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**Gold nanoprobos for the detection of mutations  
associated with antibiotic resistance in  
*Mycobacterium tuberculosis* complex**

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## RESUMO

A tuberculose humana é ainda uma das doenças infecciosas de maior incidência mundial, com 8.7 milhões de novos casos reportados em 2011. O aumento da taxa de infecções por tuberculose multi-resistente tem também imposto sérios riscos à saúde pública, especialmente em países em desenvolvimento. Resistência à isoniazida e rifampicina, dois antibióticos de primeira linha, está geralmente associada a mutações pontuais nos genes *katG*, *inhA* e *rpoB* de *Mycobacterium tuberculosis* complex (MTBC). O desenvolvimento de um método molecular barato, rápido e simples, capazes de aferir os perfis de susceptibilidade antimicrobiana, terá um grande impacto na capacidade de diagnóstico precoce e tratamento de doentes com tuberculose multi-resistente.

Nanopartículas de ouro funcionalizadas com oligonucleotídeos tiolados (nanossondas) têm mostrado o seu potencial de detecção rápida e sensível de MTBC, bem como de mutações associadas a resistência a antibióticos. Nomeadamente a caracterização dos três codões mais relevantes do gene *rpoB*, associados à resistência à rifampicina. Neste trabalho alargou-se a discriminação de mutações ao gene *inhA* associadas a resistência à isoniazida. Utilizando uma abordagem de multiplex PCR foi ainda possível amplificar os genes *rpoB* e *inhA* e aferir ambos os *loci* em paralelo, estendendo o potencial das nanossondas para a caracterização de tuberculose multi-resistente, com especial aplicação nos genótipos mais frequentes na região da Grande Lisboa.

**Palavras-chave:** nanodiagnóstico; nanopartículas de ouro; tuberculose; multiplex PCR.





## ABSTRACT

Tuberculosis is still one of the leading human infectious diseases, with 8.7 million new cases reported in 2011 alone. Also, the increasing rate of multidrug-resistant tuberculosis (MDR-TB) and its treatment difficulties pose a serious public health threat especially in developing countries. Resistance to isoniazid and rifampicin, first line antibiotics, is commonly associated with point mutations in *katG*, *inhA* and *rpoB* genes of *Mycobacterium tuberculosis* complex (MTBC). Therefore, the development of a cheap, fast and simple molecular method to assess susceptibility profiles would have a huge impact in the capacity of early diagnosis and treatment of MDR-TB patients.

Gold nanoparticles functionalised with thiol-modified oligonucleotides (Au-nanoprobes) have shown the potential to provide a rapid and sensitive detection method for MTBC and single base mutations associated with antibiotic resistance, namely the characterisation of the three most relevant codons in *rpoB* gene associated to rifampicin resistance. In this work the Au-nanoprobe approach is extended towards the discrimination of specific mutations within *inhA* gene which is associated with resistance to isoniazid. Using a multiplex PCR reaction for *rpoB* and *inhA* genes, it was possible to assess both *loci* in parallel, and extend the potential of the Au-nanoprobe method to MDR-TB molecular characterisation with special application in the most frequent genotypes circulating in the Lisbon Health Region.

**Keywords:** nanodiagnostics; gold nanoparticles; tuberculosis; multiplex PCR.



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## **ABBREVIATIONS**

**Abs** – Absorption

**AL** – Alkaline Lysis

**AuNPs** – Gold Nanoparticles

**BCG** – Bacillus Calmette-Guérin

**DLS** – Dynamic Light Scattering

**DNA** – Deoxyribonucleic Acid

**dNTPs** – Deoxyribonucleotide Triphosphate

**dsDNA** – double strand Deoxyribonucleic Acid

**DTT** – Dithiothreitol

**INH** – Isoniazid

**LB** – Luria Bertani

**LED** – Light-Emitting Diode

**MDR** – Multi-Drug Resistant

**MTBC** – *Mycobacterium tuberculosis* complex

**Mut** – Mutated

**NAAT** – Nucleic Acid Amplification Tests

**PCR** – Polymerase Chain Reaction

**SDS** – Sodium Dodecyl Sulfate

**SPR** – Surface Plasmon Resonance

**ssDNA** – single strand Deoxyribonucleic Acid

**TB** – Tuberculosis

**TEM** – Transmission Electronic Microscope

**TM** – Temperature of Melting

**WT** – Wild Type

This work resulted in the following publications in books of abstracts:

Pedrosa P., Veigas B., Machado D., Perdigão J., Portugal I., Couto I., Viveiros M., Baptista P.V. 2013, Feb. Gold nanoprobos methodology for diagnosis of Multi-Drug Resistant Tuberculosis. NanoPT, Porto, Portugal.

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# 1. INTRODUCTION

## 1.1 Tuberculosis

Fighting Tuberculosis (TB) remains one of the biggest challenges of our times. Killing 1.4 million people in 2011 it is the second worldwide killer especially in developing countries, which account for 95% of the deaths. Despite largely curable when accurately diagnosed and treated, one third of the 8.7 million estimated new cases in 2011 were undiagnosed or unreported leading to continuous spread of the disease. Insufficient access to diagnostic tests sets one of the biggest barriers for TB control (WHO, 2012).

In spite of the dropping mortality numbers that account for a reduction of 41% since 1990, cases of multidrug resistant tuberculosis (MDR-TB) – resistance to two first line antibiotics – are increasing. Such cases impose serious risks for public health, which could be avoided with an accurate and early diagnosis, improving treatment effectiveness. However, the percentage of undiagnosed or unreported cases for MDR-TB is 81% worldwide, also demonstrating the need for new diagnostic tools to assess resistance profiles (WHO, 2012).

### 1.1.1 Mycobacterium tuberculosis

The most common mode of TB infection is by inhalation of aerosols containing viable microorganisms. *Mycobacterium tuberculosis* is the main etiological agent of TB in humans and part of the *Mycobacterium tuberculosis* complex (MTBC) that includes other human infecting subspecies. Described as fairly large, non-motile, rod-shaped bacterium distantly related to the *Actinomycetes*, its cellular wall is rich in mycolic acids impermeable to common dyes and stains used in bacterial studies. Acid-fast staining, such as Ziehl-Neelsen, is therefore the most commonly used for its microscopy visualisation. *Mycobacterium tuberculosis* is an obligate aerobe, facultative intracellular parasite usually found in lung alveoli. Able to evade standard immune system digestion mechanisms, it survives and replicates inside macrophages with a generation time of 15 to 20 hours, which makes them hard to grow in culture (McMurray, 1996).

TB is an infectious disease of mandatory notice, divided into two types, active and latent. The active type is characterised by coughing, weight loss, fever, night sweats and in some cases coughing blood; other forms of extrapulmonary tuberculosis are described, but are rare and restricted to immunodepressed patients. The latent TB is asymptomatic, not contagious, and accounts for 95% of infected cases. Patients can remain infected for years without being diagnosed, of which 10% will evolve to an active form of the disease (McMurray, 1996; WHO, 2012).

### 1.1.2 TB Treatment

TB treatments consist on long regimens of multiple antibiotics. For new patients, where no resistance is suspected, the treatment consists of 2 months of 4 first-line antibiotics (isoniazid, rifampicin, pyrazinamide, and either ethambutol or streptomycin) followed by 4 months of rifampicin (RIF) and isoniazid (INH). If resistances are found, second-line antibiotics are administered for up to 24 months. Directly observed therapy is recommended for all patients since they tend to stop or reduce the medication when they recover during the first weeks of treatment, increasing the risk for resistances. Ideally all diagnosed patients should stay in isolation until negative sputum smear – usually after approximately 2-4 weeks of treatment when they are considered not contagious – however this is not a reality in TB high-burden countries (ATS et al., 2003).

### 1.1.3 Mechanisms of Resistance

Unlike other bacteria, which drug resistance is generally acquired through horizontal transfer by mobile genetic elements, *M. tuberculosis* acquires drug resistance mainly by spontaneous mutations in chromosomal genes, due to selection of resistant strains during sub-lethal drug therapy (Silva and Palomino, 2011). Since the two most relevant antibiotics are RIF and INH, their mechanisms of resistance will be clarified.

RIF is an antibiotic of the rifamycin group that acts by binding to the active site of RNA polymerase beta subunit thereby blocking transcription. Alterations in single nucleotides confer resistance to RIF, making it recurrent in monotherapy schemes. An 81 bp region of *rpoB* gene (codons 507-533) accounts for about 95% of all single nucleotide alterations in examined clinical isolates, where more than 35 distinct variations have been described. Among these, H526D and S531L mutations account for two-thirds of RIF resistance and are absent in susceptible isolates (Telenti et al., 1993; Miller et al., 1994; Musser, 1995). These single mutation events are associated with high levels of RIF resistance, increasing its occurrence and making it one of the main reasons for treatment failure and fatal clinical outcome (Mitchison and Nunn, 1986).

Resistance to INH is far more complex and its full mechanism of action is still not understood. It has been proposed that for INH effectiveness, it requires activation by a catalase-peroxidase enzyme encoded by *katG*. During this activation, the enzyme generates a hypothetical isonicotinic acyl radical that inhibits NADH-dependent enoyl-ACP reductase (encoded by *inhA*) which plays a role in the synthesis of essential mycolic acids (Vilcheze and Jacobs, 2007). INH resistance works therefore, either by loss of KatG activity (decreasing INH activation) or by InhA over expression (compromising its inhibition by the isonicotinic acyl radical). Mutations in *inhA* and *katG* are present in 75-85% of the cases (Musser, 1995; Zhang et al., 1992). However, mutations in other genes have also been related with resistance to INH such as *ahpC*, *ndh*, *embB*, *kasA*, *furA*, showing the complexity of INH action (Banerjee et al., 2008). The most common point mutation in clinical isolates lies in codon 315 of *katG*, with a serine to threonine substitution. This substitution is responsible for about 50-90% of the isoniazid resistant mutants globally but not in Portugal, where there is a high prevalence of MDR-TB in the Lisbon Health Region (Machado et al., 2013). More than 90% of these resistant strains show no

mutation in *katG*, but only in the promoter region of *inhA* (C-15T) coupled with mutations in *inhA* gene locus. Furthermore, *inhA* mutations have also been described as conferring cross-resistance to ethionamide (ETH) (Viveiros et al., 2010; Machado et al., 2013). The *rpoB* S531L and *inhA* C-15T are then the most common mutations in the Lisbon Health Region, conferring resistance to RIF, INH and ETH.

#### 1.1.4 Diagnostics

Diagnosis of TB can be difficult since it shares the same early symptoms with other diseases. For that matter, clinicians have a broad range of screening tests to confirm the diagnosis (Wallis et al., 2010). Mantoux tuberculin skin test and Interferon- $\gamma$  release assays test the immune response of a patient to specific antigens of *M. tuberculosis*. They are the primarily screening methods, however they present low specificity with false positive results in BCG vaccinated patients (Mazurek et al., 2007). Once suspected of TB, chest X-Ray radiography is taken to seek for abnormalities and acid-fast bacilli smear microscopy is analysed, which despite rapid, has low sensitivity even when coupled to light-emitting diode microscopy (Parsons et al., 2011). Microorganisms are also grown in laboratorial culture using Löwenstein–Jensen medium. Cultures can provide drug-susceptibility profiles, but due to the low growing rate of *Mycobacterium tuberculosis* results can take 2 to 6 weeks. Meanwhile clinicians use molecular tests to support the diagnosis and start treatment. Several molecular methods are available on the market, but they are either cumbersome, expensive or require specialised equipment and technicians, making them unsustainable in developing countries (Parsons et al., 2011). They generally depend on nucleic acids-amplification tests (NAAT) and two of them are endorsed by World Health Organization: line probe assays and automated detection. Both methods reduce time of diagnosis by hours, and automated systems even discards the need for specialised training, but the price of both technologies restricts their use to developed countries (Wallis et al., 2010; WHO, 2012).

