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Abstract: Pulsed electric fields (PEF) processing of grapes after crushing was studied on pilot-plant scale on the white cv. Garganega. The effects on must and wine composition, the modifications induced on wine color and predisposition to browning, the impact on wine aroma compounds and the extraction of aroma precursors from grapes were investigated. PEF pre-treatment of grapes did not change must and wine basic composition, neither it was able to modify the behavior of alcoholic fermentation. Contrary, PEF determined an increase of total dry extract, wine color and total phenolics. A treatment corresponding to a total specific energy of 22 kJ kg-1 allowed a more intense extraction of varietal aroma precursors, without provoking excessive color evolution and extraction of phenolic compounds, apparently increasing the stability of the wine towards oxidations. Due to the few papers available on this subject, PEF applications on white grapes should be optimized in further experiments.

Cover Letter

Pulsed Electric Fields processing (PEF) is an emerging technology, with several promising applications in food industry. In winemaking sector, PEF has been applied mainly on red varieties, with the purpose of increasing the extraction of color and phenolic compounds from the grapes. In the current research, PEF was tested on white grapes from the Italian variety Garganega, after crushing/destemming. As far as we know, this is one of the few papers reporting data on the use of this technology during white wine processing. Moreover, in the few publications available on the application of PEF to white cultivars, the effects of the treatment were characterized mainly by reporting simple analytical parameters, such as spectrophotometric measurements or turbidity. In this research, the effects of PEF processing on wine volatile composition and the ability of such technology to promote the release of varietal aroma precursors from the grapes have been also investigated, in addition to the other conventional parameters. To the best of our knowledge, these aspects have not been investigated yet, in the studies published since now about PEF technology in winemaking sector. For this reason, we think that this paper can give a significant contribution to the current knowledge about PEF application in wine industry.

¹ Pulsed Electric Fields processing of white grapes cv.

2 Garganega: effects on wine composition and volatile

3 compounds

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17 Abbreviated running title:

18 Pulsed Electric Fields on white grapes and effects on wine composition

20 Abstract

21 Pulsed electric fields (PEF) processing of grapes after crushing was studied on pilot-plant 22 scale on the white cv. Garganega. The effects on must and wine composition, the modifications induced on wine color and predisposition to browning, the impact on wine 23 24 aroma compounds and the extraction of aroma precursors from grapes were investigated. PEF 25 pre-treatment of grapes did not change must and wine basic composition, neither it was able to modify the behavior of alcoholic fermentation. Contrary, PEF determined an increase of 26 27 total dry extract, wine color and total phenolics. A treatment corresponding to a total specific energy of 22 kJ kg⁻¹ allowed a more intense extraction of varietal aroma precursors, without 28 29 provoking excessive color evolution and extraction of phenolic compounds, apparently 30 increasing the stability of the wine towards oxidations. Due to the few papers available on this 31 subject, PEF applications on white grapes should be optimized in further experiments.

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33 KEYWORDS: PEF; grape processing; white winemaking; extraction; varietal aroma

35 1 Introduction

Pulsed Electric Fields (PEF) is a recent technological opportunity for food processing and
preservation, based on the application of short pulses of high-voltage current to food products.
The typical electric field intensity of a PEF treatment ranges from 10 to 80 kV cm⁻¹, with a
pulse duration of micro to milliseconds (Maged & Amer Eissa, 2012).

When a high-voltage current is applied to food products, this may induce structural 40 41 modifications of certain cell membrane constituents, such as some carrier proteins and 42 phospholipid bilayers (Tsong, 1991). The dielectric polarization of phospholipids and their reorientation, promoted by the electric field applied, provoke the formation of hydrophilic pores 43 44 in the membrane itself (Tsong, 1991). This phenomenon is described as dielectric breakdown 45 Riemann, 1974), electroporation (Zimmermann, Pilwat & (Tsong. 1991) or 46 electropermeabilization (Teissie, Golzio & Rols, 2005), and may be reversible or irreversible, 47 depending on the intensity of the electric field applied (Maged & Amer Eissa, 2012; Vega-Mercado, Góngora-Nieto, Barbosa-Cánovas & Swanson, 2007). This results in an increased 48 49 permeability of the membrane itself to small molecules (Ortega-Rivas & Salmerón-Ochoa, 50 2014), swelling and cell breakdown (Vega-Mercado et al., 2007).

51 PEF technology has been introduced in food processing as a non-thermal treatment for the 52 inactivation of microorganisms (Ortega-Rivas & Salmerón-Ochoa, 2014), with the purpose of 53 achieving a better preservation of food color, texture, flavor and nutritional value, with 54 respect to the traditional thermal processing methods (Barbosa-Cánovas, Góngora-Nieto, 55 Pothakamury & Swanson, 1999; Maged & Amer Eissa, 2012). However, electroporation was 56 also suggested for the extraction of bioactive compounds from plant materials (Vorobiev & 57 Lebovka, 2012; Azmir et al., 2013), as well as for increasing the extraction yield during the 58 processing of fruit juices (Schilling et al., 2007; Vorobiev & Lebovka, 2012), opening new 59 perspectives for the use of PEF technology in food industry.

The interest of winemaking sector towards PEF is quite recent. PEF processing of grapes and wine is currently not included among the practices recommended by the International Organization of Vine and Wine (OIV) and for this reason, in Europe, the use of PEF is not allowed at winery scale (Regulation EC No 606, 2009).

Apart from some experiments related to the use of this technology for the microbiological 64 stabilization of must and wine (Puértolas, López, Condón, Raso & Álvarez, 2009), the most 65 66 of the papers published about PEF in winemaking, focus on the extraction of color and 67 phenolic compounds from red grapes (López, Puértolas, Condón, Álvarez & Raso, J., 2008a; López, Puértolas, Condón, Álvarez & Raso, J., 2008b; Puértolas, López, Condón, Álvarez & 68 69 Raso, 2010a; Puértolas, Hernández-Orte, Saldaña, Álvarez & Raso, 2010b; Puértolas, 70 Saldaña, Álvarez & Raso, 2010c; Donsì, Ferrari, Fruilo & Pataro, 2011; El Darra, Grimi, Louka, Maroun & Vorobiev, 2012a; El Darra, Grimi, Maroun, Louka & Vorobiev, 2012b; 71 72 Delsart et al., 2014). Recently, PEF was also found to accelerate the release of mannoproteins 73 during yeast autolysis (Martínez, Cebrián, Álvarez & Raso, 2016). However, the use of this 74 technology for the processing of white grape varieties and the effects on white wine composition were poorly investigated from the technological point of view and, to the best of 75 our knowledge, there are currently very few publications dealing with these aspects 76 (Praporscic, I., Lebovka, N., Vorobiev, E., & Mietton-Peuchot, M., 2007). 77

For this reason, the current work was aimed to investigate the application of PEF during the pilot-plant scale processing of white grapes from the variety Garganega, keeping into consideration the effects of the treatment on the concentration of varietal aroma precursors in the juice, the impact on the behavior of alcoholic fermentation, as well as the influence on wine color, total phenolics and volatile composition.

