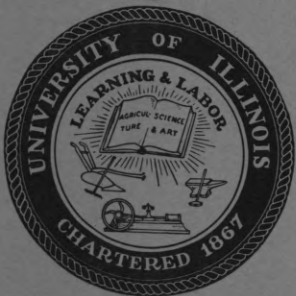




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A NOTE ON COMPUTING TIME FOR  
RECOGNITION OF LANGUAGES  
GENERATED BY LINEAR GRAMMARS

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Abstract

It is shown that (1) there exists a language  $L_0$  which is generated by a linear grammar and is not  $T(n)$ -recognizable by any on-line multi-tape Turing machine if  $\overline{\lim}_{n \rightarrow \infty} T(n)/(n/\log n)^2 = 0$ , and (2) any language generated by a linear grammar is  $n^2$ -recognizable by an on-line single-tape Turing machine in the sense of Hartmanis and Stearns.

Let  $V_T$  denote a finite set of symbols and let  $V_T^*$  denote the set of all finite sequences, including a null sequence, over  $V_T$ . The model of on-line Turing machine by Hartmanis, Stearns (1964) and Hennie (1966) will be used. An on-line Turing machine has a one-way input tape using the symbols of  $V_T$  and a one-way output tape using two symbols "1" and "0" besides working tapes. An on-line Turing machine is said to recognize a subset  $L$  of  $V_T^*$  if and only if for any input sequence on  $V_T$ , the  $n$ -th output digit is "1" if the sequence of the first  $n$  input symbols is in  $L$  and "0" otherwise.  $L$  is said to be  $T(n)$ -recognizable if and only if there is an on-line Turing machine which recognizes  $L$  and, for any input sequence, prints the  $n$ -th output digit in  $T(n)$  or fewer operations. For the details refer to Harmanis (1964) and Hennie (1966). For the definitions of context-free grammars, context-free languages and linear grammars, refer to Chomsky (1963).

F. C. Hennie (1966) showed a set of sequences (set  $A$ ) for which a very good lower bound on the computation time (number of machine steps) to be recognized by an on-line (multi-tape) Turing machine can be found. A slight variation of Hennie's set  $A$  will be used here.

The set  $A^0$  will be defined to be the set of sequences over the alphabet  $\{0,1,s,c\}$  which satisfy the following conditions:

a) The first symbol and the last symbol is  $s$ , and the sequence consists of a number of identical-length blocks of  $0$ 's and  $1$ 's, consecutive blocks being separated by single  $s$ 's or "scs".

b) The total number of blocks exceeds  $2^k$ , where  $k$  is the number of  $0$ 's and  $1$ 's in each block. The  $2^k$ -th block and the  $(2^k+1)$ -th block are separated by "scs", and other consecutive blocks are separated by single  $s$ 's.

c) The mirror image of the last zero-one block is the same as a zero-one block in the first  $2^k$  blocks.

Let  $n$  denote the length of input sequence. By following the same arguments as those by Hennie (1966), we have Lemma 1.

Lemma 1: If set  $A'$  is  $T(n)$ -recognizable by an on-line Turing machine, then

$$T(n) \geq C n^2 / (\log n)^2,$$

where  $C$  is a constant dependent only on the number of tapes, the number of tape symbols and the number of internal states of the machine.

Let  $R(\varphi)$  denote the reversal of sequence  $\varphi$ . For example, if  $\varphi = 011$ , then  $R(\varphi) = 110$ . Let

$$L_0 = \{\varphi_0 s \varphi_1 s \varphi_2 c \varphi_3 s R(\varphi_1) s \mid \varphi_0, \varphi_2, \varphi_3 \in \{0, 1, s\}^* \text{ and } \varphi_1 \in \{0, 1\}^*\}.$$

Then it is easy to see that  $L$  can be generated by the linear grammar

$G_0 = (V_{NO}, V_{TO}, P_0, S)$ , where  $V_{TO}$  (the set of terminal symbols) =  $\{0, 1, s, c\}$ ,  $V_{NO}$  (the set of nonterminal symbols) =  $\{S, X, Y\}$ ,  $S$  is the initial symbol and  $P_0$  (the set of production rules):

$$\begin{array}{lll} S \rightarrow 0S, & X \rightarrow 0X0, & Y \rightarrow 0Y, \\ S \rightarrow 1S, & X \rightarrow 1X1, & Y \rightarrow 1Y, \\ S \rightarrow sS, & X \rightarrow sYs, & Y \rightarrow sY, \\ S \rightarrow sXs, & Y \rightarrow c, & Y \rightarrow Y0, \\ & & Y \rightarrow Y1, \\ & & Y \rightarrow Ys, \end{array}$$

The language  $L_0$  may be considered a variation of the language which was shown to be not  $n$ -recognizable by Hartmanis and Stearns (1964).

