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A Substrate-Independent Method for Surface Grafting Polymer Layers by Atom Transfer Radical Polymerization: Reduction of Protein Adsorption.

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Abstract

A general method for producing low-fouling biomaterials on any surface by surface-initiated grafting of polymer brushes is presented. Our procedure uses radio-frequency glow discharge (RFGD) thin film deposition followed by macro-initiator coupling and then surface-initiated atom transfer radical polymerization (SI-ATRP) to prepare neutral polymer brushes on planar substrates. Coatings were produced on substrates with variable interfacial composition and mechanical properties such as hard inorganic/metal substrates (silicon and gold) or flexible (perfluorinated poly (ethylene-co-propylene), FEP film) and rigid (microtitre plates) polymeric materials. First, surfaces were functionalized via deposition of an allylamine plasma polymer thin film followed by covalent coupling of a macro-initiator composed partly of ATRP initiator groups. Successful grafting of a hydrophilic polymer layer was achieved by SI-ATRP of *N,N'*-dimethyl acrylamide (DMA) in aqueous media at room temperature. We exemplified how this method could be used to create surface coatings with significantly reduced protein adsorption on different material substrates. Protein binding experiments using labeled human serum albumin on grafted materials resulted in quantitative evidence for low-fouling compared to control surfaces.

Keywords

biomaterial interface engineering

surface-initiated ATRP

polymer brush

low-fouling biomaterials

1. Introduction

Surface-initiated atom transfer radical polymerization (SI-ATRP) has great potential for beneficially altering the surface properties of materials [1-4]. In particular this is important for new biomedical materials which are chosen for their suitable bulk material properties but often require modification of their surface properties. Along with other controlled/living radical polymerization techniques, SI-ATRP can decorate a surface with dense polymer brush layers with substantially different physical and

chemical properties to the bulk material and can be easily-tailored by the use of different monomers to generate homopolymers, statistical copolymers, or block copolymers [1, 5, 6]. SI-ATRP has shown wide applicability on a variety of substrates including silicon [7-13], gold [14], glass [15-17], stainless steel [18], mica [19], and polymeric substrates [20-23]. The versatility is best illustrated by examining a few of the applications of SI-ATRP. For example, in biological applications SI-ATRP has been used to direct cellular [24] or protein response [25-31] on surfaces such as titanium [32], paper [33], polystyrene latex [34-36], poly(ether ether ketone) [37], and cryogels [38]. In membrane science, SI-ATRP has been used for the surface modification of filters and membranes composed of polycarbonate [39], nylon [40], porous alumina [41], and fluorinated nanofibres [42] as well as molecular transport through silica-based colloidal films [43]. Finally, in separation science, SI-ATRP has been used as a modifier of chromatographic stationary phases including silica beads [44, 45], capillaries [46, 47], and beaded polymeric stationary phases [48-50].

Particularly for biomaterials today there is a need for a new class of materials having surface coatings providing some form or function but which are grafted from a host of different bulk materials. For example, in the case where surface coatings are designed to resist adsorption of biomolecules on medical implants, one may wish to have the same effective protein-resistant coating on a hard surface as on a flexible, polymeric one [51]. In other words, because of the great variety of surface chemistries associated with different biomaterials, there is a necessity to develop a cross-platform, substrate-independent grafting protocol for surface modification. Most materials grafted by SI-ATRP in some way rely upon initiator immobilization through thiol or silane linkages because gold and silicon are typical model substrates. However, deposition methods are more ideally geared toward developing a platform technology for coating many types of materials with ATRP initiators. Some notable examples include the investigation by Jiang et al. using vapor deposition of an initiator [52]. Also, Teare et al. have investigated a substrate-independent grafting methodology using a pulsed plasma technique for uncontrolled polymerizations and demonstrated protein resistance for coatings from poly *N*-acryloylsarcosine methyl ester [53] and poly (*N*-isopropylacrylamide) [54]. Additionally, the pulsed plasma technique for immobilization of initiators for grafting by controlled polymerization methods (TEMPO [55] or ATRP [56]) has been shown, however, the biological response on surfaces coated with controlled polymerization techniques was not measured.

Unlike direct immobilization of small-molecule initiators onto surfaces, immobilization of macro-initiators confers many advantages for SI-ATRP [57]. Macro-initiators tend to be larger molecules (often small molecular-weight polymers themselves) composed of surface-anchoring groups and initiating groups. The type of anchoring groups are complementary towards the intended substrate and charged macro-initiators are ideal for electrostatic adsorption on hard-to-functionalize surfaces such as cationic [58] and anionic sols [59]. Covalent attachment of an ATRP macro-initiator by UV-linking phenyl azide anchoring groups onto polymeric substrates is also possible [23]. Polymeric macro-initiators are highly customizable (synthesized with variable quantities of initiating groups or indeed, different classes of initiators altogether) and their composition can be determined by NMR. Enormous versatility using the macro-initiator approach was the subject of a platform technology described for immobilization of different controlled radical polymerization initiators (iniferters, Reversible Addition-Fragmentation chain Transfer (RAFT) agents, and ATRP initiators) leading to well defined polymer layers grafted from substrates using a variety of surface-initiated controlled radical polymerization techniques [60]. Here, initial surface coverage of a covalently attached plasma polymer coating complementary to the anchoring groups present on the macro-initiator allows a near limitless array of substrates to be covalently functionalized by controlled radical polymerization techniques.

