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| 1 | Global distribution of invasive serotype 35D Streptococcus pneumoniae post- |
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54 **ABSTRACT (249/250)**

A newly recognized pneumococcal serotype 35D, which differs from the 35B polysaccharide 55 in structure and serology by not binding to factor serum 35a, was recently reported. The 56 57 genetic basis for this distinctive serology is due to the presence of an inactivating mutation in 58 wciG, which encodes an O-acetyltransferase responsible for O-acetylation of a 59 galactofuranose. Here, we assessed the genomic data of a worldwide pneumococcal 60 collection to identify serotype 35D isolates and understand their geographical distribution, 61 genetic background and invasiveness potential. Of 21,980 pneumococcal isolates, 444 were 62 originally typed as serotype 35B by PneumoCaT. Analysis of wciG revealed 23 isolates from 63 carriage (n=4) and disease (n=19) with partial or complete loss-of-function mutations, 64 including mutations resulting in pre-mature stop codons (n=22) and an in-frame mutation 65 (n=1). These were selected for further analysis. The putative 35D isolates were 66 geographically widespread and 65.2% (15/23) of them was recovered after PCV13 introduction. Compared with serotype 35B, putative serotype 35D isolates have higher 67 invasive disease potentials based on odds ratio (OR) (11.58; 95% CI, 1.42-94.19 vs 0.61; 68 95% CI, 0.40-0.92) and a higher prevalence of macrolide resistance mediated by mefA 69 70 (26.1% vs 7.6%, p=0.009). Using Quellung, 50% (10/20) of viable isolates were serotype 71 35D, 25% (5/20) serotype 35B, and 25% (5/20) a mixture of 35B/35D. The discrepancy 72 between phenotype and genotype requires further investigation. These findings illustrated a 73 global distribution of an invasive serotype 35D among young children post-PCV13 74 introduction and underlined the invasive potential conferred by the loss of O-acetylation in 75 the pneumococcal capsule.

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80 INTRODUCTION

81 Streptococcus pneumoniae (pneumococcus) is an important human pathogen that causes pneumonia, bacteremia, and meningitis. In 2015, >330,000 deaths globally in children 82 <5 years old were estimated to have been caused by pneumococci (1). Its polysaccharide 83 84 capsule, which has almost 100 serological variants (serotypes), is a major virulence factor (2, 85 3). Pneumococcal conjugate vaccines (PCVs) targeting up to 13 serotypes have gradually been introduced into 139 countries since the early 2000s (http://view-hub.org/viz/). 86 87 Simultaneously, a proportional increase in non-vaccine serotypes, such as serotype 35B, has 88 been reported in various countries (4).

89 Recently, a serotype 35B variant, 35D, was identified in four pneumococcal isolates 90 in Australia (5) and two in the USA (2, 6). All of which had an inactivating mutation in wciG, 91 which encodes an O-acetyltransferase responsible for O-acetylation of a galactofuranose. 92 Nuclear magnetic resonance (NMR) analysis on a single isolate representing this novel 93 pneumococcal serotype verified that the serotype 35D capsule lacked O-acetylation but was 94 otherwise identical to serotype 35B (2). Serologically, it is distinct from serotype 35B strain 95 by consistently not binding to factor serum 35a but it displays variable reactivity to group 35 96 antiserum (2, 5, 6). WciG functionality has been shown to be the determinant of factor serum 97 35a recognition (2, 7).

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98 Presence and absence of O-acetylation is one of the mechanisms for generating 99 diversity in capsular structure as shown by other serotype pairs such as 9V/9A (O-acetylation 100 mediated by WciE) (8), 11A/11E (WcjE) (8), 15B/15C (WciZ) (8), 33A/33F (WcjE) (9), and 101 35C/42 (WciG) (7). It is noteworthy that the O-acetyl group in the capsular repeat unit is 102 important for innate immune recognition (10) and is the target of vaccine-elicited antibodies

103 (11). Loss of O-acetylation in serotype 11E is predicted to assist pneumococci in evading 104 host immune and vaccine response, and has been suggested to occur during invasive disease 105 after initial colonization with the serotype 11A strain expressing an O-acetylated form of 106 capsule (12). The role of loss of O-acetylation in pneumococcal survival during invasion 107 among the other serotype pairs has remained unknown due to the rarity of serotype 9A, 33A, 108 and 42 for comparisons, and the difficulty in differentiation between serotype 15B and 15C.

