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DURABILITY ASPECTS RELATED TO RUBBLE STONE MASONRY WALLS STRENGTHENED WITH REINFORCED MICRO-CONCRETE LAYERS

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SUMMARY

This paper analyses durability aspects related to the use of reinforced micro-concrete as strengthening material of ancient rubble stone masonry walls. The present study is a section of a research project carried out at the New University of Lisbon (Pinho, 2007), to evaluate some structural strengthening solutions for rubble stone masonry buildings. These kind of strengthening solutions, using sprayed micro-concrete layers (shotcrete layers), are very common in Portugal, namely in the rehabilitation of ancient buildings, as may be seen in Azores, after the earthquake of 1998.

1. INTRODUTION

The main objective of the mentioned research (Pinho, 2007) was the mechanical evaluation of different strengthening solutions applied on rubble stone masonry walls. Different strengthening solutions have been applied over several rubble stone masonry models. Besides, some durability aspects related to the ancient wall materials (sands, limes, mortars and stones) and to the strengthening materials (micro-concrete and steel reinforcement, in this case) were considered. This paper will analyse the main aspects related to the durability conditions of two of the strengthening solutions applied on the experimental models: solutions II and III, where sprayed micro-concrete layers (shotcrete) were used as strengthening material (solution often used in Portugal).

The experimental results of the tests carried out to find some physical and mechanical characteristics of the masonry and the shotcrete are considered and discussed. The experimental results presented in the paper, in terms of physical characteristics, are water capillarity, water vapour permeability and open porosity values, performed both on masonry mortar and shotcrete samples, and water absorption under low pressure, carried out directly on masonry models (simple models) and on the micro-concrete of the strengthened models. Mechanical characteristics, in terms of flexural and compressive resistance and elasticity modulus, are also evaluated.

The way how shotcrete affect or can be affected by the ancient rubble stone masonry walls, namely in terms of their behaviour in the presence of water (vapour or liquid), which can start physical, mechanical and chemical degradation mechanisms, are analysed. The chemical mechanisms implicated on the degradation of the masonry and the shotcrete, obtained in specific bibliography, are synthesized: the behaviour of the strengthened walls in the presence of salts, namely sulphates and chlorides, is specifically discussed. Preventive actions to avoid degradation of the strengthened walls, when these kinds of solutions are applied, are also discussed.

The mechanical behaviour of strengthening solutions II and III are presented to this International Seminar in another paper.

2. EXPERIMENTAL CAMPAIGN

For this research (Pinho, 2007) several rubble stone masonry models were constructed according with ancient techniques (Nero et al, 1994; Pinho, 2000; Valluzzi et al, 2001, Veiga et al, 2001), divided into two groups: twenty models with 1,20m high, 1,20m wide and 0,40m thick (large models), for shear-compression load tests, and forty two models with 1,20m high, 0,80m wide and 0,40m thick (small models) for compression load tests. Three models of each group were tested without strengthening (unreinforced masonry – URM) and some others (some of them were not tested yet) with the following strengthening solutions (Pinho et al, 2004): (i) solution I –

continuous transversal steel connectors (only); (ii) solution II – shotcrete lateral layer, 5 cm thick, reinforced with steel expanded mesh, transversal ties through the thickness of the models (continuous steel connectors and short steel anchors), without contact to the base of the loading systems; (iii) solution III – shotcrete lateral layer, 5 cm thick, reinforced with steel expanded mesh, with and without continuous transversal steel ties, but with contact to the loading system base; and (iv) solution IV – lime and cement mortar rendering, 3 cm thick, reinforced with glass fibre mesh, transversal ties of zinc coated steel wires, and with base contact. Some of the models were also used for water absorption tests under low pressure.

Figure 1 shows two general views of the construction of the experimental models using traditional techniques, and geometrical characteristics of the models.



Figure 1 – Geometry of the experimental rubble stone masonry models and views of their construction, using traditional techniques

Figure 2 presents a general view of the application of solution II (similar to solution III) – first and second shotcrete layers, which durability aspects are analysed in this paper.



