

This is a repository copy of *The effect of photoemission on nanosecond helium microdischarges at atmospheric pressure*.

White Rose Research Online URL for this paper:  
<https://eprints.whiterose.ac.uk/131661/>

Version: Published Version

---

**Article:**

Donkó, Zoltán, Hamaguchi, S. and Gans, Timo [orcid.org/0000-0003-1362-8000](https://orcid.org/0000-0003-1362-8000) (2018)  
The effect of photoemission on nanosecond helium microdischarges at atmospheric pressure. *Plasma sources science & technology*. 054001. ISSN 0963-0252

<https://doi.org/10.1088/1361-6595/aac301>

---

**Reuse**

This article is distributed under the terms of the Creative Commons Attribution (CC BY) licence. This licence allows you to distribute, remix, tweak, and build upon the work, even commercially, as long as you credit the authors for the original work. More information and the full terms of the licence here:  
<https://creativecommons.org/licenses/>

**Takedown**

If you consider content in White Rose Research Online to be in breach of UK law, please notify us by emailing [eprints@whiterose.ac.uk](mailto:eprints@whiterose.ac.uk) including the URL of the record and the reason for the withdrawal request.

PAPER • OPEN ACCESS

## The effect of photoemission on nanosecond helium microdischarges at atmospheric pressure

To cite this article: Zoltán Donkó *et al* 2018 *Plasma Sources Sci. Technol.* **27** 054001

View the [article online](#) for updates and enhancements.

### Related content

- [Numerical analyses of hydrogen plasma generation by nanosecond pulsed high voltages at near-atmospheric pressure](#)  
Chieh-Wen Lo and Satoshi Hamaguchi
- [Particle simulation methods](#)  
Zoltán Donkó
- [Homogeneous barrier discharge in helium](#)  
Yu B Golubovskii, V A Maiorov, J Behnke *et al.*

### Recent citations

- [Plasma-surface interactions](#)  
Trevor Lafleur *et al*
- [Disrupting the spatio-temporal symmetry of the electron dynamics in atmospheric pressure plasmas by voltage waveform tailoring](#)  
Andrew R Gibson *et al*
- [On physical processes in an 'open' discharge](#)  
P A Bokhan



**IOP | ebooks™**

Bringing you innovative digital publishing with leading voices to create your essential collection of books in STEM research.

Start exploring the collection - download the first chapter of every title for free.

# The effect of photoemission on nanosecond helium microdischarges at atmospheric pressure

Zoltán Donkó<sup>1,2</sup> , Satoshi Hamaguchi<sup>2</sup>  and Timo Gans<sup>3</sup> 

<sup>1</sup>Institute for Solid State Physics and Optics, Wigner Research Centre for Physics, Hungarian Academy of Sciences, 1121 Budapest, Konkoly Thege Miklós str. 29–33, Hungary

<sup>2</sup>Center for Atomic and Molecular Technologies, Graduate School of Engineering, Osaka University, 2–1 Yamadaoka, Suita, Osaka 565-0871, Japan

<sup>3</sup>York Plasma Institute, Department of Physics, University of York, Heslington, York, United Kingdom

E-mail: [donko.zoltan@wigner.mta.hu](mailto:donko.zoltan@wigner.mta.hu)

Received 22 February 2018, revised 16 April 2018

Accepted for publication 8 May 2018

Published 29 May 2018



CrossMark

## Abstract

Atmospheric-pressure microdischarges excited by nanosecond high-voltage pulses are investigated in helium-nitrogen mixtures by first-principles particle-based simulations, which include VUV resonance radiation transport via the tracing of photon trajectories. The VUV photons, of which the frequency redistribution in the emission processes is included in some detail, are found to modify the computed discharge characteristics remarkably, due to their ability to induce electron emission from the cathode surface. Electrons created this way enhance the plasma density, and a significant increase of the transient current pulse amplitude is observed. The simulations allow the computation of the density of helium atoms in the  $2^1P$  resonant state, as well as the density of photons in the plasma and the line shape of the resonant VUV radiation reaching the electrodes. These indicate the presence of significant radiation trapping in the plasma and photon escape times longer than the duration of the excitation pulses are found.

Keywords: microdischarge, PIC simulation, photoemission

## 1. Introduction

Short-pulse discharges excited with  $\sim$ kV voltages in various gases have been attracting considerable interest. Such systems have been studied from low ( $\sim$ mbar) to atmospheric pressures [1]. The widths of the excitation voltage pulses range from the picosecond domain up to tens or hundreds of nanoseconds [2, 3]. The gases used include pure noble gases and their mixtures with molecular gases, as well as air, which is particularly important for various practical applications.

Advanced diagnostics tools, like Thomson scattering and laser absorption spectroscopy measurements, allow the ignition processes and the dynamics of the afterglow to be understood in high-pressure nanosecond pulsed helium micro-discharges [4]. Recent studies have addressed the effect of surface protrusion on the plasma sheath properties [5] and the effect of the pulse rise time on the discharge

characteristics, especially the breakdown voltage [6]. Besides their rich physics, short-pulse discharges have various applications: their switching applications have been explored in [7, 8] and the effects of their plasma on cells and tissues have been discussed in [9].

At high pressures, computations based on hydrodynamic models are much more efficient than kinetic simulations, due to the very high collisionality of the plasma, allowing detailed considerations of the chemical kinetics [10, 11]. Nonetheless, particle-based kinetic approaches, like the particle-in-cell method complemented by Monte Carlo collisions (PIC/MCC), have also been applied in some studies, despite the high computational requirements, because they can describe non-local kinetic effects in domains with high reduced electric fields, and they provide access to the electron energy distribution function. Such studies include those of fast (subnanosecond) breakdown in high-voltage open discharges [12, 13], discharge development in hydrogen microdischarges



[14–16], as well as ionization instabilities [17] and self-organized pattern formation [18].

The ultraviolet (UV)/vacuum-ultraviolet (VUV) radiation of the plasma plays an important role in various applications. UV/VUV photons in plasma technologies have various effects; thus controlling their fluxes (by setting the operation parameters and gas mixing ratios) is of great importance [19]. Plasma display panels [20] operate on the basis of the generation of VUV radiation in medium-pressure noble gas mixture (dielectric barrier) discharges, which are, in turn, converted to visible light by different phosphors designed for different wavelength domains. The UV/VUV radiation of the dielectric barrier discharges of different types may assist the decomposition of various hazardous organic molecules [21], while similarly short-wavelength radiation from high-frequency (primarily microwave) discharges and their afterglows has widely been used for sterilization [22]. The effect of the VUV radiation of plasma jets on reactive oxygen species generation in bio-relevant liquids was investigated in [23]; furthermore, the ultraviolet radiation of plasmas was confirmed to play an important role in the creation of excited hydroxyl radicals under conditions applicable to plasma-based cancer treatment [24].

All the applications mentioned above call for an accurate description of UV/VUV photon transport in discharge plasmas. In high-pressure transient plasmas this transport is ‘strongly coupled’ to the (charged particle) dynamics of the discharges, as the creation, transport and arrival of the photons at the electrodes can have an influence on the discharge, which in turn will modify the spatio-temporal creation rates of the excited states and the consequent emission of photons. Moreover, under such conditions, the characteristic escape time of the VUV photons from the plasma may be comparable to, or longer than the time scales of the discharge pulses. Thus, the de-coupling of the modeling of photon transport from the modeling of the transport of charged particles should be avoided in such conditions.

In this work, we report a simulation study, based on the first-principle PIC/MCC approach, which also includes the particle-based treatment of VUV resonance photons, of atmospheric pressure microdischarges created in He gas with small admixtures of N<sub>2</sub>. The discharge model and its implementation is described in section 2. Section 3 presents our results, and in section 3.1 the physics of the discharge is discussed in detail for a given set of conditions and parameters, while in section 3.2 some results of the parameter variation are presented. Section 4 gives a summary of the work.

## 2. Simulation model and method

We simulate the formation of atmospheric-pressure microplasmas by the PIC/MCC technique. As an important and significant addition, the particle treatment of VUV photons is incorporated into the code. Concerning the latter, we employ the approach of Fierro *et al* [25], who studied the effect of photoemission induced by the resonance radiation of plasma on the electron current in self-sustained He dc discharges. The

‘full’ particle treatment of the transport of both charged particles and photons is computationally very intensive, but its unique advantage of being based on first principles makes it an important alternative to (more efficient, but more approximate) fluid approaches. Below, we give details of the discharge model and the simulation method. The treatment of the charged and excited species is outlined in section 2.1, a description of the VUV radiation transport is discussed in section 2.2, while the timing issues and general features of the simulations are overviewed in section 2.3.

### 2.1. Charged species and reaction processes

Our PIC/MCC code is one-dimensional in real space and considers the axial and radial components of the velocity. The charged particles considered in the code are electrons, He<sup>+</sup> ions, He<sub>2</sub><sup>+</sup> ions, and N<sub>2</sub><sup>+</sup> ions. Time is discretized in the simulation, and between consecutive collisions the particles move along trajectories that result from the solution of their equations of motion. Following a  $\Delta t$  discrete time step used for the given projectile, the collision probability is found according to

$$P = 1 - \exp(-\nu_{\text{proj,tot}}\Delta t) = 1 - \exp(-n_{\text{targ}} \sigma_{\text{proj,tot}} g \Delta t), \quad (1)$$

where  $\nu_{\text{proj,tot}}$  is the total collision frequency of a given projectile,  $n_{\text{targ}}$  is the density of the target species,  $\sigma_{\text{proj,tot}}$  is the total cross section of the interaction between the projectile and target species, and  $g$  is the relative velocity of the collision partners. In the case of ionic projectile species, we take into account the thermal motion of the background gas particles by choosing random partners (from the Maxwell–Boltzmann distribution of the background atoms) in possible collision events. For electrons, we use the cold gas approximation, i.e. take  $g$  to be equal to the projectile (electron) velocity. In order to optimize the runtime of the simulations, we use different time steps for the different species (see section 2.3). The probability given by equation (1) is compared to a random number  $R_{01}$  that has a uniform distribution over the [0,1) interval, and a collision is executed if  $R_{01} < P$  is fulfilled. The type of collision, as well as the scattering and azimuth angles are also chosen based on the standard stochastic approach [26]. The list of gas phase elementary processes, which are considered in the model and are discussed below, is presented in table 1.

