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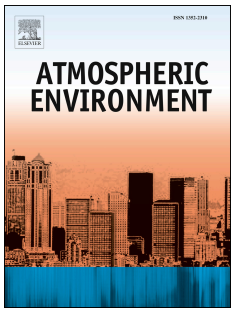

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VALIDATION OF OMI ERYTHEMAL DOSES WITH MULTI-SENSOR GROUND-BASED MEASUREMENTS IN THESSALONIKI, GREECE

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ABSTRACT

The aim of this study is to validate the Ozone Monitoring Instrument (OMI) erythemal dose rates using ground-based measurements in Thessaloniki, Greece. In the Laboratory of Atmospheric Physics of the Aristotle University of Thessaloniki, a Yankee Environmental System UVB-1 radiometer measures the erythemal dose rates every minute, and a Norsk Institutt for Luftforskning (NILU) multi-filter radiometer provides multi-filter based irradiances that were used to derive erythemal dose rates for the period 2005-2014. Both these datasets were independently validated against collocated UV irradiance spectra from a Brewer MkIII spectrophotometer. Cloud detection was performed based on measurements of the global horizontal radiation from a Kipp & Zonen pyranometer and from NILU measurements in the visible range. The satellite versus ground observation validation was performed taking into account the effect of temporal averaging, limitations related to OMI quality control criteria, cloud conditions, the solar zenith angle and atmospheric aerosol loading. Aerosol optical depth was also retrieved using a collocated CIMEL sunphotometer in order to assess its impact on the comparisons. The effect of total ozone columns

satellite versus ground-based differences on the erythemal dose comparisons was also investigated. Since most of the public awareness alerts are based on UV Index (UVI) classifications, an analysis and assessment of OMI capability for retrieving UVIs was also performed.

An overestimation of the OMI erythemal product by 3-6% and 4-8% with respect to ground measurements is observed when examining overpass and noontime estimates respectively. The comparisons revealed a relatively small solar zenith angle dependence, with the OMI data showing a slight dependence on aerosol load, especially at high aerosol optical depth values. A mean underestimation of 2% in OMI total ozone columns under cloud-free conditions was found to lead to an overestimation in OMI erythemal doses of 1 - 5%.While OMI overestimated the erythemal dose rates over the range of cloudiness conditions examined, its UVIs were found to be reliable for the purpose of characterizing the ambient UV radiation impact.

KEYWORDS

Erythemal, CIE, UV index, OMI, Validation, NILU-UV, UVB-1, BREWER, CM21, YES, PAR, CIMEL, Neural Network, Thessaloniki, Greece.

1. INTRODUCTION

Changes in climate and atmospheric composition may lead to unprecedented changes in the Ultraviolet (UV) radiation that reaches the Earth's surface, raising the concern of indirect and direct effects to plants, ecosystems and humans (IPCC AR5, 2014; Tevini, 1993; WMO, 2007; WHO, 2008; Gao, Schmoldt, and Slusser, 2010;among others).Since 1982,when the ozone depletion was firstly observed (e.g. Farman et al., 1985; Bhartia et al., 1985), ground-based UV monitoring sites have been deployed at several locations all over the globe as a response to the raising concern of potential enhanced surface UV levels (Ghetti, Checcucci and Bornman, 2006). Most of these sites nowadays provide high frequency measurements for a variety of surface UV radiation products, such as the erythemal weighted dose rates, UV index, and so on. These data are used to validate model projections and satellite estimates, and to alert public awareness regarding the effects of the exposure to high solar UV radiation levels (Schmalwieser et al., 2002; Gies et al., 2004; Taskanen et al., 2007; Weihs et al., 2008; McKenzie et al., 2001; WHO, 2008; among others).

Up-to-date, space-borne UV product estimates originate from a variety of instruments onboard different platforms (Arola et al., 2002; Taskanen et al., 2006). One of them is the Ozone Monitoring Instrument (OMI) on board the Aura platform that provides estimates of surface erythemal dose rates and daily doses at overpass and noontime along with UV index (UVI) values since its launch in July 2004. Studies on OMI UV products (irradiances, erythemal doses and UV index) have reported differences of up to 30% or even higher under certain conditions overestimation in OMI UV products when compared with corresponding ground-based measurements, while these discrepancies were mainly observed at urban areas with higher aerosol loads (Kazadzis et al., 2009a; Kazadzis et al., 2009b; Ialongo et al., 2010; Antón et al. 2010; Cachorro et al., 2010; A Jebar et al., 2017). In 2009, a study by Arola et al. (2009) introduced a correction on the OMI data for absorbing aerosols which led to smaller discrepancies between OMI and ground-based data, with OMI performance being improved due to the imposed aerosol correction (Mateos et al., 2013; Muyimbwa et al., 2015; Cadet et al., 2017; Bernhard et al., 2015).

In this study, OMI UV erythemal dose rates and UVI values at overpass and local noontimes were thoroughly evaluated in Thessaloniki, Greece (lat: 40.69^{o} N, lon: 22.96^{o} E, alt: 60 m) for the period 2005-2014, using a suite of ground-based instruments located at the Laboratory of Atmospheric Physics (LAP), at the Aristotle University of Thessaloniki, Greece together with retrieval models. The influence of solar zenith angle (SZA), total ozone column (TOC) and aerosol optical depth (AOD) on the satellite UV products was also analysed, while the impact of three basic types of

cloudiness conditions defined as: unstable cloudy (partially covered sun disk), stable cloudy (fully covered sun disk), and unoccluded sun disk, were also investigated.

Consequently, this study provides an innovative, complete and in-depth evaluation of the erythemal products provided by OMI/Aura, where the synergy of a wide suite of ground-based measurements is proven invaluable in order to examine, quantify and eventually unfold the dynamics of all the parameters potentially affecting the satellite retrievals.

The backbone of the paper is as follows. In Section 2 the ground-based instrumentation with the corresponding measurements are provided, while the OMI measurements are presented in the second subsection. In the following section (Section 3), the methodology applied to retrieve erythemal dose rates from irradiance measurements originating from the NILU-UV multi-filter radiometer is analysed, and the results are validated against collocated erythemal dose rate measurements from the UVB-1 radiometer placed also in the site. Then, the evaluation of the OMI erythemal dose rates is presented in Section 4, where the influence of the SZA, ozone, aerosols and cloudiness type is examined. At the end of the same section, the UV index comparisons are presented in order to elaborate on the ability of OMI UV Index estimations to serve as a public alert source, especially during the summer when the impact of the exposure to excess UV doses is more detrimental. The study concludes with its 5th and final section by summarizing the main findings of the validation process.

2. DATASETS AND INSTRUMENTATION

2.1. Ground-based measurements

At the Laboratory of Atmospheric Physics at Aristotle University of Thessaloniki, Greece, (LAP/AUTh: http://lap.physics.auth.gr) three different types of solar radiation sensors provide estimates of erythemal dose rates continuously since 2005 as per the joint International Organisation for Standardisation and Commission Internationale de l' Éclairage standard ISO 17166:1999(E)/CIE S 007-1998 (and which we will abbreviate as ‘CIE’ here). For each instrument, different methods were applied in order to derive the erythemal dose rates, based on the characteristics of the measurements and the technical aspects of each instrument.

A Brewer MkIII spectrophotometer with serial number #086 (B086) measures the UV solar spectrum (286.5 - 363 nm) with a wavelength step of 0.5 nm at LAP since 1993. It is equipped with a double monochromator which is eliminating influences of stray light (scattered photons/signal at one wavelength that is affected by radiation from other wavelengths) in the measurements, thus

112 providing better accuracy especially in the shorter UV wavelengths (Zerefos and Bais, 1997;
113 Karppinen et al., 2014). The uncertainty in the B086 spectra that are used in this study is 5% for
114 wavelengths higher than 305 nm and solar zenith angles (SZA) smaller than 80° (Fountoulakis et
115 al., 2016a), while low recorded signals at lower wavelengths and higher SZAs lead to higher
116 uncertainties in the measurements (Fountoulakis et al., 2016b; Gröbner et al., 2006). In order to
117 obtain solar spectra up to 400 nm, the SHICrivm algorithm (Slaper et al., 1995) has been applied to
118 the original data, while the outcome was weighted with the erythemal dose action spectrum
119 (McKinlay & Diffey, 1987) and integrated over the nominal wavelength range. Although B086
120 provides high accuracy erythemal dose rates, the frequency of the measurements is one every 20-40
121 minutes while a complete scan lasts ~7 minutes. Therefore, even though B086 scans cannot capture
122 high frequency changes in the radiation field, these measurements provide a unique tool to monitor
123 and assess the stability of other instruments that provide measurements with higher frequency
124 (Zempila et al., 2016a).
125 A Yankee Environmental System (YES) UVB-1 radiometer has also been operating since 1991.
126 The UVB-1 is a broadband instrument with a spectral response that simulates the erythemal action
127 spectrum proposed by McKinlay & Diffey (1987) and thus provides erythemal dose measurements
128 on a 1-minute basis. Using libRadtran radiative transfer model simulations (Emde et al., 2015), look
129 up tables are calculated with respect to SZA and the TOC which are used to convert the UVB-1
130 measurements into erythemal irradiance due to differences between the actual and the desired
131 spectral response (Lantz & Disterhoft, 1998; Webb et al., 2006;). The TOC values for these
132 corrections are obtained from collocated measurements from a second Brewer spectrophotometer
133 with serial number 005 (B005) (Meleti et al., 2012, Zerefos et al., 2002, Fragkos et al. 2014,
134 Fragkos et al. 2016). Under clear (cloudless) skies, the erythemal irradiances from B086 and UVB-
135 1 (within one minute from the mean time of the B086 scan) have shown a satisfactory agreement;
136 within 4% (1σ) for SZAs less than 80° for the period 2004 – 2014, that is in compliance with the
137 results presented in Hülsen et al. (2008). This agreement testifies that UVB-1 erythemal dose rates
138 have similar uncertainty level with the ones derived from B086 UV spectra (Garane et al., 2006;
139 Bais et al., 1996; Bais et al., 2001). Periodic intercalibrations of UVB-1 and B086 ensure the long-
140 term stability of the instrument.
141 A Norsk Institutt for Luftforskning (NILU)-UV multi-filter radiometer has been operational since
142 2005 and forms part of the Greek UV network of NILU-UV radiometers (Kazantzidis et al., 2006).
143 The NILU-UV with serial number 04103 provides 1-minute measurements in 5 UV channels with

nominal central wavelength at 302, 312, 320, 340 and 380 nm and a full width at half maximum (FWHM) of 10 nm. The instrument is also equipped with an additional channel that measures the photosynthetically active radiation (PAR). In this study, measurements of the PAR channel were used to determine cloud-free cases based on the cloud detection algorithm proposed by Zempila et al., 2016b. By calibrating the NILU measurements with the B086 coincident irradiances, we estimate that the uncertainties of the NILU irradiance measurements used in this study are less than 5.5% (Zempila et al., 2016a). In Section 3 a description of the methodology used to derive erythemal dose rates from the NILU UV irradiances measurements is provided, while comparisons with UVB-1 measurements are presented in the second part of the section.

