

Modeling the auditory scene: predictive regularity representations and perceptual objects

István Winkler^{1,2}, Susan L. Denham³ and Israel Nelken⁴

¹Department of General Psychology, Institute for Psychology, Hungarian Academy of Sciences, 1394 Budapest, P.O. Box 398, Hungary

²Institute of Psychology, University of Szeged, 6722 Szeged, Petőfi S. sgt. 30-34, Hungary

³Centre for Theoretical and Computational Neuroscience, University of Plymouth, Drake Circus, Plymouth PL4 8AA, UK

⁴Department of Neurobiology, The Silberman Institute of Life Sciences, and the Interdisciplinary Center for Neural Computation, The Hebrew University, Edmond Safra Campus - Givat Ram, Jerusalem 91904, Israel

Corresponding author: Winkler, I. (iwinkler@cogpsyphy.hu)

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Abstract

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2 Predictive processing of information is essential for goal-directed behavior. We offer an account of
3 auditory perception suggesting that representations of predictable patterns, or 'regularities',
4 extracted from the incoming sounds serve as auditory perceptual objects. The auditory system
5 continuously searches for regularities within the acoustic signal. Primitive regularities may be
6 encoded by neurons adapting their response to specific sounds. Such neurons have been observed
7 in many parts of the auditory system. Representations of the detected regularities produce
8 predictions of upcoming sounds as well as alternative solutions for parsing the composite input into
9 coherent sequences potentially emitted by putative sound sources. Accuracy of the predictions can
10 be utilized for selecting the most likely interpretation of the auditory input. Thus in our view,
11 perception generates hypotheses about the causal structure of the world.
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Prediction underlies adaptive behavior

Achieving one's goals in constantly changing environments requires actions directed at future states of the world. For example, when crossing a street, one has to anticipate the location of cars at the moment when one is likely to intersect their trajectories. Predicting future events is essential for everything we do, from taking into account the immediate sensory consequences of our own actions to signing up to a pension plan. The realization that we constantly interact with the future led to recent theoretical proposals for predictive descriptions of cognitive processes and their implementation in the brain in various domains of cognitive neuroscience. These theories are typically informed by concepts from *Bayesian inference* and consider that the 'purpose' of perception is to generate testable hypotheses about the causal structure of the external world, based both on prior knowledge and the current sensory input¹. The various theories differ in their emphasis, spanning the range from cognitive, functional approaches^{2,3} through approaches focusing on the two-way transfer of information along *sensory hierarchies*⁴ to system approaches specifying details of the architecture and computations involved⁵.

In this review, we draw on the notion that prediction underlies perception. We focus on the auditory modality, stressing the importance of the representation of temporal *regularities* as intrinsic to prediction. We argue that regularity representations play an essential role in parsing the complex acoustic input into discrete object representations and in providing continuity for perception by maintaining a cognitive model of the auditory environment. We review evidence showing that some processing of regularities occurs at quite low levels in the auditory system and suggest that auditory perceptual objects are mental constructs based on representations of temporal regularities which are inherently predictive, continuously generating expectations of the future behavior of sound sources. Finally, we examine the role of focused attention in forming auditory object representations.

We conclude that the auditory objects appearing in perception are based on detecting regular features within the acoustic signal. Regularity representations provide alternative interpretations of the acoustic input. Testing the predictions of these representations against incoming sounds guides selection of the dominant (perceived) alternative.

Predictive representations in analyzing the auditory scene

Orderly perception of complex auditory scenes requires them to be broken down into internally coherent constituents. According to Bregman's theory⁶ (see Box 1), *auditory scene analysis (ASA)* consists of two phases; the first phase is concerned with the *formation* of alternative sound organizations, while the second is concerned with selecting one of the alternatives to be perceived. Although perceptually it is difficult to separate these processes, the existence of the two phases was demonstrated using *event-related brain potentials (ERPs)*^{7,8}. Winkler and colleagues⁸ found two distinct ERP components elicited in sound sequences whose perception spontaneously alternated between two different organizations. The earlier component was elicited when stimulation parameters promoted one organization irrespective of which organization was perceived, whereas the later component only accompanied the actual perception of this organization. The results were interpreted as reflecting the initial formation of alternative interpretations and, separately, the selection of one sound organization.

1 How does the initial sound organization emerge? In the absence of contextual influences,
2 segregation can be initially based on *simultaneous grouping cues* (see Box 1). For example, Alain and
3 colleagues⁹ discovered an event-related brain potential (ERP) component (termed *Object Related*
4 *Negativity – ORN*), which is elicited when one harmonic of a *complex tone* is sufficiently mistuned, so
5 that it is perceived as separate from the rest of the tone. However, simultaneous cues are
6 insufficient for resolving most natural scenes, and auditory scene analysis also utilizes regularities
7 which link multiple sound events. The key to this process is the formation of a representation which
8 captures the regularities common to a coherent sequence of sounds; a ‘model’ of a putative sound
9 source. This notion of regularity representation stems from the Gestalt principles of perception¹⁰.
10 However, in addition to encoding a regularity, this representation is predictive of the sounds that the
11 source is likely to emit and hence can underpin the formation of an identifiable perceptual unit
12 (object) as well as its separation from other units¹¹. Direct ERP correlates of stimulus prediction are
13 limited to the initial 80 ms of sound processing¹², suggesting fast generation and processing of the
14 predictions. Although regularity detection is mainly *stimulus-driven*¹³, some types of regularities can
15 only be detected by persons with previous specialized training (such as learning to speak a language
16 or playing a musical instrument)¹⁴⁻¹⁶.