*Electrons* may collide with the background gas, consisting of He atoms and N<sub>2</sub> molecules. For electron–He atom collisions we use the cross sections from [27], while for electron–N<sub>2</sub> collisions we use the cross section set of [28] (which was largely based on the Siglo set, now accessible via LxCat [29]). When electrons collide with He atoms, a significant part of the excitation is assumed to lead to the formation of (singlet, 2<sup>1</sup>S and triplet, 2<sup>3</sup>S) metastable atoms and atoms in the lowest resonant state (2<sup>1</sup>P). While the exact rates of creation and the densities of these specific states could only be obtained by developing a full collisional–radiative model, this is beyond the scope of the current work. Instead of this, we adopt the following approximations: (i) 50% of the total

**Table 1.** List of gas phase elementary processes considered in the simulation.

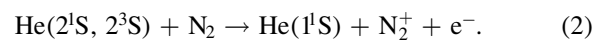
#	Reaction	Process name	Threshold energy [eV]
1	$e^- + \text{He} \rightarrow e^- + \text{He}$	Elastic scattering	0
2	$e^- + \text{He} \rightarrow e^- + \text{He}^*$	Electronic excitation: sum of triplets	19.82
3	$e^- + \text{He} \rightarrow e^- + \text{He}^*$	Electronic excitation: sum of singlets	20.61
4	$e^- + \text{He} \rightarrow 2e^- + \text{He}^+$	Ionization	24.59
5	$e^- + \text{N}_2 \rightarrow e^- + \text{N}_2$	Elastic scattering	0
6	$e^- + \text{N}_2 \rightarrow e^- + \text{N}_2(r > 0)$	Rotational excitation	0.020
7	$e^- + \text{N}_2 \rightarrow e^- + \text{N}_2(v = 1)$	Vibrational excitation	0.290
8	$e^- + \text{N}_2 \rightarrow e^- + \text{N}_2(v = 1)$	Vibrational excitation	0.291
9	$e^- + \text{N}_2 \rightarrow e^- + \text{N}_2(v = 2)$	Vibrational excitation	0.590
10	$e^- + \text{N}_2 \rightarrow e^- + \text{N}_2(v = 3)$	Vibrational excitation	0.880
11	$e^- + \text{N}_2 \rightarrow e^- + \text{N}_2(v = 4)$	Vibrational excitation	1.170
12	$e^- + \text{N}_2 \rightarrow e^- + \text{N}_2(v = 5)$	Vibrational excitation	1.470
13	$e^- + \text{N}_2 \rightarrow e^- + \text{N}_2(v = 6)$	Vibrational excitation	1.760
14	$e^- + \text{N}_2 \rightarrow e^- + \text{N}_2(v = 7)$	Vibrational excitation	2.060
15	$e^- + \text{N}_2 \rightarrow e^- + \text{N}_2(v = 8)$	Vibrational excitation	2.350
16	$e^- + \text{N}_2 \rightarrow e^- + \text{N}_2(\text{A } ^3\Sigma_u^+ v = 0 - 4)$	Electronic excitation	6.170
17	$e^- + \text{N}_2 \rightarrow e^- + \text{N}_2(\text{A } ^3\Sigma_u^+ v = 5 - 9)$	Electronic excitation	7.000
18	$e^- + \text{N}_2 \rightarrow e^- + \text{N}_2(\text{B } ^3\Pi_g)$	Electronic excitation	7.350
19	$e^- + \text{N}_2 \rightarrow e^- + \text{N}_2(\text{W } ^3\Delta_u)$	Electronic excitation	7.360
20	$e^- + \text{N}_2 \rightarrow e^- + \text{N}_2(\text{A } ^3\Sigma_u^+ v > 10)$	Electronic excitation	7.800
21	$e^- + \text{N}_2 \rightarrow e^- + \text{N}_2(\text{B}' ^3\Sigma_u^-)$	Electronic excitation	8.160
22	$e^- + \text{N}_2 \rightarrow e^- + \text{N}_2(\text{a}' ^1\Sigma_u^-)$	Electronic excitation	8.400
23	$e^- + \text{N}_2 \rightarrow e^- + \text{N}_2(\text{a } ^1\Pi_g)$	Electronic excitation	8.550
24	$e^- + \text{N}_2 \rightarrow e^- + \text{N}_2(\text{w } ^1\Delta_u)$	Electronic excitation	8.890
25	$e^- + \text{N}_2 \rightarrow e^- + \text{N}_2(\text{C } ^3\Pi_u)$	Electronic excitation	11.03
26	$e^- + \text{N}_2 \rightarrow e^- + \text{N}_2(\text{E } ^3\Sigma_g^+)$	Electronic excitation	11.88
27	$e^- + \text{N}_2 \rightarrow e^- + \text{N}_2(\text{a}'' ^1\Sigma_u^+)$	Electronic excitation	12.25
28	$e^- + \text{N}_2 \rightarrow e^- + \text{N} + \text{N}$	Dissociation	13.00
29	$e^- + \text{N}_2 \rightarrow 2e^- + \text{N}_2^+$	Ionization	15.60
30	$\text{He}(2^1\text{S}, 2^3\text{S}) + \text{N}_2 \rightarrow \text{He}(1^1\text{S}) + \text{N}_2^+ + e^-$	Penning ionization	—
31	$\text{He}^+ + \text{He} \rightarrow \text{He}^+ + \text{He}$	Elastic scattering	—
32	$\text{He}^+ + \text{He} + \text{He} \rightarrow \text{He}_2^+ + \text{He}$	Ion conversion	—
33	$\text{He}_2^+ + \text{He} \rightarrow \text{He}_2^+ + \text{He}$	Elastic scattering	—
34	$\text{N}_2^+ + \text{He} \rightarrow \text{N}_2^+ + \text{He}$	Elastic scattering	—
35	$\text{He}(2^1\text{P}) \rightarrow \text{He}(1^1\text{S}) + \text{photon}$	Resonance photon emission	NA
35	$\text{He}(1^1\text{S}) + \text{photon} \rightarrow \text{He}(2^1\text{P})$	Resonance photon absorption	NA

excitations leading to He singlet states are assumed to result in the formation of atoms in the  $2^1\text{S}$  singlet metastable state and the other 50% leads to the formation of atoms in the  $2^1\text{P}$  resonant state. This is justified by the relatively low rate of the direct decay of higher excited states to the ground state and the importance of cascade transitions that lead to the population of the  $2^1\text{S}$  and  $2^1\text{P}$  states. (ii) Regarding excitation processes in the triplet system, 50% is assumed to lead to the formation of  $2^3\text{S}$  metastable atoms. According to these simplifications, we only need to trace the resonance photons emitted by the He atoms in the  $2^1\text{P}$  state. (We note that the results of [25] indicate the strong dominance of the  $2^1\text{P} \rightarrow 1^1\text{S}$  radiation, over the  $n^1\text{P} \rightarrow 1^1\text{S}$  transitions, with  $n > 2$ , see figure 9 of [25].) The method of following the VUV resonance photons will be outlined in section 2.2.

The electron- $\text{N}_2$  molecule collisions include elastic scattering, rotational, vibrational and electronic excitation and ionization. Among the ‘products’ of these reactions, only  $\text{N}_2^+$  ions are followed in the simulation, as the concentration of  $\text{N}_2$  does not exceed 1% in the background gas.

All types of electron-atom/molecule collision are assumed to result in isotropic scattering.

*Helium metastable atoms* contribute efficiently to the ionization, when  $\text{N}_2$  is present in the background gas, via the Penning ionization process [11]:



The rate of these reactions is  $k_p = 5.0 \times 10^{-17} \text{ m}^3 \text{ s}^{-1}$ , as given in [30, 31]. In our particle-based approach, a lifetime  $\tau_m$  is assigned to each metastable atom upon its ‘birth’ at time

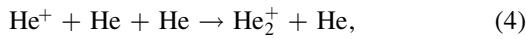
$t = t_m$ , according to

$$\tau_m = -\frac{1}{k_p n_{N_2}} \ln(1 - R_{01}). \quad (3)$$

Using random numbers ( $R_{01}$ ) with uniform distribution over the  $[0, 1)$  interval, this formula generates samples of  $\tau_m$  with an exponential probability density distribution. In the following time steps of the simulation, the validity of  $t > t_m + \tau_m$ , where  $t$  is the actual time, is evaluated. The metastable atom is ‘destroyed’ and a  $N_2^+$  ion is created if the inequality is found to hold. The thermal motion (diffusion) of metastable atoms is neglected because of the short time scales considered.

*Helium atomic ions* experience elastic collisions with He atoms of the background gas; we follow the approach of Phelps and partition elastic collisions into an isotropic channel and a backward scattering part [32]. Due to the low concentration of nitrogen, we disregard  $He^+ + N_2$  collisions.

*Helium molecular ions* are created efficiently via the three-body ion conversion process



at the elevated pressure (1 bar) considered here. The rate of this process,  $k_{conv} = 1.1 \times 10^{-43} \text{ m}^6 \text{ s}^{-1}$ , is taken from [30, 31]. Similarly to the Penning ionization process we assign a lifetime  $\tau_c$  to the  $He^+$  ions (upon their ‘birth’) as

$$\tau_c = -\frac{1}{k_{conv} n_{He}^2} \ln(1 - R_{01}). \quad (5)$$

Conversion of  $He^+$  to  $He_2^+$  is executed after this lifetime. The motion of the  $He_2^+$  ions is limited by their collisions with the background He atoms, for which the Langevin cross section is used:

$$\sigma_L = \sqrt{\frac{\pi \alpha q^2}{\epsilon_0 \mu}} \frac{1}{g}, \quad (6)$$

where  $q$  is the elementary charge,  $\alpha$  is the polarizability of He atoms,  $\mu$  is the reduced mass of the projectile ( $He_2^+$ ) and target (He) species, and  $g$  is the relative velocity of the collision partners. (Note that as the collision probability  $P$  involves the product of  $g \sigma_L$  (see equation (1)),  $P$  is independent of  $g$ .  $N_2$  molecules are not considered as targets for  $He_2^+$  ions due to their low concentration.

*Nitrogen molecular ions* are also assumed to interact with He atoms only. This interaction is also described by a Langevin cross section, similar to the above.

## 2.2. Photon transport

In our model, photons are treated as discrete particles that move at the speed of light. They originate from emission events from the lowest resonant state of He, which is the only state considered as a source of VUV radiation. This state (He  $2^1P$ ) has a lifetime of  $\tau_0 = 0.56 \text{ ns}$  [33] and the transition to the ground state has a central wavelength of  $\lambda_0 = 58.4334 \text{ nm}$ . The wavelength of the radiation actually emitted by an atom is, however, usually different from this value due to line broadening mechanisms, which are of homogeneous

and inhomogeneous characters (see e.g. [34]). The finite lifetime of the excited state results in *natural broadening* and the interaction of the radiating atom with the surrounding atoms results in *pressure broadening*. These mechanisms broaden the spectrum in a homogeneous way, creating a Lorentzian line shape. (Another contribution to homogeneous broadening may be due to the Stark effect; this, however, is expected to be small compared to the above-mentioned mechanisms, for the conditions considered here [35].) The *Doppler mechanism* is responsible for causing inhomogeneous broadening, which gives rise to a Gaussian line shape. As a consequence of these three mechanisms, the ensemble of radiated photons will follow a Voigt-type spectral line shape that is the convolution of Lorentzian and Gaussian line shapes. The propagating photons can be absorbed by ground-state He atoms according to the photoabsorption cross section which depends on their actual wavelength (see below). The absorption events ‘create’ a He atom in the  $2^1P$  state, which will subsequently radiate after a random delay corresponding to the natural lifetime. The above processes are repeated in the simulation until the photon reaches the electrodes or until the simulation terminates at a defined time.

