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The significance of preoperative external limiting membrane height on visual prognosis in patients undergoing macular hole surgery.

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Abstract:	<p>Purpose To investigate the association between the vertical elevation of the external limiting membrane (ELM) and visual outcome in patients undergoing surgery for idiopathic full thickness macular hole (MH).</p> <p>Methods Retrospective observational study of a consecutive cohort of patients undergoing vitrectomy to treat MH. The greatest vertical height of the central external limiting membrane above the RPE (ELM height) was measured on spectral domain optical coherence tomography preoperatively. The relationship of ELM height to other pre and postoperative variables, including MH width and height, and visual acuity (VA) was analyzed.</p> <p>Results Data from 91 eyes of 91 patients who had undergone successful hole closure was included. The mean ELM height was 220 microns (range 100 -394). There were significant correlations between the ELM height and the diameter of the hole, hole height and worsening preoperative VA. For holes less than 400 microns in width, better postoperative VA was significantly predicted by a lower ELM height.</p>

	<p>Conclusion The ELM height varies widely in idiopathic MH. It is higher in eyes where the hole is wider and also when the hole itself is higher. For holes of less than 400 microns in width, a lower ELM height is a strong independent predictor of a good postoperative outcome.</p>

1 **Full title:** The significance of preoperative external limiting membrane height on visual
2 prognosis in patients undergoing macular hole surgery.
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4 **Abbreviated title:** ELM height in idiopathic macular holes.
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2
3 **Abstract** (word count: 198/200)

4 **Purpose**

5 To investigate the association between the vertical elevation of the external limiting
6 membrane (ELM) and visual outcome in patients undergoing surgery for idiopathic full
7 thickness macular hole (MH).
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11 **Methods**

12 Retrospective observational study of a consecutive cohort of patients undergoing vitrectomy
13 to treat MH. The greatest vertical height of the central external limiting membrane above the
14 RPE (ELM height) was measured on spectral domain optical coherence tomography
15 preoperatively. The relationship of ELM height to other pre and postoperative variables,
16 including MH width and height, and visual acuity (VA) was analyzed.
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23 **Results**

24 Data from 91 eyes of 91 patients who had undergone successful hole closure was included.
25 The mean ELM height was 220 microns (range 100 -394). There were significant correlations
26 between the ELM height and the diameter of the hole, hole height and worsening
27 preoperative VA. For holes less than 400 microns in width, better postoperative VA was
28 significantly predicted by a lower ELM height.
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35 **Conclusion**

36 The ELM height varies widely in idiopathic MH. It is higher in eyes where the hole is wider and
37 also when the hole itself is higher. For holes of less than 400 microns in width, a lower ELM
38 height is a strong independent predictor of a good postoperative outcome.
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50 **Precis**

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52 The vertical height of the ELM above the RPE was studied in a cohort of patients with
53 idiopathic macular holes and found to vary widely. Its extent correlated with hole width and
54 height. For small and medium sized holes, lower ELM height was a strong independent
55 predictor of good postoperative outcome.
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7 **Introduction**

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9 Idiopathic macular hole (MH) formation is thought to occur secondary to the combined action
10 of anteroposterior traction, a consequence of peri-foveal posterior vitreous detachment and
11 tangential traction, and through contraction of myofibroblasts within epiretinal membranes
12 around the rim of the macular hole.¹ The resultant inner retinal forces are transmitted to the
13 outer retina via the central Muller cells and this results in an outer retinal dehiscence. This
14 outer retinal traction results in the movement of the outer retina towards the inner retinal
15 surface and on optical coherence tomography the external limiting membrane is seen to be
16 elevated above the retinal pigment epithelium (RPE). Indeed photoreceptor components
17 have been found in the inner retinal opercula² and on the peeled ILM of patients³ undergoing
18 MH surgery. We observed that the extent the ELM is elevated above the RPE varies between
19 patients with MH, and has not been systematically studied or quantified. We hypothesized
20 that the extent of the elevation may relate to the width and location of the initial central
21 retinal dehiscence, and thus may be associated with visual prognosis. We conducted an
22 observational study to describe this phenomenon and its association with other macular hole
23 variables. We also aimed to assess its impact on visual outcomes following surgery.
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32 **Methods**