22 Those regularities which are easiest to discover are extracted first and hence determine the
23 organization that is initially perceived. For example, in the *auditory streaming paradigm* (see Box 1),
24 the initial links are most often those between temporally adjacent tones. Later, links are formed
25 between tones sharing some stimulus parameter¹⁷, such as frequency in the example in Box 1.
26 Competition between these links determines the perception of either a single sequence (when the
27 links between temporally adjacent tones are dominant) or the perception of two sequences (when
28 the links between same-feature tones dominate)¹⁸. Encoding the links has possible neuronal
29 correlates in the responses of auditory neurons to the two different sounds. When many neurons
30 respond to both sounds, the links between temporally adjacent sounds are presumably stronger and
31 a single sequence is perceived, whereas if most neurons respond only to one or to the other, but not
32 to both sounds, two *streams* are formed. *Neural adaptation* to repeating sounds can be stimulus-
33 specific¹⁹⁻²¹. Thus, even neurons that initially respond similarly to both sounds may eventually
34 develop an imbalance, a weakening of the temporally-adjacent links in favor of the repeating-feature
35 ones. Although the location of the neurons encoding these links is debated¹⁹⁻²¹, the model accounts
36 well for the effects of the acoustic parameters on the time course of the *build-up of streaming*^{6, 22, 23}
37 It predicts faster onset for streaming with larger feature differences and with faster presentation
38 rates, since both lead to faster and stronger adaptation.

46 The build-up of streaming has been interpreted as the gathering of evidence in favor of the
47 segregated organization⁶. Within the present framework, we interpret this as competition between
48 alternative sequential associations¹⁸. In accordance with our view, when listeners are presented with
49 long unchanging sound sequences, such as in the auditory streaming paradigm, their perception
50 fluctuates between the alternative organizations even when the stimulus parameters strongly
51 promote one or the other organization^{13, 18, 24}. The neuronal model, described above, while
52 accounting for the build-up, is as yet insufficient to account for the continued perceptual switching.
53 We argue that in addition, it is necessary to assume that competition between alternative sequential
54 associations is a constant feature of ASA¹⁸.

1 Thus predictive regularity representations provide initial hypotheses for the constituents of the
2 complex auditory input (i.e., they are putative auditory objects). The formation and dynamical
3 behavior of these representations can be related to neural mechanisms observed in several stations
4 of the auditory system.
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6 *Maintaining the representation of the auditory scene*

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8 Once possible object representations are formed, inconsistencies between them need to be resolved
9 while preferably maintaining the continuity of perception. Figure 1 shows a conceptualization of
10 ASA. First-phase grouping processes are represented on the left with simultaneous and sequential
11 grouping processes separately marked (bottom left box). Sequential grouping is based on predictions
12 produced by representations encoding the previously detected acoustic regularities (upper left box).
13 Competition between alternative sound groupings is resolved in the second phase of ASA (bottom
14 right). Bregman⁶ describes this process as “voting” by the grouping processes supporting one or
15 another alternative. Representations reflecting the selected organization are passed onto higher
16 level processes, such as conscious perception. Thus, we always experience sounds as part of some
17 pattern and as belonging to a given stream (lower right arrow).
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23 The various grouping primitives probably have different weights in the voting procedure. Weights
24 reflect confidence in the grouping process. Figure 1 emphasizes the online adjustment of weights
25 according to the reliability of the predictions based on the given regularity representation (Figure 1,
26 upper right). Weights are adjusted after predictions are matched against the parsed input. When a
27 prediction fails, the weight of the corresponding regularity representation is decreased. This process
28 is probably reflected in the *Mismatch Negativity (MMN)* event-related potential^{11, 25} (see Box 2).
29 Switching between alternative sound organizations can result from dynamical fluctuations of the
30 weights when both alternatives are strongly supported¹⁸ or from active exploration of alternative
31 interpretations of the input (conveyed by top-down biasing). MMN elicitation has been shown to
32 correspond to the actually perceived sound organization¹³.
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37 The auditory system is thought to use an “old+new” strategy in parsing the sound input⁶. Once
38 continuation of the previously detected streams is accounted for, the residue (unexplained input) is
39 regarded as originating from a newly activated source (Figure 1, upper right). Some of the *exogenous*
40 *ERP responses (P1, N1, P2)* may reflect the emergence of new auditory streams. These responses are
41 sensitive to large changes in stimulus energy, which is a prime cue for the activation of a new sound
42 source. Furthermore, they shortly follow the initial 80 ms of the processing of an incoming sound for
43 which direct ERP correlates of prediction were observed¹², and within which the residue is probably
44 estimated. The N1 wave²⁶ (see Box 2) may be the best candidate, because its frontal subcomponent
45 can be linked to the attentional capture often resulting from the detection of a new object in the
46 environment. In terms of our model of ASA (Figure 1), residue detection feeds into the processes
47 forming new sequential associations (see the previous section).
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53 Our analysis suggests that competition between alternative sound organizations is resolved by
54 taking into account the within-context predictive reliability of the competing regularity
55 representations. New streams are detected by processing the residual acoustic signal, i.e. that which
56 could not be explained by continuation of the previously detected streams.
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Neural bases for detecting change and deviance