The broadening mechanisms are considered in our simulations via the Monte Carlo approach by generating random samples. For the homogeneous part of line broadening, random samples of the corresponding Lorentzian distribution are taken, i.e. random wavelengths to each radiated photon are assigned according to [25]:

$$\lambda_l = \tan[(R_{01} - 0.5)\pi] \Delta\lambda_L + \lambda_0, \quad (7)$$

where  $\Delta\lambda_L = \Delta\lambda_n + \Delta\lambda_p$  is the full width at half maximum (FWHM) of the Lorentzian curve originating from the contributions of natural ( $\Delta\lambda_n$ ) and pressure ( $\Delta\lambda_p$ ) broadening components. The latter are given by [34]:

$$\Delta\lambda_n = \lambda_0^2 \frac{A_{21}}{2\pi c} \quad (8)$$

and

$$\Delta\lambda_p = 9 \times 10^{-16} \sqrt{\frac{g_1}{g_2}} f_{12} \lambda_0^3 n_g. \quad (9)$$

Here,  $c$  is the speed of the light,  $A_{21} = 1/\tau_0$  is the Einstein coefficient for spontaneous emission,  $n_g$  is the background gas density,  $g_1$  and  $g_2$  are, respectively, the statistical weights of the ground state and the  $2^1P$  state, and  $f_{12}$  is the *oscillator strength*:

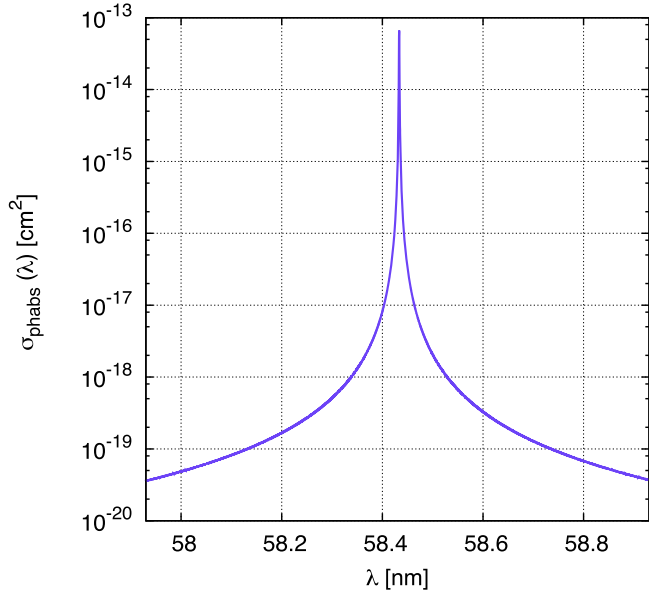
$$f_{12} = \frac{1}{3} \frac{A_{21} g_2}{\gamma_{cl} g_1}, \quad (10)$$

which involves the classical decay rate

$$\gamma_{cl} = \frac{q^2 \omega_0^2}{6\pi \epsilon_0 m_e c^3}, \quad (11)$$

where  $m_e$  is the mass of the electron.

The wavelength assigned, according to equation (7), to each radiated photon is modified by the Doppler shift, which is caused by the thermal motion of the radiating atom. In this procedure, first, a random velocity vector,  $\mathbf{v}_A$ , for the



**Figure 1.** The photoabsorption cross section for the He  $2^1P \rightarrow 2^1S$  resonance radiation.

(radiating) atom is sampled from the Maxwell–Boltzmann distribution of the background atoms. Secondly, a random direction, via a unit vector  $\hat{\mathbf{e}}_{\text{ph}}$ , to the radiated photon is assigned. With these quantities given, the photon is radiated with a wavelength [25]:

$$\lambda = \frac{(c + \hat{\mathbf{e}}_{\text{ph}} \cdot \mathbf{v}_A) \lambda_l}{c}. \quad (12)$$

The probability of the absorption of the photons is computed using equation (1), with  $n_{\text{targ}} \leftarrow n_{\text{He}}$ ,  $\sigma_{\text{proj,tot}} \leftarrow \sigma_{\text{phabs}}$ , and  $\Delta t \leftarrow \Delta t_{\text{ph}}$  replacements, where  $\Delta t_{\text{ph}}$  is the time step for the photons (see below) and  $\sigma_{\text{phabs}}$  is the photoabsorption cross section. The latter is given as

$$\sigma_{\text{phabs}} = \frac{g_2 \lambda_0^4 A_{21}}{g_1 8\pi c} V(\lambda), \quad (13)$$

where  $V$  is the Voigt-profile function computed by the algorithm given in [36] based on the expression

$$V(\lambda) = \frac{Y}{\pi} \int_{-\infty}^{\infty} \frac{\exp(-t^2)}{Y^2 + (X - t)^2} dt, \\ X = \frac{\lambda - \lambda_0}{\Delta\lambda_G} 2\sqrt{\ln 2}, \quad Y = \frac{\Delta\lambda_L}{\Delta\lambda_G} \sqrt{\ln 2}$$

and it satisfies  $\int V(\lambda) d\lambda = 1$ . Here,  $\Delta\lambda_G$  is the line width due to Doppler broadening. The cross section computed according to (13) is shown in figure 1.

The cross section (13) and the probability of absorption during a given time step is computed by taking a randomly chosen (potentially absorbing) atom from the background gas with velocity  $\mathbf{v}_B$ , and using the wavelength

$$\lambda^* = \frac{(c - \hat{\mathbf{e}}_{\text{ph}} \cdot \mathbf{v}_B) \lambda}{c}, \quad (14)$$

which takes into account the Doppler shift caused by the motion of the potentially absorbing atom. Whenever an

absorption event takes place, a (random) lifetime is assigned to the excited state as

$$\tau_{\text{exc}} = -\tau_0 \ln(1 - R_{01}). \quad (15)$$

Emission events, of which details are given above, are initiated when the lifetime is passed.

### 2.3. Stability constraints and the timing of the simulation

The stability and accuracy of PIC/MCC simulations is guaranteed only when the relevant criteria are fulfilled. These include the requirements that the computational grid resolves the Debye length, the discrete time step for a given species resolves its plasma frequency, and another condition, known as the Courant condition, which ensures that charged particles do not move more than a grid division during a time step. The spatial grid uses  $N_{\text{grid}} = 800$  points, providing a resolution that is on the order of the smallest Debye length.

Additionally, the time step of a given species should be short enough to ensure that the probability of multiple collisions during a time step is negligible (otherwise some of the collisions will be missed in the simulation). Due to the very high collisionality of the plasma at atmospheric pressure this last condition defines the upper bound for the time step.

To optimize the code, different time steps for the various species are used. In practice, a collision probability

$$P = 1 - \exp(-\nu \Delta t) \quad (16)$$

which amounts to a few percent over the whole relevant domain of collision frequencies ( $\nu$ ) is usually allowed. Here, we set the limit at 10%, i.e. the time step is set for a given species according to

$$P = 1 - \exp(-\nu_{\text{max}} \Delta t) = 0.1 \rightarrow \Delta t \cong 0.105 / \nu_{\text{max}}. \quad (17)$$

Here,  $\nu_{\text{max}}$  is the maximum collision frequency that can be derived from the ensemble of cross sections of the given species.

Among the charged species, electrons have the highest collision frequency, which limits their time step to  $\Delta t_e = 4.5 \times 10^{-14}$  s. The time steps for the different ionic species are set, considering their respective maximum collision frequencies, according to  $\Delta t_{\text{He}^+} = 10 \Delta t_e$  and  $\Delta t_{\text{He}_2^+} = \Delta t_{\text{N}_2^+} = 100 \Delta t_e$ . The time step for the electrons is chosen to represent the basic ‘tick’ of the simulation; the ions are traced in a lower number of ticks, but with correspondingly longer time steps. This procedure is known in the literature as ‘sub-cycling’.

For the resonance photons, the high photoabsorption cross section poses a significantly lower time step, as compared to electrons. Thus, in contrast to the ions, we use ‘super-cycling’ for the photons, and in each tick of the simulation the photons are traced with  $\Delta t_{\text{ph}} = \Delta t_e / 10000$ , meaning that the time step for the photons is  $\Delta t_{\text{ph}} = 4.5 \times 10^{-18}$  s. While this time step seems prohibitively small for a simulation that needs to run to about 100 ns, as the number of photons turns out to be significantly smaller than the number of charged particles, the simulation runs are

actually feasible even at this extremely small required time step.

Electron emission from the electrodes is assumed to be dominated by ejection due to the impact of ions and VUV photons. We do not consider secondary electron emission due to electron impact, but include the reflection of impinging electrons (in a simplified way) with a constant probability of  $P_e = 0.2$  [37].

The simulations start with seeding electrons and  $\text{He}_2^+$  ions within the discharge gap with an equal number ( $N_0$ ), with a uniform spatial distribution. These charged particles emulate the charges remaining from a previous pulse. (We assume the repetitive operation of the system, which we are, however, not able to follow due to the very intensive computational needs that prohibit the simulation of low duty cycle settings, with typically  $\sim\text{kHz}$  repetition rates.) The corresponding initial charged particle density is defined by setting the weight,  $W$ , of the superparticles.

The effect of recombination processes between electrons and  $\text{He}^+$  and  $\text{N}_2^+$  ions was tested and found to have a negligible influence on the charged particle densities within the time domain considered. Therefore, these processes are not included in the simulations presented here, as they generate unnecessary computational overheads. Recombination processes are, however, important on the time scale of the typical interval between the voltage pulses ( $\sim\text{ms}$ ) in experiments, where the density of ‘remaining’ charges is defined by the decay rates due to recombination and ambipolar diffusion. The actual spatial distribution of the charged species, in the typical range of experimental conditions, may have some influence on the discharge initiation, e.g. (i) the temporal distribution of the flux of the ‘initial’ ions at the cathode influences the development of electron avalanches and (ii) a significant density may distort the field distribution from the beginning of the excitation pulse. The first effect is expected to be less influential on the results than the uncertainties of the surface coefficients, and, regarding the second effect, we can assume that the ‘initial’ charge density is low enough not to create a significant distortion of the field after the application of the excitation pulse that breaks the quasi-neutrality established in the afterglow periods.

### 3. Results

Below we present the simulation results for  $p = 1$  bar pressure and  $L = 1$  mm electrode gap. The density of the background gas is computed by taking the gas temperature to be 300 K. Although heating of the gas occurs during the pulses (see e.g. [4]), we do not expect this to result in a significant depletion of the density in an experimental system over the short time scales considered here. The computations are carried out at the following values of the secondary electron emission coefficients:  $\gamma_{\text{He}^+} = 0.15$ ,  $\gamma_{\text{He}_2^+} = 0.1$ ,  $\gamma_{\text{N}_2^+} = 0.05$ , and  $\gamma_{\text{ph}} = 0.1$ , where the last value refers to the VUV resonance photons radiating from the  $\text{He } 2^1\text{P}$  state. These ion-induced yields of helium ionic species are somewhat lower

than those adopted in [31]; for  $\text{He}^+$  we base our choice on the findings of [38, 39], and for  $\text{He}_2^+$  we apply the findings of [40], that their yield is  $\approx 60\%$  of the yield of atomic ions. These coefficients are known, of course, to have a large amount of uncertainty related to the properties of the electrode surfaces (see, e.g. [41]). These uncertainties are, however, not expected to change our results and conclusions dramatically as long as reasonable values for the  $\gamma$ -coefficients are used.

In the simulations, we assume an electrode area of  $A = 1$   $\text{cm}^2$ . The number of superparticles representing electrons and  $\text{He}_2^+$  ions seeded at the beginning of the simulations is  $N_0 = 1.5 \times 10^4$  (for both species). As the discharge volume considered is  $AL = 0.1$   $\text{cm}^3$ , the density of the above species is  $n_0 = N_0W / AL$ . Here,  $W$  is the weight of the superparticles (equal to the number of actual particles represented by one superparticle).

