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**Transcriptomic and CRISPR/Cas9 technologies reveal FOXA2 as a tumor suppressor gene
in pancreatic cancer.**

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Short title: FOXA2 as a tumor suppressor in pancreatic cancer

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28

29 **Abstract**

30 Pancreatic ductal adenocarcinoma (PDAC) is a very aggressive cancer, with low survival rates and
31 limited therapeutic options. Thus, the elucidation of signaling pathways involved in PDAC pathogenesis
32 is essential to identify novel potential therapeutic gene targets. Here, we used a systems approach by
33 integrating gene and microRNA profiling analyses together with CRISPR/Cas9 technology, to identify
34 novel transcription factors involved in PDAC pathogenesis. FOXA2 transcription factor was found to be
35 significantly down-regulated in PDAC relative to control pancreatic tissues. Functional experiments
36 revealed that FOXA2 has a tumor suppressor function through inhibition of pancreatic cancer
37 cell growth, migration, invasion and colony formation. *In situ* hybridization analysis revealed
38 miR-199a significantly upregulated in pancreatic cancer. Bioinformatics and luciferase analyses
39 showed that miR-199a negatively regulates directly FOXA2 expression, through binding in its 3'
40 untranslated region (UTR). Evaluation of the functional importance of miR-199 on pancreatic
41 cancer revealed that miR-199 acts as an inhibitor of FOXA2 expression, inducing an increase in
42 pancreatic cancer cell proliferation, migration and invasion. Additionally, gene ontology and
43 network analyses in PANC-1 cells treated with an siRNA against FOXA2 revealed an
44 enrichment for cell invasion mechanisms through PLAUR and ERK activation. FOXA2 deletion
45 (FOXA2 Δ) by using two CRISPR/Cas9 vectors in PANC-1 cells, induced tumor growth *in vivo*,
46 resulting in up-regulation of PLAUR and ERK pathways in FOXA2 Δ xenograft tumors. Taken
47 together, we have identified FOXA2 as a novel tumor suppressor in pancreatic cancer, regulated
48 directly by miR-199a, enhancing our understanding on how microRNAs interplay with the
49 transcription factors to affect pancreatic oncogenesis.

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52 **Keywords:** FOXA2, miR-199a, CRISPR/Cas9, pancreatic cancer

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54

55 **Introduction**

56

57 Pancreatic ductal adenocarcinoma (PDAC) accounts for >85% of all the pancreatic cancer cases.
58 For all stages combined, the 1- and 5-year relative survival rates are 28% and 7%, respectively.
59 More than half of patients (53%) are diagnosed at a late stage, where the 1- and 5-year survival
60 rates reach 15% and 2%, respectively (44). Recently there are significant advances in the
61 development of novel therapeutics, based on the rational design of targeted therapies directed at
62 molecular alterations arising in cancer cells (72); however, PDAC remains a lethal disease. Even
63 gemcitabine, the current standard of care chemotherapeutic, produces only a modest increase in
64 survival in patients with PDAC (9). For metastatic disease, the standard of care is a combination
65 four chemotherapeutic drugs, known as FOLFIRINOX (Folinic Acid, Fluorouracil, Irinotecan
66 Hydrochloride, Oxaliplatin) (62). These treatments have limited efficacy and significant side
67 effects, often only marginally improving the quality of life of patients (63). Therefore, there is an
68 urgent need to identify novel therapeutic target molecules that a play a key role in pancreatic
69 oncogenesis.

70

71 Premalignant lesions, known as pancreatic intraepithelial neoplasms (PanINs) are of ductal
72 origin (32) and are thought to be precursors of ductal adenocarcinoma, as they progress toward
73 increasingly atypical histological stages (40, 51, 55, 68). Multiple combinations of genetic
74 mutations are commonly found in pancreatic adenocarcinomas (64). The *KRAS* gene, located on
75 chromosome 12p, is one of the most frequently mutated genes in pancreatic cancer. The vast
76 majority of mutations in this gene are at codon 12, leading to activation of the protein product of
77 *KRAS* (33). *KRAS* mutations appear to occur very early in pancreatic carcinogenesis, indicating
78 an important role in early initiation of disease (2). In addition to activating mutations, loss of
79 function mutations in tumor suppressor genes is also commonly observed in pancreatic
80 carcinomas. Loss of function occurs via inactivation mutations, homozygous deletions or DNA
81 hypermethylation of the promoter areas of tumor suppressor genes, including *p16/CDKN2A*,

82 *TP53*, and *SMAD4* that are inactivated in more than 50% of all pancreatic cancers (1, 30, 31, 58).
83 Other pathways, involved in PDAC include, the Notch signaling pathway (Abel, 2014), the beta-
84 catenin signaling pathway (46) and the PI3K/AKT signaling pathway (7). Although the role of
85 different protein signaling pathways has been examined in pancreatic oncogenesis, the role and
86 function of several transcription factor families has not been evaluated extensively.

87

88 Transcription factors affect downstream gene transcription of signal transduction pathways
89 triggered by genetic and epigenetic changes linked to the aggressive nature of cancer (60). For
90 example, the constitutive activation of NF- κ B, which regulates the genes involved in many
91 cellular processes, has also been implicated in the aggressive nature of PDAC (20). Signal
92 transducer and activator of transcription 3 (STAT3) is activated in primary pancreatic cancer and
93 is involved in various physiologic functions, including apoptosis, cell cycle regulation,
94 angiogenesis, and metastasis (12). Negative regulation of STAT3 at the posttranscriptional level
95 leads to attenuation of cell proliferation and invasion of pancreatic carcinoma (69), highlighting
96 the importance of understanding transcriptional regulation in pancreatic oncogenesis. In 2012,
97 Xia et al. identified a transcription factor, Forkhead Box M1 (FOXO1) that is associated with
98 poor prognosis and could be used as a prognostic molecular marker and therapeutic target for
99 pancreatic cancer (66).

100

101 In the present study, we sought to identify key transcriptional regulators that play a functional
102 role in the pathogenesis of pancreatic cancer by performing transcription factor expression
103 profiling followed by functional characterization of selected transcription factor. The aberrant
104 expression of hepatocyte nuclear factor family of transcription factors (HNF1, HNF3, HNF4 and
105 HNF6) have been implicated in a variety of solid tumors including lung, colorectal,
106 hepatocellular and ovarian carcinoma (25, 43, 45, 49, 50, 73). The least studied hepatocyte
107 nuclear factor gene family in cancer is HNF3. The hepatocyte nuclear factor 3 gene family
108 encodes three transcription factors (HNF-3 α , HNF-3 β , and HNF-3 γ) important in the regulation

109 of gene expression in normal liver and lung tissue, and were first identified by their ability to
110 bind to important promoter elements in the α_1 -antitrypsin and transthyretin genes (13). Our
111 molecular and functional analysis revealed that HNF-3 β , also known as forkhead box protein A2
112 (FOXA2), acts as a tumor suppressor gene in pancreatic cancer by affecting pancreatic cancer
113 cell proliferation and invasiveness through regulation of urokinase plasminogen activator surface
114 receptor (PLAUR) gene. Furthermore, we found that FOXA2 expression is regulated directly by
115 miR-199, while inhibition of FOXA2 expression by using the CRISPR/Cas9 (*clustered regularly*
116 *interspaced short palindromic repeats*-CRISPR associated nuclease 9) technology increases
117 tumor growth in pancreatic tumor xenografts. Taken together, our study revealed a novel
118 microRNA-transcription factor signaling pathway involved in the pathogenesis of pancreatic
119 oncogenesis.

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123 **MATERIALS AND METHODS**

124

125 *Cell Culture*

126 Human pancreatic cancer cell lines (AsPc-1, BxPC-3, Capan-1, Capan-2, HPAF-II and MIA
127 PaCa-2, PANC-1) were purchased from ATCC. Human pancreatic cancer cell line PANC-1 was
128 maintained in DMEM medium (Gibco) supplemented with 10% FBS and 10 units/ml penicillin,
129 and 100 μ g/ml streptomycin. AsPC-1 and BxPC-3 were maintained in RPMI-1640 medium
130 (Gibco) supplemented with 10%FBS and 10 units/ml penicillin and 100 μ g/ml streptomycin.
131 Capan-1 was maintained in ATCC-formulated Iscove's Modified Dulbecco's Medium
132 supplemented with 10% FBS and 10 units/ml penicillin and 100 μ g/ml streptomycin. Capan-2
133 was maintained in ATCC-formulated McCoy's 5a Medium Modified supplemented with 10%
134 FBS and 10 units/ml penicillin and 100 μ g/ml streptomycin. HPAF-II was maintained in Eagle's
135 Minimum Essential Medium with 10% FBS and 10 units/ml penicillin and 100 μ g/ml
136 streptomycin. MIA PaCa-2 was maintained in DMEM medium (Gibco) supplemented with 10%

137 FBS, horse serum to a final concentration of 2.5% and 10 units/ml penicillin and 100 µg/ml
138 streptomycin.

139
140 *RNA from PDAC and control samples*

141 Human pancreatic tissues were obtained from consenting patients in the Department of Surgery
142 at Stanford University and approved by the Ethics Committee of the Stanford University Medical
143 School. RNA was extracted from 8 control (adjacent non-tumor) and 14 PDAC tissues using the
144 TRIzol Reagent (15596-018, Life Technologies) RNA isolation method and were used for gene
145 profiling. Nineteen control and 17 PDAC tissues were obtained from consenting patients in the
146 Department of Surgery at the University of California, Los Angeles and approved by the UCLA
147 Ethics Committee and were used to confirm gene expression array data.

148
149 *Transcription factor expression analysis*

150 To identify transcription factors that were differentially expressed in pancreatic ductal
151 adenocarcinoma, microarray was performed using GeneChip® Human Genome U133 Plus 2.0
152 Arrays. RNA was isolated from 14 PDAC and 8 control tissues. In the list of top differentially
153 expressed genes, FOXA2 was found to be down-regulated in PDAC (cut off was 2-fold change,
154 $p < 0.05$).

155
156 *Invasion Assays*

157 We performed invasion assays in PANC-1 and HPAF-II cells at 24 hours under different
158 transfection conditions with siRNAs or microRNAs for 24 hours. Invasion of matrigel was
159 conducted using standardized conditions with BD BioCoat Matrigel invasion chambers (BD
160 Biosciences). Assays were conducted according to manufacturer's protocol, using 10% FBS as
161 the chemoattractant. Non-invading cells on the top side of the membrane were removed, while
162 invading cells were fixed and stained with 0.1 % crystal violet, 16 hours post-seeding. The cells
163 that invaded through the filter were quantified by counting the entire area of each filter, using a
164 grid and an Optech microscope at a 20X magnification. The experiment was repeated three
165 times and the statistical significance was calculated using Student's t-test.

166

167 *Migration Assays*

168 PANC-1 and BxPC-3 pancreatic cancer cell lines were used in this assay. The migration assay
169 was performed by starving cells overnight in media containing 0% FBS. The next day, cells were
170 re-suspended in media with 0.5% FBS to a concentration of 5×10^5 /ml. The upper chamber was
171 loaded with 100 μ L of cell suspension and the lower chamber was loaded with 500 μ L medium
172 containing 20% FBS as a chemoattractant. The cells on the bottom of each chamber were fixed
173 with 0.1% glutaraldehyde for 30 min, rinsed briefly with PBS and stained with 0.2% crystal
174 violet. The number of migrated cells was calculated using 20X magnification and the mean for
175 each chamber was determined. The results were calculated as the migration rate as compared
176 with the siRNA negative control (or miRNA-NC) cells. Each experimental condition was
177 conducted in triplicates and the experiment was repeated three times.