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34 This was a retrospective study of a cohort of patients who had undergone surgery by two
35 surgeons for idiopathic full thickness macular hole. Consecutive patients undergoing primary
36 surgery for MH of any width between January 2014 to June 2016 were included. Patients with
37 traumatic macular holes, chronic holes for greater than 12 months, myopia greater than 6
38 dioptres, holes in association with other retinal pathology, previous retinal surgery or
39 ocriplasmin treatment, second eyes, less than 3 months follow up, and those with inadequate
40 imaging were excluded. Lamellar macular holes and pseudomacular holes were excluded.
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46 All patients had undergone trans-conjunctival 25 or 27-gauge vitrectomy in the same
47 institution using the same technique and equipment (Alcon Constellation, Alcon, Fort
48 Worth, USA) with wide field non-contact viewing and combined phacoemulsification and
49 intraocular lens implantation if phakic. Brilliant Blue G [ILM Blue, Dorc international, The
50 Netherlands] was used to stain the ILM and peeled using a pinch technique and 25g end
51 gripping forceps (Grieshaber revolution DSP ILM forceps, Alcon Grieshaber AG,
52 Schaffhausen, Switzerland). Either 25% SF6 or 20% C2F6 gas was used as a tamponade and
53 the patients were instructed to remain in the face down position for 3 days postoperatively.
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1 Preoperative and postoperative best corrected visual acuity (BCVA) at 3 months was
2 measured using a standard Early treatment diabetic retinopathy study (ETDRS) letter chart
3 and converted to the logarithm of the minimum angle of resolution (logMAR) for the
4 purposes of statistical analysis.
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7 Patients underwent Spectral domain optical coherence tomography (SD-OCT) on the
8 Heidelberg Spectralis immediately preoperatively and at 3 months postoperatively. A high
9 density central horizontal scanning protocol with 30-micron line spacing was used in the
10 central 15 degrees. All scans used a 15 automatic real time setting enabling multisampling
11 and noise reduction over 15 images.
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16 Holes were considered closed, indicating anatomical success, if there was complete
17 circumferential hole rim reattachment without a full thickness foveal neurosensory retinal
18 defect demonstrated on SDOCT.
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22 **Image measurements**

23 On the preoperative OCT, the minimum linear diameter (MLD) and maximum base diameter
24 (BD) of the hole were measured as previously described, using the image measurements
25 tools on the Heidelberg software.⁴ The vertical height of the macular hole, , was measured
26 by placing a tangent across the highest points on either side of the hole, and then dropping
27 a perpendicular to the RPE at the midpoint of the hole. The maximum horizontal distance
28 between the edges of the external limiting membrane (ELM) parallel to the RPE (termed
29 ELM gap), and the mean of the maximum heights of the ELM edges perpendicularly from
30 the RPE (termed ELM height), were measured. (Figure 1) The presence of vitreomacular
31 adhesions (VMA) to the edge of the hole was noted. The derived variable 'ELM height ratio'
32 (ELM height/hole height) was calculated. On postoperative OCT, the minimum retinal
33 thickness at the foveal centre and the presence and maximum width of any ellipsoid zone
34 and/or ELM defect was measured.
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43 **Statistical analysis**

44 Descriptive and statistical analysis was performed using SPSS statistical package (SPSS,
45 release 2.16). Preoperative and postoperative variables are presented in terms of mean,
46 standard deviation and range when normally distributed, and percentage as appropriate.
47 Association between continuous data were assessed using correlations and between
48 categorical data using two sample t-tests. Stepwise multiple regression was used to analyse
49 the effect of multiple variables. Statistical significance was considered with a p-value of 0.05
50 or less.
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56 **Results**

