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### Remote monitoring of dynamic canopy photosynthesis with high time resolution lightinduced fluorescence transients

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# Remote monitoring of dynamic canopy photosynthesis with high time resolution light-induced fluorescence transients

#### Abstract

Understanding the net photosynthesis of plant canopies requires quantifying photosynthesis in challenging environments, principally due to the variable light intensities and qualities generated by sunlight interactions with clouds and surrounding foliage. The dynamics of sunflecks and rates of change in light intensity at the beginning and end of sustained light (SL) events makes photosynthetic measurements difficult, especially when dealing with less accessible parts of plant foliage. High time resolved photosynthetic monitoring from pulse amplitude modulated (PAM) fluorometers has limited applicability due to the invasive nature of frequently applied saturating flashes. An alternative approach used here provides remote (m), high time resolution (10 s), PAM equivalent but minimally invasive measurements of photosynthetic parameters. We assessed the efficacy of the QA flash protocol from the Light-Induced Fluorescence Transient (LIFT) technique for monitoring photosynthesis in mature outer canopy leaves of potted Persea americana Mill. cv. Haas (Avocado) trees in a semi-controlled environment and outdoors. Initially we established that LIFT measurements were leaf angle independent between ±40° from perpendicular and moreover, that estimates of 685 nm reflectance (R685) from leaves of similar chlorophyll content provide a species dependent, but reasonable proxy for incident light intensity. Photosynthetic responses during brief light events ( $\leq 10 \text{ min}$ ), and the initial stages of SL events, showed similar declines in the quantum yield of photosystem II ( $\Phi$ II) with large transient increases in 'constitutive loss processes' ( $\Phi NO$ ) prior to dissipation of excitation by nonphotochemical quenching ( $\Phi$ NPQ). Our results demonstrate the capacity of LIFT to monitor photosynthesis at a distance during highly dynamic light conditions that potentially may improve models of canopy photosynthesis and estimates of plant productivity. For example, generalized additive modelling performed on the 85 dynamic light events monitored identified negative relationships between light event length and  $\Delta \Phi$ II and  $\Delta$ electron transport rate using either  $\Delta$ photosynthetically active radiation or  $\Delta R685$  as indicators of leaf irradiance.

#### Disciplines

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1	Remote monitoring of dynamic canopy photosynthesis with high time resolution light-			
2	induced fluorescence transients			
3	Running Head: high resolution monitoring of photosynthesis with LIFT			
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22				

#### 23 ABSTRACT

Understanding the net photosynthesis of plant canopies requires quantifying photosynthesis 24 in challenging environments, principally due to the variable light intensities and qualities 25 26 generated by sunlight interactions with clouds and surrounding foliage. The dynamics of sunflecks and rates of change in light intensity at the beginning and end of sustained light 27 (SL) events makes photosynthetic measurements difficult, especially when dealing with less 28 29 accessible parts of plant foliage. High time resolved photosynthetic monitoring from pulse 30 amplitude modulated (PAM) fluorometers has limited applicability due to the invasive nature of frequently-applied saturating flashes. An alternative approach used here provides remote 31 (< 5 m), high time resolution (10 s), PAM equivalent but minimally-invasive measurements 32 33 of photosynthetic parameters. We assessed the efficacy of the QA flash protocol from the 34 Light-Induced Fluorescence Transient (LIFT) technique for monitoring photosynthesis in mature outer canopy leaves of potted avocado trees in a semi-controlled environment and 35 outdoors. Initially we established that LIFT measurements were leaf angle independent 36 37 between  $\pm 40^{\circ}$  from perpendicular and moreover, that estimates of 685 nm reflectance (R<sub>685</sub>) from leaves of similar chlorophyll content provide a species dependent, but reasonable proxy 38 for incident light intensity. Photosynthetic responses during brief light events ( $\leq 10 \text{ min}$ ), and 39 40 the initial stages of SL events (Fig. 6), showed similar declines in the quantum yield of PSII  $(\Phi_{II})$  with large transient increases in "constitutive loss processes"  $(\Phi_{NO})$  prior to dissipation 41 42 of excitation by non-photochemical quenching ( $\Phi_{NPO}$ ). Our results demonstrate the capacity of LIFT to monitor photosynthesis at a distance during highly dynamic light changes that 43 potentially may improve models of canopy photosynthesis and estimates of plant 44 productivity. For example, generalized additive modeling performed on the 85 dynamic light 45 events monitored here identified negative relationships between light event length and  $\Delta \Phi_{II}$ 46 and  $\Delta$ ETR using either  $\Delta$ PAR or  $\Delta$ R<sub>685</sub> as indicators of leaf irradiance. 47

#### 48 INTRODUCTION

The ability to model the total productivity of higher plants or even large-scale 49 ecosystems requires accounting for photosynthesis occurring in dynamic light conditions in 50 51 both direct light-exposed outer canopy leaves and in the shaded inner canopy foliage (Porcar-Castell et al. 2006; Niinemets 2007). These dynamic light conditions occur as light interacts 52 with passing clouds and foliage elements causing a dynamic patchwork of light intensities of 53 varying length. Variously, these effects can be referred to as sunflecks, sunpatches, 54 shadeflecks or cloudflecks, depending on the cause of light fluctuation and light quality, 55 56 either numbra or penumbra (Smith et al. 2013). These dynamic light events have been shown to provide a significant portion of photosynthetically active radiation (PAR) for carbon 57 fixation to understory plants (Pearcy 1990). However, accounting for the contribution of light 58 59 fluctuations to net photosynthesis has proven problematic due to: i) difficulty of accessing 60 canopy environments, ii) difficulties in measurement of leaf-level PAR and iii) insufficient temporal resolution of photosynthesis measuring instruments. (Nichol et al. 2012; Way et al. 61 62 2012; Osmond 2014).

Laser PAM instruments have mitigated canopy access to some extent (Flexas et al. 63 2000; Ounis et al. 2001; Flexas et al. 2002; Louis et al. 2005). However, this method is still 64 limited by the invasive nature of the saturating flash, and although sub-saturating PAM 65 66 protocols have recently been developed (Loriaux et al. 2013), no PAM instrument delivering 67 the non-intrusive sub-saturation flashes at a longer range (at least 1 m) is currently available. Current PAM methods for long-term monitoring, such as MONI-PAM, (Porcar-Castell et al. 68 2008) require fixing leaves into clips on heavy measuring heads, making it difficult to 69 70 maintain the natural orientation of the examined leaf and potentially causing leaf damage. Additionally, although MONI-PAM provides reliable measures of incident PAR for 71 72 estimation of photosynthetic electron transport rates (ETR), they are limited to measurement resolutions of >30 s to avoid intrusive effects of the saturating flash (Shen et al. 1996;
Apostol et al. 2001; Osmond et al. 2017).

LIFT instruments operated with the fast repetition rate (FRR) fluorescence excitation 75 76 and analysis protocols were originally developed and used for measurements of marine phytoplankton (Kolber et al. 1993). In its terrestrial implementation, LIFT utilizes either LED 77 or laser excitation sources for remote measurements of active chlorophyll fluorescence. The 78 first application of LIFT technology at the Biosphere 2 Laboratory was based on red laser 79 excitation and telescope optics, which induced and captured fluorescence at distances of up to 80 81 50 m (Ananyev et al. 2005). Corrected measurements of ETR from this LIFT prototype were shown to be highly comparable to those produced by PAM (Pieruschka et al. 2010). Since its 82 first application, the LIFT approach has been used to perform daily and seasonal monitoring 83 84 of various canopies, showing, for instance, photosynthetic changes with both light and temperature (Pieruschka et al. 2010) and generating maps of canopy photosynthetic 85 heterogeneity (Pieruschka et al. 2009; Nichol et al. 2012). Importantly, long-term monitoring 86 87 with time resolutions as high as 3 s has been demonstrated to be much less invasive than PAM, causing no detectable change in photosynthetic parameters during monitoring of leaves 88 in the dark (Osmond et al. 2017). 89

The FRR model, upon which LIFT measurements are based, provides not only PAM comparable conventional photosynthetic parameters, but also provides measurements of broad-band radiance, reflected from an interrogated leaf at 685 nm (R<sub>685</sub>), which potentially may be used as a proxy for leaf PAR. Leaf reflectance between 670 and 750 nm has been previously utilized during canopy laser PAM measurements for calculation of electron transport rates (ETR) and provided seasonal estimates similar to those calculated from MONI-PAM leaf PAR measurements (Ounis et al. 2001).