83 2 Materials and Methods

84 2.1 Reagents and materials

85 Sodium chloride, 30 % (w/w) hydrogen peroxide, 96 % (v/v) ethanol, ACS grade hydrochloric acid (37%), anhydrous sodium sulfate and citric acid were purchased from Carlo 86 87 Erba Reagents (Milan, Italy). HPLC grade dichloromethane and *n*-pentane, HPLC grade 88 methanol, ethyl heptanoate and 1-heptanol were from Sigma-Aldrich (St. Louis, MO, USA). 89 Malt Extract Agar and bacteriological peptone were purchased from Oxoid (Basingstoke, UK). Milli Q grade water was produced by a Milli-Q Advantage A10 apparatus (Merck 90 91 Millipore, Billerica, MA, USA). The active dry yeast strain (Flavor 2000), the pectolytic 92 enzyme preparation (Flottozima® P), the yeast nutrient formulation (V-Starter Premium) and 93 the potassium metabisulfite used for the vinification protocols were all supplied by Enologica 94 Vason S.p.A. (S. Pietro in Cariano, VR, Italy). The glycosidase preparation (Rapidase 95 Revelation Aroma) used for the determination of bound monoterpenes, was from Oenobrands 96 SAS (Montpellier, France).

97 2.2 PEF treatments

98 Two hundred kg of Garganega grapes, harvested in the region of Valpolicella (Verona, Italy, 99 harvest 2015), was supplied by a local winery, after destemming and crushing. The mash 100 obtained was subjected to PEF processing on the pilot-plant described below.

101 PEF equipment consisted in a 8 kV, 30 A PEF generator (Model H.V.18kV_30A_Alintel 102 Generator) and a 100 x 30 mm i.d. poly(methyl methacrylate) cylindrical cell provided with 103 two toroidal stainless steel electrodes. Both the cell and the generator were supplied by Alintel 104 S.r.l. (Pieve di Cento, BO, Itay). The mash was continuously pumped into the cell, by a 105 single-screw volumetric pump (Model MXF30INCA, Liverani – Lugo, RA, Italy), at a flow rate of 2001 h⁻¹. PEF treatments were carried out, in three repetitions each, at an electric field 106 strength of 1.5 kV cm⁻¹, with a duration of the single pulse of 0 μ s (no pulse, Untreated), 8 μ s 107 (corresponding to a total specific energy of 11 kJ kg⁻¹) and 16 μ s (corresponding to a total 108 specific energy of 22 kJ kg⁻¹). For both the PEF treatments, PEF generator provided squared 109 110 wave pulses, with a frequency of 600 Hz. Experiments were carried out at room temperature5 111 (20 °C). The temperature increase of the mash, measured after the treatments, was lower than

112 5 $^{\circ}$ C for all the samples.

113 2.3 Winemaking protocols

After PEF processing, the mash (three repetitions for each treatment) was sulfited by the addition of 100 mg l⁻¹ of potassium metabisulfite (corresponding approx. to 50 mg l⁻¹ of sulfur dioxide) and immediately pressed with a water-press (Model W80, Grifo Marchetti, Piadena, CR, Italy). Pressing was standardized for all the samples, operating two pressing cycles, at a maximum pressure of 0.8 bar each.

119 The juice obtained was treated with 20 mg Γ^1 of pectolytic enzyme preparation and stored 120 overnight at 8 °C for allowing static sedimentation. After racking, samples were 121 supplemented with 200 mg Γ^1 of active dry yeasts, prepared on the basis of the supplier 122 instructions, and 200 mg Γ^1 of yeast nutrient preparation. Alcoholic fermentation was carried 123 out at 20 °C, monitoring daily the specific gravity of the fermenting must.

124 At the end of alcoholic fermentation, samples were racked in 0.75 1 glass bottles, 125 supplemented with 60 mg 1^{-1} of potassium metabisulfite and sealed with crown cap closures. 126 All the wines were stored at 20 °C until analysis.

127 2.4 Analytical determinations

128 2.4.1 Pressing yield

Juice extraction yield was evaluated as the percent ratio between the weight of the juiceobtained and that of the mash before pressing, as suggested by Praporsic et al. (2007).

131 2.4.2 Microbiological analysis

In order to evaluate the effect of PEF treatment on the yeast populations naturally present onthe crushed grapes, the mash was collected at the outlet of the PEF equipment, in 50 ml sterile

Falcon tubes. Samples were aseptically transferred in a stomacher bag and treated for 1 min in
a Stomacher 400 homogenizer (Seward Ltd, Worthing, SXW, United Kingdom).

After homogenization, 1 ml of each sample was transferred in a 15 ml sterile tube and mixed with 9 ml of saline-peptone water (9 g Γ^1 sodium chloride and 1 g Γ^1 bacteriological peptone). After vortexing for 1.5 min in a VWR vortex mixer (International PBI, Milan, Italy), additional decimal dilutions were made in the same solution. The diluted samples were plated on Malt Extract Agar and incubated at 25 °C for 48-72 h, under aerobic conditions. Total yeast colonies were counted.

142 2.4.3 Alcoholic fermentation kinetics

The potential effects of PEF treatments on the fermentation kinetics was evaluated by measuring the behavior of the specific gravity of the samples, during fermentation itself. Measures were carried out daily, for the whole duration of alcoholic fermentation. Analyses were performed at 20 °C, by a DMA 4500 density-meter (Anton Paar, Graz, Austria). Samples (2 ml) were previously filtered on 0.45 μm nylon membranes (Albet-Hahnemühle, Barcelona, Spain), to eliminate the carbon dioxide dissolved.