57 Ninety-one eyes of ninety-one patients were analysed. Twenty-three holes were excluded
58 as per the entry criteria, including 5 holes that did not successfully close with initial surgery.
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1 There were 74 (81%) females, 40 (44%) right eyes and a mean patient age of 69 years.
2 Thirty-one (34%) eyes had preoperative VMA. There were 24 small (<250 microns), 32
3 medium (250-400 microns) and 34 large (>400 microns) sized holes. The other baseline
4 characteristics of the cohort are shown in table 1.
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7 The ELM height ranged from 100 to 394 microns, with a mean of 220 microns. (Figure 2)
8 There were significant correlations between the ELM height and the measures of hole
9 width, namely BD, MLD and ELM gap ($r=0.72, 0.58, 0.38$ respectively, all $p<0.01$).
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12 ELM height was also significantly correlated with hole height ($r=0.66, p<0.01$), ELM height
13 ratio ($r=0.89, p<0.01$) and worsening preoperative visual acuity ($r=0.29, p<0.01$). There was
14 no association between the presence of VMA and ELM height ($p=0.67$), nor any of the other
15 preoperative variables.
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19 There were highly positive correlations between the ELM gap measurement and MLD and
20 BD (0.88 and 0.75, $p<0.01$).
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24 Postoperative outcomes are shown in table 2. An ELM defect was present in 7 (8%) eyes
25 postoperatively, but an ellipsoid layer defect was present in 79 (87%) eyes at the 3-month
26 time-point chosen.
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29 All outcome measures were significantly and highly correlated with each other. (Table 3)
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32 Based on the high correlation between the various preoperative features and similarly the
33 postoperative ones, exploratory regression analyses were performed using postoperative
34 visual acuity as the outcome measure, and the variables of age, MLD, ELM height, hole
35 height and preoperative visual acuity as predictors. As this analysis was exploratory the
36 stepwise method of entry was used. For the whole sample a significant model was found in
37 which post op visual acuity was predicted by a combination of MLD (explaining 32% of
38 variance in post op VA) and preoperative visual acuity (explaining a further 7%). The total
39 model was significant ($F(2, 88) = 28.86, p<.001$).
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46 To further explore the relationship between variables, the sample was divided into three
47 subgroups of MLD<250 microns, MLD 250-400 microns and MLD >400 microns (i.e. small,
48 medium and large). The analysis was repeated.⁵For small and medium sized holes,
49 postoperative visual acuity was significantly predicted by ELM height alone (Small holes;
50 33% of variability in postoperative visual acuity explained by ELM height, $F(1, 17)=8.39,$
51 $p=0.01$, Medium holes; 18% of variability, $F(1, 31)=6.93, p=0.013$). For large holes ELM
52 height was not a significant predictor and only preoperative visual acuity significantly
53 predicted outcome (29% of variability in postoperative visual acuity, $F(1, 37)=15.17,$
54 $p<0.001$).
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1 These results suggested that the relationship between ELM height and outcome was
2 moderated by MLD. This was confirmed by performing a moderator analysis (PROCESS
3 procedure of SPSS release 2.16, Andrew Hayes) with postoperative visual acuity as the
4 predictor variable, MLD as the moderator variable, and ELM height as the output variable,
5 to ascertain whether the significant relationship between ELM height and postoperative
6 visual acuity systematically varied with MLD. There was a significant interaction between
7 postoperative visual acuity and MLD ($t=2.76$, $p=.007$) resulting in a significant increase in the
8 explanatory power of the model (R^2 increase due to interaction = .081, $F(1,87)=7.63$,
9 $p=.007$). Analysis of simple slopes using the Johnson-Neyman technique indicated that the
10 significant relationship between ELM height and postoperative visual acuity held at values of
11 MLD less than 382 microns, with the effect being largest when MLD was smallest. (Figure 3)
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17 **Discussion**

