1	Ecological correlates of mammal β-diversity in Amazonian
2	land-bridge islands: from small- to large-bodied species
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4	<b>Short running-title:</b> Mammal $\beta$ -diversity in land-bridge islands
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- 17 Abstract
- 18

19 Aim Mega hydroelectric dams have become one of the main drivers of biodiversity loss 20 in the lowland tropics. Vertebrate studies in tropical reservoirs have focused on local ( $\alpha$ ) 21 diversity measures, whereas between-site ( $\beta$ ) diversity remains poorly assessed despite 22 its pivotal importance in understanding how species diversity is structured and 23 maintained in these anthropogenic landscapes. Here we unravel the patterns and 24 predictors of mammal  $\beta$ -diversity including both small (SM) and midsized to large 25 mammal species (LM) across 23 islands and 2 continuous forest sites within one of the 26 largest South American hydroelectric reservoirs. 27 Location Balbina Hydroelectric Dam, Central Brazilian Amazonia. 28 Methods Small mammals were sampled using live and pitfall traps (48,350 trap-nights), 29 and larger mammals using camera traps (8,160 trap-nights).  $\beta$ -diversity was examined 30 for each group separately using multiplicative diversity decomposition of Hill numbers 31 to test to what extent  $\beta$ -diversity of SMs and LMs was related to a set of environmental 32 characteristics measured at different spatial scales. 33 **Results** Habitat variables, such as tree richness and percentage of old-growth trees, 34 were the strongest predictors of  $\beta$ -diversity among sites for both mammal groups. 35 Conversely,  $\beta$ -diversity was weakly related to patch and landscape characteristics, 36 except for LMs, for which  $\beta$ -diversity was predicted by differences in island sizes. 37 **Main conclusions** Although island size plays a major role in structuring mammal  $\alpha$ -38 diversity in several land-bridge islands, local vegetation characteristics were key 39 predictors of between-site  $\beta$ -diversity for both mammal groups within this large 40 Amazonian archipelago. Moreover, the lower  $\beta$ -diversity of LMs between smaller 41 islands suggests subtractive homogenization of this group. Maintaining the integrity of 42 vegetation characteristics and preventing the formation of a large set of small islands 43 within reservoirs should be considered in long-term management plans in both existing 44 and planned hydropower development in lowland tropical forests. 45 46 Keywords: Biotic homogenization, Habitat fragmentation, Habitat quality, 47 Hydroelectric dams, Species turnover, Tropical forest. 48 49 Acknowledgements

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## 64 Introduction

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66 Mega hydroelectric dams have become one of the main drivers of habitat loss and 67 fragmentation worldwide (Jones et al., 2016; Winemiller et al., 2016; Gibson et al., 68 2017). In the aftermath of damming, lower elevation areas are flooded and the previous 69 hilltops are converted into land-bridge forest islands, creating a complex archipelagic 70 landscape within hydroelectric reservoirs. Despite their relatively flat terrain, rivers at 71 hyper-diverse tropical developing countries are often targets for hydropower expansion 72 (Zarf et al., 2015). As such, the hydropower sector has greatly expanded in the Amazon 73 Basin (Lees *et al.*, 2016), with 145 existing or under-construction dams that are 74 expected to flood ~1.5 Mha of pristine forests, and 263 additional dams earmarked for 75 construction by current government plans (ECOA, 2016). Therefore, understanding how 76 the biota responds to the insularization created by dams poses as pivotal for long-term 77 conservation actions in these novel landscapes.

The vast majority of studies in land-bridge island systems have assessed changes
in local (α) diversity (reviewed by Jones *et al.*, 2016; see also Si *et al.*, 2015, 2016),
showing that biological communities isolated within land-bridge islands are prone to
experience high local extinction rates (Jones *et al.*, 2016). However, local diversity
typically represents only a small fraction of the regional species pool (MacArthur,
1972), and restricting our inferences to such measures of diversity may mask the true
impact of anthropogenic disturbances on ecosystem functioning (González-Maya *et al.*,

2015), further hindering the application of more effective management actions (Socolar *et al.*, 2016). To understand how the total number of species is organized and
maintained in human-modified landscapes, or under alternative scenarios of
anthropogenic disturbance, it is necessary to consider the variation in community
composition among habitat patches (β-diversity; Whitakker, 1972), which is an
important component of regional diversity (γ-diversity; Kadmon and Pulliam, 1993;
Cottenie, 2005). Moreover, mechanisms generating species turnover between sites are

92 not necessarily the same as those operating on local species diversity, but are equally

93 important to be considered in effective management strategies (Bergamin *et al.*, 2017;

94 Edge *et al.*, 2017). Yet studies assessing patterns of  $\beta$ -diversity within reservoir islands

95 are restricted to birds and lizards in a Chinese dam (Si *et al.*, 2015, 2016),

96 demonstrating the importance of further studies focused on other taxonomic groups.

97 Mammals are widely hailed as regional conservation icons and critical 98 components of tropical forest dynamics through their ecological roles as hyper-99 consumers, large predators, seed dispersal vectors, and structural habitat modifiers 100 (Dirzo et al., 2014; Mangan and Adler, 2000; Terborgh et al., 2001). Mammals can be 101 extremely diverse, particularly in the Amazon, where they are represented by 427 102 species (Mittermeier et al., 2002), ranging in body mass from <15 g to >150 kg (Paglia 103 et al., 2012). As different components of the mammal fauna require different survey 104 methods, ecological studies typically focus on surveying either small non-volant 105 mammals (i.e., those usually sampled using live or pitfall trapping; hereafter, SMs) or 106 midsized to large terrestrial mammals (i.e., those sampled using direct or indirect 107 observation, such as camera traps; hereafter, LMs).

