

Steel, D, Suleman, J, Murphy, D.C., Dodds, S and Rees, Jon (2018) Optic disc pit maculopathy: a two-year nationwide prospective study. Ophthalmology. ISSN 0161-6420

Downloaded from: http://sure.sunderland.ac.uk/id/eprint/9460/

Usage guidelines

Please refer to the usage guidelines at http://sure.sunderland.ac.uk/policies.html or alternatively contact sure@sunderland.ac.uk.

- 1 **<u>Title</u>**: Optic disc pit maculopathy: a two-year nationwide prospective population
- 2 study.
- 3

# 4 <u>Authors</u>:

- 5 David HW Steel MBBS, FRCOphth<sup>1,2</sup>
- 6 Javid Suleman MBChB, FRCOphth<sup>1</sup>
- 7 Declan C Murphy<sup>2</sup>
- 8 Anna Song<sup>2</sup>
- 9 Steve Dodds MSC<sup>1</sup>
- 10 Jon Rees BSc MBBS<sup>3</sup>
- 11

# 12 **Affiliations**:

- 13 1 Sunderland Eye Infirmary, Sunderland, UK
- 14 2 Institute of Genetic Medicine, Newcastle University, Newcastle Upon Tyne, UK
- 15 3 School of Psychology, Faculty of Health Sciences and Well Being, University of
- 16 Sunderland, Sunderland, UK
- 17

# 18 **Correspondence to:**

19 Mr D Steel

- 20 Sunderland Eye Infirmary
- 21 Queen Alexandra Road
- 22 Sunderland
- 23 UK
- 24 David.steel@ncl.ac.uk
- 25 Telephone: +441915699065
- 26 Fax +441915699060

27

<u>Additional information:</u> All authors meet the four criteria set by the International
 Committee of Medical Journal Editors and hence justify their authorship in this
 article.

Financial support: The study was supported by a grant from the British and Eire
 Association of Vitreoretinal Surgeons and Sunderland Eye Infirmary to which we are
 both very grateful. The sponsor or funding organization had no role in the design or
 conduct of this research.

<u>Conflict of interest</u>: D Steel declares that he has acted as a consultant to Alcon and
 received research funding from Bayer in projects unrelated to this study. No other
 authors have any disclosures to make.

38 **<u>Running head</u>**: Optic disc pit maculopathy

39

## 40 Address for reprints:

41 Sunderland Eye Infirmary, Sunderland, UK

42

## <u>Abstract</u>

43 Purpose: To identify the incidence, presenting features, treatment and clinical course

44 of Optic Disc Pit Maculopathy (ODPM) in the United Kingdom (UK).

45 Design: A 2-year nationwide prospective population study.

46 Subjects: All new incident cases of ODPM presenting to UK ophthalmologists using

47 the British Ophthalmic Surveillance Unit monthly reporting system.

48 Methods: All reporting ophthalmologists were sent an initial questionnaire requesting

data on previous medical and ophthalmic history, presentation details, investigation

50 findings and management. A further questionnaire was sent at 12-month post-

51 diagnosis to ascertain further outcome data.

52 Main Outcome Measures: Visual acuity at initial presentation, at 1-year and after any

53 intervention. Foveal involvement and optical coherence tomography (OCT) findings,

54 including retinal layers affected, and the location and size of the optic disc pit.

55 Management including observation, vitrectomy and associated procedures.

56 Results: There were 74 confirmed new cases giving an annual incidence of

<sup>57</sup> approximately 1 per 2 million. Complete data were available on 70 patients (70 eyes)

at baseline and 68 after 1 year. There were 35 (50%) female patients with a mean

age of 35 years (range 3-82). Visual acuity at baseline ranged from 6/5 to hand

60 movements. In 43 (61%) cases subretinal fluid (SRF) was present whereas 27(39%)

- had intraretinal (IRF) fluid only. The presence of SRF was associated with worse
- vision and foveal involvement. Of the 53 eyes initially observed with 1-year follow-up,

10 (19%) deteriorated and 9 (16%) improved on OCT; eyes with SRF were more
likely to worsen and those without SRF were more likely to improve. 15 (21%) of the
70 patients at baseline had primary surgery and a further 10 had deferred surgery
within 1 year of presentation. 19 of these 25 eyes (75%) showed anatomical success
with a dry fovea at 1 year of follow-up. 15 (60%) had a greater than a 0.1 logMAR
improvement in Va.

Conclusion: The incidence and presenting features of ODPM were defined. Cases
with SRF had worse vision and were more likely to deteriorate than cases with IRF
only. Surgery was anatomically successful in 75% of cases. Cases without SRF
tended to remain stable with observation.

73

## 74 Introduction

75 Congenital optic disc pits (ODP) are a rare abnormality of the optic nerve head and occur with an estimated prevalence of 2 in 10.000.<sup>1, 2</sup> Upon fundoscopic 76 examination, they usually appear as a grey, round or oval depression in the temporal 77 78 segment of the disc and are often associated with strands of attached and condensed vitreous at the retinal surface.<sup>3, 4</sup> Histopathologically, they demonstrate a 79 herniation of dysplastic retinal tissue into a collagen-rich excavation that can extend 80 into the subarachnoid space through a defect in the lamina cribrosa.<sup>5, 6</sup> Their origin is 81 uncertain and they are not typically associated with other systemic or eye 82 abnormalities.<sup>7, 8</sup> As an isolated finding they are usually asymptomatic, however an 83 estimated 25-75% of patients develop an associated serous detachment and/or 84 retinoschisis of the central macula at some point in their lives<sup>3, 9</sup>: this pathological 85 scenario is termed optic disc pit maculopathy (ODPM). Although the subject of many 86

case reports and cases series, there have been no population-based studies
investigating ODPM. As such, the clinical features at presentation and its clinical
course following both surgery and observation have yet to be reported using a
consecutive large unbiased cohort.

