



Mariana Campos de Matos

Licenciatura em Ciências da Engenharia Química e Bioquímica

**EFFICIENT SUCCINIC ACID PRODUCTION FROM
GLYCEROL BY *ACTINOBACILLUS SUCCINOGENES*
USING DIMETHYL SULFOXIDE AS ELECTRON
ACCEPTOR**

Dissertação para obtenção do Grau de Mestre
em Engenharia Química e Bioquímica

Orientador: Doutor Christophe François Aimé Roca

Co-orientador: Prof. Doutora Maria Ascensão C.F. Miranda Reis

Júri:

Presidente: Prof. Doutora Isabel Maria Rola Coelho
Arguente: Doutora Maria Filomena Andrade de Freitas



FACULDADE DE
CIÊNCIAS E TECNOLOGIA
UNIVERSIDADE NOVA DE LISBOA

Julho de 2012

Mariana Campos de Matos

**EFFICIENT SUCCINIC ACID PRODUCTION FROM
GLYCEROL BY *ACTINOBACILLUS SUCCINOGENES*
USING DIMETHYL SULFOXIDE AS ELECTRON
ACCEPTOR**

Dissertação para obtenção do Grau de Mestre
em Engenharia Química e Bioquímica

Julho de 2012

Copyright Mariana Campos de Matos, FCT-UNL, UNL

A Faculdade de Ciências e Tecnologia e a Universidade Nova de Lisboa tem o direito, perpétuo e sem limites geográficos, de arquivar e publicar esta dissertação através de exemplares impressos reproduzidos em papel ou de forma digital, ou por qualquer outro meio conhecido ou que venha a ser inventado, e de a divulgar através de repositórios científicos e de admitir a sua cópia e distribuição com objectivos educacionais ou de investigação, não comerciais, desde que seja dado crédito ao autor e editor.

A elaboração deste projecto não seria possível sem o auxílio de várias pessoas. Por isso, queria agradecer a todos aqueles que, de uma forma ou de outra, acompanharam, contribuíram e ajudaram à sua concretização. Desta forma, em primeiro lugar, gostaria de agradecer ao Doutor Christophe Roca, à Professora Doutora Maria Ascensão Reis e à Margarida Carvalho pela forma como me receberam, pelo apoio que me deram ao longo destes meses e pelos ensinamentos que sempre estiveram dispostos a partilhar. Quero também agradecer aos colegas do grupo de Engenharia Bioquímica e de Processos pela ajuda que deram no laboratório, pelo companheirismo e pelos momentos de descontração. Por último, um muito obrigado à família e aos amigos e amigas que estiveram sempre lá nos momentos mais difíceis, sempre com uma palavra de coragem e incentivo.

Um muito obrigado a TODOS pela ajuda, pela paciência, pela compreensão e pela força que deram em todos os momentos que precisei!

ABSTRACT

Glycerol (GLR), the main byproduct of the biodiesel industry, has become today a key feedstock for the bioproduction of various high value-added products. In particular, it can be used as cheap raw material for biological conversion into succinic acid (SA), a process that could compete with the presently used petrochemical conversion and open new perspectives for the commercialization of succinic acid, currently limited by its high production costs. *Actinobacillus succinogenes* is one of the most efficient SA producers. However, glycerol consumption by this biocatalyst is limited due to a redox imbalance during cell growth. The aim of this work was to develop and further improve a process of SA bioproduction by *A. succinogenes* using glycerol as sole carbon source and dimethyl sulfoxide (DMSO) as electron acceptor.

Results confirmed that DMSO increased glycerol utilization by the bacteria. Additionally, we could observe that DMSO concentration clearly affects growth and succinic acid production: DMSO concentrations between 0.5 and 1% were optimal for *A. succinogenes* growth and SA production.

During batch cultivation, in controlled bioreactors and using MgCO_3 (20 $\text{g}\cdot\text{L}^{-1}$) and NaOH (200 $\text{g}\cdot\text{L}^{-1}$) as neutralizing agents, SA reached 34.1 $\text{g}\cdot\text{L}^{-1}$. Volumetric productivity and product yield were 1.0 $\text{g}\cdot\text{SA}\cdot\text{L}^{-1}\cdot\text{h}^{-1}$ and 0.92 $\text{g}\cdot\text{SA}\cdot\text{g}\cdot\text{GLR}^{-1}$, respectively, the highest results reached so far in glycerol fermentations by *A. succinogenes*. We also reported that sodium ions could cause cell agglomeration in concentrations above 7 $\text{g}\cdot\text{L}^{-1}$, flocculation could reduce cell viability limiting mass transfer through the agglomerates, and consequently compromise SA production. Overall, during batch cultivation submitted to pulse of glycerol and DMSO, high SA concentration could be reached above 36.3 $\text{g}\cdot\text{SA}\cdot\text{L}^{-1}$ but, this concentration seems to be also inhibitory for cell growth and higher concentration could not be obtained during this project probably for that reason. Finally, we could observe that a minimum DMSO concentration is actually required to initiate growth and to produce SA efficiently from glycerol.

Additionally, crude glycerol revealed to be a good carbon source for SA bioproduction. During batch cultivation, SA reached 18.3 $\text{g}\cdot\text{L}^{-1}$, with a product yield of 0.93 $\text{g}\cdot\text{SA}\cdot\text{g}\cdot\text{GLR}^{-1}$ and low volumetric productivity of 0.28 $\text{g}\cdot\text{SA}\cdot\text{L}^{-1}\cdot\text{h}^{-1}$.

Keywords: *Actinobacillus succinogenes*, Dimethyl sulfoxide (DMSO), Glycerol and Succinic acid.

RESUMO

O glicerol (GLR), o principal subproduto da indústria do biodiesel, tem vindo a tornar-se uma matéria-prima fundamental na bioprodução de vários produtos de valor acrescentado. Em particular, este pode ser usado como matéria-prima barata para conversão biológica em ácido succínico (SA). Este bioprocessos pode competir o actual processo de produção, de origem petroquímica, abrindo novas perspectivas à comercialização deste produto, actualmente limitada pelos elevados custos de produção. A bactéria *Actinobacillus succinogenes* está relatada como uma das mais eficientes quanto à produção deste ácido. No entanto, o consumo de glicerol por este biocatalizador está condicionado devido a um desequilíbrio redox aquando da formação de biomassa. O objectivo deste trabalho foi, portanto, desenvolver, e posteriormente melhorar, um processo de produção de SA cujo princípio se baseia na conversão biológica de glicerol pela bactéria *A. succinogenes*, usando dimetilsulfoxido (DMSO) como aceitador de electrões.

Os resultados confirmaram que a adição de DMSO fez aumentar notavelmente a quantidade de glicerol que a bactéria consegue metabolizar. Adicionalmente, observou-se que a concentração de DMSO afecta claramente o crescimento e a produção de ácido succínico: concentrações na gama dos 0.5% e 1% (v/v) são óptimas para o crescimento celular e produção de SA.

Durante cultivo em *batch*, em bioreactor controlado e usando MgCO_3 (20 g.L^{-1}) e NaOH (200 g.L^{-1}) como agentes de neutralização, atingiu-se uma concentração de SA de 34.1 g.L^{-1} . A produtividade volumétrica e rendimento em produto foram de $1.0 \text{ g-SA.L}^{-1}.\text{h}^{-1}$ e $0.92 \text{ g-SA.g-GLR}^{-1}$, respectivamente, valores reportados como sendo os mais elevados para fermentações de glicerol por *A. succinogenes*. No decorrer dos ensaios também foi observado que o ião sódio, em concentrações superiores a 7 g.L^{-1} , pode causar aglomerados celulares. Problemas de floculação celular em bioreactor podem reduzir a viabilidade celular, limitando a transferência de massa nos aglomerados, comprometendo assim a produção de SA. Outro factor que também se pensa ser inibitório para o crescimento celular e produção de SA é a concentração deste último no líquido de fermentação. Após cultivo em *batch* com pulsos de glicerol e DMSO, determinou-se que concentrações de SA superiores a 36.3 g.L^{-1} parecem ser limitantes para o crescimento celular, fazendo com que não seja possível obter concentrações

mais elevadas no decorrer do projecto. Finalmente, foi possível concluir que para que as células iniciem o crescimento e produzam SA eficientemente a partir de glicerol é necessário uma concentração mínima de DMSO.

Adicionalmente, observou-se que o glicerol bruto é uma boa fonte de carbono para produção de SA. Em reactor *batch* obteve-se uma concentração de 18.3 g-SA.L⁻¹ e um rendimento em produto de 0.93 g-SA.g-GLR. No entanto, a produtividade volumétrica obtida foi bastante reduzida (0.28 g-SA.L⁻¹.h⁻¹).

Termos chave: Ácido sucínico, *Actinobacillus succinogenes*, Dimetilsulfoxido (DMSO), Glicerol.

INDEX

1. INTRODUCTION	1
1.1. Succinic Acid	1
a. Applications, production and market	1
b. Bioproduction of SA by microbial fermentation	3
1.2. <i>A. succinogenes</i> as natural succinogen	4
1.3. Glycerol as carbon source for SA production	6
1.4. Overview of glycerol metabolism in bacteria	8
a. Common fermentative metabolism	8
b. Respiratory metabolism in <i>A. succinogenes</i>	10
1.5. Bacterial Growth Kinetics	12
2. MOTIVATION	15
3. MATERIALS AND METHODS	17
3.1. Cultivation conditions	17
3.2. Bioreactor operation	17
3.3. Analytical Techniques	18
a. Cell Growth and Cell Dry Weight (CDW)	18
b. Substrate, organic acids and DMSO concentration	19
3.4. Calculations	19
4. RESULTS AND DISCUSSION	21
4.1. Effect of initial DMSO concentration on bacterial growth, GLR consumption and SA production	21
4.2. Efficient glycerol consumption in controlled batch cultivations with DMSO	23
a. Pure glycerol	23
b. Glycerol rich-product (GRP)	25

4.3.	Optimization of SA production by <i>A. succinogenes</i>	28
a.	Batch cultivation with successive glycerol and DMSO pulses	28
b.	Batch cultivation using successive low concentration DMSO pulses	34
4.4.	Effect of neutralizing agent on bacterial growth and SA production	38
a.	Batch cultivation using NaOH as neutralizing agent	38
b.	Batch cultivation with a mixture of NaOH and Mg(OH) ₂ as neutralizing agent	42
c.	Batch cultivation using MgCO ₃ and NaOH as neutralizing agent combination strategy	44
5.	CONCLUSIONS AND FUTURE WORK.....	49
6.	BIBLIOGRAPHY	51

FIGURES CAPTION

Figure 1.1 – Overview of applications, products and chemicals derived from SA (Beauprez, et al., 2010).....	2
Figure 1.2 – <i>A. succinogenes</i> grown on glucose medium (6 g.L ⁻¹). Magnification: 1000x.	4
Figure 1.3 – Simplified metabolic map of glucose metabolism in <i>A. succinogenes</i> . (Adapted from McKinlay, 2010). Metabolites: AcCoA, acetyl-CoA; Ace, acetate; AcP, acetyl-phosphate; Ald, acetaldehyde; Cit, citrate; EtOH, ethanol; For, formate; Fum, fumarate; Glc, glucose; G6P, glucose-6-phosphate; Icit, isocitrate; αKG, α-ketoglutarate; Mal, malate; OAA, oxaloacetate; PEP, phosphoenolpyruvate; Pyr, pyruvate; Q+, menaquinone; Suc, succinate; SucCoA, succinyl-CoA.	5
Figure 1.4 – Biodiesel transesterification reaction.	6
Figure 1.5 – U.S. biodiesel production and its impact on crude glycerol prices. Crude glycerol produced by the biodiesel industry was estimated assuming the generation of 0.853 lb of glycerol per gallon of biodiesel. (Adapted from Yazdani, 2007).....	7
Figure 1.6 – Metabolic pathways of glycerol-fermenting bacteria. Metabolites: 1,3-PD, 1,3-propanediol; 3HPA, 3-hydroxypropionaldehyde; DHA, dihydroxyacetone; DHAP, dihydroxyacetone phosphate; Gly, glycerol; Gly3P, glycerol 3-phosphate. (Adapted from Schindler, 2011).	9
Figure 1.7 – Typical bacterial growth profile (Lennox, 2001).	12
Figure 4.1 – Effect of DMSO on <i>A. succinogenes</i> 130Z growth after 24 h using glycerol (6 g.L ⁻¹) as carbon source. DMSO concentrations of 0 (A), 0.5 (B), 1 (B) and 2% (v/v) (D).	22
Figure 4.2 – Batch fermentation profile of <i>A. succinogenes</i> using pure glycerol as carbon source and DMSO as electron acceptor. A – Succinate, glycerol and DCW (g) and DMSO (%) profile; B – Formate and acetate (g) profile.	23
Figure 4.3 – Batch fermentation profile of <i>A. succinogenes</i> using crude glycerol as carbon source and DMSO as electron acceptor. A – Succinate, glycerol (g), DMSO (%) and OD profile; B – Formate and acetate (g) production.....	26

Figure 4.4 – Batch cultivation with successive glycerol and DMSO pulses for improved SA production. A – Succinate, glycerol (g) and DMSO (%) profile; B – OD and DCW (g); C – Formate and acetate (g) production.	29
Figure 4.5 – <i>A. succinogenes</i> during batch cultivation using successive GLR and DMSO pulses. A – 7.5 h; B – 28.5 h; C – 50 h; D – 72.5 h.	31
Figure 4.6 – Results of batch cultivation using successive low DMSO concentration pulse. The dotted lines represent the time of DMSO pulses. A – Succinate, glycerol (g) and DMSO (%) profile; B – OD and formate, acetate and ethanol (g) production.	35
Figure 4.7 – <i>A. succinogenes</i> during batch cultivation using successive low concentration DMSO pulses. A – 2 h; B – 6 h; C – 26 h; D – 47 h.	36
Figure 4.8 – Results of batch cultivation of <i>A. succinogenes</i> on glycerol using NaOH as neutralizing agent. A – Succinate, glycerol (g), DMSO (%) and OD profile; B – Formate and acetate (g) production.	39
Figure 4.9 – Increasing concentration of sodium ion by supplying NaOH as neutralizing agent (sodium concentration was calculated excluding the initial sodium concentration in the medium).....	41
Figure 4.10 – Results of batch cultivation of <i>A. succinogenes</i> on glycerol using a mixture of NaOH and Mg(OH) ₂ as neutralizing agent. A – Succinate, glycerol (g), DMSO (%) and OD profile; B – Formate and acetate (g) production.....	43
Figure 4.11 – Results of batch cultivation of <i>A. succinogenes</i> on glycerol using using MgCO ₃ and NaOH as neutralizing agent. A – Succinate, glycerol (g), DMSO (%) and OD profile; B – Formate and acetate (g) production.	45
Figure 4.12 – Increasing concentration of sodium ion by supplying NaOH as neutralizing agent in batch cultivation with MgCO ₃	46

TABLES CAPTION

Table 1.1 – Glucose fermentation of facultative anaerobic SA producing bacteria.	4
Table 1.2 – Comparison of different SA producing bacteria from glycerol.....	10
Table 4.1 – Results of batch fermentation of <i>A. succinogenes</i> using pure glycerol as carbon source and DMSO as electron acceptor.	25
Table 4.2 – Results of batch fermentation of <i>A. succinogenes</i> using crude glycerol as carbon source and DMSO as electron acceptor.	28
Table 4.3 – Batch cultivation with glycerol and DMSO pulses for improved SA production.....	31
Table 4.4 – Summary of batch cultivations using various neutralizing agents.....	41

ABBREVIATIONS

AA – Acetic acid

AcCoA – Acetyl-CoA

CDW – Cell dry weight

DMS – Dimethylsulphide

DMSO – Dimethylsulfoxide

EPS – Exopolysaccharides

FA – Formic acid

GLR – Glycerol

GRP – Glycerol-rich product

OAA – Oxaloacetate

OD – Optical density

PD – Propanediol

PEP – Phosphoenolpyruvate

PHA – Polyhydroxyalkanoates

Pyr – Pyruvate

SA – Succinic acid

TCA – Tricarboxylic acid

NOMENCLATURE

P – product (SA) concentration (g.L^{-1})

q_p – product (SA) specific productivity ($\text{g-SA.g-biomass}^{-1}.\text{h}^{-1}$)

r_p – product (SA) volumetric productivity ($\text{g-SA.L}^{-1}.\text{h}^{-1}$)

S – substrate (GLR) concentration (g.L^{-1})

X – cell concentration (g.L^{-1})

X_0 – cell concentration at $t=0$ (g.L^{-1})

$Y_{P/S}$ – yield of product on substrate (g.g^{-1})

$Y_{X/S}$ – yield of biomass on substrate (g.g^{-1})

μ – specific growth rate (h^{-1})

1. INTRODUCTION

During the past century, the increased concerns for environmental issues and the depletion of mineral oil reserves led to the search for alternative energy sources and for alternative biochemical processes. There are several chemicals that can actually be biochemically produced, and that can be economically viable. Succinic acid is one of these chemical building blocks, listed by the U.S. Department of Energy as a potential platform chemical for the production of various high value-added derivatives from renewable resources. (Beauprez, et al., 2010; Werpy, et al., 2004)

1.1. Succinic Acid

a. Applications, production and market

Succinic acid (1,4-butanedioic acid, SA) is a C-4 linear saturated dicarboxylic acid having the molecular formula $C_4H_6O_4$. Chemical properties of this compound make it very versatile and attractive as potential building block for the production of numerous chemical intermediates and high value end-products (Figure 1.1). There are four major existing markets for succinic acid (Figure 1.1): (Song, et al., 2006; Luque, et al., 2009; Zeikus, et al., 1999)

- the first and largest is the surfactant and detergent market.
- a second market as an ion chelator, used to prevent corrosion of metals.
- a third market in food industry as acidulant, flavoring agent, and as anti-microbial agent.
- a last and smaller market for the production of pharmaceuticals, such as antibiotics, amino acids and vitamins.