108 Small and large mammals may differ not only in their sampling methods, but 109 also may show contrasting responses to insularization created by dams due to the 110 intrinsic characteristics of these two mammal groups. In fact, body size is known to 111 interact with species dispersal ability and trophic position, differently affecting β-112 diversity patterns (Soininen et al., 2017). Because of lower vagility, including flotation 113 and swimming endurance (Schoener and Schoener, 1984; Cosson et al., 1999), SM 114 assemblages should be mainly related to local habitat characteristics (Delciellos et al., 115 2015; Pardini *et al.*, 2005; Olifiers, 2002), and present a higher species turnover among 116 islands. Conversely, the higher vagility, larger spatial requirements and smaller 117 population sizes of LMs (Chiarello, 1999) should result in assemblages that converge 118 across a large number of islands. These two hypotheses, related to small and large body sizes, have not yet been tested comparing species turnover of SMs and LMs at the same
set of sites. In addition, the effect of major environmental drivers of compositional
shifts across space remains poorly understood for both groups.

122 Here, we provide the first quantitative assessment of the habitat insularization 123 effects on  $\beta$ -diversity of SM and LM species, conducted at one of the largest man-made 124 archipelago in South America - the 28-year old Balbina Hydroelectric Reservoir. 125 Previous studies carried out in Balbina showed that island area and isolation were the 126 strongest predictors of SM α-diversity (AF Palmeirim, pers. comm.), whereas island 127 area was the single best predictor for LM  $\alpha$ -diversity (Benchimol and Peres, 2015a, 128 2015b). We test the hypothesis that the low and high vagilities of SM and LM, 129 respectively, generate different patterns of  $\beta$ -diversity for these two groups. 130 Multiplicative diversity decomposition of Hill numbers was used, an approach that 131 considers the importance of rare, common and dominant species in generating  $\beta$ -132 diversity patterns (Jost, 2007; Tuomisto, 2010). We further examine how patterns of  $\beta$ -133 diversity are predicted by a set of environmental characteristics related to the local 134 habitat structure, forest patch and landscape scales, which are widely recognised as 135 important in enhancing mammal diversity (Chiarello, 1999; Delciellos et al., 2015; 136 Pardini *et al.*, 2005). Specifically, we predict that (1)  $\beta$ -diversity of both mammal 137 groups should be higher for rare species, compared to dominant species; (2) between-138 island  $\beta$ -diversity of SMs should be higher than that of LMs; and, (3) local habitat 139 features should be the key predictors of SM  $\beta$ -diversity, whereas LM  $\beta$ -diversity should 140 be most affected by patch and landscape metrics, such as island size and isolation.

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142 Methods

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## 144 Study area

This study was carried out at 23 islands and two continuous forest sites (hereafter, CFs)
in the forest archipelago of the Balbina Hydroelectric Reservoir (1°48'S, 59°29'W; Fig.
1) located in the Brazilian Amazonia. This dam was created in 1986 following the
permanent closure of the Uatumã River, a left-bank tributary of the Amazon River.

- 149 Given the typically flat to undulating topography of the study region, a vast area of
- 11) Given the typically hat to undulating topography of the study region, a vast area of
- 150 312,900 ha of primary forest was flooded within the 443,772-ha hydroelectric reservoir
- 151 (FUNCATE/INPE/ANEEL, 2000). The former hilltops of the pre-inundation forest area
- were converted into 3,546 land-bridge islands that are widely distributed throughout the

153 reservoir lake. Islands and the neighbouring continuous forest sites consist of dense 154 closed-canopy terra firme forest (Benchimol and Peres, 2015a). Forest islands within 155 the reservoir have not been subject to logging nor hunting, but many islands 156 experienced understorey fires during the El Niño drought of late-1997 to early-1998 157 (Benchimol and Peres, 2015c). The mean annual temperature and rainfall in this region 158 is 28°C and 2,376 mm, respectively (IBAMA, 1997). Part of the reservoir and a vast 159 area of continuous forest on the left bank of the Uatumã River have been legally 160 protected since this dam was built by the 942,786-hectare Uatumã Biological Reserve, 161 the largest Brazilian protected area in this category.

We pre-selected 23 forest islands according to their size, degree of isolation and spatial distribution, so that a wide spectrum of island configurations could be sampled within the reservoir. Surveyed islands as CFs were at least 1-km apart from one another, with island size ranging from 0.83 to 1,466 ha (mean  $\pm$  SD: 213.47  $\pm$  352.31 ha; Table S1) and isolation distances to the nearest mainland varying from 44 to 11,872 m (4,503  $\pm$  3,352 m).

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### 169 Mammal surveys

170 Small and midsized to large mammal assemblages were sampled twice at each forest 171 site, during two field seasons. In 2014 and 2015, SMs were sampled along two 172 continuous periods of 16 consecutive nights, using linear trapping plots. Each plot 173 consisted of a set of nine live trap stations (hereafter, LTs), followed by an array of 174 three pitfall-trap units. Each LT station was placed 20 m apart from each other and 175 included two Sherman traps (23 x 9 x 8 cm, H. B. Sherman Traps, Inc., Tallahassee, 176 Florida) and one wire mesh trap (30 x 17.5 x 15 cm, Metal Miranda, Curitiba, Paraná). 177 At each LT station, one trap was set on the ground, one in the understorey (~1.5 m 178 high), and one in the (sub)canopy (>10 m high). Traps of different types were placed 179 alternatively on the ground and in the understorey across consecutive stations, but only 180 Sherman traps were placed in the canopy due to logistic limitations. At the forest 181 canopy stratum, small mammals were sampled using an adaptation of the method 182 described by Lambert et al. (2005). LTs were baited with a mix of bananas, peanut 183 powder, sardines and oak flocks. Pitfall traps (100 L) were also spaced apart by 20-m 184 intervals and connected by a 50-cm high plastic fence that was buried 10 cm 185 underground, and included 10 m of overhanging fence farther extended beyond the two 186 external pitfalls. Due to spatial restrictions in small islands, alternative smaller trapping

