

1 **A novel portable filtration system for sampling and concentration of microorganisms: demonstration on**  
2 **marine microalgae with subsequent quantification using IC-NASBA**

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25

**26 Abstract**

27

28 This paper presents a novel portable sample filtration/concentration system, designed for use on samples  
29 of microorganisms with very low cell concentrations and large volumes, such as water-borne parasites,  
30 pathogens associated with fecal matter, or toxic phytoplankton. The example application used for  
31 demonstration was the in-field collection and concentration of microalgae from seawater samples. This  
32 type of organism is responsible for Harmful Algal Blooms (HABs), an example of which is commonly  
33 referred to as “red tides”, which are typically the result of rapid proliferation and high biomass  
34 accumulation of harmful microalgal species in the water column or at the sea surface. For instance, *Karenia*  
35 *brevis* red tides are the cause of aquatic organism mortality and persistent blooms may cause widespread  
36 die-offs of populations of other organisms including vertebrates. In order to respond to, and adequately  
37 manage HABs, monitoring of toxic microalgae is required and large-volume sample concentrators would be  
38 a useful tool for *in situ* monitoring of HABs. The filtering system presented in this work enables consistent  
39 sample collection and concentration from 1 L to 1 mL in five minutes, allowing for subsequent benchtop  
40 sample extraction and analysis using molecular methods such as NASBA and IC-NASBA. The microalga  
41 *Tetraselmis suecica* was successfully detected at concentrations ranging from  $2 \times 10^5$  cells/L to 20 cells/L.  
42 *Karenia brevis* was also detected and quantified at concentrations between 10 cells/L and  $10^6$  cells/L.  
43 Further analysis showed that the filter system, which concentrates cells from very large volumes with  
44 consequently more reliable sampling, produced samples that were more consistent than the independent  
45 non-filtered samples (benchtop controls), with a logarithmic dependency on increasing cell numbers. This  
46 filtering system provides simple, rapid, and consistent sample collection and concentration for further  
47 analysis, and could be applied to a wide range of different samples and target organisms in situations  
48 lacking laboratories.

49

**50 Keywords:**

51

52 *Karenia Brevis*53 *Tetraselmis Suecica*

54 Filtering system

55 Concentrator

56 NASBA

57 Quantification

58

**59 Abbreviations Footnote**

60

61 LOC, Lab-on-a-Chip; HAB, Harmful algal blooms; IC-NASBA, nucleic acid sequence-based amplification with  
62 internal control

63

## 64 1. Introduction

65

66 Algal blooms are a natural worldwide phenomenon, resulting from rapid accumulation of algal populations  
67 in marine and freshwater systems. They form the basis of production in marine food webs and are often  
68 recognised from distinct water discoloration, caused by the pigments of associated algae (Davidson et al.,  
69 2011; Smythe-Wright et al., 2010). Some algal blooms have negative effects on humans, marine mammals,  
70 fish, and the overall marine ecosystem, with the harmful impact attributed either to high biomass or the  
71 production of biotoxins (Anderson et al., 2012; Anderson et al., 2002); the latter is of particular concern due  
72 to toxin accumulation in seafood, which can lead to human food poisoning. Consequently, Harmful Algal  
73 Blooms (HABs) have been well studied as they have a significant impact on the global economy and public  
74 health (Backer et al., 2015; Hoagland et al., 2002). In the United States alone, they annually affect expenses  
75 in public health (\$20 million), commercial fisheries (\$18 million) and recreational tourism (\$7 million), while  
76 monitoring and management costs account for another \$2 million (Hoagland et al., 2002).

77

78 There are HAB-associated species in several phytoplankton groups, including diatoms, dictyochophyceae,  
79 dinoflagellates, haptophytes, raphidophyceae, and cyanobacteria. Dinoflagellates make up the majority of  
80 toxin producing microalgae and were even thought to be the only HAB species until the 1980s (Arff and  
81 Martin-Miguez, 2016). As of 2012, there have been 2,377 described dinoflagellate species, 80 of which are  
82 listed as toxin producers (Arff and Martin-Miguez, 2016; Gómez, 2012) , and responsible for poisoning of  
83 marine life, animal mortalities and respirational conditions in humans (Ferrante et al., 2013; Fleming et al.,  
84 2011; Hallett et al., 2015; Pierce and Henry, 2008; Wang, 2008).

85

86 Thousands of fish and other species are killed annually by *Karenia brevis* (*K. brevis*) red tides alone, and  
87 persistent blooms may cause widespread die-offs of benthic communities and short-term declines in local  
88 fish populations (Landsberg et al., 2009). This toxic dinoflagellate is capable of having adverse effects on  
89 human health starting from concentrations as little as 5 cells/mL (Bricelj et al., 2012) and is currently  
90 monitored by the Florida Fish and Wildlife Conservation Commission (FWRI, 2015) at concentrations  
91 between  $10^3$  cells/L (bloom not present) and  $10^6$  cells/L (bloom with high cell density). Even though there  
92 may be multiple causes of red tides, nutrients such as nitrates and phosphorus have an important role in  
93 sustaining microalgal blooms (Vargo et al., 2008). As a result, it is not surprising that areas of significant  
94 human induced pollution may lead to increased frequency of red tide outbreaks (Liu et al., 2013). Toxicity  
95 of HABs can be especially pronounced once phosphorous limitation occurs, as this has been suggested to  
96 be an important factor regulating cellular toxicity (Hardison et al., 2013). In order to adequately manage  
97 waste contamination and resulting HABs, particularly in regions of rapid economic and industrial growth,  
98 environmental monitoring is required.

99

100 Efficient sampling, sample analysis, and thus monitoring of HABs will help prevent direct or indirect damage  
101 to human health, as well as potentially significant financial losses for the fisheries and aquaculture industry.  
102 Importantly, it also serves as a means of identifying waste spills and contamination of the environment.

103 Current methods for monitoring microalgal species using morphological assessment by microscopy or  
104 analogous techniques can be time-consuming, limiting the number of samples which can be analysed and  
105 the size of those samples. In addition, the acquired information may be limited regarding species-specific  
106 definition and toxin production. By contrast, molecular techniques, if automated, could accelerate the rate  
107 of sample analysis, while providing the benefits of increased accuracy and simultaneous examination of  
108 multiple parameters (Medlin, 2013).

109

110 This paper presents a novel filtration/concentration system, designed for the collection and concentration  
111 of seawater samples, which are characterised particularly by very low cell concentrations and therefore the  
112 requirement to process very large volumes. The system is intended primarily for manual, field sample  
113 processing of the sort required by environmental monitoring. Test samples were processed by the system  
114 and subsequently analysed using a molecular method for the detection and quantification of marine  
115 microorganisms. To demonstrate the viability of the method and to validate the operation and the  
116 detection capabilities of the system, two marine microorganisms were examined: *Tetraselmis suecica*  
117 (*T. suecica*), (Kylin) Butcher 1959 and *K. brevis*, (Davis) Hansen and Moestrup 2000.

118

## 119 **2. Background on Sample Collection and Molecular Tools for Environmental Analysis**

120

121 Field monitoring of ocean biology is typically done in the form of sample collection during organized cruises  
122 and sample analysis either on-board the research ship or in a laboratory at a later time. However, such  
123 research expeditions can be expensive, labour intensive and only cover a fraction of the oceans, since they  
124 follow pre-defined courses and locations. This leads to significant under-sampling and, consequently,  
125 alternative sampling or monitoring methods are used in an effort to fill the gaps. Remote sensing, for  
126 instance, is a cost-effective approach for estimating phytoplankton biomass, by determining chlorophyll  
127 concentration on satellite images (Blondeau-Patissier et al., 2014; Carvalho et al., 2010). Autonomous  
128 underwater vehicles implement *in situ* and deployable sensors for the analysis of biological samples, and  
129 may be useful for getting a more complete picture of ocean biology (Schofield et al., 2013). Microfluidic  
130 biosensors and lab-on-chip technologies will also play an important part in the future of ocean monitoring;  
131 this is particularly evident when looking at projects such as the European LABONFOIL and “The Ocean of  
132 Tomorrow” initiative, both funded by the European Commission, which invested in the development of  
133 microfluidic devices for the molecular sensing of phytoplankton, among others.

134

135 Molecular tools have been employed for the study of microbial diversity and ecology in natural  
136 environments since the mid-1980s (DeLong et al., 1989). Marine biology is an interdisciplinary study of life  
137 in the world’s oceans, estuaries, and inland seas (Thakur et al., 2008) and it has witnessed significant  
138 growth in the application of molecular techniques. As a result, new fields of investigation have opened  
139 (Keeling et al., 2014), the distribution and composition of microbial populations has been re-defined  
140 (Valiadi et al., 2014), and in some cases, previous studies have been re-evaluated (Burton, 1996). Marine  
141 molecular biology is constantly evolving to solve problems regarding the exploration of marine organisms

142 for human health and welfare purposes (Thakur et al., 2008). Genomics, transcriptomics, proteomics, and  
143 metabolomics have already provided information on phylogenetic relationships among HAB taxa, pathways  
144 of toxin production, HAB diversity patterns, as well as genetic responses to grazers or inter- and  
145 intraspecies-specific competition (Anderson et al., 2012; Kohli et al., 2015).

146

147 One of the recent trends in this area, which has the potential to have a huge impact on environmental  
148 science in the future, is the use of technology to perform analysis in the field. Handheld analyzers for the  
149 detection of marine microorganisms in environmental samples, including *K. brevis*, have been investigated  
150 (Casper et al., 2007), as well as the application of biological sensors in the field of oceanography (Zehr et al.,  
151 2008). Microfluidic systems, both within and outside the field of oceanography, have been designed for  
152 numerous purposes such as molecule separation (Brody and Yager, 1997), genotyping (Rich et al., 2011)  
153 and for the performance of various biochemical and molecular assays (Lin et al., 2009). Also referred to as  
154 Lab-on-a-Chip (LOC), such systems have also been employed to monitor cell growth (Jeong et al., 2014; Lee  
155 et al., 2008), detect water-borne pathogens (Zhao et al., 2012), and observe a range cellular functions  
156 (Dimov et al., 2011) and behaviours associated with environmental toxicity (Huang et al., 2015; Zheng et al.,  
157 2014). Lab-on-a-Chip technologies provide the user with the benefits of miniaturisation, integration and  
158 automation. They therefore offer several advantages over conventional techniques: portability, speed of  
159 analysis, the ability to multiplex (Lutz et al., 2010), and platform and device compatibility with multiple  
160 molecular techniques (Loukas et al., 2017; Sun et al., 2013; Tsaloglou et al., 2013). When coupled with  
161 appropriate molecular tools, LOC devices may provide a greater understanding of the ecology and the  
162 evolution of HAB at species level and bloom dynamics.

163

164 Harmful algal blooms can be initiated by cells present at very low concentrations and some  
165 microorganisms, such as the toxic marine dinoflagellate *K. brevis*, are capable of having adverse effects on  
166 human health starting from concentrations as little as 5 cells/mL (Bricelj et al., 2012). This is at odds with  
167 the volume of fluid typically analysed by LOC devices (typically a few microlitres). Reliable field detection of  
168 low cell concentrations with potential LOC-based detectors may therefore require robust collection  
169 methods, as well as pre-concentration of sample material.

170

171 Environmental sampling of phytoplankton may be achieved with a variety of sampling devices, typically  
172 mounted on ships and boats, but automated samplers can also be equipped on buoys, and autonomous  
173 under-water vehicles (Karlson et al., 2010). Collected microorganisms are often fixed and preserved with  
174 the use of chemicals such as Lugols iodine, aldehydes (Edler and Elbrächter, 2010), saline ethanol etc. or via  
175 freezing (Cembella and Rafuse, 2010). Sample concentration may then be achieved via filtering,  
176 sedimentation, or centrifugation. Autonomous samplers such as the Environmental Sample Processor (ESP),  
177 the IISA-Gene system, and the Autonomous Microbial Genosensor (AMG) have been developed and  
178 deployed for water sample collection and subsequent sample analysis.

