

1 **THE MECHANICS OF A SILT-SIZED GOLD TAILING**

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15 **Abstract**

16 Tailing dam failures result in irreversible environmental impacts and cause fatalities. In
17 recent years the mechanical behaviour of tailing geo-materials has received more attention by
18 the geomechanics and engineering geology communities in an attempt to understand better
19 their behaviour in the light of designing safer tailing dams. In this study, the mechanical
20 behaviour of a gold tailing from Brazil is thoroughly investigated by conducting a series of
21 compression and shearing tests as well as dynamic element tests. Fabric effects from the sample
22 preparation method, the susceptibility to liquefaction and the possibility of any transitional
23 behaviour are presented and discussed within a soil mechanics framework. Comparisons are
24 made between the present gold tailing and previously published data on other tailings, giving
25 a general view of the mechanics of tailings and the effects of grading. The results show that for
26 this tailing the rate of convergence for different initial densities to the normal compression line
27 is slow, and so the depositional density would affect the volume to far higher stresses than the
28 material would be expected to experience in-situ. For this tailing any fabric effects from the
29 sample preparation method were found to be very small to negligible with respect to small-
30 strain behaviour and critical state behaviour. For different tailings, even if the particle sizes
31 may cover a wide range, the susceptibility to static liquefaction, as determined by the location
32 of the horizontal asymptote of the critical state line in the specific volume-log stress plane,
33 shows no consistent variation. So it can be concluded that neither the pond nor the upper beach
34 tailings are more susceptible.

35
36 **Keywords:** tailings; compression behaviour; shearing behaviour; particle characteristics;
37 geotechnical testing.

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39

40 **1. Introduction**

41 Tailings are the by-products after the mining processes to extract the valuable fractions
42 from ores. The mineralogies, gradings and particle morphologies of tailings vary a lot due to
43 the different composition of the parent ores as well as the different extraction processes they
44 undergo. The most common method to dispose of the tailings is impounding a slurried material
45 within a tailings dam, usually being built with the coarser disposal products. Due to the
46 relatively small particle size and high water content, tailings materials often have a high risk of
47 failure due to liquefaction, caused by either static or seismic loading. Even when the tailings
48 liquefaction is not directly responsible for the initial failure, it can exacerbate a failure because
49 its loss of strength causes it to apply a large hydrostatic force to the dam (e.g. Gens and Alonso
50 2006). If the dam fails catastrophically the runout of the tailings can be fast and fatal (e.g.
51 Chandler and Tosatti, 1995). Between 1912 and 2014 there were more than 240 tailings dam
52 incidents (WISE 2014). The stability performance has therefore concerned many researchers
53 studying the mechanics of tailings/tailing dams (Okusa and Anma, 1980; Fourie et al., 2001;
54 Zandarin et al., 2009; Carrera et al., 2011; Chang et al., 2011; Bedin et al., 2012; Ozer and
55 Bromwell, 2012; Schnaid et al., 2013; Coop, 2015; Zhang et al., 2015).

56 In this work, detailed laboratory tests were designed to investigate the mechanical
57 behaviour of a silt-sized gold tailing. Comparisons are then made between the present results
58 and reported data in the literature on different tailings to obtain a general view of their
59 behaviour, covering a broader range of mineralogies, particle characteristics and types of
60 tailing materials. The analysis/comparisons are made within a soil mechanics framework.
61 Emphasis is given to the compression and shearing behaviour, static liquefaction, the possible
62 occurrence of transitional behaviour (as defined by e.g. Martins et al., 2001; Altuhafi and Coop,
63 2011; Xu and Coop, 2016), sample preparation effects as well as their small-strain
64 stiffness/stiffness anisotropy.

65 **2. Materials and procedures**

66 A silt-sized (gold) tailing material, collected from the Fazenda Brasileira disposal plant
67 in Northeast Brazil, was tested in the study in its “natural” or in-situ grading. The grading curve
68 of the soil is given in Figure 1. The tailing has a fractal grading with fractal dimension D_F of
69 2.19, obtained using the method proposed by Tyler and Wheatcraft (1992). Fractal gradings
70 can represent a broad range of geological materials often found in nature in terms of grain size
71 distribution (Vallejo, 1996; Hyslip and Vallejo, 1997), and have been reported to have complex
72 behaviour (e.g. Altuhafi and Coop, 2011). In the same figure, the tailing tested by Bedin et al.
73 (2012) and Schnaid et al. (2013) is also given for comparison. The latter comes from the same
74 tailing impoundment but is coarser, which resulted in noticeable particle breakage from the
75 shearing tests reported by Bedin et al. (2012).

76 The parent ore vein is located within the Rio Itapicurú Greenstone Belt (RIGB). Gold,
77 which is present in a very small percentage, is the benefited ore in the vein, with gangue
78 minerals predominately of quartz, albite, chlorite and sulphides. Ore-dressing, such as crushing
79 and grinding, is done first to expose the beneficial minerals for metallurgical extraction, in
80 which the gold is extracted chemically through a heap leaching system. Several methods for
81 hydraulically placing gold tailings are used: the cyclone system, the spigot system, and even
82 (occasionally) open-end discharge behind the containment wall. The sequence of placement
83 changes continuously using one or other of the downstream, centreline or upstream placement
84 techniques. X-ray diffraction (XRD_D8 Advance) analysis (see Figure 2), was conducted at
85 the Institute of Rock and Soil Mechanics, Chinese Academy of Sciences. The voltage and
86 current used are 40 kV and 40 mA respectively, and the angular range is between 3° and 70° .
87 The Reference Intensity Ratio (RIR) method was used to determine the mineral composition
88 percentages, which indicates that the major minerals within the tailing are quartz (22.1%),
89 albite (25.0%), chlorite (47.1%), and calcite (5.9%). The present finer gold tailing contains

90 twice the quantity of chlorite (which belongs to clay-sized minerals) as the coarser one tested
91 by Bedin et al. (2012), but it did not give any plasticity to the soil. Table 1 gives a summary of
92 basic grading characteristics of the present tailing as well as a number of tailings from previous
93 works which were re-analysed and compared with the results of the present study to obtain a
94 general view of the mechanical behaviour of tailings.

95 A series of oedometer and triaxial tests was conducted on the gold tailing (summarized
96 in Tables 2 and 3) using front loading oedometer frames. The diameters of the rings used were
97 50 mm and 30 mm, the latter having a floating ring design in order to reduce wall friction
98 (Rocchi and Coop, 2015; Okewale and Coop, 2017), giving resultant maximum vertical
99 stresses of 7 MPa and 20 MPa, respectively. This helped to investigate better the compression
100 behaviour of the soil at greater pressures. The initial specific volumes are the mean values
101 calculated from the measurements of initial weights, dimensions and water contents (for
102 saturated samples) as well as final ones, accounting for the volume changes during the tests
103 (similar to the calculation procedure described by Rocchi and Coop, 2014). For the triaxial
104 tests, most of the samples were tested in a conventional apparatus, with maximum working cell
105 pressure of 700 kPa. A high pressure triaxial with a maximum cell pressure of 7 MPa was also
106 used for a limited number of tests to examine the behaviour over a broader range of pressures.
107 For both apparatus, the sample size was 38 mm in diameter and 76 mm in height. The samples
108 were first saturated with initial flushing using CO₂ to accelerate the process and then they were
109 isotropically compressed and sheared under conventional drained (CID) or undrained (CIU)
110 conditions. Reconstituted samples were used since intact ones were not possible to obtain from
111 this tailing structure. Three different sample preparation methods were adopted to identify any
112 effects of fabric: dry compaction, wet compaction and slurry (details are given in Tables 2 and
113 3). Even though the intention was to reproduce in-situ states, the construction of samples with
114 different preparation methods gives some more general insights.

115 A number of samples was also prepared and tested with bender elements inserted in a
116 Bishop and Wesley (1975) apparatus. Details of the samples are given in Table 4. The bender
117 elements were configured in three different directions, allowing the investigation of elastic
118 stiffness and stiffness anisotropy (details in Li and Senetakis, 2017). The three components of
119 shear stiffness could be measured; G_{vh} , G_{hv} and G_{hh} . In each case a single shot sine wave was
120 used, interpreted using the first arrival method and checking a range of input frequencies to
121 ensure that the arrival time was not a function of frequency. All the samples were prepared in
122 a split mould of 50 mm internal diameter and 100 mm in length using two different methods:
123 dry compaction and slurry. By preparing samples with these two different methods, apart from
124 achieving different initial void ratios, the effects of the preparation method on the elastic
125 stiffness, G_{max} , and any possible anisotropy were investigated.

126

127 **3. Results and discussion**

128 3.1 Compression behaviour

129 Based on the oedometer test results in Figure 3, it can be seen that the compression curves
130 from different initial densities give a relatively slow convergence and a unique NCL cannot be
131 obtained even when the vertical stresses reach 7 MPa. The accuracies of the initial specific
132 volumes are about ± 0.02 , estimated from the differences between values calculated by different
133 means (see Rocchi and Coop, 2014), which is much lower than the difference (0.1) between
134 the specific volumes of the loosest and densest samples at 7 MPa, so the non-convergence is
135 significant. In contrast, in the two floating ring oedometer tests (No.9 and No.10), in which the
136 maximum vertical stresses reached 20 MPa, the compression curves are finally just about
137 convergent to a unique one dimensional normal compression line (1D-NCL), which means that
138 the convergence of the curves takes place at very high pressures that the tailing will not
139 experience in-situ. Based on Ponzoni et al. (2014), the m value was quantified from the v_{final} -

140 v_{initial} graph, which is the slope of the line defined by all the $v_{\text{final}}-v_{\text{initial}}$ points. In this study the
141 specific volumes were taken at 7MPa and 20kPa, respectively (Figure 4). Error bars based on
142 the estimated accuracy of the specific volumes for each test are shown, indicating that the m
143 value at 7 MPa of 0.13 is significant. At 20 MPa vertical stress, the compression curves nearly
144 converge and so the m value would be close to 0, which means that differences of initial specific
145 volume that result from the initial fabric can be erased by large strains at very high pressures.
146 While the soil is not strictly transitional in that convergence can be brought about within the
147 strains and stresses available, it is at least “slowly convergent”. Stresses in a typical tailings
148 lagoon are much less than applied here and so the depositional density would affect the in-situ
149 specific volume. From Figure 4, it can also be seen that, despite some scatter, the data points
150 from different sample preparation methods define a unique line, which means that the initial
151 fabrics that might arise from different sample preparation methods do not affect the
152 convergence.

153

154 3.2 Shearing behaviour

155 3.2.1 Stress-strain data

156 The stress-strain behaviour of the gold tailing under drained and undrained conditions is
157 shown in Figure 5. The stress-strain curves at lower confining pressures are expanded in Figure
158 5(a). For the undrained tests, most of the curves have a similar shape. The initial peak deviatoric
159 stresses typically occur at about 1%-3% axial strain, and then a quasi-steady state is reached,
160 which only lasts for a short interval of strain, after which the shear stress increases again. Most
161 of the undrained tests were sheared to 35%-40% axial strain to reach the critical state. However,
162 in some of the tests, a constant deviatoric stress was still not achieved. In Figure 5(b), the
163 changes of pore water pressure, Δu are normalised by p_0' , the mean effective stress at the start
164 of shearing, to allow for the effect of different confining pressures. At the start of shearing, the

165 samples are contractive with positive changes of pore water pressure. With the increase of axial
166 strain, a dilative trend occurs and the pore water pressures begin to decrease, causing the
167 increase of deviatoric stress. As for the deviatoric stresses, it can be seen that not all of the pore
168 water pressures reach constant states, which means that these tests have not quite reached
169 critical states, even at these very large strains. For the drained tests, almost all of the curves
170 show post peak strain-softening after peak states at about 10%-20% axial strain. As shown in
171 Figure 5(c), the volumetric strains are contractive initially and then stabilize quickly for looser
172 samples, while for denser samples they become dilative just before the peak shear stresses are
173 reached. The volumetric strains are all reasonably stable at the end of the tests which means
174 the samples have reached or nearly reached critical states.