In this study we sought to determine the incidence, presenting features, clinical
course and management of patients presenting with ODPM in the United Kingdom
(UK) over a two-year period.

94

## 95 <u>Method</u>

96 A population-based study was performed with prospective case ascertainment using the British Ophthalmological Surveillance Unit (BOSU) monthly reporting card 97 system.<sup>10</sup> The BOSU was established to aid the investigation of rare eve conditions 98 99 with public health or scientific importance. It involves all independently practising ophthalmologists in the UK using a database that is maintained and updated by the 100 101 Royal College of Ophthalmologists. Each month these clinicians are sent a card, detailing approximately 5 nominated conditions, and they are asked to report any 102 new incident cases. From May 2014 to May 2016 ophthalmologists were asked to 103 report all new patients presenting with a congenital ODP with any associated intra-104 or subretinal fluid extending from the pit into the juxtapapillary retina, regardless of 105 symptoms. An ODP was defined as a localised round or oval depression within the 106 107 optic disc head. We excluded cases with other congenital optic disc abnormalities (e.g. Morning Glory) as well as acquired optic disc pits. Cases with choroidal 108 colobomas were included if the coloboma was entirely separate from the disc. 109

Once new cases were notified to the BOSU, every reporting ophthalmologist was 110 sent a detailed guestionnaire by the study investigators. These guestionnaires 111 requested them to provide data for each case, including their previous medical and 112 ophthalmic history, presenting clinical symptoms and signs, including signs on 113 optical coherence tomography (OCT), and the initial management provided to the 114 patient. (see supplementary file 1) The clinical features requested include a 115 116 reference congenital disc pit image with which to compare the ODP size, as well as OCT images to aid the reporting ophthalmologists in defining the distribution of any 117 118 associated intraretinal or subretinal fluid. (Figure 1) Details concerning patient outcomes were obtained from follow-up questionnaires sent to the reporting 119 ophthalmologists 12 months after the initial diagnosis as well as 12 months after the 120 last intervention. (see supplementary file 2) Ophthalmologists who did not return the 121 questionnaires received reminder letters 2 months after the initial questionnaire was 122 sent. If there was still no reply, further follow-up emails were sent to non-responders. 123 124

To maximise case reporting, the study was publicised widely in special interest 125 groups in the UK, including the British and Eire Association of Vitreoretinal Surgeons 126 and national meetings including the Royal College of Ophthalmologists annual 127 congress. BOSU monitors monthly reporting card returns and encourages 128 129 participation by providing the participants with regular study updates and return rates. The overall BOSU card return rate in our study averaged 76% over the 24-130 month period. (Personal communication Barny Foot) To avoid duplicate case 131 reporting, returns were investigated when cases were reported from the same 132 centre, and cases referred to other centres from the original reporting clinician were 133 cross checked to ensure notification from both centres had or had not occurred. 134

135

The population incidence was calculated using the estimated UK (England, Scotland,
 Wales, and Northern Ireland) population (65.11 million) at the midpoint of the study
 period.<sup>11</sup>

139

The protocol was reviewed and refined by the BOSU steering committee and the
questionnaires were trialled by 8 retinal specialist clinicians prior to the study's onset.
Ethical approval was obtained for the UK Research Ethics committee (NRES
Committee West Midlands - Solihull 14/WM/0054). Informed consent was not
required by individual patients but the study adhered to the principles of the
Declaration of Helsinki and UK Caldicott guidelines.

146

147

## 148 **Statistical analysis**

Descriptive and statistical analysis was performed using SPSS statistical package 149 (SPSS v24). All visual acuities were converted to the logarithm of the minimal angle 150 of resolution (logMAR) for analysis. Baseline and follow up variables are presented 151 152 in terms of mean, standard deviation and range when normally distributed, and percentages as appropriate. Visual stability was defined as visual acuity +/- 0.1 153 logMAR, with worsening or improvement being a greater than 0.1 logMAR change. 154 155 Anatomical success with surgery was defined as a dry fovea on OCT at 1 year following surgery. Correlations between variables of continuous data were assessed 156 using Pearson's correlation coefficient and comparisons between categorical data 157 were performed using Chi-squared and Fishers tests as appropriate. Differences 158 among variables were assessed with two-sided t tests and one-way ANOVA where 159

appropriate for continuous data, and chi-squared tests when the data were
 categorical. Stepwise multiple regression examined the relationship between
 numerous variables. Statistical significance was described when a p-value of 0.05 or
 less was obtained.

164

## 165 **Results**

During the two-year study period, a total of 111 patients (111 eyes) were reported to the BOSU. In 9 cases there was no reply to the request for additional information and thus a data return rate of 92% was attained in our study. We identified sixteen cases that were duplicates and 12 false reports occurring due to other conditions (e.g. pit without any retinal fluid, morning glory abnormality, acquired pits and examples presented outside the reporting period) or other reporting errors. After these reports were excluded, we were left with a total of 74 true cases of ODPM.

The incidence of ODPM could be calculated from the data obtained. It equates to an 174 incidence of 5.7 per 10 million per annum, which is equivalent to approximately 1 in 2 175 million per annum of the UK population. In 4 of the 74 confirmed cases, although 176 having confirmed that they identified a true case, the reporting ophthalmologist had 177 lost the patients details and hence were unable to complete the questionnaire; this 178 resulted in a final count of 70 cases with complete baseline data from the two-year 179 180 period. One-year follow-up questionnaires were returned on 68 of these initial 74 (92%) cases. 181

182

183 Baseline findings

184

Baseline features are presented in table 1. The mean age of the 70 patients with full
baseline data was 35 years old (range 3-82 years old), and 35 (50%) were female.