179

180 The ESP consists of a core sample processor, analytical and sampling modules, and uses custom designed  
181 reaction chambers to support a variety of filters and absorptive media, to allow for protocol adjustments. A  
182 rotating carousel, weighting 27 kg, in conjunction with a robotic arm, two clamps, three syringe pumps, and  
183 a CCD camera, automate sample collection and then process samples under atmospheric pressure (Scholin  
184 et al., 2006). More recently, the ESP was redeveloped with a reinforced casing to conduct qPCR in the deep  
185 sea for *in situ* identification of aerobic methanotrophs (Ussler III et al., 2013), and was also used for qPCR-  
186 based detection of faecal indicators and harmful algae (Yamahara et al., 2015). The ESP has also been  
187 deployed for automated *in situ* sampling of heterotrophic bacteria and archaea, to perform whole-genome  
188 transcriptome profiling (Ottesen et al., 2014) and in relation to diurnal rhythm oscillations in terms of  
189 transcription, metabolic activity, and behavior. Evidently, this type of biological sampler provides significant  
190 flexibility with the integration of molecular assays, and allows for *in situ* analyses well below the ocean  
191 surface. However, the system is bulky, heavy, lacking portability and requiring a range of personnel to  
192 handle. The IISA-Gene system is an *in situ* biological analyzer capable of detecting gene fragments and  
193 analysing microbial activities in ocean environments (Fukuba et al., 2011a; Fukuba et al., 2011b). It uses a  
194 microfluidic device as its core element, whose components are immersed in fluorinated oil, to perform  
195 sample collection, along with nucleic acid extraction, and subsequent molecular analysis in an ambient  
196 environment. The microfluidic device is connected to a control unit, enclosed in a pressure vessel, and  
197 operated remotely using a personal computer. The IISA-Gene can be deployed at extreme depths and  
198 offers high assay adaptability, similar to the ESP system albeit more compact in size, and its most recent  
199 iteration can collect up to 128 samples simultaneously, but suffers from relatively small sample collection  
200 (0.5 mL per hour) (Okamura et al., 2013; Tsaloglou, 2016). The small sample collection process may affect  
201 the systems precision and could be particularly problematic for the detection of less abundant species.

202

203 The AMG is a microbiological sensing buoy, originally designed to perform nucleic acid sequence-based  
204 amplification (NASBA) for the detection of microbial water quality indicators (Fries and Paul, 2003; Fries et  
205 al., 2007). Samples are initially collected from ambient seawater with a syringe pump, and subsequently  
206 transferred to a rotating wheel that houses custom-made extraction columns, through a series of fluidic  
207 valves. Genetic material is filtered, extracted, and partially purified within the columns, with the help of  
208 motorised injectors, and finally transferred in a second rotation wheel connected to a reaction module. The  
209 AMG is battery-powered and capable of transmitting data via a WiFi connection, with the option to connect  
210 to a cabled network system for data transmission and power. The AMG offers superior portability when  
211 compared with systems akin to the ESP; however portability and sample pre-concentration is an area than  
212 can be further improved and simplified.

213

214 The aim of this study was to validate a novel filtration system which concentrates cells from several litres of  
215 sample into a single filter, while coupled with species-specific cell detection and quantification via NASBA  
216 analysis. This sampling method is designed to be simple, quick, and robust, without the need for additional  
217 chemical fixation of cells, or sample concentration steps.

218

219

## 220 3. Materials and Methods

221

### 222 3.1 Filter Concentrator

223

224 The filter concentrator system was designed to improve field sampling for monitoring and acquired  
225 knowledge on the dynamics of phytoplankton populations, with requirements as follows. It should be  
226 capable of collecting large sample volumes, and condensing those samples to a volume manageable for  
227 molecular analysis, with a resulting concentration factor of several thousand. The user should be able to  
228 operate the system without the need for additional or otherwise specialized equipment, and without a  
229 source of electricity or other fuel source. The overall method should be able to accurately detect and  
230 quantify target species over a wide range of cell concentrations. *K. brevis*, for instance, should be  
231 detectable and quantifiable at concentrations between  $10^3$  cells/L (bloom not present) and  $10^6$  cells/L  
232 (bloom with high cell density); cell densities currently used for monitoring by the Florida Fish and Wildlife  
233 Conservation Commission (FWRI, 2015).

234

#### 235 3.1.1 Filter concentrator system

236

237 The filter concentrator system is shown in Fig. 1 and consists of a portable filter/concentrator/pump  
238 formed from an adapted agricultural chemical spray backpack (Hozelock 12L Pressure Sprayer Plus: 4712)  
239 with a 12-litre sample capacity. The system passes the sample through a three stage filtering process: a  
240 plastic coarse (2 mm pore size) initial filter to trap large objects; a large area ( $73.5 \text{ cm}^2$ ) second stage  
241 intermediate ( $40 \mu\text{m}$  pore size) internal multi-use filter used to prevent large unwanted particles such as  
242 sand collecting in the sample filter; and a standard, commercially available, fine ( $0.2 \mu\text{m}$ ) CellTrap™ CT40  
243 (MEMTEQ Ventures Ltd, UK) collection filter. The multiuse filter was custom designed and manufactured  
244 from corrosion-resistant 316 stainless steel woven  $40\text{-}\mu\text{m}$  wire-cloth, soldered onto a 2-mm filter mesh (G.  
245 Bopp & Co. Ltd.), to retain a robust barrel shape (Fig. 1). The filter was capped at one end with a stainless  
246 steel plate. The entirety of the system, including the complete pump assembly, trigger assembly, telescopic  
247 lance, and o-rings was made of biocompatible (Mast et al., 1997) propylene diene monomer (EPDM) rubber  
248 (see ESI document for further information).

249

250 The input of the sprayer was modified to hold the first two filters, with the collected sample (large volume  
251 – up to 10 L) poured into the container through both filters and into the main body of the vessel. The  
252 output of the sprayer (at the end of the pump) was also modified to allow direct connection to the third  
253 filter - the CellTrap™ sample filter. This filter is designed for small-scale environmental sampling and targets  
254 sample volumes between 10 mL and 25 L. The integrated hand pump is used to pump the pre filtered  
255 ( $40 \mu\text{m}$ ) sample through the CellTrap filter, which is intended to trap particles greater than the pore size  
256 ( $0.2 \mu\text{m}$ ). As a result, cells and other particles in the  $0.2 \mu\text{m} - 40 \mu\text{m}$  range are collected prior to extraction



257 and processing. The CT40 filter has an approximate internal volume of 1 mL, giving a maximum  
258 concentration factor of 10,000.

259

### 260 **3.2.1 Filter test Procedure**

261

262 For each test run of a sample the filter system was initially rinsed with 70% ethanol, followed by thorough  
263 rinsing with reverse osmosis (RO) water. The filter system was then filled with five litres of artificial  
264 seawater spiked with target cells at varying concentrations. The 5 L samples were loaded by pouring into  
265 the vessel through the coarse filter as described above. 4 L of this sample was divided into four sub-samples  
266 by pumping 1 L successively through four different CellTrap™ collection filters. To account for initial  
267 variability caused by pressurising the hand pump and air being trapped and released in parts of the system,  
268 the first collection filter was discarded. The subsequent three were retained for analysis, giving three  
269 independent measurements for each sample.

270

271 To monitor pump performance, the flow rates were determined for every sub-sample during the operation  
272 of the filter concentrator. The filtrate was collected in a measuring cylinder and the time for every 100 mL  
273 increase in volume was recorded up to the maximum volume of 1 L. The flow rate was then calculated for a  
274 granularity of 100 mL by dividing this volume by the difference in the recorded times.

275

### 276 **3.3 Sample composition and processing for analysis**

277

278 Tests were run with two different species for the purposes of determining limit of detection for the system  
279 and the accuracy of the concentration measurements. The filter samples were processed by extracting the  
280 cellular contents from the filter (including RNA) with 1 mL of chemical lysis buffer. The resulting lysate was  
281 then processed with a benchtop NASBA protocol. This section describes the two species, the production of  
282 the Internal Control RNA and the methods used for the extraction of cellular contents from the CellTrap™  
283 collection filter and subsequent RNA extraction and purification.

284

#### 285 **3.3.1 Culture Information**

286

287 To determine the limit of detection of the system, *T. suecica* strain MBA305 was employed as a model  
288 organism. The species was obtained from the Marine Biologica Association of the UK, and was originally  
289 collected from the Mediterranean, La Spezia as a non-axenic culture. The *T. suecica* strain was maintained  
290 in Erdschreiber medium, without shaking at 19±1 °C on a 12:12 hour light:dark cycle, under cool fluorescent  
291 light (85-95 μmol photons m<sup>-2</sup> s<sup>-1</sup>; measured with a LI-189 light meter LI-COR®, Lincoln, USA). Tests run with

292 *T. suecica* were at concentrations of  $2 \times 10^5$  cells/L,  $2 \times 10^2$  cells/L, and 20 cells/L, with the culture diluted to  
293 the required number of cells per litre by adding seawater.

294

295 To test the full analytical system (the filtration system coupled to IC-NASBA) and assess its ability to  
296 quantify HAB microalgae, *K. brevis* strain CCMP2228 was employed as a model organism. The species was  
297 obtained from the Provasoli-Guillard National Center for Culture of Marine Phytoplankton, and was  
298 originally isolated from the Gulf of Mexico, Sarasota Bay as a non-axenic culture. The *K. brevis* strain was  
299 maintained in L1 Aquil\* medium, without shaking at  $19 \pm 1$  °C on a 12:12 hour light:dark cycle, under cool  
300 fluorescent light. Tests with *K. brevis* were conducted at concentrations of  $10^6$  cells/L,  $10^5$  cells/L,  
301  $10^4$  cells/L,  $10^3$  cells/L, and 10 cells/L, with the culture diluted to the required number of cells per litre by  
302 adding seawater. NASBA was run with an internal control, as described below, to give quantitative  
303 measurements (Tsaloglou et al., 2013).

304

305 Independent non-filtered samples (controls) were run with a benchtop NASBA protocol, to evaluate the  
306 quantification efficiency of the system. The control samples were taken directly from the *K. brevis* culture  
307 and concentrated to a final volume of 1 mL via centrifugation. RNA extraction and benchtop NASBA took  
308 place in parallel with the Filtered samples.

309

### 310 **3.3.2 Internal Control (IC) RNA synthesis**

311

312 The Internal Control RNA employed for *K. brevis* experiments followed the same sequence as the wild-type  
313 RNA molecule of its *rbcL* gene, with a length of 87 bp. The beacon binding site however was replaced with  
314 an enterovirus sequence, which could be recognised by a second molecular beacon within the NASBA  
315 assay. Synthesis of the IC RNA followed previously described protocols (Casper et al., 2005; Patterson et al.,  
316 2005; Tsaloglou et al., 2013). A DNA template (Eurofins MWG Operon, UK) was therefore designed  
317 containing a T3 RNA polymerase promoter at the 5' end of the sequence. The DNA template was employed  
318 for the transcription of IC RNA over the course of 2 hours at 37°C, which was then purified (RNeasy kit,  
319 Qiagen, Netherlands) and quantified (Ribogreen RNA quantification kit, Invitrogen, UK) before storage at -  
320 20°C (Tsaloglou et al., 2013).

321

322 In order to validate and assess the effectiveness of the IC, serial dilutions of a *K. brevis* sample were  
323 prepared. NASBA with internal control (IC-NASBA), was then performed for test concentrations of  $8 \times 10^3$ ,  
324  $10^3$ ,  $5 \times 10^2$ , and 250 cells, along with a negative sample containing no cells.

