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1 **Agricultural residue gasification for low-cost, low-carbon decentralized power: an**  
2 **empirical case study in Cambodia**

3

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16

17 **ABSTRACT**

18 Small-scale distributed gasification can provide energy access for low-carbon  
19 sustainable development, though current understanding of the economic and environmental  
20 performance of the technology relies mostly on assumption-heavy modeling studies. Here  
21 we report a detailed empirical assessment and uncertainty estimation for four real-world  
22 gasification power systems operating at rice mills in rural Cambodia. System inputs and

23 outputs were characterized while operating in both diesel and dual-fuel modes and  
24 synthesized into a model of carbon and energy balance, economic performance, and  
25 greenhouse gas mitigation. Our results confirm that the best-performing systems reduce  
26 diesel fuel use by up to 83%, mitigating greenhouse gas emissions and recouping the initial  
27 system capital investment within one year. However, we observe a significant  
28 performance disparity across the systems observed leading to a wide range of economic  
29 outcomes. We also highlight related critical sustainability challenges around the  
30 management of byproducts that should be addressed before more widespread  
31 implementation of the technology.

32

33 **KEYWORDS:** rice husk; gasification; biochar; rural electricity enterprise; lifecycle  
34 assessment; sustainable development

35

## 36 **1. INTRODUCTION**

37 Improved access to modern energy carriers such as electricity or liquid and gaseous  
38 fuels in developing countries is an important enabling factor for improving health and  
39 promoting economic development and prosperity [1,2]. Bioenergy, the conversion of  
40 biomass to chemical, electric, or thermal energy products, is a renewable energy source  
41 with large carbon mitigation potential worldwide [3]. Large quantities of biomass are  
42 already used as a fuel for cooking or small-scale industry in many developing countries  
43 [4], but adoption of more modern bioenergy technologies is necessary for true sustainable  
44 development and growth of low-carbon economies [2,5].

### 45 **1.1. Distributed bioenergy via agricultural residue gasification in Cambodia**

46 Agricultural residue, the non-edible portion of crop aboveground biomass, is  
47 recognized as a sustainable and cost-effective bioenergy feedstock that avoids land use  
48 change emissions and food-versus-fuel concerns [6,7]. Rice is the dominant cropping  
49 system throughout Asia, and rice husk (also know as ‘rice hull’), the fibrous outer cover of  
50 each grain, is produced in great quantity in rural areas. Rice husk is a particularly  
51 attractive feedstock as it is freely available at the rice mill, and does not require any  
52 additional collection, transport, drying, or size reduction steps. Husk has several  
53 traditional uses including as a solid fuel for brick kilns, but in many regions supply  
54 outstrips local demand [8,9]. Excess is often disposed of in the same manner as rice straw,  
55 e.g., by incorporation into agricultural soils [10,11], dumping on unused land or into  
56 waterways [9], or open burning [12–14], despite a variety of negative implications for  
57 GHG emissions, agricultural productivity, and human health.

58 One promising bioenergy technology is gasification of agricultural residues.  
59 Gasification is the partial oxidation of biomass in an air-restricted environment to yield a  
60 mix of flammable gases ( $H_2$ , CO,  $CH_4$ , etc., known as ‘producer gas’) and a solid fraction  
61 of carbonaceous ash-rich char [15,16]. Producer gas from small gasification systems can  
62 be used to generate mechanical or electrical power in dedicated gas engines [17] or fed into  
63 the intake manifold of diesel engines to offset the amount of diesel fuel necessary to  
64 maintain load (referred to as ‘dual fuel’ operation) at rates of up to 60 – 87% [18]. Such  
65 gasification power systems are technologically mature, tolerant of diverse feedstocks  
66 [17,19], and practical at smaller scales than combustion-based steam power systems

67 [20,21]. Additionally, the char byproduct of gasification has value as an agricultural soil  
68 amendment ('biochar') that can improve crop productivity and mitigate greenhouse gas  
69 (GHG) emissions in certain situations [22–24].

70 Rice husk bioenergy systems in particular are proliferating rapidly in Cambodia.  
71 While Abe *et al.* [25] were only able to identify a handful of small systems in 2007, by  
72 2015 Pode *et al.* [26] found more than 50 gasification systems of <1 MW capacity, in  
73 addition to five larger steam turbine systems in the 1-10 MW range (a more efficient  
74 option at these larger scales [21]). Such systems use gasifiers imported from India or a  
75 variety of locally-made designs [27].

## 76 **1.2. Bioenergy system assessment and this study**

77 The economic viability of decentralized gasification power systems in south or  
78 southeast Asia has been assessed several times, often considering rice husk as the primary  
79 feedstock. Bergqvist *et al.* included a 300 kW scale gasification scenario in their analysis  
80 of rice-husk power generation options in the Mekong River Delta region of Vietnam, and  
81 determined that such systems have high operation and maintenance costs and are unlikely  
82 to be viable in the absence of significant additional revenues from ash byproduct sales or  
83 carbon finance [28]. In contrast, Dang *et al.* assessed gasification systems at the same  
84 scale located in the same general region and concluded that energy could be produced  
85 more cheaply this way than with fossil fuels [29]. Kapur *et al.* conducted a generalized  
86 assessment of the potential for rice husk gasification to meet the electrical demands of  
87 Indian rice mills [30]. They found that gasification would be cheaper than using on-site  
88 diesel generators for all but the smallest mills, but that it is unlikely to compete with grid

89 electricity except at very large scales and high system capacity factors (the ratio of actual  
90 system output over a period of time to potential output if operated continuously at  
91 nameplate capacity). Ravindranath *et al.* came to a similar conclusion through a more  
92 generalized calculation, estimating that electricity from a 20 kW gasification system  
93 located in a rural area would be more expensive than grid electricity access, but cheaper  
94 than diesel generator use [5]. While these studies are highly divergent on the overall  
95 financial viability of the technology, most agree that capacity factor is a fundamental driver  
96 of system viability, i.e., that systems running for a greater fraction of the day or the year  
97 are more likely to make up initial capital investment costs [25,28,30,31].

98         While bioenergy is widely touted as a low-carbon renewable energy source, the  
99 actual GHG mitigation value of any particular bioenergy system is not easily predicted [2]  
100 but rather depends on a variety of site- and system-specific factors [32,33]. Basic GHG  
101 mitigation estimates focus exclusively on the GHG intensity of fossil energy sources being  
102 displaced by bioenergy production [5]. More detailed lifecycle assessment studies  
103 consider the full supply chain for both the bioenergy system and the fossil fuels being  
104 displaced, including upstream GHG emissions associated with inputs, energy use at the  
105 conversion facility, etc. [32]. Many bioenergy systems rely on waste feedstocks that  
106 would otherwise be burned or dumped with large air pollutant or GHG emissions, and  
107 crediting them for avoiding these emissions improves the overall GHG footprint [34]. The  
108 biochar co-product of gasification and pyrolysis also has carbon sequestration value and  
109 indirect benefits (improved plant productivity, reduced nitrous oxide emissions, reduced  
110 inputs of fertilizer or lime, etc.) when used as a soil amendment, capable of mitigating

111 more GHG emissions than bioenergy alone under certain conditions [32,35,36].

112           While there are a wide variety of bioenergy GHG mitigation and lifecycle  
113 assessment studies in the literature, few of them focus on distributed gasification of rice  
114 husk in this region. Notably, Dang *et al.* conducted a thorough estimate of local biomass  
115 supply and demand trends in Vietnam, determining that significant amounts of rice husk  
116 and straw are available for conversion and that rice husk gasification systems co-located at  
117 rice mills would mitigate 1.6 – 1.8 MgCO<sub>2</sub>eq per Mg of husk consumed by fossil fuel  
118 substitution and avoidance of residue burning [29]. Similarly, Mai Thao *et al.* found that  
119 large-scale (5 – 30 MW) rice husk gasification in the same region avoids significant GHG  
120 emissions associated with open burning and that modern bioenergy mitigates more than  
121 traditional, even after accounting for alternate uses of the material [9].

122           While generalized estimates of the economic viability or GHG mitigation potential  
123 of distributed agricultural residue gasification systems have been conducted as described  
124 above, rarely are such studies combined for an integrated assessment of both economic and  
125 GHG performance (e.g., [5,29]), and even more rarely are they based on the observed  
126 performance of real-world systems (e.g., [18]). Here we present what is to our knowledge  
127 the first integrated assessment of distributed gasification facility performance, based on  
128 empirical observation of multiple small-scale rice husk gasification power systems  
129 operating at rice mills in rural Cambodia. The analysis includes carbon and energy  
130 balances of the system and detailed estimates of system net present value and GHG  
131 mitigation with full uncertainty estimation and sensitivity analysis. In addition, the  
132 potential for wider system deployment and ongoing sustainability challenges are explored.

133

## 134 **2. MATERIALS AND METHODS**

### 135 **2.1. Case study technology overview**

136 We analyzed gasification systems installed by SME Renewable Energy Ltd., a  
137 company based in Phnom Penh that provides rice husk gasification system installation and  
138 maintenance on 5-year contracts to local rice mills and industrial facilities [37]. As of June  
139 2010, 33 SME Renewable Energy gasification systems were operating across the country.  
140 The systems studied are described in detail by Shackley *et al.* [38]. They are based on  
141 150–300 kW downdraft-style fine biomass gasification (‘FBG’) systems from Ankur  
142 Scientific (Gujarat, India).

