

# Alphavirus polymerase and RNA replication

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Abbreviations (note that abbreviations for viruses are listed in Table 1): CPC, cyclopentenylcytosine; CPV, cytopathic vacuole; CSE, conserved sequence element; DI, defective interfering; dsRNA, double-stranded RNA; FXR Fragile X syndrome family proteins; G3BP, Ras-GTPase-activating protein (SH3 domain)-binding protein; hnRNP, heterogeneous nuclear ribonucleoprotein; ns, nonstructural; nsP, nonstructural protein; PABP, poly(A)-binding protein; PFZ, pyrazofurin; PI3K, phosphatidylinositol-3-kinase; RC, replication complex; RdRp, RNA-dependent RNA polymerase; RF, replicative form; RI, replicative intermediate; SH3, Src-homology 3; ssRNA, single-stranded RNA; TATase, terminal adenylyltransferase; ts, temperature-sensitive; UTR, untranslated region

## Abstract

Alphaviruses are typically arthropod-borne, and many are important pathogens such as chikungunya virus. Alphaviruses encode four nonstructural proteins (nsP1 to 4), initially produced as a polyprotein P1234. nsP4 is the core RNA-dependent RNA polymerase but all four nsPs are required for RNA synthesis. The early replication complex (RC) formed by the polyprotein P123 and nsP4 synthesizes minus RNA strands, and the late RC composed of fully processed nsP1 to nsP4 is responsible for the production of genomic and subgenomic plus strands. Different parts of nsP4 recognize the promoters for minus and plus strands but the binding also requires the other nsPs. The alphavirus polymerase has been purified and is capable of *de novo* RNA synthesis only in the presence of the other nsPs. The purified nsP4 also has terminal adenylyltransferase activity, which may generate the poly(A) tail at the 3' end of the genome. Membrane association of the nsPs is vital for replication, and alphaviruses induce membrane invaginations called spherules, which form a microenvironment for RNA synthesis by concentrating replication components and protecting double-stranded RNA intermediates. The RCs isolated as crude membrane preparations are active in RNA synthesis

37 *in vitro*, but high-resolution structure of the RC has not been achieved, and thus the  
38 arrangement of viral and possible host components remains unknown. For some  
39 alphaviruses, Ras-GTPase-activating protein (Src-homology 3 (SH3) domain)-binding proteins  
40 (G3BPs) and amphiphysins have been shown to be essential for RNA replication and are  
41 present in the RCs. Host factors offer an additional target for antivirals, as only few alphavirus  
42 polymerase inhibitors have been described.

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## 45 **1. Alphavirus genome structure and replicase proteins**

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47 Alphaviruses belong to the family *Togaviridae* together with the genus *Rubivirus*, which  
48 contains only one member, rubella virus (Table 1). Most alphaviruses are arthropod-borne  
49 viruses, and several are important human and/or animal pathogens, causing either fever, rash  
50 and arthritis (Old World alphaviruses, including chikungunya virus (CHIKV), Ross River virus  
51 (RRV) and Sindbis virus (SINV)), or encephalitis (New World alphaviruses, e.g. Venezuelan  
52 equine encephalitis virus (VEEV)). The alphavirus genome is a single positive-strand RNA of  
53 ~11-12 kb with a 5' cap0 structure and 3' poly(A). The two open reading frames encode the  
54 nonstructural (ns) or replicase polyprotein, and the structural polyprotein expressed via a  
55 subgenomic RNA (Fig. 1) (Strauss and Strauss, 1994). The ns polyprotein is processed in a  
56 highly regulated sequence to four final products, the nonstructural proteins (nsPs) 1-4  
57 (Kääriäinen and Ahola, 2002). nsP1 is the viral capping enzyme and membrane anchor of the  
58 replication complex (RC) (Ahola and Kääriäinen, 1995; Spuul et al., 2007), while nsP2 is an  
59 RNA helicase and the protease responsible for the ns polyprotein processing (Das et al., 2014;  
60 Hardy and Strauss, 1989; Vasiljeva et al., 2003). nsP3 interacts with several host proteins and  
61 may modulate protein poly- and mono-ADP-ribosylation (Kim et al., 2016; Li et al., 2016), and  
62 nsP4 is the core viral RNA-dependent RNA polymerase (RdRp) (Rubach et al., 2009). Structural  
63 information is only available for the protease region of nsP2 and the folded N-terminal  
64 portions of nsP3 (Shin et al., 2012).

65 The nsP4 polymerase is the most highly conserved protein in alphaviruses. Even nsP4s  
66 of the most diverged alphaviruses, the salmonid alphaviruses, are  $\geq 50$  % identical in amino  
67 acid sequence with the other alphaviral nsP4s (Forrester et al., 2012; Weston et al., 2002).

68 Within the mammalian/avian alphaviruses, the identities are even higher, e.g CHIKV nsP4  
69 identity varying from 71% (with Barmah Forest virus, BFV) to 91% (with O'nyong-nyong virus,  
70 ONNV) (Khan et al., 2002). Alphavirus nsP4 is ~610 amino acids in length, containing a large  
71 C-terminal domain related to other viral RdRps, and an alphavirus-specific ~150 amino acid  
72 N-terminal domain. The N-terminal domain is crucial for virus replication. It may be partially  
73 disordered structurally and it appears to interact with the other nsPs in the RCs (Rupp et al.,  
74 2011). Beyond the family *Togaviridae*, the alphaviruses belong to the large alphavirus-like  
75 superfamily that contains the animal hepeviruses and numerous genera of plant viruses  
76 (Koonin et al., 2015). All of these possess within their replicase proteins domains related to  
77 the capping enzyme nsP1, the helicase domain of nsP2 and the polymerase nsP4. When the  
78 predicted secondary structures of the RdRps from bromo- and tobamoviruses were compared  
79 to the partial crystal structure of poliovirus polymerase, these alphavirus superfamily  
80 members showed the typical RdRp structure with fingers, palm containing the GDD motif, and  
81 thumb domains (O'Reilly and Kao, 1998). In addition, comparison revealed a region preceding  
82 the fingers domain, which is unique to the RdRps and might be essential for the  
83 oligomerization of the polymerase. There are no structures available for the alphavirus nsP4,  
84 nor for any of the polymerases within the alphavirus-like superfamily. Now that structures  
85 have been solved for some of the negative-strand RNA virus polymerases (Pflug et al., 2017),  
86 the alphavirus superfamily may be the most significant branch of RdRps entirely lacking  
87 structural information. The biochemical characterization of nsP4 has also been challenging,  
88 as discussed in the next section. Therefore, much of this review will focus on the activities and  
89 properties of the alphavirus RC as a whole.