149 2.4.4 FTIR analysis

150 Basic quality control parameters on musts and wines, were assessed by FTIR spectroscopy, by using a using a WinescanTM FT-120 instrument (FOSS, Hillerød, Denmark); all the 151 152 replicated samples were analyzed two times each, and the mean value of the two 153 measurements was considered for data elaboration. For musts, the following parameters were 154 considered: reducing sugars; pH; total acidity, malic acid, yeast assimilable nitrogen (YAN) 155 and alcoholic strength. Wines were analyzed fifty days after the end of alcoholic 156 fermentation; the data acquired were alcoholic strength, reducing sugars, total acidity, volatile 157 acidity, pH, malic acid, lactic acid, tartaric acid, citric acid, total dry extract, glycerol, 158 potassium, and ash.

159 2.4.5 Color and total phenolics

Wine color and Total Phenolic Index (TPI) were determined on the wines, fifty days after the end of alcoholic fermentation. Concerning color, analyses consisted in measuring the absorbance of the samples at 420 nm, in 10 mm optical path length quartz cuvettes (Hellma Analytics, Mülheim, Germany); readings were performed against distilled water. For TPI, the samples were previously diluted ten times with distilled water and absorbance was read at 280 nm in the same conditions. TPI was calculated multiplying by 10 the absorbance measured at 280 nm.

167 2.4.6 Browning assay

The predisposition of wines towards browning was determined by a modification of the POM-test, a browning assay previously described by Müller-Späth (1992). Five ml of wine were added up with 25 μl of a 3 % hydrogen peroxide solution and heated at 60 °C, for one hour. Browning was estimated as the percent increase of the absorbance at 420 nm. All analyses were carried out by a UV–vis spectrophotometer, model V-530 (Jasco Co. Ltd., Tokyo, Japan).

174 *2.4.7 Aroma compounds*

Aroma compounds were determined on the wines stored in bottles, fifty days after the end of alcoholic fermentation. Five ml of wine were mixed with 5 ml of a 30 % (w/v) sodium chloride solution and 200 μ l of internal standard (ethyl heptanoate, 500 mg l⁻¹ in 96 % v/v ethanol). The mixture was subjected to five extractions, with 2.5 ml of pentane: dichloromethane (2:1 v/v) each. The organic phase was collected in a Pyrex tube, dehydrated with anhydrous sodium sulfate and concentrated under nitrogen flow up to a final volume of about 1 ml. The samples obtained were subjected to GC-MS analysis, as detailed below.

182 2.4.8 Free and bound terpenes and norisoprenoids

183 The musts collected after pressing and prior to the addition of pectolytic enzymes, were analyzed 184 to assess the effects of PEF processing on the release of free and bound terpenic molecules from 185 the grapes. The procedure used was a modification of the method published by Dziadas & Jeleń 186 (2010). An aliquot of juice was sampled after pressing and centrifuged at 3000 rpm for 10 min. 187 Hundred ml of the limpid phase was added with 100 µl of internal standard (1-heptanol, 500 µg ml⁻¹ in 96 % v/v ethanol) and loaded onto an Isolute[®] 500 mg, 6 ml, C18 SPE cartridge (Biotage, 188 189 Uppsala, Sweden), previously conditioned with 25 ml of methanol and 25 ml of Milli Q grade 190 water. Sample loading was followed by a washing step with 150 ml of Milli Q water. Free 191 terpenes were then eluted with 25 ml of pentane: dichloromethane (2:1 v/v). The eluate was 192 dehydrated with anhydrous sodium sulfate and stored at -20 °C until GC-MS analysis.

193 Bound terpenes were eluted from the same cartridge with 25 ml of HPLC grade methanol. 194 The eluate was collected in conical tubes and evaporated in a vacuum centrifuge (Univapo 195 100 H - Uniequip, Planegg, Germany). The residue was resuspended in 5 ml of 0.2 M citrate 196 buffer (pH 5.00) and added with 200 µl of glycosidase preparation (25 g l^{-1} in Milli O grade 197 water). The samples were stored at 40 °C for 20 hours, for allowing enzymatic hydrolysis, 198 transferred in a 10 ml volumetric flask and supplemented with 100 µl of internal standard (1-199 heptanol). Bound terpenes and norisoprenoids were extracted five times with pentane: 200 dichloromethane (2:1 v/v), by using the same procedure described in the Section 2.4.7. GC-201 MS analyses were carried out as follows.

202 2.4.9 GC-MS analyses

The system used for GC-MS analyses was a GC-17A gas chromatograph coupled with a QP-5000 mass spectrometer (both by Shimadzu, Kyoto, Japan). Volatile compounds were separated on a J&W DB-Wax capillary column (30 m x 0.25 mm i.d., 0.25 μ m film thickness) provided by Agilent Technologies Inc. (Santa Clara, CA, USA), under the following operating conditions: 40 °C for 1 min, then 4 °C min⁻¹ up to 240 °C, held for 15 min. The

208 injection $(1 \mu l)$ was made in splitless mode, with a splitless time of 60 s. Injector and detector 209 temperatures were both set at 240 °C. Carrier gas was helium at a linear flow rate of 35 cm s⁻ ¹. Electron impact mass spectra were recorded at 70 eV and volatile compounds were 210 211 tentatively identified by comparison of their mass spectra and retention times with those of 212 standard compounds, or by comparison of mass spectrum with those reported in the mass 213 spectrum libraries Wiley 6 and NIST 107. Moreover, linear retention indices were calculated 214 according to the retention times of *n*-alkanes, and compared with those reported in literature. 215 Semi-quantitative analysis was based on the internal standard method, considering a response 216 factor equal to 1.00.

217 2.5 Statistical analyses

218 Concerning chemical and microbiological analyses, the results were averages of three 219 measurements obtained from three replicated experiments. One-way ANOVA was carried out 220 on the values found for the different parameters analyzed. Means and standard deviations 221 were calculated and significant differences were assessed by Tukey HSD Test at p < 0.05. All 222 the elaborations were performed by the software Statistica for Windows (StatSoft, Tulsa, OK, 223 USA), Version 8.0.

