# TOOLS AND TECHNIQUES FOR MACHINE-ASSISTED META-THEORY 

Andrew A. Adams

A Thesis Submitted for the Degree of PhD at the University of St Andrews


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# Tools and Techniques for Machine-Assisted Meta-Theory 



# A thesis submitted to the UNIVERSITY OF ST ANDREWS for the degree of DOCTOR OF PHILOSOPHY 

by

Andrew A. Adams


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#### Abstract

Machine-assisted formal proofs are becoming commonplace in certain fields of mathematics and theoretical computer science. New formal systems and variations on old ones are constantly invented. The meta-theory of such systems, i.e. proofs about the system as opposed to proofs within the system, are mostly done informally with a pen and paper. Yet the meta-theory of deductive systems is an area which would obviously benefit from machine support for formal proof. Is the software currently available sufficiently powerful yet easy enough to use to make machine assistance for formal meta-theory a viable proposition?

This thesis presents work done by the author on formalising proof theory from [DP97a] in various formal systems: SEQUEL [Tar93, Tar97], Isabelle [Pau94] and Coq [BB $\left.{ }^{+} 96\right]$. SEQUEL and Isabelle were found to be difficult to use for this type of work. In particular, the lack of automated production of induction principles in SEQUEL and Isabelle undermined confidence in the resulting formal proofs. Coq was found to be suitable for the formalisation methodology first chosen: the use of nameless dummy variables (de Bruijn indices) as pioneered in [dB72]. A second approach (inspired by the work of McKinna and Pollack [vBJMR94, MP97]) formalising named variables was also the subject of some initial work, and a comparison of these two approaches is presented. The formalisation was restricted to the implicational fragment of propositional logic. The informal theory has been extended to cover full propositional logic by Dyckhoff and Pinto, and extension of the formalisation using de Bruijn indices would appear to present few difficulties. An overview of other work in this area, in terms of both the tools and formalisation methods, is also presented.

The theory formalised differs from other such work in that other formalisations have involved only one calculus. [DP97a] involves the relationships between three different calculi. There is consequently a much greater requirement for equality reasoning in the formalisation.

It is concluded that a formalisation of any significance is still difficult, particularly one involving multiple calculi. No tools currently exist that allow for the easy representation of even quite simple systems in a way that fits human intuitions while still allowing for automatic derivation of induction principles. New work on integrating higher order abstract syntax and induction may be the way forward, although such work is still in the early stages.


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## Chapter 1

## Introduction

The Study Of Formal Deductive Systems (logics) has a long history, reaching back through the history of mathematics. With the advent of powerful digital computers in the latter half of the twentieth century, we have seen an explosive increase of interest in formal logics, in large part as a tool to understand the operation of those very computers. Increasingly over the last two or three decades, investigations using these formal logics have been carried out in software environments specifically designed for such work. The process of development is fairly clear. A researcher invents a new system which is then implemented in a suitable language or environment and theorems are formally validated within the deductive system, either through interaction, or automatically by using pre-programmed methods. The processes modelled by these logics are complex, and recursive structures common.

### 1.1 Logical Frameworks

Techniques have been developed over the last two decades to make these investigations easier, in particular to ease the job of defining the new logics in a formal environment. To this end logical frameworks [HP91, HP93] implemented as ALF[AGNvS94], Elf [Pfe91], Isabelle [Pau88] and SEQUEL [Tar93] have been developed. These frameworks provide different but internally coherent approaches to the implementation of formal logics, freeing the designer to work on theoretical issues and use of the system rather than tedious details of program correctness and issues of representation in a general purpose language. The resulting implementations are very useful in proving object-level theorems of the logic and for exploring the deductive system. However, the implementation of a logic in a logical framework does not give one access to machine support for meta-level judgements about the
logic, as opposed to deductions within the logic. For such theoretical work a pen and paper is still the primary tool for most researchers. Some work has been done with machine-assisted formal meta-theory, but it remains a very small part of the larger field.

The literature on logical frameworks and on special purpose implementations of common logics (e.g. the various Isabelle object logics and the various implementations of type theories: $\mathrm{NuPrl}\left[\mathrm{CA}^{+} 86\right], A L F[\mathrm{AGNvS94}]$ and $\left.\mathrm{Coq}\left[\mathrm{BB}^{+} 96\right]\right)$ contains many varied arguments about the necessity for machine support when performing formal proofs. The issue of confidence underlies most of these: confidence that the theorem really is a consequence of the axioms and rules of the logic, particularly confidence that one has not missed vital cases in an induction or case-splitting step, and that any definitions are acceptable within the logic. These arguments are no less valid for the study of the logics themselves as for working within these logics. In fact, they may carry more weight. If the modelling power of a logical system depends on, for example, the confluence of its type inference algorithm, then we require assurance that the said algorithm really has that property. Such proofs tend to be long and complex, requiring inductions and case analyses involving a large number of variations on a theme. The phrases "similarly" and "obviously" are very common in such work. It is unusual, though not unknown, that the "similar" proof method in these cases does apply. Consider the following, however: two constructions may appear almost identical, and therefore proofs about the properties may require the same steps. If an error has been made in some related definitions then what is true for one may not hold for the "similar" case. Proof is an interactive process, which leads to a deeper understanding of the underlying theory, as well as a mechanical verification of facts. Errors in the formulation, or subtle differences leading to divergent proof requirements, may be missed in the standard informal approach.

Until recently, the machine environments available were not at all suitable to the demands of formal meta-theory. Either the environment simply did not have sufficient logical power to allow the required proofs to be performed or, more commonly, the amount of work required to encode the logics and perform meta-theoretic proofs was prohibitive. Formal meta-theory is an expanding field, however, so we wish to examine some of the environments currently available to see how easy such work now is, how easy it may become, and what direction development of environments should take to encourage this important step forward.

### 1.2 Terminology

### 1.2.1 Sequent Calculus and Natural Deduction

We are interested in two kinds of calculi: sequent calculi and natural deduction calculi [Gen33, Pra65]. A good introduction to the two kinds of calculi can be found in [TS96, §1.3]. In order to study both kinds in a common framework, we will present natural deduction calculi in a sequent-style (called the logistical style in [Gen34]). [TS96, §2.1.4] presents a sequent-style version of natural deduction. The differences between these kinds of calculi can be seen if we examine the rule for logical conjunction (and), written as $\wedge$. For sequent-style natural deduction we might have the following three rules for conjunction: ${ }^{1}$

$$
\frac{\Gamma \vdash F_{1} \Gamma \vdash F_{2}}{\Gamma \vdash F_{1} \wedge F_{2}} \wedge \mathrm{I} \frac{\Gamma \vdash F_{1} \wedge F_{2}}{\Gamma \vdash F_{1}} \wedge \mathrm{E}_{1} \frac{\Gamma \vdash F_{1} \wedge F_{2}}{\Gamma \vdash F_{2}} \wedge \mathrm{E}_{2}
$$

while for sequent calculus we might have the two rules:

$$
\frac{\Gamma \vdash F_{1} \quad \Gamma \vdash F_{2}}{\Gamma \vdash F_{1} \wedge F_{2}} \wedge \mathrm{R} \frac{\Gamma, F_{1}, F_{2} \vdash F_{3}}{\Gamma, F_{1} \wedge F_{2} \vdash F_{3}} \wedge \mathbf{L}
$$

The two rules $\wedge I$ and $\wedge R$ are identical, but there are striking differences between the rules $\wedge \mathrm{E}_{1 / 2}$ and the rule $\wedge \mathrm{L}$. The primary difference between a natural deduction calculus and a sequent calculus is that the sequent calculus includes rules which change formulae occurring in the context (the sets $\Gamma$ of formulae).

### 1.2.2 Proofs, Derivations and Deductions

Since the word proof can become overused when discussing meta-theory, we will adopt the following convention: proof refers to the proof of a meta-theoretic result; when discussing object-level proofs, the words derivation or deduction will be used, depending on the type of logic being investigated. Derivations are proofs within sequent calculi. Deductions are proofs within natural deduction calculi (even when those calculi are presented in a sequentstyle).

### 1.2.3 Unfolding

Unfolding is a process which takes a function application such as $f(a, b)$ and replaces it with the body of the definition of $f$, with formal parameters replaced by actual parameters. So,

[^0]if we have the function plus for natural numbers defined by the equations:
\[

$$
\begin{aligned}
p l u s(0, n) & =_{d e f} \quad n \\
\operatorname{plus}(S(m), n) & =_{d e f} \quad S(p l u s(m, n))
\end{aligned}
$$
\]

then unfolding the first application of plus in

$$
p l u s(S(S(0)), p l u s(S(i), j))
$$

gives

$$
S(p l u s(S(0), p l u s(S(i), j)))
$$

### 1.3 The Requirement for a Meta-Logic

Implementations of logics such as first order intuitionistic logic, classical linear logic etc., are coded within the machine environment in a way that allows the user to perform complex derivations/deductions within the logic thus defined. The aim of such work is to prove complex object-level statements. Investigations into the properties of these logics require different tools. To perform such investigations, induction is invariably required at the level of reasoning about derivations/deductions. We wish to be able to define the notion of a derivation/deduction within the system. Even if the logic we are reasoning about has no need for a term assignment system representing the derivations/deductions (as it might not if provability is the only issue of interest), we may want a term assigned to derivations/deductions to aid reasoning at the meta-level. With first order theories, we are interested in the witnessing term when proving formulae, but at the meta-level, we only wish to know that appropriate terms exist, and explicit encodings in a logical framework may complicate the meta-theory without providing any more confidence in the resulting proofs.

### 1.4 Overview

In this thesis, we will examine three environments: Isabelle [Pau88], SEQUEL [Tar93] and $C o q\left[\mathrm{BB}^{+} 96\right]$. The first two are found to be unsuited to the work we wish to do. $C o q$ is found to be adequate although not ideal. Some work was also done in ALF [AGNvS94], but this was never a fully released system and has now been superseded by a new system $H A L F .^{2}$ The methodology of $A L F$ (that of directly editing proof terms for Martin-Löf's monomorphic type theory [NPS90]) did not lend itself to work with multiple calculi, particularly with

[^1]the need for equality reasoning about translated proof terms. The meta-theory we will be exploring in this formal setting is taken from [DP97a] with background material in [DP96]. The informal meta-theory developed there is closely linked with work by Herbelin in [Her94]. The informal development from [DP97a] is shown in §2. Following this, we will briefly examine attempts at formalising these examples using Isabelle in $\S 3$ and $S E Q U E L$ in $\S 4$. $\S 5$ contains a brief overview of the proof assistant Coq, and discusses some of the choices made for the formalisations presented in $\S \S 6-8$. We examine other approaches in $\S 9$, briefly looking at other formalisations of meta-theory with particular attention to the approaches. In $\S 10$ we draw conclusions about the work presented in the thesis and give some indicators of further possibilities in this area. We briefly examine the extension of the formalisation to cover the example theorems in the universally quantified implicative fragment of first order logic. Extension to the full propositional cases would appear to involve little challenge but would require a fair amount of time to perform the proofs. We also draw conclusions about the relative merits of de Bruijn indices and the named variable syntax used in $\S 8$. We compare the tools used for the various formalisations in $\S \S 3-8$, and indicate the requirements for tools which would better support further work in formal meta-theory. Finally, in §A we highlight some of the important definitions of the $C o q$ formalisations and then in $\S \mathrm{B}$ we give the full development of the formalisation using de Bruijn indices.

## Chapter 2

## Permutation of Derivations in <br> Sequent Calculus

This chapter contains a brief overview of the theory being formalised. A more complete version can be found in [DP97a].

### 2.1 Overview

It has long been a piece of logic folklore that two intuitionistic sequent calculus derivations are really the same if, and only if, they correspond to the same natural deduction. To paraphrase [GLT89, p.39]:

The translation from sequent calculus into natural deduction is not $1-1$ : different proofs of the same sequent, differing only in the order of application of the rules, have the same translation.

In some sense, we should think of the natural deductions as the true "proof" objects. The sequent calculus is only a system which enables us to work on these objects: $A \vdash B$ tells us that we have a deduction of $B$ under the hypotheses $A$.
[Kle52] discusses permutability of inferences in sequent calculus without reference to the corresponding natural deductions, and some of his permutations do not maintain equality of the image. Similar ideas may also be found in [Min96]. The relationships between individual sequent calculus derivations can be described using a set of permutations, such that two sequent calculus derivations are inter-permutable if and only if they correspond to
the same natural deduction. An obvious extension of this idea is to try to produce a set of reductions which replace the bi-directional permutations, and indeed to try and find a confluent set of reductions, which lead to a 'normal' form.

But what is 'normal' in this sense? In [DP97a] 'normal' is defined syntactically in such a way that the normal derivations are immutable under the composition of the Prawitz translations into natural deduction and back. The translation from natural deduction to sequent calculus, unlike the reverse translation [Pra65, Fel89], has not been explicitly defined in the early literature. Prawitz [Pra65] does, however, describe the steps of this translation (here called $\rho$ ), which is also described in [TS96]. Prawitz' translation is from normal deductions in natural deduction into the sequent calculus. Gentzen [Gen34] described a translation of non-normal natural deductions in the sequent calculus with cut. In fact, the translation is naturally formed as the composition of the translations via an intermediate calculus, the permutation-free sequent calculus due to Herbelin in [Her94] and refined by Dyckhoff and Pinto in [DP96]. There are therefore two distinct parts to this work. The new calculus ${ }^{1}$ MJ must be shown to be isomorphic to natural deduction [DP96] and the reductions must be shown to be normalising with respect to the retraction of LJ onto itself via MJ.

The permutation reductions in [DP97a] have been shown to be strongly normalising, with some simple extra constraints on their application, in [Sch]. The informal proof of strong normalisation of this system appears as a corollary of a result for another calculus which allows further fine-grained reasoning about the relationship between a derivation in MJ and its equivalent derivation in LJJ. The work in [Sch] has appeared too recently for a formalisation to be performed and the results included here.

### 2.2 Three Sequent-Style Calculi

To present a coherent picture of the three systems, a single approach is taken for each. The systems are defined using a sequent-style notation, although only LJ and MJ are sequent calculi in the sense of Gentzen's original version [Gen34], while NJ is a sequent-style calculus equivalent to natural deduction with assumption classes [Lei79]. All three systems are cutfree. Cut-elimination for $\mathbf{N J}^{+c u t}$ and $\mathbf{L} \mathbf{J}^{+c u t}$ is well-known, and cut-elimination for $\mathbf{M J}{ }^{+c u t}$ has been shown in [Her94] (see also [DP98]). NJ also differs from a standard presentation of the simply-typed $\lambda$-calculus in its splitting of terms into normal ( $\mathbf{N}$ ) and applicative ( $\mathbf{A}$ )

[^2]terms. Normal terms ( N ) have the form:
$$
\lambda x_{1} \ldots x_{n} \cdot\left(\left(\cdots\left(x t_{1}\right) \ldots t_{m-1}\right) t_{m}\right)
$$
where the $t_{i}$ are normal. The sets of derivation/deduction terms of these systems are $\mathbf{A}$ and N for NJ, M and Ms for MJ, and Lor $\mathbf{L J}$, defined as follows:
\[

$$
\begin{gathered}
\mathbf{N}::=\lambda \mathbf{V} \cdot \mathbf{N}|\operatorname{an}(\mathbf{A}) \quad \mathbf{M}::=(\mathbf{V} ; \mathbf{M s})| \lambda \mathbf{V} . \mathbf{M} \\
\mathbf{A}::=\operatorname{ap(\mathbf {A},\mathbf {N})|\operatorname {var}(\mathbf {V})\quad \mathbf {Ms}::=[]|\mathbf {M}::\mathbf {Ms}} \begin{array}{c}
\mathbf{L}::=\operatorname{vr}(\mathbf{V})|\operatorname{app}(\mathbf{V}, \mathbf{L}, \mathbf{V} . \mathbf{L})| \lambda \mathbf{V} . \mathbf{L}
\end{array}
\end{gathered}
$$
\]

where $\mathbf{V}$ is the set of variables $(x, y, \ldots)$ and "." is a binding operator. $\operatorname{app}\left(x, l_{1}, y . l_{2}\right)$ is the term of $\mathbf{L}$ representing an occurrence of the Implies Left rule: the translation into natural deduction is

$$
\left|\operatorname{app}\left(x, l_{1}, y \cdot l_{2}\right)\right|=\left[a p\left(x,\left|l_{1}\right|\right) / y\right]\left|l_{2}\right| .
$$

Taking $P, Q, R$ as meta-variables for formulae and $\Gamma$ for contexts ${ }^{2}$, the rules for the three systems are in table 2.1 on page 11. The judgement forms for each calculus are summarised here:

| Calculus (term) | Judgement Form | Calculus (term) | Judgement Form |  |
| ---: | :---: | ---: | :---: | :---: |
| $\mathbf{N J}(\mathbf{N})$ | $\Gamma \triangleright \square: P$ | $\mathbf{N J}(\mathbf{A})$ | $\Gamma \triangleright a: P$ |  |
| $\mathbf{M J}(\mathbf{M})$ | $\Gamma \Rightarrow m: P$ | $\mathbf{M J}(\mathbf{M s})$ | $\Gamma \longrightarrow m s: P$ |  |
| $\mathbf{L J}(\mathbf{L})$ | $\Gamma \rightarrow l: P$ |  |  |  |

### 2.3 Relationships Between the Calculi

Following our definition of the three calculi, we define functions which translate derivation/deduction terms between calculi, and show how the translations interact. These functions (derived from [Gen33, Pra65, DP98]) are shown in table 2.2 on page 12, and various theorems regarding their interaction are shown in table 2.3. These theorems include those showing that translated derivation/deduction terms still derive/deduce the same formula in the same context (theorems N_Admis $\theta\left({ }^{\prime}\right)$, M_Admis- $\psi\left({ }^{\prime}\right)$, L_Admis $-\rho$, L_Admis $-\bar{\rho}$, N_Admis- $\phi$ and M_Admis- $\bar{\phi}$ ). The names of the theorems (e.g. $\psi \theta$ ) shown in table 2.3 are derived from the names used in the formalisation described in $\S 7$, with names of Greek letters (e.g. rho) replaced by the correct symbol ( $\rho$ ). The diagram below shows how the
translation functions relate derivations/deductions in the calculi:


### 2.4 Permutations in LJ

Now that we have introduced each of the calculi, and the translations between them, we may define a relation permuting derivations in $\mathbf{L J}$. This is the relation shown as $\succ$ in table 2.4. $\succ^{*}$ is defined as the reflexive transitive closure of $\succ$ in the usual way. Once we have defined the $\succ^{*}$ relation for untyped terms, we must show the admissibility of sub-term reduction for the new relation (see table 2.6 on page 16, theorems L_Permn_Im, L_Permn_app1 and L_Permn_app2): i.e. that reducibility of a term implies the reducibility of any superterm. The Weak Normalisation Property of $\succ^{*}$ follows from the three theorems Norm_Imperm_L, Norm_L_ $\bar{\rho}$ and Norm_Red (see table 2.6), as per the specification of weak normalisation for abstract reduction systems in [Klo92, Definition 2.0.3(2)]. The normal form to which terms are rewritten is defined informally in table 2.5 .
[DP97a] contained a conjecture that by adding certain side-conditions to the system of reductions the system would be strongly normalising. In [Sch], Schwichtenberg proposed that only the restriction that $l_{3}$ must be fully normal wrt $w$ for app_app1 or app_app2 to be applied, was needed. He then proved strong normalisation for the resulting system as a corollary of a theorem involving another intermediate calculus.

### 2.5 Weak Normalisation of Permutations

The aim of this work was originally to define an equivalence class of derivations in LJ each of which mapped to the same derivation in MJ (and, by the bijection between MJ and

[^3]$\mathbf{N J}$, to the same deduction in NJ). As the informal exploration continued the equivalence relation was replaced by an oriented reduction relation, and the goal developed into a search for a strongly normalising reduction relation. As a partial step towards this goal, a weakly normalising reduction relation was developed: $\succ$, as shown above. As mentioned in $\S 2.1$, some minor modifications of the weakly normalising reduction relation leads to a strongly normalising relation, the proof of which is a corollary of a similar proof in [Sch]. [Sch], however, introduces yet another calculus which further identifies the steps in translation of derivations in $\mathbf{L J}$ to derivations in $\mathbf{M J}$ (and so to the equivalent deductions in $\mathbf{N J}$ ). We will ignore the work in [Sch] here, since the formalisation we wish to examine later only covers the weakly normalising permutation reduction relation and MJ.

### 2.5.1 The Equivalence of MJ and NJ

[DP96] (an expanded version of [DP97a]) includes proofs of the equivalence of the full propositional versions of $\mathbf{M J}$ and $\mathbf{N J}$. These proofs are performed simply using the obvious mutual induction schemes inferred from the definitions of $\mathbf{M}, \mathbf{M s}, \mathbf{N}$ and $\mathbf{A}$.

### 2.5.2 Proof that Permutation Reduction is Weakly Normalising

[DP96] also includes a proof of the theorem that the permutation reduction relation defined in table 2.4 is weakly normalising. The major work involved in this is the proof of the lemma called App_Red_M in table 2.6:

$$
\operatorname{app}\left(x, \bar{\rho}\left(m_{1}\right), y . \bar{\rho}\left(m_{2}\right)\right) \succ^{*} \quad \bar{\rho}\left(\operatorname{sub}\left(x, m_{1}, y, m_{2}\right)\right)
$$

where $\bar{\rho}$ is the translation function from $\mathbf{M}$ to $\mathbf{L}$ :

| $\bar{\rho}: \mathbf{M} \rightarrow \mathbf{L}$ |  |
| ---: | :--- |
| $\bar{\rho}(x ;[])=$ | $\operatorname{def} \operatorname{vr}(x)$ |
| $\bar{\rho}(x ; m:: m s)$ | $=\operatorname{def} \operatorname{app}(x, \bar{\rho}(m), z . \bar{\rho}(z ; m s)) \quad z$ new |
| $\bar{\rho}(\lambda x . m)$ | $=\operatorname{def} \lambda x . \bar{\rho}(m)$ |

Since this is a non-standard recursion ( $z ; m s$ is not a sub-term of $x ; m:: m s$ in the second definitional equation) a standard inductive argument will not provide us with an appropriate induction hypothesis for conjectures involving $\bar{\rho}$. A measure induction principle is therefore defined for performing induction on terms in $\mathbf{M}$ and $\mathbf{M s}$, which may be used to prove conjectures involving $\bar{\rho}$ such as App_Red_M above. A similar process is used in the formalisation described in §7.6.2.

Table 2.1: Proof Rules for NJ, MJ, LJ.

## NJ

$$
\begin{gathered}
\frac{\Gamma, x: P \triangleright \triangleright n: Q}{\Gamma \triangleright \lambda x \cdot n:(P \supset Q)} \supset \mathrm{I} \\
\frac{\Gamma \triangleright a: P}{\Gamma \triangleright a n(a): P} \mathrm{AN}-\mathrm{Axiom} \\
\frac{\Gamma \triangleright a:(P \supset Q) \quad \Gamma \triangleright \square: P}{\Gamma \triangleright a p(a, n): Q} \supset \mathrm{E} \\
\frac{\Gamma, x: P \triangleright \operatorname{var}(x): P}{} \mathrm{~A} \text {-Axiom }
\end{gathered}
$$

## MJ

$$
\begin{aligned}
& \frac{\Gamma, x: P \xrightarrow[P]{P} m s: R}{\Gamma, x: P \Rightarrow(x ; m s): R} \text { Choose } \\
& \frac{\Gamma, x: P \Rightarrow m: Q}{\Gamma \Rightarrow \lambda x \cdot m:(P \supset Q)} \text { Abstract } \\
& \quad \overrightarrow{\Gamma \vec{P}[]: P} \text { Meet } \\
& \frac{\Gamma \Rightarrow m: P \quad \Gamma \xrightarrow[Q]{\longrightarrow} m s: R}{\Gamma \overrightarrow{P \supset Q} m:: m s: R} \supset \mathrm{~S}
\end{aligned}
$$

LJ

$$
\begin{gathered}
\overline{\Gamma, x: P \rightarrow v r(x): P} \text { L-Axiom } \\
\frac{\Gamma, z: P \supset Q \rightarrow l_{1}: P \quad \Gamma, x: Q, z: P \supset Q \rightarrow l_{2}: R}{\Gamma, z: P \supset Q \rightarrow a p p\left(z, l_{1}, x \cdot l_{2}\right): R} \supset \mathrm{~L} \\
\frac{\Gamma, x: P \rightarrow l: Q}{\Gamma \rightarrow \lambda x \cdot l: P \supset Q} \supset \mathrm{R}
\end{gathered}
$$

Table 2.2: Translation functions for proof terms.

| $\theta: \mathbf{M} \rightarrow \mathbf{N}$ |  |
| :---: | :---: |
| $\theta(x ; m s)={ }_{\text {def }} \theta^{\prime}(\operatorname{var}(x), m s)$ |  |
| $\theta(\lambda x . m)=_{\text {def }} \lambda x .(\theta(m))$ |  |
| $\theta^{\prime}: \mathbf{A} \times \mathbf{M s} \rightarrow \mathbf{N}$ |  |
| $\begin{aligned} \theta^{\prime}(a,[]) & =\operatorname{def} \operatorname{an}(a) \\ \theta^{\prime}(a, m:: m s) & ={ }_{\text {def }} \theta^{\prime}(a p(a, \theta(m)), m s) \end{aligned}$ |  |
|  |  |
| $\psi: \mathbf{N} \rightarrow \mathbf{M}$ |  |
| $\begin{aligned} \psi(a n(a)) & =\operatorname{def} \quad \psi^{\prime}(a,[]) \\ \psi(\lambda x . n) & ={ }_{d e f} \lambda x .(\psi(n)) \end{aligned}$ |  |
|  |  |
| $\psi^{\prime}: \mathbf{A} \times \mathbf{M s} \rightarrow \mathbf{M}$ |  |
| $\begin{aligned} \psi^{\prime}(v a r(x), m s) & =\operatorname{def}(x ; m s) \\ \psi^{\prime}(a p(a, n), m s) & =\operatorname{def} \psi^{\prime}(a,(\psi(n)):: m s) \end{aligned}$ |  |
|  |  |
| $\bar{\rho}: \mathbf{M} \rightarrow \mathbf{L}$ |  |
| $\bar{\rho}(x ;[])={ }_{\text {def }} \operatorname{vr}(x)$ |  |
| $\bar{\rho}(x ; m:: m s)={ }_{\text {def }} \operatorname{app}(x, \bar{\rho}(m), z \cdot \bar{\rho}(z ; m s))$ | $z$ new |
| $\bar{\rho}(\lambda x . m)={ }_{\text {def }} \lambda x \cdot \bar{\rho}(m)$ |  |
| $\bar{\phi}: \mathbf{L} \rightarrow \mathbf{M}$ |  |
| $\begin{aligned} \bar{\phi}(v r(x)) & =_{\operatorname{def}}(x ;[]) \\ \bar{\phi}\left(\operatorname{app}\left(x, l_{1}, y . l_{2}\right)\right) & =_{\operatorname{def}} \operatorname{sub}\left(x, \bar{\phi}\left(l_{1}\right), y, \bar{\phi}\left(l_{2}\right)\right) \\ \bar{\phi}(\lambda x . l) & =_{\operatorname{def}} \lambda x \cdot \bar{\phi}(l) \end{aligned}$ |  |
|  |  |
|  |  |
| sub : $\mathbf{V} \times \mathbf{M} \times \mathbf{V} \times \mathbf{M} \rightarrow \mathbf{M}$ |  |
| $\operatorname{sub}(x, m, y,(y ; m s))={ }_{\text {def }}(x ; m:: ~ s u b s(x, m, y, m s))$ |  |
| $\operatorname{sub}(x, m, y,(z ; m s))={ }_{\text {def }}(z ; s u b s(x, m, y, m s))$ | $z \neq y$ |
| $\operatorname{sub}\left(x, m, y, \lambda z . m^{\prime}\right)={ }_{\text {def }} \lambda \lambda z \cdot s u b\left(x, m, y, m^{\prime}\right)$ | $z \neq y$ |
| subs: $\mathbf{V} \times \mathbf{M} \times \mathbf{V} \times \mathbf{M s} \rightarrow \mathbf{M s}$ |  |
| $\begin{aligned} \operatorname{subs}(x, m, y,[]) & =\operatorname{def}[] \\ \operatorname{subs}\left(x, m, y, m^{\prime}:: m s\right) & =\operatorname{def} \operatorname{sub}\left(x, m, y, m^{\prime}\right):: \operatorname{subs}(x, m, y, m s) \end{aligned}$ |  |
|  |  |
| $\rho: \mathbf{N} \rightarrow \mathbf{L}$ |  |
| $\rho(n)=\operatorname{def} \bar{\rho}(\psi(n))$ |  |
| $\phi: \mathbf{L} \rightarrow \mathbf{N}$ |  |
| $\begin{aligned} \phi(v r(x)) & =d_{\text {def }} \operatorname{an}(\operatorname{var}(x)) \\ \phi\left(\operatorname{app}\left(x, l_{1}, y \cdot l_{2}\right)\right) & =d_{\text {def }}\left[\operatorname{ap}\left(x, \phi\left(l_{1}\right)\right) / y\right] \phi\left(l_{2}\right) \\ \phi(\lambda x . l) & =\operatorname{def} \lambda x . \phi(l) \end{aligned}$ |  |
|  |  |
|  |  |

Table 2.3: Relationships between the calculi

|  | $\psi \theta$ : | $\psi(\theta(m))=m$ |
| :---: | :---: | :---: |
|  | $\psi \theta^{\prime} \psi^{\prime}$ : | $\psi\left(\theta^{\prime}(a, m s)\right)=\psi^{\prime}(a, m s)$ |
|  | $\theta \psi:$ | $\theta(\psi(n))=n$ |
|  | $\theta \psi^{\prime} \theta^{\prime}$ : | $\theta\left(\psi^{\prime}(a, m s)\right)=\theta^{\prime}(a, m s)$ |
|  | N_Admis $\theta$ : | $\frac{\Gamma \Rightarrow m: R}{\Gamma \triangleright \theta(m): R}$ |
|  | N_Admis_ $\theta^{\prime}$ : | $\frac{\Gamma \triangleright a: P \quad \Gamma \underset{P}{\longrightarrow} m s: R}{\Gamma \triangleright \theta^{\prime}(a, m s): R}$ |
|  | M_Admis_\% : | $\frac{\Gamma \triangleright n: R}{\Gamma \Rightarrow \psi(n): R}$ |
|  | M_Admis_ $\psi^{\prime}$ : | $\frac{\Gamma \triangleright a: P \quad \Gamma \underset{P}{P} m s: R}{\Gamma \Rightarrow \psi^{\prime}(a, m s): R}$ |
| $\bar{\phi} \bar{\rho}:$ | $\bar{\phi}(\bar{\rho}(m))=m$ | $\rho \theta \bar{\rho}: \quad \rho(\theta(m))=\bar{\rho}(m)$ |
| $\theta \bar{\phi} \phi$ : | $\theta(\bar{\phi}(l))=\phi(l)$ | $\phi \rho: \phi(\rho(n))=n$ |
| L_Admis_ $-\bar{\rho}$ : | $\frac{\Gamma \Rightarrow m: R}{\Gamma \rightarrow \bar{\rho}(m): R}$ | M_Admis_ $\bar{\phi}: \quad \frac{\Gamma \rightarrow l: R}{\Gamma \Rightarrow \bar{\phi}(l): R}$ |
|  | $\Gamma \rightarrow l: R$ | $\Gamma \triangleright n: R$ |
| N_Admis $\phi$ : | $\bar{\Gamma})^{\text {® }}$ | L_Admis $\rho$ : $\quad \overline{\Gamma \rightarrow \rho(n) R}$ |

(lm)

$$
\frac{l_{1} \succ l_{2}}{\lambda x \cdot l_{1} \succ \lambda x \cdot l_{2}}
$$

(app1)

$$
\frac{l_{1} \succ l_{2}}{a p p\left(x, l_{1}, y . l_{3}\right) \succ a p p\left(x, l_{2}, y \cdot l_{3}\right)}
$$

(app2)

$$
\frac{l_{2} \succ l_{3}}{\operatorname{app}\left(x, l_{1}, y . l_{2}\right) \succ \operatorname{app}\left(x, l_{1}, y . l_{3}\right)}
$$

(app_wkn)

$$
\operatorname{app}\left(x, l_{1}, y . l_{2}\right) \succ l_{2}
$$

$$
y \notin l_{2}
$$

| $\operatorname{app}\left(x, l_{1}, y \cdot \operatorname{app}\left(z, l_{2}, w . l_{3}\right)\right)$ | $y \neq z$ |
| :---: | ---: |
| $\succ$ | app_app1) <br> $\operatorname{app}\left(z, \operatorname{app}\left(x, l_{1}, z . l_{2}\right), w . \operatorname{app}\left(x, l_{1}, y . l_{3}\right)\right)$ |
| $\left(y \in l_{2} \vee y \in l_{3}\right)$ |  |


| app $\left(x, l_{1}, y \cdot \operatorname{app}\left(y, l_{2}, w . l_{3}\right)\right)$ |  |  |
| :---: | :---: | ---: |
| (app_app2) | $\succ$ | $\left(y \in l_{2} \vee y \in l_{3}\right)$ |
|  | $\operatorname{app}\left(x, l_{1}, y^{\prime} \cdot \operatorname{app}\left(y^{\prime}, \operatorname{app}\left(x, l_{1}, y . l_{2}\right), w . \operatorname{app}\left(x, l_{1}, y \cdot l_{3}\right)\right)\right)$ | $y^{\prime}$ new |

Table 2.5: Normal Forms of terms in $\mathbf{L}$ wrt $\succ$
$l$ is normal if it is
a variable, or
of the form $\lambda x . l$ where $l$ is normal, or
of the form $\operatorname{app}\left(x, l_{1}, y . l_{2}\right)$
where
$l_{1}$ is normal;
$l_{2}$ is var-normal with respect to the variable $y$.
$l$ is var-normal wrt $x$ if it is
equal to $v r(x)$, or
of the form $\operatorname{app}\left(x, l_{1}, y . l_{2}\right)$
where
$l_{1}$ is normal;
$l_{2}$ is var-normal wrt $y ;$
$x \notin l_{1}, l_{2}$.

Table 2.6: Subject Reduction and Weak Normalisation

$$
\begin{aligned}
& \text { L_Admis_Perm1: } \quad \frac{l_{1} \succ l_{2} \Gamma \rightarrow l_{1}: R}{\Gamma \rightarrow l_{2}: R} \\
& \text { L_Admis_Permn: } \frac{l_{1} \succ^{*} l_{2} \Gamma \rightarrow l_{1}: R}{\Gamma \rightarrow l_{2}: R} \\
& \text { L_Permn_lm } \quad \frac{l_{1} \succ^{*} l_{2}}{\lambda x \cdot l_{1} \succ^{*} \lambda x \cdot l_{2}} \\
& \text { L_Permn_app1 } \frac{l_{1} \succ^{*} l_{2}}{a p p\left(x, l_{1}, y . l_{3}\right) \succ^{*} a p p\left(x, l_{2}, y . l_{3}\right)} \\
& \text { L_Permn_app2 } \frac{l_{2} \succ^{*} l_{3}}{\operatorname{app}\left(x, l_{1}, y . l_{2}\right) \succ^{*} \operatorname{app}\left(x, l_{1}, y . l_{3}\right)} \\
& \text { Norm.ImpermL } L \quad \operatorname{Normal}(l) \Rightarrow \sim l \succ l_{0} \\
& \text { Norm_L_ } \bar{\rho}: \quad \operatorname{Normal}(\bar{\rho}(m)) \\
& \text { App_Red_M : } \quad \operatorname{app}\left(x, \bar{\rho}\left(m_{1}\right), y . \bar{\rho}\left(m_{2}\right)\right) \\
& \succ^{*} \bar{\rho}\left(s u b\left(x, m_{1}, y, m_{2}\right)\right)
\end{aligned}
$$

Norm_Red : $\quad l \succ^{*} \bar{\rho}(\bar{\phi}(l))$

## Chapter 3

## Formalisation in Isabelle

### 3.1 A Brief Overview of Isabelle

As with most logic software, Isabelle uses an ASCII notation for the non-ASCII symbols of logic. $\$ 3.4$ gives a basic introduction to this, but throughout this chapter standard logical notation will be used for ease of reading.

Isabelle is a highly modular system with many incompatible object logics developed around a single core: the Pure system.

The Pure system allows for the definition of sorts, subsorts and types. Types may inhabit the global sort, the primitive sort logic, or any of the defined sorts or subsorts. Polymorphism is implemented by means of the sorts. Types are simply declared and constructors for the types defined as functions (there is no distinction between general function definitions and constructor functions for types).

Isabelle's meta-logic is implemented in a natural deduction style [Pra65] using the same symbol $(\Longrightarrow)$ as the connective between the premises and conclusion, and the connective between assumptions and premises. So the rule of implication introduction which is usually represented in natural deduction as:

$$
\begin{gathered}
{[A]} \\
\vdots \\
\frac{\dot{B}}{A \supset B} \supset \mathrm{I}
\end{gathered}
$$

would be represented in Isabelle as

$$
(A \Longrightarrow B) \Longrightarrow(A \supset B)
$$

This overloading of $\Rightarrow$ is a confusing aspect of the language of Isabelle for new users, but is not a serious problem. The Isabelle meta-logic is not designed to be used directly as a proof system. Isabelle was designed to allow users to implement the logic in wish they wish to prove theorems. For our work in formal meta-theory, we must therefore define our own meta-logic in which proofs about logical systems (such as NJ, MJ LJ) may be performed. The semantics of our meta-logical connectives will be defined by relating their meaning to the Isabelle connectives. Various packages supplied with the basic system (such as the equational reasoning package) in fact require rules of a specific form relating the new Isabelle object logic connective to an Isabelle meta-level connective.

### 3.2 An Isabelle Object Logic as a Meta-Logic

Since the Isabelle meta-logic is designed for the implementation of object logics, and not for direct use as a proof system, a three-level hierarchy must be used. At the bottom there is the Isabelle meta-logic. Above that is the meta-logic used for reasoning about the systems NJ and MJ. The meta-logic we implement as an Isabelle object logic is intuitionistic first-order logic with built-in size induction schemes, simple arithmetic (the natural numbers, addition and a "less than" relation), and with first order terms. At the top are the systems NJ and MJ themselves. The different levels are used as shown in the table below

| Logic | Use |
| :---: | :---: |
| Object Logics NJ and MJ | Proof of Theorems |
| Meta-Logic | Proving Properties of Proof Systems |
| Isabelle Meta-Logic | Tactics and Forward Proof |

The aim of this work is to provide machine support for the meta-logic. It is not an aim to make the object logics particularly usable within this system, although they must of course be correctly defined.

### 3.2.1 Syntax

We define the sort of terms, which includes the deduction terms for NJ, derivation terms for MJ, formulae, object-level variables and hypothesis lists. Quantification for the meta-logic
is allowed over terms of specific types.
The usual symbol $\Longrightarrow$ is used for the Isabelle meta-logic implication, and any free variables are implicitly universally quantified within the Isabelle meta-logic. The equality relation within the Isabelle meta-logic is represented as $=$. This Isabelle meta-logic equality is defined with respect to syntactic equality of terms, but it is usual to extend it to include Isabelle object logic (our meta-logic) equality.