187 plots were established therein. Thus, all islands smaller than 2 ha and those between 2 188 and 10 ha were sampled by trapping plots containing only three LT stations followed by 189 an array of one pitfall, and six LT stations followed by an array of two pitfalls, 190 respectively. All traps were inspected daily and whenever live captures could not be 191 identified in the field, a maximum of five voucher specimens per species per survey site 192 were collected during the first trapping season, and deposited at the Mammal Collection 193 of the Instituto Nacional de Pesquisas da Amazônia (INPA), in Manaus, Brazil. All 194 other individuals recorded were weighted and tagged (Fish and Small Animal Tag, size 195 1; National Band and Tag Co., Newport, Kentucky), so that any subsequent recaptures 196 could be distinguished. Additionally, tissue samples were collected from all individuals 197 recorded and deposited at the INPA Mammal Collection. However, we were not always 198 able to identify at the species-level records of sympatric congeners of *Proechimys* spp. 199 (P. cuvieri and P. guyanensis) and Oecomys spp. 1 (O. roberti and O. bicolor). Because 200 these congeners are ecologically very similar (Jones et al., 2009), we further refer to 201 those taxa as 'ecospecies'. To streamline, we use hereafter 'species' to refer to both 202 species and ecospecies. Data collection followed ASM guidelines (Sikes et al., 2016) 203 and was approved by an institutional animal care and use Brazilian committee (SISBIO 204 License No. 39187-4).

205 In 2011 and 2012, LMs were sampled for two continuous periods of 30 days each 206 using camera trapping. Each camera trap station (hereafter, CT) consisted of one digital 207 camera (Reconyx HC 500 Hyperfire), unbaited and placed at 30-40 cm above ground. 208 At each surveyed site, consecutive CT stations were established along linear transects, 209 spaced by at least 500 m (except for small islands). We configured all CTs to obtain a 210 sequence of five photos for each animal recorded, using 15-sec intervals between 211 records. However, we only considered conspecific records at the same CT site as 212 independent if either intervals between photos exceeded 30 min or conspecifics of 213 different groups could be recognised on the basis of natural marks.

To maximise the heterogeneity of environments sampled at each site and minimise variation in trap density, sampling effort was proportional to forest patch size for both mammal groups. As such, depending on their size, islands were sampled by one to four trapping plots and two to ten CT stations; whereas both CF sites were sampled by six trapping plots and 15 CT stations. This amounted to a total sampling effort of 48,350 trap-nights for SMs, and 8,160 trap-nights for LMs.

## 221 Local habitat, patch and landscape variables

222 In 2012, we obtained local habitat variables to describe vegetation structure and habitat 223 quality for each forest site surveyed using floristic surveys within 0.25-ha (250 m  $\times$  10 224 m) plots established in each focal island and CF site, in which all trees  $\ge 10$  cm diameter 225 at breast height (DBH) were measured and identified at species-level. The number of 226 plots surveyed per site was proportional to the area of each site, ranging from one to 227 four (for details on floristic surveys, see Benchimol and Peres, 2015c). These floristic 228 plots provided data on tree species richness (S.TREE), number of trees (N.TREE), 229 percentage of old-growth live trees (OGT) that persisted from the pre-flooding period, 230 aggregated basal area of live trees bearing fleshy-fruits (BAff), number of woody lianas 231 (LIANA), and a measure of ground fire severity (FIRE; see Table 1 for a description of 232 these variables). Additionally, we conducted a semi-supervised classification to obtain 233 four land cover classes (closed-canopy forest, open-canopy forest, bare ground, and 234 water) using ArcMap 10.1 (ESRI, 2012), and obtained the percentage of closed-canopy 235 forest (CC) within each island and CF site based on high-resolution multi-spectral 236 RapidEye imagery (5-m resolution with 5-band colour imagery) of the entire study 237 region (Table 1).

238 Patch and landscape variables were also calculated from RapidEye imagery, 239 using ArcMap 10.1. At the patch scale, we measured island AREA, island SHAPE (total 240 perimeter length of each focal island divided by AREA), and its nearest distance to any 241 CF site in the mainland (DIST). At the landscape scale, we obtained for each surveyed 242 island, the total amount of land mass area within a buffer threshold (COVER), and a 243 proximity index that considers both area and isolation of each land mass within that 244 buffer (PROX). Because previous studies used a 500-m radial buffer to predict both 245 small (AF Palmeirim et al., pers. comm.) and midsized to large mammal richness 246 (Benchimol and Peres, 2015a) at the same islands, our COVER and PROX metrics 247 considered this radius threshold for analyses (see Table 1 and Benchimol and Peres, 248 2015a for further details on imagery processing, and patch and landscape metrics). 249 Additionally, this buffer size minimises or eliminates overlap between neighbouring 250 landscapes, conferring greater spatial independence.