143 The gasifiers feature wet char removal wherein char is washed out from the bottom  
144 of the reactor and then sieved out of the water stream. Producer gas cleanup consists of a  
145 vortex filter and a wet filter (‘scrubber’) to cool the gas and condense out the high  
146 molecular-weight tars, followed by a series of large-volume passive filters using rice  
147 husks, sawdust, or cloth media to remove additional contaminants. The resulting cleaned,  
148 cooled gas is fed into the intake manifold of a diesel engine, typically a repurposed truck  
149 engine mechanically coupled to the milling equipment directly or to a generator. Effluent  
150 from the char removal and scrubber streams passes through a series of settling tanks in  
151 which fine char and ash particles, condensed tars, and other contaminants settle out as a  
152 sludge mixture [27], and then through an evaporative cooling fountain before being re-  
153 circulated.

### 154 **2.2. Field measurements and sample collection**



155           After informally visiting several systems to get accustomed with typical equipment  
156 layout, formal field assessments of six operating systems were conducted in June 2010.  
157 With each system operating in dual-fuel mode, measurements of fuel consumption, rice  
158 husk consumption, and char production were made over independent but overlapping  
159 testing intervals of at least 20 minutes duration. Husk consumption and char production  
160 were estimated by weighing the material consumed or produced over the test interval with  
161 a 50 kg market scale. At one site, three shorter-duration repeated measurements were  
162 taken to assess rate variability. System fuel consumption was monitored using sight glass  
163 readings on the diesel supply tanks, typically a pair of 55-gallon drums plumbed in  
164 parallel. System operators were then asked to switch over to diesel-only operation  
165 (temporarily venting and flaring the producer gas), and the diesel consumption rate was re-  
166 measured. The production rate of sludge in the water system settling tanks was estimated  
167 based on typical cleanout frequencies and sediment depths as estimated by the system  
168 operators. Additionally, system owners were asked about alternate uses and prices for rice  
169 husk in their area, and for what price the resulting biochar might be sold.

170           Of the six systems visited, we were successful in gathering sufficient data to  
171 construct system carbon balances for four systems (Table A1), all located at rural rice mills  
172 and identified by the initials of the mill owners' names as K.M., Y.P., C.K., and Y.L.  
173 General characteristics of these four systems are presented in Table 1. Data on sludge  
174 production rates and local pricing of husk and char from the other systems were integrated  
175 into the broader analysis (Appendix A). Of the four systems for which carbon balances  
176 were constructed, we were able to verify a full energy balance for a single system (Y.L.)

177 which was set up to drive a three-phase generator (standard power factor of 0.8 assumed)  
178 powering electric milling equipment; for the other systems, we assumed the same engine  
179 efficiency to complete the energy balance.

180 In order to complete system carbon and energy balance, samples of key system  
181 inputs, intermediaries, and outputs were collected for laboratory analysis. Samples of both  
182 raw rice husks and produced biochar were collected from each site, stored in sealed plastic  
183 containers, and analyzed for moisture content, chemical composition, and heating value.  
184 Additionally, samples of producer gas and engine exhaust were collected for a single  
185 system (Y.L.) and analyzed for composition. Details on sampling method, analysis, and  
186 results are given for husk and char in Appendix B.2. and for producer gas and exhaust in  
187 Appendix B.3.

### 188 **2.3. System mass and energy balances**

189 The rate and composition measurements described above were integrated into an  
190 Microsoft Excel-based model of the carbon and energy balance of each system  
191 (represented schematically in Figure 1) operating in both modes, as detailed in Appendix  
192 A. The analysis assumes that the load on an individual system was constant while  
193 operating in either mode, and that diesel engine efficiency was comparable across all  
194 systems and in both operating modes. In addition to carbon and energy balances, two other  
195 system performance metrics were computed. We estimated the diesel replacement rate  
196 (DRR; i.e., the fraction of diesel fuel consumption replaced by producer gas) using our  
197 measurements of steady-state volumetric diesel fuel consumption rates ( $\dot{V}$ ) for each  
198 system operating in diesel-only mode (DM) and dual-fuel mode (DFM):

199

$$DRR = 1 - \frac{\dot{V}_{DFM}}{\dot{V}_{DM}}$$

200

Gasifier efficiency ( $\eta_{gasifier}$ ) [39], also known as cold gas efficiency [18], was also

201

computed as the ratio of the chemical energy content of the producer gas relative to that of

202

the input rice husk on a lower heating value (LHV) basis:

203

$$\eta_{gasifier} = \frac{\dot{m}_{gas} LHV_{gas}}{\dot{m}_{husk} LHV_{husk}}$$

204

## **2.4. GHG mitigation assessment**

205

The mass and energy balances of individual systems were used to drive estimates

206

of GHG mitigation relative to an alternate scenario where the rice mills are operated

207

exclusively on diesel fuel and rice husks disposed of in an alternate manner. Results are

208

reported in CO<sub>2</sub>-equivalent terms on the basis of 100 year global warming potential for

209

CH<sub>4</sub> and N<sub>2</sub>O from the IPCC [40], and for particulate emissions from MacCarty *et al.* [41].

210

### **2.4.1. Avoided fossil fuel emissions**

211

Dual-fuel operation of the engine reduces diesel fuel consumption and avoids

212

associated emissions. A lifecycle emissions factor of 91 gCO<sub>2</sub>eq/MJ is taken from the

213

Argonne National Laboratory Greenhouse Gases, Regulated Emissions, and Energy Use in

214

Transport Model (GREET 2015 v1.3; [42]) to account for CO<sub>2</sub> released when diesel fuel is

215

combusted and upstream energy use and emissions associated with production of the fuel.

216

### **2.4.2. Alternate feedstock fate**

217

Since rice husk is an agricultural byproduct that would be generated and require

218

management regardless of the existence of the gasification system, no emissions from the

219 initial rice cultivation or associated land use practices were considered in the analysis.  
220 However, avoided emissions from alternate forms of rice waste management (e.g., open  
221 burning or field incorporation) were included. The prevalence of different types of  
222 disposal were estimated based on a previous survey of 30 local rice mills [27] and on  
223 reports from the managers of the systems assessed here. Emissions factors for rice straw  
224 burning are detailed in Table 2; factors for field incorporation were taken from Knoiblauch  
225 *et al.* [11]. Any potential impacts of fuel switching in the local brick-making sector were  
226 considered outside the scope of this analysis scope and not included.

#### 227 **2.4.3. Biochar**

228 Carbon sequestration in biochar is estimated from measured biochar production  
229 rate and carbon content, as well as the estimated stability of that carbon. An average  
230 estimate of 81% of the original char carbon remaining in the soil after 100 years was used,  
231 based on three sources (Table 2). No indirect biochar effects associated with improved  
232 crop yield or reduced inputs are considered, as these effects are highly uncertain and could  
233 vary considerably with agricultural management practices (e.g., [43]).

#### 234 **2.4.4. Upstream and process emissions**

235 The only significant operating input to the gasifier system besides feedstock is  
236 electricity to drive the motors and pumps associated with feedstock loading and water  
237 management. Electricity consumption was estimated from system specifications (11 kW  
238 total capacity) and assuming a 70% motor efficiency and 50% load factor. The Cambodian  
239 electric grid is primarily diesel-fuelled, and an associated footprint of 0.97 kg CO<sub>2</sub>eq  
240 (kWh)<sup>-1</sup> is estimated from GREET. Any measured increases in engine exhaust CH<sub>4</sub>

241 emissions in dual-fuel mode were attributed to the system; other relevant species such as  
242 N<sub>2</sub>O or particulates were not measured or considered. Embodied emissions associated  
243 with manufacture of the gasification equipment itself are estimated based on equipment  
244 capital costs combined with estimates of the energy efficiency (540 kJ (Indian Rupee)<sup>-1</sup>)  
245 and emissions intensity (0.073 kg CO<sub>2</sub>eq (Indian Rupee)<sup>-1</sup>) of the Indian manufacturing  
246 sector [44]. No GHG value was assigned to wastewater or settling tank sludge, nor did we  
247 have the capability to sample for potential fugitive emissions of producer gas [27].

## 248 **2.5. Economic performance**

249 The analysis was further expanded to estimate system net present value (NPV)  
250 based on an enterprise budget reflecting the opportunity cost of feedstock, capital  
251 equipment costs and financing, system maintenance, labor, and savings or revenues  
252 associated with diesel replacement and biochar co-production. SME Renewable Energy  
253 provides financing for 70% of system equipment cost at a 5-year fixed rate of 13% APR.  
254 Price estimates used in the analysis were based on those reported by SME Renewable  
255 Energy, supplemented as necessary with those reported in the literature for similar systems  
256 [26,27] to define parameter uncertainty ranges (see Section 2.6). Future costs and revenues  
257 were discounted at 15%. All prices were adjusted to 2010 U.S. Dollars (USD) using the  
258 US Consumer Price Index and a 4200:1 Cambodian Riel to USD exchange rate.

## 259 **2.6. System variability, uncertainty estimation, and sensitivity analysis**

260 Variability in performance between systems was addressed through construction of  
261 individual carbon and energy balances for each. Uncertainty and sensitivity analyses were  
262 conducted on system performance metrics to evaluate the robustness of these estimates.

263 Wherever practical, probability distribution functions were defined for model parameters  
264 to reflect uncertainty around their true value. Uncertainty in measured parameters was  
265 based on instrument limit of error propagation or, where possible and appropriate, repeated  
266 measures. In general, parameters reported by SME Renewable Energy or measured  
267 directly with a single estimate were used as central estimates, augmented with similar  
268 estimates from secondary sources as bounds to a triangular distribution. Parameters  
269 estimated from multiple measurements or multiple secondary data sources were given  
270 uniform distributions if two point estimates were available, or normal distributions if more.

271 Probability distributions were estimated for 40 different model parameters, a  
272 representative subset of which are detailed in Table 2. Of particular note is the uncertainty  
273 around husk consumption and char production, which reflect variance in repeated  
274 measurements taken at a single system (Y.L., n=3). These deviations are far beyond  
275 instrument limits of error and thus indicate real deviations from steady-state operation due  
276 to transients in gasifier performance and possibly system load. Furthermore they are of  
277 somewhat greater magnitude than previously-reported values for a similar system where  
278 continuous monitoring of gasifier performance, load, and specific fuel consumption was  
279 possible [18]. This variance is treated as uncertainty in our steady-state carbon and energy  
280 balance, a conservative assumption ignoring any variation in system load over time.