The following symbols are used for the various connectives required for the meta-logic:

| $\boldsymbol{\sim}$ | Equality of proof terms |
| :---: | :--- |
| $\rightarrow$ | Implication |
| $\wedge$ | Conjunction |
| $\forall$ | Universal quantification of terms over meta-logical predicates |
| $\triangleright$ | Derivability in $\mathbf{A}$ |
| $\triangleright \triangleright$ | Derivability in $\mathbf{N}$ |
| $\Rightarrow$ | Derivability in $\mathbf{M}$ |
| $\vec{F}$ | Derivability in $\mathbf{M s}^{1}$ |

Since only the implicational fragments of MJ and NJ are dealt with, the only object-level connective required is implication ( $\supset$ ). To illustrate the use of some of the above connectives, we show the rule of our meta-logic which performs case analysis of a term in $\mathbf{M}$.

$$
\left((\forall x . \forall m s .(m=(x ; m s)) \longrightarrow P(x ; m s)) \wedge\left(\forall x . \forall m^{\prime} .\left(m=\lambda x . m^{\prime}\right) \longrightarrow P\left(\lambda x . m^{\prime}\right)\right)\right) \Longrightarrow P(m)
$$

where $P$ is some predicate abstracted (at the Isabelle meta-level) over objects of type M.

### 3.2.2 Logical Rules in the Meta-Logic and the Isabelle Meta-Logic

Isabelle supports both forwards and backwards chaining as methods of proof. Backwards chaining involves the usual method of applying a rule to the current goal and having a set of sub-goals returned. When supplied with a conjecture $G$ to prove, Isabelle automatically applies the identity implication rule $(G \Longrightarrow G)$ to it, setting the basic goal to $G$ and initialising the sub-goaler to a single sub-goal of $G$ also. Forward chaining allows a user to combine rules and axioms to produce a new rule, which may or may not depend on subgoals. In this way, the user may build up a complete proof tree applicable to the current goal. Proofs are seldom performed this way, although completely deterministic tactics may be built and named in this manner, avoiding the need to program them in ML. ${ }^{2}$

[^4]The rules for the Isabelle meta-logic are not used for proving theorems in general, but are for writing tactics in ML, and for writing ML tacticals to generate tactics. The useful rules for proving theorems are those programmed into each object logic, so we need to implement such rules as part of our meta-logic.

There are certain ML functionals written to help define sets of rules when implementing object logics. These require the prior provision of object logic (our meta-logic) versions of common connectives as arguments. The ML functionals then produce rule sets derived from these. One of the most commonly used sets is the equality reasoning, which takes a set of equality rules defined using an object level equality, and rules specifying that the object level implication and equality are derivable from the Isabelle meta-logic implication and equality, and returns a tactic which will use the provided equalities as a rewriting system and rewrite to a fixpoint in both the current goals and their local assumptions. There is no attempt to prevent looping of these rules, and it is up to the programmer of the object logic to ensure that the equality rules are appropriately ordered to avoid this.

In the implementation of the example, the rules linking the meta-logic and the Isabelle meta-logic connectives are:

$$
\begin{gathered}
(a=b) \Longrightarrow(a=b) \\
(P \Longrightarrow Q) \Longrightarrow(P \longrightarrow Q)
\end{gathered}
$$

The first of these defines our meta-logic equality relation as an equality relation for the system. The definition of our equality relation must include (but is not restricted to) rules showing symmetry, reflexivity and transitivity for the relation. We may then use an ML functional to provide a simplification tactic performing rewriting using our meta-logic equality. This simplification includes unfolding of functions such as $\theta$ which have been defined using the Isabelle meta-logic equality ( $=$ ).

The second of these is the definition of our meta-logical implication connective $(\longrightarrow)$. We are stating that we may derive $P \longrightarrow Q$ if we can derive $Q$ by assuming $P$.

To prove properties of the proof terms, such as theorems $\psi \theta$ and $\psi \theta^{\prime} \psi^{\prime}$, we require an induction principle. Again, we must define an induction principle manually within the Isabelle meta-logic for each class of objects upon which we wish to perform induction. This is where we find the greatest barrier to using Isabelle for this work. Given the complex, one might almost say unreadable, nature of the Isabelle source text, definition of an induction principle for complex, mutually defined, inductive objects becomes a non-trivial task. Mistakes are not easy to spot, nor is one ever completely sure that one's implementation is absolutely correct.

For example in order to perform induction proofs for simultaneous proof of $\psi \theta$ and $\psi \theta^{\prime} \psi^{\prime}$, the following rules had to be encoded into Isabelle:

- A definition of the size function for objects of types $\mathbf{M}, \mathbf{M s}, \mathbf{A}$ and $\mathbf{N}$, including objects formed from the translation functions $\phi$ and $\theta$.
- A principle of induction over the size of an object.
- A number of rules about natural numbers including an ordering function.
- Case analyses of objects of type $\mathbf{M}$ and Ms.

Many of these are quite complex rules, and the prospect of having to implement them individually for each type of proof object etc. in each new logic for which meta-theory is required would be a waste of time, as it would lend little extra confidence in the results for much extra work.

There is yet more work involved in defining rules to allow the proof of theorems such as N_Admis $\Theta$. Either a new induction principle for proof on the structure or size of derivations is needed or two versions of each rule in the object logic are needed - an introduction and elimination version for assumptions and goals involving derivations assumed to be correct. ${ }^{3}$ Therefore manual implementation of these principles appears to be a dead end in Isabelle. So we come to the requirement for writing a new top-level which uses Isabelle as a proof engine and accepts definition of inductive objects and functions, returning appropriate induction principles, from which we may derive appropriate structural induction schemas. Use of one of the existing Isabelle object logics would also be possible. The HOL object logic (reimplementing the HOL theorem prover [GM93]) includes facilities for automatic derivation of induction principles, but is based on classical higher order logic. Since most proof theory (even that studying classical logics) is done constructively then using a system such as Isabelle/HOL to formalise such work would seem inappropriate.

### 3.3 Isabelle as a Tool

Isabelle has a medium-sized community active in using object logics and in programming new object logics. There is a smaller community working on improving Isabelle and on programming more general functions and functionals in ML for use with the system (for

[^5]an overview see [Pau95a]). There is constant development of the system, for instance four releases of upgrades to the system were made in 1995, these improving the major overhaul of the system released late in 1994. Further upgrades to the Isabelle-94 system have been released regularly since 1995.

Very few of the commonly-used large systems are completely stable: A few major and a number of minor upgrades of Isabelle have been released in the last two years. Work in the area of machine supported logic is therefore always requiring maintenance. How much maintenance is needed for each upgrade depends upon both the nature of the upgrade and the nature of the work undertaken. The Isabelle development team usually produce a program which can transform the majority of proof scripts into new versions, although some interaction may be necessary to complete this properly. The scripting capabilities of Isabelle are adequate to alleviate this problem in the main. Tactics and tacticals may often need major overhauls to keep up with the latest version, and this is another reason why writing large amounts of code on top of a specific version of Isabelle does not appear to be a very attractive method of producing generally useful machine-assistance for meta-theoretic work, given the regularity of the upgrade releases.

The documentation of the system is very varied, even within the areas of meta-programmer, programmer and user documentation. Some parts of each type of user's area of interest are very well-documented, while some are barely touched and others require one to look at the original code to see how the system operates. While there is a good introduction to using the Isabelle systern for performing proofs in existing object logics in [Kal94], there is no similar paper introducing the basics of writing object logics, and one must wade through the large [Pau94] which includes many internal technicalities mixed in with the necessary information to start writing an Isabelle object logic.

From a user's point of view, Isabelle is neither very easy nor very difficult to use. The interface could be much improved, but that could be said of most freely available academicwritten software, since the interface is the least interesting part of the work for those writing these complex systems. The proof paradigm is a little odd for someone more used to automated systems using a sequent-style calculus, and there are certain obvious top-level controls not present where they might be expected. These problems are being addressed slowly by the growing community of Isabelle programmers and meta-programmers, and support for users is currently very good amongst those on the electronic mailing list devoted to it. Whether these situations will continue as and when the user community grows is difficult to judge. Given the difficulties involved in programming Isabelle for use as a general tool for machine-
assisted meta-theory, ${ }^{4}$ it would appear to be a poor candidate for further development. This conclusion also appears in [BC93].

### 3.4 Isabelle's ASCII notation

To give a flavour of the Isabelle ASCII notations, here are some of the connectives and predicates mentioned in $\S 3.2 .1$ with their ASCII notation. The Isabelle meta-logic symbols are provided by the system, whereas the symbols for $\mathbf{M J}$ and $\mathbf{N J}$ and the meta-logic are defined using the complex Isabelle mixfix system. The system is moderately good at representing what is wanted, although the documentation is somewhat obscure, and the type system leaves the parsing difficult to manage.


[^6]
## Chapter 4

## Formalisation in SEQUEL

### 4.1 Introduction to $\operatorname{SEQUEL}$

SEQUEL [Tar93, Tar97] is a logical framework in the LCF [GMW79] style. It has an ASCII syntax for representing single-conclusion sequents in the style of a typed lambda calculus. Rewriting rules may be defined on the terms or types of the sequents. A logic specified by these sequents is compiled into Common Lisp (with a type checker added).

The propositions of $S E Q U E L$ 's notation are expressions of the form $w * t$, so the rule for a non-term propositional calculus rule $\wedge R$ might be written:

```
:name And-R
<A> I- P? * thm
<A> I- Q? * thm
thus
<A> 1- (P? & Q?) * thm
```

If we are encoding a term calculus, however, the natural method of representation would be:

```
:name And-R
<A> 1- t1? * P?
<A> I- t2? * Q?
thus
<A> I- (pair t1? t2?) * (P? & Q?)
```

These ASCII representations, although necessary for programming SEQUEL, are more dif-
ficult to read than the more usual forms, so for the remainder of this chapter such rules will be written

$$
\frac{\Gamma \vdash t_{1}: P \quad \Gamma \vdash t_{2}: Q}{\Gamma \vdash \operatorname{pair}\left(t_{1}, t_{2}\right):(P \wedge Q)} \wedge \mathbf{R} .
$$

### 4.2 Meta-Theory in a SEQUEL Framework

In order to work on the metatheoretic level within a SEQUEL framework, we define the propositions to be of the form
(der $\mathrm{X} G \mathrm{D}$ ) or (der MS F G D)
where

$$
\begin{aligned}
X & ::=A|N| M \\
G & ::=\text { nil } \mid(\text { concons } D G) \mid \gamma \\
D & ::=t: F
\end{aligned}
$$

and where $F$ are formulae, $t$ terms of $\mathbf{A}, \mathbf{N}, \mathbf{M}, \mathbf{M s}$, and $\gamma$ the object logic contexts. (der $\mathrm{X} G \mathrm{D}$ ) represents a deduction in NJ (if X is in $\mathbf{A}$ or N ) or a derivation in MJ (if X is in M), and (der MS F G D) represents derivations in MJ where F is the "stoup" formula which appears under the sequent arrow, e.g. $P \supset Q$ in the conclusion of the rule:

$$
\frac{\Gamma \Rightarrow m: P \quad \Gamma \vec{Q} m s: R}{\Gamma \overrightarrow{P \supset Q} m:: m s: R} \supset \mathrm{~S}
$$

We also define the standard intuitionistic predicate logic connectives, equality between terms or formulae, unfolding of functional expressions, and conditions pertaining to binding of a variable to a formula in a context (G). Again these ASCII representations, although necessary for $S E Q U E L$, will not be given here. Similar representations are used for the predicate logic connectives between terms.

We need to implement two proof methods as part of the definition of the meta-logic proof by induction on the size of, and case analysis of, proof terms. Case analysis is a simple matter to encode, but induction is more difficult. We define a general method of proof by induction, dependent on the definition of a polymorphic function size:

$$
\frac{\Gamma \vdash \forall x: \tau .(\forall y: \tau .((\operatorname{size}(y)<\operatorname{size}(x)) \supset \Delta[y / z]) \supset \Delta[x / z])}{\Gamma \vdash \forall z: \tau . \Delta} .
$$

This is in fact a single principle which does not cover mutual definitions. It is possible to make use of this method for mutual recursive types using the following general approach.

Say we have two predicates $P_{0}: A \rightarrow$ Prop, $P_{1}: B \rightarrow$ Prop, where $A$ and $B$ are mutually recursively defined sets and $P_{0}$ and $P_{1}$ are mutually recursively defined predicates. If we wish to prove:

$$
\forall a: A \cdot P_{0}(a)
$$

then we prove:

$$
\forall b: B .\left(\forall a: A \cdot \operatorname{size}(a)<\operatorname{size}(b) \supset P_{0}(a)\right) \supset P_{1}(b)
$$

using induction on the size of $b$, and then proceed to prove the required theorem by induction on the size of $a$.

To illustrate the techniques used in this development, we take the example theorems N_Admis $\theta$ and $\mathbf{N}$ _Admis $\theta^{\prime}$.
After translation,N_Admis $\theta$ appears as the conjecture:

$$
\vdash \forall m: \mathbf{M} \cdot(\gamma \Rightarrow m: R)) \supset((\gamma \triangleright \triangleright \theta(m): R)
$$

and after applying size induction we are left with the conjectures:

$$
\begin{gathered}
m: \mathbf{M}, x: \mathbf{V}, m s: \mathbf{M s},(m=(x ; m s)),(\gamma \Rightarrow m: R) \\
\quad \text { Ind-Hyp } \vdash(\gamma \triangleright \theta(m): R) \\
m: \mathbf{M}, x: \mathbf{V}, m_{1}: \mathbf{M},\left(m=\left(\lambda x . m_{1}\right)\right),(\gamma \Rightarrow m: R) \\
\quad \text { Ind-Hyp} \vdash(\gamma \triangleright \theta(m): R)
\end{gathered}
$$

where Ind-Hyp is the assumption

$$
\forall w_{1}: \mathbf{M} \cdot\left(\forall w_{2}: \mathbf{F} \cdot\left(\forall w_{3}: \text { Context. }\left(\left(\operatorname{size}\left(w_{1}\right)<\operatorname{size}(m)\right) \supset\left(w_{3} \Rightarrow w_{1}: w_{2}\right)\right)\right)\right)
$$

In the hypotheses of the first case $(m=(x ; m s))$, we are assuming $(\gamma \Rightarrow(x ; m s): R) .{ }^{1}$ This sequent can only be formed in a valid derivation (in MJ) as the conclusion of the rule

$$
\frac{\Gamma \Rightarrow \mathrm{M}: P \quad \Gamma \xrightarrow[Q]{\longrightarrow} \mathrm{Ms}: R}{\Gamma \underset{P \supset Q}{ } \mathrm{M}:: \mathrm{Ms}: R} \supset \mathrm{~S}
$$

so the $\gamma$ context in our example must include (for some formula $P$ ) the assumption $x: P$, and we may also assume $(\gamma, x: P \longrightarrow P s: R) .{ }^{2}$

$$
\begin{gathered}
m: \mathbf{M}, x: \mathbf{V}, P: \mathbf{F}, m s: \mathbf{M s},(m=(x ; m s)),(x: P \in \gamma), \\
(\gamma \xrightarrow[P]{\longrightarrow} m s: R), \text { Ind-Hyp} \vdash(\gamma \triangleright \triangleright \theta(x ; m s): R)
\end{gathered}
$$

where $P$ is a new formula. (We may want to delay our choice of $P$, since it can be any formula, in which case we would use a place-holder variable and check that any instantiation was a formula. In this case, we need a new formula here.)

[^7]Looking to the goal, we can unfold $\theta(x ; m s)$ to $\theta^{\prime}(\operatorname{var}(x), m s)$. Instantiating the restricted form of N.Admis $\theta^{\prime}$ to ${ }^{3}$ :

$$
\left(((\gamma \triangleright \operatorname{var}(x): P) \wedge(\gamma \underset{P}{\longrightarrow} m s: R)) \supset\left(\gamma \triangleright \triangleright \theta^{\prime}(\operatorname{var}(x), m s): R\right)\right)
$$

and adding it as an assumption we get:

$$
\begin{gathered}
m: \mathbf{M}, x: \mathbf{V}, P: \mathbf{F}, m s: \mathbf{M s},(m=(x ; m s)), \\
(x: P \in \gamma),(\gamma \xrightarrow[P]{\longrightarrow} s: R), \\
\left(((\gamma \triangleright \operatorname{var}(x): P) \wedge(\gamma \xrightarrow[P]{\longrightarrow} s: R)) \supset\left(\gamma \triangleright \triangleright \theta^{\prime}(\operatorname{var}(x), m s): R\right)\right) \\
\text { Ind-Hyp} \vdash\left(\gamma \triangleright \theta^{\prime}(\operatorname{var}(x), m s): R\right)
\end{gathered}
$$

We use the implication-left rule to proceed to the following goals:

$$
\begin{gathered}
m: \mathbf{M}, x: \mathbf{V}, P: \mathbf{F}, m s: \mathbf{M s},(m=(x ; m s)), \\
\\
(x: P \in \gamma),(\gamma \vec{P} m s: R), \\
\text { Ind-Hyp} \vdash(\gamma \triangleright \operatorname{var}(x): P), \\
m: \mathbf{M}, x: \mathbf{V}, P: \mathbf{F}, m s: \mathbf{M s},(m=(x ; m s)), \\
\\
(x: P \in \gamma),(\gamma \xrightarrow[P]{\longrightarrow} m s: R), \\
\\
\text { Ind-Hyp } \vdash(\gamma \underset{P}{\longrightarrow} m s: R)
\end{gathered}
$$

The second of these follows immediately. Looking at the first, we see that the goal

$$
(\gamma \triangleright \operatorname{var}(x): P)
$$

is of a form that might be discharged via the A-Axiom rule:

$$
\overline{\Gamma, x: P \triangleright \operatorname{var}(x): P} \text { A-Axiom }
$$

provided we can show that $x: P \in \gamma$. This is one of the hypotheses, so we have proved the main conjecture for the case of $m=(x ; m s)$.

### 4.3 Generalisation of the Method

The interesting points of this proof were the uses of the rules of NJ and MJ in the hypotheses and goal. The uses we made, informally, of these rules can now be formalised below and, through analogy, appropriate SEQUEL axioms can now be coded for all the rules of NJ and MJ.

[^8]\[

$$
\begin{aligned}
& \frac{\Gamma \vdash x: p ? \in \gamma \Gamma \vdash \gamma \overrightarrow{p^{2}} m s ?: r ?}{\Gamma \vdash \gamma \Rightarrow(x ; m s): r ?} \text { Select-G } \\
& \frac{\Gamma \vdash((x: p ?) \mid \gamma) \Rightarrow m ?: q ?}{\Gamma \vdash \gamma \Rightarrow(\lambda x . m ?):(p ? \supset q ?)} \text { Abstract-G } \\
& \frac{x: p ? \in \gamma, \gamma \overrightarrow{p^{?}} m s ?: r ?, \Gamma \vdash \Delta}{\gamma \Rightarrow(x ; m s ?): r ?, \Gamma \vdash \Delta} \text { Select-H } \\
& \frac{(p ?=(q ? \supset r ?)),((x: q ?) \mid \gamma) \Rightarrow m ?: r ?, \Gamma \vdash \Delta}{\gamma \Rightarrow(\lambda x . m ?): p ?, \Gamma \vdash \Delta} \text { Abstract-H } \\
& \overline{\Gamma \vdash \gamma \underset{p ?}{ } \text { nil }: p \text { ? }} \text { Meet-G } \\
& \frac{\Gamma \vdash \gamma \Rightarrow m ?: p ? \quad \Gamma \vdash \gamma \overrightarrow{g^{2}} m s ?: r ?}{\Gamma \vdash \gamma \underset{(p ? \partial q ?)}{ }(m ?:: m s ?): r ?} \supset \mathrm{~S}-\mathrm{G} \\
& \frac{(p ?=q ?), \Gamma \vdash \Delta}{\gamma \underset{p ?}{\rightarrow} \text { nil }: q ?, \Gamma \vdash \Delta} \text { Meet-H } \\
& \frac{(p ?=(q ? \supset r ?)), \gamma \Rightarrow m ?: q ?, \gamma \overrightarrow{r ?} m s ?: s ?, \Gamma \vdash \Delta}{\gamma \underset{p ?}{\vec{p}}(m ?:: m s ?): s ?, \Gamma \vdash \Delta} \supset \mathrm{~S}-\mathrm{H} \\
& \frac{\Gamma \vdash \gamma \triangleright a ?: p ?}{\Gamma \vdash \gamma \triangleright \triangleright(\operatorname{An} a ?): p ?} \text { AN-Axiom-G } \\
& \frac{\Gamma \vdash((x: p ?) \mid \gamma) \triangleright \triangleright n ?: q ?}{\Gamma \vdash \gamma \triangleright(\lambda x . n ?):(p ? \supset q ?)} \supset \mathrm{I}-\mathrm{G} \\
& \frac{\gamma \triangleright a ?: p ?, \Gamma \vdash \Delta}{\gamma \triangleright(\operatorname{An} a ?): p ?, \Gamma \vdash \Delta} \text { AN-Axiom-H } \\
& \frac{(p ?=(q ? \supset r ?)),((x: q ?) \mid \gamma) \triangleright \triangleright n ?: r ?, \Gamma \vdash \Delta}{\gamma \triangleright(\lambda x . n ?): p ?, \Gamma \vdash \Delta} \supset \mathrm{I}-\mathrm{H} \\
& \frac{\Gamma \vdash x: p ? \in \gamma}{\Gamma \vdash \gamma \triangleright(\operatorname{Var} x): p ?} \text { A-Axiom-G } \\
& \frac{\Gamma \vdash \gamma \triangleright a ?:(p ? \supset q \text { ? }) \Gamma \vdash \gamma \triangleright \triangleright n ?: p \text { ? }}{\Gamma \vdash \gamma \triangleright(\operatorname{Ap} a ? n ?): q \text { ? }} \supset \mathrm{E}-\mathrm{G} \\
& \frac{x: p ? \in \gamma, \Gamma \vdash \Delta}{\gamma \triangleright(\operatorname{Var} x): p ?, \Gamma \vdash \Delta} \text { A-Axiom-H } \\
& \frac{\gamma \triangleright a ?:(p ? \supset q ?), \gamma \triangleright \triangleright n ?: p ?, \Gamma \vdash \Delta}{\gamma \triangleright(\operatorname{Ap} a ? n ?): q ?, \Gamma \vdash \Delta} \supset \mathrm{E}-\mathrm{H}
\end{aligned}
$$
\]

So for the eight rules of MJ and NJ, we produce sixteen rules for our meta-logic. In general, if we have a rule in the object system of the form:

$$
\frac{a^{\prime}: A^{\prime}, \Delta \vdash b^{\prime}: B^{\prime} \Delta \vdash c: C}{a: A, \Delta \vdash b: B} \text { Rule, }
$$

then we need two rules in the meta-system of the form:

$$
\begin{gathered}
\Gamma \vdash\left(\left(a^{\prime}: A^{\prime}::(\gamma \backslash a: A)\right) \Rightarrow b^{\prime}: B^{\prime}\right) \quad \Gamma \vdash((\gamma \backslash a: A) \Rightarrow c: C) \\
\frac{\Gamma \vdash\left(\gamma \Rightarrow b^{\prime}: B^{\prime}\right)}{\Gamma \vdash(\gamma \Rightarrow b: B)} \\
\frac{\Gamma \vdash(a: A \in \gamma)}{} \text { Rule-G, } \\
(D=B),(a: A \in \gamma),\left(\left(a^{\prime}: A^{\prime}::(\gamma \backslash a: A)\right) \Rightarrow b^{\prime}: B^{\prime}\right),((\gamma \backslash a: A) \Rightarrow c: C) \vdash \Delta \\
(\gamma \Rightarrow a: D), \Gamma \vdash \Delta \\
\text { Rule-H. }
\end{gathered}
$$

Together with these rules, a specification of how the $\gamma$ contexts are handled is required, but that is a simple mechanical process.

Conversion of the single object-level rule to the more complex meta-level rules might be automated, although there are some problems with this, most notably with the formalisation of side-conditions on rules. SEQUEL includes a fast, easy to use method of specifying sideconditions as guards on the application of rules, which might be very difficult to translate from object- to meta-level. Using extra sequents - while a slower, more cumbersome method - might provide the answer to these problems.

We shall see in the later sections on formalisation in Coq, that this process has already been automated in a very general fashion in proof assistants such as $L E G O$ and Coq. Rule$G$ 's definition is part of the standard definition-time analysis of a recursive propositional function, while Rule-H is an Inversion Lemma on the propositional function (see $\S 5.1 .4$ for details of Inversion in Coq).

### 4.4 Using a Logical Framework for Meta-Theory

Given its basic design, it was always obvious that $S E Q U E L$ could be used for defining frameworks for meta-theoretic proofs. As with Pure Isabelle however, it is clear that a great deal of work would be involved in developing a system for performing formal meta-theory in any logical framework. A more constrained system with a recursive definition mechanism and, particularly, the automatic production of induction principles, would appear to be required. A number of such systems are available, and in the next few chapters we examine various formalisations in the proof assistant $C o q$, which fulfills these requirements.

## Chapter 5

## A Brief Introduction to

## Formalisation in Coq

### 5.1 A Quick Overview of $\boldsymbol{C o q}$

Coq $\left[\mathrm{BB}^{+} 96\right]$ is a proof assistant for the Calculus of Inductive Constructions (CIC) [CH85, PM93]. The syntax of Coq is quite readable, providing the reader is aware of the conventions used to represent non-ASCII symbols in ASCII text, and the basics of the type theory that underlies the system. The main points of the notation used in this thesis are noted below.

### 5.1.1 The Basis of the Type Theory

CIC has two basic Sorts: Prop and Set. Each of these is actually the base of a hierarchy of universes (Type and Typeset respectively) as in Martin-Löf Type Theory [ML84]. The hierarchy can be ignored by the user since the system automatically keeps track of universes above the base cases.

### 5.1.2 Logical Notation in ASCII

Lambda abstraction is represented (following AUTOMATH [dB80]) by square brackets; e.g. $[x: A] x$ is the unnamed identity function on a set $A$.

Universal quantification is represented by round brackets; e.g. symmetry of equality in a set A would be stated ( $x, y: A$ ) $x=y->y=x$.
$\rightarrow$ is used both for function typing and to represent logical implication. Conjunction is represented as $八$ and disjunction as $\bigvee /$.

### 5.1.3 Definitions

Three basic definition mechanisms are used: Inductive for defining objects and families of sorts Prop and Set; Recursive Definition and Fixpoint for functions. Thus the definition ${ }^{1}$ of natural numbers (nat) in $C o q$ is:

```
Inductive
    nat:Set :=
        0 : nat I
        S : nat->nat.
```

Mutual Inductive definitions are allowed using a Mutual...with... construct so, for example, the mutual definition of even and odd predicates on natural numbers would be:

```
Mutual Inductive
    even: nat->Prop :=
        even_0 : (even 0) |
        even_s_odd : (n:nat)(odd n)-> (even (S n))
with
    odd : nat->Prop :=
        odd_s_even : (n:nat)(even n)-> (odd (S n)).
```

The addition function may be defined thus:

```
Recursive Definition
    plus:nat->nat->nat :=
        0 j => j |
        (S i) j => (S (plus i j)).
```

Function definition using the Recursive Definition syntax is restricted to (higher order) primitive recursion. Fixpoint [Gim94] is, as the name suggests, a recursive fixpoint operator which allows definition of (mutual) recursive functions using case analysis via the Case and Cases operators. The addition function could therefore also be defined in the following two ways:

[^9]```
Fixpoint
    plus [i:nat]:nat->nat :=
    [j:nat]<nat>Case i of
        j
        [i':nat](S (plus i' j))
        end.
```

The construct Case i of deconstructs the term i into its inductive definitional clauses (here 0 and ( $\mathrm{S} \mathrm{i}^{\prime}$ ) for some $\mathrm{i}^{\prime}:$ nat), and any new variables are named. The first clause has no new variables because $i$ has been decomposed to a ground clause of 0 . A recent innovation (and a more readable syntax) uses the new construct Cases $\left[\mathrm{BB}^{+} 96, \S 11\right]$, which extends Case deconstruction to dependent types using a syntax more like the functional programming language ML:

Fixpoint
plus [i:nat]:nat->nat :=
[j:nat]Cases $i$ of
$0 \Rightarrow j 1$
(S i') $\Rightarrow\left(S\right.$ (plus $\left.i^{\prime} j\right)$ )
end.
Recursive Definition is useful since it is integrated into a simplifier tactic (called by the command Simpl). To allow unfolding of Fixpoint definitions, each line of the definition must be proved as a named lemma and Rewrite with the name as argument applied. The Cases construct is a recent innovation in $C o q$, and is thus not always used in the work presented in this thesis. Recursive Definition has, technically, been superseded by Fixpoint in Coq, but is still part of the system for backwards compatibility, and because the simplifier tactic has not yet been updated.

### 5.1.4 The Minimality Principle and Inversion of Predicates

Inductive definitions in $C o q$ are interpreted under a Minimality Principle. That is, when an Inductive definition is made, the object being defined is taken to be the minimal object satisfying the rules as stated in the definition: i.e. all objects which are a member of the type (family) must have been constructed by the clauses defining the type (family). Thus, if the less-than relation on natural numbers is defined as the propositional function (i.e. family of propositions):

## Inductive

```
It : nat->nat->Prop :=
    lt_ 0 : (i:nat)(lt 0 ( S i)) |
    It_S : (i, j:nat) (lt i j) \(\rightarrow\) ( 1 t ( S i) ( \(\mathrm{S} j\) ) ).
```

then all true propositions which are members of this family are built up from a basic fact (1t_0): (n:nat) (It $0(S \mathrm{n})$ ) and a finite sequence of implications incrementing both arguments (1t_S).

Similarly, if we have a hypothesis that (It i $j$ ), then there are only two possibilities for this:

$$
i=0 \wedge j=(S n) \quad \text { or } \quad i=(S m) / j=(S n) /(1 t m n)
$$

It would be possible to prove this as an Inversion Lemma, but this is no longer necessary, as there is a tactic to perform such a case analysis on a hypothesis of the current (sub-) conjecture $\left[\mathrm{BB}^{+} 96, \mathrm{Ch} .8\right]$.

### 5.1.5 Performing Proofs in Coq

Later we shall be using the Coq representation of sequents to show proofs in progress. To prove a theorem in $C o q$ we present the system with a type, for which we aim to construct a term which inhabits that type. Unlike $A L F$, in which the user directly constructs the term, construction of the term in $C o q$ is done by the program, behind the scenes. We give the program commands which further the search for such a term. We shall work through part of a proof to demonstrate the proof display syntax.

We may envisage a completed proof (in CIC) as a tree of sequents such as:

$$
\frac{\frac{\overline{\Gamma \vdash t_{2}:(O: \text { nat })}}{\Gamma \vdash t_{1}:((S O): \text { nat })}}{} S
$$

where the $t_{i}$ are terms of $C I C$, and $\Gamma$ is the current environment (which includes definitions and local assumptions). Unless we request $C o q$ to print out the $t_{i}$, we shall never see them. Mostly the user is not concerned with these terms unless they are programming tactics. In order to prove the fact that $1=1 \mathrm{in} \operatorname{Coq}$ (the statement above), we present this as a type. Since 1 is a ground term, we require no quantifiers (as shown). When we present Coq with such a term as a named or un-named conjecture (via the Lemma or Goal commands), a partial proof tree is initiated. This partial proof tree contains the initial sequent:

$$
\Gamma \vdash t ?:((S O)=\operatorname{nat}(S O))
$$

where $t$ ? is a placeholder for a term. As we progress through the proof, this placeholder will gradually be refined into a proper term of CIC. Giving the command Apply refl_eq,
which tells Coq to apply the lemma stating reflexivity of equality, the term $t$ ? would be replaced by the term witnessing refl_eq, with a place-holding term for the proof that the two arguments to equality (which must be syntactically equal) are of the correct type. The rest of this, very simple, proof is performed completely automatically by the type-checking engine of Coq, according to the definition of the natural numbers (nat).

We next illustrate the display of current sub-goals. Coq presents sequents such as

$$
t_{1}: T_{1}, \ldots, t_{n}: T_{n} \vdash t_{0}: T_{0}
$$

```
as
    t1 : T1
    tn : Tn
    t0 : T0
```

Say we are trying to prove the following simple theorem about natural numbers:

$$
\forall i: \mathbf{N} . i<S(i)
$$

In $C o q$ syntax this is formalised as the type:

## (i:nat)(lt i (S i))

Having entered this into Coq as a conjecture to be proved (under the name ItiSi) we are presented with the following display:

```
1 sub-goal
```

(i:nat)(lt i (S i))
Initially, there is only a single (sub)goal to be proved. Where we have more than one subgoal remaining to be proved (i.e. more than one branch of the proof tree which is not closed by an axiom) we may have Coq show us either all the remaining sub-goals or only one at a time.

We wish to move the universally quantified variable $i$ into the current context with a name new to the context (since the current context is empty, the name will remain as i). We do this by matching the conclusion of the universal quantifier introduction rule: ${ }^{2}$

$$
\frac{\Gamma, y: T \vdash[y / x] G}{\Gamma \vdash \forall x: T . G} \forall-\mathrm{I}
$$

[^10]to the sequent above, so that $\Gamma$ matches the empty sequent, $x$ and $y$ match i, $T$ matches nat and $G$ matches (1t i (S i)). Coq then prints

```
1 sub-goal
```

i : nat
(It i (S i) )
Elimination on the type nat (i.e. induction) then gives us:

```
2 sub-goals
```

```
i : nat
```

(1t $0(\mathrm{SO} 0)$ )

```
sub-goal 2 is:
    (n:nat)(lt n (S n)) -> (1t (S n) (S (S n)))
```

Here, $C o q$ is showing us all the remaining sub-goals but only the first is displayed in full: only the conclusions (consequents) of the other goals are shown. Note that this is simply an interface matter; we cannot assume that the hypotheses of the second sub-goal are identical to the fully printed first sub-goal. We may have Coq show us the full sequent for sub-goal 2 :

```
sub-goal 2 is:
```

i : nat

$$
\text { (n:nat) (It n }(S n)) \rightarrow(\operatorname{lt}(S n)(S(S n)))
$$

### 5.2 Formalisation of Proof Terms in Coq

The central issue in formalising sequent-style calculi with proof terms is the handling of variable bindings and references. There are two different forms of variable occurrence in proof terms: bound and free variables. In a sequent, we would expect all variables to be bound, i.e. there should be no references to objects outside the sequent, but when dealing simply with proof terms (as we do for the theorem $\psi \theta$ in table 2.3 ), we may have variables which reference formulae in an unspecified context rather than occurrences of binding constructors such as $\lambda$ and app. Specifying a context would clutter the proof unnecessarily, provided that the theorem being proved is true for all possible contexts.

This problem of variable binding and references is an old one in computer-aided reasoning. The problems of renaming, $\alpha$-conversion and substitution have been dealt with in various ways. The most common way of dealing with bound variables for formal treatments of $\lambda$-calculi in recent years has been nameless dummy variables, also called de Bruign indices $[\mathrm{dB} 72]{ }^{3}$ Another, more recent, idea has been to use a higher order abstract syntax to define equivalence classes of concrete terms to represent the abstract $\alpha$-convertible terms required [DFH95, GM96]. A similar but simpler approach is used in [MP93, MP97]

In the following three chapters we will look at three methods of formalising our example theory in Coq. The first method ( $\S 6$ ) uses de Bruijn indices for the bound variables in a term and an encoding derived from the (object-level) context for free variables. There are some problems with this approach so $\S 7$ shows a formalisation using de Bruijn indices for both bound and free variables. Finally, in $\S 8$ we shall look at a method for using named variables developed by McKinna and Pollack (with suggestions by Coquand) used in [MP93, MP97]. A deeper discussion of the various approaches is contained in $\S 9$.

[^11]
## Chapter 6

## An Initial Formalisation in $\boldsymbol{C o q}$

This chapter presents a formalisation of the example theory using de Bruijn indices for bound variables in terms and an encoding of the current context for free variables. It was initially thought that this would avoid certain problems regarding context manipulation for operations such as weakening. It turned out that the problems did not exist, and that this encoding produced problems of its own. The next chapter will present a formalisation built by amending this one, which uses de Bruijn indices for both bound and free variables.

### 6.1 De Bruijn Indices

First we need to explain standard de Bruijn indices, before we enter into the variant used here. This standard de Bruijn approach is used in the next chapter.

We will use the well-known simply-typed $\lambda$-calculus [Bar84, Appendix A] for this exposition, since it is slightly simpler than the calculi NJ, MJ and LJJ. In the following description of the simply-typed $\lambda$-calculus meta-variables $P$ and $Q$ range over Formulae $(F), \mathbf{V}$ is a set of variables and the $\Gamma$ are contexts as before.

$$
\begin{array}{ccc}
t::=\mathbf{V}|\lambda \mathbf{V} \cdot t|(t) t) & F::=o \mid F \supset F & \Gamma::=[] \mid \Gamma, \mathbf{V}: F \\
\frac{\Gamma, x: P \vdash t: Q}{\Gamma \vdash \lambda x \cdot t:(P \supset Q)} \supset \mathbf{I} \frac{\Gamma \vdash t_{1}:(P \supset Q) \Gamma \vdash t_{2}: P}{\Gamma \vdash\left(t_{1} t_{2}\right): Q} \supset \mathbf{E} & \\
\Gamma, x: P \triangleright x: P
\end{array} \text { Axiom }
$$

We will use the last stage of the proof tree in the derivation of the $S$ combinator as an example later:

$$
\frac{x:(P \supset(Q \supset R)) \vdash \lambda y \cdot \lambda z \cdot((x z)(y z)):(P \supset Q) \supset(P \supset R)}{\vdash \lambda x \cdot \lambda y \cdot \lambda z \cdot((x z)(y z)):(P \supset(Q \supset R)) \supset(P \supset Q) \supset(P \supset R)} \supset \mathrm{I}
$$

If we take the term for the $S$ combinator and view it as a tree structure, we have:


Now, the names of the bound variables do not matter in this instance, since with the graphical references, all that matters is that a particular leaf (variable occurrence) refers to a particular node (binding constructor). So, we might view the term $S$ as:


This picture, while valid and useful for human interaction, would be difficult to formalise directly (higher order abstract syntax is a method of doing this with pointers). What we may do, therefore, is use the natural numbers to reference binding occurrences, since all we are interested in when making a reference to a bound variable is which $\lambda$ is being referenced. There are two ways to do this: either the number refers to the number of binding operators ${ }^{1}$ between the reference and the operator it references, or the number refers to the number of binding operators between the root of the syntax tree and the occurrence of the operator being referenced. The first of these is the more common method of representation, but both may be useful depending on the application. Using the leaf-to-binder counting, the partial

[^12]deduction of the S combinator becomes:
$$
\frac{[(P \supset(Q \supset R))] \vdash \lambda \cdot \lambda \cdot((20)(10)):(P \supset Q) \supset(P \supset R)}{[] \vdash \lambda \cdot \lambda \cdot \lambda \cdot((20)(10)):(P \supset(Q \supset R)) \supset(P \supset Q) \supset(P \supset R)} \supset \mathrm{I}
$$
where indices which count beyond the local binders reference formulae in the context, which is represented as a list. For the simply typed $\lambda$-calculus, the indexing flows seamlessly in rules such as $\supset \mathrm{I}$. 'This is not the case for all sequent-style calculi. Any logic involving splitting of the context, such as linear logics in particular, will require renaming of indexing in such rules. This is one of the weaknesses of de Bruijn indices as a general methodology.

For both methods, insertion or deletion of an abstraction in the term (e.g. $\eta$-expansion and $\beta$-reduction respectively) require changes to the indices. These changes involve lifting and dropping. As an example take the $\beta$-reduction below:

$$
\lambda z \cdot \lambda y \cdot((\lambda x \cdot \lambda w \cdot(x(w y))) z)
$$

reduces to:

$$
\lambda z \cdot \lambda y \cdot \lambda w \cdot(z(w y)) .
$$

Using leaf-to-binder de Bruijn indices this process becomes:

$$
\begin{aligned}
& \lambda \cdot \lambda \cdot\left(\left(\lambda \cdot \lambda \cdot\left(1\left(\begin{array}{ll}
0 & 2
\end{array}\right)\right) 1\right)\right. \\
& =\lambda \cdot \lambda \cdot \lambda \cdot(2(01))
\end{aligned}
$$

While performing these calculations, we must ensure that the referencing depths are kept updated, which is why the $z$ which is originally a ' 1 ' becomes a ' 2 ' and the $y$ which is originally a ' 2 ' becomes a ' 1 ', but $w$ is represented by a ' 0 ' which stays constant. For a deeper examination of the role of lifting and dropping in using de Bruijn indices see $\S 7.2 .2$ or [Hue94]. Lifting and dropping also come into play when defining the structural rules such as weakening (also called thinning from a literal translation of the term used in [Gen34]), where dropping is the process that must be carried out on a term when deleting an unused formula from the context.

### 6.2 Formulae, Contexts and Variables

We begin by defining an infinite set of formulae $\mathbf{F}$ : which are either atomic $\left(f_{0}, f_{1}, \ldots\right)$ or implicative:

```
Inductive
    F:Set :=
        f: nat->F |
        Impl: F->F->F.
```

In propositional logics, such as the implicative fragments we are studying, the exact form of the atomic formulae does not matter. For the meta-theoretic proofs we are interested in, we will be working with universally quantified formulae in the theorems. The $S$-combinator, for example, is usually represented as

$$
(A \supset(B \supset C)) \supset((A \supset B) \supset(A \supset C))
$$

which is parametric in $A, B$ and $C$. In our syntax above the S -combinator would be

```
(Impl (Impl (Impl A (Impl B C)) (Impl A B)) (Impl A C))
```

Following this, the set of hypothesis lists (or contexts) for sequents can be defined as the set Hyps:

## Inductive

Hyps:Set :=
MT : Hyps $\mid$
Add_Hyp : F->Hyps->Hyps.
Since the word context is also used to refer to hypotheses in the current sequent in Coq, object-logic contexts will be referred to as hypothesis lists. The set $\mathbf{V}$ of nameless variables is defined as follows:

## Inductive

```
V:Set :=
    vfree : Hyps->V |
    vbnd : nat->V.
```

The vbnd constructor is used to denote bound variables within a derivation/deduction term and so uses natural numbers to refer to occurrences of binding operators, in the usual de Bruijn technique (see [dB72] for details). The vfree constructor is used to denote free variables within a derivation/deduction term, i.e. variables which reference a formula in the hypothesis list. The referencing mechanism consists of using the list before the addition of a new formula to reference that new formula. This use of a hypothesis list to represent free variables is more complex than use of the length of the hypothesis list or some other natural number encoding. It helps to specify the hypothesis list in which the derivation/deduction term has been created, and allows a distinction between free variables which were created with respect to different hypothesis lists of the same length. For example, during a proof involving structural rules, the hypothesis list will change in ways other than being extended by new formulae.

Equality is proved decidable for all these sets, together with decidability of some other relations, such as occurrence or non-occurrence of a free variable in a term (see $\S 7.2$ for more details in a different but related formalisation).

Thus, the derivation/deduction terms of the three systems are defined in the following way:

```
Mutual Inductive
        N:Set :=
        lam : \(\mathrm{N}->\mathrm{N} \mid\)
        an : \(\mathrm{A}->\mathrm{N}\)
with
A:Set :=
        ap : \(A->N->A \mid\)
        var : \(\mathrm{V}->\mathrm{A}\).
        M:Set :=
        sc : V \(\rightarrow\) Ms \(\rightarrow\) M |
        lambda : M \(->M\)
with
    Ms:Set :=
    mnil : Ms I
    meons : M->Ms->Ms.
```

Mutual Inductive

```
                    Inductive
                    L:Set :=
                    vr : V->L \(\mid\)
                    app : V->L->L->L |
                    lm : L->L.
```

This formalisation of $\mathbf{M}$ and $\mathbf{M s}$ gives the following induction principle: ${ }^{2}$

```
(P:M->Prop)
(PO:Ms->Prop)
((v:V)(ms:Ms)(PO ms)-> (P (sc v ms)))->
            ((m:M)(P m)->(P (lambda m)))->
                (PO mnil)->
            ((m:M)(P m)->(ms:Ms)(PO ms) -> (PO (mcons m ms)))}->
                (((m:M)(Pm)) \ ((ms:Ms)(POms))).
```

This is equivalent to the induction scheme:

$$
\begin{gathered}
\forall x: \mathbf{V} \cdot \forall m s: \mathbf{M s} \cdot P_{0}(m s) \supset P(x ; m s) \\
\forall x: \mathbf{V} \cdot \forall m: \mathbf{M} \cdot P(m) \supset P(\lambda x . m) \\
P_{0}(N i l) \\
\forall m: \mathbf{M} \cdot P(m) \supset \forall m s: \mathbf{M} \cdot P_{0}(m s) \supset P_{0}(m:: m s) \\
(\forall m: \mathbf{M} \cdot P(m)) \wedge\left(\forall m s: \mathbf{M s} \cdot P_{0}(m s)\right)
\end{gathered}
$$

### 6.3 Derivations and Deductions

All the components of a sequent have now been defined, and so the the propositional functions representing derivations/deductions may now be defined. Given the size of such definitions only derivations within MJ are shown here. L_Deriv, N_Deduc and A_Deduc are similarly defined.

[^13]```
Mutual Inductive
    M_Deriv : Hyps \(\rightarrow\) M \(\rightarrow\) F \(\rightarrow\) Prop :=
            Choose : (h:Hyps)(i:Hyps)(P:F)(ms:Ms)(R:F)
                (In_Hyps i P h) ->
                    (Ms_Deriv h P ms R) \(->\)
                    (M_Deriv h (sc (vfree i) ms) R) |
        Abstract : (h:Hyps)(P:F)(m:M)(Q:F)
            * (Occurs_Free_In_M h m) ->
                (M_Deriv (Add_Hyp P h)
                                    (bnd_to_free_M h m)
                                    Q) \(->\)
                            (M_Deriv h (lambda m) (Impl P Q))
with
Ms_Deriv : Hyps \(\rightarrow \mathrm{F} \rightarrow \mathrm{Ms} \rightarrow \mathrm{F} \rightarrow\) Prop :=
    Meet : (h:Hyps) (P:F) (Ms_Deriv h P mnil P) |
        Implies_S : (h:Hyps)(m:M)(P:F)(Q:F)(ms:Ms)(R:F)
            (M_Deriv h m P) \(\rightarrow\)
                (Ms_Deriv h Q ms R) ->
                (Ms_Deriv h (Impl P Q) (mcons mms) R).
```

Figure 6.1: Formal Definition of Derivations in MJ

Figure 6.1 shows the $C o q$ definition for derivations in MJ and figure 6.2 (on page 43 ) shows the induction scheme semi-automatically produced for induction. The complexity of these induction principles shows why machine support is desirable for such work, and why a system such as Coq, with the ability to derive such principles (semi-)automatically, and the capability to prove such principles sound, is required.

The main point to be noted about M_Deriv is the newness or freshness condition:
~ (Occurs_Free_In_M h m)
which occurs in the Abstract rule. ' $h$ ' is the free variable used to reference the formula $(\mathrm{P})$ which is added to the hypothesis list in the premise. The non-occurrence of h as a free variable in the derivation term $m$ is required to ensure that derivation terms do not contain variables outside the hypothesis list of the sequent. The same side-condition is required for similar reasons in [MP93, p.297, rule $L D A$ ] (see also $\S 8.2 .2$ ).

```
(P: (h:Hyps) (m:M) (f:F) (M_Deriv h m f) ->Prop)
(PO: (h:Hyps) ( \(f: F\) ) ( \(\mathrm{m}:\) Ms) ( \(f 0: F\) ) (Ms_Deriv \(h f m f 0\) )->Prop)
    ( (h,i:Hyps) (P1:F) (ms:Ms) (R:F)
        (i0: (In_Hyps i P1 h))
            (m: (Ms_Deriv h P1 ms R))
            ( \(\mathrm{P} 0 \mathrm{~h} \mathrm{P1} \mathrm{~ms} \mathrm{R} \mathrm{m}\) ) \(\rightarrow\)
            ( Ph (sc (vfree i) ms) R (Choose h i P1 ms R i0 m)) )->
    ( \((\mathrm{h}: \mathrm{Hyps})(\mathrm{P} 1: \mathrm{F})(\mathrm{m}: M)(\mathrm{Q}: F)\)
            ( n : ~ (Occurs_Free_In_M h m) )
            (m0: (M_Deriv (Add_Hyp P1 h) (bnd_to_free_M h m) Q))
            ( P (Add_Hyp P 1 h ) (bnd_to_free_M h m ) Q m 0 ) ->
                    ( Ph (lambda m) ( Impl P 1 Q ) (Abstract h P1m Q n m0))) \(\rightarrow\)
        ((h:Hyps) (P1:F) (P0 h P1 mnil P1 (Meet h P1))) ->.
            ( \((\mathrm{h}: \mathrm{Hyps})\) ( \(\mathrm{m}: \mathrm{M}\) ) ( \(\mathrm{P} 1, \mathrm{Q}: \mathrm{F}\) ) (ms:Ms) (R:F) (m0: (M_Deriv h m P1))
                ( P h m P1m0) \(->\)
                    (m1: (Ms_Deriv h Q ms R))
                    ( P 0 h Q ms R m1) \(->\)
                    (P0 h (Impl P1 Q) (mconsmms) R
                            (Implies_S h m P1 Q ms R m0 m1))) \(\rightarrow\)
            \(\left((h: H y p s)(m: M)(f: F)\left(m 0:\left(M \_D e r i v h m f\right)\right)(P h m f m 0)\right) / \backslash\)
            ( \((\mathrm{h}: \mathrm{Hyps})(\mathrm{f}: \mathrm{F})(\mathrm{ms}: \mathrm{Ms})(\mathrm{f} 0: \mathrm{F})\)
```



Figure 6.2: Induction scheme for derivations in MJ

### 6.3.1 Summary

The formal derivation term
(lambda (sc (vbnd 0) (mcons (sc (vfree MT) mnil) mnil))) in the context of a hypothesis list
(Add_Hyp (f 0) (Add_Hyp (f 1) MT))
represents the informal term of MJ

$$
\lambda x .(x ;((y ;[])::[]))
$$

in the context of a hypothesis list

$$
\left[z: f_{0}, y: f_{1}\right]
$$

### 6.4 Conclusions

This hybrid approach of combining named free variables and nameless bound variables appeared at first to be a way of avoiding problems with some of the structural rules. On deeper examination, it became apparent that there were no real problems. This hybrid approach requires functions for both lifting/dropping and for the substitution of free variables for bound variables as for the McKinna and Pollack approach (see figure 8.1 on page 66 in $\S 8$ ). Since we must prove theorems about the interaction between each new function and each of these support functions, we are creating more work than necessary by using this approach. We describe a full formalisation, using only de Bruijn indices, of the example theory from $\S 2$ in the next chapter $\S 7$ and then some initial work using named variables in $\S 8$. This hybrid approach may have some uses, however, which we will examine in $\S 10$.

## Chapter 7

## A Formalisation in Coq Using de Bruijn Indices

This chapter presents a formalisation using de Bruijn indices for both the bound and free variables. Similar formalisations of typed $\lambda$-calculi appear in [Bar96, NN96].

### 7.1 Initial Definitions

This section deals with the definitions of the parts of a sequent: the formulae, the context (represented as a list of formulae) and the derivation/deduction terms, followed by the definitions of the propositional functions representing MJ derivations.

The set of formulae, $\mathbf{F}$, is defined as before:

```
Inductive
    F:Set :=
        form: nat->F |
        Impl : F->F->F.
```

The set of contexts Hyps is defined using syntactic constructions to be an abbreviation for a list of $\mathbf{F}$ (ormulae), using the polymorphic list library provided with Coq. The length of a list, function length of type (A:Set) (list A) $\rightarrow$ nat, and some of its properties are made available with this library without the need to re-prove them for a new implementation. The syntax for Hyps is equivalent to the inductive definition:

## Inductive

Hyps:Set :=
MT : Hyps |
Add_Hyp : F->Hyps $->$ Hyps.
Len_Hyps is defined as (length Hyps).
The set $\mathbf{V}$ of nameless variables is defined as an abbreviation for the natural numbers. Note that the lack of differentiation between free and bound variables makes this much simpler than before.

Thus, the derivation terms of the three systems are defined in the following way:

```
Mutual Inductive
        N:Set :=
            lam : \(\mathrm{N}->\mathrm{N} \mid\)
            an : \(\mathrm{A} \rightarrow \mathrm{N}\)
with
    A:Set :=
            ap : \(\mathrm{A}->\mathrm{N}->\mathrm{A} \mid\)
            var : V->A.
                                    Mutual Inductive
                                    M:Set :=
                                sc : V \(\rightarrow\) Ms \(\rightarrow\) M |
                                lambda : \(\mathrm{M}->\mathrm{M}\)
with
    Ms:Set :=
                            mnil : Ms |
                            mcons : \(\mathrm{M} \rightarrow \mathrm{Ms} \rightarrow \mathrm{Ms}\).
```

```
                    Inductive
                    L:Set :=
                    vr : V->L I
                app : V \(->L->L->L\) |
                lm : L->L.
```

Note that these definitions (and therefore also any induction schemes derived) are identical to those in the previous chapter. The structure of these terms does not change despite the difference in the definition of the set $\mathbf{V}$. The differences will manifest themselves in the definitions of functions involving variables, for instance substitution, and in the definitions of the propositional functions representing derivations in the calculus.

### 7.2 Decidability of Relations

In order to perform meta-theoretic reasoning about derivations encoded using de Bruijn indices, we require the decidability of certain propositional functions over the natural numbers. In order to prove these, we approach the problem in an indirect way. We will look at the "less than" function over natural numbers as an example. First, we define "less than" (1t) as in §5.1.4:

## Inductive

It : nat->nat->Prop :=

```
1t_0 : (i:nat)(1t 0 (S i)) |
lt_S : (i,j:nat)(lt i j)->(lt (S i) (S j)).
```

then we define a boolean function 1 tb which we will prove is equivalent:
Recursive Definition
ltb : nat->nat->bool :=
$00 \Rightarrow$ false
0 ( $\mathrm{S} j$ ) $\Rightarrow$ true |
(S i) $0 \Rightarrow$ false |
$(S$ i) $(S j)=>(l t b i j)$.
Then we prove the four theorems (i.e. each direction of the bi-implications):

$$
\begin{gathered}
\forall i, j: \text { nat. (1t } i j) \Leftrightarrow(\text { ltb } i j)=\text { true } \\
\forall i, j: \text { nat. } \sim(1 t i j) \Leftrightarrow(\text { ltb } i j)=\text { false. }
\end{gathered}
$$

The decidability of 1 t ,

$$
\forall i, j: \text { nat.(1t } i j) \vee \sim(1 t i j)
$$

follows immediately from these theorems.
As mentioned above, this is an indirect approach to proving a theorem which is amenable to a more direct proof by induction. There is method in this apparent madness, though. Each of the four theorems above is useful individually. So, using them to prove the decidability of $1 t$ is simply a bonus.

To show why we require both the propositional and boolean functions for $1 t$, we must first look at a polymorphic if function.

### 7.2.1 Setifb

We wish to be able to define functions over the sets of derivation/deduction terms and over contexts. These functions should be easy to reason with and about. To this end, we define a general notion of If, not contained in the basic library of Coq. In the standard libraries, IF is defined with type Prop $\rightarrow$ Prop $->$ Prop $->$ Prop. There is also ifb of type bool->bool->bool->bool where bool is the standard set \{true,false\}. What we require is a complete function using a boolean value as a test and with general inputs and output. Thus, we define Setifb:

Hypothesis B:Set.
Recursive Definition

```
Setifb : bool->B->B->B :=
    true x y => x
    false x y #> y.
```

When we discharge the Hypothesis B, Setifb is defined as the polymorphic if over general sets, with type ( $B: S e t$ ) bool->B->B->B.

### 7.2.2 Lifting

Lifting is a necessary operation for using de Bruijn indices correctly. An implementation for standard untyped $\lambda$-calculus terms can be seen in [Hue94]. Here we will use the standard substitution function in $\mathbf{N}$ and $\mathbf{A}$ to illustrate Lift. $\mathbf{N}$ and Lift.A. Informally, we can mutually define substitution of an $\mathbf{A}$ for a variable in an $\mathbf{N}$ or an $\mathbf{A}:^{1}$

$$
\begin{array}{rlrl}
{\left[a_{0} / x\right] \lambda y \cdot n} & =\lambda y \cdot\left[a_{0} / x\right] n & x \neq y \\
{\left[a_{0} / x\right] \operatorname{an}(a)} & =\operatorname{an}\left(\left[a_{0} / x\right] a\right) & \\
{\left[a_{0} / x\right] \operatorname{ap}(a, n)} & =\operatorname{ap}\left(\left[a_{0} / x\right] a,[a / x] n\right) & \\
{\left[a_{0} / x\right] \operatorname{var}(y)} & =\operatorname{var}(y) & x \neq y \\
{\left[a_{0} / x\right] \operatorname{var}(x)} & =a_{0} &
\end{array}
$$

Let us take as an example the following term including a substitution in both named and nameless variable formats:

$$
\begin{aligned}
& \lambda x \cdot \lambda y \cdot[\operatorname{var}(x) / y] \lambda z \cdot \operatorname{an}(\lambda u \cdot \operatorname{an}(\operatorname{ap}(\operatorname{ap}(\operatorname{var}(u), \operatorname{an}(\operatorname{var}(y))), \operatorname{an}(\operatorname{var}(z))))) \\
& \lambda \cdot \lambda \cdot[\operatorname{var}(1) / 0] \lambda \cdot \operatorname{an}(\lambda \cdot \operatorname{an}(\operatorname{ap}(\operatorname{ap}(\operatorname{var}(0), \operatorname{an}(\operatorname{var}(2))), \operatorname{an}(\operatorname{var}(1)))))
\end{aligned}
$$

Unfolding the application of substitution once, we get:

$$
\begin{aligned}
& \lambda x \cdot \lambda y \cdot \lambda z \cdot[\operatorname{var}(x) / y] \operatorname{an}(\lambda u \cdot \operatorname{an}(\operatorname{ap}(\operatorname{ap}(v a r(u), \operatorname{an}(\operatorname{var}(y))), \operatorname{an}(\operatorname{var}(z))))) \\
& \lambda \cdot \lambda \cdot \lambda \cdot[\operatorname{var}(2) / 1] \operatorname{an}(\lambda \cdot \operatorname{an}(\operatorname{ap}(\operatorname{ap}(\operatorname{var}(0), \operatorname{an}(\operatorname{var}(2))), \operatorname{an}(\operatorname{var}(1)))))
\end{aligned}
$$

As can be seen, no changes of name were required to move the substitution 'through' the lambda abstraction, ${ }^{2}$ but for the de Bruijn indices, each variable in $[\operatorname{var}(x) / y]$ has been increased by one to take account of the extra levels of abstraction between the variable occurrence and its 'parent' abstraction. Continuing the process through to the end we have

[^14]the following sequence of terms:
\[

$$
\begin{array}{r}
\lambda x \cdot \lambda y \cdot \lambda z \cdot \operatorname{an}([\operatorname{var}(x) / y] \lambda u \cdot \operatorname{an}(\operatorname{ap}(\operatorname{ap}(\operatorname{var}(u), \operatorname{an}(\operatorname{var}(y))), \operatorname{an}(\operatorname{var}(z))))) \\
\lambda \cdot \lambda \cdot \lambda \cdot \operatorname{an}([\operatorname{var}(2) / 1] \lambda \cdot \operatorname{an}(\operatorname{ap}(\operatorname{ap}(\operatorname{var}(0), \operatorname{an}(\operatorname{var}(2))), \operatorname{an}(\operatorname{var}(1))))) \\
\lambda x \cdot \lambda y \cdot \lambda z \cdot \operatorname{an}(\lambda u \cdot[\operatorname{var}(x) / y] \operatorname{an}(\operatorname{ap}(\operatorname{ap}(\operatorname{var}(u), \operatorname{an}(\operatorname{var}(y))), \operatorname{an}(\operatorname{var}(z))))) \\
\lambda \cdot \lambda \cdot \lambda \cdot \operatorname{an}(\lambda \cdot[\operatorname{var}(3) / 2] \operatorname{an}(\operatorname{ap}(\operatorname{ap}(\operatorname{var}(0), \operatorname{an}(\operatorname{var}(2))), \operatorname{an}(\operatorname{var}(1)))))
\end{array}
$$
\]

$\lambda x \cdot \lambda y \cdot \lambda z \cdot a n(\lambda u \cdot \operatorname{an}(\operatorname{ap}(\operatorname{ap}(\operatorname{var}(u), \operatorname{an}(\operatorname{var}(x))), \operatorname{an}(\operatorname{var}(z)))))$

$$
\lambda \cdot \lambda \cdot \lambda \cdot \operatorname{an}(\lambda \cdot \operatorname{an}(\operatorname{ap}(\operatorname{ap}(\operatorname{var}(0), \operatorname{an}(\operatorname{var}(3))), \operatorname{an}(\operatorname{var}(1)))))
$$

The important point to notice here is that the de Bruijn reference variables in the substitution term $[\operatorname{var}(x) / y]$ increase by one every time we unfold the application of substitution through an abstraction operator. In the above example, the only instances of variables within the term being substituted in $(\operatorname{var}(0))$ are free (within the scope of the term itself). If this term contains variables bound within the term, for instance $\operatorname{ap}(\operatorname{var}(x), \lambda w \cdot \operatorname{an}(\operatorname{var}(w)))$ $(=\operatorname{ap}(\operatorname{var}(0), \lambda \cdot \operatorname{an}(\operatorname{var}(0))))$, then we require more care. Each time we unfold past an abstraction operator we need to increment the free variables within the term but leave the bound variables unchanged. This operation is called lifting and is defined thus:

```
    \(\uparrow_{i} \lambda . n={ }_{\text {def }} \quad \lambda . \uparrow_{(i+1)} n\)
    \(\uparrow_{i} a n(a)=\operatorname{def} a n\left(\uparrow_{i} a\right)\)
\(\uparrow_{i} a p(a, n)=\operatorname{def} a p\left(\uparrow_{i} a, \uparrow_{i} n\right)\)
\(\uparrow_{i} \operatorname{var}(x)=\) def if \(x<i \operatorname{var}(x)\) else \(\operatorname{var}(x+1)\)
```


### 7.2.3 The Usefulness of Boolean Functions

We shall now show the necessity for Setifb, and for the boolean versions of functions such as ltb and nateqb (boolean equality for nat). While it is possible to define functions performing branching on propositional functions (such as the definition of liftrrec in [Hue94]) the use of boolean functions (proved equivalent to the propositional versions) provides greater clarity, in particular when we wish to consider the various cases involved in comparing two generically appearing numbers. Below, we show the formal definition of lift for variables and for derivation terms of $\mathbf{L J}$ :

## Recursive Definition

lift_V : nat->V->V :=
i $j \Rightarrow$ (Setifb V (ltb $j$ i) $j(S j))$.

Recursive Definition

```
lift_L : nat->L->L :=
    \(i(v r x) \Rightarrow\left(v r\left(l i f t \_v i x\right)\right) \mid\)
    i (app x 11 12) \(\Rightarrow\)
    (app (lift_V i x) (lift_L i li) (lift_L (S i) l2)) |
    \(i(1 m 1) \Rightarrow\left(1 m\left(1 i f t \_L(S i) 1\right)\right)\).
```

The separation of lift_V from the individual lifting operations for $\mathbf{L}, \mathbf{A}, \mathrm{N}, \mathrm{M}$ and Ms allows us to prove general theorems about the behaviour of lift with regards to other functions operating on variables (such as drop and exchange below) and use these to show similar theorems about the lifting operations for dexivation/deduction terms generally, without repeating the parts of those proofs dealing with variable occurrences.

We also require the inverse function of lift, called drop, which lowers the value of the de Bruijn indices in a term. This is needed when an abstraction is deleted from a term. (In particular, we will see that lifting and dropping are precisely the functions needed for certain sequent structural operations such as weakening.) Dropping ( $\psi_{i}$ ) is defined in a very similar way to lifting, and the following theorems about lifting and dropping hold for all the sets of derivation/deduction terms:

$$
\begin{gathered}
\forall i: \text { nat, } t: \mathbf{T} . \downarrow_{i} \uparrow_{i} t=t \\
\forall i: \text { nat, } t: \mathbf{T} . i \notin t \supset \uparrow_{i} \downarrow_{i} t=t
\end{gathered}
$$

where $\mathbf{T}$ is one of $\{\mathbf{M}, \mathbf{M s}, \mathbf{N}, \mathbf{A}, \mathbf{L}, \mathbf{V}\}$. These theorems have only been proved in the formalisation where necessary: for $\mathrm{V}, \mathrm{M}$ and Ms : see pages 154 and 155 in §B.

### 7.2.4 The Usefulness of Propositional Functions

So, we have explained why we need the boolean version of equality and other 1 lt , but why do we also need the propositional versions? The usefulness of the propositional version of these functions lies in the Inversion tactic described in §5.1.4. Were we to restrict ourselves to the boolean functions, we would have to prove inversion theorems for each function. Defining propositional and boolean functions and showing their equivalence allows us to use the standard inversion tactics for hypotheses and to use those hypotheses to rewrite subterms of the goal involving the boolean version in Setifb constructs. Finally, in the case of nat equality, we wish to be able to use equality hypotheses as rewriting rules thus:

```
x,y:nat
H: x=y
    (P x y)
```

where P is some propositional term, can be simplified by using H as a rewriting rule to

```
x:nat
```

( $\mathrm{P} \times \mathrm{x}$ )
If we had the hypothesis H : (nateqb $\mathrm{x} y$ ) we could not do this without proving the equivalence of nateqb and $=_{n a t}$.

### 7.3 Translation Functions

Having defined the derivation/deduction terms and variable adjustment functions, we can now proceed to the functions translating derivation/deduction terms between the three systems, as shown in table 2.2. The definitions of the functions translating terms between NJ and MJ are fairly straightforward, since they are simple primitive recursive definitions, which do not change the level of abstraction of a variable occurrence with respect to its binding.

Of more interest are the translations involving LJJ. In particular, the definition of $\bar{\rho}$ requires considerable changes in order to be accepted by $C o q$ 's function definition mechanism. If we transform the definition seen in table 2.2 to use de Bruijn indices, we get the following:

$$
\begin{array}{rll}
\bar{\rho}(x ;[]) & =_{\text {def }} & \operatorname{vr}(x) \\
\bar{\rho}(x ; m:: m s) & =_{\text {def }} & \operatorname{app}\left(x, \bar{\rho}(m), \bar{\rho}\left(0 ; \uparrow_{0} m s\right)\right) \\
\bar{\rho}(\lambda . m) & =_{\text {def }} & \lambda . \bar{\rho}(m)
\end{array}
$$

The second recursive call in the right hand side of the second definitional equation is not primitive recursive: $\left(0 ; \uparrow_{0} m s\right)$ is not a sub-expression of $(x ; m:: m s)$. We may avoid part of the problem by using a mutual definition such as:

$$
\begin{array}{rll}
\bar{\rho}(x,[]) & =_{\text {def }} & \operatorname{vr}(x) \\
\bar{\rho}(x ; m:: m s) & =_{\text {def }} & \operatorname{app}\left(x, \bar{\rho}(m), \bar{\rho}^{\prime}\left(0, \uparrow_{0} m s\right)\right) \\
\bar{\rho}(\lambda x . m) & =_{\text {def }} & \lambda x . \bar{\rho}(m) \\
\bar{\rho}^{\prime}(x,[]) & =_{\text {def }} & \operatorname{vr}(x) \\
\bar{\rho}^{\prime}(x, m:: m s) & =_{\text {def }} & \operatorname{app}\left(x, \bar{\rho}(m), \bar{\rho}^{\prime}\left(0, \uparrow_{0} m s\right)\right)
\end{array}
$$

which is primitive recursive in all but one respect: that of the lifting operation required on $m s$ in the fourth equation, necessary to retain variable reference consistency. We therefore
add an extra argument to the definition of $\bar{\rho}^{\prime}$, which tracks the number of lifting operations we have yet to do. We may also remove the first argument (a $\mathbf{V}$ ), since only 0 is ever passed as that argument. The delayed lifts are performed where necessary by $\dagger_{m}^{n}$ which is equivalent to $\uparrow_{m}$ repeated $n$ times:

$$
\begin{array}{rll}
\bar{\rho}(x ;[]) & =_{\text {def }} & \operatorname{vr}(x) \\
\bar{\rho}(x ; m:: m s) & =_{\text {def }} & \operatorname{app}\left(x, \bar{\rho}(m), \bar{\rho}^{\prime}(m s, 1)\right) \\
\bar{\rho}(\lambda x . m) & =_{\text {def }} & \lambda x \cdot \bar{\rho}(m) \\
\bar{\rho}^{\prime}([], n) & =_{\text {def }} & \operatorname{vr}(0) \\
\bar{\rho}^{\prime}(m:: m s, n) & =_{\text {def }} & \operatorname{app}\left(0, \uparrow_{0}^{n} \bar{\rho}(m), \bar{\rho}^{\prime}(m s, n+1)\right)
\end{array}
$$

We now reach the following formal Coq definitions: ${ }^{3}$

```
Fixpoint
    rhobar [m:M] : L :=
        Cases m of
            (sc x mnil) => (vr x) |
            (sc (mcons m' ms)) => (app x (rhobar m') (rhobar'ms (S 0))) |
            (lambda m') => (lm (rhobar m'))
        end
with
    rhobar' [ms:Ms] : nat->L :=
        [n:nat]Cases ms of
            mnil => (vr 0) |
            (mcons m ms') =>
                    (app O (lifts_L n O (rhobar m)) (rhobar'ms' (S n)))
```

        end.
    where lifts L is the formal version of $\uparrow_{m}^{n}$. This is the form of the definition in the formalisation. It is easier Since these definitions are primitive recursive, they are accepted by Coq without problem. We must now show that this formal rhobar is equivalent to the original version above. This requires us to prove the three lemmas:

```
RhoBar1 : (x:V)(rhobar (sc x mnil))=(vr x)
```

RhoBar2 :
(ms:Ms) (x:V)(m:M)
$($ rhobar $(s c \times(m c o n s m m s)))=$
(app x (rhobar m) (rhobar (sc 0 (lift_Ms 0 ms$)$ )) )
RhoBar3 : ( $\mathrm{m}: \mathrm{M}$ ) (rhobar (lambda m)) $=(\mathrm{lm}($ rhobar $m)$ )

[^15]which are the formal Coq versions of the first set of definitional equations using de Bruijn indices shown above. As we shall see in $\S 7.6$, proof of RhoBar2 requires stronger induction methods than the standard ones.

Many lemmas have been proved regarding the interactions between the translation functions and the appropriate versions of lift and drop: mostly commutation lemmas. In some cases many variations of the basic lemma are required to take into account comparisons between variables. All the lemmas proved may be found in §B near pages 154 and 155 .

### 7.4 Derivations and Deductions

All the components of sequents have now been defined, as have a number of strategic reasoning aids. Propositional functions representing derivations/deductions may now be defined. Again, we will only show the definition for derivations within MJ.

```
Mutual Inductive
    M_Deriv : Hyps -> M -> F ->> Prop :=
            Choose : (h:Hyps)(i:V)(P:F)(ms:Ms)(R:F)
                (In_Hyps i P h)->
                (Ms_Deriv h P ms R)->
                    (M_Deriv h (sc i ms) R) |
        Abstract :
                    (h:Hyps)(P:F)(m:M)(Q:F)
                (M_Deriv (Add_Hyp P h) m Q)->
                    (M_Deriv h (lambda m) (Impl P Q))
with
    Ms_Deriv : Hyps -> F ->> Ms -> F ->> Prop :=
        Meet : (h:Hyps)(P:F)
            (Ms_Deriv h P mnil P) |
        Implies_S :
            (h:Hyps)(m:M)(P:F)(Q:F)(ms:Ms) (R:F)
                (M_Deriv h m P)->
                (Ms_Deriv h Q ms R)->
                    (Ms_Deriv h (Impl P Q) (mcons m ms) R).
```

The particular point that should be noted is the way in which the de Bruijn indexing works in the Abstract rule:

```
(h:Hyps)(P:F)(m:M)(Q:F)
(M_Deriv (Add_Hyp P h) m Q) ->
    (M_Deriv h (lambda m) (Impl P Q))
```

Variables in $m$ which reference the initial lambda binder in the conclusion of the rule reference the free variable P in the premise of the rule. This same system also works for the formal definitions of NJ and LJJ. We can take no credit for this, since it is a general property of the particular systems we are working with. Other sequent-style calculi do not necessarily have this property. For instance any linear calculus with context-splitting rules would not share this useful property. See $\S 10$ for some discussion on how we might cope with such problems. The fact that all three systems share this property makes our work much easier.

### 7.4.1 Structural Rules

It may be noted that our presentation of the systems does not include any structural rules. Some structural rules are necessary in the proofs of theorems in table 2.3, specifically those involving LJ. Again, any proof involving $\bar{\rho}$ requires a strong induction principle.

The three structural rules we require, at different points, are Weakening, Strengthening and Exchange, as shown below for a generic sequent-style calculus. Exchange is not necessary for the proofs of theorems in table 2.3, but is essential for some of the proofs about permutation of derivations of $\mathbf{L J} \mathbf{J}$, shown in table 2.6.

$$
\begin{aligned}
x \text { not free in } t & \frac{\Gamma \vdash t: R}{\Gamma, x: P \vdash t: R} \text { Weakening } \\
\begin{aligned}
x \text { not free in } t \\
x: P \in \Gamma
\end{aligned} & \frac{\Gamma \vdash t: R}{\Gamma \backslash x: P \vdash t: R} \text { Strengthening } \\
& \frac{\Gamma @(x: P:: y: Q:: \Delta) \vdash t: R}{\Gamma @(y: Q:: x: P:: \Delta) \vdash t: R} \text { Exchange }
\end{aligned}
$$

This is, of course, a representation using named variables. Considering these rules for use with a formal implementation using de Bruijn indices, we see that we need to alter the derivation/deduction term to take account of the change in the context. Careful consideration of Weakening and Strengthening reveals that lifting and dropping exhibit precisely the functionality that is needed, since all that is happening is that a non-occurring variable is being added to or deleted from the context. Therefore, all we need to do is increase or decrease all the variables in the term which refer to a point beyond the change. The required function for exchange is simply to replace all references to a particular abstraction level with its successor and vice-versa.

### 7.5 Permutation

Table 2.4 on page 14 shows the permutations in the usual informal syntax. Formalising these rules was more complex than might be thought. The exact variable namings and renamings that form an integral part of the reductions are subtle, and it is only when looked at in the typed case that one can fully decipher the meanings of the reductions and formalise them to capture the correct translations. Figure 7.1 shows the formalised versions of the interesting permutations (i.e. the actual permutations, rather than the sub-term permutation rules).

The formalisation of 1_perm1_app_app2 highlights the complexity of the process. Figure 7.2 shows the informal version of the typed reduction rule. Only the leaves and root of the relevant derivation tree fragments are shown since they contain all the information necessary for the analysis.

Each of the leaves of a tree corresponds to a particular occurrence of a named term (a variable or a term of L: $x, y, y^{\prime}, l_{1}, l_{2}, l_{3}$ ) in the root of that tree. So, for each of the three different occurrences of the terms $l_{1}$ and $x$ in the root of the second tree there is a leaf with $l_{1}$ or $x$ as the principal term. A comparison of the contexts of these leaves with the original leaf in the first tree shows the differences in the de Bruijn indices for the terms. Thus the first occurrences of $x$ and $l_{1}$ are unchanged in the formalisation, the second occurrences are both lifted once, and the third occurrences are lifted twice.

The most complex variations in the contexts occur for $l_{3}$. Originally the bindings for variables are $\Gamma, y, z . l_{3}$. In the permuted derivation the bindings are $\Gamma, y^{\prime}, z, y . l_{3}$. Since $y^{\prime}$ does not appear in $l_{3}$, but must be accounted for in the referencing to other variables in $\Gamma, l_{3}$ must be lifted by $2((\mathrm{~S}(\mathrm{~S} 0))$ ). Also, the occurrences of $y$ and $z$ are switched, so the de Bruijn references must be Exchanged - exchange is defined only for switching references to a binding depth and its successor. This may be done without loss of generality, since any general exchange can be expressed in terms of multiple applications of this pairwise exchange. Similar analyses give us the lifting, dropping and exchanging requirements for each permutation as shown in figure 7.1. The admissibility of various structural rules has been proved in the formalisation for all three systems. While Strengthening, Weakening and Exchange are all obviously admissible for all three systems, this has only been proved where it has been required for other results.

```
Inductive
    L_Perm1 : L->L->Prop :=
            1_perm1_app_wkn :
                    (x:V) (11,12:L)
                    ~(Occurs_In_L 0 12)->
                    (L_Perm1 (app x l1 12) (drop_L O 12)) |
            1_perm1_app_app1 :
            (x,z:V)(11,12,13:L)
                    ((Occurs_In_L 0 12)\/(Occurs_In_L (S 0) 13))->
                    (Norm'_L 13)->
                    (L_Perm1 (app x l1 (app (S z) 12 13))
                                    (app z
                                    (app x l1 12)
                                    (app (lift_V 0 x)
                                    (lift_L 0 11)
                                    (L_Exchange 0 13)))) |
        l_perm1_app_app2 :
            (x:V)(11,12,13:L)
                ((Occurs_In_L 0 12)\/(Occurs_In_L (S D) 13))->
                (Norm'_L 13)->
                        (L_Perm1 (app x 11 (app 0 12 13))
                        (app x
                        1 1
                                    (app 0
                                    (app (lift_V 0 x)
                                    (lift_L 0 l1)
                                    (lift_L (S 0) 12))
                                    (app (lifts_V (S (S 0)) 0 x)
                                    (lifts_L (S (S 0)) 0 l1)
                                    (L_Exchange 0
                                    (lift_L (S (S 0)) 13)))))) |
            1_perm1_app_1m : (x:V) (11,12:L)
                (L_Perm1 (app x l1 (lm 12))
                                    (lm (app (lift_V 0 x)
                                    (lift_L 0 11)
                                    (L_Exchange 0 12)))).
```

Figure 7.1: Formalised Permutations (see page 218 in $\S \mathrm{B}$ )

$$
\begin{gathered}
\left(z: P_{2}\right)::\left(y:\left(P_{1} \supset P_{2}\right)\right):: \Gamma \rightarrow l_{3}: R \\
\left(y:\left(P_{1} \supset P_{2}\right)\right):: \Gamma \rightarrow l_{2}: P_{1} \\
\Gamma \rightarrow l_{1}: P_{0} \\
\left(x:\left(P_{0} \supset\left(P_{1} \supset P_{2}\right)\right)\right) \in \Gamma \\
\vdots \\
\Gamma \rightarrow a p p\left(x, l_{1}, y: a p p\left(y, l_{2}, z, l_{3}\right)\right): R \\
\succ \\
\left(y: P_{1} \supset P_{2}\right)::\left(z: P_{2}\right)::\left(y^{\prime}:\left(P_{1} \supset P_{2}\right)\right):: \Gamma \rightarrow l_{3}: R \\
\left(z: P_{2}\right)::\left(y^{\prime}:\left(P_{1} \supset P_{2}\right)\right):: \Gamma \rightarrow l_{1}: P_{0} \\
\left(x:\left(P_{0} \supset\left(P_{1} \supset P_{2}\right)\right)\right) \in\left(z: P_{2}\right)::\left(y^{\prime}:\left(P_{1} \supset P_{2}\right)\right):: \Gamma \\
\left(y:\left(P_{1} \supset P_{2}\right)\right):: \Gamma \rightarrow l_{2}: P_{1} \\
\left(y^{\prime}:\left(P_{1} \supset P_{2}\right)\right):: \Gamma \rightarrow l_{1}: P_{0} \\
\left(x:\left(P_{0} \supset\left(P_{1} \supset P_{2}\right)\right) \in \in\left(y^{\prime}:\left(P_{1} \supset P_{2}\right)\right):: \Gamma\right. \\
\left(y^{\prime}:\left(P_{1} \supset P_{2}\right)\right) \in\left(y^{\prime}:\left(P_{1} \supset P_{2}\right)\right):: \Gamma \\
\Gamma \rightarrow l_{1}: P_{0} \\
\left(x:\left(P_{0} \supset\left(P_{1} \supset P_{2}\right)\right)\right) \in \Gamma \\
\vdots \\
\Gamma \rightarrow a p p\left(x, l_{1}, y^{\prime} \cdot a p p\left(y^{\prime}, a p p\left(x, l_{1}, y \cdot l_{2}\right), z \cdot a p p\left(x, l_{1}, y \cdot l_{3}\right)\right)\right): R
\end{gathered}
$$

Side-conditions: $y^{\prime}$ new and ( $y \in l_{2}$ or $y \in l_{3}$ )

Figure 7.2: Proof Tree Fragment for Permutation App_App2

One final point to note about the formal permutations is highlighted in the side-conditions and the left hand side of 1_perm1_app_app1:

```
1_perm1_app_app1 :
    (x,z:V)(11,12,13:L)
    ((Occurs_In_L 0 12)\/(Occurs_In_L (S 0) 13))->
            (Norm'_L 13)->
                (L_Perm1 (app x 11 (app (S z) 12 13)) ...)
```

which formalises: ${ }^{4}$

|  | app $\left(x, l_{1}, y . a p p\left(z, l_{2}, w . l_{3}\right)\right)$ |
| :---: | ---: |
| (app_app1) | $y \neq z$ |
| $\succ$ | $\left(y \in l_{2} \vee y \in l_{3}\right)$ |

The interesting point is that the inequality side-condition $(y \neq z)$ does not appear explicitly in the formalisation. The use of ( $\mathrm{S} z$ ) (instead of just $z$ ) forces this variable to differ from the bound variable 0 which is the translation of the binder " $y$." in the informal version. We could use $\boldsymbol{z}$, and include an explicit side-condition, but the version above allows slightly cleaner and shorter proofs, and is an obvious use of de Bruijn indexing.