Figure 2 - Application of strengthening solution II, with mechanical methods



In Figure 3 the geometrical characteristics of both strengthening solutions analysed in this article are presented: solution II, including its three variants (B1, B2 and B3), and solution III, including its two variants (A1 and A2).

a – shotcrete lateral layer (5 cm total thick); b – steel expanded mesh; c – screw-nut; d – screwed steel bar (short steel anchors in this configuration, with 30° leaning); e – metallic plate with $100 \times 100 \text{ mm}^2$ and 5 mm thick; f – screwed steel bar (continuous transversal steel connectors, in this configuration); g – concrete lintel; h – ruble stone masonry; i – concrete base; j – supports of the models

Figure 3 – Detailing of both strengthening solutions II and III

2. DURABILITY ASPECTS RELATED TO RUBBLE STONE MASONRY WALLS STRENGTHENED WITH SHOTCRETE LAYERS

2.1. Context of the problem

In the case of rehabilitation on ancient rubble stone masonry walls, the compatibility and durability of the interventions are very important. The interventions should assure the mechanical rehabilitation of the walls and the durability of the implemented conditions, but must be developed bearing in mind the necessary compatibility with the ancient walls which are to be preserved. Therefore it is fundamental to assure that the strengthening or

the consolidation implemented on the walls is done with durable materials and techniques but that will not contribute to the degradation process of the wall itself. The characteristics needed to assure the protection of the walls should then be identified and evaluated to assure the durability of such strengthening solutions and materials.

2.2. Degradation aspects in the rubble stone masonry

Concerning to the masonry (URM), the main physical and mechanical degradation mechanisms are caused by the water due to its movement in the middle of the structural elements (foundations and walls), from the core to the surface, where it evaporates. The water dissolves and transports soluble salts through the masonry; hygroscopic salts change their volume with hygrometric variations; higher volumes of the salts impose stresses in the masonry material pores.

The degradation corresponds mainly to disaggregation phenomena due to the loss of lime from the old mortars along time and to the cyclic expansion/contraction actions caused by the crystallization and dissolution of the "coming" salts (transported by the water) inside the masonry, or behind less vapour permeable renderings (cryptoflorescences) or at its surface (efflorescences), figure 4. Generally these salts are: (i) chlorides, which exist particularly in coastal environments; (ii) nitrates, which are common when biological contamination is present (soil with animals contamination, drain sewers contamination) and (iii) sulphates present in building materials, like gypsum, and polluted ambiances.



Figure 4 - Development of efflorescences and cryptoflorescences phenomena (Silva, 1983)

Disaggregation phenomena could be caused by cyclic expansive reactions inside the masonry or between its surface and the shotcrete layers (strengthened masonry) or other less vapour permeable rendering, reducing the mechanical resistance of the ancient wall and the global durability conditions of both masonry and shotcrete. The damage grows because normally the phenomena have cyclic and seasonal characteristics. When cryptoflorescences happen, the disaggregation develops behind the surface (shotcrete or other feeble vapour permeable surface render) and inside the ancient wall.

Another influence related to the use of shotcrete layers is the reduction of thermal isolation of the strengthened wall comparing to URM. This is due to the fact that the water remains longer inside the masonry, because shotcrete layers have smaller water vapour permeability coefficient than masonry (URM, admitted to be traditionally rendered with compatible lime mortars), as will be shown in section 2.4.

To prevent or at least reduce the probability of occurrence of this type of problems, shotcrete should be developed bearing in mind the need to increment their water vapour permeability.

2.3. Degradation aspects in the shotcrete layers

Concerning to shotcrete layers, the main problem in terms of its durability consists on the possible development of internal expansive reactions, including alkalis-aggregate reactions and internal sulphates reactions, causing disaggregation of the internal material (E461-LNEC, 2004).

The most common reactions are alkalis-silica reactions in the presence of aggregates with amorphous or noncrystallized silica, and some reactive siliceous minerals; moreover, it can develop alkalis-carbonate reactions in the presence of some dolomitic limestone. The alkalis-silica reactions take place only if the following three conditions happen jointly: (i) sufficient alkalinity in the micro-concrete pores; (ii) critical contents of reactive silica, which can be present in aggregates (siliceous limestones aggregates); and (iii) sufficient water.

The alkalis-silica reactions may happen after two or three decades. To prevent them is sufficient to avoid one of these three conditions. Specification E461-LNEC (LNEC, 2004) refers the different prevention levels, depending on the environment humidity degree and presents the corresponding preventive measures.

