

# DETERIORATION MODELING OF STEEL MOMENT RESISTING FRAMES USING FINITE-LENGTH PLASTIC HINGE FORCE-BASED BEAM-COLUMN ELEMENTS

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## Abstract

The use of empirically calibrated moment-rotation models that account for strength and stiffness deterioration of steel frame members is paramount in evaluating the performance of steel structures prone to collapse under seismic loading. These deterioration models are typically used as zero-length springs in a concentrated plasticity formulation; however, a calibration procedure is required when they are used to represent the moment-curvature ( $M - \chi$ ) behavior in distributed plasticity formulations because the resulting moment-rotation ( $M - \theta$ ) response depends on the element integration method. A plastic hinge integration method for using deterioration models in force-based elements is developed and validated using flexural stiffness modification parameters to recover the exact solution for linear problems while ensuring objective softening response. To guarantee accurate results in both the linear and nonlinear range of response, the flexural stiffness modification parameters are computed at the beginning of the analysis as a function of the user-specified plastic hinge length. With this approach, moment-rotation

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17 models that account for strength and stiffness deterioration can be applied in conjunction with force-  
18 based plastic hinge beam-column elements to support collapse prediction without increased modeling  
19 complexity.

20 **Keywords:** Component Deterioration; Earthquake Engineering; Force-based Finite Elements; Plastic  
21 Hinge Calibration; Steel

## 22 INTRODUCTION

23 Performance-based seismic design and assessment requires accurate nonlinear finite element models  
24 that can capture the full range of structural response associated with various performance targets. In  
25 the development of realistic finite element models, two main aspects need to be taken into consideration.  
26 First, modes of strength and stiffness deterioration due to damage accumulation that could lead to local or  
27 global collapse need to be identified. Second, the models for structural components need to be reliable,  
28 robust, and computationally efficient for the entire range of the analysis. Idealized beam and column  
29 models for nonlinear structural analysis vary greatly in terms of complexity and computational efficiency,  
30 from phenomenological models, such as concentrated plasticity models and distributed plasticity beam-  
31 column elements, to complex continuum models based on plane-stress or solid finite-elements.

32 Concentrated plasticity models (Clough et al. 1965), consist of two parallel elements, one with  
33 elastic-perfectly plastic behavior to represent yielding and the other with elastic response to represent  
34 post-yield hardening. Following the formal proposal by Giberson (1969), where nonlinear zero-length  
35 moment rotation springs are located at both ends of a linear-elastic beam-column element, this type of  
36 approach became the reference model in the development of the concentrated plasticity models. Many  
37 hysteretic laws have been proposed in the last decades accounting for the most relevant phenomena  
38 influencing member response up to collapse: cyclic deterioration in stiffness (Takeda et al. 1970) and  
39 strength (Pincheira et al. 1999; Sivaselvan and Reinhorn 2000), pinching under load reversal (Roufaiel  
40 and Meyer 1987), among many others have developed different phenomenological models that define  
41 the behavior of the concentrated plastic hinges. Even though these models were developed several years  
42 ago, they have been recently proposed as the main method for estimating seismic demands of frame  
43 structures (Ibarra and Krawinkler 2005; Medina and Krawinkler 2005; Haselton and Deierlein 2007)

44 and have been presented as the preferred modeling approach in the ATC-72 guidelines (PEER/ATC  
45 2010). These models allow for reliable estimation of the seismic demands in structures up to the onset  
46 of collapse with limited computational cost.

47 On the opposite end of the spectrum to *CPH* models, continuum models are generally accepted  
48 as the most reliable approach for estimating the seismic demands of structures to localized and global  
49 collapse. However, these models are typically complex and require very time-consuming computations.  
50 Distributed plasticity finite elements offer a compromise between concentrated plasticity models and  
51 continuum finite element models.

52 Three formulations for distributed plasticity elements have been proposed in the literature: force-  
53 based beam-column elements (Spacone and Filippou 1992; Neuenhofer and Filippou 1997), displace-  
54 ment based beam-column elements (Taylor 1977; Kang 1977), and the mixed formulation based beam-  
55 column elements (Alemdar and White 2005). Mixed formulations typically yield the best results in non-  
56 linear structural analysis, but they have not been widely adopted in the finite element software typically  
57 employed in PBEE analyses.

58 Force-based beam-column elements have been shown to be advantageous over displacement-based  
59 elements for material nonlinear frame analysis (Neuenhofer and Filippou 1997; Alemdar and White  
60 2005; Calabrese et al. 2010) by avoiding the discretization of structural members into numerous finite  
61 elements, thereby reducing the number of model degrees of freedom. In these formulations, the behavior  
62 of a section is described by a fiber model or a stress resultant plasticity model (El-Tawil and Deierlein  
63 1998).

64 Despite these advantages, localization issues related to non-objective strain-softening response (Cole-  
65 man and Spacone 2001) led to the development of force-based finite-length plastic hinge beam-column  
66 elements (*FLPH* elements in short) by Scott and Fenves (2006) and Addressi and Ciampi (2007). Con-  
67 ceptually, these elements are composed of two discrete plastic hinges and a linear elastic region, all  
68 of which are incorporated in the element integration method. Through the selection of experimentally  
69 calibrated plastic hinge lengths and appropriate definition of the integration scheme, localization can be  
70 avoided. The main advantages of the *FLPH* elements are: (i) the explicit definition of the plastic hinge

length, which allows for the recovery of meaningful local cross-section results (e.g. curvatures and bending moments), (ii) a clear distinction between beam-column inelasticity from the nonlinear behavior of connections, and (iii) a reduced number of nodes, elements and degrees of freedom. These advantages motivate the search for alternate calibration approaches as presented in this paper. Although, these elements have been used successfully in simulating the seismic response of structures (Berry et al. 2008), they require the definition of a moment-curvature relationship and plastic hinge length to represent a desired moment-rotation behavior.

Based on a large database of experimental results, Lignos and Krawinkler (2011) have developed and validated multi-linear moment-rotation relationships that can be used to capture plastic hinge behavior in simulating the deteriorating response of steel structures to collapse. Other authors have reported similar moment-rotation relationships for reinforced concrete structures (Haselton and Deierlein 2007) and load-displacement relationships for timber structures (Foliente 1995), which account for other modes of deterioration not typically observed in steel structures. The developed moment-rotation ( $M - \theta$ ) relationships can be used directly in concentrated plastic hinge (*CPH*) elements following approaches presented in Ibarra and Krawinkler (2005). However, several other beam-column elements formulations, such as the *FLPH* elements, require the definition of moment-curvature relationships in the plastic hinge regions. For example, for the *modified* Gauss-Radau integration scheme (Scott and Fenves 2006), where the end points weights are equal to the plastic hinge length  $L_p$ , moment-curvature relationships are required for the two end sections. The direct scaling of the moment-rotation relationship by the plastic length  $L_p$  in order to obtain a moment-curvature ( $M - \chi$ ) relationship (i.e. by dividing each rotation by  $L_p$  ( $\chi_i = \theta_i/L_p$ )), at first may seem a logical approach. However, this leads to erroneous results when no further calibration is performed, as shown by Scott and Ryan (2013) for the common case of elasto-plastic behavior with linear strain hardening under anti-symmetric bending.

The objective of this paper is to present a plastic-hinge calibration approach that allows for simulation of structures using finite-length plastic-hinge elements that use the *modified* Gauss-Radau integration scheme and make use of recent multi-linear moment-rotation constitutive laws that have been derived from experimental results. This calibration procedure can be implemented in a finite element framework,

98 decreasing the user's modeling effort, while providing accurate and reliable results.

99 The calibration procedure includes the definition of section flexural stiffness modification parameters  
100 at the beginning of the nonlinear structural analysis. These modification parameters are computed as a  
101 function of the plastic hinge to span length ratio by comparison of the element flexibility and the target  
102 flexibility.

103 The proposed calibration methodology improves the quality and reliability of the results obtained  
104 without a notable increase either in computation cost or in the complexity of structural model. Nonethe-  
105 less, it is worth noting that the influence of other effects that are typically considered in 2-D frame  
106 modeling of built infrastructure still need to be taken into account. Examples of relevant effects are slab  
107 stiffness and strength deterioration on cyclic performance of beams, diaphragm action, load distribution,  
108 and mathematical representation of damping, among others (Gupta and Krawinkler 1999). The vali-  
109 dation of the calibration approach is performed for nonlinear static (pushover) analyses. However, for  
110 full implementation in finite element software, nonlinear cyclic static and dynamic analyses including  
111 strength and stiffness deterioration are needed in the future, as these cases fall outside the scope of this  
112 paper. In addition, the proposed calibration scheme was only developed for the *modified* Gauss-Radau  
113 scheme, as it is found to be advantageous over other methods, namely by avoiding localization issues, in  
114 the analysis of structures to seismic loading and is implemented in a finite-length plastic hinge (FLPH)  
115 element (Scott and Fenves 2006). The application of the calibration approach to other integration meth-  
116 ods falls outside the scope of this work.