281 Uncertainty ranges of our results were estimated using a 1000-iteration Monte  
282 Carlo analysis routine automated in Excel using Visual Basic for Applications (VBA) to  
283 determine means and 90% confidence intervals for all reported performance metrics. The  
284 analysis was constrained such that any combination of extreme parameter values that

285 caused a system carbon or energy balance to fail was rejected and another sample taken in  
286 its place. For the sensitivity analysis, the values of some representative model parameters  
287 were perturbed by 1% one at a time, and resulting percent changes in gasification  
288 efficiency, GHG mitigation, and system NPV noted.

289

### 290 **3. RESULTS AND DISCUSSION**

#### 291 **3.1. System performance and variability**

292 The primary results of this analysis – estimates of NPV and GHG mitigation for all  
293 four systems modeled, including uncertainty intervals – are shown in Figure 2A. There is  
294 a wide range in economic performance, with the Y.L. and C.K. systems showing positive  
295 5-year NPV with a high degree of confidence (point estimates of USD 127,000 and USD  
296 78,000, respectively), whereas the confidence intervals for the other two systems widely  
297 overlap zero NPV and thus we cannot comment conclusively on their profitability. Diesel  
298 replacement per unit of husk input is high in the well-performing systems, and high husk  
299 throughputs help to amortize financing costs. In the more poorly performing systems  
300 higher relative costs and lower revenues are closer to being in balance, and initial system  
301 capital costs are paid down very slowly or not at all. In contrast, the analysis shows  
302 favorable GHG mitigation across all systems assessed with a high degree of certainty (Fig.  
303 2A). Estimated GHG mitigation rates vary from 0.56 to 1.02 metric tons CO<sub>2</sub>eq per ton of  
304 rice husk consumed and show a weak correlation with NPV. There is some overlap of the  
305 90% confidence intervals for the best-performing (Y.L.) and worst-performing (K.M.)  
306 systems.

307           The measured diesel replacement rates and estimated gasifier efficiencies  
308 underlying this economic and GHG performance are shown in Figure 2B. Systems with  
309 high measured DRR had higher estimated gasifier efficiency as well, independent of the  
310 nominal size of the gasifier and capacity of the rice mill. The 90% confidence intervals are  
311 relatively narrow for DRR, with the C.K. and Y.L. systems showing significantly better  
312 performance (82 – 83% diesel replacement) than the K.M. system (69%). Gasifier  
313 efficiency was estimated in a less direct way that incurred more uncertainty across a  
314 greater number of parameters. As such, while point estimates across systems varied  
315 substantially, from 38 – 52% efficiency, confidence in those differences is limited. For  
316 comparison, other studies report efficiencies anywhere from 25% [39] to 77% [18].

317           **3.2. Details of carbon & energy balance, economic performance, GHG mitigation,**  
318           **and sensitivity analysis for a single representative system**

319           Characteristics of individual systems are highlighted in Tables 1 and 3, and  
320 described in detail in Appendix B.1. Below we present some additional illustrative  
321 intermediate analysis details for the carbon balance, energy balance, and individual GHG  
322 mitigation components for a single representative system. We selected the C.K. system as  
323 the most representative, since its performance on most analysis metrics is in between to  
324 that of the other three systems.

325           Sankey diagrams illustrating the carbon and energy balance of the C.K. system  
326 operating in dual-fuel mode are shown in Figure 3A and 3B, respectively. The input of  
327 husks dwarfs that of diesel in both carbon and heating value terms. A majority of the  
328 carbon entering the system is expelled in the engine exhaust stream (52%), with the



329 remaining output in the form of biochar or settling pond sludge. While losing 1/4 to 1/3 of  
330 carbon input as sludge was typical across the systems assessed, the best-performing system  
331 (Y.L.) lost much less and featured greater biochar recovery and diesel replacement rates.

332 The energy outputs were separated into six components. Actual work output by the  
333 engine in this particular system was estimated at 18.5% of the combined lower heating  
334 value of system inputs, in the middle of the range suggested by Dasappa [21] and  
335 somewhat better than that compiled in Mai Thao *et al.* [9]. Slightly less than half of the  
336 input energy is lost as heat from either the gasifier (12.1%) or in the engine cooling system  
337 and exhaust stream (30.1%), and the remainder is attributed to the chemical energy content  
338 of biochar, sludge, and unburned exhaust gases.

339 System GHG balance and annualized system costs and revenues were calculated on  
340 a per-unit-feedstock basis (Mg husk; Figure 4). We estimate a net GHG mitigation of 0.70  
341 metric tons CO<sub>2</sub>eq per ton of rice husk consumed in the C.K. system. The largest  
342 mitigation came from diesel replacement (0.46 Mg CO<sub>2</sub>eq (Mg husk)<sup>-1</sup>), followed by  
343 avoided emissions from alternate husk disposal methods (0.22 Mg CO<sub>2</sub>eq (Mg husk)<sup>-1</sup>) and  
344 biochar carbon sequestration (0.18 Mg CO<sub>2</sub>eq (Mg husk)<sup>-1</sup>). Total mitigation is reduced  
345 slightly by equipment embodied emissions, system electricity use, and increased engine  
346 emissions of products of incomplete combustion while operating in dual-fuel mode,  
347 totaling 0.16 Mg CO<sub>2</sub>eq (Mg husk)<sup>-1</sup>.

348 For this system a net revenue of USD 75 per metric ton of husk processed was  
349 calculated (Figure 4). Annualized system costs are dominated by financing (USD 33 (Mg  
350 husk)<sup>-1</sup>), with smaller contributions from system electricity consumption (USD 19 (Mg

351 husk)<sup>-1</sup>), labor (USD 5 (Mg husk)<sup>-1</sup>), and maintenance costs (USD 4 (Mg husk)<sup>-1</sup>).  
352 However, these costs are dwarfed by diesel fuel saving of approximately USD 136 (Mg  
353 husk)<sup>-1</sup>. Cash flow analysis at a relatively aggressive discount rate of 15% suggests a 5-  
354 year system NPV of USD 79,600 and a system payback period of less than a year (Table  
355 3).

356           Sensitivity of system performance metrics to some representative model parameters  
357 is shown in Figure 5. There are only a few instances of high sensitivity to an individual  
358 parameter, i.e., where a 1% change in parameter value results in a similar or greater  
359 relative change in the value of the performance metric. Our estimates of gasifier efficiency  
360 are most sensitive to the LHV of rice husk, but the value of this parameter is well-  
361 constrained in our analysis and consistent with values reported elsewhere [9]. System  
362 NPV is highly sensitive to diesel prices, suggesting that system economic viability can be  
363 affected by volatility in that market. However, the C.K. system would still have a positive  
364 5-year NPV at diesel prices as low as USD 0.55 L<sup>-1</sup>, about half the price at the time of the  
365 assessment. In addition, we also observe high sensitivity to system capacity factor,  
366 consistent with previous studies discussed in the Introduction section. System GHG  
367 mitigation is the sum of a set of largely independent factors, and thus shows low sensitivity  
368 to any of the individual parameters tested.

### 369           **3.3. Important factors affecting system performance**

370           Our field observations suggest that good economic and GHG performance is  
371 possible in these gasifier power systems despite their relatively small scale, challenging  
372 feedstock material, and remote siting. However, we observed deviations from steady-state

373 operation for an individual system (e.g., the standard deviation of the ‘Rice husk  
374 consumption’ parameter in Table 2) and differences in conversion product yields across the  
375 four systems studied (e.g., the ‘Char yield’ parameter in Table 1). This results in  
376 significant differences in overall system performance, particularly economic performance,  
377 as indicated by minimally- or non-overlapping confidence intervals in Figure 2. Despite  
378 this inter-system variability, all of the systems studied achieve net GHG mitigation,  
379 without inclusion of biochar indirect effects which could further increase GHG mitigation.  
380 Some companies have explored leveraging this favorable GHG performance for carbon  
381 financing to improve overall system financial viability [17], but associated transaction  
382 costs are only overcome once several dozen systems are aggregated [27].

383         Gatti *et al.* [27] found a similar variability in performance across their survey of  
384 rice husk gasifiers systems in Cambodia. We speculate that these performance differences  
385 might be attributable to site-specific variations in equipment sizing and configuration  
386 (particularly the biomass feeding system), moisture content of the feedstock (affected by  
387 storage method), and the technical skill and intention of the operators (e.g., attention to  
388 equipment maintenance schedules). Additional data collection and analysis is necessary to  
389 positively identify and control these sources of variability.

390         For an individual system, comparing continuous measurements of reactor  
391 temperature profile, system pressure drops, and end loads may facilitate the identification  
392 of specific operating conditions and operator practices that correlated with better or worse  
393 system performance. However, a relatively high degree of instrumentation would be  
394 required to fully assess the system mass and energy balance on a continuous or semi-

395 continuous basis, which would be expensive and difficult to implement in most settings.  
396 When comparing multiple systems, diesel equivalence (the amount of diesel fuel  
397 consumption avoided per unit of feedstock mass consumed, see Table 1) is probably the  
398 best performance indicator to use, as it is relatively straightforward to measure and  
399 interpret.