175

176 *3.2.2 Stress paths and critical states*

177 The stress paths are shown in Figure 6, the tests at lower confining pressures (<200 kPa)
178 being expanded again (Figure 6b). Since the end points of the undrained tests are easy to
179 identify, only those for the drained tests are indicated. It can be seen that the end points all
180 define a unique CSL in the $q: p'$ plane and a gradient M of 1.41, so that the critical state angle
181 of shearing resistance, ϕ'_{cs} , is 34.8° , which is the angle of shearing resistance at large strains
182 (the critical state) and is principally controlled by grading, particle shape and the inter-particle
183 sliding friction. The stress paths of the undrained tests are S-shaped, being contractive until a
184 minimum mean effective stress or phase transformation is reached, with the pore water
185 pressures increasing quickly, and then the behaviour changes to dilative.

186 The shearing paths together with the 1D-NCL of the gold tailing are shown in Figure 7.
187 Also shown is an isotropic NCL, the location of which is estimated from the isotropic
188 compression paths, the CSL and the 1D-NCL, since none of the isotropic compression stages
189 was to sufficiently high pressure to identify it unambiguously. The end points of the shearing

190 tests, which are highlighted, define a unique CSL, despite some scatter, which means that
191 residual differences in specific volume after the compression, as a result of the slowly
192 convergent behaviour, can be erased by shearing. The slight incompleteness of a few tests,
193 despite the large strains reached, probably adds a little to this scatter, and for the most
194 incomplete test an arrow indicates the direction of travel.

195 The CSL is curved, and at lower stress levels it is relatively flat while at higher stress
196 levels it tends to be parallel to the 1D-NCL. This curved critical state line gives rise to a
197 changing susceptibility to liquefaction as the stress level increases, similarly to Carrera et al.
198 (2011). The two loosest samples sheared from $p_0' = 20$ kPa nearly reach full static liquefaction,
199 as can be seen from their very low final values of p' , but the shearing paths show a small
200 inversion at the end of the tests, probably due to the lateral restraining effect of the membrane
201 at high levels of strain. The very low final p' values arise because the end states lie on the almost
202 horizontal part of the CSL. However, at higher stresses even samples with positive state
203 parameters reach stable critical states at finite and large values of p' since their end states lie on
204 the straight part of the CSL, limiting the p' or volume reduction in shear. Since the initial states
205 of most of the samples lie on the dry side of the critical state line, strong strain softening was
206 not generally observed.

207 The particle size distributions of the gold tailing samples before and after shearing at 6
208 MPa are shown in Figure 1. No particle breakage was observed which proves that particle
209 breakage is not a necessary feature of a curved CSL, as also found by Carrera et al. (2011) and
210 in contrast to what was found for the coarser tailing from this site by Bedin et al. (2012), which
211 underwent substantial breakage (Figure 1).

212 Normalised shearing stress paths are illustrated in Figure 8, where q and p' are divided
213 by the equivalent pressure on the critical state lines, $p_{cs}' = \exp((\Gamma-v)/\lambda)$. The q axis is further
214 normalised by M so that the CSL plots at (1,1). Only the straight part of the CSL at higher

215 stress level is adopted for this normalisation, since it cannot work for the curved part, which
216 would give a very large apparent State boundary surface (SBS) as the p_{cs}' value for a nearly
217 liquefied sample is close to 0. The SBS defines the boundaries above which no stress/volume
218 state can exist (Roscoe et al., 1958; Roscoe and Burland, 1968), The wet side of SBS can be
219 identified from the sample isotropically consolidated to 6 MPa, which is considered to have
220 reached the isotropic NCL. The stress ratio between the isotropic NCL and CSL is about 2.2,
221 which is similar to that of many clays, but the gold tailing is non-plastic and there is a peak in
222 the SBS on the wet side of the CSL, which is a feature of sands (Coop and Lee 1993). It can
223 also be seen that the normalised stress paths for drained and undrained tests have different
224 shapes with the undrained paths showing much more obvious dilative tails, which is another
225 feature of sands.

226

227 *3.2.3 Effect of preparation method*

228 From the bender element tests (Figure 9), it was revealed that for the two preparation
229 methods, the samples had very similar values of the three different moduli, i.e. $G_{vh} \approx G_{hv} \approx G_{hh}$,
230 which indicates an isotropic fabric. It should be noted that G_{vh} is in some cases not perfectly
231 equal to G_{hv} , as it should be (e.g. Jovicic and Coop 1998; Li and Senetakis, 2017), but the
232 maximum difference is only about 10%. The difference might be attributed to the different
233 boundary conditions between the vertical and lateral bender elements.

234 In Figure 10, the plots of G_{vh} against p' are shown, comparing the slurry method (S) and
235 compaction method (C). For the compacted, both dry and saturated (sat) samples were tested.
236 The values of shear modulus are normalized with respect to a void ratio function, $f(e)=e^{-0.29}$
237 (Payan et al. 2016) to eliminate possible effects of initial density. Within the scatter of the data,
238 Figure 10 demonstrates that the method of sample preparation and also saturation did not have
239 any significant effect on the stiffness after its normalization.

240 Figure 11(a) shows that the stress paths of samples prepared by different methods exhibit
241 similar phase transfer states and dilative tails, the exact shapes being controlled by the initial
242 densities and also the stresses the samples were sheared from, so that the wet compacted sample
243 at 50 kPa has a slightly sharper phase transformation than the slurry sample because it was
244 slightly looser. It was not possible to obtain exactly the same specific volumes with different
245 preparation methods. Figure 11(b) shows that the critical state points from different preparation
246 methods define the same CSL. In summary, any fabric effects arising from the sample
247 preparation method are negligible at small-strains and in terms of critical state behaviour, and
248 any differences of stress path may be explained by the different initial densities of the samples.
249 The behaviour at peak is also affected by the density, and so by the preparation method.

250 Scanning Electron Microscope (SEM) images were taken, using an Environmental SEM
251 (FEI/Philips XL30 ESEM-FEG), to investigate the fabric of slurry and wet compacted samples
252 (Figure 12). No obvious particle orientation or aggregation can be observed in either samples,
253 which means that the preparation method has little effect on the fabric, as also found by Chang
254 et al (2011) for their gold tailing from the pond. Similar observations were made for other
255 tailings tested by Li (2017) and unpublished data by the authors, using different experimental
256 techniques to obtain samples for SEM images.

257

258 3.3. Comparisons between different tailings

259 *3.3.1 Results of this study compared with Bedin et al. (2012)*

260 The results presented in this study are now compared with the study of Bedin et al. (2012),
261 since the two tailings were obtained from the same tailings pond and have similar mineralogies
262 but different gradings. The coarser tailing of Bedin et al. (2012) was also subject to particle
263 breakage while that tested here was not (see Figure 1). Figure 13(a) shows a comparison of the
264 one-dimensional compression behaviour. It can be seen that the coarser sandy tailing has much

265 looser initial densities and the 1D-NCL is much easier to reach, as all the compression curves
266 converge at about 400 kPa vertical stress. For the finer silty tailing, a unique 1D-NCL still
267 cannot be identified even at 7 MPa vertical stress, and the location of the line is only finally
268 determined when the vertical stresses reached 20 MPa. The gradient of the 1D-NCL of the silty
269 tailing is slightly smaller, but what is remarkable is that the normal compression lines are in
270 very similar locations, despite breakage playing an important role in the mechanics of the
271 coarse material but not the finer.

272 Figure 13(b) shows a comparison of the critical states. The CSLs for the silty and sandy
273 tailings were found to be almost identical at larger stress levels but the horizontal asymptote of
274 the CSL for the silty tailing is above that for the sandy one. The combination of the denser
275 initial states and the higher asymptote would make this silty tailing much less susceptible to
276 static liquefaction, which is the contrary to the few existing studies on the liquefaction of
277 tailings (e.g. Carrera et al. 2011), but does agree with a study on iron tailings by Li (2017). The
278 steepening of the CSL of Bedin et al. (2012) indicates the beginning of particle breakage, while
279 no significant particle breakage was found in this study and yet the CSL is still curved. This
280 may have important implications in soil modelling and geotechnical/geological practice since
281 many soils in nature (apart from tailings-type materials) may be of silt-size, which implies no
282 noticeable particle breakage, but the critical state may deviate from the straight line as typically
283 adopted by practicing engineers.

284

285 *3.3.2 Behaviour of a broad range of tailings*

286 Comparisons are now made across a wider range of tailings from different locations and
287 parent rocks that have been reported in the literature, although the number of such detailed
288 studies is very limited (Chang et al. 2011; Carrera et al. 2011; Bedin et al. 2012; Li 2017).
289 Figure 14 gives the grading curves of these tailings. They are mainly silt to silty sand sized

290 with D_{50} values ranging from 0.011 to 0.220 mm. The mineralogies of the various tailings are
291 so diverse that they do not easily provide a basis for comparison, and so the following
292 discussion will focus on grading. However, they are generally composed of low-plasticity or
293 non-plastic material. There are four groups of materials: the gold tailing tested in this study and
294 by Bedin et al. (2012), the iron tailings tested by Li (2017), the gold tailings tested by Chang
295 et al. (2011), and the fluorite tailings tested by Carrera et al. (2011). The copper tailing tested
296 by Li (2017) has an intermediate sandy silt grading between the two Brazilian gold tailings.

297 One key difference is that the gradings of the Stava fluorite tailing of Carrera et al. (2011)
298 are of a rotating type where the gradings were changed artificially by adding increasing
299 amounts of fines, while the other tailings were tested with “natural” in-situ gradings, so the
300 gradings tend to translate with location in the lagoon and to be more poorly graded. The C_u
301 values of most of the tailings are higher than 5 and the C_z values are between 1-3, so they might
302 be considered as well-graded soils, but it can be seen from the grading curves that the major
303 parts of these curves are fairly vertical, followed by long tails, which give these high C_u and C_z
304 values, so in reality they are not really well-graded but relatively poorly graded. The sorting by
305 sedimentation at different locations may give rise to these kinds of grading curves and it is
306 possible that within the beach and lagoon the sedimentation of the coarser particles at any
307 location occurs within a suspension of fines. In Figure 15, the compression indices C_c are
308 plotted against D_{50} or C_u for different tailings. Within the scatter of the data, the compression
309 index was found independent of D_{50} , but a possible trend of decreasing C_c was observed with
310 increasing C_u . This finding is consistent with that of Altuhafi and Coop (2011), even though
311 for a single type of tailing, for example the iron tailings tested by Li (2017), C_c actually
312 increased with C_u , which indicates that C_u cannot be the only important factor, and effects of
313 minerology and/or particle shape may be more significant. However, since the mineralogies

314 and particle shapes of these tailings are complex, and very different between different tailings,
315 no clear conclusion can be drawn about their effects.

316 Figure 16 shows the CSLs of the different tailings. Except for the iron tailing taken from
317 the pond (PO) of a lagoon (Li, 2017), all the CSLs are curved, and are fairly flat at low to
318 medium stresses but tending to be parallel to their corresponding 1D-NCLs at high stresses.
319 The horizontal asymptotes of the CSLs of the different tailings vary significantly but the CSLs
320 at high stresses are perhaps more similar than might have been expected given the wide range
321 of gradings. For both the gold and iron tailings, the horizontal asymptote of the CSL for the
322 silty tailing is above that for the sandy one, which is contrary to the findings of Carrera et al.
323 (2011), perhaps because the former are for “natural” in-situ gradings. As Carrera et al. (2011)
324 and Bedin et al. (2012) found, it is the location of this asymptote that controls full static
325 liquefaction, although strong strain softening behaviour will still take place for undrained tests
326 that reach the curved section of the CSL. The CSL of the PO iron tailing is straight and has no
327 horizontal asymptote, while the CSLs of the gold and copper tailings do, even if the gradings
328 are fairly similar, so particle sizes are clearly not the sole indicator of behaviour.

329 Figure 17 shows the relationship between the critical state angle of shearing resistance
330 ϕ'_{cs} and D_{50} or D_F for the different tailings. Figure 17(a) shows that all the ϕ'_{cs} values for
331 different tailings fall into a narrow range at about $33^\circ \pm 2^\circ$, which indicates that the particle
332 sizes and mineralogies do not much affect ϕ'_{cs} . In Figure 17(b), within the data scatter, there is
333 a decreasing trend of ϕ'_{cs} value with the increase of fractal dimension D_L , which is consistent
334 with the results of Vallejo et al (2017).