Possible neural correlates of the processes that are reviewed in the previous sections may be found in various stations of the auditory system. The 'core' auditory pathway (Figure 2) seems to keep a high-fidelity representation of sounds at least up to the level of the primary auditory cortex, although contributions to the buildup of streaming could occur as early as the cochlear nucleus²¹. In the primary auditory cortex itself, a number of response features may already encode information that is related to the formation of auditory objects. For example, the discrete events that are the subject of sequential grouping may be marked by eliciting well-timed onset responses in auditory cortex. These onset responses correspond to the perception of *temporal edges*²⁷ and can be linked with the N1 wave and, possibly, with ORN (Figure 1).

Recently, *stimulus-specific adaptation (SSA)* has been intensively studied in the ascending auditory pathways. SSA is the reduction in the responses of a neuron to a common sound which does not generalize to other, rare, sounds²⁸⁻³¹. SSA may be a neural correlate of regularity-based change detection³²; a process underlying the maintenance and update of auditory representations. In the core ascending pathway of the auditory system, it seems that ubiquitous SSA first appears in A1^{28, 29}. However, strong SSA is present in *non-lemniscal stations* of the auditory system (Figure 2), starting as early as the external nuclei of the *inferior colliculus*³¹. The properties of SSA (its high sensitivity to small deviations and its fast time course) make it a prime candidate for encoding inter-sound relationships and detecting deviations. SSA has been linked to the ERP components associated with various processes of ASA^{25, 29, 33} (N1, ORN, and MMN; see Fig. 1). However, subcortical and cortical SSA activity occurs earlier than any of these ERP responses³². Thus, the SSA observed in animals presumably lies upstream of the generation of these ERPs.

As suggested by the short survey above, neural correlates of auditory scene analysis and change detection abound in the auditory system (Figure 2). It may be that they are constructed hierarchically, with the earlier stations using the more obvious stimulus properties and higher stations using derived properties. Alternatively, neural correlates of high-level processes in subcortical stations may be at least partially a reflection of the strong descending system of projections that is present in all sensory systems. These issues will have to be resolved in future experiments.

Predictive regularity representations as perceptual objects

We have argued that auditory regularity representations supported by the SSA mechanism observable in many parts of the auditory system play an essential role in parsing complex auditory scenes. Here we examine whether regularity representations may form the core of auditory object representations. Recent theories of auditory object representation^{34, 35} emphasize the requirement of common characteristics for object representations across different modalities. So, what do we expect of perceptual objects? 1) In natural everyday environments, almost no sound occurs in isolation. Therefore, object representations must span multiple acoustic events. 2) An object is described by the combination of its features. 3) An object is a unit which is separable from other objects. Therefore, auditory object representations should specify which parts of the acoustic signal belong to the given object. 4) The actual information arriving from an object to our senses is quite variable in time. Therefore, object representations must generalize across the different ways the

1 same object appears to the senses. 5) Finally, in accord with Gregory's¹ theory of perception, we
2 expect object representations to predict parts of the object for which no input is currently available.

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4 The predictive regularity representations fit all of these criteria.

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6 (1) Auditory regularity representations are temporally persistent; they have been shown to connect
7 sounds separated by up to circa 10 seconds³⁶ and persist for at least 30 seconds³⁷.

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9 (2) Auditory regularity representations encode all sound features with a resolution comparable to
10 perception, since perceptually discriminable deviations elicit MMN (for a review, see³⁸). Importantly,
11 MMN is also elicited by rare sounds differing from two or more frequent sounds only in the
12 *combination* of two auditory features^{39,40}. Thus, auditory regularity representations describe sounds
13 by the combination of their features.
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17 (3) When two sound streams are perceptually separated, MMN reflects the perceived sound
18 organization¹¹, its elicitation dynamically follows perceptual fluctuations between two alternative
19 sound organizations and the effects of priming sequences on perception¹³. Critically, if two
20 concurrent auditory streams are characterized by separate regularities, then deviant sounds only
21 elicit an MMN with respect to the stream to which they belong perceptually^{41,42}. Thus regularity
22 representations correspond to the perceptually separable units of the auditory input.
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26 (4) Regularities are extracted from acoustically widely different exemplars in a sequence⁴³⁻⁴⁵,
27 including the natural variation of environmental sounds⁴⁶. Moreover, regularities governing the
28 variation of sounds are also extracted from a sound sequence (e.g., "the higher the pitch the softer
29 the tones in the sequence"; see⁴⁷). Thus auditory regularity representations generalize across
30 different instances of the same object.
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34 (5) Violations of predictive rules have been shown to elicit the MMN (for recent reviews, see^{11,48,49}).
35 For example, delivering a low tone after a short one elicited the MMN, when for most tones the rule
36 "short tones are followed by high-pitched tones, long tones by low-pitched tones" held^{50,51}. Direct
37 evidence for the generation of predictions was obtained by Bendixen and colleagues¹², who
38 observed short-latency ERP correlates of auditory anticipation. Compatible results were obtained
39 with a wide variety of stimulus paradigms⁵²⁻⁵⁶. Thus it appears that auditory regularity
40 representations provide predictions of future sound events.
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44 We therefore suggest that representations of auditory regularities serve as perceptual objects. That
45 is, auditory objects are described in the brain by predictive rules linking together coherent
46 sequences of sounds. Although there are obvious modality-specific phenomena, the notion of
47 describing objects by the rules binding them into a unit could also be applicable in other modalities.
48 Many Gestalt principles appear to work similarly in different modalities and the requirement for
49 object representations to interpolate and extrapolate from the available data was initially conceived
50 largely on the basis of visual evidence¹. Violating visual and somatosensory temporal regularities
51 elicits visual and somatosensory analogues of the auditory MMN, respectively^{57,58}. Very recently, an
52 MMN-like component has been observed in response to violating an audiovisual regularity^{59,60}. Thus
53 it appears that regularity representations are formed and utilized even in cross-modal integration.
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Auditory object representations and attention