The internal sulphate reactions are chemical reactions between some cement compounds having both free alumina and silica, and the sulphates, forming sulpha-aluminates (*ettringite*) and sulpha-silicates (*thaumasite*), which causes degradation of concrete (micro-concrete, in this case). Alumina is presented in tricalcic aluminate (C3A), which is one of the four main cement clinker compounds, and silica comes mainly from the aggregates. Sulphates (calcium, magnesium and potassium) may come from: (i) concrete compounds, containing sulphates or other compounds which chemical changes origin sulphates; (ii) some building materials like gypsum or other contaminated masonry material; or (iii) ground (clayey and/or farmer soils), moving to the concrete of the strengthening solution through the water ascending by capillarity mechanism and water vapour transport action towards the surface (Coleman, 2000; Gonçalves, 2000; Coutinho, 2001; Faria-Rodrigues, 2004).

The internal sulphate reactions may only happen if the next four conditions are present together: (i) high temperatures in the "young" concrete ; (ii) critical content of alkalis, SO_3 and C3A of the cement; (iii) sufficient water; and (iv) sufficient hydroxide calcium in the micro-concrete pores.

The internal sulphate reactions may happen after some years. To prevent them it is sufficient avoid one of those four referred conditions. Specification E461-LNEC (LNEC, 2004) refers the different prevention levels, depending on the environment humidity degree and presents the corresponding preventive measures.

The chemical degradation caused by sulphates may cause reduction on the micro-concrete mechanical resistance, because the expansive reactions during the crystallization phenomena lead to mechanical degradation of the internal connections.

Another phenomenon that can reduce the durability of the shotcrete is the loss of reinforcement passivity, especially if it does not have anti-corrosive protection. This situation is worst in the case of the concrete reinforced with ordinary steel mesh, without the mentioned protection. The loss of passivity of the steel mesh is a consequence of the concrete carbonation (polluted environments) and of the chloride penetration (maritime environments). The concrete carbonation consists on a reaction between the carbon dioxide of the air and the calcium compounds of the concrete, namely the calcium hydroxide, according to the following chemical reaction (Coutinho, 1997):

$$Ca(OH)_2 + CO_2 \rightarrow CaCO_3 + H_2O + 42,5 \ calories \tag{1}$$

With the increase of the depth carbonation, the hydroxide calcium of the cement binder changes to calcium carbonate, with the reduction of pH, from initial values of about 12,5 (pure concrete) to values lower than 9 (total carbonation). In this conditions (reduction of the alkalinity) the passivity of the steel mesh – which occurs when pH is over than 12,5 and consist on the formation of a microscopic thick film of about 10 nm (10^{-9} m) preventing the steel dissolution – stops, allowing the steel corrosion in the presence of humidity and oxygen (Coutinho, 2001).

In relation to the chloride infiltration into the concrete (cement paste), that can happen by diffusion (differences of chloride concentrations) or absorption of water with chlorides (by capillary absorption or infiltration trough cracks).

Concrete has chemical and physical capacities to fix some chloride ions, especially by reactions between them and tricalcium aluminate or bicalcium aluminate (C2A), but free ions can cause steel corrosion. Concretes of cements with high contents of C3A can "easily" fix chlorides, by reaction of the aluminium chloride (Friedel salt). However, if the concrete is already carbonated, the chloride ions, which were combined until than, get free and increase its concentration and the risk of the steel corrosion. The rust coming from the steel corrosion has a bigger volume than the steel volume and the concrete covered layer of the steel mesh detaches. In the case of reinforced concrete, the thickness of the concrete cover: (i) delays the process of losing the passivity of the steel mesh due to the carbon dioxide action, which occurs according to the chemical reaction presented in expression 1

(carbonation reaction) and (ii) protects the steel mesh from the salt actions, namely chlorides (Gonçalves, 2000; IPQ, 2004).

Considering the above-mentioned questions and the huge use of strengthening solutions based on reinforced concrete or reinforced micro-concrete, the following additional measures and recommendations are proposed at design phase: (i) measures related to the type of cement used; (ii) measures that can obstruct or difficult the contact between water and the structural elements and (iii) measures related to steel protection.

Regarding to the first group of measures, the type III cement (blastfurnace cement) or type IV (pozolanic cement) must be used, instead of type I Portland cement (with 95% of clinker Portland) (IPQ, 2001).

The mentioned cements (types III and IV) enlarge the durability of the global strengthening solution (thanks to the reduced presence of C3A) but it must be said that they are not completely immune to sulphates effects (abovementioned) (J.Coutinho, 2001; IPQ, 2001). According to E464-LNEC (LNEC, 2004), type I cements (IPQ, 2001) can not be used, unless they have a content of C3A minor than 5%, what can be achieved using "additions" in that type of cement, in order to reduce the C3A content to values below (than 5%). The better behaviour of cements type III and IV is connected to the fact that they have less tenor of clinker in their composition, what means less C3A content.