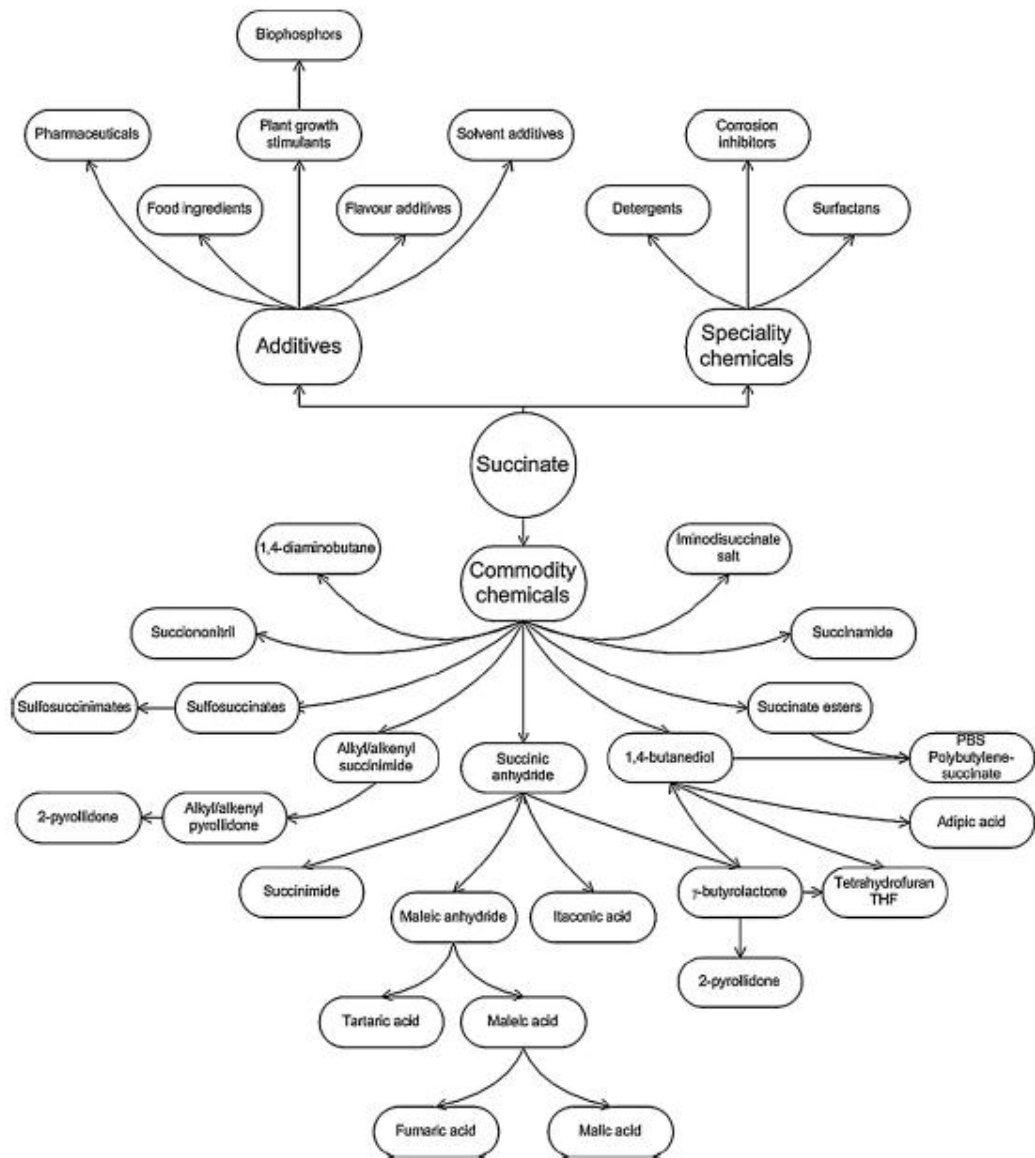


Figure 1.1 – Overview of applications, products and chemicals derived from SA (Beauprez, et al., 2010).

Until recently, the commercial scale production of SA was mostly petroleum-derived, from butane through catalytic hydrogenation of maleic anhydride (Zeikus, et al., 1999). Its high manufacturing cost is affected by several factors, including raw materials, SA productivity and yield, and recovery method. In 2006, SA was commonly sold at the price of 4.5 to 6.8 €·Kg⁻¹ depending on its purity (Song, et al., 2006). In 2010, the world demand for SA was approximately 40.000 to 45.000 Tons per year, but it is expected to expand six-fold, to 180.000 tons by 2015. This expanding is going to be largely credited to the market introduction of a biobased SA (Sims, 2010), where manufacturing costs, in particular with raw materials, may be lower.

b. Bioproduction of SA by microbial fermentation

Over the last decade, chemical companies such as BASF, BioAmber or DSM have made intensive studies in order to develop and optimize the production and the scale-up of the microbial SA production from cellulosic biomass, glucose or starch derivatives fermentation, respectively. SA is usually an intermediate of the tricarboxylic acid (TCA) cycle, the respiratory process involved in the breakdown of carbohydrates, but in some bacteria such as *Anaerobiospirillum succiniciproducens*, *Basfia succiniciproducens*, *Manheimia succiniciproducens* and *Actinobacillus succinogenes*, SA is one of the fermentation end-products of anaerobic metabolism. Therefore, microbial fermentation of glucose and other carbon sources appeared as a promising technology for the production of bio-based SA with high yields and productivities. In addition, the limited nature of fossil reserves, its high price, and ever increasing environmental concerns are encouraging the industries to replace the petroleum-based chemical processes with bio-based processes. Moreover, utilization of cheap and renewable raw material, such as glycerol, cane molasses or wheat, could contribute to turn this SA bioproduction much more cost-competitive. Therefore, it is obvious that SA production by bacteria fermentation has potential to compete with the presently used petrochemical process. (Luque, et al., 2009; Song, et al., 2006).

Many SA producing bacteria have been isolated in various anaerobic environments such as domestic sludge, cattle waste, rice paddy, marine shipworm, mouth of dog, rumen and gastro-intestines (Song, et al., 2006). Most of them mainly utilize the phosphoenolpyruvate (PEP) carboxylation reaction (Figure 1.3) to produce SA. Microorganisms intensively investigated include *A. succinogenes* (McKinlay, et al., 2010), *A. succiniciproducens* (Lee, et al., 2010), *M. succiniciproducens* (Lee, et al., 2002) and *Escherichia coli* (Andersson, et al., 2007). However, whereas yields are close to the theoretical one, 1.32 g-SA per g-glucose, volumetric productivity remains low, or when volumetric productivity is high, yields remain low (Table 1.1). In some cases, advanced fermentation technologies such as electro dialysis (*A. succiniciproducens*) or genetic modification (*E. coli*) has to be used to reach higher volumetric productivity, necessary for a cost effective process (Andersson, et al., 2007).

Table 1.1 – Glucose fermentation of facultative anaerobic SA producing bacteria.

Organism (Wild type)	Condition; Medium	SA			Ref.
		Yield (g-SA/g-glucose)	Concentration (g.L ⁻¹)	r _p (g.L ⁻¹ .h ⁻¹)	
<i>M. succiniciproducens</i> MBEL55E	Batch; YE, P	0.55	14.1	1.87	(Lee, et al., 2002)
<i>A. succiniciproducens</i> ATCC 29305	Batch; YE, P	0.93	33.0	1.1	(Nghiem, et al., 1997)
<i>A. succinogenes</i> 130Z	Batch; Csl, YE	1.03	67.1	0.79	(Schindler, 2011)

YE, yeast extract; P, polypeptone; Csl, corn steep liquor; r_p, volumetric productivity.

1.2. *A. succinogenes* as natural succinogen

Among those natural SA producers (Table 1.1), *A. succinogenes* is the one producing the highest levels of SA, with a high product yield (Table 1.1), during batch cultivation using glucose as carbon source. It is more resistant to SA than any other succinic acid producers (Bechthold et al., 2008) and is recognized as one of the most promising microorganisms for industrial SA production (McKinlay, et al., 2007). *A. succinogenes* (Figure 1.2) was initially isolated from bovine ruminal contents. It is a Gram-negative, capnophilic, osmotolerant and pleomorphic bacterium, which naturally produces high concentrations of SA in addition to formate, acetate, and ethanol as fermentation sub-products. (Guettler, et al., 1999; McKinlay, et al., 2010)

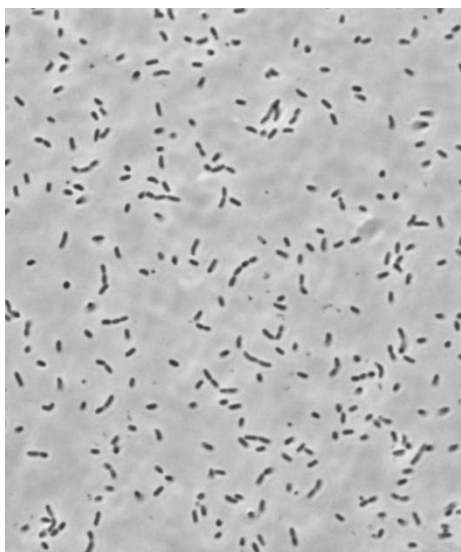


Figure 1.2 – *A. succinogenes* grown on glucose medium (6 g.L⁻¹). Magnification: 1000x.

A. succinogenes fermentative metabolism can be divided into two pathways, with PEP as a branch point (Figure 1.3): the C3 pathway leading to formate (For), acetate (Ace) and ethanol (EtOH) and the C4 pathway leading to succinate (Suc). In the C3 pathway, PEP is converted to pyruvate (Pyr), which is further converted into acetyl-CoA (AcCoA) and formate. Formate is excreted or oxidized by formate dehydrogenase to CO₂ and H₂. AcCoA is converted to either acetate or ethanol to satisfy ATP or redox demands, respectively (Figure 1.3). In the C4 pathway, PEP is carboxylated to oxaloacetate (OAA). OAA is subsequently reduced to malate by malate dehydrogenase, malate is dehydrogenated to fumarate by fumarase, and fumarate is finally reduced to succinate by fumarate reductase (Figure 1.3). (McKinlay, et al., 2005; Schindler, 2011; McKinlay, et al., 2010)

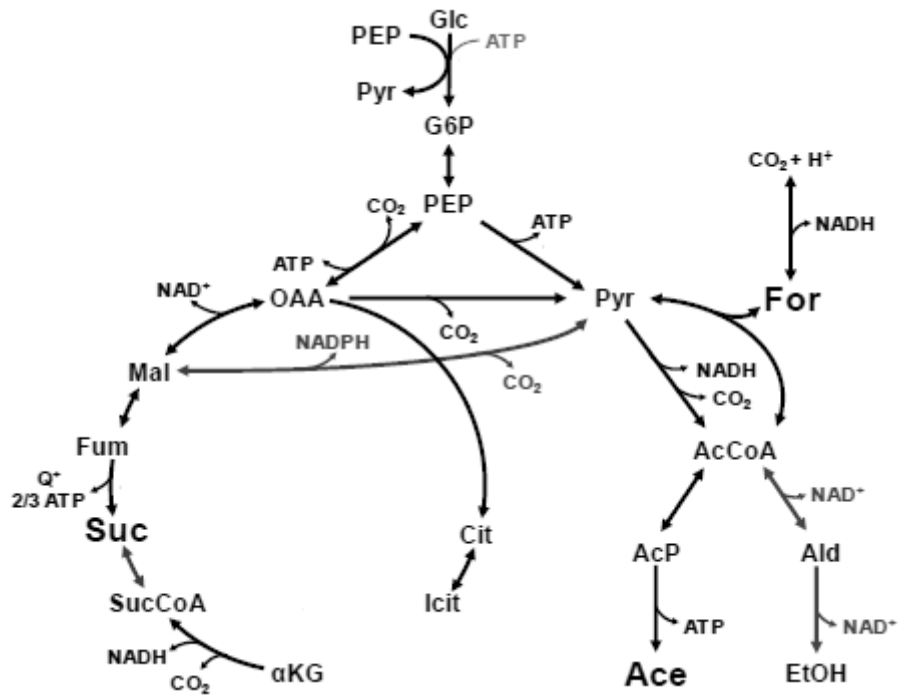


Figure 1.3 – Simplified metabolic map of glucose metabolism in *A. succinogenes*. (Adapted from McKinlay, 2010). Metabolites: AcCoA, acetyl-CoA; Ace, acetate; AcP, acetyl-phosphate; Ald, acetaldehyde; Cit, citrate; EtOH, ethanol; For, formate; Fum, fumarate; Glc, glucose; G6P, glucose-6-phosphate; Icit, isocitrate; αKG, α-ketoglutarate; Mal, malate; OAA, oxaloacetate; PEP, phosphoenolpyruvate; Pyr, pyruvate; Q⁺, menaquinone; Suc, succinate; SucCoA, succinyl-CoA.

The C4 pathway, leading to SA production, is favored under conditions of high CO₂ availability or reducing power, which can be provided by H₂ as an electron donor, by more-reduced substrates or by electricity (Der Werf, et al., 1998). Among these alternatives, and knowing that *A. succinogenes* is able to use a wide range of carbon sources, a more reduced

substrate appears to be the simplest choice to maximize the SA production. In the past few years, several studies have been made to find a substrate that is more reduced, and at the same time, less expensive than glucose. (Li, et al., 2011)

1.3. Glycerol as carbon source for SA production

Utilization of biomass, cheaper raw materials and CO₂ has appeared as a solution to reduce production costs and obtain a sustainable production of chemicals. Most renewable feedstocks used today for the bioproduction are rich in sugars, such as agricultural and lignocellulosic materials (e.g. straw, corn fiber, whey, wheat and cane molasses) (Li, et al., 2011). However, other carbon sources are emerging today. Recently, glycerol (GLR) appeared as a cheap feedstock as it is a highly abundant industrial byproduct.

Glycerol is generated in large amounts during the production of both bioethanol (Kampen, 1993) and biodiesel (Johnson, et al., 2007), particularly in the last one. Production of biodiesel is a relatively simple process that uses readily accessible chemical reactants, occurs at moderate temperature and pressures, and can utilize a variety of oil feedstocks (Johnson, et al., 2007). Because of these advantages, biodiesel is one of the best choices of alternative fuels to effectively reduce the dependence on petroleum, especially in short term.

Biodiesel is produced through a catalyzed transesterification, between oils or fats and an alcohol, usually methanol (Figure 1.4). Strong bases, such as sodium hydroxide or potassium hydroxide, are commonly used as catalysts (Pyle, 2008).

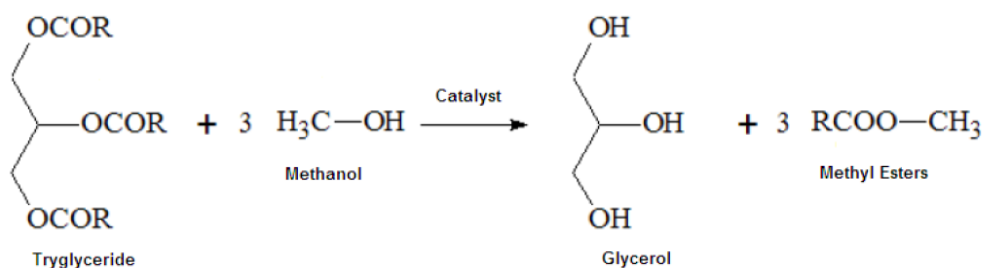


Figure 1.4 – Biodiesel transesterification reaction.

In general, for three moles of methyl esters (biodiesel) produced, one mole of crude glycerol (or glycerin) is obtained (Figure 1.4), i.e. 10 % in weight of the total production

(Johnson, et al., 2007). Besides, the glycerol generated by the biodiesel industry contains residual contaminants such as methanol, soaps, un-reacted fats and oils, and low amounts of few elements such as calcium, magnesium, phosphorous, or sulfur (Thompson, et al., 2006). Consequently, glycerol represents a major concern for the biodiesel industry.

Larger scale biodiesel producers treat and refine their crude glycerol through filtration, chemical additions or fractional vacuum distillation. The purified glycerol could be used in the manufacture of various food and beverages, pharmaceuticals, cosmetics, and other personal care products (Johnson, et al., 2007). However, according to the current trends, biodiesel market will continue to increase intensively in the following years, between 2005 and 2006 biodiesel production increased about 230% (Figure 1.5), which will result in a large glycerol surplus that current glycerol market cannot absorb. In addition, small to moderate scale producers cannot perform the glycerol-rich product (GRP) purification treatments, because of their high costs. Research is therefore being done to convert GRP into new value-added products (Thompson, et al., 2006).

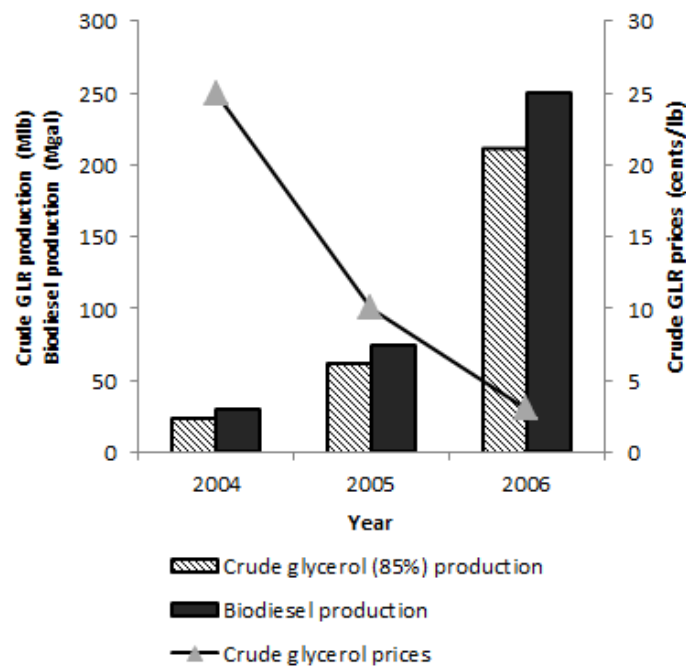


Figure 1.5 – U.S. biodiesel production and its impact on crude glycerol prices. Crude glycerol produced by the biodiesel industry was estimated assuming the generation of 0.853 lb of glycerol per gallon of biodiesel. (Adapted from Yazdani, 2007).