**Table 1.1** Most relevant methods of TB diagnosis

Diagnostic	Method	Description	Main strengths	Main weaknesses
Immunoassays	Tuberculin skin Test (Mantoux)	Immunological skin response to tuberculin	Extensive practical and published experience	Low sensitivity with immune compromised; cross-reaction with BCG vaccine
	Interferon- $\gamma$	Detection of released Interferon- $\gamma$ to identify active MTBC infection	Highly specific; relatively fast	Moderate training; consumables dependent; imperfect sensitivity

Radiography	Chest radiography	Detection of abnormalities in pulmonary radiographies	Indications and use not restricted to TB	Low specificity; low sensitivity; requires equipment and trained interpreter
Smear microscopy	Ziehl-Neelsen and LED	Detect TB bacteria by common or fluorescent microscopy	Rapid; low consumables	Low sensitivity
Culture	Solid culture	Detect TB bacteria (manual or automated) and screen drug susceptibility	Good sensitivity; low equipment	Slow
	Liquid culture		High sensitivity; faster than solid culture	Slow; extensive training, infrastructure and consumables dependent
NAAT	Line probe assays	Strip tests, detect MTBC and assess INH and RIF resistance	Rapid	Moderate training; expensive
	Automated Systems	Automated processing, detect MTBC and assess RIF resistance	Rapid; almost no training required	Expensive; equipment and consumables dependent
	Au-nanoprobes	Colorimetric detection of TB, assess RIF and INH resistance	Rapid; cheap; easy-read	Moderate training

Note: Adapted from (Dorman, 2010; WHO, 2009); BCG, Bacillus Calmette-Guérin; LED, Light-Emitting Diode

### 1.1.5 Current Needs

There are two front lines in the combat for TB: treatment and diagnostics. Without new effective drugs on the market for over 40 years, TB is characterised as a disease of developing countries, with reduced interests for pharmaceutical industries (Parsons et al., 2011; Wallis et al., 2010).

Diagnostic technologies for instance have seen new improvements in the latest years, especially molecular diagnostics. These molecular methods are based on NAAT, taking advantage of its high sensitivity and specificity, but require laboratories, trained personnel and are extremely expensive, which is the main bottleneck to overcome.

The impact for the 22-high burden countries is estimated to be more than \$3.4 trillion between 2006 and 2015 if no anti-TB strategy is implemented (Laxminarayan et al., 2009). To solve the TB problem a fast, accurate, simple, and mostly low cost technology for diagnostics is needed (Wallis et al., 2010).

## **1.2 Nanotechnology**

Nanotechnology can be defined as understanding, controlling and manipulating materials at dimensions between 1 and 100 nanometers (Debnath et al., 2009). Nanomaterials have been used since ancient times, as evidenced by the presence of gold nanoparticles (AuNPs) in medieval cathedrals' stained glass windows. Just recently however, the interest in nanomaterials bloomed due to their wide range of applications, especially in the biomedical field. Carbon nanotubes, quantum dots and noble metal nanoparticles are examples of such nanomaterials (Eustis and El-Sayed, 2006). AuNPs in particular have shown great potential for theranostics, due to their physical and optical properties. With a high surface-area-to-volume ratio, they are great vectors for delivery of molecular cargo. Also, their optical properties make them a better alternative to common fluorophores used in molecular diagnostic (Dreaden et al., 2012; Veigas et al., 2012). In the following sections, I will outline the most important characteristics of AuNPs along with their method of synthesis, functionalisation, and application.

### **1.2.1 Gold Nanoparticles**

#### **1.2.1.1 Synthesis**

Various techniques have been described for the synthesis and functionalisation of AuNPs, with different sizes, shapes and capping. Each of these has unique characteristics and is used for different purposes. I will focus on the properties of 14 nm diameter, spherical, citrate capped AuNPs.

AuNPs synthesis is performed in liquid medium starting by dissolution of chloroauric acid ( $\text{HAuCl}_4$ ) in water. Then, sodium citrate reduces  $\text{Au}^{3+}$  ions to  $\text{Au}^0$  that start to precipitate in the form of sub-nanometre particle clusters. These nanoparticles grow in diameter until they get saturated of sodium citrate that acts also as a capping agent, protecting them from aggregation. Its concentration is thus crucial to set the final AuNPs size, where higher sodium citrate concentrations promote the formation of smaller nanoparticles. The higher surface area-to-volume ratio in smaller particles makes them need more citrate to get surface saturated. This method of synthesis produces relatively monodisperse nanoparticles that due to their size, are stable in water, forming an homogenous colloid that does not precipitate nor aggregate (Ji et al., 2007).

#### **1.2.1.2 Functionalisation**

Since citrate interactions with gold are weak (adsorption), functionalisation with biomolecules is generally easy through amine and thiol groups that interact strongly with gold (chemisorption) (Love et al., 2005). Several methods for nanoparticle functionalisation with DNA are described in the literature, but only functionalisation with 5' thiol modified oligonucleotides by salt-aging and pH-assisted methods will be addressed in this thesis (Zhang et al., 2012). The salt-aging method consists of the continuous addition of a salt solution that reduces repulsions between nanoparticles and oligonucleotides (both negatively charged), thus assisting in the Au-thiol interaction. Anionic

surfactants, such as sodium dodecyl sulfate (SDS) and ultrasounds are also used since they promote the general stabilisation of the system thus allowing higher salt concentrations that accelerate the functionalisation process. The pH-assisted method consists on lowering the AuNPs and DNA solution to pH 3 using sodium citrate. At pH 3 oligonucleotide and nanoparticle repulsion is reduced, accelerating functionalisation (Zhang et al., 2012).

### **1.2.1.3 Physical and Optical Properties**

The most noteworthy physical property of AuNPs is their size, which affects their surface-area-to-volume ratio and colloidal stability in solution (Eustis and El-Sayed, 2006). Uniformly surface charged, 14 nm diameter AuNPs are stable in water but start to precipitate at larger sizes (Alexander et al., 2013). An interesting effect is observed upon the addition of salt: momentary dipoles are generated at the nanoparticles' surface, reducing the repulsion between them and promoting their irreversible aggregation. Aggregated nanoparticles behave as bigger nanoparticles despite not being chemically bonded. This occurrence affects their stability in solution, promoting precipitation, and their special optical properties (Sato et al., 2005).

When matter interacts with light several phenomena can occur and light can be: absorbed, scattered (i.e. or Rayleigh scattering) or absorbed and re-emitted (i.e., fluorescence). In the case of AuNPs all these phenomena are strongly enhanced due to the unique interaction of light with free electrons on their surface. Since light wavelength and nanoparticles are at the same scale, when gold nanoparticles are exposed to light, its electromagnetic field causes a collective oscillation of the conduction-band electrons at the surface of the nanoparticles, forming instantaneous dipoles. These dipoles cancel the electromagnetic radiation of the same frequency, "pseudo-absorbing" light (Eustis and El-Sayed, 2006). The synchronised oscillation of the free electrons with the electromagnetic field is called surface plasmon resonance (SPR). The SPR of gold nanoparticles depends on their size and shape; for spherical, 14nm diameter nanoparticles, the resonance occurs in the visible spectrum region (520nm) giving them a brilliant red colour (Huang et al., 2007). Bigger nanoparticles tend to blue colours due to a shift in the SPR peak to 600 nm region. When close enough, AuNPs change their optical properties, behaving as single bigger particles and shifting the SPR band to longer wavelengths. For that reason when AuNPs aggregate their colour changes to blue (Baptista et al., 2006; Sato et al., 2005).

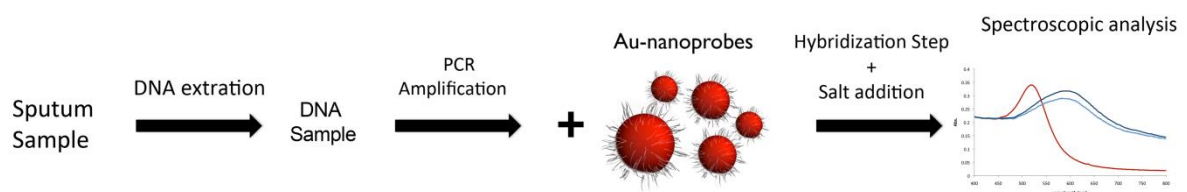
### **1.2.2 Non-cross-linking Method**

Several approaches of DNA detection using AuNPs functionalised with oligonucleotides (Au-nanoprobes) have been described (Baptista et al., 2006; Sato et al., 2003). In this work, a non-cross-linking method was used, based on the Watson-Crick base pair complementarity of DNA and salt-induced aggregation of AuNPs (Baptista et al., 2006; Watson and Crick, 1953). Basically, a DNA sample is added to the Au-nanoprobes followed by a salt that promotes the nanoprobes' aggregation. In the presence of complementary DNA, the latter hybridises with the probe, protecting Au-nanoprobes from aggregation. If the target is non-complementary, the Au-nanoprobes remain

unprotected, thereby aggregating. The colorimetric change of disperse versus aggregated nanoparticles allows a simple visual analysis which can also be quantified in a spectrophotometer. Due to the specificity of DNA base pairing, single base mismatched sequences can be discriminated, since they confer less protection to the Au-nanoprobe than full complementary sequences (Veigas et al., 2010).

### 1.2.3 Tuberculosis Detection with Au-nanoprobes

Au-nanoprobes have already proven their potential in the molecular diagnostic of TB, distinguishing not only MTBC from Non-MTBC (Baptista et al., 2006; Costa et al., 2010) but also mutations associated with antibiotic resistance. Veigas et al. (2010) described the use of Au-nanoprobes for the characterisation of the three most relevant codons in *rpoB* gene associated to RIF resistance (Veigas et al., 2010). Since Au-nanoprobes present higher stability in the presence of full complementary targets a two-probe approach was addressed, with one probe harbouring a wild type (WT) sequence and the other a mutated (Mut) sequence. Considering that biological targets can only be WT or Mut, the redundancy of the two probes gives robustness to the result (Veigas et al., 2010). For its simplicity, speed and low-cost, this method has the capacity to fulfil clinical needs at point-of-care (Baptista et al., 2008).



**Figure 1.1** Au-nanoprobes non-cross-link method for the diagnostics of TB

### 1.3 Scope of the Thesis

The molecular methods for diagnostic of TB are still unsuited for clinical needs, especially in the most affected countries where resources are limited (Parsons et al., 2011). The huge impact of point-of-care diagnostic makes it an important research topic with direct implication in peoples' lives.

A method has already been developed for the discrimination of the most common mutations associated with resistance to RIF using Au-nanoprobes, but there is no method for the analysis of mutations associated with resistance to INH, the second most important TB drug. The scope of this work is thus to develop a molecular method at point-of-care, able to assess the most common *inhA* mutation associated with resistance to INH, prevalent in Portuguese strains. It will also be addressed the possibility of complementing the developed protocol with the existing method of *rpoB* mutation discrimination. The use of a one-step amplification method for both *loci* results in a more complete profile of resistances and reduces time and costs.

## 2. MATERIALS AND METHODS

### 2.1 Materials

#### 2.1.1 Equipment

**Table 2.1** Equipment

Equipment model	Company
UV-Vis Spectrophotometer UV Mini-1240	Shimadzu, Germany
UV-Vis Spectrophotometer Nanodrop ND-1000	Nanodrop Technologies, USA
Microplate reader Infinite M200 with Absorbance module	Tecan, Switzerland
Microfuge 1-14 Sartorius Sigma	SIGMA, Germany
Thermal Cycler DNA Engine	Bio-Rad, USA
Gel Doc XR+ Molecular Imager system	Bio-Rad, USA
Ultrasonic bath Elmasonic S10H	Elma, Germany
pH meter Basic 20 with combined glass electrode 5209	Crison, Spain
Power supply electrophoresis	Bio-Rad, USA
DLS, SZ-100	Horiba, Japan

#### 2.1.2 Other Materials

- Quartz Absorption Cell – 105.202-QS (Hellma, Germany)
- NAP-5 columns (GE Healthcare, Sweden)
- 384 well small volume, LoBase Polystyrene microplates, black (Greiner Bio-One, Germany)

#### 2.1.3 Chemical Reagents

The chemicals used in this work were purchased from Sigma-Aldrich with the highest purity available unless stated otherwise.