[^16]
### 7.6 Proof Techniques

In this section we discuss some of the facets of using the formalisation described above to actually perform proofs in Coq. Some of this focuses on general issues, some on specific problems with de Bruijn indices, and some on aspects of the Coq environment.

### 7.6.1 Induction Principles

Induction in Coq, as with most proof assistants based on type theory, is derived from the standard elimination principle for an inductive definition. So, for instance, from the definition of nat given in $\S 5.1 .3, \operatorname{Coq}$ derives the induction principle:

$$
\begin{aligned}
& (P: \text { nat }->P r o p) \\
& (P \quad 0)-> \\
& \quad((n: \text { nat })(P \text { n })->(P(S n)))-> \\
& \quad(n: \text { nat })(P \text { n }) .
\end{aligned}
$$

### 7.6.1.1 Inductions on Simple Inductive Sets

Suppose we wish to prove the conjecture about natural numbers from §5.1.5:

```
(i:nat)(lt i (S i))
```

This requires induction over the natural numbers. If we wish to use the standard induction principle for natural numbers given above, there are various ways to invoke this, all being operationally equivalent, but each being more or less appropriate under different local proof conditions. The $C o q$ Induction tactic will attempt to apply the induction scheme given above by using second-order pattern-matching to find a binding for P (here it binds to [i:nat] (lt i (S i)). Sometimes the algorithm cannot find the appropriate set of bindings, at which point we may supply them using the command Apply ... with .... Alternatively, we may define a predicate with the appropriate type (i.e. nat->Prop) which has the appropriate functional definition, at which point the algorithm should be able to correctly identify the bindings. When performing proofs involving mutually inductively defined sets (e.g. $\mathbf{M}$ and $\mathbf{M s}$ ) we have used this method of defining a predicate.

If we wish to use a non-standard induction principle (such as strong mathematical induction as shown in $\S 7.6 .2$ ), we may not use the Induction tactic, which automatically uses the standard principle, but we may apply the principle to the conjecture (either directly or via a defined predicate to supply the bindings).

### 7.6.1.2 Induction for More Complex Sets

When we have families of propositions such as L_Deriv:

```
Inductive
    L_Deriv : Hyps -> L ->> F ->> Prop :=
        L_Axiom :
            (h:Hyps)(i:V)(P:F)
            (In_Hyps i P h)->
                (L_Deriv h (vr i) P) |
        Implies_L :
            (h:Hyps)(i:V)(P:F)(Q:F)(11:L)(12:L) (R:F)
                (In_Hyps i (Impl P Q) h)->
                (L_Deriv h 11 P)->
                (L_Deriv (Add_Hyp Q h) 12 R)->
                (L_Deriv h (app i 11 12) R) |
        Implies_R :
            (h:Hyps)(P:F)(l:L)(Q:F)
            (L_Deriv (Add_Hyp P h) 1 Q)->
                (L_Deriv h (Im l) (Impl P Q)).
```

there are two ways in which we may approach induction proofs involving such families.

### 7.6.1.3 Direct Induction over Families

Firstly, we may use induction directly on the family, for which we must supply bindings, since the algorithm cannot solve the second-order matching problem in these cases. So, we might define a predicate with type:

```
(h:Hyps)(1:L)(f:F)(L_Deriv h I f)}->\mathrm{ Prop
```

and apply our induction principle derived from the above family. This method is used in the formalisation when proving theorem L_Admis_Weaken (the admissibility of weakening in LJ). We define the function 1_admis_weaken (see page 194 in $\S B$ ):

```
Definition I_admis_weaken :
    (h:Hyps)(1:L)(P:F)(L_Deriv h 1 P)->Prop :=
        [h:Hyps][1:L][P:F][D:(L_Deriv h 1 P)]
            (j:nat)(Q:F)
        (lt j (S (Len_Hyps h)))->
            (L_Deriv (Weaken_Hyps j Q h) (lift_L j l) P).
```

and then proceed to prove:

Lemma L_admis_weaken :
(h:Hyps) (1:L) (P:F) (D: (L_Deriv h 1 P))
(1_admis_weaken h I P D).
by applying the induction principle derived from the definition of L_Deriv. The actual theorem L_Admis_Weaken follows simply from L_admis_weaken by unfolding the definition of I_admis_weaken.

### 7.6.1.4 Induction with Inversion

Some families are defined so that one of the arguments (here the argument of type L) is composed in a tight correspondence with the formation of the family. In this case, we might also perform induction on this term and then use inversion (see $\S 5.1 .4$ ) on the hypotheses involving the family to gain the correct induction hypotheses. When defining judgements for a deductive system with a term calculus, this should always be possible, since the derivation/deduction terms are designed to represent the derivations/deductions, and should therefore have an appropriate correspondence.

In general, we would use induction directly on the family. We shall see in the next section that when using strong induction methods, we will wish to use this second method of 'inducting on the derivation/deduction term then inverting the judgement hypotheses'.

### 7.6.2 Strong Induction Principles

As mentioned in $\S 7.3$, proofs of theorems involving $\bar{\rho}$ require a different induction principle from the automatically generated 'standard' principle inferred from the definition of $\mathbf{M}$ and Ms. This standard principle is, basically, an immediate sub-term induction. That is, we assume that all the immediate sub-terms of some term have a property and then prove that the term itself has this property. For mutually defined sets, we have a slight variation on this theme in that we have two properties (usually mutually defined via a recursion similar to the original mutual set recursive definition). Performing the obvious eliminations we obtain induction hypotheses assuming the property appropriate to the type of each subterm. A stronger induction principle may be needed, such as with natural numbers needing strong mathematical induction:

$$
\forall P:(\mathbf{N} \rightarrow P r o p) .(\forall j: \mathbf{N} .(\forall i: \mathbf{N} . i<j \supset P(i)) \supset P(j)) \supset \forall n: \mathbf{N} \cdot P(n) .
$$

$C o q$ includes a library to ease production and proof of this principle (the well-founded library). Unfortunately, at present this does not cover mutually defined sets. It is therefore
necessary to prove strong induction principles for mutually defined sets directly. ${ }^{5}$
The definition of $\bar{\rho}$ in [DP97a] requires some justification of its admissibility as a total function, since the recursion is non-standard. This justification takes the form of a measure function on $\mathbf{M}$ and $\mathbf{M s}$ which equates to the height of a derivation: i.e. the length of the longest branch of the derivation tree.

```
\(\operatorname{height}(x ; m s)={ }_{d e f} 1+h \operatorname{hight}(m s)\)
\(\operatorname{height}(\lambda x . m)={ }_{d e f} 1+\operatorname{height}(m)\)
    \(\operatorname{height}([])={ }_{d e f} 0\)
\(\operatorname{height}(m:: m s)={ }_{\text {def }} 1+\max (\operatorname{height}(m)\),height \((m s))\)
```

This definition is easily translated into the formal Coq syntax. We prove various theorems about the height of terms, such as the fact that lifting or dropping of a derivation/deduction term do not alter its height. We also prove the following induction principle, allowing us to perform induction on the height of a derivation in MJ:

```
(P:M->Prop)
    (PO:Ms->Prop)
    ((m:M)
        ((m1:M)(1t (Height_M m1) (Height_M m)) -> (P m1))
        \((ms1:Ms)(lt (Height_Ms msi) (Height_Mm)) -> (PO ms1)) ->
        (P m))->
    ((ms:Ms)
        ((ms1:Ms)(1t (Height_Ms ms1) (Height_Ms ms)) -> (PO ms1))
        \((m1:M)(It (Height_M m1) (Height_Ms ms))->(P m1)) ->
        (PO ms))->
        ((m:M)(P m))/\((ms:Ms) (PO ms))
```

where Height.M and Height.Ms are the formal functions calculating the height of a derivation term (and therefore a derivation) in MJ. This induction method is used by applying it first, and then performing non-inductive elimination (i.e. case-analysis) on the $m$ and ms.

So, we have an induction principle which we may use to prove theorems involving $\bar{\rho}$ about the derivation terms. If we wish to apply this strong induction principle to theorems about derivations involving $\bar{\rho}$, then we need to use the 'induction on derivation/deduction term then inversion of the judgement hypotheses' method described in §7.6.1.2 above.

[^17]
### 7.7 Summary and Conclusions

In this chapter we have reviewed a formalisation of the theory from $\S 2$ in $C o q u s i n g$ de Bruijn's nameless dummy variables. The formalisation completes the proof of weak normalisation for permutation reduction in the implicational fragment of propositional logic. Proofs of the same conjectures for full propositional logic are unlikely to require more complex methods, although such proofs would be long and tedious. Some automation of the procedures would therefore be useful. The Coq tactic Auto, when given appropriate Hints as to which lemmas to apply, produces some automation, particularly for simple linear arithmetic problems arising from de Bruijn index manipulation. However, there is a definite boundary, beyond which the Auto tactic is not designed to work, which is in the search for appropriate bindings in lemmas with variables which appear in the premises but which do not appear in the conclusion. Auto will not find such bindings, even if exact matches to the premises are found in the current context. Other than writing tactics designed to automate the few linear arithmetic problems not solved by Auto (such as those requiring complex transitive arguments), automation of the proof procedures needed for the work presented here would appear very difficult. The method of interactive proof exhibits a strong similarity to the automated methods of rippling $\left[\mathrm{BS}^{+} 93\right]$ and relational rippling [BL95]. $\$ 10$ examines this relationship in some more detail.

Initial work on the permutability theorems Norm_ImpermLL and Norm_Red was performed using a formalisation of the original version of the permutations shown in table 2.6. Following the proof of strong normalisation for the system of reductions by Schwichtenberg in [Sch], weak normalisation was proved using the conditional variants for which strong normalisation holds. Very little work was required to re-do these proofs with the extra conditions, indicating the robustness of Coq's proof scripting mechanisms.

While the approach was successful, there are obvious problems remaining with the de Bruijn indices approach. The lifting and dropping of variable referencing, and the lack of names in itself, divorces the formalisation of the theory from the usual informal approach. Given that one of the aims of such formalisation is to increase our confidence in those informal results, the gap between the formal and informal syntaxes of the object systems is unfortunate. In the next chapter we examine a methodology proposed by McKinna and Pollack (with some suggestions by Coquand), laid out in some detail in [MP97], and its application to the example problem in Coq .

## Chapter 8

## A Formalisation in Coq Using Named Variables

### 8.1 Background of the Coquand-McKinna-Pollack Approach

McKinna and Pollack have been involved in formalising a substantial theory regarding Pure Type Systems (PTS) for a number of years. They have published papers showing the results [MP93, vBJMR94, Pol94], and recently submitted [MP97], which contains a more abstract view of their approach. Their work represents a very large development of a single abstract system (one which includes the Calculus of Constructions [CH85], a fragment of CIC, as a specific example). Their work is done in LEGO [LP92, Pol94], a proof assistant which can be instantiated to use a number of type theories, including The Extended Calculus of Constructions [Luo94], which is very similar to CIC and it is this instantiation that McKinna and Pollack use.

The Coquand-McKinna-Pollack ( $C M P$ ) method represents a rejection of de Bruijn indices as counter-intuitive. When we are performing informal proofs about typed $\lambda$-calculi, we do not think of the $\lambda$ terms as de Bruijn terms, we think of them as terms with named variables which have $\alpha$-conversion built in. We recognise the equivalence of, for example, $\lambda x . x$ and $\lambda y . y$ with little effort. Definitions are all made involving named variables, and lifting and dropping are nowhere in our minds. Since the only approach allowing named variables known when their work started (see $\S 9.6$ on higher order abstract syntax) did not allow proofs by induction, McKinna and Pollack, with some suggestions by Coquand, developed
their method for using named variables in a way independent of the particular calculus.
At the core of their approach is the distinction between variables and parameters: bound and free variables. The idea of distinguishing between these two sets is contained in [Gen34, Pra65] amongst others. Using this distinction, the CMP approach is described by McKinna [McK96] as "first order abstract syntax for terms with (restricted) higher order abstract syntax for judgements". The novel part of their approach involves the use of two different, but provably equivalent, formal judgements for each informal judgement in which we are interested. The equivalence of the two judgements allows us to derive stronger induction principles for the formal judgement we wish to use in proofs.

### 8.2 NJ Formalised with Named Abstract Syntax

### 8.2.1 First Order Abstract Syntax for Terms

Consider the informal definition of NJ:
$\mathbf{N} \quad::=\lambda \mathbf{V} \cdot \mathbf{N} \mid a n(\mathbf{A})$
$\mathbf{A}::=a p(\mathbf{A}, \mathbf{N}) \mid \operatorname{var}(\mathbf{V})$
$\mathbf{N J}$
$\frac{\Gamma, x: P \triangleright \triangleright n: Q}{\Gamma \triangleright \downarrow x \cdot n:(P \supset Q)} \supset \mathrm{I}$
$\frac{\Gamma \triangleright a: P}{\Gamma \triangleright a n(a): P} \mathrm{AN}-\mathrm{Axiom}$
$\frac{\Gamma \triangleright a:(P \supset Q) \quad \Gamma \triangleright a: P}{\Gamma \triangleright a p(a, n): Q} \supset \mathrm{E}$
$\Gamma, x: P \triangleright \operatorname{var}(x): P$
$\mathrm{~A}-\mathrm{Axiom}$
and the role of the free and bound variables. As an argument to var we must be able to distinguish between variables which reference a $\lambda$ binder (bound variables) and those which reference a formula in the local context (free variables). The properties we wish our variables to have are:

- Decidable equality.
- Availability of new variables when compared to a finite set of existing variables.

For the purpose of formalising NJ, MJ and $\mathbf{L J}$, we require only a single set of names, Vars with the following assumed properties:

Var : Set
New_Var : (list Var)->Var
New_New_Var : (1: (list Var)) ${ }^{(I n} \operatorname{Var}($ New_Var 1) 1).
i.e. that Var is a CIC set, and that there is an operator (New_Var) which, when given a list of Vars will return a new Var which is not in the given list (New_New_Var). We assume that there is a boolean equality function for Var, which is equivalent to propositional equality, as shown for the natural numbers in $\S 7.2$. These assumptions allow us to show decidability of propositional equality for Var. We also include the definition of Setifb as shown in §7.2.1. We then define a set V which distinguishes between bound and free variables thus:

```
Inductive V : Set :=
    BV : Var>>V |
    FV : Var->V.
```

These two sets, Var and V , are used in the definition of formal deduction terms for NJ :

```
Mutual Inductive
    N:Set :=
        lam : Var->N->N |
        an : A->N
with
    A:Set :=
    ap : A->N->A |
    var : V->A.
```

This definition does not account for $\alpha$-convertible terms in the same way that de Bruijn indices do. For example we wish to identify the two terms
$(\operatorname{lam} x(\operatorname{an}(\operatorname{var}(B V x))))$
and
$(\operatorname{lam} y(\operatorname{an}(\operatorname{var}(B V y))))$
(i.e. $\lambda x . x$ and $\lambda y . y$ ) as equal. We must define an equality predicate which captures this notion. We shall show the formal definition of such a predicate in the next section 8.2.2, but first we require a support function which substitutes a free variable (constructed with FV) for a bound variable (constructed with BV) in a term. Figure 8.1 shows the formal definition of such functions for sets $\mathbf{V}, \mathrm{N}$ and $\mathbf{A}$. As is often the case with Fixpoint definitions, we define a secondary function using Fixpoint and then a non-recursive primary version with the arguments in an order appropriate for human reading. (BTF stands for Bound To Free.)

```
Recursive Definition
    VBTF : Var->Var->V->V :=
        x y (BV z) => (Setifb V (Vareqb x z) (FV y) (BV z)) |
        x y (FV z) => (FV z).
Fixpoint
    NBTF1 [n:N]: Var->Var->N :=
        [b,f:Var]Cases n of
            (lam x n') =>
            (Setifb N (Vareqb x b)
            (Iam x n')
            (lam x (NBTF1 n' b f))) |
            (an a) => (an (ABTF1 a b f))
        end with
    ABTF1 [a:A]: Var->Var->A :=
        [b,f:Var]Cases a of
            (ap a'n) => (ap (ABTF1 a' b f) (NBTF1 n b f)) |
            (var x) => (var (VBTF b f x))
        end.
Recursive Definition
    NBTF : Var->Var->N->N :=
        b f n => (NBTF1 n b f).
Recursive Definition
    ABTF : Var->Var->A->A :=
        b f a => (ABTF1 a b f).
```

Figure 8.1: Replacing Bound Variables with Free Variables

### 8.2.2 (Restricted) Higher Order Abstract Syntax for Judgements

We wish to define an equality predicate which we will use instead of the syntactic equality of $C o q$ where necessary. There are a number of ways of formalising the predicate, but the CMP approach requires two forms: Neq and Neq', as shown in $\S \mathrm{A} .2$ on pages 111 and 112 respectively. These definitions are almost identical. The difference is in the treatment of the lam constructor (as might be expected).

## Mutual Inductive

```
Neq : N->N->Prop :=
        lameq :
```

```
(x,y,f:Var)(n1,n2:N)
```

(x,y,f:Var)(n1,n2:N)
~(Free_In_N f n1) ->
~(Free_In_N f n1) ->
*(Free_In_N f n2)->
*(Free_In_N f n2)->
(Neq (NBTF x f n1) (NBTF y f n2))->
(Neq (NBTF x f n1) (NBTF y f n2))->
(Neq (lam x n1) (lam y n2)) |

```
                    (Neq (lam x n1) (lam y n2)) |
```


## Mutual Inductive

```
Neq' : N->N->Prop :=
    lameq' :
                \((x, y: \operatorname{Var})(n 1, n 2: N)\)
                ( \((\mathrm{f}: \mathrm{Var})^{\sim}(\) Free_In_N \(f \mathrm{n} 1) \rightarrow\)
                ~(Free_In_N f n2)->
                (Neq' (NBTF x f n1) (NBTF y \(f \mathrm{n} 2))\) )->
                    (Neq' (lam x n1) (lam y n2)) |
```

The method of showing $\alpha$-conversion is fairly straightforward: every time a binding constructor ( 1 am being the only one for $\mathbf{N}$ and $\mathbf{A}$ ) is met while recursing through the terms, the variables being bound are replaced in both terms by a single common free variable which did not previously occur in the terms. When we reach variable occurrences (with the Var constructor) we expect them to be the same free variable (i.e. the same Var with constructor FV). This only works with terms which have no hanging bound variable occurrences (bound variables which appear as ( $\operatorname{Var}(B V \mathrm{x})$ ) for which no binder 1 am x can be found further
up the parse tree of the term). The two variants of this method require (for Neq) that the property holds for all (new) free variables when we recurse down through lam, and (for Neq') that there exists at least one (new) free variable for which the property holds.

When we come to use the $\alpha$-conversion equality relation, such as proving that Neq is transitive, we would like to have the induction hypotheses from the scheme generated by Neq'. When we wish to recurse through a lam occurrence, however, we would like to apply lameq. The heart of the CMP approach is that for each judgement we wish to formalise (including those formalising derivations/deductions) we define variants such as those shown above. A particular method (detailed in [MP97]) allows one to prove the equivalence of any two such specific judgements (though each proof must be performed separately, as there does not appear to be a general higher order statement of the property that can be usefully proved and then applied). Once the bi-implication showing equivalence of the two judgement forms has been proved, a fairly simple proof can be done for the required induction scheme (see also page 112 in §A.2:

Lemma N_A_eq_ind' :

```
(P:(n,n0:N)(Neq n n0)->Prop)
(PO:(a,a0:A) (Aeq a a0) ->Prop)
((x,y:Var)(n1, n2:N)
    (n:(f:Var)"(Free_In_N f n1)->"(Free_In_N f n2)->
                                    (Neq (NBTF x f n1) (NBTF y f n2)))
                ((f:Var)
                (n0: ~(Free_In_N f n1))
                    (n3: "(Free_In_N f n2))
                    (P (NBTF x f n1) (NBTF y fn2) (n f n0 n3)))->
                (P (lam x n1) (lam y n2) (lameq x y n1 n2 n)))->
        ((a1,a2:A)(a:(Aeq a1 a2))
```

            (P0 a1 a2 a) \(\rightarrow\) (P (an a1) (an a2) (aneq a1 a2 a))) \(\rightarrow\)
            ( \((\mathrm{a} 1: \mathrm{A})(\mathrm{n} 1: \mathrm{N})(\mathrm{a} 2: \mathrm{A})(\mathrm{n} 2: \mathrm{N})\)
                (a: (Aeq a1 a2))
                    (PO a1 a2 a)->
                    ( n : (Neq n1 n2) )
                        ( P n1 n2 n) ->
                        (PO (ap a1 n1) (ap a2 n2)
                            (apeq a1 n1 a2n2 a n))) \(\rightarrow\)
                ( \((x: \operatorname{Var})(P 0(\operatorname{var}(F V x))(\operatorname{var}(F V x))(\operatorname{vareq} x)))->\)
                \(((\mathrm{n}, \mathrm{n} 0: \mathrm{N})(\mathrm{n} 1:(\mathrm{Neq} \mathrm{n} \mathrm{n} 0))(\mathrm{P} \mathrm{n} \mathrm{n} 0 \mathrm{n} 1)) / \mathrm{A}\)
                \(((a, a 0: A)(a 1:(\) Aeq a a0)) (P0 a a0 a1)).
    
### 8.2.2.1 The CMP Approach for General Judgements and Predicates

In performing formal meta-theoretic proofs, we deal with formalisations of judgements and of predicates. Both of these are formalised as predicates in Coq (and LEGO). The CMP approach is that we use the same procedure for all the predicates in Coq. The method shown above for formalising equality of deduction terms is equally applicable to the formalisation of derivations in NJ.

The method above, of defining a universal variant (following the form of Neq, see 67) and an existential variant (following the form of Neq', see 67) of the abstract predicate or judgement we are formalising, allows us to ignore bound variables almost entirely, by replacing them with (new) free variables when we pass beneath binders. Other methods of formalisation involve inductively defining predicates which use a local context to account for bound variable names. The experience of McKinna and Pollack [vBJMR94, MP93, MP97] is that the induction schemes derived from such definitions are often unsuitable for proving the conjectures being made. The induction schemes derived as described briefly above are more suitable to the formal development, and the homogeneity of the approach leads to induction hypotheses being of the appropriate (i.e. usable) form even when dealing with more than one predicate in a proof.

### 8.2.3 Complexity of the CMP Approach

The CMP approach requires a large amount of initial work in performing formalisations. Some can be carried across between developments, but not a great deal. As well as the BTF functions shown above, functions dealing with renaming free variables to other free variables (in single and parallel cases) are required in order to prove the necessary equivalences between universal and existential variants of complex typing judgements. Length (aka height) induction is also required for these proofs. Once the initial development has been carried out, there is still an overhead in extending a formalisation in that lemmas showing the relationship between new functions and each of the variable handling functions are required.

### 8.3 Scope of the Formalisation

The formalisation of the theory from $\S 2$ using this method in $C o q$ was limited by the time available. The formalisation covers only the systems $\mathbf{M J}$ and $\mathbf{N J}$, and theorems required to
prove the bijection between them (including $\psi \theta\left({ }^{\prime}\right)$, M_Admis_ $\psi\left({ }^{\prime}\right)$ and N_Admis_ $\theta\left({ }^{\prime}\right)$ ). The primary definitions and lemmas are shown in §A.2.

## Chapter 9

## Related Work: Tools and Techniques

### 9.1 Introduction

This chapter presents an overview of the various approaches and tools used in the area of formal meta-theory. $\S 9.2$ starts us off with nameless dummy variables, also known as de Bruijn indices, as used in $\S \S 6$ and 7, reviewing some of the many formalisations which have used that approach. We then describe the work of McKinna and Pollack, using the approach described in $\S 8$, followed by a discussion of the main ideas of higher order abstract syntax in $\S 9.6$. Finally we examine the attempts to combine higher order abstract syntax with induction and recursion in $\S 9.7$.

### 9.2 Formalisations Using de Bruijn Indices

### 9.2.1 Strong Normalization of System F in $L E G O$

[Alt93] presents a formalization of strong normalization for System F using the LEGO proof assistant [LP92]. The terms of System F are defined by Altenkirch in the standard de Bruijn manner. The types of System F are also defined using de Bruijn indices, but here a $L E G O$ dependent type is used which also encodes the number of free variables in a term (see [Alt93] for an explanation as to why this is useful for types but unnecessary for terms).

Altenkirch's conclusions about the viability of Computer Aided Formal Reasoning is very up-beat:


#### Abstract

However, the fact that formalizing the proof after understanding it was not too much of an additional effort seems to justify the belief that Computer Aided Formal Reasoning may serve as a useful tool in mathematical research in future.


However, he does admit that:

However, in completing the proof I observed that in certain places I had to invest much more work than expected, e.g. proving lemmas about substitution and weakening.

The ease with which Altenkirch formalised this complex result reflects the usability of the system (LEEGO), and the method (de Bruijn indices), for this particular kind of theory, and also Altenkirch's proficiency with the system, method and theory. As with many works of formal meta-theory, Altenkirch's proofs are simplified by the fact that he was working with only a single calculus. His approach is close to the work done by Coquand in ALF [Coq93], which also uses a semantic argument to prove strong normalization (this time of simply typed $\lambda$-calculus) where the terms are encoded using de Bruijn indices.

### 9.2.2 Verification of Algorithm $\mathcal{W}$ : The Monomorphic Case

Algorithm $\mathcal{W}$ is the original type inference algorithm presented by Milner in [Mil78], which forms the basis of the ML type system, and, by extension, the type systems of many of the strongly typed functional languages currently available. Nazareth and Nipkow in [NN96] claim the first formal proof of soundness and completeness of algorithm $\mathcal{W}$ with respect to the typing rules. They deal only with the monomorphic case (not including the let construct), but state that they are unaware of any other formalisations involving algorithm $\mathcal{W}$. [NN96] presents a proof in Isabelle/HOL (a re-implementation of the HOL proof assistant using Isabelle as a framework). The formalisation uses standard de Bruijn indexing techniques for representing the terms for which algorithm $\mathcal{W}$ computes the types. This formalisation has two effects: firstly, the informal proofs of soundness and completeness of algorithm $\mathcal{W}$, which follow similar lines, gain credibility; secondly, the importance of the new variable problem as a non-trivial aspect of the proof is raised, together with a weakening of one of the conditions on a subsidiary part of the algorithm.

Despite their success with the proof in the monomorphic case, Nazareth and Nipkow believe
that extension to "an object language with a let-construct and polymorphic types" is "likely to be a substantial piece of work".

### 9.2.3 Church-Rosser Proofs in Isabelle/HOL

There have been many formalisations of the Church-Rosser theorem for untyped $\lambda$-calculus with $\beta$-reduction, e.g. [Hue94, Sha94]. In [Nip96], Nipkow claims the first formalisation of Church-Rosser for $\beta$ - $\eta$-reduction. Again Nipkow uses the standard de Bruijn indexing technique in Isabelle / HOL in order to formalise various aspects of $\lambda$-calculus. The work concentrates on abstract notions of the various properties of binary relations, using these to show the appropriate properties of the various calculi ( $\lambda$-calculus with $\beta$-, $\eta$ - and $\beta$ - $\eta$ reduction). There is also a high level of automation present. Nipkow's conclusions are:


#### Abstract

It should be obvious from the above comparisons that the field [formal metatheory] as a whole is making progress: formalizations have become more natural and shorter, and the degree of automation is increasing. We are also beginning to reuse other people's work (as in the case of Rasmussen's proofs). Yet each system still has painful shortcomings, for example arithmetic in the case of Isabelle. More work on the integration of decision procedures is urgently needed.


### 9.2.4 Coq in Coq

[Bar96] presents a formalisation of the Calculus of Constructions ( CoC ) [CH85], a fragment of $C I C$. The formalisation, extensively studied in [Bar96], covers strong normalisation and decidability of type inference for $\operatorname{CoC}$. A Caml Light program is extracted which performs type inference or type checking for $C o C$. As a test of the program, the term derived from a formal proof of Newman's Lemma in Coq is re-verified by the program, with reasonable performance. The eventual aim of such work is to formally extract a kernel (type inference engine and type checker) for $C I C$, which may form the basis of a new version of Coq, a bootstrapping method similar to that used for ACL2, the latest of the Boyer-Moore family of provers [BM79, BM88].

Since Coq uses de Bruijn indices internally, it is unsurprising that Barras also uses them to produce a kernel for a fragment of its underlying calculus. An approach such as the CMP method, using an abstract type of variables, would not allow for the direct extraction of a program. However, by specifying a set of variables which have the appropriate properties a new kernel using names might be extracted.

### 9.3 A Formal Theory of Pure Type Systems

The methodology of the CMP method is described in $\S 8$. Here, we review the work done by McKinna and Pollack using that method. McKinna and Pollack began by formalising informal proofs by van Benthem Jutting and others (presented in [vBJ93] and elsewhere previously), and have since extended the formalisation to cover new ground, including a formal development of the theory of untyped $\lambda$-calculus with $\beta$-reduction. Their work is done using LEGO in its instantiation of the Extended Calculus of Constructions [Luo94, LP92]. This calculus is similar to $C I C$, the underlying calculus of $C o q$, although the top-level syntaxes of the two systems are rather different. Several versions of the basic PTS rules are presented and various equivalencies are proved. This does not require new machinery, since the term and type languages are not extended, only the rules for deriving judgements in the PTS. The complete development is an impressive body of formal proof, although as with all such developments the only way to understand what is being done is to run portions of the proof scripts line by line through $L E G O$. Even expert users of systems such as $L E G O$, Isabelle and Coq cannot run proofs in their heads from the statement of a conjecture and the proof commands in a script.

### 9.4 Five Axioms of $\alpha$-Conversion

Gordon and Melham in [GM96] present a set of axioms for HOL which encode $\alpha$-conversion for object languages with binding. The approach shows abstract similarities to the CMP method, differing mostly owing to the very different styles of the underlying systems $H O L$ and $L E G O$. Similarities with the work on restricted higher order abstract syntax (see $\S \S 9.6$ and 9.7) in [DFH95] are also evident. The primary distinction of their method is the encoding of an initial set of untyped lambda terms, which may then be differentiated by predicates to form sets of terms for different languages. The initial presentation in [GM96] includes only the definition of standard untyped $\lambda$-calculus terms, but the extension to other systems of syntax (such as the terms of $\mathbf{L J}$ as presented in §2) would seem simple.

### 9.5 HOL, ALF, Coq and LEGO

In the previous sections we have briefly reviewed formalisations of proofs of properties of typed and untyped $\lambda$-calculi in various systems: $H O L, A L F, C o q$ and $L E G O$. Since the main work presented in this thesis has been performed in Coq, it has been presented in more detail
than the other systems. Nevertheless, it seems appropriate to set out some of the strengths of each systern.
$A L F$ seems one of the weakest systems available. It was never, however, a properly released and supported system, and has now been superseded by the still-experimental HALF. No documentation is available for HALF, although work done with it has been published in [CN96]. HALF, like ALF, is based on Martin-Löf's monomorphic type theory. One of the aims of the new system is to improve interaction and automation, areas where $A L F$ was quite weak. Until the developers are satisfied enough with $H A L F$ to produce a full release, it is probably inadvisable to undertake large formalisations using $H A L F$.

HOL, in its two incarnations as a stand-alone system [GM93] and an Isabelle object logic [Pau95b], implements a version of classical higher-order logic. Both versions are well implemented, and fairly mature, systems. They are somewhat divergent in their higherlevel capabilities, particularly in the complex tactics available, though not in the underlying calculus.

Coq and $L E G O$ are based on similar underlying calculi, and their capabilities are therefore also similar. The group working on $C o q$ in the last few years has been larger, and the system developed more, although this leads to the corresponding problem of keeping up-to-date with new system releases. LEGO has developed less, and the core system has remained stable, allowing more time to be spent on new proofs and less on maintaining old ones. Coq is probably more accessible to the first-time user, however, with its extensible grammar syntax and more developed interface.

### 9.6 Higher Order Abstract Syntax

Higher order abstract syntax (from here on referred to as HOAS) is one of the central techniques of the $L F$ approach, embodied particularly in the Elf framework [Pfe91]. The usage of this method is subtle, and works within logical frameworks such as Elf. Essentially, we define the language that we wish to reason about using the variables of the framework to represent the local variables of the language. Thus, we obtain $\alpha$-conversion and $\beta$-reduction 'for free' from the framework notions of conversion and reduction. However, the method of defining a set of terms which uses the framework variables as its variables is inadmissible in current frameworks with inductive definitions, such as $\operatorname{Coq}$ [PPM89]. The problem is in the definition of binding operators, such as $\lambda$, as might be expected. If we are defining a type
term in a framework which allows $H O A S$, then the type of the $\lambda$ abstractor is:

$$
(\text { term } \rightarrow \text { term }) \rightarrow \text { term }
$$

The part we are interested in is the antecedent of the type:

$$
(\text { term } \rightarrow \text { term })
$$

In [PPM89], there is a restriction on recursive occurrences of the type being defined, which states that the type itself may not occur in a negative position in the antecedent. [PPM89, Definition 2, page 213], which we paraphrase here for the simply typed case, defines negative occurrences:
$x$ occurs negatively in $R$ if

$$
\begin{aligned}
& R=R_{1} \rightarrow R_{2} \text { and } \\
& \qquad \begin{array}{l}
x \text { occurs positively in } R_{1} \text { or } \\
\\
x \text { occurs negatively in } R_{2}
\end{array}
\end{aligned}
$$

where
$x$ occurs positively in $R$ if

$$
\begin{aligned}
& R=x \text { or } \\
& R=R_{1} \rightarrow R_{2} \text { and }
\end{aligned}
$$

$x$ occurs negatively in $R_{1}$ or
$x$ occurs positively in $R_{2}$.

Thus, in:

$$
(\underline{\text { term }} \rightarrow \text { term }) \rightarrow \text { term }
$$

the underlined occurrence of term is a negative occurrence in the antecedent of the type of the $\lambda$ constructor and thus disallows the inductive definition of term. At present, although HOAS is a very powerful methodology, it cannot be implemented in a system in which induction is a core method. Since induction is such a central tool for meta-theory of the systems we might wish to investigate, $H O A S$ would not currently appear to be a reasonable candidate for such work.

### 9.7 Higher Order Abstract Syntax with Induction

There have been several recent investigations into how a system of HOAS might be implemented within a framework allowing induction on the same terms. We will look at three
approaches: a restricted version of HOAS developed in Coq in [DFH95], work on implementing primitive recursion within HOAS as a first step towards induction from [DPS96] and lastly a new framework proposal including HOAS and natural number induction in [MM97].

### 9.7.1 Restricted Higher Order Abstract Syntax with Induction in Coq

The main presentation of this work is [DFH95]. Owing to the restrictions presented in the previous sections from [PPM89], HOAS is not usable in Coq. What is possible is to assume an abstract set of variables $\mathbf{V}$, and then define our $\lambda$ abstractor as having type:

$$
(\mathrm{V} \rightarrow \text { term }) \rightarrow \text { term. }
$$

As with the CMP approach, we must define our own equality predicate on terms. While we gain $\alpha$-conversion from the framework ( $C o q$ ) we do not gain $\beta$-reduction for free. There are also exotic terms included in the definitions of such a set: i.e. terms which satisfy the definition but which are not within the intended scope. The solution to this problem is two-fold. All definitions are made with respect to a notion of equivalence classes of terms, together with a validity requirement which excludes the exotic terms. This definition allows standard inductive arguments to be applied, although we may no longer define functions on our terms, and instead must use functional relations, which moves us further from the informal theories we may wish to formalise. In general, this restricted form of HOAS is too complex and too far from the informal theories to be a good solution.

### 9.7.2 HOAS with Primitive Recursion

[DPS96] is a large report detailing
...an important first step towards allowing the methodology of LF to be employed effectively in systems based on induction principles such as ALF, Coq or Nuprl, leading to a synthesis of currently incompatible paradigms.

The system presented in that report uses a modal $\lambda$-calculus to encode a system of primitive recursive functionals, in a manner inspired by linear logic. As of publication of the report, only a simply typed version of their theory had been developed and no implementation work had been done. This represents a significant step forward, and is the basis for ongoing work. It is unknown how long development will take and swift availability of a combined system is unlikely.

