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# Quantile regression forests-based modeling and environmental indicators for decision support in broiler farming

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## Abstract

An efficient and sustainable animal production requires fine-tuning and control of all the parameters involved. But this is not a simple task. Animal farming is a complex biological system in which environmental parameters and management practices interact in a dynamic way. In addition, the typical non-linear response of biological processes implies that relationships across parameters that are critical to assure animal welfare and performance are difficult to determine. In this paper a novel decision support system based on environmental indicators and on weights, leg problems and mortality rates is proposed to address this issue. The data-driven modeling process is performed by a quantile regression forests approach that allows estimating growth, welfare and mortality parameters on the basis of environmental

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deviations from optimal farm conditions. Resulting models also provide confidence intervals able to deal with uncertainty. They are deployed in farm, offering an accessible tool for farmers, veterinarians and technical personnel. Experimental results involving 20 flocks of broiler meat chickens from different farms show the validity of the system, obtaining robust prediction intervals and high accuracy, namely over 81% for every model. The in-field use of the proposed approach will facilitate an efficient and animal welfare-friendly production management.

*Keywords:* Data processing, Random forests, Broiler meat chicken, Efficient production, Animal welfare, Machine learning.

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## 1. Motivation

Broiler meat chickens are the most abundant farmed animal in the European Union (EU), and a key component of EU food supply. Much data is already collected by the main actors involved in the process, predominately at slaughter plant level<sup>1</sup>. Data acquisition in itself is, however, of little value unless collected data are standardized at national and international levels and further processed to produce useful information to improve broiler health and welfare, and hence offering the potential to reduce antimicrobial use, a real and current concern for producers and legislators.

The broiler meat chicken industry is the second largest meat industry in the world. Yearly, 70 billion birds are produced around the world under similar, well established management practices with similar genetic stocks produced majorly by two international companies; Cobb-Vantress (Cobb) and

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<sup>1</sup>Under the Broiler Directive 2007/43/EC

14 Aviagen (Ross). On-farm environmental models put in practice nowadays  
15 (e.g. indoors temperature and relative humidity) are based on the theoretic-  
16 cal curves proposed by this two companies [1]. However, the large production  
17 volumes and the great complexity of the production chain, implies that the  
18 possibility to control system parameters to optimal values is probably ficti-  
19 tious. Additionally, deviation of values from optimality may go undetected  
20 due to different reasons including the lack/misuse of information at various  
21 stages, or late problem detection. Such circumstances may lead to a loss of  
22 efficiency of the system. Lack of an adequate control during each produc-  
23 tion process step may also lead to impaired animal health and welfare, and  
24 to the occurrence of meat quality and safety problems. Therefore, system  
25 adjustments to maximize, for instance, energy efficiency, may easily derive  
26 in unforeseen animal health or welfare issues. In addition, the economic  
27 perspective must also be considered as potential changes in management  
28 practices may be impossible in practice when all inputs and outputs of the  
29 system, many of them of different nature and coming from diverse sources,  
30 are simultaneously considered.

31 In order to provide the broiler meat chicken industry with an intelli-  
32 gent system able to support an efficient and sustainable broiler production,  
33 most recent technological approaches must be put together with traditional  
34 broiler production. The model of sustainable production also needs to be  
35 based on considerations for animal welfare and environmental responsibility.  
36 Advanced knowledge-based, empirical models to optimally manage broiler  
37 processes are needed. They are able to provide the players of the produc-  
38 tion chain such as farmers, veterinarians and technical personnel with key

39 recommendations to optimize production and to design better production  
40 strategies. Machine learning algorithms are usually applied to automatically  
41 generate such data-driven knowledge models. To do so, features of inter-  
42 est must be identified according to best management practices and most  
43 recent scientific knowledge in broiler production, health and welfare. Re-  
44 sulting models can be then deployed in a Decision Support System (DSS)  
45 that should be able to provide the necessary tools to assure efficient and  
46 sustainable production according to a social responsibility-based production  
47 model.

48 This work aims at developing a DSS that uses environmental parameters  
49 automatically collected for each corresponding flock, together with additional  
50 live production health and welfare data. This work will allow to generate  
51 intelligent practical tools to improve flock performance by better managing  
52 the health and welfare of broiler flocks. Our main contribution is to provide  
53 such tools on the basis of environmental indicators and a quantile regression  
54 forests-based approach. Resulting growth, welfare and mortality models are  
55 robust and comprehensive, yet accurate decision support tools in animal  
56 farming.

57 The rest of the article is organized as follows. Section 2 presents a review  
58 of related and previous works in animal farming DSS for improving broiler  
59 flocks management, and the position of the present work in the context of  
60 previous ones. Section 3 explains the proposed DSS, based on quantile re-  
61 gression forests modeling of environmental indicators and growth, welfare  
62 and mortality parameters. In Section 4 the test scenario is presented and the  
63 experimental results obtained are discussed. Finally, the conclusions achieved

64 in this study are given in the last section.

## 65 **2. Research background**

66 Historically, advances in animal production have been sustained by mono-  
67 disciplinary approaches to the resolution of single problems, mostly focused  
68 on increasing animal performance (e.g. considering business aspects by a  
69 participatory approach [2]). Nevertheless, current needs of industry demand  
70 not only to produce efficiently, but also to adopt production models based on  
71 social and environmental responsibility, which will be essential to maintain  
72 long term consumers' acceptability and will allow increased competitiveness  
73 in the international market. Such models comprise critical aspects such as en-  
74 vironmental stewardship (e.g. by optimizing resource use, reducing wastage  
75 of resources and greenhouse gas emissions [3] [4]) and animal health-welfare  
76 responsibility (e.g. by assuring management practices that optimize animal  
77 health and welfare, and therefore increasing meat quality and safety [5] [6]).  
78 These aspects are pivotal for the sustainability of the poultry industry or any  
79 livestock production.

80 Advances in animal production have traditionally targeted genetics, nu-  
81 trition, health, and housing systems, the pillars of production. However,  
82 modern animal production must also consider sustainability and therefore  
83 product quality and ethical aspects of social responsibility that will influence  
84 consumers' acceptance [7].

85 Farming optimization would greatly benefit from the latest technologi-  
86 cal advances, allowing the fine-tuning of systems according to sustainable  
87 production models. Precision Livestock Farming (PLF), defined as the man-

88 agement of livestock production using the principles and technology of pro-  
89 cess engineering [8], will play a basic role [9], creating exciting opportunities  
90 to accelerate the development of modern farming systems [10] [11] [12]. For  
91 instance the use of integrated, innovative decision tools and automation tech-  
92 nologies to reduce the variability in system outputs such as product quality  
93 [7], would help improving animal management, productivity and benefits,  
94 reduce costs and improve animal welfare and human well-being [13] [12] [14].  
95 PLF has a tremendous potential in achieving these objectives [15], developing  
96 systems for real-time animal management [7]. PLF can also provide oppor-  
97 tunities for a transparent quality control system of the whole chain from  
98 farming to retail [9]. Some authors indicated that PLF approach should be  
99 easy to implement to animal production [16], and some applications of PLF  
100 technologies, as well as commercial applications within the animal produc-  
101 tion sector are available [12] [17] [11]. However, PLF applied to farm animals  
102 is complex due to aspects regarding animal sentience [8].