335 Figure 18(a) shows the SBS of different tailings using the same normalisation as in Figure
336 8. The gold tailings data from this paper are repeated here but unfortunately a SBS is not
337 available for the coarser tailing of Bedin et al. (2012). The SBS has a variety of sizes and shapes,
338 but overall, as the tailings get coarser, the size increases and the peak on the wet (right) side of

339 the CSL becomes more pronounced as the SBS becomes more like that of a sand. Figure 18(b)
340 shows the relationship between the spacing of the isotropic NCL and CSL expressed as a stress
341 ratio and the D_{50} for the different tailings; the isotropic NCLs are not highlighted, but are at the
342 intercept of the SBS with the p'/p'_{cs} axis. It is clear that the ratio tends towards higher values
343 with the increase of mean particle size. For iron tailings (Li, 2017), the pond (PO) material has
344 a stress ratio of about 2.7, and the ratio for the gold tailing is about 2.2, which are both similar
345 to the values expected for clays, but these are non-plastic materials. For the copper tailing (Li,
346 2017), even if it has a similar grading to the middle beach (MB) iron tailing, the stress ratio of
347 about 3.3 is higher, again indicating that the mineralogies may be also important.

348 The horizontal asymptote of the CSL is assumed to define the specific volume above
349 which full static liquefaction must occur on undrained loading. These values (v_{liq}) are plotted
350 along with maximum and minimum specific volumes (v_{max} & v_{min}) against the fines content (f_c)
351 (Figure 19a). Since the standards ASTM D 4253 and D 4254 (2002) are not suitable to measure
352 the maximum and minimum void ratio for a sand with f_c higher than 10%, the v_{max} and v_{min}
353 here were obtained from the initial specific volumes of the loosest and of the densest samples
354 that could be prepared in the tests, similarly to Carrera et al. (2011). Different groups of tailings
355 are labelled with different symbols. In the results of Bedin et al. (2012), it is unusual that the
356 specific volumes of all samples lie above v_{liq} , which means that the coarse gold tailing is
357 extremely susceptible to liquefaction. Figure 19(b) shows same data plotted against D_{50} , but
358 this also seems not to affect the relative position of v_{liq} consistently. Lade and Yamamuro (1997)
359 reported a decrease in resistance to liquefaction with the increasing f_c , and that the liquefaction
360 boundary moves closer to e_{min} (here v_{min}) with the increase of f_c , up to 50%. For comparisons,
361 approximate trend lines for v_{max} , v_{min} and v_{liq} are added in Figures 19(a) and 19(b), based on
362 the data points of all the tailings except the gold tailing tested by Bedin et al. (2012), which
363 seem to be unusual compared with other tailings. As shown in Figure 13, the “natural” in-situ

364 gradings of the tailings tend to translate rather than rotate, and so analysing them in terms of f_c
365 may be misleading and it seems more reasonable to study them in terms of D_{50} . It can be seen
366 that the boundary specific volumes for different tailings do not change that much, even if the
367 D_{50} values range from 0.011 to 0.220 mm, but they do slightly decrease and increase again with
368 increasing D_{50} or f_c , which is consistent with Lade and Yamamuro (1997). The v_{liq} locations
369 seem quite scattered but overall they are reasonably constant in between the v_{max} and v_{min} , in
370 contrast to the findings of Lade and Yamamuro (1997). The implication of this is that in general
371 neither the finer pond tailings nor the coarser upper beach would be more susceptible to
372 liquefaction. However, for individual tailings, for example the iron tailings of Li (2017) or the
373 fluorite tailings tested by Carrera et al. (2011), the variation of susceptibility with grading may
374 be different. Again, the complex mineralogies, particle shapes and also the different gradings
375 types may give rise to these different behaviours and scatter.

376

377 **4. Conclusions**

378 A detailed study of the mechanics of a gold tailing from Brazil found that the one-
379 dimensional compression paths converged slowly and at 7MPa vertical stress a unique NCL
380 could still not be defined and so the material might be said to be slightly transitional or slowly
381 convergent. Different sample preparation methods gave very similar rates of convergence, and
382 so they had little effect on the compression behaviour. At 20MPa vertical stress level, the
383 compression curves finally converged. In shearing a unique CSL can be defined at much lower
384 stress levels than were required to bring about convergence in compression, which implies that
385 the differences of initial specific volume that can only arise from differences of fabric are more
386 easily erased by shearing than by compression. Through comparing the elastic shear moduli
387 and also the critical states of samples prepared by different methods, it was found that any

388 fabric effects from the sample preparation method were negligible at both smaller and larger
389 strain levels.

390 Comparing the results with the study of Bedin et al. (2012) on a coarser grading of the
391 same tailing, it is found that the 1D-NCL is much easier to reach for the sandy tailing, but that
392 at higher stresses the locations of the 1D-NCLs of the two tailings were surprisingly similar
393 given that sandy material undergoes significant breakage but the silty one tested here does not.
394 The CSLs for the silty and sandy tailings were also found to be quite similar, although the
395 horizontal asymptote for the silty tailing is above that for the sandy one. Combining this with
396 its denser initial states, this tailing would therefore be much less susceptible to liquefaction.

397 Comparing a variety of different tailings, it is found that neither the D_{50} nor C_u values
398 can be used to characterise the compression indices very successfully, but the C_u has a clearer
399 influence. Grading is clearly not the only important factor, and the effects of mineralogy and
400 particle shape must also be significant. The horizontal asymptotes of the CSLs of the different
401 tailings vary significantly but the CSL locations at high stresses are quite similar, considering
402 the wide variability in grading. Despite the differences of grading and mineralogy all the ϕ'_{cs}
403 values of different tailings fall into a narrow range at $33^\circ \pm 2^\circ$. The size of the state boundary
404 surface, as quantified with the stress ratio between the isotropic NCL and the CSL, tends
405 towards higher values with the increase of D_{50} .

406 The boundary specific volumes for different tailings do not change that much, given the
407 large grading differences, but they do slightly decrease and increase again with the increasing
408 f_c , which is consistent with Lade and Yamamuro (1997). The values of the asymptote of the
409 CSL which defines the specific volume above which full liquefaction must occur, v_{liq} , are quite
410 scattered but are generally reasonably constant in between v_{max} and v_{min} , with the increase of
411 either f_c or D_{50} , in contrast to the findings of Lade and Yamamuro (1997). The implication of

412 this is that in general neither the pond nor the upper beach is more susceptible to liquefaction,
413 but for individual tailings the trends may vary.

414

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417 Council of the Hong Kong Special Administrative Region, China (project no. CityU 112813).

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419 Mechanics, Chinese Academy of Sciences, for his help in the XRD tests.

420

421 **Notations**

422 1D-NCL one-dimensional normal compression line

423 C_c compression index

424 CSL critical state line

425 C_u coefficient of uniformity

426 C_z coefficient of curvature

427 D_{50} mean particle size

428 D_F fractal dimension

429 e void ratio

430 f_c fines content

431 G shear modulus

432 G_s specific gravity of soil particles

433 m gradient of $V_{final} : V_{initial}$ data

434 M stress ratio at critical state

435 NCL normal compression line

436 p' mean effective stress

437 p_0' mean effective stress the sample sheared from.

438 q deviatoric stress

439 SBS state boundary surface

440 SSL steady state line

- 441 v specific volume
442 v_i initial specific volume
443 v_{liq} horizontal asymptote of the CSL
444 v_{max} maximum specific volume
445 v_{min} minimum specific volume
446 w_i initial water content
447 Δu change of pore water pressure
448 σ'_{vmax} maximum vertical stress
449 Φ diameter of sample
450 ϕ'_{cs} angle of shearing resistance at critical state
451 ψ state parameter
452

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Table 1: Characteristics of different types of tailings.

Tailings type	D_{50} (mm)	C_u	C_z	G_s	C_c	ϕ'_{cs} (°)
Gold (in this study)	0.011	7.3	1.4	2.89	0.30	34.8
Gold (Bedin et al., 2012)	0.065	7.0	2.3	2.89-3.20	0.44	33.0
Gold-UB (Chang et al., 2011)	0.095	24.1	2.2	2.72	0.11	31.1
Gold-MB (Chang et al., 2011)	0.053	10.5	0.8	2.69	0.30	33.6
Gold-PO (Chang et al., 2011)	0.006	2.6	1.6	2.75	0.49	31.5
Copper (Li, 2017)	0.031	5.1	1.5	3.75	0.22	35.2
Iron-UB (Li, 2017)	0.22	10.4	2.6	3.37	0.38	34.6
Iron-MB (Li, 2017)	0.035	10	1.1	3.14	0.32	33.7
Iron-PO (Li, 2017)	0.023	6.7	2.2	3.11	0.19	34.8
Fluorite-sand (Carrera et al., 2011)	0.180	2.5	1.1	2.72	0.19	35.0
Fluorite-9010 (Carrera et al., 2011)	0.169	2.7	0.8	-	0.18	-
Fluorite-7030 (Carrera et al., 2011)	0.125	9.3	1.8	-	0.11	-
Fluorite-5050 (Carrera et al., 2011)	0.075	11.5	1.0	-	0.10	-
Fluorite-3070 (Carrera et al., 2011)	0.044	10.0	1.1	-	0.09	-
Fluorite-silt (Carrera et al., 2011)	0.026	9.7	2.6	2.83	0.10	33.0

562 Note: D_{50} mean particle size. C_u and C_z coefficient of uniformity and curvature. G_s specific
563 gravity. C_c compression index. ϕ'_{cs} angle of shearing resistance at critical state. UB, MB and
564 PO tailings taken from the upper beach, middle beach and pond of a tailings dam respectively.
565 9010 90% sand and 10% fines. 7030, 5050 and 3070 similar with 9010 but different sand and
566 fines contents.

Table 2: Summary of the one-dimensional compression tests.

Test no.	Φ (mm)	Preparation method	w_i (%)	v_i	σ'_{vmax} (kPa)
1	50	wet compaction	19	2.02	7,100
2	50		19	2.01	7,100
3	50		19	1.82	7,100
4	50		20	2.31	7,100
5	50		20	1.75	7,100
6	50		20	1.74	7,100
7	50		25	1.80	7,100
8	50		25	1.79	7,100
9	30		19	2.14	19,800
10	30		20	1.69	19,800
11	50	dry compaction	0	2.27	7,100
12	50		0	2.31	7,100
13	50		0	2.03	7,100
14	50		0	2.03	7,100
15	50		0	2.01	7,100
16	50		0	2.02	7,100
17	50		0	1.99	7,100
18	50		0	1.87	7,100
19	50		0	1.84	7,100
20	50		0	1.96	7,100
21	50	slurry	60	2.36	7,100
22	50		54	2.05	7,100
23	50		53	2.09	7,100
24	50		49	2.10	7,100
25	50		47	2.05	7,100
26	50		47	2.04	7,100
27	50		43	1.96	7,100
28	50		38	1.93	7,100

568 Note: Φ diameter of sample. w_i and v_i initial water content and specific volume. σ'_{vmax}
569 maximum vertical stress.

570

Table 3: Summary of the triaxial tests.