251

## 252 Data analysis

We excluded from the analyses two Echimyid rodents – *Makalata didelphoides* and
 *Echimys chrysurus* – which had been recorded only once throughout the study. Both

255 species feed on leaves and seeds, in addition to some fruit (Patton et al., 2000), and 256 consequently are rarely attracted to the bait used here. Due to differential sampling 257 effort per site, species abundances were standardized for each site, considering 2,095 258 and 319 trap-nights, which is the average sampling effort per site for SMs and LMs, 259 respectively. Because camera trapping cannot quantify numbers of individuals, we used 260 the number of captures for SMs rather than the number of individuals recorded, testing 261 whether these variables were correlated. This allowed us to improve convergence in the 262 comparison of  $\beta$ -diversity estimates for SMs and LMs. The number of SM captures was 263 indeed highly correlated with the number of individuals (15 species detected  $\geq$  5 sites: r 264  $= 0.97 \pm 0.05$  (mean  $\pm$  SD); Table S2).

265 The accuracy of mammal surveys was assessed using the coverage estimator 266 recommended by Chao and Jost (2012), which estimates the proportion of the total 267 number of individuals in an assemblage that belongs to the species represented in the 268 sample. Overall sample coverage was high, representing on average ( $\pm$  SD) 95  $\pm$  0.07% 269 and  $99 \pm 0.01\%$  of the SM and LM species recorded, respectively (Table S1). This 270 indicates that our sampling effort provided satisfactory estimates of β-diversity within 271 each forest site. However, to account for any undetected species, particularly of SMs, 272 and avoid any potential bias in  $\beta$ -diversity patterns due to small differences in sample 273 coverage among sites, we additionally assessed the expected  $\beta$ -diversity values using 274 coverage-based extrapolations for both mammal groups (Chao and Jost, 2012, Sánches-275 de-Jesús *et al.*, 2016). We further retained the expected values for subsequent analysis.

276 Patterns of mammal  $\beta$ -diversity were analysed using multiplicative diversity decomposition of Hill numbers:  ${}^{q}D_{\beta} = {}^{q}D_{\gamma}/{}^{q}D_{\alpha}$ . Here,  ${}^{q}D_{\gamma}$  corresponds to the observed 277 278 total number of species ( $\gamma$ -diversity);  ${}^{q}D_{\alpha}$  to the mean local number of species recorded 279 per site ( $\alpha$ -diversity); and,  ${}^{q}D_{\beta}$  to the 'effective number of completely distinct 280 communities' ( $\beta$ -diversity). The equations for  ${}^{q}D_{\gamma}$  and  ${}^{q}D_{\alpha}$  are detailed elsewhere (Jost, 281 2007; Tuomisto, 2010);  ${}^{q}D_{\beta}$  was calculated for each pairwise comparison of forest sites 282 (i.e. islands and CF sites; N = 300) and ranges between 1, when both communities are 283 identical, and 2, when both communities are completely distinct from each other (Jost, 284 2007). In addition,  $\beta$ -diversity depends on the parameter q, which determines the 285 sensitivity of the measure to species relative abundances (Jost, 2007; Tuomisto, 2010). We considered  $\beta$ -diversity estimates of order 0 ( ${}^{0}D_{\beta}$ ), 1 ( ${}^{1}D_{\beta}$ ) and 2 ( ${}^{2}D_{\beta}$ ), in which  ${}^{0}D_{\beta}$ 286 287 gives disproportionate weight to rare species, as it is not sensitive to species 288 abundances;  ${}^{1}D_{\beta}$  weights each species according to its abundance in the community,

289 measuring the turnover of 'common' or 'typical' species in the community; and  $^{2}D_{\beta}$ 290 favours very abundant species and is therefore interpreted as the turnover of 'dominant' 291 species in the community (Jost, 2007; Tuomisto, 2010). These analyses were performed 292 using the 'entropart' R package (Marcon and Herault, 2013). Further, we used paired t-293 tests (Zar, 1999) to compare  $\beta$ -diversity in the different q orders between each mammal 294 group. We used Mantel tests performed using the 'vegan' R package (Oksanen et al., 295 2017) to assess whether  $\beta$ -diversity was correlated to site location (i.e., geographic 296 distance matrix among all sites) and to the matrices showing between-site differences in local habitat variables (i.e., S.TREE, N.TREE, OGT, BAff, LIANA, FIRE, CC), in patch 297 298 (i.e., AREA, SHAPE, DIST), and in landscape (i.e., COVER, PROX). All analyses were performed using R (R Development Core Team, 2013), assuming a significance level of 299 300 0.05 (Zar, 1999).

301

302 **Results** 

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304 We obtained 1,481 captures of SMs (N = 853 individuals) representing 20 species (17 305 genera; 3 families), and 6,290 camera-trapping records of LMs representing 22 species 306 (18 genera; 13 families; Table S3). The number of species per site ranged from 2 to 15 307 (mean  $\pm$  SD = 7.0  $\pm$  4.2 species) for SMs, and from 1 to 19 (8.8  $\pm$  5.8) for LMs. The 308 most abundant SM species was Marmosa demerarae, an arboreal marsupial recorded at 309 18 sites and corresponding to nearly one-third of all captures (N = 499). Despite the 310 local commonness of this species, others were often similarly abundant (e.g., Didelphis 311 marsupialis and Proechimys spp.), and whenever absent, this species was replaced by 312 other locally common species (e.g., Philander opossum, Hylaeamys megacephalus and 313 Marmosa murina; Fig. 2a). Considering LMs, the red acouchy Myoprocta acouchy was 314 the most abundant species, detected at 21 sites and accounting for 59% of all records (N 315 = 3,593). This small-bodied dasyproctid rodent was consistently the most abundant 316 species at nearly all islands larger than 5 ha (N = 20) and mainland CF sites (Fig. 2b). At 317 each site, the number of uncommon or occasional species, i.e. those recorded only once 318 or twice, averaged 41.5% ( $\pm$  19.9) and 33.1% ( $\pm$  31.2) for SM and LM assemblages, 319 respectively.

