

1 **Title: Genomic and biological characterization of Newcastle disease viruses isolated from**  
2 **migratory Mallards (*Anas platyrhynchos*)**

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15 **Running Title:** Genetic characterization of Mallard originated NDVs

16 **Abstract:**

17 Given the global evolutionary dynamics of Newcastle disease viruses (NDVs), it is imperative to  
18 continue extensive surveillance, routine monitoring and characterization of isolates originating  
19 from natural reservoirs (waterfowls). In this report, we isolated and characterized two virulent  
20 NDV strains from clinically healthy Mallard (*Anas platyrhynchos*). Both isolates had a genome  
21 of 15,192 nucleotides encoding six genes in an order of 3'-NP-P-M-F-HN-L-5'. The biological  
22 characteristics (mean death time: 49.5-50 hr, EID<sub>50</sub>10<sup>8.5</sup> ml<sup>-1</sup>) and presence of typical cleavage  
23 site in the fusion (F) protein (112R-R-Q-K-R↓F117) confirmed velogenic nature of these  
24 isolates. Phylogenetic analysis classified both isolates as members of genotype VII within class-  
25 II. Furthermore, based upon hypervariable region of F gene (375 nt), isolates showed clustering  
26 within sub-genotype VIIIi. Similarity index and parallel comparison revealed a higher nucleotide  
27 divergence from commonly used vaccine strains; LaSota (21%) and Mukteswar (17%). A  
28 comparative residues analysis with representative strains of different genotypes, including  
29 vaccine strains, revealed a number of substitutions at important structural and functional domains  
30 of the F and hemagglutinin-neuraminidase (HN) proteins. Together, the results highlight  
31 consistent evolution among circulating NDVs and, therefore, warrant extensive surveillance of  
32 the virus in waterfowls to better elucidate epidemiology, evolutionary relationships and their  
33 impacts on commercial and backyard poultry.

34 **Key words:** Newcastle disease viruses, Genotype VII, Mallard, Molecular characterization,  
35 Genome, Pakistan

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## 39 **Introduction**

40 Newcastle disease (ND) is a highly contagious and economically devastating viral disease of  
41 birds. It is caused by a virulent strain of avian paramyxovirus serotype 1 (APMV-1). The virus  
42 belongs to genus *Avulavirus* within family *Paramyxoviridae* [33]. It carries a single stranded,  
43 negative sense, and non-segmented RNA genome of 15.2 kb in length that encodes at least six  
44 structural proteins including nucleocapsid (NP), phosphoprotein (P), matrix (M), fusion (F),  
45 hemagglutinin-neuraminidase (HN) and large polymerase (L) [5]. Based upon genome size and  
46 nucleotide sequence at cleavage site of F protein, all NDV strains are divided into two distinct  
47 classes (Class I and Class II) [7, 15]. Class-I viruses, isolated from waterfowls and live-bird  
48 markets, are comprised of avirulent strains within single serotype. NDV strains within Class-II  
49 are comprised of both virulent and avirulent strains, originated from a range of avian species  
50 including wild birds and poultry and are classified in at least eighteen genotypes (I-XVIII) [17].  
51 On the basis of clinical signs in chicken and inferred amino acid sequence of F protein cleavage  
52 motif, NDV strains are grouped into three main pathotypes: lentogenic, mesogenic and velogenic  
53 [42]. The HN and F proteins are surface glycoproteins and are major determinants of antigenicity  
54 and pathogenicity of the virus. The F protein is synthesized as an inactive precursor (F<sub>0</sub>), and is  
55 cleaved by the host cellular protease into disulfide linked N-terminus F<sub>1</sub> and C-terminus F<sub>2</sub>  
56 subunits. The cleavage of F protein is a prerequisite for a virus (NDV) to enter the host cell and,  
57 therefore, is considered as a major determinant of NDV pathogenicity in chickens [10, 28] .  
58 Virulent strains usually contain either Lysin (K) or Arginine (R) residues in repetition along with  
59 phenylalanine (112R/K-R-Q-R/K-R↓F117) that is cleaved by ubiquitous intracellular proteases  
60 and, therefore, leads to extensive systemic infections. Contrary to this, avirulent strains contain  
61 monobasic residues in the cleavage motif (112G/E-K/R-Q-G/E-R↓L117) which can be cleaved

62 only by extracellular trypsin like proteolytic enzymes and, therefore, results in localized and  
63 asymptomatic infection [3, 10].