The price of crude glycerol ranged between €17 and €70 per Ton, in 2011 (depending upon quality and methanol content¹). Its abundance and low price could make it an excellent feedstock for the production of reduced fuels and animal feeding. However, there are still problems related to impurities, decreasing the GRP market value even further (Johnson, et al., 2007; Pyle, 2008). GLR can be thermochemical converted into acetol and propylene glycol (Suppes, 2005) or a variety of other products (Johnson, et al., 2007). Moreover, glycerol is a potential carbon source for production of Omega-3 polyunsaturated Fatty Acids (Pyle, 2008). It is also possible to convert GRP in biopolymers, such as polyhydroxyalkanoates (PHA) (Ashby, et al., 2005) and exopolysaccharides (EPS) (Alves, et al., 2009).

Recently, several studies indicate GRP as an efficient carbon source in fermentation processes aiming to produce high yields of several high-value products. For example, the fermentation of glycerol by a couple of bacteria to produce SA (Lee, et al., 2010; Vlysidis, et al., 2009) or by *E. coli* to produce a mixture of products such as ethanol, SA, acetate, lactate and hydrogen (Dharmadi, et al., 2006).

1.4. Overview of glycerol metabolism in bacteria

a. Common fermentative metabolism

The conversion of glycerol into the glycolytic intermediates phosphoenolpyruvate (PEP) or pyruvate generates twice the amount of reducing equivalents produced by the metabolism of glucose or xylose, which is an evident advantage when the main goal is to produce a reduced compound, such as SA (Yazdani, et al., 2007).

Many bacteria can indeed use GLR as carbon source to grow by aerobic or anaerobic respiration. However, the ability to ferment glycerol is not a ubiquitous trait of bacteria, since this metabolic process requires the recycling of one extra reducing equivalent (i.e. NADH) compared to pentose and hexose sugars. Conversion of GLR is occurring via two parallel pathways (Figure 1.6), one oxidizing glycerol to DHAP (which is further metabolized through glycolysis), and another reducing glycerol to 1,3-PD (which is excreted). (Schindler, 2011). There are few microorganisms with ability to synthesize the highly reduced product 1,3-propanediol (1,3-PD)

¹ http://www.icispricing.com/il_shared/Samples/SubPage170.asp.

(Figure 1.6) and consequently metabolize glycerol fermentatively in the absence of an external electron acceptor. This metabolic pathway could be observed in bacteria members of the genera *Citrobacter*, *Klebsiella*, *Clostridium*, *Enterobacter*, *Lactobacillus* and *Bacillus*.

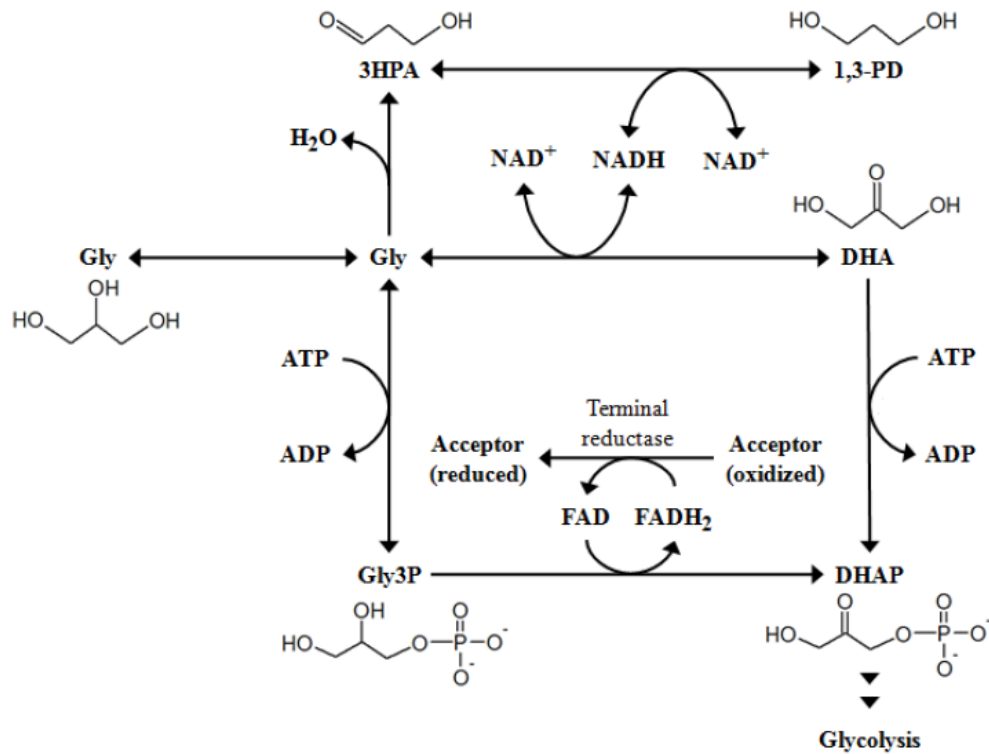


Figure 1.6 – Metabolic pathways of glycerol-fermenting bacteria. Metabolites: 1,3-PD, 1,3-propanediol; 3HPA, 3-hydroxypropionaldehyde; DHA, dihydroxyacetone; DHAP, dihydroxyacetone phosphate; Gly, glycerol; Gly3P, glycerol 3-phosphate. (Adapted from Schindler, 2011).

b. Respiratory metabolism in *A. succinogenes*

Bacteria that do not produce 1,3-PD, such as *A. succiniciproducens*, *M. succiniciproducens*, *B. succiniciproducens* and *A. succinogenes*, seem to require either an external electron acceptor, or a spontaneous mutation occurring by gradual adaptation to glycerol, to produce significant amounts of SA (Schindler, 2011; Vlysidis, et al., 2011).

Table 1.2 compares performances from different microorganisms using GLR for SA production.

Table 1.2 – Comparison of different SA producing bacteria from glycerol.

Organism	Condition; Medium	SA			Ref.
		Yield (g-SA/g-GLR)	Concentration (g.L ⁻¹)	r_p (g.L ⁻¹ .h ⁻¹)	
<i>B. succiniciproducens</i> <i>DD1</i>	Batch; YE, P	1.20 ^b	8.4	0.90	(Scholten, et al., 2008)
<i>A. succiniciproducens</i> ATCC 29305	Batch; YE, P	1.30 ^b	4.9	0.155	(Lee, et al., 2001)
<i>A. succinogenes</i> <i>NCIMB 41825^a</i>	Batch; YE	0.8	29.3	0.270	(Vlysidis, et al., 2011)

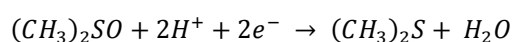
YE, yeast extract; P, polypeptone; r_p – volumetric productivity; a – adapted strain; b – crude glycerol

B. succiniciproducens appears as an excellent SA producer, without the need of bio-training (adaptation), providing much higher yields and volumetric productivities (Table 1.2). However, the SA concentration obtained is quite low, compared to that of *A. succinogenes* (in this case the productivity was lower). This limitation could be a problem when the main objective is the process implementation at industrial level. *A. succinogenes* bio-training to glycerol for SA production (i.e. without an external electron acceptor) has already been demonstrated by Vlysidis and colleagues (Vlysidis, et al., 2011). However, productivity and final titer in SA (0.27 g.L⁻¹.h⁻¹ and 29.3 g.L⁻¹, respectively) remained quite low (Table 1.2), compared to the ones obtained with glucose (0.79 g-SA.L⁻¹.h⁻¹ and 67.1 g-SA.L⁻¹). Additionally, the product yield obtained (0.8 g-SA.g-GLR⁻¹) was far below the theoretical one (1.28 g-SA.g-GLR⁻¹, as 1 mol of glycerol reacts with 1 mol of CO₂ and produces 1 mol of SA and 1 mol of water) (Vlysidis, et al., 2011).

Schindler demonstrated that addition of an external electron acceptor, such as dimethyl sulfoxide (DMSO) dramatically increases SA production from glycerol in *A. succinogenes* (without the need of bio-training). The function of the electron acceptor is to balance the redox imbalance occurring during fermentation, impairing glycerol conversion into SA. However, in this study, rather low glycerol concentration (6.2 g.L⁻¹) and low DMSO concentration (0.14% (v/v)) were used in serum flasks under uncontrolled conditions, resulting in 5.2 g-SA.L⁻¹ with a low volumetric productivity of 0.12 g-SA.L⁻¹.h⁻¹. (Schindler, 2011).

DMSO ((CH₃)₂SO) is a colorless, odorless and strongly hygroscopic compound. The global DMSO market represents today a volume of more than 60 KTon/Year, the largest applications being in life sciences, electronics and carbon fibers manufacturing². In the industry, DMSO is used as solvent in a wide range of reactions, because of its high polarity and good solvation of cations. DMSO dissolves a large number of substances such as organic compounds and polymers. This sulphur compound also displays many pharmacological properties, which makes it much used as analgesic, bacteriostatic and anti-inflammatory. Furthermore, DMSO has been described as a preservative and cryo-protective agent for organ and tissue transplants. (Roy, 2011)

Many microorganisms, such as *E.coli* or *Wolinella succinogenes*, can use DMSO as an external electron acceptor for anaerobic electron transport with dimethyl sulphide (DMS) as volatile end-product (Equation 1.1) (Lorenzen, et al., 1994).



Equation 1.1

One advantage of using DMSO in the bioprocess of SA production is the fact that it is biodegradable, not compromising the eco-friendly character of the process. However, the industrial price of this compound is still too high (according to Gaylord Chemical Company the price would be between €2,75 and €3,5 per Kg) to validate the economic viability of the process. However, cheaper sources of DMSO could be envisaged for the process, such as Kraft process byproducts.

²http://www.productpilot.com/en/suppliers/arkema-france/product/mf_bata_0008013149/en

1.5. Bacterial Growth Kinetics

Bacterial growth comprises mainly four stages that correspond to different periods of the microorganism life cycle (Figure 1.7).

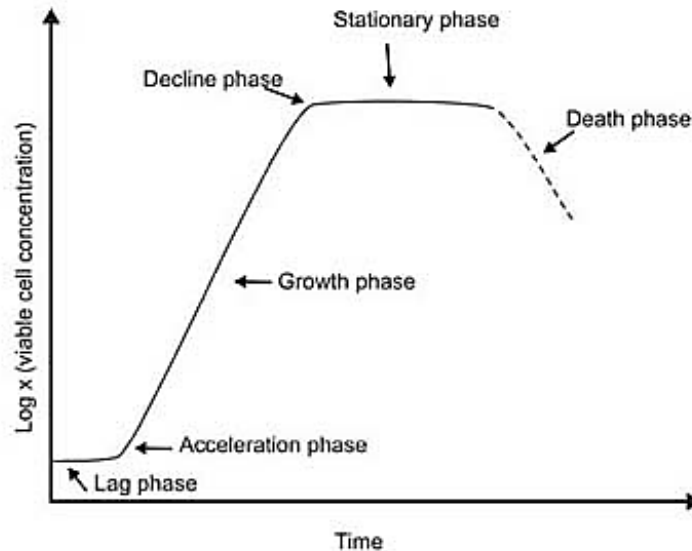


Figure 1.7 – Typical bacterial growth profile (Lennox, 2001).

The lag phase corresponds to bacterial adaptation to the cultivation conditions, and is intended to occur in the shortest time possible. During this phase, there is no increase in the cell number, but new enzymes are synthesized to provide the cell with the necessary machinery for adaptation to the new conditions. Several factors could reduce this phase:

- The inoculum volume should be 5%-10% of the bioreactor liquid volume.
- The cells when transferred to the bioreactor should be in exponential phase.
- The medium composition of the inoculum that should be as similar as possible to the bioreactor medium.

During exponential phase, the maximum physiological activity and efficiency occur, in which the cells multiply. During the stationary phase, the growth rate slows down as a result of nutrient depletion and/or accumulation of toxic products. At this stage, the rate of bacterial growth is equal to the rate of bacterial death. Afterwards, some bacteria start to lose viability, entering the death phase, where the number of cells decreases.

As far as product synthesis is concerned, product formation can be:

- Growth associated, resulting directly from the energetic metabolism, which means that the product formation rate is associated to the growth rate.
- Partially-growth associated, resulting indirectly from the energetic metabolism, the product formation rate is partially associated to the growth rate.
- Non-growth associated occurring at or near the onset of the stationary phase, not being related to growth.

2. MOTIVATION

Biological conversion of renewable and sustainable feedstocks (i.e. conversion of an alternative carbon source into a value-added product by microorganisms) is a potential and promising way to reduce the dependency towards petroleum-derivatives products. Since 2004, SA tops the U.S. Department of Energy's list of value-added chemicals that can be produced from biomass (Werpy, et al., 2004), with the potential to become a valuable intermediate for the bulk chemicals industry, if its production is more cost effective, than the SA currently produced from maleic anhydride, a petrochemical derivative. In addition to the large market potential for SA and its immediate derivatives, bio-based SA production presents the added environmental benefit of using CO₂ as a substrate, reducing the atmospheric concentrations of this greenhouse gas.

In the past years, the production of SA by microbial fermentation was therefore limited because of the high production costs, making the process not economically profitable and neither cost competitive. One way to reduce those costs is the use of low-cost substrates (instead of glucose), such lignocellulosic derivatives or other agro-industrial wastes. Glycerol rich-product, a byproduct of biodiesel production, has appeared as potential feedstock. SA production from glycerol has already been demonstrated in various microorganisms, but with limited success. *Actinobacillus succinogenes* is among the best producer of SA. However, it uses inefficiently glycerol and previous work has shown that the addition of other carbon sources was necessary to have glycerol consumption. Recently, Vlysidis and colleagues (Vlysidis, et al., 2011) demonstrated a conversion of glycerol into SA, but using an *A. succinogenes* strain adapted to glycerol after various transfers. However, the volumetric productivity remained limited to 0.27 g-SA.L⁻¹.h⁻¹, much lower than what can be obtained with glucose. This difficulty in using glycerol lays in a redox imbalance during cell growth, impairing glycerol conversion (Lorenzen, et al., 1994). Schindler demonstrated that addition of an external electron acceptor, such as DMSO, dramatically increased SA production from glycerol in *A. succinogenes*. However, rather low DMSO (0.14% (v/v)) and GLR concentration (6.2 g.L⁻¹) were used in serum flasks under uncontrolled conditions, resulting in 5.2 g-SA.L⁻¹ with a low volumetric productivity of 0.12 g-SA.L⁻¹.h⁻¹, results that show a clearly need of improvement (Schindler, 2011). The main objective of this work was to fully understand the influence of DMSO on glycerol utilization by *A. succinogenes* under controlled conditions, and further

optimize succinic acid production in bioreactor. In order to improve the SA bioproduction various reactor operating strategies were tested.

3. MATERIALS AND METHODS

3.1. Cultivation conditions

The microorganism used in this study was the bacterium *Actinobacillus succinogenes* type strain 130Z (ATCC 55167) which was obtained from ARS (Agricultural Research Service - United States Department of Agriculture). The culture was preserved at -80 °C in glycerol (25% v/v) as a cryoprotectant agent. Reactivation from stock culture and inoculum were prepared by incubating cells in rubber sealed serum flasks, containing 50 mL of culture medium with the following composition per liter: glucose, 6.0 g; yeast extract, 5.0 g; NaHCO₃, 8.4 g; NaH₂PO₄·H₂O, 8.5 g; K₂HPO₄, 15.5 g; (NH₄)₂SO₄, 1.0 g; MgCl₂·6H₂O, 0.2 g; CaCl₂, 0.2 g (Guettler, et al., 1999). Medium was heat sterilized at 120 °C, for 20 minutes, glucose and chlorides were sterilized separately and added aseptically. After inoculation, the rubber sealed flasks were incubated at 37 °C in a rotary shaker at 220 rpm, during 24 hours.

Culture medium used for every cultivation in serum flasks and bioreactors was the same as the medium used for *A. succinogenes* reactivation, with the exception of carbon source glucose, (6 g.L⁻¹) that was replaced by pure or crude glycerol (in the range 6-47.1 g.L⁻¹). In all cases, DMSO (0.50-1.05% (v/v)) was added directly to the cultivation medium.

The GRP used was supplied by SGC Energia, S.G.P.S., S.A., Portugal. This byproduct had a glycerol concentration of 89% w/v. Minor components included methanol (0.04%), organic material (0.4%), ashes (6.8%) and water (3.5%).

3.2. Bioreactor operation

Batch cultivations were carried out in a 1 or 2 L bioreactors (BioStat B, Sartorius, Germany), sparged with CO₂ at 0.05 vvm, at 37 °C and with stirring of 220-240 rpm. The pH was set to 6.8 and controlled automatically by the addition of 200 g.L⁻¹ NaOH solution. Inoculi for the bioreactor assays were prepared as described in section 3.1. Each assay was initiated by introducing aseptically the inoculum (50-100 mL) into the bioreactor containing 0.75-1.45 L of culture medium, supplemented with glycerol and DMSO. When the acid production stopped (corresponding to an arrest in base addition), the bioreactor was supplemented with additional DMSO until total glycerol depletion. In some cases, batch cultivations were supplemented with

various pulses containing, or glycerol and DMSO with defined concentrations, or complete culture medium (with 5 g.L⁻¹ of yeast extract instead of 10 g.L⁻¹) or only DMSO (≈0.2% (v/v)).