#### 2.1.4 Biological reagents

**Table 2.2** Biological reagents

Product	Company
dNTPs Mix	Bioline, United Kingdom
DreamTaq DNA polymerase	Fermentas, Canada



GelRed®	Fermentas, Canada
GeneRuler™ DNA Ladder Mix, ready-to-use	Fermentas, Canada
Oligonucleotides	StabVida, Portugal
RNase A endoribonuclease	Fermentas, Canada

### 2.1.5 Biological Sequences

All the following oligonucleotides 5'-thiol-(CH<sub>2</sub>)<sub>6</sub> modified were resuspended in 100 µL of 1 M DTT and incubated for 1 h at room temperature. Afterwards, 900 µL of sterile milli-Q H<sub>2</sub>O was added to achieve a final concentration of 0.1M Dithiothreitol (DTT) and stored at -20°C.

All the following non-modified oligonucleotides were resuspended in sterile milli-Q H<sub>2</sub>O to achieve a final concentration of 100 pM and stored at -20°C.

**Table 2.3** Biological Sequences

Name	Sequence (5'- 3')	Comments
<i>rpoB</i> FW *	GAG AAT TCG GTC GGC GAG CTG ATC C	Primer
<i>rpoB</i> RV *	CGA AGC TTG ACC CGC GCG TAC ACC	Primer
<i>inhA</i> FW *	CCT CGC TGC CCA GAA AGG GA	Primer
<i>inhA</i> RV *	ATC CCC CGG TTT CCT CCG GT	Primer
<i>inhA</i> -15 WT Comp.	AAT CAT CCC GTA ACA TAG TAG TCT CGC CGC GGC CGG GCC G	Target
<i>inhA</i> C-15T Comp.	AAT CAT CCC GTA ACA TAG TAA TCT CGC CGC GGC CGG GCC G	Target
<i>rpoB</i> 531 WT Comp.	AAT CAT CCC GTA ACA TAG CAC AAG CGC CGA CTG TCG GC	Target
<i>rpoB</i> S531L Comp.	AAT CAT CCC GTA ACA TAG GAC AAG CGC CGA CTG TCG GC	Target
MTBC Comp.	TGG AAC TAT GAG TTG GAC GTG GAG GCG ATC	Target
Non-Comp.	TTG AGT ATC AAG GTG ATC GCC TCC ACG TCC	Target
MTBC *	Thiol - GAT CGC CTC CAC GTC C	Probe
<i>rpoB</i> 531 WT *	Thiol - GCC GAC AGT CGG CGC TTG TG	Probe
<i>rpoB</i> S531L *	Thiol - GCC GAC AGT CGG CGC TTG TC	Probe
<i>inhA</i> -15 WT	Thiol - CGG CCC GGC CGC GGC GAG AC	Probe
<i>inhA</i> C-15T	Thiol - CGG CCC GGC CGC GGC GAG AT	Probe

\* Note: The *rpoB* primers and probes, and the MTBC probe were defined according to Veigas et. al (2010). The *inhA* primers were designed in collaboration with Grupo de Micobactérias, at Instituto de Higiene e Medicina Tropical (IHMT/UNL), Portugal.

### 2.1.6 Solutions

- **AGE I**

2% (w/v) SDS

10 mM phosphate buffer pH 8

Sterilised by filtration (0.22 µm) and stored at 4°C. Warm up to 25°C before used.

- **AGE II**

1.5 M NaCl

0.01% (w/v) SDS

10 mM phosphate buffer pH 8

Sterilised by filtration (0.22 µm) and stored at 4°C. Warm up to 25°C before used.

- **Alkaline Lysis I (ALI)**

50 mM Glucose

25 mM Tris-HCl pH 8

10mM EDTA pH 8

Sterilised by autoclaving and stored at 4°C.

- **Alkaline Lysis II (ALII)**

200 mM NaOH

1% (w/v) SDS freshly prepared (room temperature).

- **Alkaline Lysis III (ALIII)**

3M sodium acetate (The pH was adjusted to 4.8 with glacial acetic acid)

Stored at 4°C and kept in ice during use.

- ***Aqua Regia***

One volume of HNO<sub>3</sub> to three volumes of HCl

- **Luria-Bertani (LB) medium**

1% (w/v) tryptone

0.5% (w/v) yeast extract

171 mM NaCl

The pH was adjusted to 7 with NaOH, sterilised by autoclaving and stored at 4°C.

- **Phosphate buffer (10 mM) pH 8.0**

9.32mM Na<sub>2</sub>HPO<sub>4</sub> mM

0.68 mM NaH<sub>2</sub>PO<sub>4</sub>

Sterilised by autoclaving and stored at 4°C.

- **Sodium Citrate (0.5M) pH 3**

0.5M sodium citrate

The pH was adjusted to 3 with pure HCl, sterilised by autoclaving and stored at 4°C.

- **TAE Buffer 1X**

40 mM Tris-Acetate

1 mM EDTA

Tris-acetate was made with Tris-Base and Glacial Acetic Acid. The solution was sterilised by autoclaving and stored at 4°C until further use.

## **2.2 Methods**

### **2.2.1 Molecular Biology**

#### **2.2.1.1 Plasmid Extraction**

1. An E. coli colony was inoculated in 10 mL of LB medium with 100 µg/mL ampicillin and incubated at 37°C 16h with agitation.
2. Cells were pelleted by centrifuging at 1844g for 5 min in 1.5 mL tubes.
3. The supernatant was discarded and the pellet was resuspended in 100 µL of ice-cold AL I solution.
4. After 5 minutes on ice, 200 µL of AL II solution was added and mixed by inversion.
5. After 5 minutes on ice, 150 µL of AL III solution was added and mixed by vortex.
6. After 15 minutes on ice, the lysate was centrifuged at 21,460 g for 5 minutes.
7. The supernatant was transferred to a sterile tube and 2 volumes of ice-cold 100% ethanol were added.
8. The plasmid DNA was left to precipitate at -20°C for 2 hours
9. The precipitate was centrifuged at 21460 g for 15 minutes and the supernatant was discarded.
10. The pellet was washed with 500 µL of ice-cold 70% ethanol.
11. The pellet was dried with the help of a speed-vac and resuspended in 50 µL of sterile milli-Q H<sub>2</sub>O.
12. RNase A was added to a final concentration of 25 µg/ml and incubated for 1 hour at 37°C.
13. Two extractions with 1 volume of phenol were performed, followed by one extraction with chloroform.
14. Plasmid DNA was precipitated with ethanol by repeating steps 7 to 11

### 2.2.1.2 Polymerase Chain Reaction

#### Simplex reaction

1x DreamTaq Buffer  
0.2  $\mu$ M primer Fw  
0.2  $\mu$ M primer Rv  
0.2 mM dNTP mixture  
0.1 U/ $\mu$ L DreamTaq polymerase

#### Multiplex Reaction

1x DreamTaq Buffer  
0.2  $\mu$ M *inhA* Fw  
0.2  $\mu$ M *inhA* Rv  
0.2  $\mu$ M *rpoB* Fw  
0.2  $\mu$ M *rpoB* Rv  
0.4 mM dNTP mixture  
0.1 U/ $\mu$ L DreamTaq polymerase

#### Reaction program

5 min 94° Pre-Denaturation  
30s 94° Denaturation  
30s 58° Annealing  
45s 72° Extension  
5min 72° Final Extension  
Pause 4°

} 35 cycles

## 2.2.2 Nanotechnology

### 2.2.2.1 Synthesis of Gold Nanoparticles adapted from Lee and Meisel (1982)

All glass materials used for the synthesis of AuNPs were previously immersed in *aqua regia* for 2 hours and later washed with milli-Q H<sub>2</sub>O (18.2 M $\Omega$ .cm at 25 °C). All metal materials used during synthesis were covered with Teflon and Milli-Q H<sub>2</sub>O was used in all solutions.

1. In a 500 mL round bottom flask, 250 mL of 1 mM HAuCl<sub>4</sub> were brought to a boil while in reflux and vigorously stirring.
2. Then 25 mL of 38.8 mM sodium citrate were added and after 20 minutes the colloidal solution was left to cool to room temperature.
3. The solution was then transferred to a 500 mL Erlenmeyer and stored in the dark at room temperature.
4. AuNPs concentration was determined by the Lambert–Beer law assuming a molar absorptivity for the SPR peak (526 nm) of 2.33 $\times 10^8$  M<sup>-1</sup> cm<sup>-1</sup> (Baptista et al., 2005).
5. Morphological characterisation of the AuNPs was performed by Transmission Electron Microscopy (TEM) and Dynamic Light Scattering (DLS).

### 2.2.2.2 Thiol-modified Oligonucleotides Preparation

1. One volume of thiol-modified oligonucleotide was extracted with two volumes of ethyl acetate.
2. The organic phase was discarded after centrifuging for 5 minutes at 21460 g.
3. Two more extractions were performed repeating steps 1 and 2.

4. The remaining aqueous phase was further purified through a NAP-5 column, accordingly to manufacturer's instructions, using 10 mM phosphate buffer pH 8 as eluent.

5. The purified thiol-modified oligonucleotide was quantified by UV/Vis spectroscopy with help of NanoDrop, using the optical density at 260 nm provided.

#### **2.2.2.3 Synthesis of Nanoprobes (Salt-Aging Method)**

1. In a polypropylene 25 mL vial with a conical skirted base, the purified thiol-modified oligonucleotide was mixed with a ~15 nM AuNPs solution in a theoretical ratio of 1:200 (AuNP:oligos).

2. AGE I solution was then added to achieve a final concentration of 10 mM phosphate buffer pH 8, 0.01% (w/v) SDS.

3. Afterwards, the ionic strength of the solution was sequentially increased in 50 mM increments by adding a certain volume of AGE II solution up to a final concentration of 10 mM phosphate buffer pH 8, 0.3 M NaCl, 0.01% (w/v) SDS and let rest at room temperature for an o/n period.

NOTE: After each AGE solution addition, the vial was submersed in an ultrasound bath for 10 seconds and let to rest at room temperature for 20 minutes before the next increment.

4. The functionalised AuNPs were distributed in 2 mL tubes and centrifuged at 21460 g for 40 minutes.

5. The supernatant was discarded and the resulting oily pellet was washed twice with 10 mM phosphate buffer pH 8 and once with 10 mM phosphate buffer pH 8 0.1M NaCl.

6. The resulting pellets were then mixed and the concentration of functionalised AuNPs was determined by the Lambert–Beer law assuming a calculated molar absorptivity for the SPR peak (526 nm) of  $2.33 \times 10^8 \text{ M}^{-1} \text{ cm}^{-1}$ .

7. By diluting the resulting functionalised AuNPs solution (stock solution) with 10mM phosphate buffer pH 8 0.1M NaCl a final concentration of 15 nM was obtained and the colloidal solution was stored in the dark at 4°C.

#### **2.2.2.4 Synthesis of Nanoprobes (pH Assisted Method)**

1. In a polypropylene 25 mL vial with a conical skirted base, the purified thiol-modified oligonucleotide was mixed with a ~15 nM AuNPs solution in a theoretical ratio of 1:200 (AuNP:oligos).

2. Then, 500mM sodium citrate pH 3 was added up to a final concentration of 20mM and let the solution rest for 30min.

3. Steps 4-7 of Salt-Aging Method were performed

#### **2.2.2.5 Nanoprobes Stability Assays**

1. A solution containing 15 nM Au-nanoprobe (Au-nanoprobe final concentration of 2.5 nM) and 10 mM phosphate buffer pH 8 alone was prepared by heating for 10 minutes at 95°C and cool down for 10 minutes at 24 °C

2. The solution was mixed with increasing concentrations of MgCl<sub>2</sub> solution to fulfil a total volume of 30 µL.

3. The solutions were transferred to microplate wells and UV-visible spectroscopic measurements were registered 30 minutes after salt addition in a microplate reader.

#### **2.2.2.6 Non-cross-linking Detection Assays**

1. Assay solutions containing the Au-nanoprobes and target DNA were prepared by mixing the appropriate DNA sample with the Au-nanoprobe solution (final concentration 2.5 nM), and by using 10 mM phosphate buffer pH 8 to fulfil the final volume. A blank solution was prepared by replacing the DNA for an equivalent volume of 10 mM phosphate buffer pH 8.

2. The solutions were heated for 10 min at 95°C and then allowed to cool down for 10 minutes at 24 °C.

3. The solutions were mixed with specific concentrations of MgCl<sub>2</sub> solutions to fulfil a total volume of 30 µL (Au-nanoprobe final concentration of 2.5 nM).