109 Although the serological profile and biochemical structure of serotype 35D have been 110 described, there has not been an opportunity to comprehensively study this serotype across 111 geographies and clinical considerations. Here, we assessed the genomic data on serotype 35D 112 isolates from a worldwide pneumococcal collection to understand its geographical 113 distribution, genetic background and potential invasiveness.

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115 **RESULTS AND DISCUSSION**

116 Of 21,980 assembled pneumococcal genomes from the Global Pneumococcal 117 Sequencing (GPS) project (n=16,575, May 2017) and a compiled dataset (n=5,405) by Van 118 Tonder *et al.* (13), 444 isolates from disease (n=173), carriage (n=270), and unknown source 119 (n=1) were originally typed as serotype 35B by PneumoCaT (5). The wciG alignment 120 revealed that 78.6% (349/444) of isolates were identical to the serotype 35B reference, 8.3% 121 (37/444) had silent mutations, 7.9% (35/444) had missense mutations, 3.4% (15/444) had 122 frameshift mutations, 1.6% (7/444) had non-sense mutations, and 0.2% (1/444) had an in-123 frame insertion. All frameshift mutations led to a pre-mature stop codon which disrupted the 124 coding region of wciG. Given that the latter three types of mutations lead to reduced function 125 or a complete loss of function of WciG, the 23 isolates were designated serotype 35D (Table 126 1). The Quellung reaction of 20 viable isolates showed that 50% (10/20) were serologically 127 typed as serotype 35D, 25% (5/20) serotype 35B and 25% (5/20) a mixture of serotype 35B 128

129 attempt to identify any gene loss and mixed wciG alleles. The cps locus region shared the 130 same capsular genes with the serotype 35D reference (accession number KY084476), and the 131 mutations in wciG were supported by at least 42X depth of reads (median: 80X; range: 42X 132 to 143X) with 100% consistency. The discrepancy between phenotype and genotype could be 133 due to 1) our inability to capture the serotype diversity in a clinical sample, since the bacterial 134 culture subject to DNA extraction and Quellung testing were derived from a single colony 135 that could be different between experiments; and 2) the possible inter-convertibility between 136 serotype 35B and 35D during bacterial culture in vitro. In all five isolates which were both 137 positive and negative to antisera fs35a under one microscope (Table 2), the mutations in 138 wciG were either a 1-bp insertion or deletion that occurred after a 6- to 7-bp homopolymer, 139 highlighting the possibility of inter-conversion between serotype 35B and 35D during DNA 140 replication. Metagenomic analysis of clinical samples to snapshot the serotype diversity and 141 investigation into the inter-convertibility of serotype 35B and 35D will potentially explain the 142 discrepancy between the phenotypes and genotypes observed in this study. Considering the 143 limitation of this study and our recent understanding of the genetic basis that differentiates 144 serotype 35B and 35D (2, 6, 7), the non-silent mutations detected in wciG in this study 145 strongly indicated the presence of serotype 35D pneumococci in the sample. Thus, the 23 in 146 *silico* serotype 35D isolates were selected for further analysis.

and 35D (Table 2). In all discrepant cases, we examined the cps locus sequences in an

147 The mutation patterns of *wciG* among the *in silico* serotype 35D isolates were diverse. 148 The *wciG* mutation patterns in the 23 serotype 35D isolates were different from the 6 149 serotype 35D isolates reported previously (2, 5, 6). In total, there were twenty mutation 150 patterns observed in 29 serotype 35D isolates from ten countries across four continents 151 (Table 1). The most common naturally deficient WciG was due to 86_87insG, which 152 occurred within a 6-bp homopolymeric stretch of guanine. It was first observed in an isolate

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from Malawi in 2006, prior to the introduction of PCV7, and was also found in isolates from Senegal in 2011, South Africa and the USA in 2012, and New Zealand in 2015. Isolates with this mutation were sporadically distributed on the phylogenetic tree (Figure 1), suggesting that the mutations had arisen independently on multiple occasions. The convergence of mutations may imply that this site is a mutational hotspot.