## 117 **PROBLEM STATEMENT**

### 118 **Empirical steel component deterioration moment-rotation behavior**

119 In order to simulate component deterioration, Ibarra and Krawinkler (2005) proposed a phenom-  
120 ological model to simulate the deterioration of steel elements, which Lignos and Krawinkler (2011)  
121 adapted to define deteriorating moment-rotation relationships for plastic hinges in steel elements us-  
122 ing data from a large set of experimental tests. The hysteretic behavior of the steel components is based  
123 on the force-displacement envelope (backbone curve) illustrated in Figure 1. Although steel structures  
124 are often modeled considering elasto-plastic constitutive behavior with linear strain hardening, during a

severe ground motion, significant inelastic cyclic deformations cause deterioration of elements, reducing their strength and stiffness. This deterioration is significant in the analysis of steel structures under cyclic lateral loads as it influences not only the resistance of the structure, but also its stiffness and its resulting dynamic behavior. The backbone curve for the adopted moment-rotation model ( $M - \theta$ ) is defined in terms of: (i) yield strength and rotation ( $M_y$  and  $\theta_y$ ); (ii) capping strength and associated rotation for monotonic loading ( $M_c$  and  $\theta_c$ ); (iii) plastic rotation for monotonic loading ( $\theta_p$ ); (iv) post-capping rotation ( $\theta_{pc}$ ); (v) residual strength  $M_r = \kappa \times M_y$ ; and (vi) ultimate rotation ( $\theta_u$ ). Other model parameters permit the definition of cyclic strength, post-capping strength, accelerated reloading stiffness and unloading stiffness deterioration (Lignos and Krawinkler 2012).

### **CPH models**

The empirical models described above can be used directly in the zero-length moment-rotation springs of *CPH* elements. In the case of double curvature or anti-symmetric bending, which is the reference case for the empirical moment-rotation models used in Ibarra and Krawinkler (2005) as well as in Lignos and Krawinkler (2011), the global element initial flexural stiffness of the one component *CPH* becomes  $6EI/L$ , where  $EI$  is the cross-section flexural stiffness and  $L$  is the element length. The flexibilities of the zero-length moment-rotation springs and the element interior are additive, giving the total element flexibility:

$$\mathbf{f} = \mathbf{f}_I + \mathbf{f}_{int} + \mathbf{f}_J \quad (1)$$

where  $\mathbf{f}_{int}$  is the flexibility of the linear-elastic element interior and  $\mathbf{f}_I$  and  $\mathbf{f}_J$  are the flexibilities of the springs at ends  $I$  and  $J$ , respectively.

The correct linear-elastic solution for the entire element is only obtained if the end rotational springs are approximated as rigid-plastic. Thus, linear elastic cross-section stiffness of the springs at both ends are affected by a constant  $n$  (typically greater than 1000) such that the initial stiffness of the springs is large, but not so large as to pose numerical instability, as shown in Appendix I. Since the elastic stiffness of the member is related to the elastic stiffness of the rotational springs and the beam-column element,

150 which are connected in series, the stiffness of the element interior is also affected by  $n$ , and is expressed  
 151 as:

$$152 \quad EI_{mod} = EI \frac{n+1}{n} \quad (2)$$

153 which translates to spring initial stiffness given by:

$$154 \quad k_m = n \frac{6EI_{mod}}{L}, \quad m = I, J \quad (3)$$

155 Following the methodology in Ibarra and Krawinkler (2005), the ratio of post-yield to elastic stiffness  
 156 of the spring,  $\alpha'$  (ratio of the tangent stiffness,  $k_{Tm}$ , to the linear elastic stiffness,  $k_m$ ) is given by:

$$157 \quad \alpha' = \frac{k_{Tm}}{k_m} = \frac{\alpha}{1 + n \times (1 - \alpha)} \quad (4)$$

158 where  $\alpha$  is the nominal post-yielding to elastic stiffness ratio and  $\alpha'$  is assigned to the end springs in  
 159 the *CPH* model to reproduce the correct moment-rotation behavior of the member. The ratio  $\alpha'$  is thus  
 160 defined such that the correct nonlinear moment-rotation stiffness of the member, defined as  $\alpha \times 6EI/L$ ,  
 161 is recovered.

### 162 **Finite-length plastic hinge elements**

163 The *FLPH* element developed by Scott and Fenves (2006) is based on the force-based beam-column  
 164 finite element formulation by Spacone et al. (1996) and uses alternative numerical integration schemes  
 165 to account for user-defined plastic hinge lengths. The force-based beam-column finite element is for-  
 166 mulated assuming small displacements in a simply-supported basic system free of rigid-body displace-  
 167 ments. Figure 2 illustrates the basic system in which the vector of element-end forces,  $\mathbf{q}$ , the vector of  
 168 element deformations,  $\mathbf{v}$ , the internal section forces,  $\mathbf{s}(x)$ , and section deformations,  $\mathbf{e}(x)$ , are shown for  
 169 a two-dimensional element. Section forces correspond to the axial force and bending moments, while  
 170 the section deformations correspond to axial strain and curvature.

Equilibrium between the section forces  $\mathbf{s}(x)$  at a location  $x$ , and basic element forces  $\mathbf{q}$  is given by:

$$\mathbf{s}(x) = \mathbf{b}(x)\mathbf{q} + \mathbf{s}_0(x) \quad (5)$$

where  $\mathbf{b}(x)$  is the interpolation function matrix, and  $\mathbf{s}_0(x)$  corresponds to a particular solution associated with element loads. Equation 5 can be expanded into different forms depending on the number of dimensions of the problem and the beam theory selected. For the two-dimensional Euler–Bernoulli beam-column element, the basic forces are  $\mathbf{q} = \{q_1, q_2, q_3\}^T$  and the section forces are  $\mathbf{s}(x) = \{N(x), M(x)\}^T$ , all of which are shown in Figure 2. Compatibility between element deformations  $\mathbf{v}$  and section deformations  $\mathbf{e}$  is expressed as:

$$\mathbf{v} = \int_0^L \mathbf{b}(x)^T \mathbf{e}(x) dx \quad (6)$$

The element flexibility matrix is obtained through linearization of the element deformations  $\mathbf{v}$  with respect to basic forces  $\mathbf{q}$  and is given by:

$$\mathbf{f} = \frac{\partial \mathbf{v}}{\partial \mathbf{q}} = \int_0^L \mathbf{b}(x)^T \mathbf{f}_S(x) \mathbf{b}(x) dx \quad (7)$$

where  $\mathbf{f}_S$  is the section flexibility, equal to the inverse of the section stiffness  $\mathbf{f}_S = \mathbf{k}_S^{-1}$ . The section stiffness is obtained from linearization of the constitutive relationship between section forces and section deformations,  $\mathbf{k}_S = \partial \mathbf{s} / \partial \mathbf{e}$ , at the current element state. The implementation details of the force-based element formulation into a displacement-based software were presented by Neuenhofer and Filippou (1997) and are not reproduced here for brevity.

Numerical evaluation of Equation 6 is given by:

$$\mathbf{v} = \sum_{i=1}^{N_P} (\mathbf{b}^T \mathbf{e}|_{x=\xi_i}) w_i \quad (8)$$

where  $N_P$  is the number of integration points over the element length, and  $\xi_i$  and  $w_i$  are the associated



191 locations and weights. The element flexibility is therefore given by:

$$192 \quad \mathbf{f} = \sum_{i=1}^{N_p} (\mathbf{b}^T \mathbf{f}_S \mathbf{b}|_{x=\xi_i}) w_i \quad (9)$$

193 The main issue related to use of this formulation is the localization of strain and displacement re-  
 194 sponses that can be obtained in the case of strain-softening response of force-based distributed plastic-  
 195 ity elements (Coleman and Spacone 2001). Scott and Fenves (2006) and Addessi and Ciampi (2007)  
 196 proposed methods for force-based finite length plastic hinge (*FLPH*) integration, where the element is  
 197 divided in three segments, two corresponding to the plastic hinges at both ends, with length  $L_{pI}$  and  $L_{pJ}$ ,  
 198 and a linear segment connecting both hinges (see Figure 3(a)). Thus, Equation 6 simplifies to:

$$199 \quad \mathbf{v} = \int_0^{L_{pI}} \mathbf{b}(x)^T \mathbf{e}(x) dx + \int_{L_{pI}}^{L-L_{pJ}} \mathbf{b}(x)^T \mathbf{e}(x) dx + \int_{L-L_{pJ}}^L \mathbf{b}(x)^T \mathbf{e}(x) dx \quad (10)$$

200 Various approaches were proposed by Scott and Fenves (2006) and Addessi and Ciampi (2007) to  
 201 evaluate this integral numerically; however, the focus herein is the *Modified* Gauss-Radau integration  
 202 scheme which retains the correct linear elastic solution while using the specified plastic hinge lengths as  
 203 the integration weights at the element ends.

204 In this method both end sections are assigned a nonlinear behavior, whereas the element interior is  
 205 typically assumed to have an elastic behavior, although this assumption is not necessary. The flexibility  
 206 of the *FLPH* element can be computed as:

$$207 \quad \mathbf{f} = \int_{L_{pI}} \mathbf{b}(x)^T \mathbf{f}_S(x) \mathbf{b}(x) dx + \int_{L_{int}} \mathbf{b}(x)^T \mathbf{f}_S(x) \mathbf{b}(x) dx + \int_{L_{pJ}} \mathbf{b}(x)^T \mathbf{f}_S(x) \mathbf{b}(x) dx \quad (11)$$

208 where  $L_{int}$  is the length of the linear-elastic element interior.

