceeds the buoyant weight of the shaft above the O-cell assembly. Therefore, net load, which is defined as gross O-cell load minus the buoyant weight of the shaft above, is used to determine side shear resistance above the O-cell and to construct the equivalent top load displacement curve. For this test a shaft buoyant weight of 166 kips above the O-cell was calculated.

For the purposes of analyses herein, the maximum sustained loading at 1L-8 of 1,551 kips was used. At this maximum load (1L-8), the displacements above and below the O-cell assembly were 0.355 inches and 0.158 inches, respectively. The maximum applied load of 1,713 kips occurred at the 4-minute reading of increment 1L-9. The maximum displacements above and below the O-cell were 1.660 inches and 0.199 inches, respectively, which occurred at load increment 1L-9.

Upper Side Shear Resistance: The O-cell assembly applied a maximum ustaiend upward net load of 1,385 kips to the upper side shear at load interval 1L-8 (Appendix A, Page 3, Figures 1 to 3). At this loading, the upward displacement of the top of the O-cell was 0.355 inches.

Combined End Bearing and Lower Side Shear Resistance: The O-cell assembly applied a maximum sustained downward load of 1,551 kips at load interval 1L-8 (Appendix A, Page 3, Figures 1 to 3). At this loading, the average downward displacement of the O-cell base was 0.158 inches.

Strain Gage Analysis: The strain gage data appear in Appendix A, Pages 4 and 5 and the average strain measured at each level of strain gages during the test is plotted in Figure 4. On the day of the test, the unconfined compressive strength f_c was reported to be 5,125 psi. Assuming a concrete unit weight γ_c of 145 pcf, the ACI formula (E_c=0.033 × $\gamma_c^{1.5}$ × $\sqrt{f'}_c$) was used to calculate an elastic modulus of 4,125 ksi for the concrete. Shaft stiffness estimates for each strain gage level computed from this modulus plus reinforcing steel details and nominal shaft dimensions are listed in Table B. Concrete modulus combined with the area of reinforcing steel and nominal shaft diameter, provided an average shaft stiffness (AE) of 17,448,000 kips in the upper cased shaft section, 12,415,000 kips in the uncased shaft section above the O-cell and 11,663,000 kips below the O-cell. The load distribution curves for each load increment, based on applied O-cell load and computed strain gage loads are presented in Figure 5. Mobilized net unit side shear vs. displacement (t-z) curves based on the strain gage data and estimated ACI shaft stiffness are presented in Figure 6. Shear values for loading increment 1L-8 follow in Table A:

Load Transfer Zone	Displacement	Net Unit Side Shear
Zero Shear to Strain Gage Level 4	↑ 0.35 in	0.0 _{ksf}
Strain Gage Level 4 to Strain Gage Level 3	↑ 0.35 in	0.2 ksf
Strain Gage Level 3 to Strain Gage Level 2	↑ 0.35 in	0.1 ksf
Strain Gage Level 2 to Strain Gage Level 1	↑ 0.35 in	3.3 ksf
Strain Gage Level 1 to O-cell	\uparrow 0.35 in	10.7 ksf

TABLE A: Average Net Unit Side Shear Values for 1L-8

Average displacement of load transfer zone.

For upward-loaded shear, the buoyant weight of shaft in each zone has been subtracted from the load shed in the respective zone. Note that net unit shear values derived from the strain gages may not be ultimate values. See Figure 6 for unit shear vs. displacement (t-z) plots.

It is assumed that the unit side shear of the shaft zone below the O-cell is same as the zone immediately above at the same displacement. The load resisted by side shear in the 2.0-foot shaft section below the O-cell is calculated to be 239 kips assuming an interpolated maximum mobilized unit side shear value of 7.6 ksf at 0.158 inches displacement and a nominal shaft diameter of 60.0 inches. Then the maximum applied load to end bearing is 1,312 kips and the unit end bearing at the

base of the shaft is calculated to be 66.8 ksf at the above noted O-cell downward displacement. A mobilized unit end bearing vs. displacement (q-z) curve is presented in Figure 7.

Equivalent Top Load-Displacement: Figure 8 presents the equivalent top load (ETL) curve. The procedure for calculating the curve is described in Appendix C. The curve is generated assuming the load is applied at top of shaft (+447.2 ft). A combined side shear and end-bearing resistance of 2,939 kips was mobilized during the test. For a top loading of 1,250 kips, the adjusted test data indicate this shaft would displace approximately 0.11 inches. For a top loading of 2,500 kips, the adjusted test data indicate this shaft would displace approximately 0.27 inches. For reference, Figure 8 also includes the two component curves of O-cell displacements vs. net loads, which if summed would produce a "rigid" equivalent top load. The plotted ETL curve includes the additional elastic compression of a top-loaded shaft.

Creep Limit: See Appendix D for our O-cell method for determining creep limit loading. The combined end bearing and lower side shear creep data (Appendix A, Page 3, Figure D-1) indicate that no apparent creep limit was reached at a maximum downward displacement of 0.16 inches. The upper side shear creep data (Appendix A, Page 3, Figure D-2) indicate that a creep limit of 1,160 kips was reached at an upward displacement of 0.14 inches. A top loaded shaft will not begin creep until both components begin creep displacement. This will occur at the maximum of the displacements required to reach the creep limit for each component. Due to the absence of a clearly defined combined end bearing and lower side shear creep limit, a creep limit for the equivalent top-loaded shaft cannot be estimated.

Shaft Compression Comparison: The measured maximum shaft compression, averaged from two telltales, is 0.006 inches at 1L-8 (Appendix A, Page 3). Using a weighted average shaft stiffness of 16,566,900 kips and the load distribution in Figure 5 at 1L-8, an elastic compression of 0.006 inches over the length of the compression telltales is calculated. This excellent agreement provides good evidence that the values of the estimated shaft stiffness are reasonable.

LIMITATIONS AND STANDARD OF CARE

The instrumentation, testing services and data analysis provided by Loadtest USA, outlined in this report, were performed in accordance with the accepted standards of care recognized by professionals in the drilled shaft and foundation engineering industry.

Please note that some of the information contained in this report is based on data (i.e. shaft diameter, elevations and concrete strength) provided by others. The engineer, therefore, should come to his or her own conclusions with regard to the analyses as they depend on this information. In particular, Loadtest USA typically does not observe and record drilled shaft construction details to the level of precision that the project engineer may require. In many cases, we may not be present for the entire duration of shaft construction. Since construction technique can play a significant role in determining the load bearing capacity of a drilled shaft, the engineer should pay close attention to the drilled shaft construction details that were recorded elsewhere.

We trust that this information will meet your current project needs. If you have any questions, please do not hesitate to contact us at 352-378-3717.

Prepared for Loadtest USA by

Aditya Ayithi, Ph. D.

Reviewed for Loadtest USA by

Shing K. Pang, P.E.

Brian D. Haney, P.E.

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TABLE B SUMMARY OF DIMENSIONS, ELEVATIONS & SHAFT PROPERTIES

 1 The break between upward and downward movement at the O-cell assembly

TS-1 - IL-89 Over Illinois River - Bureau Putnam Counties, IL Osterberg Cell Load-Displacement

Loadtest USA Project No. LT-1407

Figure 1 of 8

Time-Osterberg Cell Load
TS-1 - IL-89 Over Illinois River - Bureau Putnam Counties, IL

Loadtest USA Project No. LT-1407

Figure 2 of 8

Time-Osterberg Cell Displacement

TS-1 - IL-89 Over Illinois River - Bureau Putnam Counties, IL

Loadtest USA Project No. LT-1407

Osterberg Cell Load-Strain Gage Microstrain TS-1 - IL-89 Over Illinois River - Bureau Putnam Counties, IL

Loadtest USA Project No. LT-1407

Figure 4 of 8

O-cell Gross Load (kips)

TS-1 - IL-89 Over Illinois River - Bureau Putnam Counties, IL Strain Gage Load Distribution

Figure 5 of 8

Loadtest USA Project No. LT-1407

Load (kips)

ī

TS-1 - IL-89 Over Illinois River - Bureau Putnam Counties, IL Mobilized Upward Net Unit Side Shear

Upward Average Zone Movement (in)

Figure 6 of 8

Loadtest USA Project No. LT-1407

TS-1 - IL-89 Over Illinois River - Bureau Putnam Counties, IL **Mobilized Unit End Bearing**

Figure 7 of 8

Loadtest USA Project No. LT-1407

Downward Shaft Base Displacement (in)

TS-1 - IL-89 Over Illinois River - Bureau Putnam Counties, IL Equivalent Top Load-Displacement

Equivalent Top Load (kips)

Loadtest USA Project No. LT-1407

TS-1 - IL-89 Over Illinois River Bureau & Putnam Counties (LT-1407)

APPENDIX A

FIELD DATA AND DATA REDUCTION TABLES

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Upward Top of Shaft Movement and Upper Shaft Compression
TS-1 - IL-89 Over Illinois River - Bureau & Putnam Counties, IL

Load	Hold		O-cell		O-cell Expansion				
Test	Time	Time	Pressure	Load	1A-1419714	1B-1419715	1C-1417851	1D-1417852	Average
Increment	(minutes)	(hh:mm:ss)	(psi)	(kips)	(in)	(in)	(in)	(ln)	(in)
$1L - 0$	\overline{a}	10, 15, 00	0	0	0.000	0.000	0.000	0.000	0.000
$11 - 1$	$\overline{\mathbf{1}}$	10:24:30	500	197	0.009	0.017	0.011	0.008	0.011
$1L - 1$	2	10 25:30	500	197	0.009	0.017	0.011	0.008	0.011
$1L - 1$	4	10:27:30	500	197	0.010	0.018	0.012	0.008	0.012
$1 L - 1$	8	10:31:30	500	197	0.010	0.018	0.012	0.008	0.012
$1 L - 2$	1	10:33:30	1,010	394	0.017	0.029	0.020	0.014	0.020
$1 L - 2$	$\overline{\mathbf{c}}$	10:34:30	1,010	394	0.017	0.029	0.020	0.014	0.020
$1L - 2$	4	10:36:30	1,010	394	0.019	0.032	0.022	0.016	0.022
$11 - 2$	8	10:40:30	1,010	394	0.017	0.033	0.023	0.017	0.023
$1 - 3$	1	10.43.30	1,510	588	0.036	0.053	0.038	0.031	0.040
$1 L - 3$	$\boldsymbol{2}$	10.44.30	1,510	588	0.039	0.055	0.040	0.034	0.042
$1L-3$	$\overline{4}$	10.46.30	1,510	588	0.044	0.060	0.044	0.038	0.046
$1 L - 3$	8	10:50:30	1,510	588	0.049	0.063	0.046	0.041	0.050
$1L - 4$	$\overline{1}$	10:54:30	1,990	773	0.073	0.091	0.068	0.063	0.074
$1L - 4$	$\overline{\mathbf{c}}$	10:55:30	1,990	773	0.075	0.093	0.070	0.065	0.076
$1L - 4$	4	10:57:30	1,990	773	0.079	0.097	0.073	0.068	0.079
$1L - 4$	8	11:01:30	1,990	773	0.084	0.102	0.077	0.073	0.084
1 L - 5	1	11:04:00	2.470	959	0.113	0.132	0.101	0.098	0.111
$1L - 5$	$\boldsymbol{2}$	11:05:00	2,470	959	0.117	0.135	0.103	0.101	0.114
$1L - 5$	$\overline{\bf 4}$	11:07:00	2,470	959	0.123	0.141	0.108	0.106	0.119
$1 L - 5$	8	11:11:00	2,470	959	0.132	0.150	0.117	0.114	0.128
$1 L - 6$	$\overline{1}$	11:13:30	3,000	1,164	0.173	0.192	0.155	0.152	0.168
$1L - 6$	$\overline{\mathbf{c}}$	11:14:30	3,000	1,164	0.178	0.197	0.159	0.156	0.173
$1L - 6$	4	11:16:30	3,000	1,164	0.185	0.204	0.165	0.162	0.179
$1L - 6$	8	11:20:30	3,000	1,164	0.195	0.214	0.173	0.170	0.188
$11 - 7$	$\overline{1}$	11:23:30	3,480	1,349	0.249	0.271	0.226	0.222	0.242
$1 L - 7$	2	11:24:30	3,480	1.349	0.259	0.281	0.235	0.232	0.252
$1L - 7$	4	11:26:30	3,480	1,349	0.268	0.291	0.243	0.240	0.260
$1L - 7$	8	11:30:30	3,480	1 3 4 9	0.283	0.307	0.257	0.254	0.275
$1L - 8$	$\overline{1}$	11:32:30	4,000	1.551	0.402	0.426	0.372	0.366	0.391
$1 - 8$	2	11:33:30	4,000	1,551	0.425	0.450	0.394	0.388	0.414
$1L - 8$	4	11:35:30	4,000	1,551	0.460	0.491	0.432	0.426	0.452
$1 L - 8$ $1L - 9$	8 1	11:39:30 11:41:30	4,000	1.551	0.521	0.553	0.491	0.485	0.513
	2		4,382	1,698	0.704	0.741	0.671	0.666	0.695
$1L - 9$ $1 L - 9$	4	11:42:30 11:44:30	4,394 4,420	1,703 1,713	0.865 1.229	0.901 1.270	0.832 1.199	0.825	0.856
$1 L - 9$	8	11:48:30	3,527	1.367	1,870	1.910		1.185	1.221
$1U - 1$	1	11:50:00	3,500	1,357	1.868	1,906	1.824 1.821	1.809 1.807	1.853 1.851
$10 - 1$	$\overline{\mathbf{c}}$	11:51:00	3,500	1,357	1.868	1.906	1.821	1.807	1.851
$1U - 1$	4	11:53:00	3,500	1,357	1,870	1.906	1.822	1.808	1.851
$1U - 2$	1	11:55:30	2,680	1.040	1.836	1.872	1.792	1.774	1.819
$1U - 2$	$\mathbf 2$	11:56:30	2,680	1.040	1.833	1.869	1.788	1.771	1.815
$1U - 2$	4	11:58:30	2,680	1.040	1.831	1.868	1.786	1.769	1.813
$1U - 3$	1	12:01:00	1,770	688	1.751	1.793	1.717	1.697	1.739
$1U - 3$	$\overline{\mathbf{c}}$	12:02:00	1,770	688	1.748	1.789	1.714	1.693	1.736
$1U - 3$	4	12:04:00	1,770	688	1.746	1.786	1.708	1.690	1.732
$1U - 4$	1	12:06:30	980	383	1.643	1.686	1.612	1.592	1.633
$1U - 4$	2	12:07:30	980	383	1.640	1.684	1.610	1.590	1.631
$1U - 4$	4	12:09:30	980	383	1.638	1.682	1.609	1.588	1.629
$1U - 5$	7	12:12:00	0	$\mathbf 0$	1.425	1.459	1.409	1.384	7.420
$1U - 5$	2	12:13:00	0	0	1.421	1.453	1.403	1 378	1.414
$1U - 5$	4	12:15:00	0	0	1.424	1.452	1.400	1.375	1.413
$1U - 5$	8	12:19:00	o	0	1.403	1.436	1.390	1.364	1.398