4. The solutions were transferred to microplate wells and UV-visible spectroscopic measurements were registered 30 minutes after salt addition in a microplate reader.

#### **2.2.2.7 TEM Analysis**

Samples of AuNPs were sent to Instituto de Ciência e Engenharia de Materiais e Superfícies (ICEMS/IST), Portugal, for TEM analysis. The samples were prepared by depositing 10 µL of the as-prepared colloidal suspensions in carbon copper grids, washing twice with 10 µL of Milli-Q water, and air dried. TEM was performed with a HITACHI H-8100 microscope operated at 200 kV. Particles size and shape were determined by analysing the TEM pictures using the imaging software Carnoy 2.0.

#### **2.2.2.8 DLS Analysis**

The hydrodynamic diameter of AuNPs was determined by DLS. A total volume of 100 µL of 2.5 nM AuNPs was first stabilised for 15 minutes at 25°C and then a total of 60 µL was measured for each sample. DLS analyses were performed at Departamento de Química (FCT\UNL).



### 3. RESULTS AND DISCUSSION

#### 3.1 Design and Characterisation of *inhA* primers and probes

##### 3.1.1 Primers and Probe Design

RIF resistance is mostly characterised by mutations in *rpoB* gene, with special relevance to codon 531. The nucleotide sequences used for amplification and detection of this gene were previously described in literature by Veigas et al. (2010). The *rpoB* PCR primers used are specific for MTBC members, and the MTBC probe serves as second line MTBC identification. Using this probe, electrophoretic gel analysis is discarded, since it serves as indicator of PCR amplification (Veigas et al., 2010). The probe used for mutation discrimination within the *rpoB* gene harboured the mismatch at the 3' nucleotide as it is the best discriminating position (Doria et al., 2007; Veigas et al., 2010).

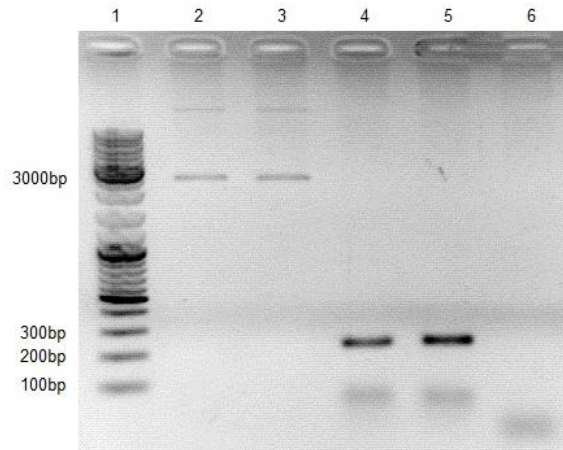
INH resistance in Portugal is characterised by mutation in *inhA*, namely in its promoter region. *inhA* primers were designed considering that they should flank the mutation site and that their melting temperatures (TM) should be similar to the ones from *rpoB* primers, thus allowing the amplification of both *loci* in parallel. When designing *inhA* amplicon size it was taken in consideration that it should be different enough from *rpoB* to allow electrophoretic gel analysis differentiation during development stage, and close enough to have similar hybridisation kinetics – *inhA* amplicon size was 248 bp and *rpoB*, 395 bp. The chosen *inhA* primer sequences flanked the mutation site and had similar primer melting temperatures to the *rpoB* primers – *inhA* primers TM was 62° and 63.5°; and *rpoB* primers TM was 63° and 66°. The *inhA* probe was also designed to harbour the mismatch at the 3' nucleotide.

##### 3.1.2 Target Preparation

During the development stage of this work, DNA sequences of MTBC were required. In order to be independent from DNA extraction of limited biological samples, four plasmids were designed and cloned in collaboration with Faculdade Farmacia, UL, Portugal. These clones contained both WT and Mut sequences of *inhA* and *rpoB* genes.

The first step of this thesis was to extract plasmid DNA from *inhA* -15 WT and *inhA* C-15T clones by alkaline lysis. The successful extraction was confirmed by electrophoretic analysis in agarose gel and by spectroscopy (Figure 3.1). Presence of a single absorbance peak at 260 nm indicated high purity of DNA without contaminants. A PCR reaction using *inhA* primers was optimised using different thermo cycler programs as well as different reagent concentrations. Both *inhA* WT and Mut PCR products were sequenced and mutation sites were confirmed (Figure 3.1 and Appendix A1). The PCR products were analysed by UV-Vis, the DNA concentration was calculated using Nanodrop ND-1000 (Nanodrop Technologies, USA) and the targets were used for Au-nanoprobes detection.





**Figure 3.1** Electrophoretic analysis in 1% agarose gel of *inhA* WT and Mut plasmids (lane 2-3) and respective PCR products (lane 4-5) amplified with *inhA* primers (248 bp amplicon); lane 6 is the negative control

### 3.1.3 AuNPs Synthesis and Characterisation

Citrate capped AuNPs, were synthesised to achieve a final average diameter of 14nm, as previously described in similar non-cross link approaches (Costa et al., 2010; Veigas et al., 2010). After synthesis, three different techniques were used to confirm the desired size. Firstly the UV-Vis spectrum was analysed and theoretical size was calculated using equation 3.1 (Haiss et al., 2007). Presence of a single 520 nm SPR peak indicates relatively monodisperse spherical particles and the calculated mean diameter was 13.5 nm.

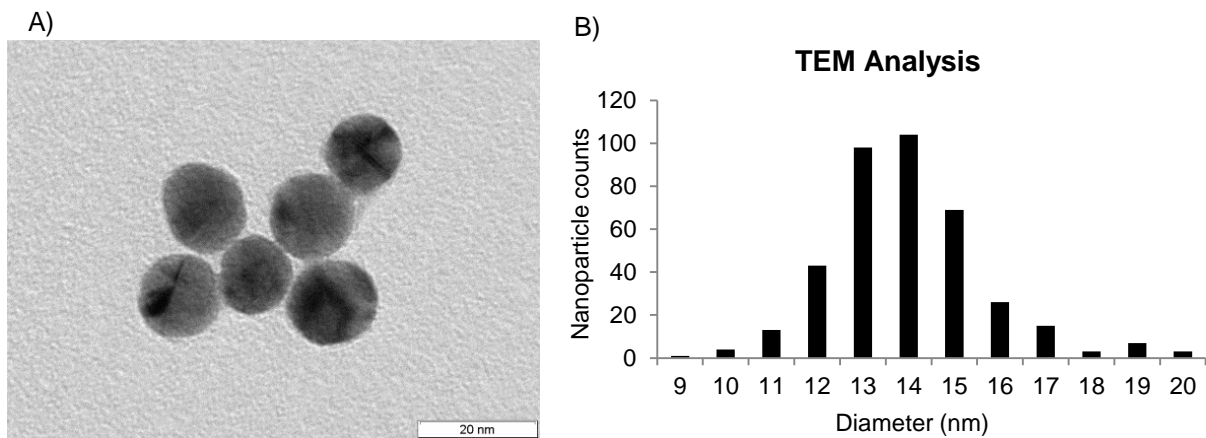
$$d = 3 \frac{Abs_{520}}{Abs_{450}} - 2.2$$

**Equation 3.1** Equation to calculate AuNPs' mean size using UV-Vis spectrum; d is the mean diameter and Abs is the absorbance value at the described wavelength (Haiss et al., 2007).

Characterisation through DLS can also give information about AuNPs size. This technique is based on the differential scattering of colloids according to their Brownian movement in solution, which is directly related to the AuNPs size or, more precisely its hydrodynamic radius. The hydrodynamic radius of AuNPs is for instance affected by the molecules at its surface, either capping or functionalisation (Kato et al., 2009). The DLS analysis revealed an AuNPs mean diameter size of 17.4 nm ( $\pm 0,415$ ) which is higher than the previous calculated diameter (Figure 3.3). This can be explained by the citrate capping of the AuNPs that lead to an increase in its hydrodynamic radius. The DLS result for this reason converges with calculated UV-Vis spectrum size, indicating the expected AuNPs size.

The third method used for the characterisation of AuNPs was TEM. This method allowed the direct visualisation of the AuNPs core and shape and the definite confirmation of their size. Ten random pictures were taken and nanoparticle size was measured representing a total of 387 counted

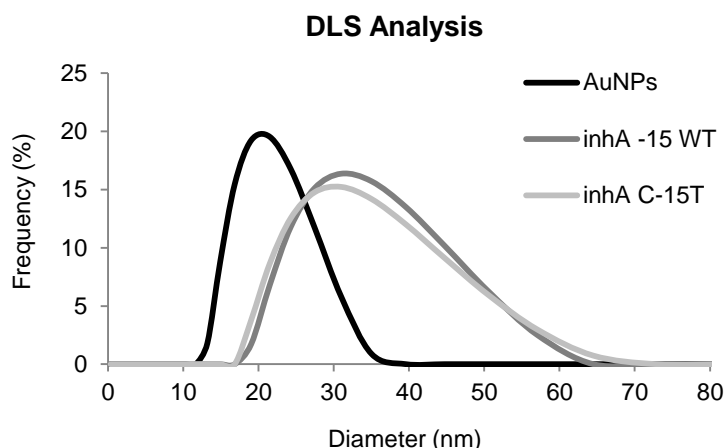
AuNPs. The expected size and shape were confirmed, with mean a diameter of 13.9 nm ( $\pm 1.7$  nm) spherical AuNPs (Figure 3.2).



**Figure 3.2** Characterisation of AuNPs by TEM; A) TEM picture of spherical nanoparticles with approximate 14 nm diameter size; B) histogram of analysed particles by measured diameter, with a mean diameter of 13.9 nm ( $\pm 1.7$  nm)

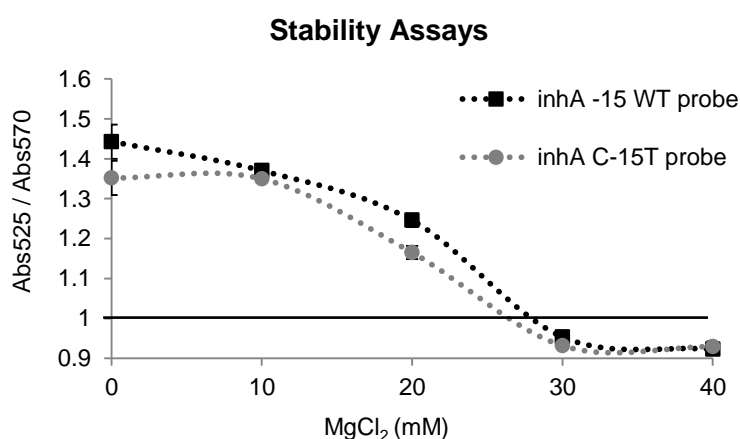
### 3.1.4 Au-nanoprobes Characterisation

After guaranteeing the desired size and shape of the AuNPs, functionalisation was performed using *inhA* -15 WT and *inhA* C-15T probe sequences with salt aging method. Three different approaches were used to confirm functionalisation. Firstly, both UV-Vis spectra were analysed and a shift in the SPR peak was observed from 520 nm to 525 nm, meaning an increase in the hydrodynamic radiuses. To prove this the probes were analysed by DLS, which confirmed an increase in diameter from 17.4 nm ( $\pm 0,415$ ) to 31 nm ( $\pm 0,807$ ) and 29.5 nm ( $\pm 0,283$ ) for WT and Mut probes respectively (Figure 3.3). Assuming that the distance between dsDNA base pairs is 0.34 nm (Watson and Crick, 1953) and knowing that the probe has 20 nucleotides, an increase of 13.6 nm in the hydrodynamic diameter would be expected (6.8 nm for each side). Considering also that the citrate capping is removed during functionalisation, 14 nm diameter gold core plus 13.6 nm of oligonucleotides should have approximately 27.6 nm. The difference between expected values and experimental values can be explained by: the thiol group size being ignored; Watson and Crick's model referring to dsDNA while the probes are ssDNA; or by the solvation around AuNPs.



