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Can renal and bladder ultrasound replace CT urogram in patients investigated for microscopic hematuria?

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# PII: S0022-5347(18)43045-5 DOI: 10.1016/j.juro.2018.04.065 Reference: JURO 15571

To appear in: The Journal of Urology

Please cite this article as: Tan WS, Sarpong R, Khetrapal P, Rodney S, Mostafid H, Cresswell J, Hicks J, Rane A, Henderson A, Watson D, Cherian J, Williams N, Brew-Graves C, Feber A, Kelly JD, on behalf of DETECT I trial collaborators, Can renal and bladder ultrasound replace CT urogram in patients investigated for microscopic hematuria?, *The Journal of Urology*® (2018), doi: 10.1016/j.juro.2018.04.065.

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- Can renal and bladder ultrasound replace CT urogram in patients 1 investigated for microscopic hematuria? 2 Running head: Can ultrasound replace CT for microscopic hematuria 3 Wei Shen Tan<sup>1,2</sup>, Rachael Sarpong<sup>3</sup>, Pramit Khetrapal<sup>1,2</sup>, Simon Rodney<sup>1,4</sup>, Hugh 4 Mostafid<sup>5</sup>, Joanne Cresswell<sup>6</sup>, James Hicks<sup>7</sup>, Abhay Rane<sup>8</sup>, Alastair Henderson<sup>9</sup>, 5 Dawn Watson<sup>6</sup>, Jacob Cherian<sup>10</sup>, Norman Williams<sup>3</sup>, Chris Brew-Graves<sup>3</sup>, Andrew 6 Feber<sup>4</sup>ł, John D Kelly<sup>1,2</sup>ł on behalf of DETECT I trial collaborators 7 <sup>1</sup> Division of Surgery and Interventional Science, University College London, London, UK 8 <sup>2</sup> Department of Urology, University College London Hospital, London, UK 9 <sup>3</sup> Surgical & Interventional Trials Unit, University College London, London, UK 10 <sup>4</sup> UCL Cancer Institute, London, UK 11 <sup>5</sup> Department of Urology, Royal Surrey County Hospital, Egerton Road, Guildford, Surrey 12 GU2 7XX, UK 13 <sup>6</sup> Department of Urology, James Cook University Hospital, Marton Road, Middlesbrough TS4 14 3BW. UK 15 <sup>7</sup> Department of Urology, Western Sussex Hospitals NHS Foundation Trust, Worthing 16 Hospital, Lyndhurst Road, Worthing, West Sussex, BN11 2DH, UK 17 <sup>8</sup> Department of Urology, East Surrey Hospital, Canada Avenue, Redhill RH1 5RH, UK 18 <sup>9</sup> Department of Urology, Maidstone Hospital, Hermitage Lane, Maidstone ME16 9QQ, UK 19 <sup>10</sup> Department of Urology, The Pennine Acute Hospitals NHS Trust, North Manchester 20 General Hospital, Delaunays Road, Crumpsall M8 5RB, UK 21 I denotes joint senior author 22 23 Corresponding author: 24 Wei Shen Tan 25 Division of Surgery & Interventional Science, 26 University College London, 27 3rd floor Charles Bell House 28 43-45 Foley Street 29 London W1W 7TS 30
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- 32 Keywords: Bladder cancer, hematuria, imaging, kidney cancer, ultrasound
- 33
- 34 Word count: 2,494

35 Abstract

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37 Purpose

Computed tomography urogram (CTU) is recommended when investigating patients with hematuria. We determine the incidence of urinary tract cancer and compare the diagnostic accuracy of CTU and renal and bladder ultrasound (RBUS) at identifying urinary tract cancer.

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# 43 Methods

The DETECT I study (clinicaltrials.gov NCT02676180) is a prospective observational
study recruiting patients ≥18 years following a presentation of macroscopic or
microscopic haematuria at 40 hospitals. All patients had cystoscopy and upper tract
imaging (CTU, RBUS or both).