97 The original laser-based LIFT instrument operated at the Biosphere 2 Laboratory was not field portable (Ananyev et al. 2005). However, the current generation of LIFT 98 instruments, which rely on blue LED excitation, are field portable (15 kg) and utilize an eye-99 100 safe blue LED excitation for measuring photosynthesis at distances of up to 5 m (Osmond et 101 al. 2017; Wyber et al. 2017). When combined with advances in PAR sensor miniaturisation and the potential to use broadband leaf reflectance as an indicator of leaf PAR, the current 102 103 generation of LIFT instruments may provide an ideal solution for measuring in vivo leaf photosynthesis under dynamic light conditions at more informative temporal resolutions. 104 105 However, for successful application of LIFT technology to canopy measurements, the effects of varying leaf orientation with respect to the excitation beam needs to be understood and 106 107 quantified in order to correct for leaf angular changes during growth, and to produce 108 comparable measurements between differently oriented foliage. Moreover, the influence of 109 leaf type, plant species, and chlorophyll content need to be known for the use of  $R_{685}$  in robust remote determination of leaf PAR and calculation of ETR. 110

To our best knowledge, LIFT studies involving canopy measurements have so far 111 neglected the influences of leaf angular orientation and shadow propagation, and have 112 sometimes relied on top-of-canopy PAR measurements. Therefore, in this paper we aimed to 113 understand: i) the importance of leaf orientation on LIFT photosynthetic measurements, ii) 114 determine the potential of hemispherical-conical leaf reflectance (R<sub>685</sub>) sensed by LIFT to 115 116 approximate leaf PAR and iii) determine what changes in LIFT-measured photosynthetic parameters can be observed (and generalised) under dynamic light conditions. We then 117 examined the physiological and biochemical implications of photosynthetic changes under 118 119 dynamic light (cause by clouds and intermittent shadows cast by nearby foliage or building architecture) and used generalised additive modelling to identify generalised predictors which 120

may be applied to modelling photosynthesis under dynamic light conditions and in futureextended to sub-canopy environments.

123

#### 124 MATERIALS AND METHODS

#### 125 Plant material and environment

Measurements reported in this study were collected from three different avocado 126 plants (Persea americana Mill. cv. Haas) grown at the University of Wollongong (UOW), 127 Australia (34° 24' 17.5"S, 150° 52' 17.8"E). A 1.5 m plant, grown from seed in sunlight in a 128 temperature-controlled (30°C/18°C day/night) greenhouse of the Research School of Biology, 129 Australian National University, was re-potted into a 50 L pot using a commercially available 130 fruit and citrus soil mix (Osmocote Fruit & Citrus; Bella Vista, NSW Australia) and grown 131 for 18 months prior to measurements in a glass atrium in the School of Biological Sciences, 132 133 UOW. The atrium provided a maximum glass filtered sunlight intensity of ~700 µmol photons $\cdot m^{-2} \cdot s^{-1}$  with direct sunlight period limited to ~4 hours as a consequence of building 134 architecture. Atrium temperatures ranged between 15°C at night to 25°C during the day, with 135 natural direct and diffuse irradiance supplemented by ~60  $\mu$ mol photons·m<sup>-2</sup>·s<sup>-1</sup> of light from 136 fluorescent tubes for 8 hours as a consequence of building lighting. 137

Two additional plants were purchased from a commercial nursery and re-potted into 138 20 L pots using the same soil mix as for the atrium plant. Following re-potting these plants 139 were transferred to the UOW Ecology Research Centre (ERC) and grown outdoors 140 underneath a 50% black shade cloth enclosure for three months prior to measurements. The 141 shade-enclosure was open to the NW to provide protection against strong sunlight on cool 142 mornings but allowed for direct sunlight exposure ~4 hours after sunrise. Plants grown at the 143 ERC experienced a maximum light intensity of ~1200  $\mu$ mol photons  $\cdot m^{-2} \cdot s^{-1}$  with a direct 144 light period limited to ~10 h in summer (as a consequence of local geography and enclosure 145

architecture) and temperatures ranging from 15°C at night to 35°C during the day. All plants
were watered every other day with 4 litres of tap water.

#### 148 Instrument description and calibration

Active chlorophyll fluorescence was measured using a commercially available Light-149 Induced Fluorescence Transient instrument (LIFT; Soliense Inc, Shoreham, NY, USA; 150 http://www.soliense.com/LIFT\_Terrestrial.php). The LIFT instrument utilises low intensity 151 152 high frequency flashes (flashlets) of blue light (470 nm) to induce fluorescence changes in leaves at distances of < 5 m. The number of flashlets delivered to leaves can be modulated to 153 154 provide two different measurement protocols, designed to reduce QA and to observe the kinetics of electron transport (QA flash), or to fully reduce the PQ pool and provide PAM-155 analogous measurements (PQ flash) (Osmond et al 2017). Both of these protocols modulate 156 157 the frequency of flashlets in two main phases, a variable length saturation phase (flashlets applied at 50% duty cycle; termed SQA for QA flashes or SPQ for PQ flashes), and a 158 relaxation phase with an exponentially-decreasing duty cycle (termed RQA for QA flashes or 159 RPQ for PQ flashes)(Osmond et al. 2017). The whole fluorescence transient is then fitted 160 using the fast repetition rate (FRR) fluorescence model, which determines  $F_m Q_A$ ,  $F'_m Q_A$ ,  $F_o Q_A$ 161 and  $F'Q_A$  for  $Q_A$  flashes and  $F_mPQ$ ,  $F'_mPQ$ ,  $F_oPQ$  and F'PQ for PQ flashes (Kolber 2014; 162 Osmond et al. 2017). The QA flash protocol of the LIFT instrument consisted of an SQA 163 saturating sequence of 300 flashlets (1.6 µs pulses) applied at 2.5 µs interval and an RQA 164 165 phase consisting of 90 flashlets (1.6 µs pulses) with an exponential increase in the 20 µs interval described by an exponential term of 1.04. The PQ flash protocol consisted of an SQA 166 phase consisting of 6000 flashlets (1.6 µs pulses) with a 20 µs interval and an RQA phase 167 identical to the Q<sub>A</sub> flash protocol. 168

LIFT/FRR Q<sub>A</sub> measurements provide a non-invasive method to probe photosynthesis
 at informative time resolutions for monitoring photosynthesis during fluctuating light

(Osmond et al. 2017). However, as QA flashes are designed to only reduce the first electron 171 acceptor  $Q_A$  they underestimate PAM  $F_m$  and  $F'_m$  by ~10% (Osmond et al. 2017). To correct 172 for this underestimation, the PQ flash is utilized to provide a PAM-analogous reference  $F_m$ 173 and  $F'_m$  values for the correction of LIFT  $F_m Q_A$  and  $F'_m Q_A$  measurements (Osmond et al. 174 2017). To correct LIFT  $F_m Q_A$  and  $F'_m Q_A$  measurements to match those from PAM a white 175 light response curve (0 to 1000  $\mu$ mol photons $\cdot$ m<sup>-2</sup> $\cdot$ s<sup>-1</sup> in 50  $\mu$ mol increments) was performed 176 on six avocado leaves as described in Wyber et al. 2017. At each light intensity a LIFT QA 177 and PQ flash measurement were performed in quick succession (double flash; Osmond et al. 178 2017) and the linear regression equation between  $F_mQ_A$  or  $F'_mQ_A$  and the  $F_mPQ$  or  $F'_mPQ$ 179 measurements used to correct LIFT  $F_m Q_A$  or  $F'_m Q_A$  during leaf monitoring (supplementary 180 material Fig. S1). 181

#### 182 Effect of leaf angular orientation on LIFT/FRR measurements

Leaves of avocado (n = 6) were used to assess the effect of leaf orientation on 183 LIFT/FRR measurements. Avocado plants growing at the ERC and the School of Biological 184 Sciences atrium (n = 3; previously exposed to ~200  $\mu$ mol photons $\cdot$ m<sup>-2</sup> $\cdot$ s<sup>-1</sup> of diffuse morning 185 irradiance) were transferred to the laboratory and detached leaves (two from each plant) were 186 prepared immediately prior to measurements (~10 min). Leaves were prepared as described 187 in Takayama et al (2013). The leaf petiole was cut underwater and the detached leaf was 188 sealed in a water filled 1.5 mL microcentrifuge tube sealed using paraffin film. Gas exchange 189 190 and chlorophyll fluorescence imaging analyses revealed little change in photosynthesis in these leaves (Takayama et al. 2013), and in the present study there was no change in  $F_{\nu}/F_m$ 191 (measured by PAM) during 6 hours in the dark. Prepared leaves were then affixed to a 192 193 vertical panel positioned on a motorized tripod (Celestron Advanced VX; Celestron, Australia) at a distance of 1 m from the LIFT fore optics. Using the motorized tripod, the leaf 194 orientation was rotated from 0° (adaxial) to 180° (abaxial) in 10° increments, with six 195

replicate LIFT/FRR  $Q_A$  measurements performed for each leaf at each rotated angle. All measurements were performed under a low level of ambient light from a combination of sunlight and fluorescent tubes (~65 µmol·m<sup>-2</sup>·s<sup>-1</sup>) (Fig. 1).