209 Using the *modified* Gauss-Radau integration scheme for the plastic hinge regions, Equation 11 can  
 210 be rewritten as:

$$211 \quad \mathbf{f} = \sum_{i=1}^{N_{pI}} (\mathbf{b}^T \mathbf{f}_S \mathbf{b}|_{x=\xi_i}) w_i + \int_{L_{int}} \mathbf{b}(x)^T \mathbf{f}_S(x) \mathbf{b}(x) dx + \sum_{i=N_{pI}+1}^{N_{pI}+N_{pJ}} (\mathbf{b}^T \mathbf{f}_S \mathbf{b}|_{x=\xi_i}) w_i \quad (12)$$

212 where  $N_{pI}$  and  $N_{pJ}$  are the number of integration points associated with the plastic hinges at the element  
 213 ends. For the *modified* Gauss-Radau integration  $N_{pI} = N_{pJ} = 2$ . The element interior term can be  
 214 computed exactly when the element interior is elastic and there are no member loads. Nonetheless, the  
 215 element interior can also be analyzed numerically. In this case, the Gauss-Legendre integration scheme  
 216 is appropriate to integrate the element interior. If two integration points are placed in this region, a total  
 217 of six integration points are defined along the element length. The location  $\xi_i$  of the integration points  
 218 associated with the *modified* Gauss-Radau plastic hinge integration, represented in Figure 3(a), are given  
 219 by:

$$220 \quad \xi = \{\xi_I, \xi_{\text{int}}, \xi_J\} \quad (13)$$

221 where:

$$222 \quad \begin{aligned} \xi_I &= \left\{ 0; \frac{8L_{pI}}{3} \right\} \\ \xi_{\text{int}} &= \left\{ 4L_p + \frac{L_{\text{int}}}{2} \times \left( 1 - \frac{1}{\sqrt{3}} \right); 4L_p + \frac{L_{\text{int}}}{2} \times \left( 1 + \frac{1}{\sqrt{3}} \right) \right\} \\ \xi_J &= \left\{ L - \frac{8L_{pJ}}{3}; L \right\} \end{aligned} \quad (14)$$

223 The corresponding weights  $w_i$  are given by:

$$224 \quad \mathbf{w} = \{\mathbf{w}_I, \mathbf{w}_{\text{int}}, \mathbf{w}_J\} \quad (15)$$

225 where:

$$226 \quad \begin{aligned} \mathbf{w}_I &= \{L_{pI}; 3L_{pI}\} \\ \mathbf{w}_{\text{int}} &= \left\{ \frac{L_{\text{int}}}{2}; \frac{L_{\text{int}}}{2} \right\} \\ \mathbf{w}_J &= \{3L_{pJ}; L_{pJ}\} \end{aligned} \quad (16)$$

227 In this case, the element flexibility is then given by:

$$228 \quad \mathbf{f} = \sum_{i=1}^6 (\mathbf{b}^T \mathbf{f}_s \mathbf{b}|_{x=\xi_i}) w_i \quad (17)$$

229 where this equation is consistent with points and weights shown in Figure 3(a).

## CALIBRATION OF FORCE-BASED FINITE-LENGTH PLASTIC HINGE ELEMENTS

The *FLPH* formulation requires the definition of moment-curvature relationships in the plastic hinge region, and subsequent procedures to relate these relationships to the moment-rotation response of the element. In this section, a novel method for calibration of the moment-rotation behavior of finite-length plastic hinge force-based frame elements is proposed for arbitrary plastic hinge lengths. With this approach, moment-rotation models that account for strength and stiffness deterioration can be applied in conjunction with *FLPH* models to support collapse prediction of frame structures. The approach includes an automatic calibration procedure embedded in the numerical integration of the element, freeing the analyst of this task. The calibration procedure is formulated for the *modified* Gauss-Radau integration scheme. However, it can be applied to other plastic hinge methods proposed by Scott and Fenves (2006) and Addressi and Ciampi (2007), function of the weight and location of the integration points used in the calibration.

### Calibration Procedure

The main goals of this procedure are to:

1. Use empirical moment-rotation relationships that account for strength and stiffness deterioration to model the flexural behavior of the plastic hinge region;
2. Guarantee that the flexural stiffness is recovered for the nominal prismatic element during the entire analysis; and
3. Allow the definition of arbitrary plastic hinge lengths by the analyst.

The presented calibration procedure is performed at the element level through the introduction of section flexural stiffness modification parameters at internal sections of the beam-column element making it possible to scale a moment-rotation relation in order to obtain moment-curvature relations for the plastic hinge regions. Defining the moment-rotation stiffness of the plastic hinge regions as:

$$k_{M-\theta} = \frac{\alpha 6EI}{L} \quad (18)$$

254 and making use of a user-defined plastic hinge length at either end of the element ( $L_{pI}$  and  $L_{pJ}$  for ends  
255  $I$  and  $J$ , respectively), the moment-curvature relations can be defined as:

$$256 \quad k_{M-\chi} = \frac{\alpha 6EI}{L} \times L_{P\{I,J\}} \quad (19)$$

257 As highlighted by Scott and Ryan (2013), the moment-rotation and moment-curvature relations are iden-  
258 tical for  $L_{P\{I,J\}}/L = 1/6$ . However, for any other plastic hinge length, the definition of the moment-  
259 curvature via direct scaling of the moment-rotation given by Equation 19 yields incorrect section stiff-  
260 ness, which in turn lead to incorrect member stiffness. The calibration procedure presented herein com-  
261 pensates for the incorrect stiffness of the plastic hinge moment-curvature relationship by modifying the  
262 flexural stiffness of each of the four internal sections (integration points  $\xi_2, \xi_3, \xi_4$  and  $\xi_5$  in Figure 3(a)),  
263 assumed to remain linear elastic throughout the analysis, using one of three different parameters,  $\beta_1, \beta_2$ ,  
264 and  $\beta_3$ , shown in Figure 3(b).

265 The  $\beta$  modification parameters are quantified such that the element flexibility matrix is: (i) within the  
266 elastic region, equal to the analytical solution for an elastic prismatic element; (ii) after yielding, identical  
267 to the target flexibility, i.e. is similar to the user-defined  $M - \theta$  behavior. The target flexibility matrix in  
268 the elastic and nonlinear regions can be provided by the *CPH* model using Equations 1 to 4. Then, the  
269 modification parameters are defined based on the equivalence of the flexibility matrices associated with  
270 the *CPH* and *FLPH* models. The target flexibility can be computed using different models and herein  
271 the models defined by Lignos and Krawinkler (2011) are used in the derivations. In the calibration  
272 procedure, double curvature or anti-symmetric bending is assumed to obtain the elastic stiffness of the  
273 structural element. This is a common result of the lateral loading and boundary conditions considered in  
274 seismic analysis of frame structures. In this case, the elastic element  $M - \theta$  stiffness is  $6EI/L$ . However,  
275 the calibration procedure shown herein is valid for any element moment-rotation stiffness and moment  
276 gradient.

## Derivation of Modification Parameters

For the 2D beam-column element, a system of three integral equations corresponding to each of the unique flexural coefficients of the element flexibility matrix is constructed. The flexibility matrix coefficients obtained from Equation 17, corresponding to the *FLPH*, are equated to the flexibility matrix coefficients obtained from Equation 1, associated with a *CPH* model and the empirical model. From this system of equations, the three elastic stiffness modification parameters,  $\beta_1$ ,  $\beta_2$ , and  $\beta_3$ , can be computed as a function of  $L_{pI}$ ,  $L_{pJ}$ ,  $L$  and  $n$ , which is the elastic stiffness modification parameter of the *CPH* model. The code for solving the system of equations, which is implemented in the *wxMaxima* software (Souza et al. 2003) and is presented in the Appendix II. When  $n$  tends to infinity,  $\beta_1$ ,  $\beta_2$  and  $\beta_3$  are given by:

$$\begin{aligned}
 \beta_1 &= -\frac{54L_{pI}L^3 - 6L_{pI}(60L_{pI} + 60L_{pJ})L^2 + 6L_{pI}(96L_{pI}^2 + 288L_{pI}L_{pJ} + 96L_{pJ}^2)L - 6L_{pI}(256L_{pI}^2L_{pJ} + 256L_{pI}L_{pJ}^2)}{L(3L - 16L_{pJ})(L^2 - 20LL_{pI} + 4L_{pJ}L + 64L_{pI}^2)} \\
 \beta_2 &= -\frac{3(4L_{pI} - L + 4L_{pJ})(3L^2 - 12LL_{pI} - 12LL_{pJ} + 32L_{pI}L_{pJ})}{L(3L - 16L_{pI})(3L - 16L_{pJ})} \\
 \beta_3 &= -\frac{54L_{pJ}L^3 - 6L_{pJ}(60L_{pI} + 60L_{pJ})L^2 + 6L_{pJ}(96L_{pI}^2 + 288L_{pI}L_{pJ} + 96L_{pJ}^2)L - 6L_{pJ}(256L_{pI}^2L_{pJ} + 256L_{pI}L_{pJ}^2)}{L(3L - 16L_{pI})(L^2 - 20LL_{pJ} + 4L_{pI}L + 64L_{pJ}^2)}
 \end{aligned} \tag{20}$$

If both plastic hinges have the same length, i.e.  $L_p = L_{pI} = L_{pJ}$ , Equation 20 simplifies significantly to:

$$\begin{aligned}
 \beta_1 &= \beta_3 = -\frac{6(3L^2L_p - 24LL_p^2 + 32L_p^3)}{L(L - 8L_p)^2} \\
 \beta_2 &= \frac{3(3L^3 - 48L^2L_p + 224LL_p^2 - 256L_p^3)}{L(3L - 16L_p)^2}
 \end{aligned} \tag{21}$$

It is worth noting that in Equation 21 there are singularities in  $\beta_1$  and  $\beta_3$  for  $L_p/L = 1/8$  and in  $\beta_2$  for  $L_p/L = 3/16$ , which correspond to cases in which: (i) the length of the elastic element interior,  $L_{int}$ , is equal to zero and (ii) the two internal integration points  $\xi_2$  and  $\xi_5$  shown in Figure 3(b) are co-located.