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2 The hypothesis that auditory object representations are representations of the regularities linking
3 together sounds forming a coherent sequence allows us to reexamine the long-standing debate in
4 psychology regarding whether object formation requires focused attention^{61, 62}. Within the present
5 framework, we should ask whether forming regularity representations requires attention. Several
6 studies suggest that deviations from auditory regularities are detected even when attention is not
7 focused on the sounds^{38, 63}, including regularities based on the conjunction of auditory features^{39, 40},
8 a focal point of the debate about the role of attention in object formation. Furthermore, auditory
9 streams may also be formed outside the focus of attention⁶⁴. Most convincingly, acoustic regularities
10 are detected in comatose patients⁶⁵ and in sleeping newborns⁶⁶. For example, neonates detect
11 violations of the beat in a rhythm with natural variations⁶⁷ and the ratio of different constituent
12 sounds within sound patterns⁶⁸. Stream-formation dependent regularity detection was also
13 observed in newborns⁶⁹. Thus it appears that in the auditory modality, forming predictive regularity
14 representations does not require focused attention. This may also be true for vision. Summerfield
15 and Egner⁷⁰ argue that expectation and attention have complementary functions in visual perception
16 and that they are produced by separate neural mechanisms⁷¹.

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18 However, it is unknown whether sleeping newborns or comatose patients form perceptual object
19 representations. Furthermore, attention can affect auditory deviance detection⁷², *feature binding*³⁹,
20 resetting of stream segregation²³, and determining which streams are segregated within a complex
21 auditory scene⁷³. Thus it seems plausible that although object representations can be formed
22 outside the focus of attention, attentive processes have a strong modulating effect.

Conclusions

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24 We have argued that predictive representations of temporal regularities constitute the core of
25 auditory objects in the brain. This notion of auditory object formation is compatible with recent
26 accounts of perception in other modalities^{3, 70}, with theories of motor control⁷⁴, and the interaction
27 between motor control and perception⁷⁵. Although there are several outstanding questions
28 regarding the mechanisms underlying the proposed model (Box 3), it appears that predictive
29 processing occurs at all levels of cognitive function in the human brain⁵. We therefore hypothesize
30 that auditory sensory memory and predictions are but the two sides of the same coin.

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31
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Box 1: Auditory scene analysis and the auditory streaming paradigm

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2 The pressure waves which we experience as sounds are a combination of all the sounds present in
3 the environment at any time. If we are to make sense of the auditory world and interact with it
4 effectively, it is necessary for the brain to isolate the information relating to different sound sources.
5 The phrase ‘auditory scene analysis’ was coined by Bregman⁶ to describe this basic problem, and
6 processing strategies which allow the brain to segregate sounds have been extensively investigated
7 (for recent reviews, see^{22, 76, 77}).

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11 Essentially, grouping strategies fall into two classes, *simultaneous* (used to assign concurrently active
12 features to one or more objects) and *sequential* (used to form associations between discrete sound
13 events). Spectral regularity, *harmonicity* and common onset are primary simultaneous grouping
14 cues. However, sequential grouping actually turns out to be the more important, in that it can
15 override the organisations formed by simultaneous grouping cues. Ecologically this makes sense as
16 most informative sounds, especially communication sounds, are intermittent, and it is necessary to
17 form associations between events which may be separated in time by fairly long intervals; i.e. there
18 is a trade-off between global and local decisions, and the global context constrains local decisions.

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23 Sequential grouping has often been investigated using the auditory streaming paradigm (see Figure I
24 below) to determine the physical parameters which govern the associations formed between
25 alternating sounds. The importance of this approach is that the same sequence of sounds can be
26 perceived in (typically two) different ways depending on the sequential grouping decision, and there
27 are salient perceptual differences between the different groupings. For example, if all sounds
28 illustrated in the figure below are considered to belong to the same group (*integration*), then
29 subjects perceive and report a galloping rhythm; however, if the sounds marked red form a separate
30 group from the sounds marked green, then the galloping rhythm is no longer heard, and one sound
31 sequence pops into the perceptual foreground (*streaming* or *segregation*), while the other falls into
32 the background. It turns out that although differences in frequency are probably the most important
33 factor, virtually any type of detectable difference can trigger streaming¹⁷. There is also a trade-off
34 between featural differences and the time intervals between successive sounds, with shorter
35 intervals increasing the tendency to report streaming.