18 We found that the extent to which the outer retina is elevated above the RPE and towards
19 the inner retina varies widely in MH. The absolute ELM height was strongly related to the
20 proportion of the macular hole height that it extended, and was found to be higher in eyes
21 where the hole was not only higher but also wider. Its extent was inversely related to
22 preoperative visual acuity; the higher the ELM height, the poorer the preoperative
23 vision. Importantly however in holes less than 400 microns in diameter, ELM height was
24 inversely related to postoperative visual acuity.
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31 There have been numerous publications relating the width of a macular hole to
32 postoperative outcome.⁶⁻⁸ In addition, the postoperative ELM defect is also closely related
33 to postoperative visual acuity and, unsurprisingly, the extent of the preoperative ELM defect
34 itself is very closely related to the size of the macular hole.⁹⁻¹⁵ However, we are not aware
35 of any publications specifically examining ELM height in idiopathic macular holes.
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40 We found a strong correlation between all four of our postoperative outcome
41 measurements and therefore concentrated on postoperative visual acuity. Better
42 postoperative vision was associated with a thicker fovea postoperatively, smaller defects in
43 the ellipsoid zone, and the absence of an ELM defect. The association between the
44 postoperative visual acuity, the size of the foveal ellipsoid defect, and the presence of an
45 ELM defect were particularly high, which is consistent with the findings from previous
46 reports.¹⁰⁻¹⁵
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51 Our findings on ELM height are consistent with larger holes having greater movement of the
52 outer retina towards the inner retinal surface. We postulate that this relationship may be
53 related to the width of the zone of dehiscence of the outer retina during hole formation and
54 the Z-shaped configuration of the Muller cells. A wide zone of dehiscence with involvement
55 of the peri-foveal Z-shaped fibres may lead to a wide hole and greater elevation of the outer
56 retina. This explanation is also consistent with the bistable theory of MH formation