224 **3 Results and Discussion**

225 3.1 Effects of PEF processing on pressing yield and must composition

PEF treatment determined an appreciable increase of the pressing yield. The percent yield in juice for the Control sample (Untreated) was 78.0 % w/w (average value of the three repetitions analyzed). This value increased to 84.9 % w/w for the sample treated at 11 kJ kg⁻¹ and to 81.4 % w/w for the one processed at 22 kJ kg⁻¹, with an average percent increase with respect to the yield of the Control of + 8.9 % and + 4.3 % respectively. These percentages are in agreement with those reviewed by Vorobiev & Lebovka (2012), who reported a 4 %
increase of pressing yield (belt-press), after PEF processing of cider apple mash.

It is interesting to observe that the higher amount of juice recovered was obtained for the 233 samples treated with the lowest specific energy (11 kJ kg⁻¹). In a lab-scale experiment, 234 235 Praporscic and collegaues (Praporsic et al., 2007) observed an even higher increase of pressing yield (+ 24 %), operating with an electric field intensity of 0.75 kV cm⁻¹; PEF 236 treatments were carried out for up to 30 trains of 100 pulses (100 µs each), in static 237 238 conditions, corresponding to a total PEF time of 0.3 s. Based on these considerations, lower 239 electric field intensities and specific energies during PEF processing, might represent a more 240 suitable operating condition for achieving a higher juice extraction yield.

241 Praporscic et al. (2007) also observed that the PEF pre-treatment of the mash obtained from 242 three grape varieties: Semillon, Sauvignon and Muscadelle, determined a decrease of must 243 turbidity after pressing. Contrary, in the current experiment, a higher level of suspended solids 244 was observed in PEF-processed juice and static sedimentation was more difficult in such 245 musts than in the Untreated one. In particular, the higher was the specific energy applied, the 246 greater was the amount of lees collected at the bottom of the containers, after static 247 sedimentation (Supplementary Material, Fig. A). This different behavior with respect to 248 literature results was probably due to the different operating conditions used in the two 249 experiments, e.g. the characteristics of the grape variety, or the pressing machine used. In 250 particular, in the winery practice, it is well known that different kind of machines and 251 different levels of pressure applied may have a strong impact on the draining capacity of the 252 cake formed during pressing and the turbidity of the juice obtained.

253 Concerning the effects of the treatments on must composition (Table 1), PEF processing did 254 not affect neither the level of sugars in the juice, nor the YAN concentration. However, PEF 255 provoked a slight variation of the acidic fraction. In particular, pH was significantly higher in 256 the juice processed at 11 kJ kg⁻¹, with an average increase of + 0.08 units, with respect to the Untreated sample. This slight increase of the pH might be explained with a higher degree of salification of organic acids, due to an enhanced extraction of cations from the skins. The significant variations measured for malic acid and for titratable acidity are actually negligible from the practical point of view.

Finally, in the present experiment, none of the operating conditions tested, determined appreciable variations in the yeast populations counted in the mash after PEF treatments (Supplementary Material, Table A). A positive effect of PEF on the reduction of wild microorganisms in must and wine is reported in literature, but considerably higher specific energies (150-180 kJ kg⁻¹) are required for the inactivation of certain yeast or lactic acid bacteria strains (Luengo, Puértolas, López, Álvarez, & Raso, 2012).

267 3.2 Effects of PEF processing on fermentation behavior and wine composition

PEF treatments did not affect the kinetic of alcoholic fermentation. The behavior of specific gravity during fermentation itself (Fig. 1) was comparable for Untreated and PEF-processed samples. In all the cases, alcoholic fermentation was completed in seven days, with negligible levels of residual sugars (approx. 1 g I^{-1}). Basing on the values collected by FTIR analysis (Table 2), secondary or unwanted fermentations (e.g. malolactic) did not occur in the wines: malic and citric acid were preserved and volatile acidities were very low.

274 Concerning the differences among the wines obtained, the data reported in Table 2 confirms 275 that wine basic quality control parameters were poorly affected by the PEF treatment of the 276 mash. The small differences found for juice pH in Table 1, disappeared in the wines fifty days 277 after the conclusion of alcoholic fermentation. The significant increase marked for glycerol 278 content in the samples PEF 11 and PEF 22 is reasonably not relevant from the practical point 279 of view, while slight variations due to PEF processing were found for total dry extract and, in 280 minor amounts, for potassium and ash. Such variations are probably connected with the 281 ability of PEF to increase the extraction of minerals from vegetal tissues (Gachovska, Ngadi,

& Raghavan, 2006) and phenolic compounds from grape skins (López et al, 2008a; López et al, 2008b; Puértolas et al., 2010a; Puértolas et al., 2010b; Puértolas et al., 2010c).

284 In effects, PEF was able to determine a more intense color and a higher level of total 285 polyphenols in the wines analyzed (Table 3). Surprisingly, the lower was the specific energy, 286 the more intense was the color development and the higher the TPI. This behavior is in 287 opposition with the results published by Praporscic et al. (2007), who found that the PEF pre-288 treatment of the mash of three white grape varieties, led to an increased juice extraction yield, 289 but to a lower color extraction. As mentioned in Section 3.1, the conditions of such experiment (0.75 kV cm⁻¹, for a total PEF time of 0.3 s) were different with respect to the 290 291 present operating conditions. Moreover, according to Teissie and colleagues (Teissie et al., 292 2005), the mechanical stress induced by the electric field applied on biological membranes 293 also depends on the composition of the medium, particularly for what concerns its ionic 294 strength; for this reason, compositional aspects connected to varietal differences, might have 295 played a significant role in determining the behaviors observed in the two experiments. In 296 addition, the differences found might be ascribed also to the pressing method used. In fact, it 297 is well known that different pressing machines can determine a different extraction of color 298 and phenolic compounds, depending on the pressure applied.

