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Altered Cerebellar Short-Term Plasticity but No Change in Postsynaptic AMPA-Type Glutamate Receptors in a Mouse Model of Juvenile Batten Disease

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Altered cerebellar short-term plasticity but no change in postsynaptic AMPA-type glutamate receptors in a mouse model of juvenile Batten disease

2

Abbreviated title3 Cerebellar AMPA receptor function in *Cln3^{Δex1-6}* mice

4

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33 **Abstract**

34 Juvenile Batten disease is the most common progressive neurodegenerative disorder
35 of childhood. It is associated with mutations in the *CLN3* gene, causing loss of
36 function of CLN3 protein and degeneration of cerebellar and retinal neurons. It has
37 been proposed that changes in granule cell AMPA-type glutamate receptors
38 (AMPA) contribute to the cerebellar dysfunction. In this study we compared
39 AMPAR properties and synaptic transmission in cerebellar granule cells from wild-
40 type and *Cln3* knockout mice. In *Cln3^{Δex1-6}* cells the amplitude of AMPA-evoked
41 whole-cell currents was unchanged. Similarly, we found no change in the amplitude,
42 kinetics, or rectification of synaptic currents evoked by individual quanta, or in their
43 underlying single-channel conductance. We found no change in cerebellar expression
44 of GluA2 or GluA4 protein. By contrast, we observed a reduced number of quantal
45 events following mossy-fiber stimulation in Sr^{2+} , altered short-term plasticity in
46 conditions of reduced extracellular Ca^{2+} , and reduced mossy fiber vesicle number.
47 Thus, while our results suggest early presynaptic changes in the *Cln3^{Δex1-6}* mouse
48 model of juvenile Batten disease, they reveal no evidence for altered postsynaptic
49 AMPARs.

50

51 **Significance Statement**

52 Juvenile Batten disease is an inherited lysosomal storage disorder that affects children
53 and leads to premature death. Caused by mutations in the *CLN3* gene, it results in a
54 loss of CLN3 protein and neuronal degeneration. It has been proposed that changes in
55 granule cell AMPA-type glutamate receptors contribute to cerebellar dysfunction.
56 Here, we show that the properties of postsynaptic AMPA receptors in granule cells
57 from juvenile *Cln3^{Δex1-6}* mice are unaltered. Instead, loss of CLN3 protein leads to
58 early presynaptic changes and altered short-term plasticity.

59

60 **Introduction**

61 Batten disease is the collective term for a group of rare inherited neurodegenerative
62 disorders, the neuronal ceroid lipofuscinoses (NCLs). These result from mutations in
63 one of fourteen CLN (ceroid-lipofuscinosis, neuronal type) genes (Cotman et al.,
64 2013; Mole and Cotman, 2015; Nita et al., 2016) the majority of which encode
65 soluble lysosomal enzymes or lysosome-associated transmembrane proteins (Carcel-
66 Trullols et al., 2015). The most common NCL is juvenile CLN3 disease or juvenile
67 Batten disease (Williams and Mole, 2012). Children with this condition first exhibit
68 symptoms at 4–7 years of age, suffer loss of vision, seizures, progressive motor and
69 cognitive decline, and die prematurely in late adolescence (Munroe et al., 1997;
70 Haltia, 2003).

71 Juvenile Batten disease is caused by mutations in the *CLN3* gene, commonly a 1 kb
72 deletion encompassing exons 7 and 8, that result in the loss of full-length CLN3
73 protein (Consortium, 1995; Munroe et al., 1997; Kitzmuller et al., 2008). Like other
74 NCLs, juvenile Batten disease is considered a lysosomal storage disorder and is
75 characterized by the accumulation within lysosomes of autofluorescent lipopigments
76 (lipofuscin-like ceroid) (Seehafer and Pearce, 2006). Although the precise function of
77 CLN3 remains unresolved, it has been implicated in multiple cellular phenomena,
78 including endocytosis and endocytic trafficking, lysosomal pH regulation, autophagy,
79 proliferation, cell-cycle control and apoptosis (Carcel-Trullols et al., 2015).

80 Cerebellar atrophy is a feature of juvenile Batten disease (Nardocci et al., 1995; Autti
81 et al., 1996) and likely contributes to the eventual motor deficits (Raininko et al.,
82 1990). Likewise, in mouse models of the disease, there are degenerative changes and
83 neuronal loss in the cerebellum, seen most clearly in CLN3 knockout animals
84 (designated *Cln3* ^{Δ ex1-6} or *Cln3*^{-/-}) (Kovacs et al., 2006; Weimer et al., 2009) but also
85 evident in mice with knock-in of the most common human 1 kb deletion mutation
86 (*Cln3* ^{Δ ex7/8}) (Cotman et al., 2002).

87 Several studies have provided evidence of a change in neuronal AMPA-type
88 glutamate receptors (AMPA) in juvenile Batten disease. Thus, in *Cln3* ^{Δ ex1-6} and
89 *Cln3* ^{Δ ex7/8} mice, cerebellar granule cells – neurons in the cerebellum that relay
90 multisensory and motor-related information from mossy fibers to Purkinje cells

91 (Eccles et al., 1967; Huang et al., 2013; Chabrol et al., 2015) – are reported to exhibit
92 increased susceptibility to excitotoxic damage following activation of AMPARs
93 (Kovacs et al., 2006; Finn et al., 2011). These receptors, mediate a majority of fast
94 excitatory transmission in the brain, and function as homo- or hetero-tetrameric
95 assemblies of pore-forming subunits (GluA1-4) (Traynelis et al., 2010). Although
96 most AMPARs in the central nervous system contain the edited GluA2(R) subunit,
97 and are thus calcium impermeable (CI-AMPARs), those lacking GluA2 constitute a
98 widely distributed subtype of calcium permeable AMPARs (CP-AMPARs)
99 (Burnashev et al., 1992; Geiger et al., 1995; Cull-Candy et al., 2006).

100 Excess influx of Ca^{2+} through CP-AMPARs appears to be a feature common to
101 several neurodegenerative disorders, including stroke, motor neuron disease, and
102 hypoxic ischemic white matter damage (Follett et al., 2000; Kawahara and Kwak,
103 2005; Noh et al., 2005; Van Den Bosch et al., 2006; Corona and Tapia, 2007).
104 Increased AMPAR-mediated excitotoxicity in *Cln3* ^{Δ ex1-6} mice has been suggested to
105 reflect altered AMPAR trafficking, an increase in CP-AMPAR number and enhanced
106 AMPAR function (Kovacs et al., 2006). However, recent experiments have described
107 an increase in GluA2 protein in the cerebellum of *Cln3* ^{Δ ex1-6} mice (Kovacs et al.,
108 2015), a change which is more usually associated with increased prevalence of CI-
109 AMPAR subtypes.

110
111 Here, we have compared AMPAR properties and excitatory synaptic transmission in
112 cerebellar granule cells from wild-type and *Cln3* ^{Δ ex1-6} mice. Our results suggest that
113 loss of CLN3 results in altered mossy-fiber presynaptic behavior but no alteration in
114 postsynaptic AMPAR function and no increase in CP-AMPAR prevalence.

115
116

117 **Materials and Methods**

118 *Animals.* We used wild-type C57BL/6J mice and *Cln3* knockout mice (*Cln3* ^{Δ ex1-6}) on
119 a C57BL/6J background. *Cln3* ^{Δ ex1-6} mice were generated via targeted disruption of the
120 *Cln3* gene involving the deletion of exons 2-6 and most of exon 1 via replacement
121 with a neomycin resistance gene that was transcribed in reverse orientation from a
122 mouse PGK promoter (Mitchison et al., 1999). Both male and female mice were used.