In this paper, we demonstrate a cross-platform methodology to graft polymers from substrates representing diverse classes of materials via ATRP. First, we carried out the proof-of-concept grafting experiments by plasma deposition and coupling of macro-initiators to silicon wafers, gold quartz crystal microbalance (QCM) crystals, perfluorinated poly (ethylene-co-propylene) (FEP) film, and multi-well microtitre plates. We then used SI-ATRP to graft polymer chains from these surfaces and characterized the materials to demonstrate that thick layers of polymer chains could be grown in the same fashion from inorganic, organic, hard, and soft materials. As potential biomaterial coatings, we wanted to show how the polymer brush system provides utility for different bulk materials. Our hypothesis was that these polymer brushes could be used to reduce or eliminate protein adsorption to our grafted surfaces. Having identical coatings grafted from different substrates (soft polymeric samples and gold microsensors) allowed us to carry out parallel QCM and equilibrium binding studies. Our method allows brush biomaterials to be synthesized in a substrate-independent fashion according to our hypothesis and to identify system parameters allowing for improved resistance to protein adsorption. This research is important to biomaterial design and manufacture because our method eliminates substrate-specific linking chemistries and allows low-fouling polymer brush materials to be identically created from inorganic, organic; hard and soft bulk materials following a single protocol.

2. Materials and Methods

2.1. General

Square silicon wafer pieces were cut from 100 mm diameter wafer disks supplied by MMRC Pty Ltd (VIC, Australia). Perfluorinated poly (ethylene-co-propylene) tape (Teflon FEP, 100 Type A) was supplied by DuPont (Riverstone, NSW, Australia). Gold-coated piezoelectric quartz crystals (QCM substrates) were obtained from Q-Sense (Q-Sense AB, Göteborg, Sweden). Tissue culture polystyrene plates (TCPS, 96 well flat bottom) were purchased from BD (NSW, Australia). Allylamine (99%), α,α' -azoisobutyronitrile (AIBN, 98%), dichloromethane (DCM, >99.5%), 2-chloropropionyl chloride (97%), 2-hydroxyethyl methacrylate (97%), triethylamine (>99%), *N,N'*-dimethylacrylamide (DMA, 99%), 1, 1, 4, 7, 10, 10-hexamethylenetetramine (HMTETA, 97%), *N*-(3-Dimethylaminopropyl)-*N'*-ethylcarbodiimide (EDC, $\geq 97\%$), human serum albumin (HSA, 97-99%), lysozyme from chicken egg white, poly(ethylene glycol) methyl ether methacrylate with an average M_n of 475 g mol⁻¹ (PEGMA475), sodium bisulfate (NaHSO₃), and inhibitor-remover were obtained from Sigma-Aldrich. Ethylenediamine-tetraacetic acid disodium salt (EDTA, 99%) was obtained from BDH, Australia. Copper (II) chloride ($\geq 98\%$), sodium chloride, and *N,N*-dimethylformamide (DMF, $\geq 99.8\%$) were obtained from Merck Pty. Copper (I) chloride was purchased from Ajax Finechem, New Zealand. Dialysis membrane tubing (MWCO: 6-8,000) was purchased from Spectra/Por, USA. Acrylic acid ($\geq 99.0\%$) was purchased from Fluka. Reagents for the time-resolved fluorescence assay such as DELFIA Europium labeling reagent, DELFIA enhancement solution and 100 nM Europium standard were obtained from PerkinElmer, Australia. Opaque fluorescence reading plates were obtained from Grenier Bio-one, Germany. All water used was purified through a Milli-Q™ water purification system (Millipore Australia Pty) and had a resistivity of 18.2 M Ω cm. Phosphate-buffered saline, (PBS, pH 7.2) solution was prepared from BupHTM packages obtained (Thermo Scientific, USA) prepared in Milli-Q™ water. RBS-35® detergent concentrate was purchased from Thermo Scientific, USA.

2.2. Plasma polymerization

Initial surface functionalization was carried out using radio-frequency glow discharge (RFGD) techniques to deposit a cross-linked, organic thin film with amine functionality by using allylamine as a volatile “monomer”. The radio frequency field was generated in a custom-built reactor similar to the

reported design [61]. The parameters chosen for the RFGD deposition of allylamine films were a frequency of 200 kHz, a load power of 20W. The initial pressure of the reactor was 0.200 mbar and the treatment time was 25 sec. For FEP film, plasma polymerization was carried out on both sides of the samples.

2.3. Initiator synthesis and coupling

A polymerizable ATRP initiator was prepared in the following manner. 2-hydroxyethyl methacrylate (22.3 mmol) was stirred in a round bottom flask with 1.2 mol equivalent of triethylamine and approximately 15 mL dichloromethane. 2-Chloropropionyl chloride (1.1 mol equiv.) was added drop-wise over the course of 1 hour. After stirring overnight, the product was washed 3 times with 2% K₂CO₃ solution and passed through silica gel (1.09385.1000, Merck), filtered, and residual DCM was removed by rotary evaporation. The purity of the product, (2-(2-chloropropanoyloxy)ethyl methacrylate, 3.79 g) was confirmed by ¹H-NMR and stored at -80 °C. The ¹H NMR shifts in DMSO-d₆, 400 MHz, were as follows: δ = 6.01 (s), 1H; δ = 5.68 (s), 1H; δ = 4.71 (q), 1H; δ = 4.37 (d), 2H; δ = 4.31 (d), 2H; δ = 3.22 (s), 3H; δ = 1.56 (d), 3H.

The poly ATRP initiator (PATRPI) was synthesized in the following manner. Acrylic acid (1.5 g) was passed through a 6 mL column of inhibitor remover followed by 10 mL of DMF. A 0.52 g sample of 2-(2-chloropropanoyloxy)ethyl methacrylate and 0.0774 g of AIBN was added to the DMF/acrylic acid solution. This reaction was degassed at room temperature by purging with N₂ for 30 min. The copolymerization was initiated by heating to 70 °C and stirring for 24 h. The resulting solution was dialyzed against DMF for three days with daily solvent changes. The copolymer composition was analyzed by ¹³C NMR to determine the proportion of each monomer residue (¹³C NMR (in DMF + Cr(acac)₃, 25°C, 500 MHz) δ = 21.06, 39.51, 52.76, 60.11, 63.27, 169.60, 171.77, 175.88). By obtaining the ratio of the integrals of the carbonyl peaks at 171.77 (O=C-O), 169.60 (O=C-O, α Cl ester) and 175.88 (O=C-OH) ppm, the stoichiometry of the copolymer was found to be 88.7:11.3 mole % acrylic acid:ATRP initiator.