64 Waterfowls, a natural reservoir of NDVs, have potential to spread virus in the environment and  
65 their susceptible hosts, resulting in frequent disease outbreaks and subsequent economic losses  
66 [4, 26, 47, 51]. While their movement from North to South across international boundaries,  
67 shedding of virus takes place at their resting places that may serve as a potential contamination  
68 source to multiple avian species including backyard and commercial poultry [29, 43, 51].  
69 Routine surveillance and characterization of waterfowl-carrying pathogens (e.g. NDVs) has an  
70 immense importance to curtain disease spread in those areas which are considered endemic or  
71 naïve from infection. Nevertheless, there is a paucity of data on NDVs in waterfowl (Mallard  
72 ducks) and is limited to partial F gene based genomic analysis [6, 19, 30, 34, 48] which is  
73 insufficient to draw a reliable epidemiological conclusions. The NDV is endemic in Pakistan  
74 and causes enormous economic losses to the poultry industry, thereby necessitating the  
75 investigation of circulating viruses at a higher resolution. Here, we assessed genetic and  
76 biological characteristics of two virulent NDVs isolated from clinically healthy mallard during  
77 avian influenza (AIV) surveillance in migratory birds in Pakistan.

## 78 **Materials and Methods**

### 79 **Sample collection**

80 From June 2015 to September 2016, cloacal and oropharyngeal swabs were collected aseptically  
81 from clinically healthy mallard (*Anas platyrhynchos*, n= 213) at Chashma barrage. The barrage  
82 (32° 25' N, 71° 22' E) is built on the River Indus and serves as one of the major wildlife  
83 sanctuary for aquatic and terrestrial habitat under the provision of Punjab Wildlife Act, 1974.  
84 Precisely, comprised of 0.327 Mha, it is located in the provinces of Punjab and Khyber Pakhtun

85 Khwa (KPK) provinces of Pakistan. Major part of sanctuary lies in the Punjab province (district  
86 Mianwali) while a small proportion lies in Tehsil Lakki Marwat of Dera Ismail Khan district in  
87 KPK province. The barrage is considered as a wetland of international importance that  
88 accommodates a large variety of migratory and indigenous birds each year [1]. All samples were  
89 transferred into a separate cryovials (2.0 ml) containing 1.5 ml brain heart infusion medium with  
90 antimicrobials (Penicillin 2000IU/ml, Fungizone 1.5µg/ml and Gentamicin 200µg/ml) [38]. The  
91 cryovials were placed in chilled cooler with ice packs, transported to diagnostic laboratory and  
92 stored at -80 °C until further processing.

### 93 **Virus isolation, biological titration and pathogenicity assessment**

94 Approximately 1.0 ml of each sample was filtered through 0.22µm syringe filter (EMD Millipore  
95 Millex™, Millipore Billerica MA, USA). A 0.2 ml of filtrate was inoculated in 9 day-old  
96 embryonated chicken eggs and processed as per protocol described previously [37]. The  
97 harvested allantoic fluid was tested for NDV by standard hemagglutination (HA),  
98 hemagglutination inhibition (HI) assays using specific antisera [2, 21] and F-gene based  
99 polymerase chain reaction (PCR) [36]. The mean infectious dose (EID<sub>50</sub> ml<sup>-1</sup>) and pathogenicity  
100 (mean death time, MDT) were assessed separately. The MDT of each isolate was assessed by a  
101 serial ten-fold dilution of infectious allantoic fluid where 0.1 ml of each dilutions (10<sup>-1</sup> to 10<sup>-10</sup>)  
102 was inoculated into allantoic cavity of the embryonated chicken eggs using 10 eggs per dilution  
103 [8, 41].

