

# Spatial-temporal Fraction Map Fusion with Multi-scale Remotely Sensed Images

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16 **Abstract:** Given the common trade-off between the spatial and temporal resolutions of current satellite  
17 sensors, spatial-temporal data fusion methods could be applied to produce fused remotely sensed data  
18 with synthetic fine spatial resolution (FR) and high repeat frequency. Such fused data are required to  
19 provide a comprehensive understanding of Earth's surface land cover dynamics. In this research, a novel  
20 Spatial-Temporal Fraction Map Fusion (STFMF) model is proposed to produce a series of fine-spatial-  
21 temporal-resolution land cover fraction maps by fusing coarse-spatial-fine-temporal and fine-spatial-  
22 coarse-temporal fraction maps, which may be generated from multi-scale remotely sensed images. The  
23 STFMF has two main stages. First, FR fraction change maps are generated using kernel ridge regression.  
24 Second, a FR fraction map for the date of prediction is predicted using a temporal-weighted fusion model.  
25 In comparison to two established spatial-temporal fusion methods of spatial-temporal super-resolution  
26 land cover mapping model and spatial-temporal image reflectance fusion model, STFMF holds the  
27 following characteristics and advantages: (1) it takes account of the mixed pixel problem in FR remotely  
28 sensed images; (2) it directly uses the fraction maps as input, which could be generated from a range of  
29 satellite images or other suitable data sources; (3) it focuses on the estimation of fraction changes  
30 happened through time and can predict the land cover change more accurately. Experiments using  
31 synthetic multi-scale fraction maps simulated from Google Earth images, as well as synthetic and real  
32 MODIS-Landsat images were undertaken to test the performance of the proposed STFMF approach  
33 against two benchmark spatial-temporal reflectance fusion methods: the Enhanced Spatial and Temporal  
34 Adaptive Reflectance Fusion Model (ESTARFM) and the Flexible Spatiotemporal Data Fusion (FSDAF)  
35 model. In both visual and quantitative evaluations, STFMF was able to generate more accurate FR  
36 fraction maps and provide more spatial detail than ESTARFM and FSDAF, particularly in areas with  
37 substantial land cover changes. STFMF has great potential to produce accurate time-series fraction maps

38 with fine-spatial-temporal-resolution that can support studies of land cover dynamics at the sub-pixel

39 scale.

40

41 **Keywords:** Land cover, fraction maps, spatial-temporal fusion, spectral unmixing, super-resolution

42 mapping.

43

# 1. Introduction

With the capabilities of broad spatial coverage and temporally repeated imaging from Earth observation sensors, remote sensing has considerable potential to provide time-series satellite images for studying land surface dynamics (Townshend et al. 1991; Yang and Lo 2002). In heterogeneous areas, land surface dynamics, such as urban expansion, flooding and deforestation, often occur at a fine spatial scale and within a short period. It is, therefore, necessary to collect fine-spatial-temporal-resolution remote sensing images to monitor fine scale land cover changes in a timely manner. Due to the common trade-off between the spatial resolution and the temporal repeat frequency of satellite sensing systems, there is so far no single satellite sensor that can provide remote sensing images with both fine spatial and temporal resolutions (Gao et al. 2006; Li et al. 2017; Zhu et al. 2016). Generally, fine spatial resolution (FR) satellite images are acquired infrequently and have a relatively coarse temporal resolution, making it hard to monitor rapid land cover changes. On the contrary, coarse spatial resolution (CR) satellite sensors acquire data with a high repeat frequency. However, their spatial resolutions are often too coarse to allow the detection of land cover changes occurring in small areas. Therefore, to deal with this dilemma, methods for spatial-temporal data fusion are highly desirable for application to both kinds of remotely sensed imagery to provide remote sensing data with fine spatial and temporal resolutions for studying land surface dynamics (Gao et al. 2006; Gong et al. 2013; Hansen and Loveland 2012; Li et al. 2015; Ling et al. 2016a; Ling et al. 2011; Zhu and Woodcock 2014).

Recently, the spatial-temporal super-resolution mapping (STSRM) method proposed by Ling et al. (2011) has become a promising spatial-temporal fusion method to extract fine spatial and temporal resolution land cover change information (Li et al. 2016; Ling et al. 2016a; Wang et al. 2015; Wu et al.

65 2017; Xu et al. 2017). STSRM aims to predict a FR land cover map from CR fraction maps, assuming  
66 that another FR land cover map, acquired at previous time for the same area, is available. STSRM can  
67 be considered as an extension of the traditional super-resolution mapping approach applied to a mono-  
68 temporal image, by incorporating information about the land cover changes through time. The key of  
69 STSRM is the multi-scale land cover change principle that is using coarse-to-fine resolution change  
70 detection between current CR fraction maps and previous FR land cover map to predict the potential  
71 locations of current land cover labels of FR land cover map (Ling et al. 2011). The multi-scale land cover  
72 change principle in STSRM was further analyzed and assessed by using existing land cover maps, and it  
73 has been demonstrated consistently that the principle could be suitable for most current satellite sensors  
74 (Ling et al. 2016a). Some popular super-resolution mapping algorithms applied on mono-temporal  
75 remote sensing images were also extended to the spatial-temporal domain, leading to various STSRM  
76 models (He et al. 2016; Li et al. 2015; Li et al. 2017; Wang et al. 2016; Xu and Huang 2014; Zhang et al.  
77 2017). Compared with the traditional super-resolution mapping methods applied to mono-temporal  
78 remote sensing imagery, STSRM can provide details about the spatial distribution of different land cover  
79 classes and their changes over time. It is a promising means to produce fine spatial and temporal  
80 resolution land cover maps from multi-scale remote sensing imagery.

81 It is noteworthy that in all existing STSRM models the FR pixels are treated as pure units. That is,  
82 the fine pixels within the input and the resultant FR land cover maps are all considered as pure pixels,  
83 and each of them is labeled as representing an area comprised of one and only one land cover class. This  
84 assumption is reasonable in some cases because the proportion of mixed pixels in an image is typically  
85 positively related to pixel size. However, the limitation of this assumption is also obvious, as mixing may  
86 still exist in FR image pixels, especially if the land cover mosaic is highly fragmented and heterogeneous.

87 In practice, the satellite sensor's instantaneous field-of-view often includes more than one land cover  
88 feature irrespective of the scale of measurement. Indeed, the mixed pixel problem is widely observed in  
89 remote sensing images across different spatial scales (Keshava and Mustard 2002). It is well known that  
90 CR remote sensing data, such as those obtained from the Advanced Very High Resolution Radiometer  
91 (AVHRR), MEdium Resolution Imaging Spectrometer (MERIS) and MODerate resolution Imaging  
92 Spectroradiometer (MODIS) images, contain a large number of mixed pixels. However, the mixed pixel  
93 problem is also evident in medium and high spatial resolution satellite sensor images, such as Landsat  
94 (Lu and Weng 2004; Powell et al. 2007), ASTER (Weng et al. 2009), IKONOS (Lu and Weng 2009) and  
95 Quickbird (Lu et al. 2010), and spectral unmixing techniques may still be needed to obtain fraction maps  
96 to enhance the representation of land cover. In this situation, the assumption that all FR pixels are pure  
97 in STSRM models may be unreasonable in some real applications.

98 Another limitation of using the pure pixel assumption in STSRM model is that land cover change  
99 information used by it may be partial and possibly erroneous. With the assumption, only one land cover  
100 class can be associated with a pixel and hence the only change that can be characterized is that it  
101 represents a complete alteration in land cover class: a land cover conversion (e.g. a change from forest  
102 to grassland). However, many important land cover changes happened at the sub-pixel scale (finer than the  
103 spatial resolution of pixel) may not involve a change in class label. For example, a pixel may represent a  
104 forested region which may undergo a substantial change such as a major reduction in tree cover and yet  
105 still remain classed as a forest. Changes of the latter type, therefore, do not involve a change in label but  
106 a change in the character of the land cover: a land cover modification. Land cover modifications cannot  
107 be studied using methods that assume pure pixels but they, and the land cover conversions, can be studied  
108 if mixed pixels are allowed such as via the application of soft classification techniques (Foody 2001).

109           Given the two limitations arising from the pure pixel assumption, error and uncertainty could be  
110 introduced in the resultant fine spatial and temporal resolution land cover maps produced by STSRM.  
111 Since land cover class fraction values produced by unmixing or soft classification analyses can be used  
112 to obtain more accurate land cover information at the sub-pixel scale than discrete land cover labels  
113 produced by hard classification (Foody 2002; Foody and Doan 2007), they may have a potential role to  
114 play in increasing the accuracy of the STSRM approach.

115           A different approach to the STSRM for fusing fine-spatial-coarse-temporal and coarse-spatial-fine-  
116 temporal remotely sensed images is the spatial-temporal reflectance fusion model. Unlike the STSRM  
117 approach that aims to predict land cover class labels at a fine resolution, the spatial-temporal reflectance  
118 fusion approach is used to blend reflectance values of remotely sensed images. Gao et al. (2006) first  
119 proposed the spatial and temporal adaptive reflectance fusion model (STARFM) to blend Landsat and  
120 MODIS reflectance images and produce daily 30 m synthetic Landsat-like reflectance images. Hilker et  
121 al. (2009) developed a spatial and temporal adaptive fusion model (STAARCH) to explore spatio-  
122 temporal pattern details of forest disturbance based on Landsat and MODIS images. Thereafter,  
123 STARFM was developed as an enhanced spatial-temporal adaptive reflectance fusion model (ESTARFM)  
124 (Zhu et al. 2010) and a flexible spatio-temporal data fusion (FSDAF) model (Zhu et al. 2016). Moreover,  
125 other image spatial temporal fusion models, such as the unmixing based fusion model (Gevaert and  
126 Garcia-Haro 2015; Zhukov et al. 1999; Zurita-Milla et al. 2008), the sparse representation based fusion  
127 model (Huang and Song 2012; Song and Huang 2013) and spatial and temporal reflectance fusion  
128 considering the sensor difference (Shen et al. 2013), have also been proposed. Once the fine spatial and  
129 temporal resolution remote sensing images have been produced by the spatial-temporal reflectance image  
130 fusion method, a spectral unmixing approach can then be used to produce the corresponding fine spatial

131 and temporal fraction maps. The effectiveness of this approach, however, depends greatly on the spatial-  
132 temporal reflectance fusion method, which often suffers from two major limitations when the final  
133 objective is to produce fraction maps. First, most spatial-temporal reflectance fusion methods do not  
134 account for land cover changes that may have occurred within the period represented by the time-series  
135 of remotely sensed images (Gevaert and Garcia-Haro 2015; Zhu et al. 2016). Second, spatial-temporal  
136 reflectance fusion methods can generally deal with image pairs with similar spectral bands. Given that  
137 many satellite sensors produce images with unique spectral bands, the range of application of these  
138 spatial-temporal reflectance fusion methods is thus limited. In comparison, STSRM-based approaches  
139 are free from the assumption of sensor-based coherence and can accommodate information on class label  
140 change, but not the land cover fraction changes.

141 In this paper, a novel Spatial-Temporal Fraction Map Fusion (STFMF) model is proposed to  
142 generate fraction maps that have a fine resolution in both the spatial and temporal domains by fusing  
143 coarse-spatial-fine-temporal and fine-spatial-coarse-temporal remotely sensed images. Critically, the  
144 STFMF approach addresses limitations of other methods and hence forms an important contribution to  
145 the realization of the potential of remote sensing as a source of information on land cover fraction change.  
146 STFMF is based on the fraction maps generated from multi-scale remotely sensed images and uses kernel  
147 ridge regression (KRR) to predict FR fraction change maps through time, which are finally used to  
148 generate the time-series FR fraction maps with a temporal-weighted model. Compared with the STSRM  
149 method, the input and output FR data of STFMF are fraction maps, not the hard land cover class maps  
150 used in STSRM model, such that the mixed pixel problem can be dealt with at the fine spatial scale to  
151 some extent. Fraction maps with fine resolution have greater superiority than the hard land cover class  
152 maps in real applications, such as dynamic monitoring of impervious surfaces (Michishita et al. 2012;



153 Wu and Murray 2003), tree canopy estimation (Goodwin et al. 2005; Pu et al. 2003) and sub-pixel snow  
154 cover mapping (Rosenthal and Dozier 1996; Vikhamar and Solberg 2003), as they have more information  
155 at the sub-pixel scale. Compared with the spatial-temporal reflectance fusion approach, such as STARFM  
156 and ESTARFM, the proposed STFMF approach is applied directly to land cover fraction maps and could  
157 focus more on the fraction land cover changes through time. Meanwhile, there is no need for STFMF to  
158 ensure that the collected coarse and fine spatial resolution remote sensing images have similar bands and,  
159 thus, a greater number of available pairs of coarse and fine spatial resolution images can be used.

160 The objectives of this research are three-fold. First, we proposed a new spatial-temporal fraction  
161 maps fusion method to produce fraction maps that have a fine resolution in both the spatial and temporal  
162 domains, and support more accurate studies of land cover dynamics at the sub-pixel scale. Second, we  
163 analyzed the performance and uncertainty of traditional spatial-temporal reflectance fusion approaches  
164 for predicting fraction maps. Although the spatial and temporal reflectance fusion approach has been  
165 applied widely to produce land cover maps at the per-pixel scale, few studies applied it to produce  
166 fraction maps at the sub-pixel scale. This study aims simultaneously to provide a benchmark comparison  
167 of their performances in predicting fraction maps. Third, we quantify the proposed approach in revealing  
168 spatial-temporal changes at the sub-pixel scale, based on the resultant time-series FR fraction maps  
169 within a short period of time (e.g. one month).

## 2. Methods

The central feature of concern is the prediction of a FR fraction map for a date that lies between dates at which other appropriate remotely sensed are available. Thus, information from imagery that pre- and post-date the date of prediction are critical to spatial-temporal fusion.

### 2.1 Problem formulation

Let  $\mathbf{F}_{T_i}^c$ ,  $\mathbf{F}_{T_p}^c$  and  $\mathbf{F}_{T_j}^c$  be the time-series CR fraction maps at previous date  $T_i$ , predicted date  $T_p$  and posterior date  $T_j$  with the same  $K$  land cover classes and  $M_1 \times M_2$  coarse pixels. In addition, let  $\mathbf{F}_{T_i}^f$  and  $\mathbf{F}_{T_j}^f$  be the corresponding FR fraction maps at times  $T_i$  and  $T_j$  with  $(M_1 \times z) \times (M_2 \times z)$  fine pixels, where  $z$  is the spatial resolution ratio (zoom factor) between the coarse and fine spatial resolution fraction maps. Note that the superscripts  $f$  and  $c$  indicate the fine and coarse spatial resolution fraction maps respectively. The objective of the proposed STFMMF approach is to predict the FR fraction maps  $\mathbf{F}_{T_p}^f$  from the available CR fraction maps  $\mathbf{F}_{T_p}^c$ , with the aid of pre- and post-date coarse and fine spatial resolution fraction maps, that is,  $\mathbf{F}_{T_i}^c$ ,  $\mathbf{F}_{T_j}^c$ ,  $\mathbf{F}_{T_i}^f$  and  $\mathbf{F}_{T_j}^f$ . Note that the data and methods used to generate the time-series coarse and fine spatial resolution fraction maps  $\mathbf{F}_{T_i}^c$ ,  $\mathbf{F}_{T_p}^c$ ,  $\mathbf{F}_{T_j}^c$ ,  $\mathbf{F}_{T_i}^f$  and  $\mathbf{F}_{T_j}^f$  are not specific. They can, for example, be produced from existing datasets or produced from corresponding remote sensing images (e.g., CR MODIS and FR Landsat images) through the use of a soft classification (Foody et al. 1997), a spectral unmixing model such as linear spectral mixture model (LSM) (Adams et al. 1986) or a multiple endmember spectral mixture analysis model (Powell et al. 2007).