#### 400 **3.4. Potential contribution to rural electrification**

401 Distributed rice residue energy systems are potentially very attractive for  
402 sustainable development in Cambodia [26]. The country has a low per capita income [25],  
403 Energy Development Index [45], and electrification rate [46] compared to its neighbors.  
404 Across the country, more than 10,000 villages (76% of total) lacked access to the national  
405 electric grid as of 2010 [25]. Per-capita electricity use is the lowest in the region, and  
406 diesel fuel prices the highest [47]. However, waste biomass from rice cultivation is  
407 abundant, as rice covers ~85% of Cambodian cultivated land and total production has more  
408 than quadrupled from 1994 to 2014 [48]. Despite this, rice yields are still relatively low in  
409 many regions, and credit limitations prevent many farmers from investing in fertilizer and  
410 irrigation [49,50]. The combination of high energy prices, wide biomass availability, and  
411 an under-capitalized agricultural sector all contribute to the attractiveness of value-adding  
412 bioenergy production.

413 The government of Cambodia has targeted greatly expanding electricity access over  
414 the next 15 years through a combination of grid expansion and distributed power systems  
415 based on renewables [25,26]. Distributed gasification systems could make an important  
416 contribution here as they are estimated to be more economical than photovoltaic systems or

417 grid expansion when loads are low and distance from the existing grid high [31]. In  
418 addition, such systems could reduce demand for hydropower production on the Mekong  
419 River and its tributaries, which often has negative repercussions for biodiversity and food  
420 security [51,52].

421         The energy needs of our case study mills were met using less than half of the total  
422 husk they generate, so there is potential for expanding gasification system capacity and  
423 distributing the additional electricity generated to local homes through a rural  
424 electrification enterprise (REE) scheme, as described by Gatti *et al.* [27]. Previous  
425 analyses suggest that rice mill base load and residential consumer demand are highly  
426 complementary, with the addition of consumer service in the afternoon and evening greatly  
427 increasing the overall system capacity factor [17,26]. Applying our assessment numbers to  
428 data on the Cambodian rice sector and electricity usage from Pode *et al.* [26] we estimate  
429 that if all rice husk produced in the country were used for electricity generation and the  
430 balance after powering the mill distributed via REEs it would more than double current  
431 national electricity consumption outside the capital city. This is equivalent to providing  
432 electricity access to an additional 3.8 million individuals (25% percent of total population)  
433 at 2011 – 2015 average consumption levels [47], potentially doubling the fraction of the  
434 population with access to high-quality electricity supply as has been suggested previously  
435 [53]. Rice straw is an even more plentiful potential feedstock material [17] that currently  
436 presents disposal challenges in many areas. While this material incurs additional  
437 collection, transport, and size reduction burdens [8], it is attractive for its lower ash content  
438 and higher heating value.

439 Workforce training might become a barrier to this expansion of distributed  
440 gasification systems, which would require on the order of 6,000 new workers. Gatti et al.  
441 estimated that only 48% of mill operators have a complete knowledge of the workings of  
442 the mill, and that training for gasification system operation has been inconsistent [27]. To  
443 the extent that environment and economic performance of such systems is a function of  
444 operator skill (see section 3.3 above) workforce training becomes an essential element of  
445 the sustainable diffusion of the technology.

### 446 **3.5. Sustainability challenges**

447 Despite the positive assessment results reported here, a number of system  
448 sustainability concerns must be addressed before more widespread adoption of this  
449 technology can be recommended — a point recognized by many Cambodian mill owners  
450 themselves [27]. Environmental and health issues related to toxic elements or substances  
451 in the biochar, sludge, wastewater, and the air at the plant were analyzed by Shackley *et al.*  
452 [38], Gatti *et al.* [27], and Shackley [54]. The biochar was found to contain very low  
453 concentrations of toxic elements and organic compounds, and its use as a soil amendment  
454 would probably be possible under U.K. regulations. A switch to dry ash/char removal [27]  
455 would likely reduce both biochar contamination even further, as well as the overall volume  
456 of sludge produced. However, crystallization of the silica in rice husk may lead to  
457 significant formation of nanoparticles of quartz or cristobalite, toxic respiratory hazards  
458 [38,55], though additional research is needed to fully quantify the exposure potential [56].

459 Considerable concentrations of both BTEX (benzene, toluene, ethylbenzene, and  
460 xylene) and PAH (polycyclic aromatic hydrocarbon) compounds were identified in

461 wastewater and settling pond sludge, making the regulated containment and disposal of  
462 these byproducts necessary (leakage or dumping into the local environment is currently  
463 widespread) [54]. Wastewater treatment options do exist (e.g., [18]) and have shown  
464 capability for removing problematic organic pollutants [27], though the associated costs  
465 are high [57] given the large volume of water needing treatment relative to the size and  
466 cost of the gasification system itself. Alternately, a switch to dry tar removal systems and  
467 re-processing of organic filter media could potentially eliminate the problem [27,58],  
468 though real-world experience with such systems is limited.

469       Finally, while agriculture residues are typically considered a waste material, rice  
470 husk is often put to productive uses, for example as fuel in brick kilns or household  
471 cookstoves, or as animal litter [9,25,26]. Studies across other agricultural areas in the  
472 region suggest that anywhere from 1/3 to 3/4 of existing rice husk is put to productive use  
473 [8,9,29], as detailed in Appendix C. The environmental benefits of gasification for power  
474 production would be reduced if diversion of husk to this high-value use caused existing  
475 husk users to switch to other less sustainable biomass feedstocks [34], introducing a  
476 leakage effect analogous to indirect land use change [59]. However, such indirect effects  
477 were considered outside the scope of our assessment as they are fundamentally highly  
478 uncertain [60] and likely to vary at fine spatial scales, making extrapolation and  
479 generalization difficult. Future scale-up of this technology should ideally be accompanied  
480 by a regionally-specific analysis of current husk uses, and potentially by regulations or  
481 subsidies to ensure that any feedstock switching induced in other economic sectors is done  
482 in a sustainable manner.

483           If these remaining sustainability challenges can be addressed, our results suggest a  
484 huge potential for distributed thermochemical conversion systems using various  
485 agricultural residues to provide low-cost, low-carbon power to the agricultural sector and  
486 the community in rural areas.

487

#### 488 **4. CONCLUSIONS**

489           Detailed empirical assessment of several rice husk gasification power systems at  
490 rice mills in rural Cambodia indicates significant performance variability between systems.  
491 Well-performing systems are highly profitable, avoid significant amounts of fossil fuel use,  
492 and mitigate GHG emissions. However, systems with low gas yields and biochar recovery  
493 rates are likely economically marginal. This study expands the limited existing model-  
494 based assessment literature, grounding our understanding of technology performance in  
495 empirical observations with rigorous uncertainty propagation. We also explore potential  
496 drivers of the observed performance variability, and the potential benefits of and ongoing  
497 sustainability barriers to more widespread diffusion of this technology.

498

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514

#### 515 **Appendix A. Carbon and mass balance calculations**

516 While a total of six SME Renewable Energy systems were visited, a variety of  
517 logistical or practical difficulties precluded the collection of a full suite of measurements of  
518 all system inputs and outputs for most sites (Table A1). Our measurements suggest that  
519 the site with the most complete data (Y.L.) was performing significantly better than the  
520 other sites assessed, replacing more diesel fuel consumption per unit of husk consumed  
521 while producing less sludge and more char. In order to get a more representative view of  
522 system performance we decided to approximate a full mass and energy balance for each  
523 additional system for which measurements of diesel fuel consumption, husk consumption,  
524 and char production rates were available (the K.M., Y.P, and C.K. systems), making  
525 assumptions about sludge production or engine efficiency as necessary to complete the  
526 balances. Though mass and energy balances could not be completed for the E.S. and C.M.

527 systems, we combined the measurements of tar output from those sites with that from the  
 528 Y.L. site to estimate a tar production rate and uncertainty bounding for use in the K.M.,  
 529 Y.P, and C.K. systems.

530

531 Table A1. System measurement completion matrix.

| System | Date visited | Fuel use? | Husk input? | Char output? | Tar output? | Power output? | Mass balance? | Notes   |
|--------|--------------|-----------|-------------|--------------|-------------|---------------|---------------|---|
| K.M.   | 2010/6/17    | X         | X           | X            | -           | -             | X             | -   |
| Y.P.   | 2010/6/18    | X         | X           | X            | -           | -             | X             | Translation error likely on tar output; dismissed as an outlier |
| E.S.   | 2010/6/20    | -         | -           | -            | X           | -             | -             | Automatic husk feed precluded mass balance estimation           |
| C.K.   | 2010/6/22    | X         | X           | X            | -           | -             | X             | -   |
| Y.L.   | 2010/6/23    | X         | X           | X            | X           | X             | X             | Only electric-only system visited                               |
| C.M.   | 2010/6/24    | -         | X           | X            | X           | -             | -             | Visit shortened by inclement weather                            |

532

533

534 The carbon balance for an individual system starts from the following steady-state  
 535 equation:

536 1) 
$$\sum_{inputs} \dot{m}_i C_i = \sum_{outputs} \dot{m}_j C_j$$

537 where  $\dot{m}_i$  denotes the mass flow rate of various system inputs  $i$ ,  $C_i$  the associated carbon

538 mass fraction of that input, and the subscript  $j$  denoting the various system outputs.