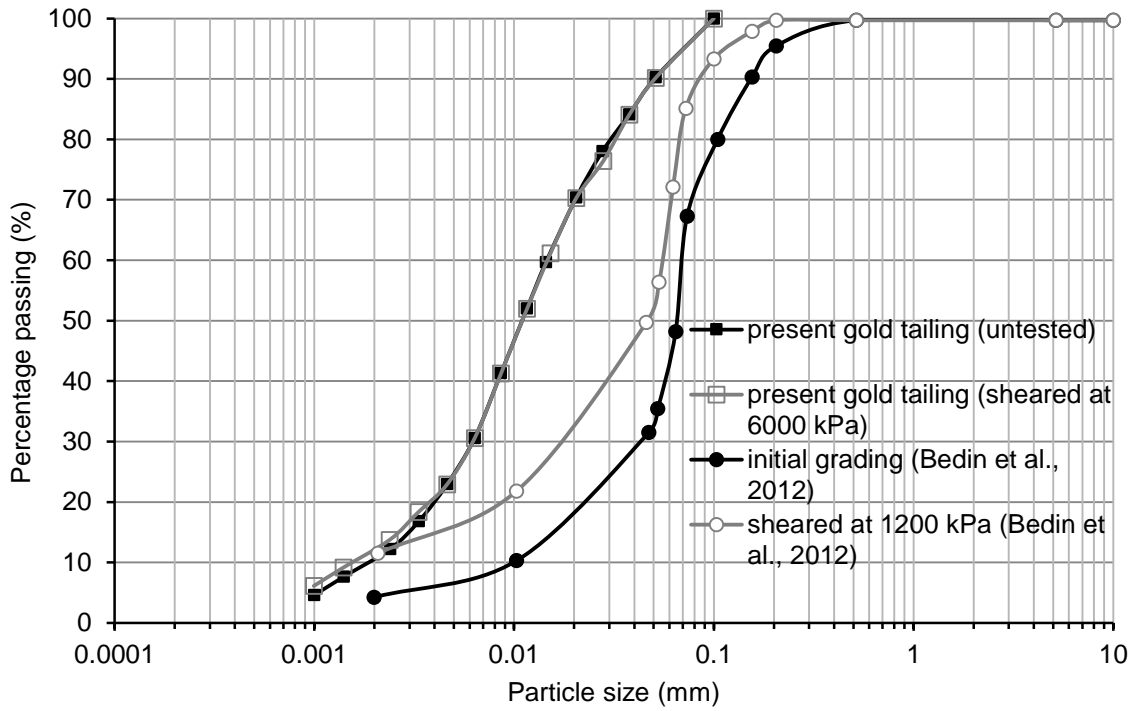
Test No.	Shearing type	Equipment	Preparation method	w_i (%)	v_i	p_0' (kPa)
1	CIU	Conv.	wet compaction	20	1.78	200
2	CIU	Conv.	wet compaction	20	1.82	400
3	CIU	Conv.	wet compaction	20	1.85	300
4	CIU	Conv.	wet compaction	20	1.91	100
5	CIU	Conv.	wet compaction	20	1.73	200
6	CIU	Conv.	wet compaction	20	1.73	100
7	CIU	Conv.	wet compaction	20	1.91	100
8	CIU	Conv.	wet compaction	20	1.94	400
9	CIU	Conv.	wet compaction	20	1.95	100
10	CIU	Conv.	wet compaction	20	1.97	400
11	CIU	Conv.	wet compaction	10	1.99	700
12	CIU	Conv.	wet compaction	10	2.01	600
13	CIU	Conv.	wet compaction	10	1.95	600
14	CIU	Conv.	wet compaction	10	2.06	50
15	CIU	Conv.	wet compaction	10	2.08	20
16	CIU	Conv.	wet compaction	10	2.08	20
17	CIU	Conv.	wet compaction	5	2.05	700
18	CIU	Conv.	wet compaction	5	2.03	50
19	CIU	Conv.	wet compaction	5	2.02	50
20	CIU	Conv.	slurry	40	1.91	50
21	CIU	Conv.	slurry	45	1.93	50
22	CID	Conv.	wet compaction	20	1.78	200
23	CID	Conv.	wet compaction	20	1.74	300
24	CID	Conv.	wet compaction	20	1.94	300
25	CID	Conv.	wet compaction	20	1.89	400
26	CID	Conv.	wet compaction	10	2.06	50
27	CID	Conv.	wet compaction	10	1.95	50
28	CID	Conv.	wet compaction	10	2.07	20
29	CID	Conv.	wet compaction	5	1.98	400
30	CID	Conv.	dry compaction	0	1.93	50
31	CIU	HP	wet compaction	20	1.68	6,000
32	CID	HP	wet compaction	10	1.99	6,000

572 Note: CIU isotropically consolidated undrained test. CID isotropically consolidated drained
573 test. Conv. conventional apparatus. HP test conducted with high pressure triaxial apparatus. v_i
574 and w_i initial specific volume and water content. p_0' mean effective stress the sample sheared
575 from.

Table 4: Summary of bender element tests.

Test no.	Preparation method	v_i	Dry/Sat	G_{vh}	G_{hh}	G_{hv}
S_sat_1	slurry	1.95	Sat	●	●	●
C-sat_1	compaction	2.01	Sat	●	●	●
C_sat_2	compaction	1.95	Sat	●	-	-
C_dry_1	compaction	1.98	Dry	●	-	-
C_dry_2	compaction	1.91	Dry	●	-	-
C_dry_3	compaction	2.14	Dry	●	-	-

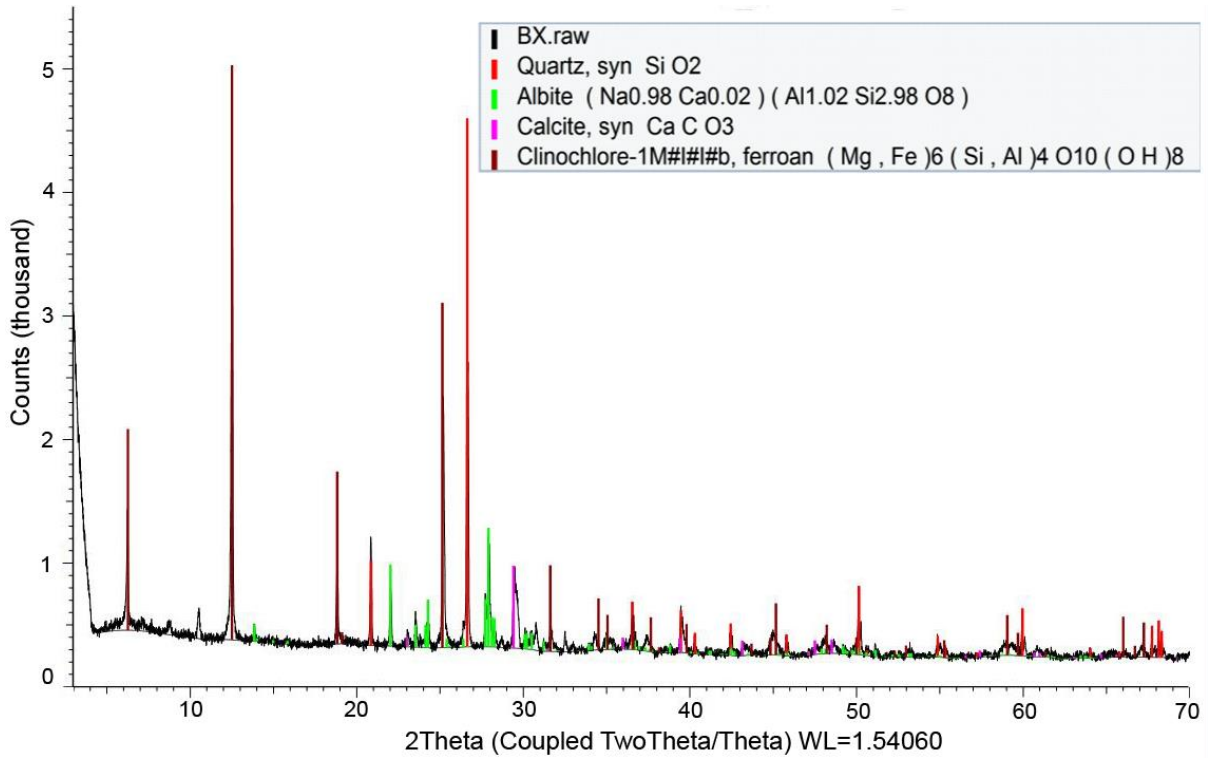
578 Note: S and C slurry and compaction. Sat saturated. v_i initial specific volume.



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Figure 1: Particle size distributions of the present and Bedin's gold tailings.

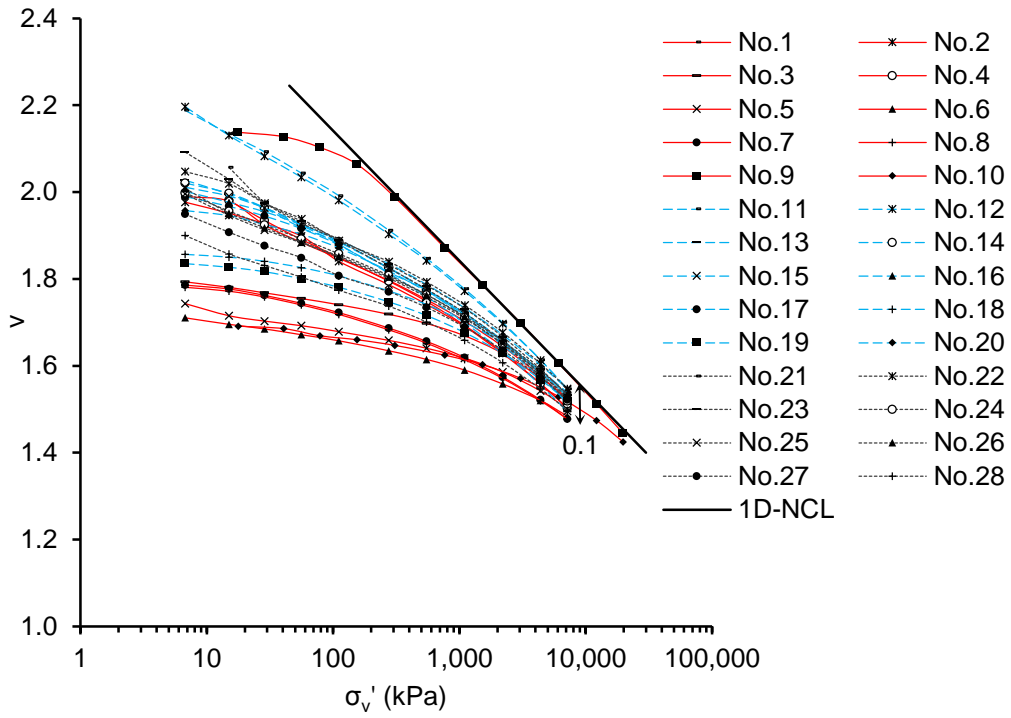


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Figure 2: XRD analysis quantifying the mineral composition of the gold tailing.

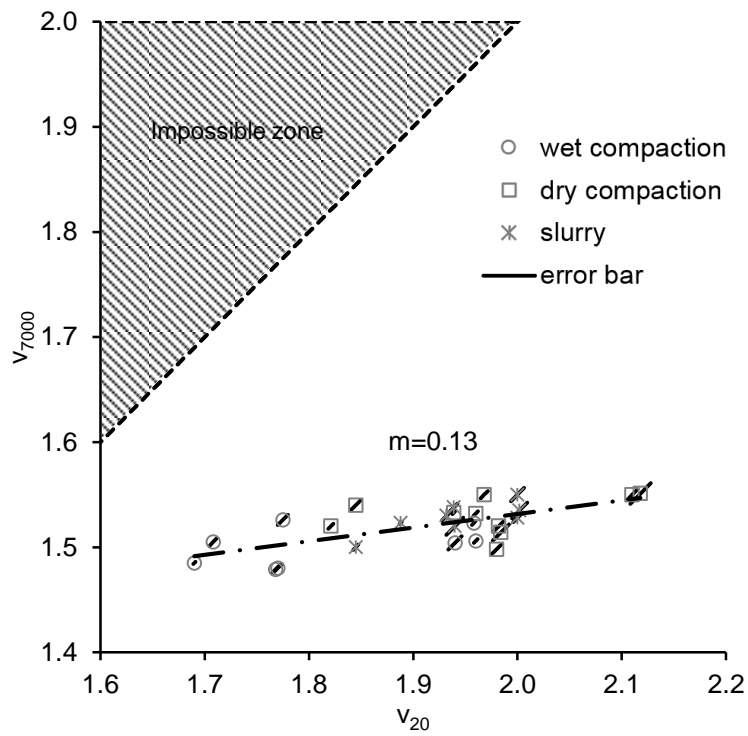
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Figure 3: One-dimensional compression behaviour of the gold tailing.