299 What it is relevant in the current experiment is that the samples processed with the lowest 300 specific energy are those for which the highest extraction yield was achieved (see Section 301 3.1), and those with the most intense color evolution (sample PEF 11, in Table 3). The size of 302 the pores originated during the application of a PEF treatment depends on several factors, 303 such as the intensity of the electric field applied (Zimmermann et al., 1974; Zimmermann, 304 1986) and the pulse duration (Saulis & Salulė, 2012). Probably, the lower specific energy transferred to the samples treated at 11 kJ kg⁻¹ and the lower duration of the pulse, were able 305 306 to promote mainly the release of water (higher pressing yield) and small phenolic molecules in the juice after pressing. Such small polyphenols might have been easily oxidized, 307

308 provoking the intense browning measured in the wines after storage. Contrary, the 309 presumably larger pore size originated by processing the mash at 22 kJ kg⁻¹, might have 310 promoted the release of more complex and polymerized phenolic molecules, which might 311 have contributed to achieve a greater stability of the phenolic fraction, potentially reducing 312 the intensity of browning reactions. In fact, the reactivity of flavanols towards oxidation in aqueous phase (i.e. their antioxidant capacity) is reported to decrease with their complexity, 313 314 e.g. from trimer to tetramer and with the glycosylation of the 3-hydroxyl group of the 315 heterocycle (Plumb, De Pascual-Teresa, Santos-Buelga, Cheynier, & Williamson, 1998). 316 Despite PEF has been described as a technology able to inactivate polyphenol oxidase 317 enzymes (e.g. tyrosinase), the conditions reported for such inactivation are greatly more 318 intense in terms of electric field applied, with respect to those used in the current experiment 319 (Yang, Li, & Zhang, 2004; Noci, Riener, Walkling-Ribeiro, Cronin, Morgan, & Lyng, 2008). 320 For this reason, it seems unlikely that tyrosinase inactivation might be responsible for the 321 lower color development in the samples processed at 22 kJ kg⁻¹.

Anyway, despite the reasons of such behaviors shall be further investigated in future experiments, the wines obtained by PEF processing with a total energy transfer of 22 kJ kg⁻¹, seemed to represent the best compromise between wine stability and the effects of PEF on the extraction of phenolic molecules. Such treatment led to a limited color development and a relatively small increment of total polyphenols in the wines, allowing the achievement of a potentially higher level of stability towards oxidations, as confirmed by the lower POM-test value detected for PEF 22 sample, with respect to the Untreated wine (Table 3).

329 *3.3 Effect of PEF processing on wine aroma composition*

Thirty-two volatile compounds were tentatively identified in the wines fifty days after the conclusion of alcoholic fermentation (Supplementary Material, Table B). Quantitative data are shown in Table 4. Alcohols, fatty acids, ethyl and acetic esters are the most represented compounds in terms of number. 14 334 Alcohols include compounds with both fermentative and pre-fermentative origin. Alcohols 335 were poorly affected by PEF pre-treatment and the significant variations observed for 2methyl-1-propanol, 1-hexanol and 2-phenylethanol seemed not relevant from the practical 336 337 point of view. In the first two cases (2-methylpropanol and hexanol), the concentrations 338 detected were lower than the odor threshold values reported for these two compounds in hydroalcoholic solution: 40 and 8 mg l^{-1} respectively (Guth, 1997). In the light of this, the 339 340 slight increase determined for these two compounds in the wines obtained by PEF processing 341 would seem to have a scarce potential impact on the sensory perception. The same considerations can be done regarding 2-phenylethanol. This alcohol is well known for its 342 343 intense rose-like odor (Ribéreau-Gayon, Glories, Maujean, & Dubourdieu, 2006). 344 Phenylethanol slightly decreased in PEF-processed samples, but according to the 345 concentrations reported for such alcohol in Table 4 and to the odor threshold reported for this compound (10 mg l^{-1} in hydroalcoholic solution – Guth, 1997), the variations found are poorly 346 347 relevant concerning the sensory impact on wine.

The limited variations of the alcohols concentrations after PEF treatments might be connected with the scarce impact of this technology on the YAN levels detected on the juice (Table 1). In fact, as it is well known, fermentative alcohols are produced by the fermenting yeasts, starting from free amino acids, via the Ehrlich pathway (Ribéreau-Gayon et al., 2006).

It is interesting to observe that PEF pre-treatment did not significantly affect also the concentrations of C6 pre-fermentative alcohols. *Cis-* and *trans-*3-hexen-1-ol are both reported in Table 4. These compounds are characterized by green and herbaceous notes (Ribéreau-Gayon et al., 2006) and their presence in high concentration may compromise the sensory quality of the wine. The odor threshold value reported for the *cis*-isomer in wine-like solution is 400 μ g l⁻¹ (Guth, 1997); the concentrations reported for such alcohol in Table 4 remained below this value in all the samples analyzed, both for PEF-processed and Untreated wines. 359 PEF technology, in the conditions of the current experiment, seemed not able to determine360 appreciable increases of the concentrations of such compounds in the wines.

361 As discussed for alcohols, also the concentration of ethyl esters seemed poorly affected by 362 PEF processing, except for ethyl 4-hydroxybutanoate and ethyl hexadecanoate. The 363 concentration of the former decreased progressively as the specific energy of PEF treatments 364 increased, while the latter was found in higher concentration in PEF 11 samples. Anyway, 365 also in this case, the differences found among the samples seem not relevant from the 366 practical point of view. The decrease detected for acetic esters in the wines obtained by PEF 367 processing might be connected with the lower average concentration found for acetic acid in 368 these samples, with respect to the Untreated wine.

369 Concerning fatty acids, significant variations were found only for octanoic, decanoic, 370 butanoic and 3-methylbutanoic acids. Such volatiles were present in lower concentration in 371 PEF-processed wines. Concerning the last three compounds, the concentrations reported in Table 4 are below the odor thresholds (15, 10 and 3 mg l^{-1} respectively) reported in wine-like 372 solutions by Guth (1997). Contrary, the odor threshold of octanoic acid is reported to be 0.5 373 mg 1^{-1} , and at high concentrations, it is connected with cheese-like, rancid and harsh off-374 375 flavors (Tao & Zhang, 2010). However, despite the opportunity to reduce the concentration of 376 fatty acids by PEF application may appear an interesting perspective from the enological point 377 of view, the diminutions observed in the current experiment seemed to be scarcely relevant in 378 the practice. The reasons of such behavior remain unclear and the mechanism that lead to 379 such diminution shall be further clarified in further experiments.