**Figure 3.3** DLS Analysis of AuNPs and Au-nanoprobes; an increase in hydrodynamic radius is observed in both probes, when compared to naked particles from 17.4 nm ( $\pm 0,415$ ) to 31 nm ( $\pm 0,807$ ) and 29.5 nm ( $\pm 0,283$ ). The quality of the data was assessed through the auto-correlation curves of the three measurements represented in Appendix A2

The last evidence of functionalisation was the increase in AuNPs colloidal stability in solution. Citrate capped AuNPs easily aggregate in the presence of salts that increases the ionic strength in solution. After functionalisation, the oligonucleotides protect the AuNPs from aggregation maintaining their stability at higher salt concentrations (Sato et al., 2003). A series of stability assays were made and it was observed that the maximum shift in SPR peak was 570 nm, hence defining this wavelength as reference for AuNPs aggregated species. The 30 mM concentration of  $MgCl_2$  was defined as fully aggregating both probes, since the ratio between 525nm (SPR peak for dispersed particles) and 570 nm was less than 1. This threshold was set to define whether there were more dispersed or aggregated nanoparticles in solution (Figure 3.4).

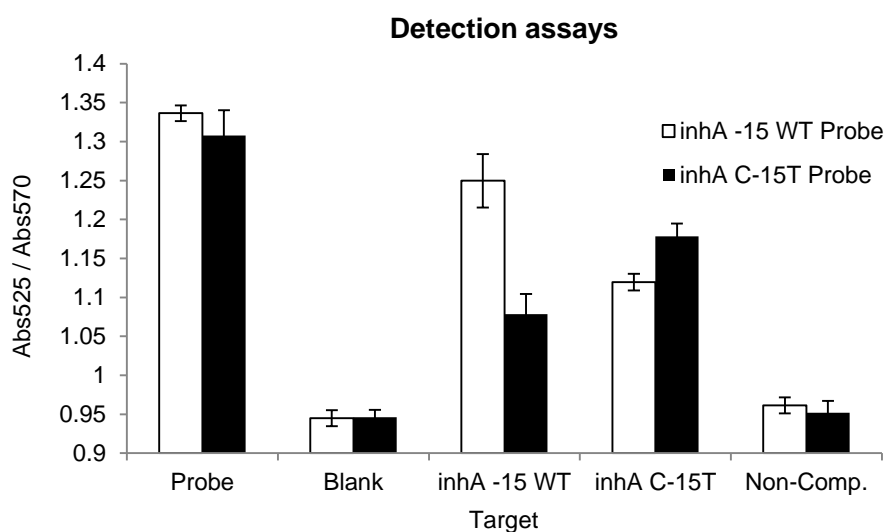


**Figure 3.4** Au-nanoprobes stability assays at various concentrations of  $MgCl_2$ . The abs 525 nm / 570 nm ratio was calculated and for values lower than 1 the nanoprobes were considerate full aggregated. For both WT and Mut probes 30 mM of  $MgCl_2$  was set as the concentration for full aggregation.

### 3.1.5 Au-nanoprobes Calibration

Once proven the functionalisation of the AuNPs it was necessary to demonstrate their capacity to detect complementary targets and to discriminate single base mismatches. Synthetic oligonucleotides were firstly used since they are single stranded and have a high level of purity with much less interferences than PCR products. It was observed an increase in AuNPs stability upon hybridisation with a complementary target. This stabilisation effect is due to the steric hindrance created around AuNPs, since the synthetic oligonucleotides have not only a complementary region but also a ~20 bp tail. The formation of dsDNA structures, more rigid than ssDNA (Li and Rothberg, 2004) with oligonucleotide tails shields the AuNPs, reducing the probability of aggregation and increasing their stability.

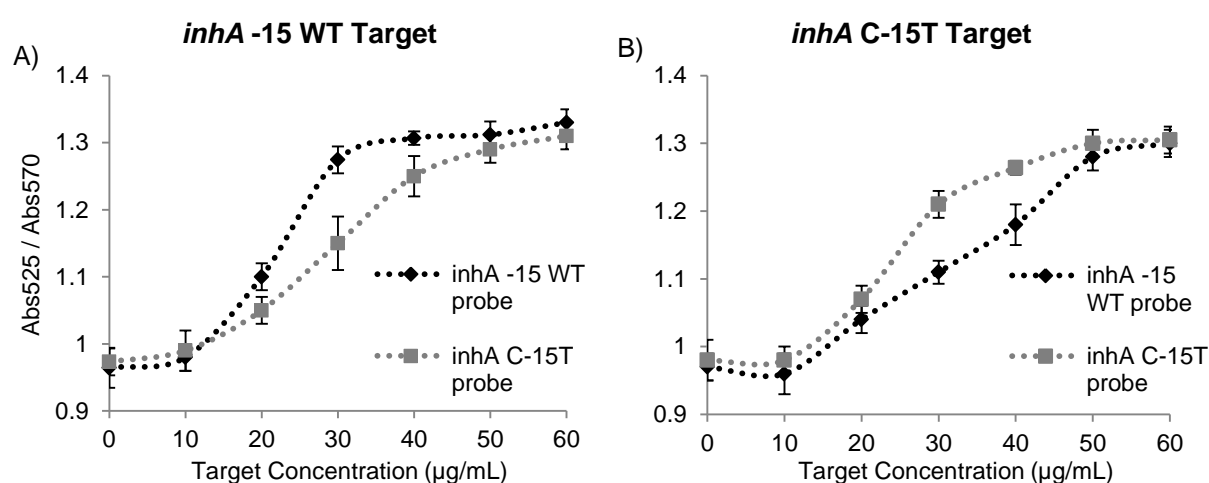
As the main scope of the thesis was to discriminate single base mismatches (point mutations related to drug resistance), the AuNPs' efficiency toward discrimination of mismatched targets was also tested. The stated hypothesis was that mismatched targets would not stabilise AuNPs as much as full complementary targets. This proved to be correct with more Au-nanoprobes aggregated in the presence of mismatch targets than full complementary targets. This can be explained due to higher dissociation constants of mismatch targets conferring less protection to Au-nanoprobes in high ionic strength (Doria et al., 2007). The next step was to demonstrate the capacity of Au-nanoprobes to discriminate mutations using PCR products.



**Figure 3.5** Au-nanoprobes detection assays using 30 µg/mL of PCR product; 30mM of MgCl<sub>2</sub> was used in all assays except from Probe; in Blank and Probe assays, no DNA was added. Higher stabilisation is observed in probes in presence of full complementary targets than single base mismatched.

Several components of PCR interfere with Au-nanoprobes stability, such as salts, dNTPs and proteins (Eichmann and Bevan, 2010; Zhao et al., 2007). In order to remove these interferences the same volume of PCR product was added to each assay. Three replicate assays were performed for each probe. This way, the only variability between assays that had the same PCR product was the

probe used. The Au-nanoprobes then proved to be capable of discriminating single mutation in PCR products (Figure 3.5). Several concentrations of both targets were plotted using both probes in order to determine the best concentration for mismatch discrimination (Figure 3.6). The results showed a direct correlation between target concentration and Au-nanoprobes stability, where higher concentrations resulted in higher stability. This effect was more prominent when the probe and the target were fully complementary compared with mismatch cases. This can be explained by the different dissociation constants of DNA between full hybridised complementary sequences and mismatched ones. The range between  $\sim 20 \mu\text{g/mL}$  and  $\sim 50 \mu\text{g/mL}$  of target DNA set the lower and upper thresholds of DNA discriminative concentration. As  $30 \mu\text{g/mL}$  presented the highest discriminating capacity for both probes, was used in the following assays.

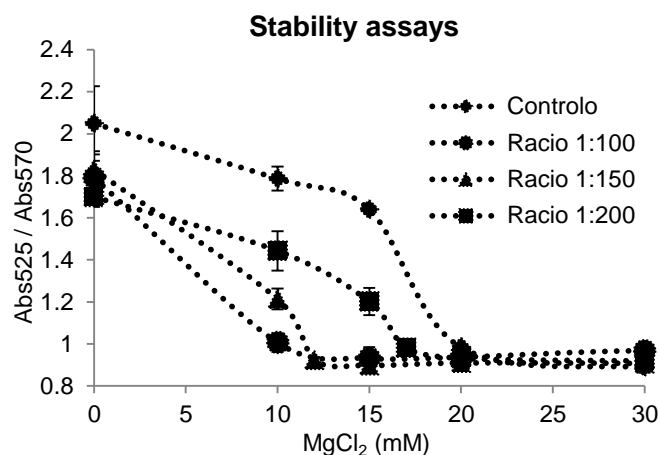


**Figure 3.6** Optimisation of PCR product concentration for Au-nanoprobes discrimination assay. Various concentrations of A) *inhA* -15 WT target and B) *inhA* C-15T target were tested with *inhA* probe set. All assays were performed with 30 mM of  $\text{MgCl}_2$ . The ratio between absorbance at 525 nm and 570 nm was calculated and a range between  $\sim 20 \mu\text{g/mL}$  and  $\sim 50 \mu\text{g/mL}$  allowed discrimination between WT and Mut targets;  $30 \mu\text{g/mL}$  was defined as the best discriminative concentration

### 3.2 Dual Loci Characterisation

#### 3.2.1 Au-nanoprobes Synthesis Method

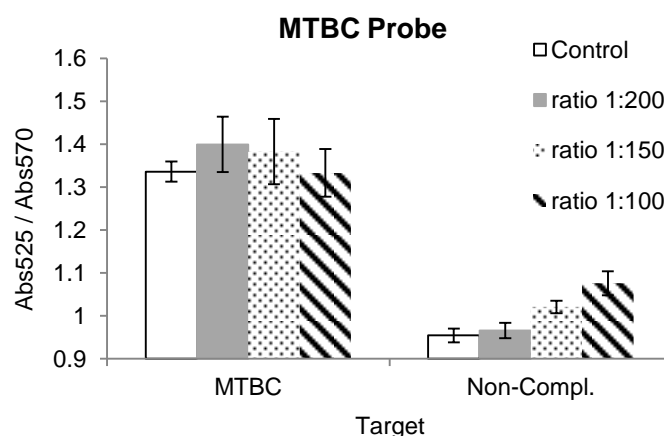
The salt aging method is long known and established, for functionalisation of AuNPs. However, functionalisation takes two days due to an overnight incubation during the process. Zhang et al. (2012) recently described a pH-assisted method for the functionalisation of AuNPs that takes just one day with the same results (Zhang et al., 2012). I tested this new method against the standard method to assess its applicability. Four MTBC probes were synthesised using the pH assisted synthesis protocol, with four theoretical ratios of AuNP:oligos – 1:50, 1:100, 1:150 and 1:200. During synthesis the 1:50 ratio probe aggregated and was therefore discarded from further tests. The other three probes were characterised and it was observed an increase in stability for higher functionalisation ratios, proving a growing density of oligos per nanoparticle (Figure 3.7).



**Figure 3.7** Au-nanoprobes stability assays for pH-assisted synthesis; the following concentrations of MgCl<sub>2</sub> were defined as the minimum to aggregate: Control, 20mM; Ratio 1:200, 17mM; Ratio:1:150, 12mM; Ratio 1:100 10mM

Synthetic oligos and *rpoB* PCR products were then used for detection assays. A MTBC probe used as control was synthesised using the salt-aging method for method comparison. The results suggest a slightly higher stability when using a 1:200 ratio to discriminate between MTBC and non-MTBC, however there was no statistically significant difference between any of the three studied ratios and the control (Figure 3.8). Curiously, the stability also increased with non-complementary targets along with the decrease in ratio functionalisation. This can be explained by unspecific DNA adsorption to Au-nanoprobes since they are less functionalised, that stabilises the nanoparticles.

As no significant advantage was observed in using this new method of synthesis, all further assays were performed with salt-ageing synthesised probes.