In Figure 4 the flexural stiffness modification parameters of Equation 21 are represented as a function of the plastic hinge length to span ratio  $L_p/L$ . Both parameters  $\beta_1$  and  $\beta_3$  are equal for all  $L_p/L$  ratios, as

299 both plastic hinges have the same flexural stiffness  $\alpha_1 6EIL_p/L = \alpha_2 6EIL_p/L$ . Note that the calibration  
300 procedure is valid when  $L_{int} < 0$ , i.e.  $L_p/L > 1/8$ .

301 The proposed calibration procedure is illustrated in Figure 5 for the specific case of a nonlinear static  
302 (pushover) analysis. The pushover analysis is conducted by controlling a  $j^{th}$  degree of freedom (DOF).  
303 Furthermore, the displacement  $U_f$  and pseudo-time  $\lambda$  are initialized to zero, and the displacement in-  
304 crement  $dU_f$  for the control DOF and the reference load pattern  $P_{ref}$  are also initialized. The stiffness  
305 matrix  $K_f$  is computed in the *form stiffness matrix* procedure (see Figure 6) at the beginning of each  
306 analysis step and each NR iteration. In this procedure, the parameters  $\alpha_1$  and  $\alpha_2$  are calculated based  
307 on the committed (converged in a previous step) element forces and deformations, as well as the tan-  
308 gent stiffness. In the first analysis step, the section stiffness modification parameters  $\beta_1$ ,  $\beta_2$  and  $\beta_3$  are  
309 computed, as shown in Figure 6. Once the stiffness modification parameters are computed, the stiffness  
310 matrix is computed through inversion of the flexibility matrix. The stiffness matrix is obtained consid-  
311 ering the integration points (IPs) of the *modified* Gauss-Radau integration scheme shown in Figure 3(b).  
312 Transformation from the basic to the local coordinate system is performed with the matrix  $A_f$ . From this  
313 point onward a traditional NR algorithm is used, repeating the above procedure at the beginning of each  
314 analysis step and at each NR iteration. Different strategies can be used in updating the model state deter-  
315 mination, namely: (i) update state of the model domain (displacements, pseudo-time, forces) using the  
316 residual tangent displacement from the previous iteration; (ii) decrease the displacement increment and  
317 update the model domain trying to overcome convergence problems; (iii) change the numerical method  
318 used (either for this analysis step only or for all remaining steps); and (iv) change the tolerance criteria  
319 (if that is admissible for the case being analyzed). In case the NR method is not able to converge after a  
320 user-defined maximum number of iterations,  $i_{max}$ , the analysis is stopped, and is considered not to have  
321 converged. Illustrative examples are presented in the following sections. Different solution algorithms  
322 may be used to solve the nonlinear residual equations (De Borst et al. 2012; Scott and Fenves 2010).  
323 The Newton-Raphson (NR) algorithm is one of the most widely used and is a robust method for solving  
324 nonlinear algebraic equations of equilibrium. In this figure (Figure 5) the flowchart for the calibration  
325 procedure is exemplified using the NR algorithm.

## NUMERICAL EXAMPLES

The proposed methodology was applied to a set of simply supported beams subjected to end moments and considering different plastic hinge lengths, as well as a simple steel frame structure. The beams are analyzed considering a pushover analysis, where rotations are incremented until reaching an ultimate rotation. For the first beam, equal moments are applied at each support, while in the second case, the moment applied at the left support is half of that applied to the right support. The steel element properties, including the parameters considered for the deterioration model, are presented in Table 1.

### Example 1

A simply supported beam is analyzed considering equal moments and rotations applied at both ends. Figure 7(a) shows the element end moment plotted against the element end rotation. A local response, corresponding to the rotation of a section at a distance  $L_p$  from the support is also plotted against the end moment in Figure 7(b). The rotation at a distance  $L_p$  from the support, in the *CPH* model, must consider the rotation of the zero-length spring and the deformation of the elastic segment of length  $L_p$ .

In this figure, the plastic rotation of the *CPH* model is computed obtained by adding the rotation of the zero-length spring to the rotation of the elastic element over a length of  $L_p$ . The former is obtained by multiplying the curvature ( $\chi$ ) of the end section of the element by  $L_p$ .

The *CPH* curve denotes the results obtained using a concentrated plastic hinge model, following the procedure employed by Lignos and Krawinkler (2012), and serves as a benchmark. Figure 7(a) shows that end rotations obtained using the *CPH* model present an initial linear elastic response up to the yielding point, defined by the yielding moment-rotation pair  $M_{y,CPH} - \theta_{y,CPH}$ . Then, a linear hardening region connects the yielding point to the capping point ( $M_{c,CPH} - \theta_{c,CPH}$ ) and a linear softening region links the capping point to the residual moment-rotation point ( $M_{r,CPH} - \theta_{r,CPH}$ ), which is followed by a plastic region that extends to  $\theta_U$ . The second model considered (*FLPH S*) corresponds to the use of finite length plastic hinge elements, defining the moment-curvature relation through direct scaling of the rotation parameters ( $\theta_y$ ,  $\theta_c$ ,  $\theta_{pc}$ ,  $\theta_r$ , and  $\theta_u$ ) by the plastic hinge length  $L_p$  and no further calibration. The results show that this approach leads to erroneous results, as the elastic stiffness obtained is significantly lower than the target, and higher rotations are obtained in the softening branch. If the moment curvature

353 is calibrated (curve *FLPH M*) using the proposed method, it is possible to reproduce the *CPH* behavior  
354 of the beam exactly for the entire analysis. Although the global response is in perfect agreement, Figure  
355 7(b) shows that the local response is different when the *CPH* or the *FLPH M* models are used. For the  
356 *FLPH* models, local response in Figure 7(b) corresponds to the integration of the end section curvature  
357 ( $\chi$ ) over the plastic hinge length  $L_p$  ( $\chi \times L_p$ ). This result is equal for the *FLPH S* and the *FLPH M*  
358 models since the end sections of both models are defined in a similar manner (only the interior sections  
359 are affected by the flexural modification parameters).

360 Figure 9(a) shows the errors associated with the different models and different plastic hinge lengths.  
361 The errors are defined as the ratio between the computed slopes of the elastic, hardening, and softening  
362 branches, and the respective target moment-rotation defined in Lignos and Krawinkler (2011). The  
363 results show that: (i) the *FLPH M* calibration procedure provides accurate results when compared to  
364 the results obtained using *CPH* for the elastic, hardening and softening ranges of the response; (ii) the  
365 *FLPH S* procedure, where a scaled moment-curvature relation is used without further calibration, results  
366 in significant errors. It is worth noting that only for  $L_p/L = 1/6$  does the *FLPH S* model result in the  
367 exact moment-rotation at yielding and at the capping point, as previously shown by Scott and Ryan  
368 (2013). The results from this example highlight the the advantages of the calibration procedure proposed  
369 herein, namely showing that accurate results can be achieved for varying lengths of the plastic hinge and  
370 for cases considering softening.

## 371 **Example 2**

372 To show calibration for other moment gradients in the beam element, an identical beam to that from  
373 the previous example is analyzed considering the left moment equal to half of the right moment. As a  
374 result the left end of the beam is always in the elastic range, and the beam does not deform in double  
375 curvature. However, as shown in Figure 8, the results obtained for a plastic hinge length  $L_p/L = 1/16$  are  
376 consistent with those obtained in Example 1. In fact, the results obtained with the scaled moment cur-  
377 vature relation without calibration (*FLPH S*) show significant errors from the elastic range, propagating  
378 over the entire range of analysis. When calibration is considered (*FLPH M*) the results are corrected and  
379 perfect agreement is found between *CPH* and *FLPH M* models. Figure 9(b) shows the results obtained



380 considering several plastic hinge lengths. The errors are computed by comparing the slopes of the elastic,  
381 hardening and softening branches of the two *FLPH* elements with the *CPH* model. Results show that the  
382 analysis presented for  $L_p/L = 1/16$  is valid for all values of the plastic hinge length. Furthermore, the  
383 results show that the proposed calibration procedure is applicable to different moment gradients besides  
384 anti-symmetric bending.

### 385 **Frame structure**

386 A single-bay three-story frame with uniform stiffness and strength over its height (see Figure 10) is  
387 used to illustrate the application of the calibration procedure described above. A dead load of 889.6kN is  
388 applied to each story, giving a total structure weight  $W$  of 2669kN. The flexural stiffness  $EI$  is identical  
389 for beams and columns with values given in Table 1. Plastic hinges form at beam ends and at base  
390 columns. The other columns are assumed to remain elastic. Pushover analyses of the frame are conducted  
391 in the OpenSees framework (McKenna et al. 2000) using a P-Delta geometric transformation for the  
392 columns. Results obtained with model *FLPH M* are compared to results obtained using the *CPH* models.  
393 It is worth noting that in steel W-shape beams with shape factors ( $k = M_p/M_y$ ) of approximately 1.12,  
394 the plastic hinge length is taken as 10% of the distance between the point of maximum moment and the  
395 inflection point (Bruneau et al. 1998). This value is slightly larger, approximately 12.5%, at the center  
396 of beams that are subjected to distributed loads. Thus, for members in a state of anti-symmetric double  
397 curvature, it is suggested that a plastic hinge length between  $L/20$  and  $L/16$  be used.