#### 199 Leaf PAR approximation using reflectance at 685 nm

LIFT-detected R<sub>685</sub>, acquired between Q<sub>A</sub> flashes, was assessed as a potential proxy 200 for actual leaf PAR by investigating leaves of the following species: Alectryon subcinereus, 201 202 Eucalyptus globoidea, Lomandra longifolia, Acmena smithii, Asplenium nidus, Polyscias elegans, Ficus macrophylla, Mangifera indica and two groups of avocado leaves varying in 203 204 chlorophyll content. High (lower canopy) and low chlorophyll (upper canopy) avocado leaves were collected from different locations in the canopies of avocado plants growing at the ERC 205 206 (n = 4) and in the UOW atrium (n = 2). Leaves of all other plants (n = 3 per plant) were 207 sourced from plants growing under natural sunlight in minimally disturbed gardens on the 208 UOW campus. Leaves from these plants were randomly sampled from leaves within reach, from plants growing in different light environments. Ficus macrophylla and M. indica plants 209 were growing in shaded positions, A. smithii, A. nidus and P. elegans plants were growing 210 under mottled shade from surrounding foliage and E. globoidea and A. subcinereus plants 211 were found growing in full sun locations. White-light response curves were performed using 212 a quartz iodide lamp from a Rollei P355 automatic slide projector, with leaf PAR measured at 213 the leaf surface using a LS-C micro quantum light sensor (Walz, Effeltrich, Germany). Light 214 215 response curves were performed for the following 14 mean light intensities  $\pm$  SD from 0 to ~1000 µmol photons  $\cdot$  m<sup>-2</sup> ·s<sup>-1</sup>: 0.00 ± 0.00, 1.98 ± 0.27, 3.80 ± 0.60, 24.23 ± 3.42, 40.17 ± 216 8.72, 51.47  $\pm$  7.84, 52.84  $\pm$  19.08, 78.12  $\pm$  20.29, 85.88  $\pm$  11.23, 103.84  $\pm$  12.55, 200.59  $\pm$ 217 218  $25.30, 287.03 \pm 38.59, 598.42 \pm 46.46$  and  $1065.18 \pm 40.43$ . Light intensities were modulated by varying the distance and focus of the quartz iodide lamp from leaves, with the error in 219 light steps due to the manual adjustment of the light source focus and distance. During light 220

response curves each light step was maintained for 5 min with three replicate measurements of  $R_{685}$  at each light intensity. For each species separate light response curves were performed on three replicate detached leaves prepared as described above. All measurements were performed at a distance of 1 m, with the LIFT instrument positioned perpendicular to the leaf surface.

Total chlorophyll content of leaf replicates was assessed with a Soil-Plant Analysis Development 502 chlorophyll meter (SPAD, Spectrum Technologies Inc, USA). For the conversion of avocado SPAD measurements to chlorophyll content, a calibration curve was generated from avocado leaves varying in chlorophyll content using high-performance liquid chromatography (HPLC), as described by Pogson et al. (1996) (see supplementary material Fig. S2).

#### 232 In vivo LIFT/FRR photosynthetic measurements under dynamic light

All in vivo leaf measurements were performed on the adaxial surface of fully 233 expanded avocado leaves attached to plants and maintained in their natural orientation. LIFT 234 measurements were restricted to leaves  $\leq 1$  m from the LIFT fore optic (middle to lower 235 canopy leaves) to maintain a high temporal measurement resolution. While measurements at 236 longer distances are possible, these require greater averaging of fluorescence transients 237 decreasing the temporal measurement resolution. Additionally, of leaves within  $\leq 1$  m from 238 239 the LIFT fore optic, only those where an angle between  $\pm 40^{\circ}$  relative to the LIFT beam could 240 be achieved were selected for measurements. Measurements were made around the Southern Hemisphere summer equinox (October, November and December 2014) and (March then 241 October and December 2015) and involved monitoring of leaves over full diurnal cycles, 242 243 starting at 18:00 h the day prior and finishing at 06:00 after the following night (i.e. two nights and one day; n = 10 days). For all measurements the LIFT instrument was operated 244 with a  $10 \pm 1$  s time resolution, where each data point was the fitted average of six successive 245

QA fluorescence transients. Following sunset each night, reference PQ flash measurements 246 were performed every hour until sunrise, with the maximum  $F_mPQ$  serving as a dark-adapted, 247 PAM equivalent reference. Leaf PAR was recorded at the surface of all leaves every 10 s 248 using either one LS-C micro quantum light sensor (cosine corrected;  $\pm 30^{\circ}$ ) placed in the 249 centre of the LIFT measuring beam, or two sensors placed on either side of the measuring 250 beam and connected to a universal light meter (ULM-500; Walz, Effeltrich, Germany). For 251 252 leaf PAR measurements using two micro quantum light sensors, leaf PAR was taken as the average of both sensors. 253

#### 254 Data analysis

#### 255 Calculation of LIFT/FRR photosynthetic parameters

All photosynthetic parameters were calculated using the conventional approaches for fluorescence data collected using the PAM methodology. Data are marked by a postfix  $Q_A$  or PQ to denote the source of the fluorescence data from either the  $Q_A$  or PQ flash respectively, and with  $F_m$  and  $F'_m$  measurements with no postfix denoting the source of fluorescence data from  $Q_A$  flashes corrected to match those from PAM/PQ flash measurements. The maximum quantum yield of photosystem II was calculated as:

$$F_V/F_m = \frac{(F_m PQ - F_o PQ)}{F_m PQ}$$

263 for a leaf in the dark and the quantum yield of photosystem II as:

$$\phi_{\rm II} = \frac{(F'_m - F'Q_A)}{F'_m}$$

for a leaf in the light. Electron transport rate (ETR) was calculated using the formula ofGenty et al. (1989);

$$ETR = \phi_{II} \times PAR \times E \times \alpha$$

where PAR was the incident light intensity at the leaf surface measured by either one or two micro quantum light sensors. The energy partitioning between PSI and PSII (*E*) was taken as 269 0.5 (Maxwell et al. 2000), and the leaf absorbance ( $\alpha$ ) was measured as 0.856 ± 0.05 based 270 upon mean ± SD absorbance of six middle to lower canopy avocado leaves, representative of 271 those measured by LIFT (n = 2 ERC plant 1, n = 1 ERC plant 2 and n = 3 atrium), measured 272 in an integrating sphere as described by Björkman and Demmig (1987). Partitioning of the 273 fraction of absorbed excitation dissipated in non-photochemical quenching ( $\Phi_{NPQ}$ ) and 274 constitutive heat dissipation ( $\Phi_{NO}$ ) were calculated by adapting the formulae of 275 Hendrickson et al. (2004) and Klughammer et al. (2008):

276 
$$\phi_{\rm NPQ} = \frac{F'Q_A}{F'_m} - \frac{F'Q_A}{F_m PQ}, \text{ and}$$

277 
$$\phi_{\rm NO} = \left(\frac{F'Q_A}{F_m PQ}\right)$$

278 Note that  $\phi_{II} + \phi_{NPQ} + \phi_{NO} = 1$ 

#### 279 Data preparation and light fluctuation analysis

280 In vivo monitoring of leaves produced two different datasets with equal time resolutions (10 s: LIFT and leaf PAR), which were aligned in the software R (R Core Team 281 2013) by matching timestamps. Light fluctuations were manually identified; with the start of 282 each light fluctuation defined as a rapid increase in light greater than the slow diurnal 283 changes in the background illumination. The end of each light fluctuation was defined as the 284 285 point at which leaf PAR returned to within 5% of levels measured immediately before the start of the light event. The light fluctuation length and time since the last light fluctuation 286 were retrieved for each light event and their distribution was normalized by loge 287 288 transformation. Additionally, the initial, middle, maximum, difference ( $\Delta$ ), and the area under 289 curve (AUC) were retrieved for each light event, where  $\Delta$  was calculated as the middle value - the initial value (Fig. 2). Time of day was not examined due to differences in the light 290 291 exposure between the two plant measurement sites; in total, 85 light fluctuations were monitored. 292