178
179 *Colony Formation Assays*

180 PANC-1 cells were transfected with siRNA negative control or siFOXA2#2 for 48 hours.
181 Triplicate samples of 10^5 cells from each cell line were mixed 4:1 (v/v) with 2.0% agarose in
182 growth medium for a final concentration of 0.4% agarose. The cell mixture was plated on top of
183 a solidified layer of 0.8% agarose in growth medium. Cells were fed every six to seven days with
184 growth medium containing 0.4% agarose. The number of colonies was counted after 20 days.
185 The experiment was repeated three times and the statistical significance was calculated using the
186 Student's t-test.

187
188
189 *In situ hybridization*

190 Double-DIG labeled Mircury LNA probes were used for the detection of hsa-miR-199a-3p
191 (38481-15, Exiqon) with target sequence ACAGUAGUCUGCACAUUGGUUA. *In situ*
192 hybridization protocol was used as previously described (Iliopoulos et al., 2009b) with
193 modifications. FFPE sections of control pancreatic and PDAC were deparaffinized with xylene
194 (3x5 min), followed by treatment with serial dilutions of ethanol (3x100%, 2x96% and 3x70%)
195 and by two changes of DEPC-PBS. Tissues were then digested with proteinase K (15 μ g/ml) for
196 20 min at 37°C, rinsed with 3xDEPC-PBS. Sections were dehydrated with 3x70%, 2x96% and
197 2x100% ethanol, air-dried and hybridized for 1 hour with the hsa-miR-199 probe (40nM) or the

198 double-DIG labeled U6 Control Probe (1nM) (99002-15, Exiqon) diluted in microRNA ISH
199 buffer (90000, Exiqon) at 52°C and 53 °C, respectively. Following hybridization, sections were
200 rinsed twice with 5XSSC, 2x1XSSC and 3x0.2XSSC, 5 min each, at 52°C and PBS. The slides
201 were incubated with blocking solution (11585762001, Roche) for 15 min and then with anti-DIG
202 antibody (1:800) in 2% sheep serum (013-000-121, Jackson Immunoresearch) blocking solution
203 for 1 hour at room temperature. Following three washes with PBS, 0.1% Tween-20, slides were
204 incubated with the AP substrate buffer (NBT-BCIP tablet [11697471001, Roche] in 10 ml of
205 0.2mM Levamisole [31742, Fluka]) for 2 hours at 30°C in the dark. The reaction was stopped
206 with 2 washes of AP stop solution (50mM Tris-HCL, 150mM NaCl, 10mM KCl) and 2 washes
207 with water. Tissues were counter stained with Nuclear Fast Red for 1 min and rinsed with water.
208 Sections were dehydrated with 2x70%, 2x96% and 2x100% ethanol and mounted with coverslips
209 in Eukitt mounting medium (361894G, VWR). Images were captured with a Nikon 80i Upright
210 Microscope equipped with a Nikon Digital Sight DS-Fi1 color camera, using the NIS-Elements
211 image acquisition software. All images were captured and processed using identical settings.

212

213 *Immunohistochemistry*

214 A pancreas disease spectrum tissue microarray of 103 cases was used (PA2081a, US Biomax,
215 Inc.) containing 42 cases of pancreatic duct adenocarcinoma, three pancreatic adenosquamous
216 carcinoma, one pancreatic islet cell carcinoma, six pancreatic metastatic carcinoma, 10
217 pancreatic islet cell tumor, 11 pancreatic inflammation and 21 adjacent normal pancreatic tissue,
218 duplicated cores per case. Immunohistochemical staining for FOXA2 in control and pancreatic
219 PDACs were deparaffinized with xylene (3x5 min) followed by treatment with serial dilutions of
220 ethanol (100%, 100%, 95% and 95%, 10 min each) and by two changes of ddH₂O. Antigen
221 unmasking was achieved by boiling the slides (95-99°C) for 10 min, in 10 mM sodium citrate,
222 pH 6.0. Sections were rinsed three times with ddH₂O, immersed in 3% H₂O₂ for 20 minutes,
223 washed twice with ddH₂O and once with TBS-T (TBS, 0.1% Tween-20) and blocked for 1 hour
224 with blocking solution (5% normal goat serum [5425] in TBS-T). FOXA2 (sc-6554, Santa Cruz
225 Biotechnology) antibody was diluted 1:250 in Signal Stain antibody diluent (8112, Cell
226 Signaling Technology) and incubated with the sections overnight at 4°C. Staining for mouse
227 FOXA2, antibody was diluted 1:1000 in Signal Stain antibody diluent (sc-101060 Santa Cruz
228 Biotechnology) and incubated with the sections overnight at 4°C. Following incubation with the

229 antibody, sections were washed three times, 5 minutes each, with TBS-T and incubated for 1
230 hour at room temperature with SignalStain Boost ([HRP, Rabbit] 8114, Cell Signaling). Sections
231 were washed three times, 5 minutes 22 each, with TBS-T, and stained with the DAB Peroxidase
232 Substrate Kit (SK-4100, Vector Laboratories) for 30 minutes, washed and counterstained with
233 the hematoxylin QS (H-3404, Vector Laboratories). Finally, tissues were dehydrated and
234 mounted in Eukitt medium. Images were captured with a Nikon 80i Upright Microscope
235 equipped with a Nikon Digital Sight DS-Fi1 color camera, using the NIS-Elements image
236 acquisition software. All images were captured and processed using identical settings.

237
238 *Real-Time PCR analysis*

239 Quantitative real-time RT-PCR was performed to determine the expression levels of FOXA2 in
240 17 human PDAC tissues and 19 pancreatic control tissues for detection of miR-199a-3p. RNA
241 was isolated using TRIzol, according to manufacturer's instructions (15596-018, Life
242 Technologies). Real-time RT-PCR was assessed on a CFX384 detection system (BioRad) using
243 the Exiqon PCR primer sets according to manufacturer's instructions. MicroRNA expression
244 levels of miR-199 (204536, Exiqon) were normalized to the levels of U6 small nuclear snRNA
245 (203907, Exiqon) and 5S rRNA (203906, Exiqon). Reverse transcription was carried out using
246 the Universal cDNA synthesis kit (203301, Exiqon) and ExiLENT SYBR Green for RT-PCR
247 (203403, Exiqon). Normalized miRNA levels were quantified relative to the levels of a given
248 control tissue. Real-time PCR was employed to determine the expression levels of FOXA2 and
249 PLAUR. Reverse transcription was carried out using iScript cDNA synthesis Kit (1708890, Bio-
250 Rad). Real-time PCR was carried out using the iQ SYBR Green Supermix (1708882, Bio-Rad).
251 Gene expression levels were normalized to the levels of Glyceraldehyde-3-phosphate
252 dehydrogenase (GAPDH) and β -actin. Normalized gene expression levels were quantified to the
253 respective control. The sequences of the primers used are the following:

254 FOXA2-F: 5'-ATGCACTCGGCTTCCAGTAT-3'
255 FOXA2-R: 5'-GTTGCTCACGGAGGAGTAGC-3'
256 PLAUR-F: 5'-GCATTTCTGTGGCTCATC-3'
257 PLAUR-R: 5'-CTTTGGACGCCCTTCTTCA-3'
258 E-Cadherin-F: 5'-GGATTGCAAATTCCTGCCATTC-3'

259 E-Cadherin-R: 5'-AACGTTGTCCCGGGTGTCA-3'
260 GAPDH-F: 5'-ATGTTTCGTCATGGGTGTGAA-3'
261 GAPDH-R: 5'-GGTGCTAAGCAGTTGGTGGT-3'
262 β -actin-F: 5'-CCCAGCACAATGAAGATCAA-3'
263 β -actin-R: 5'-ACATCTGCTGGAAGGTGGAC-3'
264 IL6-F: 5'-CTCTGGGAAATCGTGGAAATGAG-3'
265 IL6-R: 5'-CTGTATCTCTCTGAAGGACTCTG-3'

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269 *Luciferase Assay*

270 MIA PaCa-2 cells were transfected with the reporter vectors carrying the 3'UTR of FOXA2
271 (S805635, SwitchGear Genomics). The constructs harbored the seed sequence of miR-199a-3p
272 (wildtype) or had a mutation of this sequence (miR-199 mutant). At 24 hours, the cells were
273 transfected with miR-negative control or miR-199 mimic and at 48 h luciferase activity was
274 measured using the Dual Luciferase Reporter Assay System (E1910, Promega).

275
276

Cell growth Assays

277 PANC-1 and BxPC-3 pancreatic cancer cell lines were transfected with siFOXA2#2 or miR-199
278 mimic and their respective control and plated on a 96-well plate (5×10^2 cells/well). Cell growth
279 was assessed using the Cell-Titer Glo Luminescence Cell Viability Assay (G7571, Promega).
280 The xCELLigence RTCA SP system utilizes a 96-well microtiter detection device, where the
281 microelectrode sensor arrays are coated in 96-well microtiter plates and the microtiter plate
282 detection device is connected to the workstation from the inside of the cell incubator. The
283 impedance data from the selected well is exported to the computer and analyzed using RTCA
284 software. A parameter termed cell index is used to quantify cell status based on detected cell-
285 electrode impedance. Cell attachment and proliferation from selected wells of the plate were
286 monitored and recovered every 15 minutes using the RTCA SP for 120h. The PANC-1 cells
287 were transfected with miR-NC or miR-199. 24 hours post-transfection, cells were trypsinized

288 and cells were re-suspended at 5×10^3 cells/100 μ L and 5×10^3 cells were seeded into each well of
289 the E-plate 96 in quadruplicates.

290

291 *Mouse experiments*

292 5×10^6 PANC-1 control or PANC-1 FOXA2 Δ cells were injected subcutaneously in the right
293 flank of NOD/SCID mice (n= 10 mice/group). Tumor growth was monitored every seven days
294 for a total period of 64 days. Tumor volumes were calculated by the equation $V \text{ (mm}^3\text{)} = axb^2/2$,
295 where “a” is the largest diameter and b is the perpendicular diameter. In addition, paraffin
296 embedded tissue sections from pancreatic tissues from male 3-month and 9-month old, male,
297 KrasG12D^{+/-}p48-Cre^{+/-} (KC) mice, were provided by Dr. Guido Eibl’s laboratory (15). All the
298 mouse studies were approved by the University of California Institutional Animal Care and Use
299 Committee and conformed to the US National Institutes of Health Guide for the Care and Use of
300 Laboratory Animals.

301

302 *Western blot analysis*

303 Protein samples were subjected to SDS PAGE and transferred to polyvinylidene difluoride
304 membranes in 25 mM Tris, 192 mM glycine. Membranes were blocked with 5% nonfat dry milk
305 in PBS, 0.05% Tween-20 and probed with antibodies (1:1000) followed by corresponding
306 horseradish peroxidase-labeled secondary antibodies (1:1000). Blots were developed with ECL
307 reagent (T) and exposed in Eastman Kodak Co. 440 Image Station.