539 Adapting this to the gasification system (including gas cleanup) and engine gives:

540 2) 
$$\dot{m}_{husk} C_{husk} = \dot{m}_{gas} C_{gas} + \dot{m}_{char} C_{char} + \dot{m}_{sludge} C_{sludge}$$

541 3) 
$$\dot{m}_{gas} C_{gas} + \dot{m}_{diesel,DFM} C_{diesel} = \dot{m}_{exh,DFM} C_{exh,DFM}$$

542 where the subscript  $DFM$  denotes dual-fuel mode and  $exh$  engine exhaust. A generalized

543 energy balance for an engine or similar system can be written as follows, assuming inputs

544 at reference temperature and pressure and exhaust to ambient pressure:

545 4) 
$$\sum_{inputs} \dot{m}_i LHV_i = \dot{W} + \dot{Q} + \sum_{outputs} \dot{m}_j LHV_j$$

546 where  $\dot{W}$  is the rate of work done by the system (power output),  $\dot{Q}$  the sum of all heat

547 losses through cooling systems, exhaust, surface radiation, etc., and  $LHV$  the lower heating

548 value of each substance. Adaptation to the gasifier ( $G$ ) and engine ( $E$ ) systems gives:

549 5) 
$$\dot{m}_{husk} LHV_{husk} = \dot{Q}_G + \dot{m}_{gas} LHV_{gas} + \dot{m}_{char} LHV_{char} + \dot{m}_{sludge} LHV_{sludge}$$

550 6) 
$$\dot{m}_{gas} LHV_{gas} + \dot{m}_{diesel,DFM} LHV_{diesel} = \dot{W}_E + \dot{Q}_E + \dot{m}_{exh,DFM} LHV_{exh,DFM}$$

551 Assuming constant load and engine efficiency under both diesel and dual-fuel

552 operation:

553 7) 
$$\dot{m}_{diesel,DM} LHV_{diesel} = \dot{m}_{gas} LHV_{gas} + \dot{m}_{diesel,DFM} LHV_{diesel}$$

554 where  $DM$  denotes diesel mode. Most mass flows, carbon contents, and LHV values were

555 estimated through the previously-described measurements or from secondary sources (see

556 Table 2). However, five parameters ( $\dot{m}_{gas}$ ,  $\dot{m}_{exh,DFM}$ ,  $\dot{m}_{sludge}$ ,  $\dot{Q}_E$ , and  $\dot{Q}_G$ ) could not be

557 measured or estimated directly, but rather were determined by solving the system of

558 equations 2, 3, 5, 6, and 7.

559

## 560 **Appendix B. System performance details**

### 561 *B.1. System characteristics*

562 Operational characteristics and selected monitoring results for the four systems for  
563 which carbon balances were constructed are presented in Table 1. All four gasifiers were  
564 established at rice mills of medium capacity (1.5 to 3 tons of unprocessed paddy rice per  
565 hour), for which an average specific mechanical energy consumption of 166 (17 SD) MJ  
566 (Mg paddy)<sup>-1</sup> was observed consistent with a previous estimate of hulling systems in India  
567 [30] but about 1.5 and 2 times the reported mill average for neighboring Thailand [20] and  
568 Vietnam [29], respectively. Valuation of rice husk and biochar varied by region; mill  
569 owners in the western part of the country where rice cultivation is widespread (i.e., Y.P.,  
570 C.K., Y.L.) reported that there were no markets for biochar and limited markets for husk,  
571 whereas those in less agriculturally-intense areas to the east (i.e., K.M.) could sell husk to  
572 brick kiln operators as fuel, or either material back to farmers as a soil amendment.

573 Operating these systems in dual-fuel mode consumed 27 – 43% of the husk  
574 byproduct generated during the milling process and reduced engine diesel consumption by  
575 69 – 83%, consistent with the range reported by Dasappa *et al.* [18]. The measured DRR  
576 corresponded to a fuel equivalence of 0.12 – 0.19 L diesel per kg husk. In the Y.L. system  
577 where the diesel engine was coupled to a generator, rice husk is converted to electricity at a  
578 rate of 0.66 kWh (kg husk)<sup>-1</sup>, somewhat higher than the assumption used in previous  
579 assessments [25,26]. Biochar recovery rates varied widely, from 0.1 to 0.4 kg per kg of

580 raw husk feedstock, with the highest rate observed at a system with a noticeably finer char  
581 screen and a much lower estimated settling pond sludge volume (data not shown),  
582 suggesting that char fines are often a primary constituent in the sludge.

583

#### 584 *B.2. Rice husk and biochar properties*

585 A single sample of rice husk and biochar was collected from each system and  
586 shipped to the International Rice Research Institute (Los Baños, Philippines) and  
587 gravimetrically assessed for moisture content to adjust the mass measurements described  
588 above, as detailed in Table B2. Sub-samples of the dried materials were subject to  
589 elemental analysis to determine losses of C, N, P, and K during the gasification process. C  
590 and N were measured using a dry combustion method, and P and K concentrations were  
591 determined using inductively coupled plasma emission spectrometry after nitric/perchloric  
592 acid digestion. Additional sub-samples were pooled and a single measurement made for  
593 higher heating value (HHV) with bomb calorimetry by the Philippine Department of  
594 Science and Technology. The HHV of rice husk was estimated at 13.9 MJ/kg, whereas the  
595 biochar test failed to combust; this parameter in our model was thus primarily informed by  
596 values from the literature (Table 2).

597 Chemical composition data are reported for rice husk and the resulting biochar in  
598 Table B3. For composition, average values and standard deviations across six samples (a  
599 single sample for each system visited) are reported. Estimated retention rates are  
600 calculated based on average composition of rice husk and biochar combined with average  
601 calculated husk yield across the four sites where mass balances could be calculated. The

602 husk feedstock has 9.5% ash content and an alkali index of 0.28 kg/GJ, well past the  
 603 threshold for which slagging could be expected to occur [16]. Raw rice husk and the  
 604 resulting biochar have similar carbon and nitrogen content, but the biochar is enriched in K  
 605 and especially P, with estimated retention rates of 45% and 85%, respectively. Thus, the  
 606 gasification process preserves a significant portion of the husk nutrient content in a solid  
 607 form that can be returned to the field.

608

609 Table B2. Moisture corrections for rice husk and biochar samples from the 6 systems  
 610 visited (detailed in Appendix Table A1).

|                      | K.M.  | Y.P.   | E.S.   | C.K.   | Y.L.   | C.M.   |
|----------------------|-------|--------|--------|--------|--------|--------|
| Rice husk            |       |        |        |        |        |        |
| Sample wet mass (g)  | 50.61 | 81.58  | 57.12  | 79.97  | 71.06  | 55.04  |
| Sample dry mass (g)  | 43.21 | 71.43  | 48.85  | 69.87  | 61.74  | 46.32  |
| Moisture content (%) | 14.6  | 12.4   | 14.5   | 12.6   | 13.1   | 15.8   |
| Biochar              |       |        |        |        |        |        |
| Sample wet mass (g)  | 98.78 | 174.07 | 164.64 | 206.89 | 113.21 | 146.22 |
| Sample dry mass (g)  | 27.4  | 44.53  | 36.27  | 48.01  | 32.7   | 33.51  |
| Moisture content (%) | 72.3  | 74.4   | 78.0   | 76.8   | 71.1   | 77.1   |

611

612

613 Table B3. Composition of rice husk and resulting biochar averaged across samples from  
 614 the six sites, with estimated retention of various elements through the gasification process.

|           | C (%)          | N (%)            | P (%)            | K (%)            |
|-----------|----------------|------------------|------------------|------------------|
| Rice husk | 38.3<br>(1.24) | 0.492<br>(0.06)  | 0.030<br>(0.007) | 0.313<br>(0.090) |
| Biochar   | 34.3<br>(4.25) | 0.453<br>(0.035) | 0.095<br>(0.013) | 0.530<br>(0.072) |

|                    |      |      |      |      |
|--------------------|------|------|------|------|
| Retention fraction | 0.24 | 0.24 | 0.85 | 0.45 |
|--------------------|------|------|------|------|

615

616

617 *B.3. Producer gas and engine exhaust characterization*

618 Samples of producer gas and diesel engine exhaust were collected in triplicate  
619 during both diesel and dual-fuel operation of the Y.L. system using 60 mL plastic syringes  
620 with long metal needles inserted directly into the producer gas flare line or diesel engine  
621 tailpipe, respectively, to determine chemical composition. Multiple samples were taken  
622 and purged just prior to final sample collection to minimize contamination. The collected  
623 samples were injected into 30 mL evacuated scintillation vials with septa and stored in the  
624 dark and when possible under refrigeration prior to analysis. Gas composition was  
625 measured using gas chromatography by Empact Analytical (365 S Main St., Brighton,  
626 Colorado, USA 80601).

627

628 Table B4. Composition of producer gas and engine exhaust in both diesel and dual-fuel  
629 modes. Shown are average values and standard deviations based on samples collected in  
630 triplicate at the Y.L. site.

|                         | H <sub>2</sub> | O <sub>2</sub> /Ar | N <sub>2</sub> | CO            | CO <sub>2</sub> | CH <sub>4</sub> |
|-------------------------|----------------|--------------------|----------------|---------------|-----------------|-----------------|
|                         | % (molar)      |                    |                |               |                 |                 |
| Producer gas            | 11.6<br>(1.2)  | 2.9<br>(1.9)       | 54.6<br>(2.5)  | 18.0<br>(1.8) | 10.0<br>(1.3)   | 2.5<br>(0.3)    |
| Exhaust, dual-fuel mode | 0.2<br>(0.01)  | 8.9<br>(0.3)       | 80.4<br>(0.3)  | 0.5<br>(0.04) | 9.9<br>(0.2)    | 0<br>(0.01)     |

|                      |             |               |               |            |              |             |
|----------------------|-------------|---------------|---------------|------------|--------------|-------------|
| Exhaust, diesel mode | 0<br>(0.04) | 12.7<br>(0.3) | 82.0<br>(0.3) | 0<br>(0.0) | 5.2<br>(0.1) | 0<br>(0.01) |
|----------------------|-------------|---------------|---------------|------------|--------------|-------------|

631

632           Chemical composition of producer gas and engine exhaust from both diesel and  
633 dual-fuel mode operation are shown in Table B4. The measured producer gas composition  
634 suggests a heating value of 4.8 MJ/m<sup>3</sup>, in line with typical values [18,31]. The exhaust gas  
635 composition data hints at increases in products of incomplete combustion under dual-fuel  
636 operation, though more extensive sampling would be necessary to fully quantify impacts  
637 on NO<sub>x</sub>, particulates, and other air pollutants.