Summary statistics for each light fluctuation were analysed using generalised additive 293 models (GAM). Generalised additive model analyses were performed in R using the 'gam' 294 package (Hastie et al. 1990), with separate GAM analyses run with initial, maximum, AUC 295 and  $\Delta$  values of  $\Phi_{II}$ ,  $\Phi_{NPO}$ ,  $\Phi_{NO}$  and ETR as response variables. For each response variable, all 296 combinations of light fluctuation length, time since last light fluctuation and location, initial, 297 maximum, AUC and  $\Delta$  values for leaf PAR, R<sub>685</sub>, and the initial values for  $\Phi_{II}$  and  $\Phi_{NPO}$  were 298 299 analysed as predictors. Initial values of  $\Phi_{NO}$  and ETR were excluded as predictors from GAMs due to co-dependency with  $\Phi_{NPO}$  and  $\Phi_{II}$  and leaf PAR, respectively. Additionally, 300 raw fluorescence measurements ( $F_m$ ,  $F'_m$ ,  $F_o$  and F') were excluded from analyses due to 301 dependency on distance from leaf to LIFT. For continuous predictor variables, a spline fit 302 with two knots was used to fit the data. Model selection for each response variable was based 303 304 upon the greatest deviance explained. The best models for each response variable were for the  $\Delta$  values for each response variable and the predictors; light event length, time since last 305 light event, location and either  $\Delta R_{685}$  or  $\Delta PAR$ . Given the strong co-dependency between 306  $\Delta$ PAR and  $\Delta$ ETR, both models are presented. 307

308 **RESULTS** 

#### 309 Effect of leaf angular orientation on LIFT/FRR measurements

Changes in leaf angle away from perpendicular to the LIFT measurement beam resulted in sharp decreases in raw fluorescence parameters (F',  $F_v$  and  $F'_m$ ) (Fig. 3A), with the same trend observed for both adaxial and abaxial leaf surfaces. In contrast, photosynthetic parameters based on ratios, such as  $\Phi_{II}$ , were found to be relatively insensitive to changes in leaf angle (Fig. 3B).  $\Phi_{II}$  measurements were found to be maintained at angles less than 40° for adaxial leaf surfaces. For abaxial leaf surfaces,  $\Phi_{II}$  slowly increases by ~20% at leaf angles from 90° to 180°.

#### 317 Leaf PAR approximation using reflectance at 685 nm

The possibility of using  $R_{685}$  as a proxy for leaf PAR was assessed using a series of light response curves (0 to 1000 µmol photons·m<sup>-2</sup>·s<sup>-1</sup>) on leaves varying in total chlorophyll content within and between species (Table 1).

LIFT R<sub>685</sub> measurements were linearly related to leaf PAR measured at the leaf 321 surface in all species ( $R^2 > 0.9$ ). However, the determined relationships were found to be both 322 species and chlorophyll content dependent (Fig. 4A, B and C). High chlorophyll (181.2  $\pm$ 323 1.5  $\mu$ g·cm<sup>-1</sup>) and low chlorophyll groups (36.5 ± 1.7  $\mu$ g·cm<sup>-1</sup>) of equal sized avocado leaves 324 provided two distinct linear relationships ( $R^2 > 0.9$ ) (Fig. 4C), with the low chlorophyll group 325 exhibiting a mean increase in  $R_{685}$  of 40  $\pm$  11% relative to the high chlorophyll group. 326 327 Overall, the plants formed three general linear trends: high reflectance (A. subcinereus, E. globoidea and L. longifolia), medium reflectance (A. smithii, A. nidus, P. americana [low 328 chlorophyll] and P. elegans) and low reflectance (F. macrophylla, M. indica and 329 P. americana [high chlorophyll]) (Fig. 4D). Mean R<sub>685</sub> measurements for the medium and 330 high reflectance groups correspond with increasing SPAD measurements (36.2  $\pm$  10.7 and 331 332  $48.4 \pm 3.7$ , respectively). This is, however, not the case of the low reflectance group which possessed the highest mean SPAD measurement (59.8  $\pm$  1.8). We attempted to use R<sub>685</sub> as an 333 indicator of leaf PAR for in vivo monitoring of light fluctuations, but the relationship 334 335 between R<sub>685</sub> and leaf PAR was found to vary throughout the day and also just before and after light fluctuations (Fig. 5). 336

#### 337 Changes in photosynthetic parameters during dynamic light fluctuations

The dynamic responses of photosynthetic parameters in outer canopy leaves of avocado were dependent on the frequency, duration, light intensity and time of day. Time of day was not examined in GAMs due to differences in light exposure between ERC and atrium light environments. However, differences with time of day were evident in ERC measurements, which will be examined here. Initially it was convenient to characterize these responses in the highly reproducible sunlight environment of the atrium in the School of Biological Sciences, UOW. Two sustained light events (SL; ~45 min) and four successive brief light events (BL; ~10 min) all of ~500  $\mu$ mol photons·m<sup>-2</sup>·s<sup>-1</sup> were superimposed on the background of a diffuse shade light (~50  $\mu$ mol·photons·m<sup>-2</sup>·s<sup>-1</sup>) growth environment (Fig. 6).

In the shade, little energy was directed to  $\Phi_{NO}$ , with ~70:30% partitioned between  $\Phi_{II}$ 347 and  $\Phi_{NO}$  (Fig. 6B). A ~10-fold increase in PAR over ~2 min (Fig. 6A) produced a transient 348 overshoot in ETR accompanied by redistribution in energy partitioning as ~50 % of  $\Phi_{II}$  was 349 dissipated by a two phase increase in  $\Phi_{NPO}$ . The latter was accompanied by a transient near 350 doubling in  $\Phi_{NO}$ . Photosynthetic ETR settled to a more noisy steady state (~65 µmol 351 electrons  $\cdot m^{-2} \cdot s^{-1}$ ) that responded to small perturbations in PAR (Fig. 6A). After the ~5 min 352 shade event (Fig. 6A) that saw rapid redistribution of energy from  $\Phi_{NPO}$  back to  $\Phi_{II}$ , the 353 second prolonged SL event resulted in a larger initial transient overshoot in ETR. 354 Interestingly,  $\Phi_{NPO}$  was immediately re-engaged to a similar steady state, with a smaller 355 356 transient increase in  $\Phi_{NO}$ . Partitioning to  $\Phi_{II}$  increased slowly as  $\Phi_{NPO}$  declined (Fig. 6B), with both events tracking a small decline in PAR (Fig. 6A). 357

Initial responses in the four subsequent BLs, all at approximately the same PAR as the above prolonged events, were qualitatively and quantitatively similar in terms of transients in the rate of ETR and return to steady state (Fig. 6A). Moreover, they were also similar with respect to the small transient in  $\Phi_{NO}$  as large changes in energy partitioning took place between  $\Phi_{II}$  and  $\Phi_{NPQ}$  (Fig. 6B). Interestingly, ETR increased by ~13% after three successive BLs as  $\Phi_{NPQ}$  declined. The passage of the last BL event saw ETR and energy partitioning between  $\Phi_{II}$ ,  $\Phi_{NPQ}$  and  $\Phi_{NO}$  return to initial levels within a few minutes.

Monitoring of photosynthetic parameters outdoors with LIFT/FRR further expanded 365 the above observations and it was possible to identify differing dynamic responses to 366 fluctuating light throughout the diurnal cycle (Fig. 7A). As in the atrium, shading from 367 368 structural elements of the plant enclosure generated a reproducible early morning pattern of seven oscillations in sunlight, but this time at low PAR (from ~50 to ~150 µmol photons m<sup>-</sup> 369  $^{2}$ ·s<sup>-1</sup> over ~70 min). The sudden increase in PAR from ~50 to 1200 µmol photons·m<sup>-2</sup>·s<sup>-1</sup>, due 370 to full sun exposure of previously shaded leaves, was accompanied by a brief initial transient 371 372 in ETR, settling to a steady state that was similar to the maximum levels attained in the early 373 low light oscillations. The transition to strong sunlight was also accompanied by a precipitous decline in energy partitioned to  $\Phi_{II}$  from about 75% to 10%. After an initial transient increase 374 in  $\Phi_{NO}$  more than half of the dissipation was due to  $\Phi_{NPO}$  (Fig. 7B). Dynamic decreases in 375 376 PAR, due to passing clouds, were reflected in these parameters that drifted slowly towards 377 the initial morning shade conditions as ETR increased with the afternoon decline in PAR.