### 104 **Genome isolation, sequencing and phylogenetic analysis**

105 Viral RNA was extracted from allantoic fluid as per manufacturer's guidelines (QIAamp viral  
106 RNA mini kit, Qiagen®, Germany). The extracted genome (RNA) was subjected for complete  
107 genome sequencing using 22 pairs of primer reported previously [36]. Amplified PCR products

108 were purified as per manufacturer's procedure (Wizard® SV Gel and PCR Clean-Up System,  
109 Promega, Co., Madison, WI, USA) and sequenced with the same primer pairs in both directions  
110 using ABI PRISM Genetic analyzer 3130x1 version (Applied Biosystems, Foster City, CA,  
111 USA).

112 The consensus sequence of both isolates was assembled by Geneios® version 8.1.6 [25].  
113 Representative strains of NDV, reported previously from Asia and other parts of world, were  
114 retrieved from GenBank database. These datasets were aligned through ClustalW methods in  
115 BioEdit® version 5.0.6 [20]. Deduced residue analysis of representative strains of each genotype  
116 was also analyzed using BioEdit. A phylogenetic consensus tree of complete F, HN and  
117 hypervariable region of the F genes was constructed by neighbor-joining method with 1000  
118 bootstrap replicates through MEGA® version 6.0 software [52]. Pairwise Sequence Comparisons  
119 (PASC) was performed against whole virus genomes of genotypes (I-VIII and X) available in  
120 GenBank using MEGA6.0 software. To predict 3D structure of the F and HN protein of studied  
121 isolates, amino acid sequences were submitted to I-TASSER®  
122 (<https://zhanglab.ccmb.med.umich.edu/I-TASSER/>) [59], and further analysis for substitutions  
123 were performed in PyMol® software (<https://www.pymol.org/>).

#### 124 **Accession numbers**

125 The complete genome sequences of both NDV strains were submitted to the GenBank database  
126 and are available under accession numbers; KY967611 (Mallard-I/UVAS/Pak/2016) and  
127 KY967612 (Mallard-II/UVAS/Pak/2016).

#### 128 **Results and discussion**

#### 129 **Identification, genome sequence and evolutionary analysis**

130 We characterized two NDV strains originating from migratory birds, the mallard. Both isolates  
131 showed a titer of  $\log_2^9/50\mu\text{l}$  for HA in harvested allantoic fluid and showed an inhibition activity  
132 in HI assay. The F gene-based targeted amplification further confirmed both isolates as  
133 Newcastle disease viruses [2, 36, 37]. The mean death time (MDT) of both isolates was found to  
134 be 49.5-50 h with  $\pm 4.4$  standard deviation, that is considered typical for velogenic strains of  
135 NDVs [11]. The mean infectious dose ( $\text{EID}_{50} \text{ ml}^{-1}$ ) of both isolates was comparable and found  
136 to be  $10^{8.5} \text{ ml}^{-1}$ . The isolated viruses had a characteristic sequence of F protein cleavage motif  
137 (112R/K-R-Q-R/K-R↓F117). Taken together, these results revealed virulence nature of studied  
138 isolates.