187 65 (93%) self-described themselves as "White British or other", 1 as "Asian Indian", 2

as "Black African" and 1 as "Arabic". At the time of the study the UK prevalence of

189 self-described white British ethnicity was 87.2%.<sup>12</sup>

Remarkable past ophthalmic and family history were as listed in table 2. None were
considered to be related to the new onset of the pit maculopathy. No participants

described any recent, clinically significant ocular trauma.

193 The maculopathy involved the fovea in 59 (84%) cases and 14 of the 70 cases

(20%) were asymptomatic. Visual acuity (Va) ranged from -0.04 to 2 logMAR with a
mean acuity of 0.54.

The mean spherical equivalent refractive error was -0.10 dioptres (SD 2.34, range -7to +8).

A Weiss ring was present in 6 (9%) cases at baseline.

In 31 cases the right eye was affected, and in one case, bilateral disc pits were
present, however maculopathy was only present in one eye. The pit was located in
the temporal part of the disc in 37 cases, inferotemporally in 27, superotemporally in
2 and nasally in 2. The pit was larger than the standard picture in 42, smaller in 15,
and the same size in 13 cases. 2 cases had separate discrete choroidal colobomas
in the same eye.

The fluid distribution of the maculopathy was divided into 7 groups based on the presence of subretinal fluid (SRF), inner retinal fluid (IRF) and outer retinal fluid (ORF) (Table 3). 43 (61%) patients had SRF and 27 (39%) had intraretinal layer fluid only. The number of participants with involvement of the foveal centre, the presence 209 of symptoms and the initial management relative to the presence or absence of SRF 210 is outlined in table 3.

At baseline, Va was significantly associated with foveal involvement (P<0.001) and

foveal involvement was significantly related to the presence of symptoms (p=0.001).

There was no significant association between pit size, patient age, foveal

- involvement or retinal fluid type.
- 215

216 Patients with SRF had significantly worse vision at baseline than those without SRF

217 (mean Va with SRF = 0.76 (SD 0.57) versus mean Va without SRF = 0.36 (SD 0.35);

p=0.002). The group with SRF and multi-layered intra-retinal fluid (MLF)

demonstrated the worst baseline Va of all the fluid types (mean Va=0.79).

220

## 221 Treatment and clinical course

15 of the 70 (21%) patients with baseline data were initially treated by vitrectomy, 52 222 were observed only and 3 had a trial of a carbonic anhydrase inhibitor (CAI) 223 (delivered orally in 2 and topically in 1); this therapy did not result in anatomical or 224 visual improvement in any of the 3 patients. No patient had laser treatment alone. 225 Table 4 describes the features of the group who were initially observed or treated 226 with a CAI, compared with those who underwent primary vitrectomy. The group 227 228 undergoing primary vitrectomy had a worse baseline Va, more commonly had SRF, and specifically, at baseline more often showed evidence of SRF with multi-layered 229 intraretinal fluid than the group who were initially observed. 230

231

Of the 55 patients who were observed or treated with a CAI, 53 had complete data 1year after baseline. 9 (17%) of these 53 patients showed evidence of anatomical

improvement on OCT, 10 (19%) worsened, and 34 (64%) were unchanged. At 1-234 year follow-up, 10 of the 53 (19%) patients underwent vitrectomy (8 had evidence of 235 anatomical worsening and 2 had remained stable with reduced vision). The 236 relationship between the initial fluid distribution pattern and the clinical course is 237 shown in table 5, which describes all fluid distribution types, and table 6, which 238 describes the course relative to the presence or absence of SRF. When comparing 239 patients with SRF at baseline to those without SRF, those with SRF were more likely 240 to worsen (27% versus 9%) and less likely to improve (7% versus 30%, p=0.04) over 241 242 the 1-year follow up.

243 All 25 patients managed by vitrectomy (15 initial and 10 delayed) underwent intraoperative posterior hyaloid face separation. A variety of other procedures were 244 performed: 9 (36%) patients had temporal juxtapapillary laser applied, 13 (52%) had 245 an internal limiting membrane (ILM) peel, 2 (8%) had SRF drainage, all but one had 246 gas tamponade (of which 5 (20%) was short acting gas (SF6) and 19 (76%) long 247 acting gas (C3F8 or C2F6)), one (4%) had a ILM flap performed and 2 (8%) had an 248 inner retinal fenestration conducted. Anatomical outcomes were unrelated to 249 intraoperative juxtapapillary laser application (p=0.18), the use of gas (p=0.99), and 250 251 ILM peeling (p=0.32).

252

Following vitrectomy, 6 (24%) had persisting sub- or intraretinal fluid located at the foveal centre when the study was completed. 4 (16%) had a worse Va, 6 (24%) had stable vision and 15 (60%) had improved vision compared with measurements taken immediately before surgery. Va at baseline and at 1-year follow-up is highlighted for all groups with 1-year follow-up in table 7.

258

Five patients who underwent vitrectomy required revision vitrectomy surgery during 259 the course of this study; 4 of these were from the initial vitrectomy group, one of 260 whom experienced a vitreous cavity haemorrhage following revision vitrectomy and 261 required a further procedure, and one from the delayed vitrectomy group who 262 developed a rhegmatogenous retinal detachment. The other 3 were performed due 263 to initial treatment failure; 2 of these developed macular holes following surgery 264 265 which required a further procedure. Of these 2 patients one had an ILM peel during the initial surgery and 1 had not. 266

267

## 268 **Discussion**

This is the first population-wide study of incident cases of ODPM. We present novel data on the incidence, presenting features, and natural history of ODPM with and without treatment, in an unbiased consecutive cohort over a two-year period using an established and validated methodology.