158 The majority of serotype 35D isolates belonged to the clonal complexes (CC)558 159 (n=9), CC198 (n=6), and CC156 (n=5) that were primarily associated with serotype 35B (6, 160 14, 15). The CC558 and CC156 lineages accounted for most of the increase in serotype 35B 161 after the introduction of PCV13 in the USA (6), while CC198 is the major serotype 35B 162 lineage in The Gambia (unpublished data). Based on a high-resolution SNP tree (Figure 1), 163 serotype 35D pneumococci emerged among closely related serotype 35B isolates within 164 different clusters. Together with the unrelated mutations observed in wciG, this strongly 165 indicated that serotype 35B is the progenitor of serotype 35D.

166 When compared with serotype 35B isolates, serotype 35D isolates were more likely to 167 be recovered from sterile anatomical sites including cerebrospinal fluid (CSF; n=9), blood 168 (n=8), lung aspirate (n=1), and joint aspirate (n=1) than among carriage isolates (n=4) [82.6% 169 (19/23) vs 36.7% (154/420); p < 0.001 by Fisher's exact test]. Based on a larger 170 pneumococcal collection (n=3,333) randomly selected from the GPS project database, the 171 empirical odds ratio (OR) for invasive disease due to serotype 35D is 11.58 (95% confidence 172 interval 95% CI: 1.42-94.19), whereas the OR for serotype 35B is 0.61 (95% CI: 0.40-0.92). 173 The increased invasive capacity in serotype 35D strain could be a result of evasion of the 174 immune response targeting the capsule O-acetyl group. The observation in serotype 35B/35D 175 coincides with a previous study on serotype 11A/11E, in which serotype 11E strains with a 176 loss or reduced amount of acetylation in the capsule were found to be significantly associated 177 with invasive pneumococcal disease (12, 16). The emergence of serotype 35D is likely

explained by Calix et al.'s hypothesis (12) that pneumococcal capsule structure undergoes microevolution during progression from carriage to infection in response to divergent selection pressure in early mucosal colonization compared to later in a sterile site. This model of microevolution needs to be further investigated by characterizing the serotype dynamic over the development of invasive disease *in vivo*.

Compared with the pre-PCV era, the prevalence of serotype 35D has not increased 183 184 more than serotype 35B after the introduction of PCV13. (OR, 12.36; 95% CI: 1.5-100.6 v.s. 185 OR, 3.54; 95% CI: 2.4-5.4; Table 3) in the randomly selected pneumococcal collection. A 186 large proportion of 35D isolates (65.2%, 15/23) were collected after the rollout of PCV13. 187 The post-PCV introduction isolates were all invasive isolates and were recovered in six 188 countries (Cameroon, Malawi, New Zealand, South Africa, The Gambia, and the USA), 189 highlighting that this invasive serotype is present in the residual pneumococcal population 190 worldwide and could potentially be an example of serotype replacement.

191 Among the 23 serotype 35D isolates, 87.0% (20/23) had at least one resistance 192 determinant conferring resistance to commonly used antibiotics including penicillin (65.2%, 193 15/23), erythromycin (30.4%, 7/23), cotrimoxazole (21.7%, 5/23), and tetracycline (4.3%, 194 1/23). Similar to the previous studies on serotype 35B (6, 14), the penicillin-resistant isolates 195 in this study were predominantly CC558 (60.0%, 9/15), followed by CC156 (35.7%, 5/15) 196 and a singleton of ST373 (6.7%, 1/15). Macrolide resistance mediated by mefA was 197 significantly higher in serotype 35D isolates than in serotype 35B isolates (Table 4). Five of 198 six serotype 35D isolates harboring mefA were from the USA, where macrolides are 199 recommended for use as an empirical therapy for pneumonia in children (17-19); they all 200 belonged to CC558, a major contributor to penicillin resistance in USA after introduction of 201 PCV13 (14). Unlike the highly invasive but usually antibiotic susceptible serotype 1, 202 pneumococci expressing serotype 35B (lower-invasive capsule) are more likely to be

203 commensal in the nasopharynx which could allow them to acquire antibiotic resistance 204 determinants via horizontal gene transfer from other nasopharyngeal bacteria; a subsequent 205 switch to serotype 35D (high-invasive capsule) would then transform the antibiotic resistant 206 strain into a more virulent form.