Immediately after the allylamine RFGD thin film deposition, surfaces were placed in an initiator copolymer solution for coupling to the amine functionalized surface. PATRPI initiator copolymer solution was dissolved to 3 mg mL⁻¹ in DMF and EDC was added (10 mg mL⁻¹) and mixed well. Substrates were immersed into the coupling solution (2 mL) and left to react overnight at room temperature under gentle agitation. To remove any non-covalently attached initiator, the surfaces were rinsed several times with DMF and water. When coupling to tissue culture polystyrene plates, the initiator was dissolved in DMF/water mixtures (7:2 v/v) and 200 μL was added into each well.

2.4. Substrate preparation

Silicon wafer substrates were cut from the wafer using a glass cutter into approximately 1 cm squares. FEP was cut into small squares. The specific surface area of FEP was calibrated by preparing fifteen samples accurately cut into 1.00 cm squares and weighed. The surface area of FEP was calculated from its facial area. The mass per unit surface area (double-sided) was calibrated and gave a value of 0.0474±0.002 mg mm⁻². Then experimental surfaces were prepared by cutting the film to any size (typically squares of 1.27 cm) and then weighing. The stated thickness of FEP from the manufacturer is 50 μm. In calculations, we treated the thickness as a negligible contribution to surface area (an error estimated to be roughly 0.8 % of the facial surface area).

Silicon wafers, gold-coated QCM sensors, and FEP substrates were cleaned by sonicating in 2% RBS solution for 30 min., rinsing several times with water and ethanol, and dried using a purified nitrogen

stream. Before use, substrates other than FEP were treated for 30 minutes by UV/Ozone treatment in a ProCleaner™ instrument from Bioforce Nanoscience, USA.

2.5. Synthesis of the grafted layers

The ATRP catalyst system was composed of activating and deactivating copper catalysts (Cu(I)Cl and Cu(II)Cl₂, respectively), with HMTETA as the chelating ligand. The molar proportions of monomer:CuCl:CuCl₂:HMTETA were 200:1:0.15:2. The reaction was scaled to 2 mL with water as the solvent. Monomer concentrations were varied in the range of 0.05 to 1.0 M.

Milli-Q™ water was degassed by evacuation on a high-vacuum line with nitrogen backfilling, 10 times. Monomer was de-inhibited by stirring with inhibitor remover and bubbled with nitrogen for 30 min. The catalyst system was purged in a nitrogen atmosphere for 15 min before use. ATRP took place on a gently shaking platform in a nitrogen-filled glove box for 24 hours at room temperature.

Post reaction, the solutions were exposed to air to quench the reaction. The solution polymer was carefully extracted and saved for gel permeation chromatography (GPC) analysis. The substrates were washed with water, 50 mM Na₂EDTA, and 50 mM NaHSO₃ solutions. Finally, substrates were exhaustively washed with water and dried using a purified nitrogen stream. Complete removal of all traces of copper catalyst could be confirmed from the absence of copper peaks in X-photoelectron spectroscopy (XPS) survey spectra.

2.6. Polymer characterization

Solutions were collected post-polymerization and analyzed by GPC for solution polymer. Samples were diluted in mobile phase (0.1 M sodium nitrate) and filtered through 0.2 μm filters before injection into a Shimadzu chromatography system (LC-20AD) pumping at 0.8 mL min⁻¹. The columns used were a 75 x 7.5 mm guard column (Bio-Rad), followed by Ultrahydrogel 2000 and 120 columns (300 x 7.8 mm, Waters Corporation) connected in series. Columns were maintained at 40 °C. The detectors used were a Dawn HELEOS II light scattering detector and an Optilab rEX refractive index detector (Wyatt Technology Corporation). Polydispersities were interpreted from ASTRA V software (Wyatt Technology Corporation) and molecular weight was calculated absolutely by using a value of 0.150 mL g⁻¹ for the refractive index increment [50].

Peak areas were recorded for unpolymerized DMA appearing as a baseline resolved, late-eluting peak in the chromatogram. This peak was distinguishable and separate from other low molecular-weight species present in the solution polymer sample. In separate calibration experiments, known quantities of DMA were injected and used to plot a calibration curve of peak area versus concentration. The concentration of DMA remaining in samples post-polymerization was used to calculate monomer conversion.

2.7. X-ray photoelectron spectroscopy

X-ray Photoelectron spectroscopy (XPS) analysis was performed using an AXIS HSi spectrometer (Kratos Analytical Ltd., Manchester, UK), equipped with a monochromatic Al Kα source at a power of 144 W (12 mA, 12 kV). Charging of the samples during irradiation was reduced by an internal flood gun coupled with a magnetic immersion lens. Each sample was analyzed at an emission angle normal to the sample surface. Survey spectra were acquired at 320 eV pass energy of and high-resolution C 1s spectra were recorded at 40 eV pass energy. Data were processed with CasaXPS (ver.2.3.13 Casa Software Ltd) with residuals for curve fits minimized with multiple iterations using simplex algorithms.

Spectra were corrected for charge compensation effects by offsetting the binding energy relative to the C1 (hydrocarbon) component of the C1s spectrum which was set to 285.0 eV.