398 Figure 11(a) shows the normalized base shear ( $V/W$ ) versus roof drift ratio for the three models and  
399 Figure 11(b) illustrates the beam moment-rotation response. The results obtained for this frame show  
400 that the conclusions drawn for the two previous examples hold, namely *FLPH S* should not be used as a  
401 procedure for converting from empirical moment-rotation relations to moment-curvature relations when  
402 *FLPH* elements are used, and *FLPH M* is an adequate procedure that produces objective results without  
403 computationally expensive iterative/updating procedures.

### 404 **CONCLUSIONS**

405 The present work proposes a calibration procedure that allows the use of finite-length plastic hinge  
406 (*FLPH*) force-based beam-column elements for steel moment frames that exhibit softening response

407 at the section and element levels. The use of scaled but uncalibrated moment-curvature relationships in  
408 *FLPH* elements leads to significant errors in both local and global responses and is therefore not adequate  
409 for structural analysis. The new calibration procedure is performed at the element level through the in-  
410 troduction of section flexural stiffness modification parameters ( $\beta$ ), which are computed at the beginning  
411 of the analysis as a function of the user defined plastic hinge lengths. The modification parameters are  
412 obtained by equating element flexural coefficients of the flexibility matrix and target flexibility matrix,  
413 where the latter is given by the user-defined moment-rotation relation and is computed in this work using  
414 a *CPH* model. Nonlinear static analyses of two simply supported beams and pushover analysis of a steel  
415 moment-resisting frame were performed considering different plastic hinge lengths. The results illus-  
416 trate that the exact linear elastic stiffness can be recovered for linear problems while ensuring objective  
417 response after the onset of deterioration. The cases studied as well as error analysis based on analyti-  
418 cal expressions show that the calibration procedure is valid for any moment gradient. Even though the  
419 proposed calibration procedure has only been validated for multi-linear moment-rotation relationships,  
420 it is, in principle, possible to use it with other constitutive laws, where moment-rotation can be related to  
421 moment-curvature by a user-defined plastic hinge length. The calibration procedure was validated at the  
422 section level for bending moments and rotations only, but similar approaches may be used for cases in  
423 which the interaction between bending and axial deformations is considered. The accuracy and stability  
424 of the proposed calibration procedure remains to be studied for nonlinear dynamic time-history analysis  
425 of steel moment frame buildings.

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509 **Appendix I. ERROR IN THE MODEL ELASTIC STIFFNESS ASSOCIATED WITH THE CPH SPRINGS**  
510 **ELASTIC STIFFNESS AMPLIFICATION FACTOR**

511 In *CPH* models, the elastic stiffness amplification factor ( $n$ ) should be chosen carefully as an exces-  
512 sively large value would pose numerical problems, while a value that is not sufficiently large will lead to  
513 erroneous results in the elastic range. In this Appendix, elastic stiffness errors associated with values of  
514  $n < 1000$  are computed.

515 Considering that each member can be represented by two end rotational springs and an elastic frame  
516 element in series, the flexibilities of the springs and the frame element in a *CPH* element are additive.  
517 Using the tangent stiffnesses,  $k_{TI}$  and  $k_{TJ}$ , of each rotational spring, the member flexibility is:

$$518 \quad f_b = \begin{bmatrix} 1/k_{TI} & 0 \\ 0 & 0 \end{bmatrix} + \frac{L}{6EI} \times \begin{bmatrix} 2 & -1 \\ -1 & 2 \end{bmatrix} + \begin{bmatrix} 0 & 0 \\ 0 & 1/k_{TJ} \end{bmatrix} \quad (\text{A.1})$$

519 To recover the correct linear-elastic solution for the entire *CPH* model, the end rotational springs  
520 need to be approximated as rigid-plastic with an initial stiffness that is large, but not so large to pose  
521 numerical instability. This is akin to the selection of large penalty values when enforcing multi-point  
522 constraints in a structural model (Cook et al. 2001). The ratio of flexibility coefficient  $f_b(1, 1)$  to the  
523 exact linear-elastic solution  $L/(3EI)$  is plotted in Figure 12 versus the elastic stiffness amplification  
524 factor, which scales the characteristic element stiffness  $EI/L$  ( $k_I = n \times EI/L$ ).

525 As shown in Figure 12, the ratio between the elastic stiffness recovered using different  $n$  values for  
526 the *CPH* model and the target elastic stiffness ( $L/3EI$ ) varies from 1.30 (30% error) for  $n = 10$  to 1.003  
527 (0.3% error) for  $n = 1000$ . Thus, to recover the elastic solution with negligible errors, it is suggested that  
528 a value of  $n = 1000$  be used.

529 Although the suggested value of  $n \geq 1000$  allows for recovery of the elastic stiffness, several au-  
530 thors have highlighted that there is an increased likelihood of non-convergence of nonlinear time-history  
531 response analyses if such a large value of  $n$  is used. For this reason, Zareian and Medina (2010) have  
532 suggested the use of  $n = 10$ . However, the use of such a low value of  $n$  can lead to overestimating the  
533 elastic flexibility of the elements up to 30%, which could lead to approximately 13% error in natural





535 **Appendix II. COMPUTATION OF THE SECTION FLEXURAL STIFFNESS MODIFICATION**  
536 **PARAMETERS**

537 .  
538 The following code was implemented in the *wxMaxima* software (Souza et al. 2003).

- 539 • Unknowns

$$540 \beta_1, \beta_2, \beta_3 \quad (A.2)$$

- 541 • Input data

$$542 y : [0, 8/3 \times L_{pI}, L - 8/3 \times L_{pJ}, L];$$

$$543 w : [L_{pI}, 3 \times L_{pI}, 3 \times L_{pJ}, L_{pJ}]; \quad (A.3)$$

$$544 mp : [\alpha_1 \times 6 \times L_{pI}/L, \beta_1, \beta_3, \alpha_2 \times 6 \times L_{pJ}/L];$$

- 545 • Computation of the element flexibility matrix (flexural terms only)

$$546 f_1 : \mathbf{matrix}([0, 0], [0, 0]); \quad (A.4)$$

- 547 • Plastic hinges integration points

$$548 \mathbf{for} \ i : 1 \ \mathbf{to} \ 4 \ \mathbf{do}$$

$$549 \ (f_1 : f_1 + \mathbf{transpose}(\mathbf{matrix}([0, 0], [y[i]/L - 1, y[i]/L]))).$$

$$550 \ \mathbf{matrix}([0, 0], [y[i]/L - 1, y[i]/L]) \times w[i] \times$$

$$551 \ (1/(mp[i] * EI));$$

- 552 • Interior region

$$553 f_1 : f_1 + \mathbf{integrate}(\mathbf{transpose}(\mathbf{matrix}([0, 0], [x/L - 1, x/L])).$$

$$554 \ \mathbf{matrix}([0, 0], [x/L - 1, x/L]) \times (1/(\beta_2 \times EI)), \quad (A.6)$$

$$555 \ x, 4 \times L_{pI}, L - 4 \times L_{pJ});$$

- 556 • Computation of the target flexibility matrix using a *CPH* model (flexural terms only)

- 557 • *CPH* model parameters

$$558 EI_{mod} : EI \times (n + 1)/n;$$

$$559 K_{spring} : n \times 6 \times EI_{mod}/L; \quad (A.7)$$

$$560 mp_2 : [(\alpha_1)/(1 + n \times (1 - \alpha_1)), (\alpha_2)/(1 + n \times (1 - \alpha_2))];$$

- Model flexibility matrix

$$\begin{aligned}
 f_2 & : \mathbf{matrix}([1/(mp_2[1] \times k_{spring}), 0], [0, 1/(mp_2[2] \times k_{spring})]); \\
 f_2 & : f_2 + \mathbf{integrate}(\mathbf{transpose}(\mathbf{matrix}([0, 0], [x/L - 1, x/L])), \\
 & \mathbf{matrix}([0, 0], [x/L - 1, x/L]) \times (1/(EI_{mod})), \\
 & x, 0, L);
 \end{aligned} \tag{A.8}$$

- Solve the system of equations for obtaining unknowns

$$\begin{aligned}
 eq_1 & : f_1[1, 1] = f_2[1, 1]; \\
 eq_2 & : f_1[1, 2] = f_2[1, 2]; \\
 eq_3 & : f_1[2, 2] = f_2[2, 2]; \\
 sol & : \mathbf{solve}([eq_1, eq_2, eq_3], [\beta_1, \beta_2, \beta_3]);
 \end{aligned} \tag{A.9}$$

- Although the previous step already gives a solution for the problem, it is useful to obtain the solution without dependency on  $n$ . Thus, the solution,  $sol$ , is evaluated when  $n$  tends to infinity

$$\mathbf{limit}(sol, n, inf); \tag{A.10}$$

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**Table 1. Element properties for numerical examples**