308

309

310 *Antibodies and reagents*

311 Antibodies

312 Two different antibodies against FOXA2 were used. One was used for western blotting
313 experiments (8189, Cell Signaling) and the other (sc-6554, Santa Cruz Biotechnology) for
314 immunohistochemical analysis. PLAUR antibody was used for western blotting experiments
315 (9692, Cell Signaling). Additionally, phospho-p44/42 MAPK (Erk1/2)(Thr202/Tyr204) was used
316 for western blotting in PANC-1 and HPAF –II cell lines (4370, Cell Signaling) along with total
317 ERK antibody (4695, Cell Signaling), as well as total AKT (4691S, Cell Signaling) and
318 phospho-AKT T308 (13038S, Cell Signaling) and phosphor-AKT S473 (4060S, Cell Signaling).

319 Additionally, CREB and GAPDH antibodies were used as loading controls (9104, Cell Signaling
320 and 5174, Cell Signaling, respectively).

321 Small interfering RNAs

322 The following siRNAs were used in this study: siRNA negative control (siNC #2, 4390847, Life
323 Technologies) and two different siRNAs against FOXA2 (siFOXA2#1, s6691, Life
324 Technologies) and (siFOXA2#2, s6692, Life Technologies). A single siRNA against PLAUR
325 was siPLAUR used in this study (s10614, Life Technologies).

326

327 FOXA2 Overexpression Vector

328 MiaPaCa-2 cells were transfected with vector plasmids as controls (Origene, PS100001) or
329 plasmids for overexpression of FOXA2 (Origene, RC211408) according to manufacturer's
330 protocol.

331

332 MicroRNAs

333 The following microRNAs were used in this study: *miRVana* miRNA mimic, negative control #1
334 (miRNC, 4464059, Life Technologies) and miR-199 *miRVana* miRNA mimic (4464066
335 *miRVana* miRNA mimic, Life Technologies).

336

337 3'UTR FOXA2 Vector

338 pLightSwitch_3UTR for FOXA was purchased from SwitchGear Genomics (S805635,
339 SwitchGear Genomics), containing the miR-199a-3p predicted binding site.

340

341 CRISPR/Cas9 system

342 The FOXA2 human gene knockout kit via CRISPR was ordered from OriGene (KN204066).
343 Clones were selected using 2µg/ml puromycin.

344 *Statistical Analysis*

345 All experiments were performed in triplicate unless other-wise stated. Statistical analyses were
346 performed with the use of Origin software, version 8.6. Student's t-test was used to examine the
347 statistical difference in FOXA2 and miR-199 expression between control and PDAC tissues. The

348 correlation significance was determined by means of Spearman and Pearson correlation analyses.
349 A P-value of < 0.05 was considered statistically significant (*P < 0.05, **P< 0.01, ***P<0.001).

350

351 *Ingenuity Network Software (IPA)*

352 Gene network was constructed and important hubs were identified using Ingenuity Pathway
353 Analysis (IPA; Ingenuity Systems, Mountain View, CA) based on the differentially expressed
354 genes identified after inhibition of FOXA2 expression by siRNA FOXA2#2 in X pancreatic
355 cancer cell line. IPA is a robust and expertly curated database containing updated information on
356 more than 20,000 mammalian genes and proteins, 1.4 million biological interactions, and 100
357 canonical pathways incorporating over 6,000 discrete gene concepts. This information is
358 integrated with relevant databases such as Entrez-Gene and Gene Ontology. The experimental
359 data sets were used to query the IPA and to compose a set of interactive networks taking into
360 consideration canonical pathways, the relevant biological interactions, and the cellular and
361 disease processes. Pathways of highly interconnected genes were identified by statistical
362 likelihood using the following equation:

$$Score = -\log_{10} \left(1 - \sum_{i=0}^{f-1} \frac{C(G,i)C(N-G,s-i)}{C(N,s)} \right)$$

363

364 Where N is the number of genes in the network of which G are central node genes, for a pathway
365 of s genes of which f are central node genes. $C(n,k)$ is the binomial coefficient. We considered
366 statistically significant networks those with a score greater than 5 (p value $<10^{-5}$).

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373 **RESULTS**

374 *FOXA2 transcription factor is down-regulated in human pancreatic cancers.*

375 To evaluate the role of the human transcriptome in pancreatic oncogenesis, first we examined the
376 expression levels of all the known transcription factors by performing gene profiling analysis in
377 eight pancreatic control and fourteen PDAC tissues. This analysis revealed 43 transcription
378 factors that were deregulated (>1.5 fold) in PDAC relative to control tissues (**Figure 1A, Table**
379 **1**). Interestingly, among the top differentially expressed transcription factors were FOXA2
380 (HNF-3 β), HNF-1 β and HNF-6, three members of the hepatocyte nuclear factor family of
381 transcription factors (**Figure 1B**). Although the HNF family members are known to be involved
382 in liver oncogenesis (25), their role in pancreatic oncogenesis has not been evaluated. The
383 profiling analysis showed FOXA2 mRNA to be highly down-regulated in PDAC relative to
384 control tissues, suggesting a potential tumor suppressor role in PDAC. To further validate the
385 gene expression findings, we performed quantitative real-time PCR to examine FOXA2 mRNA
386 expression levels in 14 control and 14 PDAC tissues in a second cohort of pancreatic cancer
387 patients. Consistent with our initial findings, FOXA2 mRNA levels were significantly
388 downregulated in PDAC (**Figure 1C**). In addition, we performed immunohistochemical (IHC)
389 analysis for FOXA2 in 63 human tissue sections, including 42 PDAC, 21 control pancreatic
390 tissues and found that 31/42 (74%) of PDAC tumors had no expression of FOXA2, while
391 FOXA2 was expressed in all of the control tissues (**Figure 1D**), further suggesting a potential
392 tumor suppressor role of FOXA2 in PDAC. In order to investigate the role of FOXA2
393 expression in pancreatic oncogenesis, we performed immunohistochemical analysis for FOXA2
394 in 3-month and 9-month old KC mice. Consistent with the human data, expression of FOXA2
395 was decreased in the 9-month old mice compared to the 3-month old mice (**Figure 1E**),
396 suggesting that FOXA2 expression is decreased during pancreatic oncogenesis. Overall, all these
397 data show that FOXA2 mRNA and protein levels are decreased in PDAC.

398

399

400

401 *FOXA2 has tumor suppressor properties in PDAC.*

402 To study the functional role of FOXA2 in pancreatic cancer, we screened a panel of seven
403 (PANC-1, BxPC-3, HPAF-II, Capan-1, Capan-2, AsPC-1, MiaPaCa-2) different human
404 pancreatic cancer cell lines for FOXA2 expression. Out of the seven cell lines investigated,
405 PANC-1, BxPC-3 and HPAF-II expressed FOXA2 mRNA and were selected to perform further
406 molecular studies by manipulating FOXA2 expression levels. We silenced FOXA2 expression
407 by using two different siRNAs in two pancreatic cancer cell lines that exhibited increased
408 FOXA2 levels (PANC-1 and BxPC-3). Cell growth analysis was studied and comparisons were
409 performed relative to the cells transfected with an siRNA negative control (**Figure 2A**).
410 Although siRNA#2 had a higher knockdown efficiency than siRNA#1 against FOXA2 (*data not*
411 *shown*), when cells were transfected with either siRNA#1 or siRNA#2, a statistically significant
412 increase in cell growth was observed in both PANC-1 and BxPC-3 cell lines, 48 hours post
413 transfection (**Figure 2A**). Due to the higher knockdown efficiency, siRNA#2 was used in the
414 follow-up experiments to manipulate FOXA2 levels *in vitro*. Specifically, FOXA2 inhibition by
415 siRNA#2 significantly increased the ability of PANC-1 cells to form colonies in soft agar
416 (**Figure 2B**). To further explore the functional role of FOXA2 in pancreatic cancer cell
417 properties, we performed cell migration and invasion assays in PANC-1 (**Figure 2C and D**) and
418 BxPC-3 cells (**Figure 2E and F**). A statistically significant higher number of migrating and
419 invading cells were observed upon FOXA2 knockdown, suggesting that inhibition of FOXA2,
420 promotes pancreatic oncogenesis. In order to explore the role of FOXA2 overexpression on
421 invasion, FOXA2 was overexpressed in MiaPaCa-2 cells, a human pancreatic cancer cell line
422 that does not express basal levels of FOXA2. There was a statistically significant difference in
423 invasion upon FOXA2 overexpression, with a significant decrease in invasion upon FOXA2
424 overexpression compared to control (**Figure 2G**).

425

426

427 *MiR-199a negatively regulates FOXA2 expression through binding in its 3'UTR.*

428 We were interested in identifying the molecular mechanism involved in the suppression of
429 FOXA2 expression in pancreatic cancer. Initial DNA methylation analysis (Infinium
430 HumanMethylation450 BeadChip assay) on 20 PDAC human tissues and 15 cancer adjacent
431 normal tissues revealed that the FOXA2 promoter region was not differentially methylated in
432 PDAC (*data not shown*), suggesting that DNA methylation is not the molecular mechanism
433 responsible for FOXA2 reduced expression in pancreatic cancer. According to our previous
434 studies, microRNAs have been found to be essential regulators of transcription factors involved
435 in oncogenesis (34). Bioinformatics analysis by using the TargetScan algorithm revealed that
436 miR-199a-3p has sequence complementarity in the position of 275-81 nt of the 3'UTR of
437 FOXA2 (**Figure 3A**). To examine the direct interaction between miR-199a and FOXA2, we
438 performed a 3'UTR luciferase assay. MiR-199 was overexpressed in Mia PaCa-2 cells that were
439 co-transfected with a construct harboring the 3'UTR of FOXA2 under luciferase activity. We
440 found that miR-199a overexpression reduced FOXA2 3'UTR luciferase activity compared to
441 control and point mutation of the miR-199a binding site in the 3'UTR FOXA2 luciferase vector
442 abolished the suppressive effects of miR-199a (**Figure 3B**). To further validate the interaction
443 between miR-199a and FOXA2 *in vitro*, miR-199 was overexpressed in PANC-1 cells. We
444 examined FOXA2 mRNA and protein levels, and found that FOXA2 levels significantly
445 decreased in miR-199a-overexpressing pancreatic cancer cells (**Figure 3C, D**). Taken together,
446 these findings suggest that miR-199a is a direct regulator of FOXA2 expression in pancreatic
447 cancer.

448

449 *MiR-199a has an oncogenic function in PDAC.*

450 Next, we were interested in investigating the relevance of miR-199a in human pancreatic cancer.
451 We performed real-time PCR analysis in 19 control and 17 PDAC tissues and found a
452 statistically significant up-regulation of miR-199 expression in PDAC compared to control
453 (**Figure 4A**). In order to examine the up-regulation of miR-199a in histological tissues, we

454 performed *in situ* hybridization on a tissue microarray containing 25 cases of pancreas
455 adenocarcinoma with matched cancer adjacent tissue. *In situ* hybridization revealed 17/25 (68%)
456 of adenocarcinomas highly expressed miR-199a (bottom panel), while it was not expressed in
457 control tissues (upper panel) (**Figure 4B**). To explore the functional role of miR-199 in
458 pancreatic oncogenesis we used the xCELLigence technology to monitor cell growth over a
459 period of 120 hours, with a measurement taken every 15 minutes. This assay showed that miR-
460 199a significantly increases the growth of PANC-1 cells (**Figure 4C**). Cell growth was also
461 performed with the same experimental samples using the CellTiter-Glo Luminescent Cell
462 Viability assay. MiR-199a overexpression led to a 50% increase in PANC-1 cell growth
463 compared to cells transfected with a microRNA negative control (**Figure 4C**). To further assess
464 the functional effects of miR-199a overexpression in pancreatic cancer, we performed migration
465 and invasion assays in PANC-1 cells and found a statistically higher number of migrating and
466 invading cells in the miR-199a-overexpressing PANC-1 cells relative to cells transfected with
467 the microRNA negative control (**Figure 4D and E**).