The next lemma follows directly from the definitions of  $A'$  and  $L_0$ .

Lemma 2: Assume that a sequence  $\varphi$  on alphabet  $\{0,1,s,c\}$  satisfies conditions a) and b) of the definition of set  $A'$ . Then,  $\varphi \in A'$  if and only if  $\varphi \in L_0$ .

Since it can be decided in real-time computation (Yamada, 1962), whether a given sequence satisfies conditions a) and b) of set  $A'$ , it follows from Lemma 2 that if  $L_0$  is  $T(n)$ -recognizable by an on-line Turing machine, then  $A'$  is also  $T(n)$ -recognizable by an on-line Turing machine. Consequently, the next theorem follows from Lemma 1.

Theorem 1: If  $L_0$  is  $T(n)$ -recognizable by an on-line multi-tape Turing machine, then there exists a constant  $C$  such that

$$T(n) \geq C(n/\log n)^2 .$$

On the other hand, the following theorem holds.

Theorem 2: Any language generated by a linear grammar is  $Cn^2$ -recognizable by an on-line single-tape Turing machine, where  $C$  is a constant.

This theorem was proved in (Kasami, 1965a). A sketch of a simpler proof is shown in the Appendix.

It was shown that any context-free language is  $n^3$ -recognizable by an on-line Turing machine (Kasami, 1965b; Younger, 1966; Torii, 1966). There is a gap between  $(n/\log n)^2$  and  $n^3$ .

## Appendix

## A SKETCH OF THE PROOF OF THEOREM 2

Let  $G = (V_N, V_T, P, S)$  be a given linear grammar, where  $V_N$  is the finite set of nonterminal symbols,  $V_T$  is the finite set of terminal symbols,  $P$  is a set of rules and  $S \in V_N$  is the initial symbol. For a rule  $g: X \rightarrow \varphi$ , let  $\ell(g)$  and  $r(g)$  denote  $X$  and  $\varphi$  respectively. By the definition of linear grammar (Chomsky, 1963),  $r(g)$  contains at most one nonterminal symbol. Without loss of generality, it can be assumed that  $G$  is a normal grammar (Chomsky, 1963). Then, for any  $g$  in  $P$ ,  $r(g)$  is of the form:

$$aX, Xa \text{ or } a,$$

where  $a \in V_T$ , and  $X \in V_N$ . Let  $a_i \in V_T$  be the  $i$ -th input symbol and let  $\xi_i = a_1 a_2 \dots a_i$ . Let the labelled directed graphs  $G_1, G_2, \dots, G_i, \dots$  be defined as follows:

- 1) Let  $G_0$  be a graph consisting of a vertex  $v_0$ .
- 2) Suppose that  $G_{i-1}$  is defined. Add vertex  $v_i$  to  $G_{i-1}$  and connect vertices  $v_{i-1}$  and  $v_i$  by an edge from  $v_{i-1}$  to  $v_i$  with label  $a_i$ . Let  $G_{i0}$  denote the resulting graph.
- 3) Suppose that  $G_{ij-1}$  ( $1 \leq j < i$ ) has been defined. Then, for each rule  $g$  of  $P$ , draw an edge with label  $\ell(g)$  from  $v_{i-j}$  to  $v_i$ , if and only if there is a directed path with label sequence  $r(g)$  from  $v_{i-j}$  to  $v_i$  in  $G_{ij-1}$ . Let  $G_{ij}$  denote the resulting graph.
- 4) Let  $G_i = G_{ii}$ .