During this study, different alkali solutions were also tested to study the effect of neutralizing agent on bacterial growth and SA production. In replacement of NaOH 200 g.L⁻¹ solution, 40 g.L⁻¹ MgCO₃ (replace NaHCO₃) and 200 g.L⁻¹ NaOH, 20 g.L⁻¹ MgCO₃ (replace NaHCO₃) and 200 g.L⁻¹ NaOH, NaOH : Ca(OH)₂ 1:1 (100 g/L NaOH : 100 g/L Ca(OH)₂, i.e. 2.5 M : 1.3 M) and NaOH : Mg(OH)₂ 1:1 (100 g/L NaOH : 100 g/L Mg(OH)₂ i.e. 2.5 M : 1.7 M) were tested.

3.3. Analytical Techniques

Throughout the cultivation, culture broth samples (10-15 mL) were taken from the bioreactor at given intervals. A 10 mL sample was centrifuged 10 minutes at 10.000 rpm. The cell-free supernatant was stored at -20°C for further determination of glycerol, organic acids and DMSO by HPLC, while the pellet was used for determination of cell dry weight (CDW).

a. Cell Growth and Cell Dry Weight (CDW)

Cell growth was determined by measuring the absorbance of the culture broth samples at 660 nm (Spectrophotometer Helios Alpha, Thermo Spectronic UK). Pellets obtained by the centrifugation of broth samples were washed twice with distilled water and centrifuged for 10 minutes at 10.000 rpm, and dried for 24 h at 70 °C. In the cases where CDW was not determined experimentally, it was assumed that *A. succinogenes* grown in culture medium (with glycerol instead glucose) with an OD₆₆₀ value of 1 (Abs) had a concentration of 0.711 g-CDW.L⁻¹ (calibration curve not shown).

b. Cell morphology

Cell morphology and contamination screening were monitored by taking broth samples overtime, and visualizing them under BX51 Olympus microscope. Photographs were obtained using a DP70 digital camera system.

c. Substrate, organic acids and DMSO concentration

Glycerol and organic acids (succinic, ethanol, formic and acetic acids) concentration in the cell-free supernatant was analyzed by High Performance Liquid Chromatography (HPLC) using an ion exchange column (Aminex HPX-87H; 300mm x 7.8mm, 9 μm ; Biorad) and a refractive index detector (RI-71, Merck). The mobile phase was 0.01 N H_2SO_4 solution, running at a flow rate of 0.5 $\text{mL}\cdot\text{min}^{-1}$. The column was operated at 30 $^\circ\text{C}$. DMSO was analyzed by HPLC using the same separation conditions, but using UV detection at 210 nm.

3.4. Calculations

The specific growth rate (μ , h^{-1}) was determined using the following equation:

$$\mu = \frac{1}{X} \frac{dX}{dt}$$

Equation 3.1

where X is the cell concentration ($\text{g}\cdot\text{L}^{-1}$) measured over time, t (h).

The specific growth rate can be obtained by linearization of Equation 3.1:

$$\ln\left(\frac{X}{X_0}\right) = \mu \cdot t$$

Equation 3.2

where X_0 ($\text{g}\cdot\text{L}^{-1}$) is the cell concentration at $t=0$.

The SA volumetric productivity (r_p , $\text{g}\cdot\text{SA}\cdot\text{L}^{-1}\cdot\text{h}^{-1}$) was determined as following:

$$r_p = \frac{dP}{dt}$$

Equation 3.3

where P corresponds to product (SA) concentration ($\text{g}\cdot\text{L}^{-1}$), at time t (h).

The SA specific productivity (q_p , $\text{g}\cdot\text{SA}\cdot\text{g}\cdot\text{biomassa}^{-1}\cdot\text{h}^{-1}$) was determined as following:

$$q_p = \frac{dr_p}{dX}$$

Equation 3.4

The yield of SA on substrate, $Y_{P/S}$ ($\text{g}\cdot\text{g}^{-1}$) was determined using the expression:

$$Y_{P/S} = \frac{\frac{dP}{dt}}{\frac{dS}{dt}}$$

Equation 3.5

where S is the substrate (GLR) concentration at time t (h).

The yield of biomass on substrate, $Y_{X/S}$ ($\text{g}\cdot\text{g}^{-1}$) was calculated as:

$$Y_{P/S} = \frac{\frac{dX}{dt}}{\frac{dS}{dt}}$$

Equation 3.6

4. RESULTS AND DISCUSSION

4.1. Effect of initial DMSO concentration on bacterial growth, GLR consumption and SA production

DMSO was initially tested to evaluate its effect on bacterial growth, GLR consumption and SA production. In the different runs, the medium containing glycerol, rounding 6 g.L^{-1} , was supplemented with DMSO at concentration of 0, 0.5, 1 and 2% (v/v). Each flask was inoculated with 1 mL of the reactivated culture and was placed at 220 rpm for 24 hours, at $37 \text{ }^{\circ}\text{C}$.

DMSO concentration clearly affects *A. succinogenes* growth when using glycerol as sole carbon source (Figure 4.1). In fact, after 24 hours of incubation, and in the presence of 0.5 or 1% of DMSO, *A. succinogenes* cells were abundant and occurred singly, in pairs and very frequently in short chains (Figure 4.1B and C). Glycerol was totally consumed in 28 hours in both cases and the production of SA reached 5.94 g.L^{-1} , representing a yield of $0.73 \text{ g-SA.g-GLR}^{-1}$.

In the control group of experiments, where no DMSO was added, cells were scarce (Figure 4.1A) and glycerol consumption/SA production was absent. This is in correlation with the fact that *A. succinogenes* is not able to use glycerol as carbon source in the absence of an external electron acceptor, as already demonstrated by Schindler (Schindler, 2011). In the presence of 2% DMSO, very few *A. succinogenes* cells were observed, even less than in the control group, suggesting an inhibitory growth effect of DMSO (Figure 4.1D).

In conclusion, these preliminary results showed that DMSO has a positive effect on glycerol consumption and SA production from *A. succinogenes* in concentrations of 0.5 and 1%.

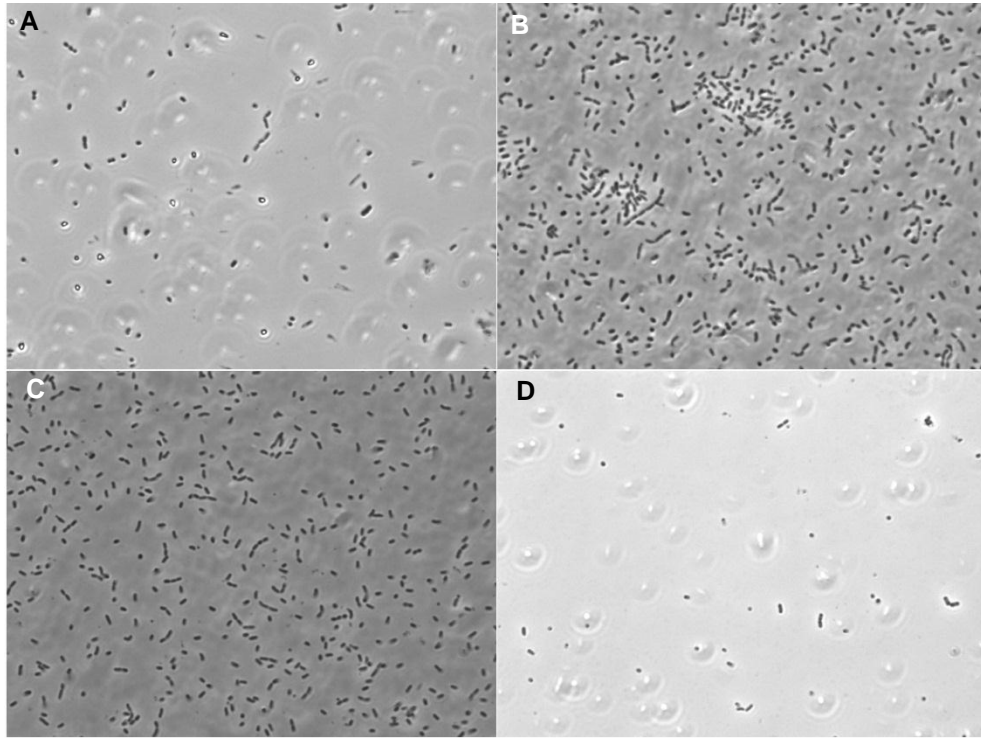


Figure 4.1 – Effect of DMSO on *A. succinogenes* 130Z growth after 24 h using glycerol (6 g.L⁻¹) as carbon source. DMSO concentrations of 0 (A), 0.5 (B), 1 (B) and 2% (v/v) (D).

4.2. Efficient glycerol consumption in controlled batch cultivations with DMSO

a. Pure glycerol

In order to investigate in more details the effect of DMSO on glycerol consumption and SA production and understand its influence on cell growth, batch cultivations were performed with *A. succinogenes* under anaerobic conditions in 1 L controlled bench-top reactor as described in section 3.2.

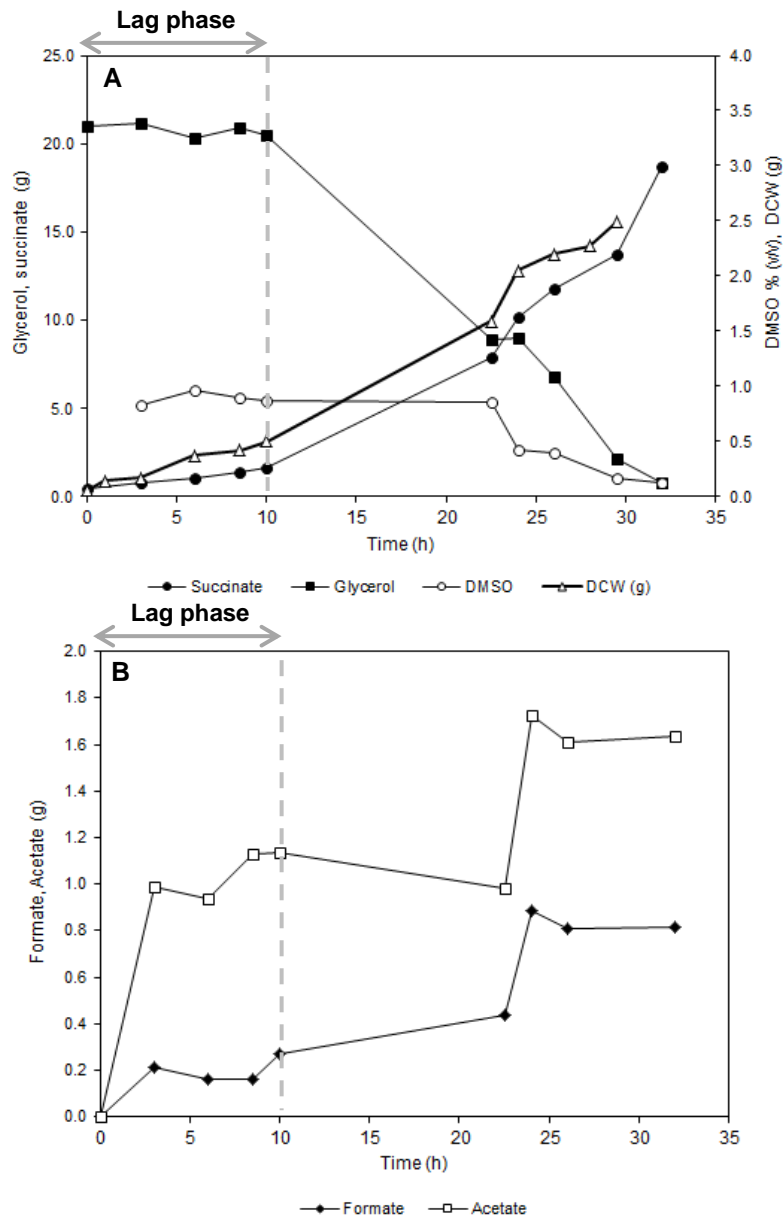


Figure 4.2 – Batch fermentation profile of *A. succinogenes* using pure glycerol as carbon source and DMSO as electron acceptor. A – Succinate, glycerol and DCW (g) and DMSO (%) profile; B – Formate and acetate (g) profile.

Initial glycerol concentration was 21 g.L⁻¹ and DMSO 1% (v/v). 0.8 % of DMSO was used after approximately 32 hours and glycerol was almost totally consumed, with only 0.86 g.L⁻¹ left, resulting in 20 g.L⁻¹ SA (Figure 4.2A, Table 4.1.). The specific growth rate was 0.16 h⁻¹, which is rather low compared to growth of this microorganism on glucose (0.31 h⁻¹) (Corona-González, et al., 2008). Acetic acid, formic acid and ethanol production was below 1.02, 1.82 and 1.00 g.L⁻¹, respectively (Figure 4.2B, Table 4.1.).

It should be noted that in water solution, DMSO tends to aggregate in the form of 1-DMSO:2-water complexes, whose structure competes with the preferred tetrahedral arrangement of water molecules (Nieto-Drachi, et al., 2003). This phenomenon could influence DMSO dissolution, and consequently, its determination in HPLC analysis, resulting in highly variable results. However, results seem to indicate that 1 % DMSO sustain the use of 28.7 g-GLR (Table 4.1).

Product yield was above 0.9 g-SA.g-GLR⁻¹. Similar product yields (0.8 g-SA.g-GLR⁻¹) have recently been obtained by Vlysidis et al. using an *A. succinogenes* strain adapted to glycerol (Vlysidis, et al., 2011). However, in our study volumetric productivity was much higher (0.59 g-SA.L⁻¹.h⁻¹), than the obtained by Vlysidis et al. (0.27 g-SA.L⁻¹.h⁻¹) (Vlysidis, et al., 2011). To the best of our knowledge, this is the first report where such value has been attained in batch fermentations, using *A. succinogenes* as biocatalyst and glycerol as substrate. Despite of volumetric productivity in batch cultivations with glucose was higher (0.79 g-SA.L⁻¹.h⁻¹), formate and acetate yields were very low in batch cultivation with glycerol (0.04 and 0.08 g.g-GLR⁻¹, respectively) (Table 4.1). Those results demonstrate the advantage of using a reduce carbon source, such as glycerol, as it decreases the formation of by-products, compared to glucose fermentation (where ratios for formate and acetate round 0.11 and 0.22 g.g-GLR⁻¹, respectively) (Guettler, et al., 1996). Additionally, the maximum specific rate of SA formation was 0.77 g-SA.g_{biomass}⁻¹.h⁻¹ (Table 4.1.), which was almost two times higher than obtained using glucose as sole carbon source (0.39 g-SA.g_{biomass}⁻¹.h⁻¹) (Corona-González, et al., 2008).

In a previous study, *A. succiniciproducens* ATCC 29305 was able to yield 1.3 g-SA.g-GLR⁻¹ during batch experiment using crude glycerol as carbon source, although displaying reduced SA productivity, compared to this experiment with *A. succinogenes*, of around 0.16 g-SA.L⁻¹.h⁻¹ (see section 1.3, Table 1.2) (Lee, et al., 2001). *B. succiniciproducens* DD1 is also capable of producing SA at high yields using glycerol as carbon source (1.2 g-SA.g-GLR⁻¹) with

a volumetric productivity of $0.9 \text{ g-SA.L}^{-1}.\text{h}^{-1}$, which is higher than the present data obtained with *A. succinogenes* (section 1.3, Table 1.2). However, these values were obtained using MgCO_3 as neutralizing agent and additional CO_2 donor, which favors the production of SA as recently described by Zou et al. (Zou, et al., 2011) whereas we used NaHCO_3 as source of CO_2 .

Table 4.1 – Results of batch fermentation of *A. succinogenes* using pure glycerol as carbon source and DMSO as electron acceptor.

Concentration	g.L^{-1}
GLR_0	20.9
$\text{GLR}_{\text{residual}}$	0.86
SA_{final}	19.9
DMSO_0	0.98
$\text{DMSO}_{\text{residual}}$	0.01
FA final	1.02
AA final	1.82
Ethanol final	0.75
Yields	Cmol/Cmol
$Y_{P/S}$	0.94
$Y_{X/S}$	0.16
	g/g
$Y_{P/S}$	0.91
FA/GLR	0.04
AA/GLR	0.08
$\mu_{\text{max}} (\text{h}^{-1})$	0.16
q_p^{max} ($\text{g-SA.g}_{\text{biomass}}^{-1}.\text{h}^{-1}$)	0.77
r_p ($\text{g-SA.L}^{-1}.\text{h}^{-1}$)	0.59
GLR/DMSO (g/%)	28.7

GLR_0 , initial glycerol concentration; $\text{GLR}_{\text{residual}}$, final glycerol concentration; DMSO_0 , initial DMSO concentration; $\text{DMSO}_{\text{residual}}$, final DMSO concentration; SA_{final} , final SA concentration; $Y_{P/S}$, yield of SA from GLR; $Y_{X/S}$, yield of SA from biomass; **AA**, acetic acid; **FA**, formic acid; μ_{max} , maximum specific growth rate; q_p^{max} , maximum specific rate of SA formation; r_p , volumetric productivity

b. Glycerol rich-product (GRP)

Crude glycerol generated by the biodiesel industry contains residual contaminants, as described in section 1.3, which could influence the performance of *A. succinogenes*. The objective of this assay was to study the effect of GRP utilization in SA production, and understand its influence on cell growth when DMSO was used as electron acceptor. A batch cultivation was performed under anaerobic conditions in 2 L controlled bench-top reactor with 1.5 L of working volume as described in section 3.2. Bioreactor was inoculated with 100 mL

inoculum. Initial glycerol and DMSO concentrations were 30.3 g.L⁻¹ and 1.03% (v/v), respectively.