207 The limitation of this study is that the carriage and disease isolates included for 208 calculating the invasiveness index were sampled in different cities in each country; all 209 isolates included were collected between 2007 and 2015 from children aged < 2 years-old. 210 Ideally, the carriage and disease isolates should be geography, time, and age-matched. In this 211 instance, we calculated OR for invasiveness separately for each country: the ORs for invasive 212 disease due to serotype 35B and 35D in The Gambia were 0.37 (95% CI: 0.09-1.56) and 20.3 213 (95% CI: 2.10-196.42), respectively. The ORs could not be calculated for invasive disease as 214 all serotype 35D isolates in South Africa and Malawi were from disease. The ORs for disease 215 due to 35B in South Africa and Malawi were 0.68 (95% CI: 0.40-1.16) and 0.72 (95% CI: 216 0.11 - 2.15), respectively. The ORs by country were consistent with the ORs calculated from 217 the combined datasets of all three countries. Another limitation was that the effects of an in-218 frame insertion of 15bp and the missense mutations in wciG on the protein function have not 219 been evaluated. Removing these samples from all comparisons of serotype 35B and 35D did 220 not alter the conclusions drawn from the statistical analyses.

This study highlighted the global distribution of an invasive serotype 35D among young children in the post-PCV13 era and underlined the invasive potential conferred by the loss of O-acetylation in the pneumococcal capsule.

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225 MATERIALS AND METHODS

We retrospectively determined serotypes of 21,980 assembled pneumococcal genomes from the GPS project (n=16,575, May 2017, http://www.pneumogen.net/gps/) and a Downloaded from http://jcm.asm.org/ on May 29, 2018 by LIVERPOOL SCH OF TROPICAL MED

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ournal of Clinica Microhiology 229 pure overnight culture derived from a single colony. Sequencing was performed on the 230 Illumina HiSeq platform to produce paired-end reads of either 75 (2010-2011), 100 (2013-231 2014) or 125 base pairs (2015-2016) in length. In silico serotype was determined using the 232 whole genome sequence (WGS) based serotyping method PneumoCaT (20). As the current 233 version of PneumoCaT does not distinguish serotype 35D from serotype 35B, all samples 234 that were initially typed as serotype 35B were included in this study. To differentiate these 235 two serotypes, nucleotide sequences of wciG were extracted from the assembled genomes 236 and aligned to a reference sequence of 35B wciG (accession number KX021817) described 237 by Geno et al. using CLUSTALW (2, 21). Mutations such as nonsense and frameshift 238 mutations that led to pre-mature stop codon and in-frame insertion/deletions in wciG were 239 predicted to reduce the function or a complete loss of function of the WciG protein. Isolates 240 with these mutations were *in silico* typed as serotype 35D and their phenotypic serotype were 241 determined by the Quellung reaction tested on an overnight culture derived from a single 242 colony (22). Phylogenetic analysis was performed on all serotype 35B and 35D isolates by 243 constructing a maximum likelihood tree using RAxML v.8.2.X (23) based on single 244 nucleotide polymorphic sites (SNPs) extracted from a core gene alignment with Roary v.3.6.1 245 (24). An empirical odds ratio for invasive disease due to serotype 35B and 35D was 246 calculated based on a pneumococcal collection of 3,333 randomly selected carriage (n=1,260) 247 and disease (n=2,073) isolates from children aged < 2 years-old, collected during the pre-248 PCV (n=1,691), post-PCV7 (n=678), and post-PCV13 (n=964) eras using a previously 249 described method (25). For each country, the random selection was carried out from a 250 collection of disease isolates collected via laboratory-based surveillance and carriage isolates 251 via cohort-studies using the following criteria: 50% isolates represented pre-PCV period (≤ 1 252 year before) and 50% post-PCV period (≥ 2 years after primary, ≥ 1 after subsequent PCVs).