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1 proposed by Woon et al¹⁶, where they proposed that an increasing hole size with tangential
2 traction eventually leads to the peri-foveal retina everting anteriorly as the tangential ILM
3 force overcomes the retinal-RPE adhesion. Rather than increasing hole size, it may be that
4 the initial hole size is actually the main determining factor for the eversion.
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7 It is postulated that the Muller cells located in the very centre of the fovea are of a different
8 morphology to perifoveal ones. Centrally they are characterized by a thin cytoplasm,
9 straight vertical course, and do not extend as far as the ELM, perhaps explaining some of the
10 vulnerability of the fovea to dehiscence.¹⁷⁻²⁰ Gass²¹ proposed the term 'Muller cell cone' to
11 describe an inverted triangular shaped structure, based on the central ILM, which acts to
12 bind the central fovea together. Dehiscence in this very central area with central VMA
13 would only result in a small hole without direct traction on the outer retina, and without
14 elevation of the outer retina to the inner retinal surface. In such cases it may be expected
15 that surgical hole closure would result in good visual acuity; this is what we found. The most
16 strongly predictive factor for visual acuity outcome in small and medium sized holes is a low
17 ELM height. This relationship does not exist for larger holes greater than 400 microns in size.
18 In these cases, preoperative visual acuity alone predicts outcome and not ELM height. We
19 postulate that the reason for this is that hole size is partly related to chronicity, and in turn
20 this is related to outer retinal atrophy which would reduce ELM height and confound the
21 effect on visual outcome. Unfortunately, we did not systematically record hole duration so
22 cannot comment specifically on this aspect, although we did only include holes of less than
23 12 months in duration by history. It is possible that chronicity will alter ELM height by
24 atrophy but this would be less likely to affect the findings in narrower holes.
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35 Our findings also support the theory recently presented by Chung and Byeon²² who
36 proposed that there are two types of macular hole based on the area of dehiscence during