2.8. Quartz crystal microbalance with dissipation

The interaction of protein molecules with different surfaces was studied using the quartz crystal microbalance with dissipation (QCM-D) technique using an E4 instrument and software from Q-Sense, Sweden. Dynamic-equilibrium binding experiments were conducted in parallel using quartz crystal sensors modified with either (i) PATRPI or (ii) PATRPI grafted with poly-DMA (PDMA). The specimens were initially hydrated with PBS buffer until steady frequency (f) and dissipation (D) baselines were obtained under conditions of constant flow (0.1 mL min^{-1}) and temperature ($20 \text{ }^\circ\text{C}$). Protein solution (HSA, 0.1 mg mL^{-1} in PBS) was applied for 5 min followed by washing (Milli-Q™ water, 10 min, 1.0 M NaCl , 10 min) and re-equilibration in PBS. During washing, f traces were seen to deviate with large negative and positive deviations as the respective solutions interacted with the coated crystals. After re-equilibration, a stable, net negative frequency shift was interpreted as evidence of irreversible protein adsorption onto the substrate surface.

2.9. Europium-labeled HSA adsorption studies

Human serum albumin 1 mg (1.5×10^{-8} moles, Sigma, A3782, 99%, essentially fatty acid free) was added to 0.1 mL of a bicarbonate buffer ($\text{pH } 9.4$) and an excess of Eu-labeling reagent (1.5×10^{-7} moles, Delfia Eu-N1 ITC chelate, Perkin Elmer). The reaction mixture was stirred and allowed to react at $4 \text{ }^\circ\text{C}$ overnight in the dark. The reaction was quenched by addition of TRIS buffer. The Eu-HSA was purified from aggregated protein and excess labeling reagent using Fast Protein Liquid Chromatography (AKTA Purifier, GE Healthcare) equipped with a Superdex 75 size exclusion column (GE Healthcare, $30 \times 1 \text{ cm}$) in Tris (tris(hydroxymethyl)methylamine) buffered saline ($\text{pH } 8$). After purification, samples were taken for protein quantification using amino acid analysis (Water Alliance HPLC). This method of determining protein concentration has an accuracy of approximately 5 percent. The labeling ratio was determined by time resolved fluorescence (PHERAstar multiwell plate reader, BMG Labtech, excitation and emission wavelengths were 337 nm and 620 nm , respectively, count delay $60 \text{ } \mu\text{s}$, count time $400 \text{ } \mu\text{s}$) using the following procedure. An Eu calibration curve was determined by serial dilution of a 100 nM Eu standard (Perkin Elmer) in Delfia Enhancement solution (Perkin Elmer) and measurement of the time resolved fluorescence counts as a function of Eu concentration ($100 \text{ } \mu\text{L}$ aliquots, 5 replicates). The purified Eu-HSA solution was also serially diluted with Delfia Enhancement solution and the time resolved fluorescence counts determined ($100 \text{ } \mu\text{L}$ aliquots, 5 replicates). The number of moles bound Eu was determined from comparison to the Eu calibration curve and found to be $4.2 \text{ mol Eu per mol HSA}$.

For static-equilibrium protein adsorption experiments, the fluorescence intensity of the stock Eu-HSA solution was attenuated by mixing the labeled protein with unlabeled HSA. Unlabeled HSA solution (1.00 mg mL^{-1}) and Eu-HSA ($0.00668 \text{ mg mL}^{-1}$) were mixed in a 1:500 ratio (by protein mass) and finally diluted with PBS to a solution concentration of 0.1 mg mL^{-1} . FEP samples with various coatings were placed in an assay plate that had been freshly pre-blocked with 0.1 mg mL^{-1} HSA solution and exhaustively rinsed with PBS and Milli-Q™ water and dried. Substrate incubation commenced when prepared Eu-tagged protein solution was added (1 mL) and continued for 16 hours under gentle shaking in the dark. Each sample condition was represented by 3 replicate FEP substrates. The untreated FEP control substrates were highly hydrophobic and remained unwet at the air/liquid interface. After protein binding, substrates were washed 5 times with generous volumes of PBS. After the last wash, samples were carefully removed with tweezers and completely dried with purified nitrogen. Substrates were placed in 1.00 mL of enhancement solution and incubated in the dark for 45

minutes. Aliquots of Eu-complex solution (4 x 0.1 mL) were transferred to an opaque microtitre plate and read using the time resolved fluorescence assay on the PHERAstar instrument. Protein adsorption results were expressed as the average protein amount bound (ng cm^{-2}) with uncertainties representing variance in the 3 replicate samples. This method of measuring protein adsorption is very similar in many respect to methods using radio-labeled proteins (e.g. via ^{125}I), except that an Eu-chelated label is chemically coupled to the protein molecules instead of a radio-label. A similar sensitivity is obtained with both methods (i.e. sensitivity of the Eu-assay is on the order of femtomoles of Eu-labeled protein).

3. Results

3.1. Choice of substrate

The surface modification procedure was applied to a diverse array of flat materials: inorganic and organic; rigid and flexible. Silicon wafers were chosen for proof-of-concept grafting experiments. Gold-coated quartz crystals were chosen for their applicability for QCM experiments. FEP film and tissue-culture polystyrene (TCPS) microtitre plates were used to provide examples for grafting from polymeric substrates. FEP is transparent, easily cut, and can be functionalized on both sides to provide a uniform, relatively high-surface-area substrate with macroscopic dimensions. 96-well microtitre plates were chosen to illustrate that hard polymeric substrates of complex geometries could be modified.

3.2. Aqueous ATRP grafting of PDMA from flat surfaces

A polymerizable ATRP initiator (compound **1**) was synthesized according to Figure 1. This was then copolymerized to form an acrylic-acid-based random copolymer macro-initiator containing ATRP residues called poly ATRP initiator (PATRPI). The composition of acrylic acid to ATRP initiator moieties in the copolymer was determined to be 0.887 : 0.113 by ^{13}C NMR.