123 All procedures for the care and treatment of mice were in accordance with the
124 Animals (Scientific Procedures) Act 1986.

125 *Western blotting.* Cerebellar tissue was homogenized in RIPA lysis buffer with
126 proteinase inhibitors (Roche). Protein extracts were boiled for 5 min at 95°C before
127 loading onto 5-10% gradient gels (50 µg of protein sample per lane). Gels were
128 electrotransferred to a 0.2 µm nitrocellulose membrane (Amersham). Blots were
129 blocked in 4% milk (wt/vol) in PBS-Tween solution for 1 h, then incubated at 4 °C
130 overnight with one of the following antibodies: anti-GluA2 (mouse, Millipore
131 MAB397, 1:500), anti-GluA4 (rabbit, Millipore AB1508, 1:200), anti-cofilin (rabbit,
132 Abcam ab42824, 1:10,000). Transferred proteins were detected with appropriate
133 horseradish peroxide-conjugated (HRP) secondary antibodies: goat anti-mouse IgG-
134 HRP (Santa Cruz sc-2005, 1:2,000) or goat anti-rabbit IgG-HRP (Santa Cruz sc-2030,
135 1:2,000), reacted with chemiluminescent ECL substrate (Thermo Scientific Pierce),
136 and visualized by ChemiDoc MP System (Bio-Rad). Band intensities of GluA2 and
137 GluA4 were normalized to the respective cofilin bands or to the total protein
138 determined by Ponceau S staining of the membranes (Image Lab 5.2, Bio-Rad
139 Laboratories).

140 *Dissociated cerebellar cultures.* Cultures of dissociated cerebellar neurons were
141 prepared from postnatal day (P)5–7 mice. Briefly, after decapitation, the cerebella
142 were removed, cut into small pieces and trypsinized at 37°C. Mechanically
143 dissociated cells were plated on poly-L-lysine-coated (Sigma) glass coverslips, at a
144 density of 2.1×10^5 cells per coverslip. Cells were maintained in a humidified
145 atmosphere at 37 °C (5% CO₂) in Basal Medium Eagle (BME) supplemented with
146 10% fetal bovine serum (FCS; vol/vol), 2 mM L-glutamine and 100 mg ml⁻¹
147 gentamicin (all Gibco). Cells were maintained in ‘high K⁺’ (25 mM KCl) to promote
148 synaptic maturation. Cytosine arabinoside (10 µM; Sigma) was added 24 h after
149 plating to inhibit glial proliferation. In most cases, wild-type and *Cln3*^{Δex1-6} cultures
150 were prepared concurrently and examined in interleaved recordings after 7–13 days.

151 *Electrophysiology of cultured granule cells.* Cells, identified according to previously
152 described criteria (Cull-Candy et al., 1988), were viewed using a fixed-stage

153 microscope (Zeiss Axioskop FS1 or Olympus BX51W) and perfused at a rate of 1.5–
154 2 ml min⁻¹ (2 ml bath volume). The extracellular solution contained 145 mM NaCl,
155 2.5 mM KCl, 1 mM CaCl₂, 1 mM MgCl₂, 10 mM glucose and 10 mM HEPES
156 (adjusted to pH 7.3 with NaOH). Pipettes for whole-cell recording were pulled from
157 thick-walled borosilicate glass (1.5 mm o.d., 0.86 mm i.d., Harvard Apparatus),
158 coated with Sylgard resin (Dow Corning 184) and fire-polished to a final resistance of
159 ~5–8 MΩ. Pipettes were filled with a solution containing 145 mM CsCl, 2.5 mM
160 NaCl, 1 mM Cs-EGTA, 4 mM MgATP and 10 mM HEPES (adjusted to pH 7.3 with
161 CsOH). Spermine tetrahydrochloride (500 μM, Sigma) was added to this intracellular
162 solution immediately prior to each recording session.

163 Currents were recorded at 22–26 °C using an Axopatch 1D or Axopatch 200B
164 amplifier and acquired using pClamp10 and a Digidata 1200 interface (Molecular
165 Devices). Series resistance and input capacitance were read directly from the
166 amplifier settings used to minimize the current responses to 5 mV hyperpolarizing
167 voltage steps; values were 6.3 ± 0.4 pF for wild-type *versus* 5.9 ± 0.5 pF for *Cln3^{Δex1-}*
168 ⁶ (*n* = 34 and 42; *W* = 861.5, *p* = 0.12 Wilcoxon rank sum test) and 25.2 ± 0.8 MΩ
169 *versus* 27.3 ± 1.2 MΩ (*W* = 604.5, *p* = 0.25 Wilcoxon rank sum test). Whole-cell
170 current-voltage (*I-V*) relationships were generated by ramping membrane potential
171 from -90 to +60 mV in the presence of 20 μM s-AMPA and 10 μM cyclothiazide
172 (Ascent Scientific) applied by gravity-fed bath perfusion. Ramps were delivered once
173 currents had reached steady-state amplitude. Records were filtered at 2 kHz and
174 sampled at 5 kHz. The rectification index (RI_{slope}) was calculated as the ratio of slope
175 conductance in positive (+20 to +40 mV) and negative (-40 to -20 mV) limbs of the
176 *I-V*.

177 *mEPSCs in cultured granule cells*. Miniature excitatory postsynaptic currents
178 (mEPSCs) were recorded at -60 mV after blocking voltage-gated sodium channels,
179 NMDA-, GABA_A- and glycine receptors by adding 1 μM tetrodotoxin (TTX), 20 μM
180 D-AP5, 20 μM SR-95531 and 1 μM strychnine (Ascent Scientific). Prior to mEPSC
181 recording, the cells were briefly exposed (2–3 min) to 200 μM LaCl₃ to increase
182 mEPSC frequency (Chung et al., 2008). The signal was filtered at 2 kHz and sampled
183 at 20 kHz. Event detection was performed using amplitude threshold crossing (Igor

184 Pro 5, Wavemetrics Inc; NeuroMatic 2.02, www.neuromatic.thinkrandom.com), with
185 the threshold (typically ~ 5 pA) set to $3\times$ the baseline current variance. The
186 rectification index ($RI_{+60/-60\text{mV}}$) was calculated by dividing the mean mEPSC peak
187 conductance calculated using all events detected at $+60$ mV and a matching number
188 of the largest events at -60 mV. For fluctuation analysis (see below) and kinetic
189 analysis, only events that exhibited a monotonic rise and an uncontaminated decay
190 were included. Such events were aligned on their rising phase prior to averaging. The
191 decay of the averaged mEPSC was fitted with a double exponential, and the weighted
192 time constant of decay ($\tau_{w, \text{decay}}$) calculated as the sum of the fast and slow time
193 constants weighted by their fractional amplitudes. In some cases mEPSCs were
194 adequately fit with single exponentials.

195 Peak-scaled non-stationary fluctuation analysis (ps-NSFA) was used to estimate the
196 weighted mean single-channel conductance of synaptic receptors (Traynelis et al.,
197 1993; Hartveit and Veruki, 2007). Each mEPSC was divided into 30 bins of equal
198 amplitude and, within each bin, the variance of the mEPSC about the scaled average
199 was computed. The variance was plotted against the mean current value, and the
200 weighted mean single-channel current was estimated by fitting the full parabolic
201 relationship with the equation:

202

$$\sigma_{\text{PS}}^2 = i\bar{I} - \bar{I}^2/N_p + \sigma_{\text{B}}^2$$

203

204 where σ_{PS}^2 is the peak-scaled variance, \bar{I} is the mean current, i is the weighted mean
205 single-channel current, N_p is the number of channels open at the peak of the EPSC,
206 and σ_{B}^2 is the background variance. The weighted mean chord conductance for each
207 cell was calculated assuming a reversal of 0 mV.