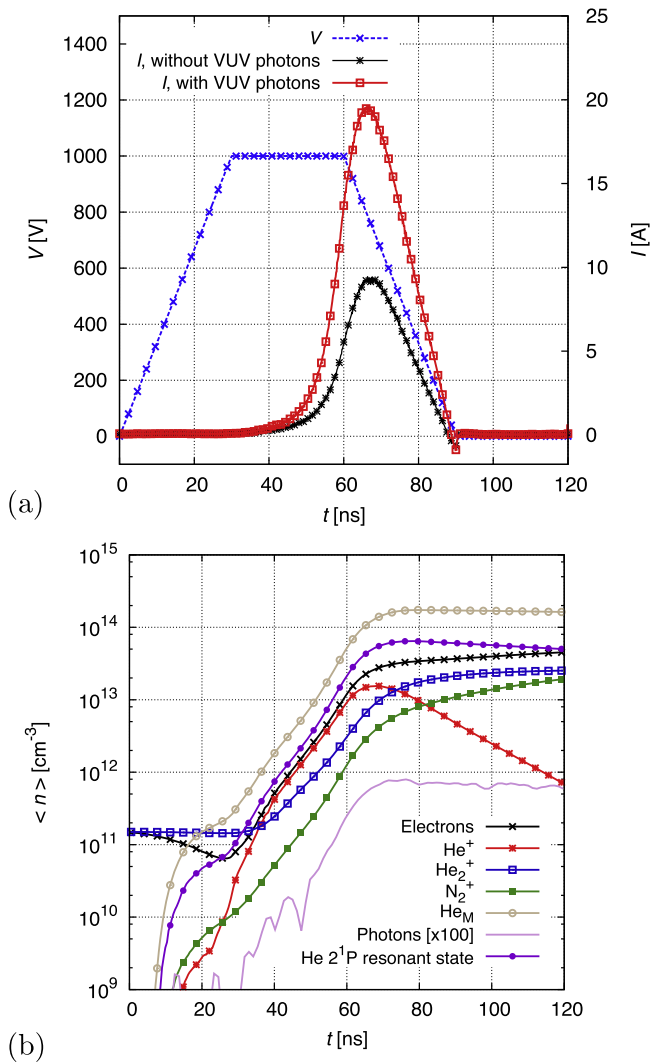
Simulations have been carried out with excitation voltage pulses of different lengths and peak values ( $V_0$ ), but the same model trapezoidal excitation waveform is always used: the rise time ( $\tau_r$ ), the length of the plateau ( $\tau_p$ ) and the fall time ( $\tau_f$ ) of the pulses are the same ( $\tau_r = \tau_p = \tau_f$ ). For an example, see figure 2, where the dashed curve shows such a voltage pulse with a  $V_0 = 1000$  V peak value and a  $\tau_p = 30$  ns plateau length. These values of peak voltage and plateau length will be used as the ‘base case’ in the following, for which a detailed illustration and analysis of the discharge physics will be given in section 3.1. For the base case, moreover, the initial density of the charged particles is set to  $n_0 = 1.5 \times 10^{11}$   $\text{cm}^{-3}$  (taking  $W = 10^6$ ) and the composition of the gas is set to  $\text{He} + 0.1\% \text{N}_2$ . The effects of the variation of these parameters and the amount of the  $\text{N}_2$  admixture is investigated in section 3.2.

#### 3.1. Discharge properties in the base case

At the parameter settings corresponding to the base case, a current pulse having a peak value of 20 A and a FWHM of  $\sim 20$  ns is generated by the high-voltage pulse excitation—as can be seen in figure 2(a)—when the photon-induced emission of secondary electrons from the electrodes is considered. For the specific conditions, the current pulse peaks during the falling edge of the excitation signal and terminates, following a small negative peak, at the same time as the excitation pulse. The reason for the creation of the negative current is the appearance of a large displacement current, which will be shown later. When the effect of VUV photons is disregarded, a current which is lower by a factor of two is obtained from the simulations (for this base case). This highlights the importance of photoemission processes in the quantitative characterization of the discharge plasma. The contribution of this effect to secondary electron emission will be compared later on to the contributions of the other species.

The shape of the current pulse in figure 2(a) can be understood by observing the evolution of the densities of the differently charged species and excited states considered in the simulation. At early times, before  $\sim 30$  ns, we observe in figure 2(b) that the electron density decays from the initial value, due to the effect of the continuously increasing electric





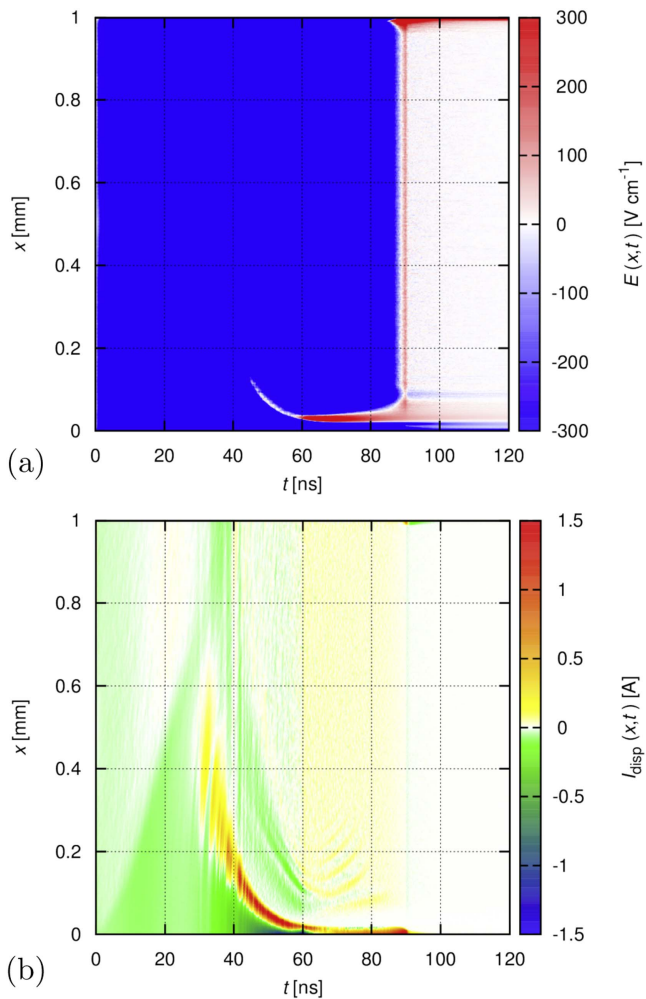
**Figure 2.** (a) Excitation voltage waveform (blue dashed line) and discharge current obtained in the simulations, including (red solid line) and excluding (black solid line) VUV photons, for He + 0.1% N<sub>2</sub>,  $p = 1$  bar,  $L = 1$  mm, and  $n_0 = 1.5 \times 10^{11}$  cm<sup>-3</sup>. The peak of the voltage pulse and the duration of the plateau are  $V_0 = 1000$  V and  $\tau_p = 30$  ns, respectively. These parameter settings correspond to the ‘base case’. (b) Time-dependence of the spatially averaged densities of the species/states considered in the simulation for the same set of conditions. (Note that the curve for the VUV photons is 100× multiplied.)

field that sweeps electrons towards the anode. In this domain, the electric field is nearly homogeneous, due to the relatively low charged particle density. A significant increase of the density of excited atoms (both in the metastable states and in the lowest resonant state) has already taken place in this time domain, while a rapid growth of the electron and (He<sup>+</sup> and N<sub>2</sub><sup>+</sup>) ion densities is only found beyond  $\sim 30$  ns, when the excitation voltage pulse reaches its plateau. The mean electron density increases during the next 30 ns by almost three orders of magnitude. During the falling edge of the voltage pulse ( $60 \text{ ns} \leq t \leq 90 \text{ ns}$ ) the excitation and ionization of helium becomes less significant. Consequently, the density of the 2<sup>1</sup>P state starts to decrease slightly. A much faster decay of the He<sup>+</sup> density is observed at the same time, due to the

conversion reaction (4), which results in an increasing He<sub>2</sub><sup>+</sup> density. The high density of metastable atoms serves as a supply for the creation of N<sub>2</sub><sup>+</sup> ions (via process (2)) even after the termination of the voltage pulse. This way the N<sub>2</sub><sup>+</sup> ion density reaches its maximum well beyond the termination of the voltage pulse. We note that the density of photons is approximately four orders of magnitude lower as compared to the density of the 2<sup>1</sup>P-state atoms. This is due to the very short ‘flights’ of the VUV photons between the emission and reabsorption events, compared to the lifetime of the 2<sup>1</sup>P excited state. The total number of charged (super)particles followed in the simulation reaches about 10 million at the end of the run. This magnitude of particles was found to generate data with sufficient statistics and results in good reproducibility.

The development of the discharge plasma can also be followed by monitoring the spatio-temporal distributions of the electric field and the displacement current, as shown in figures 3(a) and (b), respectively. The range of the electric field is restricted here to small magnitudes, in order to be able to see finer, lower-field structures. During the increase of the voltage, the electric field becomes distorted due to the accumulation of space charges. At times  $t \geq 45$  ns, a narrow low-field region develops that is a feature of sheath formation after breakdown. This region moves closer towards the cathode during a period of constant voltage due to increasing plasma density. This is followed by the development of a field reversal during a period of decreasing voltage starting at 60 ns. This field reversal develops due to an excess of positive space charge. The positive space charge in the region would be sufficient to shield the previously applied high voltage through the sheath in front of the electrode. During the decrease of this voltage, the collision limited mobility of electrons does not allow an instant adjustment to move the sheath edge, resulting in a localized excess of positive space charge and the associated transient field reversal. At the termination of the voltage pulse, a narrow reversed-field region appears along the whole gap, and, subsequently most of the gap becomes field-free, but near the cathode, a triple layer forms. The main feature seen in the distribution of the displacement current (figure 3(b)) is also related to the formation of the cathode region. A strong displacement current pulse develops at  $t \cong 90$  ns, at the termination of the excitation voltage pulse.

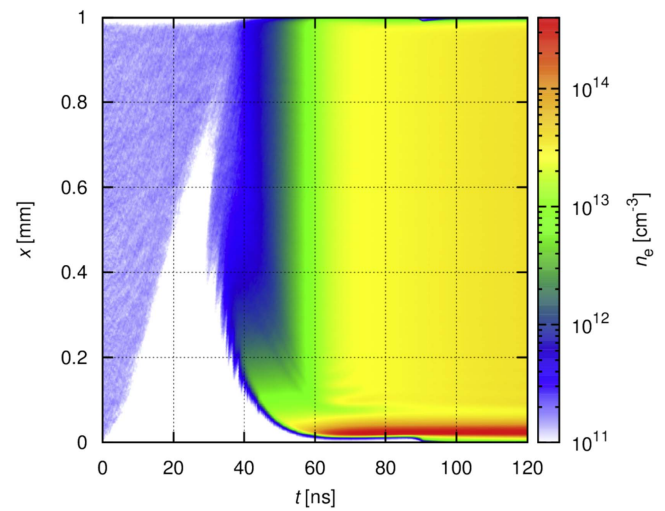
The spatio-temporal evolution of the electron density is shown in figure 4. At early times, below  $\sim 35$  ns, we observe that the initial electrons are accelerated towards the anode (located at  $x = 1$  mm) by the increasing voltage. At a high voltage, clearly visible electron avalanches develop, starting from the cathode and from the gas phase (due to the creation of free electrons in Penning and electron-impact ionization processes), leading to the breakdown of the gas. During this process, the electron density increases by orders of magnitude and the electron-rich region rapidly approaches the cathode. Beyond  $\sim 55$ –60 ns, the electron density is depleted in a very narrow cathode sheath only, then exhibits its prominent peak in the negative glow at  $x \approx 0.02$  mm, where electrons are trapped in the reversed-field region (see figure 3(a)). Figure 4



**Figure 3.** Spatio-temporal distribution of the (a) electric field and (b) the displacement current, for the base conditions (He + 0.1% N<sub>2</sub>,  $p = 1$  bar,  $L = 1$  mm,  $V_0 = 1000$  V,  $\tau_p = 30$  ns,  $n_0 = 1.5 \times 10^{11}$  cm<sup>-3</sup>, VUV photons considered). The distribution of the electric field is shown only at low magnitudes; the color scale is saturated outside the  $|E| > 300$  V cm<sup>-1</sup> domain. Note the regions with ‘reversed field’, i.e.  $E > 0$ . The cathode is situated at  $x = 0$  mm, the anode is at  $x = 1$  mm.

also reveals the signature of the Faraday dark space and the formation of a nearly homogeneous positive column region, with striations attached to the Faraday dark space (in the domain between  $x \cong 0.1$  and  $0.2$  mm, around 60–80 ns, before the termination of the voltage pulse). Following the termination of the voltage pulse, the cathode sheath disappears within a short time (order of a few ns). The further development of the electron density beyond the termination of the excitation pulse is governed by the ambipolar field that forms due to the presence of different (positive) ionic species. We note that this decay is slow, as the Penning ionization process acts as a source of electrons well beyond the termination of the voltage pulse as well.