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# 49 Results

3,556 patients with a median age of 68 years were recruited, of which 2166 had 50 RBUS and 1692 had CTU in addition to cystoscopy. The incidence of bladder, renal 51 52 and upper tract urothelial cancer (UTUC) were 11.0%, 1.4% and 0.8% respectively in macroscopic hematuria patients. Patients with microscopic hematuria had a 2.7%, 53 0.4% and 0% incidence of bladder, renal and UTUC respectively. The sensitivity and 54 negative predictive value (NPV) of RBUS for the detection of renal cancer was 55 56 85.7% and 99.9% respectively but 14.3% and 99.7% for the detection of UTUC. RBUS was poor at identifying renal calculi. Sensitivity of RBUS was lower than CTU 57 for the detection of bladder cancer (both <85%). Cystoscopy has a specificity and 58 PPV of 98.3% and 83.9% respectively. 59

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61 Conclusion

62 CTU can be safely replaced with RBUS in patients with microscopic hematuria. The 63 incidence of UTUC is 0.8% in patients with macroscopic hematuria and CTU is 64 recommended. Patients with suspected renal calculi will require non-contrast renal 65 tract CT. Imaging cannot replace cystoscopy to diagnose bladder cancer.

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#### 69 Introduction

Hematuria is a cardinal clinical symptom with an associated risk for urinary tract cancer. The risk of malignancy with patients presenting with macroscopic hematuria is 20.4% and by comparison, the risk of malignancy is 5.2% for patients presenting with microscopic hematuria.<sup>1</sup> Bladder cancer is the most common cancer detected in patients with microscopic hematuria accounting for 4.8% of cases investigated whereas renal cancers and UTUC are less common with an incidence of 0.3% and 0.1% respectively.<sup>1</sup>

Recommendations on who should be investigated for microscopic hematuria differ 77 across guideline bodies.<sup>2</sup> While there is a resounding consensus that cystoscopy 78 remains the investigation of choice to visualise the bladder, there is a lack of 79 consensus for the optimal upper tract imaging. RBUS and CTU are the most 80 commonly used imaging modalities. The AUA recommends using CTU for both 81 macroscopic and microscopic hematuria while the UK NICE and the American 82 College of Physicians do not specify a recommended imaging modality.<sup>3-5</sup> Similarly, 83 the role of upper tract imaging in newly diagnosed bladder cancer patients also differ 84 between guidelines.<sup>6</sup> 85

CTU has the highest diagnostic performance to identifying upper tract disease. Meta-86 analysis suggest CTU achieves a sensitivity of 93% and specificity of 99% for 87 UTUC.<sup>7</sup> However, the diagnostic performance of CTU should be balanced against 88 the risk attributed by intravenous contrast. Intravenous contrast administration is 89 associated with a 3% risk of contrast induced nephropathy in high risk patients 90 (eGFR: 30-59 ml/min/1.73m<sup>2</sup>) and prophylaxis hydration has been shown to be 91 ineffective.<sup>8, 9</sup> In addition, exposure to ionising radiation itself is carcinogenic and 92 although rare, there is a risk of anaphylactic reaction.<sup>10, 11</sup> 93

The DETECT I study (ClinicalTrials.gov: NCT02676180) represents a prospective multi-centre observational study prospectively recruiting patients referred from primary care physicians to urology departments for investigation following a presentation of hematuria.<sup>12</sup> We report the incidence of upper tract disease and bladder cancer in patients with macroscopic and microscopic hematuria as well as the diagnostic ability of CTU and RBUS to identify upper tract cancer to determine if

100	CTU can be safely replaced with	RBUS in	patients	presenting	with microsc	opic
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#### 125 Patient and Methods

Between March 2016 and June 2017, DETECT I recruited patients from 40 hospitals 126 throughout the UK with one stop hematuria investigation clinics. All patients were 127 referred to secondary care following a presentation of hematuria. Macroscopic 128 hematuria was defined as a visible hematuria reported by patient or primary care 129 physician. Microscopic hematuria was defined as  $\geq 1+$  on urine dipstick on  $\geq 2$ 130 occasions.<sup>13</sup> Study inclusion criteria was male or female patients ≥18 years old and 131 willing to provide consent. All patients underwent cystoscopy and upper tract imaging 132 within 12 weeks from study registration. Determining the diagnostic accuracy of 133 RBUS and CTU represents a post hoc analysis. 134