208 *Acute cerebellar slices.* Mice (P10–15) were anesthetized with isoflurane and
209 decapitated. After brain dissection, 250- μm -thick sagittal slices were cut in an ice-
210 cold oxygenated solution (85 mM NaCl, 2.5 mM KCl, 0.5 mM CaCl₂, 4 mM MgCl₂,
211 25 mM NaHCO₃, 1.25 mM NaH₂PO₄, 64 mM sucrose and 25 mM glucose, pH 7.3
212 when bubbled with 95% O₂ and 5% CO₂), using a vibratome (Microm 650 V HM or
213 Campden 7000smz). To prevent NMDAR-mediated cell damage 20 μM D-AP5
214 (Tocris Bioscience) was included. Slices were stored in the same solution at 35 °C for

215 30 min and then transferred into recording ‘external’ solution at 23–26 °C (125 mM
216 NaCl, 2.5 mM KCl, 2 mM CaCl₂, 1 mM MgCl₂, 25 mM NaHCO₃, 1.25 mM
217 NaH₂PO₄, 25 mM glucose; pH 7.3 when bubbled with 95% O₂/5% CO₂).

218 *Slice electrophysiology.* Slices were viewed using a fixed stage upright microscope
219 (Olympus BX 50WI with infrared differential interference contrast or oblique
220 illumination) and recordings were made from visually identified neurons in the
221 internal granule cell layer (Kaneda et al., 1995). To block NMDA and GABA_A
222 receptors, 20 μM D-APV and 20 μM SR-95531 (Ascent Scientific) were added. The
223 internal solution contained 128 mM CsCl, 10 mM HEPES, 10 mM EGTA, 2 mM
224 Mg₂ATP, 0.5 mM CaCl₂, 2mM NaCl, 5 mM TEA, 1 mM *N*-(2,6-
225 dimethylphenylcarbamoylmethyl) triethylammonium bromide (QX-314), and 0.1 mM
226 spermine tetrahydrochloride (pH 7.3 with CsOH). Currents were recorded using an
227 Axopatch 200B amplifier, filtered at 2kHz and digitized at 20 kHz (pClamp 10.2
228 Molecular Devices or Igor Pro 5 with NeuroMatic). All currents were recorded at
229 room temperature, with the exception of minimally-evoked EPSCs (see below). Series
230 resistance and input capacitance were read directly from the amplifier settings used to
231 minimize the current responses to 5 mV hyperpolarizing voltage steps. Series
232 resistance was compensated (up to 75%). Measured values at room temperature were
233 3.9 ± 0.3 pF for wild-type *versus* 3.6 ± 0.3 pF for *Cln3^{Δex1-6}* (*n* = 11 and 12; *W* =
234 76.5, *p* = 0.54 Wilcoxon rank sum test) and 10.0 ± 0.5 MΩ *versus* 12.4 ± 1.0 MΩ (*W*
235 = 40.5, *p* = 0.12 Wilcoxon rank sum test).

236
237 *Quantal and evoked EPSCS.* To record quantal EPSCs (qEPSCs), the standard
238 extracellular solution was replaced with a Ca²⁺-free solution containing 5 mM SrCl₂
239 (Goda and Stevens, 1994; Abdul-Ghani et al., 1996). Mossy fibers were stimulated
240 (0.5 Hz) using a concentric bipolar tungsten electrode placed in the white matter tract
241 (Digitimer DS/2A constant voltage stimulator; 100 V / 200 μs). Events were detected
242 using amplitude threshold crossing, with the threshold (typically ~ 5 pA) set
243 according to the baseline current variance. To avoid the inclusion of multiquantal
244 events, only qEPSCs occurring >10 ms after the mossy fiber stimulus were included.
245 When analyzing event frequency, any qEPSC with a distinct peak was included.
246 When analyzing qEPSC amplitude, all events with a monotonic rise were included,

247 irrespective of overlapping decays. For kinetic analysis, only events with a monotonic
248 rise and uncontaminated decay were included; they were aligned on their rising phase
249 before averaging. The decay of the averaged qEPSC was fitted with a double
250 exponential, and the weighted time constant of decay ($\tau_{w, \text{decay}}$) calculated.

251

252 To record evoked EPSCs (eEPSCs), mossy fibers were stimulated (0.5 Hz) using a
253 concentric bipolar tungsten electrode placed in the white matter tract (Digitimer
254 DS/2A constant voltage stimulator). Pairs of eEPSCs were recorded at room
255 temperature with an extracellular solution containing 2 mM Ca^{2+} /1 mM Mg^{2+} .

256

257 To more closely approximate physiologically relevant conditions, minimally-evoked
258 EPSCs (meEPSCs) were recorded at an elevated temperature (30-34 °C). Mossy
259 fibers were stimulated using constant voltage pulses (80-100 μs ; 20-48 V) delivered
260 through a glass electrode filled with extracellular solution positioned ~100-200 μm
261 from the recorded granule cell. The criteria for minimal stimulation included an initial
262 ~30% failure rate during repeated single stimuli at 0.25 Hz and invariant EPSC
263 latency and amplitude with increased stimulus intensity. The mean voltage of the
264 threshold stimulus was 32.7 V for wild-type cells and 34.2 V for $\text{Cln3}^{\Delta\text{ex1-6}}$ cells. For
265 each cell, trains of 5 stimuli (100 Hz, ~2 V above threshold) were delivered at 3-
266 second intervals and meEPSCs recorded at -70 mV in both 'high' and 'low'
267 extracellular Ca^{2+} (2 mM Ca^{2+} /1 mM Mg^{2+} and 1 mM Ca^{2+} /2 mM Mg^{2+}). In each case
268 the amplitudes of evoked currents were normalized to the mean amplitude of the first
269 response (meEPSC₁) in 2 mM Ca^{2+} /1 mM Mg^{2+} .

270 *Transmission electron microscopy.* Sagittal slices (200 μm) of cerebellar vermis were
271 prepared from six P13 C57BL/6 mice and three age-matched $\text{Cln3}^{\Delta\text{ex1-6}}$ mice, as
272 described above. Slices were cut in slicing solution, immediately transferred into 4%
273 paraformaldehyde and 0.5% glutaraldehyde, and left overnight at 4°C. Following
274 primary fixation, the tissue was washed and osmicated for 1 h at 4 °C in 1% OsO_4 in
275 0.1 M phosphate buffer, enblocked, stained in 2.0 % uranyl acetate buffer for 30 min
276 at 4 °C, dehydrated in ethanols, cleared in propylene oxide, and embedded in araldite.
277 Sections of 70–80 nm thickness were made. These were collected on copper mesh

278 grids, counterstained with lead citrate, and viewed in a JEOL 1010 electron
279 microscope.