One possible way to obtain the FR fraction maps  $\mathbf{F}_{T_p}^f$  is to downscale the CR fraction maps  $\mathbf{F}_{T_p}^c$  to the target fine resolution through the use of an appropriate spatial interpolation approach. With this

191 approach, however, the spatial and temporal prior information within pre- (e.g.  $T_i$ ) and post-date of  
 192 prediction (e.g.  $T_j$ ) coarse and fine spatial resolution fraction maps cannot be utilized. Moreover, the  
 193 outcome of spatial interpolation is to some extent a smoothed representation, which would lead to edge  
 194 blur and ringing effects around the boundaries of different land cover features. In general, during the  
 195 period between  $T_i$  and  $T_j$ , fraction values of different land cover classes at the FR may have changed  
 196 from those in  $\mathbf{F}_{T_i}^f$  to those in  $\mathbf{F}_{T_k}^f$ , and may also have changed to those in  $\mathbf{F}_{T_j}^f$ . As the fraction values  
 197 in  $\mathbf{F}_{T_i}^f$  and  $\mathbf{F}_{T_j}^f$  are inputs, if we can predict the changes of FR fraction values of different land cover  
 198 classes between  $\mathbf{F}_{T_p}^f$  and  $\mathbf{F}_{T_i}^f$  or  $\mathbf{F}_{T_p}^f$  and  $\mathbf{F}_{T_j}^f$ , the FR fraction maps  $\mathbf{F}_{T_p}^f$  can thus be predicted.

199 Let  $F_{T_i}^f(k)$  be the FR fraction map of  $k$ th land cover class in  $\mathbf{F}_{T_i}^f$  and  $F_{T_i}^c(k)$  be the CR  
 200 fraction map of  $k$ th land cover class in  $\mathbf{F}_{T_i}^c$ . Assuming that the CR fraction map  $F_{T_i}^c(k)$  has been  
 201 geo-referenced to the coordinate system of the FR fraction map  $F_{T_i}^f(k)$ , and  $F_{T_i}^c(\uparrow_z, k)$  is the FR  
 202 fraction maps which has been downscaled to the spatial resolution of  $F_{T_i}^f(k)$  with a downscaling  
 203 method. The relationship between  $F_{T_i}^c(\uparrow_z, k)$  and  $F_{T_i}^f(k)$  could be formulated as

$$204 \quad F_{T_i}^f(k) = F_{T_i}^c(\uparrow_z, k) + \varepsilon_{T_i}(k), \quad \forall k = 1, 2, \dots, K, \quad (1)$$

205 in which  $\uparrow_z$  indicates a downscaling operation used to increase the spatial resolution (i.e. make pixel  
 206 size smaller) of  $F_{T_i}^c(k)$  to that of  $F_{T_i}^f(k)$ , and  $\varepsilon_{T_i}(k)$  is denoted as the fraction difference between  
 207  $F_{T_i}^f(k)$  and  $F_{T_i}^c(k)$ . It is noteworthy that fraction map is not a physical variable directly observed by  
 208 satellite sensors and generally produced from satellite images at different spatial resolutions. Therefore,  
 209 the fraction difference  $\varepsilon_{T_i}(k)$  between  $F_{T_i}^f(k)$  and  $F_{T_i}^c(k)$  is associated with differences between  
 210 the data sources, the means of endmember selection and the spectral unmixing methods used in the  
 211 generation of the fine and coarse spatial resolution fraction maps. Likewise, the relationship shown in  
 212 equation (1) applies equally at  $T_p$ , and is expressed as

213 
$$F_{T_p}^f(k) = F_{T_p}^c(\uparrow_z, k) + \varepsilon_{T_p}(k), \quad \forall k = 1, 2, L, K. \quad (2)$$

214 In this section, it is assumed that the data source, principles of endmember selection and spectral  
 215 unmixing method for the generation of fine and coarse spatial resolution fraction maps in equations (1)  
 216 and (2) at time  $T_i$  are the same at  $T_p$ .  $\varepsilon_{T_p}(k)$  at time  $T_p$  is thus considered unchanged by  
 217 comparing with  $\varepsilon_{T_i}(k)$  at time  $T_i$ . Therefore, combining equations (1) and (2), the estimation of FR  
 218 fraction maps  $F_{T_p}^f(k)$  can be expressed as

219 
$$F_{T_p}^f(k) = F_{T_i}^f(k) + (F_{T_p}^c(\uparrow_z, k) - F_{T_i}^c(\uparrow_z, k)), \quad \forall k = 1, 2, L, K. \quad (3)$$

220 Denote  $\Delta_{T_i T_p}^f(k) = F_{T_p}^f(k) - F_{T_i}^f(k)$  as the  $k$ th land cover fraction change map with spatial  
 221 resolution equal to that of  $F_{T_i}^f(k)$  and  $F_{T_p}^f(k)$ , and  $\Delta_{T_i T_p}^c(k) = F_{T_p}^c(k) - F_{T_i}^c(k)$  as the CR fraction  
 222 change map of the  $k$ th land cover class. Assume that  $F_{T_i}^f(k)$  at time  $T_i$  is known, the estimation of  
 223 FR fraction map  $F_{T_p}^f(k)$  becomes a key process of predicting the FR fraction change map  $\Delta_{T_i T_p}^f(k)$   
 224 from the CR fraction change map  $\Delta_{T_i T_p}^c(k)$ .

225 Likewise, for equation (3), fine and coarse spatial resolution fraction maps  $F_{T_i}^f(k)$  and  $F_{T_i}^c(k)$  at  
 226 pre-time  $T_i$  could be replaced as fraction maps  $F_{T_j}^f(k)$  and  $F_{T_j}^c(k)$  at post-time  $T_j$ . The estimation  
 227 of  $F_{T_p}^f(k)$  is, therefore, to predict the FR fraction change map  $\Delta_{T_i T_p}^f(k)$  or  $\Delta_{T_p T_j}^f(k)$  from the observed  
 228 CR fraction change map  $\Delta_{T_i T_p}^c(k)$  or  $\Delta_{T_p T_j}^c(k)$  according to equation (3). Note that the corresponding  
 229 CR fraction change maps  $\Delta_{T_i T_p}^c(k)$ ,  $\Delta_{T_p T_j}^c(k)$  and  $\Delta_{T_i T_j}^c(k)$  can be calculated from the known CR  
 230 fraction maps  $F_{T_i}^c(k)$ ,  $F_{T_p}^c(k)$  and  $F_{T_j}^c(k)$ .  $\Delta_{T_i T_p}^c(k)$ ,  $\Delta_{T_p T_j}^c(k)$  and  $\Delta_{T_i T_j}^c(k)$  are, therefore,  
 231 expressed as

232 
$$\Delta_{T_i T_p}^c(k) = F_{T_p}^c(k) - F_{T_i}^c(k), \quad (4)$$

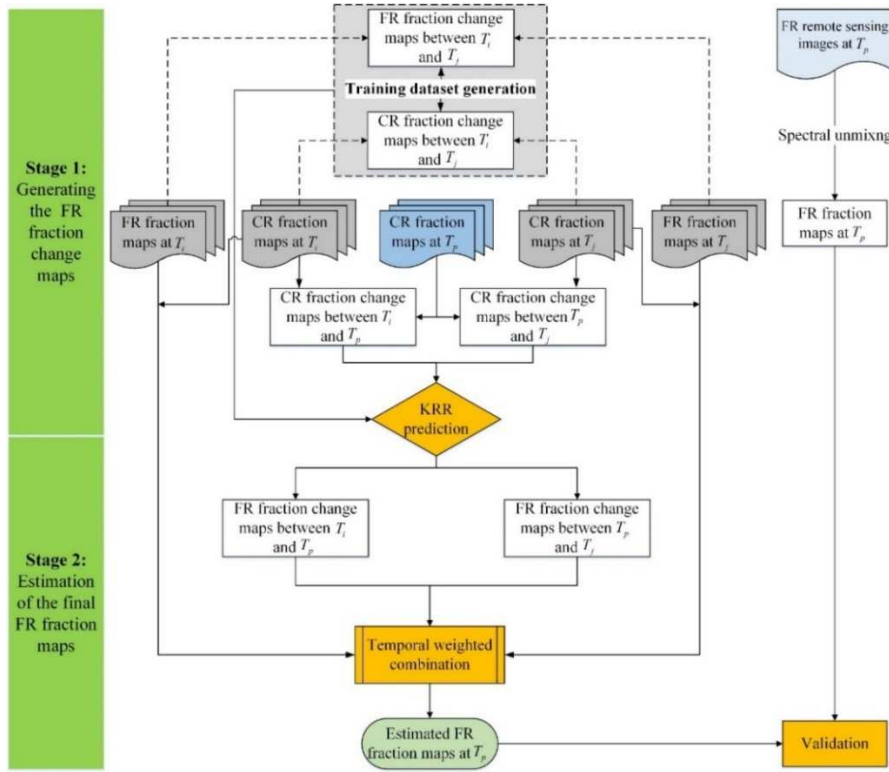
233 
$$\Delta_{T_p T_j}^c(k) = F_{T_j}^c(k) - F_{T_p}^c(k), \quad (5)$$

234 
$$\Delta_{T_i T_j}^c(k) = F_{T_j}^c(k) - F_{T_i}^c(k). \quad (6)$$

235 Moreover, the FR fraction change maps  $\Delta_{T_i T_j}^f(f)$  can be calculated from the known FR fraction  
 236 maps  $F_{T_i}^f(k)$  and  $F_{T_j}^f(k)$ , expressed as

237 
$$\Delta_{T_i T_j}^f(k) = F_{T_j}^f(k) - F_{T_i}^f(k). \quad (7)$$

238 Therefore, according to equations (6) and (7), a coarse and fine spatial resolution fraction change  
 239 maps pair  $[\Delta_{T_i T_j}^c(k), \Delta_{T_i T_j}^f(k)]$  can be obtained, where  $k = 1L, K$ . Assuming that the relationships  
 240 between the coarse and fine spatial resolution fraction maps pairs  $[\Delta_{T_i T_p}^c(k), \Delta_{T_i T_p}^f(k)]$  and  
 241  $[\Delta_{T_p T_j}^c(k), \Delta_{T_p T_j}^f(k)]$  are similar to those of  $[\Delta_{T_i T_j}^c(k), \Delta_{T_i T_j}^f(k)]$ , the FR fraction change maps  $\Delta_{T_i T_p}^f(k)$   
 242 and  $\Delta_{T_p T_j}^f(k)$  can then be predicted from  $\Delta_{T_i T_p}^c(k)$  and  $\Delta_{T_p T_j}^c(k)$ , respectively.



243

244

Figure 1. Flowchart of the proposed the proposed STFMMF approach.

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Fig. 1 shows the whole flowchart of the proposed STFMMF approach. Fig 1 highlights especially that the model inputs are the coarse and fine spatial resolution fraction map pairs at dates that pre- and post- the date of prediction together with the CR fraction maps for the date of prediction. STFMMF is composed

248 of two main stages: generating FR fraction change maps and estimation of the final FR fraction maps.

## 249 **2.2 Generating FR fraction change maps**

250 The estimation of fine resolution fraction change maps  $\Delta_{T_p T_j}^f(k)$  and  $\Delta_{T_p T_j}^f(k)$  from  $\Delta_{T_p}^c(k)$  and  
251  $\Delta_{T_p T_j}^c(k)$  can be considered as an image reconstruction process, and can generally be achieved via  
252 spatial interpolation or image super-resolution approaches (Kim and Kwon 2010; Ni and Nguyen 2007).  
253 In this research, a super-resolution reconstructing approach based on kernel ridge regression (KRR) was  
254 applied (Kim and Kwon 2010). The first step of this approach is to learn the relationship between the  
255 coarse and fine spatial resolution fraction change maps pair  $[\Delta_{T_p T_j}^c(k), \Delta_{T_p T_j}^f(k)]$ . Then, the learned  
256 relationship is applied to estimate the FR fraction change maps  $\Delta_{T_p}^f(k)$  and  $\Delta_{T_p T_j}^f(k)$  from  $\Delta_{T_p}^c(k)$   
257 and  $\Delta_{T_p T_j}^c(k)$  respectively. In the super-resolution reconstruction process, the FR fraction change maps  
258 are estimated class by class, and it has three main steps: training dataset generation, candidate neighbors  
259 search and fine image patch reconstruction.

### 260 **2.2.1 Training dataset generation**

261 The training dataset is used to obtain the relationship between the coarse and fine spatial resolution  
262 images. Instead of directly using the whole coarse and fine spatial resolution fraction change maps pair  
263  $[\Delta_{T_p T_j}^c(k), \Delta_{T_p T_j}^f(k)]$ , image patch pairs generated from them are used as the training dataset. As shown in  
264 Fig. 2, an example is used here to illustrate the generation process of image patch pairs in training dataset,  
265 where the spatial ratio  $z$  is set to be 4 and the window size  $P$  is set to be 3. The image patch pairs are  
266 composed of a large number of small sized coarse and fine spatial resolution image patch pairs extracted  
267 from corresponding fraction change maps of  $\Delta_{T_p T_j}^c(k)$  and  $\Delta_{T_p T_j}^f(k)$ . Let  $X_{T_{ij},k} = \{x_{T_{ij},k}^m\}_{m=1}^{M_1 \times M_2}$  be the

268 CR image patch sets generated from the  $k$ th CR fraction change map of  $\Delta_{T_j}^c(k)$ , and  $x_{T_{ij},k}^m$  be the  
 269  $m$ th CR image patch that is expressed as

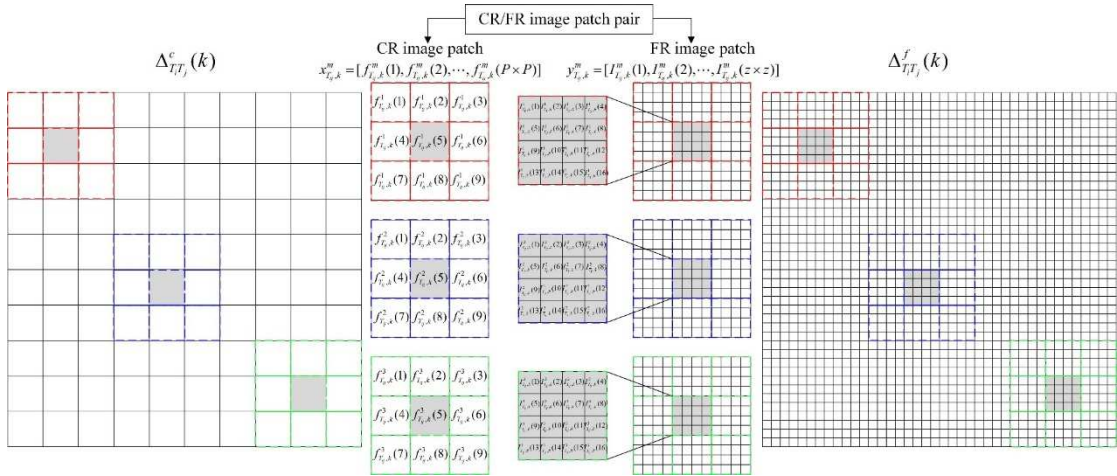
$$270 \quad x_{T_{ij},k}^m = [f_{T_{ij},k}^m(1), f_{T_{ij},k}^m(2), \dots, f_{T_{ij},k}^m(P \times P)], \quad (8)$$

271 where  $P$  is the square window size of the CR image patch and  $f_{T_{ij},k}^m(V)$  is the  $k$ th fraction change  
 272 value of coarse pixel  $V$  in the  $m$ th CR image patch. Let  $Y_{T_{ij},k}^m = \{y_{T_{ij},k}^m\}_{m=1}^{M_1 \times M_2}$  be the FR image patch

273 sets generated from the  $k$ th fraction change map of  $\Delta_{T_j}^f$ , and  $y_{T_{ij},k}^m$  be the  $m$ th FR patch that is

$$274 \quad y_{T_{ij},k}^m = [I_{T_{ij},k}^m(1), I_{T_{ij},k}^m(2), \dots, I_{T_{ij},k}^m(z \times z)], \quad (9)$$

275 where  $I_{T_{ij},k}^m(v)$  is the  $k$ th fraction change value of the fine pixel  $v$  in the  $m$ th FR image patch.