Box 1 Figure Legend

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46 Figure I. The auditory streaming paradigm⁷⁸. The same sequence of alternating sounds can be
47 perceived as belonging to a single perceptual object (top) or to two separate objects (bottom), one
48 occupying the foreground and the other the background.
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Box 2: The auditory N1 and the mismatch negativity (MMN) event-related brain potentials

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Event-related brain potentials (ERPs) are usually analyzed in terms of components, i.e. “the contribution to the recorded waveform of a particular generator process” (p. 376 in ref²⁶). The auditory N1 deflection appears with negative polarity over the frontocentral scalp, typically peaking between 100 and 120 ms from stimulus onset (Figure I). N1 is elicited by sudden changes in sound energy, such as sound onset or an abrupt change in the spectral make-up of a continuous sound. In short, the auditory N1 is elicited by acoustic change. A large part of the auditory N1 is generated bilaterally within primary auditory cortical areas. However, the auditory N1 is not a single component as it has multiple generators both within and outside the auditory cortex, which are differentially affected by stimulus parameters²⁶. Increasing the inter-stimulus interval increases the N1 amplitude up to at least 10 s and the auditory N1 is sensitive to most sound features. These findings suggest that the neuronal generators of N1 are involved in the temporary storage of auditory information. However, the N1 is not sensitive to combinations of auditory stimulus features. Therefore, the neural generators of auditory N1 cannot implement a full memory representation of a sound³⁶.

The *scalp topography* of the mismatch negativity (MMN) ERP component (Figure II; for a recent review, see⁷⁹) is similar to that of the auditory N1, although the generator locations of the two ERP responses can be distinguished from each other⁸⁰. MMN is elicited by violating some regular feature of a sound sequence and it typically peaks ca. 100-140 ms from the onset of the deviation. Violations of both simple and complex regularities elicit the MMN, whereas MMN is not elicited by isolated sounds or a sound change occurring in the beginning of a sequence. In short, the MMN is elicited by sounds deviating from a detected regularity. The current interpretation of MMN suggests that MMN reflects the detection of failed auditory predictions¹¹. There has been a debate in the literature as to whether or not the auditory N1 and MMN are based on separate neural processes^{33, 80, 81}. Converging evidence suggests that the two ERP responses are partly but not fully based on common neural mechanisms^{25, 82}.

Box 2 Figure Legend

Figure II. The auditory N1 and MMN responses elicited in an oddball paradigm. Sequences composed of frequent (90% probability; “standard”) low-pitched (300 Hz fundamental frequency) and infrequent (10%; “deviant”) high-pitched (600 Hz) missing-fundamental complex tones of 500 ms duration were presented in a random order and with a 400 ms constant inter-stimulus interval to 12 young healthy participants. Participants were reading a book during the stimulus presentation. Group-average frontal (Fz) ERP responses are shown separately for the standard (thin line) and deviant (thick line) tones. The latency of the N1 deflection was significantly modulated by the spectral make-up of the tones (shorter peak latency for the higher-pitched tone); the difference is marked in yellow. Deviant tones elicited a negative-going second peak in the 200-260 ms interval from stimulus onset, which was not present in the standard-tone responses. Although this latency range is later than that typical for MMN (due to the specific make-up of the tones), the differential response (marked in light blue) was identified as MMN. (Figure adapted from⁸³).

Box 3: Outstanding questions

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- What are the neural processes that are involved in forming sequential associations and extracting regularities?
- Are regularities explicitly represented in neural activity, or implicitly in the pattern of synaptic connections that is plastically adapted to each situation?
- What kind of regularities can be detected without attention being focused on the sounds?
- Do representations of complex sequential rules help in segregating auditory streams or are they only involved in stabilizing and maintaining streams separated by simple feature cues?
- How many auditory objects can be concurrently represented? Is the limit related to the “capacity” of short-term or working memory?
- Are the neural substrates of auditory sensory memory and predictive processes separate?
- Can we find a causal link between the neurons showing SSA and the encoding of regularities (especially complex ones)?

Glossary

Auditory Scene Analysis (ASA)

The process of analyzing a complex mixture of sounds to isolate the information relating to different sound sources.

Auditory streaming

A perceptual phenomenon in which a sequence of sounds is perceived as consisting of two or more auditory streams. When streaming occurs, perceivers experience difficulty in extracting inter-sound relationships across streams, such as the order between two sounds belonging to different streams.

Build-up of auditory streams

The perception of segregated auditory streams (see Box 1) takes some time to develop. The *buildup* of streaming refers to the tendency for the probability of subjects reporting streaming to increase from the onset of the sound sequence for 4-8 s depending on the stimulus parameters.

Complex tone

A tone that contains multiple frequency components (in contrast to a simple or pure tone, which is a sine wave with a single frequency).

Feature binding

Linking together the features of a perceptual unit; e.g., the color, shape, etc. of an object seen.

Harmonicity

The property of a sound composed of harmonics (pure tone components whose frequencies are integer multiples of a greatest common divisor frequency, called the fundamental frequency, commonly within the pitch existence region of 30 – 4000 Hz).

Mismatch Negativity (MMN)

A frontally negative going component human auditory *ERP* elicited by sounds violating some of the detected regularities of the preceding sound sequence (see Box 2).