103 Optimal information on animal welfare must be obtained by smartly com-  
104 bining system variables and animal-based indicators [18]. System variables  
105 are easily collected and some useful indicators can be inferred from them, but  
106 animal-based data collection is challenging, especially in broilers where thou-  
107 sands of animals are housed in one location. In this sense, a novel approach  
108 has been proposed, based on transect walk methodology, to assess broiler  
109 flock welfare [19]. Due to the relevance of animal welfare in current livestock  
110 production systems, and the high relevance given by the EU, other com-  
111 plex systems are being developed, primarily focusing on monitoring animal  
112 welfare in itself [20].



113 Data management after collection is perhaps the main factor determining  
114 the power of PLF systems [21], giving the opportunity to create basic early  
115 warning systems. However, the development of decision support tools for  
116 animal farming that also consider animal welfare is still a very challenging  
117 issue [17]. A knowledge-driven DSS must be supported by advanced data  
118 processing techniques able to provide powerful problem solving tools and  
119 useful recommendations [22] [23]. These techniques may vary depending on  
120 the nature and characteristics of the data to be analysed. To this regard  
121 Artificial Neural Networks (ANN) models have been used in a wide variety  
122 of applications for many years [24]. In [25], for instance, an approach based  
123 on a combination of ANNs and Fuzzy\_AHP for heart failure risk prediction  
124 is proposed. Indeed, models based on Fuzzy Logic are very popular when  
125 implementing a DSS. They provide a mapping between real-valued input  
126 and output parameters as represented by understandable fuzzy rules [26]  
127 [27]. Some recent works in that direction can be found in [28] and [29], for  
128 the particular case of rough sets analysis.

129 Optimization of efficiency for sustainable production requires a wider per-  
130 spective involving all parameters and factors that contribute to the system.  
131 It requires a fine-tuning and an efficient functioning of all the steps of the  
132 production chain. Therefore, system adjustments to maximize, for instance,  
133 energy efficiency, may easily derive in unforeseen animal health or welfare  
134 issues. Economic benefits of increasing production density in broilers might  
135 increase mortality and reduce meat quality [30]. Thus, perhaps a more effi-  
136 cient economic model would contemplate, for instance, to increase production  
137 by means of a strategic management aiming at reducing on-farm mortalities

138 or deaths on arrival at the slaughterhouse.

139 The DSS concept in animal farming must be then designed as a data-  
140 driven approach to maximize productive efficiency considering animal welfare  
141 and environmental responsibility. The main objective of this research is to  
142 provide farmers and experts with an intelligent decision-making tool for an  
143 efficient and animal welfare-friendly production management, which is based  
144 on data-driven methods and machine learning algorithms.

### 145 **3. Proposed decision support system**

146 This section presents the key parts of the proposed DSS, whose aim is to  
147 provide the meat chicken industry with an intelligent system able to support  
148 an efficient and sustainable broiler production. They are further discussed  
149 in the next subsections and they are the following:

- 150 • Automatic data acquisition and cloud-based storage capabilities.
- 151 • Environmental indicators, which are defined as deviations from optimal  
152 environmental conditions, previously learned, over time. The environ-  
153 mental conditions are measured indoors, inside the farm, and for each  
154 parameter there are three sensors located around the farm in order to  
155 minimise the variations in environmental conditions given in different  
156 locations.
- 157 • Quantile regression forests-based approach used to model data and  
158 make predictions, dealing with uncertainty.
- 159 • Empirical data-driven generation of growth, welfare and mortality mod-  
160 els on the basis of quantile regression forests method and on empirical

161 data corresponding to environmental indicators as predictor variables  
162 and on weights, leg problems and mortality rates as target features.  
163 These key parameters used as target features have been chosen due to  
164 their importance regarding the broiler production, but others can be  
165 also used in order to establish the optimal farm conditions.

166 The system architecture consists of a set of sensors installed to auto-  
167 matically measure and collect different environmental conditions in farm, a  
168 cloud-based storage of such information and a set of machine learning-based  
169 models learned from historical data to manage the production smartly and  
170 in an online fashion.

### 171 *3.1. Data acquisition and cloud-based storage*

172 Data acquisition devices measure environmental parameters every 15 min-  
173 utes for each flock of birds from farm arrival to the end of production. For  
174 each parameter, there are three sensors located around the farm in order to  
175 minimise the variations in environmental conditions given in different loca-  
176 tions. Collected data is automatically transferred to a cloud-based service,  
177 where it is stored for further analysis. The cloud storage service used in this  
178 research work is owncloud <sup>2</sup>.

179 Growth, welfare and mortality parameters (e.g. weights, leg problems  
180 and mortality rate) are periodically acquired by experts by transect walk  
181 methodology [19] and other similar techniques, due to the complexity of the  
182 process and the expertise needed:

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<sup>2</sup><https://owncloud.org/>

- 183 • Growth parameter: weights are collected during week 1, 3, 5 and 6 of  
184 age on a representative sample of 50 birds per flock and their weights  
185 are averaged to produce an average sample weight, as established in  
186 [31]. Weights at arrival are registered but they are not representative  
187 for the study since the weights variance and the cumulative deviations  
188 in relation to the environmental parameters at that stage of the pro-  
189 duction process are meaningless.
- 190 • Welfare parameter: frequency (%) of lame and immobile birds are con-  
191 sidered per transect, which are collected using the transect method as  
192 described in [19].
- 193 • Mortality parameter: the mortality rate is considered, which is calcu-  
194 lated using farmer records from day 1 to slaughter and it includes birds  
195 found dead and culled every day.

196 All this information is also integrated in the cloud-based data system.

### 197 3.2. Environmental indicators

198 Information given by environmental conditions can support predictions  
199 made since they have serious effects in growth and animal welfare. Since  
200 the acquisition frequency regarding the environmental parameters is much  
201 higher than in the case of growth, welfare and mortality parameters, envi-  
202 ronmental data,  $\mathbf{env} = \{env_1, \dots, env_m\}$ , are re-sampled in order to have an  
203 average value per hour,  $\langle \mathbf{env} \rangle = \{\langle env \rangle_1, \dots, \langle env \rangle_{n < m}\}$ , where  $\langle \cdot \rangle$  denotes  
204 the average over the sampled data.

205 Observations that are far from the mean are filtered out, based on 3  
206 standard deviations from the mean,  $\mu_{\langle \mathbf{env} \rangle}$ . They are bad readings caused

207 by hardware issues and can strongly affect the resulting environmental in-  
 208 dicators. The resulting relative humidity model from the set of 20 farms  
 209 used in this study is shown in Figure 1. It consists of the average value  
 210 and the related upper and lower established limits after filtering out outliers,  
 211 and it covers the whole production period, from farm arrival to the end of  
 212 production.