139 Genome length of isolates was found to be 15,192 nucleotides and followed the “rule of six”  
140 with six structural proteins in an order of 3'-NP-P-M-F-HN-L-5', a characteristic feature of  
141 paramyxoviruses [9, 27]. Complete F gene-based phylogenetic analysis showed clustering of  
142 under-study isolates within class-II and genotype VII with viruses reported previously from  
143 goose (AF431744 and DQ227246) (Fig. 1A). The HN gene-based analysis also showed a  
144 clustering pattern similar to F gene where studied isolates clustered with genotype-VII-originated  
145 chicken isolate (HQ697259) (Fig. 1B). Although transmission experiments are required to assess  
146 the potential of isolates, clustering of study isolates with viruses originating from different hosts  
147 showed a potential inter-species transmission. In continuation to the observation made by  
148 previous studies in Pakistan [35, 37, 45, 46, 56], these findings indicate dominant circulation of  
149 genotype VII in the country. Analysis of hypervariable region of F gene (375 nt, between 4597-  
150 4972 nt of original genome) showed grouping of study isolates to sub-genotype VIIi (Fig. 1C),  
151 closely related to isolate reported from chicken in Indonesia (HQ697258-60) [57]. In short, the  
152 phylogenetic analysis suggested a close relatedness of study isolates to NDV strains reported

153 from Indonesia and China. This is imperative owing to the close genetic association with isolates  
154 of Indonesian and Chinese origin from genotype VII (Chicken/BYP/Pakistan/2010) and highly  
155 pathogenic avian influenza strain H5N1 reported previously in Pakistan [18, 55]. Genotype VII is  
156 composed of genetically diverse groups of viruses that have resulted in a number of epidemics in  
157 the Middle East, Asia, Africa and South America [39, 46, 50]. Added to this, the sub-genotype  
158 VIIIi has been isolated from multiple avian species including poultry and pet-birds in Asia,  
159 Western Europe and Middle East [40, 58], and has the potential of panzootic intercontinental  
160 spread [16]. There could be several reasons for intercontinental transmission of avian pathogens  
161 including illegal transport of contaminated and infected materials across the borders. However,  
162 the role of waterfowl in dissemination of these viruses cannot be ignored [36]. Therefore, there is  
163 a need for extensive surveillance of wild birds to elucidate exposure to regions that are  
164 previously considered naïve to NDV infections.

165 Nucleotide and amino acid sequences were compared between under-study isolates and  
166 representative strains of different genotypes. Similarity indices revealed 91% nucleotide identity  
167 between studied isolates and NDV strain ZJ1 (genotype VII). The highest homologies were  
168 observed between investigated isolates and ZJ1 (AF431744) for M and L genes with 93%  
169 nucleotides identity. A lowest nucleotide identity was observed with vaccine strain [LaSota  
170 (79%) and Mukteswar (83%)] (Table 1). Though it requires challenge-protection studies to be  
171 conducted, the genetic gap between field and vaccine isolates raises concerns for vaccine  
172 efficacy, and also highlights the continuous evolutionary nature of NDV across different regions  
173 of the world.

174 **Pathotype characterization based on the F- and HN-protein analysis**

175 The presence of three basic amino acid residues at position 113 (arginine), 115 (lysine) and 116  
176 (arginine) and phenylalanine at position 117 indicate the virulent nature of our characterized  
177 isolates. The corresponding residues at F<sub>2</sub>-protein and the N-terminus of F<sub>1</sub>-protein of these  
178 isolates had cleavage motif (112RRQKR↓F117) similar to what has previously been documented  
179 for virulent NDVs [10, 35]. The six potential glycosylation sites including 85NRT87,  
180 191NNT193, 366NTS368, 447NIS449, 471NNS473 and 541NNT543 were identified in F  
181 protein of these studied isolates [32]. Moreover, 12 cysteine (C) residues at position 27, 76, 199,  
182 338, 347, 362, 370, 394, 399, 401, 424 and 523 were also observed. The glycosylation sites and  
183 cysteine residues are thought to be conserved [54] and have a known role in stability and  
184 maintenance of structure and virulence of F protein [44]. However, we observed variations in  
185 residue composition for glycosylation sites, as well as in number and position of cysteine  
186 residues. The C-residue at position 27 was exclusive to study isolates while other representatives  
187 of APMV-1 had at position 25 (Fig. 2).