276

277 Figure 2. An example of a coarse and fine spatial resolution image patch pair in the training dataset.

278 As shown in Fig. 2,  $y_{T_{ij},k}^m$  contains  $z \times z$  fine pixels within the  $m$ th central coarse pixel, and  
 279  $x_{T_{ij},k}^m$  contains  $P \times P$  coarse pixels which is composed of the  $m$ th central pixel and neighboring  
 280  $P \times P - 1$  coarse pixels. Training dataset is denoted as  $[X_{T_{ij},k}, Y_{T_{ij},k}]$  which is composed of the image

281 pairs of CR image patches  $X_{T_{ij},k}$  and FR image patches  $Y_{T_{ij},k}$  for land cover class  $k$ , where

282  $X_{T_{ij},k} \in \Delta_{T_j}^c$  and  $Y_{T_{ij},k} \in \Delta_{T_j}^f$ . Therefore, there is a total of  $M_1 \times M_2$  image patch pairs in the training

283 dataset  $[X_{T_{ij},k}, Y_{T_{ij},k}]$ . More information about the training dataset generating process could be found in

284 Zhang et al. (2014) and Ling et al. (2016b).

285 **2.2.2 Searching for candidate neighboring patch pairs**

286 To reconstruct the FR fraction change maps  $\Delta_{T_p}^f(k)$  and  $\Delta_{T_p T_j}^f(k)$  by using the training dataset  
 287  $[X_{T_{ij},k}, Y_{T_{ij},k}]$ , similar CR and FR patch pairs need to be searched from the training dataset for each CR  
 288 patch in the CR fraction change maps  $\Delta_{T_p}^c(k)$  and  $\Delta_{T_p T_j}^c(k)$ . Let  $X_{T,k} = \{x_{T,k}^m\}_{m=1}^{M_1 \times M_2}$  be the CR  
 289 patches dataset generated from the input  $k$ th CR fraction change maps of  $\Delta_{T_p}^c(k)$  or  $\Delta_{T_p T_j}^c(k)$ . For  
 290 a certain CR patch  $x_{T,k}^m$ , similar CR patches in the training dataset  $[X_{T_{ij},k}, Y_{T_{ij},k}]$  can be searched  
 291 according to the following criterion

$$292 \quad \Delta f(x_{T,k}^m, x_{T_{ij},k}^m) = \sqrt{\frac{1}{P \times P} \sum_{V=1}^{P \times P} (f_{T,k}^m(V) - f_{T_{ij},k}^m(V))^2} < \theta, \quad (10)$$

293 where  $\Delta f(x_{T,k}^m, x_{T_{ij},k}^m)$  is the difference of fraction change values between the CR patch  $x_{T,k}^m$  in  
 294  $\Delta_{T_p}^c(k)$  or  $\Delta_{T_p T_j}^c(k)$  and  $x_{T_{ij},k}^m$  in the training dataset  $[X_{T_{ij},k}, Y_{T_{ij},k}]$ .  $f_{T,k}^m(V)$  is the fraction change  
 295 value of pixel  $V$  in CR patch  $x_{T,k}^m$ , and  $f_{T_{ij},k}^m(V)$  is the fraction change value of the corresponding  
 296 pixel  $V$  in CR patch  $x_{T_{ij},k}^m$ . The more similar the patches  $x_{T,k}^m$  and  $x_{T_{ij},k}^m$ , the less the value of  $\Delta f$ .  
 297 The threshold  $\theta$  is a pre-defined parameter that is the tolerable fraction difference between two patches.

298 If the  $\Delta f$  between patches  $x_{T_{ij},k}^m$  and  $x_{T,k}^m$  is less than the threshold value  $\theta$ ,  $x_{T_{ij},k}^m$  in training  
 299 dataset is thus considered as the neighboring patch of  $x_{T,k}^m$ . It is assumed that if the CR patches  $x_{T_{ij},k}^m$   
 300 and  $x_{T,k}^m$  have a similar spatial pattern, their corresponding FR patches  $y_{T_{ij},k}^m$  and predicted  $y_{T,k}^m$   
 301 should also be similar to each other (Freeman et al. 2002).  $[x_{T_{ij},k}^m, y_{T_{ij},k}^m]$  is thus regarded as the candidate  
 302 neighboring patch pair for CR patch  $x_{T,k}^m$  in  $\Delta_{T_p}^c(k)$  or  $\Delta_{T_p T_j}^c(k)$ . It is noteworthy that only one  
 303 candidate neighboring patch pair is always insufficient for the predicting of FR patch  $y_{T,k}^m$ . We assume  
 304 that  $N$  candidate neighboring image patch pairs, which are represented as  $\{x_{T_{ij},k}^m(l), y_{T_{ij},k}^m(l)\}_{l=1}^N$ , have been  
 305 searched from the training dataset  $[X_{T_{ij},k}, Y_{T_{ij},k}]$ .



306 However, different CR patch should have different threshold value  $\theta$ , and it is almost infeasible  
 307 to define a fixed  $\theta$  to search  $N$  candidate neighboring image patch pairs for various CR patches. An  
 308 alternative solution for this is to directly find the  $N$  nearest neighboring patches from the training dataset  
 309 for each CR patch. It is assumed that if there were enough elements in the training dataset, the searched  
 310 nearest neighboring patches would be regarded as the  $N$  candidate neighboring image patch pairs. K-D  
 311 tree search algorithm (Bentley 1975; Freeman et al. 2002) is used here to find the  $N$  nearest neighboring  
 312 patches from the training dataset, as it holds the advantages of simple and efficient. K-D tree search  
 313 algorithm first builds a K-D tree struct (with  $M_1 \times M_2$  elements) from the training dataset  $\left[ X_{T_{ij},k}, Y_{T_{ij},k} \right]$   
 314  $\Delta f$ , which are values between all of the  $M_1 \times M_2$  CR patch  $x_{T_{ij},k}^m$  and each input CR patch  $x_{T,k}^m$ ,  
 315 are then calculated. Finally, all of the  $\Delta f$  values are arranged in an ascending order, and the first  $N$   
 316 elements are, therefore, regarded as the candidate neighboring image patch pairs. The searched  $N$   
 317 candidate neighboring image patch pairs  $\left\{ x_{T_{ij},k}^m(l), y_{T_{ij},k}^m(l) \right\}_{l=1}^N$  are used to reconstruct the latent FR  
 318 fraction change maps  $\Delta_{T_p}^f(k)$  and  $\Delta_{T_p T_j}^f(k)$ .

### 319 **2.2.3 FR fraction change map estimation with KRR**

320 Let  $y_{T,k}^m$  be the HR patch of the input LR patch  $x_{T,k}^m$  extracted from the CR fraction change map  
 321  $\Delta_{T_p}^c(k)$  or  $\Delta_{T_p T_j}^c(k)$ ,  $y_{T_{ij},k}^m$  and  $x_{T_{ij},k}^m$  be the HR and LR patch pair extracted from the FR and CR  
 322 fraction change maps  $\Delta_{T_j}^c(k)$  and  $\Delta_{T_j}^f(k)$ . If the root mean square error between  $x_{T,k}^m$  and  $x_{T_{ij},k}^m$  is  
 323 lower than a value (e.g. 0.10), it is considered that  $y_{T,k}^m$  is equal to  $y_{T_{ij},k}^m$ . Otherwise, the estimation of  
 324  $y_{T,k}^m$  is based on the similar neighbors searched from candidate image patch pairs  $\left\{ x_{T_{ij},k}^m(l), y_{T_{ij},k}^m(l) \right\}_{l=1}^N$ .  
 325 Since  $x_{T,k}^m$  and  $x_{T_{ij},k}^m(l)$  are similar, we also consider that the spatial distribution information of the  
 326 predicted  $y_{T,k}^m$  within  $x_{T,k}^m$  should be similar to that of  $y_{T_{ij},k}^m(l)$  within  $x_{T_{ij},k}^m(l)$ . Given the searched

327 similar image patch pairs  $\{x_{T_{ij},k}^m(I), y_{T_{ij},k}^m(I)\}_{I=1}^N$ , the machine learning approach of KRR (Kim and Kwon  
 328 2010) is applied here to estimate the FR fraction change image patch  $y_{T,k}^m$ .

329 Assume a function model  $y = f(x) + w$ , where  $w$  is the estimation noise,  $x$  is the input  
 330 variable and  $y$  is the corresponding regression value, KRR aims to estimate the regression function

331  $f$ . Given a set of training data  $\{(x_{T_{ij},k}^m(1), y_{T_{ij},k}^m(1)), \dots, (x_{T_{ij},k}^m(N), y_{T_{ij},k}^m(N))\}$ , we can estimate  $\hat{f}$  by

332 solving an optimization problem:

$$333 \quad \hat{f} = \arg \min_{f \in H} \frac{1}{2} \sum_{m=1}^N (y_{T_{ij},k}^m - f(x_{T_{ij},k}^m))^2 + \frac{\lambda}{2} \|f\|_H^2, \quad (11)$$

334 where  $H$  is a kernel Hilbert space with kernel  $K$ , and  $\lambda$  is a regularization constant parameter. The

335 first term of equation (11) is the data fidelity term, while the second is the regularization term. Then the

336 optimal solution for  $\hat{f}$  from equation (11) has the following form:

$$337 \quad \hat{f}(\cdot) = \sum_{m=1}^N \alpha_m \cdot K(\cdot, x_{T_{ij},k}^m), \quad (12)$$

$$338 \quad \|f\|_H^2 = \sum_{n,m=1}^N \alpha_n \alpha_m K(x_{T_{ij},k}^n, x_{T_{ij},k}^m). \quad (13)$$

339 Let  $\mathbf{y} = [y_{T_{ij},k}^1, \dots, y_{T_{ij},k}^N]$  and  $\mathbf{K} = \mathbf{K}_{nm} = K(x_{T_{ij},k}^n, x_{T_{ij},k}^m)$ , and then the original optimization

340 problem shown in equation (11) is formulated as:

$$341 \quad \hat{\alpha} = \arg \min \frac{1}{2} \|\mathbf{y} - \mathbf{K}\alpha\|_2^2 + \frac{\lambda}{2} \alpha^T \mathbf{K}\alpha, \quad (14)$$

342 by calculating the gradient of equation (14), we can obtain the following equation:

$$343 \quad \nabla C(\alpha) = -\mathbf{K}\mathbf{y} + \mathbf{K}^2\alpha + \lambda\mathbf{K}\alpha = 0. \quad (15)$$

344 One solution for equation (15) is  $\hat{\alpha} = (\mathbf{K} + \lambda\mathbf{I})^{-1}\mathbf{y}$ , and this is the only solution due to the form of

345  $\hat{f}(\cdot)$ . Therefore, the estimate of  $\hat{f}(\cdot)$  is:

$$346 \quad \hat{f}(\cdot) = \sum_{n=1}^N \hat{\alpha}_n K(\cdot, x_{T_{ij},k}^n), \quad (16)$$

347 In this research, the kernel function  $K$  is based on a Gaussian kernel and is presented as:

348 
$$K(s,t) = \exp\left(-\frac{\|s-t\|^2}{\delta}\right). \quad (17)$$

349 Therefore, for any input LR image patch  $x_{T,k}^m$ , the corresponding FR image patch  $y_{T,k}^m$  can be  
 350 predicted by equation (16). Once the FR image patches dataset  $\{y_{T,k}^m, m=1, \dots, M_1 \times M_2\}$  has been  
 351 produced, the FR fraction change maps  $\Delta_{T_i T_j}^f(k)$  and  $\Delta_{T_p T_j}^f(k)$  can then be produced by merging the  
 352 FR image patches with a spatial averaging filter. More information about the merging process is  
 353 presented in Zhang et al. (2015).

### 354 **2.3 Final FR fraction map estimation**

355 With the estimated FR fraction change maps  $\Delta_{T_i T_p}^f$  and  $\Delta_{T_p T_j}^f$ , the final FR fraction maps  $\mathbf{F}_{T_p}^f$  can,  
 356 thus, be predicted using equation (3). To take advantage of the predicted results being based on the FR  
 357 fraction maps  $\mathbf{F}_{T_i}^f$  and  $\mathbf{F}_{T_j}^f$  that respectively pre- and post-date it, a temporal weighted model is used  
 358 here to predict  $\mathbf{F}_{T_p}^f$ . In the absence of knowledge on the land cover changes, the model is based on the  
 359 assumption that the FR fraction maps at time  $T_p$  are a linearly weighted combination of the FR fraction  
 360 maps and corresponding FR fraction change maps at both pre- and post-time  $T_i$  and  $T_j$ . Consequently,  
 361  $\mathbf{F}_{T_p}^f$  is predicted as:

362 
$$\mathbf{F}_{T_p}^f = \frac{\mathbf{c}_{T_p T_j}}{\mathbf{c}_{T_i T_p} + \mathbf{c}_{T_p T_j}} \cdot (\mathbf{F}_{T_i}^f + \Delta_{T_i T_p}^f) + \frac{\mathbf{c}_{T_i T_p}}{\mathbf{c}_{T_i T_p} + \mathbf{c}_{T_p T_j}} \cdot (\mathbf{F}_{T_j}^f + \Delta_{T_p T_j}^f), \quad (18)$$

363 where  $\mathbf{c}_{T_i T_p} = [c_{T_i T_p}^1, \dots, c_{T_i T_p}^n]$  is the change ratio vector between fraction maps  $\mathbf{F}_{T_i}^c$  and  $\mathbf{F}_{T_p}^c$ , and  
 364  $\mathbf{c}_{T_p T_j} = [c_{T_p T_j}^1, \dots, c_{T_p T_j}^n]$  is the change ratio vector between fraction maps  $\mathbf{F}_{T_p}^c$  and  $\mathbf{F}_{T_j}^c$ .  $c_{T_i T_p}^k$  and  
 365  $c_{T_p T_j}^k$  are the change ratio between fraction maps of the  $k$ th land cover class ( $k \in 1, \dots, K$ ), and they  
 366 are presented as

367 
$$c_{T_i T_p}^k = \sqrt{\frac{1}{M_1 \times M_2} \sum_{V=1}^{M_1 \times M_2} (f_{T_i,k}(V) - f_{T_p,k}(V))^2}, \quad (19)$$

368 
$$c_{T_p T_j}^k = \sqrt{\frac{1}{M_1 \times M_2} \sum_{v=1}^{M_1 \times M_2} (f_{T_p,k}(V) - f_{T_j,k}(V))^2}, \quad (20)$$

369 where  $f_{T_i,k}(V)$ ,  $f_{T_p,k}(V)$  and  $f_{T_j,k}(V)$  are the fraction values for coarse pixel  $V$  in the  $k$ th  
 370 fraction maps  $\mathbf{F}_{T_i}^c$ ,  $\mathbf{F}_{T_p}^c$  and  $\mathbf{F}_{T_j}^c$ . Since  $\mathbf{c}_{T_i T_p}$  and  $\mathbf{c}_{T_p T_j}$  can be calculated from  $\mathbf{F}_{T_i}^c$ ,  $\mathbf{F}_{T_p}^c$  and  $\mathbf{F}_{T_j}^c$ ,  
 371 and  $\mathbf{F}_{T_i}^f$  and  $\mathbf{F}_{T_j}^f$  are already known, the final FR fraction map  $\mathbf{F}_{T_p}^f$  can be predicted once the FR  
 372 fraction change maps  $\Delta_{T_i T_p}^f$  and  $\Delta_{T_p T_j}^f$  have been estimated.