37 hole formation. They divided holes in two types: type A where the zone of dehiscence
38 affected only the Muller cell cones, and type 2 where the zone was wider involving the Z-
39 shaped eccentric Muller cells. They predicted that type A holes would have better
40 preoperative outcomes; our finding that smaller holes with low ELM height have a better
41 prognosis concurs with this prediction.
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47 It is uncertain exactly which factors dictate the width of the zone of dehiscence at onset.
48 Shin et al²³ have recently shown that foveal pit size in the fellow eye is highly related to
49 macular hole size. With the well-established symmetry in foveal shape between eyes, they
50 postulated that foveal shape was a significant determinant of macular hole size. In turn, this
51 may explain differences in hole size between races and sexes.²³⁻²⁵ We did not evaluate
52 foveal floor size in the fellow eye so cannot assess whether this had an impact in our cases.
53 It is accepted that most macular holes result from anteroposterior vitreous traction
54 resulting from perifoveal vitreous separation.¹ The width of the fovea that dehisces to form
55 the hole may relate to the width of the zone of vitreofoveal traction when the hole first
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1 forms. However, we did not find an association between MH size and the presence of VMA
2 when the patient underwent surgery and similarly, the ELM height did not increase with the
3 presence of VMA. Interestingly Tsai et al. found that impending macular holes with a more
4 vertical angle of vitreous insertion had wider zones of vitreomacular adhesion and a higher
5 rate of foveal detachment, and stage 2 macular holes with the same vertical angle of
6 vitreous insertion were higher, had wider base diameters and had less improvement in
7 postoperative visual acuity improvement than those with lower more horizontally inserted
8 vitreous attachment.²⁶ We did not assess the angles of vitreous insertion but it would be
9 interesting to see if ELM height was related to vitreous insertion angle and VMA width prior
10 to hole formation.
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16 There are several limitations to this study. The study analyzed one preoperative OCT and did