Missing fundamental complex tone

A harmonic complex tone which does not contain its own fundamental frequency (see harmonicity).

N1

A frontally negative-going exogenous wave of the human *ERP*. The auditory N1 is elicited by sudden changes in sound energy or spectral make-up (see Box 2).

Neural adaptation

The reduction in neural responses following the repetition of a stimulus

Object Related Negativity (ORN)

A component of the event-related potentials that is elicited when two concurrent sounds are separated by simultaneous cues, such as detecting a non-harmonic frequency alongside with a complex harmonic tone.

P1

A frontally positive-going exogenous human *ERP* component elicited by sound onsets. The auditory P1 is generated in primary auditory cortex and in adults, it usually peaks between 40 and 80 ms from stimulus onset.

P2

1 A frontally positive-going human exogenous ERP component following the N1 wave by 20 to
2 60 ms. The main neural generators of P2 are located in auditory cortex.

3 Regularity (auditory)

4 A repeating property of a sound sequence. Regularities can be as simple as the cyclical
5 repetition of a sound or as complex as the rule that “short tones are followed by high-pitched
6 tones, long tones by low-pitched tones”. In terms of auditory processing, only those
7 regularities, which can be detected by the brain, matter (e.g., setting the frequencies of
8 consecutive sounds in a sequence according to some arbitrary mathematical formula would
9 not necessarily result in the brain detecting any regularity in the sequence). Detection of a
10 regularity requires that 1) the given feature is analyzed and encoded and 2) further
11 occurrences of the feature are matched with the retained code. Thus regularity detection
12 involves memory and (possibly implicit) learning.
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16 Sequential grouping of sounds

17 Linking together sounds, whose onsets are separated in time. These processes require
18 memory of the history of auditory stimuli.
19

20 Simultaneous grouping of sounds

21 Linking together concurrent sounds by common properties, such as harmonicity or common
22 onset. In contrast to sequential grouping, these processes do not require memory of the
23 history of auditory stimuli.
24
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26 Stimulus-driven processing

27 Information processing in the brain, which is determined by the incoming stimuli irrespective
28 of the mental state or current goals of the organism.
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30 Stimulus-specific adaptation (SSA)

31 The reduction in neural responses to a repetitive sound, which does not generalize to other
32 (rare) sounds.
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34 Temporal edge

35 The onset time of an auditory event
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Figure Legends

1
2 Figure 1. Box model of Auditory Scene Analysis (ASA). *First phase of ASA (left; magenta)*: Auditory
3 information (lower left) enters initial grouping (lower left box). Predictive regularity representations
4 (upper left box) support sequential grouping, whereas segregation by simultaneous cues does not
5 require memory resources. *Second phase of ASA (right; orange)*: Competition between candidate
6 groupings is resolved by selecting the alternative supported by grouping processes carrying the
7 highest confidence (lower right box). Confidence in those regularity representations whose
8 predictions failed is reduced and the unpredicted part of the auditory input (residue) is parsed for
9 new regularities (upper right boxes). *ERP components associated with some of the ASA functions*
10 *(light blue circles linked to the corresponding function by “≈” signs)*: ORN reflects the detection
11 mistuned partials, which is an important spectral cue for segregating sound sources. N1 (see Box 2)
12 is marked by an asterisk, because it stands for the exogenous components possibly reflecting the
13 detection of a new stream. MMN (see Box 2) is assumed to reflect the process of adjusting the
14 confidence weight of those regularity representations, whose predictions were not met by the actual
15 input. *Top-down effects modulating ASA (marked violet at the affected processes)*: Training and
16 contextual information (i.e., previous experience or knowledge regarding the given context, such as
17 identifying a given sequence as speech) allow one to detect some complex acoustic regularities (such
18 as speech- and music-specific regularities). Actively searching for the emergence of some new or a
19 specific expected object increases the sensitivity of detecting the corresponding regularity. When
20 multiple alternative organizations receive approximately equal support (ambiguous stimulus
21 configurations), selecting the dominant organization can be voluntarily biased. (Figure adapted
22 from¹¹).

31 Figure 2. Schematic representation of the ascending auditory pathways. Auditory nerve fibers from
32 the cochlea terminate in the cochlear nucleus, the first central station of the auditory pathways.
33 Some neurons in the cochlear nucleus already show correlates of the buildup of streaming. A
34 complex set of stations in the brainstem, including the nuclei of the superior olivary complex (which
35 are the first locus of binaural integration) and the nuclei of the lateral lemniscus (who are involved in
36 high-resolution encoding of stimulus onsets and in binaural processing) projects to the inferior
37 colliculus, the major midbrain auditory center (which doesn't have homologues in other sensory
38 systems). Brainstem connectivity is only partially displayed, to make the figure easier to read.
39 Collicular neurons project to the auditory station in the thalamus, the medial geniculate body, which
40 in turn projects to auditory cortex. Binaural interactions occur in the superior olive, but in addition
41 there are substantial connections between the ICs of both sides and between auditory cortical fields
42 on both sides of the brain (marked by thick black arrows). The inferior colliculus, medial geniculate
43 body and auditory cortex are complexes containing multiple subdivisions. Each has a 'core' division
44 (the central nucleus of the inferior colliculus, ICc, the ventral division of the medial geniculate body,
45 vMGB, and primary auditory cortex, all marked in dark blue). ICc projects heavily to vMGB which is
46 the major auditory input to primary auditory cortex, forming the core (or lemniscal) pathway. Many
47 neurons along the core pathway have short latency and narrow V-shaped tuning curves. Surrounding
48 the core subdivisions, the belt or non-lemniscal stations, include the external nuclei of the inferior
49 colliculus, the dorsal and medial divisions of the MGB, and some non-primary fields (marked in light
50 blue). Red arrows indicate stations in which strong SSA has been documented. These include
51 primarily the extralemniscal divisions of the IC and MGB (although weak forms of SSA may be found
52 in the core stations as well) and primary auditory cortex.