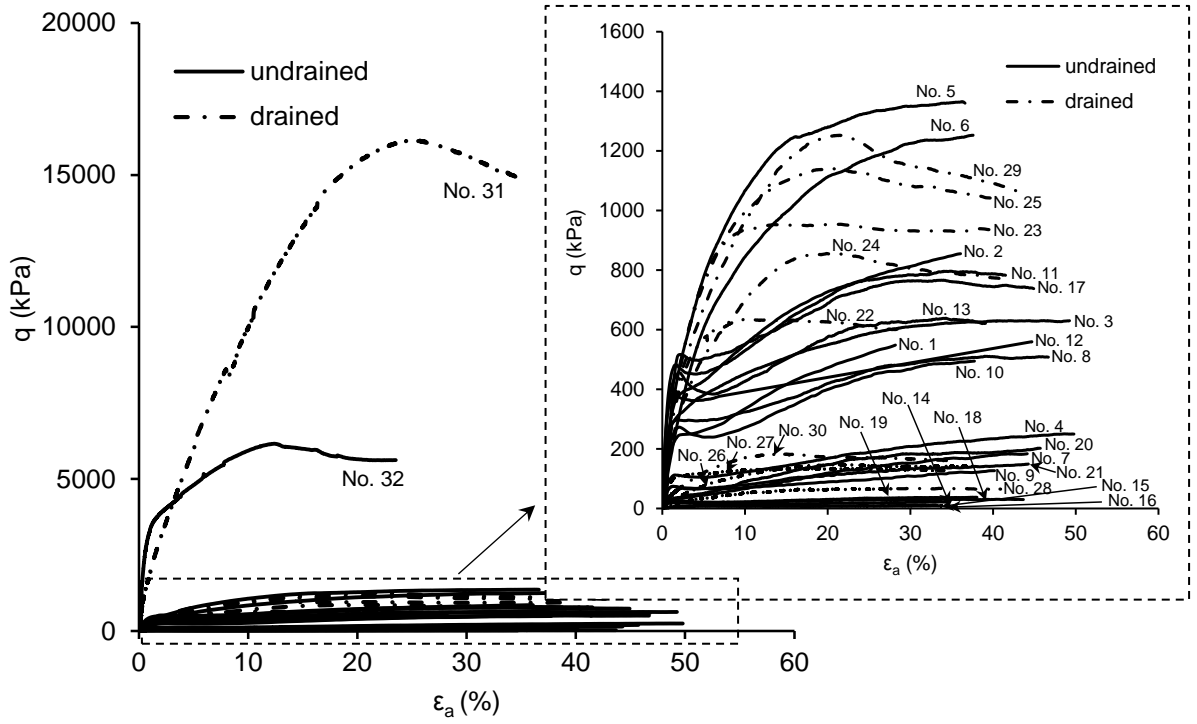


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Figure 4: Calculation of m values for the tests with a maximum vertical stress of 7 MPa.

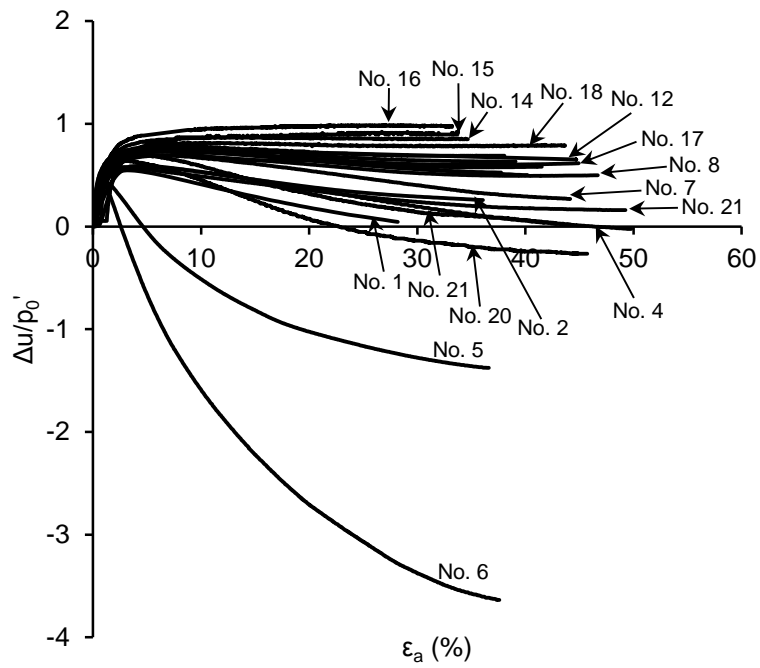
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(a)

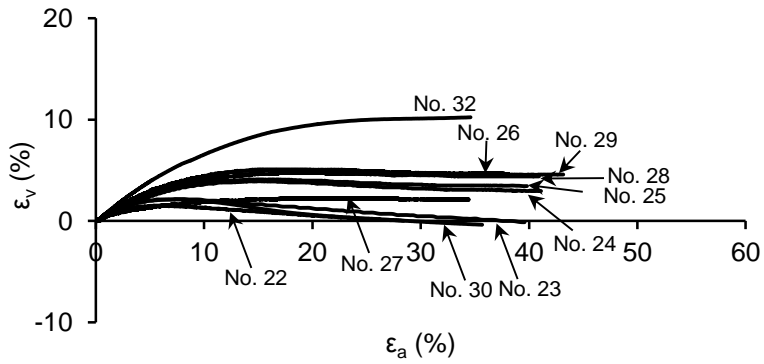


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(b)



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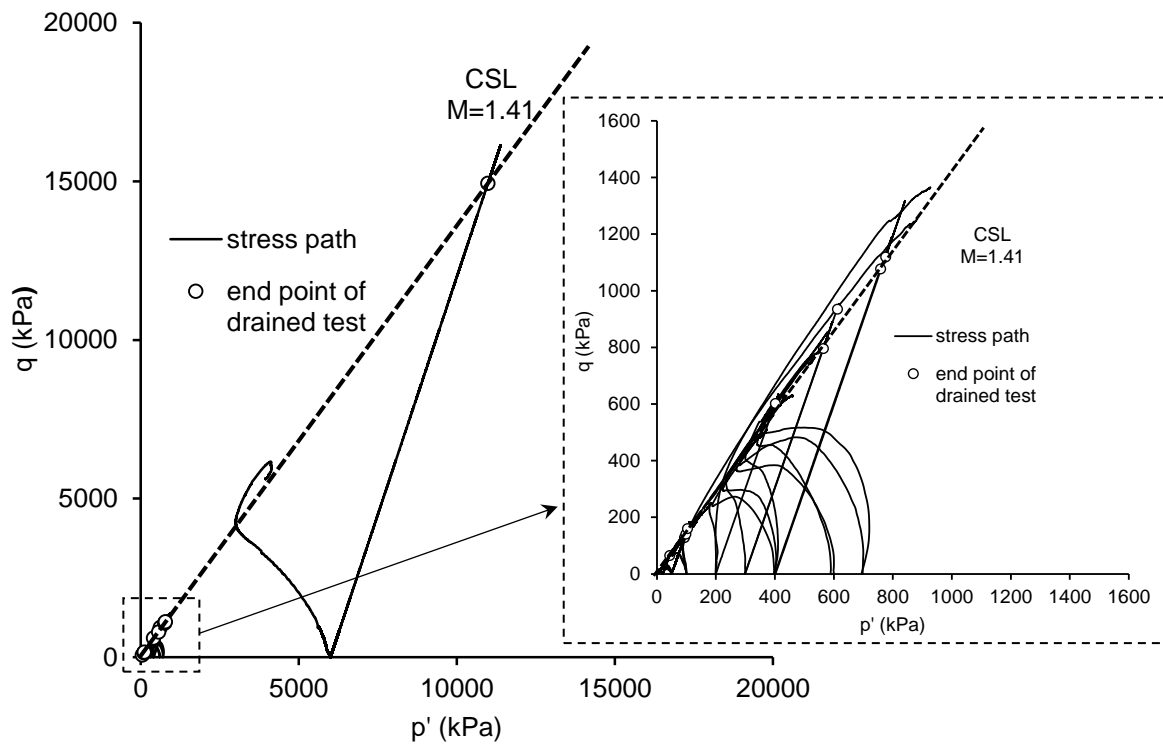
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(c)

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Figure 5: Triaxial test data of the gold tailing: (a) stress-strain data, (b) change of pore water pressure, and (c) volumetric strain.

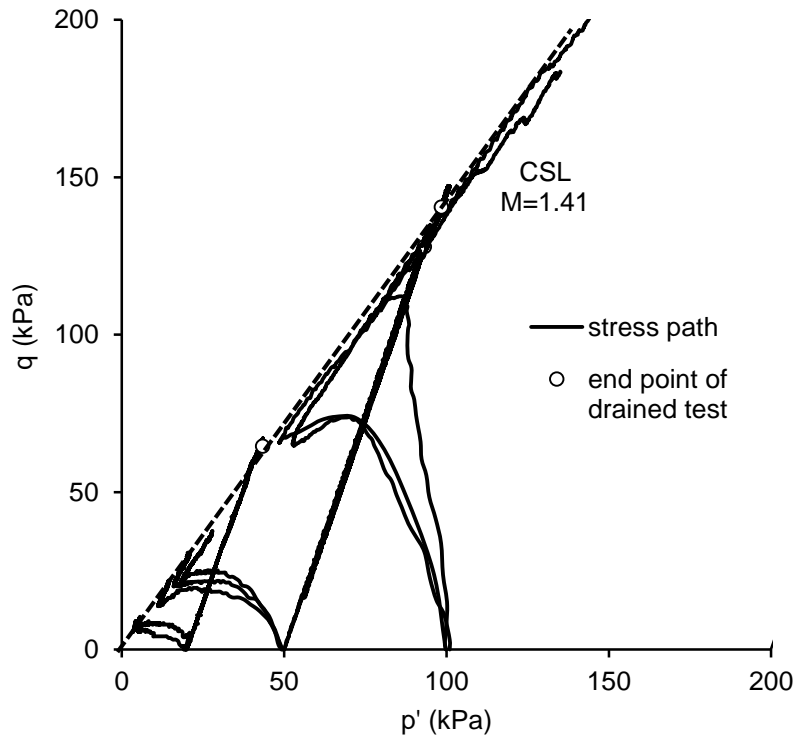
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(a)



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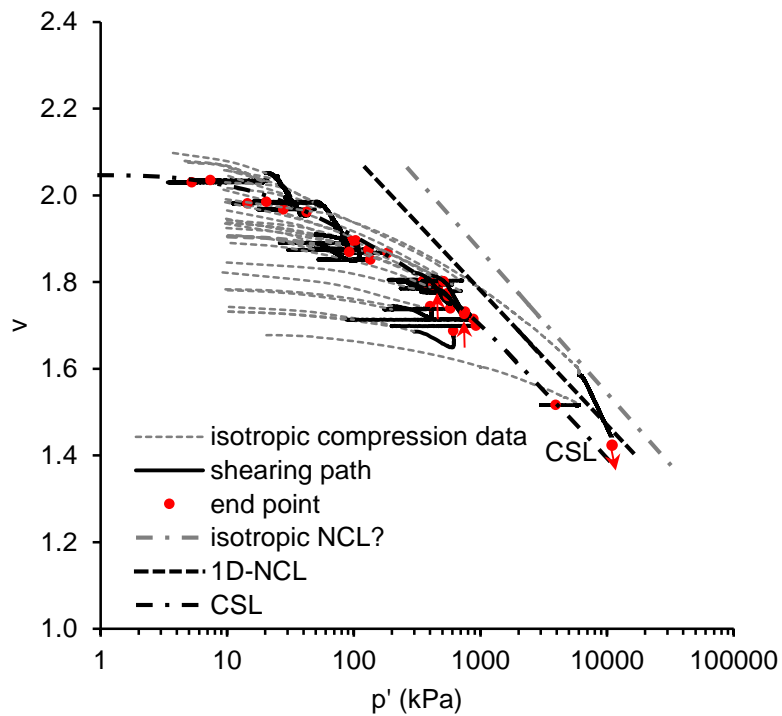
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(b)

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Figure 6: Stress paths and CSL in the $q: p'$ plane: (a) all stress paths, and (b) expanded scale for p_0' less than 200 kPa.

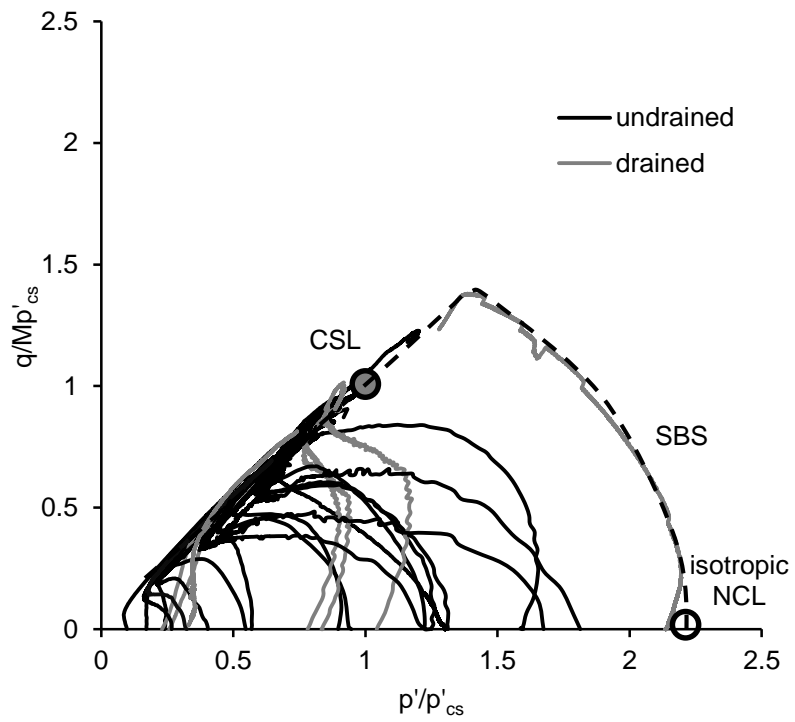
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Figure 7: CSL together with 1D-NCL & estimated isotropic NCL in the volumetric plane.