273

Congenital ODPM has always been considered a rare condition and we confirmed 274 this with an incidence of approximately 1 per 2 million population per annum. We 275 asked ophthalmologists to report all incident cases presenting to them regardless of 276 symptoms and indeed 15 of our cases were asymptomatic, suggesting that the true 277 278 incidence may in fact be higher owing to non-presentation. Similarly, we may have missed cases from failed reporting. The BOSU had a return rate of 76% during the 279 study period. Non-return could be due to both systematic and random factors, 280 although it is likely to be higher in clinicians who had not seen cases during the study 281 period. The rate reported therefore represents the minimum incidence, with a 282 likelihood of some under ascertainment, including 9 possible cases that were 283

unverified by questionnaire. Previous BOSU studies have reported a validated
ascertainment rate between 65% and 95%.<sup>10</sup> If the 9 possible cases were true and
ascertainment were proportionate to the card return rate (76%), there would be an
estimated incidence of 109 cases over the two years, equivalent to approximately
8.1 per 10 million per annum. If ascertainment was equivalent to the lowest reported
rate (65%), incidence would be 9.5 per 10 million per annum.

290 The prevalence of congenital optic disc pits has been recorded in two population level studies. The Blue Mountains eye study found a prevalence of 0.19% but only 1 291 292 of the 9 cases identified was likely congenital, providing a prevalence of approximately 2 in 10,000.<sup>1</sup> Similarly, the Beijing eye study suggest a similar 293 prevalence of approximately 2 in 10,000. This involved a racially distinct population 294 295 which suggests that the prevalence of ODPM is similar in different populations. Therefore a total number of 13,000 people with congenital pits in the UK may be 296 suggested.<sup>2</sup> It has previously been considered that approximately 25-75% of people 297 with congenital pits will develop maculopathy over their lifetime, which is in broad 298 agreement with our incidence figures.<sup>3, 9</sup> 299

300

We found an equal sex incidence, a broad range of ages affected (mean 35 years), 301 and no clear racial or refractive predilection; this is consistent with previous reports. 302 303 Hence, our incidence figures are likely to be replicated across different countries, regardless of demographic differences. Although we found some rare coexistent 304 conditions, no family history or personal coexisting disorders showed a clear 305 relationship with the ODPM.<sup>13-15</sup> There was only one patient with bilateral pits but 306 only one of the eyes was affected by maculopathy. Bilateral disc pit maculopathy 307 would appear to be very rare, and similarly, so would hereditary cases. Two patients 308

had separate and discrete circumscribed choroidal colobomas in the affected eye
 which has previous been reported to be associated with ODPM.<sup>16</sup>

311

93% of the pits were located in either the temporal or inferotemporal region of the 312 optic disc, which is a higher frequency than that found in previously published series 313 of pits without macular changes.<sup>3, 9</sup> As the 2 patients with pits located nasally did not 314 have foveal involvement and we obtained no cases with central pits, it may be 315 suggested that temporal pits are more commonly associated with the development of 316 317 clinically significant maculopathy. Pit size was unrelated to patient age or severity of the maculopathy; it appears that the size of the pit is not a good surrogate marker for 318 the size of the proposed defect in the lamina cribosa present in ODPM. Similarly, we 319 found no relationship between pit size and the fluid distribution type. Roy et al 320 reported the type of fluid distribution in ODPM from a non-consecutive series of 32 321 ODPM cases identified in clinical practice.<sup>17</sup> They found that the two most common 322 fluid patterns were SRF with either ORF or MLF; this was contrary to prior studies 323 which reported more cases of SRF with ORF.<sup>17, 22</sup> We found that SRF with MLF was 324 the most common presentation but that cases with intraretinal fluid only were also 325 common, as previously described but not widely noted.<sup>18-20</sup> These findings may be 326 due to the widespread availability of spectral domain OCT in current practice which 327 allows for the fluid's exact location to be delineated, as well as the specific 328 methodology used in our study. We asked for all cases to be reported rather than 329 only those that were referred for surgery or management decisions. Cases with 330 intraretinal fluid only are relatively common; those affected usually have good Va and 331 often are asymptomatic. Conversely cases with SRF only are rare, as described by 332 Imamura et al.<sup>21</sup> The fluid distribution that we identified is supported by the schemata 333

proposed by *Roy et al.* This details that usually the fluid initially transits from the pit into the outer retina and then spreads into either or both the subretinal space and inner retina.<sup>17</sup> Direct transit form the pit directly into the subretinal space or inner retina is uncommon. In our study, cases with SRF and MLF had the worst visual acuities, as may be expected based on both the disrupted retinal function and the likelihood of greater chronicity. Previous studies have also suggested that they also have a worse prognosis following surgical intervention.<sup>22</sup>

341

342 We also found that cases with SRF at baseline were more likely to progress than cases without SRF (27% versus 9%), and similarly, cases without SRF were more 343 likely to improve compared with those with SRF (30% versus 7%). Interestingly, 5 of 344 the cases without SRF with foveal involvement spontaneously developed dry foveas 345 on OCT. This may be related to the size of the putative lamina cribosa defect. It is 346 possible that small defects with intraretinal fluid accumulation only are more likely to 347 spontaneously close with changes in pit shape or, the recently described, intra-348 papillary proliferations in the pit that have been visualised using high definition 349 OCT.<sup>23, 24</sup> This is useful to guide clinical decision making. It is a widely held belief 350 that patients with ODPM usually get worse and only rarely improve; for that reason, 351 early surgery is often advocated.<sup>25-30</sup> However, our data suggest that patients without 352 353 SRF (and usually good visual function) could be observed initially, whereas patients with SRF (and usually reduced vision) rarely improve and achieve superior outcomes 354 with surgery. Primary surgery achieved a significant improvement in Va, whereas 355 deferred surgery did not. However, the gain in vision and final Va were very similar 356 between the primary and deferred surgery groups. This suggests that initial 357

observation at least did not affect the final visual outcome in those undergoing
surgery. (Table 7)