The ion composition of the plasma is revealed in figures 5(a)–(c), which show the densities of He<sup>+</sup>, He<sub>2</sub><sup>+</sup>, and N<sub>2</sub><sup>+</sup> ions, respectively, in the spatial domain  $0 \text{ mm} \leq x \leq 0.2 \text{ mm}$ . (Beyond  $x = 0.2$  mm, the densities are nearly the same within the rest of the gap as at  $x = 0.2$  mm.) The highest He<sup>+</sup> ion

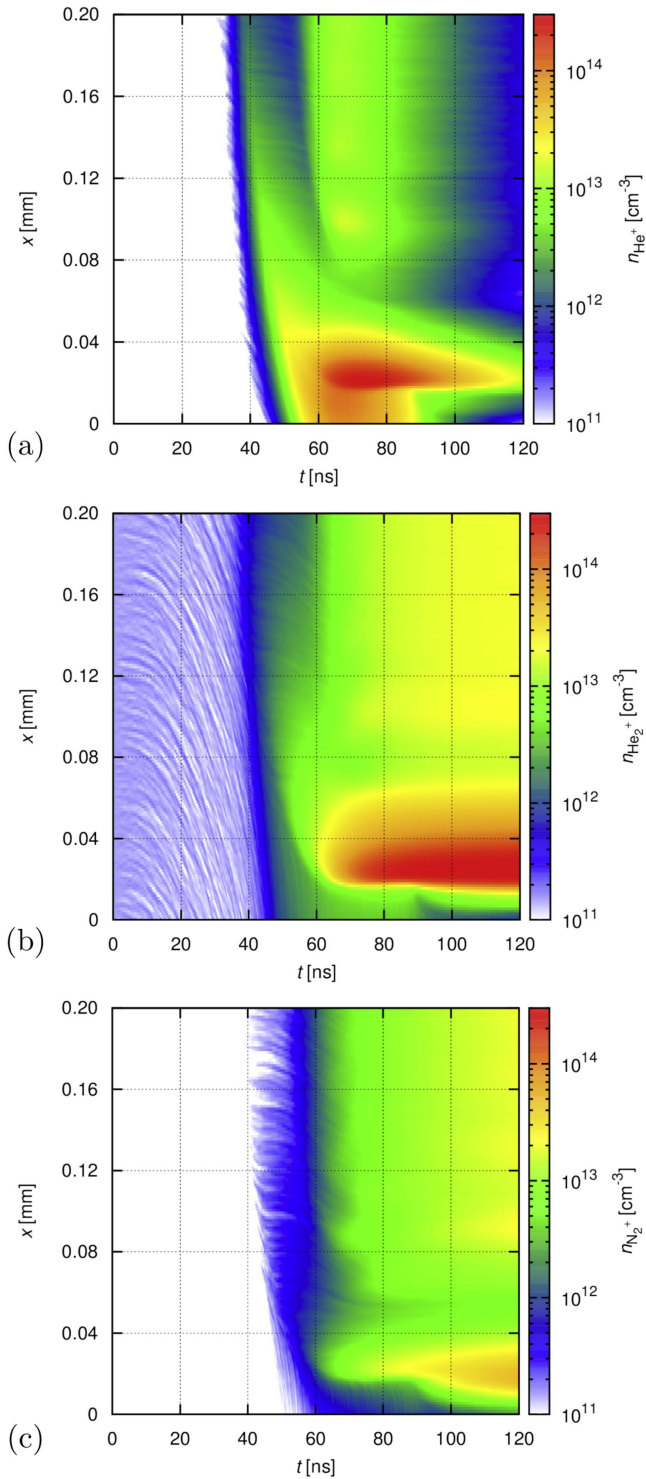


**Figure 4.** (a) The spatio-temporal distribution of the electron density for the base conditions: He + 0.1% N<sub>2</sub>, at  $p = 1$  bar,  $L = 1$  mm,  $V_0 = 1000$  V,  $\tau_p = 30$  ns, and  $n_0 = 1.5 \times 10^{11}$  cm<sup>-3</sup>, with VUV photons considered.

density is reached between 60 and 90 ns, around the spatial position  $x \cong 0.025$  mm (in the negative glow). A decay of density is observed later on due to the lack of a further source, due to electron impact and due to the conversion of He<sup>+</sup> ions to He<sub>2</sub><sup>+</sup> molecular ions. The density of the latter is also highest in the negative glow region (see figure 5(b)). The peak densities are in the range of  $2 \times 10^{14}$  cm<sup>-3</sup>. The N<sub>2</sub><sup>+</sup> ions have about an order of magnitude lower density compared to the helium ionic species. This density is, however, still remarkable, considering the low 0.1% concentration of N<sub>2</sub> in the background gas. It is noted that atomic helium ions form a major part of the positive space charge in the cathode sheath region, which is explained by the fact that their cross section (including the resonant charge transfer reaction) is higher than the Langevin cross sections of the other ionic species.

The excitation rates of He atoms and N<sub>2</sub> molecules by electron impact are displayed in figure 6. At early times,  $t \leq 30$  ns, we observe excitation due to the acceleration of the ‘seed’ electrons towards the anode. A prominent feature of the excitation rate in figure 6(a) marks the edge of the developing negative glow. For both He and N<sub>2</sub>, the most intense excitation is observed in the glow region, around  $x \approx 0.02$  mm, at times between 60 ns and 80 ns. Within this time domain, following a spatial decay of the excitation rate (corresponding to the Faraday dark space), the column region is seen to appear, with a striated structure attached to the Faraday dark space at times when electron emission from the cathode is appreciable. The periodicity of the striations changes as a function of the varying voltage amplitude. Excitation of N<sub>2</sub> persists for a longer time, due to the lower excitation energies of N<sub>2</sub> molecules, compared to those of He. Excitation of N<sub>2</sub> also appears in the afterglow domain. This excitation corresponds to the rotational excitation.

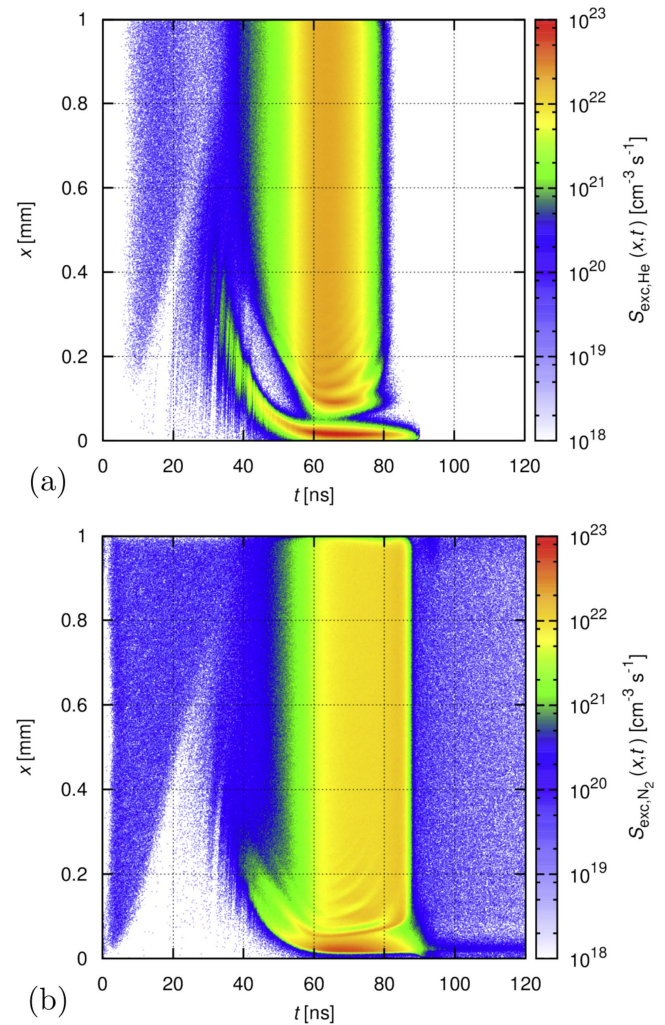
The fluxes of the charged particles and VUV photons at the electrodes are shown in figure 7; panel (a) shows the fluxes at the cathode and panel (b) at the anode. The data for the ions and photons represent panel (b) at the anode. For the electrons, the net flux is shown, which includes



**Figure 5.** The spatio-temporal distribution of densities of (a)  $\text{He}^+$  ions, (b)  $\text{He}_2^+$  ions and (c)  $\text{N}_2^+$  ions for the base conditions (He + 0.1%  $\text{N}_2$ , at  $p = 1$  bar,  $L = 1$  mm,  $V_0 = 1000$  V,  $\tau_p = 30$  ns and  $n_0 = 1.5 \times 10^{11}$   $\text{cm}^{-3}$ , with VUV photons considered). These figures only show the region adjacent to the cathode; the density change from the  $x = 0.2$  mm position towards the anode is insignificant.

secondary emission; for the cathode, the electron flux *leaving* the electrode is shown, while for the anode it is the flux *reaching* the electrode.