320

# 321 Patterns and predictors of β-diversity

322 Both SMs and LMs exhibited similarly high  $\beta$ -diversity (SM: 1.63  $\pm$  0.27; LM: 1.62  $\pm$ 323 0.28; P = 0.624) when considering only the number of species (q = 0). When species 324 abundance was considered (q = 1 and 2), however,  $\beta$ -diversity was significantly higher (P < 0.0001) for SM ( ${}^{1}\beta = 1.48 \pm 0.28$ ;  ${}^{2}\beta = 1.47 \pm 0.31$ ) than for LM assemblages ( ${}^{1}\beta =$ 325 326  $1.34 \pm 0.31$ ;  ${}^{2}\beta = 1.32 \pm 0.37$ ; Fig. 3). Overall, levels of  $\beta$ -diversity of both groups 327 decreased when species were weighted proportionally to their abundances, especially 328 for LM assemblages. In fact,  $\beta$ -diversity of LMs was 1.23 times higher considering rare 329 species than when only common or dominant species were considered (Fig. 3).

330 For both small and medium to large mammals,  $\beta$ -diversity was more strongly 331 related to environmental variation among sites than to their spatial setting in the 332 landscape. Indeed,  $\beta$ -diversity estimates (for any order q) of both mammal groups were 333 not influenced by geographic distance among forest sites (Table 2). Local habitat 334 variables were the most important predictors of  $\beta$ -diversity for both small and midsized 335 to large mammals. In particular, differences in tree species richness, percentage of old-336 growth tree and basal area of trees bearing fleshy fruiting increased mammal  $\beta$ -diversity 337 among sites (Table 2). Additionally,  $\beta$ -diversity of LMs was significantly positively 338 related to greater differences in the number of lianas among sites.

339 Patch and landscape variables influenced the  $\beta$ -diversity for some *q* orders, 340 especially for LMs. In fact,  $\beta$ -diversity of LMs was influenced by some measures of 341 isolation (including COVER, PROX and DIST), particularly when considering only rare 342 species ( ${}^{0}\beta$ ; Table 2). Island size influenced  $\beta$ -diversity of LMs at all orders of q, but 343 explained patterns of  $\beta$ -diversity for SMs only when rare species were considered 344 (Table 2). In other words, across the archipelagic landscape of Balbina,  $\beta$ -diversity for 345 SMs was generally high, compared to that of LMs, regardless of pairwise differences in 346 island sizes. On the other hand,  $\beta$ -diversity for LMs was higher between islands of 347 contrasting sizes, and lower between small islands, or between large islands and CF 348 sites (Fig. S1).

349

#### 350 Discussion

- 352 Habitat insularization in the aftermath of river damming has led to wholesale local
- extinctions of tropical forest species (Jones *et al.*, 2016). However, understanding how
- diversity is organized and maintained in biological communities is still poorly
- 355 investigated in archipelagic systems, including islands created by hydroelectric

356 reservoirs (Si et al., 2015, 2016). Indeed, no study to date had examined patterns of β-357 diversity for any taxonomic group within a major Neotropical reservoir, a region 358 experiencing a boom in dam building (Lees et al., 2016). Hence, this is the first study 359 that examines the main predictors of  $\beta$ -diversity for both small and midsized to large 360 terrestrial and arboreal mammals within a fragmented tropical forest landscape. As 361 expected, when considering common and dominant species, SMs exhibited higher levels 362 of  $\beta$ -diversity than LMs. We also showed that habitat quality plays a major role in 363 mammal species turnover for both groups, with patch and landscape variables exerting a 364 key influence on  $\beta$ -diversity of only midsized to large-bodied mammals. In particular, 365 the severe local extinctions of LMs in smaller islands (Benchimol and Peres, 2015a)

366 resulted in the biotic homogenization of assemblages therein.

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## **368 Patterns of mammal** β-diversity

369 For both SMs and LMs,  $\beta$ -diversity estimates were higher when considering rare 370 species. This is expected given the observed patterns of dominance, with only one or 371 two records obtained for more than one third of all SM and LM species detected, 372 respectively. Other studies in fragmented forest landscapes showed similar results for 373 small mammal (Püttker et al., 2015), plant (Arroyo-Rodríguez et al., 2013) and bird 374 assemblages (Si et al., 2016; Morante-Filho et al., 2016). Thus, to maintain the regional 375 pool of species ( $\gamma$ -diversity), including rare mammal species, conservation efforts at 376 Balbina and analogous landscapes must therefore cover a reasonable range of habitat 377 patches (Meza-Parral and Pineda, 2015; Socolar et al., 2016). Nevertheless, the LM 378 species exhibiting a small number of occurrences, including jaguar, tapir and giant 379 anteaters, which are able to transverse the aquatic matrix and visit multiple land masses 380 (Benchimol and Peres, 2015b), may actually correspond to transient species, rather than 381 true residents in forest islands created by dams (Terborgh et al., 1997). This can inflate 382 differences in species composition of LM between sites when considering only species 383 richness. In contrast, differences in SM species composition for q = 0 could be 384 underestimated due to the lower probability of arboreal species to approach any trap in 385 the three-dimensional forest canopy. Although our sampling effort provided satisfactory 386 estimates of species richness for both mammal groups, we minimised possible 387 underestimates for SMs, or overestimates for LMs, by using expected  $\beta$ -diversity values 388 (Chao and Jost, 2012).