468

469 *FOXA2-regulated gene network in PDAC.*

470 Our data revealed that FOXA2 has tumor suppressor properties in PDAC and its expression is
471 regulated by miR-199a. To evaluate the molecular mechanisms that are regulated by FOXA2
472 suppression in PDAC and identify its downstream gene targets, we transiently knocked down
473 FOXA2 using siFOXA2#2 in PANC-1 cells and its corresponding negative control,
474 demonstrating an 80% inhibition of FOXA2 mRNA expression levels (**Figure 5A**). Next, we
475 performed gene profiling analysis and found that 372 genes were up-regulated, while 552 were
476 down-regulated (924 genes in total) in siFOXA2#2 PANC-1 cells relative to siRNA negative
477 control by using a cut-off of $p < 0.05$ and a fold change of 2 (**Figure 5B**). The Ingenuity Pathway
478 Analysis (IPA) software was employed to perform signaling pathway analysis. The results
479 revealed statistically significant enrichment for the cell movement/invasion pathway, cell
480 proliferation, PI3K/AKT and MAPK signaling pathways (**Figure 5C**). To further evaluate these

481 findings we performed gene network analysis by using the 924 differentially expressed genes in
482 the IPA software network analysis and found that the most significant (p value = 10^{-42}) gene
483 network was involved in cellular invasion having PLAUR, extracellular signal-regulated kinases
484 (ERK), and phosphoinositide 3-kinase (PI3K) as central nodes, consistent with our pathway
485 analysis (**Figure 5D**). Consistent with IPA network analysis data, inhibition of FOXA2 in
486 HPAF-II cells leads to activation of ERK, demonstrated by ERK phosphorylation (**Figure 5E**),
487 suggesting that FOXA2 suppression directly or indirectly leads to ERK activation. Interestingly,
488 PLAUR is a gene known to be related to cancer cell invasiveness and motility (14, 29, 67). To
489 further validate the gene network findings, we examined PLAUR expression levels by real-time
490 PCR after FOXA2 inhibition by siRNA#2. Consistent with our initial findings, FOXA2
491 inhibition resulted in a significant increase in PLAUR mRNA levels in PANC-1 cells (**Figure**
492 **5F**). To examine if PLAUR is mediating FOXA2 effects on pancreatic cancer cell invasiveness,
493 we performed an invasion assay knocking down either FOXA2 or both FOXA2 and PLAUR by
494 siRNAs in HPAF-II cells, a pancreatic cell line that expresses basal levels of both FOXA2 and
495 PLAUR. We observed a significant increase in invasion by knockdown of FOXA2 and this
496 increase in invasion was completely reversed when cells were transfected with both an siRNA
497 against FOXA2 and an siRNA against PLAUR (**Figure 5G**), suggesting that PLAUR is a major
498 mediator of FOXA2 effects on pancreatic cell invasiveness. Taken together, these data suggest
499 that FOXA2 regulates pancreatic cell invasiveness through regulation of PLAUR expression
500 levels.

501 Furthermore, it is known that microRNAs have multiple downstream gene targets and recent
502 studies have shown that the NF- κ B pathway, which is affected by miR-199a, cross-talks with the
503 FOXA2 signaling pathway (49). To shed some light on the potential cross talk between FOXA2
504 and other common oncogenic pathways like nuclear factor- κ B (NF- κ B), we looked at the
505 expression of IL6, a downstream target of NF- κ B, upon transient inhibition of FOXA2 in the
506 BxPC-3 cell line. Upon knockdown of FOXA2 with siRNA#2, there is a significant increase in
507 IL6 levels (**Figure 5H**), indicating activation of the NF- κ B pathway.

508 *Generating a FOXA2Δ pancreatic cell line using the CRISPR/Cas9 system.*

509 We observed the effects of FOXA2 inhibition of expression *in vitro* through a series of
510 functional and gene expression assays. In order to study the effects of FOXA2 deletion *in vivo*,
511 we developed a cell line with a permanent knock-out of FOXA2 at the chromosomal level
512 (FOXA2Δ). We used the CRISPR/Cas9 system, where we co-transfected PANC-1 cells with two
513 FOXA2 gRNA vectors, containing two different target sequences (**Figure 6A**) and the
514 corresponding donor control vector. After clonal selection in puromycin, we validated FOXA2Δ
515 at the protein level (**Figure 6B**) and also found a significant increase in PLAU mRNA levels in
516 FOXA2Δ compared to control (**Figure 6C**), consistent with our siRNA experimental setting.
517 Next, we examined the phosphorylation levels of ERK and AKT by western blot and found both
518 kinases to be activated in FOXA2Δ compared to control, consistent with our gene network
519 analysis (**Figure 6D and E**). Conclusively, this data demonstrates the high efficiency of the
520 CRISPR/Cas9 system, its consistency with the siRNA system, providing us with a powerful tool
521 to study the role of FOXA2 *in vivo*.

522

523 *CRISPR/Cas9 FOXA2 Inhibition suppresses pancreatic tumor growth in vivo.*

524 To further support the role of FOXA2 as a tumor suppressor gene in pancreatic cancer, we
525 wanted to test its properties *in vivo*. We performed subcutaneous injections in NOD/SCID mice
526 with either FOXA2Δ PANC-1 (5×10^5 cells) or its corresponding PANC-1 control cell line
527 (n=10/group). On day 64, mice were sacrificed and tumors were isolated. The FOXA2Δ tumor
528 volumes (mm^3) and weight (g) were significantly larger than the PANC-1 control tumors
529 (**Figure 7A, B and C**). On day 64, RNA was isolated from each tumor and quantitative real-
530 time PCR showed that FOXA2 was not expressed in the FOXA2Δ tumors relative to controls
531 (**Figure 7D**). Furthermore, in accordance with our *in vitro* findings, FOXA2Δ tumors showed
532 increased PLAU mRNA levels (**Figure 7E**). Moreover, E-cadherin levels decreased in
533 FOXA2Δ tumors, indicating FOXA2 may also regulate cellular motility (**Figure 7F**). Taken

534 together, the *in vivo* data suggest that inhibition of FOXA2 increases the pancreatic
535 tumorigenicity and aggressiveness.

536

537

538 **DISCUSSION**

539 Our study revealed FOXA2 as a novel tumor suppressor gene in pancreatic cancer. FOXA2 is a
540 455-amino acid member of the forkhead class of DNA-binding proteins and contains a highly
541 conserved winged-helix DNA-binding domain (56). FOXA2 is a transcription factor that was
542 initially identified in hepatocytes, where it binds in the promoter areas of important liver-
543 enriched genes transthyretin, alpha 1-antitrypsin and albumin (13, 19, 28). It is required for the
544 formation of the node, notochord, nervous system, and endoderm-derived structures (19, 36). In
545 adulthood, FOXA2 has been shown to control metabolic homeostasis and to contribute to insulin
546 resistance (65).

547

548 In the last decade, several studies have implicated the role of FOXA2 in solid tumors. FOXA2
549 has been found to be expressed in all types of neuroendocrine lung tumors (37) and shown to be
550 a key regulator in colorectal liver metastases (43). We found that FOXA2 inhibition induces
551 cancer cell invasiveness, consistent with its function in other cancers. Specifically, in human
552 lung cancer cells, upon TGF- β 1 treatment, FOXA2 levels are decreased, leading to activation of
553 Slug transcription, thus inducing epithelial-mesenchymal transition (EMT) and promoting
554 invasion (61). More recently, Liu et al. demonstrated FOXA2 phosphorylation by TNF α -
555 induced IKK α stimulates the NOTCH1 pathway to promote liver cell proliferation and growth,
556 indicating FOXA2 suppression by phosphorylation plays an important role in TNF α mediated
557 tumorigenesis (49).

558

559 Although dysregulation of FOXA2 has been directly linked to the progression of certain cancers,
560 this class of transcription factors can paradoxically serve as both tumor suppressors and

561 oncogenes (41). Very little is known about the roles of FOXA2 in invasion and tumor metastasis
562 in pancreatic cancer. Our study identifies the transcription factors differentially expressed in
563 PDAC and shows that FOXA2, and other hepatocyte nuclear factors, are significantly
564 downregulated in human PDAC. Knockdown of FOXA2 led to a significant increase in cellular
565 growth, migration, invasion and colony formation, indicating that FOXA2 harbors tumor
566 suppressive properties.

567

568 Recent advances in pancreatic cancer biology have emerged important roles for microRNAs
569 (miRNAs) in regulating tumor responses. MiRNAs, a class of non-coding RNAs, have emerged
570 as critical players in cancer initiation and progression by modulating many pathological aspects
571 related to tumor development, growth, metastasis, and drug resistance (48). Studies have found
572 that miRNAs control many cellular processes through involvement in development, proliferation,
573 the stress response, apoptosis, cell cycle progression, and differentiation (3, 5, 6, 16, 47). The
574 major function of miRNAs is to post-transcriptionally regulate gene expression depending on
575 recognition of complementary sequence residing in target mRNAs. Several key oncogenic
576 miRNAs have been identified in pancreatic cancer, including miR-483-3p, miR-155, miR-
577 21/miR-221, miR-27a, miR-371-5p and miR-21/miR-23a/miR-27a. Inhibition of oncogenic
578 miRNAs reduces functional properties of pancreatic oncogenesis (18, 23, 24, 26, 52, 57). Our
579 data indicate that miR-199a-3p plays an oncogenic role, with a significant increase in expression
580 in PDAC compared to control. In the last decade, investigations have revealed that the
581 expression of miRNA-199 is altered in several human cancers (22, 42, 70). Specifically, the
582 expression of miRNA-199 is increased in ovarian cancer cells and cervical carcinomas (22, 70)
583 in accordance to our data in PDAC. Specifically, overexpression of miR-199 in pancreatic cancer
584 cells led to an increase in pancreatic cell growth, migration and invasion *in vitro*, demonstrating
585 miR-199 oncogenic properties in pancreatic cancer.

586

587 We found that miR-199a-3p directly regulates FOXA2 mRNA and protein expression, through
588 binding in its 3'UTR. Furthermore, recent studies have identified additional downstream targets
589 of miR-199 in other cancer types. For example, miR-199 targets Frizzled type 7 receptor
590 (FZD7), one of the most important Wnt receptors involved in cancer development and
591 progression (59). Additionally, mTOR, c-MET, IKK β , MET proto-oncogene and CD44 have
592 also been identified as direct targets of miR-199, playing a major role in cancer initiation and
593 progression in different types of cancer (10, 17, 21, 27, 39).