The study protocol was approved by Health Research Authority: North West
Liverpool Central Research Ethics Committee on March 2016 (IRAS project ID:
179245, REC reference: 16/NW/0150). Full study protocol has been previously
described.<sup>12</sup>

A medical history and physical examination were performed on all patients. Patient 139 demographics including age, gender, occupation, ethnicity and smoking history were 140 collected. Patients with a suspicion of bladder cancer had a TURBT or bladder 141 biopsy under general anaesthesia. The reference standard for bladder cancer was 142 histopathological examination and classified according to TNM WHO tumour 143 classification.<sup>14</sup> Risk stratification of bladder cancer was performed based on clinical-144 pathological features according to the EAU risk classification.<sup>15</sup> Upper tract imaging 145 comprised of one of more radiological imaging modality: CTU, RBUS or both. 146

DETECT I is a pragmatic observational design study and choice of upper tract imaging and the decision to perform more than one imaging modality was according to local hospital guidelines. Renal cancer and UTUC were confirmed by histopathological examination where nephrectomy or renal biopsy were performed with the exception of a small number of renal cancers which had active surveillance without biopsy. Renal calculi diagnosed on CTU was used as the reference standard.

154 Continuous data such as mean, median, interquartile range and 95% confidence 155 interval were reported using descriptive statistics. Categorical variables were 156 compared using Chi-square test. T-test was used to compare continuous variables.

Normal distribution was assumed. Sensitivity, specificity, PPV and NPV were
calculated for correct identification of bladder cancer or upper tract cancers. SPSS
v22 (IBM Corp, Armonk, New York, USA) was used to perform all statistical analysis.
Statistical significance was set at p value <0.05. This report adhered to the STROBE</li>
guidelines. This study was registered with ClinicalTrials.gov, number NCT02676180.

- 182 Results
- 183 Patient demographics

Flow diagram of patients recruited into the study is shown in Figure 1. Patient demographics were shown in Table 1. 3,556 patients with a median age of 68 years (IQR: 57, 76) were recruited. The overall incidence of urinary tract cancer was 10.0% (bladder cancer 8.1%, renal cancer 1.0%, UTUC 0.5%). RBUS was performed on 2,166 patients (60.9%) and CTU on 1,693 patients (47.6%), 470 patients (13.2%) had both URT and CTU.

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191 Incidence of urinary tract disease

Table 1 shows the incidence of urinary tract cancer and renal stones stratified according presentation of microscopic and macroscopic hematuria. Overall, 2.7% (n=33) of patients investigated for microscopic hematuria had a diagnosis of bladder cancer, 0.4% (n=5) of patients had a renal cancer and 4.4% (n=55) of patients had renal calculi. No patients with NVH had a diagnosis of UTUC.

By comparison, patients with macroscopic hematuria had a higher incidence of urinary tract disease compared to microscopic hematuria. 11.0% (n=255) patients investigated for macroscopic hematuria had bladder cancer, 1.4% (n=32) had renal cancer and 0.8% (n=18) had a diagnosis of UTUC. A diagnosis of renal calculi was confirmed in 9.3% (n=215) of patients.

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203 Diagnostic performance of RBUS and CTU for the detection of upper tract disease.

Of the 2166 patient who had RBUS, the incidence of RCC and UTUC were 0.6% (n=14) and 0.3% (n=7) respectively. CTU was performed in 1692 patients with a RCC and UTUC incidence of 2.1 (n=35) and 1.1% (n=18) respectively. Table 2 shows the diagnostic ability of RBUS and CTU at detecting upper tract disease.

RBUS identified 12 of 14 renal cancers (85.7%) and misclassified one renal cancer as a UTUC increasing the sensitivity of detecting cancer to 92.9% with a NPV of 99.9%. The sensitivity of RBUS for the detection of UTUC was poor (14.3%). Three patients were misclassified as renal cancer and one UTUC diagnosed on RBUS was renal cancer on histology suggesting a sensitivity of 62.5% to detect cancer with aNPV of 99.9%.