360

Our treatment results broadly mirror those described in previous studies. 361 Approximately 75% of the patients undergoing vitrectomy achieved an anatomically 362 dry fovea on OCT, and 60% had a greater than 0.1 logMAR improvement in Va post-363 364 operatively compared with recordings made immediately before vitrectomy. All patients underwent vitrectomy with posterior hyaloid face separation. We did not find 365 366 a significant benefit from ILM peeling, juxtapapillary laser or the use of gas, similar to other recent studies, but the number of cases in our study is too small to be 367 conclusive, with a risk of type II errors.<sup>22, 31-35</sup> Furthermore, 25 different surgeons 368 operated on the included cases without a defined therapeutic protocol, for example 369 for laser application and it is therefore not possible to draw definitive conclusions on 370 the benefit of particular surgical approaches. No surgeon opted to use scleral 371 buckling or gas injection without vitrectomy, reflecting the low adoption of these 372 procedures; this is an observation that others have made previously.<sup>7, 36</sup> Similarly, no 373 patient underwent laser alone, an intervention used less frequently owing to its 374 variable efficacy.<sup>37-39</sup> Three patients had a trial of CAIs, which have been reported to 375 result in visual improvement in some cases of OPDM, however its showed no 376 beneficial effect in this series.<sup>40</sup> It may be that CAIs only work in rare subtypes of 377 ODPM. Two patients underwent inner retinal fenestration and 2 had ILM flaps 378 performed. In all 4 of these cases, the interventions were performed in combination 379 with other procedures so the true efficacy of the individual manoeuvre is uncertain. 380 Ooto *et al* described a series of 18 eyes treated with inner retinal fenestration<sup>41</sup>; only 381 5 of these eyes had posterior hyaloid face separation induced, and no gas 382

tamponade or laser was used. Remarkable success was achieved in 17 cases but
unfortunately other attempts have been less successful and further study is
needed.<sup>42</sup> The use of ILM flaps has been reported by some authors in ODPM cases
but similarly, further investigations are needed to determine the true efficacy.<sup>42</sup> The
use of these novel approaches reflect the current suboptimal outcomes achieved in
the treatment of ODPM. This is also reflected by the diverse treatment approaches
that are adopted by different surgeons in the UK.

390

Two patients in our study developed full thickness macular holes after surgical
intervention. One patient underwent ILM peeling intraoperatively which has
previously been hypothesised as a risk factor for macular hole formation. We do not
know if either of these patients had evidence of an outer retinal defect at the fovea
preoperatively, which is another hypothesised risk factor. Certainly it is a
complication that patients should be counselled about.<sup>43</sup>

397

Although a robust methodology was used in our study, it has several limitations. The 398 data for the study were obtained by using guestionnaires that were completed by 399 independent ophthalmologists and as a result, the accuracy of the data returned to 400 the researchers cannot be validated. To maximise the accuracy of the data returned 401 and hence improve the reliability of this study's results, the questionnaire, including 402 the use of the standard pictures, was trialled and optimised before the onset of the 403 study. In addition, the response rate from the independent ophthalmologists was not 404 100%, however when compared with similar studies, the rate was high. We have 405 discussed the uncertainty concerning incidence calculations, however the frequency 406 of occurrence identified in our study concurs with what was expected, and therefore 407

can be considered as reasonably reliable. Follow-up was restricted to 1-year after
the patient initially presented or last intervention. More patients of the original cohort
may have gone onto vitrectomy, recurrences could have occurred, and Va in the
operated cases could have improved further with time. Our limited follow-up period
prevented the identification of these outcomes and future studies would ideally
monitor cases for a longer length of time.

414

In conclusion, we have identified the incidence of ODPM as approximately 1 in 2 415 416 million of the UK population per annum. The incidence showed no sex, age, refractive or race predilection suggesting that the rate will be similar in other 417 countries. We have defined the case mix presenting to ophthalmologists, identifying 418 the relationship between symptoms, visual acuity and retinal fluid distribution and 419 differences in their progression. Finally, we have presented representative results of 420 surgery for an unselected consecutive cohort by a mixture of surgeons. Further 421 prospective studies on the management of this enigmatic condition are required. 422 423

## 424 Acknowledgements:

We are extremely grateful for the expert help and assistance given by the BOSU
Chairmanand steering committee and Mr Barny Foot of the Royal College of
Ophthalmologists. We would also like to sincerely thank all the UK Ophthalmologists
who contributed cases to this study and for their assistance with questionnaire
completion and answering queries.