280 Mossy fiber axons were identified by their structural characteristics (Xu-Friedman
281 and Regehr, 2003). Release sites were identified by the presence of a presynaptic
282 cluster of vesicles close to the membrane, active zone material and a postsynaptic
283 density. Electron micrographs were analyzed by individuals blinded to the genotype
284 and quantified using ImageJ software (v1.46; <https://imagej.nih.gov/ij/>). To evaluate
285 the density of vesicles in each terminal, a grid composed of multiple squares (each
286 with an area $0.1 \mu\text{m}^2$) was overlaid on the image. We counted the number of vesicles
287 (of ~ 30 nm diameter) within each square. Squares containing organelles, or those
288 containing the border of the mossy fiber terminal were excluded from analysis.
289 Vesicles were considered to be proximal to the release site if they were less than 100
290 nm from the presynaptic membrane of an active zone. The active zone vesicle density
291 was then calculated as the number of vesicles per 50 nm of active zone length. As
292 accurate identification of docked vesicles is demanding, even in much thinner slices
293 than used here (Molnar et al., 2016), we opted to count those within 1 vesicle radius
294 of the active zone and term them ‘membrane adjacent’ vesicles.

295 *Statistical analysis.* Summary data are presented in the text as mean \pm SEM from n
296 cells (or mossy fiber terminals). Comparisons involving two datasets only were
297 performed using a Wilcoxon rank sum test. For the comparison of paired-pulse ratios
298 at different frequencies and analysis of short-term plasticity, we used two- and three-
299 way repeated measures ANOVA. For EM data, nested analysis was performed using a
300 likelihood ratio test comparing two linear mixed-effect models (Bates et al., 2015).
301 Exact p values are presented to two significant figures, except when $p < 0.0001$.
302 Differences were considered significant at $p < 0.05$. Statistical tests were performed
303 using R (version 3.3.2; the R Foundation for Statistical Computing; [http://www.r-](http://www.r-project.org/)
304 [project.org/](http://www.r-project.org/)) and R Studio (version 1.1.383; RStudio). No statistical test was used to
305 pre-determine sample sizes; these were based on standards of the field.

306 **Results**

307 **Levels of GluA2 and GluA4 are unaltered in cerebella of *Cln3^{Δex1-6}* mice**

308 The increased AMPAR-mediated excitotoxicity seen in dissociated and slice cultures
309 of cerebellum from 8–10-day old *Cln3^{Δex1-6}* mice was originally suggested to reflect
310 altered AMPAR trafficking, and a possible increase in the number of GluA2-lacking
311 CP-AMPARs (Kovacs et al., 2006). However, the same authors later described an
312 increase in GluA2 protein in the cerebellum of 1 month-old *Cln3^{Δex1-6}* mice (Kovacs
313 et al., 2015). To investigate possible AMPAR subunit changes, we initially measured
314 protein levels for GluA2 and GluA4 in cerebellum from wild-type and *Cln3^{Δex1-6}* mice
315 in the second postnatal week, around the age when the first structural and functional
316 defects are observed in *Cln3^{Δex1-6}* mice (Weimer et al., 2009).

317 We prepared cerebellar tissue lysate from 12 wild-type and 12 *Cln3^{Δex1-6}* mice (P14-
318 16). For each group, 4 samples were generated by pooling tissue from 3 littermate
319 mice. All 8 samples were run together and the membrane probed with the relevant
320 antibodies (mouse anti-GluA2, mouse anti-GluA4, rabbit anti-cofilin; see Methods)
321 (Fig. 1a, b). We found no difference in total protein for either GluA2 (0.29 ± 0.04 for
322 wild-type versus 0.29 ± 0.06 for *Cln3^{Δex1-6}*, normalized to the intensity of the cofilin
323 band; $W = 10$, $p = 0.69$) or GluA4 (0.33 ± 0.05 versus 0.30 ± 0.07 normalized to the
324 intensity of cofilin; $W = 9$, $p = 0.89$) (Fig. 1c, d). Similar results were obtained when
325 values were normalized to total protein (data not shown; see Methods).

326 **AMPA-evoked currents are unchanged in cultured *Cln3^{Δex1-6}* granule cells**

327 To determine whether the magnitude of AMPAR-mediated currents or the prevalence
328 of CP-AMPARs was altered in cerebellar granule cells from *Cln3^{Δex1-6}* mice, we first
329 made recordings from cultured neurons and examined whole-cell currents evoked by
330 bath application of AMPA (20 μ M). The responses were compared during voltage
331 ramps from -90 to $+60$ mV, with spermine (500 μ M) included in the pipette
332 (intracellular) solution (Fig. 2a). As this polyamine blocks CP-AMPARs in a voltage-
333 dependent manner, with pronounced block at depolarized potentials, it allows their
334 presence to be identified from the characteristic inwardly rectifying I - V relationship
335 (Bowie and Mayer, 1995; Kamboj et al., 1995; Koh et al., 1995).

336

337 We found the mean current amplitude at -90 mV was unaltered in *Cln3^{Δex1-6}* cells
338 compared with wild-type (wild-type 131.9 ± 41.4 and *Cln3^{Δex1-6}* 126.4 ± 34.7 , $n = 10$

339 and 13, respectively; $W = 67, p = 0.95$) (**Fig. 2a, b**). This situation persisted when
340 current amplitudes were normalized to the measured cell capacitance. Moreover, the
341 I - V plots were similar. Cells from both wild-type and $Cln3^{\Delta ex1-6}$ mice exhibited near-
342 linear I - V relationships (**Fig. 2c-e**), with rectification indices (RIs; see Methods) of
343 0.91 ± 0.08 and 0.85 ± 0.07 , $n = 9$ and 10 , respectively; $W = 52, p = 0.60$). This
344 observation suggests that loss of CLN3 does not alter the predominant expression of
345 CI-AMPA receptors in cultured granule cells.

346
347

348 **mEPSCs and synaptic AMPARs are unaltered in granule cells from $Cln3^{\Delta ex1-6}$** 349 **mice**

350 We next examined synaptic AMPARs by recording miniature excitatory postsynaptic
351 currents (mEPSCs) in the presence of TTX ($1 \mu\text{M}$) (**Fig. 3a-d**). The amplitude and
352 frequency of mEPSCs at -60 mV was similar in cells cultured from wild-type and
353 $Cln3^{\Delta ex1-6}$ mice (10.7 ± 0.8 versus 9.8 ± 0.5 pA, $W = 374, p = 0.49$ and 3.1 ± 1.1
354 versus 2.5 ± 0.9 Hz, $W = 404, p = 0.22$; $n = 24$ and 28 cells, respectively) (**Fig. 3c-e**).

355 To determine whether loss of CLN3 led to an alteration in the basic properties of
356 synaptic AMPARs in granule cells, we assessed their kinetics, voltage-dependence
357 and mean single-channel conductance by analyzing synaptic currents. The 10-90%
358 rise-time and weighted decay of mEPSCs (see Methods) did not differ between cells
359 cultured from wild-type and $Cln3^{\Delta ex1-6}$ mice (0.33 ± 0.02 versus 0.34 ± 0.02 ms, $W =$
360 $36, p = 0.76$ and 1.27 ± 0.10 versus 1.42 ± 0.12 ms, $W = 33, p = 0.57$; $n = 10$ and 8
361 cells). Likewise, we found no difference in the weighted mean single-channel
362 conductance determined using peak-scaled non-stationary fluctuation analysis (ps-
363 NSFA; see Methods) (11.5 ± 1.5 versus 11.2 ± 0.9 pS, $W = 40, p = 1.00$; $n = 10$ and
364 8 cells) (**Fig. 3f**) or in mEPSC rectification ($RI_{CM} +60/-60$ mV; see Methods) ($0.99 \pm$
365 0.05 versus 1.05 ± 0.08 , $W = 36, p = 0.65$; $n = 12$ and 7 cells) (**Fig. 3g**). The fact that
366 the mEPSCs remained non-rectifying and their underlying single-channel
367 conductance remained low in $Cln3^{\Delta ex1-6}$ mice suggests that, in keeping with the data
368 from whole-cell AMPA-evoked currents, CI-AMPA receptors are the predominant subtype
369 present at granule cell synapses following loss of CLN3.