Besides fatty acids, also the concentration of some volatile phenols (4-vinylphenol and 4vinylguaiacol) was significantly reduced by PEF processing. It is well known that the presence of such compounds in white wines comes from the enzymatic decarboxylation of cinnamic acids, operated by yeasts. Vinyl phenols are generally recognized as defects in wine, because of their carnation and pharmaceutical olfactory notes (Ribéreau-Gayon et al.,

2006). What it is interesting in Table 4, concerning vinylphenols, is that the olfactory 385 threshold of 4-vinylphenol and 4-vinylguaiacol is 180 μ g l⁻¹ (López, Aznar, Cacho, & 386 Ferreira, 2002) and 40 μ g l⁻¹ (Guth, 1997; López et al., 2002), respectively. Vinyl-4-phenol is 387 388 reported to the most unpleasant, with pharmaceutical and paint-like odor (Ribéreau-Gayon et 389 al., 2006). PEF processing was able to decrease the concentration of such compound at a level 390 which is below to the odor threshold reported, with a potential positive impact on the overall 391 perception of the wines. The ability of PEF processing to potentially reduce the presence of 392 vinylphenols in wine is probably connected with the reduction of the concentration of hydroxycinnamic acid precursors in the juice, by oxidation. In the case of the treatment at 11 393 394 kJ kg⁻¹, this hypothesis is supported by the significant color evolution observed for this set of 395 samples (Table 3). Nevertheless, these findings need to be further investigated in the future.

No significant impact of PEF processing was found on diols and the other compoundsreported in Table 4.

398 In the current experiment the effects of PEF processing on the release of varietal aroma 399 precursors from the grapes was also investigated, analyzing the juice obtained after pressing 400 and before the addition of pectolytic enzymes for fining. Fifteen terpenic and noisoprenoid 401 molecules were tentatively identified in free or bound form in the juice analyzed 402 ((Supplementary Material, Table C). Quantitative data for Untreated and PEF 22 samples are 403 reported in Table 5. The most of the terpenols and norisprenoids were found in the juice in 404 bound form. The most representative free terpenol is geraniol. PEF pre-treatment of the mash 405 significantly increased the concentration of terpenic and norisoprenoid glycosides in the juice, 406 for all the compounds analyzed. The most of them were detected at concentrations below the 407 olfactory threshold (Garganega is not an aromatic variety), but in the case of geraniol, PEF 408 processing allowed to reach a total concentration (free plus bound form) which is close to the odor threshold reported by Guth (1997) in wine-like solution (30 μ g l⁻¹). 409

410 **4** Conclusions

411 PEF technology is an interesting perspective for wine industry, not only for promoting the 412 extraction of color and phenolic compounds from red grapes, but also for its application in 413 white wine processing. The use of PEF on white varieties needs to be further optimized, due 414 to the limited number of publications available in this field. Nevertheless, in suitable 415 operating conditions, PEF pre-treatment of white grape mash after crushing, may allow a 416 more intense extraction of varietal aroma precursors, without provoking an excessive 417 extraction of phenolic compounds and with a limited impact on wine color and stability 418 towards oxidations.

419 The most of the studies on PEF in winemaking were carried out on pilot-plant scale. In fact, 420 as mentioned above (Section 1), the current European law does not allow the use of PEF 421 technology at winery scale. Nevertheless, specific experimental protocols may be authorized 422 by the single Member States, according to the rules and the procedures reported in the 423 Regulation EC No 606 (2009). Due to the increasing interest of wine companies towards 424 innovation and emerging technologies, the results achieved concerning PEF applications in 425 winemaking shall be further investigated on pilot-plant, but the scale-up of such results with 426 winery-scale experiments is a compulsory step for the eventual authorization of this 427 technology in Europe.

428

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535 Figure Captions

- 536 Fig. 1. Behavior of specific gravity (at 20 °C) during the alcoholic fermentation of Control
- 537 (Untreated) and PEF-processed (PEF 11 and PEF 22) musts. Mean values of three repeated samples
- are reported; vertical bars represent standard deviations.

539





548 Table 1.

Analytical parameters (FTIR analysis) determined on Control (Untreated) and PEF-processed (PEF 11 and PEF 22) musts, after static sedimentation. Means and standard deviations (SD) of three repeated samples are reported. Different letters mark significant differences according to ANOVA and Tukey HSD Test, at p <0.05

552

Sample	Reducing sugars (g l ⁻¹)	рН	Total acidity $(g l^{-1})$		
	Mean <u>+</u> SD	Mean <u>+</u> SD	Mean <u>+</u> SD		
Untreated	182 <u>+</u> 1 a	3.51 <u>+</u> 0.01 a	5.01 <u>+</u> 0.05 b		
PEF 11	182 <u>+</u> 2 a	3.59 ± 0.00 c	4.82 <u>+</u> 0.03 a		
PEF 22	179 <u>+</u> 1 a	3.54 <u>+</u> 0.01 b	4.95 ± 0.06 b		
	Malic acid	YAN ^a	Alcoholic strength		
Sample	$(g l^{-1})$	$(mg l^{-1})$	(% v/v)		
	Mean <u>+</u> SD	Mean <u>+</u> SD	Mean <u>+</u> SD		
Untreated	2.24 <u>+</u> 0.07 a	147 <u>+</u> 6 a	0.12 <u>+</u> 0.00 a		
PEF 11	2.48 <u>+</u> 0.06 b	155 <u>+</u> 7 a	0.13 ± 0.00 a		
PEF 22	2.39 <u>+</u> 0.03 b	149 <u>+</u> 6 a	0.12 ± 0.01 a		

^a Yeast Assimilable Nitrogen

553 Table 2.

554 Analytical parameters (FTIR analysis) determined on Control (Untreated) and PEF-processed (PEF 11 and PEF 22) wines, fifty days after the end of

555 alcoholic fermentation. Means and standard deviations (SD) of three repeated samples are reported. Different letters mark significant differences