After ~7 h of full sunlight (~1200 to 600  $\mu$ mol photons  $\cdot$  m<sup>-2</sup> · s<sup>-1</sup>), late afternoon natural 378 379 canopy shade provided ~40 min of highly stochastic BL events. The stronger late afternoon 380 natural shade BL events produced an approximately 5-fold increase in ETR which peaked at about twice the ETR in full sunlight (Fig. 7A). Data from the early morning and late 381 afternoon periods of dynamic PAR are expanded in Fig. 7C, 7D and 7E, 7F, respectively 382 (note that the ETR and PAR scaling on Fig. 7E and 7F is 3-fold greater than that on Fig. 7C 383 and 7D). The plants monitored outdoors showed a similar pattern of energy distribution from 384 06:00 to 07:00 h to that observed from the tree in the atrium at about the same PAR prior to 385 the first SL event (c.f., Fig. 6A and 6B). In contrast to the strong BL events in the atrium, low 386 PAR early morning oscillations produced relative small declines in  $\Phi_{II}$  that scarcely 387 perturbed  $\Phi_{NPO}$ . Clearly, under these conditions ETR proceeds with maximum efficiency 388 with minimal engagement of photoprotective energy dissipation. Stronger stochastic BL 389

events occurring in the late afternoon were of similar PAR to those monitored in the atrium. Although, under similar conditions of energy partitioning, there was a striking absence of the reciprocal relationship between  $\Phi_{II}$  and  $\Phi_{NPO}$  observed in the atrium (c.f., Fig. 7F and 6B).

## 393 Differentiating photosynthetic responses to sustained and brief light events of differing 394 PAR intensities

395 Monitoring of photosynthetic parameters with LIFT/FRR revealed a plethora of reproducible and reversible patterns in response to abrupt changes in sunlight that invited closer attention. 396 397 Before de-convolution of statistical relationships, it is helpful to examine differences in photosynthetic changes in response to light event length, either sustained light (SL; > 10 min) 398 or brief light (BL;  $\leq 10$  min), and light event intensity, either strong (max PAR  $\geq 500$  µmol 399 photons  $\cdot m^{-2} \cdot s^{-1}$ ) or weak (max PAR < 500 µmol photons  $\cdot m^{-2} \cdot s^{-1}$ ). Although, it should be 400 noted that these groups do not define the exclusive conditions under which the described 401 402 photosynthetic behaviours occur, but they describe rather generalised reactions that hold for 403 most leaves examined within each group.

Strong light, from both BL and SL events, produced photosynthetic changes dependent on the duration of the light event (Fig. 8). For a strong SL event outdoors (Fig. 8A, 8C), photosynthetic changes were quantitatively similar to that in Fig. 7A, 7B (and to that in the atrium; Fig. 6A, 6B) but with ~60% higher rates of ETR at ~900 µmol photons  $\cdot$ m<sup>-2</sup> ·s<sup>-1</sup> for ~90 min. Initial transient increase in the rate of ETR and  $\Phi_{NO}$  preceded changes in  $\Phi_{NPQ}$  by about 5 min (Fig. 8A, 8C), but otherwise changes in energy partitioning were also qualitatively similar those in the atrium.

In contrast, different photosynthetic responses were observed during strong BL events that were faster than the initial increases in the rates of ETR and  $\Phi_{NO}$  in SL events (Fig. 8B, 8D). For example, in a leaf that had previously been exposed to weak sunlight (~100 µmol

photons·m<sup>-2</sup>·s<sup>-1</sup>; Fig. 8B), a strong BL event (~1,000  $\mu$ mol photons·m<sup>-2</sup>·s<sup>-1</sup>; ~2 min.) 414 produced a markedly different energy partitioning dynamic. The short strong BL event 415 produced a decline in  $\Phi_{II}$ , which coincided with an equal drop in  $\Phi_{NPQ}$ , resulting in a much 416 amplified  $\Phi_{NO}$  transient. This photosynthetic response to a short strong BL event in a sun leaf 417 on a dull day appears to stimulate PSII energy dissipation processes in the same manner as 418 observed in the initial exposure to a strong SL event in the atrium (Fig. 6B). However, during 419 the midday BL event the duration of the light event is shorter than the time required for  $\Phi_{NPO}$ 420 engagement. 421

Sustained as well as brief sunlight exposures on another cloudy day are compared in 422 Fig. 9. The lower maximum PAR in both events (~220  $\mu$ mol photons  $\cdot$ m<sup>-2</sup> ·s<sup>-1</sup>) did not produce 423 large initial transients in ETR (Fig. 9A) and as expected, much lower rates of ETR were 424 achieved than in strong PAR events (~50 vs. 125  $\mu$ mol electrons  $\cdot$ m<sup>-2</sup> ·s<sup>-1</sup> c.f., Fig. 9A, 9B vs. 425 426 8A, 8B). However, the long (~25 min) weak sunlight event exposed protracted changes in energy partitioning similar to those in the short strong BL event monitored in another leaf a 427 428 month earlier (c.f., Fig. 8C and 8D). Notably, the 1 min BL event with a similar PAR at 429 midday did not elicit a change in  $\Phi_{NO}$  (cf., Fig 8D) and the small decline in  $\Phi_{II}$  was mirrored in a small increase in  $\Phi_{NPO}$ . 430

#### 431 Generalized additive model analyses

To identify generalized relationships between changes in photosynthetic parameters in response to light event properties, which might be useful for photosynthetic modelling, generalized additive models were created. Generalised additive models generated for each photosynthetic response variable consistently showed indicators of leaf irradiance ( $\Delta R_{685}$  and  $\Delta PAR$ ) as significant predictor variables ( $P \le 0.003^{**}$ ). Exceptions to this were  $\Delta ETR$  and  $\Phi_{NO}$  for models run with  $\Delta R_{685}$  (P = 0.266) and  $\Delta PAR$  (P = 0.065) respectively (Table 2).

The length of light events was found to be a significant predictor of  $\Delta \Phi_{II}$ ,  $\Delta \Phi_{NPO}$  and 438  $\Delta$ ETR when  $\Delta$ PAR was included in models (P < 0.001). In contrast, light event length was 439 found to be a significant predictor of only  $\Delta \Phi_{II}$  (P = 0.021) and  $\Delta ETR$  (P = 0.001) when 440  $\Delta R_{685}$  was included in models as an indicator of leaf irradiance. The time since last light event 441 was a significant predictor of  $\Delta \Phi_{\text{NPQ}}$  in models run using both indicator of leaf irradiance 442  $(\Delta R_{685}; P = 0.004 \text{ and } \Delta PAR; P = 0.002)$  and a significant predictor of  $\Delta \Phi_{II}$  (P = 0.045) and 443  $\Delta \Phi_{\rm NO}$  (P = 0.029) in models run with  $\Delta R_{685}$  and  $\Delta PAR$  respectively. Sample location (ERC 444 or atrium) was found to be a significant predictor of both  $\Delta \Phi_{\text{NPO}}$  ( $\Delta R_{685}$ ; P <0.001 and  $\Delta PAR$ ; 445 446 P = 0.04) and  $\Delta \Phi_{NO}$  ( $\Delta R_{685}$ ; P = 0.004 and  $\Delta PAR$ ; P = 0.028) in models with both  $\Delta R_{685}$  and  $\Delta PAR$  as predictors. 447

448 Partial response graphs of each response variable plotted against either  $\Delta PAR$  or  $\Delta R_{685}$  showed the same trends irrespective of using  $\Delta PAR$  or  $\Delta R_{685}$  as an indicator of leaf 449 450 irradiance, with the exception of ETR, which showed a positive relationship with increasing  $\Delta$ PAR and a flat relationship with increasing  $\Delta$ R<sub>685</sub> (see supplementary data Fig. S3 to S10). 451 The direction of relationships with indicators of leaf irradiance ( $\Delta PAR$  or  $\Delta R_{685}$ ) was as 452 expected for  $\Delta ETR$ ,  $\Delta \Phi_{II}$  and  $\Delta \Phi_{NPQ}$ . Positive relationships with increasing leaf irradiance 453 ( $\Delta$ PAR or  $\Delta$ R<sub>685</sub>) were identified for  $\Delta$ ETR and  $\Delta$  $\Phi$ <sub>NPO</sub>, while a negative relationship was 454 identified for  $\Delta \Phi_{II}$ . Positive relationships between  $\Delta \Phi_{NPQ}$  and leaf irradiance showed a 455 plateau with high levels of leaf irradiance. Interestingly,  $\Delta \Phi_{NO}$ , unlike all other parameters, 456 showed a flat relationship with low levels of leaf irradiance and a positive relationship with 457 high levels of leaf irradiance ( $\Delta PAR > 400 \ \mu mol \ photons \cdot m^{-2} \cdot s^{-1}$  and  $\Delta R_{685} > 500 \ AU$ ). 458 Additionally, negative relationships were identified between light event length and  $\Delta \Phi_{II}$  and 459  $\Delta$ ETR, and time since last light event and  $\Delta \Phi_{\text{NPO}}$  in models using either  $\Delta$ PAR or  $\Delta R_{685}$  as an 460 indicator of leaf irradiance. For models incorporating  $\Delta PAR$  as a predictor, a positive 461 relationship was also identified between light event length and  $\Delta \Phi_{\text{NPO}}$ . For sample location, 462

463 light fluctuations measured in the School of Biological Sciences atrium showed lower values 464 of  $\Delta \Phi_{NO}$  and higher values of  $\Delta \Phi_{NPQ}$  for both indicators of leaf irradiance than measurements 465 at the ERC.