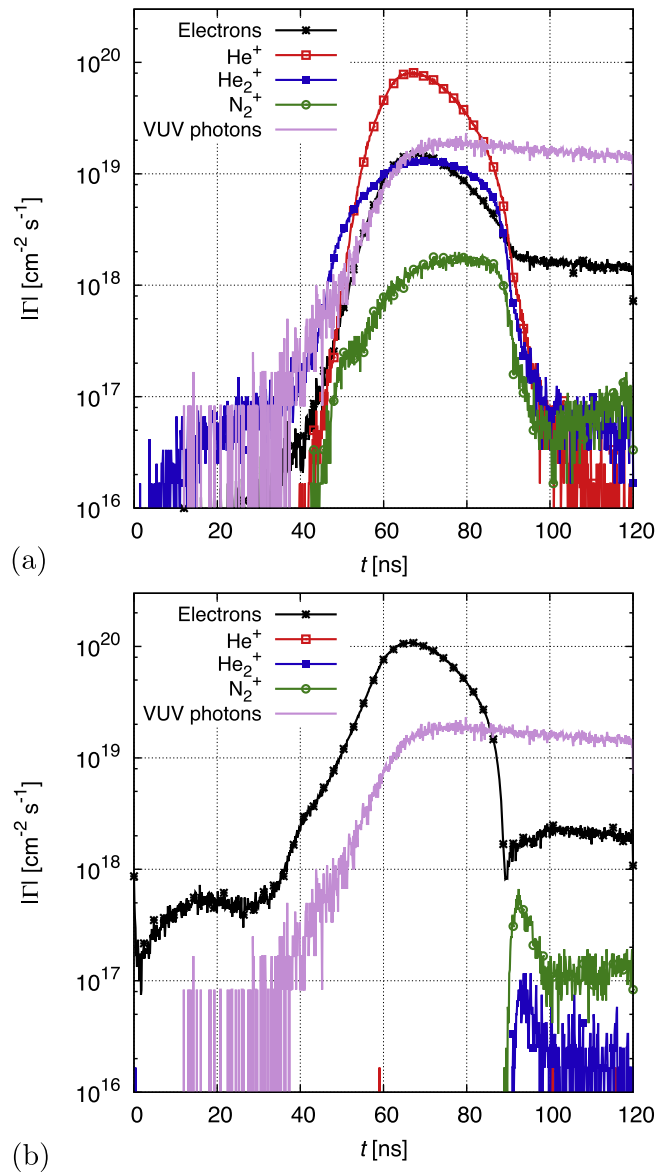
Among the species considered, some of the ‘seed’  $\text{He}_2^+$  ions and VUV photons arrive earliest at the cathode. The



**Figure 6.** The spatio-temporal distribution of electron impact excitation rates of (a) He atoms and (b)  $\text{N}_2$  molecules (including all electron-impact excitation channels) for the base conditions (He + 0.1%  $\text{N}_2$ , at  $p = 1$  bar,  $L = 1$  mm,  $V_0 = 1000$  V,  $\tau_p = 30$  ns and  $n_0 = 1.5 \times 10^{11}$   $\text{cm}^{-3}$ , with VUV photons considered).

electrons emitted by these species have primary importance in the development of the breakdown process under conditions of a temporally rapidly increasing voltage at  $t \leq 30$  ns. During the plateau phase of the voltage pulse, atomic helium ions take over, dominating the flux to the cathode, while beyond  $t = 90$  ns the charged particle fluxes rapidly drop and only the photon flux remains high, in the  $10^{19}$   $\text{cm}^{-2}\text{s}^{-1}$  range. The photon flux at the anode is very similar in both magnitude and time-dependence to that at the cathode. During the voltage pulse, the flux at the anode is (trivially) dominated by electrons. Beyond  $t = 90$  ns their net flux drops to the level of  $\approx 2 \times 10^{18}$   $\text{cm}^{-2}\text{s}^{-1}$ . At the same time, fluxes of ions (mainly those of  $\text{N}_2^+$  and  $\text{He}_2^+$ ) appear at a level of  $10^{16} - 10^{17}$   $\text{cm}^{-2}\text{s}^{-1}$ . At a later stage (well beyond the time limit of the simulations), the positive ion and electron fluxes are expected to balance each other at both electrodes under the conditions of ambipolar transport.

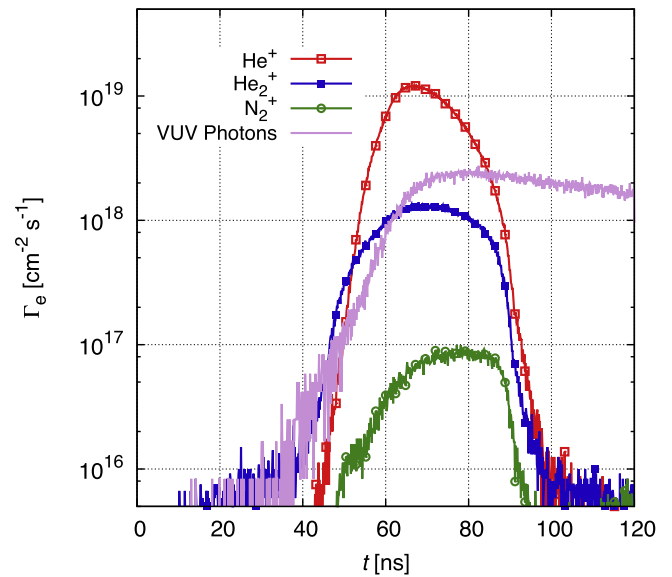
The flux of electrons emitted from the cathode due to the different species (ions and VUV photons) is displayed in



**Figure 7.** The time dependence of the fluxes of different charged species and VUV resonance photons at (a) the cathode and (b) the anode, at the base conditions (He + 0.1% N<sub>2</sub>, at  $p = 1$  bar,  $L = 1$  mm,  $V_0 = 1000$  V,  $\tau_p = 30$  ns and  $n_0 = 1.5 \times 10^{11}$  cm<sup>-3</sup>). The data for the ions and photons represents fluxes *reaching* the electrodes. For electrons, the net flux that includes secondary emission is shown; (a) shows the electron flux *leaving* the cathode, while (b) shows the electron flux *reaching* the anode.

figure 8. During the breakdown phase, He<sub>2</sub><sup>+</sup> ions (the only ionic species considered at the initialization of the simulations) and photons contribute to secondary electron emission. Subsequently, beyond  $t \approx 50$  ns, He<sup>+</sup> ions take over and dominate the production of secondary electrons, while the share of He<sub>2</sub><sup>+</sup> and N<sub>2</sub><sup>+</sup> ions is, respectively, at the 10% and 1% level. Due to the trapping of the resonance photons they release electrons from the cathode, even well after the termination of the voltage pulse.

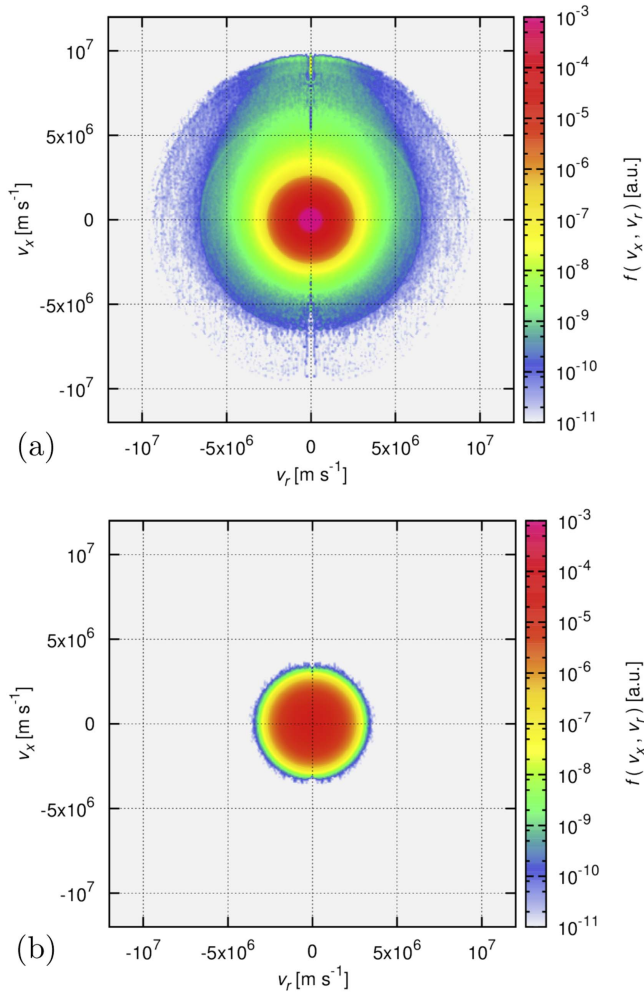
The velocity distribution function (VDF) of the electrons is also analyzed. Due to the symmetry of the system, the ‘general’ VDF  $f(\mathbf{v}, \mathbf{r}, t)$  reduces to  $f(v_x, v_r, x, t)$ . Two



**Figure 8.** The time dependence of the flux of electrons emitted from the cathode due to the impact of different species, at the base conditions (He + 0.1% N<sub>2</sub>, at  $p = 1$  bar,  $L = 1$  mm,  $V_0 = 1000$  V,  $\tau_p = 30$  ns and  $n_0 = 1.5 \times 10^{11}$  cm<sup>-3</sup>).

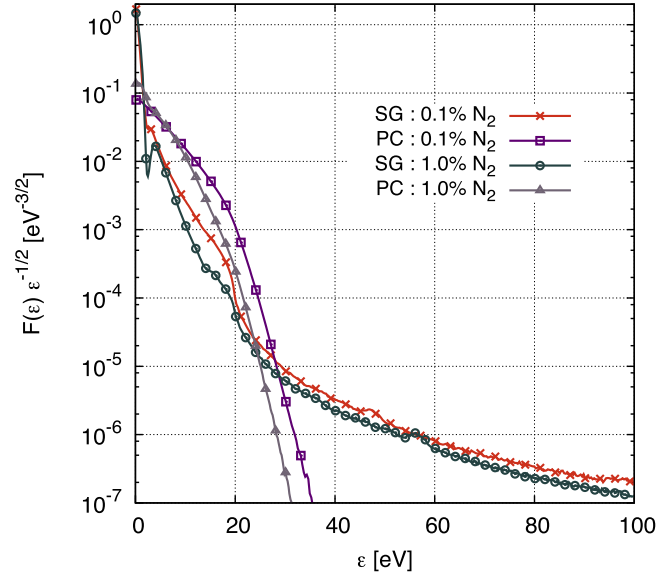
examples of this function are illustrated in figures 9(a) and (b). The first panel shows the VDF around  $(x, t) = (0.02$  mm, 70 ns), while the second corresponds to  $(0.69$  mm, 70 ns) coordinates. The data for the VDFs has been collected within spatial and temporal domains with finite width,  $(\Delta x)_{\text{VDF}} = \pm 0.01$  mm and  $(\Delta t)_{\text{VDF}} = \pm 1$  ns. Figure 9(a) shows the very clear directional anisotropy of the distribution function, as well as the presence of a significant number of high-energy electrons. Even beam electrons (propagating away from the cathode without inelastic energy losses, are seen at  $v_x \approx 0.95 \times 10^7$  m s<sup>-1</sup> and  $v_r \approx 0$ ). The corresponding electron energy distribution function also shows the presence of a remarkable high-energy tail, see the ‘SG : 0.1% N<sub>2</sub>’ curve of figure 10. These observations show a large degree of similarity in the case of the cathode region of low-pressure discharges [26, 42] and highlight the need for kinetic simulations even at high pressures. Further away from the cathode, in the column region (see figure 9(b)) the VDF appears to be isotropic and the EEDF (‘PC : 0.1% N<sub>2</sub>’ curve) exhibits a cut-off that is characteristic at the conditions of a low electric field.