594

595 Conventionally, loss of function genetic screens in cultured cells is mainly conducted with the
596 aid of RNA interference (RNAi) libraries (8, 71). However, RNAi could only partially and
597 temporary suppress gene expression and thus its application is limited to knockdown screens (8,
598 54). Moreover, due to the endogenous nature of the RNAi pathway, it often incurs pervasive off-
599 target events because of the extensive endogenous interactions. These off-target effects may
600 confound the interpretation of screen results (35). Recently, the emergence of CRISPR/Cas9
601 technique offers a novel and versatile platform for genetic screen studies (4, 11, 53). For these
602 reasons, we chose the highly efficient CRISPR/Cas9 deletion system to permanently knock-out
603 FOXA2 in a pancreatic cancer cell line to study its effects *in vivo*. In addition, inhibition of
604 FOXA2 expression levels by CRISPR/Cas9 *in vitro*, led to the activation of PLAUR gene, which
605 is known to be involved in cancer invasiveness (38). Importantly, these findings were consistent
606 with our data where FOXA2 expression was suppressed by siRNA, suggesting that the
607 CRISPR/Cas9 system is very effective to block gene expression in cancer cells. Taken together,
608 our study has revealed a novel signaling pathway, consisting of the miR-199 and FOXA2 tumor
609 suppressor gene involved in pancreatic oncogenesis.

610

611

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613

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617

618 **DISCLOSURE**

619 No conflicts of interest, financial or otherwise, are declared by the author(s).

620

621 **AUTHOR CONTRIBUTIONS**

622 C.V., M.H. and DI developed the concept and designed the research; C.V., M.K. G.E. and J.W.,
623 performed the experiments; C.V., M.H., S.M. analyzed the data and interpreted the results of the
624 experiment; C.V. prepared the figures and drafted the manuscript; J.W, T.R.D. and G.A.P.
625 provided human pancreatic tissues for experiments; All the authors edited, revised and approved
626 the final version of the manuscript.

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818

819

820 **FIGURE LEGENDS**

821 **Figure 1.**

822 **FOXA2 transcription factor is down-regulated in human pancreatic cancers.** A. Pancreatic
823 cancer transcription factor transcriptome. Heatmap showing unsupervised clustering of
824 expression Z-scores of mRNA expression of 105 probes from 43 transcription factor genes in 22
825 human pancreatic tissue (control =7 and cancer =15). B. Expression levels of hepatocyte nuclear
826 factor family transcription factors (FOXA2, HNF-1 β and HNF-6) from the list of 43
827 transcription factors differentially expressed in PDAC. C. FOXA2 mRNA levels by real-time
828 PCR in 28 human pancreatic tissue (control =14 and cancer =14). D. Immunohistochemical
829 staining for human FOXA2 in control (top panel) and PDAC tissue (bottom panel). E.
830 Immunohistochemical staining for mouse FOXA2 in 3-month old (top panel) and 9-month old

831 KrasG12D^{+/-}p48-Cre^{+/-} (KC) mice (bottom panel). The experiments have been performed in
832 triplicate and all data are represented as mean ± SD. ***P<0.001, **P<0.01, *P<0.05.

833

834 **Figure 2.**

835 **FOXA2 has tumor suppressor gene properties in PDAC.** A. Relative percent cell growth
836 measured in PANC-1 and BxPC-3 treated for 48 h with siRNA negative control (siRNA NC) or
837 two different siRNAs against FOXA2 (siFOXA2#1 and siFOXA2#2) using the Cell-Titer Glo
838 Luminescence Cell Viability Assay. B. Soft agar colony formation assay of PANC-1 cells treated
839 for 48 h with siRNA NC or siFOXA2#2. Colonies (mean ± SD) 50 mm were counted using a
840 microscope 20 days later. C. Transwell cell migration assay in PANC-1 cells transfected with
841 siRNA NC or siFOXA2#2, migrating across 8 mm micropore membranes. D. Invasion through
842 matrigel-coated transwell inserts in PANC-1 cells transfected with siRNA NC or siFOXA2#2. E.
843 Transwell cell migration assay in BxPC-3 cells transfected with siRNA NC or siFOXA2#2,
844 migrating across 8 mm micropore membranes. F. Invasion through matrigel-coated transwell
845 inserts in BxPC-3 cells transfected with siRNA NC or siFOXA2#2. G. Invasion through
846 matrigel-coated transwell inserts in MiaPaCa-2 cells transfected with control vector (control) or
847 FOXA2 overexpression vector (FOXA2 OE). The experiments have been performed in triplicate
848 and all data are represented as mean ± SD. ***P<0.001, **P<0.01, *P<0.05.

849

850 **Figure 3.**

851 **FOXA2 as a direct target of miR-199a-3p in PDAC.** A. Sequence complementarity between
852 miR-199a-3p seed sequence and the 3'UTR of FOXA2. B. FOXA2 3'UTR luciferase activity in
853 MIA PaCa-2 cells transfected with miR-NC or miR-199, 48 h post transfection. MiR-199
854 sequence was wildtype (miR 199) or mutated (miR mutant). C. FOXA2 relative mRNA levels in
855 PANC-1 cell line 24 h post transfection with miR-199 mimic. D. Western blot showing FOXA2
856 protein levels in PANC-1 cell line 72 h post transfection with miR-199 mimic. The experiments
857 have been performed in triplicate and all data are represented as mean ± SD. ***P<0.001,
858 **P<0.01, *P<0.05.

859

860 **Figure 4.**

861 **MiR-199 has an oncogenic function in PDAC.** A. MiR-199 mRNA levels in human pancreatic
862 control (n=19) and cancer tissue (n=17). B. *In situ* hybridization miR-199 in human pancreatic
863 control and cancer tissue under 10X and 20X magnification. C. Cell proliferation in Panc-1 cells
864 24 h post transfection with miR negative control (miR-NC) or miR-199 mimic (miR-199) using
865 the xCELLigence system. PANC-1 cells were seeded at a density of 5x10³ cells/well in 96-well

866 E-plates and monitored for 120 h. *D.* Percentage cell growth measured in BxPC-3 cells treated
867 with miR-NC or miR-199 for 24 h then plated and measured 48 h later using the Cell-Titer Glo
868 Luminescence Cell Viability Assay. *E.* Transwell cell migration assay in PANC-1 cells
869 transfected with miR-NC or miR-199. *F.* Invasion through matrigel-coated transwell inserts in
870 PANC-1 cells transfected with miR-NC or miR-199. The experiments have been performed in
871 triplicate and all data are represented as mean \pm SD. ***P<0.001, **P<0.01, *P<0.05.

872

873 **Figure 5.**

874 **FOXA2-regulated gene network in PDAC.** *A.* Relative FOXA2 mRNA levels in PANC-1 cells
875 transfected with siRNA NC or siFOXA2#2 for gene profiling studies, duplicate experimental
876 samples were performed. *B.* Heatmap indicating expression levels of 372 genes up-regulated and
877 552 genes down-regulated in siRNA NC compared to siFOXA2#2 samples in PANC-1 cell line.
878 *C.* Ingenuity Pathway Analysis (IPA) reveals statistically significant enrichment for the cell
879 movement/invasion pathway, cell proliferation, PI3K/AKT and MAPK signaling pathways. *D.*
880 Gene network analysis by using the 924 differentially expressed genes in the IPA software
881 network found the most significant (p value = 10^{-42}) gene network was involved in cellular
882 invasion having as central nodes PLAUR, ERK, PI3K, consistent with our gene ontology
883 analysis. *E.* Western blot indicating phosphorylation of ERK, total ERK and CREB in PANC-1
884 cells treated with siRNA NC or siFOXA2#2. *F.* PLAUR mRNA levels in HPAF-II cells treated
885 with siRNA NC or siFOXA2#2. *G.* Invasion through matrigel-coated transwell inserts in HPAF-
886 II cells transfected with siRNA NC, siFOXA2#2 or both siFOXA2#2 and siPLAUR. *H.* Relative
887 mRNA levels of IL6, assessed by rt-PCR in BxPC-3 cells transfected with siRNA NC or
888 siFOXA2#2. The experiments have been performed in triplicate and all data are represented as
889 mean \pm SD. ***P<0.001, **P<0.01, *P<0.05.

890

891 **Figure 6.**

892 **Generating a FOXA2 Δ pancreatic cell line using the CRISPR/Cas9 system.** *A.* Sequences of
893 FOXA2 gRNA vectors. PANC-1 cells were transfected with either 1.) two gRNA vectors and
894 donor vector (donor vector not shown) referred to as FOXA2 Δ or 2.) a scramble vector and a
895 donor vector (scramble vector and donor vector not shown) referred to as PANC-1 control. *B.*
896 Western blot for PANC-1 control and FOXA2 Δ generated cell lines. *C.* PLAUR mRNA
897 expression levels in PANC-1 control and FOXA2 Δ cell lines. *D.* Western blot indicating
898 phosphorylation of ERK and total ERK plus loading control in PANC-1 control and FOXA2 Δ
899 cell lines. *E.* Western blot indicating phosphorylation of AKT at two phosphorylation sites
900 (Ser473 and Thr308) and total AKT plus loading control in PANC-1 control and FOXA2 Δ cell

901 lines. The experiments have been performed in triplicate and all data are represented as mean ±
902 SD. ***P<0.001, **P<0.01, *P<0.05.

903

904 **Figure 7.**

905 **CRISPR/Cas9 FOXA2 Inhibition suppresses pancreatic tumor growth *in vivo*.** *A.* At day 64,
906 tumor volumes (mm³) were measured in PANC-1 control and FOXA2Δ (n=10/group) tumors. *B.*
907 At day 64, tumors were excised and tumor weight (g) was measured in PANC-1 control and
908 FOXA2Δ tumors. *C.* At day 64, PANC-1 control and FOXA2 tumors were excised and
909 photographed, pictured with ruler (mm). *D.* At day 64, RNA was isolated from tumors and
910 FOXA2 mRNA levels were examined in PANC-1 control and FOXA2Δ tumors. *E.* PLAU
911 mRNA levels were examined in PANC-1 control and FOXA2Δ tumors. *F.* Relative E-cadherin
912 mRNA levels in PANC-1 control and FOXA2Δ tumors (n=10/group). The experiments have
913 been performed in triplicate and all data are represented as mean ± SD. ***P<0.001, **P<0.01,
914 *P<0.05.