O-cell Expansion TS-1 - IL-89 Over Illinois River - Bureau & Putnam Counties, IL

O-cell Plate Movements and Creep (calculated)
TS-1 - IL-89 Over Illinois River - Bureau & Putnam Counties, IL

Strain Gage Readings and Loads at Levels 1 and 2 TS-1 - IL-89 Over Illinois River - Bureau & Putnam Counties, IL

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Strain Gage Readings and Loads at Levels 3 and 4 TS-1 - IL-89 Over Illinois River - Bureau & Putnam Counties, IL

TS-1 - IL-89 Over Illinois River Bureau & Putnam Counties (LT-1407)

APPENDIX B

O-CELL AND INSTRUMENTATION **CALIBRATION SHEETS**

DATE: $10.28.14$ **SERVICE ENGINEER:**

Certificate of Calibration

Certificate Number: LT.59687.2014-10-08

Instrument: Geokon VWPX

Calibration Date: Oct 8, 2014

Model: 4500HH-10000

Temperature: 23.2 °C

Serial Number: 59687

Logging Instrument: Datataker DT85G, Serial: 089546

Reference Instrument: SENSOTEC TJE/743-23TJA, Serial: 622335

Reference Calibrated: 2014-04-15

Reference Certificate: 1001395677

> LOADTEST certifies that the above named instrument has been calibrated by comparison with standards traceable to the NIST and was found to be in tolerance in all operating ranges. Relevant documentation and certificates are available on request.

Tested by: Michael Crumpton, B.S.C.E.

Signed: Signed:

Approved by: Denton A. Kort, P.E.

Instrument Calibrated By LOADTEST, 2631-D NW 41 St, Gainesville, FL 32606

DEEP FOUNDATION TESTING, EQUIPMENT & SERVICES · SPECIALIZING IN OSTERBERG CELL (O-cell®) TECHNOLOGY O-cell[®] is a registered trademark.

Certificate of Calibration

Instrument: Geokon LVWDT

Model: 4450-3-100

Calibration Date: May 23, 2014

Temperature: 25.5 °C

Serial Number: 08-23842

Linear Range: 100 mm

Certificate: F-47-778-1, Calibration Date: 2013-06-13

Logging Instrument: Datataker DT85G, Serial: 089546

LOADTEST certifies that the above named instrument has been calibrated by comparison with standards traceable to the NIST and was found to be in tolerance in all operating ranges. Relevant documentation and certificates are available on request.

Tested by: Michael Crumpton, B.S.C.E.

Approved by: David J. Jakstis, P.E.

DEEP FOUNDATION TESTING, EQUIPMENT & SERVICES · SPECIALIZING IN OSTERBERG CELL (O-cell®) TECHNOLOGY O-cell[®] is a registered trademark.
Certificate of Calibration

Instrument: Geokon LVWDT

Model: 4450-3-100

Calibration Date: May 1, 2014

Temperature: 24.1 °C

Linear Range: 100 mm

Serial Number: 08-23839

Reference Instrument: Fowler Blocks, Serial: A00778, Certificate No.: F-47-778-1

Logging Instrument: Datataker DT85G, Serial: 089546

LOADTEST certifies that the above named instrument has been calibrated by comparison with standards traceable to the NIST and was found to be in tolerance in all operating ranges. Relevant documentation and certificates are available on request.

Tested by: Michael Crumpton, B.S.C.E.

Approved by: David J. Jakstis, P.E.

Instrument Calibrated By LOADTEST, 2631-D NW 41 St, Gainesville, FL 32606

DEEP FOUNDATION TESTING, EQUIPMENT & SERVICES · SPECIALIZING IN OSTERBERG CELL (O-cell®) TECHNOLOGY O-cell[®] is a registered trademark.

Calibration Instruction: CI-VW Rebar

For conversion factor, load to strain, refer to table C-2 of the Installation Manual

Gage Factor: 0.348 microstrain/digit (GK-401 Pos. "B")

Calculated Strain = Gage Factor(Current Reading - Zero Reading)

Note: The above calibration uses the linear regression method.

Users are advised to establish their own zero conditions.

Linearity: ((Calculated Load - Applied Load)/Max. Applied Load) X 100 percent

The above instrument was found to be in tolerance in all operating ranges.
The above named instrument has been calibrated by comparison with standards traceable to the NIST, in compliance with ANSI Z540-1.

Calibration Instruction: CI-VW Rebar

For conversion factor, load to strain, refer to table C-2 of the Installation Manual

Gage Factor: 0.349 microstrain/digit (GK-401 Pos. "B")

Calculated Strain = Gage Factor(Current Reading - Zero Reading)

Note: The above calibration uses the linear regression method.

Users are advised to establish their own zero conditions.

Linearity: ((Calculated Load - Applied Load)/Max. Applied Load) X 100 percent

The above instrument was found to be in tolerance in all operating ranges. The above named instrument has been calibrated by comparison with standards traceable to the NIST, in compliance with ANSI Z540-1.

CI-VW Rebar Calibration Instruction:

For conversion factor, load to strain, refer to table C-2 of the Installation Manual

Gage Factor: 0.342 microstrain/digit (GK-401 Pos. "B")

Calculated Strain = Gage Factor(Current Reading - Zero Reading)

Note: The above calibration uses the linear regression method.

Users are advised to establish their own zero conditions.

Linearity: ((Calculated Load - Applied Load)/Max. Applied Load) X 100 percent

The above instrument was found to be in tolerance in all operating ranges. The above named instrument has been calibrated by comparison with standards traceable to the NIST, in compliance with ANSI Z540-1.

Calibration Instruction: CI-VW Rebar

For conversion factor, load to strain, refer to table C-2 of the Installation Manual

Gage Factor: 0.347 microstrain/digit (GK-401 Pos. "B")

Calculated Strain = Gage Factor(Current Reading - Zero Reading)

Note: The above calibration uses the linear regression method.

Users are advised to establish their own zero conditions.

Linearity: ((Calculated Load - Applied Load)/Max. Applied Load) X 100 percent

The above instrument was found to be in tolerance in all operating ranges. The above named instrument has been calibrated by comparison with standards traceable to the NIST, in compliance with ANSI Z540-1.

Calibration Instruction: CI-VW Rebar

For conversion factor, load to strain, refer to table C-2 of the Installation Manual

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Calculated Strain = Gage Factor(Current Reading - Zero Reading)

Note: The above calibration uses the linear regression method.

Users are advised to establish their own zero conditions.

Linearity: ((Calculated Load - Applied Load)/Max. Applied Load) X 100 percent

The above instrument was found to be in tolerance in all operating ranges. The above named instrument has been calibrated by comparison with standards traceable to the NIST, in compliance with ANSI Z540-1.

Calibration Instruction. CI-VW Rebar

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Calculated Strain = Gage Factor(Current Reading - Zero Reading)

Note: The above calibration uses the linear regression method.

Users are advised to establish their own zero conditions.

Linearity: ((Calculated Load - Applied Load)/Max. Applied Load) X 100 percent

The above instrument was found to be in tolerance in all operating ranges.
The above named instrument has been calibrated by comparison with standards traceable to the NIST, in compliance with ANSI Z540-1.

TS-1 - IL-89 Over Illinois River Bureau & Putnam Counties (LT-1407)

APPENDIX C

CONSTRUCTION OF THE EQUIVALENT TOP LOAD-DISPLACEMENT CURVE

CONSTRUCTION OF THE EQUIVALENT TOP-LOADED LOAD-SETTLEMENT **CURVE FROM THE RESULTS OF AN O-CELL TEST (August, 2000)**

Introduction: Some engineers find it useful to see the results of an O-cell load test in the form of a curve showing the load versus settlement of a top-loaded driven or bored pile (drilled shaft). We believe that an O-cell test can provide a good estimate of this curve when using the method described herein.

Assumptions: We make the following assumptions, which we consider both reasonable and usually conservative:

- 1. The end bearing load-movement curve in a top-loaded shaft has the same loads for a given movement as the net (subtract buoyant weight of pile above O-cell) end bearing load-movement curve developed by the bottom of the O-cell when placed at or near the bottom of the shaft.
- 2. The side shear load-movement curve in a top-loaded shaft has the same net shear, multiplied by an adjustment factor 'F', for a given downward movement as occurred in the O-cell test for that same movement at the top of the cell in the upward direction. The same applies to the upward movement in a top-loaded tension test. Unless noted otherwise, we use the following adjustment factors: (a) $F = 1.00$ in all rock sockets and for primarily cohesive soils in compression (b) $F = 0.95$ in primarily cohesionless soils (c) $F = 0.80$ for all soils in top load tension tests.
- 3. We initially assume the pile behaves as a rigid body, but include the elastic compressions that are part of the movement data obtained from an O-cell test (OLT). Using this assumption, we construct an equivalent top-load test (TLT) movement curve by the method described below in Procedure Part I. We then use the following Procedure Part II to correct for the effects of the additional elastic compressions in a TLT.
- 4. Consider the case with the O-cell, or the bottom O-cell of more than one level of cells, placed some distance above the bottom of the shaft. We assume the part of the shaft below the cell, now top-loaded, has the same load-movement behavior as when top-loading the entire shaft. For this case the subsequent "end bearing movement curve" refers to the movement of the entire length of shaft below the cell.

Procedure Part I: Please refer to the attached Figure A showing O-cell test results and to Figure B, the constructed equivalent top loaded settlement curve. Note that each of the curves shown has points numbered from 1 to 12 such that the same point number on each curve has the same magnitude of movement. For example, point 4 has an upward and downward movement of 0.40 inches in Figure A and the same 0.40 inches downward in Figure B.