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## 92 **2. Biochemical characteristics of nsP4: RNA synthesis and polyadenylation**

93 Most of the work on the replication and RNA synthesis of alphaviruses has been done with  
94 SINV and Semliki Forest virus (SFV) (Rupp et al., 2015). Together with the distant sequence  
95 relationship to other RdRps, analysis of temperature-sensitive (ts) mutants defective in RNA  
96 synthesis indicated that nsP4 is the catalytically active core polymerase subunit (Barton et al.,  
97 1988; Hahn et al., 1989a; Sawicki et al., 1990).

98           SINV mutants ts6 and ts110 each have a single base substitution in nsP4 causing glycine  
99   to glutamic acid change at position 153 or 324, respectively. These substitutions are located  
100   within highly conserved regions of nsP4 (Hahn et al., 1989a). *In vitro* RNA synthesis of ts6  
101   shows that the RCs are stable at nonpermissive temperature but fail to elongate RNA strands  
102   indicating that the elongation capacity of the polymerase is inactivated (Barton et al., 1988).  
103   The *in vitro* RNA synthesis of the RCs is reactivated when they are returned to the permissive  
104   temperature.

105           SINV nsP4 has been expressed in *E. coli* and purified resulting in the full-length  
106   polymerase with an authentic N-terminal tyrosine and *de novo* RNA-synthesis activity but only  
107   when supplied with the polyprotein P123 (Rubach et al., 2009). Remarkably, the purified nsP4  
108   is capable of forming the RCs with P123 resulting in the synthesis of discrete template-length  
109   minus strands from the provided plus-strand templates. Furthermore, nsP4 produced in  
110   bacteria has the same template requirements as the mammalian nsP4. The core catalytic  
111   domain ( $\Delta$ 97nsP4, in which the N-terminal 97 amino acids are deleted) has also been  
112   expressed in *E. coli* and purified as a monomer (Tomar et al., 2006). Interestingly,  $\Delta$ 97nsP4  
113   lacks *de novo* copying activity, even when combined with the polyprotein P123 (Rubach et al.,  
114   2009; Tomar et al., 2006). Thus, the 97 N-terminal residues seem to be crucial for the RdRp  
115   activity. The polyprotein P123 might be required in the template recognition or it may activate  
116   nsP4 through protein-protein interactions.

117           It is intriguing that nsP4 has also been shown to synthesize RNA *in vitro* in the absence  
118   of the other viral nsPs (Thal et al., 2007). SINV nsP4 was purified using detergent solubilisation  
119   of the membrane fraction from cells expressing uncleavable P123 and nsP4. The soluble form  
120   of nsP4 was able to synthesize template-length RNA from both minus- and plus-strand  
121   transcripts in the absence of the other nsPs. The authors suggest that the other components  
122   in the RC, determine the polarity of the RNA synthesized by nsP4. However, the ability of the  
123   detergent-solubilized nsP4 to synthesize RNA without the other nsPs is in conflict with the  
124   result that nsP4 purified from a bacterial expression system requires the polyprotein P123 for  
125   *de novo* RNA synthesis (Rubach et al., 2009). It is speculated that host proteins remaining in  
126   the same fraction as the soluble nsP4 may affect the RNA synthesis, but the bacterially  
127   produced nsP4 was unable to synthesize RNA even when combined with animal cell extracts  
128   (Rubach et al., 2009; Thal et al., 2007).

129 Both the full-length and N-terminally truncated nsP4 possess terminal, divalent cation-  
130 dependent adenylyltransferase (TATase) activity, independent of P123, nsP1, nsP2, or nsP3  
131 (Rubach et al., 2009; Tomar et al., 2006). At least 11-12 adenylate residues in the poly(A) tail  
132 next to the 3' conserved sequence element (CSE) are required for SINV RNA replication and  
133 efficient production of full-length minus strands (Hardy and Rice, 2005), and the TATase  
134 activity of nsP4 may play an important role in generating genome poly(A). However, it is not  
135 known if the poly(A) tail is added in a template-dependent or independent manner and if  
136 cellular and/or viral factors are responsible for the polyadenylation. Previously, it was  
137 suggested that the 5' end of the minus strand is a poly(U) tract and the initiation of the plus-  
138 strand synthesis occurs within this poly(U) tail resulting in the poly(A) tail (Frey and Strauss,  
139 1978; Sawicki and Gomatos, 1976). However, more recent evidence shows that the initiation  
140 of the minus-strand synthesis occurs immediately after the poly(A) tail (Hardy, 2006)  
141 indicating that a template-independent mechanism is used to add the poly(A) tail.  
142 Furthermore, it has been observed that SINV poly(A) tail is regenerated *in vivo* when RNA  
143 without the poly(A) tail is transfected into cells (Hill et al., 1997; Raju et al., 1999). A signal for  
144 this polyadenylation is located within the 29 3'-terminal nucleotides of the SINV genome (Raju  
145 et al., 1999).

146 Altogether, data imply that the TATase activity of nsP4 has a potential role in  
147 maintenance and repair of the poly(A) tail, and the work by Tomar et al. (2006) and Rubach  
148 et al. (2009) indicate that the poly(A) tail is added by a non-templated mechanism. The N-  
149 terminally truncated nsP4 ( $\Delta$ 97nsP4) adds adenine to the 3' end of an acceptor RNA in the  
150 presence of different divalent cations, and mutations have confirmed the role of the  
151 alphavirus GDD motif in metal binding (Tomar et al., 2006). Other viral or host factors, or the  
152 97 N-terminal residues, might be needed for the template recognition as  $\Delta$ 97nsP4 shows no  
153 sequence specificity in the TATase activity. Participation of other factors in nsP4 TATase  
154 activity is further supported by the observation that  $\Delta$ 97nsP4 adds only two to four adenylate  
155 residues while the alphavirus poly(A) tail is ~70 nt. Furthermore, the full-length nsP4 shows  
156 more robust TATase activity than  $\Delta$ 97nsP4 demonstrating the importance of the 97 N-  
157 terminal residues (Rubach et al., 2009). However, it remains to be studied whether nsP4  
158 TATase activity is responsible for the *in vivo* polyadenylation of alphavirus RNA.