188 Considering the importance of structure and function of F protein in the pathobiology of the  
189 NDV, the comparative residue analysis revealed seven neutralizing epitopes in under-study  
190 isolates that included at residues D72, E74, A75, K78, A79, and L343 and a stretch of amino  
191 acid positioned at 157SIAATNEAVHEVTDG171. We found no variation/substitution in  
192 neutralizing epitope of F-protein in study isolate and these sites were found to be conserved as  
193 reported previously [31, 60] (Fig. 2). However, we observed a number of substitutions in residue  
194 sequence at different sites that included one in signal peptide (1-31aa) at position 25 (Y→C), two  
195 in fusion peptide (117-142aa) at position 121 (V→I) and 125 (I→V/A), one in hydrophilic  
196 region a (HRa, 143-185) at 171 (S→A), two in HRb (268-299) at 272 (Y→N) and 288 (N→T),  
197 two in HRc region (471-500) at 494 (R→K) and 482 (T→E/A) and three in trans-membrane

198 domain (501-521) at 506 (A→V), 513 (F→V) and 513 (G→V). Though these substitutions may  
199 have an effect, it requires further functional and biological investigations to fully evaluate the  
200 importance of these substitutions in virus pathogenesis. Besides, a number of substitutions were  
201 also found in non-conserved regions that were exclusive to isolates characterized in this study  
202 (Fig. 2).

203 The deduced amino acid length for HN protein was found to be 571 residues, a feature  
204 characteristic of virulent strains of NDV [31, 53]. The isolates reported here had conserved 13  
205 cysteine residues at position 123, 172, 186, 196, 238, 247, 251, 344, 455, 461, 465, 531 and 542,  
206 11 sialic acid receptor binding sites at positions 174R, 175I, 258E, 299Y, 317Y, 401E, 416R,  
207 498R, 526Y, 516R and 547E, and four residues responsible for neuraminidase activity at  
208 positions 174R, 175I, 416R and 498R as reported previously [12, 32] (Fig. 3). Nevertheless, four  
209 substitutions were noticed in transmembrane region (21 to 49 aa) at position 33 (M→T/I), 34  
210 (I→V), 35 (M→V/I) 36 (I→T) and six in stalk region at position 50 (T→A), 57 (T→V/A), 58  
211 (D→G), 61 (I→T), 77 (S→N) and 81 (I→V) (Fig. 3). The HN protein has seven important  
212 antigenic sites (1, 2, 3, 4, 12, 14 and 23) that are involved in formation of a continuum in three  
213 dimensional conformation of HN molecules [23]. We found three substitutions at position 263  
214 (K→N), 514 (V→I) and 569 (N→D) within these antigenic sites. Substitutions in some of these  
215 regions have been individually evaluated previously and are known to have a role in resulting  
216 escape mutants and subsequent vaccine failure [14, 22, 24]. Four conserved glycosylation sites  
217 were identified at position 119NNS121, 433NKT435, 481NHT483 and 538NKT540, whereas  
218 one glycosylation site at position 508 was absent in both reported isolates (Mallard-  
219 I/UVAS/Pak/2016, Mallard-II/UVAS/Pak/2016). The latter glycosylation site is considered non-  
220 conserved among paramyxoviruses [13].

221 Since, both isolates carried similar residues-pattern (99.9% identity) for F and HN protein, only  
222 one isolate (KY967612) was used in 3D structure prediction, and subsequent comparative  
223 analysis with the vaccine strain, LaSota (AF077761). Significant substitutions in the fusion  
224 peptide, hydrophilic regions and trans-membrane domain were observed for F protein (Fig. 4A).  
225 Two substitutions in HRa region, positioned at 145 (N→K) and 176 (S→A), five in major trans-  
226 membrane domain at 506 (A→V), 509 (V→I), 513 (F→V), 516 (V→I) and 520 (G→I), and two  
227 in cytoplasmic tail at 552 (R→K) and 553(A→M) were observed. A few substitutions were also  
228 noticed in globular head and stalk, trans-membrane domain, heptad repeat regions and antigenic  
229 sites of HN protein (Fig. 4B). It is supposed that mutations in such particular regions could effect  
230 on neuraminidase and fusion activity of protein [23, 49].