Given that a suspicious CTU for renal cancer or UTUC was a trigger for 214 nephrectomy or renal biopsy, the sensitivity and NPV for CTU cannot be determined. 215 The PPV of CTU to diagnose renal cancer was 94.6% where two lesions were 216 benign. CTU had a PPV of 72.0% for the diagnosis of UTUC with 19 suspected 217 UTUC cases were correctly identified. Three suspected UTUC were histologically 218 confirmed renal cancer suggesting a PPV of cancer of 88.0%. Ureteroscopy with/ 219 without biopsy did not confirm cancer in 3 cases. Diagnostic performance of RBUS 220 at identifying renal calculi was poor using CT as a reference standard with a 221 sensitivity, specificity, PPV and NPV of 34.0%, 97.9%, 65.4% and 92.7% 222 respectively. 223

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225 Diagnostic ability of RBUS, CTU and cystoscopy at identifying bladder cancer

Table 2 reports the diagnostic ability of RBUS, CTU and cystoscopy at detecting bladder cancer. The diagnostic accuracy for RBUS to identify bladder cancer was sensitivity: 50.7%, specificity 99.3%, PPV 84.3% and NPV 96.5%. CTU was better than RBUS at identifying bladder cancer. The sensitivity, specificity, PPV and NPV of CTU to identify bladder cancer was 80.8%, 97.0%, 78.9% and 97.3%. Excluding suboptimal scans, the diagnostic ability of RBUS and CTU to detect bladder cancer improved.

The sensitivity and NPV of cystoscopy cannot be determined as patients with a normal flexible cystoscopy were discharged without follow-up cystoscopy. Using histopathological confirmation of tumour as reference, the specificity of flexible cystoscopy was high at 98.3% with a PPV of 84.0%.

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#### 243 Discussion

We report that the incidence of upper tract cancer in patients presenting with 244 hematuria is low. Upper tract cancer was identified in 2.2% (n=50) of patients 245 presenting with macroscopic hematuria (1.4% renal cancer, 0.8% UTUC) and 0.4% 246 (n=5) of patients presenting with microscopic hematuria (0.4% renal cancer, 0% 247 UTUC). RBUS can identify suspicious renal cancer and one cancer misclassified as 248 UTUC with a sensitivity of 92.9%. However, RBUS only has a sensitivity of 62.5% to 249 identify a suspected UTUC (including 3 cancers diagnosed as renal cancer and one 250 UTUC which was renal cancer on histology) missing three of 8 UTUC. The fact that 251 no UTUC was identified following a presentation of microscopic hematuria suggest 252 that RBUS should be used to assess the upper urinary tract in patients presenting 253 with microscopic hematuria. 254

The role of cystoscopy to diagnose bladder cancer remains the gold standard. Cystoscopy has a specificity of 98.3% with a PPV of 83.9%.<sup>16</sup> Conventional imaging modalities cannot replace cystoscopy. Even after excluding suboptimum scans, the accuracy of RBUS to detect bladder cancer was poor, with a sensitivity of 63.6% and specificity of 99.3%. CTU had a higher diagnostic accuracy to identify bladder cancer but not sufficient to replace cystoscopy (sensitivity 83.6%, specificity 97.0%).

It is estimated that the incidence of microscopic hematuria is as high as 2.5% of the 261 population and rises to as high as 18% in male patients  $\geq$ 70 years.<sup>17, 18</sup> However, 262 majority of these cases do not have a sinister identifiable cause for microscopic 263 hematuria. CTU has been shown to be superior at identifying UTUC compared to 264 RBUS.<sup>1, 7</sup> RBUS may miss small ureteric tumours, which are too small to cause 265 luminal occlusion. This in turn results in a false negative because no hydronephrosis 266 is identified which would otherwise prompt further imaging. The operator dependent 267 nature of RBUS may also miss small renal pelvis UTUC. While CTU is superior at 268 identifying UTUC, the risk of UTUC in patients presenting with microscopic 269 hematuria is rare suggesting that there is no benefit for CTU over RBUS.<sup>7</sup> 270