Additionally at LAP, a CM21 (Kipp&Zonen) pyranometer provides global horizontal irradiance (GHI) measurements at one-minute intervals along with the corresponding standard deviation. Although the manufacturer states that the CM type of pyranometers have a stability of less than ±0.5%/year, recalibration of the instrument that took place in 2005 revealed a high stability in its sensitivity with changes less than 0.1% during its 12 years of continuous operation (Bais et al., 2013). According to Zempila et al. (2016c) the maximum uncertainty inherent in the CM21 measurements is 6.4% based on error propagation techniques, while its records can provide information on the cloudiness status, distinguishing cases where the sun is unoccluded or fully/partially covered by clouds based on the methodology described by Vasaras et al. (2001). This information is used to further investigate the cloud effect on the satellite against ground-based erythemal dose rate comparisons.

Furthermore, a CE318-N Sun Sky photometer (CIMEL) provides atmospheric observations as part of the NASA aerosol robotic network (AERONET) (Holben et al., 1998; Balis et al., 2010). CIMEL provides AOD at the 340 nm wavelength, which is used to investigate the effect of aerosol variability over the station within the comparisons between the satellite- and ground-based erythemal data.

2.2. Satellite measurements

OMI is a contribution of the Netherlands's Agency for Aerospace Programs (NIVR) in collaboration with the Finnish Meteorological Institute (FMI) to the Earth Observing System (EOS) Aura platform. OMI is a nadir viewing hyperspectral imager capable of measuring the backscatter solar radiation in the UV and visible. With its high spectral resolution (0.45 nm), OMI is able to provide high accuracy estimations of several atmospheric parameters (Levelt et al., 2006). OMI scans in 740

wavelength bands with a swath width of 2600 km that allows OMI to view the globe within one day (14 orbits). With its optimal 13x24 km^2 spatial resolution, OMI footprint centered to Thessaloniki coordinates, is covered by 50% of urban area while the city suburbs, rural area and the sea (with coverage of 25%) occupy the rest half percentage. The OMI surface UV irradiance data include the erythemally-weighted daily doses and the dose rates both at the overpass time (mean Thessaloniki visiting time: 11:45 UT) and at the local solar noon (mean Thessaloniki local noon time: 10:26 UT). For this study, surface UV overpass data for Thessaloniki have been extracted from the NASA Aura Data Validation Centre for the period 2005-2014, http://avdc.gsfc.nasa.gov/. The OMI retrieval algorithm estimates the clear-sky surface irradiance using as inputs to radiative transfer model basic geophysical information, the measured total ozone column and climatological surface albedo (Torres et al., 2007 and references therein). Then, the clear-sky irradiances are adjusted to real scene values by a transmittance factor that is derived from the ratio of the backscattered radiance over the solar irradiances at 360 nm accounting for both clouds and scattering aerosols. Currently the UV algorithm uses a monthly aerosol climatology to also correct for absorbing aerosols (Arola et al., 2009). Regarding the cloud information, the radiative transfer model does not account for broken, multi-layer or mixed phase clouds resulting in more noisy comparisons with ground-based measurements under cloudy conditions. Furthermore, the derivation of the local noon values does not take into account changes in cloudiness, ozone and aerosols between local noon and overpass time, introducing higher uncertainty in the local noon retrievals (Torres et al., 2007). More details regarding the OMI UVB algorithm can be found in the Algorithm Theoretical Basis Document (Krotkov et al., 2002) and examples of its validation may be viewed in Tanskanen et al. (2007), Arola et al. (2009), and, specifically for Thessaloniki, in Kazadzis et al. (2009a; 2009b).

3. The NILU-UV Erythemal product

3.1.Effective UV doses from NILU-UV irradiances using a neural network model

To retrieve the effective UV dose rates from the original NILU irradiance measurements, a feed-forward function-approximating neural network (NN) model (Hornik, Stinchcombe and White, 1989) was coded using MATLAB's object-oriented scripting language in conjunction with its Neural Network Toolbox (Beale, Hagan and Demuth, 2012). As inputs, the NN has NILU irradiance measurements at 302, 312, 320, 340 and 380 nm and various temporal variables (Kolehmainen, Martikainen and Ruuskanen, 2001) including the SZA, the day of the week (DOW) and the day of the year (DOY) and its sinusoidal components. The target (output) variable is the

erythemal UV dose rate resulting from B086 erythemal weighted spectra.

From the available data, 47908 co-located input-output vectors were extracted to train and validate the NN model. As per the NN method described in Zempila et al (2017b), the input and output vectors were connected via 2 network layers – the first containing hidden neurons with hyperbolic tangent (tanh) activation functions and the second containing linear activation functions. The NN architecture was optimized following the method of Taylor et al (2014) where the number of hidden neurons was varied from 5 to 15 and the proportion of training data used in NN learning was varied from 50% to 95% in steps of 5% with a mean squared error (MSE) cost function measuring the difference in NN retrievals and target erythemal dose rates for 100 different NN architectures. The optimal NN has a training proportion of 90% and 13 hidden neurons and used the same NN learning scheme based on Bayesian regularization back-propagation described in Zempila et al (2017b).

In **Error! Reference source not found.**the range of the validity of the trained optimal NN is provided based on the input data range of the subset used to train the model. The addition temporal variables are not listed as they have the standard ranges (see Zempila et al (2017b) for details).

Table 1. Range of validity of the trained optimal NN as determined by its input parameters (upper list) and output parameters (lower list).

Parameter	Min	Max	Mean	St. Dev.
Ir (305) ($W/m^2/nm$)	0	0.017	0.003	0.004
Ir(312) ($W/m^2/nm$)	0	0.229	0.064	0.055
Ir(320) ($W/m^2/nm$)	0	0.333	0.108	0.079
Ir(340) ($W/m^2/nm$)	0	0.678	0.252	0.159
Ir(380) ($W/m^2/nm$)	0	0.871	0.327	0.208
SZA (Degrees)	15.63	81.162	54.373	16.120
Erythemal dose rate (W/m^2)	0	0.234	0.056	0.054

Following the approach of Zempila et al (2017b), the trained and validated NN was then run in unsupervised mode using the full record of available coincident NILU irradiances (2.47 million cases) to extract all vectors closest to local noon and within ± 30 minutes of the satellite overpass time.

To calculate the uncertainty of the neural-network-based estimates of the retrieved erythemal dose rates, the median absolute percentage error (MAPE) was calculated for the differences between the NN estimates and the target values. Based on this statistical measure, we calculate that the

uncertainty of the NN in the dose rates was 3.6%, which is within the level of uncertainty of both NILU and B086 irradiances which are 5.6% and 5% respectively. Taking the higher NILU uncertainty as an upper bound on the radiance uncertainty and combining this in quadrature with the NN uncertainty, we estimate the overall uncertainty on the NILU NN erythemal dose rate retrievals to be 6.5%.

3.2.Comparisons of NILU-UV and UVB-1 erythemal data

To further verify the validity of the NILU NN erythemal retrievals, comparisons with the collocated UVB-1 measurements were performed as an independent source of information. For these comparisons, 1-minute synchronous NILU and UVB-1 data were used, while hourly mean values were calculated in order to eliminate the influence of any possible time shifts and random incidences (e.g. temporarily shading of the input optics) into the datasets. Additionally, hourly data with more than 70% abundance in cloud-free minute measurements, as identified from the NILU PAR algorithm (Zempila et al., 2016b), were characterized as "NILU clear skies".

In Figure 1 the relative percentage differences between OMI and UVB-1 are presented for all and cloud-free sky cases respectively. Although the distribution of the relative percentage differences is normal, we provide the median and the 20-80 percentile values as measures of statistical differences.

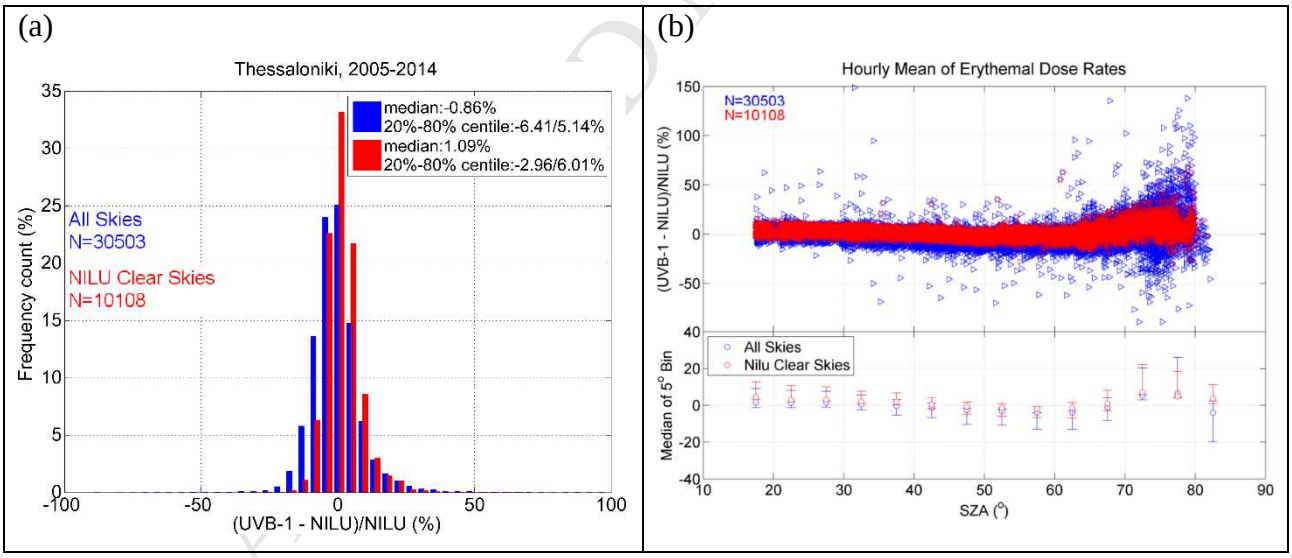


Figure 1 (a) Histogram of the relative percentage differences of hourly mean values for the NILU and UVB-1 erythemal dose rates. Cases were more than 70% of the data were identified as cloud-free based on NILU PAR measurements, are indicated in red. The median and 20/80 percentiles are also presented. (b) The SZA dependence of the relative percentage differences is also depicted, along with the median percentage differences of 5°SZA bins. The error bars in the lower panel refer to the 20/80 percentile values.

As seen in Figure 1 (a), the overall conformity between the two ground-based datasets was quite

good with small median differences, -0.86% and 1.09% for all and cloud-free skies respectively, on a large number of coincidences (30506 for all skies, and 10108 for the NILU-based cloud free instances, as shown in Figure 1). Low values of the 20/80 percentiles were found within the uncertainty of both data sets; this shows that both time series result in comparable values.

To elaborate more on these comparisons, the influence of the SZA was also investigated (Figure 1(b)). It was found that for SZAs less than 70^o under cloud-free conditions the relative percentage differences resulted to a median of 0.45% with corresponding 20/80 percentiles of -3.25%/4.60% respectively. Furthermore, the SZA pattern seen in Figure 1(b) can be attributed to the different geometry of the input optics, differences in angular responses and calibration procedures applied to each dataset. For SZAs>70° we observe larger scatter for both cloudless and clear sky cases as an impact of the non-ideal angular response of both instrument and the increasing signal to noise ratio.