### 231 **Conclusion**

232 We determined genetic and biological characteristics of NDV strains isolated from asymptomatic  
233 mallard ducks. Both isolates were found to be velogenic and clustered within class-II, genotype  
234 VII and specifically sub-genotype VIIIi. A number of substitutions were observed at site  
235 considered important for structural and functional integrity of F and HN protein. Continuous  
236 monitoring and surveillance programs, therefore, are suggested to effectively manage disease  
237 along with potential evaluation of presented isolates for infectivity to commercial and backyard  
238 poultry.

### 239 **Acknowledgment**

240 We acknowledge team members of Pak-UK collaborative research project entitled “Combating  
241 avian influenza through systemic analysis of antigenic drift, genetic variation and development  
242 of diagnostic tools and vaccines” (Grant reference: BB/L018853 funded by BBSRC/UK) for  
243 providing study isolate and necessary data.

244 **Author's contribution**

245 **Conceived and designed the work:** MH, TY, JN, MZS

246 **Performed the laboratory procedures and relevant methods:** MH, AR, MM, JN, TY, TS,  
247 MZS

248 **Data analysis:** MH, AR, MM, MZS

249 **Necessary laboratory resources and consumables:** TY, WS, JN, MZS

250 **Draft writing and editing:** MH, AR, MM, MZS

251 **Figure Legends**

252 Fig. 1: Phylogenetic tree based on (A) complete F-gene (B) complete HN-gene (C) hypervariable  
253 region of the F-gene of mallard originated NDVs and previously characterized isolates  
254 representing different genotypes. The phylogenetic tree is constructed by neighbor-joining  
255 method in MEGA ver. 6.0 software. Bootstrap values (1000 bootstraps) are shown next to the  
256 branches. The study isolates are marked with solid black square box.

257 Fig. 2: Amino acid sequence alignment of complete F-gene of study isolates and other NDV  
258 representative strains from different genotypes (I-VIII and X) within Class II including vaccine  
259 strain. Important regions, both in epitope structure and function, are boxed with black.  
260 Substitutions are highlighted with red box. Positions of cysteine residues are indicated with red  
261 dot on top of residue position. Glycosylation sites are marked with green line at its position.

262 Fig. 3: Amino acid sequence alignment of complete HN-gene of study isolates and other NDV  
263 representative strains from different genotypes (I-VIII and X) within Class II including vaccine  
264 strain. Important regions, both in epitope structure and function, are boxed with black.

265 Substitutions are highlighted with red box. Positions of cysteine residues are indicated with red  
266 dot on top of residue position. Glycosylation sites are marked with green line at its position.

267 Fig. 4: Schematic presentation of predicted three-dimensional structure of F (**A**) and HN (**B**)  
268 proteins. The substitutions at particular sites are highlighted with different colors in comparison  
269 to the vaccine strain (LaSota; AY845400)

## 270 **Compliance with ethical standards**

### 271 **Conflict of Interest**

272 All authors declared no conflict of interest with data presented in this manuscript

### 273 **Ethics statement**

274 All animal handling and sample processing procedures were carried out in strict accordance of  
275 institutional guidelines and regulations related to Animal Welfare and Health. The used  
276 procedures were approved by the Ethical Review Committee for use of Laboratory Animals  
277 (ERCULA) of the University of Veterinary and Animal Sciences, Lahore, Pakistan (Permit  
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448

449 Table 1: The percent identity between nucleotide and amino acid sequences of complete genome and individual ORF of each gene of  
 450 Mallard-II/UVAS/Pak/2016 (KY967612) isolate compared with representative NDV strains from other genotypes