466 **DISCUSSION** 

Remote non-invasive and high temporal resolution measurements of photosynthesis 467 are essential for quantifying photosynthesis under dynamic light conditions. Attempts to 468 remotely monitor photosynthesis in canopies with actively induced fluorescence approaches 469 470 have used either laser PAM (Flexas et al. 2000; Ounis et al. 2001; Flexas et al. 2002) or LIFT instruments (Ananyev et al. 2005; Pieruschka et al. 2009; Pieruschka et al. 2010; Pieruschka 471 et al. 2014). Although studies have investigated the effect of leaf shape, orientation and 472 473 arrangement on light interception (Cohen et al. 1987; Jordan et al. 1993), no study, to our best knowledge, has investigated the effect of leaf angularity on remote active fluorescence 474 measurements, nor a possible use of reflectance at 685 nm as a proxy of leaf PAR. We 475 476 addressed both of these issues and utilized LIFT technology for remote near-proximity 477 measurements of avocado leaf photosynthesis during SL and BL events in vivo.

#### 478 Effect of leaf angular orientation on LIFT/FRR measurements

Maintaining the natural orientation of leaves in canopies during measurements of 479 photosynthesis is important for correctly capturing the contribution of individual leaves to net 480 canopy photosynthesis. We found that LIFT raw fluorescence measurements (e.g. F',  $F'_m$ ) are 481 sensitive to leaf angle, while  $\Phi_{II}$  is relatively insensitive, except at very steep angles. The raw 482 483 fluorescence changes due to leaf angularity are probably related to elongation of the LIFT measurement beam, which consequently lowers excitation energies delivered to the leaf 484 surface and fluorescence returned to the sensor. Although leaf fluorescence emissions are 485 486 generally considered to be isotropically emitted from the leaf (Pinto et al. 2017), another

factor affecting the amplitude of the returned fluorescence signal is the possible nonuniformity of the angular distribution of the emitted fluorescence radiation. Irrespectively, in the case of  $\Phi_{II}$ , the decrease in both *F'* and *F'<sub>m</sub>* are corrected for by internal ratio of the calculations. Nevertheless, at steep leaf angles the fluorescence signal becomes very low, reducing the signal-to-noise ratio below a level required for reliable assessment of  $\Phi_{II}$  by LIFT/FRR.

Monitoring of photosynthesis in avocado leaves is aided by availability of large mature 493 leaves, which often hang perpendicularly relative to the LIFT measuring beam. However, it 494 might be impossible to ensure that leaves are in optimal angular positions and that 495 496 measurements are collected from the adaxial surface in canopies, where leaves are held in planophile (prevailingly horizontal) angular positions. In accordance with the results from 497 PAM measurement (Schreiber et al. 1977; Schreiber et al. 1996), our LIFT measurements of 498 499 the abaxial leaf surface demonstrated a slight underestimation of  $\Phi_{II}$ . However, for photosynthetic monitoring of planophile leaves it is not currently known how light intensity 500 501 changes at the leaf adaxial side affect photosynthetic measurements conducted on the abaxial 502 leaf side. Moreover, rapid leaf movement driven by wind still presents a considerable challenge to modelling and measurements (Burgess et al. 2016) both in terms of the 503 frequency needed to capture rapidly changing PAR (Roden et al. 1993) and the observational 504 uncertainties due to large variations in leaf angle. 505

#### 506 Leaf PAR approximation using reflectance at 685 nm

507 Although accurate estimates of leaf PAR are essential for deriving the actual ETR 508 (Genty et al. 1989), acquisition of leaf PAR measurements in canopy environments with 509 traditional PAR sensors is difficult unless the geometries of both sensor and leaf are 510 constrained. We employed two different sensor arrangements for measurements of leaf PAR,

511 both of which presented challenging problems. The use of a single PAR sensor placed in the centre of the LIFT measurement beam resulted in underestimation of ETRs during the start of 512 light fluctuations, when illumination was first recorded by a portion of the LIFT measurement 513 beam and only later by the PAR sensor. This issue was addressed by using two PAR sensors 514 515 placed on either side of the LIFT measurement beam. This allowed the averaging of PAR from both sensors, which compensated the underestimation of ETR during the start of light 516 517 fluctuations. However, we observed several cases where light fluctuations travelled over only a single sensor and where averaging of the two PAR sensors consequently did not match the 518 expected changes in photosynthetic parameters. In these cases, the change in  $R_{685}$  may 519 actually better represent changes in photosynthesis. This problem highlights the need for a 520 reliable method of estimating leaf PAR remotely and within an equally sized measurement 521 522 footprint.

523 As previously shown by Ounis et al. (2001), broad band red leaf reflectance is strongly correlated with leaf PAR. However, our results show that the gradients of these 524 525 relationships are species dependent and strongly influenced by chlorophyll content and the 526 structure of foliar tissues. We found species dependent relationships could be generalised into three different relationships (high, medium and low reflectance), which may be potentially 527 related to the plant growth environment. Leaves collected from plants naturally growing on 528 the UOW campus were found under different light environments, broadly correlating with the 529 three generalised reflectance trends. High reflectance trend plants were collected from full 530 sun exposed conditions, medium reflectance trend plants were found under partially exposed 531 conditions and low reflectance leaves were collected from the shaded canopies of a large fig 532 and mango tree. The different gradients in these three generalised trends may be partially 533 explained by the strong absorbance of 685 nm light by chlorophylls, which is evident in 534 differences between high and low chlorophyll avocado leaves and partially in leaf SPAD 535

536 measurements. Furthermore it is likely that scattering by species-specific internal leaf 537 structures and reflection by cuticle properties also influence the gradients of these 538 relationships.

Our laboratory light response curves showed strong correlations between R<sub>685</sub> and leaf 539 PAR, however, the relationship between PAR and R<sub>685</sub> measured in the field varied before 540 and after light fluctuations, and also over the course of a diurnal cycle. These variations 541 might be driven by changes in the spectral composition of combined direct and indirect solar 542 irradiation during a diurnal cycle, and multi-angular anisotropy of leaf reflectance, i.e. 543 variations in specular and diffuse leaf reflectance depending on actual solar altitude and 544 545 zenith. These effects on reflected light estimates of leaf PAR were recognized by Ounis et al. 546 (2001). However, our measurements show that more work is needed to assess these factors in order to accurately approximate absolute PAR values from leaf R<sub>685</sub> in canopy environments. 547

To allow for the use of  $R_{685}$  as a proxy for leaf PAR, leaf biochemical and physical properties may potentially be retrieved from spectral measurements using leaf radiative transfer models such as PROSPECT (Malenovský et al. 2006), while changes in solar spectral composition and variations in direct and diffuse irradiance can be modelled for exposed outer canopy leaves (Emde et al. 2016). However, accounting for changes in the spectral quality and intensity of light within inner canopies may prove to be too complex, making use of  $R_{685}$  as a proxy of leaf PAR in the inner canopy unfeasible.

#### 555 Changes in photosynthetic parameters during dynamic light fluctuations

556 Our results demonstrate the applicability of the high frequency LIFT protocol for 557 chlorophyll fluorescence based measurements of photosynthesis during BL and SL events in 558 avocado leaves, complementing the application of this technique to the ground truthing of 559 solar induced fluorescence (Wyber et al. 2017). The time resolution of such measurements achieved here with LIFT/FRR is ~2 orders of magnitude faster than that achieved to Adams et al. (1999) in studies of changes in xanthophyll cycle-dependent energy dissipation in two vines growing in the understorey of an open Eucalyptus forest with PAM. Like these authors, we sought to partition energy from absorbed PAR into three component processes; photochemical quenching ( $\Phi_{II}$ ), non-photochemical quenching ( $\Phi_{NPQ}$ ) and still poorly specified constitutive losses ( $\Phi_{NO}$ ), all monitored by the small fraction of excitation emitted as fluorescence (Hendrickson et al. 2004; Kramer et al. 2004).