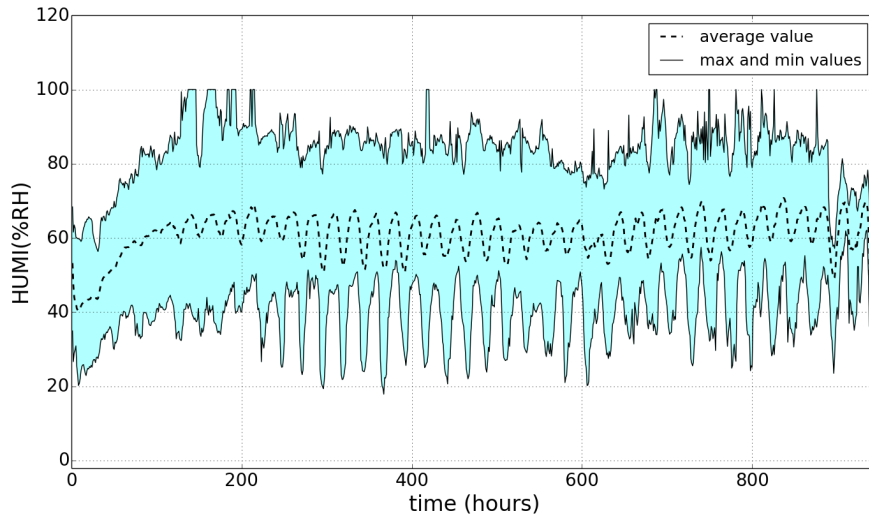


Figure 1: Relative humidity model learned from the set of 20 farms used in this study, from farm arrival to the end of production.

213 Cumulative deviations from environmental models over time,  $\mathbf{d} = (d_1, \dots, d_n)$   
 214 are then computed in order to provide useful indicators. They are calculated  
 215 for values above,  $\mathbf{d}^a$ , and below,  $\mathbf{d}^b$ , the model, as it can be seen in Equation

216 1.

$$d_i = \begin{cases} d_i^a = \sum_{j=1}^i (\langle env \rangle_j - \langle env \rangle'_j) & \forall \langle env \rangle_j \geq \langle env \rangle'_j \\ d_i^b = \sum_{j=1}^i (\langle env \rangle'_j - \langle env \rangle_j) & \forall \langle env \rangle_j \leq \langle env \rangle'_j \end{cases} \quad (1)$$

217 where  $\langle env \rangle' = (\langle env \rangle'_1, \dots, \langle env \rangle'_i)$  are the environmental model values and  
 218  $\langle env \rangle = (\langle env \rangle_1, \dots, \langle env \rangle_i)$  are the current, real environmental values until  
 219 day  $i = 1, \dots, n$ , respectively.

220 It is assumed that large cumulative deviations from normal environmental  
 221 conditions over time have a big impact in the growth process and welfare of  
 222 the birds and in production [32].

### 223 3.3. Quantile Regression Forests

224 Random forests is an ensemble method that grows an ensemble of trees  
 225 [33]. It employs averaging to improve the predictive accuracy and control  
 226 over-fitting. The sub-sample size is always the same as the original input  
 227 sample size but the samples are drawn with replacement (bootstrap). A  
 228 large number of trees can be therefore grown. Random forests for regression  
 229 analysis, or regression forests, are an ensemble of different regression trees  
 230 in which each leaf draws a distribution for the continuous target feature,  
 231  $\mathbf{y} = (y_1, \dots, y_n)$ . More precisely, given a set of  $m$  features,  $X = \{X_1, \dots, X_m\}$ ,  
 232 where each feature  $X_i$  can take a value from its own set of possible values  
 233  $\chi_i$ , and  $n$  feature vectors or instances,  $\mathbf{x}_i = (x_1, \dots, x_m) \in \chi = (\chi_1, \dots, \chi_m)$ ,  
 234 with  $i = 1, \dots, n$ , a random forest is a collection of  $K$  tree predictors  $T(\theta_k)$ ,  
 235 with  $k = 1, \dots, K$ , being  $\theta_k$  the random parameter vector that determines  
 236 how the  $k$ -th tree is grown, or which features are considered to split on at  
 237 each node when approximating  $\mathbf{y}$ . For each tree and each node, randomness

238 is employed when selecting a feature to split on and for each tree, a bagged  
 239 version of the  $n$  feature vectors is used. In addition, only a random subset  
 240 of the predictor features is considered for splitpoint selection at each node.

241 It is assumed that  $X$  and  $\theta_k$  are independent and identically distributed,  
 242 and tuples  $(\mathbf{x}_i, y_i)$  are independently drawn from the joint distribution.

243 Every leaf of the tree,  $l = 1, \dots, L$ , corresponds to a rectangular subspace  
 244 of  $\chi$  denoted as  $R_l \subseteq \chi$ . Then for every  $\mathbf{x}_i$  there is one and only one leaf  
 245  $l(\mathbf{x}_i, \theta)$  for tree  $T(\theta)$  such that  $\mathbf{x}_i \in R_l$ .

246 For a new feature vector,  $\mathbf{x}_{new}$ , the prediction of a single tree  $T(\theta)$  is the  
 247 average of the observed values in leaf  $l(\mathbf{x}_{new}, \theta)$ . Let the weighted vector  
 248  $w_i(\mathbf{x}_{new}, \theta)$  be defined as:

$$w_i(\mathbf{x}_{new}, \theta) = \begin{cases} 1/\#\{j : \mathbf{x}_j \in \chi_{l(\mathbf{x}_{new}, \theta)}\} & \text{if } \mathbf{x}_i \in \chi_{l(\mathbf{x}_{new}, \theta)} \\ 0 & \text{otherwise} \end{cases} \quad (2)$$

249 The prediction of a single tree can be then computed as the weighted  
 250 average of the target feature values,  $\mathbf{y} = (y_1, \dots, y_n)$ , as it is shown in Equation  
 251 3.

$$\hat{\mu}(\mathbf{x}_{new}) = \sum_{i=1}^n w_i(\mathbf{x}_{new}, \theta) y_i \quad (3)$$

252 In the case of random forests, Equation 3 is generalized as the average  
 253 prediction of  $K$  single trees, as it can be seen in Equation 4.

$$\hat{\mu}(\mathbf{x}_{new}) = \sum_{i=1}^n K^{-1} \sum_{k=1}^K w_i(\mathbf{x}_{new}, \theta_k) y_i \quad (4)$$

254 By means of the Quantile Regression Forests approach, confidence in-  
 255 tervals can be obtained from predictions made by random forests [34]. It

256 provides valuable information about the dispersion of observations around  
 257 the predicted value, reinforcing the reliability of predictions made. Instead  
 258 of recording the mean value of responses given in each tree leaf in the forest,  
 259 all observed responses in the leaf are recorded. The prediction thus becomes  
 260 the full conditional distribution  $P(\mathbf{y} \leq y_i | X = \mathbf{x}_i)$ , with  $i = 1, \dots, n$ , given  
 261 by the probability that, for  $X = \mathbf{x}_i$ ,  $\mathbf{y} < y_i \in \mathbb{R}$ .