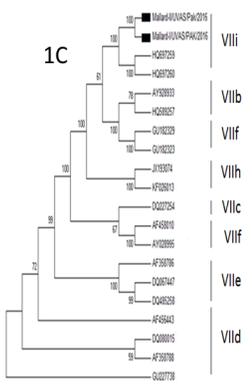
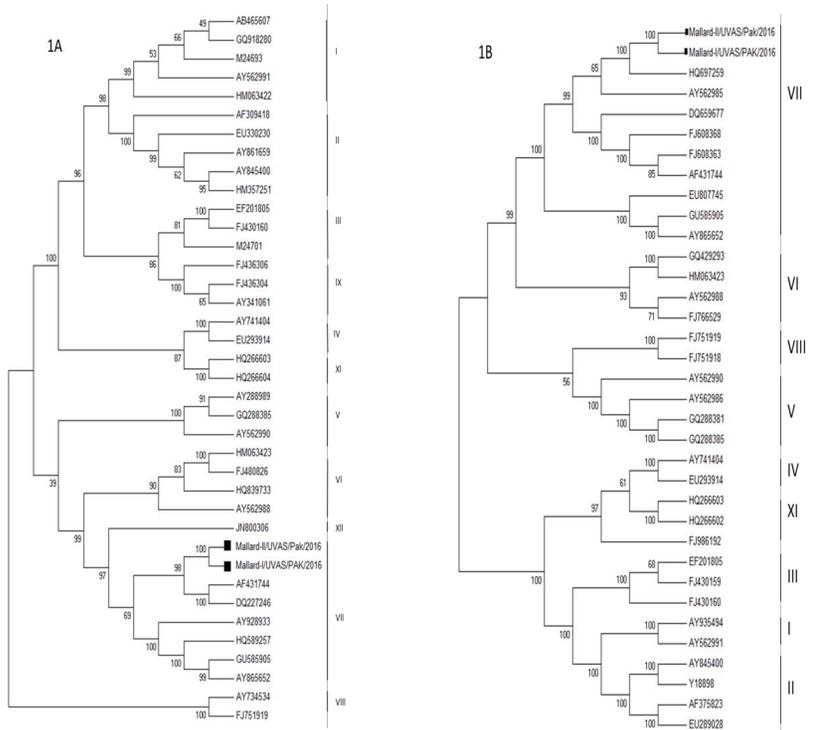
Reference strain	G-I (AY562991)	G-II (AY845400I)	G-III (EF201805)	G-IV (AY741404)	G-V (AY562990)	G-VI (AY562988)	G-VII (AF431744)	G-VIII (FJ751919)	G-IX (GQ288377)
Study isolate	KY967612	KY967612	KY967612	KY967612	KY967612	KY967612	KY967612	KY967612	KY967612
Comparison	nt(%)a.a(%)	nt(%)a.a(%)	nt(%)a.a(%)	nt(%)a.a(%)	nt(%)a.a(%)	nt(%)a.a(%)	nt(%)a.a(%)	nt(%)a.a(%)	nt(%)a.a(%)
NP	85 87	81 84	84 86	88 89	87 89	89 90	92 92	86 88	80 86
P	81 84	80 83	82 84	84 86	86 87	87 89	90 91	83 85	84 82
M	82 84	80 83	84 86	86 83	87 88	91 91	93 93	87 88	80 83
F	85 87	81 84	85 87	88 89	86 88	91 92	92 93	87 89	83 85
HN	83 85	77 81	83 85	86 87	87 88	89 90	92 92	85 86	79 83
L	85 87	83 85	86 88	87 89	89 90	91 92	93 93	88 89	84 86
Complete genome	82 -	79 -	83 -	85 -	86 -	89 -	91 -	85 -	80 -

451 **Note:** Since, both study isolates (KX967611 and KX967612) were found to be 99.9% identical, a comparison between study isolate  
 452 (KX967612) and NDVs representative strain from different genotypes is given.