Note: This report shows the O-cell movement data in a Figure similar to Fig. A, but uses the gross loads as obtained in the field. Fig. A uses net loads to make it easier for the reader to convert Fig. A into Fig. B without the complication of first converting gross to net loads. For conservative reconstruction of the top loaded

settlement curve we first convert both of the O-cell components to net load.

Using the above assumptions, construct the equivalent curve as follows: Select an arbitrary movement such as the 0.40 inches to give point 4 on the shaft side shear load movement curve in Figure A and record the 2,090 ton load in shear at that movement. Because we have initially assumed a rigid pile, the top of pile moves downward the same as the bottom. Therefore, find point 4 with 0.40 inches of upward movement on the end bearing load movement curve and record the corresponding load of 1,060 tons. Adding these two loads will give the total load of 3,150 tons due to side shear plus end bearing at the same movement and thus gives point 4 on the Figure B load settlement curve for an equivalent top-loaded test.

One can use the above procedure to obtain all the points in Figure B up to the component that moved the least at the end of the test, in this case point 5 in side shear. To take advantage of the fact that the test produced end bearing movement data up to point 12, we need to make an extrapolation of the side shear curve. We usually use a convenient and suitable hyperbolic curve fitting technique for this extrapolation. Deciding on the maximum number of data points to provide a good fit (a high r^2 correlation coefficient) requires some judgment. In this case we omitted point 1 to give an r^2 = 0.999 (including point 1 gave an r^2 = 0.966) with the result shown as points 6 to 12 on the dotted extension of the measured side shear curve. Using the same movement matching procedure described earlier we can then extend the equivalent curve to points 6 to 12. The results, shown in Figure B as a dashed line, signify that this part of the equivalent curve depends partly on extrapolated data.

Sometimes, if the data warrants, we will use extrapolations of both side shear and end bearing to extend the equivalent curve to a greater movement than the maximum measured (point 12). An appendix in this report gives the details of the extrapolation(s) used with the present O-cell test and shows the fit with the actual data.

Procedure Part II: The elastic compression in the equivalent top load test always exceeds that in the O-cell test. It not only produces more top movement, but also additional side shear movement, which then generates more side shear, which produces more compression, etc... An exact solution of this load transfer problem requires knowing the side shear vs. vertical movement $(t-y)$ curves for a large number of pile length increments and solving the resulting set of simultaneous equations or using finite element or finite difference simulations to obtain an approximate solution for these equations. We usually do not have the data to obtain the many accurate t-y curves required. Fortunately, the approximate solution described below usually suffices.

The attached analysis p. 6 gives the equations for the elastic compressions that occur in the OLT with one or two levels of O-cells. Analysis p. 7 gives the equations for the elastic compressions that occur in the equivalent TLT. Both sets of equations do not include the elastic compression below the O-cell because the same compression takes place in both the OLT and the TLT. This is equivalent to taking $L_3 = 0$. Subtracting the OLT from the TLT compression gives the desired additional elastic compression at the top of the TLT. We then add the additional elastic compression to the 'rigid' equivalent curve obtained from Part I to obtain the final, corrected equivalent load-settlement curve for the TLT on the same pile as the actual OLT.

Note that the above pp. 6 and 7 give equations for each of three assumed patterns of developed side shear stress along the pile. The pattern shown in the center of the three applies to any approximately determined side shear distribution. Experience has shown the initial solution for the additional elastic compression, as described above, gives an adequate and slightly conservative (high) estimate of the additional compression versus more sophisticated load-transfer analyses as described in the first paragraph of this Part \mathbf{H} .

The analysis p. 8 provides an example of calculated results in English units on a hypothetical 1-stage, single level OLT using the simplified method in Part II with the centroid of the side shear distribution 44.1% above the base of the O-cell. Figure C compares the corrected with the rigid curve of Figure B. Page 9 contains an example equivalent to that above in SI units.

The final analysis p. 10 provides an example of calculated results in English units on a hypothetical 3-stage, multi level OLT using the simplified method in Part II with the centroid of the combined upper and middle side shear distribution 44.1% above the base of the bottom O-cell. The individual centroids of the upper and middle side shear distributions lie 39.6% and 57.9% above and below the middle O-cell, respectively. Figure E compares the corrected with the rigid curve. Page 11 contains an example equivalent to that above in SI units.

Other Tests: The example illustrated in **Figure A** has the maximum component movement in end bearing. The procedures remain the same if the maximum test movement occurred in side shear. Then we would have extrapolated end bearing to produce the dashed-line part of the reconstructed top-load settlement curve.

The example illustrated also assumes a pile top-loaded in compression. For a pile toploaded in tension we would, based on Assumptions 2. and 3., use the upward side shear load curve in Figure A, multiplied by the $F = 0.80$ noted in Assumption 2., for the equivalent top-loaded displacement curve.

Expected Accuracy: We know of only five series of tests that provide the data needed to make a direct comparison between actual, full scale, top-loaded pile movement behavior and the equivalent behavior obtained from an O-cell test by the method described herein. These involve three sites in Japan and one in Singapore, in a variety of soils, with three compression tests on bored piles (drilled shafts), one compression test on a driven pile and one tension test on a bored pile. The largest bored pile had a 1.2-m diameter and a 37-m length. The driven pile had a 1-m increment modular construction and a 9-m length. The largest top loading $=$ 28 MN (3,150 tons).

The following references detail the aforementioned Japanese tests and the results therefrom:

Kishida H. et al., 1992, "Pile Loading Tests at Osaka Amenity Park Project," Paper by Mitsubishi Co., also briefly described in Schmertmann (1993, see bibliography). Compares one drilled shaft in tension and another in compression.

Ogura, H. et al., 1995, "Application of Pile Toe Load Test to Cast-in-place

Concrete Pile and Precast Pile," special volume 'Tsuchi-to-Kiso' on Pile Loading Test, Japanese Geotechnical Society, Vol. 3, No. 5, Ser. No. 448. Original in Japanese. Translated by M. B. Karkee, GEOTOP Corporation. Compares one drilled shaft and one driven pile, both in compression.

We compared the predicted equivalent and measured top load at three top movements in each of the above four Japanese comparisons. The top movements ranged from 1/4 inch (6 mm) to 40 mm, depending on the data available. The (equiv./meas.) ratios of the top load averaged 1.03 in the 15 comparisons with a coefficient of variation of less than 10%. We believe that these available comparisons help support the practical validity of the equivalent top load method described herein.

L. S. Peng, A. M. Koon, R. Page and C. W. Lee report the results of a class-A prediction by others of the TLT curve from an Osterberg cell test on a 1.2 m diameter, 37.2 m long bored pile in Singapore, compared to an adjacent pile with the same dimensions actually top-loaded by kentledge. They report about a 4% difference in ultimate capacity and less than 8% difference in settlements over the 1.0 to 1.5 times working load range -- comparable to the accuracy noted above. Their paper has the title "OSTERBERG CELL TESTING OF PILES", and was published in March 1999 in the Proceedings of the International Conference on Rail Transit, held in Singapore and published by the Association of Consulting Engineers Singapore.

B. H. Fellenius has made several finite element method (FEM) studies of an OLT in which he adjusted the parameters to produce good load-deflection matches with the OLT up and down load-deflection curves. He then used the same parameters to predict the TLT deflection curve. We compared the FEM-predicted curve with the equivalent load-deflection predicted by the previously described Part I and II procedures, with the results again comparable to the accuracy noted above. The ASCE has published a paper by Fellenius et. al. titled "O-Cell Testing and FE Analysis of 28-m-Deep Barrette in Manila, Philippines" in the Journal of Geotechnical and Geoenvironmental Engineering, Vol. 125, No. 7, July 1999, p. 566. It details one of his comparison studies.

Limitations: The engineer using these results should judge the conservatism, or lack thereof, of the aforementioned assumptions and extrapolation(s) before utilizing the results for design purposes. For example, brittle failure behavior may produce movement curves with abrupt changes in curvature (not hyperbolic). However, we believe the hyperbolic fit method and our assumptions used usually produce reasonable equivalent top load settlement curves.

August, 2000

Example of the Construction of an Equivalent Top-Loaded Settlement Curve (Figure B) From Osterberg Cell Test Results (Figure A)

Figure A

Theoretical Elastic Compression in O-cell Test **Based on Pattern of Developed Side Shear Stress**

1-Stage Single Level Test (Q'A only):

3-Stage Multi Level Test (Q'A and Q'B): $\delta_{\text{OLT}} = \delta_{\uparrow\downarrow_1} + \delta_{\downarrow\downarrow_2}$

Net Loads:

$$
Q'_{\uparrow A} \!=\! Q_{\uparrow A} - W_{\downarrow_{0} + \downarrow_{1} + \downarrow_{2}}^{\prime}
$$

 $Q'_{\uparrow B} = Q_{\uparrow B} - W'_{I_0 + I_1}$

$$
Q'_{\downarrow B} = Q'_{\downarrow B} + W'_{I_2}
$$

 W' = pile weight, buoyant where below water table

Theoretical Elastic Compression in Top Loaded Test **Based on Pattern of Developed Side Shear Stress**

Top Loaded Test: $\delta_{\text{TLT}} = \delta_{\downarrow|_0} + \delta_{\downarrow|_{\text{c}}+|_2}$

Net and Equivalent Loads:

$$
Q^{'}_{\downarrow A} = Q_{\downarrow A} - W^{'}_{\downarrow_0 + I_1 + I_2} \hspace{.5in} P_{\text{single}} = Q^{'}_{\downarrow A} + Q^{'}_{\uparrow A} \hspace{.5in} P_{\text{multi}} = Q^{'}_{\downarrow A} + Q^{'}_{\uparrow B} + Q^{'}_{\downarrow B}
$$

Component loads Q selected at the same (\pm) Δ_{OLT} .

Example Calculation for the Additional Elastic Compression Correction For Single Level Test (English Units)

Figure C

Example Calculation for the Additional Elastic Compression Correction For Single Level Test (SI Units)

Given:

 C_1 0.441 $=$ AE 17,000 MN (assumed constant throughout test) \equiv I_0 1.80 $=$ \mathbf{m} $\qquad \qquad =$ 14.69 m (embedded length of shaft above mid-cell) 0.00 \mathbf{m} $\qquad \qquad =$ 0.0 $\qquad \qquad =$ \mathbf{m} 1.00 (cohesive soil) $=$

Shear reduction factor

 I_1

 $I₂$

 $I₃$

Figure D

Example Calculation for the Additional Elastic Compression Correction For Multi Level Test (English Units)

Shear reduction factor $\,=\,$ 1.00 (cohesive soil)

Figure E

Example Calculation for the Additional Elastic Compression Correction For Multi Level Test (SI Units)

Given:

Shear reduction factor

(cohesive soil)

Figure F

TS-1 - IL-89 Over Illinois River Bureau & Putnam Counties (LT-1407)

APPENDIX D

O-CELL METHOD FOR DETERMINING **CREEP LIMIT LOADING**

O-CELL METHOD FOR DETERMINING A CREEP LIMIT LOADING ON THE EQUIVALENT TOP-LOADED SHAFT (September, 2000)

Background: O-cell testing provides a sometimes useful method for evaluating that load beyond which a top-loaded drilled shaft might experience significant unwanted creep behavior. We refer to this load as the "creep limit," also sometimes known as the "yield limit" or "yield load".

To our knowledge, Housel (1959) first proposed the method described below for determining the creep limit. Stoll (1961), Bourges and Levillian (1988), and Fellenius (1996) provide additional references. This method also follows from long experience with the pressuremeter test (PMT). Figure 8 and section 9.4 from ASTM D4719-94, reproduced below, show and describe the creep curve routinely determined from the PMT. The creep curve shows how the movement or strain obtained over a fixed time interval, 30 to 60 seconds, changes versus the applied pressure. One can often detect a distinct break in the curve at the pressure P_e in Figure 8. Plastic deformations may become significant beyond this break loading and progressively more severe creep can occur.

Definition: Similarly with O-cell testing using the ASTM Quick Method, one can conveniently measure the additional movement occurring over the final time interval at each constant load step, typically 2 to 4 minutes. A break in the curve of load vs. movement (as at P_e with the PMT) indicates the creep limit.