262 The corresponding conditional distribution function  $F(\mathbf{y} | X = \mathbf{x}_i)$  can be  
 263 also expressed as  $E(1_{\{\mathbf{y} \leq y_i\}} | X = \mathbf{x}_i)$ , which is approximated by the weighted  
 264 mean over the observations of  $1_{\{\mathbf{y} \leq y_i\}}$ , as it can be seen in Equation 5.

$$\hat{F}(\mathbf{y} | X = \mathbf{x}_i) = \sum_{i=1}^n w_i(\mathbf{x}_i) 1_{\{\mathbf{y} \leq y_i\}} \quad (5)$$

265 where  $w_i(\mathbf{x}_i) = K^{-1} \sum_{k=1}^K w_i(\mathbf{x}_i, \theta_k)$  is the weighted vector.

266 The  $\alpha$ -quantile,  $Q_\alpha(\mathbf{x}_i)$  is defined such that the probability of  $\mathbf{y} < Q_\alpha(\mathbf{x}_i) =$   
 267  $\alpha$ . The quantiles give more complete information about the distribution of  
 268  $\mathbf{y}$  as a function of the predictor features,  $X$ , than the conditional mean  
 269  $E(\mathbf{y} | X = \mathbf{x}_i) = \underset{z}{\operatorname{argmin}} E\{(\mathbf{y} - z)^2 | X = \mathbf{x}_i\}$ .

270 Given a new feature vector,  $\mathbf{x}_{new}$ , the estimate of the distribution func-  
 271 tion in 5 is computed and prediction intervals are created by simply applying  
 272 the appropriate percentiles of the distribution. A 95% prediction interval for  
 273 the value of  $\mathbf{y}$ , for instance, will be given by Equation 6.

$$I(\mathbf{x}_i) = [Q_{.025}(\mathbf{x}_i), Q_{.975}(\mathbf{x}_i)] \quad (6)$$

274 Quantile regression forests approach can therefore be used to create pre-  
 275 diction intervals that contain very useful information about the dispersion



276 of observations around the predicted value. Besides, quantile regression es-  
277 timates are more robust in presence of outliers and uncertainty in data.

#### 278 3.4. Empirical data-driven models

279 Environmental indicators are used as predictor variables and weights, leg  
280 problems and mortality rates are used as target features to generate empirical  
281 data-driven growth, welfare and mortality models on the basis of quantile  
282 regression forests approach. They are able to make useful predictions and to  
283 finally provide optimal decision support in animal farming.

284 The growth parameter is given by the average of the weights at weeks  
285 3, 5 and 6,  $\langle weight_s \rangle$ , being  $s = 3, 5, 6$ . The idea is to anticipate heavy  
286 deviations during the growth process on the basis of deviations from optimal  
287 environmental values, modifying the farm conditions accordingly in advance.  
288 The weight parameter values observed during the growth process in the set  
289 of 20 farms used in this study can be seen in Figure 2.

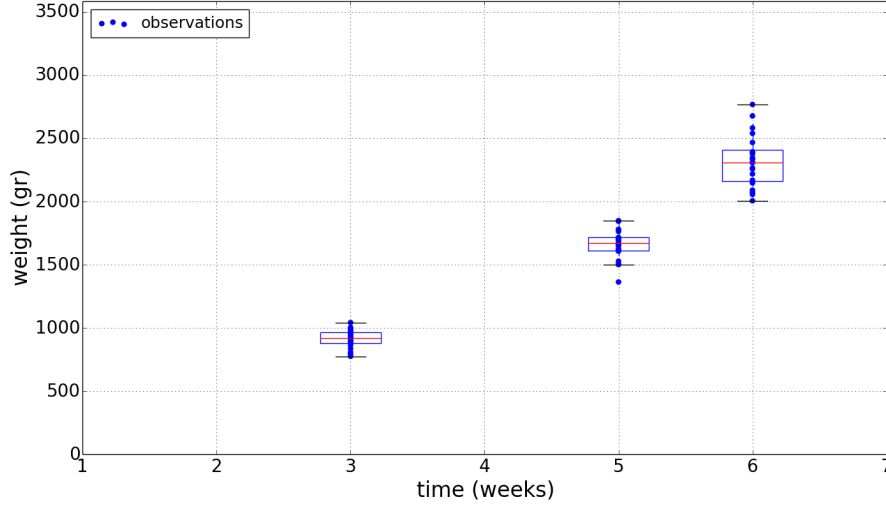


Figure 2: Weight parameter values observed during the growth process in the set of 20 flocks used in this study. Each dot represents the average weight value of a representative sample of 50 birds per flock, from farm arrival to the end of production.

290 Then, the growth model,  $\langle \mathbf{weight}_s \rangle \approx G(d_{1s}^a, d_{1s}^b, \dots, d_{ms}^a, d_{ms}^b)$ , is defined  
 291 as it can be seen in Equation 7, being  $(d_1, \dots, d_m)$  the deviations from the  $m$   
 292 environmental parameters under study.

$$\hat{G}(\langle \mathbf{weight}_s \rangle | X = \{d_{1s}^a, d_{1s}^b, \dots, d_{ms}^a, d_{ms}^b\}) =$$

$$\sum_{i=1}^n w_i(\{d_{1s}^a, d_{1s}^b, \dots, d_{ms}^a, d_{ms}^b\}) 1_{\{\langle \mathbf{weight}_s \rangle \leq \langle \mathbf{weight}_{si} \rangle\}} \quad (7)$$

293 with  $w_i(\{d_{1s}^a, d_{1s}^b, \dots, d_{ms}^a, d_{ms}^b\}) = K^{-1} \sum_{k=1}^K w_i(\{d_{1s}^a, d_{1s}^b, \dots, d_{ms}^a, d_{ms}^b\}, \theta_k)$  be-  
 294 ing the weighted vector.

295 Furthermore, the proportion of occurrence of leg problems (lame and  
 296 immobile birds) over the total population (%),  $lp$ , at weeks 3, 5 and 6 of

297 the entire growth process, is established as the welfare parameter. Figure  
 298 3 shows the welfare parameter values that were observed during the growth  
 299 process in the set of 20 flocks used in this study.

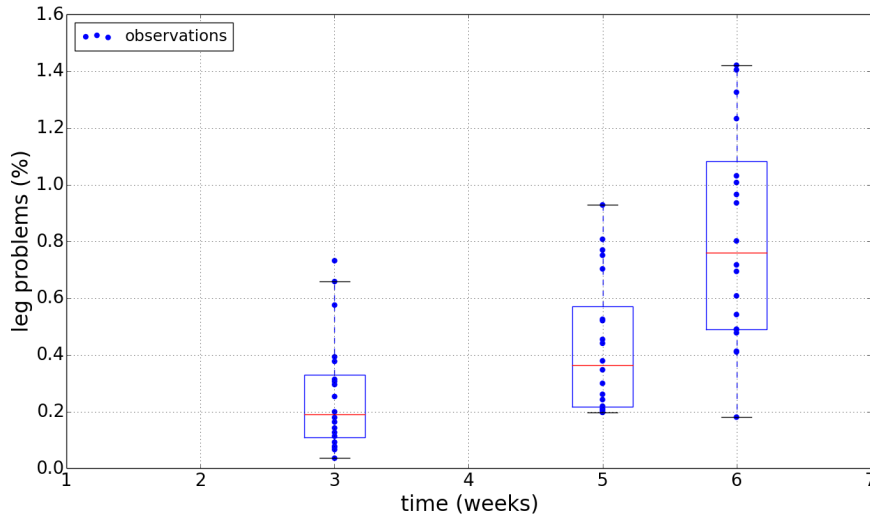


Figure 3: Welfare parameter values observed during the growth process in the set of 20 flocks used in this study, from farm arrival to the end of production.