Summarizing, the comparisons of the NILU NN erythemal hourly doses revealed a good agreement with the collocated UVB-1 measurements. Therefore, the NILU NN erythemal data represent a valid dataset, with denoted uncertainty of 6.5% that is comparable with the uncertainty of the UVB-1 measurements.

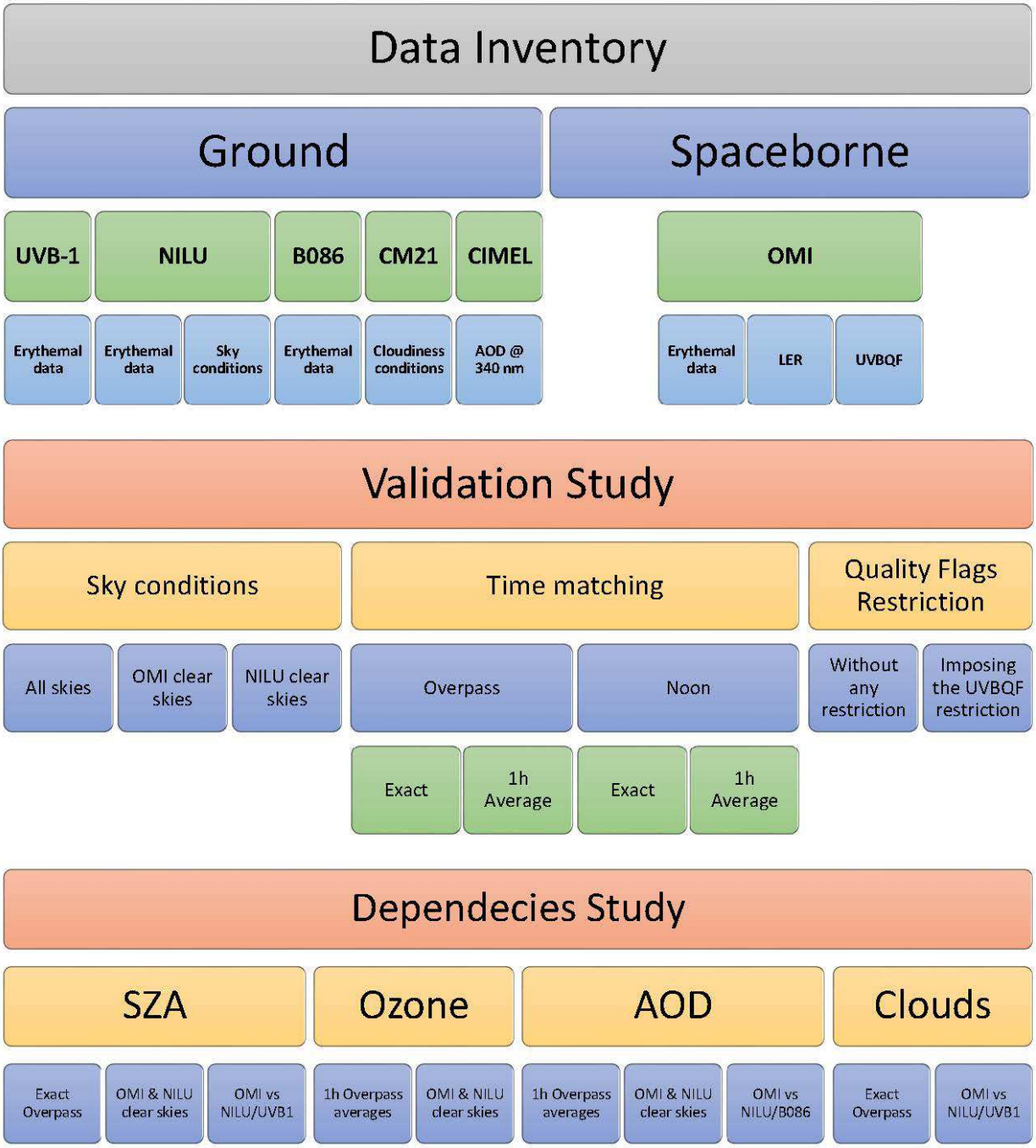
4. Evaluation of OMI /Aura erythemal product

In the following section, comparisons among the OMI and theNILU, UVB-1 and B086 erythemal data were performed. The OMI/Aura NASA algorithm provides erythemal dose rates at overpass time (measurement) as well as at local noon (interpolated). Both cases were investigated, while at the same time identification of cloud-free cases took place in two different ways: i) a cloud screening algorithm based on NILU-PAR measurements was used to define the NILU clear sky cases (NILU clear skies), according to Zempila et al., 2016b, and ii) the limitation of Lambertian equivalent reflectivity (LER) at 360 nm less than 0.1 was applied to satellite estimates in order to derive the satellite cloudless cases (OMI clear skies), according to Antón et al., 2010. Since most of the relevant studies use average values of 1 hour (±30min) around the overpass time of the satellite (e.g. Chubarova et al., 2002), compensating in this way for moving clouds within the OMI pixel, the same statistics were recalculated for the 1 hour averaging as well. For the identification of the NILU cloud-free 1-hour averages, data within this timeframe with more than 70% cloud-free 1-minute measurements were characterized as hourly averages under clear skies (NILU clear skies). For the OMI clear skies, the same criterion, as above, was used (LER<0.1). In Table 3 we present a statistical summary of the comparisons performed for the overpass and local noontime, based on

both temporal matching approaches. For all comparisons, only satellite data within a radius of 50 km were taken into account, while comparisons within ±150% were analysed to avoid including erratic data (e.g. random drop of signal due to obscured ground sensor) into the statistics. The later limitation ended to a 2.5% and 2.7% reduction of the original OMI/NILU and OMI/UVB-1 exact overpass datasets respectively, while the reduction in the 1-hour overpass comparisons was 1.5% for both OMI/NILU and OMI/UVB-1 comparisons. For the local noon comparisons, both OMI/NILU and OMI/UVB-1 are reduced by 2.5% for the exact coincidences when limiting the dataset within the range of ±150%, while this limitation reduced the amount of coincidences of the 1-hour averages around local noon by 1.7% for both the two types of the ground-based instruments. An overview of the backbone of this section is presented in **Table**2 and Flow Chart 1, to facilitate the readers.

Table2. Overview of the measurement characteristics and datasets used in this study for the period 2005-2014 over Thessaloniki, Greece (lat: 40.69^{o} N, lon: 22.96^{o} E, alt: 60 m).

Instrument	Recording Frequency	Original Measurements	Derived Data	Cloud Information
Ground-based				
NILU-UV	1-min	Irradiances at 5 wv[W/m^2/nm] PAR [W/m^2]	Erythemal dose rates [W/m^2] Cloud binary information	YES [using the PAR data]
UVB-1	1-min	Erythemal dose rates [W/m^2]	Erythemal dose rates [W/m^2]	NO
B086	20-40 min	Spectral irradiances [W/m^2/nm]	Erythemal dose rates [W/m^2]	NO
CM21	1-min	Solar radiation [W/m^2]	Cloudiness information	YES
CIMEL	≥15-min	unitless	AOD @ 340 nm	Cloud free cases
Spaceborne				
OMI	Daily	Erythemal dose rates [W/m^2]	Erythemal dose rates [W/m^2]	YES



Flow Chart 1. Overview of the data inventory used in this study, along with a short description of the schematic of the validation and dependency studies performed between the ground- and satellite-based erythemal data.

The comparison statistics are presented in the form of median and 20/80 percentile values since the dataset cannot be represented with a normal distribution because the comparisons showed a persistent tendency towards higher relative percentage differences. In **Table** 3, for all skies at the exact overpass time, the agreement between the NILU and OMI erythemal dose rates is 2.5% while the satellite overestimates by 4.1% at local noon, with a percentile range (80%-20%) of 24% and 11.2% respectively. Limiting the dataset to cloud-free cases based on OMI observations leads to

higher relative percentage differences, 4.0% for the overpass and 5.8% for the local noontime, with the 20/80 percentile difference ranging between 11-12%. For the overpass comparisons, although the median of the relative percentage differences seen under the NILU defined clear days is less than the one referring to OMI clear skies cases, the later one presented lower scatter based on the observed 20/80 percentiles. This was also the case when examining the noon values, where the scatter seems to be marginally larger for the NILU clear results. The larger scatter in the noon comparisons under all sky cases can be attributed to differences in the model/algorithm estimations and differences in the geometry and type of the two sensors, since the OMI noontime values are calculated through time extrapolation using the overpass time and assuming similar atmospheric (cloud) conditions. Although the cloud-free cases result in lower amount of coincidences, the median differences observed in OMI/NILU comparisons imply that the agreement of the OMI erythemal dose rates is equally good under all-sky conditions as it is for the cloud-free cases.

Table 3. Statistical analysis of the differences between erythemal dose rates provided by OMI/Aura and NILU/UVB-1/B086 for the exact overpass and local noontime coincidences. OMI/AURA data are provided within a radius of 50 km from the site location. Differences with absolute values more than 150% were eliminated.

	Overpass			**Local Noon**		
(OMI-NILU)/NILU	**All Skies**	**OMI Clear**	**NILU Clear**	**All Skies**	**OMI Clear**	**NILU Clear**
N counts	2013	691	761	2267	740	915
Median (%)	2.5	4.0	2.1	4.1	5.8	3.2
20/80 percentiles (%)	-8.5/15.5	-1.1/10.0	-4.7/8.4	-7.1/21.1	0.8/11.8	-4.4/9.4
(OMI-UVB1)/UVB1						
N counts	2009	691	761	2269	740	915
Median (%)	3.9	4.0	2.0	5.3	5.0	2.2
20/80 percentiles (%)	-7.7/22.5	-3.3/13.0	-6.1/10.3	-7.4/28.2	-1.9/14.7	-7.5/10
(OMI-B086)/B086						
N counts	43	14	18	162	63	69
Median (%)	4.5	4.7	4.4	4.9	6.3	2.9
20/80 percentiles (%)	-2.5/20.4	3.9/13.4	0.0/9.4	-4.8/16.7	-0.2/12.8	-4.4/10.4

Similarly, the OMI/UVB-1 comparisons revealed an agreement of 3.9% for the all skies cases during the overpass time, which is slightly improved at 2% under the NILU defined clear cases, while it remained unaltered at 4% for the OMI cloud-free limited dataset. The number of coincidences was the same as for the OMI/NILU comparisons for both OMI and NILU cloudless days. When analysing the local noon exact matching, the percentage differences were increased to 5.3%, 5.0% and 2.2% for all, OMI clear and NILU clear skies, and the number of coincidences was also increased to 2269, 740 and 915 respectively. In general, the comparisons between OMI and UVB-1 data at the exact overpass result in similar median differences with the OMI/NILU comparisons, but the denoted percentile ranges are higher than the later ones. This aspect could be an indicator on the uncertainty of the UVB- 1 erythemal dose rates, especially for high SZAs since they are not corrected for the non-ideal angular response of the instrument.