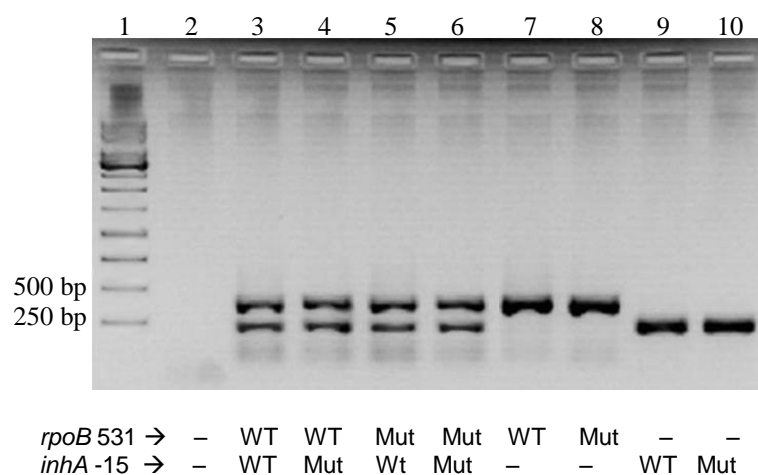


**Figure 3.8** Au-nanoprobe detection assays using MTBC probe synthesised by pH assisted method with different ratios; the control probe was synthesised by salt-aging method; a complementary (MTBC) and a non-complementary target were used; for each probe the minimum salt concentration for aggregation was assayed and used (Figure 3.7). No statically significant difference was observed between probes

### 3.2.2 Multiplex PCR

In order to simplify the method of DNA amplification and to reduce time and costs, a multiplex PCR approach was tested. The aim was to amplify the two most relevant *loci* associated with RIF and INH resistance in a single reaction, and test the capacity to discriminate both mutations in parallel. All the previous work of production and characterisation of targets and probes was repeated using MTBC, *rpoB* WT and *rpoB* Mut sequences. AuNPs were functionalised, characterised and tested to guarantee accurate discrimination.

Multiplex PCR reactions were performed with both pairs of primers and twice the concentration of dNTPs. To prove it was possible to assess both *loci*, four reactions were prepared with the four possible combinations between WT and Mut templates of *inhA* and *rpoB* plasmids. Amplification of both templates was confirmed by agarose gel electrophoresis and similar intensities in both codons were observed (Figure 3.9). This demonstrates that the primers were accurately designed to have similar yields, since the same concentration of both templates was used, and the same amount of product was achieved.



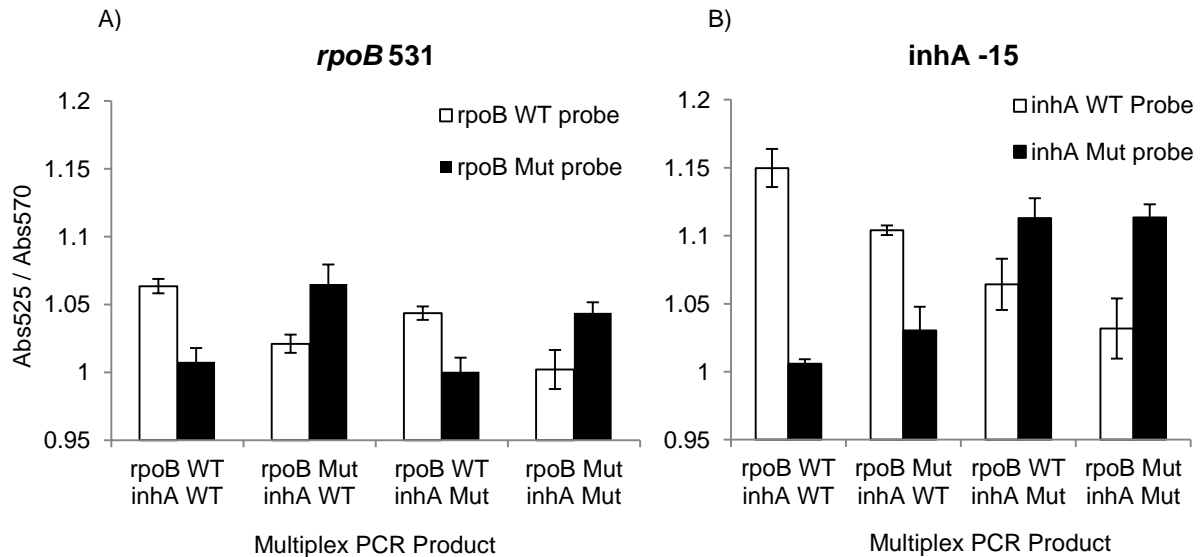
**Figure 3.9** Electrophoretic analysis in 1% agarose of the four combinations of *rpoB* and *inhA* multiplex PCR products (lane 3-6) and simplex products (lane 7-8 for *rpoB* and lane 9-10 for *inhA*); lane 1 is the negative control

### 3.2.3 Target Concentration for Detection Assays

The optimal concentration of PCR amplified target for discrimination between WT and Mut sequences of *rpoB locus* was described in the literature as 30 µg/mL (Veigas et al., 2010); this concentration also proved to be the best for *inhA locus*. Since both amplicons showed similar amplification yields, a 60 µg/mL of multiplex PCR products was used in the detection assays.

The four multiplex PCR products above mentioned were tested with both *inhA* and *rpoB* probe sets to confirm its ability to detect the desired mutations in parallel. In all cases the mutations were correctly assessed, with probes stabilising more in the presence of full complementary targets

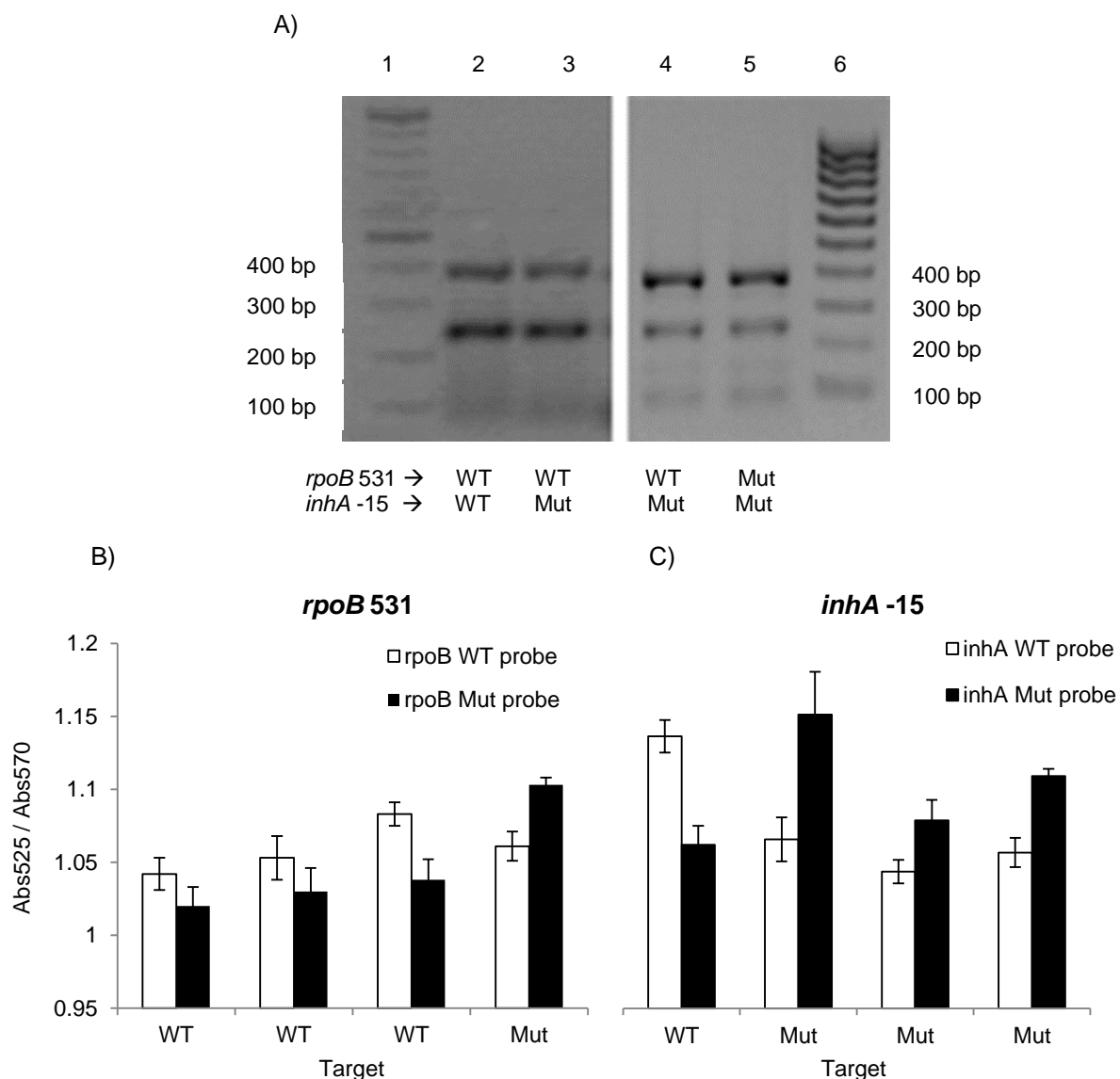
compared to single base mismatched ones (Figure 3.10). However it was noted an overall decrease in stability, which can be explained by the volume of PCR product used in the assays. As twice the target concentration was needed, in general twice the volume of PCR product was added to each detection assay, resulting in twice the concentration of salts. Since no adjustment to the 30mM MgCl<sub>2</sub> was done, multiplex PCR detection assays had more salts than simplex assays, leading to a general destabilisation of Au-nanoprobes (compare Figure 3.5 with Figure 3.10).



**Figure 3.10** Au-nanoprobe detection assays of multiplex PCR products. The four combinations of WT and Mut sequences of *inhA* -15 and *rpoB* 531 were tested with A) *rpoB* 531 probe set and B) *inhA* -15 probe set. The absorbance ratio 525 nm / 570 nm is used to assess the level of aggregation. For all combinations the correct genotype was assessed.

Through electrophoretic analysis in agarose gel, it was noted in some cases a difference in amplification yield of both amplicons, which can be explained by the stochastic characteristics of PCR and/or by different plasmid template DNA in the reaction (Figure 3.11 A). For such cases Au-nanoprobe detection was performed and despite the lower concentration of one of the amplicons it was still possible to accurately assess mutations (Figure 3.11 B, C). The reason for this is, as show in Figure 3.6, that mutation detection is possible when using between ~20 µg/mL and ~50 µg/mL of target DNA. Therefore, an accurate result can be obtained even in these cases despite the variability in PCR amplifications..





**Figure 3.11** Au-nanoprobe detection using unbalanced multiplex PCR products. A) electrophoretic analysis in 1% agarose gel of four unbalanced products, two with higher amplification of *inhA* amplicon (lane 2-3) and two with higher amplification of *rpoB* amplicon (lane 4-5), lane 1 and 6 are molecular rulers; B) Au-nanoprobe detection assays using the products from lanes 2-5 respectively, with *rpoB* 531 probeset and C) *inhA* -15 probeset

It was already proven that non-complementary PCR products do not interfere with the hybridisation of fully complementary targets in the Au-nanoprobes assays (Veigas, 2009). In this work it was shown that the capacity to detect single base mismatches is also unaffected by a non-complementary target since a second amplicon did not interfere with the results accuracy. The capacity of Au-nanoprobes to detect single mutations is also unaffected by unbalanced multiplex amplifications, as long as the minimum discriminative concentration is present in the assays.