Initiator functionalization of any of the surfaces proceeded as depicted in Figure 2. Presented in Table 1 are the elemental atomic concentrations from XPS survey scans for FEP initiator modification. When the ALAPP plasma coating was applied, there was a large reduction in fluorine and a noticeable increase in the nitrogen content (Table 1). After coupling with PATRPI, the C6 component (Figure 3), assigned to fluorocarbon photoelectrons (C-F), was observed to diminish to baseline values. Also, the amount of fluorine in the plasma-functionalized sample surface (6.9 %) decreased to below the detectable level for the instrument in the PATRPI sample. Elimination of the fluorine signal suggested that the initiator copolymer layer and the allylamine plasma polymer (ALAPP) thin film together formed a thick coating on FEP exceeding the XPS sampling depth (approximately 10 nm). Further evidence for incorporation of ATRP initiators was shown by the presence of a weak elemental signal from the presence of chlorine (0.126 %) on the PATRPI surface.

Additionally, the PATRPI overlayer partially attenuated the underlying nitrogen XPS signal. Based on the value for the inelastic mean free path for ejected F 1s or N 1s photoelectrons travelling through a polymer layer [62], we estimated the layer thickness based on a previously described method [63]. We have averaged XPS results from many of our surfaces prepared under similar experimental conditions and determined the thickness of the PATRPI layer to be 0.54 ± 0.18 nm. Knowing the composition of the initiator and assuming a similar density to poly(acrylic acid) (1.5 g cm^{-3}) we estimated the number of ATRP initiator residues present to be 0.62 ± 0.20 initiators per square nanometer.

After SI-ATRP using different concentrations of DMA, evidence for increasing amounts of surface-grafted PDMA was observed as shown in Figure 4. In contrast to the sample where $[DMA] = 0.05$ M, which appeared almost indistinguishable from the initiator-functionalized surface, for $[DMA] = 0.15$ M and 0.50 M, the high-resolution C 1s component corresponding to C4 (C-N, amide) increased prominently. Furthermore, the C5 component (O=C-O, acid) associated with the initiator copolymer layer decreased dramatically in intensity.

The data presented in Figure 5 illustrates that very similar high-resolution C 1s XPS spectra were obtained for PDMA layers grafted from 3 different substrates. Silicon wafers, FEP film, and TCPS well bottoms are compared to show that near-identical polymer coatings were polymerized from different substrates using the same reaction scheme followed by SI-ATRP using $[DMA] = 0.5$ M. The peaks obtained were characteristic of PDMA layers which had a thickness equivalent to or greater than the XPS sampling depth of approximately 10 nm (see Figure 4). For bulk PDMA we would expect the fractional peak area of the amide (C4) over the total carbon to be 0.20. In Figure 4, the C4 components show better than 95% agreement with this target (percentage of C4/C was 0.19 for Silicon, 0.19 for FEP, and 0.20 for 96 well plate bottoms).

We also studied thick PDMA layers produced by grafting with $[DMA] = 2.0$ M as a platform for investigating the “livingness” of the reaction. After washing and drying, PDMA-grafted silicon wafers were placed in a new vessel with fresh catalyst, solvent, and polyethylene glycol ($M_w \sim 475$) methacrylate (PEGMA475). The high-resolution C 1s XPS spectrum for the grafted PDMA-block-PEGMA layers (Figure 6) were indicative of a thick layer of PEGMA, rich in C3 component (ether) and nearly complete attenuation of the C4 (amide) from the underlying PDMA block (Figure 6).

Properties of the surface polymer could be inferred from GPC analysis of the bulk solution collected above the polymerized surface post-reaction (Table 2). From the residual monomer quantified, the $[DMA] = 0.05$ M sample had a very low conversion which was below the 1.5 % variance observed from replicate analysis of 4 samples. Up to 36 % conversion was observed for the $[DMA] = 0.50$ M sample.

Solution polymer molecular weight increased with the DMA feed concentration. A maximum molecular weight of 303 kDa was observed for the $[DMA] = 0.50$ M sample mirroring the very thick grafted layer observed for the surface-grafted counterpart.

3.3. QCM-D studies

The same reaction procedure shown in Figure 2 was applied to gold-coated QCM substrates. HSA adsorption onto PDMA-grafted surfaces prepared using SI-ATRP was compared to that obtained with the initiator control (PATRPI) surface to investigate whether the presence of the grafted layer would reduce protein adsorption under dynamic-equilibrium binding (flow) conditions. Figure 7 shows the decreased frequency shifts obtained when a 0.1 mg mL^{-1} HSA solution was applied to the PATRPI surface (i.e. initiator only). Negative shifts in frequency were diagnostic of increased mass coupled to the crystal (i.e. protein has adsorbed to the surface). The f shift of -14 Hz observed persisted after extensive washing in buffer, salt solution, and water. We have not attempted to correlate the observed frequency shift to a mass increase because of the difficulty in applying a meaningful viscoelastic model to the multi-layered polymer architecture. No such frequency shift was observed with the 0.5 M DMA-grafted surface indicating that no protein adsorbed to the surface within experimental error. We repeated this experiment using higher concentrations of HSA (1.0 mg mL^{-1}) and lysozyme (1.0 mg mL^{-1}) and did not observe any meaningful f shifts indicating protein adsorption to the surface.

3.4. Europium-labeled protein adsorption

Protein adsorption to PDMA-grafted FEP films was quantified using a time-resolved fluorescence assay with Eu-tagged HSA incubated overnight in a static-equilibrium binding experiment. Figure 8 shows the amount of bound HSA in response to different concentrations of DMA used in the ATRP reaction. All modified surfaces had reduced protein adsorption when compared to unmodified FEP, with the three PDMA grafted surfaces showing a significant reduction (one tailed t-test assuming unequal variance, 95% confidence).

4. Discussion

We have developed a new technique for applying a general SI-ATRP procedure to inorganic/metal and organic substrates; both rigid and flexible. As exemplified on different classes of bulk materials (a semiconductor, a metal, molded plastic laboratory microtitre plates, and a flexible polymer film), a key feature of this procedure is the potential applicability to graft from any type of flat substrate. Plasma polymer deposition followed by coupling of an ATRP macro-initiator allowed for terminally attached polymer chains to be grown from these materials through covalently attached interlayers.