370 **Quantal events at mossy fiber-granule cell synapses of *Cln3* ^{Δ ex1-6} mice**

371 To investigate transmission at mossy fiber to granule cell synapses formed *in vivo* we
372 next moved to acute cerebellar slices. As spontaneous mEPSCs occurred only at low
373 frequency, we initially examined quantal events (qEPSCs) in response to mossy fiber
374 stimulation. We made recordings in the presence of 5 mM extracellular SrCl₂ to
375 trigger the asynchronous release of transmitter such that individual quanta could be
376 identified (**Fig. 4a, b**). This approach allowed us to measure both the size and the
377 number of quanta released per stimulus.

378

379 Unexpectedly, in slices from *Cln3* ^{Δ ex1-6} mice, each mossy fiber stimulation evoked a
380 smaller initial EPSC and far fewer discrete qEPSCs than in wild-type (initial
381 amplitude reduced from -52.3 ± 6.9 pA to -21.2 ± 6.3 pA, $n = 6$ and 7 , $W = 4$, $p =$
382 0.014 and number of quantal events reduced from 10.0 ± 2.6 to 2.5 ± 0.7 ; $W = 41$, $p =$
383 0.0023) (**Fig. 4c**). Of note, in slices from wild-type mice no ‘failures’ (sweeps in
384 which no response was evoked) were seen, but in slices from *Cln3* ^{Δ ex1-6} mice the
385 average failure rate was $\sim 10\%$ (range $0 - 23.3\%$). In slices from *Cln3* ^{Δ ex1-6} mice the
386 amplitude of qEPSCs was similar to wild-type (14.0 ± 1.6 versus 12.4 ± 1.5 pA, $n = 6$
387 and 7 ; $W = 24$, $p = 0.73$) (**Fig. 4d**), and both the 10-90% rise-time ($RT_{10-90\%}$; $0.34 \pm$
388 0.01 versus 0.33 ± 0.03 ms; $W = 30.5$, $p = 0.20$) and weighted decay time ($\tau_{w, \text{decay}}$;
389 2.11 ± 0.23 versus 2.79 ± 0.44 ms; $W = 13$, $p = 0.29$) of qEPSCs remained unchanged
390 (**Fig. 4e**). These results demonstrate no change in postsynaptic responsiveness at
391 mossy fiber synapses of *Cln3* ^{Δ ex1-6} mice, but the activation of fewer mossy fibers or a
392 potential reduction in the probability of transmitter release.

393

394 **Unaltered paired-pulse depression of eEPSCs in *Cln3* ^{Δ ex1-6} granule cells**

395 Mossy fiber-granule cell synapses are known to sustain high bandwidth transmission,
396 but the majority show an initial short-term depression during high frequency
397 stimulation (Nieus et al., 2006; Saviane and Silver, 2006; Chabrol et al., 2015).

398 Although the reduced number of qEPSCs in slices from *Cln3* ^{Δ ex1-6} mice could be
399 consistent with a decrease in release probability in $0 \text{ Ca}^{2+}/5 \text{ mM Sr}^{2+}$, this was not
400 evident when we examined evoked EPSCs (eEPSCs) in 2 mM Ca^{2+} . Responses to
401 paired stimuli at 5, 10, 20 and 100 Hz showed no difference in paired-pulse-ratio
402 (PPR). For example, at 100 Hz the PPR indicated similar magnitude of depression

403 (0.41 ± 0.13 and 0.30 ± 0.05 for wild-type and *Cln3*^{Δ*exl-6*} cells; *n* = 5 and 4,
404 respectively; *W* = 10, *p* = 1.00, Wilcoxon rank sum test). Across the frequency range
405 examined, two-way RM ANOVA showed an effect of inter stimulus interval (*F*_{3,21} =
406 16.88, *p* < 0.0001), no effect of genotype (*F*_{1,7} = 0.24, *p* = 0.64) and no interaction
407 (*F*_{3,21} = 0.80, *p* = 0.51). As both qEPSCs and eEPSCs were recorded under ‘non-
408 physiological’ conditions, we next chose to examine synaptic transmission at near-
409 physiological temperature, and in 1 mM extracellular Ca²⁺ – a concentration thought
410 likely to approximate more closely the situation *in vivo* (Borst, 2010).

411

412 **Altered short-term plasticity of meEPSCs in *Cln3*^{Δ*exl-6*} mice in reduced [Ca²⁺]_o**

413 We examined meEPSCs in response to brief trains of high frequency mossy fiber
414 stimulation (5 stimuli at 100 Hz) at 30–34 °C in both ‘normal’ and reduced
415 extracellular Ca²⁺ (2 mM Ca²⁺/1 mM Mg²⁺ and 1 mM Ca²⁺/2 mM Mg²⁺) (**Fig. 5a, b**).
416 For each cell (6 wild-type and 6 *Cln3*^{Δ*exl-6*}), meEPSC amplitudes were normalized to
417 that of the first event in 2 mM extracellular Ca²⁺. In both groups of mice, we observed
418 a wide range of amplitudes for the first meEPSC (peak conductance of 0.56–2.91 nS
419 for wild-type and 0.33–1.56 nS for *Cln3*^{Δ*exl-6*}), within the wide range (0.11–3.33 nS)
420 reported by Chabrol et al. (2015) for different mossy fiber input pathways. In 2 mM
421 Ca²⁺, meEPSCs in granule cells from wild-type mice exhibited short-term depression
422 (meEPSC₂/meEPSC₁ was 0.46 ± 0.07; *W* = 36, *p* = 0.0028). When the same cells
423 were recorded in 1 mM extracellular Ca²⁺ there was no depression
424 (meEPSC₂/meEPSC₁ was 0.81 ± 0.12; *W* = 27, *p* = 0.18) (**Fig. 5a**). However, for
425 *Cln3*^{Δ*exl-6*} cells, paired-pulse depression was seen in both 2 and 1 mM extracellular
426 Ca²⁺ (0.30 ± 0.05 and 0.31 ± 0.06, respectively; both *W* = 36, *p* = 0.0028 and *p* =
427 0.0022). A three-way repeated measures ANOVA was run to examine the effect of
428 stimulus number, extracellular Ca²⁺ concentration and genotype on meEPSC
429 amplitude (normalized to meEPSC₁ in 2 mM Ca²⁺). There was a significant three-way
430 interaction, *F*_(4, 80) = 3.67, *p* = 0.0085. Thus, the effect of lowering extracellular Ca²⁺
431 on the meEPSC amplitudes during short trains was affected by deletion of CLN3.
432 Overall, these results suggest altered release dynamics in *Cln3*^{Δ*exl-6*} mice, that are
433 revealed in conditions of reduced extracellular Ca²⁺. Of note, the mean amplitude of
434 meEPSC₁ in 2 mM Ca²⁺ did not differ between genotypes (77.3 ± 26.0 pA and 69.7 ±
435 12.0 pA; *W* = 14, *p* = 0.59), but amplitudes of meEPSC₁ in 1 mM Ca²⁺ (normalized to

436 those of meEPSC₁ in 2 mM Ca²⁺) were different (0.42 ± 0.06 and 0.82 ± 0.07 in wild-
437 type and *Cln3*^{Δ*ex1-6*}, respectively; $W = 2$, $p = 0.0087$).