159 Mutations in nsP4 enable SINV to replicate in cells with lowered levels of rNTPs (Li et  
160 al., 2004; Lin et al., 2002; Lin et al., 2000). Pyrazofurin (PFZ), which is a cytidine analog

161 decreasing UTP and CTP levels, inhibits SINV replication (Lin et al., 2000). Three amino acid  
162 substitutions in nsP4 (Met287 to Leu, Lys592 to Ile, and Pro609 to Thr) are required for the  
163 PFZ-resistant phenotype of SINV. One of the substitutions (M287L) is located in the predicted  
164 fingers domain and the two others in the thumb domain of the polymerase, and it is suggested  
165 that these three substitutions, especially in the fingers, alter the rNTP-binding pocket  
166 increasing affinity for UTP and CTP. There is also a SINV mutant resistant to  
167 cyclopentenylcytosine (CPC), which reduces the level of CTP. One substitution in nsP4 (Leu585  
168 to Phe) confers the resistance against CPC. This mutant has a lower  $K_m$  for CTP compared to  
169 the wild type. The CPC-resistant mutant is sensitive to PFZ while the PFZ-resistant mutant is  
170 also resistant to CPC. As these two mutants have amino acid substitutions at different  
171 positions, it is suggested that the polymerase uses different amino acids to bind different  
172 nucleotides (Li et al., 2004).

173 High-fidelity variants of nsP4 as well as nsP2 are more resistant to inhibitors of  
174 nucleoside biosynthesis indicating that viruses could use fidelity changes to adapt to  
175 intracellular nucleotide depletions (Stapleford et al., 2015). Passaging of CHIKV in the  
176 presence of ribavirin, which is an RNA nucleoside analog, resulted in a mutant with a cysteine-  
177 to-tyrosine substitution at position 483 of nsP4 (Coffey et al., 2011). This substitution of the  
178 conserved cysteine increased replication fidelity of the polymerase and thus decreased  
179 genetic diversity and fitness of the virus. The mutant is moderately attenuated in mammalian  
180 and mosquito hosts. A ribavirin- and 5-fluorouracil-resistant variant of CHIKV nsP2 has also  
181 been shown to increase the fidelity of the polymerase. This nsP2 variant exhibits delayed  
182 helicase activity, yet replication kinetics is increased (Stapleford et al., 2015). Thus, nsP2 and  
183 nsP4 may interact in the RCs to regulate the fidelity of the polymerase, and the interaction  
184 between these proteins has been observed in yeast-two hybrid and ELISA experiments  
185 (Sreejith et al., 2012; Stapleford et al., 2015).

186 Further substitutions at the Cys483 of CHIKV nsP4 have been generated to decrease the  
187 replication fidelity (Rozen-Gagnon et al., 2014). Polymerase variants with higher mutation  
188 frequencies were attenuated *in vivo* but showed no major replication defects in mammalian  
189 cells. On the other hand, these mutants had major defects in RNA synthesis in mosquito cells.  
190 The same effects were also observed with analogous mutations in SINV. Thus, manipulation  
191 of the polymerase fidelity offers a tool to attenuate alphaviruses in both mammalian and

192 insect cells for example in order to develop vaccines (Coffey et al., 2011; Rozen-Gagnon et al.,  
193 2014).

194 The study of SINV RdRp mutators by Poirier et al. (2016) suggests a tight link between  
195 fidelity, recombination and defective interfering particle (DI) production. The mutators  
196 contain point mutations in viral polymerase and it was shown that the mutators have the  
197 ability to recombine in higher rate than wild-type viruses, leading to overproduction of DIs  
198 (Poirier et al., 2016). Recombination in alphaviruses was originally shown by the formation of  
199 DIs, virions with truncated viral genomes that accumulate during replication. They utilize full-  
200 length viruses for multiplication and thus interfere with their replication (Poirier et al., 2016).  
201 The alphavirus DIs may contain duplicated, deleted or rearranged sequences and even cellular  
202 RNAs (Monroe and Schlesinger, 1983, 1984), but retain *cis*-acting sequence elements that are  
203 necessary for replication and packaging. Analyses of SFV and SINV DI genomes have provided  
204 important information on these critical elements needed for virus multiplication (reviewed in  
205 (Strauss and Strauss, 1994, 1997)).

206 Recombination seems to be somewhat less common in alphaviruses as compared to other  
207 groups of positive-strand RNA viruses, and both homologous as well as nonhomologous  
208 recombination has been described (Raju et al., 1995; Strauss and Strauss, 1997). In laboratory  
209 experiments, SINV constructs that were defective when introduced to the cells alone, were  
210 able to complement each other, giving rise to infectious recombinants (Weiss and Schlesinger,  
211 1991). The recombination studies have given information especially on the 3' end of the  
212 genome and its minimal requirements for minus strands synthesis (Hill et al., 1997) and on  
213 recombination hot spots (Hajjou et al., 1996).

214 Viruses must be able to repair their genomes and a mechanism for the 3'-end repair  
215 pathway of alphaviruses has been suggested. Alphaviruses are able to add *in vivo* AU-rich  
216 sequences next to the poly(A) tail in genomes lacking the 3' CSE and infectious progeny viruses  
217 are made (Hill et al., 1997; Raju et al., 1999). The poly(A) tail is the prerequisite for these AU  
218 additions. It has been proposed that the polymerase stammers on AU-rich sequences and  
219 sliding, jumping, and stammering of the polymerase result in addition of such motifs to newly  
220 synthesized minus strands.

221 Alphavirus polymerases are considered to be viral template specific. SFV nsP4 is,  
222 however, at least to some extent, able to use other than viral RNA as a template to make  
223 double-stranded RNA (dsRNA) as it makes 5'-ppp dsRNA using host cell RNA as a template.