Then, it follows directly from the construction of  $G_i$  that  $\xi_i$  belongs to the language generated by  $G$  if and only if  $G_i$  contains an edge from  $v_0$  to  $v_i$  with label  $S$ . The proof is essentially the same as those in (Younger, 1966; Torii, 1966). The process mentioned above can be implemented by an on-line single-tape Turing machine as follows: Divide the semi-infinite working tape into sections of the same length  $T_{01}, T_{11}, T_{02}, T_{12}, \dots, T_{0k}, T_{1k}, \dots$ , where each section  $T_{\ell k}$  can store any terminal symbol, any subset of  $V_N$  and a mark  $*$  simultaneously. Assume that the finite state control unit has two working memories  $M_1$  and  $M_2$  which can store the last input symbol and the content of one section of the working tape respectively. Then, the operation of this machine proceeds as follows:

1) Read the first input symbol  $a_1$  from the input tape. Store  $a_1$  and  $*a_1$  in  $R_1$  and  $T_{01}$  respectively. For each rule  $g$  of  $P$  with  $r(g) = a_1$ , store  $\ell(g)$  in  $T_{01}$ . If  $T_{01}$  contains the initial symbol  $S$ , write "1" on the output tape, otherwise write "0". Move the head to section  $T_{11}$ .

2) For  $0 \leq \ell < 2$ , let  $\mu(h, \ell) \equiv h - \ell \pmod{2}$ ,  $0 \leq \mu(h, \ell) < 2$ . Assume that  $a_{i-k-\ell}$  ( $*a_1$  if  $i-k-\ell = 1$ ) and the set of labels (nonterminal symbols) of edges from  $v_{i-1-\ell-k}$  to  $v_{i-1-\ell}$  in  $G_{i-1}$  are stored in  $T_{\mu(i-1, \ell)k}$  for  $1 \leq k+\ell < i$  and  $0 \leq \ell < 2$ , and that the head of the working tape is on section  $T_{\mu(i, 0)1}$ .

2.1) Read  $a_i$  from the input tape. Erase the content of  $T_{\mu(i, 0)1}$ . Store  $a_i$  in  $T_{\mu(i, 0)1}$  and in  $M_1$  instead of  $a_{i-1}$ . For each rule  $g$  with  $r(g) = a_i$ , store  $\ell(g)$  in  $T_{\mu(i, 0)1}$ . Move the head to section  $T_{\mu(i, 0)2}$ .

2.2) Assume that  $a_{i-\ell-k+1}$  and the set of labels of edges from  $v_{i-\ell-k}$  to  $v_{i-\ell}$  in  $G_{ij-1}$  ( $j \leq i$ ) are stored in  $T_{\mu(i, \ell)k}$  ( $1 \leq k+\ell \leq i$ ,  $0 \leq \ell < 2$  and if

$l = 0, k < j$ ) and that the head is on section  $T_{\mu(i,0)j}$ . Erase the content of  $T_{\mu(i,0)j}$ . Copy the contents of  $T_{0j-1}$  and  $T_{1j-1}$  into  $M_2$ . Transfer  $a_{i-j+1}$ , which is already in  $T_{\mu(i,1)j-1}$ , into  $T_{\mu(i,0)j}$ . If mark \* is written in  $T_{\mu(i,1)j-1}$ , then also write mark \* in  $T_{\mu(i,0)j}$ .

For each rule  $g$  of  $P$  such that either (1)  $r(g) = a_{i-j+1}X$ , where  $X \in V_N$  is in  $T_{\mu(i,0)j-1}$  or (2)  $r(g) = Xa_i$ , where  $X \in V_N$  is in  $T_{\mu(i,1)j-1}$ , write  $l(g)$  in  $T_{\mu(i,0)j}$ . All information required for the procedure above is currently stored in  $M_1$  and  $M_2$ .

If mark \* is not written in  $T_{\mu(i,0)j}$ , then move the head to section  $T_{\mu(i,0)j+1}$  and repeat the same procedure for  $j+1$ . For each  $j$ , the number of required steps can be bounded above by a constant independently of  $i$  and  $j$ .

2.3) Suppose that mark \* is written in  $T_{\mu(i,0)j}$ . Write "1" on the output tape if  $T_{\mu(i,0)j}$  contains the initial symbol  $S$ , and write "0" otherwise. Return the head to section  $T_{\mu(i+1,0)1}$ . For each  $i$ , the number of steps required for producing the  $i$ -th output after reading the  $i$ -th input can be bounded above by  $Ci$ , where  $C$  is a constant independent of  $i$ .

Consequently, the number of steps required for producing the  $i$ -th output from the beginning can be bounded above by  $Ci^2/2$ .

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