373 Theoretically, fraction values of the different land cover classes in the predicted FR fraction maps  
 374  $\mathbf{F}_{T_p}^f$  should be in the range of 0 and 1, and the sum of fraction values of different land cover class for  
 375 each fine pixel in  $\mathbf{F}_{T_p}^f$  should be exactly 1. To make the resultant FR fraction maps  $\mathbf{F}_{T_p}^f$  satisfy both  
 376 restrictions, a normalization operation is further applied. Let  $I_{T_p,k}(v)$  be the fraction value of fine pixel  
 377  $v$  in the  $k$ th fraction map of original predicted  $\mathbf{F}_{T_p}^f$  and  $I_{T_p,k}^*(v)$  be the corrected fraction values  
 378 in the normalized  $\mathbf{F}_{T_p}^f$ , and  $I_{T_p,k}^*(v)$  be expressed as

379 
$$I_{T_p,k}^*(v) = \frac{I_{T_p,k}(v)}{\sum_{k=1}^K I_{T_p,k}(v)}. \quad (21)$$

## 380 **2.4 Accuracy Assessment**

381 Four indices are used for the quantitative evaluation of the resultant FR fraction maps obtained from  
 382 the various approaches: the correlation coefficient (CC), root mean square error (RMSE), absolute  
 383 average difference (AAD), and universal image quality index (UIQI) (Wang and Bovik 2002). The CC  
 384 index indicates the degree of correlation (or similarity) between the predicted and reference fraction maps,  
 385 and its value lies in the range of 0 and 1, where a larger value means a better match. By contrast, RMSE  
 386 reflects the difference between the predicted and reference fraction maps with small RMSE values  
 387 indicating a closer match, the ideal value of RMSE is 0. AAD is used to assess the average bias of the

388 individual predicted fraction maps, with small values indicating high quality. UIQI accounts for an  
389 estimation of CC and differences in the mean luminance and contrast, and it was designed to overcome  
390 some limitations of RMSE (Vivone et al. 2015). UIQI varies in the range of -1 to 1, and larger values  
391 denote better fidelity to the reference fraction maps.  
392

### 3. Experiments and results

393

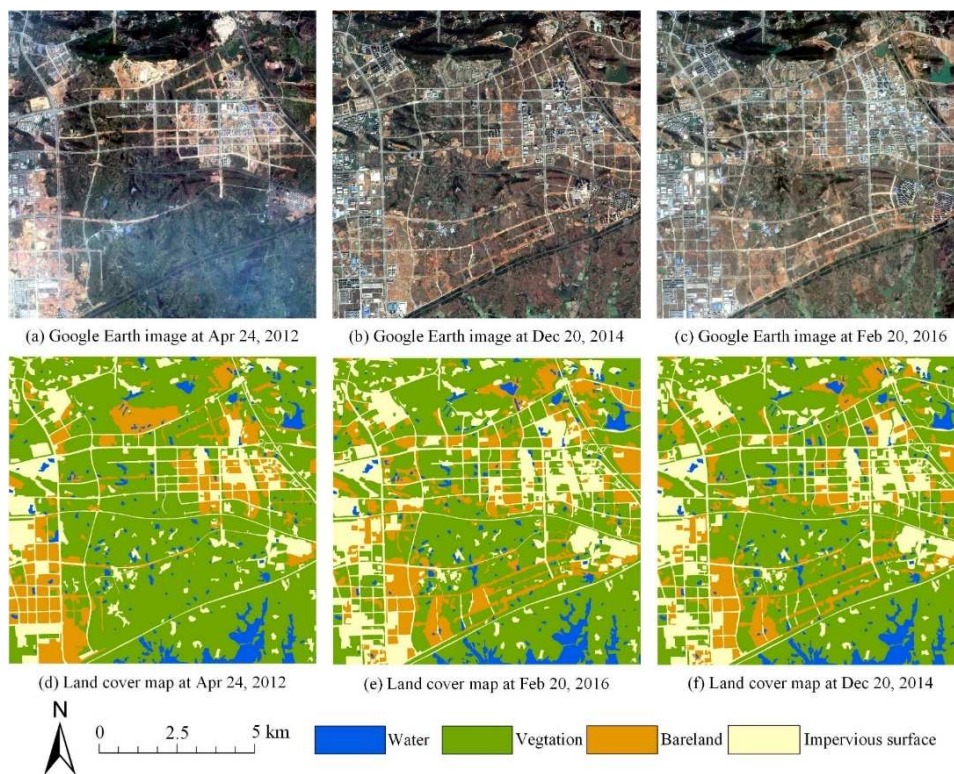
394 To assess the performance of the proposed STFMF approach, two experiments based on the  
395 synthetic fraction maps simulated from Google Earth images (GEI), as well as synthetic and real MODIS-  
396 Landsat images for study areas with different land cover mosaics were undertaken. In the first experiment,  
397 the input fraction maps were simulated by downscaling the FR GEI land cover maps. In the second  
398 experiment, the input fraction maps were generated from the MODIS and Landsat images using the linear  
399 spectral mixture (LSM) model (Keshava and Mustard 2002). To implement the LSM model in the  
400 MODIS-Landsat experiment, spectral endmembers were obtained using the Pixel Purity Index algorithm  
401 (Chang and Plaza 2006) and manual selection, and the fully constrained least squares spectral unmixing  
402 analysis (Heylen et al. 2011) was applied to generate fraction maps from the MODIS and Landsat images.

403 Two popular spatial-temporal reflectance fusion algorithms, that is, ESTARFM (Zhu et al. 2010)  
404 and FSDAF (Zhu et al. 2016), are used as the comparative methods against which the performance of  
405 STFMF was evaluated. ESTARFM needs two pairs of CR and FR remotely sensed reflectance images,  
406 and both coarse and fine spatial resolution remotely sensed reflectance images at  $T_i$  and  $T_j$  were  
407 used as the input. FSDAF needs only one reflectance image pair. To have a comprehensive comparison,  
408 FSDAF based on the reflectance image pair at  $T_i$  and FSDAF based on the image pair at  $T_j$  were  
409 applied as the comparative methods.

#### 410 *3.1 The GEI experiment*

411 The study area of this experiment is Wuhan city, China. With the FR (5 m) GEIs [see Figs. 3(a)-(c)]  
412 acquired on April 24, 2012, December 20, 2014 and February 20, 2016, the corresponding FR land cover  
413 maps, as shown in Figs. 3 (d)-(f), were generated by manually digitizing. Each of the land cover maps

414 includes four land cover classes of water, vegetation, bareland and impervious surface. Then, the 30 m  
 415 Landsat-like fraction maps and the 480 m MODIS-like fraction maps were simulated from the FR land  
 416 cover maps by spatial degrading. The original GEI contains  $1920 \times 1920$  pixels, and thus the Landsat-  
 417 like fraction map contains  $320 \times 320$  pixels and the MODIS-like fraction map contains  $20 \times 20$  pixels.  
 418 The MODIS-like fraction maps at 2014 were used as the input CR images at the predicted time (e.g.  $T_p$ ).  
 419 ESTARFM, FSDAF and STFMF were then applied to produce the Landsat-like FR fraction maps at 2014.

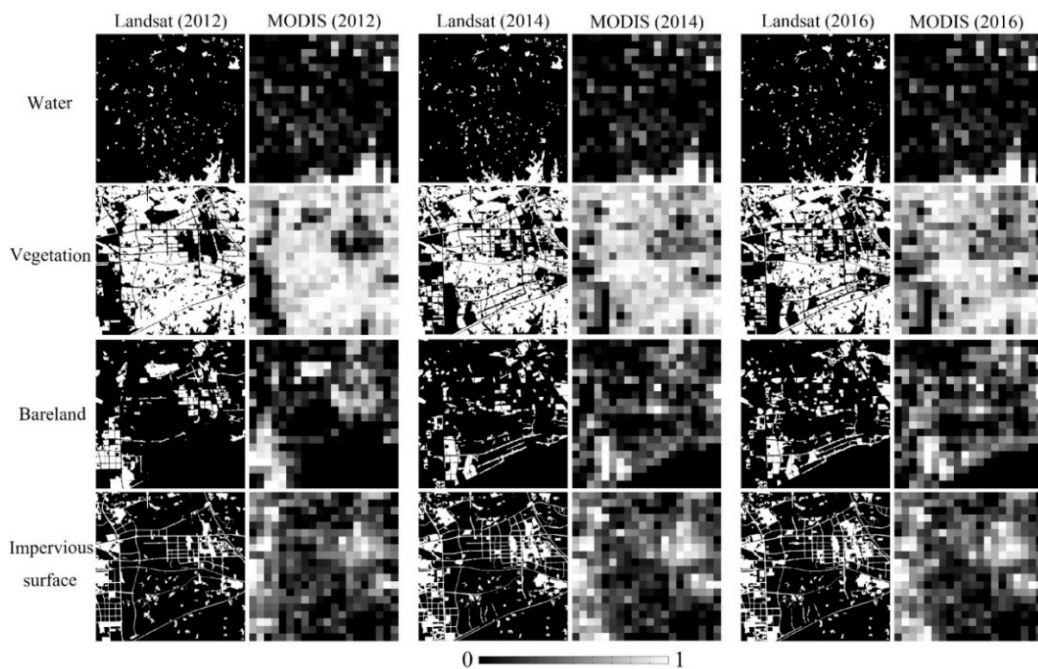


420

421 Figure 3. Time-series 5 m Google Earth images and corresponding land cover maps in the GEI experiment.

422 For ESTARFM and FSDAF, they were designed originally to predict FR reflectance images. As  
 423 there are no satellite reflectance images in the GEI experiment, the simulated fraction maps were then  
 424 used as the input of ESTARFM and FSDAF to directly predict the FR fraction maps at 2014. The Landsat-  
 425 like and MODIS-like fraction maps at 2012 and 2016 were used as the input FR and CR data that pre-  
 426 (e.g.  $T_i$ ) and post- (e.g.  $T_j$ ) the date of prediction in ESTARFM and STFMF. For FSDAF, as only one

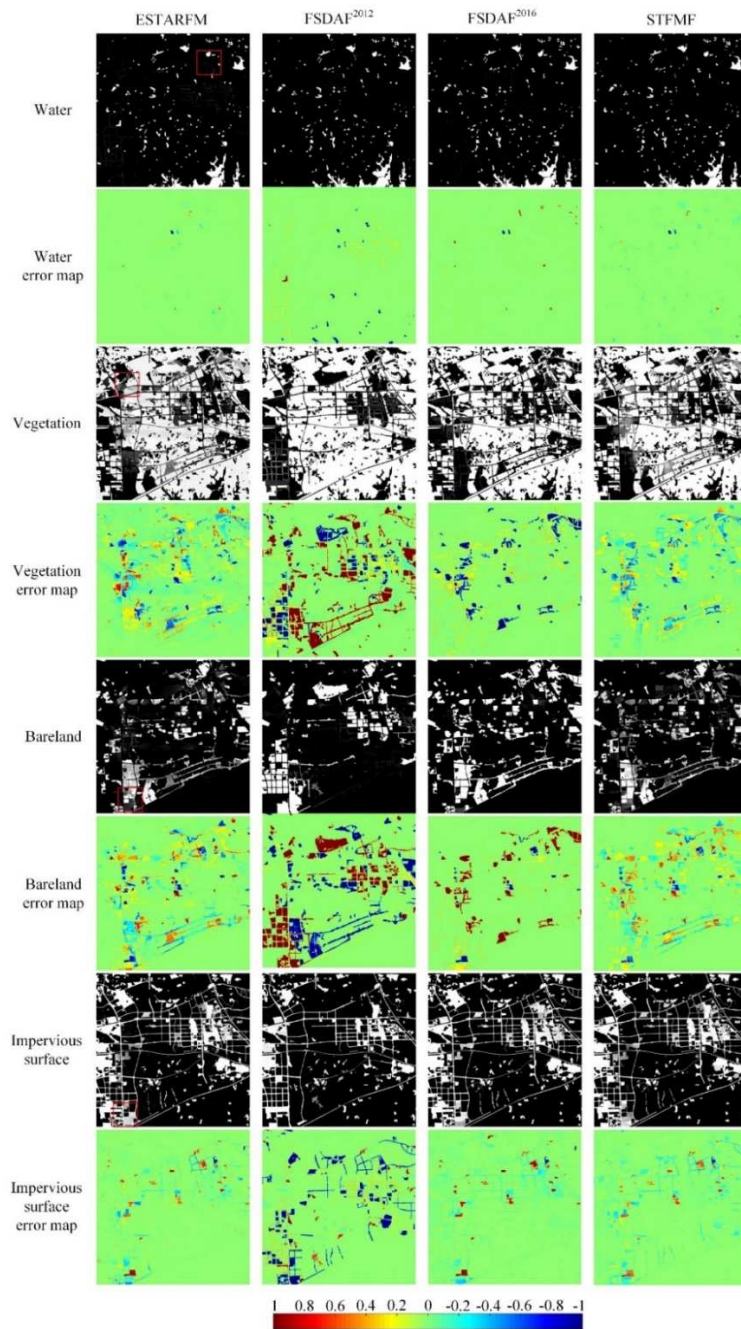
427 image pair pre- (2012) or post- (2016) the date of prediction is needed. The FSDAF based on the pair of  
 428 fraction maps that include the data for 2012 is regarded as FSDAF<sup>2012</sup>, while that based on the pair of  
 429 fraction maps that include the data for 2016 is regarded as FSDAF<sup>2016</sup>. The advantages of using simulated  
 430 fraction maps is that it could represent greater control on the errors arising from factors such as the  
 431 spectral unmixing analysis, geographical mis-registration and differences in satellite sensor properties.  
 432 Moreover, the reference data (e.g. Landsat-like fraction maps at 2014) are known at the date of prediction  
 433 and could thus be used objectively to assess the quality of results produced by different methods.



434

435 Figure 4. Time-series Landsat-like and MODIS-like fraction maps of four land covers in the GEI experiment.





436

437 Figure 5. FR fraction maps and corresponding fraction difference images produced by ESTARFM, FSDAF<sup>2012</sup>,  
 438 FSDAF<sup>2016</sup> and STFMF in the GEI experiment.