### 9.7.3 First Order Logic with Definitions and Natural Number Induction

[MM97] contains an overview of a proposal (laid out in full in [McD97]) for a system which, again, might allow $H O A S$ to be combined with a system allowing induction. Here, the approach is somewhat different from that of Pfenning et al. McDowell and Miller start with a calculus of partial inductive definitions and add the natural numbers to produce FO $\lambda^{\Delta N}$. By implementing the natural numbers as part of the framework, together with the elimination principle allowing induction over the naturals, some forms of induction for other types may be derived via measure functions.

## Chapter 10

## Conclusions and Further Work

### 10.1 Frameworks vs. Proof Assistants

Initial work, as shown in $\S 3$ and $\S 4$, was carried out in logical frameworks. While it was possible to perform appropriate formalisations in these systems, it was necessary to encode induction principles as rules of the system. Addition of induction principles to a logic in order to improve its power is a traditional and valid method. However, the complexity of the inductions we required undermined our confidence that the principles we were adding to the system were correct. Since there are systems, (such as Coq and LEGO) which allow proof of such principles as part of their higher order logic, it would seem obvious that such systems are more suited to the formalisation of meta-theory. Isabelle and SEQUEL would be useful frameworks in which to encode a new system specifically designed for general meta-theoretic investigations. However, the theoretical basis of such systems (requiring as it does both induction and some form of higher order abstract syntax) is still an area of active research. An attempt to produce such a system would almost certainly take longer than was available for this project and it is doubtful that any progress would have been made with the motivating problem of formalising the permutation theorem.

### 10.2 Expansion of the Formalisation of the Permutation Theorem

As stated in $\S 7.7$, the informal proofs of the theorems in $\S 2$ have been extended to full propositional logic [DP97b]. Extension of either of the formalisations in $\S 7$ or $\S 8$ to full
propositional logic would probably not require methods any more complex than those already used. The only substantive change to the theory of the implicational fragment in $\S 2$ is that the terms of type Ms are no longer simply lists of terms of type M. Thus, certain proofs which follow by simple list induction will require full proof by mutual structural or size induction. Since the list induction proofs are merely employed because they are available and shorter, rather than because of any doubt as to the viability of the full method, this should cause no problems.

### 10.2.1 New Tactics for Coq

Since extension to full propositional logic would involve some long and tedious proofs, it would seem sensible to consider programming subject-specific tactics for such a purpose. Identifying tactics which would be of general enough application to justify the work required to write them (writing tactics in Coq is a fairly time-consuming process) is difficult. Some simple syntactic abbreviations are obvious, and some have been programmed into the formalisation already. For instance, a common operation is to use the decidability of equality on variables (for both the CMP method and de Bruijn indices): if we have two variables $\mathbf{x}$ and $y$ in our environment, we wish to perform a case split on $x=y \backslash / \sim(x=y)$. When reasoning about a substitution, for instance, such case splits are often necessary. To perform this case analysis without any special-purpose tactics, the following commands suffice:

Cut $x=y \backslash / \sim x=y$.
Intros c; Case c; Clear c; Intro.
provided c is not the name of a hypothesis in the current context. This process leaves us with three sequents to prove where we had one before. If we have added the decidability of equality on variables to the $\operatorname{Coq}$ Hints list, we may have the cut goal $\mathrm{x}=\mathrm{y} \backslash /{ }^{\prime} \mathrm{x}=\mathrm{y}$ automatically proved by Auto using the command:

Cut $\mathrm{x}=\mathrm{y} \backslash /{ }^{\sim} \mathrm{x}=\mathrm{y}$; Auto; Intros c ; Case c ; Clear c ; Intro.
We can then use the extensible grammar capabilities of Coq to define Vcomp xy to be equivalent to the above sequence, and the pretty printer to ensure that the same text is returned as part of a proof script. If there is already a hypothesis with name c , however, we will be reduced to using the full command with a different name. Using the Caml level of programming tactics, we could extend the Vcomp command to use a new name for the intermediate hypothesis c.

This is all very simple, and there are a number of cases like it, both in terms of extensions to the command grammar of $C o q$ and with simple tactics. More complex tactics which would be useful are more difficult to identify. Certainly one tedious area highlighted by
the formalisations was the use of the Fixpoint recursive function definition method. The existing simplifier, which reduces terms to a normal form without unfolding recursive functions further than necessary (see $\left[\mathrm{BB}^{+} 96\right]$ ), only takes account of functions defined using the Recursive Definition construct. Since Recursive Definition does not allow mutual recursive functions, of which there are quite a number in the permutability theory, we must use Fixpoint and interactively perform rewriting. An extension to the simplifier tactic to use definitions made via Fixpoint would greatly simplify the proofs in the formalisations shown.

To go further than this, there is a recognisable pattern in many of the proofs in this formalisation. That pattern, to someone well-versed in the technique, is obviously rippling [ $\mathrm{BS}^{+} 93$, BL95].

### 10.2.2 Rippling

Rippling is the most successful method in the proof planning approach pioneered by Bundy et al. [BvHHS91]. Currently, rippling is primarily concerned with equality and functional expressions, but an extension to general relations has been studied, although not integrated into the main proof planning tool, Clam.

While performing the proofs of the theorems leading up to weak normalisation of the permutation reduction relation, we come across many proofs where the obvious method corresponds extremely well to rippling. The interactive search process that preceded a proof being found seemed to correspond well to the search mechanism of proof planning (with rippling as the primary method). Without an implementation of proof planning that interfaces to $C o q$, or a formalisation in a system for which proof planning is available, this is difficult to check without a long and involved by-hand proof planning analysis of the formalisation.

Providing an interface for Clam to Coq and integrating the relational rippling (necessary for the proofs involving derivations/deductions) technique into Clam would provide a powerful tool for simplifying the proof process involved in this formalisation. Particularly when faced with the tedious details of multiple connectives and the many similar sub-proofs entailed, such a combination would be an invaluable tool.

### 10.2.3 The Permutability Theorem for First Order Logic

As well as extending the existing weak normalisation result for permutability of inferences in LJ $\mathbf{~}$ to full propositional logic, following the informal proofs, there is also the case of
extension to first order logic. This has not been done in the informal work to date. One of the main motivations of the formalisation was to explore the possibilities of a formal proof for the first order case. While extension to full first order logic is the eventual aim, the universal-implicative fragment would be a useful test case.

In order to represent first order theories in a manner suitable for meta-theoretic reasoning, we must consider the proof process and its resulting proofs. To re-iterate a statement from §1.3: "Implementations [in a logical framework] of logics such as first order intuitionistic logic, classical linear logic etc., are coded within the machine environment in a way that allows the user to perform complex derivations/deductions within the logic thus defined. The aim of such work is to prove complex object-level statements (or enumerate their proofs)." This is particularly the case when we examine first order logic. A useful implementation of first order logic has "objects" about which theorems are proved. The precise structure of these "objects" is not our concern when dealing with the meta-theory of first order logic. We require a definition of them made with broad brush strokes, enabling a particular implementation the freedom to specify the objects of interest without too many restrictions.

So, we wish to encode unsorted first order logic in a manner which allows us to reason about its properties without needing to know too much about the objects over which our quantifications range. We therefore specify a set of expressions in an abstract manner, allowing us to reason about them without specifying too closely what their meaning is. We have an infinite set of constants, each of which has a natural number associated with it which is its arity. Terms (e.g. witnessing terms proving existential statements) can be built up from these constants in functional expressions and used in our meta-theoretic reasoning, without any actual semantics attached to these terms save their arity.

### 10.2.4 Strong Normalisation of Permutation Reduction

As stated in $\S 7.7$, [Sch] includes a proof of strong normalisation for a weakened version of the permutation reduction relation shown in $\S 2$ (for which weak normalisation was shown in the formalisation studied in $\S 7$ ). The proof of $S N$ for permutation reduction is a corollary of a result involving yet another calculus. Extension of the formalisation (either using de Bruijn indices or the CMP method) to cover Schwichtenberg's proof would be interesting, as would explorations into a direct proof of $S N$ for the weakened permutation reduction relation using only $\mathbf{L J}$ and MJ.

### 10.3 Other Logics, Other Problems

There is a large body of informal meta-theory waiting to be formalised. The scope for such formalisations is limited only by the willingness of people to expend the time and effort to learn the techniques and become familiar with the tools.

One obvious candidate for formalisation is the permutation of inferences in Linear Logic [Gir87, GP94]. Linear logic, with its plethora of connectives, provides a rigorous challenge to the logician working informally. With so many interconnections to consider, the possibilities of an omission are very high, demanding meticulous care in approaching such work. The more detail that is spelled out in the informal proofs, the closer such work is to the formal approach demonstrated in this thesis. There do not appear to have been many attempts at formalising complex arguments about linear logic, although there may be some in progress now. The amount of work required to lay the groundwork for such an undertaking both deters, and delays the exposition of, such work. In particular, the standard de Bruijn approach does not work well if applied in a naive manner to the meta-theory of linear logic. See $\S 10.4 .1$ for an exposition of the problem and some suggestions for a solution.

### 10.4 De Bruijn Indices, the CMP Method and HOAS: Conclusions

### 10.4.1 De Bruijn Indices

I don't like de Bruijn indices myself.

- N.G. de Bruijn

The above quote appears at the start of [DFH95]. De Bruijn indices are not what we really want, which is a formal environment in which to do proofs in a way that allows our creativity free reign while ensuring correctness of our work. De Bruijn indices are a relatively easy way to ensure some correctness. They are easy to implement and understand. If we make an error in our initial formalisation of terms with de Bruijn indices it will be easily spotted and corrected. However, the question of whether our encoding of functions and relations (such as $\bar{\rho}$ or M.Deriv) using de Bruijn indices is correct is more difficult. The more complex our definitions become, and the further away our framework leads us ${ }^{1}$ from our original, informal intuitions, the less the confidence gained from the formalisation transfers back to our original

[^18]work. In some cases this is not a problem. For instance, Barras' work on formalising $C o C$ in Coq makes good use of de Bruijn indices: a program derived from a named syntax might be very much less efficient. The formalisation shown in $\S 7$ is sufficiently close to the informal version to be useful, but the differences still remain and are the cause of some dissatisfaction with the results.

The really positive aspect of de Bruijn indices is the fact that they are useful now. Within certain limits they are easy to use and while there is some expansion of the proof requirements to handle the arithmetic, much of that can already be automated (in Coq at least). The overheads of using de Bruijn indices are mostly linear. Every time a new function is introduced, the relationship with the de Bruijn indexing functions lift and drop must be derived, but little else is required. In particular, there is little start-up cost that has not already been done in a number of formalisations, particularly the one shown here. The final point in favour of de Bruijn indices is that $\alpha$-convertible terms are equal terms within the framework used (here $C o q$ ). Any framework such as Coq or LEGO which includes reasonable support for equality reasoning and rewriting will be easier to use when dealing with de Bruijn indices rather than a user-defined $\alpha$-convertibility relation for equality.

As has been mentioned a number of times, however, not all logics are easy to encode using de Bruijn indices. Any logic which includes structural changes to the context as part of a rule will violate the smooth transition from binder-reference to context reference. Take for instance the right-rule for tensor $(\otimes)$, or any of a number of other multiplicative rules, in intuitionistic linear logic (ILL) [Gir87]:

$$
\frac{\Gamma_{1} \vdash t_{a}: A \quad \Gamma_{2} \vdash t_{b}: B}{\Gamma_{1}, \Gamma_{2} \vdash \operatorname{tsr}\left(t_{a}, t_{b}\right): A \otimes B} \otimes-\mathrm{R}
$$

The problems with a de Bruijn index formalisation are caused by the splitting of the context between the conclusion and the premises. Unlike those of NJ, MJ and LJ, the rules of ILL contain more complex changes to the context than simple growth by addition of new formulae. $t_{a}$ and $t_{b}$ in the premises are not equal to $t_{a}$ and $t_{b}$ in the conclusion in terms of variable referencing. The hybrid approach described in $\S 6$, which uses de Bruijn indices for bound variables but a different encoding for free variables, might well prove an adequate solution, without the overheads involved in using the CMP method. Another possible solution, retaining use of de Bruijn indices, would be to amend the contexts in some way to block the use of the same formula in both branches of the proof tree. More exploration of these methods would be needed to show if they retained enough simplicity to justify not moving to the CMP method or another form of named variable syntax.

### 10.4.2 The $C M P$ Method

The approach of McKinna and Pollack is obviously successful, as shown by the impressive body of work they have accumulated in their "hobby" time about PTS and $\lambda$-calculus. When working with a large body of proofs involving a single term structure, the initial overheads of $\alpha$-conversion, variable replacement etc. pale in comparison to the overall proof effort. The overhead involved in showing the relationship of each new definition to the variable replacement functions is approximately equivalent to the overhead involved in using de Bruijn indices, where the relationship with lift and drop must be shown for new functions. New inductive relations also require the equivalence of the existentially and universally quantified variants as described in $\S 8$. So, in total, the $C M P$ method involves more work than using de Bruijn indices. Why, then, would it be worth using? Well, once the initial formalisation has been done, further work takes approximately equivalent effort to de Bruijn indices, but the use of named variables keeps the formalisation closer to the informal definitions. In particular, function definitions remain closer to the informal definition. Consider the informal, de Bruijn index and CMP formalisations of sub from table 2.2:

| $s u b: \mathbf{V} \times \mathbf{M} \times \mathbf{V} \times \mathbf{M} \rightarrow \mathbf{M}$ |  |
| :---: | :--- |
| $\operatorname{sub}(x, m, y,(y ; m s))==_{\text {def }}(x ; m:: \operatorname{subs}(x, m, y, m s))$ |  |
| $\operatorname{sub}(x, m, y,(z ; m s))=_{d e f}(z ; \operatorname{subs}(x, m, y, m s))$ | $z \neq y$ |
| $\operatorname{sub}\left(x, m, y, \lambda z . m^{\prime}\right)==_{\text {def }} \lambda z . s u b\left(x, m, y, m^{\prime}\right)$ | $z \neq y$ |

Coq formal de Bruijn index lemma representing lines 1 and 2:
Lemma MSVMV1 :

```
(x:V)(m:M)(y,z:V)(ms:Ms)
    (MsubstVMV x m y (sc z ms)) =
        (Setifb M (nateqb y z)
            (sc x (mcons m (MssubstVMV x m z ms)))
            (sc (drop_V y z) (MssubstVMV x m y ms))).
```

Coq formal $C M P$ approach lemma representing lines 1 and 2:
Lemma MSVMV1 :

```
    (x:V)(m:M)(y,z:Var)(ms:Ms)
    (MsubstVMV x m y (sc (BV z) ms)) =
        (Setifb M (Vareqb y z)
            (sc x (mcons m (MssubstVMV x m z ms)))
            (sc (BV z) (MssubstVMV x m y ms))).
```

Coq formal de Bruijn index lemma representing line 3:

```
Lemma MSVMV2 : ( \(\mathrm{x}: \mathrm{V}\) )( \(\mathrm{m}: \mathrm{M}\) )( \(\left.\mathrm{m}^{\prime}: \mathrm{M}\right)(\mathrm{y}: \mathrm{V})\)
    (MsubstVMV \(x\) m y (lambda m')) \(=\)
    (lambda (MsubstVMV (lift_V 0 x ) (lift_M 0 m ) ( \(\mathrm{S} y\) ) m')).
```

Coq formal CMP approach lemma representing line 3:
Lemma MSVMV2 : ( $x: V$ )( $m: M$ ) ( $m^{\prime}: M$ ) $(y, z: V a r)$
(MsubstVMV $\times \mathrm{m}$ y $\left(\right.$ lambda $\left.\mathrm{zm} \mathrm{m}^{\prime}\right)$ ) $=$
(Setifb M (Vareqb y z)
(lambda $z \mathrm{~m}^{\prime}$ )
(lambda z (MsubstvMV $\times \mathrm{m}$ y m ')).
The exact Fixpoint definitions, of course, do not matter, as it is these equality lemmas in which we are interested. The lack of lift and drop in the CMP version makes it easier to compare the formal and informal versions. (The formalisations of subs exhibit few differences and are both similar to the informal definition.)

When choosing between de Bruijn indices and the CMP method for a formalisation, the judgement will always be tricky. The more different term structures involved, the more initial overhead the CMP method will contain, and the more work will have to be done using the $\alpha$-conversion predicate instead of direct syntactic equality. The formalisation described in $\S 7$ did not contain all of the support functions and proofs that must be done for the method to be applied properly. There is such a plethora of functions and theorems to be proved when developing a formalisation using the CMP method that few researchers performing formalisations will be willing to proceed. To enhance the usability of this method tactics to automate the proof of the many lemmas required, and even to derive their form would be needed.

### 10.4.3 HOAS

Higher order abstract syntax appears to be an elegant solution to the problem of variable handling. Since most frameworks already have a method for handling variables, it seems an obvious requirement that we should not have to solve the same problem at both levels. However, the incompatibility between frameworks allowing higher order abstract syntax and the well-known restrictions on methods for defining inductive structures with strong elimination principles, currently rules out this approach. As shown in this thesis, induction plays too large a role to be left to an informal correctness argument: such a method removes too much of the gain from machine support to leave the formalisation effort worthwhile.

The work by Miller and McDowell [MM97], and Pfenning et al. [DPS96], though still in the early stages, holds out promise for a more satisfactory solution in the long term. In the short term, however, we appear to be left with de Bruijn indices and manually-defined named syntaxes such as the $C M P$ approach, or a hybrid of both. For those developing such tools, the following capabilities seem to be required:

- named variables,
- inductive definitions,
- recursive definitions,
- automatic derivation of elimination/induction principles,
- the capability of proving new induction principles sound,
- list, set and multiset handling of contexts


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## Appendix A

## Primary Definitions and <br> Lemmas in Coq

## A. 1 De Bruijn Index Formalisation

The following are some of the main definitions and lemmas from the de Bruijn index formalisation examined in $\S 7$.

## Section boolean_extension.

```
Hypothesis genset:Set.
Recursive Definition
    Setifb : bool->genset->genset->genset :=
        true x y => x |
        false x y => y.
```

End boolean_extension.

## Recursive Definition

```
    nateqb : nat->nat->bool :=
        \(00 \Rightarrow\) true |
        (S i) \(0=>\) false |
        0 (S j) \(\Rightarrow\) false |
        (S i) (S j) => (nateqb i j).
```

Lemma nateqb_is_eq1 : (i,j:nat) $i=j->$ (nateqb $i j)=t r u e$.

Lemma nateqb_is_eq2 : ( $i, j$ :nat) (nateqb $i j)=t r u e->i=j$.

Lemma nateqb_is_eq3 : ( $i, j: n a t)\left({ }^{\sim} i=j\right) \rightarrow($ nateqb $i j)=f a l s e$.

Lemma nateqb_is_eq4 : (i,j:nat)((nateqb i $j)=f a l s e)->^{*} i=j$.

Recursive Definition
max_nat : nat->nat->nat :=
i $j \Rightarrow$ (Setifb nat (ltb i $j$ ) $j$ i).

Inductive
F:Set :=
form: nat->F |
Impl : F->F->F.

Inductive
In_Hyps : nat->F->Hyps->Prop :=
inhyps_base : ( $\mathrm{P}: \mathrm{F}$ ) (h:Hyps)
(In_Hyps 0 P (Add_Hyp P h)) I
inhyps_rec : (n:nat)(P,Q:F)(h:Hyps)
(In_Hyps n P h) $\rightarrow$
(In_Hyps (S n) P (Add_Hyp Q h)).

Definition $V$ :Set := nat.

Inductive
L:Set :=
vr : V->L |
app : V $\rightarrow$ L $->\mathrm{L}->\mathrm{L} \mid$
$\mathrm{lm}: \mathrm{L}->\mathrm{L}$.

Mutual Inductive
M:Set :=

```
        sc : V->Ms->M |
        lambda : M->M
with
    Ms:Set :=
        mnil : Ms l
        mcons : M->Ms->Ms.
Mutual Inductive
    N:Set :=
            lam : N->N |
            an : A->N
with
    A:Set :=
        ap : A->N->A |
        var : V->A.
Fixpoint
        theta [m:M]:N :=
            <N>Case m of
            [x:V][ms:Ms](theta1' ms (var x))
            [m:M](lam (theta m))
            end with
        theta1' [ms:Ms]:A > N :=
            [a:A]<N>Case ms of
                (an a)
                [m:M][ms:Ms](theta1' ms (ap a (theta m)))
            end.
Recursive Definition
    theta' : A M Ms -> N :=
            a ms => (theta1' ms a).
Fixpoint
    psi [n:N]:M :=
        <M>Case n of
            [n:N](lambda (psi n))
```

```
        [a:A](psi' a mnil)
        end with
psi' [a:A]:Ms->M :=
    [ms:Ms]<M>Case a of
        [a':A][n:N](psi' a' (mcons (psi n) ms))
        [x:V](sc x ms)
    end.
```

Lemma thetapsi:
$(\mathrm{n}: \mathrm{N})(($ theta $($ psi n$))=\mathrm{n})$.
Lemma thetapsi'theta':
(a:A)(ms:Ms) ((theta (psi' a ms)) = (theta' a ms)).

## Recursive Definition

```
    lift_V : nat->V->V :=
        i j => (Setifb V (ltb j i) j (S j)).
```


## Recursive Definition

lift_L : nat->L->L :=
$i(\operatorname{vr} x)=\left(\operatorname{vr}\left(l i f t \_V i x\right)\right) \mid$
i (app x 11 12) $\Rightarrow$
(app (lift_V i x) (lift_L i l1) (lift_L (S i) 12)) |
$i(\operatorname{lm} 1)=\left(\operatorname{lm}\left(l i f t \_L(S i) I\right)\right)$.

Lemma Lift_Lift_V_Bridge : ( $\mathrm{x}: \mathrm{V}$ ) ( $\mathrm{i}, \mathrm{j}: \mathrm{nat}$ )
(1t i j) ->
(lift_V i (lift_V $j x)$ ) $=$ (lift_V (S j) (lift_V i x)).

Recursive Definition
drop_V : nat->V->V :=
j i $\Rightarrow$ (Setifb V (Itb i $j$ ) $i(p r e d i))$.

Inductive
Occurs_In_V : nat->V->Prop :=

```
Occurs_in_v : (i,j:nat)i=j->
    (Occurs_In_V i j).
```

Inductive
Occurs_In_L : nat->L->Prop :=
Occurs_in_vr :
(i:nat) ( $x: V$ )
(Occurs_In_V i. x) ->
(Occurs_In_L i (vr x)) |
Occurs_in_app1 :
(i:nat) ( $x: V$ ) $(11,12: L)$
(Occurs_In_V i x) ->
(Occurs_In_L i (app x 11 12)) |
Occurs_in_app2 :
(i:nat) (x:V) (11, 12:L)
(Occurs_In_L i 11)->
(Occurs_In_L i (app x 11 12)) |
Occurs_in_app3 :
(i:nat) $(x: V)(11,12: L)$
(Occurs_In_L (S i) 12)->
(Occurs_In_L i (app x 11 12)) |
Occurs_in_lm :
(i:nat) (1:L)
(Occurs_In_L (S i) 1) $\rightarrow$
(Occurs_In_L i (lm 1)).
Fixpoint
MsubstVMV1 [m:M] : V $\rightarrow$ M $->\mathrm{V}->\mathrm{M}:=$
$[x: V]\left[m^{\prime}: M\right][i: V]<M>C a s e m$ of
[z:V][ms:Ms]
(Setifb M (nateqb i z)
(sc $x$ (mcons m' (MssubstVMV1 ms $x \mathrm{~m}^{\prime} \mathrm{z}$ )))
(sc (drop_V i z) (MssubstVMV1 ms x m' i)))
[m' ': M]
(lambda (MsubstVMV1 m', (lift_V 0 x) (lift_M 0 m') (S i)))
end with

MssubstVMV1 [ms:Ms] : V $->\mathrm{M}->\mathrm{V}->$ Ms : $=$
$[x: V][m$ ':M] $[i: V]<M s>C a s e ~ m s ~ o f ~$ mnil
[m'':M][ms':Ms] (mcons (MsubstVMV1 m' $x \mathrm{~m}^{\prime} \mathrm{i}$ )
(MssubstVMV1 ms' $x$ m' i))
end.

Recursive Definition
MsubstVMV : V->M->V->M->M := $x \mathrm{~m} i \mathrm{~m}^{\prime} \Rightarrow$ (MsubstVMV1 m' xm m ).

Recursive Definition
MssubstVMV : V $->$ M $->V->$ Ms->Ms := $x \mathrm{~m}$ i ms $\Rightarrow$ (MssubstVMV1 ms xm i ).

Recursive Definition
phi : L -> N :=
$(\operatorname{vr} \mathrm{x}) \Rightarrow(\operatorname{an}(\operatorname{var} \mathrm{x}))$ |
(app x 11 12) $\Rightarrow$
(NsubstaV (ap (var x) (phi 11)) 0 (phi 12)) |
(lm l) $\Rightarrow$ (lam (phi l)).

Recursive Definition
phibar : L->M :=
(vr x) $\Rightarrow$ (sc $x$ mill $) \mid$
(app x 11 12) $\Rightarrow$
(MsubstVMV x (phibar 11) 0 (phibar 12)) | $(1 \mathrm{~m}$ 1) $\Rightarrow(\operatorname{lambda}($ phibar 1)).

Recursive Definition
lifts_L : nat->nat->L->L :=
i $j(v r x) \Rightarrow\left(v r\left(l i f t s \_v i j x\right)\right) \mid$
i $j(\operatorname{app} x \mathrm{l}$ 10) $=>$
(app (lifts_V i $\mathrm{j} x$ )
(lifts_L i j l)
(lifts_L i (S j) 10)) |
$i j(\operatorname{lm} 1) \Rightarrow(\operatorname{lm}(\operatorname{lifts} L i(S j) 1))$.

```
Fixpoint
    rhobar [m:M] : L :=
        <L>Case m of
            [x:V][ms:Ms]
                <L>Case ms of
                        (vx x)
                        [m:M][ms:Ms](app x (rhobar m) (rhobar' ms (S 0)))
                end
            [m:M] (1m (rhobar m))
        end
with
    rhobar' [ms:Ms] : nat->L :=
        [i:nat]<L>Case ms of
            (vr 0)
            [m:M][ms:Ms] (app O (lifts_L i 0 (rhobar m)) (rhobar'ms (S i)))
        end.
```

Recursive Definition
rhobar1 : nat->Ms->L :=
i ms => (rhobar' ms i).
Lemma phibarrhobar :
$(m: M)($ phibar $($ rhobar $m))=m$.
Lemma phirho : (n:N)(phi (rhon))=n.
Inductive
L_Deriv : Hyps $\rightarrow$ L $\rightarrow$ F $\rightarrow$ Prop :=
L_Axiom :
(h:Hyps)(i:V)(P:F)
(In_Hyps i Ph)->
(L_Deriv h (vr i) P) |
Implies_L :

```
(h:Hyps)(i:V)(P:F)(Q:F)(11:L)(12:L)(R:F)
    (In_Hyps i (Impl P Q) h)->
    (L_Deriv h 11 P)->
        (L_Deriv (Add_Hyp Q h) 12 R)->
            (L_Deriv h (app i l1 12) R) |
    Implies_R :
        (h:Hyps)(P:F)(1:L)(Q:F)
        (L_Deriv (Add_Hyp P h) l Q)->
        (L_Deriv h (lm l) (Impl P Q)).
```

Mutual Inductive
M_Deriv : Hyps $\rightarrow$ M $\rightarrow$ F $\rightarrow$ Prop :=
Choose :
(h:Hyps)(i:V)(P:F)(ms:Ms)(R:F)
(In_Hyps i P h) ->
(Ms_Deriv h P ms R) ->
(M_Deriv h (sc i ms) R) |
Abstract :
$(h: H y p s)(P: F)(m: M)(Q: F)$
(M_Deriv (Add_Hyp P h) m Q)->
(M_Deriv h (lambda m) (Impl P Q))
with
Ms_Deriv : Hyps $\rightarrow$ F $\rightarrow$ Ms $\rightarrow$ F $\rightarrow$ Prop :=
Meet :
(h:Hyps) (P:F)
(Ms_Deriv h P mnil P) |
Implies_S :
(h:Hyps)(m:M)(P:F)(Q:F)(ms:Ms)(R:F)
(M_Deriv h m P) $\rightarrow$
(Ms_Deriv h Q ms R)->
(Ms_Deriv h (Impl P Q) (mcons m ms) R).
Mutual Inductive
N_Deduc : Hyps $\rightarrow \mathrm{N} \rightarrow \mathrm{F} \rightarrow$ Prop :=
Implies_I :
(h:Hyps) ( $\mathrm{P}: \mathrm{F}$ ) ( $\mathrm{n}: \mathrm{N}$ ) ( $\mathrm{Q}: \mathrm{F}$ )

```
                                    (N_Deduc (Add_Hyp P h) n Q)->
                            (N_Deduc h (lam n) (Impl P Q)) |
        AN_Axiom :
        (h:Hyps)(a:A)(P:F)
        (A_Deduc h a P)->
            (N_Deduc h (an a) P)
with
    A_Deduc : Hyps ->> A -> F ->> Prop :=
        Implies_E :
            (h:Hyps)(a:A)(P:F)(Q:F)(n:N)
                (A_Deduc h a (Impl P Q))->
                (N_Deduc h n P) ->
                (A_Deduc h (ap a n) Q) |
        A_Axiom :
            (h:Hyps)(i:V)(P:F)
                (In_Hyps i P h)->
                (A_Deduc h (var i) P).
Lemma M_Admis_Psi :
    (h:Hyps)(n:N) (R:F)
        (N_Deduc h n R)->
        (M_Deriv h (psi n) R).
Lemma M_Admis_Psi' :
    (h:Hyps)(a:A)(ms:Ms) (R:F) (P:F)
    (A_Deduc h a P)}
        (Ms_Deriv h P ms R) -> 
        (M_Deriv h (psi' a ms) R).
Lemma N_Admis_Theta :
    (h:Hyps)(m:M) (R:F)
        (M_Deriv h m R)->
        (N_Deduc h (theta m) R).
Lemma N_Admis_Theta' :
    (h:Hyps)(P:F)(ms:Ms)(R:F)
```

```
(Ms_Deriv h P ms R)->
((a:A) ((A_Deduc h a P)}-
    (N_Deduc h (theta' a ms) R))).
```


## Recursive Definition

```
    Weaken_Hyps : nat->F->Hyps->Hyps :=
```

        0 P h \(\Rightarrow\) (Add_Hyp P h) |
        \((\mathrm{S} n) \mathrm{P} M T \Rightarrow M T\) |
        (S n) P (Add_Hyp Q h) \(\Rightarrow\) (Add_Hyp \(Q\) (Weaken_Hyps \(n \mathrm{P}\) h)).
    Lemma N_Admis_Weaken :
(h:Hyps)(n:N)(P:F)(j:nat)(Q:F)
( N, Deduc h n P ) ->
(lt j (S (Len_Hyps h)))->
(N_Deduc (Weaken_Hyps j Q h) (lift_N j n) P).

Lemma $A_{\text {_ }}$ Admis_Weaken :
(h:Hyps)(a:A)(P:F)(j:nat)(Q:F)
(A_Deduc h a P) ->
(1t j (S (Len_Hyps h)))->
(A_Deduc (Weaken_Hyps j Q h) (lift_A ja) P).

Lemma L_Admis_Weaken :
(h:Hyps)(1:L)(P,Q:F)(j:nat)
(L_Deriv h l P) ->
(1t j (S (Len_Hyps h)))->
(L_Deriv (Weaken_Hyps j Q h) (lift_L j l) P).

Recursive Definition
Hyps_Exchange : nat->Hyps->Hyps :=
i MT $\Rightarrow$ MT |
i (Add_Hyp P MT) $\Rightarrow$ (Add_Hyp P MT) |
0 (Add_Hyp P (Add_Hyp Q h)) $\Rightarrow$
(Add_Hyp Q (Add_Hyp P h)) I
(S i) (Add_Hyp P (Add_Hyp Q h)) $\Rightarrow$
(Add_Hyp P (Hyps_Exchange i (Add_Hyp Q h))).

```
Recursive Definition
    V_Exchange : nat->V->V :=
        i j => (Setifb V (nateqb i j)
        (S i)
        (Setifb V (nateqb (S i) j) i j)).
Recursive Definition
    L_Exchange : nat->L->L :=
    i (vr x) => (vr (V_Exchange i x)) |
    i (app x 11 12) =>
            (app (V_Exchange i x)
                    (L_Exchange i 11)
            (L_Exchange (S i) 12)) |
            i (lm l) => (lm (L_Exchange (S i) l)).
Lemma L_Admis_Exch :
    (h:Hyps)(1:L)(R:F)(j:nat) (P,Q:F)
        (L_Deriv h l R)->
        (In_Hyps j P h) ->
        (In_Hyps (S j) Q h)->
            (L_Deriv (Hyps_Exchange j h)
                    (L_Exchange j 1)
                    R).
Lemma RhoBar1 : (x:V)
    (rhobar (sc x mnil))=(vr x).
Lemma RhoBar2 : (ms:Ms)(x:V)(m:M)
    (rhobar (sc x (mcons m ms)))=
    (app x (rhobar m) (rhobar (sc 0 (lift_Ms O ms)))).
Lemma RhoBar3 : (m:M)
    (rhobar (lambda m))}=(lm(rhobar m))
Lemma L_Admis_RhoBar : (h:Hyps)(m:M)(P:F)
```

```
(M_Deriv h m P)->
    (L_Deriv h (rhobar m) P).
Lemma L_Admis_Rho : (h:Hyps)(n:N)(P:F)
        (N_Deduc h n P)->
        (L_Deriv h (rho n) P).
Mutual Inductive
    Norm_L : L->Prop :=
        norm_vr : (x:V)(Norm_L (vr x)) |
        norm_app :
            (x:V)(11, 12:L)
                (Norm_L 11)->
                    (Norm'_L 12)->
                    (Norm_L (app x l1 12)) |
        norm_1m :
            (1:L)
                (Norm_L 1)->
                    (Norm_L (lm 1))
with
    Norm'_L : L->Prop :=
        norm'_vr : (Norm'_L (vr 0)) |
        norm'_app :
            (11,12:L)
                (Norm_L 11)->
                (Norm'_L 12)->
                    ~(Occurs_In_L O 11) ->
                    ~(Occurs_In_L (S 0) 12)->
                        (Norm'_L (app 0 l1 12)).
```

Lemma Norm_L_RhoBar : (m:M)
(Norm_L (rhobar m)).
Lemma Norm'_L_RhoBar : (ms:Ms)
(Norm'_L (rhobar (sc 0 (lift_Ms 0 ms)))).

```
Inductive
    L_Perm1 : L->L->Prop :=
        l_perm1_lm :
            (11,12:L)
            (L_Perm1 11 12)->
                            (L_Perm1 (lm l1) (lm 12)) |
        1_perm1_app1 :
            (i:V)(111,112,12:L)
            (L_Perm1 111 112)->
            (L_Perm1 (app i 111 12) (app i 112 12)) |
        1_perm1_app2 :
            (i:V)(11,121,122:L)
            (L_Perm1 121 122)->
            (L_Perm1 (app i }11\mathrm{ 121) (app i l1 122)) |
        1_perm1_app_wkn :
            (x:V)(11,12:L)
            ~(Occurs_In_L 0 12)->
            (L_Perm1 (app x 11 12) (drop_L 0 12)) |
1_perm1_app_app1 :
```

```
(x,y:V)(11,12,13:L)
```

(x,y:V)(11,12,13:L)
((Occurs_In_L O 12)\/(Occurs_In_L (S 0) 13)) ->
((Occurs_In_L O 12)\/(Occurs_In_L (S 0) 13)) ->
(Norm'_L 13)->
(Norm'_L 13)->
(L_Perm1 (app x 11 (app (S y) 12 13))
(L_Perm1 (app x 11 (app (S y) 12 13))
(app y
(app y
(app x 11 12)
(app x 11 12)
(app (lift_V O x)
(app (lift_V O x)
(lift_L 0 11)
(lift_L 0 11)
(L_Exchange 0 13)))) |
(L_Exchange 0 13)))) |
1_perm1_app_app2 :
(x:V)(11,12,13:L)
((Occurs_In_L O 12)\/(Occurs_In_L (S 0) 13))->
(Norm'_L 13)->
(L_Perm1 (app x 11 (app 0 12 13))
(app x
1 1
(app 0

```
```

(app (lift_V O x)
(lift_L 0 li)
(lift_L (S 0) 12))
(app (lifts_V (S (S 0)) O x)
(lifts_L (S (S 0)) 0 l1)
(L_Exchange 0
(lift_L (S (S 0)) 13)))))) |

```
    1_perm1_app_lm : \((x: V)(11,12: L)\)
        (L_Perm1 (app x 11 (1m 12))
        (lm (app (lift_V 0 x)
                            (lift_L 0 11)
                            (L_Exchange 0 12)))).
Inductive
    L_Permn : L->L->Prop :=
        1_permn_base :
        ( \(10,11: L\) )
            10=11->
            (L_Permn 10 11) |
        I_permn_rec :
        ( \(10,11,12: L\) )
            (L_Perm1 10 11)->
            (L_Permn 11 12)->
            (L_Permn 10 12).

Lemma L_Admis_Perm1 :
( \(1,10: L\) ) (h:Hyps) (P:F)
(L_Perm1 1 10)->
(L_Deriv h l. P)->
(L_Deriv h 10 P ).

Lemma L_Permnn :
\[
(1,10,11: L)
\]
(L_Permn 1 10)->
(L_Permn 10 11)->
(L_Permn 1 11).

Lemma L_Admis_Permn :
(h:Hyps) (10,11:L) (P:F)
(L_Permn 10 11)->
(L_Deriv h 10 P ) \(\rightarrow\)
(L_Deriv h 11 P ).

Lemma App_Red_M :
```

(x:V)(m1,m:M)
(L_Permn (app x (rhobar m1) (rhobar m))
(rhobar (MsubstVMv x m1 0 m))).

```

Lemma Norm_Red :
(1:L) (L_Permn 1 (rhobar (phibar 1))).

\section*{A. \(2 \quad C M P\) Method Formalisation}

The following are some of the main definitions and lemmas from the CMP method formalisation examined in \(\S 8\).
```

Parameter Var:Set.

```
Parameter Vareqb : Var \(->\operatorname{Var}->\) bool.
Parameter Vareqb_is_eq1 :
( \(\mathrm{x}, \mathrm{y}:\) Var)
\(x=y->\)
(Vareqb x y)=true.

Parameter Vareqb_is_eq2 :
( \(\mathrm{x}, \mathrm{y}: \mathrm{Var}\) )
(Vareqb x y) \(=\) true \(->\)
\(x=y\).

Lemma Vareqb_is_eq3 :
( \(\mathrm{x}, \mathrm{y}: \operatorname{Var}\) )
```

* x=y->
(Vareqb x y)=false.

```
Lemma Vareqb_is_eq4 :
        ( \(\mathrm{x}, \mathrm{y}: \operatorname{Var}\) )
        (Vareqb \(x\) y) \(=\) false \(->\)
    \({ }^{*} x=y\).
Parameter New_Var : (list Var) \(\rightarrow\) Var.
Parameter New_New_Var :
    (1: (list Var))
    * (In Var (New_Var I) 1).
Inductive \(V\) : Set :=
    BV : Var \(\rightarrow\) V |
    FV : Var \(\rightarrow\) V.
Recursive Definition
    VBTF : Var \(\rightarrow\) Var \(->V->V:=\)
        \(x \quad y(B V z) \Rightarrow(\operatorname{Setifb} V(V a r e q b x z)(F V y)(B V z)) \mid\)
        \(x \mathrm{y}(\mathrm{FV} z) \Rightarrow\left(F V_{z}\right)\).
Recursive Definition
    VFTF : Var \(\rightarrow\) Var \(\rightarrow\) V \(\rightarrow\) V :=
    f1 f2 (BV b) \(\Rightarrow\) (BV b) |
    f1 \(f 2(F V f 3) \Rightarrow(F V(S e t i f b \operatorname{Var}(\operatorname{Vareqb} f 1 f 3) f 2 f 3))\).
Mutual Inductive
    \(N\) :Set :=
        Lam : Var \(->\mathrm{N} \rightarrow \mathrm{N}\) |
        an : A \(->N\)
with
    A:Set :=
        ap : \(A \rightarrow N \rightarrow A\) |
        var: \(\mathrm{V}->\mathrm{A}\).
```

Fixpoint
NBTF1 [n:N]: Var->Var->N :=
[b,f:Var]Cases n of
(lam x n') =>
(Setifb N (Vareqb x b)
(lam x n')
(lam x (NBTF1 n' b f))) |
(an a) => (an (ABTF1 a b f))
end with
ABTF1 [a:A]: Var->Var->A :=
[b,f:Var]Cases a of
(ap a' n) => (ap (ABTF1 a' b f) (NBTF1 n b f)) |
(var x) => (var (VBTF b f x))
end.

```

\section*{Mutual Inductive}
```

    Nclosed : N->Prop :=
        lamclosed :
    ```
```

            ( \(\mathrm{x}, \mathrm{y}: \operatorname{Var}\) ) \((\mathrm{n}: \mathrm{N})\)
    ```
            ( \(\mathrm{x}, \mathrm{y}: \operatorname{Var}\) ) \((\mathrm{n}: \mathrm{N})\)
                (Nclosed (NBTF x y n)) \(\rightarrow\)
                (Nclosed (NBTF x y n)) \(\rightarrow\)
                (Nclosed (lam \(x\) n)) |
                (Nclosed (lam \(x\) n)) |
        anclosed :
            (a:A)
                (Aclosed a)->
                (Nclosed (an a))
with
    Aclosed : A->Prop :=
        apclosed :
\[
\begin{aligned}
& (a: A)(n: N) \\
& \quad(A c l o s e d ~ a)-> \\
& \quad(\text { Nclosed } n)-> \\
& \quad(A c l o s e d ~(a p ~ a ~ n))
\end{aligned}
\]
        varclosed :
            (x:Var)
                (Aclosed (Var (FV x))).
```

```
Mutual Inductive
    Nclosed' : N->Prop :=
        lamclosed' :
                (x:Var)(n:N)
                ((y:Var)(Nclosed' (NBTF x y n))) ->
                (Nclosed' (lam x n)) |
    anclosed' :
                (a:A)
                (Aclosed' a)->
                (Nclosed' (an a))
with
    Aclosed' : A->Prop :=
    apclosed' :
                (a:A)(n:N)
                (Aclosed' a)->
                (Nclosed' n)->
                (Aclosed' (ap a n)) |
    varclosed' :
        (x:Var)
                (Aclosed' (var (FV x))).
Mutual Inductive
    Neq : N->N->Prop :=
        lameq :
                (x,y,f:Var)(n1,n2:N)
                ~(Free_In_N f n1)->
                "(Free_In_N f n2)->
                (Neq (NBTF x f n1) (NBTF y f n2))->
                (Neq (lam x n1) (lam y n2)) |
            aneq :
                (a1,a2:A)
                (Aeq a1 a2)->
                (Neq (an a1) (an a2))
with
    Aeq : A->A->Prop :=
        apeq :
```

```
(a1:A)(n1:N)(a2:A)(n2:N)
(Aeq a1 a2)->
    (Neq n1 n2) ->
        (Aeq (ap a1 n1) (ap a2 n2)) |
```

    vareq :
        ( \(x: \operatorname{Var}\) )
    (Aeq \((\operatorname{var}(F V x))(\operatorname{var}(F V x)))\).
    