638

### 639 **Appendix C. Existing uses of rice husk**

640           Current rice husk utilization rates and surpluses have been evaluated several times  
641 in this region, as summarized in Table C1 below. Junginger et al. looked at neighboring  
642 northeastern Thailand and estimated that 1/2 to 3/4 of total husk produced was put to use in  
643 brick making, noodle factories, or used as animal bedding or soil amendment, at a typical  
644 price of 1.70 – 10.00 USD Mg<sup>-1</sup> [8]. The authors concluded that these high usage rates and  
645 relatively high costs present a challenge to bioenergy system development. Dang et al.  
646 conducted a detailed survey of energy demand and biomass use across more than 100  
647 enterprises in the Mekong Delta region of Vietnam and found that 72% of rice husk  
648 production was consumed in brick kilns, rice driers, and household use, with a typical husk  
649 price of 6.40 USD Mg<sup>-1</sup> [29]. They estimate that supply is ample for biomass-based power  
650 generation at prices lower than that for grid-based power. In contrast, Mai Thao et al.  
651 focused on the same region and found that cooking and brick-making likely consumed



652 only 1/3 of rice husk supply [9]. Since the surplus husk is typically open-burned or  
 653 dumped in canals, they suggest its diversion to bioenergy production as a strategy for  
 654 limiting air pollutant and GHG emissions from disposal. Taken together, all three studies  
 655 suggest that surplus rice husk exists in the region, though the fraction may range anywhere  
 656 from 1/4 to 2/3 of total rice husk production.

657

658 Table C1. Estimates of productive use of rice husk in southeast Asia.

| Study                | Region                   | Typical price<br>(USD Mg <sup>-1</sup> ) | Industry<br>use<br>fraction <sup>1</sup> | Household/<br>farm use<br>fraction <sup>2</sup> | Estimated<br>surplus |
|----------------------|--------------------------|--|--|---|----------------------|
| Junginger et al. [8] | Northeastern<br>Thailand | 1.70 – 10.00                             | 0.5 – 0.75                               |   | 0.25 – 0.5           |
| Deng et al. [29]     | Mekong Delta,<br>Vietnam | 6.40                                     | 0.45                                     | 0.27  | 0.29                 |
| Mai Thao et al. [9]  | Mekong Delta,<br>Vietnam | -  | 0.09                                     | 0.26  | 0.66                 |

659 <sup>1</sup> Includes rice drying or milling, brick-making, noodle factories

660 <sup>2</sup> Includes cooking, alcohol production, animal feed or bedding, soil amendment

661

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847  
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849 **7. FIGURE CAPTIONS**

850 Figure 1. Schematic showing material and energy flows for a SME Renewable Energy rice  
851 husk gasification power system. For a detailed technical scheme, see Shackley et al. 2012  
852 [38].

853

854 **Figure 2Error! Reference source not found.** Performance of individual systems. A)  
855 Estimated GHG mitigation and 5-year net present value for the four systems for which  
856 carbon and energy balances could be constructed. **B)Error! Reference source not found.**  
857 Measured dual-fuel mode diesel replacement rates and estimated gasifier efficiencies.  
858 Error bars show 90% confidence intervals based on model Monte Carlo analysis, or simple  
859 propagation of instrument limit of error in the case of DRR.

860

861 Figure 3. Carbon (A) and energy (B) balances for the C.K. rice mill gasification power  
862 system operating in dual-fuel mode.

863

864 Figure 4. Individual source contributions to total greenhouse gas mitigation (left bar and  
865 axis) and annual costs & revenues (right bar and axis) for the C.K. system.

866

867 Figure 5. Sensitivity of system gasifier efficiency, net present value, and net lifecycle  
868 greenhouse gas abatement to various model parameters, expressed as percent change in  
869 system performance relative to a 1% increase in the model parameter value.

870

871

872 **8. TABLES**

873 Table 1. System characteristics and operational parameters for all four gasification  
874 systems modeled.

| <b>Parameter</b>                  | <b>Units</b>                | <b>K.M.</b> | <b>Y.P.</b>     | <b>C.K.</b> | <b>Y.L.</b> |
|-----------------------------------|-----------------------------|-------------|-----------------|-------------|-------------|
| <b>Facility characteristics</b>   |                             |             |                 |             |             |
| Mill capacity                     | t paddy h <sup>-1</sup>     | 2           | 1.5             | 2           | 3           |
| Husk production <sup>a</sup>      | kg h <sup>-1</sup>          | 0.4         | 0.3             | 0.4         | 0.6         |
| Gasifier system capacity          | kW <sub>e</sub>             | 200         | 200             | 200         | 300         |
| Engine make                       | -                           | Hino V22    | Mitsubishi D90A | Hino V22    | Hino V25    |
| Husk storage                      | -                           | covered     | covered         | open        | covered     |
| Husk valuation?                   | -                           | yes         | no              | no          | yes         |
| Char valuation?                   | -                           | yes         | no              | no          | no          |
| <b>Operation measurements</b>     |                             |             |                 |             |             |
| Diesel mode diesel consumption    | L h <sup>-1</sup>           | 25.8        | 17.6            | 29.4        | 36.8        |
| Dual-fuel mode diesel consumption | L h <sup>-1</sup>           | 8.0         | 4.1             | 5.2         | 6.3         |
| Dual-fuel mode husk consumption   | kg h <sup>-1</sup>          | 150.6       | 104.2           | 170.5       | 164.7       |
| Generator output <sup>b</sup>     | kW <sub>e</sub>             | n.a.        | n.a.            | n.a.        | 131.1       |
| <b>Performance summary</b>        |                             |             |                 |             |             |
| Diesel equivalence                | L (kg husk) <sup>-1</sup>   | 0.12        | 0.13            | 0.14        | 0.19        |
| Electricity yield                 | kWh (kg husk) <sup>-1</sup> | -           | -               | -           | 0.66        |
| Char yield                        | kg (kg husk) <sup>-1</sup>  | 0.10        | 0.28            | 0.22        | 0.40        |
| Fraction of husk used             | %                           | 38          | 35              | 43          | 27          |

875 <sup>a</sup> assuming paddy is 20% husk by mass

876 <sup>b</sup> not available for purely mechanical systems

877

878

879 Table 2. Representative model parameters used for the C.K. system.

| Parameter                           | Units                        | Distribution | Values <sup>a</sup> | Source  |
|-------------------------------------|------------------------------|--------------|---------------------|---|
| <b>Specific to C.K. system</b>      |                              |              |                     |   |
| Dual-fuel mode diesel consumption   | L hr <sup>-1</sup>           | Normal       | 5.18 (0.13)         | Measurement, with error propagation based on instrument limit of error  |
| Rice husk consumption               | kg husk (hr) <sup>-1</sup>   | Normal       | 171 (39)            | Measurement, with variability based on repeated measures (n=3) at Y.L. system   |
| Char yield                          | kg (kg husk) <sup>-1</sup>   | Normal       | 0.22 (0.04)         | Measurement, with variability based on repeated measures (n=3) at Y.L. system   |
| Sludge yield                        | kg (kg husk) <sup>-1</sup>   | Normal       | 0.28 (0.15)         | Approximation based on measurements from E.S., Y.L., and C.M. systems (Table A1)  |
| <b>Same for all systems modeled</b> |                              |              |                     |   |
| Engine efficiency                   | -                            | Normal       | 0.36 (0.01)         | Monte Carlo analysis of Y.L. diesel mode energy balance <sup>b</sup>  |
| Rice husk LHV (wet basis)           | MJ (kg husk) <sup>-1</sup>   | Triangle     | 10.7/10.9/13.3      | Central estimate from measurement, range from [9]   |
| Sludge LHV                          | MJ (kg sludge) <sup>-1</sup> | Triangle     | 6.3/23.3/40.2       | Assuming sludge a 3/4 char, 1/4 aromatic hydrocarbon (naphthalene) mix  |
| Husk opportunity cost               | USD (Mg husk) <sup>-1</sup>  | Triangle     | 3.00/7.50/16.45     | Based on one estimate from SME Renewable Energy and two reported by system owners. Set to zero for western systems (Y.P., C.K., Y.L.) |
| Char price                          | USD (Mg char) <sup>-1</sup>  | Triangle     | 2.18/5.45/11.94     | Re-scaling of husk opportunity cost based on the mean of one system owner estimate and two estimates reported by [27]                 |
| Diesel price <sup>c</sup>           | USD L <sup>-1</sup>          | Normal       | 0.96 (0.26)         | Based on one estimate from SME Renewable Energy and two from [27]   |



|  |                               |         |                    |   |
|--|-------------------------------|---------|--------------------|---|
| Electricity price                                    | USD kWh <sup>-1</sup>         | Normal  | 0.29<br>(0.08)     | Based on one estimate from [26] and two from [27]                 |
| Capital costs  | USD                           | Uniform | 60,000 –<br>80,000 | Range provided by SME Renewable Energy <sup>d</sup>               |
| Annual maintenance costs                             | USD y <sup>-1</sup>           | Uniform | 0 – 3,200          | Range reported in [27]  |
| Labor rate   | USD day <sup>-1</sup>         | Uniform | 2.00 –<br>4.50     | Based on one estimate from SME Renewable Energy and one from [27] |
| Capacity factor                                      | h operation (y) <sup>-1</sup> | Uniform | 2,030 –<br>2,700   | Based on one estimate from [26] and one from [27]                 |
| Husk fraction otherwise burned                       | -                             | Uniform | 0.04 –<br>0.17     | Based on responses from mill owners and [27]                      |
| Husk fraction otherwise paddy dumped                 | -                             | Uniform | 0 – 0.17           | Based on responses from mill owners and [27]                      |
| Biochar stability                                    | Fraction remaining after 100y | Normal  | 0.81<br>(0.12)     | Based on estimates from [11,23,61]                                |
| Open-burning particulate emissions rate <sup>e</sup> | g (kg husk) <sup>-1</sup>     | Normal  | 12.2 (3.5)         | Average of four sources [14,62–64]                                |

880 <sup>a</sup> For uniform distributions, total range. For triangular distributions, minimum, peak, and

881 maximum values. For normal distributions, mean and standard deviation.