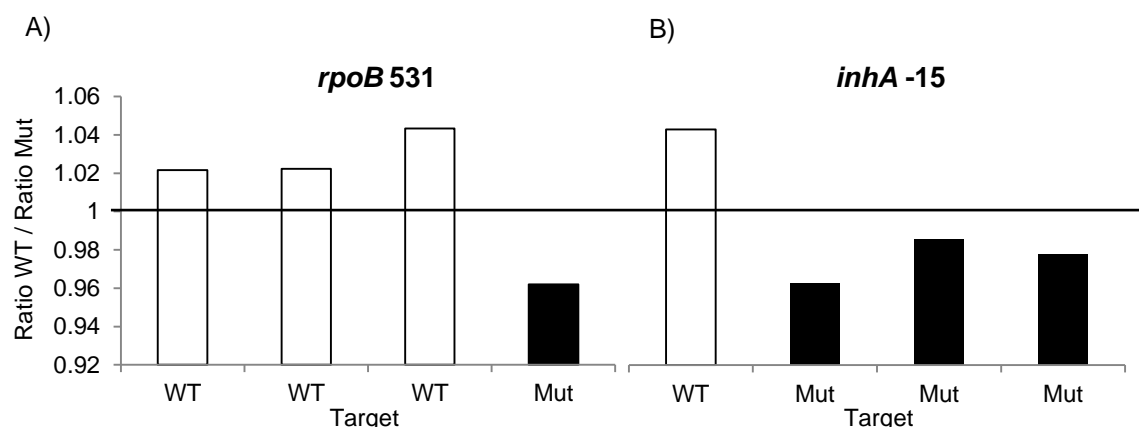
### 3.2.4 Data Analysis

Aggregation profiles were analysed in terms of the ratio of Abs 525 nm / Abs 570 nm (dispersed vs. aggregated species) for each Au-nanoprobe. A threshold of 1 can be considered where values > 1 indicate that the Au-nanoprobes are mostly non-aggregated, whereas a value < 1 indicates aggregation. For the MTBC Au-nanoprobe, this approach indicates the presence or absence of MTBC DNA in the sample, serving as MTBC identifier and amplification sensor. In contrast, when using a set of Au-nanoprobes targeting WT and Mut sequences, the result is given by two probes, one fully complementary with the WT sequence and another with the Mut sequence. The most stable probe, which has a higher ratio of Abs 525 nm / Abs 570 nm in the presence of the target, sets the result. To assess two *loci*, it is necessary to analyse four values compared in pairs, so a simpler approach was used to ease results readout: the ratio between the Abs 525 nm / Abs570 nm value for the WT probe was divided by the ratio of the Mut probe (Equation 3.2).

$$X = \frac{\text{WT} \frac{\text{Abs525}}{\text{Abs570}}}{\text{Mut} \frac{\text{Abs525}}{\text{Abs570}}}$$

**Equation 3.2** Ratio of WT \ Mut Au-nanoprobes. The absorbance ratio (525 nm / 570 nm) of WT probe is divided by the absorbance ratio of the Mut probe. When  $X > 1$  the WT probe is more stable and when  $X < 1$  the Mut is more stable

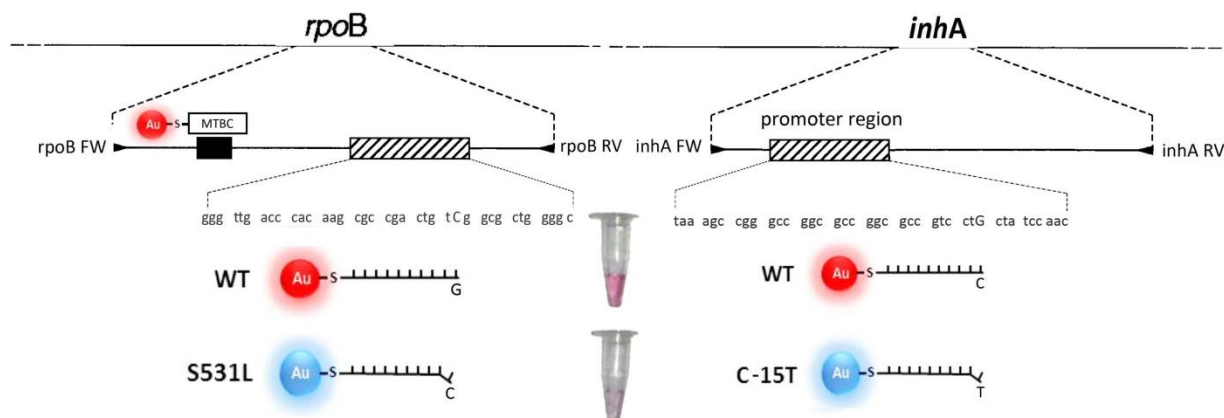
Values >1 identify assays in which the WT probe was more stable than Mut probe, and thus the sample presented the WT sequence; whereas values <1 indicate the presence of mutation. With this approach the previous four values readout is reduce to a two values readout with a threshold of one. To exemplify the easiness of the read, the data of Figure 3.9 B was analysed using this approach (Figure 3.12).



**Figure 3.12** Analysis of data using ratio of WT and Mut probes; A) and B) represent data from Figure 3.11 B,C analysed using Equation 3.2; values higher than one are considerate WT (in white) and lower Mut (in black)

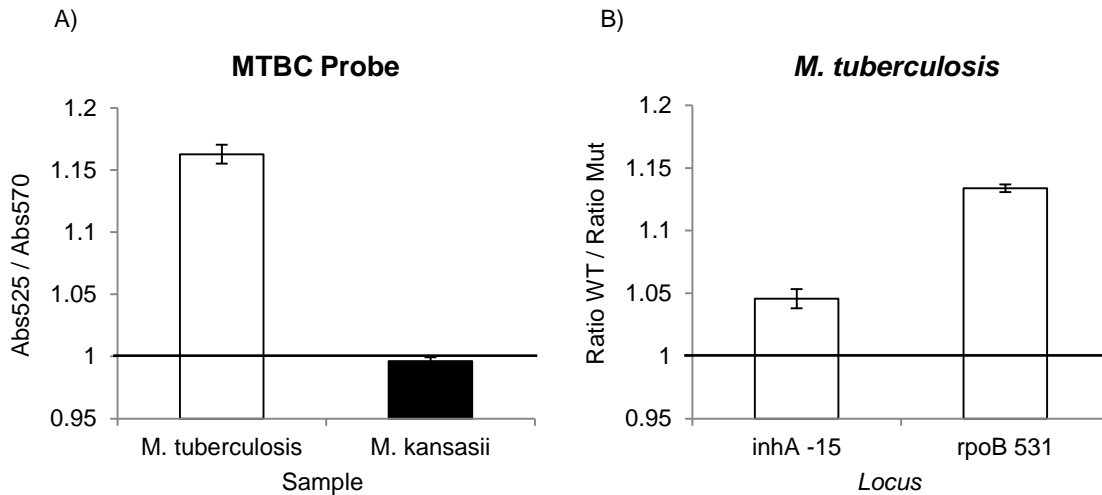
### 3.2.5 Detection and Characterisation of Samples

A methodology was then designed for the assessment of MTBC members, *inhA* -15 and *rpoB* 531 mutations, consisting on a multiplex PCR and testing the products with the MTBC Au-nanoprobe; if the result returns negative (blue), no further testing is required since it is probably not TB. The test only fails if nucleic acids amplification is under the limit of detection, or in atypical TB; if the result returns positive (red), the two *loci* are evaluated to search for possible resistances (Figure 3.13).



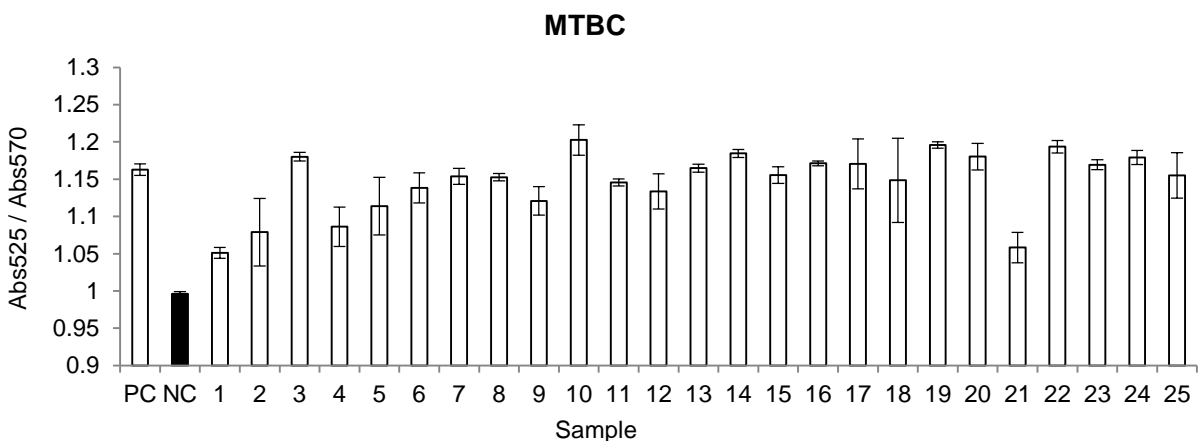
**Figure 3.13** Schematics of multiplex PCR and Au-nanoprobes colorimetric detection. The two most relevant *loci* are amplified and MTBC probe is tested, then two sets of probes assess for mutations. The solutions spectra are taken and the stable probe (red), is compared with the unstable probe (blue) to set the result. In this case the sample would be MTBC positive and WT for both *loci*

To prove the capacity of the methodology in a laboratory set up, biological samples were used. *Mycobacterium* DNA was extracted from sputum samples in collaboration with Prof. Miguel Viveiros and Prof. Isabel Couto from Grupo de Micobactérias, at Instituto de Higiene e Medicina Tropical (IHMT/UNL), Portugal. Hence two known samples – a *M. tuberculosis* reference sample and a *M. kansasii* (non-MTBC) – were successfully amplified and tested with the MTBC probe. The *M. kansasii* sample returned negative and the *M. tuberculosis* returned positive, further being used as negative and positive controls (Figure 3.14 A). For the *M. tuberculosis* sample, *inhA* -15 and *rpoB* 531 mutations were also evaluated; as expected the sample had a WT genotype for both *loci* that was confirmed by sequencing analysis (Figure 3.14 B).



**Figure 3.14** Au-nanoprobes detection of negative and positive controls; A) MTBC probe was tested with the multiplex PCR product of *M.tuberculosis* sample and *M. kansasii* sample; B) *M.tuberculosis* multiplex PCR product was tested using *inhA -15* and *rpoB 531* probesets, the ratio of WT \ Mut probes was calculated; positive results are in white and negative in black

For the proof-of-concept, a set of 25 DNA samples with susceptible and resistant genotypes were blindly tested with this methodology. The *Mycobacterium* DNA was extracted from pre-cultured sputum; the samples were amplified by multiplex PCR and the results were all positive when tested with the MTBC probe. Interestingly the two samples with lowest values of detection (1 and 21) were the ones with faintest PCR amplification. This means that a greater volume of PCR product was added to the assays to reach a concentration of 30  $\mu\text{g}/\text{mL}$ . Consequently the Au-nanoprobes got more unstable due to salts in PCR that were not taken into account (Figure 3.15).

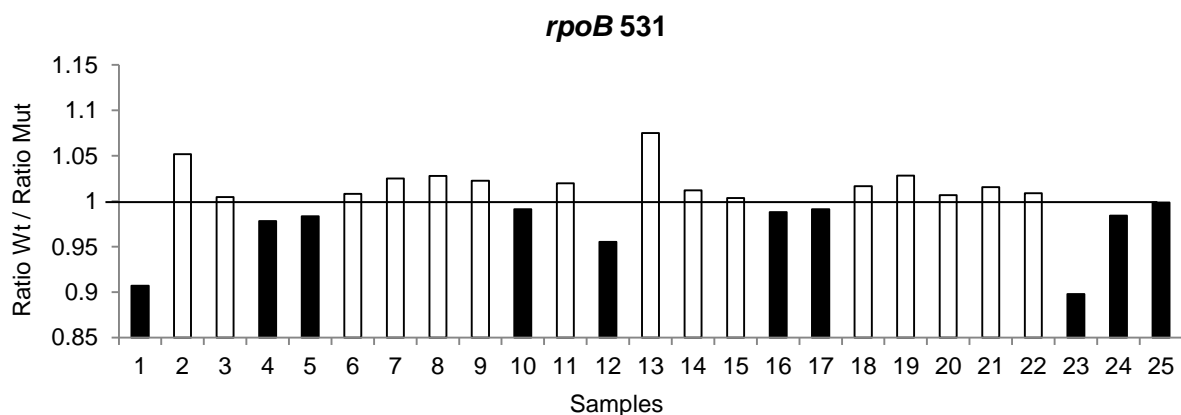


**Figure 3.15** Multiplex PCR products tested with MTBC probe where PC is the positive control and NC the negative control. The threshold of 1 sets the result; positive values are in white and negative in black