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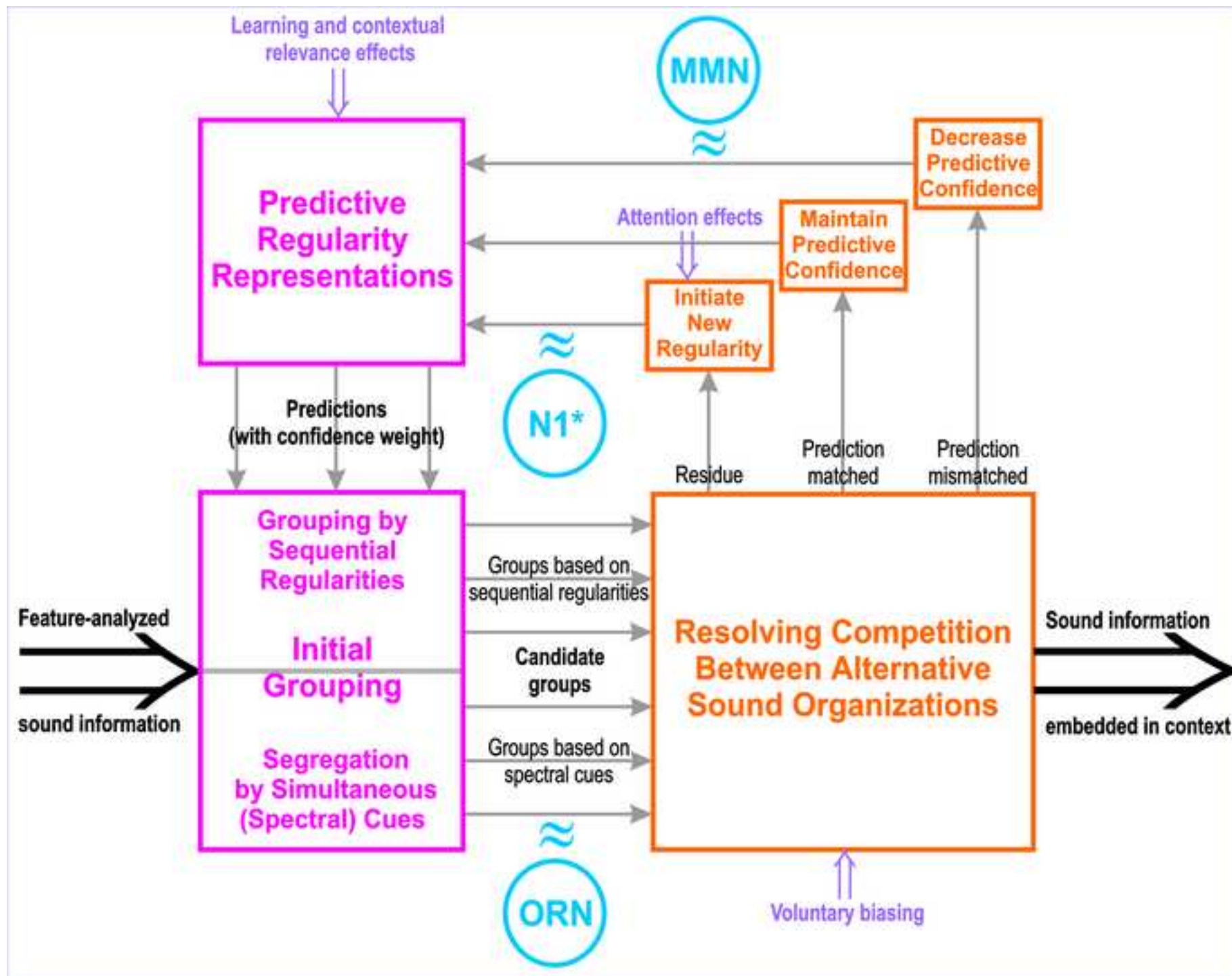