325

### 326 **3.3.3 RNA extraction and NASBA® assays**

327

328 For *T. suecica* a commercial extraction kit (NucliSENS miniMAG®, bioMérieux, UK) was used and the  
329 protocol supplied by the manufacturer was followed. For *K. brevis* the same process was used but with  
330 custom buffers. All chemicals were of highest purity and of molecular biology grade (Sigma-Aldrich, UK).

331

332 The first stage of extraction for filtered samples used a 1-mL syringe to elute the contents of the CellTrap™  
333 filter. The syringe was preloaded with 0.2 mL of lysis buffer, which was then pushed into the filter and then  
334 extracted. Independent non-filtered control samples were taken directly from the *K. brevis* culture and  
335 concentrated to a final volume of 1 mL. All samples were then placed into a tube containing an additional  
336 1 mL of lysis buffer, giving a final volume of 1.2 mL for filtered samples and 2 mL for control samples. The  
337 lysis buffer for *T. suecica* was provided by the manufacturer and for *K. brevis*, Custom Buffer A was used  
338 (1% Triton X-100, 4 M GuSCN, 0.5 M LiCl, 0.01 M EDTA, 0.1 M Tris, pH 7.5). For all *K. brevis* samples, 2.5 µL  
339 of internal control (IC), containing 400 copies of IC RNA was then added.

340

341 Samples were incubated for ten minutes; 50 µL of magnetic bead stock (bioMérieux UK Limited) was then  
342 added; followed by a further ten-minute incubation, to complete cell lysis. Mixing between each step was  
343 induced via vortexing.

344

345 All samples were then washed according to the following procedures. Samples were centrifuged and  
346 pipetting was used to remove and discard the supernatant solution. For washing of *T. suecica*, the  
347 manufacturers kit instructions were followed. For *K. brevis*, 500 µL of Custom Buffer B was added to the  
348 remaining beads. Samples were then transferred to a NucliSENS® miniMAG® and subject to magnetic  
349 attraction and mixing for thirty seconds. A subsequent 500 µL of Buffer B was then used (0.15 M LiCl, 1 mM  
350 EDTA, 0.01 M Tris, pH 7.5) to wash the beads a second time.

351

352 Finally, samples were eluted with the addition of 25 µL of elution buffer (Buffer C in the case of *K. brevis*;  
353 0.01 M Tris, pH 7.5), followed by shaking on an Eppendorf thermomixer at 60°C, 1200 rpm, for five minutes.  
354 Samples were then placed on a magnetic rack and the supernatant containing the RNA was removed. All  
355 extracted RNA samples were stored at -20°C in preparation for NASBA® analysis.

356

357 The NucliSENS EasyQ® Basic Kit (bioMérieux UK Limited) was employed for all NASBA® assays, and  
358 according to manufacturer instructions. In the case of *T. suecica*, the reaction targeted the RuBisCO *rbcl*  
359 gene and incorporated one set of forward/reverse primers, along with a molecular beacon (Table 1).  
360 Another set of primers was used to target the RuBisCO *rbcl* gene of *K. brevis* (Table 1). Two molecular  
361 beacons were integrated in the assay; one targeting *K. brevis* “wild-type” sequence and one targeting the IC  
362 (Tsaloglou et al., 2013). All primers and molecular beacons were obtained from Eurofins MWG Operon  
363 (London, UK).

364

365 The molecular beacon targeting *T. suecica* was labelled with CY5 at the 5' end and the quencher ECLIPSE at  
 366 the 3' end. The molecular beacon targeting *K. brevis* wild-type was labelled with Alexa Fluor 488 at the 5'  
 367 end and the quencher BHQ1 at the 3' end, whereas the IC molecular beacon was labelled with CY5 at the 5'  
 368 end and the quencher BHQ2 at the 3' end.

369

### 370 **3.4 Quantification of RNA amount with NASBA<sup>®</sup> analysis method**

371

372 Analysis of NASBA reactions targeting *K. brevis* samples produced two fluorescence monitored reaction  
 373 curves for each sample; one representing wild-type amplification and one representing IC amplification.  
 374 Comparison of the two curves provides a method for determining the concentration of the target wild-type  
 375 RNA.

376

377 Quantification of wild-type RNA, which serves as an indication of cell concentration, was initially attempted  
 378 through time-to-positivity (TTP) ratios (Polstra et al., 2002). A threshold of detection (TOD) was set, and the  
 379 point in time where each bi-exponential NASBA<sup>®</sup> curve rose above the TOD, was defined as a TTP value.  
 380 The ratio of wild-type TTP and IC TTP was subsequently used as a quantitative indicator for the  
 381 concentration in each sample.

382

383 A second, curve fitting method was also used for data analysis, by employing MATLAB<sup>™</sup> in conjunction with  
 384 the following equation:

385

$$Y(t) = \lambda Y_0 - (\lambda - 1) Y_0 \exp \left\{ -\frac{1}{2} k_1 a_1 [\ln(1 + e^{a_2(t-a_3)})]^2 \right\}$$

386

387 This equation describes NASBA-driven RNA amplification, where  $Y(t)$  the fluorescence signal as a function of  
 388 time,  $Y_0$  the signal at  $t = 0$ ,  $\lambda Y_0$  the fluorescence value at its highest point,  $a_1 a_2$  representing the shape of  
 389 the curve,  $a_3$  defining the curve location relative to the time axis, and  $k_1$  a reaction rate constant (Weusten  
 390 et al., 2002). Each curve fit results in a set of parameters whose values represent the appropriate NASBA  
 391 curve. Every IC-NASBA reaction produces two curves (one for the WT-RNA and one for the IC-RNA) and two  
 392 sets of parameters. The quantitation variable is then determined by calculating the  $k_1 a_1 a_2^2$  ratio from the  
 393 parameters for the WT and IC curves. This method produces a quantitative metric for the concentration of  
 394 WT RNA in the original sample.

395

396 In this work, the MATLAB<sup>™</sup> curve-fitting tool was used to produce a quantitation variable, defined as the  
 397  $k_1 a_1 a_2^2$  ratio, which is linearly related to the logarithm of the amount of wild-type RNA in a sample and is an  
 398 indicator of target cell concentration (Tsaloglou et al., 2011).

399

## 400 **4. Results**

401

### 402 **4.1 Filtering System Operation**

403

404 Data describing the flow through the filtering system are illustrated in Fig. 2. The results are shown as  
405 cumulative volume against cumulative time demonstrating the main linear period of operation followed by  
406 the slower period approaching one litre as the operator reduced pressure (Fig. 2A). The same data is also  
407 plotted as average volumetric flow rate, determined for each 100 mL sub-sample, against cumulative  
408 volume (Fig. 2B). The results provide evidence of constant flow rate at approximately 5 mL/s for the first  
409 two thirds of the operating period, with an increase near the beginning; this is due to variable charging of  
410 the volume of fluid contained within the barrel of the hand pump. Moreover, as the hand pump is user-  
411 controlled and inherently variable, significant flow rate variation was observed between runs (28% at  
412 200 mL processed volume) whereas anticipation of the point at which 1 L of sample is processed led to the  
413 significant reduction (up to 300%) of flow rate after 200 seconds and 700 mL. This end point is related only  
414 to the discharge of pressure: in tests where 5 L were processed, the flow rate remained constant until  
415 300 mL before end of pumping.

416

### 417 **4.2 Initial measurements: *Tetraselmis suecica***

418

419 Runs using NASBA were performed on filtered *T. suecica* samples at different concentrations and produced  
420 three distinct curves (Fig. 3). Amplification for the  $2 \times 10^5$  cells/L concentration samples was observed from  
421 thirteen minutes, reaching 29.08 relative fluorescence units (RFUs) at the peak of the reaction. The  
422 200 cells/L concentration samples showed amplification from nineteen minutes and peaked at 27.65 RFUs.  
423 Samples from the 20 cells/L concentration amplified after twenty-minutes, and reached a maximum  
424 fluorescence of 22.80 RFUs. Standard deviation between samples increased as cell concentration  
425 decreased, and highest standard deviation values were observed for the 20 cells/L samples (6.52). The error  
426 bars show the standard deviation of each data point.

427

### 428 **4.3 Quantitative measurement of *Karenia brevis***

429

#### 430 **4.3.1 Initial measurements and verification of method**

431

432 Runs using IC-NASBA were performed on serial dilutions of a *K. brevis* laboratory sample and a standard  
433 curve was produced as shown in Fig. 4. This illustrates the relationship between the value of  $\ln(Q_{\text{variable}})$

434 ratio) and  $\log_{10}(\text{number of cells})$ . Note that data points represent single replicates, and not triplicate  
435 samples. The results showed a clear trend, closely following a linear function with an  $R^2$  value of 0.997. This  
436 demonstrated the effectiveness of the Internal Control and the curve-fitting method of quantitation,  
437 allowing for the subsequent detection and quantification of *K. brevis*.

438

#### 439 **4.3.2 Filter results**

440

441 A range of concentrations of *K. brevis* samples were filtered and NASBA was performed successfully. The  
442 ESI document contains the complete set of data on the results of NASBA, as well as the matching  
443 parameters derived from the curve fitting, an example of which is shown in Fig. 5 for the  $10^5$  cells/L sample.

444

445 The comparative trends in the curves of the IC (at fixed concentration) and wild-type changed as the wild-  
446 type cell concentrations changed. The increase in fluorescence above threshold used in the standard  
447 NASBA analysis method is an indicator of concentration. Wild-type curves at  $10^6$  cells/L experienced an  
448 increase in fluorescence at approximately nine minutes before IC curves; at  $10^4$  cells/L amplification  
449 occurred at the same time; for lower concentrations, the wild-type signal increase occurred after IC in all  
450 cases. The overall wild-type signal was at its lowest for the 10 cells/L samples and never surpassed  
451 0.42 RFUs. The independent non-filtered control samples followed a similar trend.

452

453 Looking more closely at the results, using the example in Fig. 5, it is apparent that the difference in gradient  
454 of the rising section of the curve between the WT-RNA and the IC-RNA for the amplification signals is  
455 greater for the control samples than for the filtered samples. In addition, the filtered replicates show IC  
456 amplification approximately seven minutes after WT amplification, and IC maximum fluorescence is  
457 reached 15-20 minutes after the WT equivalent. By comparison, the corresponding times for the control  
458 samples are less than five minutes, and 10-15 minutes.

459

460 The data calculated from the whole data set with TTP ratios and quantitation variable ratios plotted against  
461 increasing cell concentration for (a) filtered samples and (b) non-filtered control samples is shown in Fig 6.  
462 The fitting parameters are summarised in Table 2. For both sets of samples the trendlines fitted to the TTP  
463 ratio data had similar intercept and slope values but with an R-squared value of 99.8% for the filtered  
464 samples and 83.4% for the control samples. The fitting for the quantitation variable ratio data showed  
465 more variability and less agreement between the fit parameters, with an R-square value of 98.3% for the  
466 filtered samples and 87.4% for the control samples.

467

## 468 **5. Discussion**

469

470 The basic flow rate measurements demonstrated that the hand-powered pump in the filtering system  
471 produced an approximately constant flow rate throughout the testing period and was capable of processing  
472 1 L of sample in five minutes. The use of the CT40 CellTrap™ filter as the output stage in the filtering  
473 experiments enabled sample concentrations of 1000:1 to be achieved. The system, therefore, performed a  
474 rapid and consistent sample collection, suitable for operator in field environmental testing.