## Mutual Inductive

```
Neq' : N->N->Prop :=
    lameq' :
                (x,y:Var)(n1,n2:N)
                    ((f:Var) - (Free_In_N f n1)->
                    ~(Free_In_N f n2)->
                    (Neq' (NBTF x f n1) (NBTF y f n2)))->
                        (Neq' (lam x n1) (lam y n2)) |
```

        aneq' :
            (a1, a2:A)
            (Aeq' a1 a2) \(->\)
            (Neq' (an a1) (an a2))
    with
Aeq' : A $->$ A $->$ Prop :=
apeq' :
(a1:A)(n1:N)(a2:A)(n2:N)
(Aeq' a1 a.2) $->$
(Neq' n1 n2) ->
(Aeq' (ap a1 n1) (ap a2 n2)) |
vareq' :
( $x: V a r$ )
(Aeq' $(\operatorname{var}(F V x))(\operatorname{var}(F V x)))$.
Lemma N_A_eq_ind' :
(P: (n, n0:N) (Neq n n0) $->$ Prop)
(PO: (a, a0:A) (Aeq a a0) $->$ Prop)
( $(\mathrm{x}, \mathrm{y}: \operatorname{Var})(\mathrm{n} 1, \mathrm{n} 2: \mathrm{N})$
( $\mathrm{n}:(\mathrm{f}: \text { Var })^{\sim}($ Free_In_N $f \mathrm{n} 1)->$ " (Free_In_N $f$ n2 $)->$

```
                    (Neq (NBTF x f n1) (NBTF y f n2)))
        ((f:Var)
            (n0: ~(Free_In_N f n1))
            (n3: ~(Free_In_N f n2))
            (P (NBTF x f n1) (NBTF y f n2) (n f n0 n3)))->
            (P (lam x n1) (lam y n2) (lameq x y n1 n2 n))) ->
((a1,a2:A)(a:(Aeq a1 a2))
            (PO a1 a2 a) -> (P (an a1) (an a2) (aneq a1 a2 a))) ->
        ((a1:A)(n1:N)(a2:A)(n2:N)
            (a:(Aeq a1 a2))
            (PO a1 a2 a)->
            (n:(Neq n1 n2))
                (P n1 n2 n)->
                    (P0 (ap a1 n1) (ap a2 n2)
                    (apeq a1 n1 a2 n2 a n)))->
                ((x:Var)(PO (Var (FV x)) (Var (FV x)) (vareq x))) ->
                ((n,n0:N)(n1:(Neq n n0))(P n n0 n1))/\
                        ((a,a0:A)(a1:(Aeq a a0)) (PO a a0 a1)).
```

Mutual Inductive

```
N_Deduc : Hyps \(\rightarrow \mathrm{N} \rightarrow \mathrm{F} \rightarrow\) Prop :=
```

    Implies_I :
            ( \(\mathrm{H}: \mathrm{Hyps}\) ) \((\mathrm{P}: \mathrm{F})(\mathrm{b}, \mathrm{f}: \operatorname{Var})(\mathrm{n}: \mathrm{N})(\mathrm{Q}: \mathrm{F})\)
                    ~ (Free_In_N f n) ->
                ~ (Free_In_Hyps \(f\) H) \(\rightarrow\)
                (N_Deduc (Add_Hyp f P H)
                    (NBTF b f n) Q)->
                    (N_Deduc H ( \(\operatorname{lam} \mathrm{b}\) n) (Impl P Q)) )
    AN_Axiom :
            (H:Hyps) (a:A) (P:F)
                (A_Deduc H a P) \(->\)
                (N_Deduc H (an a) P)
    with
A_Deduc : Hyps $\rightarrow$ A $\rightarrow$ F $\rightarrow$ Prop :=
Implies_E :
( $\mathrm{H}: \mathrm{Hyps}$ ) (a:A) (P:F) (Q:F) $(\mathrm{n}: \mathrm{N})$
(A_Deduc Ha(Impl P Q))->
(N_Deduc H n P) $\rightarrow$
(A_Deduc H (ap a n) Q) I
A_Axiom :

$$
\begin{aligned}
& (H: \text { Hyps })(\mathrm{i}: \operatorname{Var})(\mathrm{P}: F) \\
& (\text { In_Hyps i P H) }-> \\
& \quad\left(A_{-} \text {Deduc H }(\operatorname{vax}(F V i))\right. \text { P). }
\end{aligned}
$$

## Lemma Neq_Deduc :

( $\mathrm{H}:$ Hyps) $(\mathrm{n} 1, \mathrm{n} 2: \mathrm{N})(\mathrm{P}: \mathrm{F})$
(N_Deduc H n1 P) ->
(Neq n1 n2) $->$
(N_Deduc H n2 P).

Lemma Aeq_Deduc :
(H:Hyps) (a1, a2:A) (P:F)
(A_Deduc H a1 P) ->
(Aeq a1 a2) $->$
(A_Deduc H a2 P).

Lemma Meq_Deriv :

$$
\begin{aligned}
& (H: \text { Hyps })(\mathrm{m} 1, \mathrm{~m} 2: M)(P: F) \\
& \left(M \_D e r i v H \mathrm{~m} 1 \mathrm{P}\right) \rightarrow \\
& (\text { Meq m1 m2) }-> \\
& \text { (M_Deriv H m2 P). }
\end{aligned}
$$

## Lemma Mseq_Deriv :

(H:Hyps) (ms1,ms2:Ms) (P, Q:F)
(Ms_Deriv H P ms1 Q)->
(Mseq ms1 ms2)->
(Ms_Deriv H P ms2 Q).

Lemma N_Admis_Theta :
(h:Hyps) (m:M) (R:F)
(M_Deriv h m R) ->
(N_Deduc h (theta m) R).

Lemma N_Admis_Theta' :
(h:Hyps)(P:F)(ms:Ms)(R:F)
(Ms_Deriv h P ms R) ->
( $(\mathrm{a}: \mathrm{A})\left(\left(A_{-}\right.\right.$Deduc $h$ a P$)->$
(N_Deduc h (theta' a ms) R))).

Lemma M_Admis_Psi :
(h:Hyps)(n:N)(R:F)
(N_Deduc $h$ n R ) ->
(M_Deriv h (psin) R).

Lemma M_Admis_Psi' :
(h:Hyps) (a:A)(ms:Ms)(R:F)(P:F)
(A_Deduc hap) P )
(Ms_Deriv h P ms R) ->
(M_Deriv h (psi' a ms) R).

## Appendix B

## Full Development in Coq using de Bruijn Indices

This appendix includes all the definitions and the statements of the lemmas proved in the development of the meta-theory from $\S 2$ using de Bruijn indices (approximately 4000 lines of Coq code). Not included are the many lines of proof script (an extra 6500 lines approximately).
APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 118
ecursive Definition
Setifb : bool->genset->genset->genset :=
true $x y \Rightarrow x \mid$
false $x y \Rightarrow y$.
Lemma orbor : (b1,b2:bool)
(orb b1 b2)=true->b1=true\/b2=true.
Lemma ororb: (b1, $2:$ bool $) \rightarrow$ (orb b1 b2)=true.
Lemma ororb : (b1,b2:bool)
Lemma orbor1 : (b1,b2:bool)
(orb b1 b2)=false->b1=false/ $\mathrm{b} 2=f \mathrm{false}$.
Lemma ororb1: (b1,b2:bool)
$\quad(b 1=f a l s e / \mathrm{b} 2=f a l s e) \rightarrow(o r b b 1$ b2 $)=f a l s e$.
Lemma sym_andb : (b1,b2:bool)
(andb b1 b2) $=(a n d b ~ b 2 ~ b 1) . ~$
Lemma andbf: (b:bool)
(andb $b$ false) $=$ false.
Inductive $\quad$ nat->nat->Prop :=
lt_0: (i:nat) (lto(si)) 1

Lenma $S 1:(i, j: n a t)(i=j)->-i=(S j)$.
Lemma S2: $(i, j: n a t)(i=j)->-i=(S(S j))$.
Lemma Splus : ( $\mathrm{i}, \mathrm{j}: \mathrm{nat}$ ) (plus $\mathrm{i}(\mathrm{S} j))=(\mathrm{S}$ (plus $\mathrm{i} j)$ ).
APPENDLX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 117
Declare ML Hodule "autocontra"
Grammar tactic simple_tactic :=
$[$ "Auto_Contra" identarg(\$id) $] \rightarrow$
$[($ TrR (anto_contra \$id) $]$.
Grammar tactic simple_tactic :=
[ "Auto_Contra"] $\rightarrow$
[(TRY (auto_contran))].
Require Bool.
Lemma bool_dec1
: (b:bool)-(Is_true b)->(Is_true (negb b)).
Lema (b:bool) -bstrue->(Is_true (negb b)).

## Lemma bool_dec2

Lemma bool_dec3
: (b:bool)(Is_true b)->(b=true).
Lemma bool_dec4
: (b:bool)-b=false->b=true.
Lemma bool_dec5
$\quad:(b: b \circ 01)^{-b}=t r u e \rightarrow b=f a l s e$.

## Lerma bool_dec6

: (b:bool)b=false->"b=true.
Lemma bool_dec7
: (b:bool)b=true->"b=false.
Section boolean_extension.
Hypothesis genset: Set.
APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 120

| $\begin{aligned} & 0(S j) \Rightarrow \text { true } 1 \\ & (S \text { i) } 0 \Rightarrow \text { false I } \\ & (S \text { i) }(S j) \Rightarrow(1 t b i j) . \end{aligned}$ |
| :---: |
| $\begin{aligned} & \text { Lemma ltb_is_1tt : }(i, j: n a t) \\ & \\ & \quad(1 t i j) \rightarrow(1 t b i j)=t r u e . \end{aligned}$ |
|  |  |
|  |
|  |
| Lemma 1 tb_is_lt3 : ( $\mathrm{i}, \mathrm{j}: \mathrm{nat}$ ) |
| -(lt i j $)$->( 1 tb i $j$ ) $=$ false . |
| Lemma 1tb_is_lt4: (i,j:nat) |
|  |
| Lemma 1t_not_eq1 : (i,j:nat) |
| ( $1 t \mathrm{i} \mathrm{j}$ ) $->-\mathrm{i}=\mathrm{j}$. |
| Definition nat_compare2 : nat->nat->Prop := |
|  |
| Lemma natit_dec : ( $i, j$ :nat) (nat_compare2 i $j$ ) . |
| Lemma 1tS : ( $\mathrm{i}, \mathrm{j}:$ nat) |
|  |
| Lemma 1 tSplus1 : (i,j:nat) |
| (1t i (S (plus i j ) ) . |
| Lemma 1tSplus2 : ( $\mathrm{i}, \mathrm{j}: \mathrm{nat}$ ) |
| (1ti i ( $\mathrm{S}(\mathrm{plus} \mathrm{j}$ i) ) ). |
| Lemma 1 tSplus 3 : ( $\mathrm{i}, \mathrm{j}, \mathrm{k}: \mathrm{nat}$ ) |
| (1ti j $) \rightarrow$ |
| (1t i ( S (plus k j) )). |

APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 119
Lemma Not_Splus : $(i, j: n a t)-(S$ (plus $i j))=j$.
Recursive Definition nateqb : nat->nat->bool :
Recursive Definition nateqb : nat->nat->bool
$00 \Rightarrow$ true ।
( S i) $0 \Rightarrow$ false
$0(s j) \Rightarrow$ false 1
( S i) ( S j ) $\Rightarrow$ (nateqb $i j$ ).
Lemma nateqb_sym : ( $\mathbf{i}, \mathrm{j}:$ nat $)($ nateqb $i j)=($ nateqb $j i)$.
Lemma nateqb_is_eq1 : $(i, j: n a t) i=j->($ nateqb $i j)=t r u e$.
Lemma nateqb_is_eq2 : (i,j:nat)(nateqb i $j$ )=true->i=j.
Lemma nateqb_is_eq3 : $(i, j: n a t)(-i=j) \rightarrow($ nateqb $i j)=f a l s e . ~$
Lemma nateqb_is_eq4 : (i,j:nat)((nateqb i $j)=f a l s e)->-i=j$.
Definition nat_compare1 : nat->nat->Prop :=

Lemma nateqb_dec : ( $\mathrm{i}, \mathrm{j}:$ nat) (nat_compare $1 \mathrm{i} j$ ).
Definition nat_compare : nat->nat->Prop :=
$[i, j:$ nat $] i=j \backslash / \sim i=j$.
Lemma nateq_dec: ( $\mathrm{i}, \mathrm{j}$ :nat) (nat_compare i j ).
Lemma nateq_dec1: (i,j:nat)(P:Prop)
$i=j->$
${ }^{-i=j->}$
P.

[^19]\[

$$
\begin{aligned}
& \begin{array}{l}
i=0-> \\
\text { " } \mathrm{j}=0-> \\
\text { - }=\mathrm{j}=\mathrm{j}-\mathrm{P} \\
\text { " (pre }
\end{array} \\
& \begin{array}{l}
\quad \text { - } \mathbf{i = j - >} \\
\\
\quad \text { - }(\text { pred } i)=(\text { pred } j) .
\end{array} \\
& \begin{array}{l}
\text { Lemma ItiSi : (i,j:nat) } \\
\begin{array}{c}
\text { i=j-> } \\
\\
(\operatorname{It} i(S j)) .
\end{array}
\end{array} \\
& \text { (lt i (S j) } \\
& \text { Lemma notltii : (i,j:nat) } \\
& -(1 t i j) \text {. } \\
& \text { Lemma ItS_ltpred : (i,j:nat) } \\
& \begin{array}{l}
\text { (1t (S i) } j \text { ) } \rightarrow \\
(\text { It } i \text { (pred } j))
\end{array} \\
& \text { Lemma 1tpred_1tS : (i,j:nat) } \\
& \begin{array}{l}
(1 t i(\text { pred } j))-> \\
(1 t(S i) j) .
\end{array} \\
& \text { Lemma } 1 t \text { _S_le : ( } j, i: n a t \text { ) } \\
& \text { (lt i j) }->\text { ( } \mathrm{s} \text { i) } \mathrm{j} \text { ) } \\
& \text { Lemma } \left.1 t_{-} S_{-} 1 \mathrm{e} 2 \text { : ( } i, j: \text { nat }\right) \\
& \text { ( } 1 t \mathrm{i}(\mathrm{~S} j) \text { )-> } \\
& \text { Lemma } 1 t_{-} \text {trans2 : (i,j,k:nat) } \\
& \text { (lt i }(S j))-> \\
& \begin{array}{c}
\text { (It } \mathrm{j} \text { k) } \text { ) } \\
\text { (It } \mathrm{i} k \text { ). }
\end{array}
\end{aligned}
$$
\]

IZt SaoIani nfinyg ga onisa boo ni lnawdotanaa tind 'a xianaddy

## Lemma 1tplusi : (i, j,k:nat) <br> (It i k) $\rightarrow$ <br> (1t 0 j ) $->$ ( 1 t i (plus j k)). <br> Lemma plus_bridge : ( $\mathrm{i}, \mathrm{j}: \mathrm{nat})$ <br> (plus i $\left(\mathrm{S}_{\mathrm{j}}\right)$ ) $=(\mathrm{S}$ (plus i j$)$ ). <br> Lemma S1t: (i,j:nat) <br>  <br> Lemma not1tbii : (i,j:nat) <br> $i=j \rightarrow$ (ltb $i$ <br> ( 1 tb $i j$ ) false..

 Lemma 1tplus2: $\begin{aligned} & (\mathrm{j}, \mathrm{h}, \mathrm{i}: \text { nat }) \\ & (1 t \mathrm{i} h)-> \\ & (2 t \mathrm{i} \text { (plus } \mathrm{j} \mathrm{h})) .\end{aligned}$
## Lemma 1t_trans : ( $k, i, j: n a t)$

Lemma 1t_trans1 : (i, $\mathrm{x}, \mathrm{j}:$ nat $)$
(1t i j $j$ ) $>$
(It (Si) L ).
Lemma 1tnotit: (i,j:nat)
( 1 t i j ) $\rightarrow{ }^{-1}(1 \mathrm{t} \mathrm{j} \mathrm{i})$.
Leama 1tnoto: ( $\mathrm{i}, \mathrm{j}:$ nat $)$
(litilj) $\rightarrow-j=0$.

Lemma 1t_not_1tS : (i,j:nat)
(1t i j) $\rightarrow$
-( 1 t j (Si)).
Lemma plus_eq2 : (i,j:nat)
(plus (s i) $j$ )=
(s (plus i j)).
Lemma plus_right_id : (i:nat)
(plus i 0 ) $=\mathrm{i}$.
Lemma sym_plus : $(i, j:$ nat $)$
(plus i $j$ ) $=($ plus $j i)$.
Lemma 1 tS_not_lt : (i,j: nat)
(1t i ( $\mathrm{S} j)$ )->

- (It j i).
Lemma 1tpluss : (i, j:nat)

$$
(1 t \quad 0 j)->
$$

$$
\left(1 t^{i}(\text { plus } j i)\right) .
$$

$$
\text { Lemma 1tplus4: ( }(, j, j, k: \text { nat })
$$

$$
(1 \mathrm{t}(\mathrm{plus} i j) k)->
$$

$$
(1 \mathrm{t} i \mathrm{k}) .
$$

$$
\text { Lemma 1tplus6: ( } \mathrm{i}, \mathrm{j}, \mathrm{k}, \mathrm{n}: \text { nat) }
$$

$$
(1 t \mathrm{k} \mathrm{i})->
$$

$$
\left(1 t_{n j} \mathrm{j}\right)->
$$

$$
(1 t(p l u s k n)(p l u s i j))
$$

Lemma 1tp1us5: (k, j, i, n: nat)

$$
\text { (1t (plus } i j \text { ) (plus } k n \text { )) )> }
$$

$$
(1 t i k) V(1 t j k) V(1 t i n) V(1 t j n) .
$$

## Recursive Definition

max_nat : nat->nat->nat :=
Lemma max_nato : (i:nat) (max_nat i 0 ) $=$ i.
Lemma sy__max_nat : ( $\mathrm{i}, \mathrm{j}:$ :nat)
(max_nat i j$)=($ max_nat j i$)$.
Lemma $1 t$ __max_nat : ( $\mathrm{i}, \mathrm{j}, \mathrm{k}$ :nat)
(1t i (s (max_nat jk)) ) $\rightarrow$

$\left(\left(1 \mathrm{t}_{\mathrm{i}}(\mathrm{Sk})\right) \wedge(\mathrm{lt} \mathrm{j}(\mathrm{Sk}))\right)$.
Lemma eq_max_nat : ( $i, j, k:$ nat $)$
$(\mathrm{i}=(\mathrm{s} j) \wedge(\mathrm{It} \mathrm{k}(\mathrm{s} j))) \vee$

i=j->
童

$$
\begin{aligned}
& \text { Lemma } 1 t \text { _max_nat }:(i, j, k: n a t) \\
& i=j->
\end{aligned}
$$

(1t i (s (max_nat j k))).
Lemma 1t_max_nat2 :

$$
(\mathrm{i}, \mathrm{j}, \mathrm{k}: \text { nat })
$$

$$
\text { (It i } j \text { ) }->
$$

# form: nat->F I 

Impl: $\mathrm{F} \rightarrow \mathrm{P}->\mathrm{F}$.
Recursive Definition Feqb : F->F->bool := (form x) (form y) $\Rightarrow$ (nateqb $x$ y) । (form x) (Impl $P^{\prime} Q^{\prime}$ ) $\Rightarrow$ false I
( Impl P Q) (form y) $\Rightarrow$ false 1
$(\operatorname{Imp} 1 P Q)\left(\operatorname{Impl} P^{\prime} Q^{\prime}\right) \Rightarrow\left(\right.$ andb $\left(\right.$ Feqb $\left.P P^{\prime}\right)\left(\right.$ Feqb $\left.\left.Q Q^{\prime}\right)\right)$.
Lemma Feqb_sym : ( $P, Q: F)($ Feqb $P Q)=(F e q b Q P)$.
Lemma Feqb_is_eq1 : $(P, Q: F)(P=Q)->(F e q b P Q)=t r u e$.
Lemma Feqb_is_eq2 :
$(\mathrm{P}, \mathrm{Q}: \mathrm{F})($ Feqb $\mathrm{P} Q)=t r u e->\mathrm{P}=\mathrm{Q}$.
Lemma Feqb_is_eq3 : $(P, Q: F)(-P=Q) \rightarrow($ Feqb $P Q)=f a l s e$.
Lemma Feqb_is_eq4: $(P, Q: F)(F e q b P Q)=f a 1 s e->-P=q$.
Definition $F_{-}$comparel : $\mathrm{F}->\mathrm{P}->$ Prop :=
$[P, Q: F](($ Feqb $P Q)=t r u e) V(F e q b P Q)=f a l s e$.
Lemma Feqb_dec : ( $\mathrm{P}, \mathrm{Q}: \mathrm{F})\left(\mathrm{F}_{-}\right.$compare1 $\left.\mathrm{P} Q\right)$.
Definition F_compare: F->P->Prop :=
$[\mathrm{P}, \mathrm{Q}: \mathrm{F}] \mathrm{P}=\mathrm{Q} / \mathrm{V}^{-\mathrm{P}}=\mathrm{Q}$.
Lemma Feq_dec : $(P, Q: F)\left(F \_\right.$compare $\left.P Q\right)$.
Lemma Feq_dec1: ( $i, j: F)(P:$ Prop $)$
Lemma Feq_dec2: ( $\mathrm{i}, \mathrm{j}: \mathrm{F}$ ) ( $\mathrm{P}:$ Prop )

$$
\text { (lt i (max_nat } j k) \text { ). }
$$

Lemma s_max_nat_bridgeo :
(i,j:nat)

[Destruct 1; [Intro | Destruct 1; Intro] | Auto]>>].

[^20]: [Destruct 1;
[Destruct 1; Intro ।
Auto]>>].

$\begin{aligned} \text { Grammar } & \text { tactic simple_tactic : }= \\ & {[\text { "Induction_clear" identarg }(\$ i)] \rightarrow } \\ & {[\text { <:tactic:<Induction } \$ \text { i; Clear } \$ i \gg] . }\end{aligned}$ [ <:tactic:<Induction \$i; Clear \$i>>].
Grammar tactic simple_tactic :=
[ <:tactic:<Injection \$i; Clear \$i; Intros>>].
Inductive $F$ :Set :=
Lemma Hypseqb_is_eq3 :
( $\mathrm{i}, \mathrm{j}:$ Hyps $)\left({ }^{-} \mathrm{i}=\mathrm{j}\right)->$ (Hypseqb $\left.\mathrm{i} j\right)=\left\{\begin{array}{l}\text { alse }\end{array}\right.$.
Lemma Hypseqb_is_eq4:

Definition Hyps_compare1 : Hyps->Hyps->Prop :=
[ $i, j$ :Hyps]((Hypseqb i $j$ )=true) $\backslash$ (Hypseqb i $j$ )=false.
Lemma Hypseqb_dec :
( $\mathrm{i}, \mathrm{j}: \mathrm{Hyps}$ )(Hyps_compare1 i j ).
Definition Hyps_compare :Hyps->Hyps->Prop :=
$[\mathrm{i}, \mathrm{j}: \mathrm{Hyps}] \mathrm{i}=\mathrm{j} \backslash /{ }^{\mathrm{i}} \mathrm{i}=\mathrm{j}$.
(Hyps_compare i j).
(i, j:Hypeq_dec :
In_Hyps : nat->P->Hyps $\rightarrow$ Prop :=
inhyps_base: ( $\mathrm{P}: \mathrm{F}$ ) (h:Hyps)
(In_Hyps 0 P (Add_HyP Ph)) inhyps_rec : (n:nat) ( $\mathrm{P}, \mathrm{Q}: \mathrm{F})(\mathrm{h}: \mathrm{Hyps})$
( In_Hyps $^{\text {n }} \mathrm{Ph}$ ) $\rightarrow$ )
(In_Hyps (S n) P (Add_Hyp Q h) ).

Definition $V$ :Set := nat.
Lemma In_lt :
(h:Hyps)(x:V)(P:F)
(In_Hyps $\times$ Ph) $->$
( $1 \mathrm{t} \times$ (Len_Hyps h)).
Inductive
L:Set :=

APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 127
$i=j->$
${ }_{-i=j->}$

-p.
Grammar command command3 :=
["Hyps"] $\rightarrow$ [\$0=<<(list F)>>].
$[" M T "] \rightarrow[\$ 0=\ll($ nil F$) \gg]$.
Grammar command command3 :=
["Add_Hyp" command:command(\$f) command:command(\$h)] ->
$[\$ 0=\langle<($ cons $\mathrm{F} \$ \mathrm{f}$ \$h) $\gg]$.
Grammar command command3 :=
["Len_Hyps" command:command(\$h)] $\rightarrow$
[\$0 $=\langle<($ length F \$h) $\gg$ ].
( $\mathrm{i}: \mathrm{HyPs}$ ) $(\mathrm{P}: F)-($ Add_HyP $P \mathrm{i})=\mathrm{i}$.
Recursive Definition
Hypseqb : Hyps->Hyps->bool :=
(Add_Hyp $P^{\prime} h^{\prime}$ ) $\Rightarrow$ false |
(Add_Hyp P h) hT $=>$ false I
(Add_HyP Ph) (Add_Hyp $\left.P^{\prime} h^{\prime}\right) \Rightarrow\left(\right.$ andb (Feqb P P $\left.{ }^{\prime}\right)$ (Hypseqb h h $h^{\prime}$ )).
( $\mathrm{i}, \mathrm{j}:$ Hyps) (Hypseqb i j ) $=$ (Hypseqb j i).
Lemin ( $i, j$ :Hyps) $i=j->$ (Hypseqb $i j$ ) $=$ true.
Lemma Hypseqb_is_eq1 :
$(\mathrm{i}, \mathrm{j}:$ Hyps $) \mathrm{i}=j->$
Lemma Hypseqb_is_eq2 :
( $\mathrm{i}, \mathrm{j}: \mathrm{Hyps}$ ) (Hypseqb $i \mathrm{j})=$ true->i=j.
APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 130

zuṬodxTa
$[m: M]: N:=$
CNDCase m of
[ $\mathrm{x}: \mathrm{V}][\mathrm{ms}: \mathrm{Ms}]$
(theta1' ms (var x ))
[m:M]
(lam (theta m))
theta1, [ms:Ms]:A->N :=
$[\mathrm{a}: \mathrm{A}]<\mathrm{N}>$ Case ms of
(an a)
$[\mathrm{m}: \mathrm{M}][\mathrm{ms}: \mathrm{Ms}]$
(theta1] ms
Recursive Definition theta' : A $\rightarrow$ Ms $\rightarrow \mathrm{N}:=$
a ms $\Rightarrow$ (thetal' ms a).
Lemma th1 : $(x: V)(m s: M s)((\operatorname{theta}(s c x m s))=($ theta $(\operatorname{var} x) m s))$.
Lemma th2 : $(\mathrm{m}: \mathrm{H})(($ theta $($ lambda $m))=($ lam $($ theta $m)))$.
Lemma th3: (a:A)((theta' a mnil) $=($ an $a))$.
Lemma th4 : (m:M) (ms:Ms) (a:A) ((theta' a (micons mims)) $=($ theta' $($ ap a $($ theta $m)) \mathrm{ms}))$.
APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 129
vx : v->L 1
app : $\mathrm{v} \rightarrow \mathrm{L}->\mathrm{L}->\mathrm{L} \mid$
$\mathrm{lm}: \mathrm{L}->\mathrm{L}$.
Mutual Inductive
Set :=
se $: v->\mathrm{Ms}->\mathrm{M}^{1}$
lambda: $M->M$
with
Scheme M_Ks_ind1 := Induction for M Sort Prop
with Ms_M_ind1 : = Induction for Ms Sort Prop.
Lemma M_Ms_ind
( $\mathrm{P}: \mathrm{M} \rightarrow$ Prop)
(PO: Ks $\rightarrow$ Prop)
$((v: V)(m: M s)(P O m) \rightarrow>(P(s c \vee m)))$
$\rightarrow((\mathrm{m}: \mathrm{M})(\mathrm{P} \mathrm{m})->(\mathrm{P}($ I ambda m$)))$
$\rightarrow$ (PO minil)
$\rightarrow>((\mathrm{m}: \mathrm{H})(\mathrm{Pm} \mathrm{m}) \rightarrow(\mathrm{mo}: \mathrm{Ms})(\mathrm{PO} \mathrm{m} 0) \rightarrow(\mathrm{PO}($ mcons m m0) $))$
$\rightarrow>(((\mathrm{m}: \mathrm{M})(\mathrm{Pm} \mathrm{m})) \wedge((\mathrm{ms}: M \mathrm{M})(\mathrm{PO} \mathrm{ms})))$.

Scheme N_A_ind1 := Induction for $N$ Sort Prop
gith A_N_ind1 := Induction for A Sort Prop.

# Lemma thetapsi'theta': <br> $(\mathrm{a}: \mathrm{A})(\mathrm{ms}: \mathrm{Ms})($ (theta $(\mathrm{psi}, \mathrm{a} m \mathrm{~m}))=($ theta' a ms$))$. 

## Definition psthids : $\mathrm{H}->$ Prop : $=$

$[\mathrm{m}: \mathrm{M}]((\mathrm{psi}($ theta m$))=\mathrm{m})$.
Definition psth'ps's :Ms->Prop :=
[ms:Ms](a:A)((psi (theta' a ms)) $=\left(\right.$ psi' $^{\prime}$ a ms $)$ ).
Lemma psthid : ((m:M)(psthids m))/<br>((ms:Ms)(psth'ps's ms)).
Lems:Ms) (a:A)((psi (theta' a ms)) =(psi' a ms)).
Recursive Definition lift_V : nat->V->V :=
i $j \Rightarrow$ (Setifb V ( 1 tb j i) $\mathrm{j}(\mathrm{s} j)$ ).
$i(\mathrm{vr} x) \Rightarrow(\mathrm{vr}($ lift_V $\mathrm{i} x)) \mid$

i $(\operatorname{lm} 1) \Rightarrow\left(\operatorname{lm}\left(\right.\right.$ lift_L $\left(S_{\text {i }}\right)$ ) $)$.

> ixpoint lift_M1 [m:M] : nat->M :=
[i:nat]<h>Case in of

чวтฺィ рив
tet SGDIani nfinyg aa onisa 000 ni dnawdotanga tind eq xianaddy

end.
Lemma psi : $(\mathrm{n}: \mathrm{N})($ ( $\mathrm{psi}(\operatorname{lam} \mathrm{n}))=($ 1ambda $(\mathrm{psi} \mathrm{n})))$.
Lenma ps2: $(\mathrm{a}: \mathrm{A})((\mathrm{psi}(\mathrm{an} \mathrm{a}))=($ psi' a mnil) $)$.
Lemma ps3: $(\mathrm{a}: \mathrm{A})(\mathrm{n}: \mathrm{N})(\mathrm{ms}: \mathrm{Hs})\left((\mathrm{psi})^{\prime}(\mathrm{ap} \mathrm{a} \mathrm{n}) \mathrm{ms}\right)$
$=\left(p s i i^{\prime} a(m c o n s(p s i n) m s)\right)$ ).
Leana ps4: $(\mathrm{x}: \mathrm{V})(\mathrm{ms}: \mathrm{Ms})\left(\left(\mathrm{psi} \mathrm{I}^{\prime}(\operatorname{tar} \mathrm{x}) \mathrm{ms}\right)=(\mathrm{sc} \mathrm{xms})\right)$.
Definition thpsids :N->Prop :=
$[\mathrm{n}: \mathrm{N}](($ theta $(\mathrm{psin} \mathrm{n}))=\mathrm{n})$.
Definition thps'th's :A->Prop :=
$[\mathrm{a}: \mathrm{A}](\mathrm{ms}: \mathrm{Ms})\left(\left(\right.\right.$ theta $\left(\right.$ psi' $\left.\left.^{\prime} \mathrm{a} m s\right)\right)=($ theta' $\left.\mathrm{a} m s)\right)$.
Lemma thpsid : $((\mathrm{n}: \mathrm{M})($ thpsids n$)) / \backslash\left((\mathrm{a}: \mathrm{A})\left(\right.\right.$ thps ${ }^{\prime}$ th's a$\left.)\right)$
$(n: N)(($ theta $($ psi $n))=n)$.
Lemma thetapsi:
APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 134

## Lemma LIFTM1 : (i:nat) ( $\mathrm{x}: \mathrm{V}$ )(ms: Ms) <br> $(($ lift_M $\mathrm{i}(\mathrm{sc} \times \mathrm{ms}))=$ <br> (sc (lift_Vix) (1ift_Ms ims))). <br> Lemma LIFTM2: (i:nat)(m:M) <br> $\left(\left(1\right.\right.$ ift_M $^{\text {i }}($ lambda $\left.m)\right)=($ lambda $($ lift_M $(S i) m))$. <br> Lemma LIFTM3 : (i:nat)(lift_Ms i mnil)=mnil.


Lemma LIFTN2 : (i:nat) (a:A) ((1ift_Ni(an a))=(an(1ift_A ia))).
Lemma LIFTN3 : (i:nat)(a:A)(n:N)
$(($ lift_A $i($ ap $a n))=($ ap (lift_A i a) $($ lift_N $i n)))$.

Lemma Lift_Lift_V_Bridge : ( $x: V$ )( $i, j: n a t)$
(It i j)->
( 1 ift_v i ( 1 ift_v j x) ) $=$
(1ift_V (S j) (1ift_V ix)).
Lemma Lift_Lift_L_Bridge : ( $1: L$ ) ( $i, j:$ nat $)$
(1t i j) $\rightarrow$ (ita) $=$
( 1 ift_L ( S j ) ( 1 ift L L i 1 ) )
Definition lift_lift_n_bridge : $\mathrm{k}->$ Prop := $[\mathrm{n}: \mathrm{N}](\mathrm{i}, \mathrm{j}: \mathrm{nat})$
( 1 t i i j )->
(lift_N $\mathrm{i}\left(\right.$ lift_N $\left.^{\mathrm{j}} \mathrm{n}\right)$ ) $=$
APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 133

## 1ift_Ms1 [ms:Ms] : nat->Ms := [i:nat]<Ms>Case ms of <br> mnil <br> 

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## Recursive Definition

lift_M : nat->M->M :=

Recursive Definition
lift_Ms : nat->Ms->Ms :=
i ms $\Rightarrow>($ lift_Ms 1 ms i).
lift
lift_M1[n:M]: nat->N :=
[i:nat]<N>Case $n$ of
[ n : N$]$

(an
(an (lift_A1 a i))

$$
\begin{aligned}
& \text { end with } \\
& \quad \text { lift_A1 [a:A] : nat->A := }
\end{aligned}
$$

[i:nat]<A>Case a of
$\left[a^{\prime}: A\right][\mathrm{n}: \mathrm{B}]$
(ap (lift_A1 a' i) (lift_N1 n i))
$[x: V]$
( $\operatorname{var}($ lift_V $i x))$
Recursive Definition lift_n : nat->N->N := in $\Rightarrow($ lift_N $1 n i)$.

Recursive Definition lift_A : nat->A->A :=
i a $\Rightarrow$ (lift_A1 a i).
(1t j i) $->$
(lift_M i (lift_N j n) ) $=$
$\left(\right.$ lift_M ${ }^{2}$ (lift_M (pred i) n)).
Definition lift_lift_a_bridge0 : A $\rightarrow$ Prop := $[\mathrm{a}: \mathrm{A}](\mathrm{i}, \mathrm{j}: \mathrm{nat})$
(lit ji)->
(lift_A $i($ lift_A $j a))=$
(lift_A j (lift_A (pred i) a)).

((a:A) (lift_lift_a_bridge0 a)).