439 With the input multi-scale fraction maps shown in Fig. 4, the FR fraction maps and corresponding

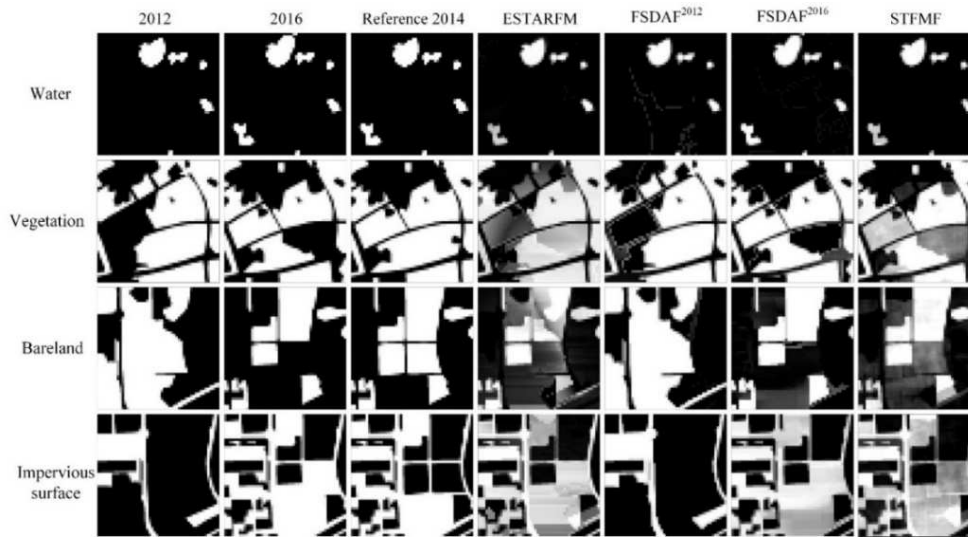
440 fraction error images produced by ESTARFM, FSDAF<sup>2012</sup>, FSDAF<sup>2016</sup> and STFMF are presented in Fig.

441 5. The fraction error images were generated by comparing the resultant FR fraction maps with the

442 reference FR fraction maps at 2014. Additionally, four enlarged subarea images with spatial size of 50 ×

443 50 pixels were shown in Fig. 6 to provide a clearer visual comparison of the results, and the red boxes in

444 Fig. 5 indicate the locations of the four enlarged subarea images.



445

446 Figure 6. FR fraction maps at the subarea of the rectangle as shown in Fig. 5 (with spatial size of  $50 \times 50$  pixels) for  
447 four land covers in the GEI experiment.

448 For the results of ESTARFM shown in Fig. 5, there were many pixels with mis-estimated fractional  
449 cover in the vegetation and bareland classes, and many pixels were over-estimated in the fraction maps  
450 of impervious surface. These errors arose because ESTARFM assumes that there were no land cover  
451 changes during the period spanned by the prediction process. Any areas that had undergone change would  
452 not be accurately estimated in the results. For FSDAF<sup>2012</sup> and FSDAF<sup>2016</sup>, there are more pixels with mis-  
453 estimated fractional cover. As presented in Fig. 6, the results of FSDAF<sup>2012</sup> and FSDAF<sup>2016</sup> are almost  
454 the same as the subarea fraction maps at 2012 and 2016 respectively. This is because FSDAF is  
455 mathematically based on the linear spectral mixture theory to detect temporal land cover change (Zhu et  
456 al. 2016). However, the input data of this GEI experiment are already the fraction maps that assumed to  
457 be perfectly generated by spectral unmixing, and the results of FSDAF would be similar to the pre- or  
458 post-time FR fraction maps. Focusing on the result of STFMF, it is evident that there are relatively few  
459 pixels with large mis-estimation errors indicated by dark blue and red colours in Fig. 5 and Fig. 6. Overall,  
460 it was evident that of the methods investigated the STFMF produced the FR fraction maps that were

461 visually closest to the reference FR fraction maps.

462 Table 1. Accuracy assessment of the FR fraction maps generated by different methods in the GEI experiment. (The  
463 bold means the best value)

		Ideal	ESTARFM	FSDAF <sup>2012</sup>	FSDAF <sup>2016</sup>	STFMF
CC	Water	1	<b>0.9941</b>	0.9428	0.9810	0.9908
	Vegetation	1	0.9408	0.6702	0.9099	<b>0.9603</b>
	Bareland	1	0.8710	0.2859	0.8192	<b>0.9000</b>
	Impervious surface	1	0.9724	0.7896	0.9678	<b>0.9774</b>
	Mean	1	0.9446	0.6721	0.9195	<b>0.9571</b>
RMSE	Water	0	<b>0.0224</b>	0.0693	0.0406	0.0284
	Vegetation	0	0.1555	0.3686	0.1944	<b>0.1289</b>
	Bareland	0	0.1554	0.3864	0.2086	<b>0.1383</b>
	Impervious surface	0	0.0905	0.2483	0.0976	<b>0.0827</b>
	Mean	0	0.1060	0.2681	0.1353	<b>0.0946</b>
AAD	Water	0	<b>0.0019</b>	0.0090	0.0039	0.0026
	Vegetation	0	0.0637	0.1619	0.0552	<b>0.0524</b>
	Bareland	0	0.0567	0.1697	0.0539	<b>0.0526</b>
	Impervious surface	0	0.0218	0.0817	0.0217	<b>0.0231</b>
	Mean	0	0.0361	0.1056	0.0337	<b>0.0327</b>
UIQI	Water	1	<b>0.9941</b>	0.9423	0.9799	0.9899
	Vegetation	1	0.9388	0.6666	0.9090	<b>0.9577</b>
	Bareland	1	0.8633	0.2830	0.7852	<b>0.8904</b>
	Impervious surface	1	0.9720	0.7738	0.9674	<b>0.9760</b>
	Mean	1	0.9420	0.6664	0.9104	<b>0.9535</b>

464 Table 1 exhibits the accuracy assessment of the FR fraction maps produced by four spatial-temporal  
465 fusion methods. FSDAF<sup>2012</sup> was associated with the worst accuracy values, particularly for the fraction  
466 maps of vegetation and bareland. The FSDAF<sup>2016</sup> results were better than those from the FSDAF<sup>2012</sup>,  
467 because FSDAF failed to estimate temporal land cover change, and land cover change between 2014 and  
468 2012 was larger than that between 2016 and 2014. ESTARFM produced fraction maps with higher  
469 accuracy values than those of FSDAF<sup>2012</sup> and FSDAF<sup>2016</sup>, as it can take advantage of both pre- and post-  
470 prediction date CR and FR fraction maps. Consistent with the abovementioned visual comparison, the  
471 FR fraction maps produced by STFMF achieved almost the largest CC and UIQI values and smallest  
472 RMSE and AAD values and had an obvious improvement by comparing with the results of ESTARFM

473 and FSDAF. This is because STFMMF can not only take the best advantages of both the CR and FR fraction  
474 maps at 2012 and 2014, but also effectively deal with the temporal land cover change.

### 475 ***3.2 The MODIS-Landsat experiment***

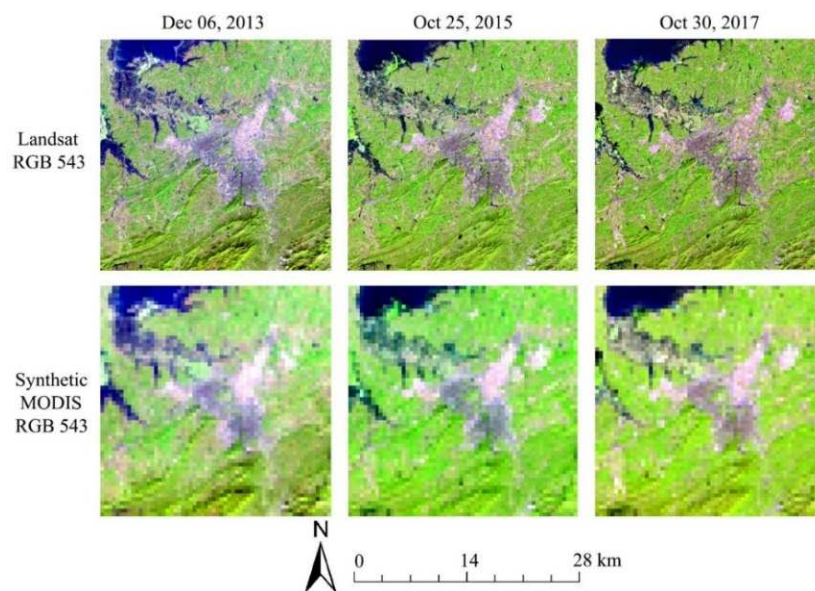
476 In order to have a comprehensive and rigorous validation of the performance of STFMMF for different  
477 landscapes, both synthetic and real MODIS-Landsat images covering areas with heterogeneous (urban  
478 area) and homogeneous (rainforest area) landscapes were used. In addition, this experiment sought to  
479 show that a dense time series of FR fraction maps could be produced.

480 In the following MODIS-Landsat experiments, all of the Landsat Operational Land Imager (OLI,  
481 path 123 and row 039) and Enhanced Thematic Mapper Plus (ETM+, path 226 and row 069) images  
482 were collected as the land surface reflectance products from the USGS Earth Explorer  
483 (<http://earthexplorer.usgs.gov>). Additionally, the MODIS/Terra Surface Reflectance Daily L2G Global  
484 composite product of MOD09GA images (MODIS tile: h12v10) were obtained from the NASA's Earth  
485 Observing System Data and Information System (EOSDIS, <http://reverb.echo.nasa.gov/reverb>). MODIS  
486 images based on MOD09GA product have a spatial resolution of nearly 480 m, and the spatial ratio  
487 between MODIS and Landsat images is 16. As MODIS and Landsat images have different geographic  
488 reference systems, all of the MODIS images were reprojected into the geographic reference system of  
489 the original Landsat OLI and ETM+ images: UTM, WGS 84.

#### 490 ***3.2.1 The urban area experiment***

491 In this experiment, synthetic MODIS-Landsat images located for the urban area of Xianning city,  
492 China, were used to validate the performance of STFMMF for a region with a heterogeneous land cover  
493 mosaic. Three cloud-free Landsat-8 Operational Land Imager (OLI) multispectral images acquired on

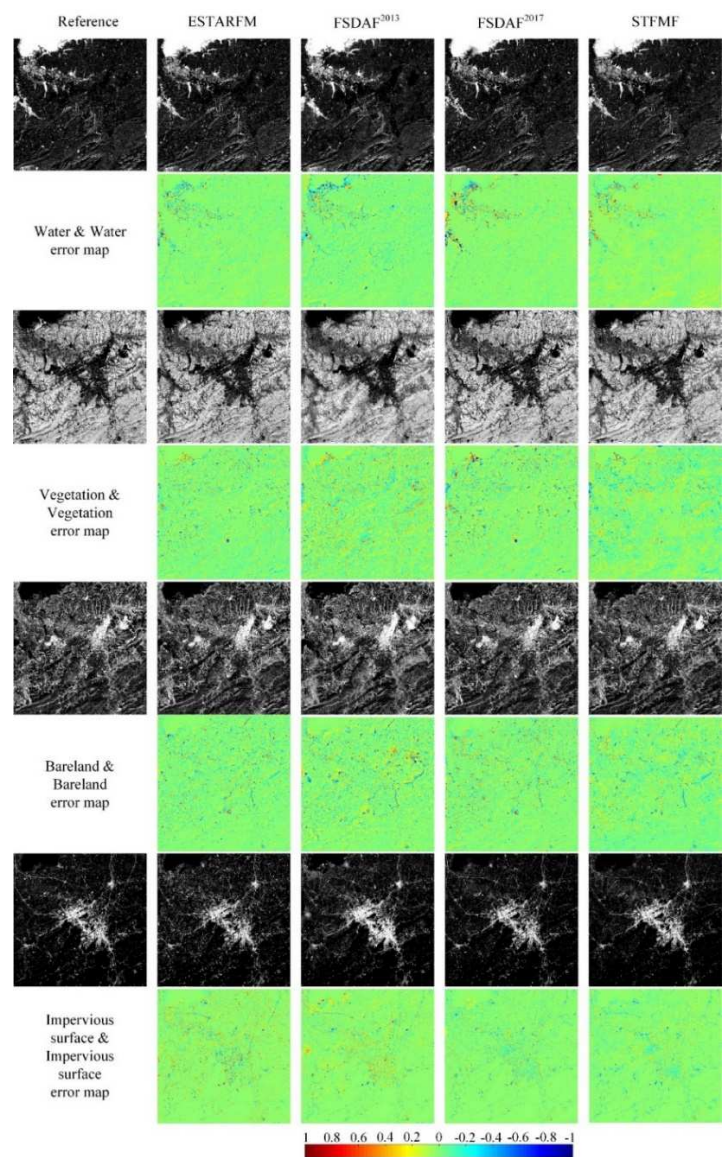
494 December 6, 2013, October 25, 2015 and October 30, 2017 were used as the FR Landsat images at times  
 495  $T_i$ ,  $T_p$  and  $T_j$ , respectively. As shown in the first row of Fig. 7, each of the three time-series Landsat  
 496 OLI images has a spatial size of 28.8 km  $\times$  28.8 km (960  $\times$  960 pixels). For the CR images, synthetic  
 497 MODIS images [see the second row of Fig. 7], comprising 60  $\times$  60 coarse pixels, were used; they were  
 498 downsampled from the three Landsat-8 OLI images by a spatial averaging process. It is noteworthy that  
 499 the synthetic MODIS images could represent greater control on the errors caused by satellite sensor  
 500 difference and could thus be used objectively to assess and comprise the quality of FR fraction maps  
 501 produced by different methods.



502  
 503 Figure 7. Landsat and downsampled MODIS images in the synthetic MODIS-Landsat experiment on urban area.

504 Time-series fine and coarse spatial resolution fraction maps of four land covers, water, vegetation,  
 505 bareland and impervious surface, were then produced from the Landsat-8 OLI and synthetic MODIS  
 506 images. With the generated MODIS and Landsat fraction maps at 2013 and 2017 and synthetic MODIS  
 507 fraction maps at 2015, the FR fraction maps at 2015 were produced by the proposed STFMM approach.  
 508 For ESTARFM and FSDAF, the inputs were the original Landsat-8 OLI reflectance images at 2013 and

509 2017, and corresponding synthetic and real MODIS reflectance images at 2015, the output was the  
 510 predicted Landsat-8 OLI images at 2015, which were then used to generate the final FR fraction maps of  
 511 four land cover classes at 2015. Fig. 8 shows the fraction maps produced by different methods for the  
 512 synthetic MODIS images and also presents the fraction error maps by comparing with the reference FR  
 513 fraction maps. Table 3 reports the accuracy assessment of the resultant FR fraction maps.



514  
 515 Figure 8. Reference FR fraction maps, resultant FR fraction maps and fraction error maps in the synthetic MODIS-  
 516 Landsat experiment on urban area.

517 For ESTARFM, as shown in the second column of Fig. 8, the fraction maps of water and bareland

518 have many pixels with under-estimated fractional value (blue pixels in the error map), while the  
519 vegetation and impervious surface fraction maps have many pixels with over-estimated fractional values  
520 (red pixels in the error map) around the boundaries. Compared with ESTARFM, more pixels with mis-  
521 estimated fractional cover can be found in the results of FSDAF<sup>2013</sup>, especially for the fraction maps of  
522 vegetation and bareland. By contrast, for the vegetation and impervious surface fraction maps of  
523 FSDAF<sup>2017</sup>, the result was superior to those from ESATRFM and FSDAF<sup>2013</sup>. Although FSDAF has the  
524 ability to deal with land cover change to some extent, it is still sensitive to land cover change. Focusing  
525 on the results of the proposed STFMF approach, it was evident that there are fewer pixels with large mis-  
526 estimation of fractional cover in the error maps in comparison to those from the other methods. In  
527 addition, more spatial detail, such as of the linear water feature, was evident in the results, and the  
528 boundaries of different land cover features were represented most clearly. The FR fraction maps produced  
529 by STFMF are visually closest to the reference FR fraction maps.