	Geometric parameters		Moment-rotation model parameters			
	Inertia ( $m^4$ )	Area ( $m^2$ )	$M_y$ (kNm)	$M_c/M_y$	$\theta_p$ (rad)	$\theta_{pc}$ (rad)
Example 1 and 2	0.0002	0.0073	320.78	1.05	0.0692	0.168
Frame Beams	0.0111	0.0551	1911.0	1.05	0.025	0.25
Frame Columns	0.0111	0.0551	969.0	1.05	0.03	0.35

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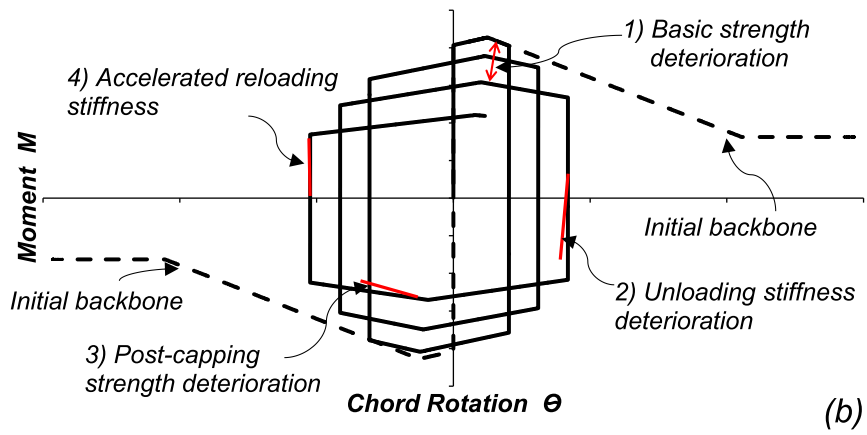
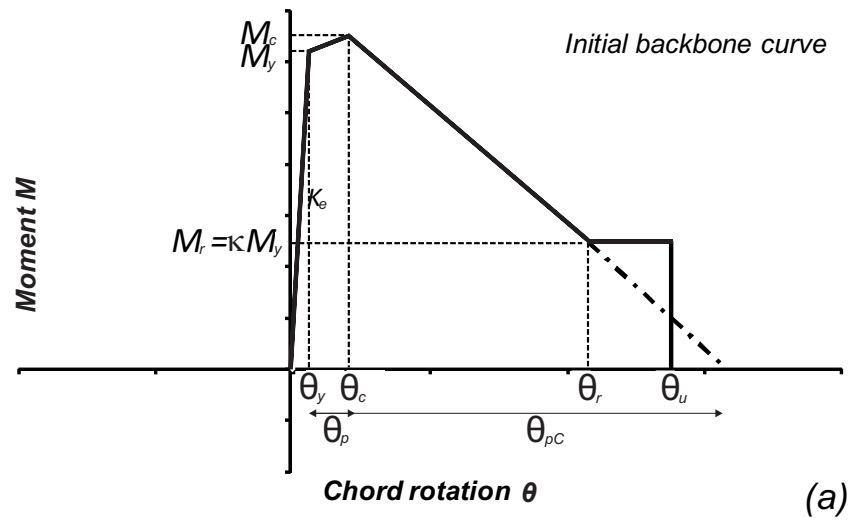
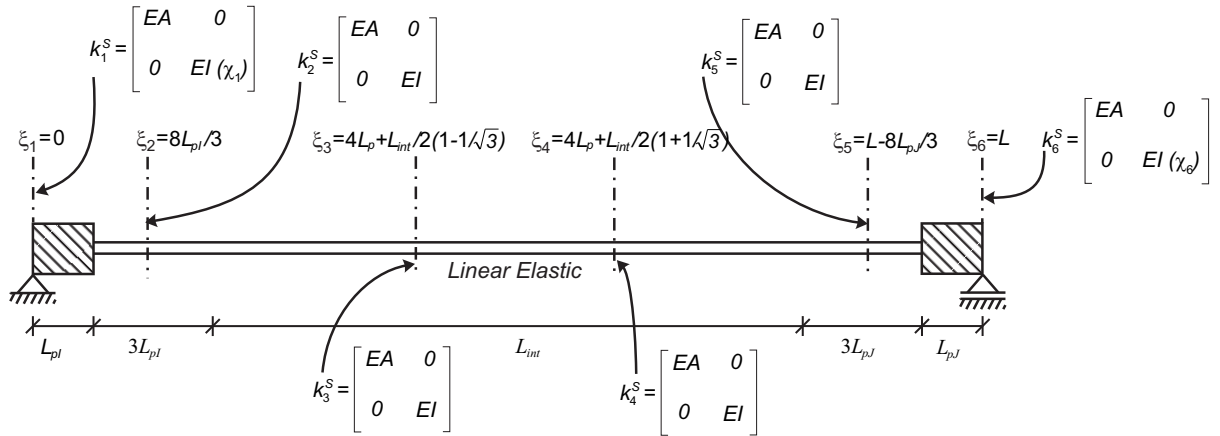


Figure 1. Adapted modified Ibarra-Krawinkler model: (a) backbone curve; and (b) basic modes of cyclic deterioration



(a) Modified Gauss-Radau Integration



(b) Integration with Section Modification Parameters

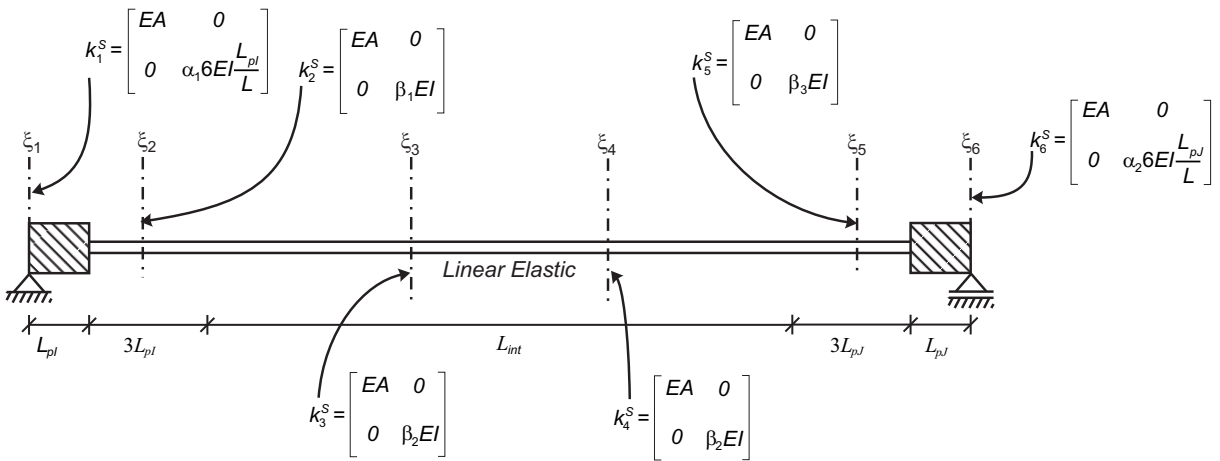


Figure 3. Modified Gauss-Radau integration scheme



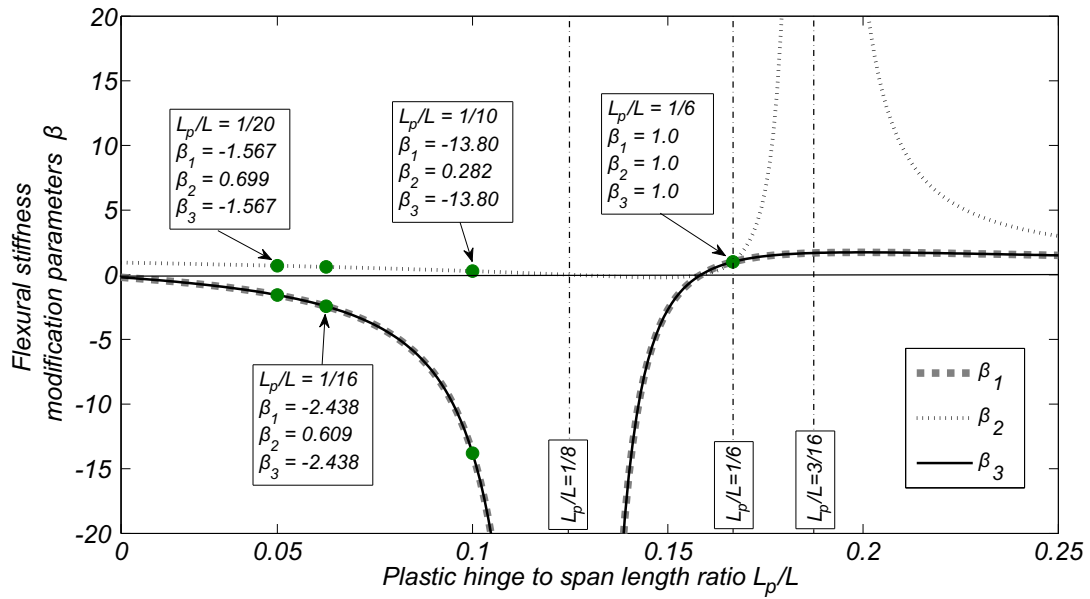


Figure 4. Flexural stiffness modification parameters  $\beta_1$ ,  $\beta_2$  and  $\beta_3$  as a function of the plastic hinge length to span ratio  $L_p/L$