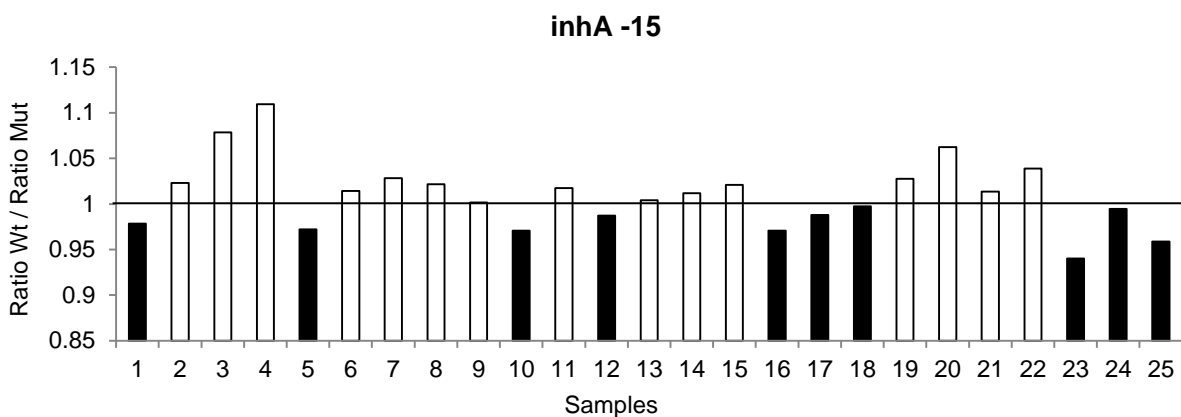
Then *inhA* and *rpoB* probesets were used to assess the two relevant mutations (Appendix A3). For the *rpoB 531* mutation, 3 samples had ratios of WT / Mut close to one ( $1 \pm 0.005$ ), meaning that

the stability values for WT probes were almost the same as Mut probes, leading to inconclusive results (samples 3, 15, 25). The *rpoB* S531L mutation was detected in 9 samples indicating a profile of RIF resistance and the remaining samples were considered susceptibility with a WT genotype (Figure 3.16 A). For *inhA* -15 mutation, 4 ratios had values close to one, lacking a conclusive result (samples 9, 13, 18, 24). The *inhA* C-15T mutation was detected in 8 samples indicating a profile of INH resistance and the remaining samples were considerate WT with INH susceptibility (Figure 3.16 B). It is noteworthy that this genotyping analysis gives only an indication of the phenotype and not a definitive profile of resistance.

A)



B)



**Figure 3.16** Au-nanoprobe assays of the 25 samples where the ratio of WT probe was divided by the Mut probe; A) probe set ratio of *rpoB* 531, were values >1 indicate a WT genotype (in black) and <1 a Mut genotype (in white); B) the same approach used for *inhA* -15 probe set.

The samples were tested with a commercial line probe assay screening test and with a liquid medium culture system (performed at Grupo de Micobacterias, IHMT). Comparing the Au-nanoprobes system results with the line probe assay a 100% concordance was attained for the MTBC probe, *rpoB* and *inhA* probesets. Also the 7 inconclusive results from both *loci* turned out to be correctly assessed showing that the threshold for undefined results ( $1 \pm 0.005$ ) was incorrectly set. Meaning that despite being near 1, all ratios WT / Mut were accurate. It should be mentioned, that the Au-nanoprobe

strategy described is capable of the same level of detection but with reduced time, and costs, thus speeding up diagnostics. Interestingly within 11 mutated samples, 9 had both mutations, 1 had only *inhA* C-15T and 1 had only *rpoB* S531L showing that these mutations are correlated and tend to appear simultaneously between these mutations in the tested population, as it was previously describe in literature (Viveiros, 2005).

The liquid medium culture analysis assessed the profile resistance of RIF and INH of the 25 samples. For RIF resistance all samples were in concordance with the assessed genotype, with 10 RIF resistant strains and 15 susceptible. For INH resistance, 14 samples showed resistance including the 10 *inhA* C-15T samples, and representing an accuracy of 84%. The four INH resistant samples that showed no *inhA* C-15T were sequenced and two mutations in *katG* locus were found, G494A (sample 3) and S315T (samples 4 and 6). In sample 13, no known mutation was detected, possibly representing a strain with an unknown mechanism of resistance (Table 3.1). As it was early explained, *rpoB* mutations are straightly related with RIF resistance, most precisely codon 531, as this study also corroborates. Mutations in *inhA* usually represent half of the INH resistant cases but not in the Lisbon Region where there is a higher incidence of this mutation, as the studied population demonstrates. These results indicate, once again, that the chosen mutations are the most relevant for RIF and INH resistance in Lisbon Region.

**Table 3.1** Phenotypes and genotypes of the tested samples, using liquid medium culture, line probe assay and Au-nanoprobe assay methods

Sample	Culture RIF/INH	Line Probe <i>rpoB/inhA</i>	Au-nanoprobe <i>rpoB/inhA</i>	Other Mutations
1	R/R	+/+	+/+	
2	S/S	-/-	-/-	
3	S/R	-/-	-/-	<i>katG</i> G494A
4	R/R	+/-	+/-	<i>katG</i> S315T
5	R/R	+/+	+/+	
6	S/R	-/-	-/-	<i>katG</i> S315T
7	S/S	-/-	-/-	
8	S/S	-/-	-/-	
9	S/S	-/-	-/-	
10	R/R	+/+	+/+	
11	S/S	-/-	-/-	
12	R/R	+/+	+/+	
13	S/R	-/-	-/-	Not found
14	S/S	-/-	-/-	
15	S/S	-/-	-/-	
16	R/R	+/+	+/+	
17	R/R	+/+	+/+	
18	S/R	-/+	-/+	
19	S/S	-/-	-/-	
20	S/S	-/-	-/-	
21	S/S	-/-	-/-	

22	S/S	-/-	-/-
23	R/R	+/+	+/+
24	R/R	+/+	+/+
25	R/R	+/+	+/+

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Note: R – Resistant; S – Susceptible; (+) – Mut; (-) – WT

#### 4. CONCLUSION

In this study, the already described method for the characterisation of *rpoB* mutations was successfully ported to the *inhA* C-15T mutation, hence allowing the complete characterisation of a new set of probes. The minimum salt concentration that induced both probes aggregation was defined at 30 mM of MgCl<sub>2</sub> as well as the best discriminating contraction between WT and Mut sequences using PCR product, 30 µg/mL. The advantages of using the pH assisted method for probe synthesis were not confirmed and consequently all probes were synthesised using the salt-aging method. It was also demonstrated a two-step approach based on the simultaneous PCR amplification of the two *loci* of interest followed by Au-nanoprobes characterisation of the molecular alterations involved in MDR-TB. These two mutations are particular to a specific geographic location with an uncommon and particular genotypic profile of MDR-TB, but clearly demonstrate the potential of the strategy for application to other clinical settings. A new method of data analysis was also proposed to simplify results read reducing the analysis of both codons from 4 values to 2 values. The Au-nanoprobe system proved to be capable of sensitive and specific detection of MTBC members and mutations associated with RIF and INH resistance, while being easy to perform without the need for expensive and complex laboratory set up. Following PCR amplification, the Au-nanoprobe system takes only 50 minutes to yield a colorimetric result that can be easily coupled to a portable device/platform that allows the analysis at a peripheral laboratory or in the field (Silva et al., 2011).

However, this method does not solve all the problems, remaining some issues to overcome. Based on DNA genotyping it shares the same problems of all DNA molecular methods, namely: the characterisation of the genotype and not the phenotype, which are discordant in some cases; and not stating the cellular viability of the microorganisms. It still depends on nucleic acid amplification that requires lab technicians and routine lab material. Despite that, this technique proved to be as robust as others in the market, and bypassed a limiting factor, the cost, since the materials used have the potential to greatly lower the price of the final product, making it available at point-of-care.





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## APPENDIX

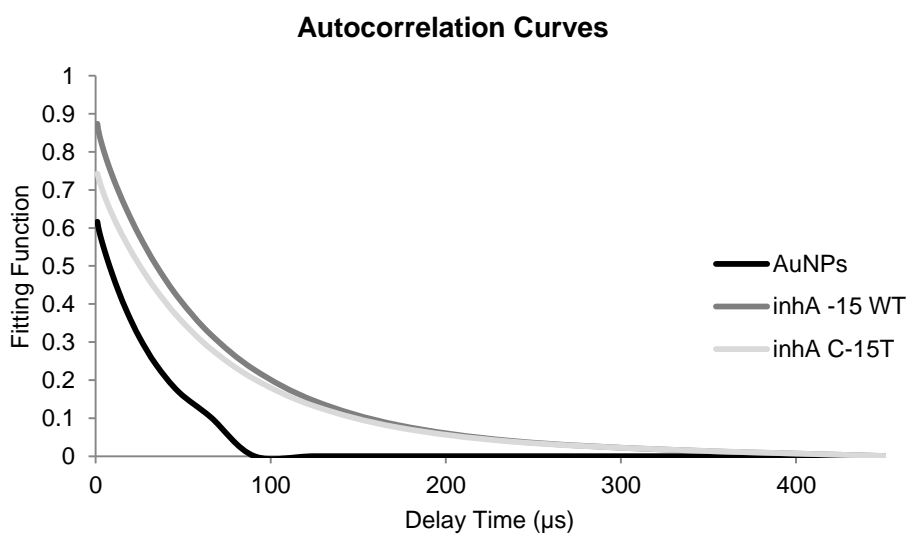
### A1 Results of the DNA sequencing analysis plus primers

*inhA* amplification sequence

```
CCTCGCTGCCAGAAAGGGATCCGTCATGGTCTGAAGTGTGCTGAGTCACACCGACAAACGTCAC
GAGCGTAACCCAGTGCGAAAGTTCCCGCCGAAATCGCAGCCACGTTACGCTCGTGGACATAC
CGATTTCCGGCCCGCCGCGGCGAGACGATAGGTTGTCTGGGGTGACTGCCACAGCCACTGAAGG
GGCCAAACCCCATTCGTATCCCGTTCAGTCTCTGGTTACCGGAGGAAACCGGGGGAT
```

*rpoB* amplification sequence

```
CTGCGTACGGTCGGCGAGCTGATCCAAAACAGATCCGGGTCCGGCATGTCGCGGATGGAGCGG
GTGGTCCGGGAGCGGATGACCACCCAGGACGTGGAGGCGATCACACCGCAGACGTTGATCAAC
ATCCGGCCGGTGGTTCGCCGCGATCAAGGAGTTCTTCGGCACCAGCCAGCTGAGCCAATTCATGG
ACCAGAACAACCCGCTGTCTGGGGTTGACCCACAAGCGCCGACTGTCGGCGCTGGGGCCCGGCG
GTCTGTACGTGAGCGTGCCGGGCTGGAGGTCCGCGACGTGCACCCGTCGCACTACGGCCGGA
TGTGCCCGATCGAAACCCCTGAGGGGGCCCAACATCGGTCTGATCGGCTCGCTGTCTGGTGTACGC
GCGGGTCAACCCGTT
```



**Figure A2** Autocorrelation curves of DLS measurements for *inhA* probes

**Table A3** Ratio of WT probes (Abs525 \ Abs570) divided by ratio of Mut probes (Abs525 \ Abs570). Values >1 mean higher stability of WT probes, and <1 higher stability of Mut probes. Mutated genotypes (+) and wildtype (-).

Sample	Ratio <i>rpoB</i> WT/ <i>rpoB</i> Mut	Ratio <i>inhA</i> WT/ <i>inhA</i> Mut	<i>rpoB</i> 531	<i>inhA</i> -15
1	0.907	0.979	+	+
2	1.052	1.023	-	-
3	1.005	1.079	-	-
4	0.978	1.109	+	-
5	0.983	0.972	+	+
6	1.008	1.014	-	-
7	1.025	1.028	-	-
8	1.028	1.022	-	-
9	1.023	1.002	-	-
10	0.991	0.971	+	+
11	1.02	1.017	-	-
12	0.955	0.987	+	+
13	1.075	1.004	-	-
14	1.012	1.012	-	-
15	1.004	1.021	-	-
16	0.988	0.971	+	+
17	0.991	0.988	+	+
18	1.017	0.997	-	+
19	1.028	1.027	-	-
20	1.007	1.062	-	-
21	1.015	1.014	-	-
22	1.009	1.039	-	-
23	0.898	0.94	+	+
24	0.984	0.994	+	+
25	0.999	0.959	+	+