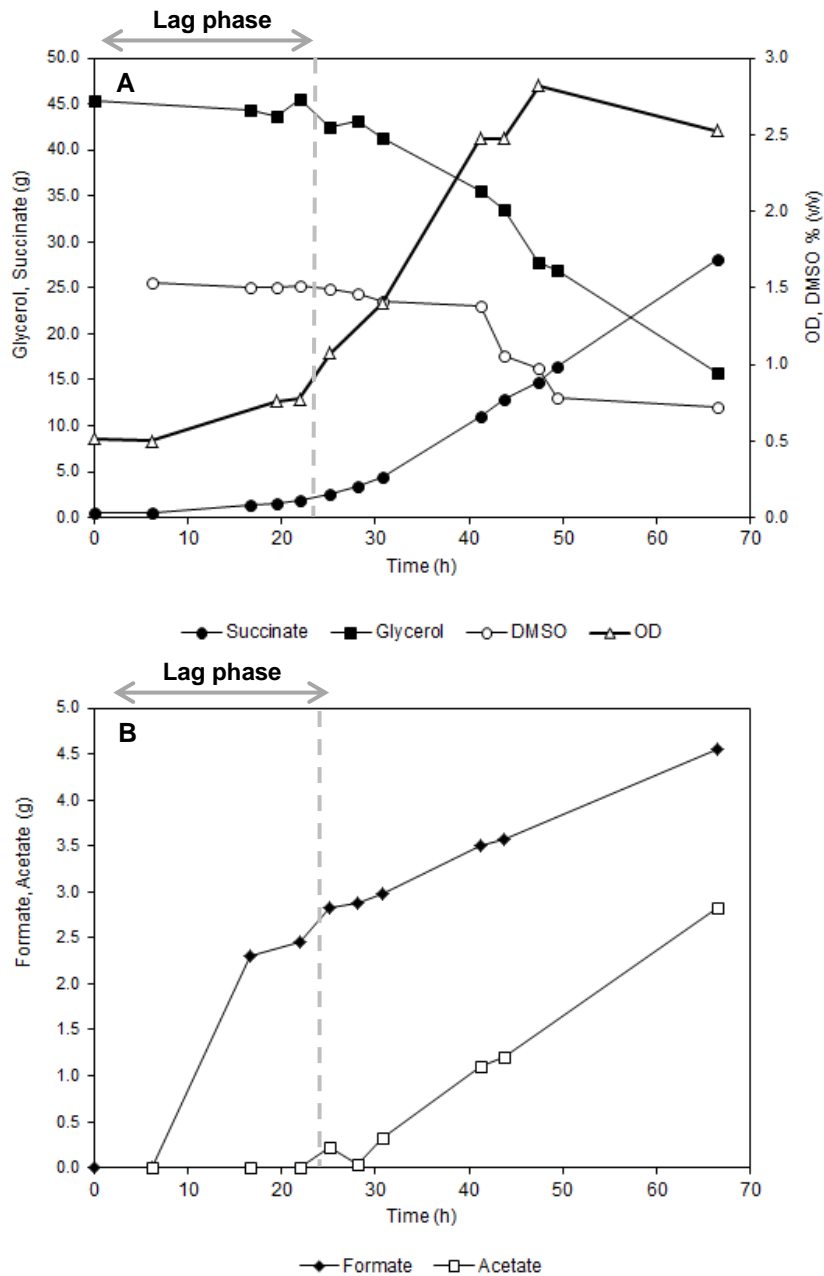


Figure 4.3 – Batch fermentation profile of *A. succinogenes* using crude glycerol as carbon source and DMSO as electron acceptor. A – Succinate, glycerol (g), DMSO (%) and OD profile; B – Formate and acetate (g) production.

Figure 4.3A represents SA production, glycerol and DMSO consumption and cell growth profile. Results are summarized in Table 4.2.

SA production after 66 h was 18.3 g.L⁻¹ and specific growth rate was 0.05 h⁻¹, which is quite low compared to obtained with pure glycerol (0.16 h⁻¹). This behavior could be attributed to

impurities present in GRP. Cultivation was stopped before glycerol and DMSO total depletion (residual concentrations were 10.3 g.L^{-1} and $0.42\% \text{ (v/v)}$, respectively) (see Table 4.2).

A high product yield was obtained by the end of the batch, $0.93 \text{ g-SA.g-GLR}^{-1}$. The volumetric productivity, $0.28 \text{ g-SA.L}^{-1}.\text{h}^{-1}$, was much lower than during growth on pure glycerol (section 4.2a), which could be explained by the longer lag phase observed (21.9 hours on GRP instead of 10 hours on pure glycerol) (Figure 4.2A and Figure 4.3A). The lag phase corresponds to bacterial adaptation to the cultivation conditions, in this case the use of GRP, a complex substrate (i.e. with various components as described earlier). To overcome this issue, we should, for example, have prepared the inoculum in similar cultivation medium, instead of using glucose as carbon source, to reduce the adaptation phase. Additionally, the maximum specific rate of SA formation was $0.30 \text{ g-SA.g}_{\text{biomass}}^{-1}.\text{h}^{-1}$ (Table 4.2), which was much lower than obtained in the batch cultivation with pure GLR ($0.77 \text{ g-SA.g}_{\text{biomass}}^{-1}.\text{h}^{-1}$).

Formate (Figure 4.2B) was higher ($0.15 \text{ g.g-GLR}^{-1}$) than obtained in batch cultivation with pure glycerol ($0.04 \text{ g.g-GLR}^{-1}$). However, acetate yield ($0.09 \text{ g.g-GLR}^{-1}$) is still much lower than that obtained with glucose ($0.22 \text{ g.g-GLR}^{-1}$) (Guettler, et al., 1996).

In the last 10 hours of cultivation (Figure 4.2A), growth stopped but SA continues, suggesting that product formation is partially-growth associated.

Despite SA concentration and productivity being lower than ones obtained with pure glycerol, the ratio GLR/DMSO (this ratio represents the amount of GLR fermented per DMSO consumed) was higher, suggesting than *A. succinogenes* could convert, with low DMSO quantities, more GLR in SA. This result is quite interesting because of DMSO high prices, making the process more economically viable.

These results show that GRP can be used as a carbon source for SA bioproduction. However, further studies should be performed in order to optimize the process.

For the sake of simplicity, pure glycerol was used in the following experiments, in the attempt to optimize SA production from glycerol.

Table 4.2 – Results of batch fermentation of *A. succinogenes* using crude glycerol as carbon source and DMSO as electron acceptor.

Concentration	g.L⁻¹
GLR ₀	30.3
GLR _{residual}	10.3
SA _{final}	18.3
DMSO ₀	1.03
DMSO _{residual}	0.42
FA final	2.97
AA final	1.81
Ethanol final	1.38
Yields	Cmol/Cmol
Y _{P/S}	0.99
Y _{X/S}	0.09
	g/g
Y _{P/S}	0.93
FA/GLR	0.15
AA/GLR	0.09
μ_{\max} (h ⁻¹)	0.05
q_p^{\max} (g-SA.g _{biomass} ⁻¹ .h ⁻¹)	0.30
r_p (g-SA.L ⁻¹ .h ⁻¹)	0.28
GLR/DMSO (g/%)	38.9

GLR₀, initial glycerol concentration; GLR_{residual}, final glycerol concentration; DMSO₀, initial DMSO concentration; DMSO_{residual}, final DMSO concentration; SA_{final}, final SA concentration; Y_{P/S}, yield of SA from GLR; Y_{X/S}, yield of SA from biomass; AA, acetic acid; FA, formic acid; μ_{\max} , maximum specific growth rate; q_p^{\max} , maximum specific rate of SA formation; r_p , volumetric productivity;

4.3. Optimization of SA production by *A. succinogenes*

a. Batch cultivation with successive glycerol and DMSO pulses

In an attempt to further improve SA production from pure glycerol by *A. succinogenes* and reuse the biomass produced at the end of batch cultivation as active biocatalyst, successive glycerol and DMSO pulses were performed on *A. succinogenes* batch cultivation in a 2 L bench-top reactor, with an initial working volume of 1.5 L as described in section 3.2.

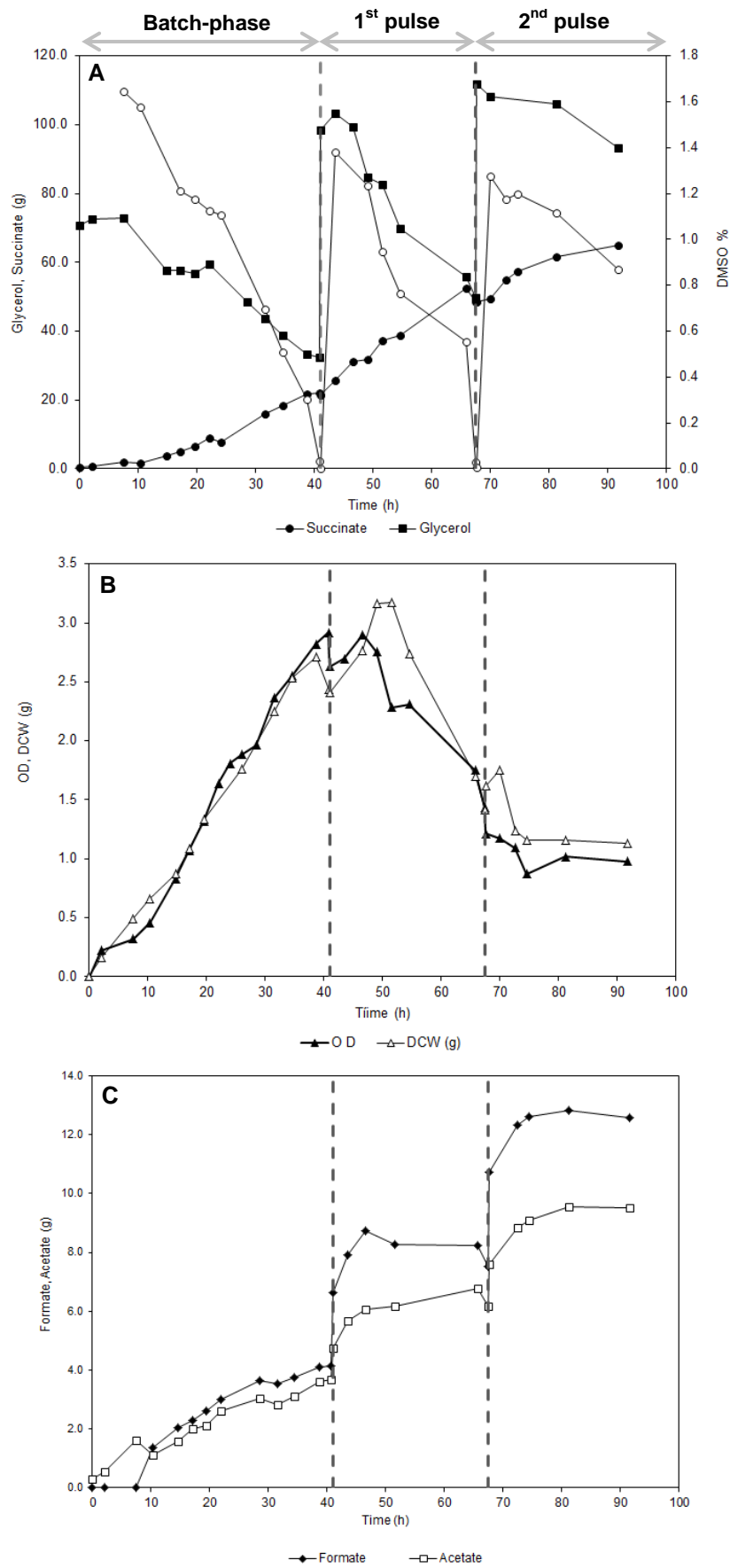


Figure 4.4 – Batch cultivation with successive glycerol and DMSO pulses for improved SA production. A – Succinate, glycerol (g) and DMSO (%) profile; B – OD and DCW (g); C – Formate and acetate (g) production.

- **Initial batch-phase**

The cultivation was initiated as batch, with initial glycerol and DMSO concentrations of 47 g.L⁻¹ and 1.1 % (v/v) respectively. The medium was inoculated with a 50 mL of an active culture and cultivation ran until glycerol or DMSO was depleted, corresponding to an arrest in base addition. 16.5 g.L⁻¹ SA were produced after 41 hours cultivation (Figure 4.4A, Table 4.3). The specific growth rate was 0.13 h⁻¹, which is lower than obtained in the previous batch cultivation with pure GLR (0.16 h⁻¹). This behavior could be attributed to higher initial GLR concentration, which could inhibit the cell growth.

As DMSO was totally consumed, base addition stopped, confirming that DMSO plays an essential role in glycerol conversion to SA (Figure 4.4A). A low product yield, compared to batch cultivation with pure glycerol, was obtained by the end of this initial batch phase (0.57 g-SA.g-GLR⁻¹) with a corresponding volumetric productivity of 0.38 g-SA.L⁻¹.h⁻¹. However, the maximum specific rate of SA formation was similar, 0.76 g-SA.g_{biomass}⁻¹.h⁻¹ to that obtained in batch cultivation with pure glycerol (Table 4.3). One explanation to low volumetric productivity could be related to inoculum volume. As described in Introduction (section 1.5), the inoculum volume should be between 5%-10% of the bioreactor volume. In this assay, the bioreactor was inoculated with 3.3% (v/v) which could have influenced overall performance of the cultivation. At this stage of cultivation, cell number sharply increased (Figure 4.5 A and B).

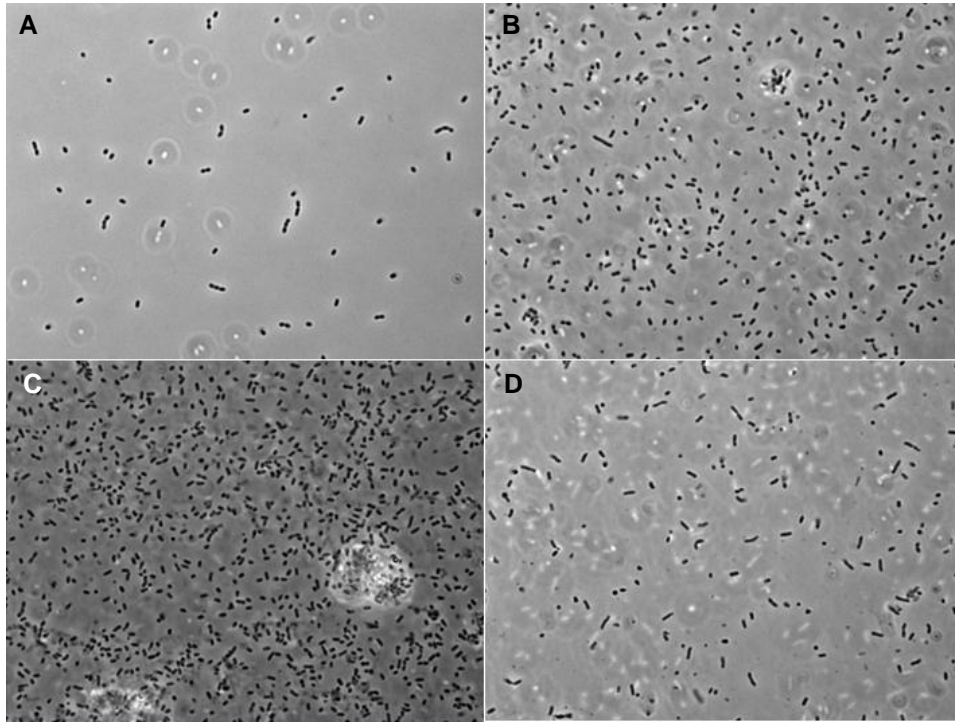


Figure 4.5 – *A. succinogenes* during batch cultivation using successive GLR and DMSO pulses. A – 7.5 h; B – 28.5 h; C – 50 h; D – 72.5 h.

Table 4.3 – Batch cultivation with glycerol and DMSO pulses for improved SA production.

Concentration (g.L ⁻¹)	Batch phase	1 st pulse	2 nd pulse
GLR ₀	47.1	69.3	78.5
GLR _{residual}	24.3	37.2	66.9
SA _{final}	16.5	36.3	46.4
DMSO ₀	1.05	0.97	0.89
DMSO _{residual}	0.00	0.00	0.62
FA final	4.68	7.53	9.00
AA final	3.35	5.33	6.80
Ethanol final	0.98	1.00	0.92
Yields		(Cmol/Cmol)	
Y _{P/S}	0.59	0.58	0.92
Y _{X/S}	0.064	ND	ND
		(g/g)	
Y _{P/S}	0.57	0.56	0.89
FA/GLR	0.09	0.03	0.11
AA/GLR	0.07	0.01	0.07
μ_{\max} (h ⁻¹)	0.13	ND	ND
q_p^{\max} (g-SA.g _{biomass} ⁻¹ .h ⁻¹)	0.76	0.88	ND
r_p (g-SA.L ⁻¹ .h ⁻¹)	0.38	0.75	0.48
GLR/DMSO (g%)	13.0	35.4	45.4

GLR₀, initial glycerol concentration; GLR_{residual}, final glycerol concentration; DMSO₀, initial DMSO concentration; DMSO_{residual}, final DMSO concentration; SA_{final}, final SA concentration; Y_{P/S}, yield of SA from GLR; Y_{X/S}, yield of SA from biomass; AA, acetic acid; FA, formic acid; μ_{\max} , maximum specific growth rate; q_p^{\max} , maximum specific rate of SA formation; r_p , volumetric productivity; **ND**, not determined

- **1st pulse phase**

After this initial batch phase, where all DMSO was consumed (Figure 4.4A), 45 g.L⁻¹ glycerol and 1% DMSO were fed to the reactor in a pulse wise, and SA production continuously increased, reaching a concentration of 36.3 g.L⁻¹ (Figure 4.4A). In this second growth phase (identified as 1st pulse phase), 32.1 g.L⁻¹ of GLR were consumed in 26.6 hours. The volumetric productivity increased to 0.75 g-SA.L⁻¹.h⁻¹ (see Table 4.3), which is similar to batch cultivation with glucose (0.79 g-SA.L⁻¹.h⁻¹) (Schindler, 2011), and much higher than those obtained in the cultivation with pure GLR described above (0.59 g-SA.L⁻¹.h⁻¹), initial batch-phase of this assay (0.38 g-SA.L⁻¹.h⁻¹) and batch cultivation described by Vlysidis et al. (0.27 g-SA.L⁻¹.h⁻¹) (Vlysidis, et al., 2011). Additionally, the maximum specific rate of SA formation was 0.88 g-SA.g_{biomass}⁻¹.h⁻¹, even higher than in the batch-phase of cultivation and already higher than cultivation with glucose (0.39 g-SA.g_{biomass}⁻¹.h⁻¹) (Corona-González, et al., 2008). However, like in the batch phase, the SA yield was low. By-products yield decreased dramatically to 0.03 g-FA.g-GLR⁻¹ and 0.01 g-AA.g-GLR⁻¹ (Figure 4.4C, Table 4.3), suggesting that bacteria production of other acids was not the reason for the low conversion of glycerol into SA. It is likely that glycerol was used by the bacteria for cell maintenance, due to the fact of the high initial GLR concentration, as described by Vlysidis et al. (Vlysidis, et al., 2011).