4.1. Surface-Initiated ATRP

In this study we have verified that each of our test surfaces could be functionalized with an ATRP macro-initiator and act as a platform for performing SI-ATRP. Covalent linking of the macro-initiator to any of the substrates is made possible by substrate treatment with a plasma polymer interlayer derived from allylamine. Plasma polymerization is a complex process involving the monomer, and radicals and ions derived from excitation in the plasma phase [64]. At the surface, rearrangement and polymerization of the monomer forms network linkages to the substrate. Although the nature of the substrate plays a role in very thin plasma polymer layers, by the time film growth has reached many nanometers, as is the case here (approximately 10 to 20 nm), the physical properties of the polymer layer are essentially the same regardless of the underlying substrate [65]. The broad use of plasma polymerization for forming covalent interlayers in, for example, biomolecule immobilization has been shown to be a versatile and emerging platform technology [66]. XPS survey data support the grafting paradigm (Figure 2) where expected changes in the surface elemental compositions can be interpreted logically from diagnostic elements present or absent in the successive overlayers (Table 1). High-resolution C 1s spectra also show the presence of the initiator layer covering the base substrate with, for example, nearly complete attenuation of the C6 (C-F) component when the macro-initiator was coupled to FEP (Figure 3). That successively thicker PDMA layers could be grafted in response to increasing [DMA] is shown qualitatively in (Figure 4). Evidence for increased thickness can also be inferred semi quantitatively from the increasing N/C ratio relative to the theoretical value of 0.200 for the pure polymer. From Table 1, N/C was calculated to be 0.140, 0.157, and 0.172 for [DMA] = 0.05, 0.15, and 0.5 M respectively.

Post-polymerization, these solutions were found to contain low-polydispersity PDMA chains (Table 2) even though no sacrificial initiator was used in the monomer solution. This observation was not unexpected based on past experience [50]. Previously it was observed that the solution polymer was found to be a very good predictor of the properties of the immobilized polymer chains when cleaved from the substrate and analyzed under similar conditions (i.e. here we have used the same monomer, transition metal catalyst system, ligand, solvent, temperature, reaction duration, and the same ATRP initiator residue). In this study we were not able to recover detectable amounts of cleaved surface polymer because of the very low surface areas used. Table 2 shows an increasing trend in polymer molecular weight with increasing monomer concentration and polydispersities in solution polymer

from grafted FEP film indicating that the reaction was controlled. We expect these trends to be mirrored on the surface as long as there is sufficient mass transfer ensuring good mixing between the surface and solution phases. Additionally the “livingness” of the SI-ATRP reaction was demonstrated (Figure 6) by creating grafted materials with PDMA whose chain ends could then be reinitiated to make poly(PEGMA475) block copolymers. The assumed congruence between solution and surface polymer properties, together with supporting evidence from XPS surface characterization, and demonstration of chain “livingness” completes the picture that low-polydispersity PDMA chains were successfully grafted by SI-ATRP from our substrates.

The versatility of this technique is best shown by Figure 5 where identical PDMA layers were shown to be grafted from a diverse array of material substrates. High-resolution C 1s XPS spectra reveal nearly identical (full thickness) PDMA coatings grafted from TCPS, FEP, and silicon. This suggests that our coating methodology allows for substrate-independent SI-ATRP to be achieved. Next we built on this work by expanding the range of substrates and observing the biological response to discuss the potential role of these grafted surfaces as low-fouling surfaces.

4.2. Protein Adsorption Studies

Novel coatings for biomedical devices attempt to mediate the biological response at the surface interface through the use of new fabrication strategies. Since protein adsorption occurs rapidly to surfaces the moment they are exposed to biological fluids [67], a common first step in the development of new coatings is to evaluate protein adsorption. Surfaces grafted with terminally attached polymer chains are known to reduce or eliminate protein adsorption to surfaces (at least to the detection limit of the various techniques used) based on the principal of entropic exclusion. Exclusion of proteins from the surface interface has commonly been evaluated using model protein probes which provide a demonstration of their low-fouling ability [3, 4]. Even though limited protein binding experiments may or may not comprehensively account for aspects of real-world protein fouling (such as the effects of having very large or very small proteins, their affinities for the surface, variation in isoelectric point), the use of model proteins in binding studies are instructive in validating the exclusion principal in a specific grafting experiment. It is the broad customizability of the SI-ATRP grafting technique and initiator immobilization strategies (where the monomer, graft length, and graft density can be varied, and also the novel macro-initiator surface modification method presented here) that will provide greater applicability in the fabrication of real-world biomedical device coatings as the research field continues to broaden.

Stretching of grafted chains away from a surface is a function of the grafted polymer’s molecular weight, grafting density, and the nature of the polymer itself in a given solvent [68]. Studies at constant molecular weight and increasing graft density show that as the chain arrangements become more densely packed, the system gains more of an entropic driving force to facilitate improved protein rejection [36]. Similarly, since chains occupy more space as their molecular weight is increased (self-avoidance), grafting longer chains from a constant density surface will promote more near-neighbor collisions also giving rise to more chain stretching in the system. It is this second approach that we have applied in our study. Our goal was to demonstrate the principal of entropic exclusion of model proteins from grafted layers using our new initiator and immobilization method to evaluate what we define as their “low-fouling” ability. Evaluation has been carried out using two different experimental protocols. With the QCM-D technique, protein adsorption to grafted surfaces was evaluated under flow conditions. This experimental design considers that chain morphology may be disturbed under the influence of flow and that the surface is being constantly exposed to fresh protein solution. This

dynamic-equilibrium study contrasts the classical soak-and-rinse protein binding experiment discussed later which ensures that the system has come to static-equilibrium.