389 We also considered abundance-based measures of  $\beta$ -diversity (i.e., q = 1 and q =390 2), which are dominated by common species, given their importance to inform 391 ecosystem processes (Socolar et al., 2016). As such, the SM species turnover was 392 higher than that for LMs. Indeed, while only one LM species (M. acouchy) was 393 consistently the commonest species across nearly all forest sites surveyed, whereas local 394 composition of common SM species was much more variable across the spectrum of 395 island sizes/CF sites (Fig. 2). Differences in vagility between SMs and LMs could also 396 partly explain the higher abundance-based  $\beta$ -diversities of SMs. However, the larger 397 effect of abundance-based  $\beta$ -diversity on SMs, compared to those based on species 398 richness only, suggest an additional mechanism. SM assemblages are closely linked to 399 local habitat conditions, being mainly determined by local characteristics related to 400 habitat structure (e.g., overstory and understorey vegetation density and number of 401 fallen logs; Delciellos et al., 2015; Olifiers, 2002). Such trophic and structural resources 402 for small mammals tend to be patchy distributed, so that populations are often clustered 403 over large forest areas (Charles-Dominique et al., 1981). This may contribute with the 404 overall higher abundance-based β-diversity values recorded for SMs. Interestingly, such 405 heterogeneity in SM assemblages was also recorded between smaller islands, where 406 only a reduced set of species persist (Palmeirim et al. in revision). Indeed, habitat 407 conditions are highly variable across Balbina forest islands, for example, in terms of 408 vertical stratification of the vegetation (Benchimol and Peres, 2015c). Such a link to 409 local habitat conditions may lead to multiple compositional pathways in which SM 410 species differ in abundance between sites according to locally available resource 411 spectra, habitat structure and ecological niches, as observed for plant species (Arroyo-412 Rodriguez et al., 2013).

413 In the case of the LM assemblages, the observed correlation between  $\beta$ -diversity 414 and differences in island sizes indicates that these mammal assemblages share a more 415 similar species composition either between larger islands and CF sites, or between 416 smaller islands (Fig. S1). That is expected for larger islands/CF sites, which harbour the 417 same full, or nearly full, species assemblage (Benchimol and Peres, 2015a). Yet, the 418 lower LM  $\beta$ -diversity between smaller islands, occupied by a smaller subset of species 419 (Benchimol and Peres, 2015a), denotes a subtractive homogenization in species 420 composition of LMs (Karp et al., 2012; Püttker et al., 2015; Socolar et al., 2016) -421 involving the hyperdominance of a similar subset of species (Chase, 2007). Common 422 species typically have relatively high dispersal abilities and generalist habits (Vellend et *al.*, 2007; Karp *et al.*, 2012). Such unidirectional pattern of species turnover is expected
to promote cascading effects onto lower trophic levels, which can further disrupt the
structure of the entire forest ecosystem at small islands (Tabarelli *et al.*, 2012). In
Balbina, where 94.7% of all 3,546 islands are smaller than 100 ha, evidence for biotic
homogenization suggests that any ecosystem functions provided by LMs are

- 428 compromised across most of the landscape, further posing a major threat to the
- 429 maintenance of regional scale biodiversity (Olden *et al.*, 2011; Solar *et al.*, 2015).
- 430

## 431 Predictors of mammal β-diversity

432 We expected assemblages of SMs, rather than those of LMs, to be context-dependent in 433 terms of local habitat structure. The unexpected association between  $\beta$ -diversity of LMs 434 and local habitat variables could be related to the large range of body sizes covered in 435 this group, from small-bodied (e.g. the squirrel *Guerlinguetus aestuans*, 210 g), to very 436 large-bodied species (e.g. jaguar, 158 kg; lowland tapir, 260 kg). As such, variables 437 related to local habitat-quality – tree species richness, prevalence of old-growth trees 438 and basal area of trees bearing fleshy fruits – played a major role in predicting species 439 turnover for both mammal groups. Those latter two habitat variables can increase the 440 amount of food and structural resources available to at least small mammal species 441 (Malcolm, 1991), while the proportion of old-growth trees remaining in the islands is a 442 proxy of the degree of forest ecosystem integrity (Benchimol and Peres, 2015c). All of 443 these habitat variables may therefore represent a gradient of forest habitat quality for 444 mammals (Delciellos et al., 2015; Lomolino and Perault, 2000; Pardini et al., 2005, 445 2009). Therefore, maintaining habitat integrity should preclude the homogenization of 446 mammal species assemblages across the landscape.

447 Variables at both the forest patch and landscape scale also predicted  $\beta$ -diversity, 448 particularly for LMs. As stated above, at the patch scale, island area predicted  $\beta$ -449 diversity of LMs, while  $\beta$ -diversity of SMs was only predicted by area when rare 450 species were considered. In comparison to SMs, most LMs require larger areas and 451 sustain lower population densities (Wright et al., 1998). Therefore, assemblages of 452 large-bodied mammals are expected to be greatly affected by the remaining habitat area 453 in fragmented landscapes (Chiarello, 1999; Michalski and Peres, 2005; Newmark, 454 1996). Indeed, forest area alone explained 91% of the overall variation in species 455 richness for medium and large-sized vertebrates surveyed at 37 Balbina islands 456 (Benchimol and Peres, 2015a). In the case of SMs, island area predicted the turnover of 457 only rare species, which probably matches those species with the largest spatial

458 requirements or higher habitat specificity, both of which are primarily accommodated

459 by larger forest sites (Palmeirim et al., in revision). Although large islands and mainland

forest sites can retain a larger number of rare species, those sites must still meet

460

461 appropriate conditions in terms of habitat quality to sustain viable populations.

462 Our results also indicate that  $\beta$ -diversity of LMs was further predicted by site 463 isolation at both the patch and landscape scale (i.e., forest cover, proximity and distance 464 to the mainland) when considering only species richness (q = 0). The ability of species 465 to disperse between fragments is one of the main determinants of population persistence 466 in fragmented landscapes (Moilanen and Hanski, 1998; Schooley and Wiens, 2004), 467 including the Balbina archipelago, where intrinsic species swimming capacity was 468 positively related to island occupancy rates for LMs (Benchimol and Peres, 2015a). 469 Isolation-related variables also account for the availability of neighbouring habitat, and 470 therefore to the probability of recolonization events. Thus, both species ability to 471 disperse and habitat availability seem to shape the turnover of rare LM species (cf. 472 Rabelo et al., 2017).