530 Table 2 reports the accuracy assessment, although the water, vegetation and bareland fraction maps  
531 of ESTARFM were more accurate than those from FSDAF<sup>2013</sup> and FSDAF<sup>2017</sup>, it had the smallest CC  
532 and UIQI values and largest RMSE and AAD values for the fraction map of the impervious surface.  
533 Compared with FSDAF<sup>2013</sup> and FSDAF<sup>2017</sup>, it can be found that the fraction maps of FSDAF<sup>2017</sup> have  
534 smaller CC and UIQI values and larger RMSE and AAD values than those of FSDAF<sup>2013</sup>. This is because  
535 the land cover change of fraction maps between 2013 and 2015 is larger than that between 2015 and  
536 2017. Consistent with visual comparison, by taking advantages of both the fine and coarse spatial  
537 resolution fraction maps at 2013 and 2017, the proposed STFMF approach produced the FR fraction  
538 maps with the largest CC and UIQI values and smallest RMSE and AAD values.

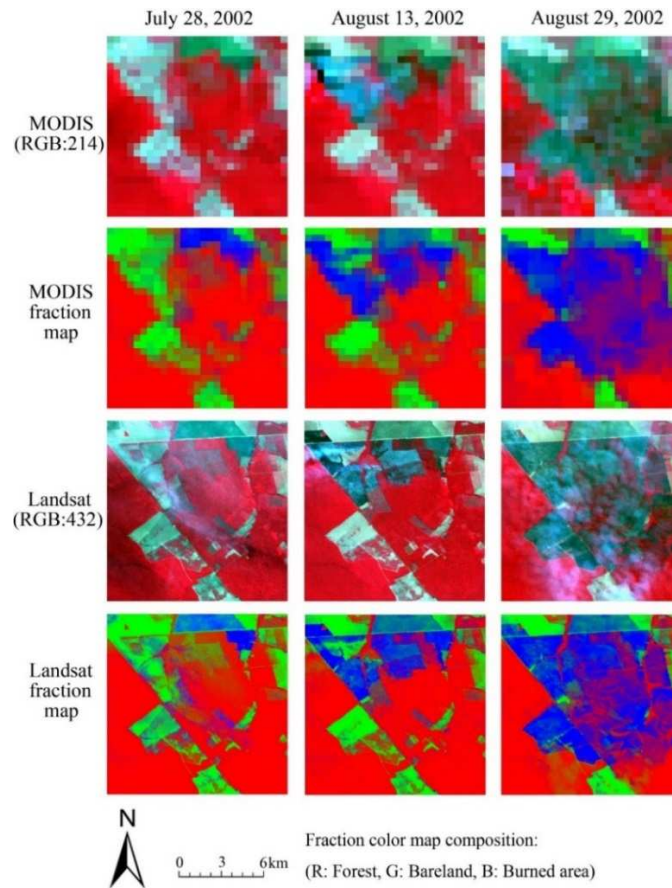
539 Table 2. Accuracy assessment of the FR fraction maps generated by different methods in the synthetic MODIS-  
540 Landsat experiment of an urban area. (The bold means the best value)

		Ideal	ESTARFM	FSDAF <sup>2013</sup>	FSDAF <sup>2017</sup>	STFMF
CC	Water	1	0.8974	0.8684	0.8780	<b>0.9107</b>
	Vegetation	1	0.8878	0.8374	0.8741	<b>0.8957</b>
	Bareland	1	0.8149	0.7624	0.7975	<b>0.8337</b>
	Impervious surface	1	0.6986	0.7274	0.7892	<b>0.8095</b>
	Mean	1	0.8247	0.7989	0.8347	<b>0.8624</b>
RMSE	Water	0	0.1086	0.1205	0.1200	<b>0.1023</b>
	Vegetation	0	0.1336	0.1565	0.1429	<b>0.1271</b>
	Bareland	0	0.1353	0.1516	0.1440	<b>0.1282</b>
	Impervious surface	0	0.1393	0.1244	0.1092	<b>0.1017</b>
	Mean	0	0.1292	0.1382	0.1290	<b>0.1148</b>
AAD	Water	0	0.0621	0.0722	0.0643	<b>0.0607</b>
	Vegetation	0	0.0888	0.1138	0.0926	<b>0.0873</b>
	Bareland	0	0.0846	0.0991	0.0883	<b>0.0821</b>
	Impervious surface	0	0.0675	0.0630	0.0498	<b>0.0491</b>
	Mean	0	0.0757	0.0870	0.0738	<b>0.0698</b>
UIQI	Water	1	0.8973	0.8596	0.8777	<b>0.9072</b>
	Vegetation	1	0.8874	0.8288	0.8741	<b>0.8926</b>
	Bareland	1	0.8105	0.7566	0.7970	<b>0.8286</b>
	Impervious surface	1	0.6861	0.7214	0.7850	<b>0.7990</b>
	Mean	1	0.8203	0.7916	0.8334	<b>0.8568</b>

### 541 3.2.2 The rainforest area experiment

542 Real MODIS-Landsat images of a region of rainforest were used to further validate the performance  
543 of the proposed STFMF approach for a relatively homogeneous landscape. A time-series cloud-free  
544 Landsat ETM+ images (path 226 and row 069) acquired on July 28, 2002 ( $T_i$ ), August 13, 2002 ( $T_p$ )  
545 and August 29, 2002 ( $T_j$ ) were used as the FR remotely sensed images. The corresponding real  
546 MOD09GA images (MODIS tile: h12v10) acquired at almost the same time as that of Landsat ETM+  
547 images were used as the CR remotely sensed image. As shown in Fig. 9, each band of the Landsat ETM+  
548 images includes  $432 \times 432$  pixels, and each band of the MOD09GA images contains  $27 \times 27$  pixels.  
549 Three land covers, forest, bareland and burned area, were studied and the fine and coarse spatial  
550 resolution fraction maps.

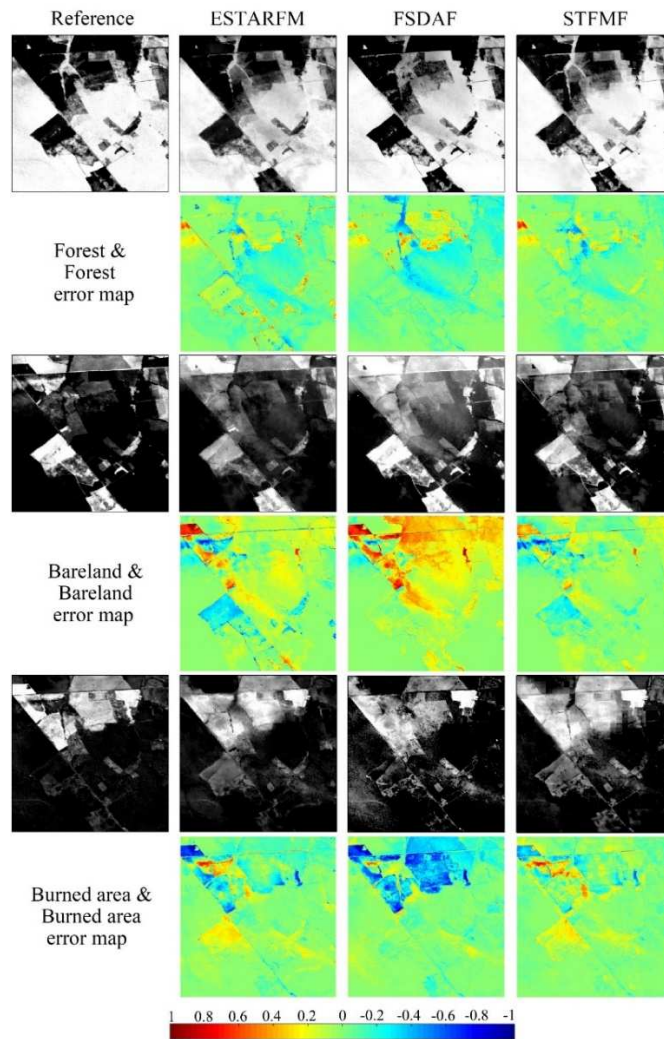




551

552 Figure 9. MODIS, Landsat reflectance images and fraction maps of forest, bareland and burned area in the MODIS-  
 553 Landsat experiment on rainforest area.

554 The Landsat ETM+ image acquired on August 13, 2002 was used to produce the reference FR  
 555 fraction maps. ESTARFM and FSDAF were applied for the original time-series Landsat and MODIS  
 556 reflectance images to predict the FR Landsat-like multispectral images. Specially, FSDAF is based on  
 557 the MODIS-Landsat images pair at  $T_i$ , as the fractional land cover change between  $T_p$  and  $T_j$  is  
 558 larger than that between  $T_i$  and  $T_p$ . As shown in the second and third rows of Fig. 10, the fused FR  
 559 reflectance images were used as the inputs of LSM to produce the Landsat-like fraction maps. With the  
 560 time-series Landsat and MODIS fraction maps, the proposed STFMF approach was used to produce the  
 561 Landsat-like fraction maps as shown in the last row of Fig. 10. Moreover, the corresponding fraction  
 562 error maps for different methods were generated by comparing with the reference FR fraction maps. The  
 563 accuracy assessment of the results generated by different fusion methods is listed in Table 3.



564

565 Figure 10. Reference FR fraction maps, resultant FR fraction maps and corresponding fraction error maps in the  
566 MODIS-Landsat experiment on rainforest area.

567 Similar trends as those observed in the MODIS-Landsat experiment on urban area can also be found

568 in this MODIS-Landsat experiment for the rainforest area. As shown in Fig. 10, due to the inability of

569 ESTARFM to deal with land cover changes, many pixels with over-estimated (red pixels in the error

570 maps) and under-estimated (blue pixels in the error maps) fractions appear in the results. Compared with

571 the ESTARFM results, there were fewer over-estimated forest fraction features in the output of FSDAF,

572 but more over-estimated bareland fraction features and under-estimated burned area fraction features

573 appear across the results. Overall, the results of FSDAF have the lowest accuracy values, as shown in

574 Table 3. This demonstrates that FSDAF is not able to deal with land cover change well in a real situation.

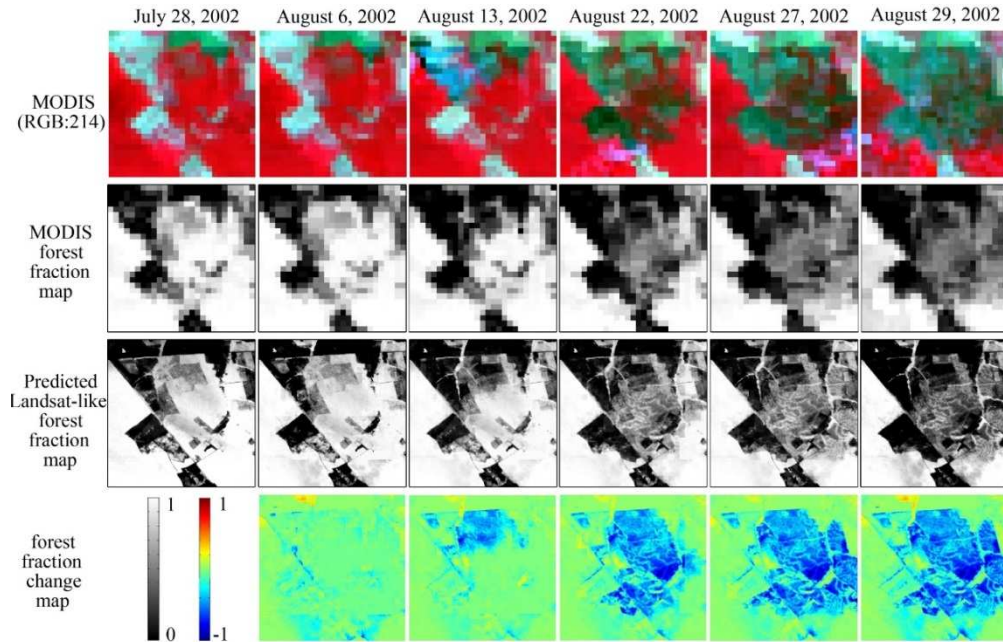
575 Notably, the results of the proposed STFMMF approach have fewer pixels with large fraction mis-  
576 estimation in the error maps, and the under-estimated and over-estimated fraction features decrease  
577 significantly. STFMMF produced FR fraction maps that were visually closer to the reference FR fraction  
578 maps shown in Fig. 10. For the accuracy assessment reported in Table 3, consistently with the above  
579 images experiments, STFMMF produced the FR fraction maps with the largest CC and UIQI values and  
580 smallest RMSE and AAD values, which highlights its potential for the production of FR fraction maps  
581 for a relatively homogeneous landscape even if land cover change may have occurred within a short time.

582 Table 3. Accuracy assessment of the fraction maps generated by different spatial-temporal fusion methods applied  
583 to the MODIS-Landsat experiment on rainforest area. (The bold means the best value)

		Ideal	ESTARFM	FSDAF	STFMMF
CC	Forest	1	0.9564	0.9522	<b>0.9721</b>
	Bareland	1	0.8360	0.8554	<b>0.9143</b>
	Burned area	1	0.8484	0.7634	<b>0.9042</b>
	Mean	1	0.8802	0.8570	<b>0.9302</b>
RMSE	Forest	0	0.1239	0.1337	<b>0.0971</b>
	Bareland	0	0.1697	0.2193	<b>0.1218</b>
	Burned area	0	0.1460	0.2048	<b>0.1177</b>
	Mean	0	0.1465	0.1859	<b>0.1122</b>
AAD	Forest	0	0.0800	0.0863	<b>0.0597</b>
	Bareland	0	0.1091	0.1462	<b>0.0725</b>
	Burned area	0	0.0845	0.1152	<b>0.0686</b>
	Mean	0	0.0912	0.1159	<b>0.0669</b>
UIQI	Forest	1	0.9519	0.9491	<b>0.9716</b>
	Bareland	1	0.8145	0.7469	<b>0.9099</b>
	Burned area	1	0.8260	0.5268	<b>0.9025</b>
	Mean	1	0.8642	0.7409	<b>0.9280</b>

584 Finally, STFMMF was used to generate a time series of FR fraction maps for the experiment focused  
585 on the rainforest. During the period from July 28, 2002 ( $T_i$ ) to August 29, 2002 ( $T_j$ ), as shown in the  
586 first row of Fig. 11, we collected four other scenes of MOD09GA images (cloud-free images covering  
587 the study site); however, there is only one scene of Landsat ETM+ image (acquired on August 13, 2002)  
588 covering the study site during  $T_i$  and  $T_j$ . To provide a greater understanding of the forest fraction

589 changes that occurred between  $T_i$  and  $T_j$ , it is of interest to obtain time-series fine spatial and  
 590 temporal forest fraction maps between  $T_i$  and  $T_j$  from the CR MODIS images applying STFMM.



591  
 592 Figure 11. Time-series MODIS reflectance images, MODIS forest fraction maps, predicted Landsat-like forest  
 593 fraction maps and forest fraction change maps between July 28, 2002 and August 29, 2002.

594 With the collected subarea MOD09GA images acquired on August 6, 13, 22 and 27 of 2002 and the  
 595 endmembers of three land cover classes of forest, bareland and burned area, the time-series MODIS  
 596 forest fraction maps were then generated by using LSM. Since the MODIS-Landsat forest fraction map  
 597 pairs at  $T_i$  and  $T_j$  are already known, four time-series FR forest fraction maps shown in Fig. 11 can,  
 598 thus, be reconstructed from the MODIS forest fraction maps at August 6, 13, 22 and 27 of 2002 ( $T_p$ ) by  
 599 using STFMM. Moreover, the last row of Fig. 11 shows the FR (Landsat-like) forest fraction change maps  
 600 at August 6, 13, 22, 27 and 29 of 2002 by comparing with the Landsat image-based forest fraction map  
 601 acquired on July 28, 2002.