The intentioned measures to obstruct or to difficult the contact between water and the structural elements can be done by the following actions: (i) preventing or making difficult the ascending water from ground, looking at the local phreatic level and, if it is needed, conceving a satisfactory drainage system; the contact between water and foundations is minimized and, therefore, the ascending capillarity water mechanism represented in figure 4 is also reduced, meaning so that the salt actions is also minimized; and (ii) using appropriate coverings (which maintenance must be assured along the time) to delay the water absorption by the shotcrete surface layers and creating horizontal impermeable barriers in the masonry (transversal sections), when the drainage of the phreatic level water is impracticable, or still, when an additional guarantee of the elimination of the ascending humidity is required.

The main chemical products to use in the waterproof of the shotcrete layers are silicone resins (constituted by silanes and siloxanes). These products may be applied by pulverization and improve the shotcrete's surface protection. They are very efficient in materials like concrete, cement and mixed lime-cement mortars in order to protect the shotcrete layers from the rain. To create horizontal impermeable barriers in the masonry there can be used impermeable or hydrophobic materials in holes, previously opened to. The most common products are aluminium stearate or zinc stearate resins (Appleton, 2003). These products reduce the humidity inside the masonry by ascending capillarity water from the ground.

The main measures to protect steel frames include the improving of: (i) an application of a anti-corrosive film protection; and (ii) a minimum thickness of the concrete cover layer, according to the existing environmental conditions (CEN, 2004; IPQ, 2004; LNEC, 2005).

2.4. Physical and mechanical characteristics of both construction (mortar) and strengthening (shotcrete) materials

A large number of tests to find physical and mechanical characteristics of both wall construction and strengthening materials were done. Figure 5 shows pictures of five of those tests.

The mortar samples used in these tests have the following geometrical characteristics: for open porosity tests, small remainder parts of 160x40x40 (mm) samples (obtained after mechanical tests); for capillarity and mechanical tests, half of 160x40x40 (mm) moulded samples and for permeability tests, models with $\phi xh=950x10$ (mm).

On the other side, micro-concrete models have the following characteristics: for open porosity tests, small remainder parts of $\phi xh=75x150$ (mm) samples (obtained after mechanical tests); for capillarity tests, half 160x40x40 (mm) samples; for mechanical tests, cylindrical models of $\phi xh=75x150$ (mm) and for permeability tests, models with $\phi xh=95x10$ (mm) (figure 5).



Figure 5 – Experimental tests to determine some physical (porosity, capillarity and permeability coefficients) and mechanical characteristics (stress-strain relationship and E modulus) of both masonry mortar and shotcrete

The following results, related to masonry and micro-concrete layers, will be referred: (i) open porosity, capillarity and permeability coefficients, as physical characteristics and compressive resistance and elasticity secant modulus as mechanical properties.

Table 1 present the experimental results of the referred tests (including bulk density). Nevertheless it should be referred that strengthening solutions were applied in two different periods: first samples, when the first layer was applied, and second samples, corresponding to the second layer. Between these two shotcrete layers, a steel mesh was applied, making part of the global strengthening solution.

The results presented in table 1 consider the obtained mean values.

Material	Physical characteristics				Mechanical characteristics	
	Bulk density [kg/m ³]	Open porosity [%]	Capillarity coefficient [kg/m ² .h ^{1/2}]	Permeability coefficients [kg/m.s.Pa]	Compressive resistance [MPa]	Elasticity modulus [MPa]
URM (mortar)	1742,9	32,7	15,4	18,61×10 ⁻¹²	0,58	63
Solution II (micro-concrete)	2132,3	18,8	2,4	4,08×10 ⁻¹²	36,0	27900
Solution III (micro-concrete)	2232,3	15,8	1,5	3,27×10 ⁻¹²	51,1	34200

Table 1 – Physical and mechanical characteristics of some wall construction and strengthening materials applied on the experimental masonry models

Figure 5 shows graphics with these values, in terms of the mean values, where the comparison of the results can better be done.



Figure 5 – Comparison between several physical characteristics of both mortar (masonry) and shotcrete (strengthening solutions) used on the experimental models

These results show the higher velocity of water capillarity absorption of the mortar, comparing to shotcrete, due to a less dense internal structure of the mortar. Permeability coefficients of strengthening solutions should only be considered qualitatively according to the small number of tested samples. However, they show that shotcrete delays the evaporation of the water, comparing to the masonry.