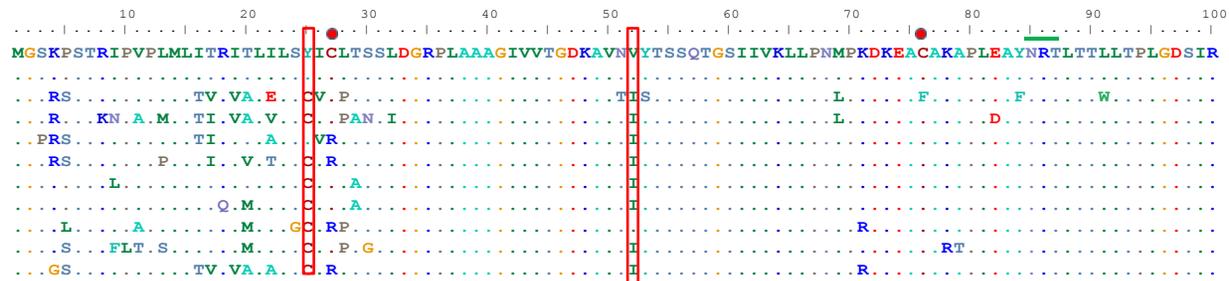
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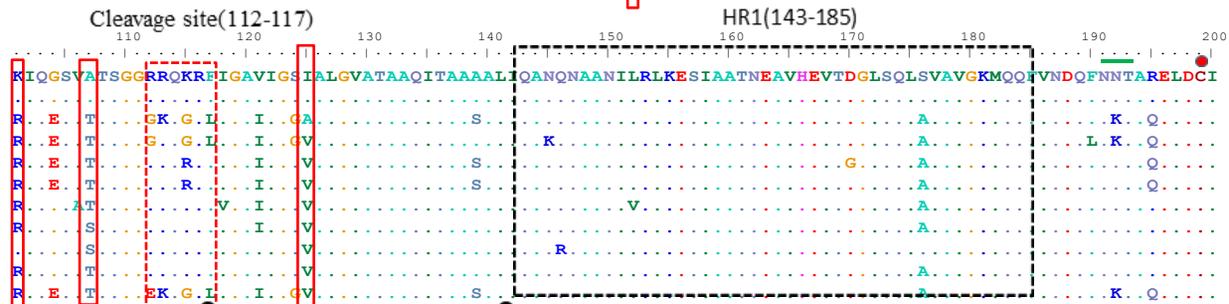
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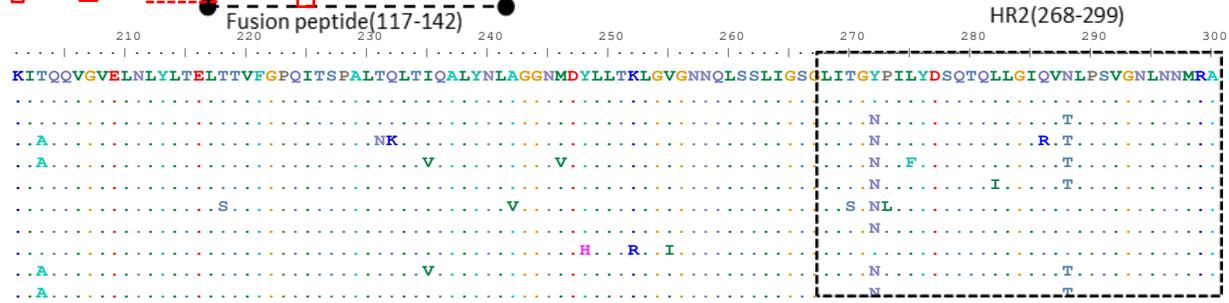
Mallard-I/UVAS/PAK/2016  
 Mallard-II/UVAS/Pak/2016  
 Ulster/67  
 LaSota  
 Mukteswar  
 Herts/33  
 Largo/71  
 Fontana  
 ZJ1  
 QH4  
 mallard/US (OH)



Mallard-I/UVAS/PAK/2016  
 Mallard-II/UVAS/Pak/2016  
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