17 not evaluate changes in ELM height with time, which may alter as the macular hole forms.
18 As mentioned we did not systematically record hole duration which may have affected our
19 findings. Two surgeons conducted the operations, however they used the same surgical
20 technique and no difference in outcome between them were found.
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25 In conclusion, we found that the extent to which the ELM was elevated towards the inner
26 retina varied widely in MH. In holes that are less than 400 microns in MLD, a low ELM height
27 was a strong independent predictor of a good postoperative outcome, likely relating to
28 differences during initial foveal dehiscence and hole formation. Further study to understand
29 this observation is needed.
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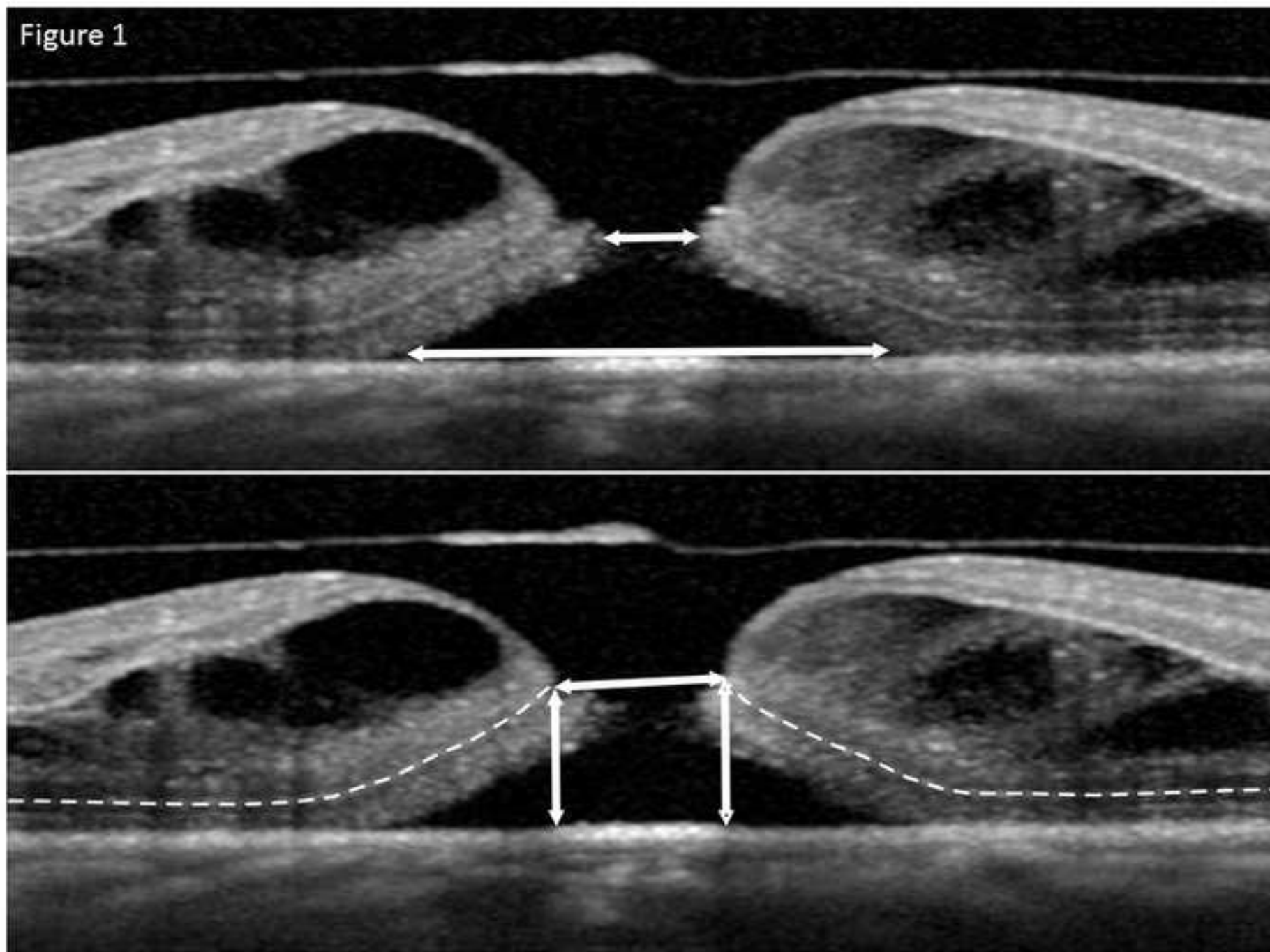
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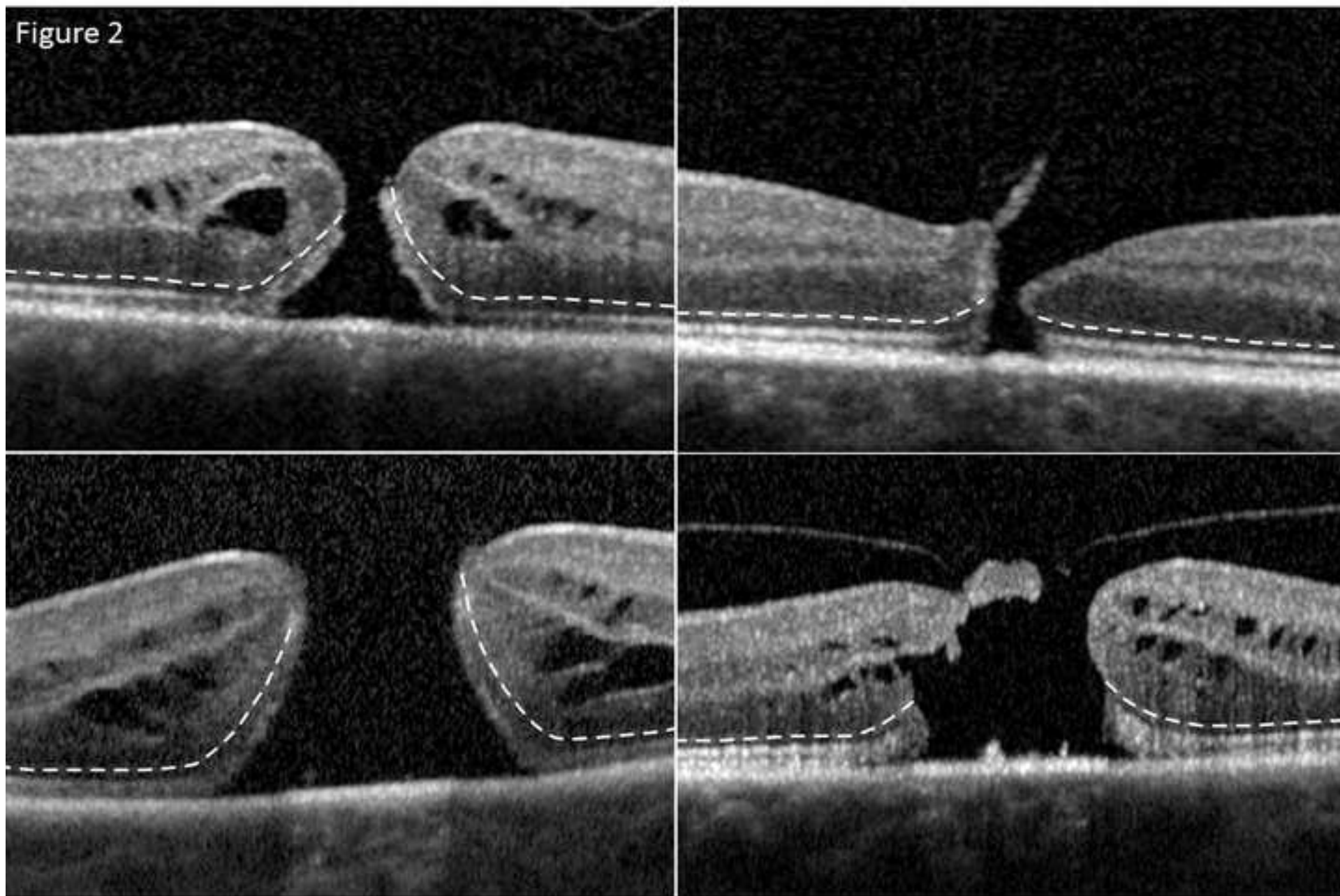
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6 Figure 1: Spectral domain optical coherence tomography images of a macular hole. Upper
7 panel shows measurement of minimum linear diameter and base diameter. Lower panel
8 shows measurement of external limiting membrane (ELM) height and gap (ELM highlighted
9 by a white line). The mean of the two measured ELM heights was used for analysis.
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13 Figure 2: Spectral domain optical coherence tomography images of four macular holes with
14 high (on left hand side of image) and low (on right hand side) ELM heights. The two upper
15 and two lower holes have similar minimum linear diameters. In all images, the ELM is
16 highlighted by a white line.
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21 Figure 3: The shape of the relationship between postoperative visual acuity (VA) and
22 external limiting membrane (ELM) height at different levels of minimum linear diameter
23 (MLD). When MLD is small there is a steep relationship, while with increasing MLD, the
24 relationship between ELM height and postoperative visual acuity gradually flattens and
25 becomes non-significant. For both MLD and ELM, 1-5 refer to quintiles with 1 being the
26 lowest 20%, to 5 being the highest 20%.
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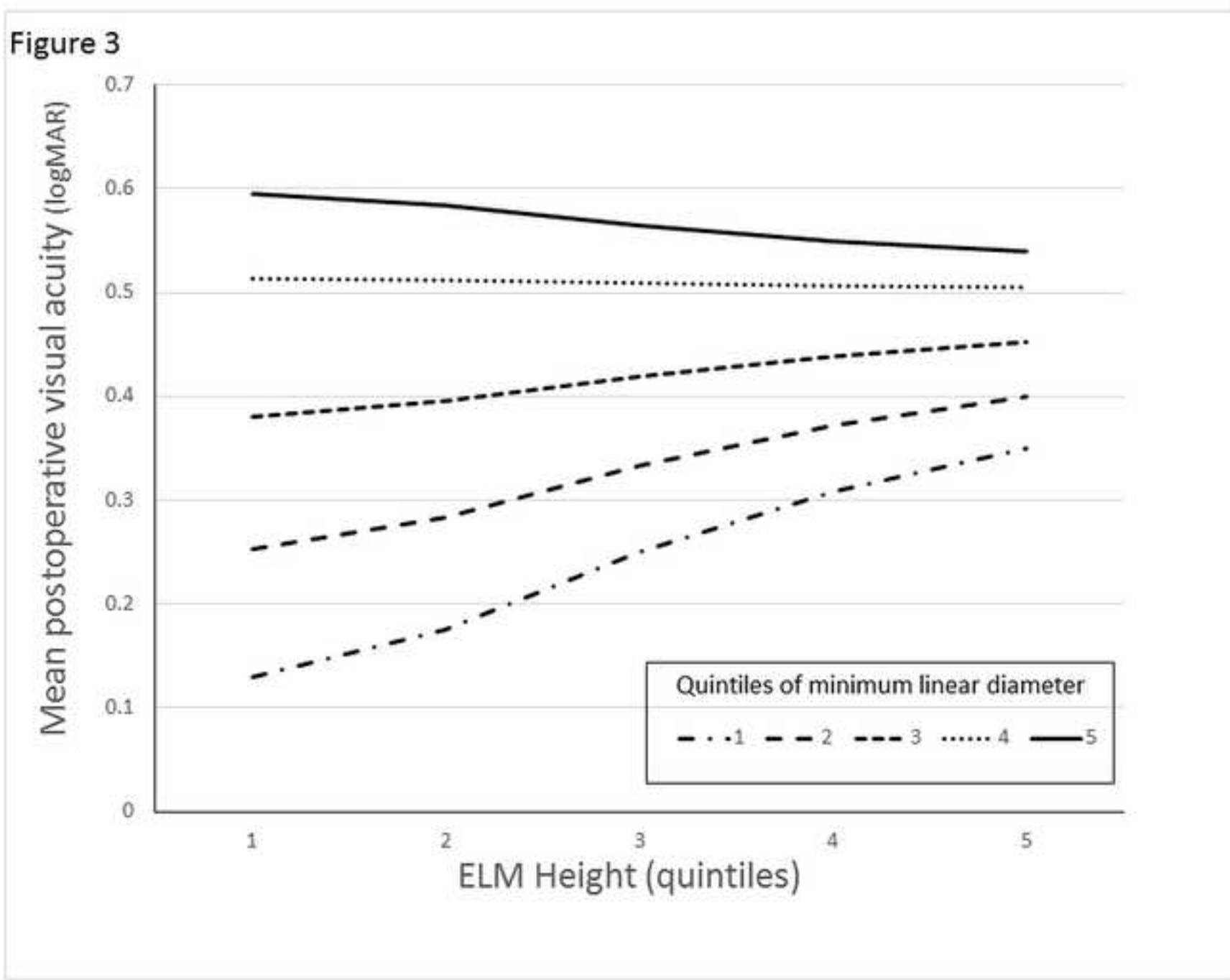