RBUS has been shown to detect renal cancer with a high sensitivity although a small number of cases are false positive (n=14). These false positive cases would have a second scan typically a renal protocol CT which will better characterise the renal mass. Hence, the approach of perform cystoscopy with RBUS instead of CTU to

investigate the upper tracts of patients presenting with microscopic hematuria should 275 be the preferred upper tract imaging of choice. We acknowledge that RBUS has a 276 poor sensitivity at identifying renal calculi. Hence, we proposed that patients 277 presenting with symptoms suggestive of renal colic such as flank pain would benefit 278 from RBUS with non-contrast CTKUB or CTU. We acknowledge that replacing CTU 279 with RBUS for patients with microscopic hematuria would potentially miss 280 asymptomatic renal calculi with no hydronephrosis presenting with microscopic 281 hematuria. We believe such patient would be uncommon and identifying such a 282 patient will be at the expense of subjecting a high number of patients to CTU which 283 would yield negative results. 284

In an ideal world, all patients should be investigated with the best diagnostic test 285 available. However, risk of adverse events, low incidence of disease in the specific 286 patient cohort as well as the high cost of diagnostic test suggest that this may not be 287 warranted. In the case of microscopic hematuria, where the disease specific 288 incidence of UTUC is low (0%) and below the 3% threshold for diagnostic 289 investigation used by NICE and the 1% suggested by the AUA.<sup>3, 4</sup> Additionally, the 290 risk of adverse reaction to iodinated contrast while low, can be life threatening.<sup>11</sup> 291 Ionising radiation from CTU is 4 mSv with is 200 times that of a standard chest X-292 ray.<sup>19</sup> And the cumulative exposure to ionising radiation has been shown to account 293 for 0.6-0.9% of cancer diagnosed.<sup>10</sup> 294

Further, cost-effectiveness analysis recommends using RBUS instead of CTU for the 295 evaluation of microscopic hematuria patients.<sup>20</sup> A comparison of four diagnostic 296 approaches comprising of CT alone, cystoscopy alone, CT with cystoscopy and 297 RBUS with cystoscopy suggest that the RBUS with cystoscopy combination 298 represents the most cost-effective combination at \$53,810 per cancer detected. 299 Replacing RBUS with CTU will cost \$6,480,484 per cancer identified. It is estimated 300 that using RBUS instead of CTU will result in cost savings of \$390 million which is 301 much needed in an era of escalating healthcare cost.<sup>21</sup> 302

The role of cystoscopy to visualise the bladder remains the gold standard. Even after excluding suboptimal scans, a patient with a normal CTU or RBUS will still require cystoscopy due to a high risk of false negative. This is similar to the diagnostic ability of FDA approved urinary biomarkers for the detection of bladder cancer with a

reported sensitivity of 57-82% and specificity of 74-88%.<sup>22</sup> While larger tumours would be easily identifiable, smaller tumours might be missed. It is likely that an optimised CTU, where the urinary bladder is well distended, and contrast has fully opacified the bladder lumen, will improve the diagnostic accuracy. However, such scans may be difficult to achieve in clinical practice.

While majority of bladder lesions are considered cancer until proven otherwise, we 312 report that a visual diagnosis of malignancy has a PPV of 83.9% following white light 313 cystoscopy. In the setting of surveillance cystoscopy, low grade bladder cancer was 314 identifiable from high grade cancers by urologists 99% of the time.<sup>23</sup> Cystoscopy is 315 operator dependent and the specificity for a more experienced cystoscopist will be 316 higher. Hence, it is essential that suspicious bladder lesions be biopsied due to a 317 high likelihood of malignancy. Bladder biopsy can be performed at the point of initial 318 diagnosis with flexible cystoscopy and this can reduce the need for a general 319 320 anaesthetic.