OMI/B086 comparisons result in extremely few collocations for the exact overpass minute (43 for the all skies cases), thus the statistical significance of the results is considered low, although the percentages are not different from those of the OMI/NILU and OMI/UVB-1 differences. The low number of coincidences during the satellite overpass is expected since B086 performs sky scans within steps of 20 up to 40 minutes apart, making the existence of coincident overpass measurements statistically rare. When checking the local noon exact coincidences, the number of paired satellite and B086 data is almost quadrupled, 162, 63 and 69 for all skies, OMI clear skies and NILU clear skies respectively, but still small to deduce a solid conclusion. It is though reassuring that the results are similar to the ones obtained from the other comparisons, and as seen in Table 3, for all cases and all comparisons, the NILU clear skies incidences provide the smallest median value of the relative percentage differences, providing an additional means of verification of the accuracy of the NILU data.

Table 4. Statistical analysis of the differences between erythemal dose rates provided by OMI/Aura and NILU/UVB-1/B086 for the 1-hour average values around the OMI overpass and local noontime (±30 minute). OMI/AURA data are provided within a radius of 50 km from the site location. Differences with absolute values more than 150% were eliminated.

	1h around Overpass			**1h around Local Noon**		
(OMI-NILU)/NILU	**All Skies**	**OMI Clear**	**NILU Clear**	**All Skies**	**OMI Clear**	**NILU Clear**
N counts	2300	756	735	2298	755	774

Median (%)	3.9	4.8	2.8	5.6	6.6	4.6
20/80 percentiles (%)	-5.3/16.5	0.0/11.1	-3/8.6	-4.7/19.5	1.4/12.7	-1.8/10.4
(OMI-UVB1)/UVB1						
N counts	2300	756	735	2299	755	774
Median (%)	5.9	5.1	2.7	6.6	6.0	3.2
20/80 percentiles (%)	-4.9/22.8	-2.1/13.8	-5.1/10.3	-4.8/26.6	-0.9/15.3	-5.0/11.1
(OMI-B086)/B086						
N counts	1751	572	558	1448	485	523
Median (%)	6.9	7.1	4.6	5.2	6.1	3.7
20/80 percentiles (%)	-4.3/25.6	0.0/14.8	-2.9/12.2	-5.3/24.0	0.7/13.4	-1.7/11.1

When examining the 1-hour averaged values in Table 4, in all cases, apart from the B086 dataset (1751 coincidences instead of 1448 for all skies, 572 instead of 485 for the OMI clear skies and 558 instead of 523 for the NILU cloud-free cases at the overpass and local noon respectively), the number of coincidences were similar between the 1 hour data around the overpass and the local noon. The median differences tend to show an enhanced overestimation by OMI for all cases (1h around overpass and local noon), for all and clear sky conditions, when compared to the exact time coincidences. On the other hand, the 20/80 percentile range seemed to be little affected by the temporal averaging of ground-based data, with the comparisons for the 1-hour averaged values to correspond in slightly smaller percentile ranges (~20%), again with the clear skies cases presenting the smaller range (12%-15%). As seen in the table, the 1-hour averaging favoured the number of coincidences under all skies cases in all comparisons with the ground-based instruments. Furthermore, the temporal averaging ended to smaller percentile ranges in most of the cases for both, exact and local noon, time matching. On the other hand, the median differences were slightly higher since OMI sees the pixel area at the exact overpass time, while the characterization of NILU cloud-free cases within 1 hour can result to different outcomes based on the limitation set on the 1-minute cloud-free cases (in our case a 70% abundance of cloud-free minute points was applied). Therefore, careful consideration of all available choices should take place based on the available data and the scope of each study, since exact overpass match and time averaging present their own benefits, while also introduce certain limitations.

To further investigate the accuracy of the OMI erythemal dose rates and since the OMI dataset also provides additional information regarding the Quality Flags on Pixel Level (UVBQF), an analysis on limiting OMI dataset based on the UVBQF was also performed. This 16 digits binary flag elaborates on special characteristics for the quality of the OMI retrieved data and the input information used in the satellite retrieval algorithm. For the UVBQF limitation, the usage of the TOMS 380 nm monthly LER (MLER) climatology (Herman and Celarier, 1997) and the usage of the moving time-window (MTW) climatology (Tanskanen et al. 2003) were permitted for the surface albedo, along with the application of the aerosol correction.

Again the exact overpass and local noontime were examined (**Table** 5), while the potential of any improvement on the comparisons by a time averaging, was also analysed in **Table** 6.

Table 5 Statistical analysis of the differences between erythemal dose rates provided by OMI/Aura and NILU/UVB-1/B086 for the exact overpass and local noontime coincidences when restrictions on the UVBQFlags were imposed. Differences with absolute value more than 150% were eliminated.

UVBQF Limited	**Overpass**			**Local Noon**		
(OMI-NILU)/NILU	**All Skies**	**OMI Clear**	**NILU Clear**	**All Skies**	**OMI Clear**	**NILU Clear**
N counts	947	277	322	1121	310	381
Median (%)	3.0	4.7	4.6	4.9	6.3	3.2
20/80 percentiles (%)	-8.6/17.7	-1.0/11.3	-5.1/8.4	-7.3/24.6	1.3/13.2	-3.8/9.7
(OMI-UVB1)/UVB1						
N counts	948	277	322	1122	310	381
Median (%)	5.1	4.5	2.0	6.8	6.1	2.2
20/80 percentiles (%)	-6.8/23.2	-2.7/13.9	-5.9/9.6	-6.4/32.1	-1.0/16.6	-6.2/9.8
(OMI-B086)/B086						
N counts	20	4	6	96	31	34
Median (%)	5.2	9.0	2.4	4.2	9.3	0.2
20/80 percentiles (%)	-1.2/38.2	1.3/15.1	-0.7/6.0	-7.0/15.4	-0.4/12.9	-9.4/9.8

Once again, the temporal averaging of 1-hour favoured the number of coincidences between

394 satellite- and ground-based data, while the UVBQF limitation results in a significant reduction of
395 the original coincidences. The quality flag that produced almost 36% reduction of the original OMI
396 dataset under all skies was the second in order UVBQF flag, which refers to data retrieved under
397 suspicious inputs into the radiative transfer model. For the limited dataset, the observed median
398 differences under all skies conditions denoted that the OMI local noon data, exact and 1-hour
399 averages, overestimate the NILU erythemal slightly more, by 4.9% and 5.8% respectively, when
400 compared with the values at the exact and 1-hour averages at the satellite overpass time (3.0% and
401 3.9% respectively). The same pattern was observed for the OMI/UVB-1 comparisons, though the
402 overestimation of the OMI data was found to be 5.1% and 6.4% for the exact and 1-hour averages at
403 the overpass time, compared to the respective 6.8% and 7.5% median differences of the noontime.
404 In general, the 20/80 percentile ranges are larger for the noontime values when compared with the
405 ones at the overpass for both NILU/UVB-1 and OMI comparisons (31.9% for the NILU and 38.5%
406 for the UVB-1), while the 1-hour mean values end to smaller range due to the time averaging,
407 27.2% and 34.3% respectively. Again, the 1-hour time averaging resulted in higher overestimation
408 in OMI retrieved erythemal dose rates, while it favoured the number of the paired satellite and
409 ground-based data. The percentile range was smaller for the time averaging case, meaning that the
410 compared data, OMI and NILU/UVB-1, presented less scattering that those that resulted from the
411 exact matching with imposing the UVBQF limitation. Since the UVBQF limitations did not
412 improve the comparison statistics and reduced significantly the number of coincidences on the exact
413 overpass, the application of such limitation should be carefully considered especially in cases where
414 the original dataset is limited in number.
415
416 **Table** 6. Statistical analysis of the differences between erythemal dose rates provided by OMI/Aura
417 and NILU/UVB-1/B086 the 1-hour averaged values around the OMI overpass and local noontime
418 (±30 minute). Differences with absolute value more than 150% were eliminated.

UVBQF Limited	**1h around Overpass**			**1h around Local Noon**		
(OMI-NILU)/NILU	**All Skies**	**OMI Clear**	**NILU Clear**	**All Skies**	**OMI Clear**	**NILU Clear**
N counts	1131	312	315	1151	315	332
Median (%)	3.9	5.5	2.3	5.8	7.1	4.5
20/80 percentiles (%)	-5.3/17	0.0/12.1	-4.2/8.8	-5.4/21.8	1.5/13.6	-2.6/10.9
(OMI-UVB1)/UVB1						

N counts	1132	312	315	1152	315	332
Median (%)	6.4	6.1	1.9	7.5	6.6	3.1
20/80 percentiles (%)	-4.8/24.6	-1.3/14.3	-5.1/9.6	-4.7/29.6	-0.1/16.6	-5.5/11.3
(OMI-B086)/B086						
N counts	857	248	241	708	206	223
Median (%)	7.9	8.3	4.7	5.6	6.8	3.7
20/80 percentiles (%)	-4.8/26.1	0.3/16.8	-3.0/13.1	-6.5/27.0	1.1/13.6	-2.1/11.2

For the cloud-free cases identified by OMI, the median value at the exact overpass was equal to 4.7%, while at the local noon the corresponding value is 6.3%. These numbers were slightly improved to 4.5% and 6.1% for the UVB-1 comparisons. A similar behaviour was detected for the 1-hour average comparisons for the OMI clear cases, where the overestimation of the satellite against NILU retrieved dose rates was 5.5% for the overpass and 7.1% for the local noon. The corresponding values for the UVB-1 data were 6.1% and 6.6%. When imposing the cloudiness characterization, the scatter of the coupled OMI/NILU data at the overpass, which is presented in the 20/80 percentile form, was restrained to -1.0%/11.3% for the OMI clear cases to -5.1%/8.4% for the NILU clear cases for the exact matching. However, the time averaging did not improve much the interquartile range in the OMI/NILU comparisons.

Regarding the OMI/UVB-1 results, again the NILU defined clear cases resulted to lower median differences and scattering for both overpass and local noontime exact time matching. The averaging around the overpass time, similarly to the OMI/NILU comparisons, resulted to slightly higher median values of the relative percentage differences, whereas the interquartile range of the results was not improved drastically.

Although the OMI/B086 comparisons resulted in a smaller sample size, especially during the exact time matching, the comparison results were in agreement with the comparison results using NILU and UVB-1 data.

Based on these findings, we can conclude that imposing the UVBQF limitation to the original OMI dataset did not significantly improve the comparison results. The number of coincident ground- and satellite-based data was significantly reduced in all tested cases under the imposed limitation, while the 1-hour averaging with UVBQF imposed limitations favoured the number of coincidences

between OMI and NILU/UVB-1 data when compared with the exact time matching. Similarly to previous findings, the scattering of the comparisons was generally less when applying the 1-hour time averaging, but the overestimation of OMI was a bit higher for this case.