Figure 7 shows that in a QCM-D experiment, initiator control samples exposed to 0.1 mg mL^{-1} HSA solution resulted in a significant negative change in frequency of the QCM sensor. After extensive washing, a permanent deflection was noted indicating irreversible adsorption. PDMA-grafted samples showed no mass increase after washing showing that protein was prevented from adsorbing to the surface or underlying initiator layer by the end grafted polymer layer. This effect did not appear to be a consequence of the protein concentration or type. The result was the same for HSA at a higher concentration of 1.0 mg mL^{-1} as well as for smaller MW proteins with higher isoelectric points (lysozyme) even at high concentrations of 1.0 mg mL^{-1} (data not shown). To conclude, we did not observe any lysozyme or HSA adsorption to grafted QCM crystals in dynamic-equilibrium binding assays.

We also conducted static-equilibrium protein adsorption experiments using labeled HSA in order to quantify protein adsorption onto surfaces grafted with different molecular weight polymers. Surfaces were exposed to a solution of protein and left come to equilibrium over 16 hours and then evaluated. Contrasting the flow conditions used above, we would expect that under static-equilibrium binding conditions, grafted chains would adopt the most energetically favorable conformations in the absence of flow. Here FEP was used since it is easily handled and simply cut to a defined surface area.

We observed that all surfaces with the exception of unfunctionalized FEP were readily wet by water. The hydrophobic nature of bare FEP clearly promoted a large amount of protein adsorption to the surface (Figure 8). As a general point of comparison for HSA adsorption to naked surfaces, others have shown that the maximum (monolayer) coverage varies between 100 and 300 ng cm^{-2} depending on variations in experimental conditions [69]. Our results for naked FEP are in this range (184 ng cm^{-2}) with the experimental error principally associated with a difficulty in exposing this highly hydrophobic surface to the test solution. We have included this sample and the initiator control sample (118 ng cm^{-2}) to demonstrate that the initiator modification (and subsequent grafting) rendered the film completely hydrophilic as well as to give a baseline value for comparison with grafted surfaces.

For grafted surfaces, we have assumed that SI-ATRP using solutions of different DMA concentrations resulted in surface coatings with constant graft density. Indeed, others who have studied similar systems (aqueous SI-ATRP of DMA) demonstrate that for [DMA] lower than 0.5 M , changes in [DMA] have little influence on graft density [22]. Assuming the characteristics of solution polymer are representative of the surface polymer (which we know from other experimental work on similarly-sized flat surfaces using a similar initiating system), we feel confident that the effects seen in Figure 8 are predominantly due to changes in the chain molecular weight at constant graft density.

It appears that PDMA chains of low molecular weight (38 kDa) have sufficient entropy to prevent a great deal of protein adsorption on FEP substrates reducing the amount of bound protein by 45% compared to the initiator surface and 65% compared to naked FEP (Figure 8). A further reduction in adsorbed protein (79% reduction relative to the initiator and 86% relative to FEP) was observed for the sample with the second highest PDMA chain molecular weight (polymer = 96 kDa). This was the minimum level observed in this study (25 ng cm^{-2}). For surfaces grafted with longer PDMA chains, we observed a slight increase (but not significantly different) in the amount of protein adsorbed to the surface (two-tailed t-test with unequal variance, 95% confidence). Assuming constant graft density, and knowing that the highly stretched polymer brush conformation is crucial to the reduction of protein adsorption [70], we can conclude that for lower molecular weight graft layers, the polymer

chain conformation is less stretched (or possibly in the mushroom regime) and will have a diminished ability to prevent surface fouling. The use of longer chains (96 kDa and larger in this study) suggest that increased chain stretching is present in the system allowing a larger effect from entropy-driven exclusion – to a point. Beyond 96 kDa, analysis of the data suggests that we must consider that the longer chains have an increased number of chain-protein contacts. This could provide an enthalpic driving force resulting in increased protein adsorption, as observed by others [71].

We might be permitted to compare our protein adsorption studies with that of the Lai and colleagues from the Kizhakkedathu group [36]. Although we have used different assay and detection methods, the fact that Lai et al. have completed the most comprehensive study of protein adsorption to PDMA-grafted surfaces allows for a more relevant and insightful comparison to be drawn to this work than any other published work.

In this work, studies involving blood plasma protein adsorption to PDMA surfaces provides relevance for biomedical device fabrication by showing how grafted surfaces interact with a complex protein mixture with the aim of creating antithrombotic surfaces. Here, surfaces were prepared with increasing graft density and a constant graft molecular weight (approximately 30.4 kDa). At high graft densities, there was an approximately 85% reduction in plasma protein adsorption to PDMA grafted surfaces. In our system, at certainly lower graft density, we achieved a similar reduction in protein adsorption (86% compared to unmodified FEP and using HSA) but only when our chain length was higher than 96 kDa. For sake of comparison, our low molecular weight chains (38 kDa) were effective at reducing HSA adsorption by 65 % compared to ungrafted FEP. If we allow comparison of results for PDMA chains on the order of 30 to 40 kDa, we can see that the greater density in Lai et al. allows for a larger protein-exclusion effect to manifest even with smaller chains due to the entropy present in the system. We are able to compensate in our system at lower graft density by grafting larger molecular weight chains. Although we should be cautious about over generalizing our results from what may be perceived as inaccurate comparisons (i.e. comparing HSA in our study to adsorption of all blood plasma proteins in the other and at different concentrations), one point that we can confidently reiterate is that PDMA chains grafted by either SI-ATRP technique allow for significant reduction in protein adsorption, far below monolayer coverage, based on the principals of entropic repulsion by terminally grafted chains.