Table 1: Baseline characteristics

	Mean	Standard deviation	Range
Age (years)	69.9	7.3	48-84
Preoperative Va (logMAR, Snellen)	0.90 (20/159)	0.22 (20/33)	0.44-1.5 (20/55-20/632)
MLD (microns)	369.3	166.9	32-774
BD (microns)	740.7	269	100-1331
Hole height (microns)	383.7	53.3	274-503
ELM gap (microns)	430.6	171.3	78-840
ELM height (microns)	220.1	63.7	100-394.5
ELM height ratio	0.57	0.12	0.31-0.92

Va; visual acuity, ELM; External limiting membrane, BD; Base diameter, MLD; minimum linear diameter.

Table 2: Postoperative outcomes.

	Mean	Standard deviation	Range
Postoperative Va (logMAR, Snellen)	0.39 (20/49)	0.27 (20/37)	0-1.3 (20/25- 20/399)
Minimum foveal thickness (microns)	156.3	53.4	27-302
Ellipsoid defect (n=79) (microns)	189.1	358.9	0-2208
ELM defect (n=7) (microns)	38.9	177.3	0-988

Va; visual acuity, ELM; External limiting membrane

Table 3: Correlations between postoperative outcomes.

	Postoperative Va	Minimum foveal thickness	Ellipsoid defect
Minimum foveal thickness	-0.37		
Ellipsoid defect	0.64	-0.46	
ELM defect	0.53	-0.52	0.77

Va; visual acuity, ELM; External limiting membrane

All values are significant at $p < 0.01$.