Figure 1

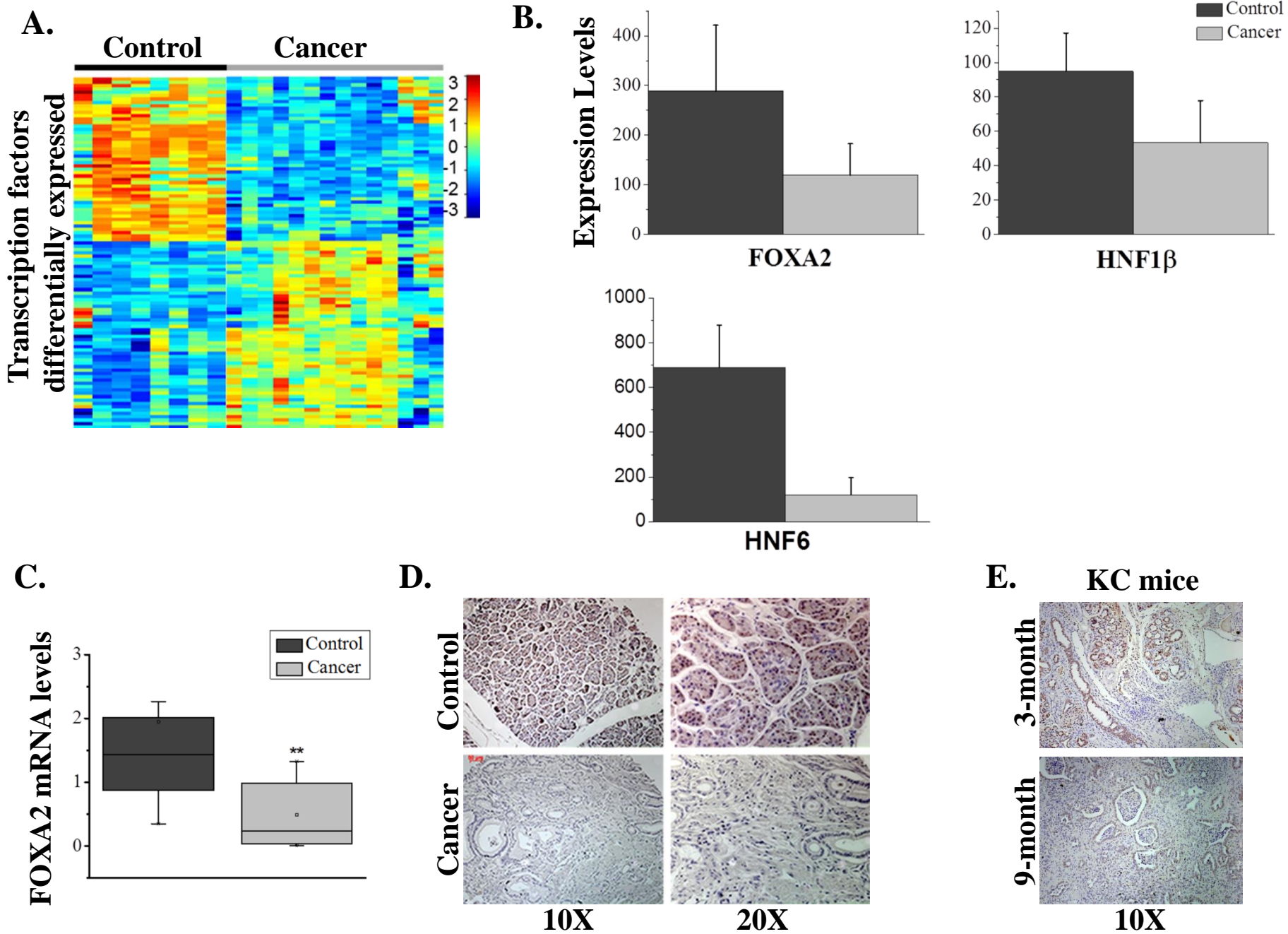


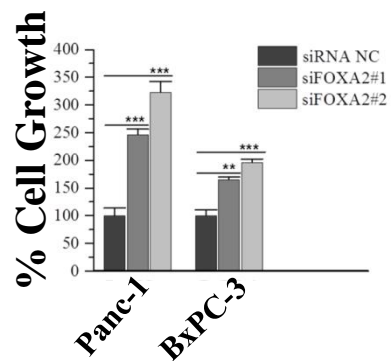
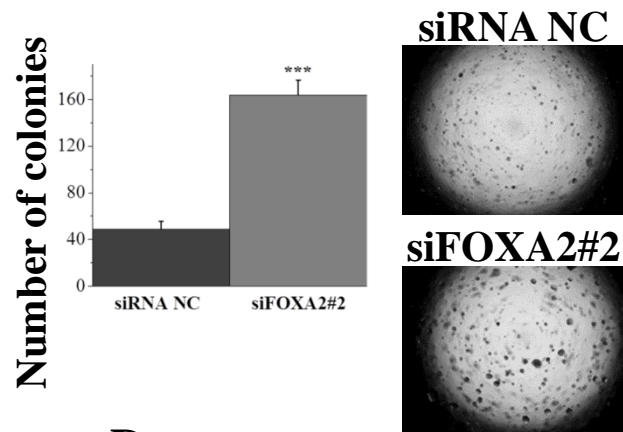
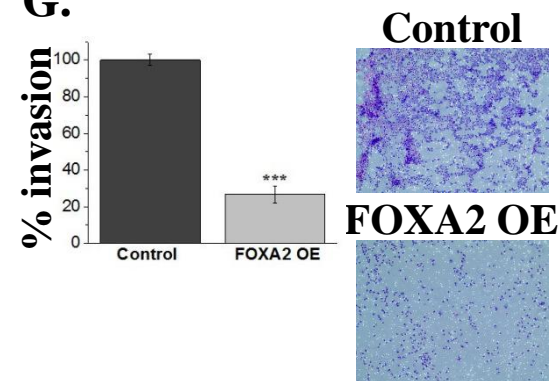
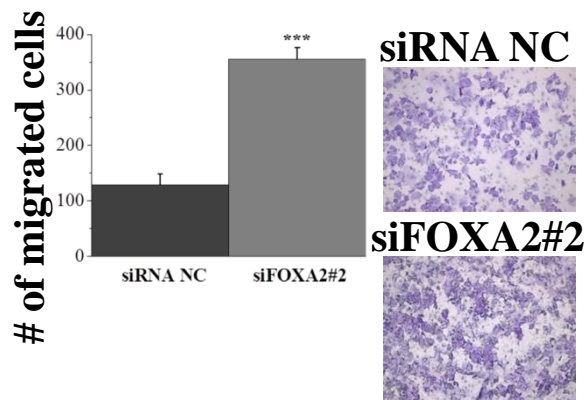
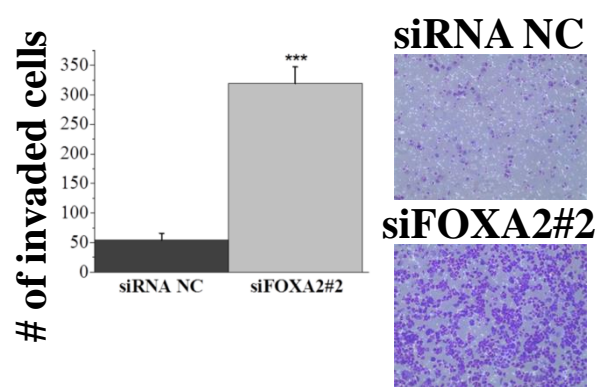
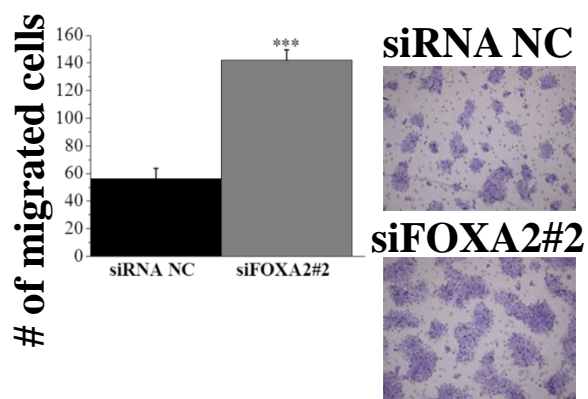
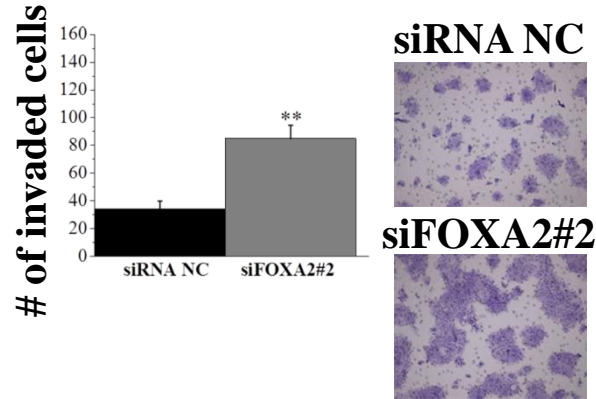
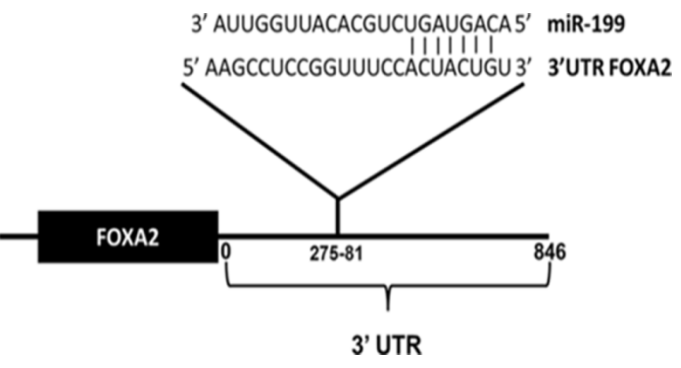
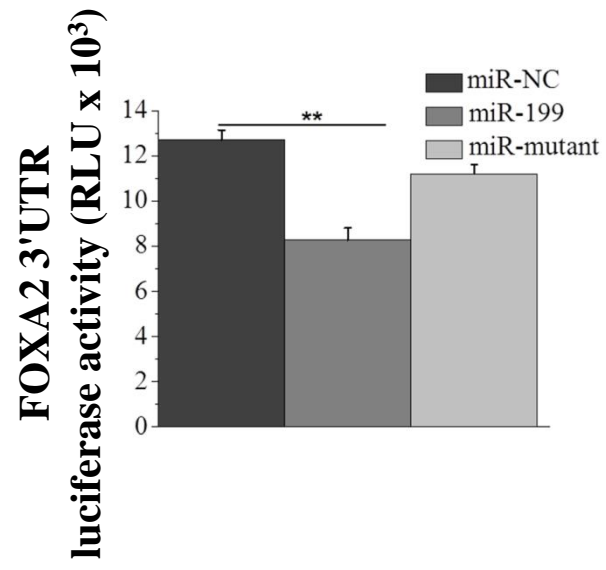
Figure 2**A.****B.****G.****C.****D.****E.****F.**

Figure 3

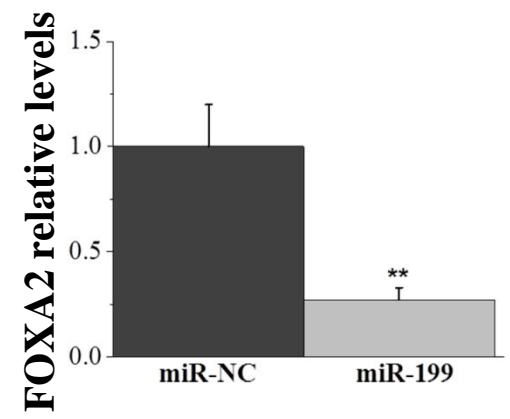
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B.