We have used these protein adsorption studies only as a demonstration of this principal as the fabrication method was the focus of our work. However, others have instructively shown the way to exploit this principal, further reducing or completely eliminating measurable protein adsorption on grafted surfaces by, for example, increasing the graft density or forming different polymer chains [31, 36, 72, 73]. We have already shown the use of two monomers in our work but substitution of other “biocompatible” monomers commonly used in ATRP could be easily demonstrated. We also suggest that it would be easy to manipulate the graft density since our macro-initiator can be customized to include variable proportions of ATRP initiators. Both of these approaches encompass efforts that were beyond the scope of this paper and are the subject of a forthcoming publication. We conclude by discussing an insight into protein adsorption studies made possible by the use of our substrate-independent approach.

We observed a difference in protein adsorption to similarly-grafted samples using DMA = 0.5 M depending upon whether measured under soaking conditions or under flow conditions in a QCM flow cell. Differences in chain morphology under the influence of flow could be a likely reason why we observed zero protein adsorption in the QCM-D flow cell (dynamic-equilibrium) experiments but only small amount in experiments conducted under static-equilibrium conditions. The difference in results

could be understandable in the context of a previous study where we observed that the partition coefficient for a model protein (BSA) into a PDMA brush decreased with increasing flow rate – likely due to stretching and tilting of the grafted polymer under the influence of shear flow [49]. These experiments importantly illustrate that during experimentation, disturbing the chain conformation under the influence of flow potentially alters evaluation of the protein-fouling characteristics of identically grafted polymer brushes from what could be considered “anti-fouling” with our QCM results, to “low-fouling” from our static-equilibrium protein adsorption results.

5. Conclusions

This paper described a general scheme for covalent surface modification of flat substrates with terminally grafted polymers. Use of plasma modification in the first step removed substrate-dependant linking chemistries and has allowed us to demonstrate successful graft modification of soft and hard, organic and inorganic substrates.

We were able to generate PDMA graft layers using SI-ATRP from silicon wafers, QCM crystals, TCPS microtitre plates, and FEP film using one grafting method based on a surface-attached initiator. Despite being very different bulk materials, the surfaces of each were able to be treated in the same way to achieve the same coating. This finding is highly applicable to the design of new biomaterials providing a platform strategy where different bulk materials provide form and the coating provides function. One application of this technology to biosciences was exemplified by demonstrating that coatings were able to render surfaces resistant to non-specific protein adsorption. Dynamic-equilibrium protein binding experiments showed PDMA grafted chains were able to completely prevent protein adsorption to the grafted QCM crystal. Static-equilibrium protein binding assays showed decreased protein adsorption as a function of surface graft polymer molecular weight suggesting that, given that the graft density was constant in this study, increasing molecular weight of the grafted chains moved the polymer chains from a less stretched (or possibly a mushroom conformation) towards a more highly stretched, polymer brush conformation.

Figure Captions

Figure 1. Schematic illustration for the synthesis of poly ATRP initiator (PATRPI).

Figure 2. Modification schematic for initiator functionalization and polymer grafting.

Figure 3. High-resolution XPS scan of C 1s region for FEP substrates functionalized with allylamine plasma polymer (plasma control) or PATRPI copolymer (initiator control). Curve fits to the data comprising six components (dashed lines) are shown for the plasma control as an example.

Figure 4. High-resolution XPS scan of C 1s region for FEP substrates with different monomer concentrations. Curve fits to the data comprising six components (dashed lines) are shown for the [DMA] = 0.05 M graft layer as an example.

Figure 5. High-resolution XPS scan of C 1s region for PDMA grafted from TCPS (top), FEP (middle), and Si wafer (bottom)

Figure 6. High-resolution XPS scan of C 1s region for a silicon wafer grafted with [DMA] = 2 M (lower curve). When this surface was washed dried and then introduced to a new solution containing fresh solvent, catalyst and [PEGMA475] = 1 M, a block copolymer was produced with a spectrum (upper curve) which was characteristic of PEGMA475 polymer. Curve fits to the data comprising six components (dashed lines) are shown for the [DMA] = 2 M grafted sample as an example.

Figure 7. QCM-D experiment demonstrating the effect of applying 0.1 mg/mL HSA (indicated by the arrow) to substrates functionalized with either initiator or PDMA grafted polymer ([DMA] = 0.5 M). Washing involved at least 5 minute applications of buffer, 1 M NaCl, water, followed by re-equilibration in buffer. Frequency shifts to lower values correlate with an increase in mass coupled to the surface.

Figure 8. Eu-tagged HSA adsorbed onto experimental FEP surfaces. Surfaces were incubated with 0.1 mg/mL Eu-HSA in PBS for 18 hours and then exhaustively washed and blown dry with nitrogen. Enhancement solution was incubated with the surfaces allowing for dissociation of the Europium tag. Aliquots were quantified in a time-resolved fluorescence assay.

Table 1. XPS elemental analysis for FEP tape coated with polymer layers.

		Atomic Concentration (%)				
		F	O	N	C	Cl
Substrate Control	Uncoated FEP	63.3	--	--	37.6	--
Plasma Control	Allylamine plasma polymer	6.87	12.6	10.8	69.8	--
Initiator Control	PATRPI	--	11.3	10.9	75.6	0.126
Grafted Samples	[DMA] = 0.05 M	--	13.8	10.6	75.6	--
	[DMA] = 0.15 M	--	13.7	11.7	74.6	--
	[DMA] = 0.50 M	--	13.3	12.7	74.0	--

Table 2. Solution polymer properties from polymerization reactions on modified FEP determined by GPC.

[DMA] in feed	DMA Conversion ^a	Solution PDMA M_n	Solution PDMA M_w/M_n
(M)	(%)	(kDa)	
0.05	< 1.5	38	1.2
0.15	17.0 ± 3.2	96	1.1
0.50	36.2 ± 1.5	303	1.3

a. Uncertainties are based upon standard error in determining values from 4 samples under identical graft conditions (95% confidence)

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