473

## 474 Conservation implications

475 This study highlights the importance of considering  $\beta$ -diversity to propose conservation 476 recommendations in anthropogenic landscapes, and improves our understanding of the 477 pervasive impact of mega hydropower dams on tropical forest biodiversity. First, we 478 revealed that predictors of mammal  $\beta$ -diversity failed to match those observed in 479 previous vertebrate  $\alpha$ -diversity studies carried out in the same study landscape. While 480 the number of SM species was related to island area and proximity (Palmeirim et al., in 481 revision), the species turnover of small mammals was primarily predicted by local 482 habitat characteristics. Likewise, although island size is a powerful predictor of large 483 mammal species richness (Benchimol and Peres, 2015a), the species turnover of LMs 484 was additionally driven by a set of local habitat variables. Other studies also report 485 divergent drivers of either  $\alpha$ - or  $\beta$ -diversity, for example in stream fish communities 486 (Edge *et al.*, 2017). Therefore, focusing on predictors of  $\alpha$ -diversity alone would fail to 487 understand drivers of high species turnover and consequently cannot ensure guidelines 488 for long-term conservation of full mammal assemblages in fragmented tropical forest 489 landscapes.

490 In land-bridge islands isolated within hydroelectric reservoirs, edge effects tend 491 to be stronger than in non-insular fragments, entailing more drastic changes in the forest 492 structure, particularly in smaller islands (Benchimol and Peres, 2015c). This further 493 represents a problem in maintaining the Balbina mammal regional diversity, where only 494 <10% of all islands are >100 ha. In any case, this study illustrates a relatively benign 495 scenario in terms of mammal  $\beta$ -diversity 28 years after damming, mainly because the 496 Balbina archipelago has been effectively protected by the largest biological reserve in 497 Brazil. In the long-term, as the Balbina islands become more degraded by edge effects, 498 insular mammal assemblages, particularly those of larger bodied species, may become 499 even more homogeneous, through further decays in  $\beta$ -diversity. Yet, this will depend on 500 how edge effects will continue to impact insular forest structure, which may lead to 501 either homogenization or differentiation, being stronger in smaller and more infrequent 502 in larger islands (Benchimol and Peres, 2015c). Because non-volant mammals also 503 provide key ecological services for ecosystem maintenance, their loss can substantially 504 affect tropical forest functioning (Dirzo et al. 2014). Therefore, future assessments of 505 hydropower development should carefully weigh the environmental partition of 506 biodiversity loss along all other environmental and socioeconomic costs. 507 508 References 509 510 Arroyo-Rodríguez, V., Rös, M., Escobar, F., Melo, F. P., Santos, B. A., Tabarelli, M., & 511 Chazdon, R. (2013). Plant  $\beta$ -diversity in fragmented rain forests: testing floristic 512 homogenization and differentiation hypotheses. Journal of Ecology, 101, 1449-513 1458.

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697	
698	Biosketches
699	The authors of this study form an interdisciplinary team from a range of institutions in
700	Brazil and the UK, and have research interests and expertise that cover the fields of
701	tropical ecology and conservation science, including the impacts of habitat change and
702	degradation in Amazonian and Atlantic forest landscapes.
703	Author contributions: A.F.P., M.B. and J.C.M.F conceived the ideas; A.F.P. and M.B.
704	collected the data; A.F.P. and J.C.M.F. conducted the data analysis, A.F.P. led the
705	writing; and all authors contributed with comments and revisions to all drafts of the

706 manuscript.

Nai	me (code name) Variable description Range (mean ± SD)
714	
713	provided for each variable.
712	Reservoir archipelagic landscape. The overall range, mean and standard deviation are
711	affecting mammal $\beta$ -diversity among 25 forest sites within the Balbina Hydroelectric
710	Table 1. Local habitat quality, patch and landscape variables measured, potentially
709	
708	Tables
707	

Name (code name)	Variable description	Range (mean ± SD)	
Local habitat scale			
Trees richness	Number of tree species $\geq 10$ cm DBH per 0.25-ha forest	14 - 70.5	
(S.TREES)	plots within each focal island and mainland site.	$(54.6 \pm 11.5)$	
Number of trees (N.TREES)	Density of trees $\geq 10$ cm DBH obtained from floristic surveys in 0.25-ha forest plots within each focal island and mainland site.	84 – 176 (123.4 ± 22.8)	
Closed-canopy forest (CC)	Percentage of closed-canopy forest within each forest site.	37.5 – 10.65 % (76.2 ± 15.5)	
Fire severity (FIRE)	Fire severity within each forest site, scored on an ordinal scale based on the extent of each forest site affected by surface (understorey) fires and the number of charred trees and height of char marks on each tree.	0 – 3 (1.96 ± 0.60)	
Old-growth trees (OGT)	Percentage of old-growth trees calculated from floristic surveys in 0.25-ha forest plots within each focal island and mainland site.	10.71 - 82.34% (64.1 ± 17.0)	
Basal area of trees bearing fleshy fruits(BA <sub>FF</sub> )	Basal area of trees bearing fleshy fruits, derived from floristic surveys of all live trees ≥10 cm DBH in 0.25-ha forest plots within each focal island and mainland site.	12.1 - 35.0  cm $(20.6 \pm 5.0)$	
Lianas (LIANA)	Mean number of woody lianas (> 2.5 cm DBH) calculated from floristic surveys in 0.25-ha forest plots within each focal island and mainland site.	0 - 40.5 (21.5 ± 10.3)	
Patch scale			