475

#### 476 **5.1 Limit of detection: *Tetraselmis suecica***

477

478 The microalga *T. suecica* was successfully detected at all concentrations, ranging from  $2 \times 10^5$  cells/L to  
479 20 cells/L. The shape of the NASBA curves, show a discernible trend with varying concentration: that of a  
480 steeper rising curve coupled with a shorter time to positivity (TTP) as cell numbers increased. These initial  
481 results demonstrate that the filter concentrator system can be considered for quantitative measurements,  
482 down to a concentration of 20 cells/L.

483

#### 484 **5.2 Analysis and quantification of *Karenia brevis***

485

486 The results indicate that there is a relationship between wild-type and IC curves which is dependent on  
487 *K. brevis* concentration in both filtered samples and corresponding independent non-filtered control  
488 samples. In order to demonstrate the quantification properties of the filtering system, the NASBA results  
489 were analysed using the TTP and quantitation variable ratios. Following the example sample (Fig. 5), the  
490 calculated values indicate that at  $10^5$  cells, the non-filtered control method extracted a higher amount of  
491 *K. brevis* RNA, with an average quantitation variable value of 2.04. By comparison, the filtered equivalent  
492 was 1.05.

493

494 The results summarised in Fig. 6 and Table 2 indicate that samples processed by the filter concentrator  
495 system produced a more consistent linear trend with logarithmic cell number than the independent non-  
496 filtered controls. The fit to the trend is marginally better using the TTP ratio data rather than the  
497 quantitation variable for quantification, and significantly better for the filtered samples compared to the  
498 independent non-filtered control samples. Overall, this suggests that RNA quantification using the filter  
499 system would be more accurate. However, the results from the filter system show slightly increased  
500 variability (decreased precision) vs the control. This is more pronounced at low concentrations and in the  
501 results using the quantification variable. This variability arises from the fact that the samples have a large  
502 volume with very low cell numbers, compounded by needing to recover small cell numbers at the elution  
503 stage. This can be mitigated by increasing the number of replicates and/or increasing the volume sampled  
504 for low cell concentrations to increase the number of cells.

505

506 The results from the two analysis methods lead to several conclusions. The filter concentrator  
507 demonstrated the measurement of cell concentration, with the TTP analysis providing a better  
508 quantification of this than the quantitation variable method. The independent non-filtered control samples  
509 in these experiments did not provide the same accuracy. The two different methods also provide different  
510 calculations of variability with the TTP ratio values having smaller standard deviations at lower  
511 concentrations than the quantitation variable method, with the conclusion that the first method provides a  
512 more accurate determination of the concentration of small cell numbers in these experiments. Based on  
513 the successful repeated measurement of samples at a concentration of 10 cells/L, the limit of detection can  
514 be estimated as approximately three times the smallest measured concentration or 30 cells/L, well below  
515 the detection limit required for early detection of bloom formation.

516

517 The filter processes litres of sample prior to analysis, which reduces the inaccuracy associated with  
518 sampling small numbers. Independent non-filtered control samples, by comparison, involved the handling  
519 of significantly smaller volumes (a few mL at a time) thus increasing the chances and degree of sampling  
520 error. More importantly, the error experienced in the control samples would have been enough to  
521 misjudge target cell concentration by one or two orders of magnitude. These data support the need for  
522 large-volume sample concentrators within the field of phytoplankton and HAB studies, for more accurate  
523 and precise monitoring and estimation of bloom formation.

524

525 For the operation of the filter contractor system, at higher cell concentrations factors such as increased  
526 compaction, large differential pressures, or high levels of RNA, all could affect the quality of cell extraction  
527 and lysis. An effective mitigation strategy would then be to filter smaller volumes when cell concentrations  
528 reach  $10^5$  cells/L. To improve consistency in calculated values for cell concentrations below  $10^2$  cells/L, the  
529 solution would simply be to filter larger volumes of sample.

530

## 531 **6. Conclusions**

532

533 This paper presents a novel filter-concentrator system, designed for the collection and concentration of  
534 seawater samples, characterised particularly by very low cell concentrations and the requirements of  
535 processing large volumes for manual sample processing in the field. The filtering system was capable of  
536 maintaining an approximately constant flow, with a rapid and consistent sample collection at 1 L in five  
537 minutes. The microalga *T. suecica* was successfully detected at all filtered concentrations, ranging from  
538  $2 \times 10^5$  cells/L to 20 cells/L. Initial IC-NASBA results showed correlation with *K. brevis* concentration in  
539 filtered samples. Further analysis showed that samples derived from the filter system more accurately  
540 followed a linear trend versus logarithmic cell number than the independent non-filtered controls. When  
541 compared to standard benchtop analysis, the filtering system improved accuracy of *K. brevis* quantification  
542 via IC-NASBA (higher  $R^2$  value), but a small decrease in precision was observed (higher standard deviation  
543 values). The presented sampling method successfully quantified *K. brevis* across all concentration ranges  
544 used by the Florida Fish and Wildlife Conservation Commission for bloom monitoring. This included



545 concentrations of 10 cells/L which is two orders of magnitude below the minimum of what is recognised as  
546 a bloom (1000 cells/L) (FWRI, 2015) and could permit detection and measurement of populations in a pre-  
547 bloom state.

548

549 This filter-concentrator system provides simple, rapid, and consistent sample collection and concentration,  
550 and could become a useful tool for in-field monitoring of HABs, water-borne parasites, and pathogens  
551 associated with faecal matter. Additional research will be required to further optimise extraction methods.  
552 Coupling of the system with other molecular analysis methods would demonstrate flexibility regarding its  
553 application. Finally, using it in conjunction with Lab-on-a-Chip devices, to analyze environmental samples,  
554 could prove to be a viable and powerful tool for on-field monitoring of HABs and human pollution.

555

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557

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560

561

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- 699

700 Fig. 1 Schematic diagram of the internal structure of the filter/concentrator pump system, constructed  
 701 from a Hozelock™ chemical spray backpack and consisting of a plastic fluid vessel which contains the filters  
 702 and a hand operated pressure pump on opposite sides. Samples are processed through three stages of  
 703 filtering, concurrent with a high degree of sample concentration. The first stage is a 2 µm pore size plastic  
 704 pre-filter to catch large floating objects. The second stage is a 40 µm pore size 316 stainless steel woven  
 705 wire-cloth main filter with a height of 26 cm and diameter of 9 cm, with a filtering surface area of  
 706  $73.5 \times 10^3 \text{ mm}^2$ . These two stages perform the initial filtering of the sample as it is poured into the vessel  
 707 prior to pumping, and retains particles larger in size than  $40 \mu\text{m}$ , with the large surface area ensuring  
 708 minimal clogging. The hand pump is then used to push the filtered sample through the third stage filter, the  
 709 Celltrap™ CT40 0.2 µm filter, attached to the output of the pump. The complete system is configured to  
 710 retain material between 0.2 and  $40 \mu\text{m}$ , passing up to 10 litres of sample through the final stage filter,  
 711 simultaneously reducing the sample volume to 1 mL.

712

713 Fig 2. Volumetric flow rate through the filter system. Data are averages of nineteen runs at varying cell  
 714 concentration with the error bars representing standard deviation, A: Graph of cumulative volume passed  
 715 through the filtering system against cumulative time taken and B: Graph of volumetric flow rate against  
 716 cumulative volume. The pump runs consistently at a rate of approximately 4.6 mL/sec, with a small rise and  
 717 fall at the start of pumping as the hand pump is pressurised, followed by a consistent flow rate until the  
 718 end of the required volume where the flow rate tapers off as the hand pump pressure is allowed to fall off.

719

720 Fig 3. NASBA results for *T. suecica*. The *y*-axis represents relative fluorescence units, as measured by the  
 721 EasyQ benchtop incubator, and the *x*-axis represents time in minutes. WT-RNA amplification of 20 cells  
 722 equivalents is shown as red squares, 200 cells are shown as blue circles,  $2 \times 10^5$  cells are shown as green  
 723 triangles, and the negative control (zero cells) is shown as purple reverse triangle. Error bars denote one  
 724 standard deviation of triplicate samples.

725

726 Fig 4. Standard Curve showing how the quantitation variable ratio changes with cell number (round circles).  
 727 Also shown is a fitted trendline to the data, with the fitting equation and the R2 value shown. The graph is  
 728 plotted with  $\log_{10}$  of the number of cells so that the fitted equation has a simple representation.

729

730 Fig 5. IC-NASBA results for  $10^5$  cell equivalents of *K. brevis* with 400 IC copies. The *y*-axis represents  
 731 relative fluorescence units, as measured by the EasyQ benchtop incubator, and the *x*-axis represents time  
 732 in minutes. WT-RNA amplification is shown as red squares and IC-RNA amplification is shown as green  
 733 circles. Control samples are illustrated on the left whereas filtered samples are shown on the right. Error  
 734 bars denote one standard deviation of triplicate samples.

735

736 Fig 6. Quantitation analysis on IC-NASBA results, using TTP analysis method (top row) and Quantitation  
 737 variable analysis method (bottom row), for A: the filtered samples and B: the control samples. TTP ratios

738 and  $\ln(k_1 a_1 a_2^2)$  ratios) were plotted over increasing cell concentration (log scale). Control samples are  
739 represented by red circles and filtered samples are represented by blue squares. Error bars denote one  
740 standard deviation of triplicate samples. Also shown are the lines of best fit and the shaded area  
741 represents the 95% confidence bands.

742

743 Table 1. List of the sequences of *T. suecica* primers, beacons, and RNA (designed for the purpose of this  
744 study); the sequences of *K. brevis* and Internal Control (IC) primers, beacons, and RNA modified from  
745 (Tsaloglou et al., 2013). Bold underlined text indicates primer binding sites.

746

747 Table 2. List of curve matching parameters from the analysis presented in Fig 6. In each case, the matching  
748 parameters are based on the linear equation  $y = c + m \cdot x$ .

749

750

Figure

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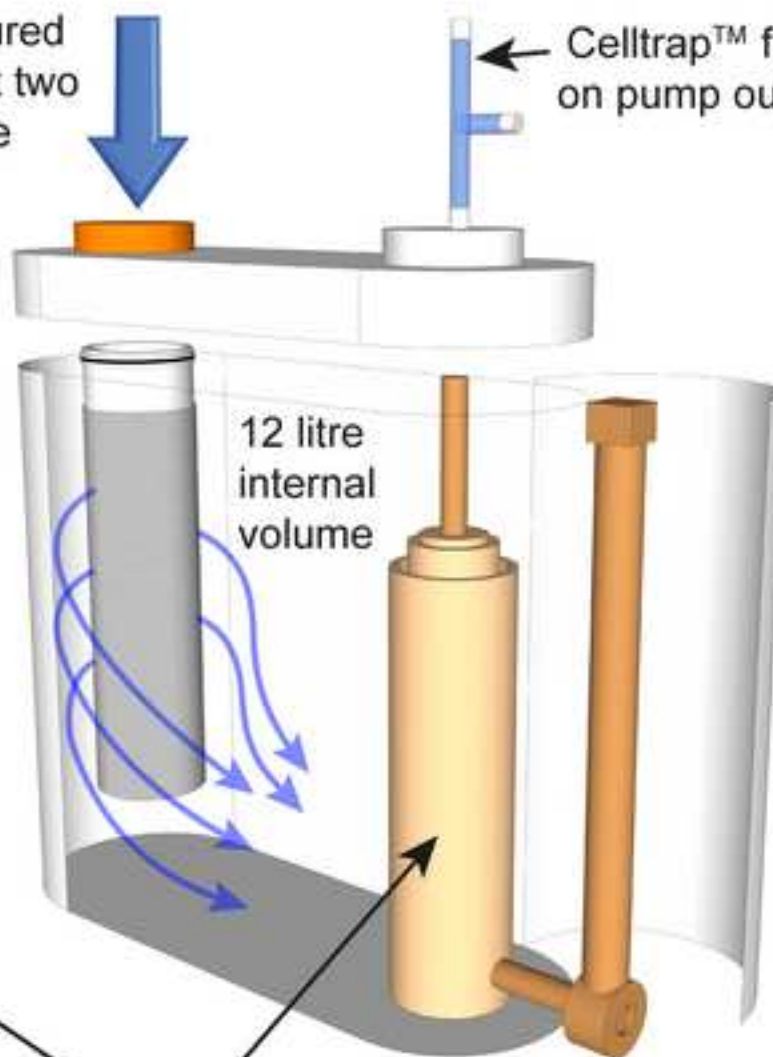


1-12 litres of sample,  
filtered to 40  $\mu\text{m}$  prior  
to pumping action



Pump: Self contained, isolated  
from sample chamber by valve

Sample poured  
through first two  
filters before  
pumping.