300 Similarly as in the case of  $G$ , the welfare model,  $\mathbf{lp}_s \approx W(d_{1s}^a, d_{1s}^b, \dots, d_{ms}^a, d_{ms}^b)$ ,  
 301 becomes (see Equation 8).

$$\hat{W}(\mathbf{lp}_s | X = \{d_{1s}^a, d_{1s}^b, \dots, d_{ms}^a, d_{ms}^b\}) = \sum_{i=1}^n w_i(\{d_{1s}^a, d_{1s}^b, \dots, d_{ms}^a, d_{ms}^b\}) 1_{\{\mathbf{lp}_s \leq \mathbf{lp}_{si}\}} \quad (8)$$

302 with  $w_i(\{d_{1s}^a, d_{1s}^b, \dots, d_{ms}^a, d_{ms}^b\}) = K^{-1} \sum_{k=1}^K w_i(\{d_{1s}^a, d_{1s}^b, \dots, d_{ms}^a, d_{ms}^b\}, \theta_k)$  be-  
 303 ing the weighted vector.

304 Finally, the mortality parameter is given by the cumulative, proportion  
 305 of mortality over the total population (%),  $mort$ , at weeks 3, 5 and 6. The  
 306 corresponding mortality parameter values over time in the set of 20 flocks  
 307 used in this study can be seen in Figure 4.

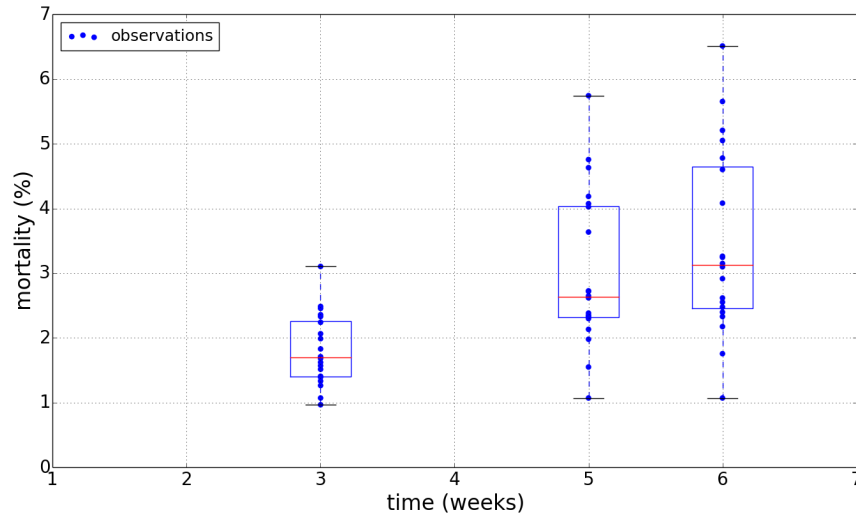


Figure 4: Mortality parameter values observed during the growth process in the set of 20 flocks used in this study, from farm arrival to the end of production.

308 In this case,  $mort_s \approx M(d_{1s}^a, d_{1s}^b, \dots, d_{ms}^a, d_{ms}^b)$  defines the mortality model,  
 309 as it can be seen in Equation 9.

$$\hat{M}(mort_s | X = \{d_{1s}^a, d_{1s}^b, \dots, d_{ms}^a, d_{ms}^b\}) =$$

$$\sum_{i=1}^n w_i(\{d_{1s}^a, d_{1s}^b, \dots, d_{ms}^a, d_{ms}^b\}) 1_{\{mort_s \leq mort_{si}\}} \quad (9)$$

310 with  $w_i(\{d_{1s}^a, d_{1s}^b, \dots, d_{ms}^a, d_{ms}^b\}) = K^{-1} \sum_{k=1}^K w_i(\{d_{1s}^a, d_{1s}^b, \dots, d_{ms}^a, d_{ms}^b\}, \theta_k)$  be-  
 311 ing the weighted vector.

312 From resulting models, prediction intervals are generated. On the basis of  
313 such predictions, useful recommendations to adjust environmental conditions  
314 when they are outside the optimal limits can be provided.

#### 315 4. Test scenario

316 This section presents the benefits from the use of the proposed DSS in  
317 broiler farming data, where empirical models based on real historical data  
318 monitored and collected from farms are not yet being applied, taking advan-  
319 tage of advances in monitoring devices, big data management and machine  
320 learning paradigms.

321 A total of 20 samples, which correspond to 20 flocks of broiler meat  
322 chickens from different farms around Spain, are checked to show the validity  
323 of our approach. They correspond to diverse periods of the year (mainly at  
324 the middle and end of the year) and to Ross, Cobb and Ross/Cobb breeds.  
325 The system is deployed in the cloud, so that the functionality provided can  
326 be easily accessed from different devices and locations by the end users. In  
327 addition, big data management strategies can be also considered regarding  
328 the monitoring data.

##### 329 4.1. Description of the experimental setup

330 Environmental parameters used in this study are the temperature (TEMP°C)  
331 and relative humidity (%RH), collected from each flock inside the farm.  
332 Weights during the growth period, relative leg problems and cumulative  
333 relative mortality are also considered to generate the growth, welfare and  
334 mortality models, respectively, as it was defined in Section 3. Some statis-  
335 tics of such parameters are shown in Table 1, namely the maximum (*max*),

336 minimum (*min*), mean (*mean*) and standard deviation (*std*) values and the  
 337 75th percentile (75%), or third quartile.

Table 1: Environmental parameters, weights, leg problems and mortality statistics.

		<b>max</b>	<b>min</b>	<b>mean</b>	<b>std</b>	<b>75%</b>
<b>TEMP(°C)</b>		35.2	19.4	27.6	3.2	30
<b>%RH</b>		70.8	40.3	60.7	5.7	65.1
<b>Weights (gr)</b>	<b>week 3</b>	1,042.4	775.8	913.6	70.3	965.4
	<b>week 5</b>	1,848.7	1,363.6	1,658.2	115.4	1,715.1
	<b>week 6</b>	2,768.2	2,006.2	2,319.3	203.6	2,411.1
<b>Leg problems (%)</b>	<b>week 3</b>	0.72	0.03	0.26	0.19	0.33
	<b>week 5</b>	0.93	0.19	0.42	0.24	0.57
	<b>week 6</b>	1.41	0.18	0.79	0.37	1.02
<b>Cumulative mortality (%)</b>	<b>week 3</b>	3.1	0.97	1.82	0.55	2.25
	<b>week 5</b>	5.73	1.06	3.01	1.2	4.03
	<b>week 6</b>	6.5	1.06	3.45	1.42	4.64

338 Quantile regression forests-based modeling is performed using the con-  
 339 figuration parameters presented in Table 2. Although other complementary  
 340 tests were performed with different number of estimators, from  $K = 50$  to  
 341  $K = 250$ , results obtained were less accurate in terms of the number of pre-  
 342 dictions in the corresponding prediction or confidence intervals. Intuitively,  
 343 the larger the number of estimators, the greater the precision of prediction,  
 344 but in practice at a certain point the improvement decreases as the number of  
 345 trees increases. In our case the prediction accuracy improved until  $K = 200$   
 346 and started to decrease from that value. Regarding the confidence interval

347 (CI), 95% is used, based on common conventions and in order to efficiently  
 348 control the margin of error [35]. This value implies that produced intervals  
 349 will have a 0.95 probability of containing the population mean.