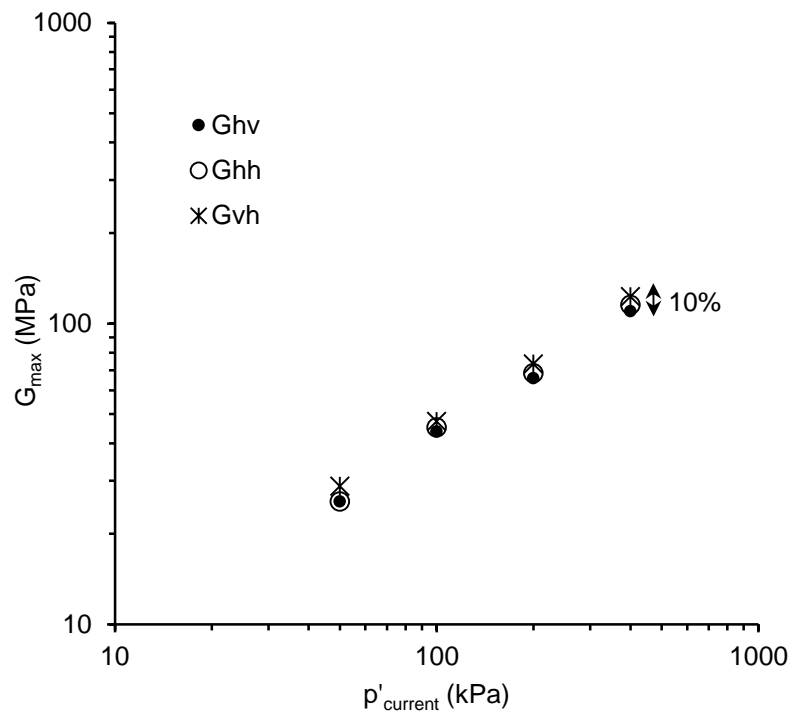


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Figure 8: Normalised stress paths of the gold tailings.

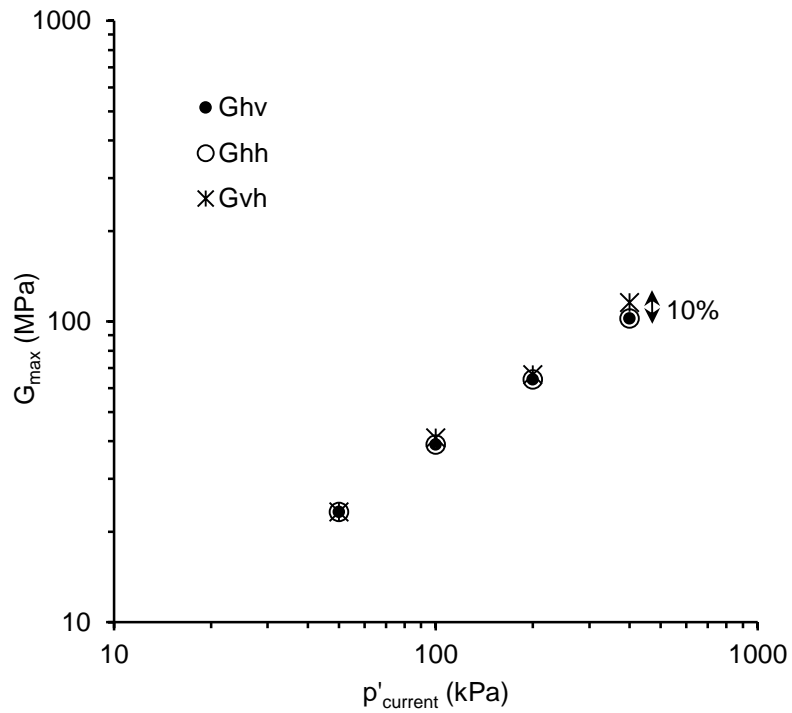
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(a)



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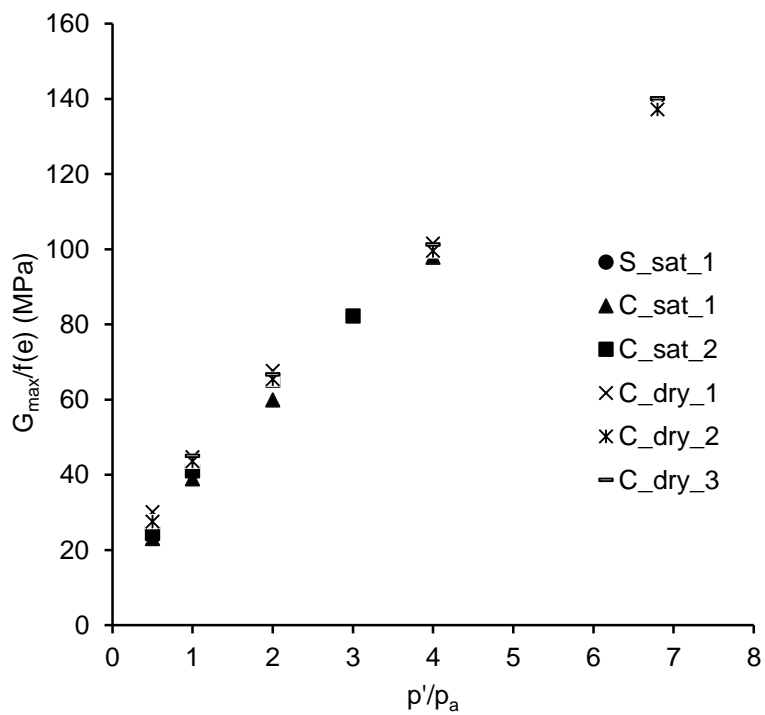
(b)

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Figure 9: Small-strain shear modulus against the mean effective confining pressure: (a) a

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slurry sample and (b) a dry compacted sample.



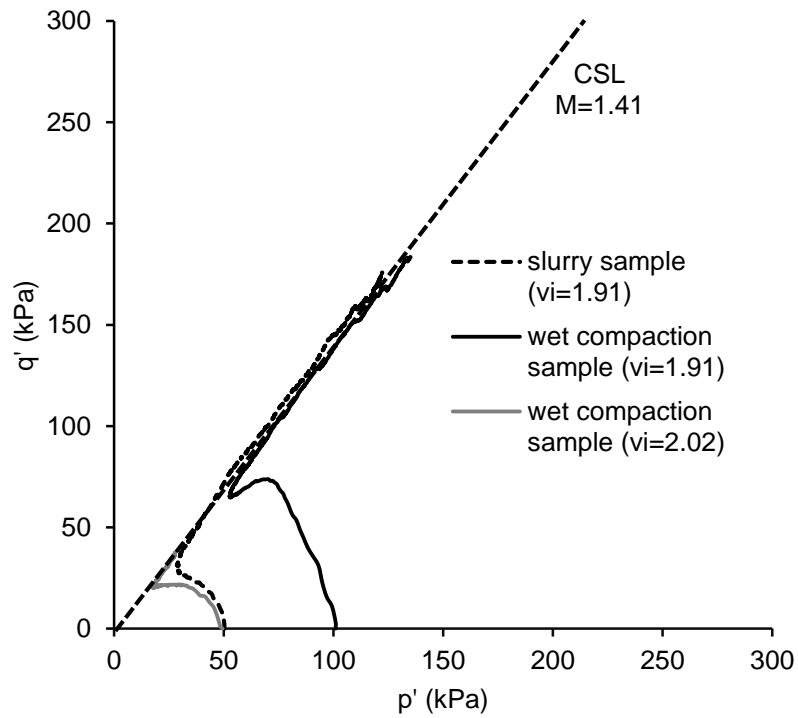
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Figure 10: Normalized shear modulus (G_{vh}) comparing samples prepared with different

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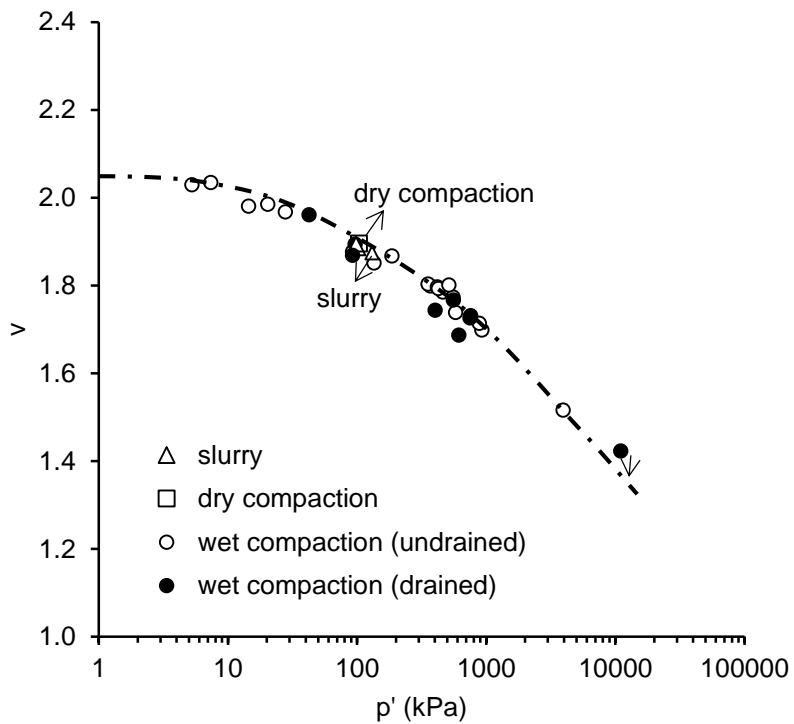
preparation methods.



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(a)



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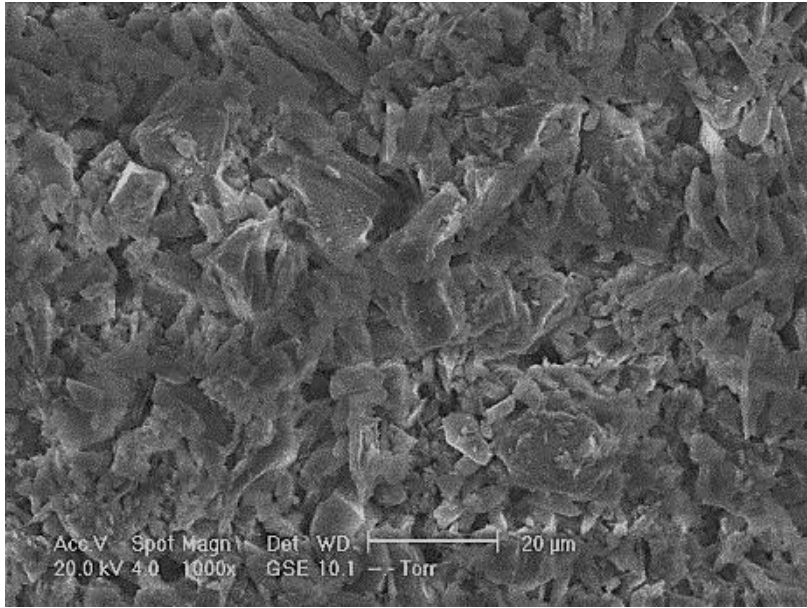
(b)

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Figure 11: Fabric effects from different preparation methods on: (a) stress paths and (b)

623

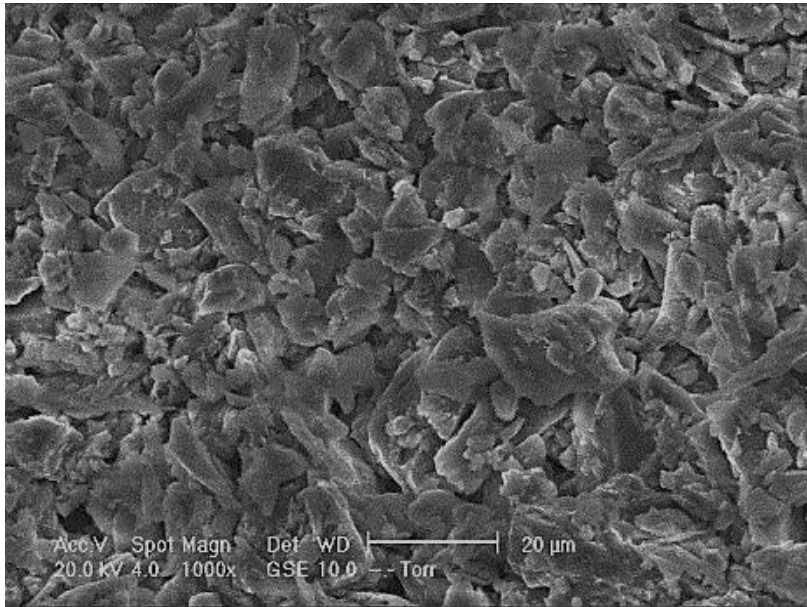
critical states.