Summarizing, no significant deviations between the correlation statistics were seen in all tested combinations: exact overpass, exact local noon, 1-hour averages around the exact overpass and local noon, and the implementation of the UVBQF limitation on all previous combinations. Although cloud-free cases resulted in better correlation statistics, the all sky cases also presented low median differences as well, while the scattering of the comparisons was higher under all cloudiness conditions, as expected. In general, an overestimation of the OMI erythemal product by 3-6% on average is expected when examining the overpass comparisons. For the noontime estimations, OMI seems to overestimate by 4-8%. Since the overpass time and the local noontime do not match (the mean visiting time over Thessaloniki is 11:45 UT, while the local noon is at 10:26±10 UT), the noontime values are in practice projections of the overpass time values through model simulations based on the overpass atmospheric constituent retrievals, which can introduce higher uncertainty levels in the OMI retrievals.

To visualize the findings of the discussion above, normalized Taylor diagrams (Taylor, 2001) of the 1-hour averages for each ground-based time series were produced for the overpass and noontime for all skies, NILU clear skies, and OMI clear skies without taking into account the UVBQF limitation that would lead to lower number of coincidences. OMI time series statistics were used as the reference dataset (black reference dot/line on Figure 2) for the shake of comparability between the different ground-based instruments.

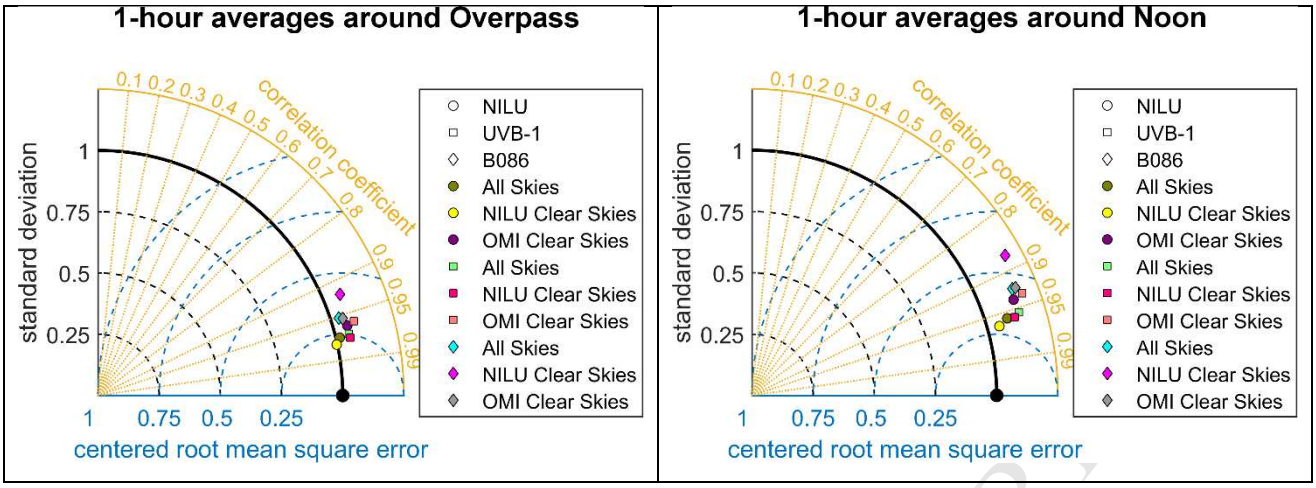


Figure 2 Normalized Taylor diagrams between OMI and NILU, UVB-1 and B086 erythemal dose rates for the 1-hour time matching choice around overpass (left panel) and around noontime (right panel). OMI erythemal data were used as the reference dataset (black dot on the diagrams), while the statistics of NILU data are presented as circles, UVB-1 data as squares and B086 data as diamonds. The colours represent the cloudiness constriction imposed on each ground-based dataset. Both standard deviations and centered root mean square errors were normalized to the standard deviation of the reference dataset.

For the overpass comparisons in Figure 2 (left panel), both NILU and UVB-1 data under all cloudiness conditions, showed high correlation coefficients (>0.95) when compared with the corresponding OMI dataset, while the standard deviations for most of the ground-based data were found to be slightly higher than that of the OMI dataset, apart for the NILU data under the NILU clear sky restriction. The centered root mean square error (CRMSE) is a means of measuring the difference between the two compared datasets neglecting any observed bias between the two of them. For the overpass comparisons, the normalized CRMSE ranged between 0.21 (for the OMI/NILU comparison under NILU defined cloud free cases) and 0.41 (for the OMI/B086 comparison again under NILU defined cloud free cases).

For the noon comparisons provided also in Figure 2 (right panel), again the observed correlation coefficients (R) ranged between 0.94 and 0.96 apart for the comparisons performed for the OMI/B086 datasets (R=0.93 for all skies and OMI clear skies, R=0.88 for NILU clear skies). In all cases the normalized standard deviation was higher than the corresponding in the overpass comparisons, denoting that for the noontime comparisons the ground-based data revealed higher variability that the one corresponding to OMI noon values. Similarly, the CRMSE values were higher than the ones for the overpass comparisons (0.21-0.41) further supporting the findings in **Table 3**.

Based on these summary comments, we can conclude that each comparison scheme can be used to serve specific purposes based on the scope of each study with equally well representation of the

493 statistical results. Overpass coincidences were proved to present better statistical results, since OMI
494 measurements are taken at that particular time, while 1-hour averages of ground-based data around
495 overpass time provided larger number of paired satellite- and ground-based erythemal data. Cloud-
496 free cases, defined by the NILU PAR algorithm, provide a stricter limitation than OMI defined clear
497 cases where the upper limit of LER<0.1 might result in clouds present within the OMI pixel. Users
498 should also take into account the size of the final dataset, since as already discussed, specific
499 limitations (cloudless skies, UVBQF limitation, limited BREWER datasets) can significantly
500 reduce the amount of the paired satellite and ground data.
501
502 Since the differences between satellite and ground data are influenced by a set of parameters, like
503 SZA, cloud optical thickness, ozone and AOD, in the following sections a thorough analysis is
504 performed hoping to locate the main source of the observed discrepancies. For this evaluation, both
505 exact and 1-hour averages around the overpass time were utilized, while the UVBQF limitation was
506 not applied to avoid ending with a low number of coincidences.
507
508 **4.1.The SZA dependence**

509 For aerosol and cloud-free scenes and non-snow/ice surfaces the accuracy of the OMI erythemal
510 dose rates depends mainly on the accuracy of the ozone column (OMI Algorithm Theoretical Basis
511 Document III). The total root mean square (RMS) error is 3 % for a SZA of 50^o, while this RMS
512 error increases for increasing SZA and for shorter UV-B wavelengths. Thus, OMI erythemal
513 retrieved values are expected to present a SZA dependence, with increasing uncertainties in higher
514 SZAs.
515 In order to investigate the SZA dependence of the OMI dataset, the exact overpass time match was
516 used to avoid discrepancies due to different SZA ranges within an hour between winter and summer
517 periods. In Figure 3, the relative percentage differences between OMI and NILU (left panel), and
518 OMI and UVB-1 (right panel) were plotted against the SZA at the time of the satellite overpass
519 (upper panels). Median differences of 5^o SZA bins were also investigated (lower panels), while the
520 20/80 percentile range is also given in the form of error bars.
521

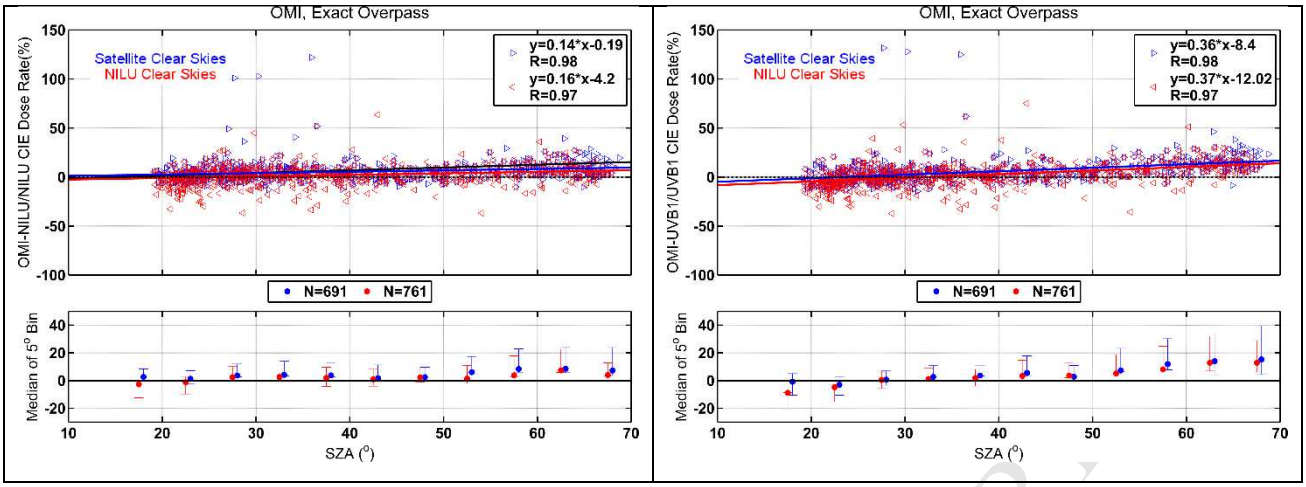


Figure 3 SZA dependence of the relative percentage differences between the NILU and OMI erythemal dose rates (left panel) and the UVB-1 and OMI (right panel) at the exact OMI overpass time under cloud-free instances. Cases where the OMI LER values are less than 0.1 are characterized as OMI Clear Skies and are depicted in blue, while data identified as cloud-free based on NILU PAR measurements, are indicated as NILU Clear Skies and are depicted in red. The linear regression equations are also displayed while the correlation coefficient ® between the original datasets OMI/NILU and OMI/UVB-1 is also provided (upper panels). Median relative percentage differences of 5° SZA bins are presented along with the 20/80 percentile values depicted as error bars (lower panels).

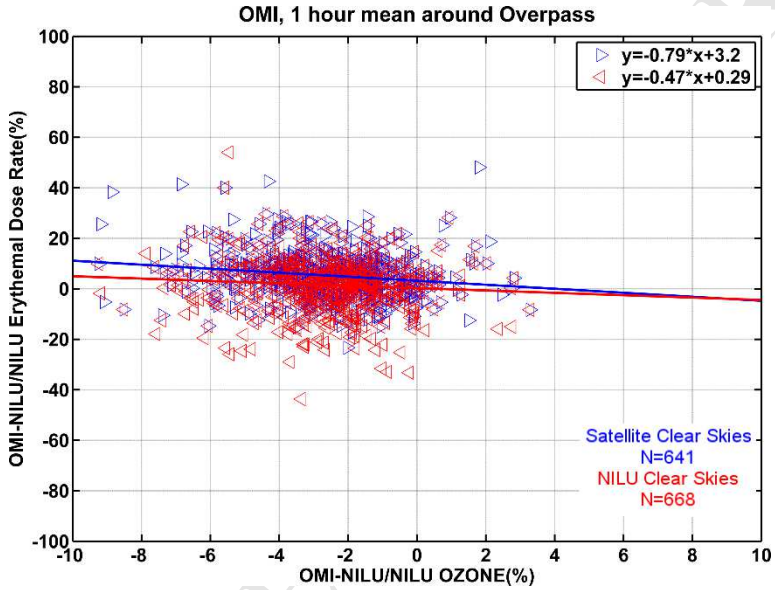
Based on Figure 3, left panel, there is no significant evidence of a SZA dependence between the OMI and NILU estimates. When moving to higher SZA values, above 55°, the 20/80 percentile range becomes wider even for the cloud-free data points, implying that at the higher observed solar elevations, the two datasets present higher scattering that possibly led to an ascending small trend in the slopes of the regression lines. On the right panel of the same figure, the exact same comparison plots are given for the OMI and UVB-1 retrievals. For this later comparison, as seen in the lower panel, there is a stronger SZA dependence for SZAs above 50°, with higher slopes, almost double the slopes seen in the OMI/NILU comparisons, and lower y intersect values. This aspect could be probably attributed to the UVB-1 dataset that was not corrected for its non-ideal angular response. Still, all datasets present high correlation coefficients (>0.97) in all cases, with the stronger correlation observed under the satellite clear skies restrictions.