We usually indicate such a creep limit in the O-cell test for either one, or both, of the side shear and end bearing components, and herein designate the corresponding movements as $M_{C1,1}$ and $M_{C1,2}$. We then combine the creep limit data to predict a creep limit load for the equivalent top loaded shaft.

Procedure if both M_{CL1} and M_{CL2} available: Creep cannot begin until the shaft movement exceeds the M_{CL} values. A conservative approach would assume that creep begins when movements exceed the lesser of the M_{CL} values. However, creep can occur freely only when the shaft has moved the greater of the two M_{CL} values. Although less conservative, we believe the latter to match behavior better and therefore set the creep limit as that load on the equivalent top-loaded movement curve that matches the greater M_{CL} .

Procedure if only M_{CL1} **available:** If we cannot determine a creep limit in the second component before it reaches its maximum movement M_x , we treat M_x as M_{CL2}. From the above method one can say that the creep limit load exceeds, by some unknown amount, that obtained when using $M_{CL2} = M_{x}$.

Procedure if no creep limit observed: Then, according to the above, the creep limit for the equivalent top-loaded shaft will exceed, again by some unknown amount, that load on the equivalent curve that matches the movement of the component with the maximum movement.

Limitations: The accuracy in estimating creep limits depends, in part, on the scatter of the data in the creep limit plots. The more scatter, the more difficult to define a limit. The user should make his or her own interpretation if he or she intends to make important use of the creep limit interpretations. Sometimes we obtain excessive scatter of the data and do not attempt an interpretation for a creep limit and will indicate this in the report.

Excerpts from ASTM D4719 "Standard Test Method for Pressuremeter Testing in Soils"

9.4 For Procedure A, plot the volume increase readings (V_{60}) between the 30 s and 60 s reading on a separate graph. Generally, a part of the same graph is used, see Fig. 8. For Procedure B, plot the pressure decrease reading between the 30 s and 60 s reading on a separate graph. The test curve shows an almost straight line section within the range of either low volume increase readings (V_{60}) for Procedure A or low pressure decrease for Procedure B. In this range, a constant soil deformation modulus can be measured. Past the socalled creep pressure, plastic deformations become prevalent.

References

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Combined End Bearing and Lower Side Shear Creep Limit TS-1 - IL-89 Over Illinois River - Bureau Putnam Counties, IL

Loadtest USA Project No. LT-1407

Figure D-1

TS-1 - IL-89 Over Illinois River - Bureau Putnam Counties, IL Upper Side Shear Creep Limit

Loadtest USA Project No. LT-1407

Figure D-2

TS-1 - IL-89 Over Illinois River Bureau & Putnam Counties (LT-1407)

APPENDIX E

SOIL BORING LOG

The Unconfined Compressive Strength (UCS) Failure Mode is indicated by (B-Bulge, S-Shear, P-Penetrometer)
The SPT (N value) is the sum of the last two blow values in each sampling zone (AASHTO T206)

Page 1 of 3

The Unconfined Compressive Strength (UCS) Failure Mode is indicated by (B-Bulge, S-Shear, P-Penetrometer)
The SPT (N value) is the sum of the last two blow values in each sampling zone (AASHTO T206)

The Unconfined Compressive Strength (UCS) Failure Mode is indicated by (B-Bulge, S-Shear, P-Penetrometer)
The SPT (N value) is the sum of the last two blow values in each sampling zone (AASHTO T206)

REPORT ON DRILLED SHAFT LOAD TESTING (OSTERBERG METHOD)

TS-1 - IL-133 Over Embarras River Oakland, IL (LT-1425)

Prepared for: The Board of Trustees of University of Illinois 205 North Mathews Urbana, IL 61801

Attention: Mr. James Long

PROJECT NO: LT-1425, August 21, 2015

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DEEP FOUNDATION TESTING, EQUIPMENT & SERVICES . SPECIALIZING IN OSTERBERG CELL (O-Cell®) TECHNOLOGY LOADTEST USA is a division of Fugro Consultants Inc.

www.loadtest.com

Issue and Revision History

Issue History

Revision History

TS-1 - IL-133 Over Embarras River Oakland, IL (LT-1425)

August 21, 2015

The Board of Trustees of University of Illinois 205 North Mathews Ave Urbana, IL 61801

Attention: Mr. James Long

Load Test Report: TS-1 - IL-133 Over Embarras River Location: Oakland, IL (LT-1425)

Dear Mr. Long,

The enclosed report contains the data and analysis summary for the Osterberg Cell (O-cell) test performed on TS-1 - IL-133 Over Embarras River, on August 17, 2015. For your convenience, we have included an executive summary of the test results in addition to our standard detailed data report. Preliminary results were issued on August 18, 2015.

We would like to express our gratitude for the on-site and off-site assistance provided by your team and we look forward to working with you on future projects.

We trust that the information contained herein will suit your current project needs. If you have any questions or require further technical assistance, please do not hesitate to contact us at 352-378-3717.

Best Regards,

William G. Ryan, B.S.C.M. Regional Manager, Loadtest USA

EXECUTIVE SUMMARY

On August 17, 2015, Loadtest USA performed an O-cell test on a nominal 48-inch diameter test shaft TS-1. Illini Drilled Foundations, Inc. completed construction of the 27.3-foot deep shaft socketed in shale on August 05, 2015. Sub-surface conditions at the test shaft location consist primarily of clay overburden underlain by clay shale. Representatives of University of Illinois, Illinois Department of Transportation (IDOT) and others observed construction and testing of the shaft.

The maximum sustained bi-directional load applied to the shaft was 913 kips. At this load, the displacements above and below the O-cell assembly were 1.282 inches and 1.684 inches, respectively. Unit side shear data calculated from strain gages indicated an average mobilized net side shear of 6.2 ksf between O-cell and Strain Gage Level 2, in the rock socket. The maximum applied unit end bearing is calculated to be 58.6 ksf. Unit values correspond to the above respective displacements.

Using the procedures described in the report text and in Appendix C, an equivalent top load curve for the test shaft was constructed. For a top loading of 750 kips, the adjusted test data indicate this shaft would displace approximately 0.26 inches. For a top loading of 1,500 kips, the adjusted test data indicate this shaft would displace approximately 1.25 inches.

LIMITATIONS OF EXECUTIVE SUMMARY

We include this executive summary to provide a very brief presentation of some of the key elements of this O-cell test. It is by no means intended to be a comprehensive or stand-alone representation of the test results. The full text of the report and the attached appendices contain important information which the engineer can use to come to more informed conclusions about the data presented herein.

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- Soil Boring Log, Appendix E.

SITE CONDITIONS AND SHAFT CONSTRUCTION

Site Sub-surface Conditions: The sub-surface stratigraphy at the general location of the test shaft is reported to consist of clay overburden at the surface underlain by clay shale. The generalized subsurface profile is included in Figure A and a boring log indicating conditions near the shaft is presented in Appendix E. More detailed geologic information can be obtained from IDOT.

Test Shaft Construction: Illini Drilled Foundations, Inc. completed construction of the dedicated test shaft socketed in shale on August 05, 2015. The nominal 48-inch diameter test shaft was excavated dry to a base elevation of +572.9 ft. The shaft was started by drilling with a 54-inch auger, followed by inserting a 54-inch O.D. casing into top of the shale strata. Then drilling continued into shale with a 48-inch auger until the shaft reached the base. After the shaft was approved for concrete placement, the reinforcing cage with attached O-cell assembly was inserted into the excavation and temporarily supported from the crane. Concrete was then delivered by tremie into the base of the shaft until the top of the concrete reached an elevation of +597.2 ft. The contractor removed the casing immediately after concrete placement. Representatives of University of Illinois, IDOT and others observed construction of the shaft.

OSTERBERG CELL TESTING

Shaft Instrumentation: Loadtest USA assisted University of Illinois with the assembly and installation of test shaft instrumentation. The loading assembly consisted of one 20-inch diameter O-cell, located 2.3 feet above the shaft base. The Osterberg cell was calibrated to 2,943 kips and then welded closed prior to shipping by American Equipment and Fabricating Corporation. Calibrations of the O-cell and instrumentation used for this test are included in Appendix B. Embedded O-cell testing instrumentation included the following:

- Paired upper compression telltale casings (nominal 1/2-inch steel pipe) attached diametrically opposed to the reinforcing cage, extending from the top of the O-cell assembly to ground level.
- Four Linear Vibrating Wire Displacement Transducers (LVWDTs, Geokon Model \bullet 4450 series) positioned between the lower and upper plates of the O-cell assembly.
- Three levels of four sister bar vibrating wire strain gages (Geokon Model 4911 \bullet Series) attached at 90° spacing to the reinforcing cage above the top of the O-cell assembly.

Two lengths of $\frac{1}{2}$ -inch steel pipe, extending from the top of the shaft to the top of the bottom plate, to vent the break in the shaft formed by the expansion of the O-cells.

Details concerning the instrumentation placement appear in Table B and Figure A.

Test Arrangement: Throughout the load test, key elements of shaft displacement response were monitored using the equipment and instruments detailed below:

- \bullet Top of shaft displacement was monitored using a pair of automated digital survey levels (Leica NA3000 series) from an average distance of 30 feet (Appendix A, Page 1).
- Upper compression displacement was measured using 1/4-inch telltale rods positioned inside the two casings and monitored by Linear Vibrating Wire Displacement Transducers (LVWDTs, Geokon Model 4450 series) attached to the top of the shaft (Appendix A, Page 1).
- \bullet Expansion of the O-cell assembly was measured using the four Expansion LVWDTs described under Shaft Instrumentation (Appendix A, Page 2).

A Bourdon pressure gage, a voltage and a vibrating wire pressure transducers were used to measure the pressure applied to the O-cell at each load interval. The voltage pressure transducer was used for automatically setting and maintaining loads and vibrating pressure transducer was used for real time plotting and for data analysis. The Bourdon pressure gage readings were used as a real-time visual reference and as a check on the transducer. There was a close agreement between the Bourdon gage and the pressure transducer.

Data Acquisition: All instrumentation were connected through a data logger (Data Electronics 515 GeoLogger) to a laptop computer allowing data to be recorded and stored automatically at 30-second intervals and displayed in real time. The laptop computer synchronized to the data logging system was used to acquire the Leica NA3000 data.

Testing Procedures: Loadtest USA conducted the load test. Testing was begun by pressurizing the O-cell in order to break the tack welds that hold it closed (for handling and for placement in the shaft) and to form the fracture plane in the concrete surrounding the base of the O-cell. After the break occurred, the pressure was immediately released and the testing recommenced from zero pressure. Zero readings for all instrumentation were taken prior to the preliminary weld-breaking load-unload cycle, which in this case involved a maximum load of 204 kips at the O-cell.

The Osterberg cell load test was conducted as follows: The 20-inch diameter O-cell. with its base located 2.3 feet above the shaft base, was pressurized in 10 nominally equal increments, resulting in a maximum bi-directional load of 913 kips applied to the shaft above and below the O-cell. After 1L-10, the loading was continued as per the Engineer's requirements and then haited because the upper shaft above the Ocell started displacing rapidly. The shaft was then unloaded in five decrements and the test was concluded.

The load increments were applied using the Quick Load Test Method for Individual Piles (ASTM D1143 Standard Test Method for Piles Under Static Axial Load). Each successive load increment was held constant for eight minutes by automatically adjusting the O-cell pressure. Approximately one minute was used to move between increments. The data logger automatically recorded the instrument readings every 30 seconds, but herein only the 1, 2, 4 and 8 minute readings during each increment of maintained load up to 1L-10 are reported. After 1L-10, selected readings only are reported as per the Engineer's requirements.

TEST RESULTS AND ANALYSES

General: The loads applied by the O-cell assembly act in two opposing directions, counteracted by the resistance of the shaft above and below. For the purpose of the analysis herein, it is assumed that the O-cell assembly does not impose an additional upward load until its expansion force exceeds the buoyant weight of the shaft above the O-cell assembly. Therefore, net load, which is defined as gross O-cell load minus the buoyant weight of the shaft above, is used to determine side shear resistance above the O-cell and to construct the equivalent top load displacement curve. For this test a shaft buoyant weight of 27 kips above the O-cell was calculated.