602 From July 28, 2002 to August 29, 2002 (which is almost one month), there were substantial land  
 603 cover changes that occurred. By observing the time-series MODIS forest fraction maps shown in the

604 second row of Fig. 11, it is possible to observe the trend of forest fraction change that happened within  
605 the one-month period; however, due to the coarse spatial resolution of MODIS images, the detail about  
606 the spatial patterns of forest fraction change was almost lost. By contrast, the predicted time-series  
607 Landsat-like forest fraction maps contain greater spatial detail, especially some small-sized linear forest  
608 cover features. Simultaneously, the forest fraction change maps generated by using the predicted Landsat-  
609 like forest fraction maps exploit more spatial detail information about the forest cover change, in which  
610 the change of forest cover started at the north central part, and then spread from the northwest to the  
611 southeast. This experiment demonstrates the potential of STFMMF for generating a dense time-series of  
612 fine spatial and temporal forest fraction maps, which will provide more accurate information about where,  
613 when and how forest fraction changes occur through time. Critically, it allows exploitation of the high  
614 temporal resolution of CR MODIS imagery to provide FR land cover information.

615

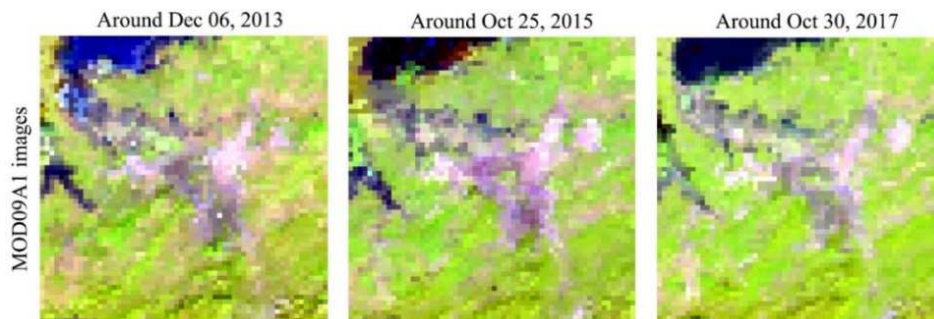
616

## 4. Discussion

617 In above synthetic and real experiments, STFMF achieved the most accurate FR fraction maps in  
618 both terms of visual and quantitative comparisons. In addition, STFMF showed great potential to produce  
619 a time series of FR land cover fraction maps from the high temporal resolution of CR images.

### 620 *4.1 Influence of satellite sensor difference*

621 In order to assess the influence of satellite sensor difference on the performance of the proposed  
622 STFMF model, the synthetic MODIS images were replaced by the real MODIS/Terra Surface  
623 Reflectance 8-Day L3 Global composite product of MOD09A1 images (Terra MODIS tile: h27v06), as  
624 shown in Fig. 12, in the MODIS-Landsat urban area experiment. Table 4 reports the accuracies of the FR  
625 fraction maps generated by different methods with real MODIS-Landsat images.



626

627 Figure 12. Real MODIS reflectance images (MOD09A1) in the MODIS-Landsat experiment on urban area.

628 The accuracy values [see Table 4] of the predicted FR fraction maps produced using real MODIS  
629 images were worse than those obtained through the use of synthetic MODIS images [see Table 2]. In  
630 particular,  $FSDAF^{2013}$  and  $FSDAF^{2017}$  showed a greater decline in accuracy relative to ESTARFM and  
631 STFMF. The mean CC values of  $FSDAF^{2013}$  and  $FSDAF^{2017}$  results decreased by 0.0785 and 0.0393,  
632 while those of ESTARFM and STFMF were 0.0162 and 0.0088 respectively. This indicates that the

633 satellite sensor difference would have a negative impact on the results of all spatial-temporal fusion  
634 methods, and especially for FSDAF. This is because there are no registration error and bandwidth  
635 difference between MODIS and Landsat images when the synthetic MODIS images were used while  
636 with the real MODIS images, errors associated with mis-registration and the bandwidth differences  
637 would be inherited into the results. However, when all spatial-temporal fusion methods are compared, a  
638 similar trend as that in the synthetic MODIS-Landsat experiment can also be observed. The fraction maps  
639 produced by STFMF had the better accuracy values in comparison to those from ESTARFM and FSDAF.  
640 Moreover, the decrease of CC, UIQI values and the increase of RMSE, AAD values for STFMF results  
641 were smaller than that of ESTARFM and FSDAF. This demonstrates that STFMF is more accurate, and  
642 less sensitive to the errors caused by differences in the satellite sensor data used.

643 Table 4. Accuracy assessment of the FR fraction maps generated by different methods in the real MODIS-Landsat  
644 experiment on urban area.

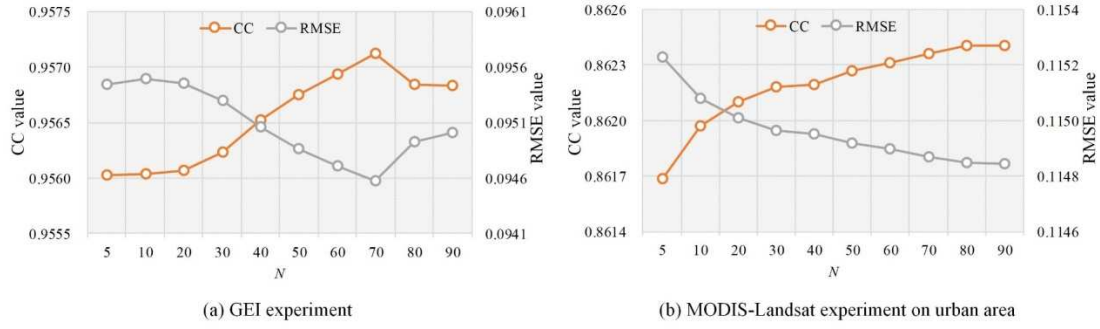
		ESTARFM	FSDAF <sup>2013</sup>	FSDAF <sup>2017</sup>	STFMF
CC	Water	0.8677(-0.0297)	0.8034(-0.0650)	0.8479(-0.0301)	0.9021(-0.0086)
	Vegetation	0.8670(-0.0208)	0.8028(-0.0346)	0.8486(-0.0255)	0.8890(-0.0066)
	Bareland	0.8005(-0.0143)	0.6946(-0.0678)	0.7431(-0.0545)	0.8243(-0.0094)
	Impervious surface	0.6985(-0.0001)	0.5808(-0.1465)	0.7420(-0.0471)	0.7989(-0.0106)
	Mean	0.8084(-0.0162)	0.7204(-0.0785)	0.7954(-0.0393)	0.8536(-0.0088)
RMSE	Water	0.1233(0.0148)	0.1468(0.0263)	0.1386(0.0186)	0.1074(0.0051)
	Vegetation	0.1520(0.0183)	0.1739(0.0174)	0.1615(0.0185)	0.1310(0.0039)
	Bareland	0.1536(0.0183)	0.1724(0.0208)	0.1678(0.0238)	0.1316(0.0033)
	Impervious surface	0.1444(0.0051)	0.1747(0.0504)	0.1272(0.0180)	0.1046(0.0028)
	Mean	0.1433(0.0141)	0.1669(0.0287)	0.1488(0.0197)	0.1186(0.0038)
AAD	Water	0.0739(0.0118)	0.0896(0.0174)	0.0805(0.0162)	0.0631(0.0024)
	Vegetation	0.1057(0.0169)	0.1296(0.0158)	0.1109(0.0182)	0.0900(0.0027)
	Bareland	0.1015(0.0169)	0.1175(0.0184)	0.1122(0.0239)	0.0851(0.0030)
	Impervious surface	0.0721(0.0046)	0.1021(0.0391)	0.0610(0.0112)	0.0510(0.0018)
	Mean	0.0883(0.0126)	0.1097(0.0227)	0.0911(0.0174)	0.0723(0.0025)
UIQI	Water	0.8673(-0.0300)	0.7919(-0.0678)	0.8394(-0.0383)	0.8978(-0.0093)
	Vegetation	0.8584(-0.0290)	0.7887(-0.0401)	0.8472(-0.0268)	0.8858(-0.0067)
	Bareland	0.7589(-0.0516)	0.6910(-0.0656)	0.7143(-0.0827)	0.8189(-0.0097)
	Impervious surface	0.6726(-0.0135)	0.5061(-0.2153)	0.7395(-0.0455)	0.7905(-0.0085)
	Mean	0.7893(-0.0310)	0.6944(-0.0972)	0.7851(-0.0483)	0.8483(-0.0086)

645 Note: The values in brackets indicate the difference between the real and synthetic MODIS-Landsat experiments on  
646 urban area, negative value means decreasing and positive value mean increasing.

#### 647 ***4.2 Influence of the number of candidate neighboring patch pairs***

648 The number of candidate neighboring patch pairs ( $N$ ) is a critical parameter in the KRR model used  
649 in STFMF. In order to evaluate how  $N$  influences the results of STFMF, the value of  $N$  was set at values  
650 varying from 5 to 90, and Fig. 13 reports the corresponding mean CC and RMSE values of four land  
651 cover fraction maps in the GEI experiment and the MODIS-Landsat urban area experiment. Generally,  
652 when  $N$  was very small, such as 5, the FR fraction maps of STFMF in both experiments had the lowest  
653 CC and highest RMSE values. This is because the use of few neighboring patch pairs results in a failure  
654 to provide enough FR spatial feature information for the prediction process. The CC values increased  
655 rapidly when  $N$  increased from 5 to 70 in the GEI experiment and 5 to 80 in the MODIS-Landsat  
656 experiment. With the continuous increase of  $N$  (e.g. larger than 70), the results of STFMF in the GEI  
657 experiment achieved decreasing CC values and increasing RMSE values. But for the MODIS-Landsat  
658 experiment, there was almost no obvious increase when  $N$  was larger than 80. Compared with the  
659 MODIS-Landsat experiment, the changes of CC and RMSE values for the results in GEI experiment are  
660 more sensitive to the variation of  $N$ , but similar trend of CC and RMSE values can be observed from  
661 them. Fig. 13 indicates that a larger value of  $N$  is suggested, but the STFMF results would have no  
662 obvious improvement when the value of  $N$  is set at a very large value (such as larger than 80). Moreover,  
663 it is noteworthy that the computation cost would increase rapidly with the increment of  $N$ . Therefore, in  
664 practice, if there is a specific limitation of the computation cost, it is suggested to set  $N$  as a relative small  
665 value, such as between 60 to 80.





666

667 Figure 13. Influence of the candidate neighboring patch pairs number ( $N$ ) on the STFMF results in the GEI  
 668 experiment and MODIS-Landsat experiment on urban area.

669 **4.3 Influence of fraction errors**

670 In order to have a quantitative analysis of the influence of fraction errors on the resultant FR fraction  
 671 maps of STFMF, the Gaussian noise was added into the synthetic time-series Landsat-like fraction maps  
 672 in the GEI experiment to simulate errors caused by different spectral unmixing methods. Table 5 lists the  
 673 accuracy assessment of STFMF results with different fraction error levels ranging from 0 to 0.2 with an  
 674 interval of 0.02. The corresponding input MODIS-like fraction maps in STFMF were downscaled from  
 675 the Gaussian noise-based Landsat-like fraction maps by spatially averaging. It is evident from table 5  
 676 that with the increment of fraction errors, the CC values of STFMF results had a continuous decrease,  
 677 while the RMSE values had a continuous increase. Moreover, the decrease of CC values and the increase  
 678 of RMSE values became larger with the increasing of fraction error. This illustrates that errors in fraction  
 679 maps would have a serious impact on the STFMF results. In practice, the fraction errors caused by  
 680 spectral unmixing vary from method to method, and more powerful spectral unmixing methods should  
 681 be applied to provide more accurate fraction maps, in order to finally improve the STFMF results.

682 Table 5. Accuracy assessment of the STFMF results with different fraction error levels in the GEI experiment.

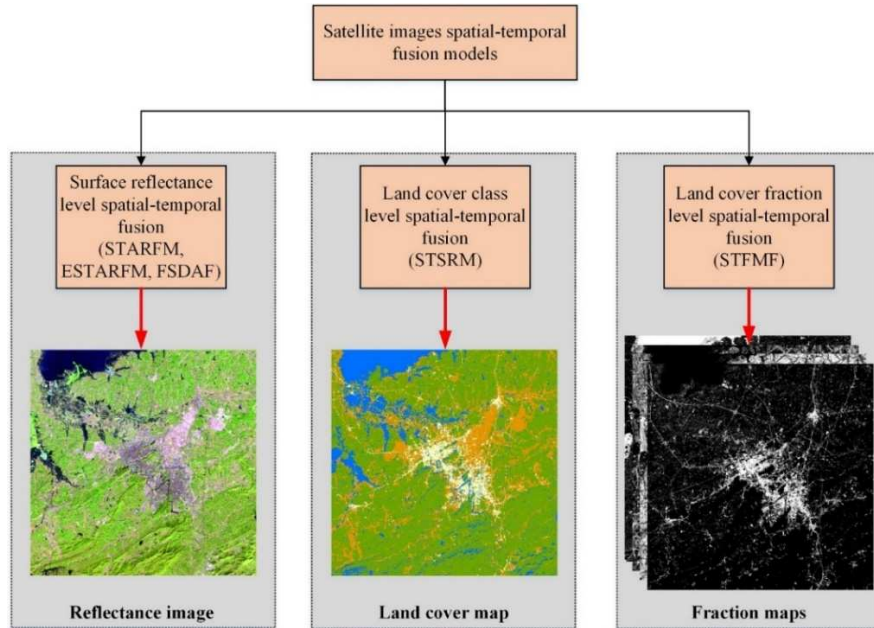
Fraction error	0	0.02	0.04	0.06	0.080	0.10	0.12	0.14	0.16	0.18	0.20	
CC	Water	0.9908	0.9888	0.9844	0.9768	0.9654	0.9497	0.9293	0.9050	0.8770	0.8401	0.7992

	Vegetation	0.9603	0.9596	0.9572	0.9537	0.9476	0.9407	0.9299	0.9185	0.9033	0.8849	0.8614
	Bareland	0.9000	0.8994	0.8957	0.8913	0.8826	0.8727	0.8586	0.8436	0.8212	0.7970	0.7669
	IS	0.9774	0.9769	0.9749	0.9706	0.9656	0.9581	0.9472	0.9337	0.9173	0.8972	0.8733
	Mean	0.9571	0.9562	0.9531	0.9481	0.9403	0.9303	0.9163	0.9002	0.8797	0.8548	0.8252
	Water	0.0284	0.0328	0.0409	0.0512	0.0632	0.0758	0.0888	0.1018	0.1145	0.1287	0.1425
	Vegetation	0.1289	0.1327	0.1406	0.1509	0.1651	0.1797	0.1977	0.2152	0.2344	0.2542	0.2752
RMSE	Bareland	0.1383	0.1391	0.1424	0.1462	0.1527	0.1594	0.1682	0.1765	0.1872	0.1979	0.2096
	IS	0.0827	0.0853	0.0915	0.1010	0.1113	0.1238	0.1380	0.1532	0.1684	0.1839	0.2000
	Mean	0.0946	0.0975	0.1038	0.1123	0.1231	0.1347	0.1482	0.1617	0.1761	0.1912	0.2068

683 Note: IS indicates impervious surface.

#### 684 ***4.4 Comparisons of three satellite images spatial-temporal fusion models***

685 Benefiting from the free availability, wide swath, short revisit-rate and long-term archiving of CR  
686 satellite images and amount of spatial details in FR satellite images, spatial-temporal fusion methods can  
687 reconstruct time-series fine spatial and temporal resolution images for large areas and over long-time  
688 frames. As shown in Fig. 14, current satellite images spatial-temporal fusion models could be  
689 summarized into three different levels: surface reflectance level, land cover class level and land cover  
690 fraction level. Surface reflectance level includes the popular spatial-temporal fusion methods of  
691 STARFM, ESTARFM and FSDAF, and the output of them is the FR surface reflectance multispectral  
692 images. Although the predicted FR multispectral images can be used to produce FR land cover map as  
693 that of STMRF and FR fraction maps as that of STFMMF, they were designed particularly for the prediction  
694 of reflectance multispectral images, and most of them are sensitive to the land cover change.