compiled dataset (n=5,405) by Van Tonder et al. (13). DNA extraction was performed on a

253 The randomly selected collection in this study included 67 different serotypes plus non-254 typeable pneumococci. They were collected in South Africa (carriage n=721, disease 255 n=1,047), Malawi (carriage n=336, disease n=60), and The Gambia (carriage n=1,016, 256 disease n=153). Isolates from other locations in the GPS dataset were either not randomly 257 selected, or consisted of only disease or carriage isolates and thus could not be used to 258 calculate odds ratios. Susceptibility to chloramphenicol, cotrimoxazole, erythromycin, 259 penicillin, and tetracycline were predicted by the identification of resistant determinants in 260 the assembled genomes using previously described pipelines (26-28). The epidemiological 261 and phylogenetic data can be interactively visualized and analyzed online using the 262 Microreact tool at https://microreact.org/project/GPS serotype 35B 35D

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Figure 1 Maximum likelihood phylogenetic tree was constructed using 56,848 SNPs extracted from a 1.02-Mb codon alignment of 1,141 core genes from 444 serotype 35B and 35D S. pneumoniae isolates. The tree is colored according to the geographic location of each samples' isolation. This analysis used an unrelated non-typeable isolate as the outgroup on which to root the tree. Clonal complex (CC) and mutations in wciG are shown to the right of the tree. Singleton sequence types and minor CCs with <5 isolates in this study are indicated in pink and grey, respectively.

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288 **DISCLAIMER**

289 The findings and conclusions in this report are those of the authors and do not necessarily

290 represent the official position of the Centers for Disease Control and Prevention.

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| | | |

| Table 1. Genetic diversi | ty of inactivating mutations | in wciG of 29 serotype | 35D S. pneumoniae is | olates from the Global Pneumococcal |
|--------------------------|------------------------------|------------------------|----------------------|-------------------------------------|

| Sequencing (GPS) project (n=23) and | d pre | evious studies (n=6) | 1 | | | |
|---|-------|----------------------------------|------------------------------------|-------------------------|-------------------|------------------|
| wciG nucleotide mutation | n | Clonal Complex/ Sequence Type | Geographical location of isolation | Year of isolation | Site of isolation | Ref. |
| Frameshift mutation (n=18) ^a | | | | | | |
| | | CC156 (n=2), | Malawi (n=2), New | | CSF (n=3), blood | |
| | | CC558 (n=2), | Zealand (n=1), Senegal | | (n=2), joint pus | |
| | | CC198 (n=1), | (n=1), South Africa | 2006 (n=1), 2011 (n=1), | (n=1) | |
| 86_87insG | 6 | CC9813 (n=1) | (n=1), USA (n=1) | 2012 (n=2), 2015 (n=2) | | GPS |
| | | | | | CSF (n=1), blood | |
| 914_929del_16bp | 2 | CC558 | South Africa, USA | 2012 (n=1), 2013 (n=1) | (n=1) | GPS |
| | | | | | Nasopharynx | |
| 162_163insT | 2 | CC558 | USA | 2004 (n=1), 2007 (n=1) | (n=2) | GPS ^d |
| 92_93insC | 1 | CC198 | The Gambia | 2013 | Blood | GPS |
| 705_706insT | 1 | CC156 | Malawi | 2015 | CSF | GPS |
| 86delG | 1 | CC156 | Cameroon | 2012 | CSF | GPS |
| 312delA | 1 | CC198 | The Gambia | 2009 | Nasopharynx | GPS |
| 382_385_del_4bp | 1 | CC9813 | South Africa | 2012 | CSF | GPS |
| 306_307insA | 1 | CC198 | Australia | 2016 | unknown | (5) |
| 36delA | 1 | CC558 | Australia | 2015 | unknown | (5) |
| 663_696del_34bp | 1 | CC452 | Australia | 2016 | unknown | (5) |
| In-frame deletion/insertion (n=3) | | | | | | |
| 792_968del_177bp ^b | 1 | CC156 | USA | 2015 | Blood (n=2) | (6) |
| 755_808del_54bp ^b | 1 | CC558 | Australia | 2016 | unknown | (5) |
| 523_524ins_15bp | 1 | CC558 | USA | 2009 | Blood | GPS |
| Nonsense mutation (n=7) | | | | | | |
| C220T | 2 | CC156, ST373 | Nepal, South Africa | 2013 (n=1), 2014 (n=1) | CSF (n=1), | GPS |