In this phase, biomass concentration reached its highest value (2.28 g.L⁻¹), and then slowly decreased until the end of the fermentation (Figure 4.4B), which can be related to growth inhibition by either high SA concentration or high glycerol concentration (after the 1st pulse glycerol concentration reached 69 g.L⁻¹) (Table 4.3). The same behavior was also reported by Vlysidis et al. (Vlysidis, et al., 2011). Moreover, as could be observed in Figure 4.5C, in 50 hours of cultivation, bacteria started to aggregate, which could limit the mass transfer rate inside the aggregates and consequently leading to cell death. In fact at this point of cultivation, cell concentration started to decrease (Figure 4.4B).

Despite cell growth arrest, SA production continuously increased confirming that production of SA by *A. succinogenes* is partially-growth associated (Figure 4.4A, B).

- **2nd pulse-phase**

After 67.4 hours of cultivation, SA production stopped again (indicated by an arrest in base addition) and a 2nd pulse (with additional 41.3 g.L⁻¹ glycerol and 0.9% (v/v) DMSO) was

supplemented to the broth (Figure 4.4A). The production of SA was restored, and SA concentration reached 46.4 g.L^{-1} , the highest concentration reported so far when using glycerol as substrate to produce SA (section 1.3, Table 1.2). However, a significant decrease was observed in volumetric productivity, from $0.75 \text{ g-SA.L}^{-1}.\text{h}^{-1}$ down to $0.48 \text{ g-SA.L}^{-1}.\text{h}^{-1}$, as well as in cell concentration (Figure 4.4B, Figure 4.5D), suggesting that cells were losing viability and did not show further capacity to convert GLR to SA efficiently. Consequently, at that point, no further pulse was performed and cultivation was stopped.

The deceleration in SA production could be related to the scarcity of nutrients necessary for bacteria growth (e.g. yeast extract, chlorides). In order to verify this hypothesis, a similar batch cultivation was performed, but this time supplementing the bioreactor with complete culture medium pulses, instead of only glycerol and DMSO, when the base addition stopped (see section 3.2). The results obtained were similar (data not shown), i.e. the biomass concentration still decreased, suggesting that nutrients limitation was not the cause for cell death. Substrate or product concentrations could also be inhibitory. In fact, Vlysidis et. al showed that concentration above 50 g.L^{-1} of glycerol could affect the productivity and inhibit the cell growth (Vlysidis, et al., 2011). Lin et. al also related that 45.6 g-SA.L^{-1} is a critical value concentration, above which *A. succinogenes* cells do not grow efficiently (Lin, et al., 2008). In this experiment, we reached 79 g-GLR.L^{-1} with more than 46 g-SA.L^{-1} , a combination that clearly contributed to cellular stress and growth inhibition. One strategy to overcome SA inhibition would be to continuously remove the organic acids from the broth by using a cell recycle bioreactor, preventing inhibition of cell growth by SA.

Other possible cause for cells unviability could be the high DMSO concentration to which the cells were constantly exposed, this compound is used as bacteriostatic and could damage the cell wall. Taking into account this hypothesis, a similar cultivation was performed using pulses of low DMSO concentration. Under these conditions, bacteria were exposed to lower DMSO concentration, i.e. during the fermentation, the maximal concentration of DMSO was four times lower than in present cultivation. This cultivation essays will be present in the following section.

Interestingly, GLR/DMSO ratio seems to increase significantly during the fermentation (see Table 4.3), in 2nd phase of this experiment bacteria metabolized about 45 grams of glycerol per % of DMSO. This behavior could be related to an increased adaptation of *A. succinogenes*

to glycerol. This adaptation could be further improved by increasing selection pressure, for instance, reducing the amount of DMSO.

b. Batch cultivation using successive low concentration DMSO pulses

In order to avoid possible growth inhibition by high DMSO concentration in *A. succinogenes*, a “pulsed-batch” cultivation was performed in a 2 L bench-top reactor with an initial working volume of 1.5 L. The fermentation was initiated with the introduction of a 100 mL inoculum in 1.4 L of culture medium, and it was carried out as described in section 3.2. Initial glycerol and DMSO concentration were 44.1 g.L⁻¹ and 0.19% (v/v), respectively. With the exception of the first DMSO pulse, which was fed manually, DMSO pulses (≈0,2% (v/v)) were automatically performed whenever base addition stopped, i.e. every time organic acid production stopped.

Four pulses of DMSO were supplied (Figure 4.6A), resulting in a total amount of 1.56% (v/v) (note that DMSO pulses were not detected in HPLC analysis when they were supplemented, which could be related to problems in DMSO dissolution, as described in batch cultivation with pure glycerol). 10.7 g.L⁻¹ of SA were produced in 52 hours. Surprisingly, bacteria performance was actually low, compared to performance in batch cultivation using higher initial DMSO concentration (e.g. with 1% (v/v) in the first batch cultivation): a low volumetric productivity, 0.20 g-SA.L⁻¹.h⁻¹, was obtained compared to 0.59 g-SA.L⁻¹.h⁻¹ during batch cultivation on pure GLR.

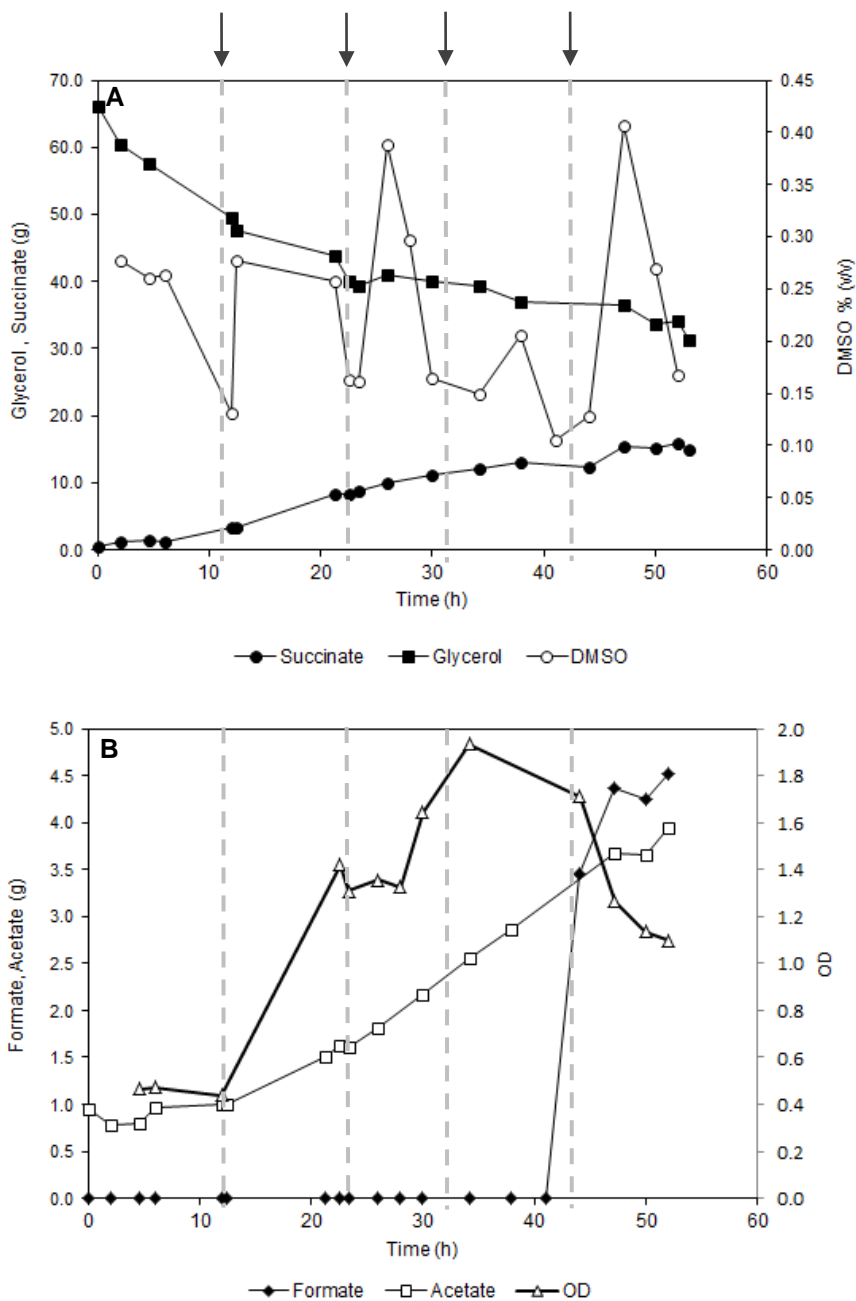


Figure 4.6 – Results of batch cultivation using successive low DMSO concentration pulse. The dotted lines represent the time of DMSO pulses. A – Succinate, glycerol (g) and DMSO (%) profile; B – OD and formate, acetate and ethanol (g) production.

In this assay, *A. succinogenes* performance was rather atypical, with highly irregular DMSO consumption rates between pulses (Figure 4.6A, B):

- After an approximately 12 hours lag phase, a first pulse of DMSO was supplied manually, and *A. succinogenes* growth started immediately.
- Around 10 hours after this pulse, growth appeared to stop, and another DMSO supplementation was automatically performed. No changes were observed during the following 5 hours.

- A third pulse was performed and the bacteria start and kept on growing during 6 hours, after which cell concentration decreased.
- During this decrease in cell concentration (Figure 4.6A), another DMSO pulse was automatically supplied, but cells continue to lose viability.

Figure 4.7 illustrates the morphology of *A. succinogenes* during this cultivation: during the first 6 hours, number of bacteria is scarce (Figure 4.7A, B). After the second DMSO supplementation, at 26 hours fermentation, a strong increase in OD was observed, confirmed by microscopy observation, resulting in a large increase in biomass (Figure 4.7C). As described above, at the end of cultivation cells seems to lose viability. In Figure 4.7D, we could see, after 47 hours of cultivation, *A. succinogenes* was forming large cells aggregates, which could cause limits in mass transfer, leading to cell death.

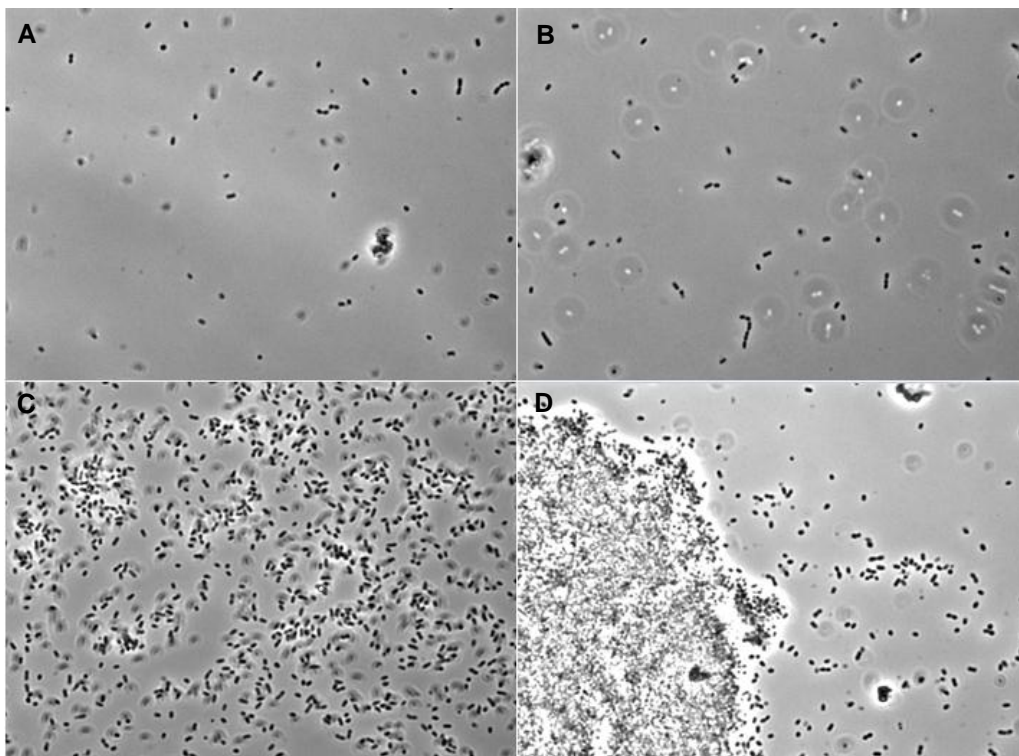


Figure 4.7 – *A. succinogenes* during batch cultivation using successive low concentration DMSO pulses. A – 2 h; B – 6 h; C – 26 h; D – 47 h.

The atypical cell concentration profile and the formation of aggregates would suggest that a minimum DMSO concentration is actually required to initiate growth and produce SA efficiently. These results showed that reduced DMSO concentration in bioreactor did not improve neither SA production nor bacterial growth. In addition, this strategy, with low DMSO

concentration pulses, maintained the same by-product concentration as the other cultivations with higher initial DMSO (Figure 4.6B), suggesting that the cell, in these conditions, converted more glycerol via the C3 pathway than via the C4 pathway, leading to SA.

4.4. Effect of neutralizing agent on bacterial growth and SA production

The culture pH is known to be one of the key factors in both cell growth and SA production (Guettler, et al., 1996) since it influences cellular metabolism by changing the chemical environment and affecting enzyme activity (Podkovyrov, et al., 1993).

Due to the rapid accumulation of organic acids, such as SA, FA and AA, the use of a neutralizing agent, to maintain the pH within an optimal range, is strictly necessary. In most fermentation process (with organic acids as end-products), alkaline solutions are used to regulate pH. Consequently, an increase in osmotic stress in the fermentation broth is usually observed, which influences both cell growth and SA production. Actually, particularly at the end of fermentation, when metal ion concentration reached a certain value, cell aggregation and unviability were observed in cultivation experiments reported in literature (Wang, et al., 2011; Fang, et al., 2011), this behavior was also observed in batch cultivation described above. These variations in cell morphology during cultivation, including flocculation and lumping, are believed to be due by the type of neutralizing agent, in particular due to the metal ion present in this solution (Lee, et al., 1999; Liu, et al., 2008; Wang, et al., 2011).

In order to avoid cell flocculation and lumping, and consequently optimize SA bioproduction by GLR, different neutralizing agent combination strategies were applied to control pH broth: standard batch cultivation with NaOH (200 g.L⁻¹) was duplicated using MgCO₃, Mg(OH)₂ and Ca(OH)₂ as neutralizing agents. In these batch cultivations, we could observe the influence of metal ions, such as Na⁺, Mg²⁺ and Ca²⁺, on microbial performances.

a. Batch cultivation using NaOH as neutralizing agent

In order to study the effect of sodium ions on cell growth and SA production and to further compare with other neutralizing agents, batch cultivation was performed in a 2 L bench-top reactor with an initial working volume of 1.5 L. The assay conditions were the same as the ones described in section 4.2a, with the following exceptions: inoculum size was 6.7% (v/v) instead of 5% (v/v) and bioreactor was supplemented with a DMSO pulse leading to glycerol total depletion. Initial glycerol and DMSO concentration were 36.9 g.L⁻¹ and 0.94% (v/v), respectively (see Table 4.4). Initial DMSO was totally consumed in 28 hours and a pulse of

DMSO (0.63% (v/v)) was supplied, resulting in further GLR and DMSO consumption until total depletion (Table 4.4). 30.6 g.L⁻¹ of SA were produced after 43.7 hours cultivation. The cells presented a specific growth rate of 0.12 h⁻¹. Acetic and formic acids did not reach concentration above 4.4 and 4.2 g.L⁻¹, respectively (see Table 4.4).

Figure 4.8 represents glycerol and DMSO consumption, cell growth, SA and byproducts formation. Results are summarized in Table 4.4.