224 (Nikonov et al., 2013). This, on the other hand, induces interferon-beta production mediated  
225 by retinoic acid-inducible gene I (RIG-I)-like receptors, and thus, this unspecific activity of the  
226 polymerase may be utilized by the host to restrict viral replication.

227         Alphavirus polymerase nsP4 has two major biochemical characteristics, *de novo* RNA  
228 synthesis and TATase activity. The former one requires the other nsPs and the latter one may  
229 generate the poly (A) tail typical of alphaviral genomes. The polymerase fidelity can be altered  
230 and mutations can also result in more frequent recombination. If a crystal structure of  
231 alphaviral polymerase is obtained, it would allow more robust comparison of this polymerase  
232 to other viral RdRps, beyond the sequence level. As the structures are more conserved than  
233 sequences (Mönttinen et al., 2014), new relationships between RdRp-encoding viruses could  
234 be formed.

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### 237 **3. Minus-strand and plus-strand synthesizing replicases**

238 The core function of the viral polymerase lies in the genome replication but it is not the only  
239 element needed. The replication of alphaviruses is carefully orchestrated and requires all four  
240 nonstructural proteins that are processed from a polyprotein in a precise order during RNA  
241 synthesis (Fig. 2). The nonstructural proteins are translated as a polyprotein in the cytoplasm  
242 from the RNA genome released after virus entry. For most alphaviruses such as SINV, there is  
243 an opal (UGA) termination codon close to the C-terminus of nsP3 and thus two types of  
244 polyproteins, P123 and P1234, are produced. Of these only ~10 % is full-length polyprotein  
245 and P123 is produced in excess (Li and Rice, 1993). In contrast, SFV and ONNV have the stop  
246 codon replaced by arginine and only P1234 is produced (Strauss and Strauss, 1994; Takkinen,  
247 1986). After translation, nsP4 is promptly cleaved by the protease activity residing in the C-  
248 terminus of nsP2 (de Groot et al., 1990). This cleavage is obligatory as the full-length form  
249 P1234 is not capable of any RNA synthesis (Kallio et al., 2016; Shirako and Strauss, 1994).

250         It has been demonstrated that nsP4 can also be provided separately by expressing it as an  
251 ubiquitin-fusion, which is rapidly cleaved to provide authentic nsP4 (Lemm et al., 1994; Spuul  
252 et al., 2011). The N-terminal amino acid of nsP4 has a critical role in its functionality. In the  
253 wild type form, nsP4 contains tyrosine as the N-terminal amino acid (Strauss and Strauss,  
254 1994) and excess nsP4 is rapidly degraded by the N-end rule pathway, a ubiquitin-dependent



255 proteolysis (de Groot et al., 1991). In this process the protein's N-terminal amino acid plays a  
256 key role and tyrosine acts as a destabilizing residue (Varshavsky, 1992). Changing the N-  
257 terminal amino acid to a nonaromatic residue in nsP4 is lethal for the virus, but an aromatic  
258 amino acid (Phe or Trp) or histidine rescues replication close to wild type level (Shirako and  
259 Strauss, 1998). An N-terminal Met results in viable virus with attenuated replication (Shirako  
260 and Strauss, 1998). Three second-site suppressor mutations resulting in amino acid  
261 substitutions allow the mutant SINV nsP4 with an N-terminal nonaromatic amino acid (Ala,  
262 Leu or Arg), to be functional. One suppressor mutation is located in nsP1 and two in nsP4  
263 (Shirako et al., 2000). These results suggest that the role of the conserved tyrosine at the N-  
264 terminus of nsP4 is to interact with nsP1 and other nsP4 residues to allow proper folding  
265 and/or to interact with other viral or cellular factors to recognize the promoter and thus allow  
266 efficient initiation of the minus-strand synthesis. The ring structure of the aromatic amino  
267 acids may allow the right conformation for proper protein folding and protein-protein  
268 interactions (Shirako et al., 2000; Shirako and Strauss, 1998).

269 The early polymerase complex formed by P123 and nsP4 is dedicated to the synthesis of  
270 minus strand, using the viral genome as template (Lemm et al., 1994; Shirako and Strauss,  
271 1994). This form of the complex is able to synthesize some plus-strand RNAs, but very  
272 inefficiently (Kallio et al., 2016; Lemm and Rice, 1993b). The following cleavage of nsP1 from  
273 P123 switches the complex in such a way that for a very short period, a complex of  
274 nsP1+P23+nsP4, seems to be capable of both minus and plus strand synthesis, but not  
275 subgenomic RNA synthesis (Jose et al., 2009; van der Heijden and Bol, 2002). The site between  
276 nsP2 and nsP3 is then cleaved in *trans*, leading to fully processed nonstructural proteins,  
277 forming the late RC. The switch to the late complex irreversibly "locks" the complex and it can  
278 only synthesize full length and subgenomic plus-strand RNAs from the minus strand. Thus in  
279 order to produce more minus strands, more polyprotein precursors should be translated. This  
280 has been demonstrated by treatment of cells with cyclohexamide which quickly shuts off the  
281 minus strand RNA synthesis (Sawicki and Sawicki, 1980; Sawicki and Sawicki, 1986). In infected  
282 mammalian cells, minus-strand synthesis occurs efficiently until 3 to 4 hours post infection  
283 (p.i.) and is then shut down as polyprotein cleavage becomes so rapid that new RCs can no  
284 longer be formed (Sawicki and Sawicki, 1980).

285 The polyprotein cleavage and its relation to strand-preference has been widely studied  
286 with the classic alphaviruses SFV and SINV. Different experimental strategies, such as

287 cleavage-defective polyproteins and proteases (Lemm et al., 1998; Lemm and Rice, 1993b;  
288 Lemm et al., 1994; Shirako and Strauss, 1994; Vasiljeva et al., 2003), temperature-sensitive  
289 mutants (Hahn et al., 1989b; Sawicki et al., 1990; Sawicki and Sawicki, 1993; Sawicki et al.,  
290 1981; Wang et al., 1994) and reconstituted systems (Lemm and Rice, 1993a, b; Lemm et al.,  
291 1994; Spuul et al., 2011), have been used to gain a comprehensive set of results. It has been  
292 clearly shown that in order to synthesize minus-strands, the nonstructural proteins nsP1, nsP2  
293 and nsP3 cannot be expressed separately but are needed as a polyprotein P123 (Lemm and  
294 Rice, 1993a; Lemm et al., 1994; Shirako and Strauss, 1994). In fact, even if P123 is expressed  
295 but is processed to individual proteins too fast, no RNA synthesis is detected (Lemm et al.,  
296 1994). A specific role for nsP1 in the minus-strand synthesis has been detected with SINV  
297 mutant ts11, which contains Ala-348 to Thr substitution in the nsP1 (Hahn et al., 1989b) and  
298 SFV mutants ts10 and ts14 (Lulla et al., 2008). Similarly, another mutant ts4 in SINV, mapping  
299 to nsP3 (Ala268 to Val), plays a role in minus-strand synthesis (Wang et al., 1994). All these  
300 mutants fail to synthesize minus-strand when infected cells are shifted from permissive to  
301 nonpermissive temperature.