Our measurements with LIFT/FRR during a rapid increase in PAR confirm that induction of 567 ETR and decline in  $\Phi_{II}$  is faster than increase in  $\Phi_{NPO}$ , and because  $\Phi_{II} + \Phi_{NPO} + \Phi_{NO} = 1$ , 568 569 results in strong transients in  $\Phi_{NO}$  in the first 10 min (Fig. 6). The plethora of "constitutive" loss processes" embraced by  $\Phi_{NO}$  is rapidly reversible and is mitigated in SL (and in repeated 570 BL events) by induction of  $\Phi_{\text{NPO}}$  (Fig. 8C and Fig. 7E, F respectively). While changes in 571 electron transfer happen very rapidly over seconds, ApH-dependent NPQ, linked with the 572 enzymatic changes in xanthophyll and lutein pigment cycles, occurs over minutes to hours 573 574 (García-Plazaola et al. 2007; Demmig-Adams et al. 2012). The transient in  $\Phi_{NO}$  and ETR occurred over ~10 min and likely corresponds to the slow induction of  $\Delta pH$ -dependent NPQ 575 (Krause et al. 1991; Adams et al. 1999; Maxwell et al. 2000; Müller et al. 2001; Demmig-576 577 Adams et al. 2012; Jia et al. 2013). It is important to note that SL events at high PAR produce high  $\Phi_{\text{NPO}}$ , presumably associated with de-epoxidation of violaxanthin and lutein epoxide, 578 leading to accumulation of zeaxanthin and lutein in avocado leaves (Matsubara et al. 2005; 579 García-Plazaola et al. 2007; Jia et al. 2013). Although  $\Phi_{\text{NPO}}$  declines in the afternoon, it is 580 about twice morning levels, and much stronger BL events are not associated with the 581 transients in  $\Phi_{NO}$  observed in the morning (Figs. 7E, F). Clearly, ~6 h prior exposure to an 582 average of >800  $\mu$ mol photons $\cdot$ m<sup>-2</sup> $\cdot$ s<sup>-1</sup> sunlight had effectively damped energy partitioning 583 584 processes.

585 Complementary declines in  $\Phi_{II}$  and increases in  $\Phi_{NO}$  with little engagement of NPQ were apparent during weak morning BL events (Fig. 7C, D). An unexpected decline in  $\Phi_{NPO}$ 586 associated with strong transient increases in ETR and  $\Phi_{NO}$  was observed in short strong BL 587 events in leaves acclimated at > 50  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup> (Fig. 8D), as well as in low PAR SL 588 events on cloudy days (Fig. 9C). This decrease in  $\Phi_{\text{NPO}}$  may reflect the sensitivity of the LIFT 589 assay in which the ultra-fast probing of PSII by blue light may maintain a low level of steady 590 state NPQ. Increases in light from a weak SL or BL event may then potentially increase the 591 PSI oxidizing potential causing NPQ to drop. However, further investigation of the 592 593 mechanisms underpinning these photosynthetic responses is required to confirm this hypothesis. 594

#### 595 Generalized additive model analyses

Generalized additive models were run for each photosynthetic parameter to 596 understand the importance of various components of light fluctuations on different 597 photosynthetic processes. We found that more complex models, which also incorporated the 598 pre-light fluctuation states of photosynthetic parameters, showed no improvement over 599 600 simpler models. This suggests that when analysed without respect to the light fluctuation time 601 of day or sequential order, that the pre-light fluctuation states of photosynthetic parameters have insignificant influence on photosynthetic changes during the light event. The priming of 602 603 leaves by an initial SF has already been well documented (Way et al. 2012) and although it was not evident in the initial states of photosynthetic parameters, we did observe a priming 604 effect of the first SL event, each day, in atrium leaves. This priming was evident in a lower 605 606 initial ETR and higher  $\Phi_{NO}$  than in a following SL event of equal intensity and duration (Fig. 607 6A, 6B), which occurred, presumably, because higher ETR capacity had been induced but was not expressed in the first SL event. It is likely that this priming effect may be captured in 608 statistical analyses where light fluctuations are examined with respect to time of day and 609

610 sequential order. Additionally, the significance of time since last light event in GAM analyses 611 can be seen in the decrease in  $\Phi_{NPQ}$  during closely spaced BL events (Fig. 6B).

Sample location proved to be a significant predictor of  $\Delta \Phi_{NPQ}$  and  $\Delta \Phi_{NO}$ , with both  $\Delta PAR$  and  $\Delta R_{685}$  included as predictors. In both cases, light fluctuations in leaves grown in the atrium had higher levels of  $\Delta \Phi_{NPQ}$  and lower  $\Delta \Phi_{NO}$ . In general, light fluctuations in the atrium reached a maximum PAR of ~700 µmol photons·m<sup>-2</sup>·s<sup>-1</sup> in contrast to 1200 µmol photons·m<sup>-2</sup>·s<sup>-1</sup> reached during light events at the ERC. This indicates that for the same  $\Delta PAR$ , higher  $\Delta \Phi_{NPQ}$  and lower  $\Delta \Phi_{NO}$  were achieved for leaves in the atrium. This is likely a result of differences in leaf age/leaf acclimation.

The direction of changes in  $\Delta \Phi_{II}$ ,  $\Delta \Phi_{NPQ}$  and  $\Delta ETR$  matched the expected changes in  $\Phi_{II}$ ,  $\Phi_{NPQ}$  and  $\Delta ETR$  under increasing light. The strong relationship between ETR and PAR was expected, given their co-dependency, but the insignificance of the relationship between  $R_{685}$  and  $\Delta ETR$  suggests  $R_{685}$ , at least in the case of  $\Delta ETR$  prediction, may be a poor proxy for leaf irradiance compared with on-the-leaf PAR measurements under dynamic light conditions.

The results of GAM analyses identified highly significant relationships between 625 photosynthetic measurements and light fluctuation properties that may be useful for 626 modelling photosynthesis in dynamic outer canopy light environments. However, these trends 627 represent those from young (~2 year old) re-potted avocado plants, which may have had 628 629 some degree of pot binding. Both leaf age and pot binding have been shown to influence leaf photosynthetic responses (Poorter et al. 2012). Old deep shade leaves in established orchard 630 trees have been shown to have lower ETRs and NPQ (Matsubara et al. 2012), while pot 631 632 binding has been shown to limit leaf photosynthetic rates, through restricted root biomass in pot bound plants (Poorter et al. 2012). Moreover, while ETR is commonly calculated with the 633 assumption of equal energy partitioning between PSII and PSI (E = 0.5), measurements of 634

sunflecks and other light fluctuations in inner canopies, where far-red enriched diffuse light is
punctuated by specular sunlight, likely represents a situation where the assumption of equal
energy partitioning does not hold. As such, the deployment of LIFT for monitoring of
dynamic light fluctuations in established orchard trees, and the measurement of *E* during
dynamic light fluctuations is required to determine if the generalised trends identified from
GAM analysis are found in established older plants.

#### 641 Conclusion

The ability to effectively monitor light fluctuations in canopies is essential for 642 understanding photosynthetic regulation during SL and BL events in different canopy layers 643 and for modelling the total productivity of plants (Porcar-Castell et al. 2006). This study 644 645 showed that LIFT can be usefully deployed outdoors to perform high time resolved measurements of photosynthesis in outer canopy leaves in their natural orientation. LIFT was 646 capable of providing measurements of  $\Phi_{II}$  that are relatively insensitive to changes in leaf 647 648 angular position and to resolve effects of SL and BL events on leaf photosynthesis. It also 649 showed the potential of leaf reflectance at 685 nm to be used as an indicator of leaf PAR under conditions of fixed leaf chlorophyll and light quality. For modelling photosynthesis in 650 canopies, statistically significant relationships between light event properties and 651 photosynthetic parameter responses were identified from potted avocado plants. 652

The availability of programmable LED arrays for dynamic light environments in the laboratory (e.g., Alter et al. 2012) and advances in modelling interactions between plant architecture and dynamic light environments (e.g., Burgess et al. 2016) undoubtedly will accelerate our understanding of these processes in future. The time resolution of the automated remote monitoring of chlorophyll fluorescence with LIFT/FRR is approaching that achieved decades ago in dynamic light response studies in fixed gas exchange systems. With the use of currently available miniature light sensors and the ability to automate leaf measurements using a motorized tripod, it now is possible to monitor canopy photosynthesis in mature orchards with precision. Such studies will be the subject of subsequent reports and potentially will support improved models of canopy photosynthesis and estimates of plant productivity at larger spatial scales.