There are several limitations to this study. While we did not identify any UTUC 321 presenting with microscopic hematuria, it is plausible that these patients might have 322 initially presented with microscopic hematuria if screening for microscopic hematuria 323 was performed although this is not recommended by any consensus. While 324 sonographers normally will visualise the renal tract with the bladder distended to 325 adequately visualise the bladder, this was not performed in all cases. Similarly, 326 assessment of the urinary bladder was limited in some CTU scans where contrast 327 did not opacify the bladder or where the was artefact due to metal work in the pelvis. 328 To account for these suboptimal scans, we exclude these scans to determine the 329 diagnostic accuracy of imaging to identify bladder cancer. Additionally, we cannot 330 determine the sensitivity of cystoscopy as we are unable to determine if tumours 331 were missed due as patients with a normal cystoscopy were discharged and did not 332 have a repeat test. 333

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#### 340 Conclusions

Our results suggest that CTU can safely be replaced with RBUS to image the upper tracts in conjunction with cystoscopy as part of investigations following a presentation of microscopic hematuria. The risk of UTUC in patients with microscopic hematuria is extremely low and RBUS can identify renal parenchymal cancers with a high sensitivity. Where renal calculi is suspected, a non-contrast CTKUB with RBUS or CTU is necessary. Cystoscopy remains the diagnostic test of choice to detect bladder cancer. 386 DETECT I collaborators

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Edward Infirmary), K Atkinson, L Royle, J Madine, K MacLean\* (Royal Cornwall
Hospital).

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425 Funding

426 DETECT I was funded by the Medical Research Council (MRC). Additional funding 427 was by the Urology Foundation and the UCLH Biomedical Research Centre. It was 428 supported both in the delivery and development by the UCL Surgical Interventional 429 Trials Unit (SITU). NHS associated cost supported all standard of care investigations 430 and procedures.

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432 Declaration of intent and financial disclosures

433 No direct or indirect commercial incentive associated with publishing this article.

The corresponding author certifies that, when applicable, a statement(s) has been included in the manuscript documenting institutional review board, ethics committee or ethical review board study approval; principles of Helsinki Declaration were followed in lieu of formal ethics committee approval; institutional animal care and use committee approval; all human subjects provided written informed consent with guarantees of confidentiality; IRB approved protocol number; animal approved project number.

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442 Ethical approval of studies and informed consent

The study protocol was approved by Health Research Authority: North West
Liverpool Central Research Ethics Committee on March 2016 (IRAS project ID:
179245, REC reference: 16/NW/0150).

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Table 1: Patient demographics according to type of hematuria

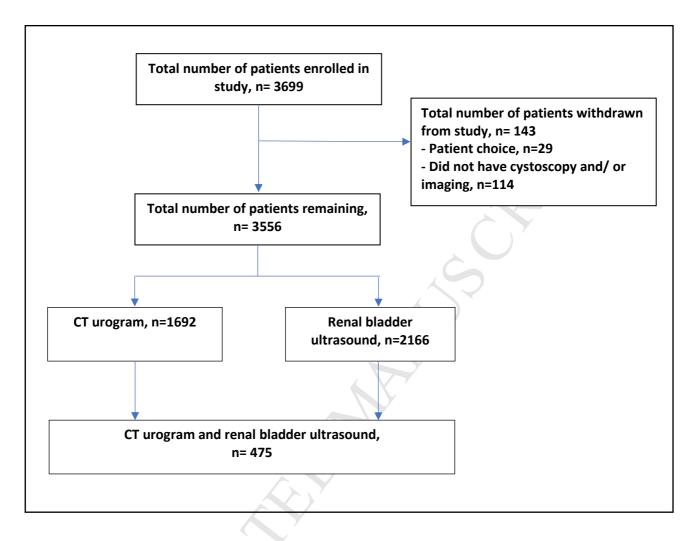
	All patients	Macroscopic hematuria	Microscopic hematuria	p value
	(n=3556)	(n=2311)	(n=1245)	
Age (median, IQR)	67.7 (57, 76)	68.1 (56.4, 76.2)	67.0 (56.9, 75.0)	0.568
Gender, n (%):				< 0.001
Male	2112 (59.4)	1607 (69.5)	505 (40.6)	
Female	1444 (40.6)	704 (30.5)	740 (59.4)	
Ethnicity, n (%):		$\langle$		0.235
Afro-Caribbean	51 (1.4)	36 (1.6)	15 (1.2)	
South Asian	86 (2.4)	57 (2.5)	29 (2.3)	
East Asian	15 (0.4)	8 (0.3)	7 (0.6)	
White	3080 (86.6)	2013 (87.1)	1067 (85.7)	
Mix	31 (0.9)	20 (0.9)	11 (0.9)	
Other	23 (0.6)	18 (0.8)	5 (0.4)	
Not known	271 (7.6)	159 (6.9)	111 (8.9)	
Smoking history, n (%):		Y		0.739
Non-smoker	1528 (42.9)	991 (42.9)	537 (43.1)	
Current/ ex-smoker	1896 (53.2)	1240 (53.7)	656 (52.7)	
Not known	137 (3.8)	80 (3.4)	52 (4.2)	
Any urinary tract cancer, n (%)	354 (10.0)	315 (13.6)	39 (3.1)	<0.001
Bladder cancer, n (%)	288 (8.1)	255 (11.0)	33 (2.7)	<0.001
Renal cancer, n (%)	37 (1.0)	32 (1.4)	5 (0.4)	0.006
UTUC, n (%)	18 (0.5)	18 (0.8)	(0)	0.002
Renal calculi, n (%)	270 (7.6)	215 (9.3)	55 (4.4)	< 0.001