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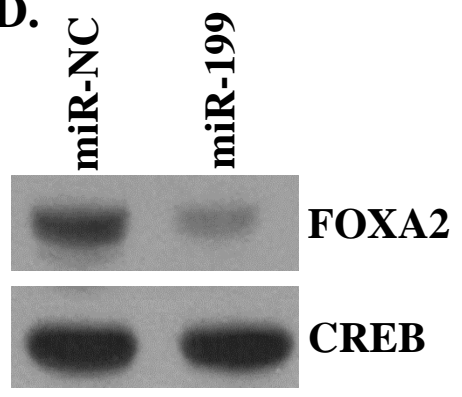
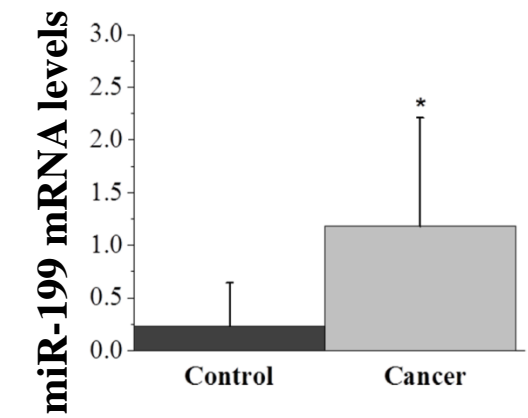
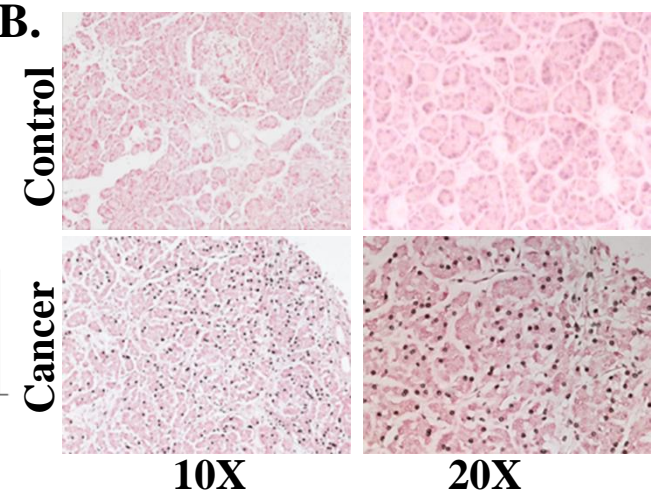


Figure 4

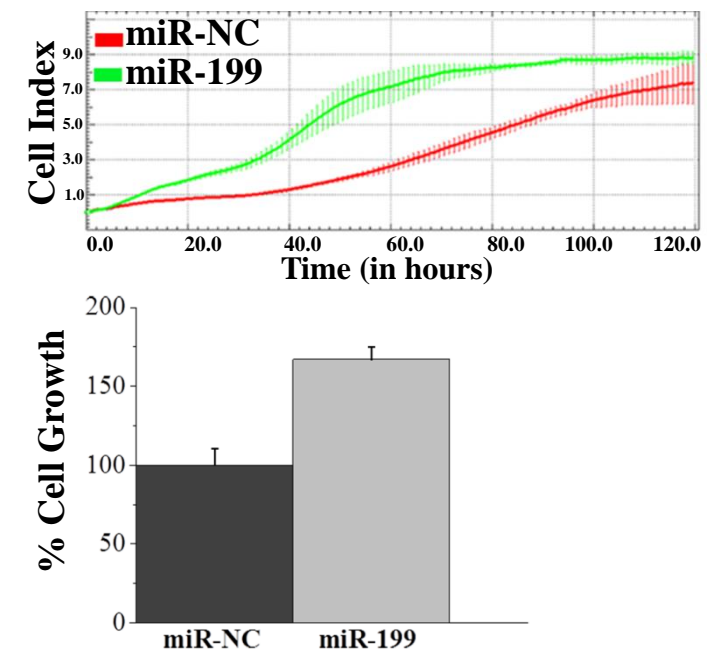
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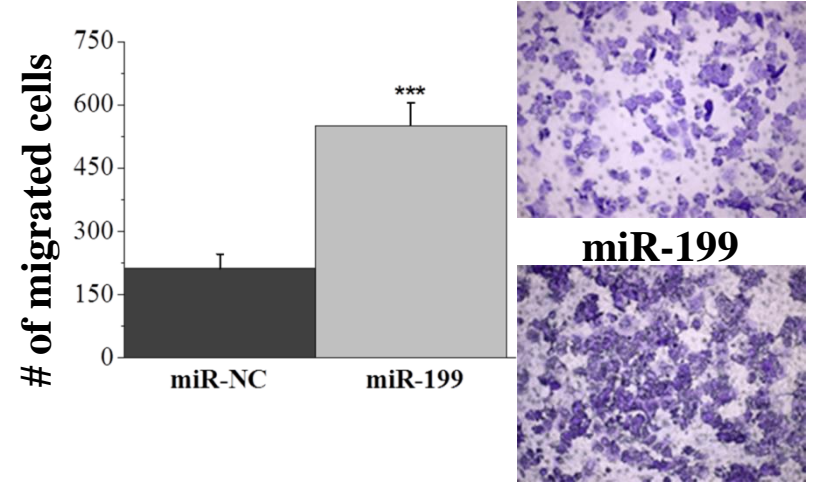
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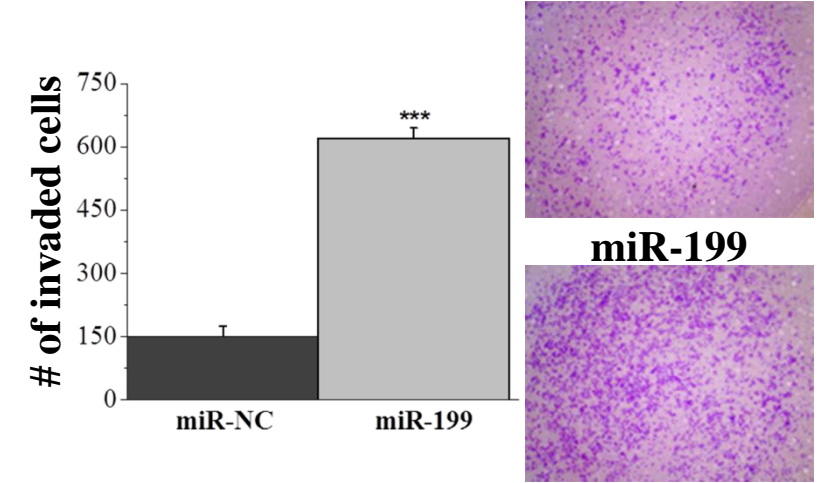
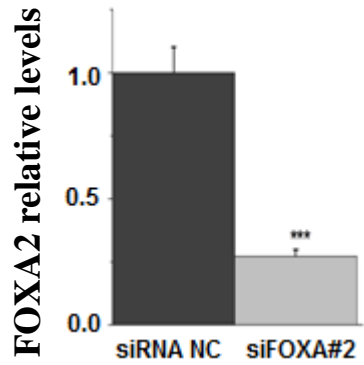
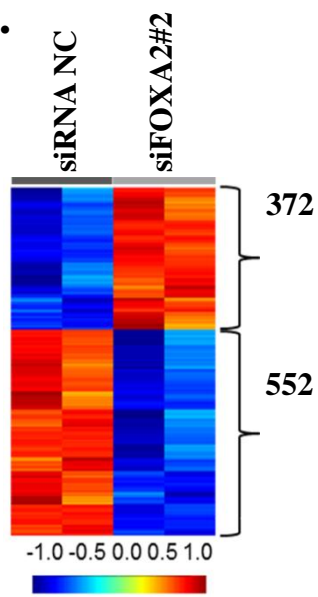


Figure 5

A.



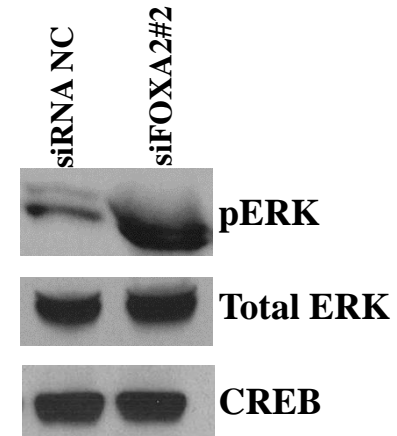
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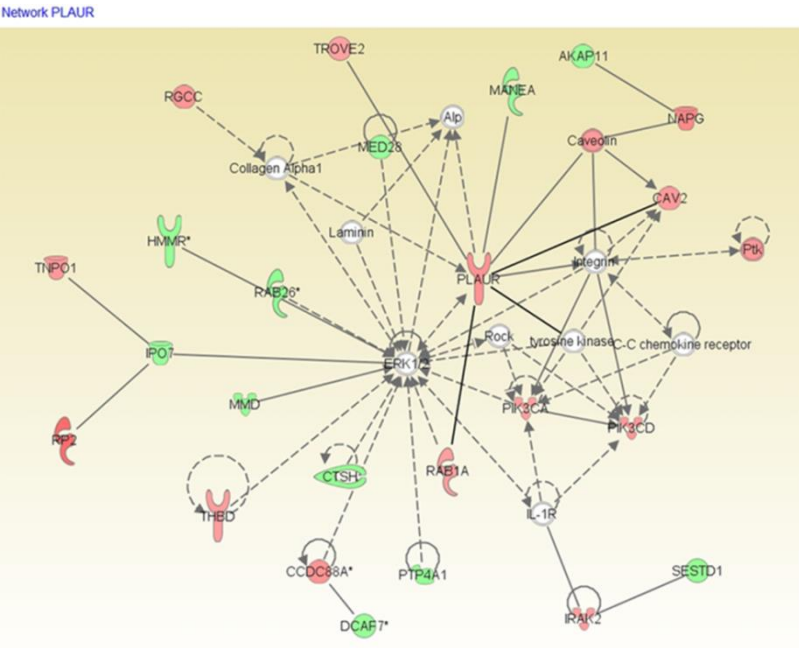
C.

Signaling Pathway	P value
Cell Movement/Invasion	1.28×10^{-5}
Cell Proliferation	4.9×10^{-3}
PI3K/AKT Pathway	1.3×10^{-3}
MAPK Pathway	1.26×10^{-5}

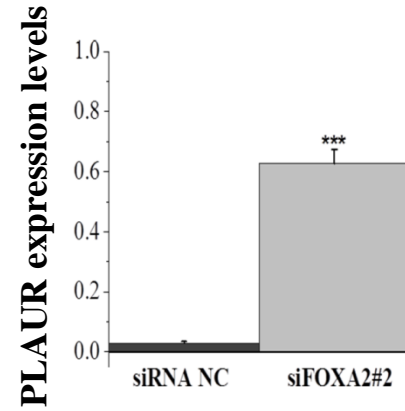
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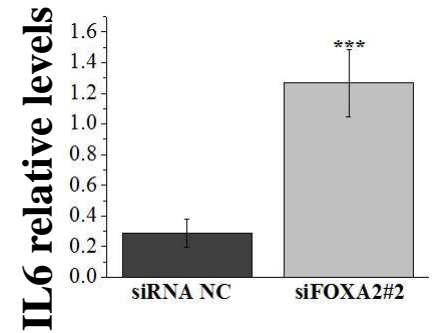
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F.



H.



G.