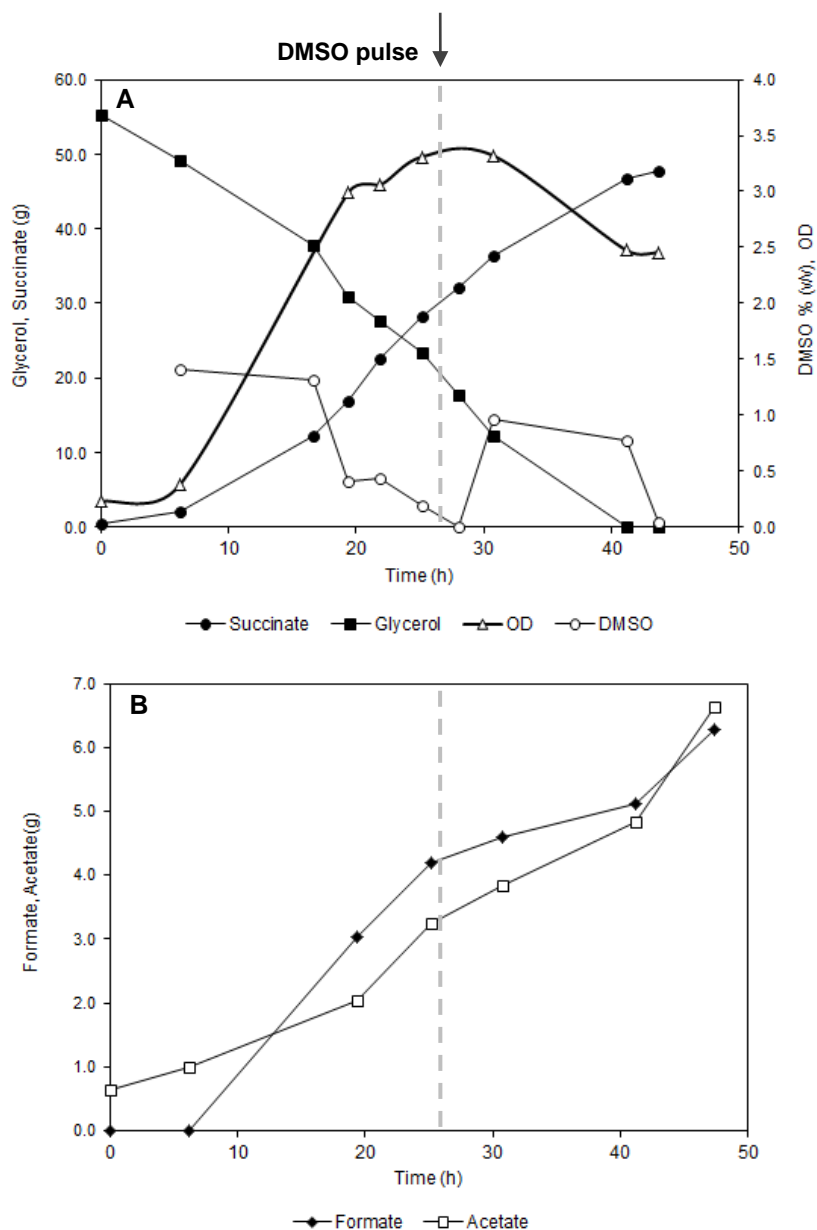


Figure 4.8 – Results of batch cultivation of *A. succinogenes* on glycerol using NaOH as neutralizing agent. A – Succinate, glycerol (g), DMSO (%) and OD profile; B – Formate and acetate (g) production.

Though the same conditions used, product yield at the end of the batch phase, $0.86 \text{ g-SA.g-GLR}^{-1}$, was similar than the obtained in section 4.2a (batch cultivation with pure glycerol). Additionally, the use of a larger inoculum could shorten in 4 hours the lag period, and consequently the total fermentation time, resulting in an enhanced SA volumetric productivity ($0.96 \text{ g-SA.L}^{-1}.\text{h}^{-1}$). Comparatively to the assay with GLR and DMSO pulses, the volumetric productivity and SA yield were higher than obtained during the 1st pulse ($0.75 \text{ g-SA.L}^{-1}.\text{h}^{-1}$ and $0.58 \text{ g-SA.g-GLR}^{-1}$, respectively), which could be related to the initial glycerol concentration. Indeed, in this experiment, glycerol concentration was almost twice lower (30.9 g.L^{-1} instead 69.3 g.L^{-1}), which minimizes clearly substrate inhibition, and therefore maximizing glycerol efficient conversion in SA. Volumetric productivity obtained in this assay was also higher than in batch cultivation using glucose as sole carbon source ($0.79 \text{ g-SA.L}^{-1}.\text{h}^{-1}$) and AA yield ($0.11 \text{ g-AA.g-GLR}^{-1}$) was still below (Guettler, et al., 1996; Schindler, 2011). However, FA ratio ($0.12 \text{ g-FA.g-GLR}^{-1}$) increased and it was similar to batch cultivations with glucose (Guettler, et al., 1996). Additionally, we obtained the higher results (volumetric productivity and SA concentration) so far related to SA bacteria producers in batch cultivation with glycerol (see Table 1.2). Moreover, the maximal OD observed in this batch cultivation was higher than all others (Figure 4.8A), which could be also related with the inoculum size. These results indicate that bacterial growth and amount of SA attainable were strongly affected by the inoculum size and initial glycerol concentration.

The maximum specific rate of SA formation was $0.70 \text{ g-SA.g}_{\text{biomass}}^{-1}.\text{h}^{-1}$, which was similar to the observed in the batch cultivation with pure GLR. In this case, the maximum specific rate of SA formation was still higher than obtained with glucose fermentation ($0.39 \text{ g-SA.g}_{\text{biomass}}^{-1}.\text{h}^{-1}$) (Corona-González, et al., 2008).

At 22 hours of cultivation, most bacteria were flocculating and/or lumping, which could be a signal of high ion sodium concentration in the medium. According to Fang et al. (Fang, et al., 2011) in *A. succinogenes* cultivation, when the concentration of sodium ion was greater than 6.9 g.L^{-1} , the maximum cell concentration decreased, probably due to the increasing osmolarity of the medium. In fact, at 22 hours of fermentation, sodium concentration reached about 7 g.L^{-1} and sharply increased to 16.8 g.L^{-1} at the end of cultivation (Figure 4.9), which is far above the maximum reported concentration supported by cells (concentrations were calculated excluding

the sodium which was added to the fermentation medium initially). As related before, flocculation problems could reduce cell viability limiting mass transfer through the agglomerates, and consequently compromise SA production.

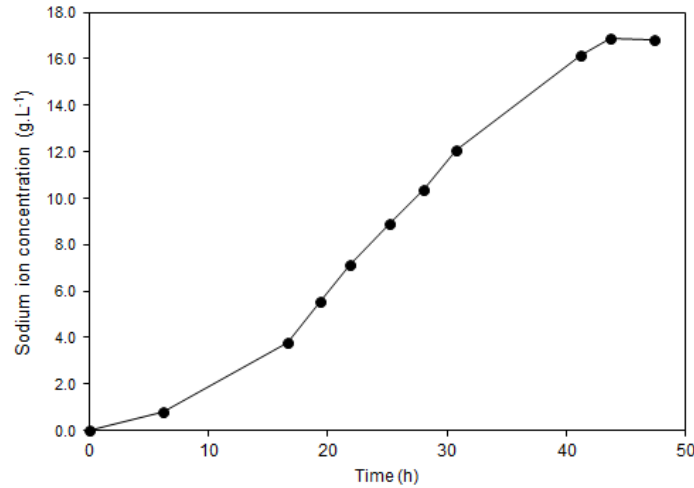


Figure 4.9 – Increasing concentration of sodium ion by supplying NaOH as neutralizing agent (sodium concentration was calculated excluding the initial sodium concentration in the medium).

Table 4.4 – Summary of batch cultivations using various neutralizing agents.

Concentration (g.L ⁻¹)	NaOH	Mg(OH) ₂ and NaOH	MgCO ₃ and NaOH
GLR ₀	36.9	35.7	39.1
GLR _{residual}	0.00	5.29	0.00
SA _{final}	30.6	23.7	34.1
DMSO ₀	0.94	0.99	1.02
DMSO _{pulse}	0.64	0.83	0.73
DMSO _{residual}	0.00	0.83	0.07
FA final	4.19	2.81	3.94
AA final	4.42	3.77	5.92
Ethanol final	2.30	1.12	1.06
Yields	(Cmol/Cmol)		
Y _{P/S}	0.89	0.93	0.92
Y _{X/S}	0.052	0.13	0.095
	(g/g)		
Y _{P/S}	0.86	0.90	0.88
FA/GLR	0.12	0.09	0.10
AA/GLR	0.11	0.12	0.15
μ _{max} (h ⁻¹)	0.12	0.06	0.11
q _p ^{max} (g-SA.g _{biomass} ⁻¹ .h ⁻¹)	0.70	0.54	0.78
r _p (g-SA.L ⁻¹ .h ⁻¹)	0.96	0.58	1.0
GLR/DMSO (g/%)	23.3	37	34.9

GLR₀, initial glycerol concentration; GLR_{residual}, final glycerol concentration; DMSO₀, initial DMSO concentration; DMSO_{residual}, final DMSO concentration; SA_{final}, final SA concentration; Y_{P/S}, yield of SA from GLR; Y_{X/S}, yield of SA from biomass; AA, acetic acid; FA, formic acid; μ_{max}, maximum specific growth rate; q_p^{max}, maximum specific rate of SA formation; r_p, volumetric productivity;

b. Batch cultivation with a mixture of NaOH and Mg(OH)₂ as neutralizing agent

In order to reduce the amount of Na⁺ added to the cultivation medium, a mixture of NaOH and Mg(OH)₂ (1:1)³ was used as neutralizing agent. Hence, sodium ion quantity was reduced by half.

The assay was performed with *A. succinogenes* under anaerobic conditions in 2 L controlled bench-top reactor with 1.5 L of working volume. Initial glycerol and DMSO concentration were 45.3 g.L⁻¹ and 0.99% (v/v), respectively. 28.8 g.L⁻¹ SA were produced after 46.9 hours cultivation.

The cells presented a specific growth rate of 0.06 h⁻¹. DMSO was totally consumed after 43.6 hours fermentation and then another pulse of DMSO (0.83% (v/v)) was provided to deplete glycerol. However, batch cultivation was stopped before that.

Figure 4.9 shows the cell growth behavior, glycerol and DMSO consumption and SA and byproducts formation during fermentation. Calculations and data are summarized in Table 4.4.

³ 100 g/L NaOH : 100 g/L Mg(OH)₂ i.e. 2.5 M: 1.7 M

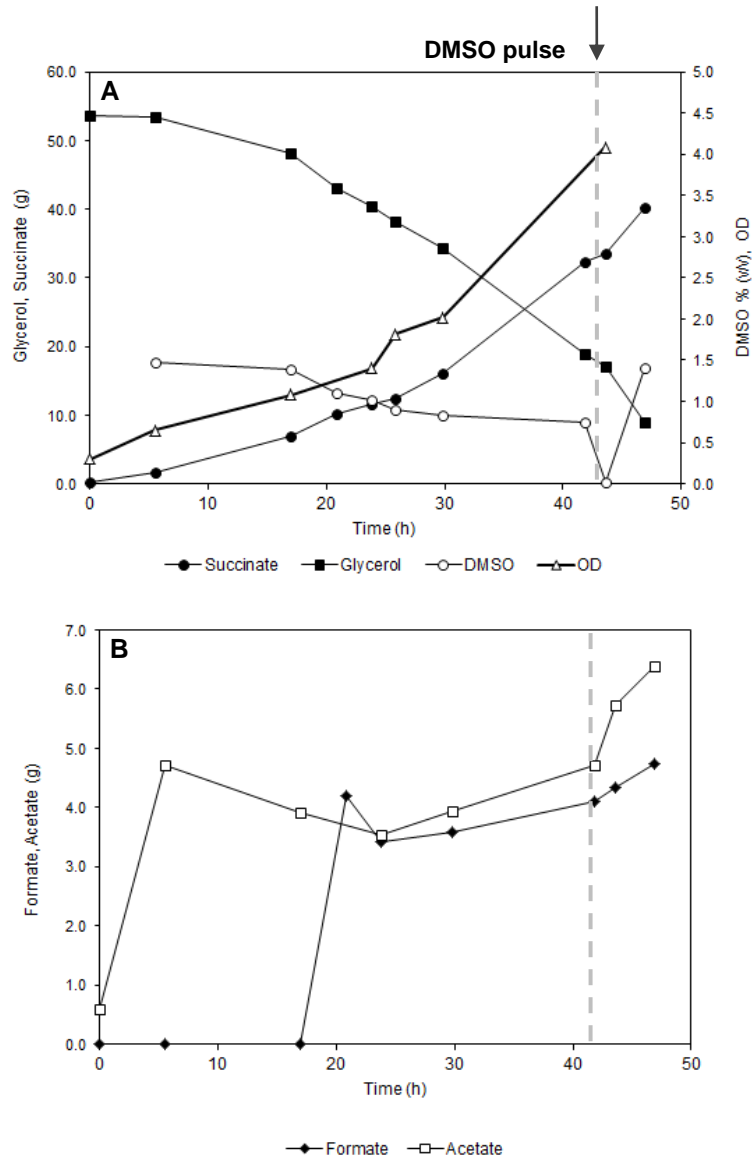


Figure 4.10 – Results of batch cultivation of *A. succinogenes* on glycerol using a mixture of NaOH and Mg(OH)₂ as neutralizing agent. A – Succinate, glycerol (g), DMSO (%) and OD profile; B – Formate and acetate (g) production.

The yield of conversion of GLR into SA was $0.90 \text{ g-SA.g-GLR}^{-1}$, which was similar to the ones obtained previously. However, the productivity was lower in this case, $0.58 \text{ g-SA.L}^{-1}.\text{h}^{-1}$. The maximum specific rate of SA formation ($0.58 \text{ g-SA.g}_{\text{biomass}}^{-1}.\text{h}^{-1}$) was also lower than obtained in the batch cultivations previously described (using NaOH (200 g.L^{-1}) as neutralizing agent). Those results show that bacteria, in this assay, were producing SA more slowly.

The biomass concentration in the broth reached 4.09 OD, the highest result so far, and no decrease was observed, suggesting that sodium ion could actually act as growth inhibitor. Indeed, the yield of biomass on GLR was higher (0.13 Cmol/Cmol) than in the all other batch

cultivations, which suggest that conditions favored the biomass growth instead of SA production.

These results seem to indicate that using a solution of NaOH:Mg(OH)₂ as neutralizing agent did not improve the SA production drastically.

c. Batch cultivation using MgCO₃ and NaOH as neutralizing agent combination strategy

In order to avoid cell flocculation/lumping and improve SA production, another neutralizing agent in the form of magnesium ions was tested. Magnesium carbonate (MgCO₃) was employed initially to enhance the CO₂ concentration in the broth, replacing sodium bicarbonate (NaHCO₃). Besides that, it could be also used as pH controller since it does not allow a dramatic change in culture pH. The advantage in using MgCO₃ as neutralizing agent is the possibility to reduce the sodium ion concentration in the broth, which could cause cell flocculation. Moreover, it is an almost insoluble salt, which under agitation causes medium turbidity. Consequently, the cells are spread more uniformly in the broth. Guettler et al. reported that MgCO₃ was the best neutralization reagent for enhancing SA production by *A. succinogenes* 130Z glucose fermentation (Guettler, et al., 1996). However, how cell growth and SA productivity are affected by different pH control methods during GLR cultivation was not yet studied.

To evaluate MgCO₃ influence on the fermentation process of glycerol by *A. succinogenes* using DMSO as external electron acceptor, a batch experiment was performed in a 2 L bench-top reactor, with an initial working volume of 1.5 L, under anaerobic atmosphere. NaHCO₃ was replaced in the culture media by MgCO₃, in a concentration of 20 g.L⁻¹. Initial glycerol and DMSO concentration were 39.1 g.L⁻¹ and 1% (v/v), respectively. DMSO was totally consumed after 28.8 hours cultivation and organic acid production stopped. DMSO (0.73% (v/v)) was supplied to the fermentation broth to consume GLR totally (Figure 4.11A) (it was not detected at time it was supplemented possibly due to DMSO solubility problems as described in 4.2a). 34.1 g.L⁻¹ SA were produced after 43.6 hours fermentation. The cells presented a specific growth rate of 0.11 h⁻¹.

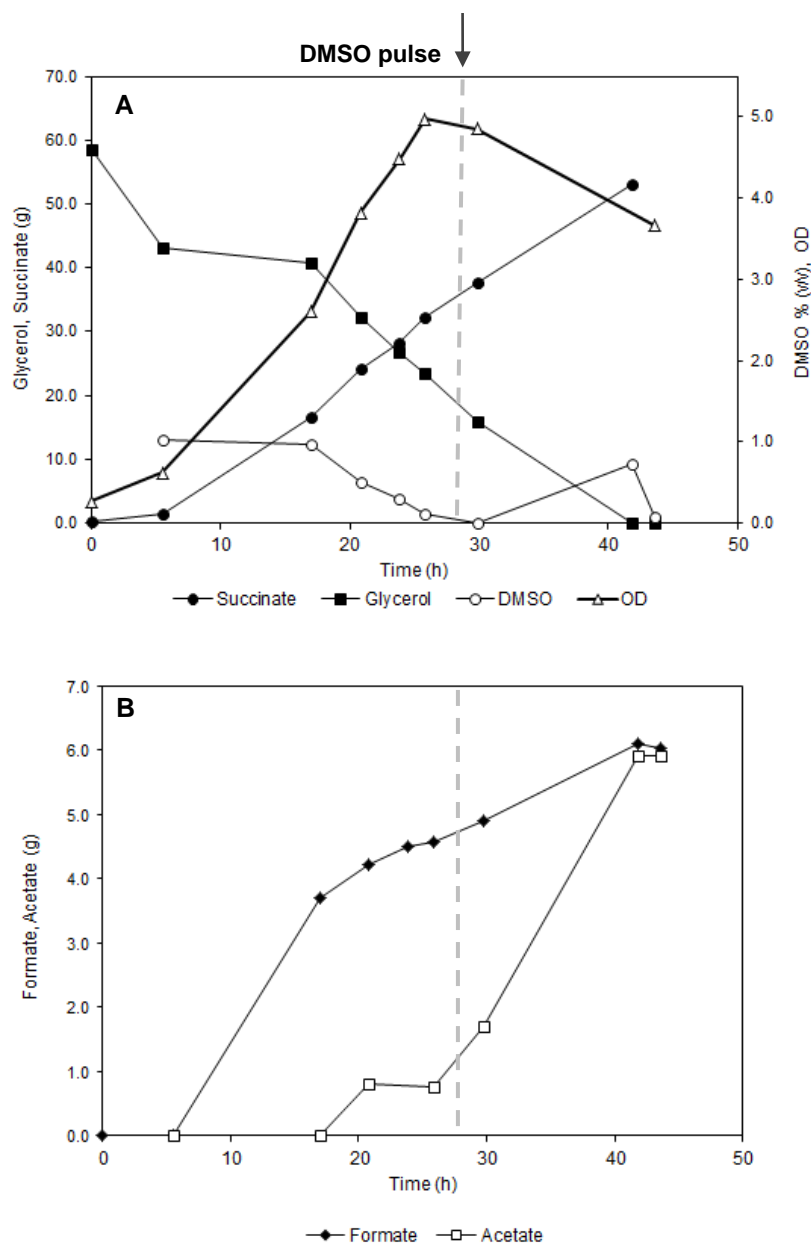


Figure 4.11 – Results of batch cultivation of *A. succinogenes* on glycerol using using $MgCO_3$ and NaOH as neutralizing agent. A – Succinate, glycerol (g), DMSO (%) and OD profile; B – Formate and acetate (g) production.