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| Accepted Mar | |
|--------------|----------------|
| | |
| | T722C |
| | T732G C104A |
| | C323A |
| | |

| | | | | | nasopharynx | |
|---------------------------|---|---------|------------|------------|------------------|-----|
| | | | | | (n=1) | |
| | | | | | CSF (n=1), blood | l |
| T732G | 2 | CC198 | The Gambia | 2014 (n=2) | (n=1) | GPS |
| C104A | 1 | CC558 | USA | 2012 | Blood | GPS |
| C323A | 1 | CC558 | USA | 2012 | Blood | GPS |
| T434G | 1 | CC198 | The Gambia | 2009 | Lung aspirate | GPS |
| | | | | | | |
| Missense mutation (n=1) | | | | | | |
| G533A, G679A ^c | 1 | unknown | USA | unknown | unknown | (2) |

^aAll frameshift mutations resulted in pre-mature stop codon. ^bThe in-frame deletion rendered the WciG, an acetyltransferase, non-functional. It was evidenced by the serological profiles reported by Chochua et al. (6) and Staples et al. (5)

°The resulting amino acid changes were R178K and A227T. The substitution led to a non-functional WciG, confirmed by serological test and NMR spectroscopic analysis ⁴These two isolates were reported in a previous study by Croucher et al. (29) and *in silico* serotype was updated as serotype 35D in this study.

CSF, cerebrospinal fluid

| previous studies (n=6) tes | sted by the Que | ellung reactio | ns | | 1 | 1 | | | | | | 1 | | | 1 |
|----------------------------|--------------------------|---------------------------------|--------------|------------------------------|--------|---------|---------|----------|-------|-------|-------|-------|-------|---------------------|------------------|
| Strain name | Country | СС | Year | wciG mutation | Pool G | Type 29 | Type 42 | Group 35 | fs35a | fs35b | fs35c | fs29b | fs42a | Phenotypic serotype | Ref. |
| 3431-06 | USA | N/A | N/A | G533A, G679A | + | Ν | Ν | - | - | - | + | + | - | 35D | (2) |
| 168471 | Australia | CC198 | 2016 | 306_307insA | + | + | + | + | - | - | + | + | - | 35D | (5) |
| SAMDU-00005305 | Australia | CC558 | 2015 | 36delA | + | + | + | + | - | - | + | + | - | 35D | (5) |
| 16S49 | Australia | CC452 | 2016 | 663_696del_34bp | + | + | + | + | - | - | + | + | - | 35D | (5) |
| 16835 | Australia | CC558 | 2016 | 755_808del_54bp | + | + | + | + | - | - | + | + | - | 35D | (5) |
| 20152877 | USA | CC156 | 2015 | 792_968del_177bp | + | Ν | Ν | + | - | - | + | + | - | 35D | (6) |
| CH2075 | USA | CC558 | 2007 | 162_163insT | + | + | - | + | + | - | + | + | - | 35B | GPS ^e |
| 3025 | USA | CC558 | 2004 | 162_163insT | + | + | - | + | + | - | + | + | - | 35B | GPS ^e |
| GPS_US_2010209945_ R1 | USA | CC558 | 2009 | 523_524ins_15bp | + | + | - | + | + | - | + | + | - | 35B | GPS |
| GPS_GM_1130 | The Gambia | CC198 | 2014 | T731G (L244*) | + | + | - | + | + | - | + | + | - | 35B | GPS |
| GPS_GM_1148 | The Gambia | CC198 | 2014 | T731G (L244*) | + | + | - | + | + | - | + | + | - | 35B | GPS |
| GPS_ZA_2370 | South Africa South | CC9813 | 2012 | 382_385delATAT | + | + | + | + | - | - | + | + | - | 35D | GPS |
| GPS ZA 2636 | Africa | CC558 | 2013 | 914_929del_16bp | + | + | + | $+^{b}$ | - | - | + | + | - | 35D | GPS |
| 2012215593 | USA | CC558 | 2012 | 914_929del_16bp | + | + | - | - | - | - | + | + | - | 35D | GPS |
| 2012215608 | USA | CC558 | 2012 | C104A (S35*) | + | + | - | - | - | - | + | + | - | 35D | GPS |
| GPS_ZA_2559 GPS_NP_7242 | South Africa Nepal | CC156 Singleton ^d | 2013 2014 | C220T (Q74*) C220T (Q74*) | ++ | + | + N | + | - | - | + | + | - | 35D 35D | GPS GPS |
| 2012220613 | USA | CC558 | 2014 | C323A (S108*) | + | + | - | - | - | - | + | + | - | 35D | GPS |
| 2012220013 | USA | CC558 | 2012 | 86 87insG | + | + | - | - | _ | _ | + | + | _ | 35D | GPS |