For the purposes of analyses herein, the maximum sustained loading at 1L-10 of 913 kips was used. The maximum applied load of 993 kips occurred at the third minute reading of increment 1X-11, at which point the displacements above and below the O-cell were 1.957 inches and 1.833 inches, respectively. The maximum displacements of 4.155 inches above the O-cell and 1.926 inches below the O-cell were occurred at fourth minute reading of increment 1U-1.

Upper Side Shear Resistance: The O-cell assembly applied a maximum upward net load of 886 kips to the upper side shear at load interval 1L-10 (Appendix A, Page $\overline{3}$, Figures 1 to 3). At this loading, the upward displacement of the top of the O-cell was 1.282 inches.

Combined End Bearing and Lower Side Shear Resistance: The O-cell assembly applied a maximum downward load of 913 kips at load interval 1L-10 (Appendix A, Page 3, Figures 1 to 3). At this loading, the average downward displacement of the O-cell base was 1.684 inches.

Strain Gage Analysis: The strain gage data appear in Appendix A, Pages 4 through 6 and the average strain measured at each level of strain gages during the test is plotted in Figure 4. On the day of the test, the unconfined compressive strength f'_c was reported to be 3,080 psi. Assuming a concrete unit weight γ_c of 145 pcf, the ACI formula (E_c=0.033 × γ_c ^{1.5} × \sqrt{f}) was used to calculate an elastic modulus of 3,198 ksi for the concrete. This, combined with the area of reinforcing steel and nominal shaft diameter, provided an average shaft stiffness (AE) of 7,879,000 kips in the upper cased shaft section, 6,342,000 kips in the uncased shaft section above the O-cell and 5,829,000 kips below the O-cell. The load distribution curves for each load increment based on applied O-cell load and computed strain gage loads, are presented in Figure 5. Mobilized net unit side shear vs. displacement (t-z) curves based on the strain gage data and estimated ACI shaft stiffness are presented in Figures 6 & 6a. Note that Figure 6 presents the unit side shear curves for increments up to 1L-10 and Figure 6a presents unit side shear curves up to increment 1X-14. Shear values for loading increment 1L-10 follow in Table A:

Load Transfer Zone	Displacement ¹	Net Unit Side Shear ²
Zero Shear to Strain Gage Level 3	\uparrow 1.27 in	0.1 ksf
Strain Gage Level 3 to Strain Gage Level 2	\uparrow 1.27 in	1.7 ksf
Strain Gage Level 2 to Strain Gage Level 1	\uparrow 1.27 in	6.2 ksf
Strain Gage Level 1 to O-cell	1.28 in	6.3 ksf

TABLE A: Average Net Unit Side Shear Values for 11-10

Average displacement of load transfer zone. $\overline{2}$

For upward-loaded shear, the buoyant weight of shaft in each zone has been subtracted from the load shed in the respective zone. Note that net unit shear values derived from the strain gages may not be ultimate values. See Figures 6 & 6a for unit shear vs. displacement (t-z) plots.

It is assumed that the unit side shear of the 2.3-foot shaft section below the O-cell behaves the same as the shaft zone immediately above. The load resisted by side shear in the 2.3-foot shaft section below the O-cell is calculated to be 177 kips assuming an unit side shear value of 6.3 ksf and a nominal shaft diameter of 48.0 inches. The maximum applied load to end bearing is 736 kips and the unit end bearing at the base of the shaft is calculated to be 58.6 ksf at a displacement of A mobilized unit end bearing vs. displacement (q-z) curve is 1.684 inches presented in Figure 7.

Equivalent Top Load-Displacement: Figure 8 presents the equivalent top load (ETL) curve. The procedure for calculating the curve is described in Appendix C. The curve is generated assuming the load is applied at top of shaft (+597.2 ft). A combined side shear and end-bearing resistance of 1,799 kips was mobilized during the test. For a top loading of 750 kips, the adjusted test data indicate this shaft would displace approximately 0.26 inches. For a top loading of 1,500 kips, the adjusted test data indicate this shaft would displace approximately 1.25 inches. For reference, Figure 8 also includes the two component curves of O-cell displacements vs. net loads, which if summed would produce a "rigid" equivalent top load. The plotted ETL curve includes the additional elastic compression of a top-loaded shaft.

Note that the equivalent top load curve applies to incremental loading durations of eight minutes. Creep effects will reduce the ultimate resistance of both components and increase shaft top displacement for a given loading over longer times. The Engineer can estimate such additional creep effects by suitable extrapolation of time effects using the creep data presented herein.

Creep Limit: See Appendix D for our O-cell method for determining creep limit loading. The combined end bearing and lower side shear creep data (Appendix A, Page 3, Figure D-1) indicate indeterminate creep limit. The upper side shear creep data (Appendix A, Page 3, Figure D-2) indicate that a creep limit of 525 kips was reached at a displacement of 0.21 inches. A top loaded shaft will not begin creep until both components begin creep displacement. This will occur at the maximum of the displacements required to reach the creep limit for each component. Due to the absence of a clearly defined combined end bearing and lower side shear creep limit. a creep limit for the equivalent top-loaded shaft cannot be estimated.

Shaft Compression Comparison: The measured maximum shaft compression, averaged from two telltales, is 0.012 inches at 1L-10 (Appendix A, Page 2). Using a weighted average shaft stiffness of 7,060,700 kips and the load distribution in Figure 5 at 1L-10, an elastic compression of 0.008 inches over the length of the compression telltales is calculated.

LIMITATIONS AND STANDARD OF CARE

The instrumentation, testing services and data analysis provided by Loadtest USA. outlined in this report, were performed in accordance with the accepted standards of care recognized by professionals in the drilled shaft and foundation engineering industry.

Please note that some of the information contained in this report is based on data (i.e. shaft diameter, elevations and concrete strength) provided by others. The engineer, therefore, should come to his or her own conclusions with regard to the analyses as they depend on this information. In particular, Loadtest USA typically does not observe and record drilled shaft construction details to the level of precision that the project engineer may require. In many cases, we may not be present for the entire duration of shaft construction. Since construction technique can play a significant role in determining the load bearing capacity of a drilled shaft, the engineer should pay close attention to the drilled shaft construction details that were recorded elsewhere.

We trust that this information will meet your current project needs. If you have any questions, please do not hesitate to contact us at 352-378-3717.

Prepared for Loadtest USA by

Aditva Avithi, Ph. D.

Reviewed for Loadtest USA by

Shing K. Pang, M.S.

Abraham Alende, B.S.C.E.

TABLE B SUMMARY OF DIMENSIONS, ELEVATIONS & SHAFT PROPERTIES

¹ The break between upward and downward movement at the O-cell assembly

ADTES

Osterberg Cell Load-Displacement

TS-1 - IL-133 Over Embarras River - Oakland, IL

O-cell Gross Load (kips)

Figure 1 of 8

Time-Osterberg Cell Load

TS-1 - IL-133 Over Embarras River - Oakland, IL

Figure 2 of 8

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Time-Osterberg Cell Displacement

TS-1 - IL-133 Over Embarras River - Oakland, IL

Loadtest USA Project No. LT-1425

Figure 3 of 8

Osterberg Cell Load-Strain Gage Microstrain

TS-1 - IL-133 Over Embarras River - Oakland, IL

Figure 4 of 8

Loadtest USA Project No. LT-1425

O-cell Gross Load (kips)

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Strain Gage Load Distribution

TS-1 - IL-133 Over Embarras River - Oakland, IL

Figure 5 of 8

Mobilized Upward Net Unit Side Shear

TS-1 - IL-133 Over Embarras River - Oakland, IL

Figure 6 of 8

Mobilized Upward Net Unit Side Shear

TS-1 - IL-133 Over Embarras River - Oakland, IL

Figure 6a of 8

Mobilized Unit End Bearing

TS-1 - IL-133 Over Embarras River - Oakland, IL

Downward O-cell Base Displacement (in)

(n)
Lui

Equivalent Top Load-Displacement

TS-1 - IL-133 Over Embarras River - Oakland, IL

Equivalent Top Load (kips)

TS-1 - IL-133 Over Embarras River Oakland, IL (LT-1425)

APPENDIX A

FIELD DATA AND DATA REDUCTION TABLES

Upward Top of Shaft Movement and Upper Shaft Compression
TS-1 - IL-133 Over Embarras River - Oakland, IL

Appendix A, Page 1 of 6

O-cell Expansion
TS-1 - IL-133 Over Embarras River - Oakland, IL

Appendix A, Page 2 of 6

Strain Gage Readings and Loads at Level 1
TS-1 - IL-133 Over Embarras River - Oakland, IL