430

#### 431 **References**

432

- Healey PR, Mitchell P. The prevalence of optic disc pits and their relationship
  to glaucoma. *J Glaucoma*. 2008;17(1):11-14.
- Wang Y, Xu L, Jonas J. Prevalence of congenital optic disc pits in adult
  Chinese: the Beijing eye study. *Eur J Ophthalmol*. 2006;16(6):863-864.
- 437 3 Brown GC, Shields JA, Goldberg RE. Congenital pits of the optic nerve head:
- 438 II. Clinical studies in humans. *Ophthalmology*. 1980;87(1):51-65.
- 439 4 Kranenburg EW. Crater-like holes in the optic disc and central serous
  440 retinopathy. *Arch Ophthalmol.* 1960;64(6):912-924.
- Irvine AR, Crawford JB, Sullivan JH. The pathogenesis of retinal detachment
  with morning glory disc and optic pit. *Trans Am Ophthalmol Soc*. 1986;84:280-
- 443 **292**.
- 6 Christoforidis JB, Terrell W, Davidorf FH. Histopathology of optic nerve pitassociated maculopathy. *Clin Ophthalmol* 2012;6:1169-1174.
- Jain N, Johnson MW. Pathogenesis and treatment of maculopathy associated
  with cavitary optic disc anomalies. *Am J Ophthalmol*. 2014;158(3):423-435.
- 448 8 Georgalas I, Ladas I, Georgopoulos G, Petrou P. Optic disc pit: a review.
- 449 *Graefes Arch Clin Exp Ophthalmol.* 2011;249(8):1113-1122.
- Gordon R, Chatfield R. Pits in the optic disc associated with macular
  degeneration. *Br J Ophthalmol*. 1969;53(7):481-489.
- 452 10 Foot B, Stanford M, Rahi J, Thompson J. The British Ophthalmological
- 453 Surveillance Unit: an evaluation of the first 3 years. *Eye*. 2003;17(1):9-15.
- 454 11 Office for National Statistics. Population estimates for UK, England and
- 455 Wales, Scotland and Northern Ireland: mid-2015.
- 456 https://www.ons.gov.uk/peoplepopulationandcommunity/populationandmigrati

457		on/populationestimates/bulletins/annualmidyearpopulationestimates/mid2015/
458		; 2016 Accessed 03.09.17.
459	12	Institute of Race Relations. Ethnicity and Religion Statistics.
460		http://www.irr.org.uk/research/statistics/ethnicity-and-religion/; 2018
461		Accessed 06.01.18.
462	13	Slusher MM, Weaver RG, Greven CM, Mundorf TK, Cashwell LF. The
463		spectrum of cavitary optic disc anomalies in a family. Ophthalmology.
464		1989;96(3):342-347.
465	14	Stefko ST, Campochiaro P, Wang P, Li Y, Zhu D, Traboulsi EI. Dominant
466		inheritance of optic pits. Am J Ophthalmol. 1997;124(1):112-113.
467	15	Jonas JB, Freisler KA. Bilateral congenital optic nerve head pits in
468		monozygotic siblings. Am J Ophthalmol. 1997;124(6):844-846.
469	16	Apple DJ. New aspects of colobomas and optic nerve anomalies. Int
470		<i>Ophthalmol Clin</i> . 1984;24(1):109-121.
471	17	Roy R, Waanbah AD, Mathur G, Raman R, Sharma T. Optical coherence
472		tomography characteristics in eyes with optic pit maculopathy. Retina.
473		2013;33(4):771-775.
474	18	Krivoy D, Gentile R, Liebmann JM, Stegman Z, Walsh JB, Ritch R. Imaging
475		congenital optic disc pits and associated maculopathy using optical coherence
476		tomography. Arch Ophthalmol. 1996;114(2):165-170.
477	19	Rutledge BK, Puliafito CA, Duker JS, Hee MR, Cox MS. Optical coherence
478		tomography of macular lesions associated with optic nerve head pits.
479		<i>Ophthalmology</i> . 1996;103(7):1047-1053.
480	20	Hotta K, Hirakata A, Hida T. Retinoschisis associated with disc coloboma. Br
481		J Ophthalmol. 1999;83(1):124.

- Imamura Y, Zweifel SA, Fujiwara T, Freund KB, Spaide RF. High-resolution
  optical coherence tomography findings in optic pit maculopathy. *Retina*.
  2010;30(7):1104-1112.
- Steel DH, Williamson TH, Laidlaw DAH, Sharma P, Matthews C, Rees J, et al.
   Extent and location of intraretinal and subretinal fluid as prognostic factors for
- the outcome of patients with optic disk pit maculopathy. *Retina*.

488 2016;36(1):110-118.

- 489 23 Maertz J, Mohler KJ, Kolb JP, Kein T, Neubauer A, Kampik A, et al.
- 490 Intrapapillary proliferation in optic disk pits: clinical findings and time-related
  491 changes. *Retina*. 2017;37(5):906-914.
- 492 24 Pinarci EY, Karacal H, Oncel B, Bayar SA, Karakaya M. The inner diameter of
  493 the optic disc pit decreases with pars plana vitrectomy. *Int Ophthalmol*.
- 494 2013;33(2):199-201.
- 495 25 Sugar HS. Congenital Pits in the Optic Disc: And Their Equivalents
- 496 (Congenital Colobomas and Colobomalike Excavations) Associated by
- 497 Submacular Fluid. *Am J Ophthalmol*. 1967;63(2):298-307.
- 498 26 Sobol WM, Blodi CF, Folk JC, Weingeist TA. Long-term visual outcome in
- 499 patients with optic nerve pit and serous retinal detachment of the macula.
- 500 *Ophthalmology*. 1990;97(11):1539-1542.
- 501 27 Patton N, Aslam SA, Aylward G. Visual improvement after long-standing
- 502 central serous macular detachment associated with an optic disc pit. *Graefes*
- 503 Arch Clin Exp Ophthalmol. 2008;246(8):1083-1085.
- Tripathy K. Spontaneous resolution of optic disc pit maculopathy. *Turk J Ophthalmol.* 2017;47(3):184-185.