302 Subsequent robust plus-strand RNA synthesis happens in the late RCs containing cleaved  
303 polyproteins, when subgenomic RNAs are produced in higher quantities than genomic RNAs  
304 (Keränen and Kääriäinen, 1979). Studies with uncleavable P123 or P23 have demonstrated  
305 that production of subgenomic RNA is impaired when fully processed nsPs are not present  
306 (Gorchakov et al., 2008a; Lemm and Rice, 1993b; Lemm et al., 1994; Shirako and Strauss,  
307 1994). Interestingly, it has been possible to reactive minus-strand synthesis with a number of  
308 ts mutants in the absence of new protein synthesis (Sawicki and Sawicki, 1993; Suopanki et  
309 al., 1998), implying that the conformational changes in the nsPs between early and late RCs  
310 are so subtle that cleaved nsPs are able to switch back to the early complex form (reviewed  
311 in (Kääriäinen and Ahola, 2002)). A replicase containing uncleavable P123, with additional  
312 adaptive mutations, can also convert to the late form making only positive-strand RNAs  
313 (Gorchakov et al., 2008a).

314 The delicate interactions between the nsPs in the replication complex at the early and  
315 late stages are poorly understood due to the lack of structural data. A number of studies have  
316 addressed the role of nsP4 amino acid substitutions both in replication and in protein-protein  
317 interactions. It has been suggested that the conserved arginine 183 of SINV nsP4, which is  
318 predicted to be in the fingers domain, plays an important role in the initiation of the minus-

319 strand synthesis and the interaction with host factors (Fata et al., 2002a). In addition, Gly83  
320 to Leu substitution in the N-terminal domain of nsP4 also affects minus-strand RNA synthesis  
321 (Rupp et al., 2011). These minus-strand deficient mutants can be suppressed by second-site  
322 mutations in nsP1 indicating that nsP1 and nsP4 interact (Fata et al., 2002b; Rupp et al., 2011).  
323 Physical connection identified between nsP1 and nsP4 further confirms their interaction (Fata  
324 et al., 2002b; Lulla et al., 2008; Salonen et al., 2003; Shirako et al., 2000; Shirako and Strauss,  
325 1998; Sreejith et al., 2012). Furthermore, second-site mutations in nsP2 and nsP3 suppress  
326 Asp41 to Ala substitution in nsP4, which results in defects in subgenomic RNA synthesis (Rupp  
327 et al., 2011). Altogether, the suppressor mutations imply that the N-terminal domain of nsP4  
328 interacts with all three other nsPs to activate RNA synthesis (Fata et al., 2002b; Rupp et al.,  
329 2011). It is suggested that this domain, due to its flexible nature, forms different contacts at  
330 different steps during the replication to allow the synthesis of different RNA species (Rupp et  
331 al., 2011).

332 A different type of experimental approach, relying on vaccinia virus based replication  
333 systems, has been very useful in understanding the different stages of RNA synthesis and the  
334 role of polyprotein cleavage (Lemm et al., 1994). More recently, a plasmid-based *trans*-  
335 replication system was created for SFV (Spuul et al., 2011). The expression of polyprotein and  
336 template RNA takes place in T7 polymerase expressing cells from transfected DNAs. Thus the  
337 polyprotein or RNA expression is not dependent on replication, allowing studies with a range  
338 of modifications, including lethal ones (Kallio et al., 2016). It has been shown that capping  
339 activity, residing in nsP1, is not needed for negative strand synthesis, while many other  
340 enzymatic functions of the nsPs (helicase, protease and polymerase activities) and failure to  
341 bind to membranes totally abolished replication (Kallio et al., 2016). Similar systems have also  
342 been published for SINV (Frolova et al., 2010) and CHIKV (Utt et al., 2016). The latter has also  
343 been constructed as a cytomegalovirus-promoter driven system, allowing expression in wide  
344 range of cell types (Utt et al., 2016).

345 Several studies have shown that the positive-strand RNA template can be provided to the  
346 replicase in *trans* and is efficiently replicated (Lemm and Rice, 1993a, b; Spuul et al., 2011).  
347 However, attempts to similarly introduce minus-strand RNA templates have not succeeded  
348 (Hellström et al., 2016; Lemm et al., 1998), indicating that the replication can start only from  
349 plus-strand RNA. According to recent findings, minus strands are protected from RNase inside  
350 the spherules when RCs are isolated as membrane preparations (Kallio et al., 2016). This

351 suggests that the minus strands are strictly segregated inside the spherules. Therefore, in  
352 normal situations, the minus strands would not be available as starting points for replication  
353 and there would be no advantage in having a mechanism to directly recruit the minus strand  
354 (Hellström et al., 2016).

355

356

#### 357 **4. Promoter recognition by the polymerase**

358 A determining step of replication is when the polymerase recognizes and binds to the  
359 promoters. CSEs in the genome are thought to be recognized by the polymerase complex in  
360 the different stages of RNA replication (Rupp et al., 2015). The 5' end of the genome contains  
361 two CSEs, one in the 5' untranslated region (UTR) and the other one within the nsP1 coding  
362 region, both forming stem-loop secondary structures (Frolov et al., 2001; Nickens and Hardy,  
363 2008; Niesters and Strauss, 1990a, b; Ou et al., 1983). The two stem-loops formed by the 51-  
364 nt CSE within the nsP1 region enhance both minus- and plus-strand RNA synthesis but they  
365 are dispensable for RNA replication. In contrast, the 5' UTR CSE contains essential promoter  
366 elements for both minus- and plus-strand synthesis. However, different alphaviruses might  
367 use different 5' elements for RNA synthesis as well as bind different host factors needed for  
368 RNA synthesis (Frolov et al., 2001; Gorchakov et al., 2004). The subgenomic promoter forms  
369 the third CSE, and a short CSE is located at the very 3' end of the genome immediately  
370 preceding the poly(A) tail. The core promoter of the minus-strand RNA synthesis is composed  
371 of the 3' CSE and poly(A) tail (Hardy and Rice, 2005; Rupp et al., 2015).