556 according to ANOVA and Tukey HSD Test, at p <0.05

Sample	Total acidity (g l ⁻¹)	Volatile acidity $(g l^{-1})$	pH	Alcoholic strength (% v/v)			
• 	Mean <u>+</u> SD	Mean <u>+</u> SD	Mean <u>+</u> SD	Mean <u>+</u> SD			
Untreated	5.08 <u>+</u> 0.09 a	0.20 <u>+</u> 0.03 a	a 3.47 <u>+</u> 0.02 a	11.38 <u>+</u> 0.03 b			
PEF 11	5.13 <u>+</u> 0.07 a	0.26 <u>+</u> 0.00 b	o 3.49 <u>+</u> 0.01 a	11.13 <u>+</u> 0.03 a			
PEF 22	5.11 <u>+</u> 0.07 a	0.21 <u>+</u> 0.01 a	a 3.50 <u>+</u> 0.00 a	11.10 <u>+</u> 0.03 a			
	-	-	-				
Sample	Malic acid $(g l^{-1})$	Lactic acid $(g l^{-1})$	Tartaric acid (g l ⁻¹)	Citric acid $(g l^{-1})$			
1	Mean <u>+</u> SD	Mean <u>+</u> SD	Mean <u>+</u> SD	Mean <u>+</u> SD			
Untreated	2.10 <u>+</u> 0.01 a	0.17 <u>+</u> 0.08 b	0 1.70 <u>+</u> 0.14 a	0.34 <u>+</u> 0.02 a			
PEF 11	2.19 <u>+</u> 0.02 a	0.00 <u>+</u> 0.00 a	a 1.59 <u>+</u> 0.01 a	0.35 ± 0.00 a			
PEF 22	2.12 <u>+</u> 0.00 a	0.18 <u>+</u> 0.04 b	0 1.65 <u>+</u> 0.02 a	0.36 <u>+</u> 0.02 a			
Sample	Total dry extract $(g I^{-1})$	$\begin{array}{c} \text{Glycerol} \\ (\text{g } \text{l}^{-1}) \end{array}$	Potassium $(g l^{-1})$	$Ash (g l^{-1})$			
	Mean <u>+</u> SD	Mean <u>+</u> SD	Mean <u>+</u> SD	Mean <u>+</u> SD			
Untreated	18.5 <u>+</u> 0.0 a	5.91 <u>+</u> 0.13 a	a 0.9 <u>+</u> 0.0 a	2.2 <u>+</u> 0.1 a			
PEF 11	20.3 <u>+</u> 0.1 c	6.27 <u>+</u> 0.11 b	0.0 <u>+</u> 0.0 b	2.4 <u>+</u> 0.0 c			
PEF 22	19.4 <u>+</u> 0.1 b	6.20 <u>+</u> 0.00 b	0 1.0 <u>+</u> 0.0 b	2.3 <u>+</u> 0.0 b			

558

559

560 Table 3.

Wine color (Abs 420 nm), Total Phenolic Index (TPI) and POM-test value, determined on Control (Untreated) and PEF-processed (PEF 11 and PEF 22) wines, fifty days after the end of alcoholic fermentation. Means and standard deviations (SD) of three repeated samples are reported. Different letters mark significant differences according to ANOVA and Tukey HSD Test, at p <0.05

Sample	Abs 420 nm	TPI	POM-test			
I	Mean <u>+</u> SD	Mean <u>+</u> SD	Mean <u>+</u> SD			
Untreated	0.146 ± 0.007 a	8.7 ± 0.2 a	24.6 <u>+</u> 2.1 b			
PEF 11	0.377 ± 0.003 c	20.3 ± 0.1 c	4.6 <u>+</u> 0.2 a			
PEF 22	$0.261 \ \pm \ 0.010$ b	12.0 ± 0.1 b	$8.3 \pm 3.8 a$			

565

566

568 Table 4.

569 Concentrations (in $\mu g l^{-1}$) of volatile compounds, detected in Control (Untreated) and PEF-570 processed (PEF 11 and PEF 22) wines, fifty days after the end of alcoholic fermentation. Means and 571 standard deviations (SD) of three repeated samples are reported. Different letters mark significant 572 differences according to ANOVA and Tukey HSD Test, at p <0.05

Common al	Untreated					PEF 11					PEF 22			
Compound —	Mean	\pm	SD		Mean	+	SD		Mean	+	SD			
<u>ethyl esters</u>														
ethyl hexanoate	1025	<u>+</u>	62	а	992	<u>+</u>	29	а	1017	<u>+</u>	67	a		
ethyl octanoate	1927	<u>+</u>	133	а	1671	+	98	a	1706	<u>+</u>	74	a		
ethyl 3-hydroxybutanoate	283	\pm	15	a	322	\pm	23	a	298	+	31	a		
ethyl decanoate	1441	<u>+</u>	390	а	1451	\pm	547	a	1118	<u>+</u>	148	а		
ethyl 4-hydroxybutanoate	3271	+	256	b	2701	+	311	ab	2441	+	154	а		
ethyl hexadecanoate	283	<u>+</u>	59	a	596	<u>+</u>	66	b	349	<u>+</u>	62	a		
acetic esters														
3-methyl-1-butanol acetate	6041	+	285	b	3926	<u>+</u>	275	a	3730	+	287	a		
hexyl acetate	322	+	14	b	204	$\underline{+}$	12	a	212	<u>+</u>	27	a		
2-phenethyl acetate	679	<u>+</u>	64	b	366	<u>+</u>	54	a	443	<u>+</u>	64	а		
<u>other esters</u>														
ethyl lactate	1521	<u>+</u>	48	b	1284	\pm	22	a	1334	+	17	а		
diethyl succinate	312	<u>+</u>	17	a	279	<u>+</u>	12	a	316	<u>+</u>	72	а		
alcohols														
2-methyl-1-propanol	19957	+	1912	а	24856	+	1671	b	22698	+	1599	ab		
2- and 3-methyl-1-butanol	206748	+	2864	a	240285	+	29686	a	200394	+	3430	a		
1-hexanol	1222	+	106	a	1670	+	39	c	1460	+	15	h		
trans-3-hexen-1-ol	135	+	31	a	142	+	12	a	131	+	37	a		
cis-3-hexen-1-ol	112	+	18	a	120	+	19	a	125	+	7	u a		
2-ethyl-1-hexanol	140	+	17	a	75	+	48	a	109	+	, 46	a a		
2-phenylethanol	47393	+	3120	b	43394	+	3581	ab	40004	+	933	a		
dials														
2 3-butanediol	76/3	+	2003	я	5977	+	1250	9	5042	+	763	9		
1 3-butanediol	2247	 	1050	a	1886		687	a	1/21		200	a		
1,2-propanediol	334	<u>+</u>	210	a a	318	<u>+</u>	189	a a	1421	<u>+</u>	15	a a		
,														
organic acids	1306	-	0/1	0	2868	<u>т</u>	1160	0	2851	-	365			
2 methylpropanoic acid	909	<u> </u>	30	a	2808	<u> </u>	1109 77	a	2051	<u> </u>	20	a		
butanoia agid	070 470	<u> </u>	15	a h	400	<u> </u>	0	a	04J 445	<u> </u>	29	a ah		
2 methylbytanoia acid	470	± .	13	0 h	400	±	0 65	a ah	445	<u>+</u>	20	ab		
beyonois acid	743 5721	±	14	D	5000	<u>+</u>	03	ab	005	<u>+</u>	9	а		
	3021	±	1140	a 1	5099	<u>+</u>	1195	a	4000	±.	500	a		
	2071	<u>+</u>	1343	D	8368	<u>+</u>	1041	a	9502	<u>+</u>	097	ab		
decanoic acid	3971	<u>+</u>	586	b	2811	<u>+</u>	423	a	3181	<u>+</u>	317	ab		
volatile phenols														
4-vinylguaiacol	202	<u>+</u>	22	с	86	<u>+</u>	6	а	137	<u>+</u>	27	b		
4-vinylphenol	210	<u>+</u>	14	b	104	<u>+</u>	33	а	112	<u>+</u>	36	а		
other compounds														
diidro-2(<i>3H</i>)-furanone (γ -butyrolactone)	634	<u>+</u>	35	a	632	<u>+</u>	25	а	657	<u>+</u>	66	а		
3-(methylthio)-1-propanol (methionol)	747	\pm	73	а	918	\pm	111	a	708	\pm	83	а		