Table 2: Quantile regression forests configuration parameters.

Parameter	Value
Number of estimators ( $K$ )	200
Confidence interval (CI)	95%

350 In the following subsection the analysis process followed by the proposed  
 351 DSS is shown over a real dataset, comprising a set of 20 flocks of broiler  
 352 meat chickens from different farms in various locations around Spain. Results  
 353 obtained are also discussed.

#### 354 4.2. Experimental results and discussion

355 Given the temperature and the relative humidity parameters of the 20  
 356 flocks of broiler meat chickens from different farms under study, the envi-  
 357 ronmental deviations from the corresponding empirical models learned are  
 358 computed on a LOOCV (leave-one-out cross-validation) basis for each sam-  
 359 ple, by segmenting the total set of samples into 20 parts. Environmental  
 360 model is thus calculated on  $n - 1$  samples and cumulative deviations are  
 361 obtained for the sample left out, as it was presented in Equation 1. The  
 362 resulting indicators will be used as input features of the quantile regression  
 363 forests-based growth, welfare and mortality models.

364 The average of cumulative deviations from temperature and relative hu-  
 365 midity models used in this study can be seen in Figure 5 and Figure 6,  
 366 respectively.

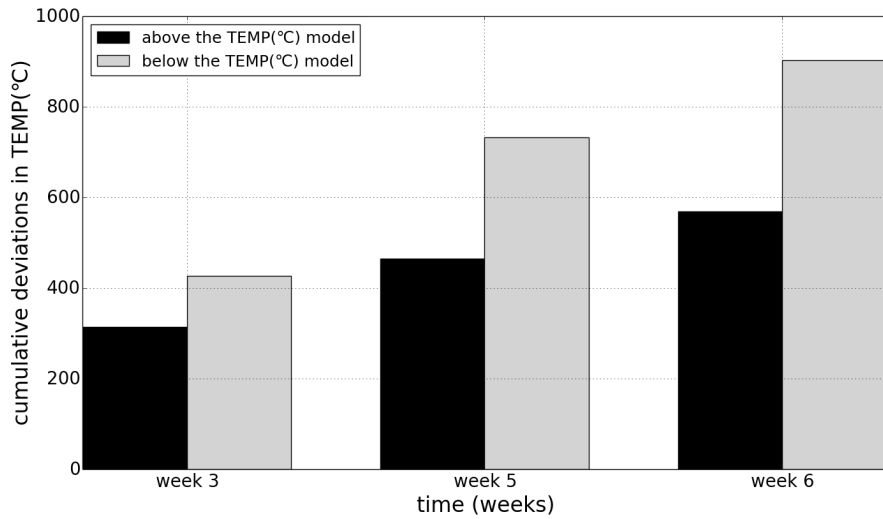


Figure 5: Cumulative deviations in temperature.

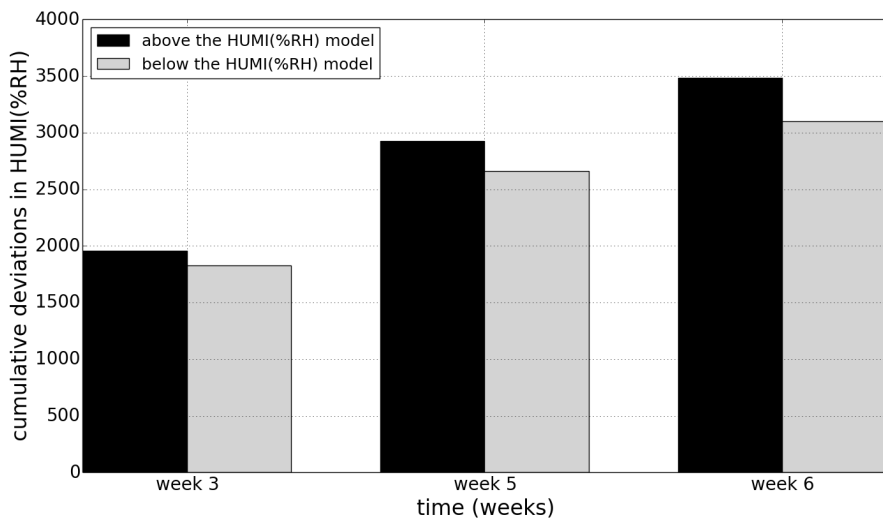


Figure 6: Cumulative deviations in relative humidity.



368 the growth period gets close to its end in week 6, especially in relation to  
369 deviations in temperature below the model. The conditions inside the farm  
370 varies a lot from day to night and, in addition to that, heavy changes and  
371 variations were observed depending on the control system applied in each  
372 farm that strongly affect the production process.

373 The growth, welfare and mortality models are then obtained using the  
374 previously computed environmental indicators and targeting the available  
375 weights, leg problems and mortality rates. In order to estimate the gener-  
376 alization performance of the quantile regression forests-based modeling ap-  
377 proach, the same LOOCV strategy was applied. Therefore, all models were  
378 trained on  $n - 1$  flocks or samples, including data related to weeks 3, 5 and  
379 6, and tested for each sample by comparing estimated target parameters in  
380 weeks 3, 5 and 6 to unseen, real values.

381 Results are presented in graph and table formats. Graphs show the real  
382 and predicted values for each target parameter, weights, leg problems and  
383 mortality rates, and the corresponding quantile interval learned in relation to  
384 weeks 3, 5 and 6. These values and the three quantile intervals are provided  
385 for each of the 20 flocks under study, labeling each flock with a different  
386 sample id in the graphs. Of special interest are those cases that are detected  
387 outside the 95% CI at early stages of the production process, namely at week  
388 3 and above or below the CI depending on the indicator to be addressed. Pre-  
389 diction intervals are able to deal with uncertainty, adapting estimations made  
390 to learned models and given environmental conditions. The aim is to reverse  
391 a negative situation by tuning the environmental parameters accordingly and  
392 thus reaching optimal values at the end of the process. Similarly, tables sum-

393 marize the number of flocks that are above, below and within the confidence  
 394 intervals regarding each week of the process.