The results presented in figure 6 (not included in table 1) complement the ones referred in figure 5, namely the capillarity tests, because they show a lower velocity of water absorption (in this case rain water). The problem is that shotcrete layers have also a minor permeability coefficient, meaning that water stays longer inside the masonry when those layers are present.



Figure 6 – Results of water absorption under slow pressure tests developed on URM models (mortar joins) and on a shotcrete layer (of strengthening solution II)

In Figure 7 two mechanical characteristics of some construction and strengthening materials applied on the experimental models are presented. These results show a big difference between the compressive resistance and the elasticity modulus of the masonry mortar and the shotcrete applied on the experimental models. In fact, such results were expectable and suggest that some cautious are necessary when these kinds of materials are putting together, like the use of mechanical connections (bars) between the layers applied on both faces of the walls.



Figure 7 – Comparison between compressive resistance and elasticity moduls of masonry mortar and shotcrete applied on the experimental models (II and III specimens)

As referred at the beginning of this paper, this type of strengthening solutions (based on shotcrete or concrete reinforced layers) are very common in Portugal, meaning they are very important on the mechanical resistance point of view. The research carried out on FCT/UNL (Pinho, 2007) tried to determine how strong these solutions are and has shown that, if the referred mechanical connections are well done, these strengthening solutions are efficient.

The other paper presented to this Seminar related with the research carried out shows as well that the difference between the strengths of the sample and the masonry models is not as large as the results presented in figure 6 suggest.

Regarding to the elasticity modulus, presented in figure 7, the results also show huge differences between stiffness of both materials mortar and shotcrete. This may represent a different mechanical behaviour of these materials during an earthquake or differential settlement foundations, for example. Once more, the use of mechanical connections (bars) between the concrete layers through the masonry is required to minimize this difference of stiffness The obtained elasticity modulus of shotcrete layers is quite similar to the mentioned at REBAP (1985).

Shotcrete samples, obtained by cutting cores from large cubes, have performed quite approximately the used matter, even though the optimal situation correspond to the cut of the shotcrete layers applied on the models.

3. MAIN CONCLUSIONS

According to the huge importance of reinforced micro-concrete layers as strengthening solution used in the rehabilitation of ancient rubble stone masonry, the durability aspects related in this paper should be considered, in order to guarantee a better performance of this strengthening solution. In this context, the type of cement or measures to difficult the contact between water and shotcrete layers and the water access to the wall must be considered, seeing that some chemical reactions only can happen in the presence of the water. In another way, the protection of steel mesh should be considered, in order to minimize the carbonation and the chloride effects. Steel mesh should have an adequate anti-corrosive protection and the shotcrete cover reinforcement should respect the applicable normalization (CEN, 2004; IPQ, 2004; LNEC, 2005). Therefore, the thickness of the layer is conditioned by the minimum steel cover value defined in such normalization.

The experimental results show that the water vapour permeability coefficient of the shotcrete layers is only about 20% of the one of the mortar masonry and the open porosity of the shotcrete layers is just 64% of the one of the mortar (figure 5). These results mean that the water stays longer inside the masonry when shotcrete layers are used as strengthening solution of rubble stone masonry, reducing the thermal insulation conditions of the external walls (decreasing the habitability conditions) and moreover contributing for degradation of the wall and even of the shotcrete layers.

The shotcrete capillarity coefficient is 13% of the mortar's value. This means that the shotcrete absorbs less water per time unit than the lime mortar. However, a lower vapour permeability coefficient of the shotcrete layers comparing to the lime mortar, reduces the capacity of the strengthening solution in terms of water vapour evaporation present both in shotcrete and masonry.

It is also very important to notice that the comparison has been made in terms of the shotcrete material used for the strengthening solutions and the masonry mortar of the walls. In reality, the URM walls surfaces are protected by renderings, which were not considered here. So, it can be admitted that if the walls were rendered with lime based mortars, compatible with the masonry (Pinho et al, 2008), by means of its application (and necessary recompactation over the wall, after the initial shrinkage development), the resultant mortars would be denser than the analysed masonry mortar and their characteristics would be a little different; but if the URM walls were rendered by cement based mortars, all the problems caused by a low vapour permeability should also be present.

It seems that the major durability problems of the rubble stone masonry strengthened with shotcrete layers are associated with water migration. Important measures should be implemented in order to reduce the water access from the ground, by capillarity. The substitution of mechanically strong and denser shotcrete by a more vapour permeable material seems more appropriate (Pinho et al, 2008).

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