882 <sup>b</sup> Assuming same efficiency for all systems.

883 <sup>c</sup> Wholesale price paid by mill owners, not consumer pump price.

884 <sup>d</sup> Per-kW gasifier capital costs reported by [17,25,27,28,31] vary widely by manufacturer

885 and scale, so no attempt is made to supplement the range reported by SME Renewable

886 Energy.

887 <sup>e</sup> Emissions factors for CH<sub>4</sub> and N<sub>2</sub>O compiled from same sources, but not shown.

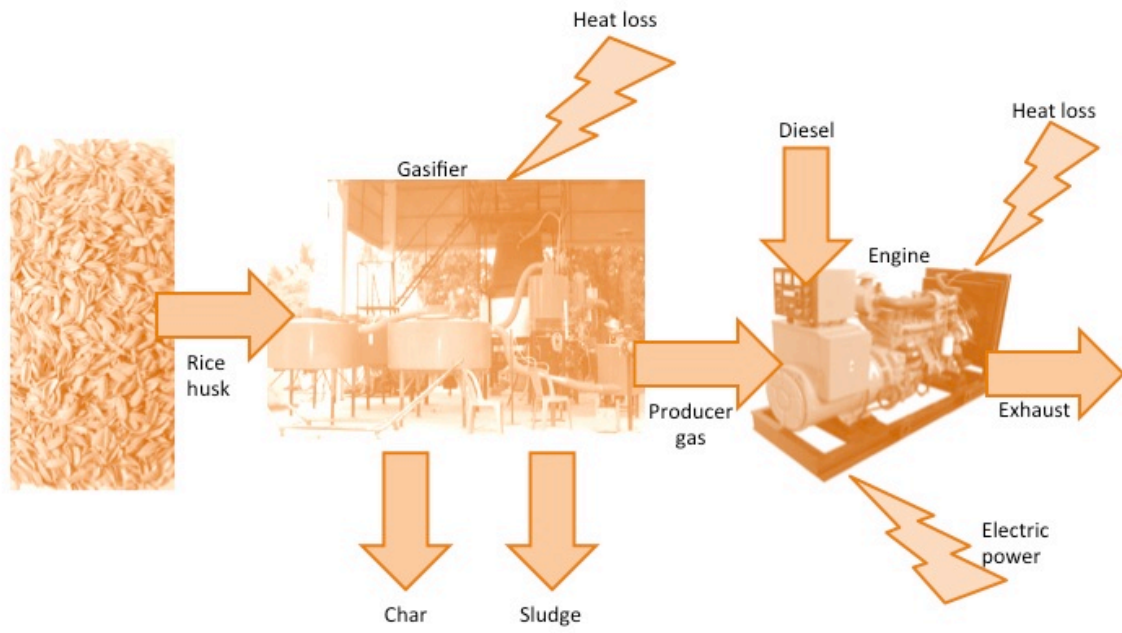
888 Table 3. Summary of financing and annual profitability for the four systems

| <b>Parameter</b>                                       | <b>Units</b>          | <b>Values</b> |             |             |             |
|--|-----------------------|---------------|-------------|-------------|-------------|
| <b><i>Financial details – same for all systems</i></b> |                       |               |             |             |             |
| Total system capital cost                              | USD                   | 70,000        |             |             |             |
| Fraction of total costs financed                       | %                     | 70            |             |             |             |
| Owner down payment                                     | USD                   | 21,000        |             |             |             |
| Loan APR   | %                     | 13            |             |             |             |
| Loan duration  | months                | 60            |             |             |             |
| Yearly loan payment total                              | USD (y) <sup>-1</sup> | 13,400        |             |             |             |
| <b><i>System-specific results</i></b>                  |                       | <b>K.M.</b>   | <b>Y.P.</b> | <b>C.K.</b> | <b>Y.L.</b> |
| Annual net revenue                                     | USD (y) <sup>-1</sup> | 26,500        | 19,100      | 43,400      | 57,800      |
| Annual profit  | USD (y) <sup>-1</sup> | 13,100        | 5,800       | 30,000      | 44,400      |
| 5-year net present value, 15% discount rate            | USD                   | 22,900        | -1,700      | 79,600      | 127,900     |

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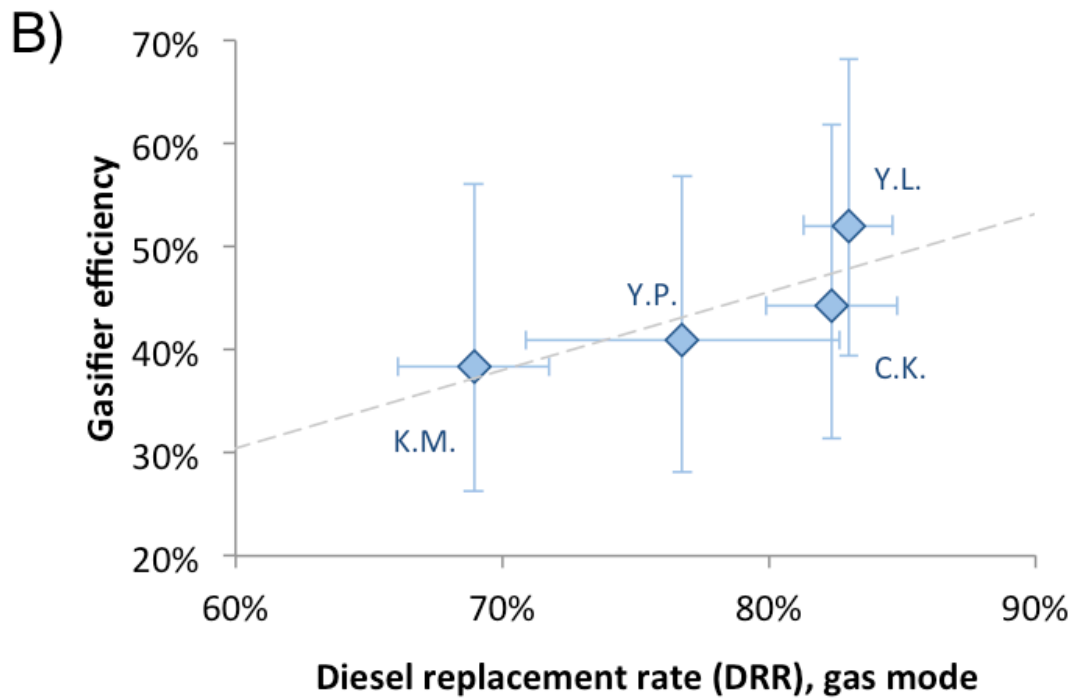
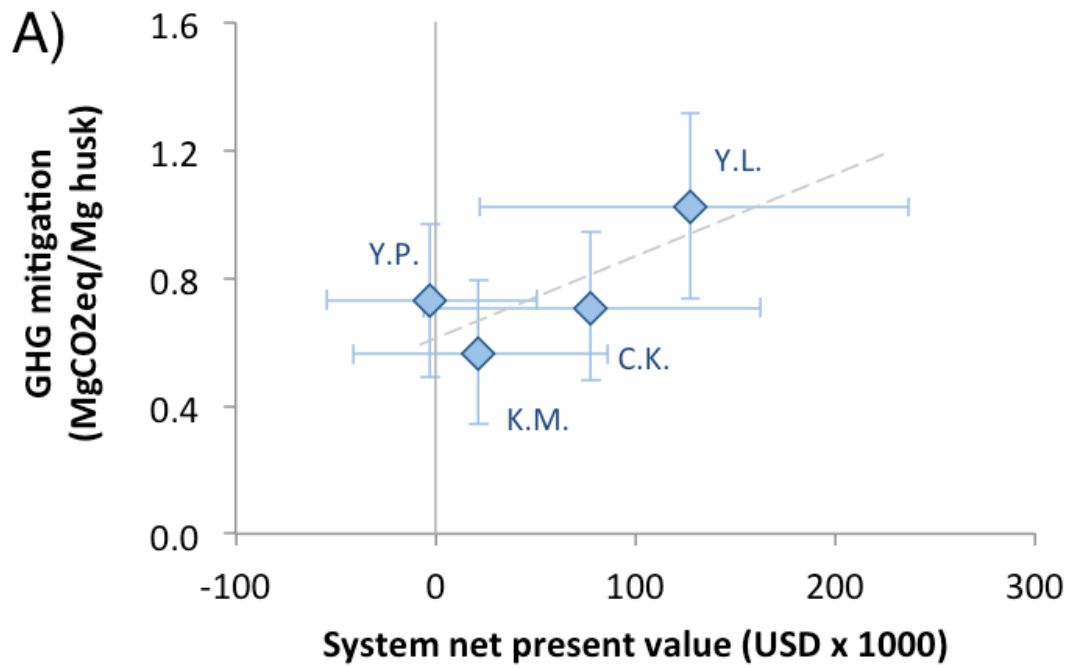
891 9. FIGURES