395 In the case of the growth model, the prediction intervals in weeks 3, 5  
 396 and 6 for all farms can be seen in Figure 7. Prediction intervals in week 3 for  
 397 samples with id 13, 16, 18 and 20 are quite precise, showing very small sizes.  
 398 Some interesting trends regarding prediction intervals can be appreciated in  
 399 several samples, e.g. samples with id 3, 4 or 8. Additionally, in many cases a  
 400 low final weight could have been anticipated at an early stage of the process,  
 401 at week 3, e.g. in samples with id 1, 5, 6, 11, 12, 16 or 20, since the upper  
 402 bound of predicted intervals are very low.

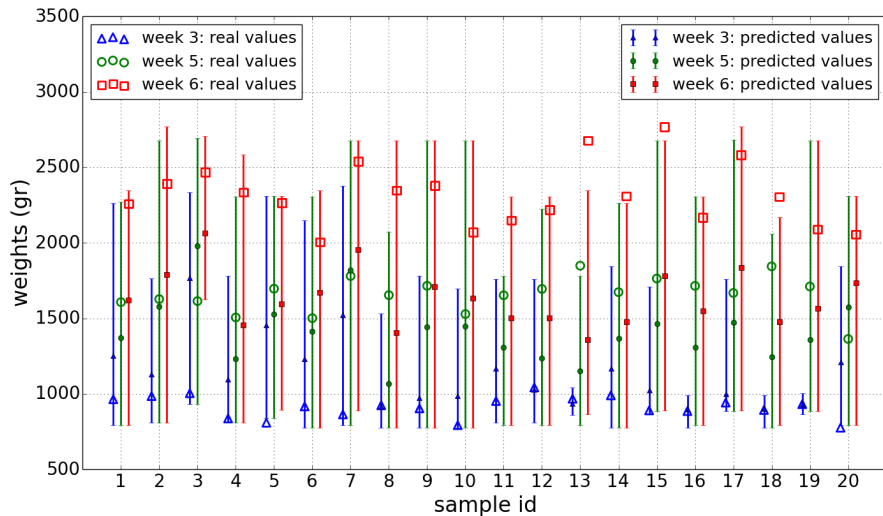


Figure 7: Random forests-based growth model. Illustration of real and predicted averaged weight values and the corresponding quantile intervals in weeks 3, 5 and 6 for each sample (flock) under study.

403 The results presented in Table 3 are obtained, containing the average out-

404 puts of the 20 folds. From samples detected outside the prediction intervals,  
 405 there are more samples above than below them, which means that other fac-  
 406 tors may also influence the growth curve (e.g. CO<sup>2</sup> emissions or ammonia  
 407 levels).

Table 3: Results obtained by the growth model.

	<b>Week 3</b>	<b>Week 5</b>	<b>Week 6</b>
<b>Predicted in the 95% CI</b>	18	19	16
<b>Above the upper 95% CI limit</b>	0	1	4
<b>Below the lower 95% CI limit</b>	2	0	0

408 The prediction intervals obtained by the welfare model in weeks 3, 5 and  
 409 6 for all samples is presented in Figure 8. Note that in the case of the flock  
 410 17th there were no leg problems registered in week 6, since in that case the  
 411 growth period ended before the 6th week. In some cases, e.g. in samples with  
 412 id 8, 9, 10 or 11, big differences could be found regarding the evolution of  
 413 prediction intervals from weeks 3 to 6, highly increasing the predicted values  
 414 and the size of the intervals. This negative welfare effect must be dealt  
 415 with in advance by, for instance, readjusting the environmental parameters  
 416 in accordance with the optimal conditions, in order to minimise its impact  
 417 in the production at the end of the growth period.

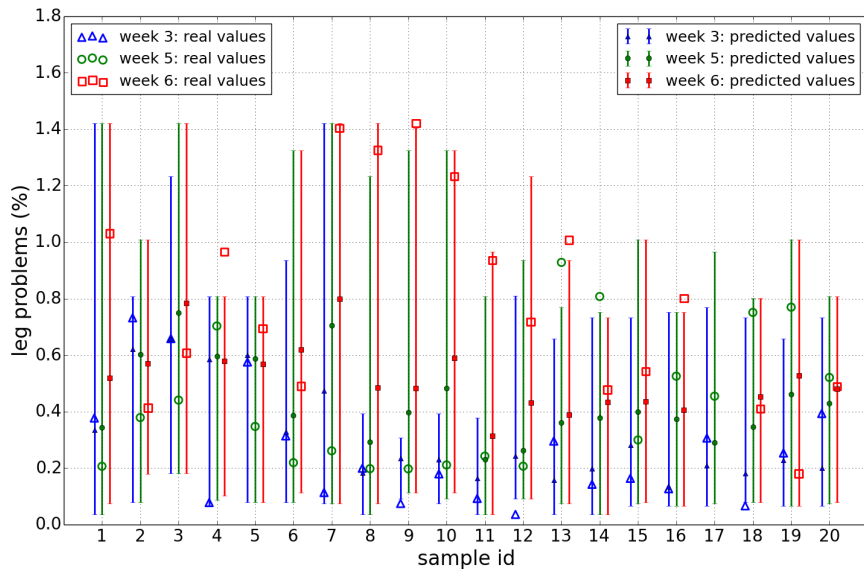


Figure 8: Random forests-based welfare model. Illustration of real and predicted frequency (%) of lame and immobile birds values and the corresponding quantile intervals in weeks 3, 5 and 6 for each sample (flock) under study.

418 The LOOCV process with relation to the welfare model produced the  
 419 following results (see Table 4). Samples outside the prediction intervals are  
 420 equally distributed below and above them in weeks 3 and 6, respectively. This  
 421 means a sharp change in the global trend that could have been anticipated in  
 422 week 5, when it turns out that a couple of samples are above the prediction  
 423 intervals.

Table 4: Results obtained by the welfare model.

	Week 3	Week 5	Week 6
<b>Predicted in the 95% CI</b>	16	18	16
<b>Above the upper 95% CI limit</b>	0	2	4
<b>Below the lower 95% CI limit</b>	4	0	0

424 Regarding the mortality model, Figure 9 presents computed prediction  
 425 intervals for all samples in weeks 3, 5 and 6. A high rate of mortality can be  
 426 appreciated in the 10th and 15th samples, which could have been anticipated  
 427 in week 5, when a value extremely high, above the prediction interval, was  
 428 detected.