Readily replaceable 200 nm final  
stage trapping filter for cells

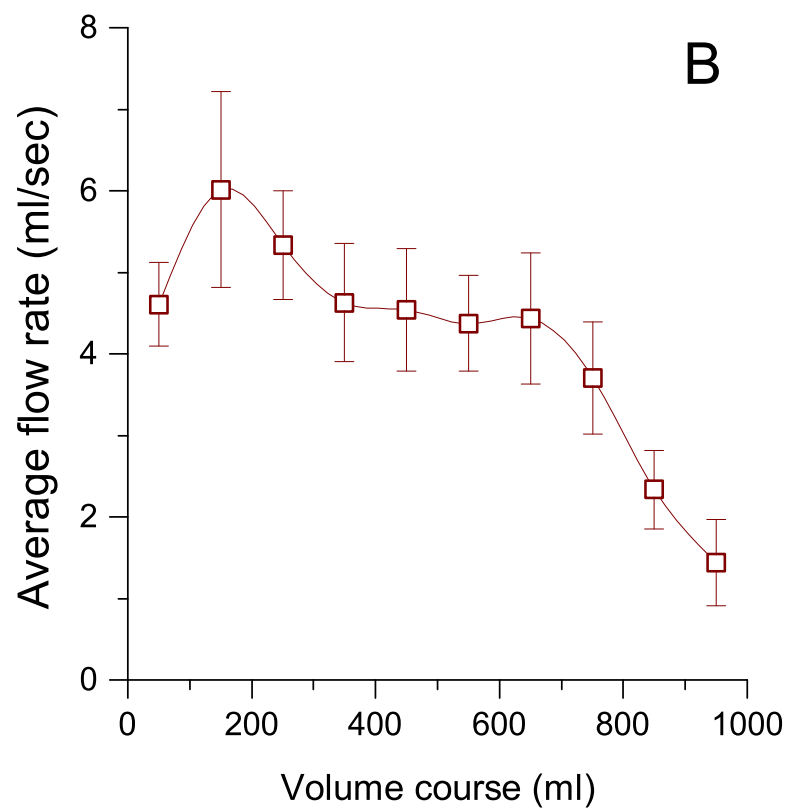
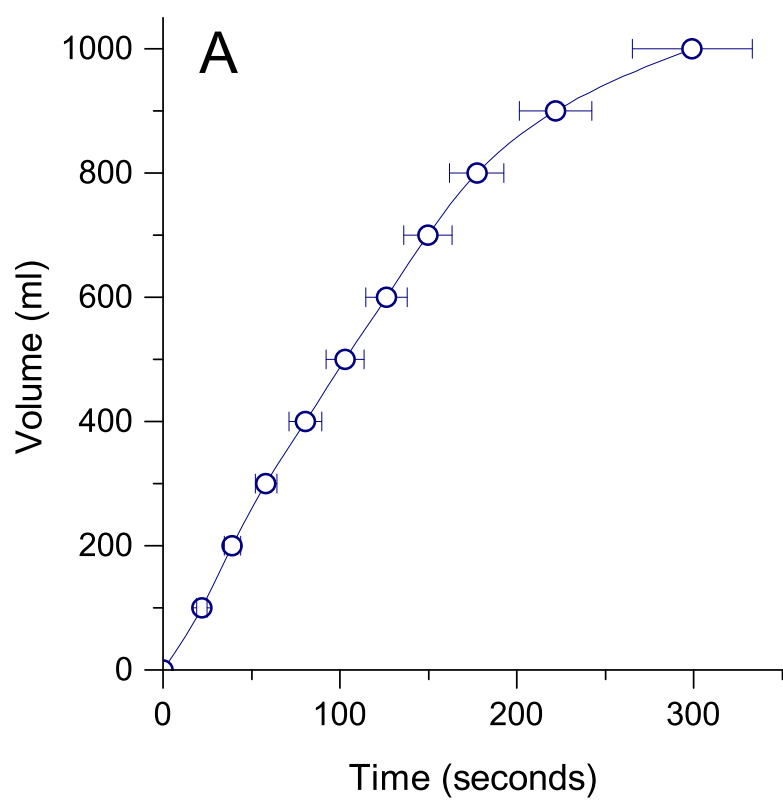


**Three stage filtering:**

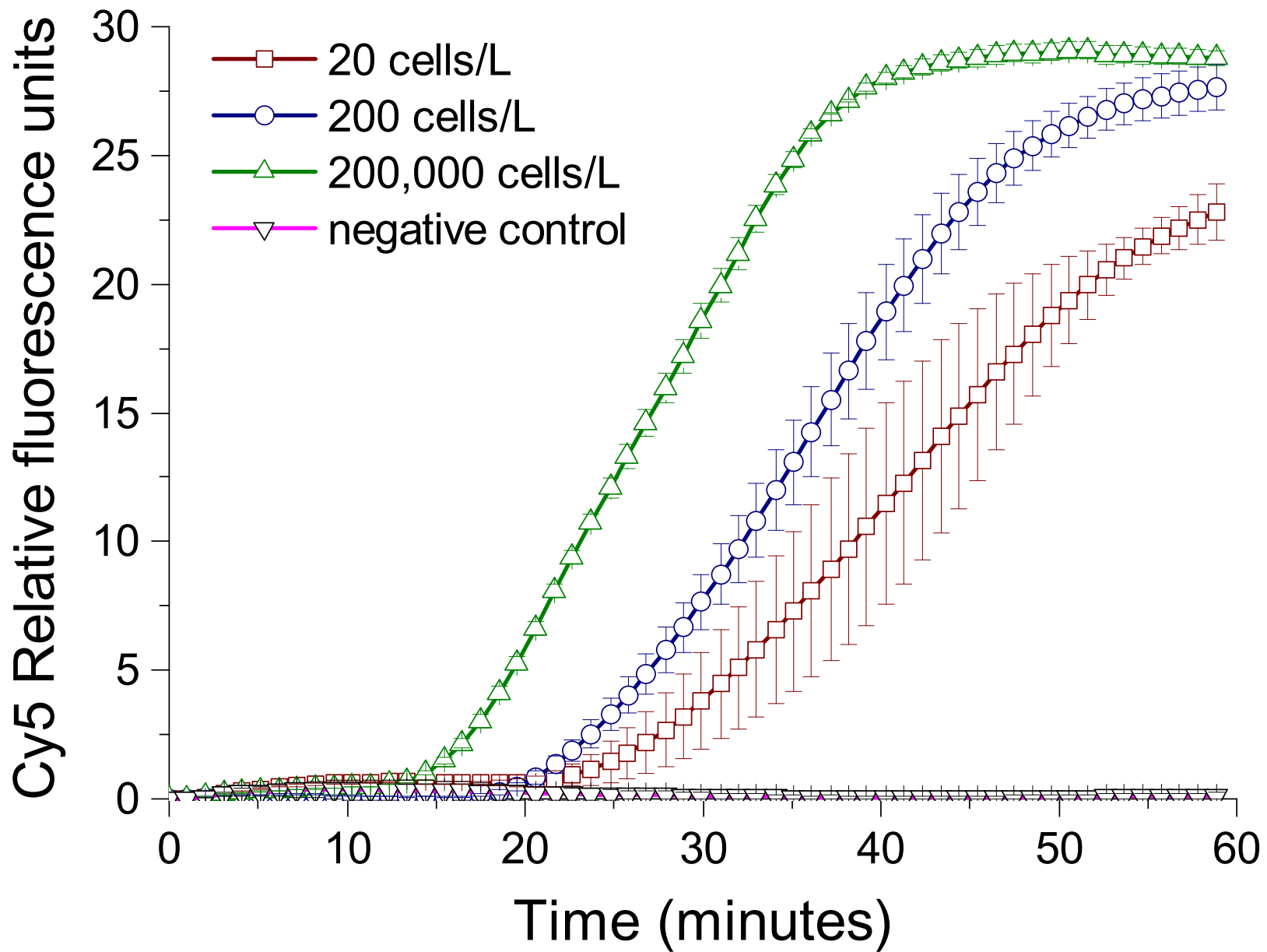
2 mm plastic bulk trap filter  
40  $\mu\text{m}$  stainless steel filter  
200 nm celltrap filter