- Bayar SA, Sezenöz AS, Pinarci EY, Yilmaz G. Spontaneous regression of
  optic disc pit maculopathy in a six-year-old child. *Turk J Ophthalmol*.
  2017;47(1):56-58.
- 30 Yuen CH, Kaye SB. Spontaneous resolution of serous maculopathy
   associated with optic disc pit in a child: a case report. *J AAPOS*.

511 2002;6(5):330-331.

- Avci R, Kapran Z, Ozdek Ş, Teke M, Oz O, Guven D, et al. Multicenter study
  of pars plana vitrectomy for optic disc pit maculopathy: MACPIT study. *Eye*.
  2017;31(9):1266-1273.
- Rayat JS, Rudnisky CJ, Waite C, Huang P, Sheidow TG, Kherani A, et al.
  Long-term outcomes for optic disk pit maculopathy after vitrectomy. *Retina*.
- 517 2015;35(10):2011-2017.
- 518 33 Abouammoh MA, Alsulaiman SM, Gupta VS, Mousa A, Hirakata A, Berrocal
- 519 MH, et al. Pars plana vitrectomy with juxtapapillary laser photocoagulation
- 520 versus vitrectomy without juxtapapillary laser photocoagulation for the
- 521 treatment of optic disc pit maculopathy: the results of the KKESH International
- 522 Collaborative Retina Study Group. *Br J Ophthalmol*. 2016;100(4):478-483.
- 523 34 Hirakata A, Inoue M, Hiraoka T, McCuen BW. Vitrectomy without laser
- treatment or gas tamponade for macular detachment associated with an optic
  disc pit. *Ophthalmology*. 2012;119(4):810-818.
- 526 35 Teke MY, Citirik M. 23 gauge vitrectomy, endolaser, and gas tamponade
- 527 versus vitrectomy alone for serous macular detachment associated with optic
- 528 disc pit. *Am J Ophthalmol*. 2015;160(4):779-785.

- 529 36 Moisseiev E, Moisseiev J, Loewenstein A. Optic disc pit maculopathy: when 530 and how to treat? A review of the pathogenesis and treatment options. *Int J* 531 *Retina Vitreous*. 2015;1(1):13.
- 37 Bonnet M. Serous macular detachment associated with optic nerve pits.
  533 *Graefes Arch Clin Exp Ophthalmol.* 1991;229(6):526-532.
- 534 38 Mustonen E, Varonen T. Congenital pit of the optic nerve head associated
- with serous detachment of the macula. *Acta Ophthalmol*. 1972;50(5):689-698.
- 536 39 Brockhurst R. Optic pits and posterior retinal detachment. *Trans Am*
- 537 *Ophthalmol Soc.* 1975;73:264-291.
- 40 Prakash P, De Salvo G, Lotery A. Morning glory with serous macular
- 539 detachment responds to oral acetazolamide. *Eye*. 2010;24(11):1732-1733.
- 540 41 Ooto S, Mittra RA, Ridley ME, Spaide RF. Vitrectomy with inner retinal
- fenestration for optic disc pit maculopathy. *Ophthalmology*. 2014;121(9):1727-
- 542 **1733**.

1342.

- 42 Hara R, Tsukahara Y, Simoyama T, Mori S. Refined Internal Limiting
- 544 Membrane Inverted Flap Technique for Intractable Macular Detachment with
- 545 Optic Disc Pit. *Case Rep Ophthalmol* 2017;8(1):208-213.
- Shukla D, Kalliath J, Tandon M, Vijayakumar B. Vitrectomy for optic disk pit
  with macular schisis and outer retinal dehiscence. *Retina*. 2012;32(7):1337-
- 548

549

550

## 551 Legends

## 552 **Figure 1:**

553 Representative horizontal spectral domain optical coherence tomography images of optic disc pit maculopathy cases. (a) Patient with multilayer intraretinal fluid and 554 subretinal fluid: inner retinal layer fluid (short arrow), outer retinal layer fluid (long 555 arrow) and an outer retinal defect with subretinal fluid (broad arrow). (b) Outer retinal 556 layer fluid only (long arrow). (c) Subretinal fluid only (broad arrow). (d) Non-foveal 557 fluid involving outer retinal layer fluid only. (e) Multilayer intraretinal fluid involvement 558 with outer retinal defect and subretinal fluid. (f) Colour fundal photograph of optic 559 disc with 'reference' optic disc pit used in the study for size comparison purposes. 560



# Table 1: Baseline features

Variable	Number (n=70 unless stated otherwise)
Age in years: mean: SD: range	35: 22.1: 3-82
Sex	Male: 35 (50%)
	Female: 35 (50%)
Laterality	Right: 31 (44%)
	Left: 39 (56%)
Refraction (spherical equivalent) in	-0.10; 2.3; -7 to +8
dioptres: mean; standard deviation;	
range	
(Data missing in 29)	
Symptoms present	Yes: 56 (77%)
	No: 14 (20%)
Visual acuity (logMAR): mean; SD; range	0.61; 0.54; -0.04 - 2.0
Position of pit on optic disc	Temporal: 37 (53%)
	Inferotemporal: 28 (40%)
	Superotemporal: 2 (3%)
	Nasal: 2 (3%)
Size of pit relative to standard picture:	Smaller: 15 (21%)
Smaller/Same/Larger	Same size: 13 (19%)
	Larger: 42 (60%)
Foveal involvement	Yes: 59 (84%)
	NO: 11 (16%)
Presence of SRF	Yes: 43 (61%)
	NO: 27 (39%)
Presence of PVD	Yes: 6 (9%)
	NO: 64 (91%)
Initial management	Observation: 55 (79%)
(Observation/vitrectomy)	Vitrectomy: 15 (21%)
Delayed secondary vitrectomy	10 (14%)

SD: standard deviation, PVD: posterior vitreous detachment, SRF: subretinal fluid