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(a)



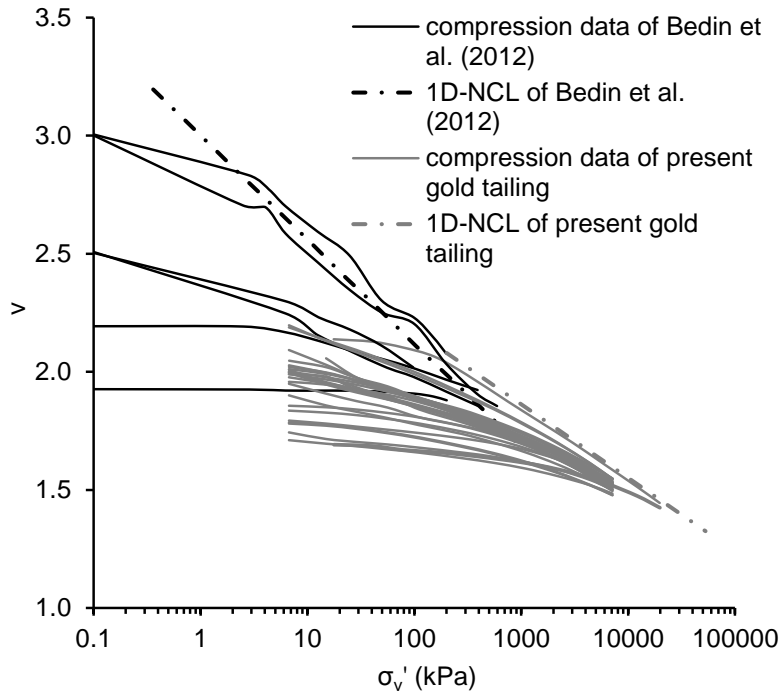
626

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(b)

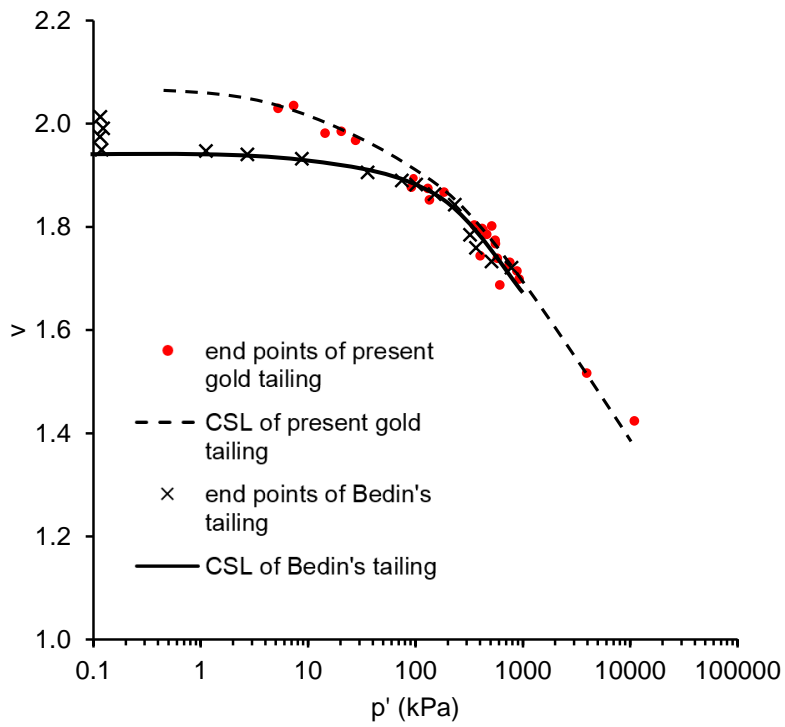
628

Figure 12: SEM pictures of: (a) a slurry sample and (b) a wet compacted sample.



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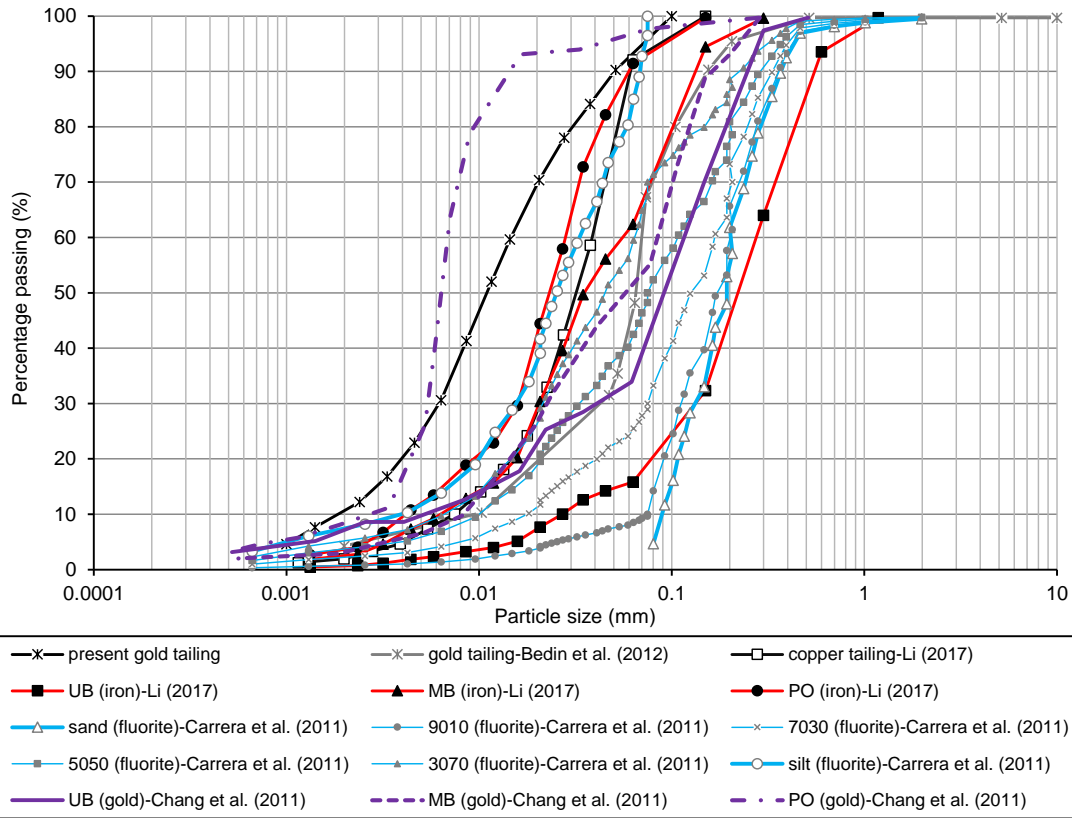
(a)



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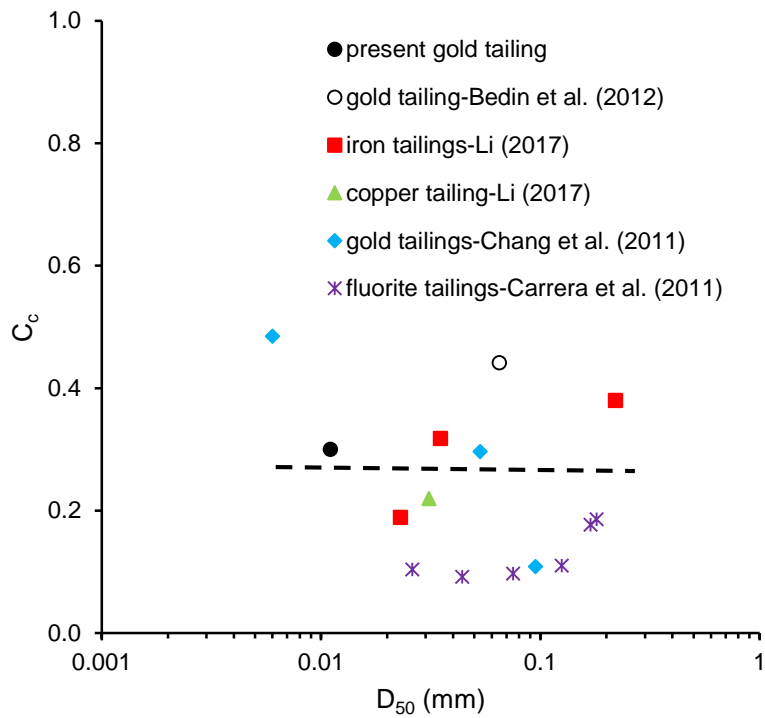
(b)

633 Figure 13: Comparisons of the two Brazilian gold tailings: (a) 1D-NCLs and (b) CSLs.



634

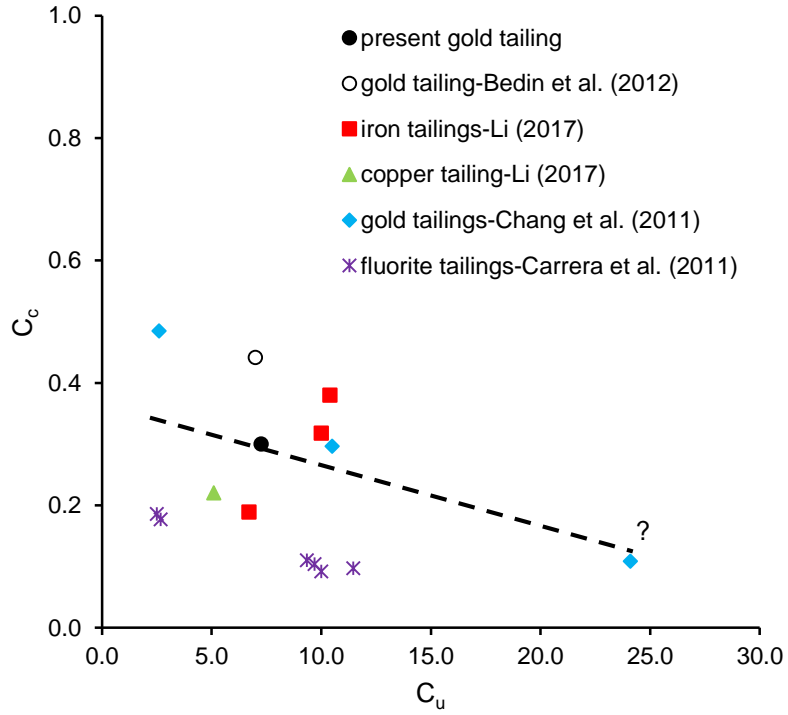
635 Figure 14: Gradings curves of the present gold tailing together with the data of Chang et al.
 636 (2011); Carrera et al. (2011); Bedin et al. (2012) and Li (2017).



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638

(a)



(b)

Figure 15: The relationship of the C_c with: (a) D_{50} and (b) C_u for different tailings.