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Table 2: Comparison of RBUS, CTU and cystoscopy to diagnose bladder cancer, renal cancer and UTUC

Diagnostic test	Reference standard	Diagnostic accuracy				
		sensitivity	specificity	PPV	NPV	Area under the curve
RBUS (n=2166)	Histopathological confirmation of UTUC	14.3 (0.9-49.4)	100 (99.8-100.0)	50.0 (3.8-96.2)	99.7 (99.4-99.9)	0.571
CTU (n=1692)	Histopathological confirmation of UTUC		99.6 (99.2-99.8)	72.0 (52.8-86.9)		
RBUS (n=2166)	Histopathological confirmation of renal cancer	85.7 (62.1-97.5)	99.2 (98.8-99.5)	41.4 (24.8-59.5)	99.9 (99.7-100.0)	0.925
CTU (n=1692)	Histopathological confirmation of renal cancer		99.9 (99.6-100.0)	94.6 (84.2-99.1)		
RBUS (n=475)	CTU to diagnose renal calculi	34 (21.9-47.7)	97.9 (96.2-99.0)	65.4 (46.3-81.6)	92.7 (90.0-94.8)	0.659
RBUS (n=2166)	Histopathological	50.7 (42.7-58.7)	99.3 (98.9-99.6)	84.3 (75.8-90.8)	96.5 (95.6-97.2)	0.750
Unoptimized RBUS excluded (2090)	confirmation of bladder cancer	63.6 (54.7-71.9)	99.3 (98.9-99.6)	84.3 (75.8-90.8)	97.9 (97.2-98.4)	0.814
CTU (1692)	Histopathological	80.5 (74.8-85.4)	97.0 (96.1-97.8)	79.3 (73.6-84.4)	97.2 (96.3-98.0)	0.887
Unoptimized CTU excluded (1615)	confirmation of bladder cancer	83.6 (78.1-88.3)	97.0 (96.1-97.8)	80.0 (74.2-85.0)	97.7 (96.8-98.4)	0.903
Cystoscopy (n=3556)	Histopathological confirmation of bladder cancer		98.3 (97.9-98.7)	84.0 (79.7-87.5)		

Figure 1: Flow diagram of patients recruited into study



Abbreviations and Acronyms:

AUA (American Urological Association), CT (Computed tomography), CTKUB (Computed tomography kidney, ureters, bladder), CTU (Computed tomography urogram), EAU (European Association of Urology), IRAS (Intergrated Research Application System), NICE (National Institute for Health and Care Excellence), NPV (negative predictive value), PPV (positive predictive value), REC (Research Ethics Committee), RBUS (renal and bladder ultrasound), STROBE (STrengthening the Reporting of OBservational studies in Epidemiology), TURBT (transurethral resection of bladder cancer), UK (United Kingdom), UTUC (upper tract urothelial carcinoma), WHO (World Health Organisation)