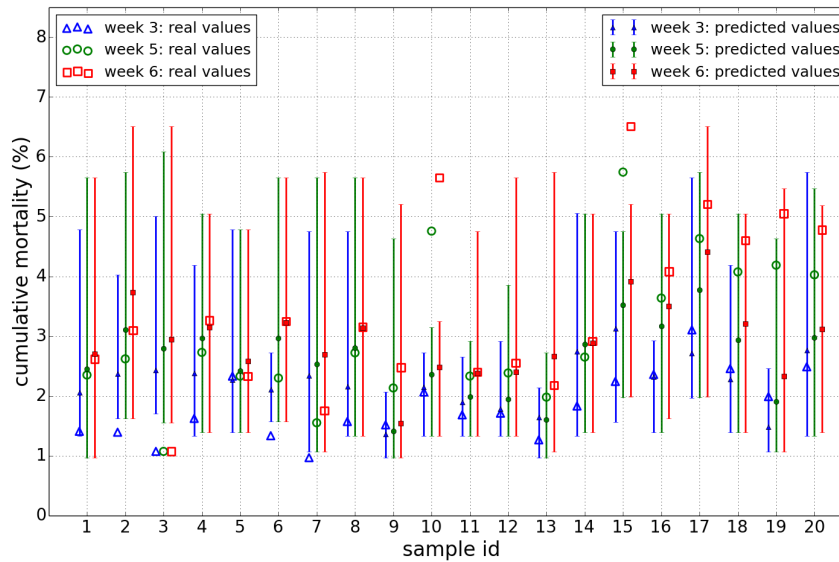


Figure 9: Random forests-based mortality model. Illustration of real and predicted cumulative mortality rate (%) values and the corresponding quantile intervals in weeks 3, 5 and 6 for each sample (flock) under study.

429 Following are the mortality model results (see Table 5), in which the  
 430 average outputs of the 20 folds for samples predicted within the 95% CI, and  
 431 above and below it, are presented. Interestingly, in general terms, it turns  
 432 out that there are more samples below the prediction intervals than above  
 433 them (6 and 4, respectively), especially in week 3, which means that there  
 434 are low mortality rates despite the environmental conditions.

Table 5: Results obtained by the mortality model.

	<b>Week 3</b>	<b>Week 5</b>	<b>Week 6</b>
<b>Predicted in the 95% CI</b>	16	17	17
<b>Above the upper 95% CI limit</b>	0	2	2
<b>Below the lower 95% CI limit</b>	4	1	1

435 As a whole, it can be observed that predictions that are more accurate  
 436 have smaller prediction intervals. This is due to the fact that they are re-  
 437 lated to more common, expected input environmental parameters, closer to  
 438 the optimal conditions, and with slight variations in the considered flocks.  
 439 Therefore, the corresponding output parameters are easier to predict.

440 The comparison of Tables 3, 4, and 5, suggests that the method consis-  
 441 tently over-predicts at week 3 (the number of farms below the CI is higher  
 442 than above the CI) and under-predicts at weeks 5 and 6 (the opposite re-  
 443 lationship is evident). Therefore, it can be concluded that the transition  
 444 from week 3 to 5 is critical in the growth process regarding the environmen-  
 445 tal indicators defined. In order to mitigate this effect the setting of the farm  
 446 conditions should be more carefully accomplished during that period of time.

447 In Table 6, the global prediction accuracy and interval size obtained by

448 growth, welfare and mortality models at weeks 3, 5 and 6 are shown. They  
 449 are computed as the average of the 20 folds. In general, prediction accuracy  
 450 is very high during weeks 3 and 5, and it decreases in week 6. More pre-  
 451 cisely, the best estimates are achieved in week 5, being 0.95, 0.9 and 0.85  
 452 for growth, welfare and mortality models, respectively. Only the mortality  
 453 model obtained slightly better results in week 6 than in week 3, rising from  
 454 0.8 to 0.85. This is probably due to the increase in environmental conditions  
 455 variance during the last days of the growth period, and to some extent to ex-  
 456 ternal factors that could affect the farm conditions, e.g. the way a particular  
 457 farmer works. By defining a 95% CI, larger intervals are obtained and few  
 458 samples are classified outside the limits. They can be considered as outliers.

Table 6: LOOCV average score results of growth, welfare and mortality models.

	Week	Interval size (% over the total range)	Prediction accuracy	
Growth model	3	920.1 (46.17%)	0.9	88.32%
	5	1,558.9 (78.25%)	0.95	
	6	1,635.5 (82.1%)	0.8	
Welfare model	3	0.73 (52.96%)	0.8	81.67%
	5	0.95 (69.33%)	0.9	
	6	0.95 (68.24%)	0.75	
Mortality model	3	2.55 (45.95%)	0.8	83.32%
	5	3.41 (61.73%)	0.85	
	6	3.98 (72.02%)	0.85	

459 Global prediction accuracy is over 81% in every proposed model, which

460 means that given predictions can highly support the in-farm decision mak-  
461 ing by providing useful recommendations to adjust environmental conditions  
462 when they are outside the optimal limits. Although the obtained global  
463 prediction interval size denotes a high degree of uncertainty, it is still encour-  
464 aging that the correctly predicted values rise above the interval size. These  
465 results demonstrate the validity of the proposed DSS for a representative  
466 set of farms, involving 20 flocks of broiler meat chickens from different lo-  
467 cations in Spain. Moreover, it can be easily applied in other countries and  
468 environments, since it is a data-driven method that learns from data. The  
469 data-driven, automatic estimation of key production parameters is one of the  
470 main advantages over the traditional methods.

471 The proposed quantile regression forests-based growth, welfare and mor-  
472 tality models are robust and comprehensive, yet accurate decision support  
473 tools in animal farming. They are based on deviations from optimal environ-  
474 mental conditions, automatically collected by a set of sensors and on machine  
475 learning algorithms. The environmental models put in practice nowadays are  
476 based on theoretical curves proposed by two international companies: Cobb  
477 and Ross [1]. Therefore, the improvement with relation to the current strate-  
478 gies is clear.

## 479 **5. Conclusions and further work**

480 In this work we presented a DSS in animal farming that integrates last  
481 technological approaches with traditional broiler production. It provides  
482 advanced and useful recommendations to support an efficient and animal  
483 welfare-friendly production according to empirical data-driven models. One



484 main advantage is that our approach to estimate weights, leg problems and  
485 mortality rates facilitates to the players of the production chain such as  
486 farmers, veterinarians and technical personnel crucial information to opti-  
487 mize production.

488 Discussed test scenario involving 20 flocks of broiler meat chickens from  
489 different farms showed an accurate prediction tool at different, critical, stages  
490 of the growth period, namely during the third, fifth and sixth week. Obtain-  
491 ing a global accuracy over 81% in every proposed model, given predictions  
492 can highly support the decision making, mainly by providing useful recom-  
493 mendations to adjust the environmental conditions on farm, which highly  
494 affect the growth, welfare and mortality parameters. Therefore, weights can  
495 be increased and leg problems and mortality rates minimised.

496 Further work should include the use of additional environmental param-  
497 eters (e.g. CO<sup>2</sup> emissions or ammonia levels) and the application of more  
498 sophisticated paradigms, as non-parametric regression [36], in order to im-  
499 prove the prediction capabilities of the proposed models. Although the pre-  
500 sented work was focused on broiler flocks, the same DSS can be applied to  
501 other farm animals. The in-field deployment of the approach will make it  
502 easier for users to manage the production smartly, anticipating deviations  
503 from optimal conditions.

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ACCEPTED MANUSCRIPT

**Highlights**

- A decision support system for sustainable animal farming is proposed
- It relies on a data-driven learning framework based on quantile regression forests
- Fully comprehensive yet accurate models are obtained and deployed in the cloud
- The system is tested on real data concerning 20 different flocks of broilers
- Obtained global accuracy in growth, welfare and mortality models is over 81%