Table 2: Past ophthalmic history and family history

Past ophthalmic history and family history	Number
	of
	cases
	4
Cataract surgery (several years previously)	1
Photodynamic therapy for a choroidal neovascular membrane secondary	1
to presumed ocular histoplasmosis syndrome in the fellow eye (several years previously)	
Peripheral iridectomies for acute angle-closure glaucoma (several years previously)	1
Orbital rim fracture (40+ years previously with normal vision prior to the ODPM )	1
Known occipital infarcts (but normal central acuities prior to the ODPM)	1
Amblyopia in affected eye (one with associated microphthalmia)	3
Known Ehlers-Danlos syndrome	1
Identical twin brother with open-angle glaucoma (but no optic disc pit)	1
Brother with a hereditary cone dystrophy	1

Table 3: Retinal fluid distribution at baseline.

		Fluid distribution type (n=70)	Number of cases	Foveal centre involved	Symptomatic at baseline	Initial management by vitrectomy
SRF absent:	(%	IRF only	1 (1%)	19 (70%)	16 (59%)	2 (7%)
	N=27 (39	ORF only	15 (21%)	-		
		IRF and ORF only	11 (16%)	-		
- present:	ı3 (61%)	SRF and ORF only	9 (13%)	40 (93%)	40 (93%)	13 (30%)
		SRF and IRF only	3 (4%)	-		
		SRF and IRF and ORF	26 (37%)	(p=0.009)	(p=0.002)	(p=0.007)
SRI	N=2	SRF only	5 (7%)	1		

IRF: Inner retinal fluid, ORF: Outer retinal fluid, SRF: subretinal fluid

Table 4: Comparison of baseline features between those undergoing primary vitrectomy and those managed by observation.

Features (n=70)	Initial vitrectomy (n=15)	Observation (n=55)	P-value
Age in years	42.2; 13.4	33.1; 22.7	p=0.08
mean; SD			
Sex	Male: 10 (67%)	Male: 25 (45%)	p=0.24
(male/female)	Female: 5 (33%)	Female: 30 (55%)	
Foveal	Yes: 15 (100%)	Yes: 44 (80%)	p=0.11
(Yes/No)	No: 0 (0%)	No: 11 (20%)	
Symptoms	Yes: 14 (93%)	Yes: 38 (69%)	p=0.10
(Yes/No)	No: 1 (7%)	No: 15 (31%)	
logMAR visual	0.92; 0.52	0.53; 0.51	p=0.01
acuity. mean, SD			
Fluid distribution	Intraretinal fluid only: 2 (13%)	Intraretinal fluid only: 25 (45%)	p=0.02
	SRF +MLF: 10 (67%)	SRF +MLF: 16 (30%)	
	SRF +/- ORL or IRL: 3	SRF +/- ORL or IRL: 14	
	(20%)	(25%)	
SRF presence	Yes: 13 (87%)	Yes: 30 (55%)	p=0.04
(Yes/NO)	No: 2 (13%)	No: 25 (45%)	

IRF: Inner retinal fluid, ORF: Outer retinal fluid, SRF: subretinal fluid, MLF: multilayer intra-retinal fluid, SD: standard deviation.

Statistically significant p-values shown in bold.

Table 5: Changes in amount of retinal fluid in the patients initially observed with complete data 1-year after the initial presentation, subdivided according to the initial fluid distribution.

Fluid extent	IRF only	ORF	ORF +	SRF + IRF	SRF +	SRF	SRF
changes after initial	(N=1)	only	IRF only	+ ORF	ORF	+IRF	only
observation (N=53)		(N=12)	(N=10)	(N=16)	(N=6)	(N=3)	(N=5)
Fluid same	1	6	7	12	3 (*1)	2	3 (*1)
Fluid worse	0	1	1*	4 (*3)	2*	1*	1*
Fluid better	0	5	2	0	1	0	1

ORF: outer retinal fluid, IRF: inner retinal fluid, SRF: subretinal fluid \*Signifies number of those having a deferred vitrectomy Table 6: Changes in amount of retinal fluid in the patients initially observed divided up by the presence of SRF at baseline

Anatomical change in amount of retinal fluid observed on OCT	No SRF (n=23)	SRF (n=30)
Same	14 (61%)	21 (70%)
Worse	2 (9%)	8 (27%)
Better	7 (30%)	2 (7%)

\*p=0.04(Fishers exact test)

# Table 7: Visual outcomes

	Subgroups	Baseline visual acuity (logMAR) Mean, SD	Final visual acuity (logMAR) Mean, SD	Difference (final- baseline)	p-value
Entire Cohort	Entire cohort with 1- year follow-up (n=68)	0.62, 0.54	0.59, 0.53	-0.03	0.57
Observed Cases	Observed throughout study (n=43)	0.49, 0.49	0.55, 0.58	0.06	0.18
	Observed – no SRF at baseline (n=23)	0.33, 0.33	0.31, 0.42	-0.02	0.71
	Observed – SRF at baseline (n=20)	0.69, 0.58	0.75, 0.57	0.06	0.40
ymy	Primary vitrectomy (n=15)	0.92, 0.52	0.70, 0.43	-0.22	0.05
s Undergoing Vitrecto	Deferred vitrectomy (n=10)	At baseline: 0.70, 0.60 Immediately prior to vitrectomy: 0.87, 0.51	0.64, 0.51	-0.06 -0.23	0.78 0.32
Case	All vitrectomy (n=25)	0.83, 0.57	0.67, 0.46	-0.16	0.14

\*For vitrectomy patients, baseline visual acuity is given as visual acuity immediately before vitrectomy. Mean, SD and range are given for all.