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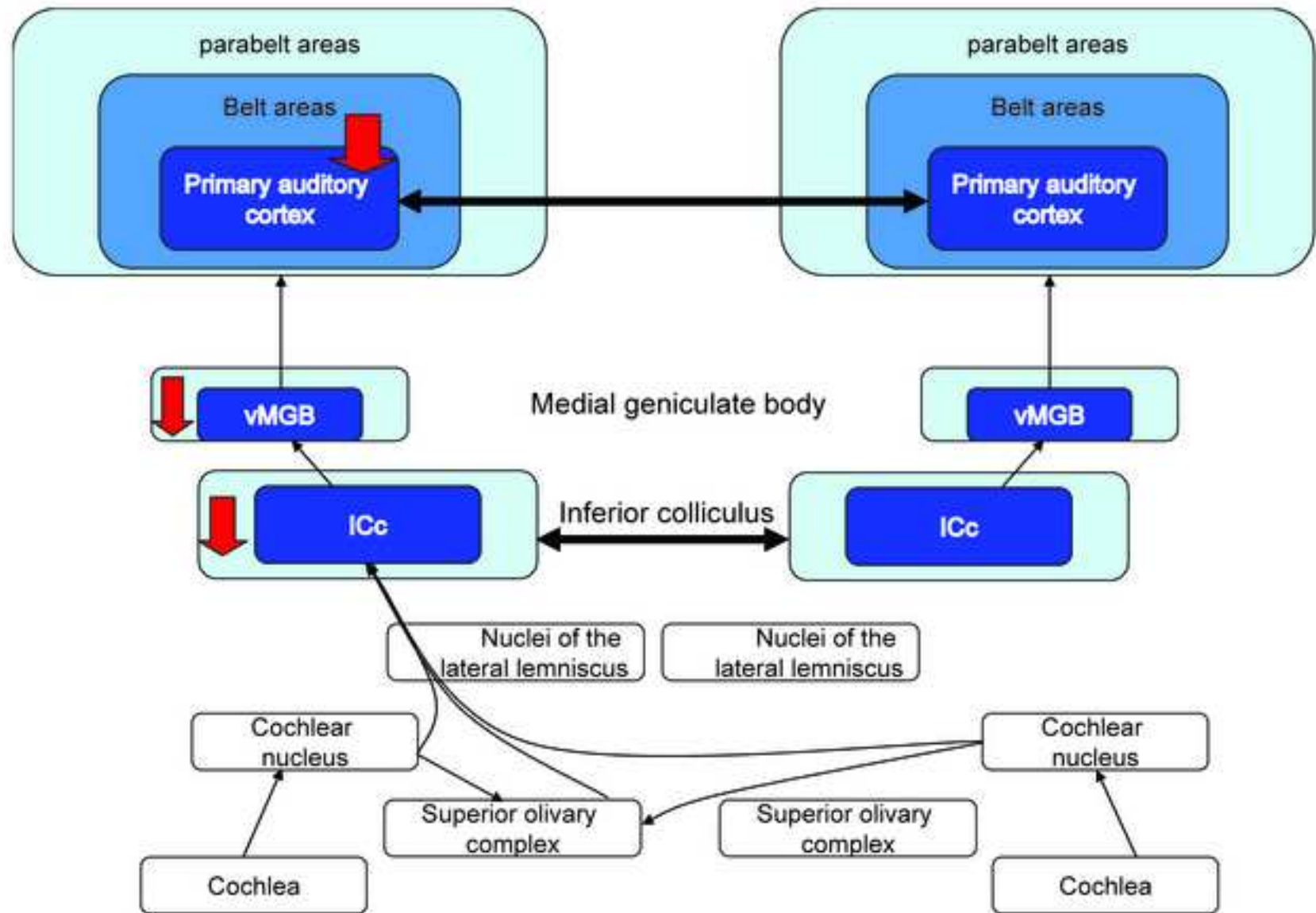


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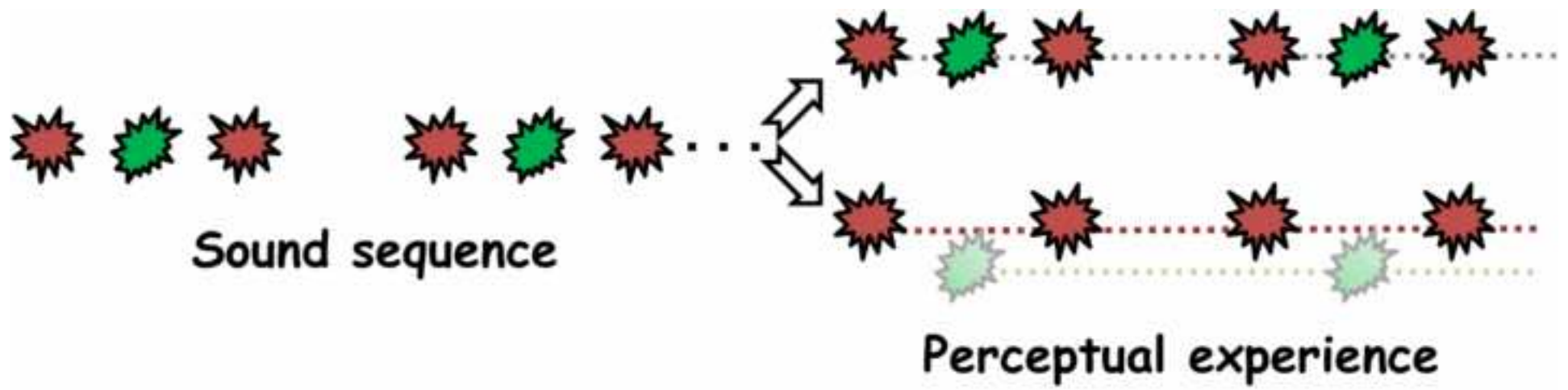


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