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- 676 CONFLICT OF INTEREST
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The authors declare no conflict of interest.

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Fig. 1. LIFT leaf angle measurement setup viewed from a nadir perspective. The blue broken arrow indicates the
measurement beam of the LIFT, perpendicular to the tripod mounted leaf and sample holder. The solid black
line indicates the rotation direction of the leaf and sample holder, where measurements from 0° to 80° indicate
measurements from the leaf adaxial surface and measurements at 100° to 180° indicate measurements from the
leaf abaxial surface.



Fig. 2. Leaf photosynthetically active radiation (PAR) measured during two successive light fluctuations. Figure illustrates the parameters retrieved for each light fluctuation for generalized additive model analysis, where AUC = the area under PAR intensity curve for a given light fluctuation and initial, maximum and mid refer to the PAR immediately prior to the light fluctuation, the maximum achieved PAR during a light fluctuation and the PAR half way through the light fluctuation respectively.  $\Delta$ PAR refers to the PAR change in during a light fluctuation as the difference between the initial and the mid light fluctuation PAR. For generalized additive model analysis the same parameters were retrieved for each measured parameter during each light fluctuation.

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Fig. 3. Relationship between avocado leaf adaxial and abaxial LIFT/FRR measurements and changes in leaf angle. Measurements were performed on avocado leaves (n = 6) positioned 1.0 m from the LIFT instrument. Leaves were rotated 180° degrees relative to the LIFT measuring beam in 10° increments using a motorized tripod, where replicate LIFT measurements were taken for each angle (n = 6). The leaf angle changes in each measured parameter were normalised to the maximum to allow direct comparison. Panel A shows raw fluorescence parameters and panel B shows  $\Phi_{II}$ . All measurements are means ± SD.

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Table 1. Plant species and mean SPAD values  $\pm$  SD (n = 3) used to assess LIFT-detected R<sub>685</sub> as a proxy for leaf PAR. Leaves were collected from naturally growing plants on the University of Wollongong campus. SPAD measurements were used to control for chlorophyll content between species replicates. Samples are grouped based on the measured intensity of R<sub>685</sub>, where underlined SPAD / chlorophyll contents (Chl) represent the mean  $\pm$  SD of all measurements within each group.

Species scientific name	Common name	SPAD / total Chl (µg.cm <sup>-1</sup> )
High reflectance at 685 nm		$48.4 \pm 3.7$
Alectryon subcinereus	(Native Quince)	$47.4\pm3.3$
Eucalyptus globoidea	(White stringy bark)	$50.9\pm4.9$
Lomandra longifolia	(Spiny-head mat-rush)	$46.9\pm3.0$
Medium reflectance at 685 nm		<u>36.2 ± 10.7</u>
Acmena smithii	(Lilli Pilly)	$28.4\pm2.0$
Asplenium nidus	(Bird's-nest fern)	$33.0\pm1.1$
Persea americana	(Avocado) low chlorophyll	$30.3 \pm 2.0 \: / \: 36.5 \pm 1.7$
Polyscias elegans	(Celery wood)	$53.2\pm5.2$
Low reflectance at 685 nm		$\underline{59.8\pm1.8}$
Ficus macrophylla	(Fig tree)	$61.5\pm2.2$
Mangifera indica	(Mango)	$59.2 \pm 1.6$
Persea americana	(Avocado) high chlorophyll	$58.5 \pm 1.5 / 181.2 \pm 1.5$





876 Fig. 4. Relationships between leaf-level PAR and LIFT measured reflected light at 685 nm (R<sub>685</sub>) for leaves of 8 877 different plant species. Light response curves were performed on detached leaves with the LIFT instrument at a 878 fixed distance of 1 m and measuring beam perpendicular to the leaf surface. All measurements are means (n = 3)879  $\pm$  SD with linear fits. Individual relationships derived from triplicate leaf measurements of each species are 880 shown in panel A, B and C. In panel D, species relationships have been plotted as generalised trends for low 881 reflectance leaves (P. americana [High chl], F. macrophylla and M. indica), medium reflectance leaves 882 (A. nidus, A. smithii, P. elegans and P. americana [low chl]) and high reflectance leaves (A. subcinereus, 883 L. longifolia and E. globoidea).



885 Fig. 5. Relationship between leaf PAR and R<sub>685</sub> measured during a single day on an exposed outer canopy 886 avocado leaf from a plant grown indoors in a glass atrium. During cloud free days the structural beams in the 887 roof of the atrium cast regularly spaced shadows inducing two sustained light events (SL; ~45 min) and four 888 brief light events (~10 min). Panel A shows changes in R<sub>685</sub> (dotted line) and leaf PAR (solid line) over a full 889 diurnal cycle and panel B shows changes between 10:00 and 14:00 on the same day (red box in panel A). Panel 890 C shows the relationships for two sustained light events and a brief light event (SL1, SL2, BL1; red bars in 891 panel B), where solid symbols show relationships during the initial light event PAR increase (<sup>↑</sup>) and empty 892 symbols during the subsequent light event PAR decrease  $(\downarrow)$ .



Time of day 8<sup>th</sup> December 2014 (24 hour time)

Fig. 6. Photosynthetic changes in an outer canopy avocado leaf to dynamic changes in sunlight intensity in a glass atrium. On cloud free days structural roof beams cast regularly spaced shadows (grey bars) creating two sustained light events (~45 min) and four brief light events (~10 min) of comparable light intensity. Panel A, incident PAR and ETR estimated from a micro quantum light sensor and LIFT/FRR measurements of chlorophyll fluorescence monitored at 10 s intervals. Panel B, energy partitioning between three component photosynthetic processes.



901 Fig. 7. Photosynthetic changes in response to dynamic sunlight fluctuations in an outer canopy leaf of an 902 avocado plant outdoors at the ERC at different times of the day. Morning light fluctuations are due to shadows 903 from the shade house framework before sudden exposure to direct sunlight, while evening light fluctuations are 904 due to natural shade from adjacent vegetation. Panel A, incident PAR and ETR at measured at 10 s intervals, 905 panel B, energy partitioning between three component photosynthetic processes. Data from early morning and 906 late afternoon brief light events are shown at expanded scales in panels C, D and E, F respectively (red boxes 907 of panels A and B; N. B. the scale of the latter is three times larger than the former).





909 Fig. 8. Photosynthetic parameters during a midday strong sustained light event (A and C) and a midday brief
910 light event (B and D) in two different leaves on an avocado plant grown in a shade house at the ERC and
911 monitored by LIFT/FRR with PAR collected at 10 s intervals.



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913 Fig. 9. Photosynthetic parameters during a morning weak sustained light event (A and C) and a midday brief
914 light event (B and D) in a leaf of a sun grown avocado plant at the ERC monitored by LIFT/FRR with PAR
915 collected at 10 s intervals.

916Table 2. Results of general additive models created for the  $\Delta$  values of photosynthetic parameters measured917during 85 dynamic light fluctuations on middle to lower avocado leaves using the LIFT instrument. Models918have been run for the  $\Delta$  value of each measured response variable and the predictor variables: sustained light or919brief light event length (SL/BL length), time since last sustained light or brief light event (time since last920SL/BL), sample location and either  $\Delta R_{685}$  (top) or  $\Delta PAR$  (bottom). For each model the deviance explained is921given in brackets (dev explained). P values are given for each predictor variable, where significant vectors are922marked by \*\*\* = P < 0.001, \*\* = P ≥ 0.001 & P < 0.01 and \* = P ≥ 0.01 & ≤ 0.05.</th>

-			Predictor	
	$\Delta R_{685}$	Ln(SL/BL length)	Ln (time since last SL/BL)	Sample location
Response (dev explained)				
$\Delta \phi_{\mathrm{II}} (0.703)$	<0.001***	0.021*	0.045*	0.109
$\Delta \phi_{\rm NPQ} (0.576)$	<0.001***	0.215	0.004**	<0.001***
$\Delta \phi_{\rm NO} (0.353)$	0.003**	0.668	0.092	0.004**
ΔETR (0.375)	0.266	< 0.001***	0.144	0.229
	ΔPAR	Ln(SL/BL length)	Ln (time since last SL/BL)	Sample location
$\Delta \phi_{\mathrm{II}} (0.503)$	<0.001***	<0.001***	0.077	0.546
$\Delta \phi_{\rm NPQ} (0.524)$	<0.001***	<0.001***	0.002**	0.04*
$\Delta\phi_{\rm NO}$ (0.461)	0.065	0.094	0.029*	0.028*
ΔETR (0.726)	<0.001***	< 0.001***	0.376	0.331