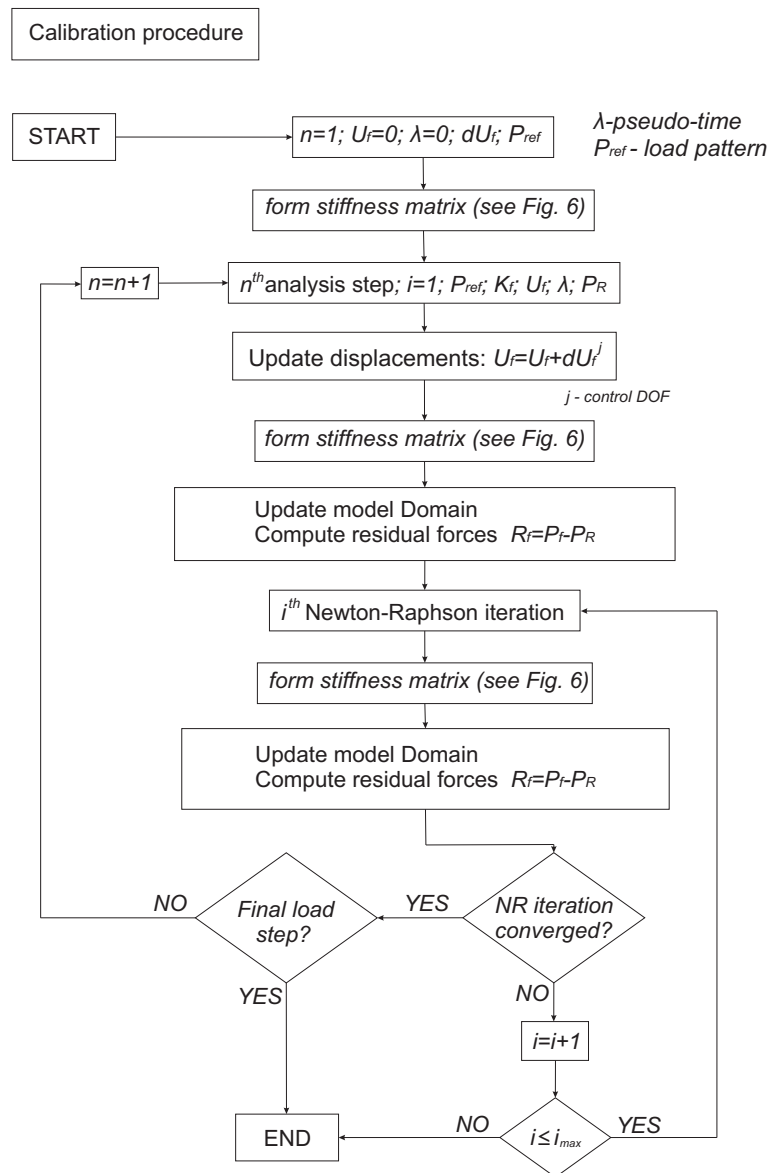


Figure 5. Calibration procedure for a nonlinear static structural (pushover) analysis

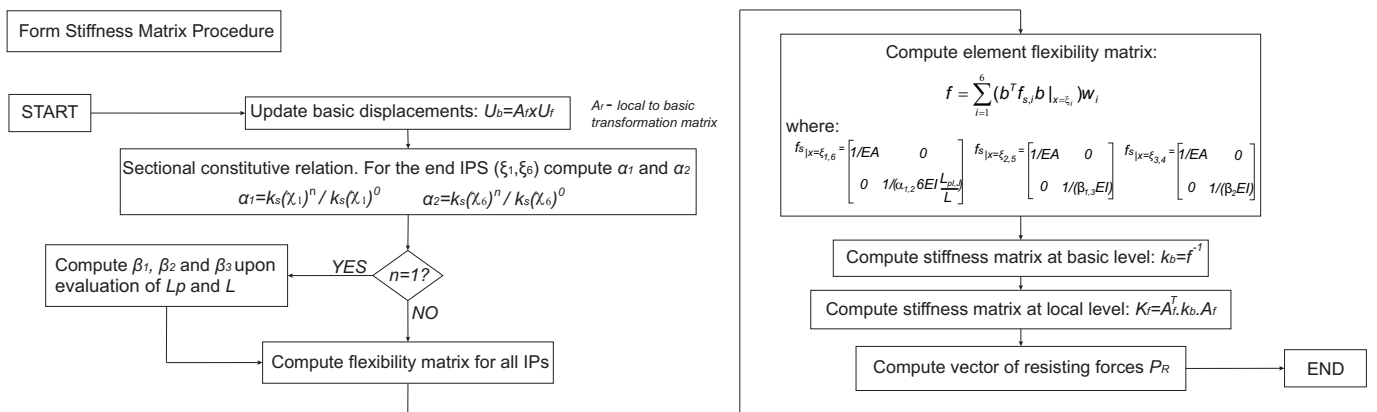


Figure 6. Flowchart for computation of element stiffness matrix

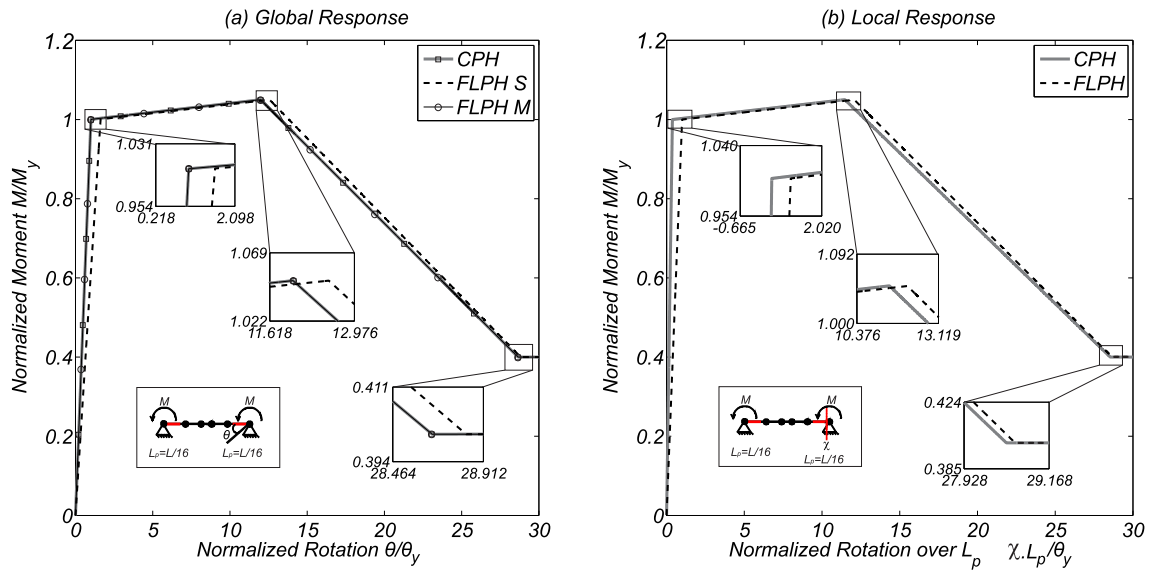


Figure 7. Example 1 - basic system with equal moments at both ends and plastic hinge length  $L_p/L = 1/16$

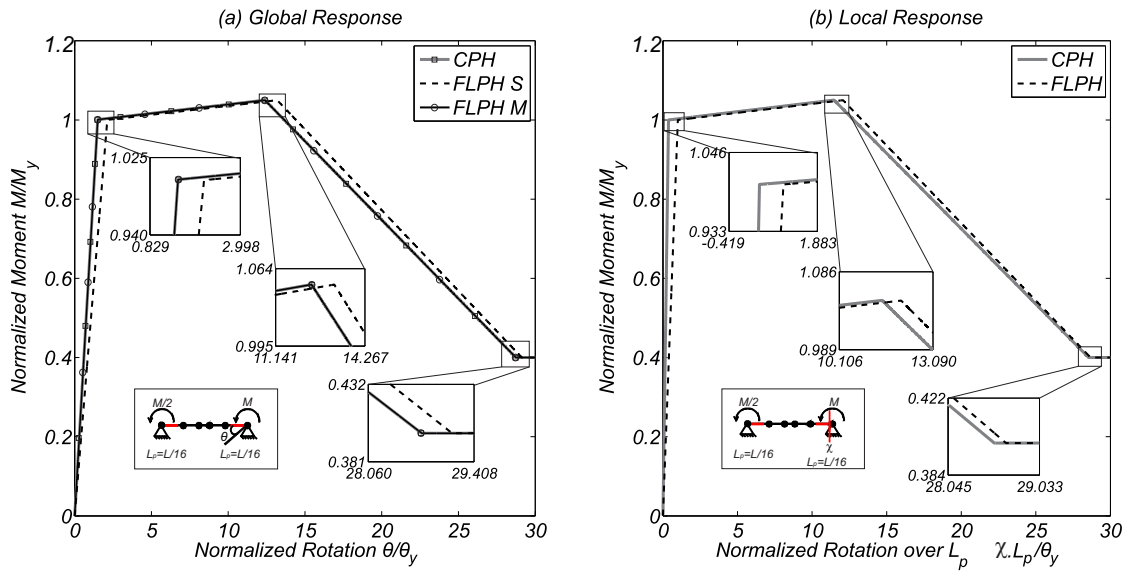


Figure 8. Example 2 - basic system with different moments at both ends and plastic hinge length  $L_p/L = 1/16$