695

696

Figure 14. Illustration of the three different levels of satellite images spatial-temporal fusion models.

697

Since STSRM takes into account land cover change information, land cover change would have less

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impact on the final FR land cover map than is observed with the other methods. The main disadvantage

699

of STSRM is the pure pixel assumption of the input and output FR land cover maps. A major limitation

700

of using the 'pure' pixel assumption in STSRM is that land cover change information occurring at the

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sub-pixel scale cannot be considered fully. An example shown in Fig. 15 is used to further illustrate the

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limitation. Assume that the fraction values of land cover class A for one fine pixel are 95% and 65 % at

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time  $T_1$  and  $T_2$  respectively, and the class labels of the fine pixel are the same land cover class A at

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both time  $T_1$  and  $T_2$ . If we focus on the class label, there would be no land cover change for the fine

705

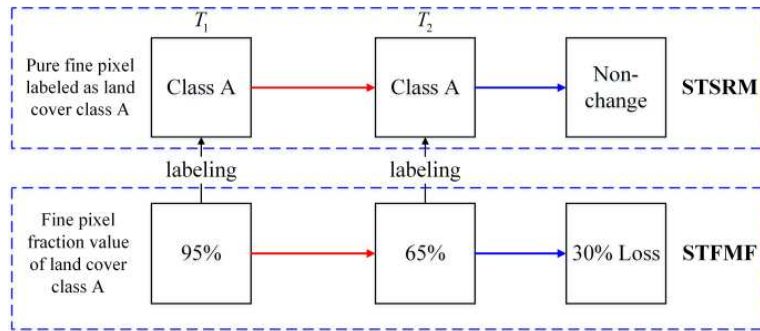
pixel; but in fact, there is 30% loss of fraction values (land cover class A) at the sub-pixel scale between

706

time  $T_1$  and  $T_2$ . By contrast, for the proposed STFMF approach, the 30% loss of fraction values can

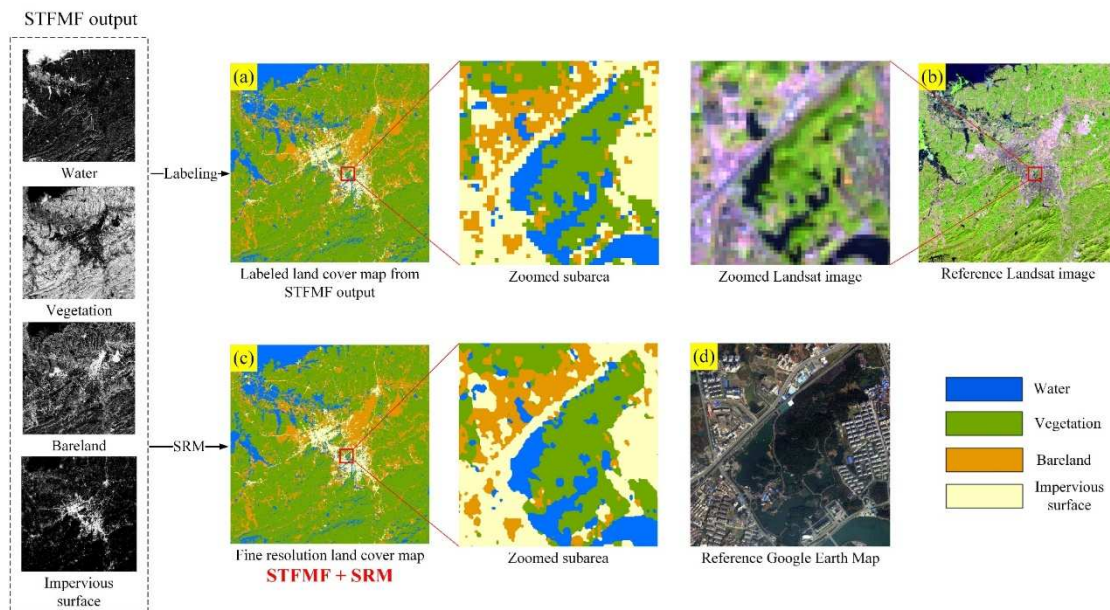
707

be observed.



708

709 Figure 15. An example used to illustrate the FR land cover change of pure labeled pixel and fine pixel fraction values  
 710 in STSRM and STFMF models.



711

712 Figure 16. An example used to show the combination of STFMF and super resolution mapping (SRM). (a) Land  
 713 cover map generated by labeling the resultant fraction maps of STFMF at per-pixel scale; (b) 30 m Landsat OLI  
 714 image; (c) FR land cover map generated by the combination of STFMF and SRM at sub-pixel scale; (d) Reference  
 715 Google Earth Map covering the zoomed subarea of Landsat images.

716 Generally, with the resultant FR fraction maps of STFMF, it is instinctive and readily to obtain a  
 717 land cover map. An example shown in Fig. 16 is used to indicate the land cover mapping process. With  
 718 the fraction maps of open water, vegetation, bareland and impervious surface generated by STFMF in  
 719 the MODIS-Landsat images experiment, we can obtain a land cover map, as shown in Fig. 16(a), by  
 720 using the tradition classification labeling strategy, where the class of a pixel is labeled as the land cover  
 721 class which has the largest fraction values. The resultant FR land cover map shown in Fig. 16(a) shows  
 722 the potential to present more spatial details about the four land covers than the original CR (MODIS)

723 fraction maps and could have advantages to monitor the land cover changes occurred at Landsat image  
724 pixel scale.

725 However, a more effective way is to combine STFMF and super resolution mapping (SRM), to  
726 make the most use of resultant FR fraction maps. As a post-processing of spectral unmixing, SRM is a  
727 technique to predict the sub-pixel spatial locations of different land cover classes by using fraction maps  
728 as input, and can produce land cover maps at a finer spatial resolution than the input data (Atkinson 2005).  
729 Motivated by this, it is possible to use the fraction maps generated by STFMF as the input of SRM to  
730 further produce a land cover map with finer spatial resolution than that of the output fraction maps of  
731 STFMF. As shown in Fig. 16(c), the finer spatial resolution land cover map was produced by a spatial  
732 regularization-based SRM model (Ling et al. 2014; Zhong et al. 2015) with a spatial ratio of 6. Comparing  
733 Fig. 16(c) with Fig. 16 (a), it is observed that the 5 m land cover map generated by the combination of  
734 STFMF and SRM has more spatial smooth boundaries and presents more spatial details about different  
735 land covers. In addition, the land cover map shown in Fig. 16(c) is closer to the reference Google Earth  
736 Map shown in Fig. 16(d). This demonstrates the great potential of the combination of STFMF and SRM  
737 in the field of land cover mapping, and they could be integrated to provide finer spatial resolution land  
738 cover map.

#### 739 ***4.5 Computation efficiency***

740 In order to validate the computation efficiency of the proposed STFMF against ESTARFM and  
741 FSDAF, table 6 reports the computation cost of the ESTARFM, FSDAF and STFMF methods in real  
742 MODIS-Landsat experiments on urban and rainforest areas. The implementations of ESTARFM and  
743 FSDAF were performed by the IDL code (Zhu et al. 2010; Zhu et al. 2016), while STFMF was

744 implemented by the MATLAB platform (MATLAB R2017b version). All of the algorithms used in this  
745 research were implemented on an Intel(R) Core(TM) i7-7700K Processor at 4.20 GHz. From table 6, it  
746 can be found that ESTARFM is the most time consuming, while FSDAF takes the least time in both of  
747 the two experiments. The computation cost of STFMF was more than that of FSDAF and lower than that  
748 of ESTARFM. For STFMF, most of the computation time is spent on KRR, in which the candidate  
749 neighboring patch pairs searching, the training and the predicting processes are time-consuming. A  
750 possible improvement is to take into account various spatial patterns of fraction changes during the  
751 training, in order to avoid repeatedly building training model for each prediction process. By this way,  
752 the computation cost of STFMF is expected to be obviously decreased, as the training process is the most  
753 time-consuming step.

754 Table 6. Computation cost of the ESTARFM, FSDAF and STFMF methods in real MODIS-Landsat experiment on  
755 urban area and rainforest area.

	Spatial size	ESTARFM	FSDAF	STFMF
Urban area	960 × 960 pixels	1359s	295s	364s
Rainforest area	432 × 432 pixels	304s	85s	242s

#### 756 ***4.6 Limitations and future work***

757 The input data are crucial to the performance of the proposed STFMF method. At first, STFMF  
758 aims to use the fraction change information with different spatial resolutions between fraction maps at  
759  $T_i$  and  $T_j$  as the training dataset to predict the fraction change maps at  $T_p$ . The implicit assumption  
760 is that for any CR fraction change pattern, a similar CR and FR fraction change pattern can be found  
761 from the training dataset and they can be used to predict the final FR fraction change map. However, if  
762 the fraction maps at  $T_i$  and  $T_j$  are similar to each other, there will be not enough representative  
763 fraction change pattern information contained in the training dataset, and the predicting accuracy in

764 STFMF would be, therefore, decreased. For example, when there are land cover changes like floods on  
765  $T_p$ , STFMF will be difficult to capture the changes on  $T_p$ , because data on  $T_i$  and  $T_j$  contain no  
766 information about floods. This phenomenon can be found in the GEI experiment, in which for the  
767 produced FR fraction map of water, ESTARFM had better accuracy than that of the proposed STFMF.  
768 This is because fraction maps of water at 2012 and 2016 were similar to each other and contained little  
769 information about the change of water. Therefore, it is suggested that the fraction maps collected at  $T_i$   
770 and  $T_j$  should not be similar to each other, in order to contain more important information about the  
771 fraction change of various classes. Moreover, with a large study area, there will be higher possibilities to  
772 contain more fraction change spatial patterns for different classes. Secondly, fraction errors caused by  
773 spectral unmixing would limited the performance of STFMF. This issue arises because STFMF uses  
774 directly the fraction maps generated by a spectral unmixing analysis as input, and the accuracy of the  
775 fraction maps, therefore, affects the accuracy of the final result. In the experiments, the linear spectral  
776 mixture model was used to produce the fraction maps. Although linear spectral mixture modeling has  
777 physical significance, the actual spectral mixtures of the land surfaces are often non-linear (Keshava and  
778 Mustard 2002). To estimate the fraction maps more accurately from remotely sensed images, alternative  
779 non-linear spectral mixture models, such as artificial neural networks (Foody et al. 1997) and support  
780 vector machines (Brown et al. 2000) could be used.

781 The method used to predict the FR fraction change maps from CR fraction change maps is another  
782 key problem for STFMF. It is noteworthy that predicting FR image from the CR image is a pathological  
783 inversion problem, and there are possibilities that similar CR fraction change maps would produce  
784 different FR fraction change maps, especially when the spatial ratio between CR and FR images is high.  
785 A popular solution for this problem is to use the learning based methods by assuming that similar CR

786 fraction change maps would be corresponding to similar FR fraction change maps. This has been  
787 successfully applied in the field of image super-resolution (Freeman et al. 2002) and land cover super-  
788 resolution mapping (Ling et al. 2016b). In this research, KRR is used as the learning algorithm, as it has  
789 used widely in the field of image super-resolution and has less number of parameters to be determined  
790 (Kim and Kwon 2010). But there are limitations when using KRR and further improvement exists. The  
791 normalization operation in equation (21) should be implemented for the output FR fraction maps  
792 predicted by KRR to ensure that the sum of the fraction values for all classes is 1. However, this will  
793 change the original values of the resultant FR fraction maps, and biases are likely to happen for the  
794 normalized fraction values. Generally, a better way of keeping the sum of the fraction values for all  
795 classes at 1 is to add constraints when deriving the FR fraction maps but not after all the fraction maps  
796 have been calculated. But it is hard for KRR to globally constrain the resultant fraction values of all  
797 classes at the same time. Besides KRR, there are some more powerful machine learning algorithms, such  
798 as deep learning convolutional neural networks (Dong et al. 2016; Zhang et al. 2016), which are expected  
799 to have a better performance than KRR. The future introduction of a framework based on deep learning  
800 algorithms into the proposed approach is of great interest, and will help improve the performance of  
801 STFMF.

802       There exists uncertainty for the weights of each prediction calculated globally in equations (18)-  
803 (20). Generally, a better way is to calculate these weights at local scale, as the temporal similarity between  
804 CR fraction maps will change site by site. However, given that the fraction error caused by the spectral  
805 unmixing is always inevitable in real applications, if the local weights are applied, the fraction error at  
806 the local scale would most likely be introduced into the final result. This is the reason why only global  
807 weights were applied in this research, but it is of great interest to develop more suitable approaches to



808 incorporate local weights in the model.

## 809 **5. Conclusion**

810 In this paper, a novel approach, termed as STFMF, was proposed to generate fine spatial and  
811 temporal resolution fraction maps by fusing multiscale coarse-spatial-fine-temporal and fine-spatial-  
812 coarse-temporal remotely sensed images. Compared with the STSRM method, the proposed approach  
813 considers the mixed pixel problem at the fine spatial scale and can produce FR fraction maps instead of  
814 a FR land cover map. Compared with the traditional reflectance image spatial-temporal fusion methods,  
815 the proposed approach does not use directly the original remotely sensed images as inputs, but focuses  
816 on the multi-scale fraction maps generated by spectral unmixing and, thus, is theoretically more able to  
817 deal with any land cover change occurring at the sub-pixel scale. STFMF is good for spatial-temporal  
818 fusion, because it (1) can accommodate for the mixed pixel problem in FR remotely sensed images, (2)  
819 can use fraction maps generated from a range of satellite images or other suitable data sources, (3) focuses  
820 on the accurate estimation of fraction cover changes happened through time.

821 The performance of STFMF was assessed with several experiments including both synthetic and  
822 real images, and was also compared with two popular image spatial-temporal fusion methods: ESTARFM  
823 and FSDAF. The results show that the proposed approach is able to produce FR fraction maps with the  
824 greatest visual performance compared with the two benchmark methods, and contains more spatial detail  
825 about the land cover features in the regions of study. In both the synthetic and real image experiments,  
826 the proposed approach typically produced the largest CC and UIQI and smallest RMSE and AAD values.  
827 Moreover, the proposed approach was used to generate a time-series of FR forest fraction maps, which  
828 demonstrates the potential of STFMF in the production of a time-series of fine spatial and temporal forest

829 fraction maps for real-world application. In addition, it is of great interest to combine STFMM and SRM  
830 to produce finer spatial resolution land cover maps than the resultant fraction maps produced by the  
831 proposed STFMM approach in future research.

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