892

893 Figure 1.

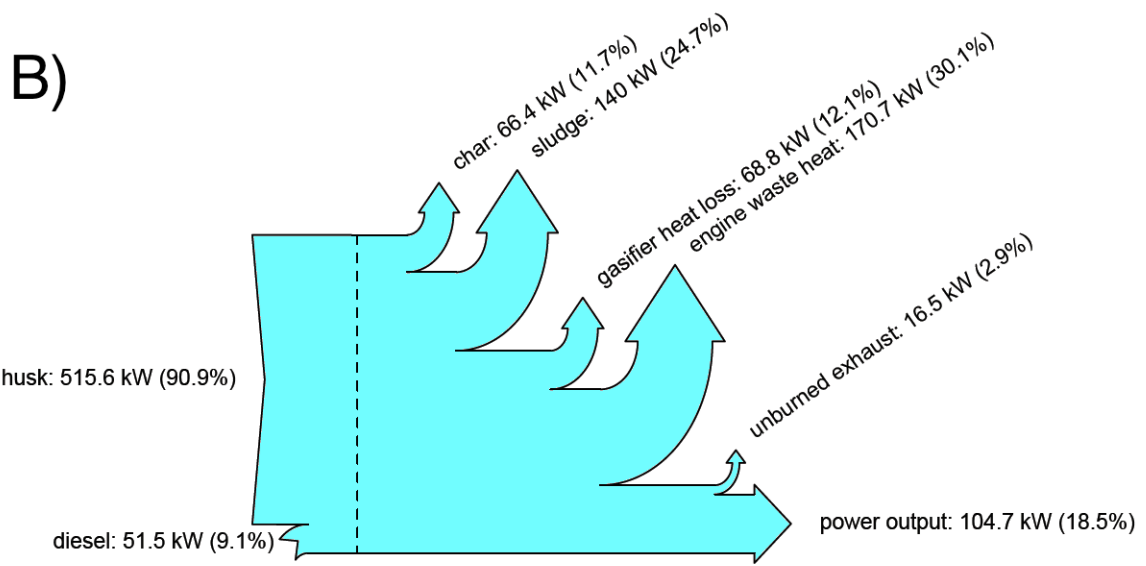
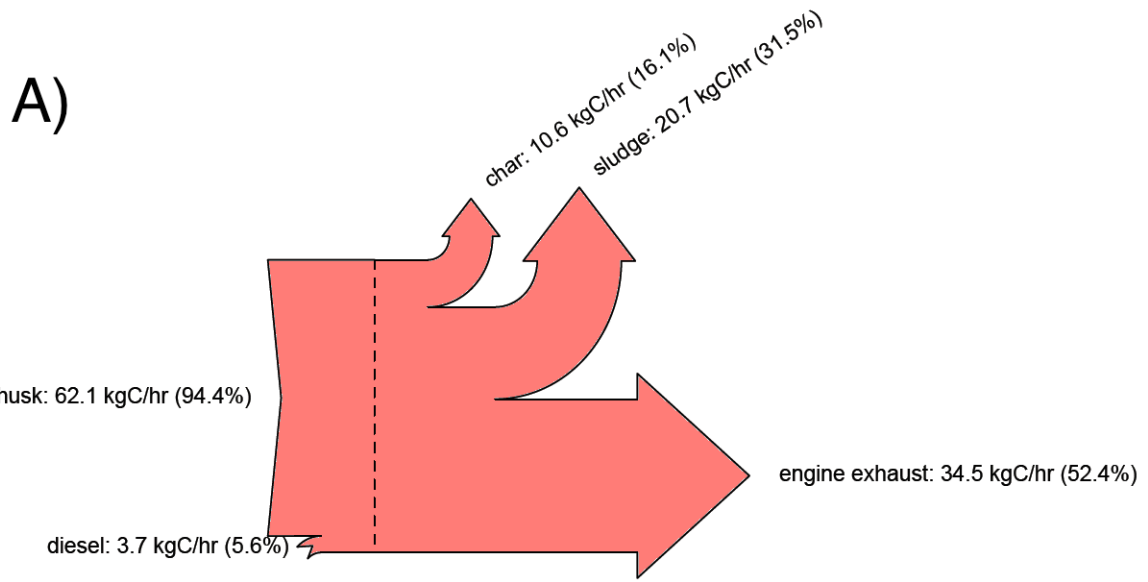
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896 Figure 2.

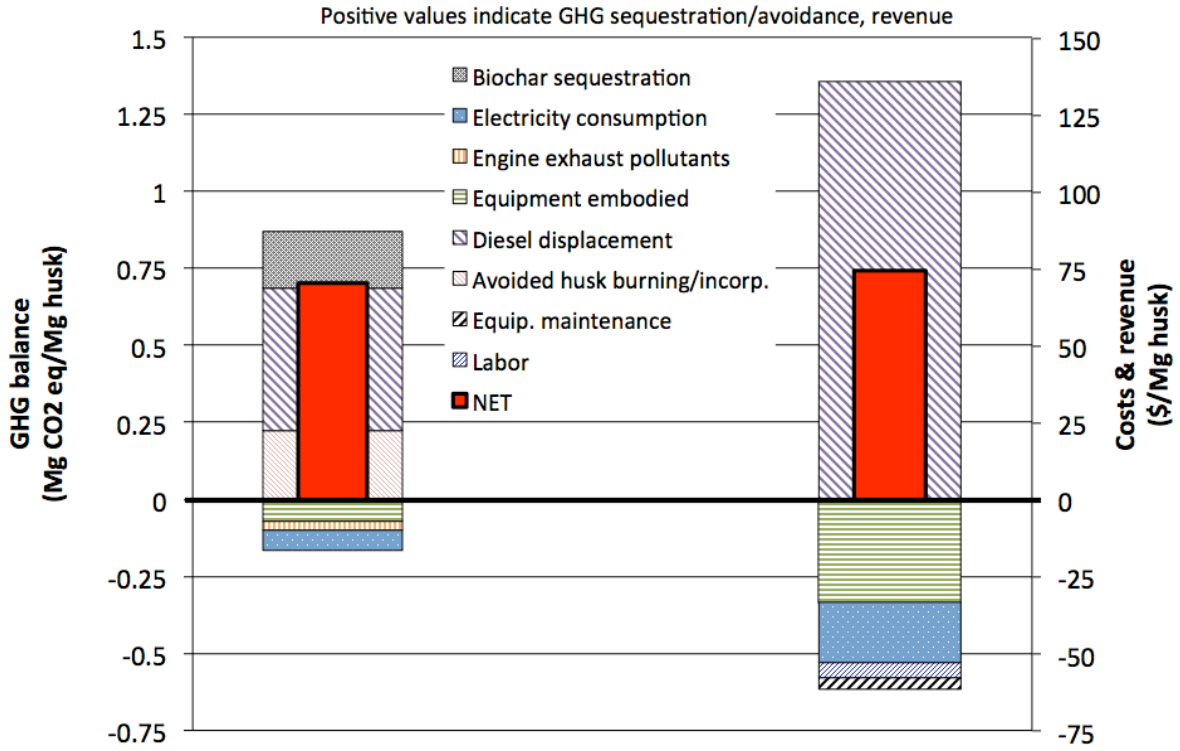
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899 Figure 3.

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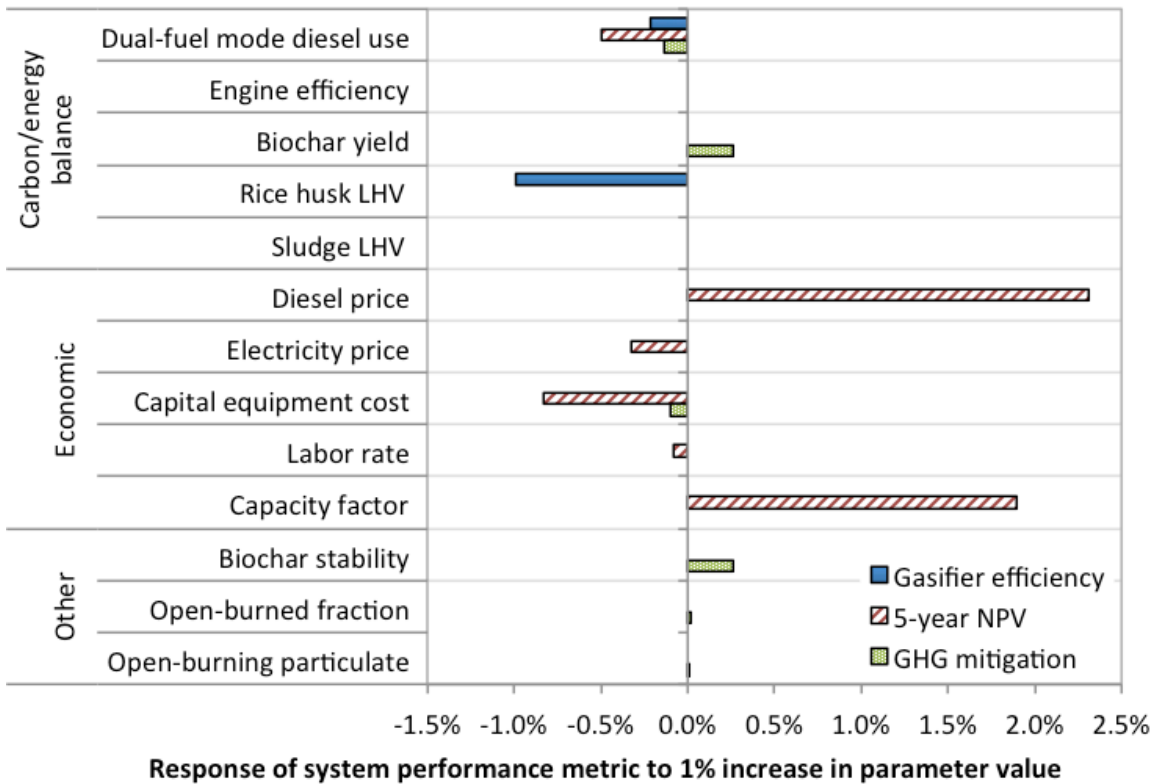


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902 Figure 4.

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906 Figure 5.

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