438

439 **Structural changes at mossy fiber-granule cell synapses in *Cln3*^{Δ*ex1-6*} mice**

440 We next used 2D transmission electron microscopy to compare mossy fiber to granule
441 cell synapses from *Cln3*^{Δ*ex1-6*} and wild-type mice (P13). Mossy fiber rosettes were
442 identified from their characteristic size and appearance (many small vesicles, and a
443 large number of mitochondria) (Xu-Friedman and Regehr, 2003; Rothman et al.,
444 2016), and the fact that the mossy fiber makes contact with a large number of granule
445 cell dendrites.

446 Initial examination revealed no striking gross anatomical differences between
447 *Cln3*^{Δ*ex1-6*} and wild-type synapses (**Fig. 6a, b**). The average vesicle diameter was
448 unchanged in *Cln3*^{Δ*ex1-6*} compared to wild-type (mean vesicle diameter per mossy
449 fiber terminal 33.5 ± 0.5 versus 32.2 ± 0.5 nm, $n = 20$ and 19 terminals from 3 mice
450 each; $W = 130$, $p = 0.094$) (**Fig. 6c**). We observed a high average density of vesicles
451 within each mossy fiber terminal, comparable to the values of 118–170 μm^{-2} reported
452 by Rothman et al (2016). However, the average density of vesicles per mossy fiber
453 terminal was decreased in *Cln3*^{Δ*ex1-6*} mice, from 131.7 ± 8.9 to 92.6 ± 6.0 μm^{-2} ($n =$
454 16 and 21 terminals; $W = 267$, $p = 0.0025$) (**Fig. 6c**). Additionally, when we
455 determined the number of vesicles proximal to each active zone (within 100 nm), the
456 average number per 50 nm length of active zone was reduced by ~30% (from $2.70 \pm$
457 0.19 to 1.92 ± 0.16 , $n = 9$ terminals in each of 3 mice; $W = 69$, $p = 0.013$) (**Fig. 6d**).
458 When we considered only ‘membrane adjacent’ vesicles (those within 1 vesicle radius
459 of the presynaptic membrane), the number was reduced by ~40% (from 1.24 ± 0.16 to
460 0.71 ± 0.15 per active zone; $W = 67$, $p = 0.022$ and from 0.37 ± 0.04 to 0.22 ± 0.04
461 per 50 nm of active zone; $W = 65$, $p = 0.034$) (**Fig. 6d**). Of note, use of nested
462 analysis (see Methods), rather than average measures per terminal, did not
463 qualitatively alter the outcome. Thus, while vesicle diameter was unchanged, the
464 overall vesicle density per terminal was slightly decreased, as was the average number
465 of vesicles proximal to active zones and the number of membrane adjacent vesicles.

466 **Discussion**

467 We have examined granule cell AMPARs and cerebellar mossy fiber to granule cell
468 synapses in the *Cln3* ^{Δ ex1-6} mouse, a widely used model of juvenile Batten disease. Our
469 main findings are as follows: First, GluA2 and GluA4 expression in cerebellar tissue
470 from *Cln3* ^{Δ ex1-6} mice is unaltered. Second, AMPA-evoked currents in granule cells
471 cultured from wild-type and *Cln3* ^{Δ ex1-6} mice are not different. Third, the properties of
472 synaptic AMPARs – their kinetics, voltage-dependence, and single-channel
473 conductance – are unaltered. Fourth, loss of CLN3 leads to altered short-term
474 plasticity in conditions of reduced extracellular Ca²⁺. Fifth, in mossy fiber terminal
475 from *Cln3* ^{Δ ex1-6} mice the density of synaptic vesicles and their proximity to active
476 zones is reduced. Thus, our experiments reveal unanticipated-presynaptic changes, but
477 no evidence for altered postsynaptic AMPARs.

478 **Changes in synaptic transmission occur early in *Cln3* ^{Δ ex1-6} mice**

479 Although the original studies of *Cln3* ^{Δ ex1-6} mice reported accumulation of lysosomal
480 storage material at ~3 months of age (Mitchison et al., 1999; Seigel et al., 2002) the
481 mice were thought to lack clinical symptoms, even at 12 months (Mitchison et al.,
482 1999). Subsequent studies identified deficits in motor co-ordination as early as P14
483 (Kovacs et al., 2006), which were preceded by thinning of the cerebellar granule cell
484 layer and Purkinje cell loss (Weimer et al., 2009). Our results suggest that there are
485 indeed early changes in synaptic transmission in the cerebellum of *Cln3* ^{Δ ex1-6} mice
486 (P10-15).

487 Our experiments do not allow us to conclude whether the observed changes are a
488 direct consequence of CLN3 loss, or represent secondary effects. In this regard, it is
489 of note that extensive changes in gene expression and protein levels occur in *Cln3* ^{Δ ex1-}
490 ⁶ mice (Brooks et al., 2003; Llaverro Hurtado et al., 2017), potentially disrupting
491 multiple neuronal pathways. Nevertheless, our findings in a mouse model of juvenile
492 CLN3 disease complement molecular, structural, and functional studies in various
493 animal models of infantile CLN1 disease (Virmani et al., 2005; Kim et al., 2008;
494 Kielar et al., 2009), late infantile CLN6 disease (Kielar et al., 2009), congenital
495 CLN10 disease (Koch et al., 2011), and late infantile CLN5 disease (Amorim et al.,
496 2015), and suggest that early synaptic alteration is a characteristic feature of NCLs.
497

498 No change in the rectification of AMPARs in *Cln3* ^{Δ ex1-6} granule cells

499 Previous studies reported increased AMPA-mediated neurotoxicity in dissociated
500 granule cells and organotypic cultured cerebellar slices from 1-week-old *Cln3* ^{Δ ex1-6}
501 mice (Kovacs et al., 2006), and improved motor skills in 1-7-month-old mice
502 following AMPAR blockade (Kovacs and Pearce, 2008; Kovacs et al., 2011). These
503 authors proposed an increase in the number of CP-AMPARs in *Cln3* ^{Δ ex1-6} cerebellar
504 granule cells, and abnormally increased AMPA receptor-mediated neurotransmission
505 in the cerebellum. More recently, the same authors reported an increase in both total
506 and surface GluA2 in acute cerebellar slices from 1-month-old *Cln3* ^{Δ ex1-6} mice, and
507 proposed a decrease in the number of CP-AMPARs (Kovacs et al., 2015). Our
508 biochemical analysis and patch-clamp recordings do not support either of these
509 proposals. We found no difference in the levels of GluA2 or GluA4 protein in
510 cerebellar lysates of wild-type and *Cln3* ^{Δ ex1-6} mice. Importantly, we found the
511 magnitude and *I-V* relationships of AMPAR-mediated currents obtained in the
512 presence of intracellular spermine to be similar in cultured cerebellar granule cells
513 from wild-type and *Cln3* ^{Δ ex1-6} mice. In both groups, *I-V* relationships were linear, a
514 feature characteristic of GluA2-containing calcium-impermeable AMPARs. Given
515 that mEPSCs in *Cln3* ^{Δ ex1-6} cells exhibited no detectable alteration in amplitude, rise
516 time, decay time, rectification properties or underlying mean single-channel
517 conductance, it seems highly likely that the number and composition of AMPARs at
518 synapses was also unchanged. The reasons for these disparities are not clear, but it
519 should be noted that our studies were carried out using mice on a C57BL/6J
520 background, whereas the work of Pearce and colleagues used mice on a 129S6/SvEv
521 background. Importantly, while there are some background-specific differences in
522 motor phenotype of these *Cln3* ^{Δ ex1-6} strains, both exhibit clear motor deficits (Kovacs
523 and Pearce, 2015).