Island size	Island area of each focal island $(\log_{10} x)$ .	0.83 – 1466 ha
(AREA)		$(199.0 \pm 344.1)$
Island shape	Perimeter length of each focal island divided by the total	0.004 - 0.106
(SHAPE)	island area.	$(0.018 \pm 0.022)$
Distance (DIST)	Euclidean distance from each island to the nearest	0 – 11,872 m
Distance (DIST)	neighbouring mainland forest site.	(4,503 ± 3,352)
andscape scale		
Forest cover	Percentage of land mass area within a 500 m-buffer.	5.91 - 100%
(COVER)		$(37.50 \pm 22.28)$
	The sum of all island areas divided by the squared sum of	
Proximity	edge-to-edge distances from each focal island to all islands	0.44 - 10.65
(PROX)	within a 500 m-buffer. Instead of considering the area of	(2.45 1.04)
(1 KUA)	each island within the buffer (as in McGarigal et al.,	$(3.45 \pm 1.84)$
	2012), we considered the total ("true") area of each island.	

**Table 2.** Correlation between  $\beta$ -diversity estimates among forest sites of small and midsized to large mammals and site location (geographic distance among sampled sites), inter-site differences ( $\Delta$ ) in landscape, patch and local habitat variables at 25 forest sites sampled at the Balbina Hydroelectric Reservoir. Three orders of *q* (0, 1 and 2), which determines the sensitivity of each  $\beta$ -diversity component to the relative abundance of species. Pearson correlation coefficients and significance were calculated using Mantel tests (\* P < 0.05; \*\* P ≤ 0.001).

Variables	β-diversity	Small	Midsized-large
	order		
	0	0.046	0.015
Site location	1	0.070	0.051
	2	0.085	0.069
ocal habitat scale			
	0	0.307*	0.430**
$\Delta$ S.TREES	1	0.331*	0.427*
	2	0.327*	0.387*
	0	0.133	0.083
$\Delta$ N.TREES	1	0.039	0.076
	2	0.007	0.049
	0	0.305*	0.319**
$\Delta \text{ OGT}$	1	0.312*	0.476*
	2	0.293*	0.445*
	0	0.161	0.245*
$\Delta$ BAFF	1	0.269*	0.396*
	2	0.275*	0.434*
	0	0.056	0.357**
$\Delta$ Liana	1	0.075	0.287*
	2	0.083	0.244*
	0	-0.181	0.201*
$\Delta$ Fire	1	-0.145	-0.026
	2	-0.142	-0.048
	0	0.070	0.114

$\Delta \text{ CC}$	1	0.113	0.191
	2	0.100	0.151
Patch scale			
	0	0.293*	0.751**
$\Delta$ Area	1	0.141	0.465**
	2	0.150	0.418*
	0	0.007	0.078
$\Delta$ Shape	1	-0.214	-0.063
	2	-0.213	-0.088
	0	-0.070	0.214*
$\Delta$ Dist	1	-0.167	-0.075
	2	-0.156	-0.082
Landscape scale			
	0	0.105	0.386**
$\Delta$ Cover	1	0.053*	0.199
	2	0.074	0.182
	0	0.071	0.369*
$\Delta$ Prox	1	-0.134	0.043
	2	-0.127	0.019

- 725 Figure captions
- 726

727 **Figure 1.** (a) Overview of the Balbina Hydroelectric Reservoir location in the Central

- 728 Brazilian Amazon; (b) aerial photograph illustrating the archipelagic landscape (photo
- 729 credit: E. M. Venticinque); and (c) spatial distribution of the 23 land-bridge islands (in
- red and highlighting the 500-m buffer polygons) and two continuous forest sites
- surveyed in the mainland (CF<sub>1</sub> and CF<sub>2</sub>; red rectangles).
- **Figure 2.** Rank-abundance (ln) distribution curves for (a) small mammals and (b)
- midsized to large mammals across all 25 surveyed sites within the Balbina
- Hydroelectric Reservoir. Each data point represents the abundance of each species at
- each site and is colour-coded by species. Lines connect species abundances at the same
- site. Sites are ordered left to right in terms of area, from smallest to largest. Due to
- overlapping symbology, we indicate species code names only for the most abundant
- species (ln (abundance) > 2.85 and 2.50, for small and midsized to large mammals,
- respectively). Abundant small mammal species include: *Philander opossum* (Phil),
- 740 Marmosa murina (Mmuri), Marmosa demerarae (Mdem), Hylaeamys megacephalus
- 741 (Hyla), *Didelphis marsupials* (Didel), *Oecomys* sp. 1 (Oeco1) and *Proechimys* sp.
- 742 (Proe); midsized to large mammal species include: Dasypus novemcinctus (Dno),
- 743 Myoprocta acouchy (Myo), Cuniculus paca (Cu), Tapirus terrestris (Tap), Mazama
- 744 americana (Ma), Hydrochoerus hydrochaeris (Hyd), Dasyprocta leporina (Das), Pecari
- 745 tajacu (Pec), Dasypus kappleri (Dk).
- **Figure 3.** Mean β-diversity estimates of small and medium sized mammals surveyed at
- 747 25 forest sites within the Balbina archipelago.  $\beta$ -diversity was assessed using three
- orders of q (0, 1 and 2), which determines the sensitivity of the measure to relative
- 549 species abundances. For each mammal group, we indicate the mean  $\beta$ -diversity for all
- pairwise sites (N = 300), and the corresponding 95% confidence intervals.

# 752 Figures