As already mentioned in connection with figure 2, at the conditions considered, it is a peculiarity of photon transport that during the repeating emission–reabsorption events, the time spent by the atoms in the excited state is much longer than the free ‘flights’ of the photons. This way the number of excited atoms is much higher in the simulation than the number of photons. Further simulation results relevant to the transport of photons are revealed in figure 11. The pathways of few individual photons can be followed in figure 11(a). The four trajectories originate from four separate simulations, and in each of these the first photon created was selected for analysis. The photons are created at  $\approx 5$  ns and two of them



**Figure 9.** Velocity distribution functions of the electrons at 70 ns, at the spatial positions (a)  $x = 0.02$  mm and (b)  $x = 0.60$  mm. The temporal and spatial windows for the data collection are, respectively,  $\pm 1$  ns and  $\pm 0.01$  mm. Position (a) is near the sheath–glow boundary; position (b) belongs to the positive column. Discharge conditions: He + 0.1% N<sub>2</sub>,  $p = 1$  bar,  $L = 1$  mm,  $V_0 = 1000$  V,  $\tau_p = 30$  ns,  $n_0 = 1.5 \times 10^{11}$  cm<sup>-3</sup>, VUV photons considered.

reached one electrode during the time frame of the simulation. The trajectory segments are color-coded according to the wavelength of the photon that changes after each absorption–re-emission event (as described in section 2.3). The wavelength at the line center (at  $\Delta\lambda = 0$  that corresponds to  $\lambda = \lambda_0$ ) is shown in black. At a wavelength very close to  $\lambda_0$ , the photons have a very short free path due to the property of the photoabsorption cross section, which sharply peaks at  $\lambda_0$ . Accordingly, the  $x(t)$  trajectories are (nearly) horizontal, and consist of numerous small segments (which are not resolved in this plot). As most of the emission events generate photons ‘near’  $\lambda_0$ , the photons are strongly trapped inside the plasma. Whenever the photon is radiated further away from the line center (i.e. at the wings of the spectral line), longer free paths are possible, which show up in nearly vertical segments (representing a large displacement in the  $x$  direction during one flight segment) in figure 11(a). These segments show up in different colors according to the actual wavelength



**Figure 10.** Electron energy distribution functions at 70 ns, at the spatial positions (a)  $x = 0.02$  mm (corresponding to sheath–negative glow boundary, ‘SG’) and (b)  $x = 0.60$  mm (which belongs to the positive column, ‘PC’). The temporal and spatial windows for the data collection are, respectively,  $\pm 1$  ns and  $\pm 0.01$  mm. The results are shown for 0.1% and 1.0% N<sub>2</sub> concentrations, at  $p = 1$  bar,  $L = 1$  mm,  $V_0 = 1000$  V,  $\tau_p = 30$  ns, and  $n_0 = 1.5 \times 10^{11}$  cm<sup>-3</sup> (with VUV photons considered).

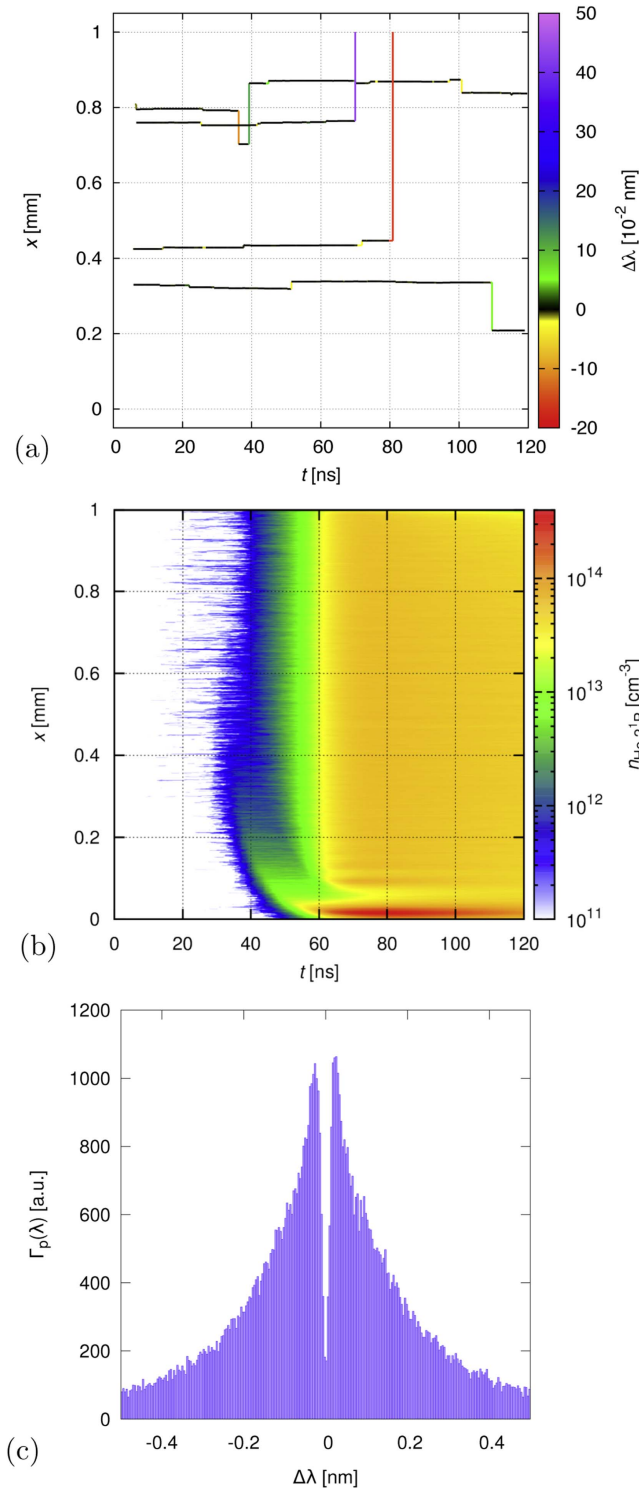
deviation  $\Delta\lambda$ . We note that for these four sample cases the number of emission and reabsorption events is between 90 and 217, indicating the high importance of these processes in photon propagation.

Figure 11(b) gives the density of the excited resonant state. This spatio-temporal distribution resembles that of the charged particles, both in shape and magnitude. The highest density is found beyond  $t \approx 60$  ns, in the vicinity of the cathode. Figure 11(c) shows the spectral distribution of the wavelength of the VUV photons reaching the cathode. Due to the very high photoabsorption cross section, the radiation near the line center is absorbed within a very short distance, thus radiation in this wavelength range practically cannot leave the plasma (see figure 11(a)), unless the excited atom radiates very near the boundary of the domain examined, i.e. near the electrode. The dip that forms at the line center is typical for high-pressure conditions. At low pressure, when the photons have a longer free path, this dip gradually disappears (see e.g. [25]).

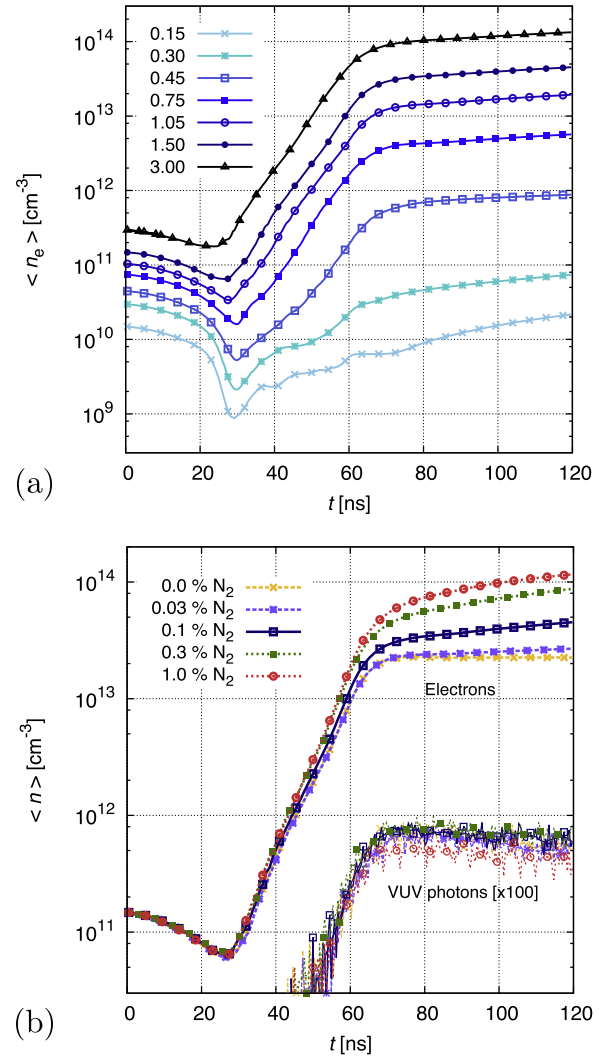
### 3.2. Parameter variation

Due to the high number of parameters (pressure, gap length, voltage, pulse duration, etc) in this article we can only present a small subset of systematic parametric investigation of the model system. Below, we shall illustrate the effects of the initial density and the nitrogen concentration, and give a few examples of current pulse development for selected values of the voltage amplitude and the pulse length.

The results of a study of the effect of the initial value of the electron density on its time dependence are shown in figure 12(a). The initial density was varied between  $n_0 = 10^{10}$  cm<sup>-3</sup> and

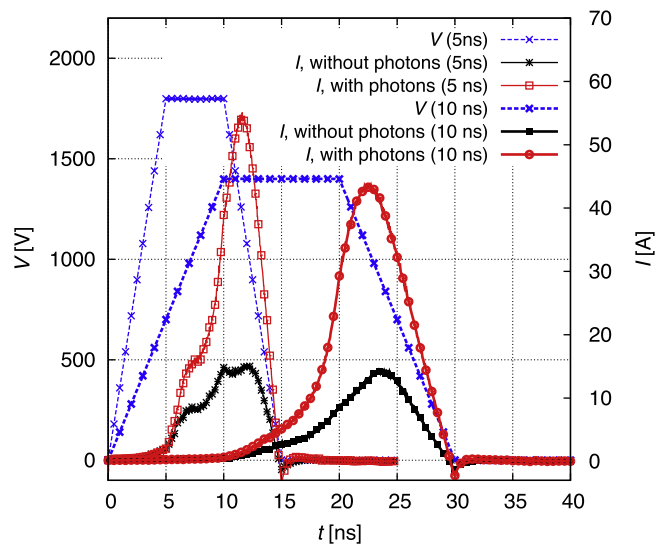


**Figure 11.** (a) The path of four photons from the first emission event to absorption at an electrode or to the end of the simulation (120 ns). The actual deviation of the wavelength from the line center ( $\Delta\lambda$ ) is color-coded. (b) The spatio-temporal distribution of the He  $2^1P$  excited state density, and (c) the time-averaged distribution of the wavelengths of VUV photons (photon flux in arbitrary units) reaching the cathode, around the central wavelength  $\lambda_0 = 58.433\ 4$  nm, at the base conditions. (He + 0.1%  $\text{N}_2$ ,  $p = 1$  bar,  $L = 1$  mm,  $V_0 = 1000$  V,  $\tau_p = 30$  ns,  $n_0 = 1.5 \times 10^{11}$   $\text{cm}^{-3}$ .)



**Figure 12.** The time dependence of the spatially averaged electron density at various values of initial electron density (a) and at different values of  $\text{N}_2$  concentration in the background gas (b). For (a), the  $\text{N}_2$  content is 0.1% and the legend gives the initial electron density  $n_0$  in units of  $10^{11}$   $\text{cm}^{-3}$ . For (b), the initial electron density is  $1.5 \times 10^{11}$   $\text{cm}^{-3}$ . (Note that the curves for the VUV photons are  $100\times$  multiplied.) Other conditions:  $p = 1$  bar,  $L = 1$  mm,  $V_0 = 1000$  V,  $\tau_p = 30$  ns for all cases.