Compared to the batch cultivations described in this section (using NaOH and a solution of $NaOH:Mg(OH)_2$ as neutralizing agents), in this assay we obtained the highest volumetric productivity at the end of the batch phase, $1.0 \text{ g-SA.L}^{-1}.\text{h}^{-1}$ with a product yield of $0.92 \text{ g-SA.g-GLR}^{-1}$ (Table 4.4). Actually, the volumetric productivity was almost four times superior to the one reported by Vlysidis et al., and the product yield obtained was also higher than their results ($0.80 \text{ g-SA.g-GLR}^{-1}$) (Vlysidis, et al., 2011). The volumetric productivity observed was even higher than in *A. succinogenes* performance in batch using glucose as sole carbon source (0.79

g-SA.L⁻¹.h⁻¹) and in batch cultivation, describe in Introduction, using others SA producers and glycerol as substrate (see Table 1.2). Additionally, we obtained lower by-products ratios (see Table 4.4) than obtained using glucose as substrate (where ratios for formate and acetate round 0.11 and 0.22 g.g-glucose⁻¹, respectively) (Guettler, et al., 1996).

The maximum specific rate of SA formation was 0.78 g-SA.g_{biomass}⁻¹.h⁻¹, which was similar to the observed in the batch cultivation reported above using pure GLR and NaOH as neutralizing agent (section 4.4a) and higher than obtained using glucose as carbon source (0.39 g-SA.g_{biomass}⁻¹.h⁻¹) (Corona-González, et al., 2008).

OD was higher than obtained in the other experiments: maximum cell concentration was achieved after 25.3 hours cultivation with minor decrease afterwards (Figure 4.11A). MgCO₃ could maintain the cultivation pH during the firsts 20 hours. Afterwards, bioreactor pH had to be neutralized with NaOH (200 g.L⁻¹). However, even though NaOH used in this experience was much less than in previous assays, the concentration of sodium ion in the bioreactor was still high (10 g.L⁻¹ at the end of fermentation) (Figure 4.12), affecting the cell morphology. Indeed, a sodium ion concentration up to 6g.L⁻¹ in the medium (30 hours fermentation) (Figure 4.12) resulted in a decreasing in OD (Figure 4.11A). One strategy to avoid this addition of NaOH would be to increase the initial MgCO₃ concentration in the broth. However, this strategy results in long bacteria lag phase (data not show). One pulse of MgCO₃ (20 g.L⁻¹), when pH deregulation is observed, could be the best option to maintain the high productivity and the cell viability for longer fermentation times.

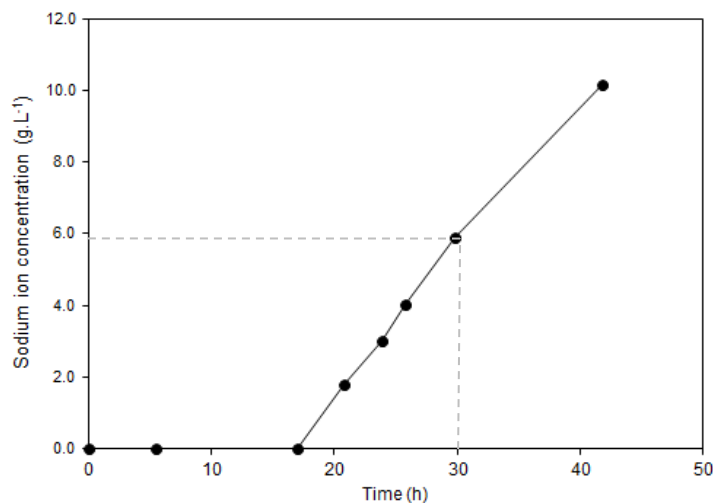


Figure 4.12 – Increasing concentration of sodium ion by supplying NaOH as neutralizing agent in batch cultivation with MgCO₃.

A batch cultivation using NaOH:Ca(OH)₂ (1:1) as neutralizing agent was also performed. However, neither cell growth nor SA production were satisfactory (data not shown).

In conclusion, we could observe that use MgCO₃ (20 g.L⁻¹) and NaOH as combination neutralizing strategy is the best option to enhance significantly SA volumetric productivity and consequently to contribute for the improvement of global process of SA bioproduction.

5. CONCLUSIONS AND FUTURE WORK

In the past few years, a sharply increase in biodiesel production was observed, which caused an influx of glycerol into the market, dropping its price and making it a waste of biodiesel industry. As consequence of its high availability and low price, GLR is becoming a key feedstock for the co-production of biofuels and other bioproducts. Additionally, glycerol is a highly reduced molecule making it an attractive raw material for production of reduced chemical, such as SA. In this work, the production of SA by *A. succinogenes* grown on GLR and using DMSO as electron acceptor was studied. Schindler already demonstrated that addition of an external electron acceptor such as DMSO dramatically increased SA production from glycerol in *A. succinogenes* (Schindler, 2011). However, optimization of the operating conditions needed to be performed, in order to improve SA productivity, yield and final titer.

This work showed that DMSO concentration clearly affects *A. succinogenes* growth when using glycerol as sole carbon source. When no DMSO was added, cells were scarce and glycerol consumption/SA production was absent, confirming that DMSO plays an important role in SA production. DMSO concentrations of 0.5 or 1% were optimal for *A. succinogenes* growth and production. It was also concluded that in the presence of 2% DMSO, very few cells were observed, suggesting an inhibitory growth effect of DMSO.

The highest volumetric productivity ($1.0 \text{ g-SA.L}^{-1}.\text{h}^{-1}$) ever reported during batch fermentations using *A. succinogenes* as biocatalyst and glycerol as substrate was obtained under controlled conditions. This value was almost four times higher than obtained by Vlysidis et al. (Vlysidis, et al., 2011) together with a high yield ($0.92 \text{ g-SA.g-GLR}^{-1}$). However, volumetric productivity could be influenced by fermentation conditions, such as inoculum volume, initial GLR concentration, SA concentration during fermentation, neutralizing agent type and ion concentration in cultivation medium. Higher volumetric productivity was obtained with a 6.7% (v/v) inoculum and using pure glycerol (39.1 g.L^{-1}) as carbon source. Additionally, in order to reduce the sodium ion concentration in cultivation medium, a combination of MgCO_3 (20 g.L^{-1}) and NaOH (200 g.L^{-1}) as neutralizing agents was used. Sodium ion is inhibitory to cell growth and SA production above 7 g.L^{-1} , probably due to the increasing medium osmolarity, which causes cell agglomeration. Moreover, high SA concentration, above 36.3 g-SA.L^{-1} , could be

also inhibitory for cell growth. Finally, it was concluded that a minimum DMSO concentration is required to initiate growth and produce SA efficiently.

Crude glycerol is a good carbon source for SA bioproduction. However, a process based on crude glycerol clearly needs to be improved in order maximize volumetric productivity and product yield, above all when compared to what can be achieved with fermentation with pure glycerol.

In view of this, future work may include a strategy to continuously remove the organic acids from the broth by using a cell re-cycle bioreactor. Other possibility to overcome cell aggregation and consequently improve SA production could be reducing, even more, sodium ion concentration in cultivation medium. This could be achieved supplying one pulse of MgCO_3 (20 g.L^{-1}), when pH deregulation is observed.

One of the main future goals of this project would to find another electron donor, cheaper than DMSO, or recycle DMSO from Kraft process, which could increase economic viability of the industrial process.

6. BIBLIOGRAPHY

Alves, V., Freitas, F., Torres, C., Cruz, M., Marques, R., Grandfils, C., Oliveira, R., Reis, M. 2009. Rheological and morphological characterization of the culture broth during exopolysaccharide production by an *Enterobacter* sp.. *Carbohydrate Polymers*. 2009.

Andersson, C., Hodge, D., Berglund, K.A., Rova, U. 2007. Effect of different carbon sources on the production of succinic acid using metabolically engineered *Escherichia coli*. *Biotechnol Prog.* 23, 2007, pp. 381-388.

Ashby, R., Solaiman, D. and Foglia, T. 2005. Synthesis of Short-Medium Chain-Length Poly(hydroxyalkanoate) Blends by Mixed Culture Fermentation of Glycerol. *Biomacromolecules*. 6, 2005, Vol. 4, pp. 2106 - 2112.

Beauprez, J., Mey, M. and Soetaert, W. 2010. Microbial succinic acid production: Natural versus metabolic engineered producers. *Process Biochem.* 45, 2010, pp. 1103-1114.

Corona-González, R., Bories, A., González-Álvarez, V., Pelayo-Ortiz, C. 2008. Kinetic study of succinic acid production by *Actinobacillus succinogenes* ZT-130. *Process Biochem.* 42, 2008, pp. 1047-1053.

Der Werf, V., Guettler, M., Jain, M., Zeikus, J. 1998. Environmental and physiological factors affecting the succinate product ratio during carbohydrate fermentation by *Actinobacillus* sp. 130Z. *Arch Microbiol.* 1998, Vol. 167, pp. 332 - 342.

Dharmadi, Y., Murarka, A. and Gonzalez, R. 2006. Anaerobic fermentation of glycerol by *Escherichia coli*: a new platform for metabolic engineering. *Biotechnol Bioeng.* 5, 2006, Vol. 94, pp. 821 - 829.

Fang, X., Li, J., Zheng, X., Xi, Y., Chen, K., Wei, P., Ouyang, P., Jian, M. 2011. Influence of Osmotic Stress on Fermentative Production of Succinic Acid by *Actinobacillus succinogenes*. *Appl Biochem Biotechnol.* 165, 2011, pp. 138-147.

Guettler, M., Rumler, D. and Jain, M. 1999. *Actinobacillus succinogenes* sp. nov., a novel succinic-acid-producing strain from the bovine rumen. *International Journal of Systematic Bacteriology.* 1999, Vol. 49, pp. 207-216.

Guettler, M., Jain, M. and Rumler, D. 1996. *Method for making succinic acid, bacterial variants for use in the process, and methods for obtaining variants* . 5,573,931 Michigan, 1996. United States Patent.

Johnson, D. and Taconi, K. 2007. The Glycerin Glut: Options for the Value-Added Conversion of Crude Glycerol Resulting from Biodiesel Production. *Environmental Progress*. 4, 2007, Vol. 26, pp. 338 - 348.

Kampen, W. 1993. *Process for manufacturing ethanol and for recovering glycerol, succinic acid, lactic acid, betaine, potassium sulfate and free flowing distiller's dry grain and solubles or a solid fertilizer therefrom.* 5,177,009 January 5, 1993. United States Patent.

Lee, P., Le, S. and Chang, H. 2010. Kinetic study on succinic acid and acetic acid formation during continuous cultures of *Anaerobiospirillum succiniciproducens* grown on glycerol. *Bioprocess Biosyst Eng.* 2010, Vol. 33, pp. 465 - 471.

Lee, P., Lee, S., Hong, S., Chang, H. 2002. Isolation and characterization of a new succinic acid-producing bacterium, *Mannheimia succiniciproducens* MBEL55E, from bovine rumen. *Appl Microbiol Biotechnol.* 58, 2002, pp. 663 - 668.

Lee, P., Lee, W., Lee, S., Chang, H. 2001. Succinic acid production with reduced by-product formation in the fermentation of *Anaerobiospirillum succiniciproducens* using glycerol as a carbon source. *Biotechnol Bioeng.* 72, 2001, pp. 41 - 48.

Lee, P., Lee, W., Kwon, S., Lee, S., Chang, H. 1999. Succinic acid production by *Anaerobiospirillum succiniciproducens*: effects of the H₂/CO₂ supply and glucose concentration. *Enzyme and Microbial Technology.* 24, 1999, pp. 549 - 554.

Lennox, J. 2001. Biofilms: The hypertextbook. *hypertextbookshop*. [Online] April 2001. <http://www.hypertextbookshop.com/biofilmbook/v004/r003/index.html>.

Li, J., Zheng, X., Fang, X., Liu, S., Chen, K., Jiang, M., Wei, P. 2011. A complete industrial system for economical succinic acid production by *Actinobacillus succinogenes*. *Biosource Technology.* 102, 2011, pp. 6147 - 6152.

Lin, S., Du, C., Koutinas, A., Wang, R., Weeb, C. 2008. Substrate and product inhibition kinetics in succinic acid production by *Actinobacillus succinogenes*. *BioChem Eng J.* 41, 2008, pp. 128 - 135.

Liu, Y., Zheng, P., Sun, Z., Dong, J., Wei, P. 2008. Strategies of pH control and glucose-fed batch fermentation for production of succinic acid by *Actinobacillus succinogenes* CGMCC1593. *Journal of Chemical Technology and Biotechnology.* 83, 2008, pp. 722 - 729.

Lorenzen, J., Steinwachs, S. and Uden, G. 1994. DMSO respiration by the anaerobic rumen bacterium *Wolinella succinogenes*. *Arch Microbiol.* 162, 1994, pp. 277 - 281.

Luque, R., Lin, C., Du, C., Macquarrie, D., Koutinas, A., Wang, R., Webb, C., Clark, J. 2009. Chemical transformations of succinic acid recovered from fermentation broths by a novel direct vacuum distillation-crystallisation method. *Green Chem.* 2009, Vol. 11, pp. 193 - 200.

McKinlay, J., Laivenieks, M., Schindler, B., McKinlay, A., Siddaramappa, S. 2010. A genomic perspective on the potential of *Actinobacillus succinogenes* for industrial succinate production. *BMC Genomics.* 11, 2010, p. 680.

McKinlay, J., Vieille, C. and Zeikus, J. 2007. Prospects for a bio-based succinate industry. *Appl Microbiol Biotechnol.* 76, 2007, pp. 727 - 740.

McKinlay, J., Zeikus, J. and Vieille, C. 2005. Insights into *Actinobacillus succinogenes* Fermentative Metabolism in a Chemically Defined Growth Medium. *Appl Environ Microbiol.* 11, 2005, Vol. 71, pp. 6651 - 6656.

Nghiem, N., Davison, B., Suttle, B., Richardson, G. 1997. Production of succinic acid by *Anaerobiospirillum succiniciproducens*. *Appl Biochem Biotechnol.* 63/65, 1997, pp. 565 - 576.

Nieto-Draghi, C., Ávalos, J. 2003. Transport properties of dimethyl sulfoxide aqueous solutions. *J. Chem. Phys.* 1, 2003, Vol. 119, pp. 4782.

Podkovyrov, S. and Zeikus, J. 1993. Purification and characterization of phosphoenolpyruvate carboxykinase, a catabolic CO₂-fixing enzyme, from *Anaerobiospirillum succiniciproducens*. *Journal of General Microbiology.* 139, 1993, pp. 223-228.

Pyle, D. 2008. Use of Biodiesel-Derived Crude Glycerol for the Production of Omega-3 Polyunsaturated Fatty Acids by the Microalga *Schizochytrium limacinum*. Blacksburg, VA : s.n., 2008.

Roy, K. 2011. Sulfones and Sulfoxides. *ULLMANN'S Encyclopedia of Industrial Chemistry.* 2011.

Schindler, B. 2011. Understanding And Improving Respiratory Succinate Production From Glycerol By *Actinobacillus succinogenes*. Michigan : s.n., 2011. UMI 3453285.

Scholten, E. and Dagele, D. 2008. Succinic acid production by a newly isolated bacterium. *Biotechnol Lett.* 30, 2008, pp. 2143 - 2146.

Sims, B. 2010. Amber: the color of money. *Biorefining* . 2010.

Song, H. and Lee, S. 2006. Production of succinic acid by bacterial fermentation. *Enzyme and Microbial technology.* 2006, Vol. 39, pp. 352 - 361.

Suppes, G. 2005. Method of producing lower alcohols from glycerol. *US 20050244312 and WO 2007/053705* 2005. US patent Application.

Thompson, J. and He, B. 2006. Characterization of crude glycerol from biodiesel production from multiple feedstocks. *Appl Eng Agric.* 2, 2006, Vol. 22, pp. 261 - 265.

Vlysidis, A., Binns, M., Webb, C., Theodoropoulos, C. 2011. Glycerol utilisation for the production of chemicals: Conversion to succinic acid, a combined experimental and computational study. *Biochemical Engineering Journal.* 58 - 59, 2011, pp. 1 - 11.

Vlysidis, A., Binns, M., Web, C., Theodoropoulos, C. 2009. Utilization of glycerol to platform chemicals within the biorefinery concept: A case for succinate production. *Chemical Engineering Transactions.* 2009, Vol. 18.

Wang, C., Zhu, L., Li, H., Tang, Y. 2011. Performance analyses of a neutralizing agent combination strategy for the production of succinic acid by *Actinobacillus succinogenes* ATCC 55618. *Bioprocess Biosyst Eng.* 2011.

Werpy, T. and Petersen, G. 2004. Top Value Added Chemicals from Biomass, Volume I—Results of Screening for Potential Candidates from Sugars and Synthesis Gas. 2004.

Yazdani, S. and Gonzalez, R. 2007. Anaerobic fermentation of glycerol: a path to economic viability for the biofuels industry. *Current Opinion in Biotechnology.* 18, 2007, pp. 213 - 219.

Zeikus, J., Jain, M. and Elankovan, P. 1999. Biotechnology of succinic acid production and markets for derived industrial products. *Appl Microbiol Biotechnol.* 51, 1999, pp. 545 -552.

Zou, W.; Zhu, L.; Li, H.; Tang, Y. 2011. Significance of CO₂ donor on the production of succinic acid by *Actinobacillus succinogenes* ATCC 55618. *Microbial Cell Factories.* 10, 2011, pp. 87 - 97.