Table 2. Serological profiles of 29 serotype 35D *S. pneumoniae* isolates from the Global Pneumococcal Sequencing (GPS) project (n=23) and previous studies (n=6) tested by the Quellung reactions

| GPS_MW_D38253_R1 | Malawi | CC156 | 2006 | 86_87insG | + | + | - | - | - | - | + | + | - | 35D | GPS |
|------------------------------------|------------|--------|------|---------------|---|---|---|----------------|----------------|---|---|---|---|-------|-----|
| GPS_MW_BKR609 | Malawi | CC156 | 2015 | 86_87insG | + | + | - | - | - | - | + | + | - | 35D | GPS |
| PI0167 | Senegal | CC198 | 2011 | 86_87insG | + | + | - | + | + ^b | - | + | + | - | 35B/D | GPS |
| | New | | | | | | | | | | | | | | |
| GPS_NZ_15SP0720 | Zealand | CC558 | 2013 | 86_87insG | + | + | Ν | + | + ^c | - | + | + | - | 35B/D | GPS |
| | South | | | | | | | | | | | | | | |
| GPS_ZA_2487 | Africa | CC9813 | 2012 | 86_87insG | + | + | + | + | + ^b | - | + | + | - | 35B/D | GPS |
| GPS_MW_BKR5WC | Malawi | CC156 | 2015 | 705_706insT | + | + | - | + ^b | + ^b | - | + | + | - | 35B/D | GPS |
| PI0258 | Cameroon | CC156 | 2012 | 86delG | + | + | - | + | + ^b | - | + | + | - | 35B/D | GPS |
| GPS_GM_0282 | The Gambia | CC198 | 2013 | 92_93insC | Ν | Ν | Ν | Ν | Ν | Ν | Ν | Ν | Ν | N | GPS |
| GPS_GM_0600 | The Gambia | CC198 | 2009 | 312delA | Ν | Ν | Ν | N ^a | Ν | Ν | Ν | Ν | Ν | Ν | GPS |
| GPS_GM_0320 | The Gambia | CC198 | 2009 | T434G (L145*) | Ν | Ν | Ν | Ν | Ν | Ν | Ν | Ν | Ν | Ν | GPS |
| ^a N. data not available | | | | | | | | | | | | | | | |

N, data not available

^bUnder the microscope, cells that were derived from a single-colony overnight culture showed both positive and negative to the antisera tested. ^dIsolate GPS_NP_7242 belong to ST373. A singleton that does not belong to any clonal complex. ^eThese two isolates were reported in a previous study by Croucher et al. (29) and *in silico* serotype was updated as serotype 35D in this study.