524 Presynaptic changes at mossy fiber-granule cell synapses in *Cln3* ^{Δ ex1-6} mice

525 As with mEPSCs in cultured granule cells, the amplitude and kinetics of qEPSCs
526 evoked at mossy fiber to granule cell synapses (in the presence of Sr²⁺) were
527 unaffected by loss of CLN3. However, we found a marked decrease in the number of
528 quanta released per stimulus in *Cln3* ^{Δ ex1-6} mice. This could indicate a reduction in the
529 probability of release or simply the activation of fewer mossy fibers. Intriguingly, a

530 recent report described increased hippocampal field excitatory post-synaptic
531 potentials in *Cln3^{Δex7/8}* mice and suggested increased axonal excitability at the earliest
532 age studied (1 month) (Burkovetskaya et al., 2017), tending to argue against the
533 second of these possibilities. In a separate set of experiments in 2 mM Ca^{2+} we found
534 the paired-pulse ratio of eEPSCs was not affected by loss of CLN3, suggesting no
535 change in release probability. Thus, the effect of CLN3 loss may depend on the
536 extracellular Ca^{2+} concentration.

537

538 In both wild-type and *Cln3^{Δex1-6}* slices we observed depression of eEPSC amplitudes
539 during short trains of mossy fiber stimulation in the presence of standard extracellular
540 divalent cations (2 mM Ca^{2+} /1 mM Mg^{2+}). Surprisingly, when we reduced release
541 probability by lowering extracellular Ca^{2+} (1 mM Ca^{2+} /2 mM Mg^{2+}), we observed loss
542 of depression in wild-type cells (Nieus et al., 2006; Saviane and Silver, 2006) but not
543 in *Cln3^{Δex1-6}* cells. The fact that the loss of CLN3 appeared to have a functional
544 impact on transmission only when extracellular Ca^{2+} was reduced suggests the
545 possibility of an alteration in Ca^{2+} handling or sensing. Recent studies have indeed
546 suggested that in both neurons (Warnock et al., 2013) and neuronal progenitor cells
547 (Chandrachud et al., 2015) calcium handling is disrupted following loss of CLN3.
548 This has been shown to result in the aberrant elevation of intracellular Ca^{2+} following
549 K^{+} -induced depolarization or moderate inhibition of the sarco/endoplasmic reticulum
550 Ca^{2+} -ATPase by thapsigargin. Whether altered Ca^{2+} handling in mossy fiber terminals
551 could account for the differences in short term plasticity between *Cln3^{Δex1-6}* and wild-
552 type mice is unclear.

553 **Ultrastructural changes at mossy fiber terminals in *Cln3^{Δex1-6}* mice**

554 Our 2D EM analyses revealed presynaptic structural changes in *Cln3^{Δex1-6}* mice,
555 including a decrease in the vesicle density per mossy fiber terminal, a decrease in the
556 number of vesicles proximal to active zones, and a decrease in membrane adjacent
557 vesicles. Interestingly, broadly similar findings have been described in a different
558 NCL. A reduction in vesicle number has been seen in cortical neurons from
559 palmitoyl-protein thioesterase-1 knockout mice (*Ppt1^{-/-}*), a model of infantile CLN1
560 disease (Virmani et al., 2005; Kim et al., 2008). This effect was linked with persistent
561 membrane association of palmitoylated synaptic vesicle proteins preventing

562 endocytosis. Conversely, in cathepsin D knockout mice (*Ctsd*^{-/-}), a model of
563 congenital CLN10 disease, there is a reported increase at hippocampal CA1 synapses
564 in the total vesicle number and in the number of docked vesicles (Koch et al., 2011).
565 Thus, changes in the presynaptic vesicle pool may be a common feature of multiple
566 NCLs. How, or if, the reduction we observe in synaptic vesicles of *Cln3* ^{Δ ex1-6} mice
567 relates to previously described changes in intracellular vesicular trafficking of CLN3-
568 deficient cells (Fossale et al., 2004; Metcalf et al., 2008; Tecedor et al., 2013; Wavre-
569 Shapton et al., 2015) remains to be determined. However, it is possible that the
570 reduced vesicle numbers constitute a compensatory mechanism to overcome the
571 tendency towards elevated release under physiological conditions. This idea follows
572 from our observation that in 1 mM Ca²⁺ normalized amplitudes of meEPSC in
573 *Cln3* ^{Δ ex1-6} mice were greater than those of wild-type mice. Of note, the reduced Ca²⁺
574 recordings are likely to reflect more accurately the situation *in vivo*, where the
575 concentration of extracellular Ca²⁺ is thought to be closer to 1 rather than 2 mM
576 (Borst, 2010).

577

578 Very recently, a paper was published which described disruption of supraspinal
579 synaptic transmission in the *Cln3* ^{Δ ex1-6} mouse due to impaired presynaptic release, and
580 proposed this as a causative mechanism in juvenile Batten disease (Grunewald et al.,
581 2017). CLN3 loss was found to impair inhibitory postsynaptic currents or inhibitory
582 synaptic transmission and to cause loss of GABAergic interneurons, in amygdala,
583 hippocampus and cerebellum. In addition, the authors reported a reduction in the
584 amplitude of eEPSCs in both principal neurons of the lateral amygdala and granule
585 cells of the dentate gyrus, no change in the amplitude of mEPSCs or spontaneous
586 EPSCs, but a reduction in their frequency. Paired-pulse facilitation during stimulation
587 of the lateral perforant path was also reduced. Overall, the findings were interpreted
588 as reduction of excitatory and inhibitory inputs. Our results echo these observations in
589 identifying presynaptic changes in *Cln3* ^{Δ ex1-6} mice.

590

591 Irrespective of the precise mechanism underlying synaptic changes in *Cln3* ^{Δ ex1-6} mice
592 (Carcel-Trullols et al., 2015; Grunewald et al., 2017), our observations are potentially
593 important in understanding the locus of early changes in juvenile Batten disease.
594 While the recent study of Grunewald et al. (2017) examined synaptic function in
595 symptomatic (14-month-old) *Cln3* ^{Δ ex1-6} mice, the synaptic changes we observed in 2-

596 week-old mice occurred in the presymptomatic phase of the disease, and are thus
597 likely to reflect the initial causative changes. Previous functional studies focused
598 primarily on an apparent selective increase in AMPAR function in cerebellar granule
599 cells of *Cln3* ^{Δ ex1-6} mice, and proposed a block of AMPARs as a potential therapeutic
600 approach (Kovacs et al., 2011). Importantly, our results argue strongly against any
601 early change in postsynaptic AMPARs.

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603

604

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810

811 **Figure 1.** GluA2 and GluA4 expression is unaltered in cerebellum of $Cln3^{\Delta ex1-6}$ mice.
 812 **a**, Representative western blots comparing the expression of GluA2 in cerebellar
 813 lysates from wild-type (WT) and $Cln3^{\Delta ex1-6}$ mice. Each lane uses pooled tissue from 3
 814 littermate mice. Upper bands (near 100 kD) show the labeling for GluA2. Lower
 815 bands (at 20 kD) show the corresponding labeling for cofilin. **b**, Same as **a**, but for
 816 GluA4. **c**, Pooled data for GluA2 expression normalized to mean WT expression.
 817 Box-and-whisker plots indicate the median value (black line), the 25–75th
 818 percentiles (box) and the 10–90th percentiles (whiskers); filled black circles are data
 819 from individual cells and open circles indicate means. **d**, Same as **c**, but for GluA4
 820 (n.s., non-significant; Wilcoxon rank sum test).