Hold Load O-call Strain Gage Level 2 Test Time Time Pressure Load 2A-1521189 2B-1521190 2C-1521191 2D-1521192 Av. Strain Load $(kips)$ Increme minutes (hh:mm:ss) $($ osi $)$ $(\mu \varepsilon)$ $(\mu \varepsilon)$ $($ us $)$ $(\mu$ s) $(\mu \varepsilon)$ (kips) $11 - 0$ 10:58:0 0.0 0_c 0.0 0.6 $0($ 490 $\overline{122}$ $\frac{34}{34}$ $1L-1$ 11:29:3 $\overline{0.8}$ -0.1 3.7 1.8 $\overline{11}$ 122
122 $1 L - 1$ $\mathbf 2$ 11:30:30 490 3.7 $0,7$ 0.3 12 3.4 1.9 $\overline{4}$ $1 L - 1$ 11:32:30 490 4.1 0.8 -0.3 3.5 2.0 13 $1 L - 1$ 11:36:30 490 $\frac{4.2}{8.0}$ $\frac{0.9}{2.1}$ $\frac{-0.3}{-0.6}$ 8 122 3.5 2.1 $\frac{13}{26}$ $1L-2$ 11:38:00 880 210 6.6 4.0 $1 L - 2$ $\boldsymbol{2}$ 11:39:00 210 -0.7 $\overline{26}$ 880 8.2 1.9 6.8 4.0 $1L-2$ $\overline{4}$ 11:41:00 880 210 8.5 2.1 0.3 $6.\overline{8}$ 4.2 $\overline{26}$ $\frac{11-2}{11-3}$ $\frac{8}{1}$ 11:45:0 880 $\frac{210}{305}$ 8.3 $\overline{2.0}$ -1.0 6.8 4.0 $\frac{26}{35}$ $11:47:0$ 1.300 $\overline{3.0}$ 10.5 -0.3 8.8 5.5 $rac{36}{36}$ $1 L - 3$ $\mathbf 2$ 11:48:00 1,300 305 10.9 3.3 -0.2 9.0 5.8 $1 L - 3$ $\overline{4}$ 11:50:00 $1,300$ 305 10.6 3.0 -0.3 9.0 5.6 $1L - 3$ 8 11:54:00 1,300 <u>305</u> 10.8 3.5 $0,0$ 8.7 5.7 36 $\frac{3.8}{3.7}$ $T = 4$ 1 11:56:00 1,630 380 120 $\frac{14}{13}$ 10.5 $\overline{6.9}$ $\frac{44}{43}$ 380 $1 L - 4$ $\overline{\mathbf{c}}$ 11:57:00 1,630 11.8 10.5 ิ 8 ปี $1 L - 4$ $\overline{\mathbf{4}}$ 11:59:00 1,630 380 1.4 43 11.7 3.7 10.3 6.8 $\frac{1}{1}$ $\frac{1}{5}$ 12:03:00
12:05:00 380 1.7 8 1,630 11.4 3.9 10.0 6.8 43 7 1,980 $\overline{450}$ 12.2 4.6 $\overline{3.8}$ 11.6 $\overline{\mathbf{8}}$ T 12:06:00 459 $1L-5$ $\overline{2}$ 1.980 $\frac{4.0}{4.1}$ 12.1 4.6 11.6 8.1 51 $1L - 5$ $\overline{4}$ 12:08:00 1,980 459 4.8 11.8 $5[′]$ 11.6 8.1 12:12:00 1,980 459 11.7 $1 - 5$ 8 4.9 4.5 8.2 $\frac{52}{62}$ $1L - 6$ Ŧ 12:13:30 $2,450$ 565 12.9 5.4 6,9 $\overline{14}$ 9.8 $\overline{2}$ 2.450 $\frac{7.0}{7.5}$ $1 L - 6$ 12:14:30 565 12.4 5.5 13.9 9.7 62 $1 L - 6$ $\frac{1}{4}$ 12:16:30 $2,450$ 565 12.2 5.6 14.1 9.8 62 $1L - 6$ 8 12:20:3 2,450 565 12.2 14.0 $\frac{5.6}{5.6}$ 8.1 $10₀$ $\frac{63}{71}$ $11 - 7$ 12:22:0 2,820 648 12.6 $\overline{102}$ 16.2 $\overline{11}$ $1L - 7$ $\overline{2}$ 12:23:00 2,820 648 12.6 5.6 10.3 16.5 11.3 71 $1L - 7$ $\overline{\mathbf{4}}$ 12:25:00 648 2.820 12.1 5.7 10.5 16.5 11.2 \overline{r} $11 - 7$ 648 12:29:00 2,820 8 11.9 $\frac{5.6}{5.3}$ 10.9 16.7 11.3 $\frac{71}{81}$ $3,200$ $11 - 8$ 7 12:30:30 734 $\overline{12.6}$ 13.6 $\overline{19}$. $\overline{12.5}$ $1L - 8$ \mathfrak{p} 12:31:30 3,200 734 12.4 5.3 14.0 20.0 12.9 82 $1L - 8$ $\overline{4}$ 734 12:33:30 3.200 12.3 5.2 14.3 20.3 13.0 83 $1L - 8$ 8 12:37:30 3,200 734 $\frac{12.2}{12.6}$ $\frac{5.1}{5.4}$ $\frac{20.8}{24.0}$ $\frac{13.2}{14.9}$ 14.7 $\frac{84}{94}$ $11 - 9$ 12:39:30 3,580 $\overline{820}$ 17.6 $\frac{2}{4}$ $1 L - 9$ 12:40:30 3,580 820 12.4 5.2 17.4 24.4 14.9 94 $1L-9$ 12:42:30 3.580 820 12.8 $5.8\,$ 17.8 24.6 15.3 97 3,580
3,580
3,990 12:46:30 $1L - 9$ 8 820 $\frac{13.0}{14.3}$ $\frac{6.0}{6.7}$ $\frac{25.2}{28.4}$ $\frac{99}{111}$ 18.3 15.6 $1L - 10$ 7 12:48:00 913 20.5 17.5 $1 L - 10$ $\overline{\mathbf{c}}$ 12:49:00 3,990 913 14.6 $6.6\,$ 20.4 29.3 17.7 112 $1 L - 10$ $\overline{4}$ 12:51:00 3.990 913 14.6 6.8 21.2 30.0 18.1 115 $11 - 10$ 8 12:55:00 3.990 913 15.2 7.5 21.9 31.1 18.5 120 $1X - 11$ $\frac{1}{83}$ 1 13:06:00 4.186 957 16.3 26.5 $35f$ 21 138 $1X - 11$ $\overline{\mathbf{c}}$ 4,237 968 16.7 27.6 13:07:00 8.7 37.3 143 22.6 $1X - 11$ $\frac{3}{4}$ 13:08:00 4,348 993 16.3 8.9 30.1 39.0 23. 150 $11 - 11$ 4.281 13:09:00 978 15,3 8.9 32,0 39.1 23.8 151 $1X - 11$ $\sqrt{5}$ 13:10:00 4,161 951 14.7 9.2 32.2 38.5 23.6 150 $1X - 11$ $\overline{\bf{6}}$ 13:11:00 33.3 4,133 945 14.6 9.8 38.7 $24.$ 153 $1 X - 11$ 13:12:00 3,996 914 13.9 $9,8$ 33.1 37.9 23.7 150 $\frac{1}{1}$ X - 11
1 X - 12 g 13:14:00 3,934 900 13.8 $\frac{10.3}{9.5}$ $\frac{37.6}{35.2}$ 23.8 34.0 152 13:15:3 1 3.771 863 11.8 326 $\overline{22}$ -141 $1X - 12$ $\boldsymbol{2}$ 13:16:30 863 12.5 10.1 33.5 3,769 36.2 23.0 146 $1X - 12$ $\mathbf 3$ 13:17:30 $3,749$ 858 12.4 10.1 34.0 36.1 23.1 147 $1X - 12$ \overline{A} 13:18:30 $\frac{3,734}{3,952}$ $\frac{855}{904}$ 12.6 10.8 34.3 36.5 149 23. $1 X - 13$ $13:19:30$ 14 11.9 37.2 38.0 25.5 161 $1 X - 13$ $\mathbf 3$ 13:21:30 3.929 899 14.4 13.1 37.5 37.9 25.7 163 $1 X - 13$ 13:23:30 $3,908$ 12.8 5 894 15.0 $\frac{38.5}{39.2}$ $\frac{162}{158}$ 36.1 25.0 $1X - 14$ 13:25:30 $3,73$ $85₅$ 15.1 11.C 33.9 24.9 $1 X - 14$ $\overline{2}$ 13:26:30 3.648 835 14.7 11.0 32.4 39.3 24.3 154 $1X - 14$ $\overline{4}$ 13:28:30 3.540 81 14.7 9.9 30.8 39.8 23.8 $15'$ $1X - 14$ 13:32:30 $3,327$ 763 8.6 $\frac{22.5}{21.3}$ $\frac{143}{135}$ 8 13.8 28.5 39.2 $10 - 1$ $\frac{1}{12.3}$ 13:35:30 739 $\overline{7.7}$ 27.9 3,220 37.4 $1U - 1$ $\boldsymbol{2}$ 13:36:30 3,220 739 13.9 8.7 39.2 22.6 28.8 144 $10 - 1$ $\overline{4}$ 13:38:30
13:42:00 3,220 739
549 14.4 $\frac{9.0}{2.2}$ 29.0 $\frac{39.8}{32.9}$ $\frac{146}{1}$ 23.7 $\frac{1}{10-2}$ 7 2.380 7.5 21.5 $\overline{460}$ 102 $10-2$ \bar{z} 13:43:00 2,380 549 7.4 2.2 21.7 32.5 101 16.0 $10 - 2$ \overline{A} 13:45:00 2,380 549 7.5 2.0 $21.$ 15.8 100 32.5 $10 - 3$ 13.5200 1,670 389 $\frac{2.1}{2.2}$ $\frac{1}{2.3}$ 15. $\overline{25.6}$ $\overline{10}$ 66 $1U - 3$ $\overline{2}$ 13:53:00 1.670 389 -23 16.0 $26.$ 10.5 67 $1U - 3$ 4 13:55:00 1.670 389 25 -2.2 16.0 26.0 10.5 $\frac{67}{24}$ $10-4$ 13:58:00 $\overline{22}$ 940 -2.8 -4.3 8.1 14.2 3.8 $1 U - 4$ $\mathbf 2$ 13:59:00 940 224 -2.5 -4.1 $_{\rm 8.6}$ $\overline{26}$ 14.3 4.1 $111 - 4$ $\frac{-3.7}{7.6}$ $\frac{19}{34}$ 4 14:01:00 940 224 4.6 7.4 12 3.0 $10-5$ Ŧ -38 14:04:30 \circ 0 -3.7 -61 -53 $1U - 5$ $\mathbf 2$ 14:05:30 \circ -7.4 -35 $\mathbf 0$ -3.6 -4.2
 -4.9 -5.5 -6.6 $1U - 5$ $\overline{4}$ 14:07:30 \circ $\frac{0}{2}$ -7.1 -7.1 -35 -3.1 -5.6 $111 - 5$ R 14:11:30 -6.8 -2.7 -5.3 -36 $-7,$ -5.6

Strain Gage Readings and Loads at Level 2 TS-1 - IL-133 Over Embarras River - Oakland, IL

Appendix A, Page 5 of 6

Strain Gage Readings and Loads at Level 3
TS-1 - IL-133 Over Embarras River - Oakland, IL

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APPENDIX B

O-CELL AND INSTRUMENTATION CALIBRATION SHEETS

SERVICE ENGINEER:

DATE: $7 - 21 - 15$

Calibration Instruction: CI-VW Rebar

For conversion factor, load to strain, refer to table C-2 of the Installation Manual

Gage Factor: 0.343 microstrain/digit (GK-401 Pos. "B")

Calculated Strain = Gage Factor(Current Reading - Zero Reading)

Note: The above calibration uses the linear regression method.

Users are advised to establish their own zero conditions.

Linearity: ((Calculated Load - Applied Load)/Max. Applied Load) X 100 percent

The above instrument was found to be in tolerance in all operating ranges.
The above named instrument has been calibrated by comparison with standards traceable to the NIST, in compliance with ANSI Z540-1.

For conversion factor, load to strain, refer to table C-2 of the Installation Manual

Gage Factor: 0.344 microstrain/ digit (GK-401 Pos. "B")

Calculated Strain = Gage Factor(Current Reading - Zero Reading)

Note: The above calibration uses the linear regression method.

Users are advised to establish their own zero conditions.

Linearity: ((Calculated Load - Applied Load)/Max. Applied Load) X 100 percent

The above instrument was found to be in tolerance in all operating ranges.
The above named instrument has been calibrated by comparison with standards traceable to the NIST, in compliance with ANSI Z540-1.

Calibration Instruction: CI-VW Rebar

For conversion factor, load to strain, refer to table C-2 of the Installation Manual

Gage Factor: 0.344 microstrain/digit (GK-401 Pos. "B")

Calculated Strain = Gage Factor(Current Reading - Zero Reading)

Note: The above calibration uses the linear regression method.

Users are advised to establish their own zero conditions.

Linearity: ((Calculated Load - Applied Load)/Max. Applied Load) X 100 percent

The above instrument was found to be in tolerance in all operating ranges.
The above named instrument has been calibrated by comparison with standards traceable to the NIST, in compliance with ANSI Z540-1.

Calibration Instruction: CI-VW Rebar

For conversion factor, load to strain, refer to table C-2 of the Installation Manual

Gage Factor: 0.339 microstrain/digit (GK-401 Pos. "B")

Calculated Strain = Gage Factor(Current Reading - Zero Reading)

Note: The above calibration uses the linear regression method.

Users are advised to establish their own zero conditions.

Linearity: ((Calculated Load - Applied Load)/Max. Applied Load) X 100 percent

The above instrument was found to be in tolerance in all operating ranges.
The above named instrument has been calibrated by comparison with standards traceable to the NIST, in compliance with ANSI Z540-1.

Calibration Instruction: CI-VW Rebar

For conversion factor, load to strain, refer to table C-2 of the Installation Manual

Gage Factor: 0.344 microstrain/digit (GK-401 Pos. "B")

Calculated Strain = Gage Factor(Current Reading - Zero Reading)

Note: The above calibration uses the linear regression method.

Users are advised to establish their own zero conditions.

Linearity: ((Calculated Load - Applied Load)/Max. Applied Load) X 100 percent

The above instrument was found to be in tolerance in all operating ranges. The above named instrument has been calibrated by comparison with standards traceable to the NIST, in compliance with ANSI Z540-1,

Calibration Instruction: CI-VW Rebar

For conversion factor, load to strain, refer to table C-2 of the Installation Manual

Gage Factor: 0.345 microstrain/digit (GK-401 Pos. "B")

Calculated Strain = Gage Factor(Current Reading - Zero Reading)

Note: The above calibration uses the linear regression method.

Users are advised to establish their own zero conditions.

Linearity: ((Calculated Load - Applied Load)/Max. Applied Load) X 100 percent

The above instrument was found to be in tolerance in all operating ranges. The above named instrument has been calibrated by comparison with standards traceable to the NIST, in compliance with ANSI Z540-1.

Calibration Instruction: CI-VW Rebar

For conversion factor, load to strain, refer to table C-2 of the Installation Manual

Gage Factor: 0.343 microstrain/digit (GK-401 Pos. "B")

Calculated Strain = Gage Factor(Current Reading - Zero Reading)

Note: The above calibration uses the linear regression method.

Users are advised to establish their own zero conditions.

Linearity: ((Calculated Load - Applied Load)/Max. Applied Load) X 100 percent

The above instrument was found to be in tolerance in all operating ranges.
The above named instrument has been calibrated by comparison with standards traceable to the NIST, in compliance with ANSI Z540-1.

Calibration Instruction: CI-VW Rebar

For conversion factor, load to strain, refer to table C-2 of the Installation Manual

Gage Factor: 0.349 microstrain/digit (GK-401 Pos. "B")

Calculated Strain = Gage Factor(Current Reading - Zero Reading)

Note: The above calibration uses the linear regression method.