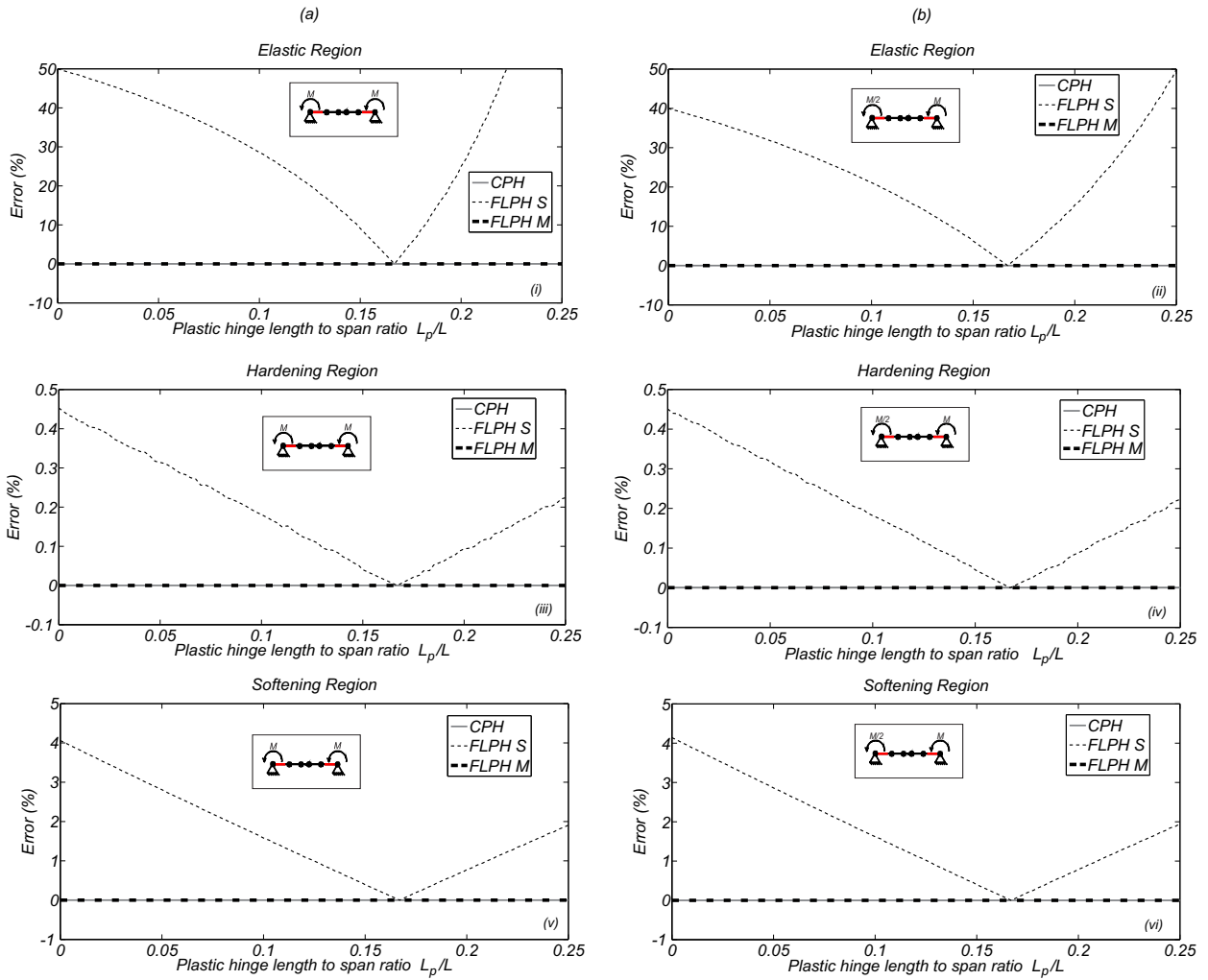


Figure 9. Errors in the slopes of the elastic, hardening and softening regions for the CPH, FLPH S and FLPH M models during a monotonic analysis

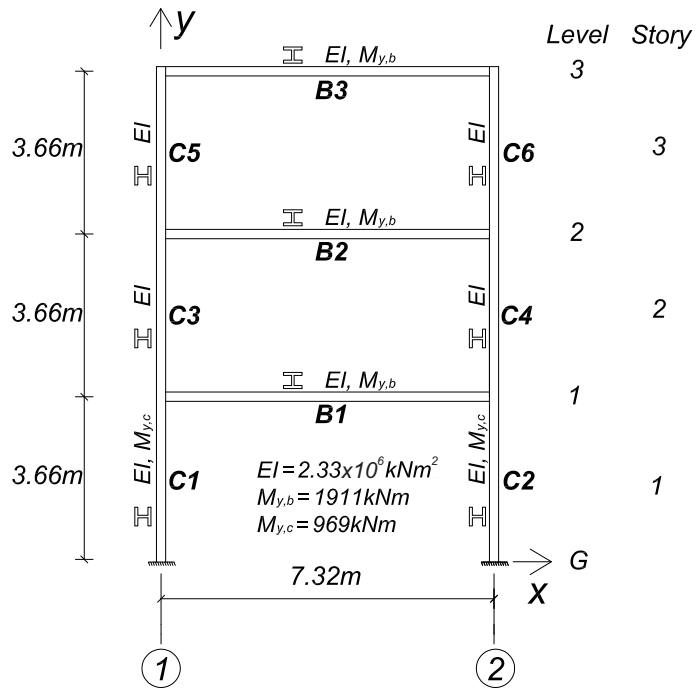


Figure 10. Steel moment frame

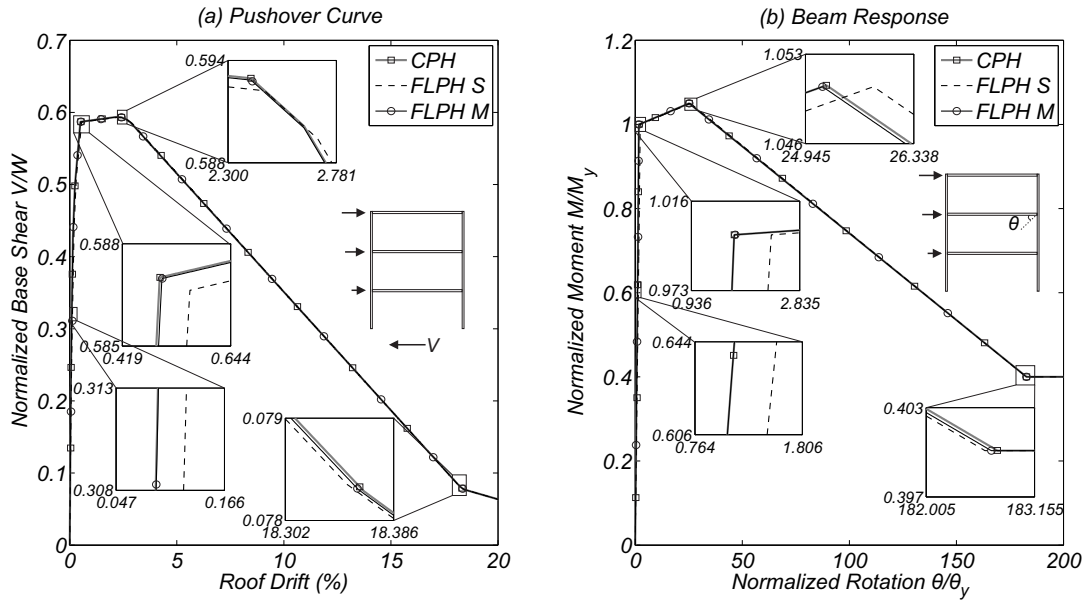
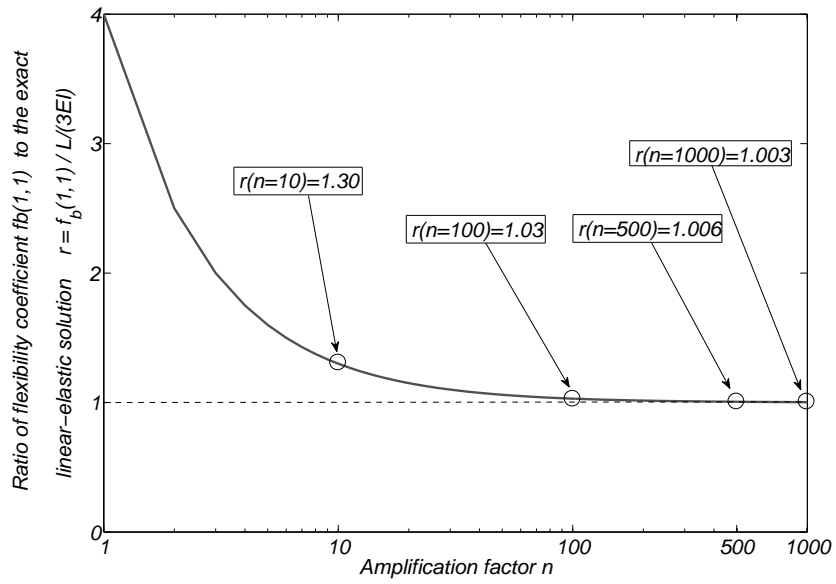


Figure 11. Example three-story frame used to demonstrate the proposed calibration procedures





**Figure 12. Computed elastic flexibility coefficient of concentrated plasticity model versus rigid-plastic approximation of end springs**