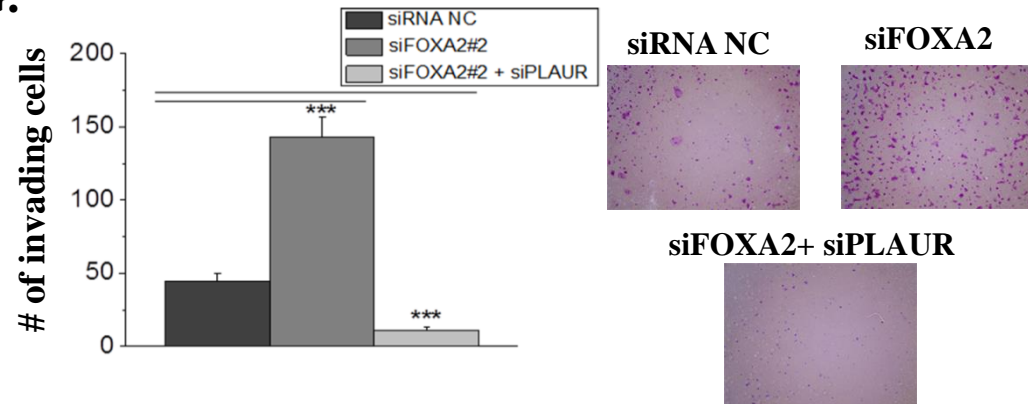


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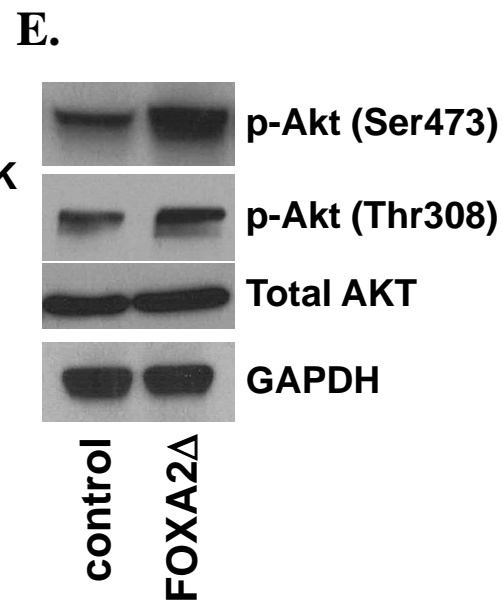
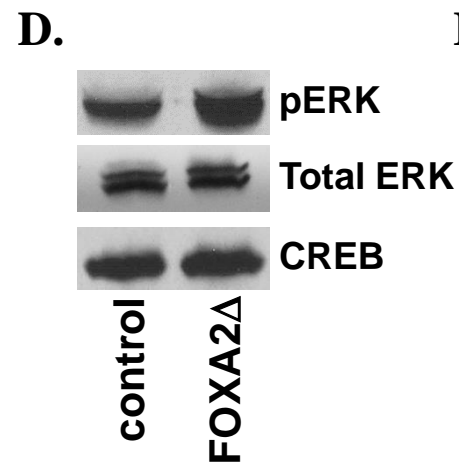
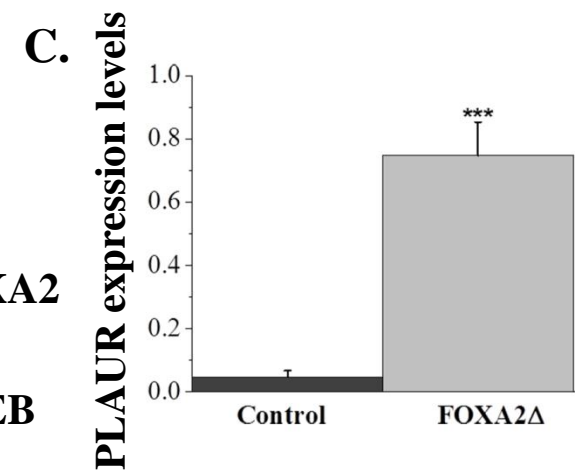
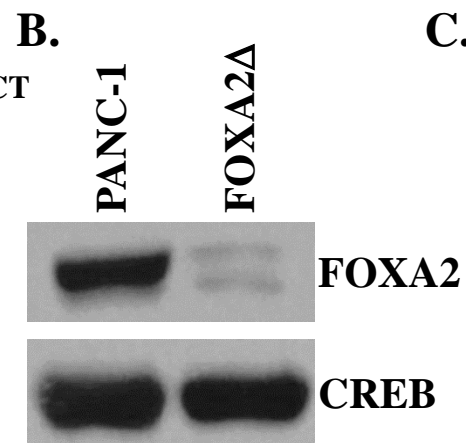
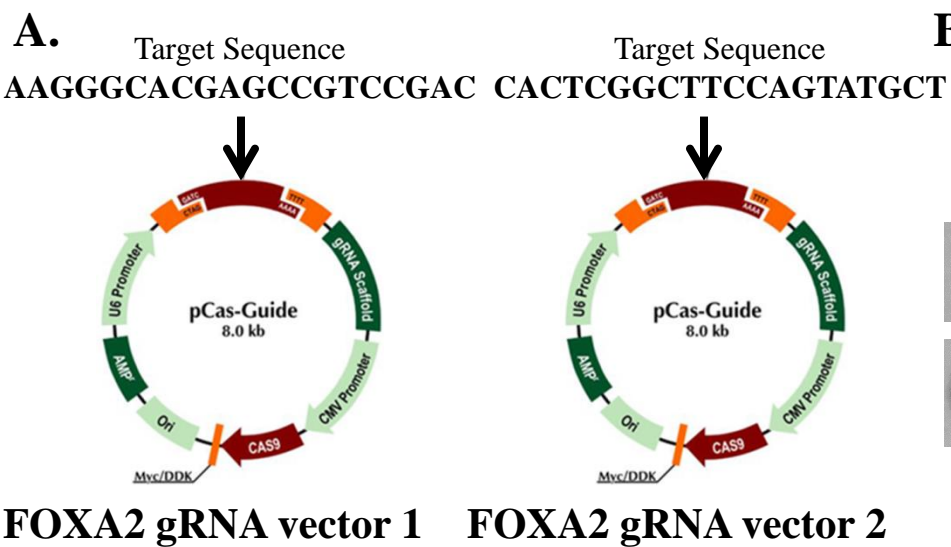
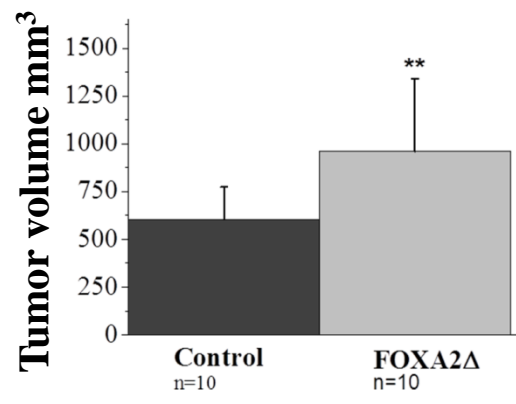
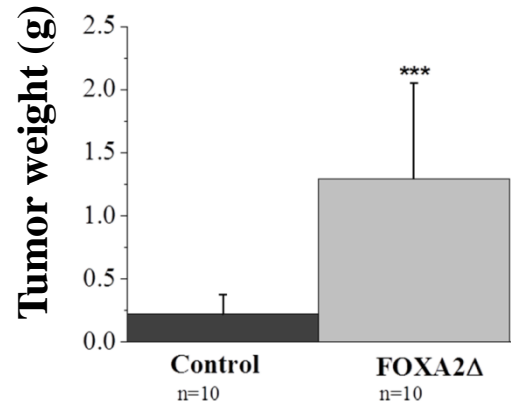


Figure 7

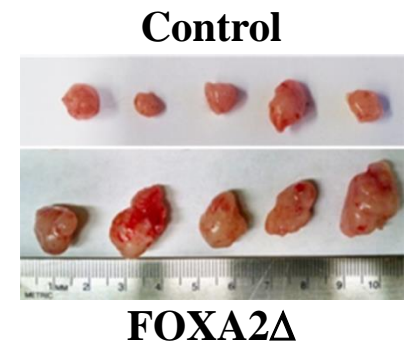
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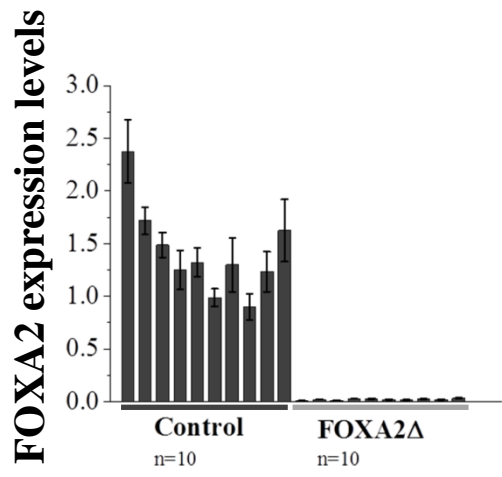
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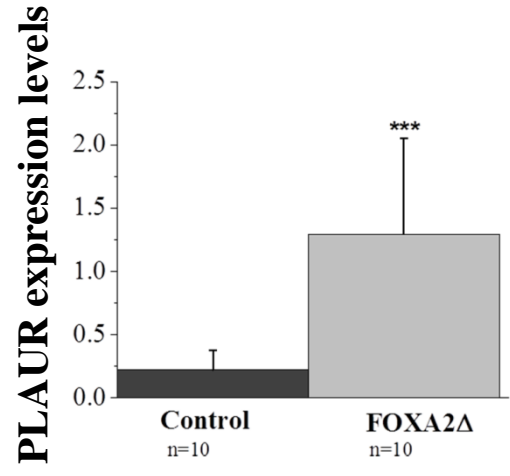
C.



D.



E.



F.

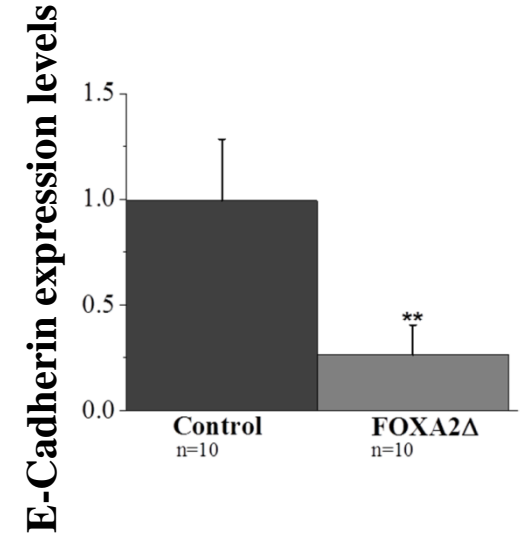


Table 1. Differentially expressed TFs in PDAC vs controls

Transcription Factor Name	Fold Change (PDAC vs Control)
ARNTL2	1.830414193
AHR	1.770715552
BHLHE40	1.620227569
CSDC2	-1.746860866
ELF4	2.026515581
ESRRG	-2.499329116
FOXA2	-1.556944005
FOXF2	1.777107854
FOXL1	1.861434191
FOXP2	-1.520345843
GATA4	-1.824959917
GLIS3	-1.563211851
HHEX	-1.648626826
HMGA2	1.806947596
HNF1B	1.627896229
HOXA3	1.751809197
HOXB2	1.593110965
HOXB6	1.549874116
HOXB7	2.557724696
HOXC9	1.683238922
ID1	1.653506642
KLF15	-2.700062809
KLF4	1.524845007
KLF5	1.728963085
KLF7	1.564062549
LEF1	1.913189882
MAF	1.58038649
MXD1	1.633748967
NR5A2	-3.26744002
ONECUT1	-2.764584396
PDX1	-1.60514131
PPARG	1.802035985
PRDM1	1.995993975
PRDM16	-1.858238085
PRDM5	-1.554285542
PRRX1	1.57618735
PROX1	-2.480756568
SOX6	-1.615514705
TFAP2A	2.652273186
TWIST1	1.921317868
VDR	1.755868703
ZBTB16	-1.687271795