Lemma Lift_Lift_A_Bridgeo :
(a:A)(i,j:nat)
(It ji)->
(lift_A i $($ lift_A j a) ) $=$

Lemma Lift_Lift_V_Bridge1: $(x: V)(i, j: n a t)$

Definition lift_lift_n_bridge1: $\mathrm{N}->$ Prop :=
$[\mathrm{n}: \mathrm{N}](\mathrm{i}, \mathrm{j}: \mathrm{nat})$
$\stackrel{\hat{1}}{\stackrel{y}{c}}$

Definition lift_lift_a_bridge1 : A->Prop :=
APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 135

Definition lift_lift_a_bridge : $A \rightarrow>$ Prop :=
[a:A](i,j:nat)
Lemma Lift_Lift_N_Bridge :
( $\mathrm{n}: \mathrm{N}$ )(i,j:nat)
(1ift_N $\left.i\left(1 i f t \_N j n\right)\right)=$
(lift_n (S j) (Iift_N in)).

Lenma Lift_Lift_A_Bridge :
(a:A)(i,j:nat)
(1ift_A i (lift_A ja)) $=$
(lift_A ( S ) ( lift_A $^{\text {i a }}$ ) )
Lemma Lift_Lift_V_Bridgeo: ( $x: V)(i, j: n a t)$
( 1 t j i) ->
(1ift_v $i\left(\right.$ lift_l $\left.^{j} x\right)$ ) $=$
(Iift_V j (Iift_V (pred i) x )).
Lemma Lift_Lift_L_Bridgeo : ( $1: \mathrm{L}$ )(i,j:nat)
(1t j i) $->$
(1ift_L i ( 1 ift_L j 1) ) $=$
( iift_L $^{2}$ ( lift_L (pred i) 1)).
Definition lift_lift_n_bridgeo : N ->Prop :=
$[\mathrm{n}: \mathrm{M}](\mathrm{i}, \mathrm{j}: \mathrm{nat})$
APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 138

end. Recursive Definition
drop_M : nat->M->M :=
i $m=($ drop_M1 mi).
Recursive Definition
rop_Hs: nat->Ms->Hs :
$\quad$ i ms $\Rightarrow($ drop_Ms 1 ms i).
Fixpoint
$\quad$ drop_M1 $[n: N]:$ nat->N $:=$
$[i: n a t]$ didCase $n$ of
$\left[n^{\prime}: N\right]$
[a: (1am (drop_M1 n' (S i)))
[a:A]
end with (an (drop_A1 a i))

[i:nat]<A>Case a
$\quad$ (ap (drop_A1 a' i) (drop_N1 n i))
[x:V]
$\quad\left(\operatorname{tar}\left(d r o p \_V i x\right)\right)$
end.
Recursive Definition
drop_M : nat $\rightarrow$ N-
APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 137

Lemma Lift_Lift_N_Bridge1 :
$(n: N)(i, j: n a t)$
$i=j->$

i=j-> $(1 i f t a j a))=(1 i f t-A(S j)$ ( 1 ift_A $i$ a)).
Lemma Lift_Lift_L_Bridge1 : (1:L)(i,j:nat)
$i=j->$

Recursive Definition drop_V : nat->V $\rightarrow \mathbf{V}$ :=
$j i \Rightarrow(S e t i f b V(1 t b i j) i(p r e d i))$.
Recursive Definition

i (app $x$ I1 12) $\Rightarrow$
(app (drop_v i x) (drop_L i 11 )(drop_L (S i) 12)) ।

Fixpoint
drop_M1 [m:M] : nat->M:=
[i:nat]<H>Case $\boldsymbol{n}$ of
[ $\mathrm{x}: \mathrm{V}]$ [ns:Ms]
(sc (drop_Vix) (drop_Msi ms i))

[^21]Hutual Inductive
Decurs_In_M : nat $\rightarrow$ M $\rightarrow$ Prop : :

(i:nat) (x:V)(ms:Ms)
(Occurs_In_V i x ) $\rightarrow$
(Occurs_In_M i (sc xms)) ।
n_sc2 :
(i:nat) ( x
(i:nat)( $x: V)(m s: M s)$
(0ccurs_In_H i (sc $x \mathrm{~ms}$ )) ।
in_lambda:
(i:nat) (m:M)
(Occurs_In_M (Si) m) $\rightarrow$
(Occurs_In_M i ( ${ }^{\text {ambda } \mathrm{m} \text { )) }}$
with $\quad$ Occurs_In_Ms : nat->Ms->Prop :=
Occurs_in_mcons1 :
(i:nat) (m:M)(ms:Ms)

APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 139
in $\Rightarrow$ (drop_M1 $n i$ i).
Recursive Definition
drop_A $:$ nat->A->A $:=$
i a $\Rightarrow$ (drop_A1 a i).
Lemma DROPM1 : ( $\mathrm{i}: \mathrm{nat})(\mathrm{x}: \mathrm{V})\left(\mathrm{ms}: \mathrm{Hs}_{\mathrm{s}}\right)($ (drop_M $\mathrm{i}(\mathrm{sc} \mathrm{x} \mathrm{ms}))=$
(sc (drop_V i $x$ ) (drop_Ms ims))).
Lemma DROPH2 : (i:nat)(m:H)
$(($ drop_H $i \quad($ lambda $m))=($ lambda (drop_M $(\mathrm{S}$ i) m) $))$.
Lemma DROPM4 : $(\mathrm{i}: \mathrm{nat})(\mathrm{m}: \mathrm{M})(\mathrm{ms}: M \mathrm{~s})(($ drop_Ms $\mathrm{i}($ mcons $\mathrm{m} m \mathrm{~m}))=$ (mcons (drop_M im) (drop_Ms ims))).

Lemma DROPN2 : (i:nat) (a:A) ((drop_Ni(an a)) $=($ an (drop_A i a) )).
Lemma (drop_A i (ap a n)) $=($ ap (drop_A i a) (drop_M in))).

Lenma DROPN4 : (i:nat) (x:V)((drop_A i (var $x))=($ var (drop_vix) $)$.
Inductive
Occurs_in_v: $(i, j: n a t) i=j->$
(Occurs_In_V i j).

[^22](Occurs_In_Vix) ${ }^{\text {P }}$
(Occurs_In_V1 i $x$ )=true.
Lemma oIV1_is_OIV2 : (i:V) (x:V)
(Occurs_In_V1 i $x$ ) $=$ true $->$ (Occurs_In_Vix).
Lemma orvi_is_orv3 : (i:V) (x:V)

- (occurs_In_v i $x$ )->
(Occurs_In_V1 i $x$ ) $=$ false.
Lemma OIV1_is_OIV4 : $(\mathrm{i}: \mathrm{V})(\mathrm{x}: \mathrm{V})$

(Occurs_In_V1 i $x)=$ false->
-(0ccurs_In_V ix).
Definition oIV_compare : $V \rightarrow \mathrm{~V}->$ Prop : $=$ $[i: V][x: V]$ (Occurs_In_V i $x$ ) $V^{-\left(O c c u r s-I n \_V\right.}$ i $x$ ).
IV_dec :
$(i: V)(x: V)$
(OIV_compare i $x$ ).
Recuran $\quad v \rightarrow \mathrm{~L}->\mathrm{bool}:=$

$i$ (app $\times 1112$ ) $\Rightarrow$
(0ccurs_In_V1 i $x$ )
(Occurs_In_L1 in (S i) 12)))
i $(\ln 1) \Rightarrow($ Occurs_In_L1 (S i) 1$)$.
If oIL1 :
Lemma oIL1_is_OIL1 :
(Dccursin_L i 1) $\rightarrow$
(Dccurs_In_L1 i 1 )=true.
APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 141

Autual Inductive
Occurs_In_N
Occurs
occurs_
$\qquad$
tal Sajlani nfinua ga pnish OOO ni Lnawdotanaa tina a xianaddy

APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 143

APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRULJN INDICES 146

Lemma OIM1_is_OIM1: ( $\mathrm{i}: \mathrm{V}$ )(m:M)
(Occurs_In_M i m) )
(Occurs_In_M1 i m)=true.
Lemma OTMs1_is_OIMs1 : ( $\mathrm{i}: \mathrm{V}$ ) (ms:Ms)
(Occurs_In_Ms i ms)->
(Dccurs_In_Ms1 i ms)=true.
Definition oim1_is_oim2 : M->Prop :=
Definition oim1_is_oim2: M->Prop :=
$[m: M](i: V)($ Occurs_In_M1 i m)=true->
(Occurs_In_M im).
Definition oims1_is_oims2 : Ms->Prop :=
[ms:Ms] (i:V) (Occurs_In_Ms1 i ms)=true->
(Occurs_In_Ms ims).
Lemma oiM1_is_oiM2 :
$((\mathrm{m}: \mathrm{M})($ oim1_is_oim2 m) $) /$ /
$((\mathrm{ms}: \mathrm{Ms})($ oims1_is_oims 2 ms$))$.
Lemma OIM1_is_OIM2 : (i:V)(m:M)
(Occurs_In_M1 i m)=true->
(Occurs_In_M im).

## Lemma OTMs1_is_OIMs2 :

(i:V)(ms:Ms)
(Occurs_In_Ms1 i ms) =true->
(Occurs_In_Ms ims).

[^23]APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 148

APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 147

Definition Occurs_In_N1: $\mathrm{V} \rightarrow \mathrm{P}$ N->bool :=
$[i: V][n: N]$ (Occurs_In_N2ni).
Definition Occurs_In_A1 : v->A->bool :=
$[i: V][a: A]\left(O c c u r s \_I n \_A 2\right.$ a $\left.i\right)$.
Lemma OIN1: (i:V)(n:N)
(Occurs_In_N1 i $(\operatorname{lam} n))=$
(Occurs_In_N1 (Si) n).
Lemma OIN2: (i:V) (a:A)
(occurs_In_A1 i a).
Lerma OIN3: $(\mathrm{i}: \mathrm{V})(\mathrm{a}: \mathrm{a})(\mathrm{n}: \mathrm{N})$
(Occurs_In_A1 i (ap a n))=
(orb (Occars_In_A1 i a) (Occurs_In_N1 in)).
Lemma OTN4: (i,x:V)
(Occurs_In_A1 i $(\operatorname{var} x))=$
(Occurs_In_v1 i $x$ ).
Definition oin1_is_oin1 : N->Prop :=
$[\mathrm{n}: \mathrm{N}](\mathrm{i}: \mathrm{V})$
(Occurs_In_N i n) ->
(Occurs_In_M1 i n)=true.
Definition oial_is_oial : A->Prop :=
(Occurs_In_A i a) $\backslash$ - $\left(0 c c u r s_{-} I_{-} A i a\right)$.


Definition NOI_lift_a_bridgeo : A->Prop :=
$[\mathrm{a}: \mathrm{A}](\mathrm{i}: \mathrm{V})$

- (Occurs_In_A i a)->
(lift_A i a) $=$
(1ift_A (S i) a).
Lemma $\quad((\mathrm{n}: \mathrm{N})($ ( MOI_lift_n_bridge0 n$))$ )
((a:A) (NOI_lift_a_bridge0 a)).
Lemma NOI_Lift_N_Bridgeo :
$(n: N)(i: V)$
-(Occurs_In_Nin)->
(1ift_N in $n=$
(lift_N (S i) n).


APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 149
(a: A$)(\mathrm{i}: \mathrm{V})$
(Occurs_In_A1 i a)=true->
(Occurs_In_A i a).

## Lemma OIM1_is_OIN3 :

(i:v) (n:N)
-(Dccurs_In_N i n)->
(Occurs_In_N1 i $n$ )=false.
Lemma oinal_is_ota3 :

- (Occurs_In_A i a)->
- (Occurs_In_A1 i a) $=$ false.

Lemma oIN1_is_oIN4 :
$(\mathrm{i}: \mathrm{V})(\mathrm{n}: \mathrm{N})$
(Occurs_In_N1 i n)=false->
-(Occurs_In_N in).
Lemma OIA1_is_OIA4 :
(i:v)(a:A)
(Occurs_In_A1 i a)=false->
-(Occurs_In_A i a).
rop := in).
[i:V][n:N](Occurs_In_N i n) $\mathbf{V}^{-(\text {Occurs_In }}$
Lerma oin_dec :
(i:v) (n:N)

Definition OIA_compare: $\mathrm{V} \rightarrow \mathrm{P}$->PProp :=
$[i: V][a: A]($ Occurs_In_A i a) $\backslash$-( 0 ccurs_In_A i a).
Lemma OIA_dec :
$(\mathrm{i}: \mathrm{V})(\mathrm{a}: \mathrm{A})$


Lemma NOI_Lift_v2 : ( $\mathrm{x}: \mathrm{V})(\mathrm{i}, \mathrm{j}: \mathrm{nat})$
-(0ccurs_In_V (Si) x) $\rightarrow$

- (0ccurs_In_Vix)->
-(Occurs_In_V (S i) (Iift_V $j x)$ ).
- (Occurs_In_M i ( lift_M $\mathrm{i} m)$ ).
Definition noi_lift_ms : Ms $\rightarrow$ PProp :=
[ms:Ms](i:nat)
$\quad$ $\quad$ (Occurs_In_Ms i (lift_Ms ims)).
Lemma NOI_lift_m:
$((\mathrm{m}: \mathrm{M})($ noi_lift_m m$)) \wedge$
$\left(\left(\mathrm{ms}: \mathrm{Hs}_{\mathrm{s}}\right)(\right.$ noi_lift_ms ms $\left.)\right)$.
Lemma NOI_Lift_M :
(m:M)(i:nat)
- (Occurs_In_Hi( (1ift_Mim)).
Definition noi_lift_m1 : M->Prop :=
[m:M] (i:nat) $(\mathrm{j}:$ nat $)$

-(Occurs_In_H $(\mathrm{S}$ i) m$)->$
[m:M] (i:nat) $(\mathrm{j}:$ nat $)$

-(Occurs_In_H $(\mathrm{S}$ i) m$)->$
Lemma NOI_Lift_Hs :
(ms:Hs)(i:nat)
-(Occurs_In_Ms i (Iift_Hs ims)).
-(Occurs_In_M im)->

APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 151
Lemma NOI_Lift_L_Bridge :
(1:L)(i:V)
-(0ccurs_In_L i 1)->
(1ift_L i 1 )=
(1ift_L (S i) 1).
Lemma NOI_Drop_V_Bridgeo :
( $\mathrm{x}: \mathrm{v}$ )(i, $\mathrm{j}: \mathrm{v}$ )
(It i j)->
-(Occurs_In_V $\left.\left(S_{j}\right) x\right)->$
$-($ Occurs_In_V $j(d r o p-V i x))$.
Definition noi_drop_m_briageo : H->Prop :=
[m: $M$ ] (i, $j: \mathrm{v}$ )
(1t i $j$ )
$\rightarrow$-(Occurs_In_M ( s j ) m)
$\rightarrow-($ Occurs_In_M $j$ (drop_M im)).
Definition noi_drop_ms_bridgeo : Ms->Prop :=
[ms:Hs] (i,j:V)


Lemma noi_drop_m_Bridgeo :
((m:M)(noi_drop_m_bridge0 m))
$((\mathrm{ms}: \mathrm{Ms})($ noi_drop_ms_bridge ms$))$
((ms:Ms) (noi_drop_ms_bridgeo ms)).
Lemma NoI_Drop_M_Bridgeo :
(m:M)(i,j:V)
(1t i j)
$\left.\rightarrow{ }^{-\left(0 c c u r s \_I n \_M\right.}(\mathrm{S} j) \mathrm{m}\right)$
$\rightarrow-($ Occurs_In_M $j$ (drop_M im)).
Lemma NoI_Drop_Ms_Bridgeo :
(ms:Ms)(i,j:V)

APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 154 end.

## Recursive Definition

MsubstVHV : $\mathrm{V} \rightarrow \mathrm{M} \rightarrow \mathrm{P}->\mathrm{M}->\mathrm{H}:=$
$x m i m^{\prime} \Rightarrow$ (Hsubstviv1 $\left.m^{\prime} \times m i\right)$.
$\quad x \neq \mathrm{ims} \Rightarrow($ Mssubstviv1 $m s x m i)$.
$[\mathrm{m}: \mathrm{M}](\mathrm{i}:$ nat $)($ drop_M $\mathrm{i}($ (ift_M im$)$ ) $=\mathrm{m}$.
Definition drop._Iift_ms : Ms->Prop :=
[ms:Ms] (i:nat)(drop_Ms i (1ift_Ms i ms))=ms.


## Lemma Drop_Lift_M : (i:nat)(m:M)(drop_M i (1ift_M i m) )=II. <br> Lemma Drop_Lift_Ms : (i:nat)(ms:Ms)(drop_Ms i (Iift_Ms i ms))=ms.


$(x: V)(m: H)(y, z: V)(m s: M s)$
(Msubstvwv $x \mathrm{my}(\mathrm{sc} \mathrm{zms}))=$
(Setifb M

Lemma HSVMV3 : $(x: V)(m: H)(y: V)\left(\left(\right.\right.$ MssubstVhV $^{x} m$ y mnil $)=$ minil $)$.
Lemma MsVKV4 : $(x: V)(m: M)(y: V)\left(\mathbb{m}^{\prime}: M\right)(m s: M s)$
(Mssubstviv x m y (meons m)

Lemma Lift_Drop_v :
( $x: v$ )(i:nat)

- (Occurs_In_V i x) $->$
(1ift_vi(drop_Vix))=x.
Definition lift_drop_m : M->Prop :=
[m:M](i:nat)
(lift_H i (drop_M im) $=$ m.
Definition lift_drop_ms : Ms->Prop :=


# ( Im 1 ) $\Rightarrow$ ( 1 ambda (phibar 1)). 

## Definition lift_psi_bridge : N ->Prop :=

$[n: N](i: n a t)\left(1 i f t \_M i(p s i n)\right)=\left(p s i \quad\left(1 i f t \_N i n\right)\right)$.
Definition lift_psi'_bridge : A->Prop :=

(psi' (lift_A i a) (lift_Ms ims)).

## ( $\mathrm{n}: \mathrm{N}$ ) (1ift_psi_bridge n$)) / \bigwedge$

((a:A)(lift_psi'_bridge a)).
Lemma Lift_Psi_Bridge : ( $n: N$ ) (i:nat)
$($ lift_M $i(p s i n))=(p s i($ lift_N $i n))$.
Lemma Lift_Psi'_Bridge : ( $\mathrm{a}: \mathrm{A}$ )(ms:Hs)(i:nat)
(lift_M i (psi' a ms))=(psi' (lift_A i a) (lift_Ms i ms)).
im)
(m:N)(i:nat)(1ift_M
Lemma Lift_Theta'_Bridge : (a:A)(ms:Ms)(i:nat)
(1ift_M i (theta' a ms))=(theta' (lift_A i a) (lift_Ms ims)).
Lemma Lift_Lift_M_Bridge :
(m: M
(litij)->
(lift_M i ( lift_M $^{\text {j m }}$ ) ) $=$
(lift_M ( s j) (lift_M im)).
Lemma Lift_Lift_Ms_Bridge :
(1tij)->
(lift_Ms i (lift_Ms j ms))=
(Iift_Ms ( s j ) (lift_Ms i ms)).

APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 157
Recursive Definition
Nsubstav: $\mathrm{A} \rightarrow \mathrm{D}->\mathrm{N} \rightarrow \mathrm{P}:=$
a in $\Rightarrow$ (NsubstAV1 na i).
rsive Definition
Asubstav : $A \rightarrow V->A$
a i $a^{\prime} \Rightarrow(A s u$
Lemma NSAV1: (a:A)(i:nat)(n:N)
(1am (NsubstAV (1ift_A 0 a) (S i) n))).
Lemma NSAV2 : (a:A)(i:nat) (a': ${ }^{\prime}$ )
((Nsubstav a i (an a')) =
(an (Asubstava i $a^{\prime}$ ))).
Lemma NSAV3 : $(a: A)(i: n a t)\left(a^{\prime}: A\right)(n: N)$
(ap (Asubstav a i a') (HsubstAV a in))).
Lemma NSAVA: (a:A)(i:nat)(x:V)
(Vsubstav a i x )).
(Nsubstav (ap (var x) (phi 11)) 0 (phi 12))
(1m 1) $\Rightarrow(1$ am (phi 1)).
Recursive Definition
(vr x$) \Rightarrow(\mathrm{sc} \mathrm{x}$ mail $)$
(app $\times 11$ 12) $\Rightarrow$
(HsubstVWV $\times($ phibar 11) 0 (phibar 12)) $\mid$
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APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 160
$((\mathrm{n}: \mathbb{N})($ msub_psi_bridge n$)) / \lambda((\mathrm{a}: \mathrm{A})($ msub_psi'_bridge a) $)$
(psi' (Asubstav (ap (var x) n) y a) (HssubstVAV $x(p s i n) y m s)$ ).

$$
\begin{aligned}
& \text { Lemma Nsub_Theta_Bridge : } \\
& \begin{array}{l}
\text { Lemma Nsub_Theta_Bridge : } \\
(x: V)(m 1: M)(y: V)(m)
\end{array} \\
& \begin{array}{l}
(x: V)(m 1: M)(y: V)\left(m_{2}: K\right) \\
\text { (Msubstav (ap (var x) }
\end{array} \\
& =\left(\text { theta }\left(\text { KsubstVuv } \mathrm{x} \mathrm{~m}^{1} \mathrm{y} \mathrm{~m} 2\right)\right. \text { ). } \\
& \text { Definition theta_drop_m-bridge : H->Prop := } \\
& {[\mathrm{m}: \mathrm{M}](\mathrm{i}: \mathrm{nat}) \text { (theta (drop_M im))=(drop_Ni(theta m)). }}
\end{aligned}
$$

(Msubstvav $x(p s i n 1)$ y (psin2)) $=$
(psi (Isubstav (ap $(\operatorname{var} x) \mathrm{n} 1)$ y n 2$)$ ).
Lemma Msub_Psi'_Bridge :
(a:A)( $\mathrm{x}: \mathrm{V})(\mathrm{n}: \mathrm{N})(\mathrm{y}: \mathrm{V})(\mathrm{ms}: \mathrm{Ms})$
(MsubstVHV $x$ (psin) y (psi' a ms))=
Definition theta'_drop_ms_bridge : Ms->Prop :=
[ms:Ms](a:A)(i:nat)
(theta' (drop_A i a) (drop_Ks i ms ))=(drop_M i (theta' a ms)).
Lemma theta_drop_M_bridge :
( $($ m: $: \mathbf{H}$ ) (theta_drop_m_bridge $m)$ ) $\Lambda$
((ms:Ms) (theta'_drop_ms_bridge ms)).
Lemma Theta_Drop_M_Bridge :
$(m: M)(i: n a t)\left(\right.$ theta $\left.\left(d r o p \_M i m\right)\right)=($ drop_N $i$ (theta $m)$ ).
Lemma Theta'_Drop_Ms_Bridge :
(theta' (drop_A i a) (drop_Ms i ms))=(drop_N i (theta' a ms)).
Lemma Psi_Drop_N_Bridge :

Lemma Lift_Lift_M_Bridgel :
(m:M)(i,j:nat)
(lift_M $\mathrm{i}($ (lift_M j m$)$ ) $=$
(lift_M ( $\mathrm{S}_{\mathrm{j}}$ ) ( lift_M $^{\mathrm{i}} \mathrm{m}$ )).

## Lemma Lift_Lift_Ms_Bridge1:

(ms:Ms)(i,j:nat)
$i=j->$

Definition msub_psi_bridge : N $\rightarrow$ Prop :=
in1) (psi n2)) $=$
(psi (Nsubstav (ap (var x) n1) y n2)).
Definition msub_psi'_bridge : A->Prop :=
$[\mathrm{a}: \mathrm{A}](\mathrm{x}: \mathrm{V})(\mathrm{n}: \mathrm{N})(\mathrm{y}: \mathrm{V})(\mathrm{ms}: \mathrm{Hs})$
(Msubstvev $x$ (psin) y (psi, a ms))=
(psi' (Asubstav (ap ( $\operatorname{var} x$ ) n) y a) (Mssubstviv $x(p s i n) y m s)$ ).

## Lemma Msub_psi_bridge :

```
(Occurs_In_Ms1 i (lift_Ms j ms ) \(=(\) Occurs_In_Ms1 i ms\()\).
```

Lemma oi_lift_M1_1 :
((m:H)(oi_lift_m1_1 m)) $へ$
((ms:Ms) (oi_lift_ms1_1 ms)).
Definition oi_lift_m1_2: M->Prop :=
[m:M](i,j:nat)
$\mathrm{i}=\mathrm{j} \rightarrow$ (Occurs_In_M1 i (lift_M j m) )=false.
ion oi_lift_ms 1_2: Ms->Prop :=
[ms:Ms](i,j:nat)
Lemma oi_lift_M1_2:
$\quad((\mathrm{m}: \mathrm{K})($ oi_lift_m1_2 m$)) / \lambda$
( $(\mathrm{ms}: \mathrm{Ms})$ (oi_lift_ms1_2 ms)).
(m_Lift_M1_2:
(i, $\mathrm{j}: \mathrm{nat})$
$i=j->$
(Occurs_In_M1 i (lift_M j m ) )=false.

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(n:N)(i:nat)
(psi (drop_N in))=(drop_M i (psin)).
Lemma Psi'_Drop_A_Bridge :

Lemma thetaphibarphi : (1:L) (theta (phibar 1))=(phi 1).
Lemma psiphiphibar : ( $1: L$ ) (psi (phi 1) ) $=($ phibar 1$)$.
Lemma or_Lift_V1_1:
( $x: V$ )(i,j:nat)
( 1 t i j ) $->$
(Occurs_In_V1 i ( lift_V $^{j} x$ ) ) $=($ (Occurs_In_V1 i $x$ ).
Lemma oI_Lift_V1_2:
( $x: V)(i, j: n a t)$
$i=j$->
(Occu
(Occurs_In_V1 i ( lift_l $^{2} \mathrm{j} x$ ) )=false.
Lemma OI_Lift_V1_3:
( $\mathrm{x}: \mathrm{v}$ )(i,j:nat)
(It j i) )
(Occurs_In_V1 (s i) (Iift_v ${ }^{\text {j }}$ ) ) $=$
(Occurs_In_V1 i $x$ ).
Lemma OI_Lift_V1_4 : ( $x: V)(i, j: n a t)$
(Occurs_In_V1 (pred i) $x$ ).
Definition oi_lift_m1_1: N ->Prop : $=$
[m:M](i,j:nat)
(1t i j ) $->($ Occurs_In_M1 $i($ Iift_M $\mathrm{j} m$ ) $)=($ Occurs_In_M1 $\mathrm{i} m$ ).

Definition oi_lift_.m1_3: $\mathbf{M}->$ Prop :=
[m:M](i,j:nat)
(Occurs_In M1 (S i) ( 1 ift_M j m ) $)=($ Occurs_In_M1 i m).
Definition oi_lift_ms1_3: Ms->Prop :=
[ms:Ms] (i,j:nat)
(Occurs_In_Hs1 (S i) (Iift_Hs j ms )) $=$ (Occurs_In_Ms1 i ms).
Lemma oi_lift_M1_3 :
( $(\mathrm{m}: \mathrm{M})($ oi_lift_m1_3 m) )/
((ms:Ms)(oi_lift_ms $\left.1_{-} 3 \mathrm{~ms}\right)$ ).
Lemma or_Lift_M1_3:
(m:H)(i,j:nat)
(1t $\mathrm{j}_{\mathrm{i}}$ )->

Lemma or_Lift_Ms1_3:
(ms:Ms)(i,j:nat)
( 1 t j i) $\rightarrow$
(Occurs_In_Ms1 (s i) ( lift_Ms j ms ))=(Occurs_In_Ms 1 i ms).
Definition oi_lift_m1_4: $\boldsymbol{M} \rightarrow$ PProp : $=$
[m:M](i,j:nat)
(1t $j$ i) $\rightarrow$ (lift_M $j$ m) $)=$ ( Occurs_In_M1 (pred i) m).
Definition oi_lift_ms1_4: Ms->Prop :=
[ms:Hs](i, $j:$ nat $)$
Lemma oi_lift_N1_3 :
lift_N1_3 :
$((\mathrm{n}: \mathrm{N})($ oi_lift_n1_3 n$)) /$ /
((a:A) (oi_lift_a1_3 a)).
Lemma or_Lift_N1_3 :
$(n: N)(i, j: n a t)$
(Occurs_In_N1 (S i) (1ift_N $\mathrm{j}_{\mathrm{n}}$ )) $=($ (Occurs_In_N1 i n).
(Occurs_In_A1 (S i) (lift_A ja))=(0ccurs_In_A1 i a).
(Occurs_In_M1 i ( lift_N $^{\mathrm{j}} \mathrm{n}$ ) ) $=($ (Occurs_In_M1 (pred i) n$)$.
Definition oi_lift_a1_4: A->Prop :=
[a:A](i,j:nat)
(
(Occurs_In_M1 i ( lift_M $^{\mathrm{j}} \mathrm{n}$ ) ) $=($ Occurs_In_N1 (pred i) n).
Lemma OI_Lift_A1_4:
(a:A)(i,j:nat)

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APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES
(lt $j$ i) $)$
(Occurs_In_A1 i ( Iift_A $^{\text {j }}$ a) ) $=($ Occurs_In_A1 (pred i) a).

> Lemma OI_Lift_L1_4:
> (1:L) (i, $\mathrm{j}:$ nat $)$
> (lt ji)->
Lemma noi_msub_b_Bridge :
$((\mathrm{m}: \mathrm{M})($ noi_msub_b_bridge m) $) / \backslash$
( $(\mathrm{ms}: \mathrm{Ms})($ noi_mssub_b_bridge ms) ).

Lemma HoI_Mssub_Bridge :
(ms:Ms) $(x: V)(m 1: M)(i: n a t)$

- (Occurs_In_Ms i ms)->
(MssubstVHV xm 1 i ms)=(drop_Ms ims ).
Lemma Lift_Drop_V_Bridge1: ( $x: V)(i, j: n a t)$
(1t j i) $\rightarrow$
- (Occurs_In_V ${ }^{\text {r }}$ ) )
APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 169

| ```-(0ccurs_In_V i x)-> (1t j (S i))-> (drop_v (S i) (lift_v j x))= (lift_v j (drop_v i z)).``` |
| :---: |
| ```Definition drop_lift_n_bridge1 : N->Prop := [n:M](i,j:nat) -(Occurs_In_N i n)-> (lt j (S i))-> (drop_N (S i) (lift_N j n))= (lift_N j (drop_N i n)).``` |
| Definition drop_lift_a_bridge 1 : A->Prop := |
| Lemma drop_lift_n_Bridge1 : <br> $\left((n: N)\left(d r o p \_l i f t \_n \_b r i d g e 1 n\right)\right) / \lambda$ <br> ((a:A)(drop_lift_a_bridgel a)). |
| Lemma Drop_Lift_N_Bridge1 : |
| Lemma Drop_Lift_A_Bridge1 : |


Lemma Lift_Mssub_Bridge0 :
( $\mathrm{x}: \mathrm{V})(\mathrm{m}: \mathrm{H})(\mathrm{ms}: \mathrm{Ms})(\mathrm{i}, \mathrm{j}: \mathrm{nat})$
(It i j) ->
( Iift_Hs j (HssubstVHV $\times \mathrm{m} \mathrm{i} m \mathrm{~m}$ )) $=$

Definition 1ift_msub_bridge2 : $\boldsymbol{M} \rightarrow$ Prop : $=$
$[m: H](x, y: V)(m 1: M)$



> Definition lift_mssub_bridge2 : Kss>Prop :=
[ms:Ms] ( $x, y: V)(m 1: M)$
(MssubstVHV $x \mathrm{~m}_{1}$ (S y) (1ift_Ms y (1ift_Ms (S y) ms)))=
(MssubstVMV $x \mathrm{~m}^{1} \mathrm{~g}$ (1ift_Ms (S (S y)) (1ift_Ms (S y) ms))). Lemma Lift_msub_bridge2 :
$\left((m: H)\left(1 i f t \_m s u b=b r i d g e 2 m\right)\right) / \lambda$
((ms:Ms) (1ift_mssub_bridge2 ms)).
Lemma Lift_Nsub_Bridge1:
( $\mathrm{n}: \mathrm{N})(\mathrm{i}, \mathrm{j}:$ nat $)(\mathrm{a}: \mathrm{A})$
i=j->
Lemma lift_nsub_b_Bridge1:
$\left((n: N)\left(1 i f t \_n s u b \_b \_b r i d g e 1 n\right)\right) \wedge((a: A)($ lift_asub_b_bridge1 a) ).

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APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES
$\quad \mathrm{i}=\mathrm{j}->$
(lift_A j (VsubstaV a i x$)$ ) $=$
(Vsubstav (lift_A ja) i (lift_V ( $\mathrm{S}_{\mathrm{j}}$ ) x )).

(lift_A j (Vsubstavain))=
(Vsubstav (1ift_A j a) (s i) ( Iift_V $^{\mathrm{j}} \mathrm{x}$ )). Definition lift_nsub_b_bridge1 : N->Prop :=

i=j->
(lift_N $j$ (NsubstaV a i n)) $=$
(NsubstaV (1ift_A $j$ a) i ( 1 ift_N ( $S_{j}$ ) n)).
Definition lift_asub_b_bridge1: A->Prop :=
[a:A](i,j:nat)(a1:A)
$i=j$->
(lift_A j (Asubstaval i a))=
(Asubstav (1ift_A j a1) i ( (ift_A $(\mathrm{s} \mathrm{j})$ a)).

(AsubstAV (1ift_A ja1) i (lift_A (s j) a)).
( 1 t i j ) $\rightarrow$ (
(1ift_M j (HsubstVMV Im imo)) $=$
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APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES
i=j->
$\begin{aligned} & \text { (1ift_N j (Nsubstav a i n }) \text { ) }=\end{aligned}$

(NsubstAV (1ift_A ja) (S i) (lift_N j n) ).
Definition lift_asub_b_bridge3 : A->Prop :=

i=j->

ifft_nsub_b_Bridge3 :
$((\mathrm{n}: \mathrm{N})$ (lift_nsub_b_

Lemma Lift_Nsub_Bridge3 :
$(n: N)(i, j:$ nat $)(a: A)$
i=j->


Lemma Lift_Msub_Bridge 1 :
$(x: V)(m, m 0: M)(i, j: n a t)$
i=j->


((Occurs_In_Hs $\times$ ms $)$ <br>(Occurs_In_A $x$ a)) $)$-> (Occurs_In_N $x$ (theta' $a_{m s}$ )).


Lemma oI_Psi :
$(\mathrm{n}: \mathrm{N})(\mathrm{x}, \mathrm{V})$
(Occurs_In_N $x$ n)->
Lemma OI_Psi' :
(a:A)(ms:Hs)(x:V)
((Occurs_In_A $x$ a) $/$ (Occurs_In_Hs $\times$ ms)) ->
(Occurs_In_M x (psi' a ms)).
Definition noi_theta : M->Prop :=
[ $\mathrm{m}: \mathrm{M}](\mathrm{x}: \mathrm{V})$

- (Occurs_In_M $\times$ m) $->$
- (Occurs_In_N $x$ (theta m)).

Definition noi_theta' : Ms->Prop :=
-(Occurs_In_Hs xms )->

- (occurs_In_A $x$ a) ->

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Definition oi_theta' : Ms->Prop :=
[ms:Ms] (a:A) $(x: V)$
((Occurs_In_Ks $\times \mathrm{ms})$ <br>(Occurs_In_A $\times$ a))-> ( Occurs $_{-} \mathrm{In}_{-} \mathrm{N} x$ (theta' a ms)).

Lemma oI_theta :
( $(\mathrm{m}: \mathrm{M})$ (oi_theta m$)) / \lambda$
((ms:Ms) (oi_theta' ms)).
Lemma OI_Theta :
(m:M)(x:V)
(Occurs_In_M $x$ m)->
(Occurs_In_N $x$ (theta $m$ )).
Lemma OI_Theta' :
(ms:Ms)(a:
(ms:Ms)(a:A)(x:V)
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 (1:L)(P 1)

## Lemma Height_Lift_L :

(1:L) (i:nat)

(Height_L (lift_L i 1 )) $=$
(Height_L 1).
Lemma HTM1: ( $x: V)$ (ms:Ms)
Lemma HTM2 : (m: K)
(Height_M (lambda m) $=(\mathrm{S}($ Height_M m)).
(Height_Ms (mcons mms))=(s (max_nat (Height_M m) (Height_Ms ms))).
Lemma Height_Ms_Zero_Nill : (ms:Hs)
"ms=mnil->
(1t 0 (Height_Ms ms)).
APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 177
$-($ (occurs_In_N $\times($ theta' a ms)).
Lemma noi_Theta :
$((m: N)($ noi_theta $m)) / \Lambda$
((ms:Ms) (noi_theta' ms)).
Lemma NoI_Theta :
( $\mathrm{x}: \mathrm{V}$ ) (m:M)
-(0ccurs_In_M $\times$ m)->

- (Occurs_In_N $\times($ theta m$)$ ). (1m 1) $\Rightarrow($ ( (Height_L 1 )).
$(\mathrm{vr} \mathrm{X}) \Rightarrow 012($ (max_nat $($ Height_L 11) (Height_L 12))) |
Height_L : L->nat
- (Occurs_In_A x a) $->$
-(Occurs_In_Hs $x$ ms)->
${ }^{-}$(Occurs_In_N $x$ (theta' a ms)).
Recursive Definitio
Definition
$[11,12: L](1 t$ (Height_L 11) (Height_L 12)).
(P) $->$
(1:L) (P 1).
Lemma L_Height_ind :
(Height_Ms (1ift_Ms i ms))=
(Height_Ms ms).
Section HeightMind.
Variable P:M->Prop.
Variable P0:Hs->Prop.
Definition QSM : M->Prop :=
[m: M]
$\left(\left(1 t^{\left(H e i g h t \_M ~ m 1\right)}\right.\right.$ (Height_M m)) $V$
(Height_M ( PO ms 1)).

Definition QSMs : Ms->Prop :=
[ms:Ms]
((1t (Height_M mi) (Height_Ms ms)) V (Height_M m1) $=($ Height_Ms ms)) $->$
$\left(\mathrm{P}_{\mathrm{m} 1)}\right) / \mathrm{M}$
((ms 1:Ms) (Height_Ms ms1)=(Height_Ms ms))->

$((\mathrm{m}: \mathrm{M})(\mathrm{Pm})) / \wedge((\mathrm{ms}: \mathrm{Ks})(\mathrm{PO} \mathrm{ms}))$.


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Lemma Height_Hs_Zero_Mil : (ms:Ms)

$$
\text { (Height_Ms ms) }=0->
$$

Lemma Height_M_not_eq_not_eq :
$(m: M)(m 0: M)$
( $m: M$ ) ( $\mathrm{m} 0: \mathrm{M}$ ) - $\mathrm{m}=\mathrm{m} 0$.