Generally, as seen in the lower panels of Figure 3, OMI erythemal values presented a relatively small SZA dependence that resulted in higher overestimation of the product for SZAs above 60° for the greater area of Thessaloniki, Greece; therefore, OMI data should be treated with caution for SZAs exceeding 60°.

4.2.The Ozone dependence

The validation study of the OMI total ozone columns (TOC) by Zempila et al. (2017a), proved that

547 on average OMI underestimates the TOC levels by ~2%. Since the OMI algorithm utilizes the TOC
548 information to derive the erythemal dose rates, the differences seen in TOC are expected to
549 influence the relative percentage differences of the retrieved values between the satellite- and
550 ground-based instruments. To explore the influence of the TOC, OMI TOMS TOC estimations
551 were compared against the NILU TOC values retrieved by a NN developed for this specific purpose
552 (Zempila et al., 2017a). In Figure 4, the relative percentage differences seen in erythemal dose rates
553 between OMI and NILU are plotted against the relative percentage differences in TOC between
554 OMI and NILU under cloud-free cases for the 1-hour averages around the OMI overpass time.
555



556
557 **Figure 4 Erythemal relative percentage differences between OMI and NILU data against TOC relative percentage**
558 **differences again between OMI and NILU. The linear least square fits are also presented. The comparisons are performed**
559 **only for cloud-free cases for the 1-hour averages around the OMI overpass time using the OMI cloud restriction (LER<0.1)**
560 **and the NILU PAR based cloud restriction (Cloud-free 1-minute data>70%).**

561 For the comparisons between OMI and NILU presented in Figure 4, most of the differences seen in
562 the TOC values lying within ±3% (x-axis range). As expected, when OMI TOMS TOC values are
563 less than the corresponding retrieved by NILU measurements, OMI is higher than the NILU derived
564 ones. This fact results to descending slopes for both OMI and NILU defined cloud-free skies that
565 were proved statistically significant via F-test (stronger significance was seen in the satellite clear
566 skies cases where the p value was of the order of 10^{-5}) performed on the datasets. In general, a mean
567 underestimation of 2% in TOC by OMI under cloud-free conditions, as stated by Zempila et al.
568 (2017a), can lead to an average overestimation in the OMI data of 1% to 5%, for the NILU clear
569 skies and OMI clear skies respectively. Consequently, users are suggested to bear in mind that a

part up to 5% of the overestimation in OMI data could be introduced from deviations seen in OMI TOC retrieved values under clear skies.

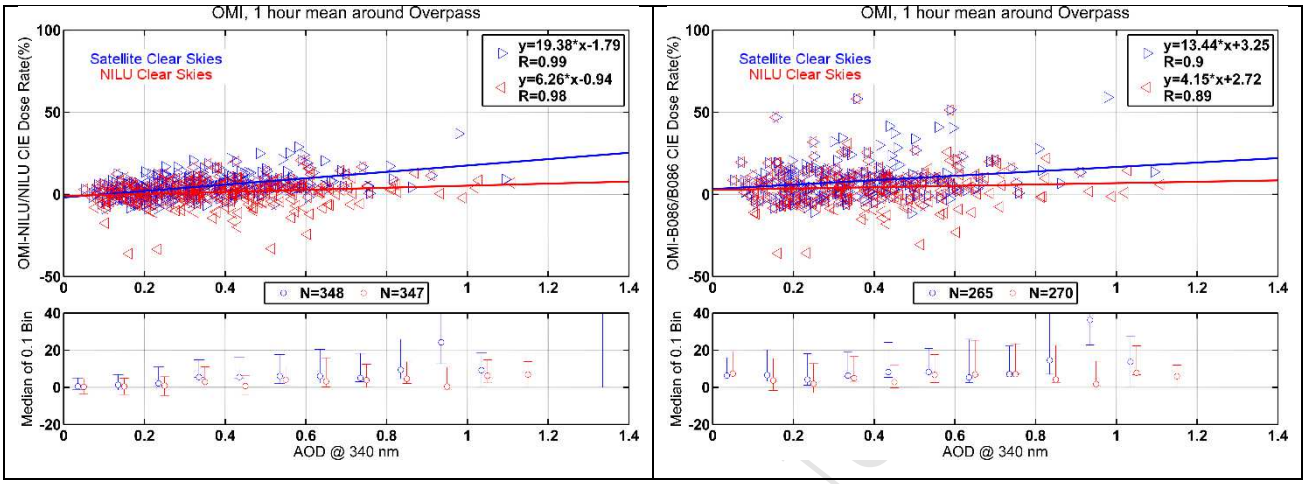
4.3.The Aerosol dependence

Due to the imperfect knowledge of the optical properties of the aerosols, non-absorbing and absorbing ones, and pollutants in the boundary layer, the retrieval of the OMI UV products is limited and the comparisons with ground-based data are expected to be influenced by deviations of AOD from the values that OMI uses to derive its UV products (Arola et al., 2009).

To investigate the effect of aerosols in the observed relative differences between satellite- and ground-based erythemal data, aerosol optical depths at 340 nm from the CIMEL sunphotometer that operates in Thessaloniki, were also used (Balis et al., 2010). According to Kazadzis et al. (2007), aerosol optical depths in UV experience a seasonal variation in Thessaloniki, with higher AOD values at 340 nm retrieved in August and lower values in December. Furthermore, in Thessaloniki, the aerosols are a contribution of marine, mineral dust and anthropogenic sources that make the aerosol scene more complex. In the same study, back trajectories proved that additionally to local aerosol sources, transport of aerosols takes place, especially during the summertime. It was proven that air masses coming from the North and North Eastern directions result in high aerosol loads over Thessaloniki, while minimum AOD is associated with air masses originating from the Atlantic Ocean. These findings clearly denote that in Thessaloniki the aerosol optical depths are a result of a rather complex mixture that makes the AOD retrieval by space-born instruments a non-trivial task (Koukouli et al., 2006).

CIMEL provides measurements of aerosol optical depths since 2011, thus only 4 years of measurements were available for this evaluation. Again, the datasets were distinguished into two categories, one comprising for the cases were the OMI detected LER values below 0.1, while the second set only included measurements during which the NILU cloud detection algorithm resulted into more than 70% cloud-free moments within the hour around the overpass. In order to increase the data points, 1-hour averages around the overpass time were taken into account, while the NILU and B086 data were used to minimize any influence of the SZA dependence seen in the OMI/ UVB-1 comparisons (Figure 3). Although the statistical sample is small, OMI erythemal dose rates showed a slight dependence on the aerosol load at the site, especially in high AOD values, in both discriminations of cloudless cases and comparisons; OMI/NILU is shown in Figure 5 (left panels) and OMI/B086 in Figure 5 (right panels). This behaviour can probably be attributed to the way that

602 the correction on OMI UV irradiances is performed based on monthly AOD and SSA climatology
603 at 315 nm (Arola et al., 2009), that probably cannot interpreter high aerosol loads at the station.
604 Cases with more than 0.7 AOD cover for the OMI cloud-free skies occupied 3.2% of the total
605 dataset, while under the NILU cloud-free limitation this percentage augmented to 6%.
606



607 **Figure 5 Erythemal relative percentage differences between OMI and NILU (left panel), and OMI and B086 (right panel)**
608 **data against AOD estimations from a CIMEL sunphotometer at 340 nm. The least square linear fits are also presented, while**
609 **the correlation coefficients between the OMI/NILU and OMI/B086 datasets are also depicted (upper panels). The median**
610 **relative percentage differences of the relative erythemal dose rate differences within 0.1 bin of AOD are provided in the lower**
611 **panels, while the 20/80 percentiles are depicted as error bars. The comparisons are performed only for cloud-free cases for**
612 **the 1-hour averages around the OMI overpass time using the OMI cloud restriction (LER<0.1) and the NILU PAR based**
613 **cloud restriction (Cloud-free1-minute data>70%).**

614 Based on the findings in Figure 5 (left panel), under the NILU defined cloud-free cases, the average
615 overestimation of the OMI erythemal dose rates is ~6.3% per AOD at 340 nm unit. Since the
616 average AOD at 340 nm during the examined period is 0.43±0.25, the expected average percentage
617 overestimation of OMI values is 2.8%±1.6%. This number was tripled when examining the OMI
618 cloud-free cases. Similar behaviour was observed for the OMI/B086 comparisons, but smaller
619 slopes were obtained, verifying that OMI tends to overestimate the erythemal dose rates for cases
620 where high aerosol loads were measured at Thessaloniki. It should be also highlighted that the
621 OMI/NILU comparisons presented high correlation coefficients (>0.98) in all cases, while the
622 OMI/B086 comparisons showed lower correlation coefficients mainly due to the way that the time
623 match was performed due to the smaller number of B086 spectra measurements.
624 Nevertheless, the obtained comparisons showed better agreement between OMI and ground-based
625 measurements than the one revealed by Kazadzis et al. (2009) since the OMI algorithm currently
626 corrects the UV products for absorbing aerosols based on the study by Arola et al. (2009). Users

could combine the information provided by OMI regarding the retrieved AOD values in order to assess the accuracy of OMI erythemal product and/or apply an upper cut-off limit to achieve better agreement between ground- and satellite-based erythemal values.

4.4.The Cloud dependence

Since the OMI algorithm interprets clouds as a uniform cover over the pixel, an analysis on the effect of clouds should take place in order to evaluate the performance of the satellite algorithm under various cloudiness conditions. As mentioned before, OMI provides an estimation of the COT seen within the pixel at the exact overpass time. In addition, the study by Vasaras et al. (2001) uses 8-minute averages of 1-minute measurements of GHI from a CM21 pyranometer that is operating at LAP/AUTh since 1993, to determine whether the measurement was taken under stable or unstable cloudy conditions or under unoccluded sun disk. In order to investigate the influence of the clouds on the relative differences, overpass exact time matching data (coincidence within one minute) under all skies conditions were used. The sun disk coverage information provided by the CM21 cloud description algorithm introduced by Vasaras et al. (2001), was also included into the comparisons. Based on the algorithm, cases where the sun disk was completely covered by clouds were identified as “stable-cloudy” conditions, while “unstable-cloudy” conditions stated the state where the sun was partially covered by clouds. The cases where clouds were present in the horizon and were identified by the NILU PAR cloud-screening algorithm, but the CM21 algorithm resulted to unobstructed sun disk were identified as “unoccluded sun disk” instances. Results of the comparisons under these three cloud identified circumstances, are shown in Figure 6.