821 **Figure 2.** AMPA-evoked whole-cell currents from granule cells of $Cln3^{\Delta ex1-6}$ mice
 822 are similar. **a**, Global average waveforms of leak-subtracted AMPA-evoked currents
 823 (in the presence of 10 μ M cyclothiazide) from wild-type (WT) and $Cln3^{\Delta ex1-6}$ mice
 824 (10 and 13 cells, respectively). Shaded areas denote s.e.m. **b**, Pooled data showing no
 825 change in amplitude (–90 mV) in cells from $Cln3^{\Delta ex1-6}$ mice. **c**, Representative I - V
 826 relationship from a WT cell. Fitted blue lines (from –40 to –20 mV and from +20 to
 827 +40 mV) indicate the slope conductances (G_{slope}) for the negative and positive limbs
 828 of the I - V relationship. The rectification index (indicated) was calculated as $RI_{slope} =$
 829 $G_{slope\ pos}/G_{slope\ neg}$. **d**, Same as **c**, but for a representative granule cell from a
 830 $Cln3^{\Delta ex1-6}$ mouse. **e**, Pooled data showing similar rectification in cells from WT and
 831 $Cln3^{\Delta ex1-6}$ mice. Box-and-whisker plots as in Fig. 1. (n.s., non-significant; Wilcoxon
 832 rank sum test).
 833

834 **Figure 3.** mEPSCs in granule cells from wild-type (WT) and $Cln3^{\Delta ex1-6}$ mice are
 835 indistinguishable. **a**, Representative recording of mEPSCs from a granule cell in a
 836 culture prepared from WT mice (–60 mV). Traces are consecutive and filtered at 1
 837 kHz for display (mEPSCs are indicated by red dots). **b**, Same as **a**, but from a granule

838 cell in a culture prepared from $Cln3^{\Delta ex1-6}$ mice. Scale bars apply to both a and b. **c**,
 839 Upper, Individual mEPSCs from the cell in a, aligned at their point of steepest rise.
 840 Middle, Color-coded image of all 77 events. Lower, Averaged mEPSC (black trace)
 841 with superimposed SEM (grey fill) and exponential fit to the decay (blue line). The
 842 time constant (τ_{decay}) is indicated. **d**, Same as **c**, but for mEPSCs from the $Cln3^{\Delta ex1-6}$
 843 recording in **b** (scale bars apply to both **c** and **d**). **e**, Pooled data showing similar
 844 amplitude and frequency of mEPSCs in granule cells from WT and $Cln3^{\Delta ex1-6}$ mice.
 845 Left, Cumulative probability distributions for mEPSC amplitudes. The averaged
 846 distributions are shown in bold (WT blue; $Cln3^{\Delta ex1-6}$ red). Right, Box-and-whisker
 847 plots (as in Fig. 1) for mEPSC frequency (\log_{10} scale) and amplitude (n.s., non-
 848 significant; Wilcoxon rank sum test). **f**, Left, Representative current-variance
 849 relationships. The dashed line indicates the background current variance. The single-
 850 channel conductance (γ) was calculated from the weighted-mean unitary current
 851 estimated from the parabolic fit. Right, Box-and whisker plots (as in **e**) showing
 852 similar values for conductance. **g**, Representative recordings from cultured granule
 853 cells at -60 and $+60$ mV with corresponding count-matched averaged mEPSCs (see
 854 Methods). Traces are from a WT cell (left) and a $Cln3^{\Delta ex1-6}$ cell (right). Far right,
 855 Box-and whisker plots (as in **e**) showing pooled data for count-matched rectification
 856 index (RI_{CM}).

857

858 **Figure 4.** Reduced number of mossy fiber-evoked quantal events in granule cells in
 859 acute cerebellar slices from $Cln3^{\Delta ex1-6}$ mice. **a**, Representative mossy fiber-evoked
 860 responses recorded from a wild-type (WT) granule cell (-70 mV; 0 $Ca^{2+}/5$ mM Sr^{2+}).
 861 Three consecutive records are shown (i–iii). The region indicated in gray is enlarged
 862 in the lower panel to show the detected qEPSCs (red dots). **b**, Same as **a**, but in a cell
 863 from a $Cln3^{\Delta ex1-6}$ mouse (scale bars apply to both **a** and **b**). **c**, Box-and-whisker plots
 864 (as in Fig. 1) showing the reduced number of discrete quanta evoked in cells from
 865 $Cln3^{\Delta ex1-6}$ mice (** $p < 0.01$; Wilcoxon rank sum test). **d**, Cumulative probability
 866 distributions for qEPSC amplitudes. Data from each cell are shown together with the
 867 averaged distributions in bold (WT, blue; $Cln3^{\Delta ex1-6}$, red). Shaded areas denote s.e.m.
 868 Right, Box-and-whisker plots (as in **c**) showing unaltered qEPSC amplitude in cells
 869 from $Cln3^{\Delta ex1-6}$ mice. **e**, Superimposed normalized global average qEPSC waveforms
 870 from 6 WT and 7 $Cln3^{\Delta ex1-6}$ cells show no differences. Shaded areas denote s.e.m.

871 Right, Box-and-whisker plots (as in *c*) for qEPSC 10-90% risetime and $\tau_{w, \text{decay}}$. (n.s.,
872 non-significant; Wilcoxon rank sum test).

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874

875 **Figure 5.** Minimally evoked EPSCs (meEPSCs) in granule cells in slices from wild-
876 type (WT) and $Cln3^{\Delta ex1-6}$ mice exhibit different patterns of short-term plasticity in
877 low extracellular Ca^{2+} . **a**, Averaged meEPSCs from a representative WT granule cell
878 evoked during a five-pulse 100-Hz train in the presence of 2 mM and 1 mM
879 extracellular Ca^{2+} (-70 mV; 428 and 110 sweeps, respectively). Red arrowheads
880 indicate timing of stimuli (stimulus artifacts are blanked). Paired-pulse ratios
881 (meEPSC₂/meEPSC₁) are indicated as PPR_{2/1}. **b**, Same as *a*, but for a representative
882 $Cln3^{\Delta ex1-6}$ granule cell (197 and 111 sweeps). **c**, Plots showing normalized meEPSC
883 amplitude in WT granule cells during five-pulse trains in 2 mM and 1 mM Ca^{2+} .
884 Symbols denote mean and error bars s.e.m. **d**, Plots (as in *c*) but for $Cln3^{\Delta ex1-6}$ granule
885 cells. (** $p < 0.01$ and n.s., non-significant; paired Wilcoxon rank sum test with
886 (with Holm's sequential Bonferroni correction for multiple comparisons).

887

888 **Figure 6.** Reduced vesicle density in mossy fiber terminals of $Cln3^{\Delta ex1-6}$ mice. **a**,
889 Representative electron micrograph showing a wild-type (WT) mossy fiber terminal
890 (MF) making a synaptic contact (delineated by arrows) with a granule cell dendrite
891 (d). **b**, Same as *a*, but from a $Cln3^{\Delta ex1-6}$ mouse. **c**, Box-and-whisker plots (as in Fig. 1)
892 showing the unaltered vesicle diameter and the reduced vesicle density. **d**, Box-and-
893 whisker plots (as in Fig. 1) showing the reduced number of vesicles proximal to
894 active zones (AZ) and reduced number of membrane adjacent vesicles in MF
895 terminals from $Cln3^{\Delta ex1-6}$ mice (** $p < 0.01$, * $p < 0.05$; Wilcoxon rank sum test).

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