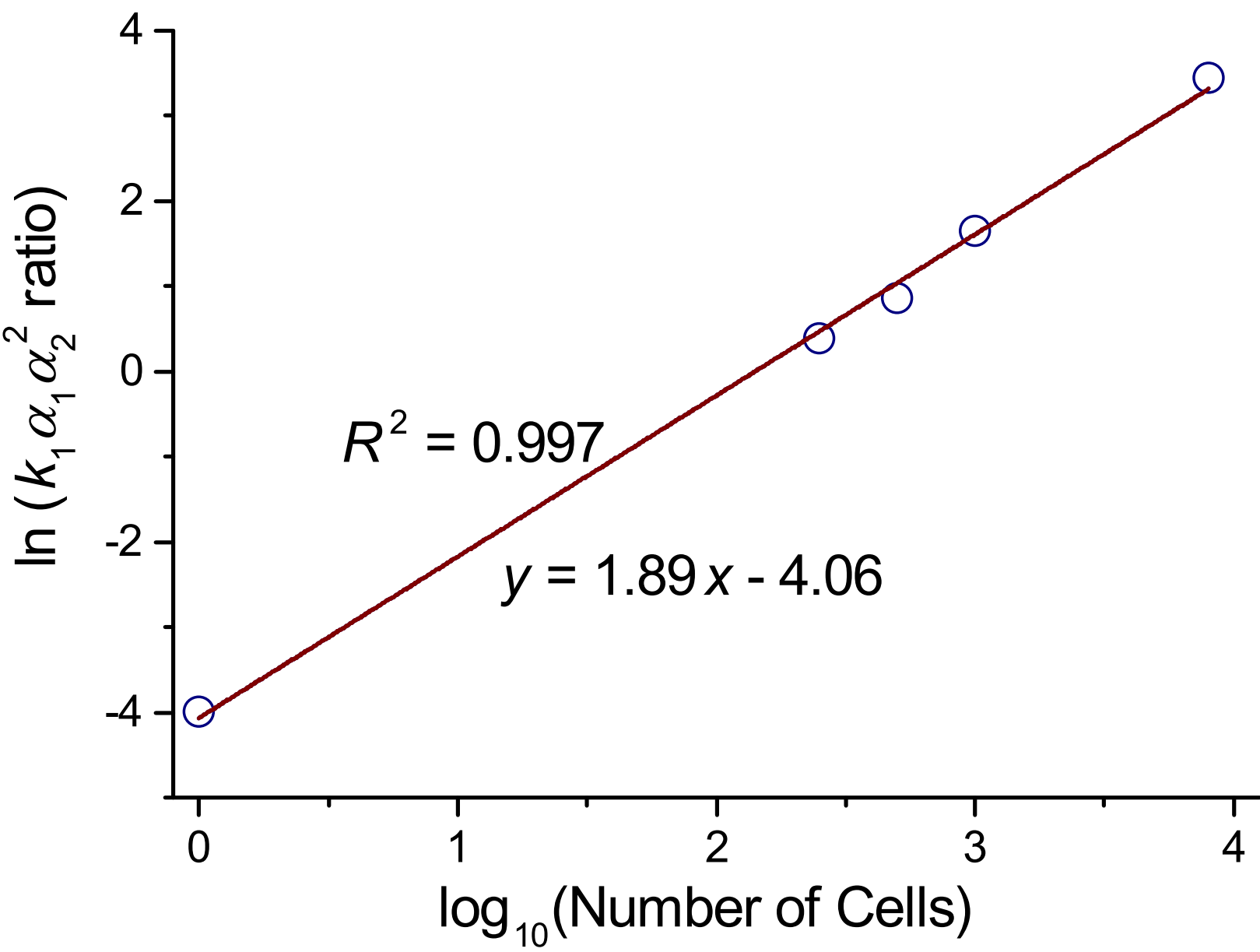
Figure



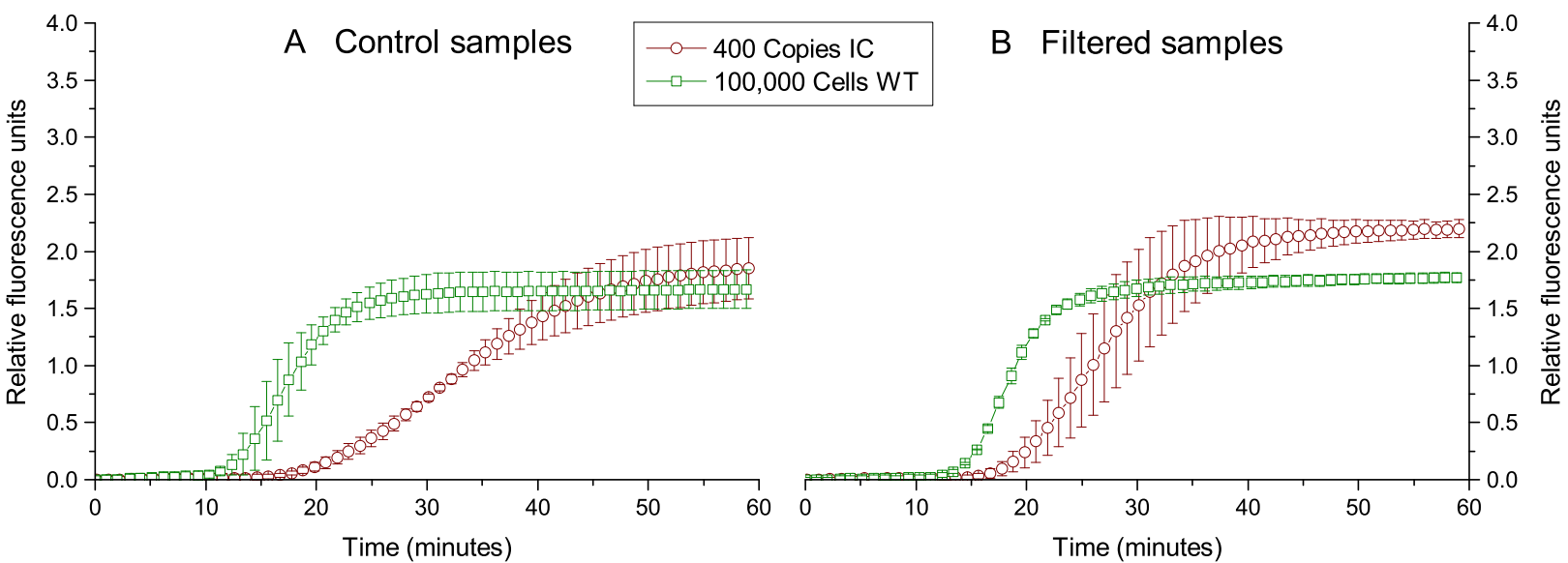
Figure



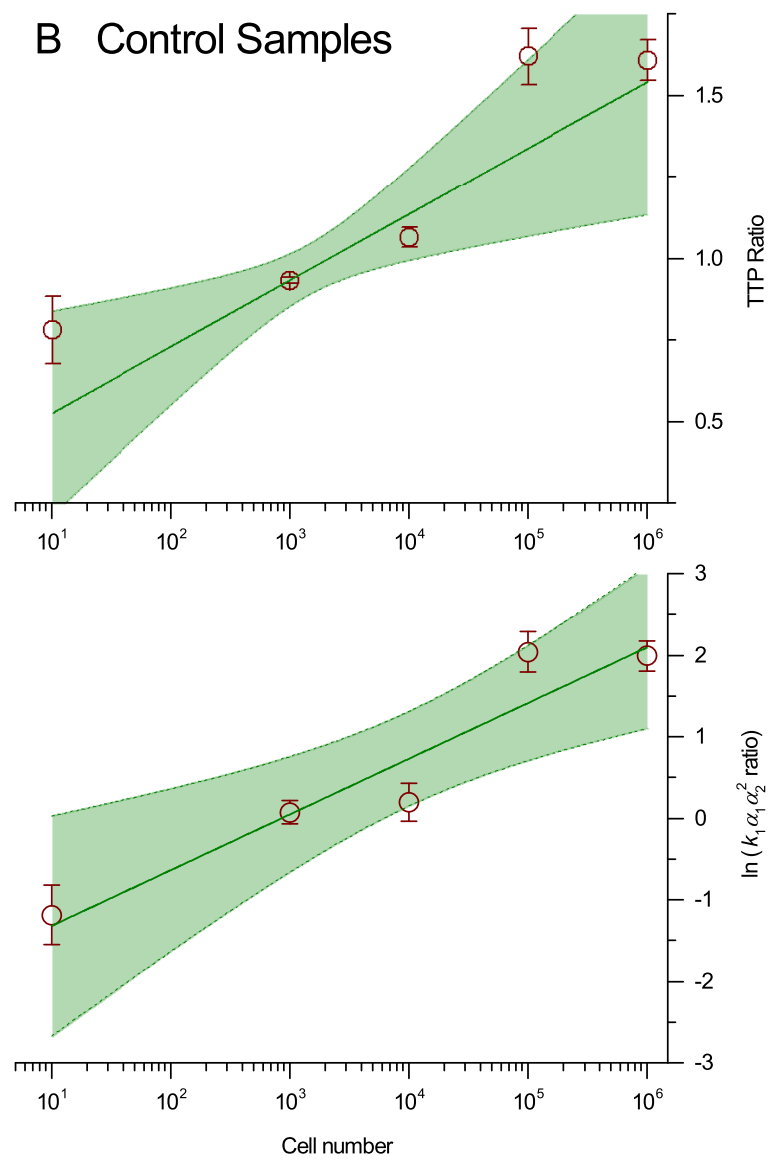
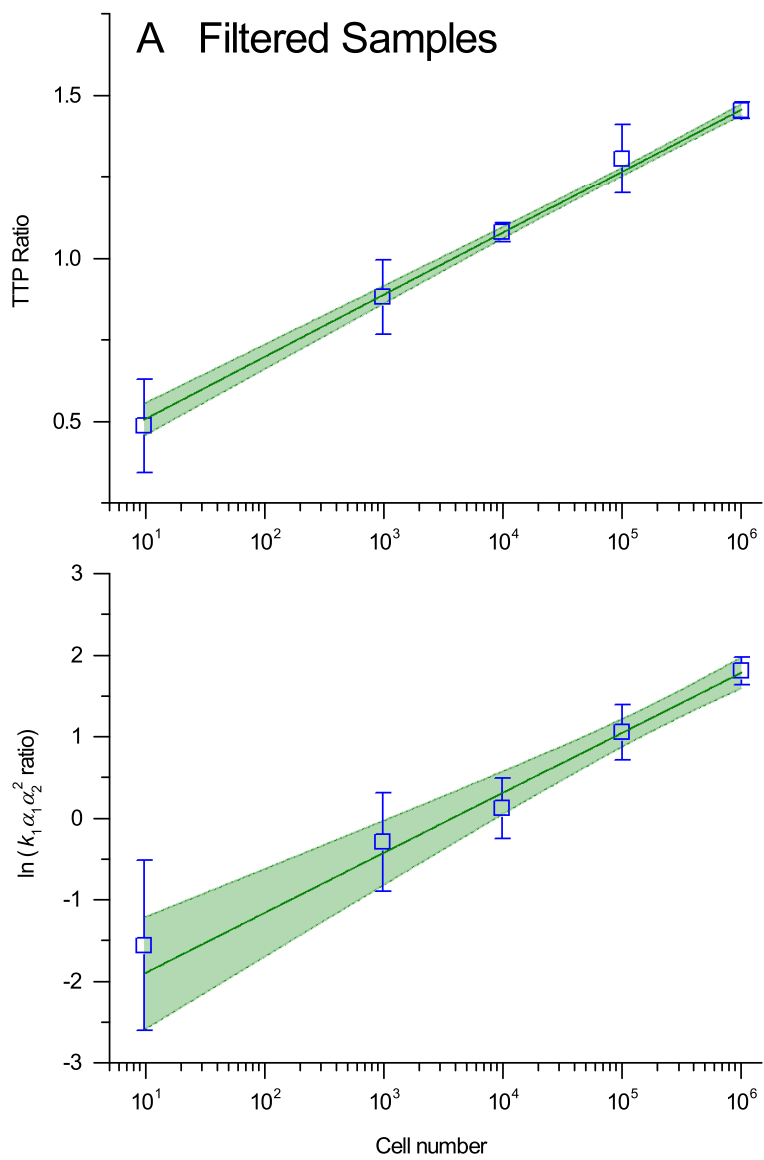
Figure



Figure



Figure



<i>T. suecica</i>	Sequence (5' to 3')
Forward Primer	<b><u>ACTGGCTTCAAAGCTGGTGT</u></b>
Reverse Primer	AATTCTAATACGACTCACTATAGGGAGAAG <b><u>TCCGTCCATACAGTTGTCCA</u></b>
Molecular Beacon	[CY5]- GAGTCG <b><u>AGATTACCAAGTAAAAGATACTGAC</u></b> CGACTC -[ECLIPSE]
Target Sequence	<b><u>ACTGGCTTCAAAGCTGGTGT</u></b> AAAAGACTACCGTTTAACTTACTACTCC- <b><u>AGATTACCAAGTAAAAGATACTGACATTCT</u></b> TGCAGCATTCCGTTGTAACCTCAACCAGGTGTTCCACCTG- AAGAGTGTGGTGCAGCTGTAGCCGCTGAGTCATCAACTGGTACTT <b><u>GGACA</u></b> CTGTATGGACGGA
<i>K. brevis</i>	Sequence (5' to 3')
Forward Primer	<b><u>ACGTTATTGGGTCTGTGTA</u></b>
Reverse Primer	AATTCTAATACGACTCACTATAGGGAGA <b><u>AGGTACACACTTTCGTA</u></b> AACTA
Molecular Beacon	[AF488]-GAGTCGCTTAGTCTCGGGTATTTTTTCGACTC-[BHQ1]
Target Sequence	GAA <b><u>ACGTTATTGGGTCTGTGTA</u></b> CACGAATTAACCTTAGTCTCGGGTATTTTTGGACAAGAATGGGC- <b><u>TAGTTTACGAAAGTGTACCT</u></b>
<b>Internal Control</b>	Sequence (5' to 3')
<i>Molecular Beacon</i>	[CY5]-ACGGAGTGGCTGCTTATGGTGACAATCTCCGC-[BHQ2]
Sequence	GAA <b><u>ACGTTATTGGGTCTGTGTA</u></b> CACGAATTAACCTGGCTGCTTATGGTGACAATGGACAAGAATGGGC- <b><u>TAGTTTACGAAAGTGTACCT</u></b>

Table 1. List of the sequences of *T. suecica* primers, beacons, and RNA (designed for the purpose of this study); the sequences of *K. brevis* and Internal Control (IC) primers, beacons, and RNA modified from (Tsaloglou et al., 2013). Bold underlined text indicates primer binding sites.