| | | | Odds ratio | | | | |
|-----------------------------|--------------|--------------|---------------------------|-----------------|--|--|--|
| | No. of isol | ates (%) | (95% confidence interval) | | | | |
| Vaccine period ^a | serotype 35B | serotype 35D | serotype 35B | serotype 35D | | | |
| Pre-PCV | | | | | | | |
| (n=1691) | 36 (2.12) | 1 (0.06) | baseline | baseline | | | |
| Post-PCV7 | | | 0.83 | | | | |
| (n=678) | 12 (1.77) | 0 | (0.4 to 1.6) | - | | | |
| Post-PCV13 | | | 3.54 | 12.36 | | | |
| (n=964) | 69 (7.16) | 7 (0.73) | $(2.4 \text{ to } 5.4)^*$ | (1.5 to 100.6)* | | | |

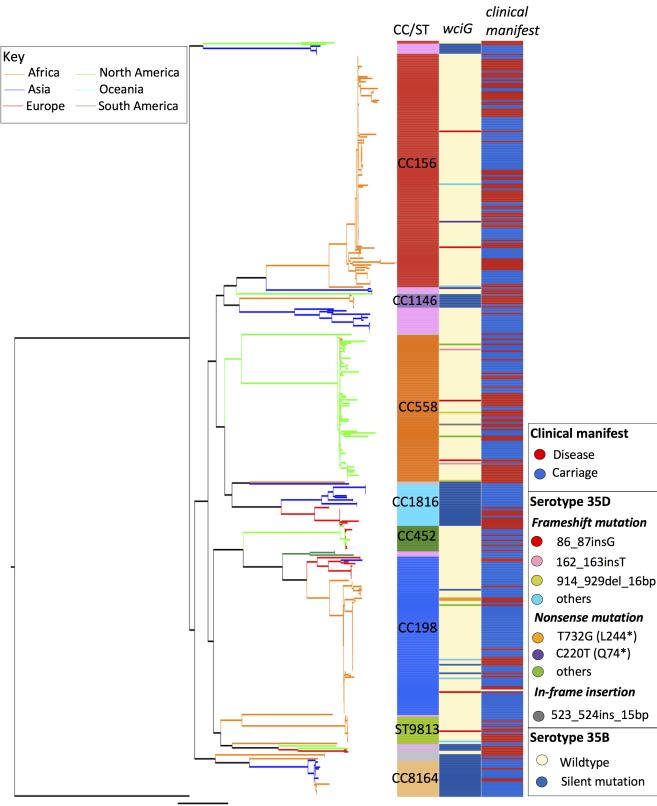
| Table 3. The prevalence of serotype 35B and 35D S. pneumoniae from So | South Africa |
|---|--------------|
| (n=1768), The Gambia (n=1169) and Malawi (n=396) in each vaccine period | |

^aBased on the year of PCV introduction, we grouped each year of collection into three categories: pre-PCV period (years when no conjugated vaccine was used and the year of PCV7 introduction); Post-PCV7 (the second year of PCV7 introduction until the year when a higher-valent PCV was introduced); Post-PCV13 (the second year of PCV13 introduction until the end of the study year). PCV7 was introduced in South Africa and The Gambia in 2009; PCV13 was introduced in South Africa, The Gambia, and Malawi in 2011. *p value < 0.05

Table 4. Antimicrobial resistant determinants in serotype 35B and 35D *S. pneumoniae* isolates from the Global Pneumococcal Sequencing (GPS) project

| Antibiotic resistance | No. of isola | ates (%) | |
|-----------------------|----------------------|---------------------|---------|
| determinants | serotype 35B (n=421) | serotype 35D (n=23) | P value |
| ermB | 3 (0.7) | 1 (4.3) | 0.192 |
| mefA | 32 (7.6) | 6 (26.1) | 0.009 |
| tetM | 36 (8.6) | 1 (4.3) | 0.710 |
| folA I100L and folP | | | |
| insertion | 140 (33.3) | 5 (21.7) | 0.361 |

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