##### 372 4.1. Minus-strand RNA synthesis

373 Efficient minus-strand RNA synthesis of SINV requires that 1) the length of the poly(A) tail is  
374 at least 11-12 residues, 2) the 3' CSE immediately precedes the poly(A) tail, and 3) the 3' 13  
375 nucleotides of the 3' CSE are not changed (Hardy and Rice, 2005). It still remains unclear how  
376 alphaviruses initiate the minus-strand synthesis, but it has been suggested that they employ  
377 poly(A)-binding protein (PABP) and a similar genome-circularization mechanism as poliovirus,  
378 which uses a protein primer-dependent initiation on the 3' poly(A) tail (Frolov et al., 2001;  
379 Hardy and Rice, 2005; Peersen, 2017). It has been shown that the minimal length requirement  
380 of the SINV poly(A) tail is the same as that required for efficient binding of PABP (Deo et al.,  
381 1999; Hardy and Rice, 2005). Frolov et al. (2001) have suggested a model of how the 5' and 3'

382 ends of the alphavirus genome interact to initiate minus-strand RNA synthesis. It is  
383 hypothesized that the viral RCs assemble on the 5' end of the genome with translational  
384 machinery and possibly other host factors, and the interaction between the translation  
385 factors with PABP brings the 5' and 3' ends together. This is supported by competition assays  
386 where the 5' competitor RNA strongly inhibits minus-strand RNA synthesis, indicating that the  
387 5' end binds limiting viral or cellular factors needed for the minus-strand RNA synthesis  
388 (Frolov et al., 2001).

389 Initiation site localization of the minus-strand RNA synthesis is dependent on the  
390 poly(A) tail and the last three 3' residues of the 3' CSE (Hardy, 2006). The predominant  
391 initiation site of the minus-strand synthesis is the cytidylate residue immediately preceding  
392 the poly(A) tail, and this cytidylate is conserved among the alphaviruses (Adkins et al., 1998;  
393 Hardy, 2006). The following residues up to +12 from the initiating residue are hypothesized  
394 to be important for the transition from initiation to elongation and/or promoter recognition  
395 (Hardy, 2006).

#### 396 4.2. Plus-strand RNA synthesis

397 The initiation site for the plus-strand RNA synthesis, both genomic and subgenomic, is highly  
398 conserved in the alphavirus genomes, and always starts with adenylate-uridylate, except that  
399 BFV has an extra uridylate residue at the 3' end of the minus strand (Adkins et al., 1998). The  
400 promoter for the genomic RNA synthesis is located at the 3' end of the minus strand within  
401 the region corresponding to the 5' UTR stem loop sequence, and a complementary stem-loop  
402 structure has been predicted for the minus strand as well. The critical nucleotides for the SINV  
403 genomic promoter include those at positions 2 to 5 from the 3' end of the minus strand  
404 (Frolov et al., 2001; Gorchakov et al., 2004; Li and Stollar, 2007; Niesters and Strauss, 1990a;  
405 Ou et al., 1983; Thal et al., 2007). The minimal promoter sequence required for the synthesis  
406 of SINV subgenomic RNA includes -19 to +5 nucleotides from the initiation site (Levis et al.,  
407 1990; Li et al., 2005), and nucleotides -17, -14, -13, and -11 relative to the initiation site are  
408 highly conserved among alphaviruses (Siegel et al., 1997).

409 Regulation of positive-strand RNAs synthesis, both genomic and subgenomic, is  
410 dependent on nsP4 as different sites of nsP4 bind the respective promoters (Li and Stollar,  
411 2004, 2007; Li et al., 2010). Crosslinking and gel mobility-shift assays revealed that the peptide  
412 corresponding to residues 329-334 of nsP4 binds to the subgenomic promoter of SINV. This  
413 fragment is predicted to be on a  $\beta$ -strand in the fingers domain. Furthermore, nsP4 is able to

414 bind to the subgenomic promoter only when all four nsPs are present suggesting that the  
415 other nsPs affect the conformation of nsP4 (Li and Stollar, 2004). The peptide corresponding  
416 to residues 531-538 of nsP4 binds the promoter for the genomic RNA synthesis (Li and Stollar,  
417 2007; Li et al., 2010). Furthermore, mutations in nsP4, which abolish the subgenomic RNA  
418 synthesis, have no effect on the genomic RNA synthesis and vice versa (Li and Stollar, 2007;  
419 Li et al., 2010).

420         Alphaviruses typically make more subgenomic than genomic RNA in infected cells  
421 (Keränen and Kääriäinen, 1979). However, the PFZ- and CPC-resistant mutants of SINV  
422 synthesize more genomic than subgenomic RNA, and except for one, the mutations are in the  
423 region of both nsP4-coding sequence and subgenomic promoter (Li et al., 2008; Li et al., 2004;  
424 Li et al., 2010; Lin et al., 2002; Lin et al., 2000). It has been shown that the changed RNA ratio  
425 is mainly due to the changes in the promoter sequence (Li et al., 2010). The genomic /  
426 subgenomic RNA ratio made by these mutants *in vitro* is also affected by the nucleotide  
427 concentrations used in the reaction mixture indicating that the NTP concentrations affect  
428 which promoter the polymerase chooses. Thus, the cytoplasmic NTP concentration may  
429 affect the activity of the viral polymerase (Li et al., 2008; Li et al., 2010). Additionally, defects  
430 in subgenomic RNA synthesis are detected with some ts mutants like SFV ts4 and SINV ts15,  
431 ts17, ts18, ts24 and ts133 (Kääriäinen and Ahola, 2002; Sawicki and Sawicki, 1985; Suopanki  
432 et al., 1998) which all map to nsP2. Therefore, nsP2 may be required to recognize the  
433 subgenomic promoter in the minus strand RNA.