575 Table 5.

- 576 Concentrations (in µg l⁻¹) of bound and free terpenes and norisoprenoids, detected in Control (Untreated) and PEF-processed (PEF 22) musts
- 577 (sampling after pressing and before pectolytic enzyme treatment). Means and standard deviations (SD) of three repeated samples are reported.
- 578 Different letters mark significant differences according to ANOVA and Tukey HSD Test, at p <0.05

<u>Bound</u>										
Sample	cis-linalool oxide (furan)		linalool		α-terpineol	geraniol	nerol			
	Mean <u>+</u> SD	Mean <u>+</u> SD		Mean <u>+</u> SD		Mean <u>+</u> SD	Mean <u>+</u> SD			
Untreated	1,0 <u>+</u> 0,2	a	3,6 <u>+</u> 0,1	a	0,8 <u>+</u> 0,1	a	21,0 <u>+</u> 0,5	a	5,3 <u>+</u> 0,5 a	
PEF 22	1,1 <u>+</u> 0,1	a	6,5 <u>+</u> 0,1	b	1,1 <u>+</u> 0,1	b	27,9 <u>+</u> 0,7	b	7,5 <u>+</u> 0,2 b	
Sample	2,6-dimethyl-3,7-octadiene- 2,6-diol		8-hydroxylinalool		geranic acid		3-hydroxy-β-damascone		tetrahydroionone	
-	Mean <u>+</u> SD		Mean <u>+</u> SD		Mean <u>+</u> SD		Mean <u>+</u> SD		Mean <u>+</u> SD	
Untreated	9,8 <u>+</u> 0,1	a	29,5 <u>+</u> 0,1	a	6,1 <u>+</u> 1,2	a	9,5 <u>+</u> 0,3	a	10,1 <u>+</u> 0,6 a	
PEF 22	9,5 <u>+</u> 0,5	a	36,6 <u>+</u> 0,1	b	8,7 <u>+</u> 0,7	b	14,2 <u>+</u> 0,7	b	12,7 <u>+</u> 0,6 b	
Sample	3-oxo-α-ionol		dihydro-		3-oxo-7,8-dihydro-α-ior (Blumenol C)	nol	3-hydroxy-7,8-dihydro- ionol	β-	3-oxo-retro-α-ionol	
	Mean <u>+</u> SD		Mean <u>+</u> SD		Mean <u>+</u> SD		Mean <u>+</u> SD		Mean <u>+</u> SD	
Untreated	34,4 <u>+</u> 0,3	a	5,4 <u>+</u> 0,8	a	39,0 <u>+</u> 0,5	a	7,6 <u>+</u> 0,8	a	6,1 <u>+</u> 0,0 a	
PEF 22	43,8 <u>+</u> 0,2	b	8,7 <u>+</u> 1,6	b	52,0 <u>+</u> 5,0	b	8,8 <u>+</u> 0,9	a	8,8 <u>+</u> 0,3 b	
									<u>Continue</u>	

<u>Free</u>						
Sample	cis-linalool oxide (furan)	linalool	α-terpineol	geraniol	nerol	
	Mean <u>+</u> SD	Mean <u>+</u> SD	Mean <u>+</u> SD	Mean <u>+</u> SD	Mean <u>+</u> SD	
Untreated	n.d. ^a	2,4 <u>+</u> 0,2	a <i>n.d.</i>	8,6 <u>+</u> 0,2 a	n.d.	
PEF 22	n.d.	2,4 <u>+</u> 0,0	a <i>n.d.</i>	12,0 <u>+</u> 1,2 b	n.d.	
Sample	2,6-dimethyl-3,7-octadiene- 2,6-diol	8-hydroxylinalool	geranic acid	3-hydroxy-β-damascone	tetrahydroionone	
	Mean <u>+</u> SD	Mean <u>+</u> SD	Mean <u>+</u> SD	Mean <u>+</u> SD	Mean <u>+</u> SD	
Untreated	n.d.	n.d.	n.d.	n.d.	n.d.	
PEF 22	n.d.	n.d.	n.d.	n.d.	n.d.	
Sample	3-oxo-α-ionol	dihydro ionone	3-oxo-7,8-dihydro-α-ionol (Blumenol C)	3-hydroxy-7,8-dihydro-β- ionol	3-oxo-retro-α-ionol	
	Mean <u>+</u> SD	Mean <u>+</u> SD	Mean <u>+</u> SD	Mean <u>+</u> SD	Mean <u>+</u> SD	
Untreated	n.d.	n.d.	n.d.	n.d.	n.d.	
PEF 22	n.d.	n.d.	n.d.	n.d.	n.d.	
^a <i>n.d.</i> : not detected						

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Highlights

PEF pre-treatment of white grapes did not change basic composition of musts and wines PEF pre-treatment of grapes did not modify the behavior of alcoholic fermentation PEF increased the extraction of varietal aroma precursors from grapes At 22 kJ kg⁻¹ specific energy (SE), PEF gave a limited evolution of wine color

At 22 kJ kg⁻¹ SE, PEF apparently increased the stability of wine towards oxidations