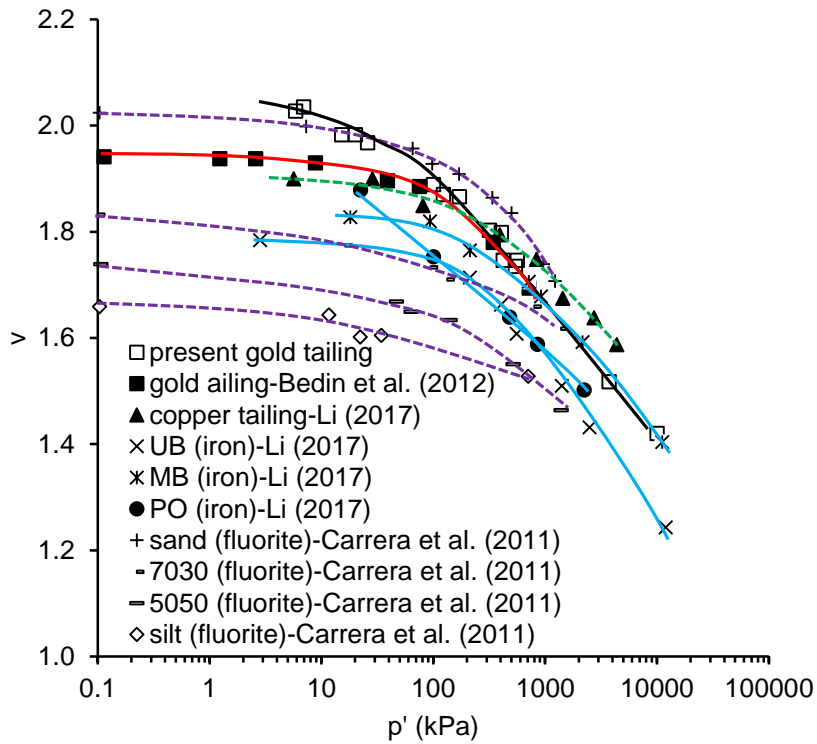


Figure 16: The CSLs of different tailings.

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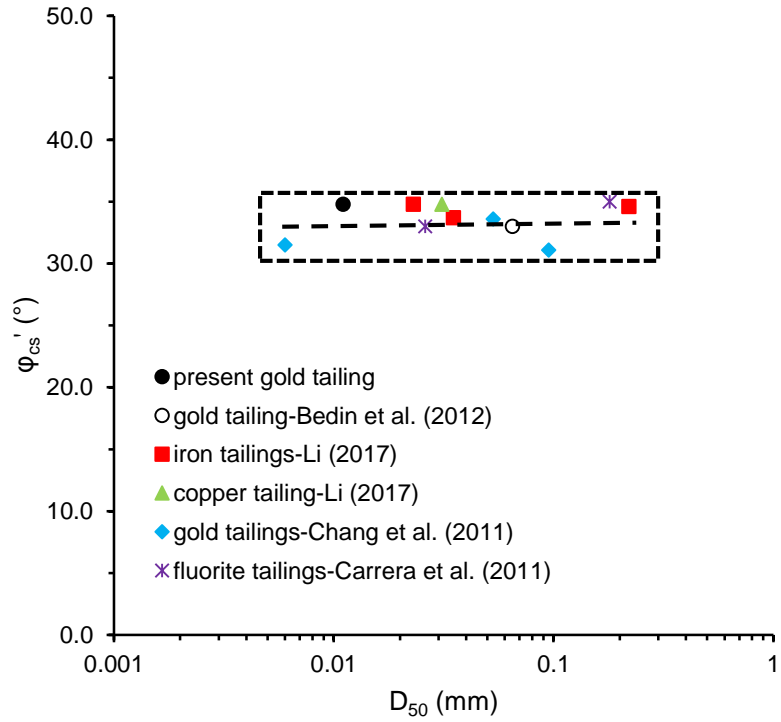
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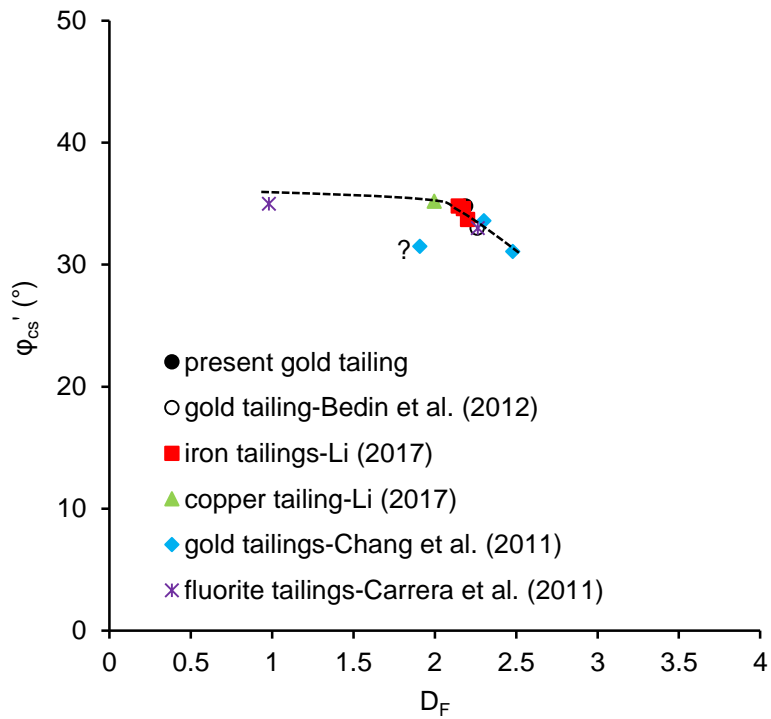
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(a)



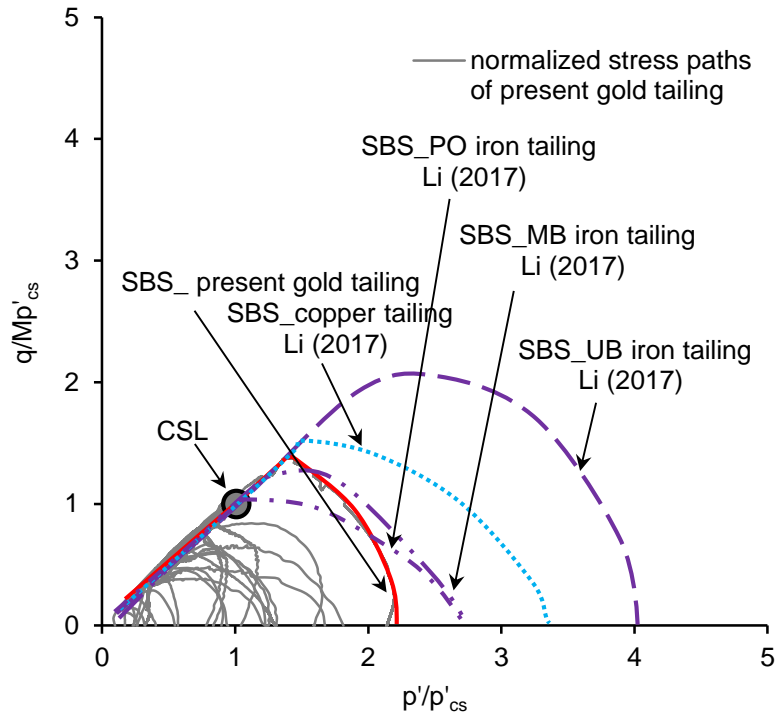
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648

(b)

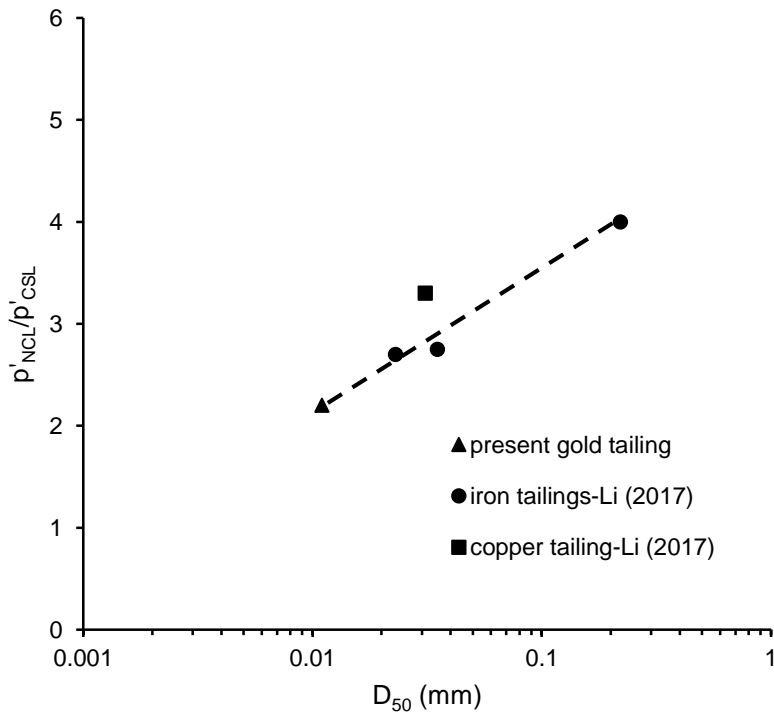
649 Figure 17: The relationship of the ϕ'_{cs} and the: (a) D_{50} and (b) D_F for different tailings.

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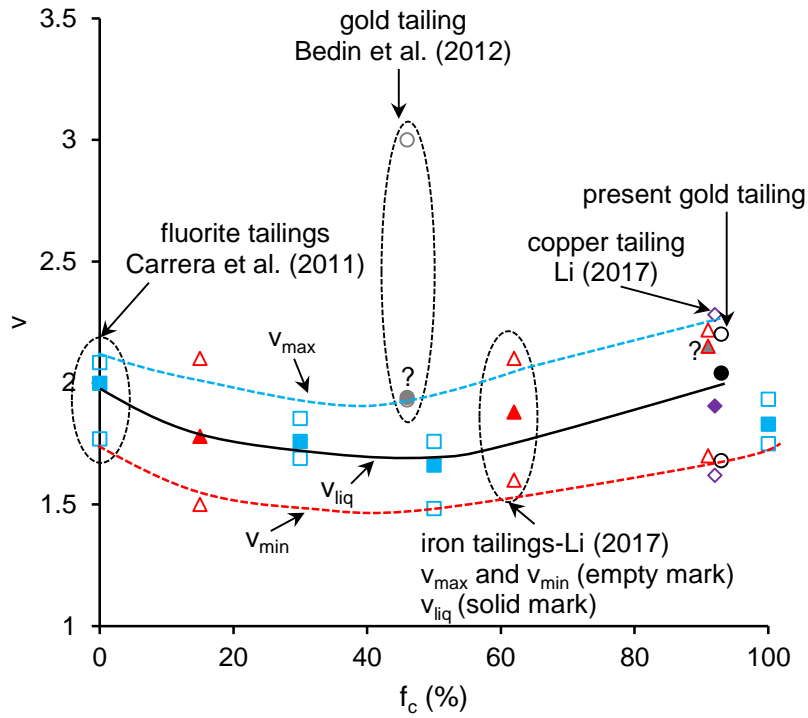
(a)



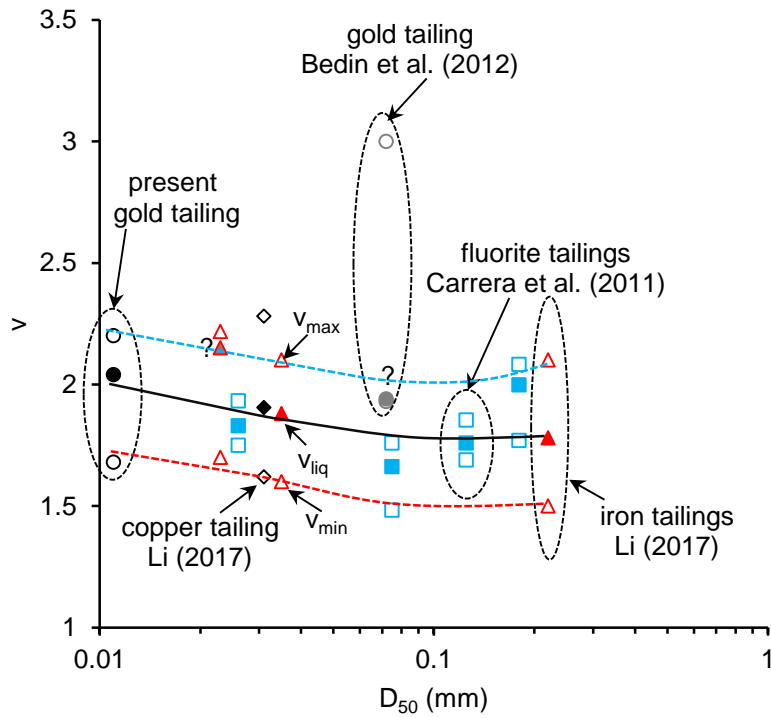
653
654

(b)

655 Figure 18: The (a) SBSs and (b) relationship of the stress ratio between isotropic NCL and
656 CSL and the D_{50} for different tailings.



(a)



(b)

Figure 19: The relationships of the v_{liq} , v_{max} and v_{min} with: (a) f_c and (b) D_{50} .

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