	Filtered samples - graphs (a) in Figure 6				Control samples - graphs (b) in Figure 6			
	TTP		QvariableRatio		TTP		QvariableRatio	
<b>Pearson's r</b>	0.9994		0.9936		0.9357		0.9515	
<b>Adj. R-Square</b>	0.9985		0.9830		0.8341		0.8739	
	Value	Standard error	Value	Standard error	Value	Standard error	Value	Standard error
<b>Intercept (c)</b>	0.317	0.0190	-2.640	0.263	0.324	0.142	-2.006	0.542
<b>Slope (m)</b>	0.190	0.0037	0.737	0.0483	0.203	0.0443	0.683	0.128

Table 2. List of curve matching parameters from the analysis presented in Fig 6. In each case, the matching parameters are based on the linear equation  $y = c + m \cdot x$ .

## SUPPLEMENTARY INFORMATION FOR: A novel portable filtration system for sampling and concentration of micro-organisms: demonstration on marine microalgae with subsequent quantification using IC-NASBA

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b. Department of Ocean and Earth Science, University of Southampton Waterfront Campus, European Way, Southampton, SO14 3ZH, United Kingdom.

c. Institute for Life Sciences, University of Southampton Highfield Campus, Highfield, Southampton, SO17 1BJ, United Kingdom.

d. Department of Electronics and Computer Science (ECS), University of Southampton Highfield Campus, Highfield, Southampton, SO17 1BJ, United Kingdom.

This Supplementary document contains the complete set of experimental graphs for section “Quantitative measurement: *Karenia brevis*” as well as the fitting parameters obtained for all data. A single example is given in the main text.

As an additional piece of information for the Materials and Methods, a permanent archived web link (from the Internet Archive) for the manual of the Hozelock 12L Pressure Sprayer Plus: 4712 (used to build the filter system) is given below:

<http://web.archive.org/web/20170206163505/http://www.hozelock.com/wp-content/uploads/2015/05/4712-4716-Plus-33885-000-Plus1216L-INTL.pdf>

### **Introduction**

Presented in this document are the results of NASBA on filtered *K. brevis* samples. Wild-type curves experienced an increase in fluorescence at approximately nine minutes before IC curves at  $10^6$  cells/L. The temporal gap decreased as cell concentration decreased, until at  $10^4$  cells/L amplification occurred at the same time. At lower



concentrations, the wild-type and IC curve relationship was reversed, and the former became less prominent. Wild-type overall signal was at its lowest for the 10 cells/L samples and never surpassed 0.42 RFUs. Control samples followed a similar trend, however wild-type curve signal appeared to be stronger compared to filtered equivalents excluding control samples for  $10^4$  cells/L and  $10^3$  cells/L.

For instance, when plotting the IC-NASBA results of samples containing  $10^5$  cells (Figure 5) it is apparent that the slope difference between WT-RNA and IC-RNA amplification is greater for the control. The filtered replicates show IC amplification approximately seven minutes after WT amplification, and IC maximum fluorescence is reached 15-20 minutes after the WT equivalent. In comparison, control replicates experience an amplification lag which is less than five minutes, and IC reaches maximum fluorescence 10-15 minutes after the WT.

Initial NASBA results are indicative of a trend, where the relationship between wild-type and IC curves may reflect *K. brevis* concentration in filtered samples. Control samples agreed with the observed trend. However they suggest that our sample collection system may not be as effective in preserving target RNA material as traditional laboratory extraction methods.

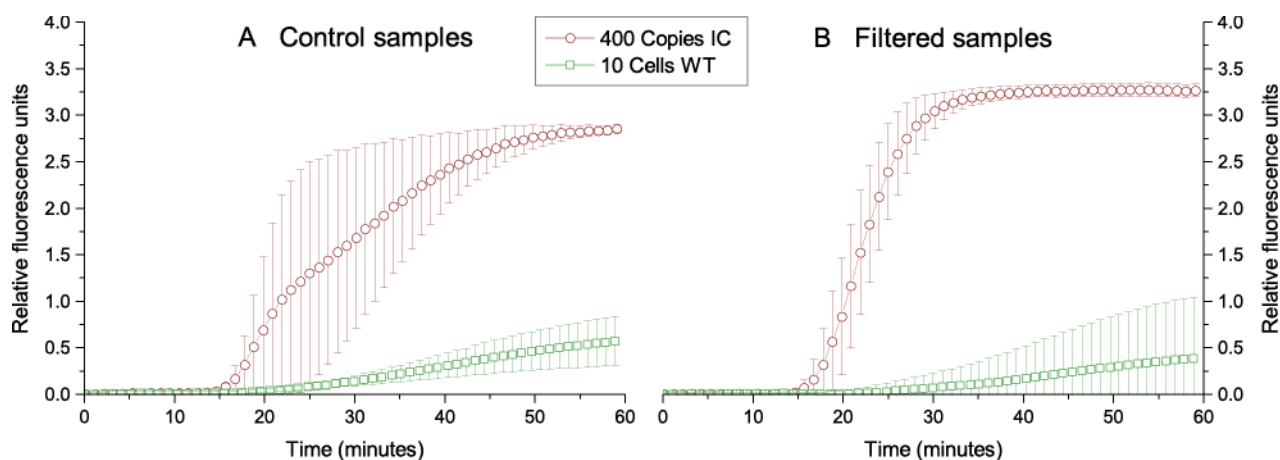


Fig S1 IC-NASBA results for 10 cell equivalents of *K. brevis* with 400 IC copies. The y-axis represents relative fluorescence units, as measured by the EasyQ benchtop incubator, and the x-axis represents time in minutes. WT-RNA amplification is shown as red squares and IC-RNA amplification is shown as green circles. Control samples are illustrated on the left whereas filtered samples are shown on the right. Error bars denote one standard deviation of triplicate samples.

Samples: (10 cells 400 IC)		Parameters						$\ln(k_1\alpha_1\alpha_2^2 \text{ ratio})$
		$\lambda$	$\alpha_2$	$\alpha_3$	$k_1\alpha_1$	$Y_0$	$k_1\alpha_1\alpha_2^2$	
Filtered sample 1	IC	6.26	0.406	10.00	0.0119	0.631	0.00197	-1.003
	WT	2.98	0.281	9.999	0.00912	0.655	0.000721	
Filtered sample 2	IC	5.84	0.493	10.00	0.0138	0.636	0.00335	-0.907
	WT	1.52	0.157	9.999	0.0546	0.64	0.00135	
Filtered sample 3	IC	6.24	1.283	10.00	0.0111	0.566	0.0182	-2.76
	WT	2.61	0.449	9.999	0.00572	0.615	0.00115	
Control sample 1	IC	5940	1.392	10.00	0.0111	0.000425	0.0215	-0.828
	WT	10340	1.663	10.00	0.00339	0.000148	0.009384	
Control sample 2	IC	8664	1.801	9.999	0.00365	0.000292	0.0118	-1.18
	WT	3972	0.548	9.46	0.0121	0.000361	0.00364	
Control sample 3	IC	15860	2.114	9.999	0.0016	0.000142	0.00717	-1.56
	WT	3765	0.761	7.49	0.00261	0.000294	0.00151	

Table S1 Fitting parameters from MATLAB curve fitting tool for the IC-NASBA curves, for the 10 cells per litre samples shown in figure S1.

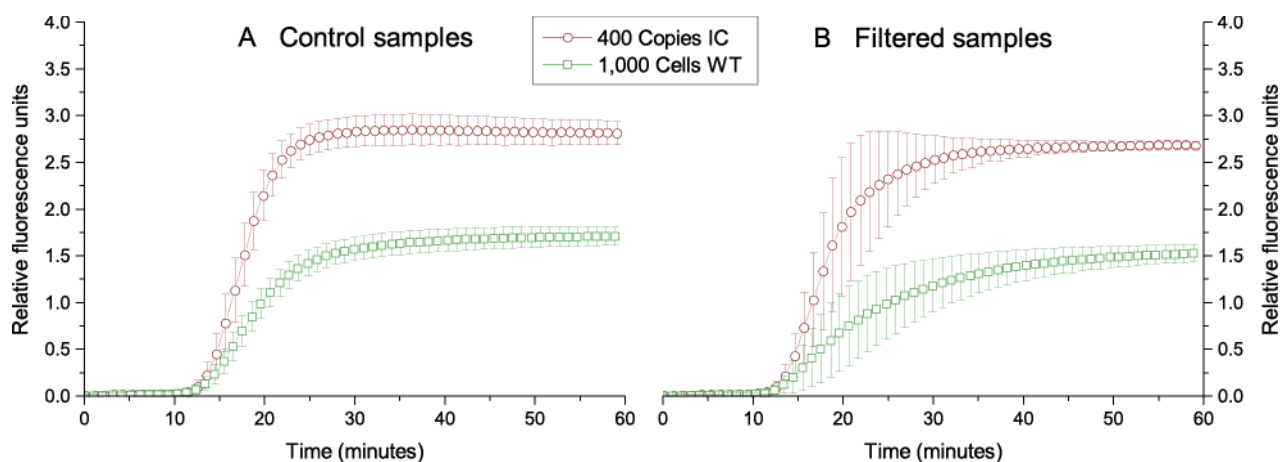


Fig S2 IC-NASBA results for 1000 cell equivalents of *K. brevis* with 400 IC copies. The y-axis represents relative fluorescence units, as measured by the EasyQ benchtop incubator, and the x-axis represents time in minutes. WT-RNA amplification is shown as red squares and IC-RNA amplification is shown as green circles. Control samples are illustrated on the left whereas filtered samples are shown on the right. Error bars denote one standard deviation of triplicate samples.

Samples: ( $10^3$ cells 400 IC)		Parameters						$\ln(k_1\alpha_1\alpha_2^2 \text{ ratio})$
		$\lambda$	$\alpha_2$	$\alpha_3$	$k_1\alpha_1$	$Y_0$	$k_1\alpha_1\alpha_2^2$	
Filtered sample 1	IC	282	0.499	6.30	0.0235	0.00945	0.00587	0.0863
	WT	453	0.221	8.03	0.131	0.00318	0.00640	
Filtered sample 2	IC	369	0.707	6.31	0.031	0.00734	0.0153	0.0303
	WT	176	1.16	7.78	0.0117	0.00898	0.0158	
Filtered sample 3	IC	365	0.585	6.11	0.0373	0.00746	0.0127	-0.984
	WT	44.0	0.940	9.24	0.00541	0.0323	0.00477	
Control sample 1	IC	360	0.546	5.97	0.0369	0.00816	0.0110	0.142
	WT	287	0.877	8.44	0.0165	0.00597	0.0127	
Control sample 2	IC	349	0.638	6.25	0.0364	0.00844	0.0148	-0.0911
	WT	410	0.256	8.07	0.206	0.00427	0.0135	
Control sample 3	IC	375	0.553	6.09	0.0376	375	0.0115	0.163
	WT	61.1	1.34	9.29	0.00755	0.0258	0.0135	

Table S2 Fitting parameters from MATLAB curve fitting tool for the IC-NASBA curves for the 1000 cells per litre samples shown in figure S2