$2 \times 10^{11}$   $\text{cm}^{-3}$ . At the lowest  $n_0$  the mean electron density at the end of the simulation is approximately the same as the initial density and the peak of the current pulse is as low as 0.03 A. The  $n_0 = 1.5 \times 10^{10}$   $\text{cm}^{-3}$  value corresponds to the base case; here the mean electron density increases by a factor  $\sim 200$  by the end of the voltage pulse. These results indicate that the initial density has a strong influence on the temporal development of the electron density, and consequently on the peak value of the current pulse as well (not shown). Figure 12(b) displays the mean electron and photon density at selected values of  $\text{N}_2$  admixture concentration. A higher  $\text{N}_2$  content is found to increase the electron density, which is a consequence of charged particle production via the electron-impact and Penning ionization



**Figure 13.** Excitation voltage waveforms (blue dashed lines) and discharge current obtained in the simulations including (red solid lines) and excluding (black solid lines) photons, for He + 0.1% N<sub>2</sub>, at  $p = 1$  bar and  $L = 1$  mm, for pairs of peak voltage and plateau duration values of  $V_0 = 1800$  V/ $\tau_p = 5$  ns (thin lines) and  $V_0 = 1400$  V/ $\tau_p = 10$  ns (thick lines).

processes. The density (or number) of photons, on the other hand, slightly decreases with increasing N<sub>2</sub> content, which can be explained by the increasing excitation rate of N<sub>2</sub> and the consequent depletion of the density of high-energy electrons, which, in turn, leads to the lower excitation rate of helium atoms. This effect is confirmed in figure 10, which shows a significant decrease in the number of high-energy electrons as the nitrogen concentration increases (compare the ‘0.1% N<sub>2</sub>’ and ‘1.0% N<sub>2</sub>’ curves).

Simulations have also been conducted at various voltage pulse durations ( $\tau_p$ ) and amplitudes (plateau values,  $V_0$ ). Two examples are shown in figure 13, demonstrating the cases of the  $V_0 = 1800$  V/ $\tau_p = 5$  ns and  $V_0 = 1400$  V/ $\tau_p = 10$  ns parameter pairs. The inclusion of VUV photons in these two cases also confirms their important role: the current pulse amplitudes are about 3–4 times higher when electron emission from the cathode due to the photoeffect is taken into account. While a parametric investigation covering a wide parameter domain is beyond the scope of the current study, we contemplate that similar qualitative behavior regarding the effect of VUV photons is present across parameter ranges.

#### 4. Summary

We have investigated the effect of VUV resonance radiation, acting via the photoemission of electrons from electrodes, on the characteristics of atmospheric-pressure microdischarges excited by  $\sim$ kV-amplitude voltage pulses of 15–90 ns duration. Most of the computations have been conducted for He gas with a small 0.1% admixture of N<sub>2</sub>, but other concentrations between 0% and 1% N<sub>2</sub> admixture were also considered. The study has been based on the particle-in-cell/Monte Carlo collision approach, into which the transport of VUV

photons was also incorporated in a particle-based manner. The timing of the simulation was carefully set to comply with the relevant stability criteria and ensure maximum possible efficiency.

A detailed analysis has been presented for the base case of a voltage pulse of  $\tau_p = 30$  ns plateau duration and  $V_0 = 1000$  V amplitude, for the He + 0.1% N<sub>2</sub> gas mixture, at  $p = 1$  bar pressure and  $L = 1$  mm electrode gap. The simulations provided insight into the development of the discharge via the computation and analysis of the spatio-temporal distributions of the densities of the different charged species (electrons, He<sup>+</sup> ions, He<sub>2</sub><sup>+</sup> ions, N<sub>2</sub><sup>+</sup> ions), He metastable atoms, He 2<sup>1</sup>P resonant state atoms, and VUV photons. The fluxes of the charged species and VUV photons revealed the importance of the photoemission process from the cathode. Analysis of the velocity distribution function (VDF) and the energy distribution function (EEDF) of the electrons indicated the presence of highly energetic electrons near the cathode and the significant anisotropy of the VDF.

Comparisons were carried out by executing simulations including and disregarding VUV photons. Remarkable differences in these different cases were found in the particle densities, fluxes and discharge current pulse amplitudes. The analysis of photon trajectories indicates very strong trapping of the resonance radiation at the high pressure of 1 bar, as revealed by the spectral distribution of the arriving photons, as well. The strong trapping was found to result in a relatively low density (or number) of photons and this made the simulations feasible despite the extremely short time steps needed because of the high photoabsorption cross section.

The above general findings regarding the importance of VUV photons in nanosecond, high-voltage discharges were found to hold at all the parameter values (pulse duration, voltage amplitude) that were tested. The variation of the gas pressure (which can change the transport characteristics of VUV radiation), the consideration of highly excited (Rydberg) states of helium (which were found to be present in significant concentration and be responsible for a remarkable fraction of energy storage in the plasma [4]), as well as the inclusion of the photoionization of N<sub>2</sub> molecules by the VUV radiation, can be identified as important future extensions of the present work.

#### Acknowledgments

This work was supported by the National Office for Research, Development and Innovation (NKFIH) via grant 119357, Osaka University International Joint Research Promotion Program (Type A), the JSPS Grants-in-Aid for Scientific Research (S) 15H05736, and the UK EPSRC (EP/K018388/1) grant.

#### ORCID iDs

Zoltán Donkó <https://orcid.org/0000-0003-1369-6150>  
 Satoshi Hamaguchi <https://orcid.org/0000-0001-6580-8797>  
 Timo Gans <https://orcid.org/0000-0003-1362-8000>

## References

- [1] Starikovskaia S M 2014 *J. Phys. D, Appl. Phys.* **47** 353001
- [2] Starikovskaia S M 2006 *J. Phys. D, Appl. Phys.* **39** R265
- [3] Starikovskiy A 2013 *Prog. Energy Combust. Sci.* **39** 61
- [4] Schregel C-G, Carbone E A D, Luggenhölscher D and Czarnetzki U 2016 *Plasma Sources Sci. Technol.* **25** 054003
- [5] Fu Y, Zhang P, Verboncoeur J P, Christlieb A J and Wang X 2018 *Phys. Plasmas* **25** 013530
- [6] Zhang Y-T and Wang Y-H 2018 *Phys. Plasmas* **25** 023509
- [7] Bokhan P A, Gugin P P, Zakrevsky D E and Lavrukhin M A 2013 *J. Phys. D, Appl. Phys.* **49** 245202
- [8] Bokhan P A, Gugin P P, Zakrevsky D K and Lavrukhin M A 2016 *Tech. Phys. Lett.* **42** 372
- [9] Beebe S J, Fox P M, Rec L J, Somers K, Stark R H and Schoenbach K H 2002 *IEEE Trans. Plasma Sci.* **30** 286
- [10] Waskoenig J, Niemi K, Knake N, Graham L M, Reuter S, Schulz-von der Gathen V and Gans T 2010 *Plasma Sources Sci. Technol.* **19** 045018
- [11] Niemi K, Waskoenig J, Sadeghi N, Gans T and O'Connell D 2010 *Plasma Sources Sci. Technol.* **20** 055005
- [12] Schweigert I V, Alexandrov A L, Gugin P P, Lavrukhin M A, Bokhan P A and Zakrevsky D E 2017 *IEEE Trans. Plasma Sci.* **45** 3202
- [13] Schweigert I V, Alexandrov A L, Zakrevsky D E and Bokhan P A 2014 *Phys. Rev. E* **90** 051101(R)
- [14] Donkó Z, Schulze J, Mueller S and Czarnetzki U 2011 *Appl. Phys. Lett.* **98** 251502
- [15] Lo C-W and Hamaguchi S 2011 *IEEE Trans. Plasma Sci.* **39** 2100
- [16] Lo C-W and Hamaguchi S 2011 *J. Phys. D: Appl. Phys.* **44** 375201
- [17] Kawamura E, Lieberman M A and Lichtenberg A J 2018 *Phys. Plasmas* **25** 013535
- [18] Weili F, Zhengming S and Fucheng L 2017 *Plasma Sci. Technol.* **19** 115401
- [19] Tian P and Kushner M J 2017 *Plasma Sources Sci. Technol.* **26** 024005
- [20] Boeuf J P 2003 *J. Phys. D: Appl. Phys.* **36** R53
- [21] Kogelschatz U 2003 *Plasma Chem. Plasma Process.* **23** 1
- [22] Lerouge S, Fozza A C, Wertheimer M R, Marchand R and Yahia L 'H 2000 *Plasmas Polym.* **5** 31
- Pollak J, Moisan M, Kéroack D and Boudam M K 2008 *J. Phys. D: Appl. Phys.* **41** 135212
- Kutasi K, Saoudi B, Pintassilgo C D, Loureiro J and Moisan M 2008 *Plasma Process. Polym.* **5** 840
- [23] Jablonowski H, Bussiahn R, Hammer M U, Weltmann K-D, von Woedtke T and Reuter S 2015 *Phys. Plasmas* **22** 122008
- [24] Attri P, Kim Y H, Park D H, Park J H, Hong Y J, Uhm H S, Kim K-N, Fridman A and Choi E H 2015 *Sci. Rep.* **5** 9332
- [25] Fierro A, Moore C, Scheiner B, Yee B T and Hopkins M M 2017 *J. Phys. D: Appl. Phys.* **50** 065202
- [26] Donkó Z 2011 *Plasma Sources Sci. Technol.* **20** 024001
- [27] 2004 Cross sections extracted from PROGRAM MAGBOLTZ, VERSION 7.1, <http://lxcad.laplace.univ-tlse.fr>
- [28] Gordillo-Vazquez F J and Donkó Z 2009 *Plasma Sources Sci. Technol.* **18** 34021
- [29] <http://lxcad.net/SIGLO>
- [30] Brok W J M, Bowden M D, van Dijk J, van der Mullen J J A M and Kroesen G M W 2005 *J. Appl. Phys.* **98** 13302
- [31] Sakiyama Y and Graves D B 2006 *J. Phys. D: Appl. Phys.* **39** 3644
- [32] Phelps A V 1994 *J. Appl. Phys.* **76** 747
- [33] Žitnik M, Stanič A, Bučar K, Lambourne J G, Penent F, Hall R I and Lablanquie P 2003 *J. Phys. B: At. Mol. Opt. Phys.* **20** 4175
- [34] Kunze H-J 2009 *Introduction to Plasma Spectroscopy* (Berlin: Springer)
- [35] Dimitrijević M S and Sahal-Bréchet A 1984 *J. Quant. Spectrosc. Radiat. Transfer* **31** 301
- [36] McLean A B, Mitchell C E J and Swanston D M 1994 *J. Electron Spectros. Relat. Phenomena* **69** 125
- [37] Kollath R 1956 Secondary electron emission of solids irradiated by electrons (*Encyclopedia of Physics* vol 21) ed S Fluegge (Berlin: Springer)
- [38] Donkó Z, Hartmann P and Kutasi K 2006 *Plasma Sources Sci. Technol.* **15** 178
- [39] Kutasi K, Hartmann P, Bánó G and Donkó Z 2005 *Plasma Sources Sci. Technol.* **13** S1
- [40] Phelps A V 1960 *Phys. Rev.* **117** 619
- Hagstrum H G 1953 *Phys. Rev.* **91** 543
- [41] Phelps A V and Petrović Z L 1999 *Plasma Sources Sci. Technol.* **8** R21
- [42] Boeuf J P and Marode E 1984 *J. Phys. D: Appl. Phys.* **17** 1133