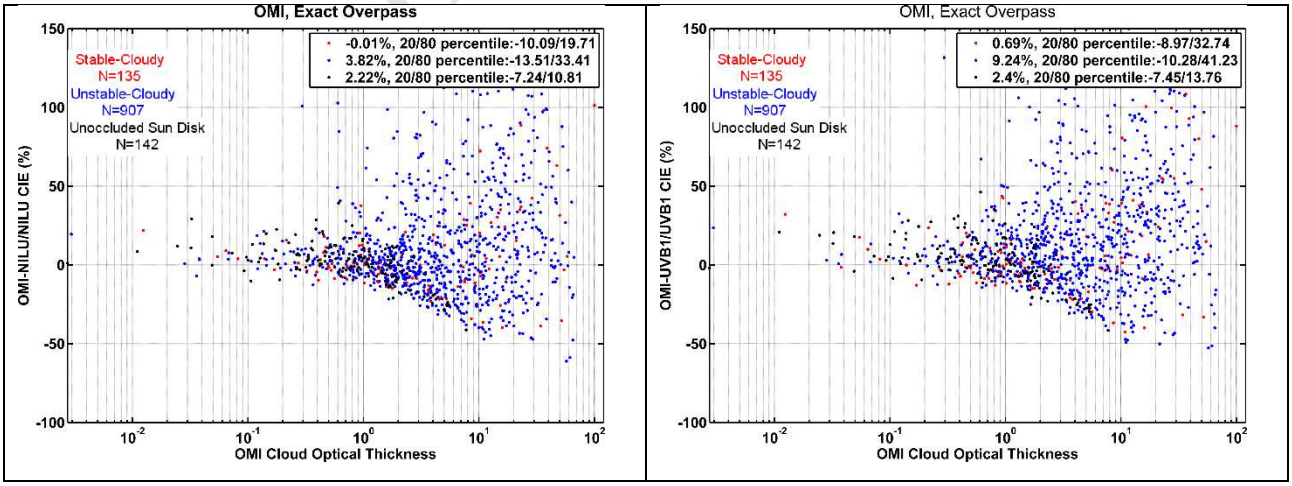


Figure 6 Relative percentage differences of the OMI and NILU (left panel), and OMI and UVB-1 (right panel) derived

erythemal dose rates are presented at the exact overpass time against the COT values reported by OMI in a logarithmic x-axis (upper panels). Three cases were distinguished based on the CM21 cloud-flagging algorithm: (i) Stable-Cloudy conditions during which the sun disk is completely obscured, (ii) Unstable-Cloudy conditions during which the sun disk is partially covered by clouds, and (iii) Unoccluded sun disk during which NILU PAR algorithm detects clouds while the CM21 algorithm reports unobscured sun disk. Median differences along with the 20/80 percentile range are also depicted.

As seen in both panels of Figure 6, the discrepancies between the two sets, ground- and satellite-based, become higher with higher cloud optical thicknesses seen by the satellite sensor that could be attributed to the fact that at higher COT values, irradiances are too low resulting to higher relative percentage differences. Since OMI receives backscattered irradiances from an area between 13x24 km^2 in the nadir to 24x102 km^2 on the edges of the OMI swath, the optical geometry is significantly different from the single point measurements that NILU and UVB-1 perform. The presence of scatter clouds over the horizon can lead to complicate radiation scenes that are impossible to capture by nadir-viewing satellite measurements. For larger COT values, the scene seen in both OMI/NILU and OMI/UVB-1 comparisons was rather complicated, with cases where OMI underestimated (negative relative percentage differences) and cases where OMI overestimated (positive relative percentage differences). For both panels in Figure 6, there was an unequal spread of the percentage differences, where cases during which OMI overestimated resulted in higher comparison numbers (>50%), while the cases during which OMI underestimated the erythemal dose rates resulted in relative differences greater than -50%. This fact, along with the fact that the number of points with positive relative percentage differences, 1191 for the OMI/NILU comparison and 1234 for the OMI/UVB-1 respectively, was larger than the one with negative differences, 822 for the OMI/NILU and 755 for the OMI/UVB-1 comparisons respectively, led to an average overestimation in OMI retrievals.

To further investigate this aspect, histograms of the relative percentage differences were examined for the 3 cloudiness conditions where the LER values reported by OMI were more than 0.1 (LER>0.1), in order to verify that the OMI was also seeing clouds into the pixel.

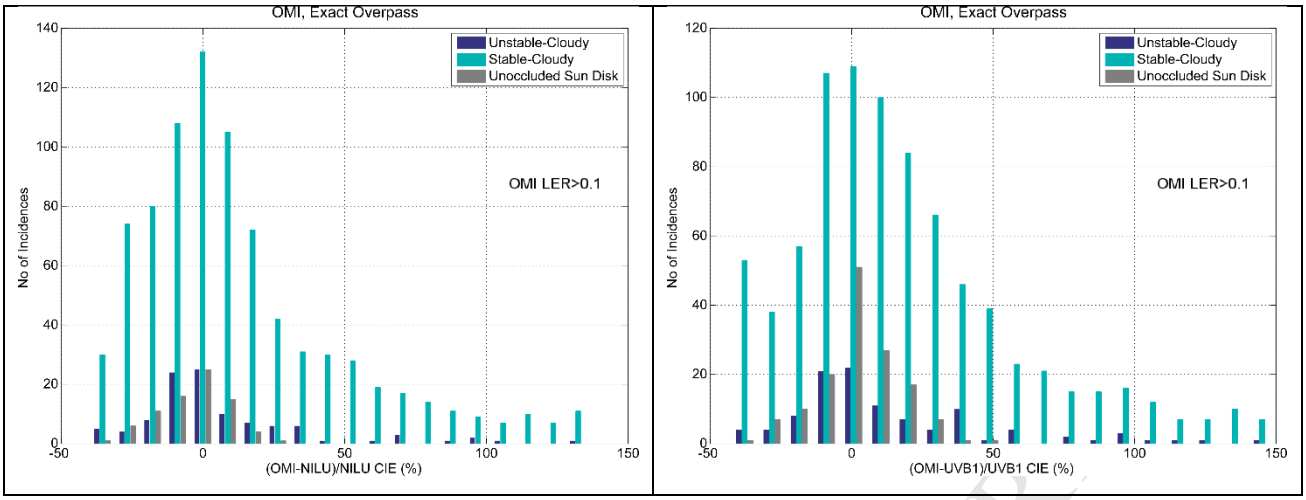


Figure 7 Histograms of relative percentage differences between OMI and NILU (left panel), and OMI and UVB-1 CIE (right panel) dose rates for three cloudiness conditions (as described in Figure 6). The results are presented for cases where the OMI LER values were more than 0.1 (LER>0.1).

The histograms in Figure 7 revealed distinct patterns among the three cloudiness condition groups that are consistent in both NILU/OMI and UVB-1/OMI comparisons. Under a partially covered sun disk (unstable cloudy conditions), both distributions in the left and right panel of the figure, are wide, with low count numbers, while OMI seems to underestimate the NILU erythemal dose rates since the majority of the points were piled into the negative relative percentage difference area (left panel). This behaviour could be partially attributed to the fact that OMI treats clouds as homogenous while it assumes that they cover the whole pixel of interest. Thus, when direct radiation is present, OMI tends to underestimate the erythemal values. Furthermore, a weak secondary peak seemed to be present in the OMI/UVB-1 comparisons under unstable cloudy conditions (right panel of Figure 7) leading to higher number of positive percentages, probably due to SZA dependencies as discussed to a previous section (Section 4.1) and/or low area of unobscured sun disk.

When limiting the datasets to instances where the sun was completely covered by clouds (stable cloudy conditions as they are referred to in Figure 7), the distribution is quite wide and skewed towards positive relative differences, which declares that OMI overestimates the corresponding ground-based values for most of these cases. Furthermore, again in OMI/UVB-1 and possibly in OMI/NILU comparisons, there is a secondary weaker peak implying that under certain conditions when the sun disk is completely covered, OMI tends to overestimate the erythemal dose rates by 45% or more. In this occasion, the exact position of the station does not interfere with the results, since the diffuse radiation dominates during these cloudiness conditions, something that is not the case for the other two classified groups (unstable cloudy and unoccluded sun disk). An

underestimation of the cloud optical thickness by OMI could lead to higher erythemal retrieved rates than the corresponding ground-based values.

For the cases under which the sun was uncovered, the distribution of the percentages is narrower when compared to the other two cloudiness cases, and the peaks were approaching zero percentage values for both the OMI/NILU and OMI/ UVB-1 comparisons. For these occasions, one would expect the OMI to retrieve in general lower erythemal values than the real ones, since the retrieval algorithm assumes that clouds cover the whole pixel, while an unoccluded sun disk would result in higher direct irradiances and thus higher erythemal values.

Although the major difference between these comparisons results from the fact that OMI measurements represent the mean surface erythemal dose rates over a wide region rather than at a point as is the case with ground-based data. In such comparisons, OMI tends to overestimate the erythemal dose rates under cloudy conditions. However, very large differences revealed for very high COTs (>10) in figure 6, are linked with GHI attenuation on the order of ~300% compared with cloudless skies. Therefore, these differences were affecting the statistical evaluation but in practise, they were differences seen during very low irradiance levels. OMI data users are encouraged to examine thoroughly the cloudiness information provided by OMI (LER, COT) in order to concatenate accordingly the dataset based on their study purposes.

4.5.The UV index comparisons

Although UV index (UVI) and erythemal data are expressions of the same biological parameter - the erythema of the human skin when exposed to UV solar radiation - in most health related studies, the UV index is the common parameter describing the effects of exposure to solar UV radiation (WHO, WMO, UNEP, ICNIRP, 2002; Lucas et al., 2006; Eide & Weinstock, 2005; Gonçalves et al., 2011; among others).The instant UVI is in fact the erythemal dose rate (in W/m^2) multiplied by 40 (Vanicek et al., 1999; WHO, WMO, UNEP, ICNIRP, 2002). This measure was first formulated in Canada to result to a maximum value of 10 at that region, while it was adopted by the World Meteorological Organization 2 years later, in 1994 (WHO, WMO, UNEP, ICNIRP; 2002, Fioletov et al., 2010) as a means of an easier interpretation of the UV exposure risks and rise of public awareness.

Nowadays, NASA's Earth data webpage (https://earthdata.nasa.gov/earth-observation-data/near-real-time/download-nrt-data/omi-nrt) provides OMI UVI data in near real-time (average latency: 100-165 minutes which is expected to be reduced in near future), thus supporting the efforts for

timely distribution of data related to earth observation, environment protection and public awareness.

Since for health studies the higher values of UVI are of most importance during which the impact of solar UV exposure is more immense, the study focused on the cases were the OMI UVI was lower than the NILU detected one. Among the ground-based available measurements, the NILU data were chosen to depict this aspect due to better statistic results (Section 4). Here only the discrimination between satellite cloud-free cases (LER<0.1) was imposed onto the datasets since this information is available to all data users, while the 1-hour mean values around the overpass time were investigated to maximize the number of coincidences.