434         The requirement for an aromatic amino acid at the N-terminus of nsP4 is also interesting  
435 with respect to the plus-strand RNA synthesis. Besides suppressor mutations in nsP1 or nsP4,  
436 addition of AU, AUA, or AUU to the 5' terminus of the genome or substitution of the third  
437 nucleotide of the genome, A for U, restore the activity of the SINV polymerase mutant with  
438 an N-terminal nonaromatic amino acid (Ala, Leu, or Met) (Shirako et al., 2003). It is speculated  
439 the polymerase mutants with a nonaromatic amino acid at the N-terminus are unable to open  
440 the stem-loop structure at the 3' terminus of the minus strand to initiate plus-strand RNA  
441 synthesis, and the addition of AU-rich sequences or the A-U substitution enables the  
442 polymerase mutants to work. Thus, it is proposed that the N-terminus of the polymerase  
443 forms direct contacts with the 3' terminus of the minus strand to initiate plus-strand RNA  
444 synthesis (Shirako et al., 2003). However, minus-strand synthesis of the polymerase mutant

445 must also be restored, and consequently the addition of these AU-rich sequences to the 5'  
446 terminus of the genome most likely play a role also in minus-strand synthesis.

447 To summarize, the initiation sites of RNA synthesis are highly conserved in the  
448 alphavirus genomes. The 3' CSE and poly(A) tail form the core promoter of the minus-strand  
449 RNA, and the minus strand region corresponding to the 5' UTR stem loop forms the promoter  
450 for the genomic RNA. The CSE within the 5' UTR is important also for minus-strand RNA  
451 synthesis. Different sites of nsP4 bind the genomic and subgenomic promoters, and nsP2 may  
452 also play a role in subgenomic promoter recognition.

453

454

## 455 **5. Membrane association of replication – spherules**

456 Early studies with SFV by Grimley et al. already suggested that the replication of alphaviruses  
457 takes place in membrane-associated structures called spherules, located either on the plasma  
458 membrane or on the limiting membranes of type I cytopathic vacuoles (CPV-I), which are 600-  
459 2000 nanometres in diameter (Grimley et al., 1968; Grimley et al., 1972). Spherules  
460 themselves are about 50-60 nm membrane invaginations that are connected to the cytoplasm  
461 by a narrow neck, allowing export of the nascent RNA (Fig. 3). The spherules contain dense  
462 material, presumably representing RNA (Froshauer et al., 1988). The association of nsPs  
463 (Froshauer et al., 1988; Kujala et al., 2001) and localization of dsRNA staining with spherules  
464 (Frolova et al., 2010; Spuul et al., 2010) have been nicely demonstrated, strengthening the  
465 view that they are the site of viral replication. The composition of the spherules is, however,  
466 poorly understood, and the amount of the nsPs in each spherule is unknown but has been  
467 estimated to be quite low (Frolova et al., 2010).

468 In addition to spherules, nsPs are found in different, but specific places, within the cells.  
469 Characteristic localization of nsP1 on the inner surface of the plasma membrane (Spuul et al.,  
470 2007) results from its palmitoylation (Laakkonen et al., 1996; Peränen et al., 1995) and  
471 membrane binding amphipathic peptide (Ahola et al., 2000; Ahola et al., 1999; Lampio et al.,  
472 2000). By contrast, nsP2 is found in the nucleus due to the nuclear localization signal in its C-  
473 terminus (Peränen et al., 1990; Rikkonen et al., 1992). The C-terminal motif of nsP3 on the  
474 other hand is responsible for binding cellular proteins such as Ras-GTPase-activating protein  
475 (SH3 domain)-binding proteins 1 and 2 (G3BP1 and G3BP2) (Fros et al., 2012; Panas et al.,

476 2012; Scholte et al., 2015) for Old World alphaviruses and Fragile X syndrome family (FXR)  
477 proteins for New World alphaviruses like VEEV (Foy et al., 2013; Kim et al., 2016), leading to  
478 formation of cytoplasmic aggregates where nsP3 accumulates (Frolova et al., 2006;  
479 Gorchakov et al., 2008b). nsP4 is detected diffusely in the cytoplasm (Kujala et al., 2001),  
480 though most of it is quickly degraded as mentioned in above. However, a resistant, stable  
481 fraction of nsP4 has been detected and is postulated to be the one that is associated with RCs  
482 and is thus protected from degradation (de Groot et al., 1991). SINV nsP4 synthesized early  
483 in infection is more stable than the one made late in infection. This would be consistent with  
484 the hypothesis that assembly of nsP4 in the RCs protects the protein (de Groot et al., 1990;  
485 de Groot et al., 1991; Hardy et al., 1990; Hardy and Strauss, 1988). When lysates from SFV-  
486 infected cells are separated into a nuclear pellet and post-nuclear supernatant and the  
487 supernatant is further separated into a membrane and cytosolic fractions, most of SFV nsP4  
488 is found in the membrane fraction (Peränen et al., 1988), indicating that the stable fraction of  
489 nsP4 is associated with membranes.

490 Spherules are part of the cellular membrane trafficking network. For example, SFV  
491 spherules are originally formed at the plasma membrane and are then internalized (Spuul et  
492 al., 2010), leading to spherule-lined, modified late endosomal/lysosomal CPVs that are often  
493 in the vicinity of rough endoplasmic reticulum (Froshauer et al., 1988). In a recent study, it  
494 was observed that in contrast to SFV, only a fraction of SINV spherules are internalized.  
495 However, some CPVs can be detected later during the SINV infection (Frolova et al., 2010).  
496 The internalization has been extensively studied with SFV and has been shown to be  
497 dependent on the activity of phosphatidylinositol-3-kinase (PI3K) (Spuul et al., 2010). In a  
498 recent study by Thaa et al. (2015) the RC internalization was compared between SFV and  
499 CHIKV and was further linked to the activation of PI3K-Akt-mTOR pathway. The study showed  
500 that the hyperphosphorylated/acidic domain of nsP3 is responsible for the pathway activation  
501 and that SFV and CHIKV greatly differed in this respect; while SFV boosted the pathway and  
502 showed efficient spherule internalization, CHIKV RCs stayed mostly at the plasma membrane.  
503 By exchanging the responsible domain from SFV to CHIKV, it was possible to obtain pathway  
504 boosting and RC internalization also with CHIKV (Thaa et al., 2015). In addition, the early steps  
505 during SFV spherule internalization need an intact actin network, while microtubules are  
506 necessary for later CPV accumulation in the perinuclear region. However, it has been shown  
507 that the internalization from the plasma membrane is not necessary for active replication, but



508 is rather speculated to be involved in protecting virus production from cellular defence  
509 mechanisms (Spuul et al., 2010).