> Lemma Height_Ms_not_eq_not_eq :
(ms:Ms)(mso:Ms)
-(Height_Ms ms)=(Height_Ms mso)->
"ms=mso.
Definition height_lift_m : M->Prop :=
[m:M] (i:nat)
(Height_M (lift_
Definition height_Iift_ms : Ks->Prop :=
(Height_Ms (lift_Ms ims))=
(Height_Ms ms).
Lemma height_lift_M :
$((\mathrm{m}: \mathrm{M})($ height_Iift_m m $)$ ) $\$
$((\mathrm{ms}: M \mathrm{M})($ height_lift_ms ms $))$.
im) $=$

Lemma Height_Lift_M :
(Height_M m).
Lemma Height_Lift_Hs :
(ms:Hs)(i:nat)

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$\left(\left((\mathrm{m} 1: \mathrm{M})\left(1 \mathrm{t}\right.\right.\right.$ (Height_M m1) (Height_Mm)) $\left.\rightarrow\left(\mathrm{P} \mathrm{m}_{1}\right)\right) \wedge$
 ((ms: Ms)
$(($ (ms 1:Ms) (1t (Height_Ms ms1) (Height_Ms ms)) $\rightarrow$ (P0 ms1)) $\$
 $((\mathrm{m}: \mathrm{H})(\mathrm{Pm})) / \backslash((\mathrm{ms}: \mathrm{Ms})(\mathrm{PO} \mathrm{ms}))$.

Recursive Definition
$0_{j}=\Rightarrow x \mid$
(S i) $j x=>\left(\right.$ lift_l $_{j}$ (lifts_Vijx)).
Recursive Definition
1ifts_L : nat->nat->L->L :=

i $j$ (app $\times 1$ 10) $\Rightarrow$
(app (1ifts_V i j x)
(lifts_L i j 1)
(Iifts_L i ( S j) 10)) $\mid$

lifts_M1 [m:M] : nat->nat->M :=
[i,j:nat]
[ $\mathrm{x}: \mathrm{V}]$ [ms:Ms]
(sc (lifts_Vijx) (lifts_Msims i j)) [m:M]
(lambde (1ifts_M1 mi (s j)))
end
lifts_Ms1 [ms:Ms] : nat->nat->Hs := [i,j:nat]
mnil
[m:M] [ms:Ms]

Lemma Lifts_Ko :
(m:M)(j:nat)
(lifts_M0 $j \mathrm{~m}$ ) $=\mathrm{m}$.
Lemma Lifts_Mso :
(ms:Ms)(j:nat)
( Iifts_Ms $^{0} \mathrm{j} \mathrm{ms}$ ) $=\mathrm{ms}$.
Lemma Lifts_L_rec1: ( $1: L$ ) $(i, j:$ nat $)$
$\quad\left(1 i f t s_{-} L(S i) j 1\right)=\left(1 i f t \_L j\right.$
Definition lifts_m_rec1 : M->Prop :=
[m: $M](i, j: n a t)$


Definition 1ifts_ms_rec1 : Ms $->$ Prop : $=$
[ms:Ms](i,j:nat)
(lifte_Ms (s i) j ms )=(Iift_Ms j (lifts_Ms i j ms )). Lemma Lifts_m_reci :
$((m: M)(1$ ifts_m_rec $1 m)) \wedge$
((ms:Ms) (1ifts_ms_rec1 ms)).
Lemma Lifts_M_rec1 :
(m:K)(i,j:nat)
Lemma Lifts_Ms_rec1 :
(ms:Ms)(i,j:nat)
(lifts_Ms (S i) j ms)=(lift_Ms j (lifts_Hs $\mathrm{i} j \mathrm{~ms}$ )).
Lerma Lifts_V_rec2:
$(\mathrm{x}: \mathrm{V})(\mathrm{i}, \mathrm{j}:$ nat $)$




> Lemma rhothetarhobar : (m:H)
> (rho (theta m) )=(rhobar n).

Lemma Rhobar1 : $(x: V)$
(rhobar $(\operatorname{sc} x \operatorname{mnil}))=(\operatorname{dr} x)$.
Lemma Rhobar2: ( $\mathrm{x}: \mathrm{V}$ )(m:H)
(rhobar $(\operatorname{sc} x($ meons $m$ mill) $))=$ (app $\times($ rhobar m$)(\mathrm{vr} 0))$.

Lemma Rhobar3 : ( $x: V$ )(m:M)(ms:Hs)
(rhobar (sc x (mcons m ms)))=
(app $\times$ (rhobar m) (rhobar1 ( S 0 ) ms)).
Lemma Rhobar4 : (m:H)
(rhobar ( ( ambda m)) $=($ lim (rhobar m)). Lemma Rhobar5 : (i:nat)

Lemma Rhobar5 : (i:nat)
(rhobar1 i minil) $=(\sigma r 0)$.
Lemma Rhobar6 : (m:M)(ms:Hs)(i:nat)
(rhobari i (mcons mms))=
(lifts_Li i 0 (rhobar m))
(rhobar1 (S i) ms)).
Definition phibarrhobar1 : $M->$ Prop : $=$
[m:M]
(phibar (rhobar m))=m.
Definition phibarrhobar2 : Ms->Prop :=
[ms:Hs]
$(\mathrm{m}: \mathrm{H})(\mathrm{i}:$

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(A_Deduc h (var i) P).

Definition m_admis_psi :
$(\mathrm{h}: \mathrm{Hyps})(\mathrm{n}: \mathrm{N})(\mathrm{R}: \mathrm{F})(\mathrm{N}$ Deduc $\mathrm{h} \cap \mathrm{R})->$ Prop :=
$[\mathrm{h}: \mathrm{Hyps}][\mathrm{n}: \mathrm{N}][\mathrm{R}: \mathrm{F}]\left[\mathrm{Prf}:\left(\mathrm{N}_{-}\right.\right.$Deduc $\left.\left.\mathrm{h} n \mathrm{R}\right)\right]\left(\mathrm{M}_{-} \operatorname{Deriv} \mathrm{h}(\mathrm{psin} \mathrm{n}) \mathrm{R}\right)$.
Definition m_admis_psi' :
( $\mathrm{h}: \mathrm{Hyps}$ ) (a:A) (P:F) (A_Deduc ha P)->Prop :=
$[\mathrm{h}: \mathrm{Hyps}][\mathrm{a}: \mathrm{A}][\mathrm{P}: \mathrm{F}]\left[\mathrm{prf}:\left(\mathrm{A} \_\right.\right.$Deduc h a P$\left.)\right]$

 $\left((\mathrm{h}: \mathrm{Hyps})(\mathrm{a}: \mathrm{A})(\mathrm{R}: \mathrm{F})\left(\mathrm{prf}:\left(\mathrm{A}_{-}\right.\right.\right.$Deduc h a R$\left.)\right)($ m_admis_psi' h a R prf $)$ ),
Lemma M_Admis_Psi:
$(\mathrm{h}: \mathrm{Hyps})(\mathrm{a}: \mathrm{A})(\mathrm{ms}: \mathrm{Hs})(\mathrm{R}: \mathrm{F})(\mathrm{P}: \mathrm{F})$
(A_Deduc $\mathrm{h} a \mathrm{P}$ ) $\rightarrow$
$(\mathrm{h}: \mathrm{Hyps})(\mathrm{n}: \mathrm{N})(\mathrm{R}: \mathrm{F})$
(N_Deduc h n R ) ->
(M_Deriva (psin) R).

$[\mathrm{h}: \mathrm{Hyps}][\mathrm{m}: \mathrm{M}][\mathrm{R}: \mathrm{F}]\left[\mathrm{prf}:\left(\mathrm{M}_{-}\right.\right.$Deriv $\left.\left.\mathrm{h} m \mathrm{~m}\right)\right]$ (N_Deduc h (theta m ) R).
Definition n_admis_theta' :
(h:Hyps)(P:F)(ms:Ms)(R:F)(Ms_Deriv h P ms R) $\rightarrow$ Prop :=
[h:Hyps][P:F][ms:Ms][R:F][prf:(Ms_Deriv h P ms R)]
$(\mathrm{a}: \mathrm{A})\left(\left(A_{-}\right.\right.$Deduc h a P$) \rightarrow$ (N_Deduc h (theta' a ms) R)).
Lemma N_admis_theta :
$((\mathrm{h}: \mathrm{Hyps})(\mathrm{m}: \mathrm{H})(\mathrm{P}: \mathrm{F})$

APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 194

APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 193

Lemma N_Admis_Theta :
(h:Hyps)(m:M)(
$(\mathrm{h}:$ Hyps $)(\mathrm{m}: \mathrm{K})(\mathrm{R}: \mathrm{F})$
(h_Deriv $\mathrm{h} \boldsymbol{\mathrm { m }} \mathrm{R}$ ) $->$
(N_Deduc h (theta m) R).
Lemma N_Admis_Theta' :
(h:Hyps)(P:F)(ms:Ms)(R:F)
(Ms_Derivh Pms R)->
( $(\mathrm{a}: \mathrm{A})\left(\left(A_{-}\right.\right.$Deduc h a P$)->$
(N_Deduc h (theta' a ms) R))).

## Recursive Definition

Veaken_Hyps : nat->F->Hyps->Hyps :=
$0 \mathrm{Ph} \Rightarrow$ (Add_Hyp Ph) |


$$
\begin{aligned}
& \text { n_Heaken_Hyps : } \\
& \text { (i,j:nat)(h:Hyps) (P,Q:F) } \\
& \text { (It j (S (Len_Hyps h)))-> } \\
& \text { (In_Hyps i P h) -> } \\
& \quad \text { (In_Hyps (lift_V j i) P (Ha }
\end{aligned}
$$

Definition $n_{-}$admis_reaken :
(h:Hyps)(n:N)(P:F)(N_Deduc h n P)->Prop :=
$[\mathrm{h}: \mathrm{Hyps}][\mathrm{n}: \mathrm{N}][\mathrm{P}: \mathrm{F}][\mathrm{D}:(\mathrm{N}$, Deduc $\mathrm{h} \boldsymbol{\mathrm { n }} \mathrm{P}$ ) $]$
( $\mathrm{j}: \mathrm{nat}$ ) $(\mathrm{Q}: \mathrm{F})$
(N_Deduc (Weaken_Hyps j Q h) (1ift_N j n) P).
Definition a_admis_reaken :
(h:Hyps)(a:A)(P:F)(A_Deduc ba P) $\rightarrow$ Prop :=
APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 196

Definition 1_admis_strengthen : $(\mathrm{h}: \mathrm{Hyps})(1: L)(\mathrm{Q}: \mathrm{F})(\mathrm{L}$ _Deriv $\mathrm{h} ~ 1 ~ Q) \rightarrow$ Prop : $=$ $[\mathrm{h}:$ Hyps $][1: \mathrm{L}][\mathrm{Q}: \mathrm{F}][10:(\mathrm{L}$-Deriv h 1 q$)]$
(i:nat)
(Occurs_In_L i 1)->
Lemma L_admis_strengthen : ( $\mathrm{h}: \mathrm{Hyps}$ )(1:L)(Q:F)(10:(L_Deriv h 1 Q))


> Lemma L_Admis_Strengthen :
> (L_Deriv (Strengthen_Hyps i h) (drop_L i i) Q).
> Recursive Definition
> Hyps_Exchange : nat->Hyps->Hyps :=
> i $\mathrm{HT} \Rightarrow \mathrm{MT}$ I
> 0 ( ddd_HyP P $^{\text {P }}$ (Add_Hy Q h) ) $\Rightarrow$


(L_Deriv (Strengthen_Hyps i h) (drop_L i i 1 ) q).
APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 198

APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 197
(Add_Hyp Q (Add_Hyp P h)) 1
(S i) (Add_HyP P (Add_HyP $Q$ h)) $\Rightarrow$
(Add_HyP P (Hyps_Exchange i (Add_Hyp q h) )).
Recursive Definition
V_Exchange : nat $\rightarrow V->V:=$
i $j$ => (Setifb V
Recursive Definition
(nateqb $\left(\begin{array}{l}\mathrm{S} \\ \mathrm{i}) \mathrm{j}) \\ \mathrm{i} \\ \mathrm{j}) \text { ). }\end{array}\right.$.


$i(v x x) \Rightarrow\left(v r\left(V_{-}\right.\right.$Exchange $\left.\left.i x\right)\right)$
$i($ app $x 1112) \Rightarrow$
(app (V_Exchange i $x$ )
(L_Exchange i 11 )
Fixpoint
$\quad$ M_Exchange1 [m:M] : nat->M :
[i:nat]<M>Case II of
[ $\mathrm{x}: \mathrm{V}][\mathrm{ms}: \mathrm{Ms}]$

[m':M]
end with
Hs_Exchange1 [ms:Ms] : nat $\rightarrow$ Ms :=
[i:nat]<Ms>Case ms of i:nat]<Hs>Case ms of
mil
[m:M][ms':Ms]
(mcons (M_Exchange1 mi) (Ms_Exchange1 ms' i))
appendix b. FUll development in coQ using de bruinn indices 200

(In_Hyps (V_Exchange j i) P (Hyps_Exchange jh)).
$(\mathrm{h}: \mathrm{Hyps})(1: \mathrm{L})(\mathrm{R}: \mathrm{F})(\mathrm{L}$ _Deriv $\mathrm{h} I \mathrm{R}) \rightarrow$ Prop :=
$[\mathrm{h}:$ Hyps $][1: L][\mathrm{R}: \mathrm{F}]\left[10:\left(L_{-} \operatorname{Deriv} h 1 \mathrm{R}\right)\right](\mathrm{j}: \mathrm{nat})(\mathrm{P}, \mathrm{Q}: \mathrm{F})$
(In_Hyps $j$ Ph)->
(In_Hyps (S j) Q h)->
(L_Deriv (Hyps_Exchange jh)
(L_Deriv (Hyps_Exchange j h)

(1_admis_exch1h1RD).
$(\mathrm{h}: \mathrm{Hyps})(1: \mathrm{L})(\mathrm{R}: \mathrm{F})(\mathrm{j}: \mathrm{nat})(\mathrm{P}, \mathrm{Q}: \mathrm{F})$
APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 199

Lemma HExch3 : (i:nat)(Ms_Exchange i mnil)=mnil.
Lemma MExch4 : (i:nat)(m:M)
$(\mathrm{ms}: \mathrm{Ms})\left(\left(\mathrm{Hs}_{\mathrm{t}}\right.\right.$ Exchange $\mathrm{i}($ mcons mms$\left.)\right)=$
(mcons ( $\mathrm{H}_{-}$Exchange im ) (Ms_Exchange i ms ))).
$\left(\left(\mathrm{N}_{\mathrm{E}} \mathrm{Ex}\right.\right.$ change $\left.(\mathrm{lam} \mathrm{n})\right)=($ lam (N_Exchange $(\mathrm{S}$ i) n$\left.))\right)$.
Lemma NExch1: (i:nat) (n:N)
Lemma NExch2 : (i:nat) (a:A)
$\left(\left(\right.\right.$ N_Exchange $\mathrm{i}(\mathrm{an}$ a) $)=\left(\right.$ an $\left(A_{-}\right.$Exchange $i$ a) $\left.)\right)$.
Lemma NErch3: (i:nat)(a:A)(n:N)
$\left(\left(A_{-}\right.\right.$Exchange $i$ (ap a $\left.\left.n\right)\right)=$
(ap (A_Exchange ia) (N_Exchange in))).
$x)=\left(\operatorname{var}\left(V_{-}\right.\right.$Exchange $\left.\left.\left.i x\right)\right)\right)$.
Lemma NExch4 : (i:nat) (x:V)
((A_Exchange i $(\operatorname{var} x))$
$(i, j: V)(P, q: F)(b: H y p s)$
(IIH
(In_Hyps i q h) ->
$\mathrm{P}=\mathrm{Q}$.
Lemma V_Exch_S_Bridge :
(V_Exchange ( $\mathrm{S}_{\mathrm{i}}$ ) ( $\left.\mathrm{s} j\right)$ ) $=$
(S (V_Exchange i j )).
Lemma V_Exch_id :
(i:nat)
APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 201

Lemma Hyps_Exchange_Top :
( $\mathrm{P}, \mathrm{Q}: \mathrm{F}$ ) (h:Hyps)
(Hyps_Exchange $0($ (Add_Hyp $P($ Add_Hyp $Q$ h $)))=$ (Add_Hyp Q (Add_Hyp P h)).

(L_Deriv (Add_Hyp $Q$ (Add_HyP P h)) (L_Exchange 01 ) R).

> Definition n_admis_exch :
> $[\mathrm{h}: \mathrm{Hyps}][\mathrm{n}: \mathrm{M}][\mathrm{R}: F]\left[\mathrm{no}:\left(\mathrm{N} \_\right.\right.$Deduc h in R$\left.)\right](\mathrm{j}: \mathrm{nat})(\mathrm{P}, \mathrm{Q}: F)$
> $(\mathrm{h}: \mathrm{Hyps})(\mathrm{n}: \mathrm{N})(\mathrm{R}: \mathrm{F})(\mathrm{N}$ Deduc h n R$)->$ Prop : $=$
> (In_Hyps j Ph) $\rightarrow$
> (In_Hyps ( S ) Q h ) $\rightarrow$
> (N_Deduc (Hyps_Exchange j h)
> (N_Exchange j n)
> R).
Definition a_admis_exch :
$(\mathrm{h}: \mathrm{Hyps})(\mathrm{a}: \mathrm{A})(\mathrm{R}: F)\left(\mathrm{A} \_\right.$Deduc h a R$) \rightarrow \mathrm{P}$ Prop $:=$
$[\mathrm{h}:$ Hyps $][\mathrm{a}: \mathrm{A}][\mathrm{R}: F]\left[\mathrm{aO}:\left(\mathrm{A} \_\right.\right.$Deduc h a R$\left.)\right](\mathrm{j}: \mathrm{nat})(\mathrm{P}, \mathrm{Q}: \mathrm{F})$
$[\mathrm{h}: \mathrm{Hyps}][\mathrm{a}: \mathrm{A}][\mathrm{R}: \mathrm{F}][\mathrm{aO}:($ A_Deduc h a R$)](\mathrm{j}: \mathrm{nat})(\mathrm{P}, \mathrm{Q}: F)$
(In_Hyps j P h) ->
(A_Deduc (Hyps_Exchange $j$ h)
R).
Lemma $\mathrm{H}_{-}$admis_exch :
APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUINN INDICES 203


## Admis_Weaken_Top : $(\mathrm{h}:$ Hyps $)(\mathrm{m}: \mathrm{M})(\mathrm{P}: \mathrm{F})$ (M_Derivhmp)->


(Add_Hp Q h) R (lift_Ms 0 ms ) P).

## Lerma N_Admis_Heaken_Top :

(h:Hyps)(n:N)(P:F)
(N_Deduc h $n$ P) $->$ (


Lemma L_Admis_Heaken_Top :
(h:Hyps)(1:L)(P:F)
(L_Derivit 1 P)->
(Q:F) (L_Deriv (Add_Hyp Q h) (1ift_L O 1) P).
Definition lift_rhobar_bridge : M->Prop :=
[m:M](i:nat)
(lift_L i ( rhobar m) ) =
(rhobar (1ift_M i m) ).
Definition lift_rhobar1_bridge : Ms $->$ Prop : $=$

APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 206

$$
\begin{aligned}
& \text { Definition 1_admis_rhobar_m : H } \rightarrow \text { Prop := } \\
& \text { [m:M](h:Hyps)(P:F) } \\
& \text { (M_Derivin P) } \\
& \text { Definition 1_admis_rhobar_ms : Hss->Prop := } \\
& \text { [ms:Ms] (h:Hyps) }(\mathrm{P}, \mathrm{Q}: \mathrm{F}) \\
& \begin{array}{l}
\text { (m1:M)(h1:Hyps)(P1:F) } \\
\text { ( } 1 \mathrm{t} \text { (Height_H m1) (Height_Ms ms))-> }
\end{array} \\
& \text { (M_Deriv h1 m1 P1) }> \\
& \text { (L_Deriv h1 (rhobar m1 P P1)) } \rightarrow \\
& \text { (Ms_Deriv (Add_Hyp Q h) Q ms P) } \rightarrow \\
& \text { (L_Deriv (Add_Hyp Q h) (rhobar (sc } 0 \mathrm{~ms} \text { )) P). }
\end{aligned}
$$

$$
\begin{aligned}
& \text { Lemma L_Admis_RhoBar1 : } \\
& \left((m: M)\left(1 \_ \text {admis_rhobar_m m }\right) \text { ) } \\
right. \\
& \text { Lemma L_Admis_RhoBar : ( } \mathrm{h}: \mathrm{Hyps} \text { ) (m:M)(P:F) } \\
& \text { (M_Deriv } \mathrm{h} \text { m } \mathrm{P} \text { ) } \text {-> } \\
& \text { (L_Deriv h (rhobar m) P). } \\
& \text { Lemma L_Admis_Rho : }(h: H y p s)(n: N)(P: F) \\
& \text { (N_Deduc } h \text { in } \mathrm{P} \text { )-> } \\
& \text { (L_Derivh (rho n) P). } \\
& \text { Definition } \\
& (\mathrm{h}: \mathrm{HyPs})(\mathrm{n}: \mathrm{N})(\mathrm{P}: \mathrm{F})(\mathrm{N}, \text { Deduc } \mathrm{h} n \mathrm{R} \text { ) } \rightarrow \text { Prop := } \\
& (\mathrm{g}: \mathrm{nat})(\mathrm{q}: \mathrm{F})(\mathrm{aO}: \mathrm{A}) \\
& \text { (In_Hyps } \mathrm{g} \text { Q } \mathrm{h} \text { )-> } \\
& \text { (A_Deduc (Strengthen_Hyps gh) a0 q)-> } \\
& \text { (N_Deduc (Strengthen_Hyps gh) } \\
& \text { (NsubstaV a0 } \mathrm{g} \mathrm{n} \text { ) }
\end{aligned}
$$

APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 205

## (ms:Ms)(i,j:nat)

(rhobar1 j (lifts_Ms (S i) 0 ms )) =
(rhobar1 ( $\mathrm{S}_{\mathrm{j}}$ ) (lifts_Ms i 0 ms )).

## Lemma RhoBar1_Lifts_Ms :

i, $\mathrm{j}: \mathrm{nat})$ (ms: Ms)
(rhobart j (lifts_Ms i 0 ms )) $=$
(rhobar1 (plus $j$ i) ms).
Definition rhobar21: $\boldsymbol{H}->$ Prop :=
$[\mathrm{m}: \mathrm{HI}](\mathrm{x}: \mathrm{V})(\mathrm{ms}: \mathrm{Ms})$
((msi:Ms) (Height_Hs msi) (Height_Ms (mcons mms)))-> (rhobar1 (s i) msi)=
(rhobar (sc $x$ (meons mms)))=
(app x (rhobar m) ( rhobar (sc 0 ( Iift_Ms $^{0} \mathrm{~ms}$ )))).

Lemma Rhobar21:
$((\mathrm{m}: \mathrm{H})(\mathrm{rhobar} 21 \mathrm{~m})) / \wedge$
( $\left(\mathrm{ms}: \mathrm{Ms}^{2}\right)($ rhobar22 ms $)$ ).
Lemma RhoBar1 : ( $x: V$ )
(rhobar (sc $x \operatorname{mnil}))=(v r x)$.
Lemma RhoBer2 : (ms:Ms) ( $\mathrm{x}: \mathrm{V}$ )(m:M)
(rhobar (sc x (mcons mms)))= ( (ift_Ms 0 ms$)))$ ).
(rhobar (sc $\times$ (mcons mms)))
(app $\times($ rhobar m$)$ (rhobar (sc 0

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APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUINN INDICES 210
$((\mathrm{m}: \mathrm{H})($ lift_exchange_m 1 m$)) / \$
((ms:Ms)(1ift_exchange_ms 1 ms$)$ ).

Lemma Lift_Exchange_Ms1 :
(ms:Ms) ( $x, y: n a t)$
(1t x (Sy)) $\rightarrow$
(lift_Hs $\times$ (Ms_Exchange $y \mathrm{~ms}$ )) $=$
(Hs_Exchange (S y) (lift_Ms x ms)).
Definition exchange_rhobar_bridge : M->Prop :=
[m:M] ( $\mathrm{x}: \mathrm{V}$ )
(L_Exchange $x($ Ihobar $m)$ ) $=$
(rhobar (M_Exchange $\mathrm{x} m$ )).
Definition exchange_rhobar1_bridge : Ms->Prop :=
[ms:Ms] $(x, y: V)$
(L_Exchange $x$ (rhobar (sc yms)))= (rhobar (M_Exchange $x(s c y m s))$ ).
$((m: M)$ (exchange_rhobar_bridge m)) $\Lambda$ ((ms:Ms) (exchange_rhobar1_bridge ms)).
ema Exchange_RhoBar_Bridge :
(L_Exchange $\times($ rhobar $m))=$
(rhobar (M_Exchange $x \mathrm{~m})$ ).
Definition height_m_exchange : M->Prop :=
APPENDIX b. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 209
Lemma Exchange_lift_m :
((m:M)(exchange_lift_m m))/
((ms:Ms) (exchange_lift_ms ms)).
Lemma Exchange_Lift_M :
$(x, y: V)(m: H)$
$\underset{\substack{\mathrm{x}=\mathrm{y} \\ \text { ( } \mathrm{H}-\mathrm{Ex}}}{ }$

(lift_M (Sy) m).
Lemma Exchange_Lift_Ms :
( $x, y: V$ )(ms:Hs)
$x=y$->
(Ms_Exchange $x$ (lift_Ms $y$ ms ) $=$
(1ift_Ms (S y) ms).
Lemma Lift_Exchange_V1 :
( $\mathrm{v}, \mathrm{x}, \mathrm{y}: \mathrm{V}$ )
( $1 \mathrm{t} \times$ (s y))->
(1ift_V $\times\left(v_{-}\right.$Exchange $y$ v) $)=$
( $v_{-}$Exchange ( $\left(\mathrm{S}_{\mathrm{y}}\right)$ (Iift_v $\times \mathrm{v}$ )).
Definition lift_exchange_m1: h->Prop := [m: M] ( $x, y$ :nat $)$
(1t x (S y))->
(lift_M $\times$ ( $\mathrm{H}_{-}$Exchange y m$)$ ) $=$

Definition lift_exchange_ms1 : Ms->Prop := [ms:Ms](x,y:nat)
( $1 \mathrm{t} \times(\mathrm{S} y)$ )->
(lift_Ms $x$ (Ms_Exchange $y \mathrm{~ms})$ ) $=$
(Ms_Exchange (s y) (Iift_Ms $x \mathrm{~ms})$ ).
Lemma lift_exchange_M1 :
Lemma Msub_Exch_Bridge1:
$(\mathrm{m}: \mathrm{M})(\mathrm{x}, \mathrm{y}: \mathrm{V})(\mathrm{m} 1: \mathrm{M})$
(MsubstvWV $x$ miy (K_Exchange $y m)=$
(MsubstVHV $\left.\mathrm{xm} \mathrm{m}^{(\mathrm{S}} \mathrm{y}\right) \mathrm{m}$ ).
Lemma Mssub_Exch_Bridge1:
(ms:Ms) ( $x, y: V)(m 1: M)$
(KssubstVHV $x \mathrm{~m}_{1} \mathrm{y}$ (Hs_Exchange yms ))=
(HssubstVHV x m ( S y ) ms).
Mutual Inductive
Norm_L: L->Prop : V (Norm_L $(\operatorname{vr} x))$ |
:
(T: $\tau$ г'ரT) (A: $x$ )
(Norm_L 11)->
(Norm'_L 12)->
1 ( ( $\tau$ IT $\left.\times \mathrm{dde}) \mathrm{T}^{-\mathrm{mmon}}\right)$
norm_Im :
(1:L)
(Norm_L 1)->
(Norm_L (1m 1))
(1:L)
(Norm_L 1)->
(Norm_L (1m 1))
$\begin{aligned} & \text { Scheme Norm_Norm'_L_ind1 : } \text { Induction for Norm_L Sort Prop } \\ & \text { gith Norm'_Norm_L_ind1 : }:=\text { Induction for Norm'_L Sort Prop }\end{aligned}$
$\begin{aligned} \text { Scheme Norm_Norm'_L_ind1 : } & \text { Induction for Norm_L Sort Prop } \\ \text { gith Norm'_Norm_L_ind1 : } & \text { Induction for Norm'_L Sort Prop. }\end{aligned}$


-(Occurs_In_L (S 0) 12)->
( $\mathrm{Norm}^{\prime}$ I (app 011 12)).
(11,



norm'_ap
-


Lemma nMLB2 :

(andb (Norm_Lb 10)
$\begin{array}{r}\text { Lemma NRLB3 : } \\ \text { (1:L) }\end{array}$
(Norm_Lb (lm 1))=
(Norm_Lb 1).
( $x: V$ )
Lemma NMLB4 :
Lemma NMLB5:

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Lemma Norm_Norm'_L_ind :
Lemma Norm_Norm'_L_ind :
$(\mathrm{P}:(1: \mathrm{L})$ (Horm_L 1$) \rightarrow$ Prop)
$\rightarrow($ PO (rro 0 norm'_VI)
$\rightarrow((11,12: L)$
( n :( $\mathrm{Norm}_{-} \mathrm{L}$ 11))
(P 11 n)
$\rightarrow\left(\mathrm{nO}:\left(\mathrm{Norm}^{\prime}+\mathrm{L}\right.\right.$ 12 $)$ )
(PO 12 nO )

$[1: L]:$ bool $:=$
$1>C a s e ~$
$[x: V]$
true
$[x: V][10,11: L]$
(andb (Norm_Lb
(Norm'_L
$[1: L] \quad$
end with ${ }^{\text {(Norm_Lb 1) }}$
Morin_Lb
Lemma MrLB1_is_HMLB2 :
( $1: \mathrm{L}$ )
( $($ Norm_Lb 1) ) true->
(Norm_L 1)).

(1:L)
((Norm'_Lb 1)=true->
(Norm'_L 1)).
Lemma nMLB1_is_NHLB3 :
(1:1) 1)->
(Norm_Lb 1)=false).
Lemma NH'LB1_is_MM'LB3 :
(1:L)
( ${ }^{( }$(Norm'_L 1 )->
( ${ }^{\left.\left(N o r m ' \_L b ~ 1\right)=f a l s e\right) . ~}$
Lemma NMLB1_is_MMLB4 :
(1:L)
( $($ Norm_Lb 1)=false->
-(Norm_L 1)).

( $1:$ L)
(Norm'_Lb 1)=false->
-(Norm'_L 1)).
Definition MHL_compare : L->Prop :=
((Morm_L 1) V-(Norm_L 1)).
Lemma NHL_dec : (1:L)(NRL_compare 1).

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Lemma milbe :
(1:L)
false.
$\underset{(1: L)}{\text { Lemana }} \underset{(1)}{ }$
(1:L)
((Norm_L 1)->
(Morm_Lb 1) $)=$ true $)$ /
( $($ Norm'_L 1 ) $)$
(Morm'_Lb 1)=true).

$$
0
$$

Lemma NHLB1_is_ancb1 :
(1:L)
( ${ }^{(N o r m}{ }^{2}$
((Norm_L 1)->
(Norm_Lb 1)=true).
Lemma rarlibi_is_nH'LB1 :
(1:L)
((Norm'_L 1)->
$($ (Norm'_Lb 1$)=$ true $).$


APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 219
APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 221

$$
\begin{aligned}
& {[1,10: L]\left[d:\left(L_{-}\right. \text {Perm1 1 10)] }\right.} \\
& \text { (h:Hyps)(P:F)(L_Deriv h } 1 \text { P)-> } \\
& \text { (L_Deriv h } 10 \text { P). }
\end{aligned}
$$

$$
\begin{aligned}
& \text { Lemma L_admis_perm1 : } \\
& \quad(1,10: L)\left(\text { D: }\left(\text { L_Perm }^{2} 110\right)\right) \\
& (1 \text { _admis_perm1 } 110 \mathrm{D}) .
\end{aligned}
$$

$$
(1,10: \mathrm{L})(\mathrm{h}: \mathrm{Hyps})(\mathrm{P}: \mathrm{F})
$$

(L_Perm1 1 10)->
(L_Deriv h I P)->

$$
\text { (L_Deriv h } 10 \mathrm{P} \text { ). }
$$

$$
\begin{aligned}
& \text { _Perm1n : } \\
& \text { (1,10:L) } \\
& \text { (L_Perm1 1 } \\
& \text { (L_Perma }
\end{aligned}
$$

Definition 1_permm : ( $1,10: \mathrm{L}$ ) (L_Permn 1 10) $\rightarrow$ Prop :=
[1,10:L] [d:(L_Permn 1 10)]
(11:L)
(L_Permin 10 11) $\rightarrow>$
(L_Permn 1 11).

(1_permnn 110 d ).
Lemma L_Permmn :
(1,10,11:L)
(L_Permn 1 10)->
(L_Permi 10 11)->
(L_Permin 1 11).
Definition 1_permn_app1:
( $10,11: L$ ) (L_Permn 10 11) $\rightarrow$ Prop :=

Lemma L_permn_Im :
Lemma L_permn_Im :
$(10,11: \mathrm{L})\left(\mathrm{d}:\left(\mathrm{L} \_\right.\right.$Permn 1011$\left.)\right)$
$(1 \quad$ permn_1m 1011 d$)$.
(1_permn_Im 1011 d ).
Lemma L_Permn_lm :
( $1,10: L$ )
(L_Permn 1 10)->
Definition 1_admis_permn :
( $1,10:$ L) (L_Permn 1 10) $\rightarrow$ Prop :=
[1,10:L] [d:(L_Permin 10)]
(h:Hyps)(P:F)
(L_Derivhl P)->
(L_Deriv h 10 P ).
Lemma L_admis_permn :
(1,10:L)(d:(L_Permn 110$))$
(1_admis_permi 110 d ).
Lemma L_Admis_Permn :
(h:Hyps)(10,11:L)(P:F)
(L_Permn 10 11)->
(L_Derivin 10 P )->
(L_Derivh 11 P ).
Definition oi_rhobar_m : M->Prop :=
[m:k](x:V)
( Occurs_In_L $\times$ ( hhobar m) $^{2}$ ).
Definition oi_rhobar_ms : Ms->Prop :=
APPENDIX B. FULL DEVELOPMENT IN COQ USING DE BRUIJN INDICES 225


[^0]:    ${ }^{1}$ Where the $F_{i}$ are meta-variables for formulae, and $\Gamma$ is a meta-variable for sets of formulae.

[^1]:    ${ }^{2}$ The implementation of HALF is an ongoing project that has no official documentation yet, and is not available outside Chalmers. Some work done in HALF has been published, most notably [CN96].

[^2]:    ${ }^{1}$ Called MJ in [DP96] to avoid confusion between Herbelin's name LJT in [Her94] and Dyckhoff's different calculus $L J T$ in [Dyc92].

[^3]:    ${ }^{2}$ Contexts are defined to be functions from a finite set of variables to a set of formulae.

[^4]:    ${ }^{1}$ Where $F$ is a Formula or a variable ranging over formulae.
    ${ }^{2}$ Non-deterministic tactics still require programming in ML, however.

[^5]:    ${ }^{3}$ A similar problem was encountered when attempting an implementation in the sequent notation of $S E Q U E L$ (see §4).

[^6]:    ${ }^{4}$ Especially the problems with implementation of induction schemes.

[^7]:    ${ }^{1}$ By substituting $(x ; m s)$ for $m$ in $(\gamma \Rightarrow m: R)$.
    ${ }^{2}$ We are effectively inverting an assumption. See $\S 5.1 .4$ for more details on inversion of assumptions in Coq.

[^8]:    ${ }^{3}$ Together with an extra premise which can be proved from the inductive hypothesis Ind-Hyp.

[^9]:    ${ }^{1}$ The number 0 is a reserved token in Coq, so the letter 0 is used.

[^10]:    ${ }^{2}$ With a side-condition that $y$ is not free in $\Gamma, T$ or $G$.

[^11]:    ${ }^{3}$ In fact, Coq itself uses de Bruijn indices internally together with a persistent naming mechanism for display and interaction.

[^12]:    ${ }^{1}$ In simply typed $\lambda$-calculus there is only the one binding operator $(\lambda)$. In other systems, there may be more than one binder [NPS90].

[^13]:    ${ }^{2}$ This is semi-automatically produced. Some simple cut-and-paste and an easy proof is currently required for induction principles derived from mutual inductive definitions. A macro for automating this should be included in the next full release of the $C o q$ system.

[^14]:    ${ }^{1}$ We are assurning that the variable names are chosen so as to avoid problems with capturing free variables in $a_{0}$.
    ${ }^{2}$ This is due to the careful selection of distinct names for all the variables.

[^15]:    ${ }^{3}$ The definition is given using the Cases operator for ease of comparison with the informal definition. The actual formalisation was done using the Case operator and can be seen on page 186 in §B.

[^16]:    ${ }^{4}$ The extra side-condition of $t_{3}$ being fully normal with respect to $y$ ( (Horm' 113 )) is an addition due to Schwichtenberg: see $\S 7.7$ for explanation.

[^17]:    ${ }^{5}$ An extension should appear in the next full release of the $C o q$ system.

[^18]:    ${ }^{1}$ For example compare the original, informal, definition of $\bar{\rho}$ and the numerous transformed versions until we get the primitive recursive formal version.

[^19]:    Recursive Definition
    1tb : nat->nat->bool :=
    $00 \Rightarrow$ false 1

[^20]:    Grammar tactic simple_tactic :=
    [ "NComp" command:command( $\$ \mathrm{i} i)$ command:command $(\$ j)]$-> [1et $\$ 1=\langle<($ nat_compare $\$ \mathrm{i}$ \$j) $\gg$ in

[^21]:    (Occurs_In_Li(vr x)) |
    (i:nat) $(x: V)(11,12: L)$
    (Occurs_In_V i $x)->$
    (Occurs_In_L i (app
    
    

[^22]:    Inductive
    Occurs_In_L : nat->L->Prop :=
    n_vr :
    (i:nat)
    (Occurs_In_V i $x$ )->

[^23]:    
    M1_is_OIM3 :
    (i:V) (m:N)
    -(Occurs_In_M i m)->
    (Occurs_In_M1 i m)=false.
    ( Occurs_In_M1 i m) =false.
    Lemma OIMs1_is_OTMs3 :

[^24]:    (mcons (lifts_M1mij) (lifts_Ms1 ms $i j$ ))
    end.
    Recursive Definition lifts_M : nat->nat->M->M :=
    i $\mathrm{j} m=$ ( lifts _M1 $m i j$ ).
    Recursive Definition lifts_Ms : nat->nat->Ms->Hs :=
    i j ms $\Rightarrow$ ( lifts_Ms1 ms $\mathrm{i}^{\mathrm{j}}$ ).
    Lemma LIFTSM1 : ( $i, j:$ nat $)(x: V)(m s: M s)$
    (1ifts_Mij (sc xms)) $=$
    (sc (Iifts_V $i j x$ ) (lifts_Ms $i j m s)$ ).
    Lemma LIFTSH2 : (i,j:nat)(m:M)
    (1ifts_M i $\mathrm{j}($ ( ambda m m$)$ ) $=$
    (1ambda (lifts_Mi( $\mathrm{S}_{\mathrm{j}}$ ) m)).

    ## 

    (lifts_Ms $i \operatorname{j}$ minil)=mill.
    Lemma LIFTSHA : ( $\mathrm{i}, \mathrm{j}: \mathrm{nat}$ )(m:M)(ms:Ms)
    ( iifts_Ms $^{\text {i }} \mathrm{j}$ (mcons mms))=
    
    Lemma Lifts_LO : (1:L)(j:nat)
    (lifts_L 0 j 1 ) $=1$.
    Definition lifts_m0 : M->Prop :=
    [m:M](j:nat)
    ( Iifts_M 0 j m ) $=\mathrm{m}$.
    Definition lifts_mso : Ms->Prop :=
    [ms:Ms](j:nat)
    ( Iifts_Ms $^{2} \mathrm{O} \mathrm{jms}$ ) $=\mathrm{ms}$.
    Lemma Lifts_mo :