To depict this aspect relative percentages (Number of cases where $UVI_{OMI}<UVI_{NILU}$ over the total number of coincidences within the OMI UVI bin) for each OMI UVI bin of 1 unit width were plotted in Figure 8. The differences between the UVIs, OMI and NILU, were classified in differences of 0.1 as presented in the colour bar of Figure 8. For the "Low (UVI<3)" UVI levels, OMI underestimated up to 10% the UVI values, but for these cases the impact on humans and ecosystems is low due to the low intensity of the UV radiation. For the moderate UVI range ($3\leq UVI<6$), OMI had a maximum underestimation of 0.9 when compared to the NILU UVI for the bins of 4-5 and 5-6. This would not affect the set alerts on the UVI levels, since even with this underestimation the OMI derived UVI would result in the moderate UVI classification. For the high UVI levels($6\leq UVI<8$), the differences observed in the 6-7 OMI UVI bin could lead to a false indication of moderate UVIs, since differences between 0.9 and 1.1 were observed. However, these cases only occupy 2% of the points in this particular bin. For the adjacent bin of 6-7 OMI UVIs, although differences can reach up to -0.6 with OMI underestimating, the outcome UVIs would be still characterized as high, thus the proposed protection measures for this level of UVIs would not be altered. The same applied to the characterized as high UVIs (8-10), where the maximum underestimation was -0.6 in the 8-9 bin. Although this underestimation in OMI UVIs is relatively high, it would not affect the alert on the UVI levels since it would result to a high UVI classification.

Thus, we can conclude that OMI UVI values are reliable when concerning the characterization of the ambient UV radiation impact as low, moderate, high and very high in the greater area of Thessaloniki for the period 2005-2014 under cloud free skies where the impact of exposure to solar UV radiation is more intense.

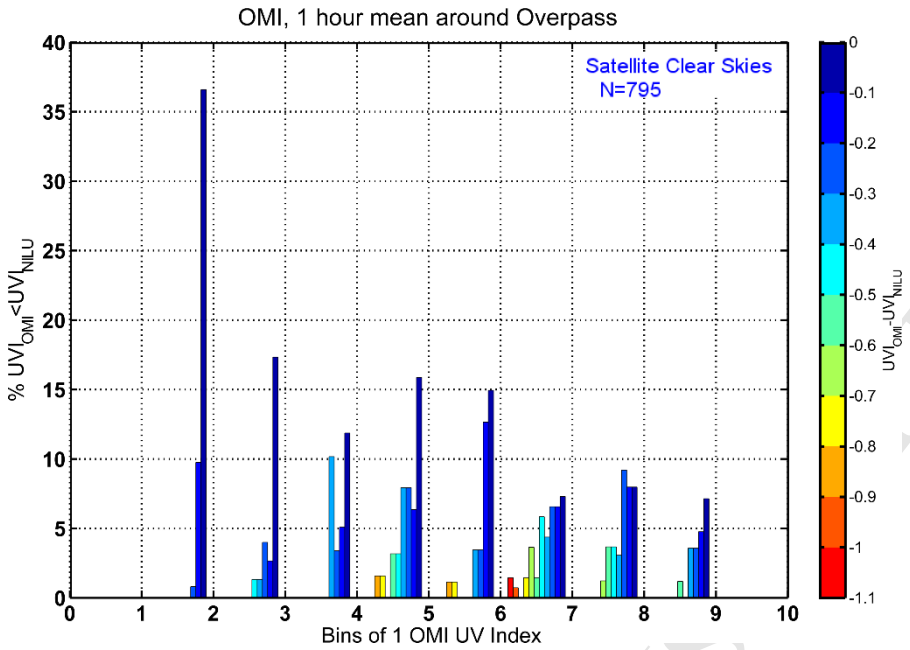


Figure 8 Percentages of the number of cases where OMI UVIs were found to be lower than the corresponding NILU UVI for each bin of 1 OMI UVI under the OMI defined clear skies. Within each OMI UVI bin the difference between the two UVIs, OMI and NILU, are depicted is different color bars.

5. CONCLUSIONS

In this study ground-based measurements, model estimates, and satellite retrievals of CIE effective dose rates have been formed, compiled and associated to thoroughly analyse their accuracy at the mid-latitude UV and Ozone monitoring station in the Laboratory of Atmospheric Physics of the Aristotle University of Thessaloniki, Greece.

A NN was trained on NILU-UV multi-filter radiometer irradiance data at 5 different UV wavelengths together with collocated spectra from a Brewer MKIII spectrophotometer to produce 1-minute time series of erythemal dose rates. Furthermore, the NN erythemal dose rates were compared with UVB-1 measurements at the same temporal resolution (1 minute) to provide the level of agreement between the two ground-based datasets. The comparisons between the mean hourly values between the UVB-1 and NILU CIE dose rates revealed a good agreement of 0.86% under all skies with 20/80 percentiles within the uncertainty of the original measurements themselves.

In the context of space born CIE dose rates, estimates from the OMI/Aura instrument were used. The NASA Aura Data Validation Center provides overpass files including the OMI global attributes and geolocation along with all instrument data fields. Under the data fields subsection, the

erythemal dose rates are provided at the exact overpass time and at the solar local-noon along with the UV algorithm quality flags (UVBQF) and the UVI values. For all the comparisons performed in this study, satellite collocations within a radius of 50 km from Thessaloniki were taken into account, while differences of absolute value of 150% and more between satellite and ground erythemal data were omitted.

The comparisons of the ground products with the satellite retrievals revealed the following major points:

- For the nominal comparisons at the exact overpass time, OMI erythemal dose rates overestimated the NILU-UV retrieved values by 2.5%, while this difference was increased to 3.9% when compared to the UVB-1 data. Under cloud-free cases detected by the PAR cloud binary detection algorithm, the percentage of the OMI overestimation fell to ~2% for both NILU-UV and UVB-1 comparisons.
- For the local noon exact comparisons, OMI presented higher erythemal dose rates of about 4.1% when compared to NILU, slightly higher at 5.3% for the OMI/UVB-1 comparisons. When limiting the data set to cloud-free cases, the agreement between the satellite and ground-based estimates was improved, with relative percentage differences between 2-3% for the NILU-defined cloud-free cases.

In order to compensate for the OMI footprint and for any changes in cloud position and optical properties, 1-hour averages around the overpass time were also considered.

- The time averaging favors the number of coincidences by a 15% increase. Under all sky cases, OMI overestimated on average the erythemal dose rates at the overpass time by 3.6% when compared to NILU and by 6.6% when compared to UVB-1 data. Higher relative percentage differences were seen when OMI data were related to B086 estimates (~7%). These numbers were decreased when the under investigation datasets were limited to cloud-free skies: 2.8%, 2.7% and 4.6% for the OMI/ NILU-UV, UVB-1 and B086 comparisons respectively.
- The time averaging of 1-hour around the solar local-noon time under all sky conditions, had not major impact on the comparisons between OMI and NILU-UV and B086. When limiting the original datasets based on the PAR cloud-screening algorithm, the relative percentage median values were found to lie within the range of 3-4.5%.

For the comparisons performed, the limitation of the OMI data based on the UVBQF was also investigated:

- The imposed limitation decreased the available dataset by almost 36%, while it did not significantly improved the comparison statistics of any of the above-mentioned schemes: exact and 1-hour averages around overpass and solar local noontime, and cloudiness conditions.

In general, all comparison schemes (different ground-based instruments, averaging practices, comparison limitations) presented similar, moderate relative percentage differences, with OMI CIE data being higher than the corresponding ground-based. In more details:

- Overpass comparisons resulted in better comparative statistics than the noon comparisons, since OMI estimates its noontime UV products based on the measurement performed at the overpass without taking into account changes in ozone, aerosols and clouds.
- Cloud-free cases defined by the NILU PAR algorithm provided a more strict limitation than the OMI defined clear cases where the upper limit of LER<0.1 might result in clouds present within the OMI pixel.

Seasonal effects in the satellite estimates were also investigated through SZA, ozone, aerosols and cloud dependences of the relative percentage differences between OMI and ground-based measurements.

- OMI CIE retrieved values are expected to present a SZA dependence for SZAs above 50^o due to higher uncertainty in the ozone retrievals. The comparisons between OMI and NILU-UV/UVB-1 data, showed a tendency of OMI to overestimate CIE dose rates for SZA above 60^o, which was obvious for both all and cloud-free skies.
- A mean underestimation in OMI TOC values by 2% under cloud-free conditions led to an overestimation of 1% to 6% in the OMI CIE data under clear skies cases.
- Compared to the Kazadzis et al. (2009) study, the results presented here were improved due to the aerosol correction applied to all UV products based on Arola et al. (2009). On average OMI overestimated by ~6.5% per aerosol optical depth (AOD) at 340 nm unit when compared to NILU data. The average AOD at 340 nm during the examined period was 0.43±0.25, therefor the expected average percentage overestimation of OMI CIE values due to imperfect aerosol treatment in the algorithm is 2.8±1.6%.

Since OMI algorithm treats clouds as a uniform layer over the entire pixel, different types of cloudiness were investigated based on the stable cloudy (fully covered sun disk), unstable cloudy

(partially covered sun disk) and unoccluded sun disk indications acquired by the CM21 based algorithm.

- In general under high COT values the discrepancies observed between the satellite- and ground-based were higher due to low values of absolute irradiances.
- For the cases where stable cloudy conditions were identified (fully covered sun disk), OMI had the tendency to overestimate the ground-based CIE data.
- For the unstable cloudy conditions (partially covered sun disk), the exact opposite pattern was observed, with OMI data underestimating in general the ground-based erythemal dose rates.
- When the CM21 algorithm detected unoccluded sun disk under cloudy conditions, OMI CIE retrievals presented a narrow distribution around zero relative percentage differences, without any obvious preference towards positive or negative values for both NILU and UVB-1 comparisons.

As the UVI is a mean of alerting the public on harmful effects when exposed to solar UV radiation, OMI overpass UVI data were also validated through NILU estimates:

- OMI UVIs provided higher estimates than the ground-based UVIs in most of the classifications of UVI based alert zones (low, moderate, high, and very high).
- For the cases where OMI UVIs were found to be lower than the NILU retrieved ones, no significant impact on the above mentioned classifications was observed.

Therefore, the UVI classification under cloud-free conditions based on OMI estimates can be used to alert public awareness in the greater area of Thessaloniki.

In conclusion, this comprehensive work elaborated on the accuracy of ground- and satellite-based estimates of erythemal UV dose rates and UVI values, revealing the merits but also the constraints of the methods applied to both type of datasets. Since space-borne data provide global coverage, their UV products can be used to increase awareness of the harmful effects of overexposure to UV radiation and alert public when necessary. Therefore, we believe that such studies are of high importance in order to provide insight regarding future missions and facilitate potential improvements of the future generation of UV measuring space born sensors.

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Highlights

- Validation of OMI CIE dose rates against several types of ground-based measurements
- Different ground instruments, averaging practices, limitations, cloud conditions
- The OMI CIE dose rate SZA, Ozone, AOD, and cloudiness dependences were examined
- The OMI UVIs were classified and validated for health related public alerts