Users are advised to establish their own zero conditions.

Linearity: ((Calculated Load - Applied Load)/Max. Applied Load) X 100 percent

The above instrument was found to be in tolerance in all operating ranges.
The above named instrument has been calibrated by comparison with standards traceable to the NIST, in compliance with ANSI Z540-1.

Calibration Instruction: CI-VW Rebar

For conversion factor, load to strain, refer to table C-2 of the Installation Manual

Gage Factor: 0.342 microstrain/digit (GK-401 Pos. "B")

Calculated Strain = Gage Factor(Current Reading - Zero Reading)

Note: The above calibration uses the linear regression method.

Users are advised to establish their own zero conditions.

Linearity: ((Calculated Load - Applied Load)/Max. Applied Load) X 100 percent

The above instrument was found to be in tolerance in all operating ranges.
The above named instrument has been calibrated by comparison with standards traceable to the NIST, in compliance with ANSI Z540-1.

Calibration Instruction: CI-VW Rebar

For conversion factor, load to strain, refer to table C-2 of the Installation Manual

Gage Factor: 0.340 microstrain/digit (GK-401 Pos. "B")

Calculated Strain = Gage Factor(Current Reading - Zero Reading)

Note: The above calibration uses the linear regression method.

Users are advised to establish their own zero conditions.

Linearity: ((Calculated Load - Applied Load)/Max. Applied Load) X 100 percent

The above instrument was found to be in tolerance in all operating ranges.
The above named instrument has been calibrated by comparison with standards traceable to the NIST, in compliance with ANSI Z540-1.

Calibration Instruction: CI-VW Rebar

For conversion factor, load to strain, refer to table C-2 of the Installation Manual

Gage Factor: 0.341 microstrain/digit (GK-401 Pos. "B")

Calculated Strain = Gage Factor(Current Reading - Zero Reading)

Note: The above calibration uses the linear regression method.

Users are advised to establish their own zero conditions.

Linearity: ((Calculated Load - Applied Load)/Max. Applied Load) X 100 percent

The above instrument was found to be in tolerance in all operating ranges.
The above named instrument has been calibrated by comparison with standards traceable to the NIST, in compliance with ANSI Z540-1.

Calibration Instruction: CI-VW Rebar

For conversion factor, load to strain, refer to table C-2 of the Installation Manual

Gage Factor: 0.340 microstrain/digit (GK-401 Pos. "B")

Calculated Strain = Gage Factor(Current Reading - Zero Reading)

Note: The above calibration uses the linear regression method.

Users are advised to establish their own zero conditions.

Linearity: ((Calculated Load - Applied Load)/Max. Applied Load) X 100 percent

The above instrument was found to be in tolerance in all operating ranges.
The above named instrument has been calibrated by comparison with standards traceable to the NIST, in compliance with ANSI Z540-1.

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Certificate of Calibration

Certificate Number: LT.59685.2015-01-07

Instrument: Geokon VWPX Calibration Date: Jan 7, 2015 Model: 4500HH-10000 Temperature: 19.0 °C Serial Number: 59685 Linear Range: 15000 psi Reference Pressure Gauge Readings **Linear Error** Polynomial Error $1st$ Cycle 2^{nd} Cycle 2^{nd} Cycle 1st Cycle 1st Cycle 2nd Cycle 1st Cycle 2nd Cycle (psi) (digits) (digits) $($ % $FS)$ $(% FS)$ (psi) $(% FS)$ $(% FS)$ $\overline{0}$. $\overline{0}$. 8972.2 8970.2 0.21 0.24 -0.01 0.03 3000. 3000. 7836.5 7783.3 -0.51 0.40 -0.46 0.45 6000. 6000 6663.2 6618.1 -0.58 0.18 -0.40 0.36 9000. 9000. -0.45 5477.8 5438.6 0.21 -0.27 0.39 12000. 12000. 4288.6 4271.1 -0.26 0.04 -0.21 0.09 15000. 15000. 3083.2 3080.0 0.21 0.26 -0.01 0.04 **Linear Gauge Factor:** -2.54704 psi/dig -0.0175612 MPa/dig **Polynomial Factor:** $-7.163E - 06$ psi/dig² $-2.461E+00$ psi/dig -4.939E-08 $MPa/dig²$ + $-1.697E-02$ MPa/dig Logging Instrument: Datataker DT85G, Serial: 085637 **Reference Instrument:** SENSOTEC TJE/743-23TJA, Serial: 622335 **Reference Calibrated:** 2014-04-15 **Reference Certificate:** 1001395677

LOADTEST certifies that the above named instrument has been calibrated by comparison with standards traceable to the NIST and was found to be in tolerance in all operating ranges. Relevant documentation and certificates are available on request.

App

Instrument Calibrated By LOADTEST, 2631-D NW 41 St, Gainesville, FL 32606

DEEP FOUNDATION TESTING, EQUIPMENT & SERVICES . SPECIALIZING IN OSTERBERG CELL (O-cell®) TECHNOLOGY O-cell[®] is a registered trademark.

Certificate of Calibration

Certificate Number: LT.08-23840.2014-11-06

LOADTEST certifies that the above named instrument has been calibrated by comparison with standards traceable to the NIST and was found to be in tolerance in all operating ranges. Relevant documentation and certificates are available on request.

Tested by: Michael Crumpton, B.S.C.E.

signed: <u>MZ Grunyphone</u> Signed:

Approved by: David J. Jakstis, P.E.

Instrument Calibrated By LOADTEST, 2631-D NW 41 St, Gainesville, FL 32606

DEEP FOUNDATION TESTING, EQUIPMENT & SERVICES . SPECIALIZING IN OSTERBERG CELL (O-cell®) TECHNOLOGY O-cell[®] is a registered trademark.

TS-1 - IL-133 Over Embarras River Oakland, IL (LT-1425)

APPENDIX C

CONSTRUCTION OF THE EQUIVALENT TOP LOAD-DISPLACEMENT CURVE

CONSTRUCTION OF THE EQUIVALENT TOP-LOADED LOAD-SETTLEMENT CURVE FROM THE RESULTS OF AN O-CELL™ TEST (October, 2001).

Introduction: Some engineers find it useful to see the results of an O-cell™ load test in the form of a curve showing the load versus settlement of a top-loaded driven or bored pile (drilled shaft). We believe that an O-cell™ test can provide a good estimate of this curve when using the method described herein.

Assumptions: We make the following assumptions, which we consider both reasonable and usually conservative:

- 1. The end bearing load-movement curve in a top-loaded pile has the same loads for a given movement as the net (subtract buoyant weight of pile above the Ocell™) end bearing load-movement curve developed by the bottom of the Ocell™ when placed at or near the bottom of the pile.
- 2. The side shear load-movement curve in a top-loaded pile has the same net shear, multiplied by an adjustment factor 'F', for a given downward movement as occurred in the O-cell™ test for that same movement at the top of the cell in the upward direction. The same applies to the upward movement in a top-loaded tension test. Unless noted otherwise, we use the following adjustment factors: (a) $F = 1.00$ in all rock sockets and for primarily cohesive soils in compression (b) $F = 0.95$ in primarily cohesionless soils (c) $F = 0.80$ for all soils in top load tension tests.
- 3. We initially assume the pile behaves as a rigid body, but include the elastic compressions that are part of the movement data obtained from an O-cell™ test (OLT). Using this assumption, we construct an equivalent top-load test (TLT) movement curve by the method described below in Procedure Part I. We then use the following Procedure Part II to correct for the effects of the additional -elastic compressions in a TLT.
- 4. Consider the case with the O-cell™, or the lower O-cell™ of more than one level of cells, placed some distance above the bottom of the pile. We assume the part of the pile below the cell, now top-loaded, has the same load-movement behavior as when top-loading the entire pile. For this case the subsequent "end bearing movement curve" refers to the movement of the entire length of pile below the cell

Procedure Part I: Please refer to the attached Figure A showing O-cell™ test results and to Figure B, the constructed equivalent top load-settlement curve. Note that each of the curves shown has points numbered from 1 to 12 such that the same point number on each curve has the same magnitude of movement. For example, point 4 has an upward and downward movement of 10.2 mm in Figure A and the same 10.2 mm downward in Figure B.

Note: This report shows the Ocell movement data in a Figure similar to Fig. A, but uses the gross loads as obtained in the field. Fig. A uses net loads to make it easier for the reader to convert Fig. A into Fig. B without the complication of the first converting gross to net loads. For our conservative reconstruction of the top loaded settlement curve we first convert both of the O-cell components to net loads.

Using the above assumptions, construct the equivalent curve as follows: Select an arbitrary movement such as the 10.2 mm to give point 4 on the pile side shear load movement curve in Figure A and record the 18.6 MN load in shear at that movement. Because we have initially assumed a rigid pile, the top of pile moves downward the same as the bottom. Therefore, find point 4 with 10.2 mm of downward movement on the end bearing load movement curve and record the corresponding load of 9.4 MN. Adding these two loads will give the total load of 28.0 MN due to side shear plus end bearing at the same movement and thus gives point 4 on the Figure B load settlement curve for an equivalent top-loaded test.

One can use the above procedure to obtain all the points in Figure B up to the component that moved the least at the end of the test, in this case point 5 in side shear. To take advantage of the fact that the test produced end bearing movement data up to point 12, we need to make an extrapolation of the side shear curve. We usually use a convenient and suitable hyperbolic curve fitting technique for this extrapolation. Deciding on the maximum number of data points to provide a good fit (a high r^2 correlation coefficient) requires some judgment. In this case we omitted point 1 to give an r^2 = 0.999 (including point 1 gave an r^2 = 0.966) with the result shown as points 6 to 12 on the dotted extension of the measured side shear curve. Using the same movement matching procedure described earlier we can tien extend the equivalent curve to points 6 to 12. The results, shown in Figure B as a dashed line, signify that this part of the equivalent curve depends partly on extrapolated data.

Sometimes, if the data warrants, we will use extrapolations of both side shear and end bearing to extend the equivalent curve to a greater movement than the maximum measured (point 12). An appendix in this report gives the details of the extrapolation(s) used with the present O-cell™ test and shows the fit with the actual data.

Procedure Part II: The elastic compression in the equivalent top load test always exceeds that in the Ocell™ test. It not only produces more top movement, but also additional side shear movement, which then generates more side shear, which produces more compression, etc... An exact solution of this load transfer problem requires knowing the side shear vs. vertical movement (t-y) curves for a large number of pile length increments and solving the resulting set of simultaneous equations or using finite element or finite difference simulations to obtain an approximate solution for these equations. We usually do not have the data to obtain the many accurate ty curves required. Fortunately, the approximate solution described below usually suffices.

The attached analysis p. 6 gives the equations for the elastic compressions that occur in the OLT with one or two levels of O-cells™. Analysis p. 7 gives the equations for the elastic compressions that occur in the equivalent TLT. Both sets of equations do not include the elastic compression below the Ocell™ because the same compression takes place in both the OLT and the TLT. This is equivalent to taking $L_3 = 0$. Subtracting the OLT from the TLT compression gives the desired additional elastic compression at the top of the TLT. We then add the additional elastic compression to the 'rigid' equivalent curve obtained from Part I to obtain the final, corrected equivalent load-settlement curve for the TLT on the same pile as the actual OLT.

Note that the above pp. 6 and 7 give equations for each of three assumed patterns of developed side shear stress along the pile. The pattern shown in the center of the three

applies to any approximately determined side shear distribution. Experience has shown the initial solution for the additional elastic compression, as described above, gives an adequate and slightly conservative (high) estimate of the additional compression versus more sophisticated load-transfer analyses as described in the first paragraph of this Part II.

The analysis p. 8 provides an example of calculated results on a hypothetical 1-stage, single level OLT using the simplified method in Part II with the centriod of the side shear distribution 44.1% above the base of the O-cell™. Figure C compares the corrected with the rigid curve of Figure B.

The final analysis p. 9 provides an example of calculated results on a hypothetical 3stage, multi level OLT using the simplified method in Part II with the centroid of the combined upper and middle side shear distribution 44.1% above the base of the lower O-cell™. The individual centroids of the upper and middle side shear distributions lie 39.6% and 57.9% above and below the upper O-cell™, respectively. Figure D compares the corrected with the rigid curve.