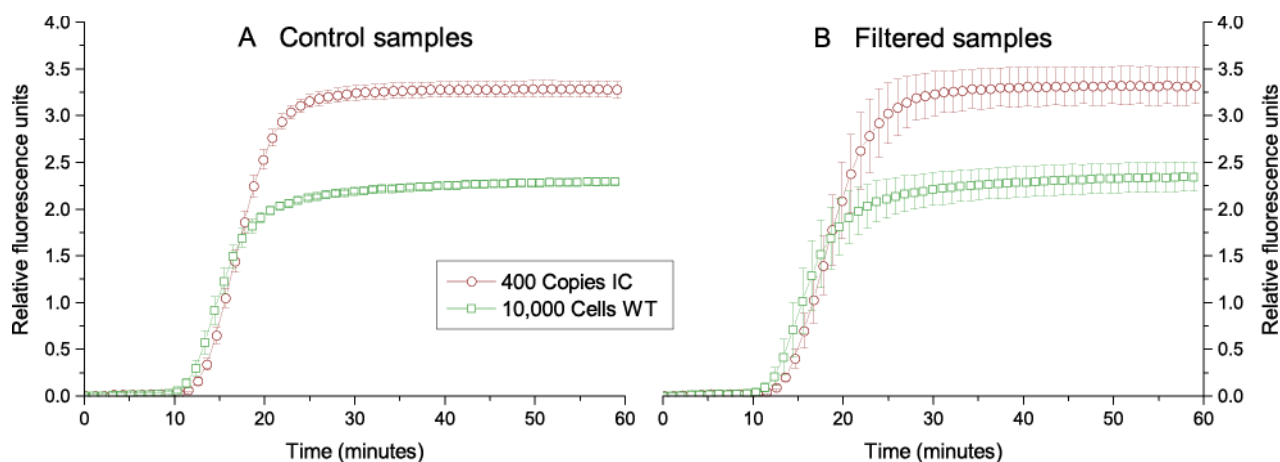


Fig S3 IC-NASBA results for  $10^4$  cell equivalents of *K. brevis* with 400 IC copies. The y-axis represents relative fluorescence units, as measured by the EasyQ benchtop incubator, and the x-axis represents time in minutes. WT-RNA amplification is shown as red squares and IC-RNA amplification is shown as green circles. Control samples are illustrated on the left whereas filtered samples are shown on the right. Error bars denote one standard deviation of triplicate samples.

Samples: ( $10^4$ cells 400 IC)		Parameters						$\ln(k_1\alpha_1\alpha_2^2 \text{ ratio})$
		$\lambda$	$\alpha_2$	$\alpha_3$	$k_1\alpha_1$	$Y_0$	$k_1\alpha_1\alpha_2^2$	
Filtered sample 1	IC	405	0.518	5.31	0.0386	0.00819	0.0104	0.460
	WT	380	0.678	5.53	0.0357	0.00608	0.0164	
Filtered sample 2	IC	364	0.504	5.73	0.0373	0.00608	0.00949	0.171
	WT	382	0.555	5.08	0.0366	0.00639	0.0113	
Filtered sample 3	IC	278	0.736	8.40	0.0219	0.0114	0.0119	-0.266
	WT	350	0.593	5.15	0.0259	0.00628	0.00911	
Control sample 1	IC	322	0.734	6.71	0.0266	0.0105	0.0143	-0.0712
	WT	289	0.757	5.50	0.0233	0.00789	0.0134	
Control sample 2	IC	386	0.579	5.84	0.0376	0.00846	0.0126	0.345
	WT	333	0.761	5.75	0.0306	0.00680	0.0178	
Control sample 3	IC	520	0.538	5.30	0.0366	0.00632	0.0106	0.321
	WT	408	0.663	5.51	0.0332	0.00551	0.0146	

Table S3 Fitting parameters from MATLAB curve fitting tool for the IC-NASBA curves, for the  $10^4$  cells per litre samples shown in figure S3

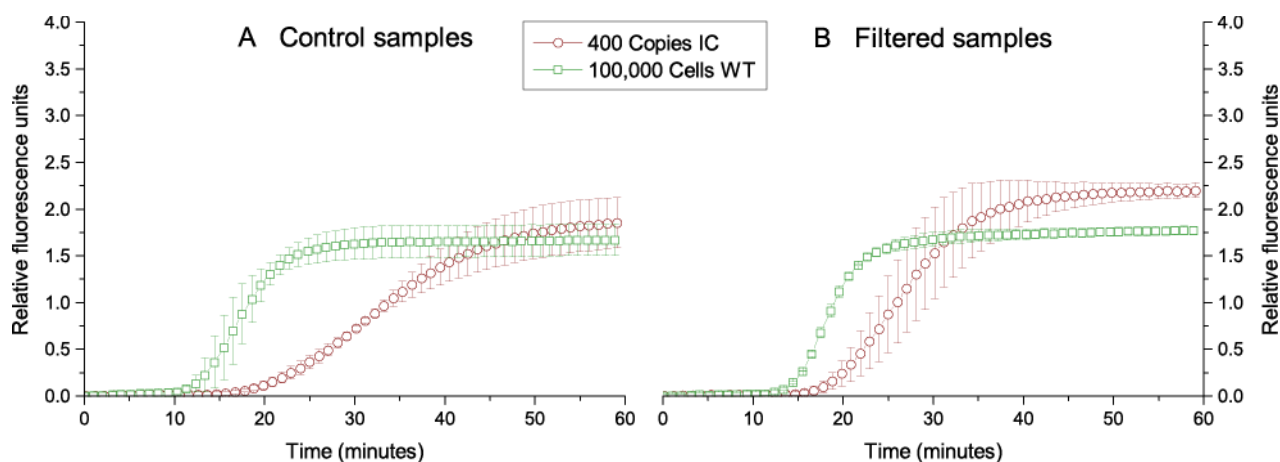


Fig S4 IC-NASBA results for  $10^5$  cell equivalents of *K. brevis* with 400 IC copies. The y-axis represents relative fluorescence units, as measured by the EasyQ benchtop incubator, and the x-axis represents time in minutes. WT-RNA amplification is shown as red squares and IC-RNA amplification is shown as green circles. Control samples are illustrated on the left whereas filtered samples are shown on the right. Error bars denote one standard deviation of triplicate samples.

Samples: ( $10^5$ cells 400 IC)		Parameters						$\ln(k_1\alpha_1\alpha_2^2 \text{ ratio})$
		$\lambda$	$\alpha_2$	$\alpha_3$	$k_1\alpha_1$	$Y_0$	$k_1\alpha_1\alpha_2^2$	
Filtered sample 1	IC	182	0.461	9.64	0.0144	0.0124	0.00307	1.18
	WT	590	0.236	6.13	0.180	0.00291	0.010	
Filtered sample 2	IC	457	0.323	6.47	0.0349	0.00513	0.00365	1.31
	WT	332	0.716	8.27	0.0263	0.00542	0.0135	
Filtered sample 3	IC	320	0.558	8.68	0.0209	0.00708	0.0065	0.667
	WT	259	0.772	7.88	0.0212	0.00672	0.0127	
Control sample 1	IC	747	0.284	7.86	0.0222	0.00313	0.0018	2.30
	WT	251	1.018	9.32	0.0173	0.00721	0.0179	
Control sample 2	IC	499	0.316	7.93	0.0209	0.00429	0.00209	1.80
	WT	170	0.737	7.83	0.0234	0.00963	0.0127	
Control sample 3	IC	650	0.356	6.04	0.0169	0.00257	0.00215	2.02
	WT	463	0.234	5.22	0.297	0.00334	0.0162	

Table S4 Fitting parameters from MATLAB curve fitting tool for the IC-NASBA curves, for the  $10^5$  cells per litre samples shown in figure S4

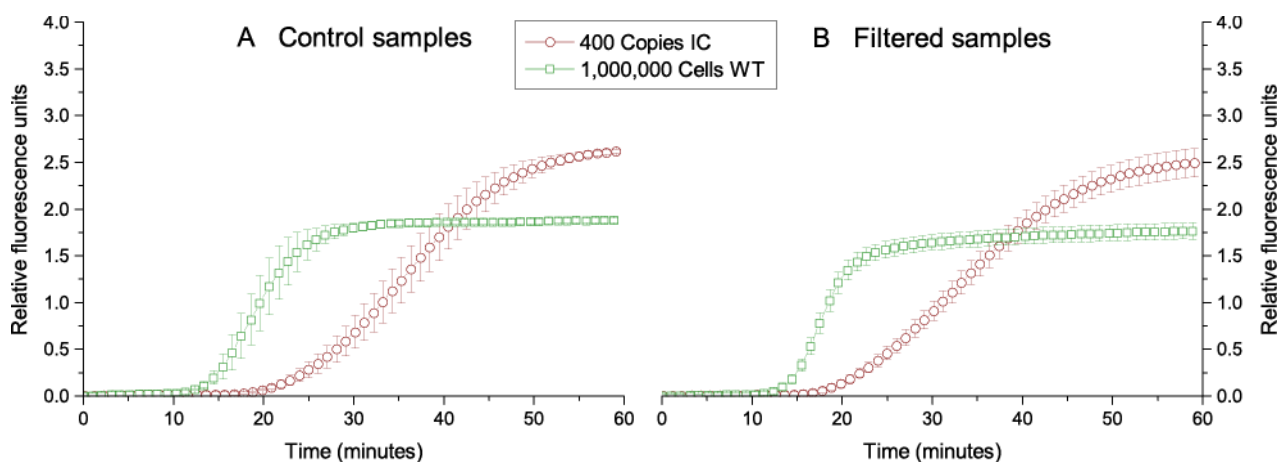


Fig S5 IC-NASBA results for  $10^6$  cell equivalents of *K. brevis* with 400 IC copies. The y-axis represents relative fluorescence units, as measured by the EasyQ benchtop incubator, and the x-axis represents time in minutes. WT-RNA amplification is shown as red squares and IC-RNA amplification is shown as green circles. Control samples are illustrated on the left whereas filtered samples are shown on the right. Error bars denote one standard deviation of triplicate samples.

Samples: ( $10^6$ cells 400 IC)		Parameters						$\ln(k_1\alpha_1\alpha_2^2 \text{ ratio})$
		$\lambda$	$\alpha_2$	$\alpha_3$	$k_1\alpha_1$	$Y_0$	$k_1\alpha_1\alpha_2^2$	
Filtered sample 1	IC	729	0.321	7.75	0.0157	0.00430	0.00162	1.62
	WT	522	0.455	4.37	0.0393	0.00350	0.00815	
Filtered sample 2	IC	1180	0.252	5.41	0.0266	0.00240	0.00168	1.94
	WT	348	0.618	6.73	0.0306	0.00488	0.0117	
Filtered sample 3	IC	1988	0.312	7.99	0.0152	0.00148	0.00148	1.85
	WT	333	0.560	5.96	0.0297	0.00511	0.00940	
Control sample 1	IC	101	0.380	9.36	0.0135	0.0308	0.00194	1.82
	WT	452	0.660	7.14	0.0274	0.00419	0.0119	
Control sample 2	IC	1322	0.277	8.58	0.0164	0.00270	0.00125	2.19
	WT	440	0.561	6.78	0.0355	0.00438	0.0112	
Control sample 3	IC	1115	0.309	9.60	0.0118	0.00324	0.00113	1.967
	WT	354	0.551	7.75	0.0266	0.00518	0.00807	

Table S5 Fitting parameters from MATLAB curve fitting tool for the IC-NASBA curves, for the  $10^6$  cells per litre samples shown in figure S5

## **HARMFUL ALGAE**

### **AUTHOR DECLARATION**

Submission of an article implies that the work described has not been published previously (except in the form of an abstract or as part of a published lecture or academic thesis), that it is not under consideration for publication elsewhere, that its publication is approved by all authors and tacitly or explicitly by the responsible authorities where the work was carried out, and that, if accepted, it will not be published elsewhere in the same form, in English or in any other language, without the written consent of the copyright-holder.

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- Each author has given final approval of the submitted manuscript and order of authors. Any subsequent change to authorship will be approved by all authors.
- Each author has participated sufficiently in the work to take public responsibility for all the content.