Other Tests: The example illustrated in Figure A has the maximum component movement in end bearing. The procedures remain the same if the maximum test movement occurred in side shear. Then we would have extrapolated end bearing to produce the dashed-line part of the reconstructed top-load settlement curve.

The example illustrated also assumes a pile top-loaded in compression. For a pile toploaded in tension we would, based on Assumptions 2, and 3, use the upward side shear load curve in Figure A, multiplied by the $F = 0.80$ noted in Assumption 2., for the equivalent top-loaded displacement curve.

Expected Accuracy: We know of only five series of tests that provide the data needed to make a direct comparison between actual, full scale, top-loaded pile movement behavior and the equivalent behavior obtained from an O-cell™ test by the method described herein. These involve three sites in Japan and one in Singapore, in a variety of soils, with three compression tests on bored piles (drilled shafts), one compression test on a driven pile and one tension test on a bored pile. The largest bored pile had a 1.2 m diameter and a 37 m length. The driven pile had a 1-m increment modular construction and a 9 m length. The largest top loading equaled 28 MN.

The following references detail the aforementioned Japanese tests and the results therefrom:

Kishida H. et al., 1992, "Pile Loading Tests at Osaka Amenity Park Project," Paper by Mitsubishi Co., also briefly described in Schmertmann (1993, see bibliography). Compares one drilled shaft in tension and another in compression.

Ogura, H. et al., 1995, "Application of Pile Toe Load Test to Cast-in-place Concrete Pile and Precast Pile," special volume 'Tsuchi-to-Kiso' on Pile Loading Test, Japanese Geotechnical Society, Vol. 3, No. 5, Ser. No. Original in Japanese. Translated by M. B. Karkee, GEOTOP 448. Corporation. Compares one drilled shaft and one driven pile, both in compression.

We compared the predicted equivalent and measured top load at three top movements in each of the above four Japanese comparisons. The top movements ranged from 6 mm to 40 mm, depending on the data available. The (equiv./meas.) ratios of the top load averaged 1.03 in the 15 comparisons with a coefficient of variation of less than 10%. We believe that these available comparisons help support the practical validity of the equivalent top load method described herein.

L. S. Peng, A. M. Koon, R. Page and C. W. Lee report the results of a class-A prediction by others of the TLT curve from an Osterberg cell test on a 1.2 m diameter, 37.2 m long bored pile in Singapore, compared to an adjacent pile with the same dimensions actually top-loaded by kentledge. They report about a 4% difference in ultimate capacity and less than 8% difference in settlements over the 1.0 to 1.5 times working load range -- comparable to the accuracy noted above. Their paper has the title "OSTERBERG CELL TESTING OF PILES", and was published in March 1999 in the Proceedings of the International Conference on Rail Transit, held in Singapore and published by the Association of Consulting Engineers Singapore.

B. H. Fellenius has made several finite element method (FEM) studies of an OLT in which he adjusted the parameters to produce good load-deflection matches with the OLT up and down load-deflection curves. He then used the same parameters to predict the TLT deflection curve. We compared the FEM-predicted curve with the equivalent load-deflection predicted by the previously described Part I and II procedures, with the results again comparable to the accuracy noted above. The ASCE has published a paper by Fellenius et. al. titled "O-Cell Testing and FE Analysis of 28-m-Deep Barrette in Manila, Philippines" in the Journal of Geotechnical and Geoenvironmental Engineering, Vol. 125, No. 7, July 1999, p. 566. It details one of his comparison studies.

Limitations: The engineer using these results should judge the conservatism, or lack thereof, of the aforementioned assumptions and extrapolation(s) before utilizing the results for design purposes. For example, brittle failure behavior may produce movement curves with abrupt changes in curvature (not hyperbolic). However, we believe the hyperbolic fit method and our assumptions used usually produce reasonable equivalent top load settlement curves.

October, 2001

Figure A

Theoretical Elastic Compression in O-cell™ Test Based on Pattern of Developed Side Shear Stress

1-Stage Single Level Test (Q'A only): $\delta_{\text{OLT}} = \delta_{\uparrow(\text{L}_1 + \text{L}_2)}$

3-Stage Multi Level Test (Q'A and Q'B): $\delta_{\text{OLT}} = \delta_{\uparrow \downarrow_1} + \delta_{\downarrow \downarrow_2}$

Net Loads:

$$
Q'_{\uparrow B} = Q_{\uparrow B} - W'_{L_0 + L_1} \hspace{2cm} Q'_{\downarrow B} = Q_{\downarrow B} + W'_{L_2}
$$

 $Q'_{\uparrow A} = Q_{\uparrow A} - W'_{\downarrow_0 + \downarrow_1 + \downarrow_2}$

Theoretical Elastic Compression in Top Loaded Test
Based on Pattern of Developed Side Shear Stress

Top Loaded Test: $\delta_{\tau\sqcup\tau} = \delta_{\downarrow\!\sqcup_o} + \delta_{\downarrow\!\sqcup_\tau\star\!\sqcup_z}$

Net and Equivalent Loads:

$$
\begin{aligned} &\boldsymbol{Q'}_{\downarrow_A}=\boldsymbol{Q}_{\downarrow_A}+\boldsymbol{W'}_{\downarrow_3}\\ &\boldsymbol{P}_{\text{single}}=\boldsymbol{Q'}_{\downarrow_A}+\boldsymbol{Q'}_{\uparrow_A} \end{aligned} \qquad \qquad \begin{aligned} &\boldsymbol{P}_{\text{multi}}=\boldsymbol{Q'}_{\downarrow_A}+\boldsymbol{Q'}_{\uparrow_B}+\boldsymbol{Q'}_{\downarrow_B} \qquad \qquad &\boldsymbol{P}_{\text{equivalent}}=\boldsymbol{P}-\boldsymbol{W'}_{\downarrow_{o}+\boldsymbol{l}_{\uparrow}+\boldsymbol{l}_{\uparrow2}+\boldsymbol{l}_{\uparrow3}}\\ &\boldsymbol{P}_{\text{equivalent}}=\boldsymbol{P}-\boldsymbol{W'}_{\downarrow_{o}+\boldsymbol{l}_{\uparrow}+\boldsymbol{l}_{\uparrow2}+\boldsymbol{l}_{\uparrow3}}\\ &\boldsymbol{P}_{\text{equivalent}}=\boldsymbol{P}-\boldsymbol{W'}_{\downarrow_{o}+\boldsymbol{l}_{\uparrow}+\boldsymbol{l}_{\uparrow2}+\boldsymbol{l}_{\uparrow3}}\\ &\boldsymbol{P}_{\text{unfinite}}=\boldsymbol{Q'}_{\downarrow_A}+\boldsymbol{Q'}_{\uparrow_B}+\boldsymbol{Q'}_{\downarrow_B} \end{aligned}
$$

Component loads Q selected at the same (\pm) Δ_{OLT} .

Example Calcuation for the Additional Elastic Compression Correction for **Single Level Test**

Given:

 $C_1 =$ 0.441 $AE =$ 17000 MN (assumed constant throughout test) 1.80 $L_0 =$ m m (embedded pile length above O-cell™) $L_1 =$ 14.69 $L_2 =$ 0.00 m 0.00 $L_3 =$ m 0.90 $W' =$ **MN**

Shear reduction factor = 1.00 (cohesive soil)

Figure C

Example Calcuation for the Additional Elastic Compression Correction for **Multi Level Test**

Given:

Shear reduction factor = 1.00 **(cohesive soil)**

Figure D

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APPENDIX D

 $\mathcal{O}(\mathcal{O}(\log n))$

O-CELL METHOD FOR DETERMINING **CREEP LIMIT LOADING**

O-CELL METHOD FOR DETERMINING A CREEP LIMIT LOADING ON THE EQUIVALENT TOP-LOADED SHAFT (September, 2000)

Background: O-cell testing provides a sometimes useful method for evaluating that load beyond which a top-loaded drilled shaft might experience significant unwanted creep behavior. We refer to this load as the "creep limit," also sometimes known as the "yield limit" or "yield load".

To our knowledge, Housel (1959) first proposed the method described below for determining the creep limit. Stoll (1961), Bourges and Levillian (1988), and Fellenius (1996) provide additional references. This method also follows from long experience with the pressuremeter test (PMT). Figure 8 and section 9.4 from ASTM D4719-94, reproduced below, show and describe the creep curve routinely determined from the PMT. The creep curve shows how the movement or strain obtained over a fixed time interval, 30 to 60 seconds, changes versus the applied pressure. One can often detect a distinct break in the curve at the pressure P_e in Figure 8. Plastic deformations may become significant beyond this break loading and progressively more severe creep can occur.

Definition: Similarly with O-cell testing using the ASTM Quick Method, one can conveniently measure the additional movement occurring over the final time interval at each constant load step, typically 2 to 4 minutes. A break in the curve of load vs. movement (as at P_e with the PMT) indicates the creep limit.

We usually indicate such a creep limit in the O-cell test for either one, or both, of the side shear and end bearing components, and herein designate the corresponding movements as M_{CL1} and M_{CL2} . We then combine the creep limit data to predict a creep limit load for the equivalent top loaded shaft.

Procedure if both M_{CL1} and M_{CL2} available: Creep cannot begin until the shaft movement exceeds the M_{CL} values. A conservative approach would assume that creep begins when movements exceed the lesser of the M_{CL} values. However, creep can occur freely only when the shaft has moved the greater of the two M_{Cl} values. Although less conservative, we believe the latter to match behavior better and therefore set the creep limit as that load on the equivalent top-loaded movement curve that matches the greater M_{CL} .

Procedure if only M_{CL1} **available:** If we cannot determine a creep limit in the second component before it reaches its maximum movement M_x , we treat M_x as M_{CL2}. From the above method one can say that the creep limit load exceeds, by some unknown amount, that obtained when using $M_{CL2} = M_{x}$.

Procedure if no creep limit observed: Then, according to the above, the creep limit for the equivalent top-loaded shaft will exceed, again by some unknown amount, that load on the equivalent curve that matches the movement of the component with the maximum movement.

Limitations: The accuracy in estimating creep limits depends, in part, on the scatter of the data in the creep limit plots. The more scatter, the more difficult to define a limit. The user should make his or her own interpretation if he or she intends to make important use of the creep limit interpretations. Sometimes we obtain excessive scatter of the data and do not attempt an interpretation for a creep limit and will indicate this in the report.

> Excerpts from ASTM D4719 "Standard Test Method for Pressuremeter Testing in Soils"

9.4 For Procedure A, plot the volume increase readings (V_{60}) between the 30 s and 60 s reading on a separate graph. Generally, a part of the same graph is used, see Fig. 8. For Procedure B, plot the pressure decrease reading between the 30 s and 60 s reading on a separate graph. The test curve shows an almost straight line section within the range of either low volume increase readings (V_{60}) for Procedure A or low pressure decrease for Procedure B. In this range, a constant soil deformation modulus can be measured. Past the socalled creep pressure, plastic deformations become prevalent.

 $FIG. 8$ **Pressuremeter Test Curves for Procedure A**

References

Housel, W.S. (1959), "Dynamic & Static Resistance of Cohesive Soils", ASTM STP 254, pp. 22-23.

Stoll, M.U.W. (1961, Discussion, Proc. 5th ICSMFE, Paris, Vol. III, pp. 279-281.

Bourges, F. and Levillian, J-P (1988), "force portante des rideaux plans metalliques charges verticalmement," Bull. No. 158, Nov.-Dec., des laboratoires des ponts et chaussees, p. 24.

Fellenius, Bengt H. (1996), Basics of Foundation Design, BiTech Publishers Ltd., p.79.

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TS-1 - IL-133 Over Embarras River - Oakland, IL

Combined End Bearing and Lower Side Shear Creep Limit

Figure D-1

Loadtest USA Project No. LT-1425

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Upper Side Shear Creep Limit

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Figure D-2

TS-1 - IL-133 Over Embarras River Oakland, IL (LT-1425)

APPENDIX E

SOIL BORING LOG

Latitude W 88 deg 03.775 min, Longitude N 39 deg 39.541 min, Map Datum WGS 84

Color pictures of the cores <u>Available upon request</u>
Cores will be stored for examination until___05/10/15
The "Strength" column represents the uniaxial compressive strength of the core sample (ASTM D-2938)