510 How the spherules are formed is still under study. For example, the exact mechanism  
511 and place of the RNA recruitment is not known but the RC needs to be membrane associated  
512 (Salonen et al., 2003; Spuul et al., 2007). It has been shown that all four replicase proteins  
513 need to be present for spherules to form. Active replication, or at least minus-strand synthesis  
514 is a prerequisite for spherule formation (Frolova et al., 2010; Hellström et al., 2016; Kallio et  
515 al., 2016; Spuul et al., 2011), in accordance with the studies where the inactivation of the  
516 polymerase nsP4 abolishes spherules (Kallio et al., 2016; Spuul et al., 2011; Utt et al., 2016).  
517 In a recent study, it was demonstrated that the spherule size could be manipulated by  
518 changing the template length (Kallio et al., 2013), thus indicating that the spherule is not a  
519 rigid structure but can be somewhat flexible. The role of host proteins in the spherule  
520 formation is largely unknown, but amphiphysins have been shown to participate in replication  
521 through nsP3 interactions. Since they are membrane binding proteins inducing positive  
522 curvature, it is possible that they participate in spherule formation where positive curvature  
523 is found on the neck structure (Neuvonen et al., 2011).

524 While the spherules are shown to locate on plasma membrane and CPVs for  
525 alphaviruses, the other positive-strand RNA viruses use other membrane types for their  
526 replication. Rubella virus, the only member of the genus *Rubivirus* is replicating on the  
527 lysosomal-originated CPVs as do alphaviruses, but not on the plasma membrane (Kujala et  
528 al., 1999). Brome mosaic virus, belonging to the alphavirus-like superfamily, induces  
529 spherules at the endoplasmic reticulum, which is also the site of invaginated vesicles formed  
530 by flaviviruses, e.g. dengue and West Nile virus. Instead, the spherules of the nodavirus Flock  
531 House virus are found on the outer mitochondrial membrane. Some plant viruses employ  
532 plant-specific membranes such as chloroplasts (turnip yellow mosaic virus) and peroxisomes  
533 (tomato bushy stunt virus) for the membranous RC formation (reviewed in (Paul and  
534 Bartenschlager, 2013; Romero-Brey and Bartenschlager, 2014; Stapleford and Miller, 2010)).  
535 Thus it is likely that even though the RC structures of these viruses resemble each other, the  
536 required cellular components significantly differ due to the variable intracellular location.

537 In spite of the development of advanced electron microscopy techniques and the  
538 extensive studies of many plus-strand viruses, the ultrastructure, molecular components or  
539 formation process of RCs are still largely unknown and many details are missing. The role of

540 host proteins, cellular lipids and viral proteins and RNA in cellular membrane rearrangements  
541 for replication purposes are studied by several groups but clearly much is still to be found  
542 (reviewed in (Miller and Krijnse-Locker, 2008; Reid et al., 2015a; Stapleford and Miller, 2010).  
543 In addition, the critical information of nonstructural protein interactions and their  
544 conformational changes within RCs during replication are mainly uncharacterized (Rupp et  
545 al., 2015). However, the reasons for membrane-associated replication seem to be generally  
546 accepted to be the concentration of necessary components to a restricted, specific  
547 cytoplasmic location, to provide scaffold of RC anchorage, to protect the viral RNA from  
548 cellular defence mechanisms and in some cases to link replication and subsequent virus  
549 assembly (Lorizate and Krausslich, 2011; Miller and Krijnse-Locker, 2008; Neufeldt et al., 2016;  
550 Salonen et al., 2005).

551

## 552 **6. Purification of replication complexes and *in vitro* RNA synthesis**

553 One of the outstanding questions in the alphavirus field is the structure and organization of  
554 the RCs as no high-resolution structure is available for the polymerase or the RC. As nsP4  
555 recognizes and binds to the promoter sequences and is active in *de novo* RNA synthesis only  
556 in the presence of the other nsPs (Li and Stollar, 2004; Rubach et al., 2009), it is necessary to  
557 determine the structure of the active polymerase in association with the other nsPs, i.e. to  
558 determine the RC structure. Although no structure is yet available, several attempts have  
559 been made to purify the active RCs, and the polymerase functions have been studied using *in*  
560 *vitro* assays (Albulescu et al., 2014; Barton et al., 1991; Clewley and Kennedy, 1976; Gomatos  
561 et al., 1980; Lemm et al., 1998).

562 Most of the *in vitro* RNA-synthesizing activity is found in the membrane fraction  
563 prepared from infected cells. All four nsPs are also found in this fraction as well as most of the  
564 minus-strand RNA made *in vivo* as expected based on its most likely location in the  
565 membranous RCs (Albulescu et al., 2014; Barton et al., 1991; Clewley and Kennedy, 1976;  
566 Gomatos et al., 1980; Peränen et al., 1990; Peränen et al., 1988). Immunoprecipitation assays  
567 with SINV RCs showed that each anti-nsP antibody is able to pull down all four nsPs indicating  
568 that they form a tight complex (Barton et al., 1991). Most of the positive-strand RNA in cells  
569 is released from the RCs during the replication as it is mainly found in the cytosolic fraction  
570 (Albulescu et al., 2014).

571 The activity of the RCs in crude membrane fractions also indicates that all the host  
572 factors required for the RNA synthesis are present in the membrane fraction and no soluble  
573 factors are needed. The *in vitro* RNA synthesis of CHIKV, SINV, and SFV results in the same  
574 RNA species as made *in vivo*; genomic and subgenomic single-stranded RNA (ssRNA) as well  
575 as dsRNA, which is in replicative form (RF) and/or replicative intermediates (RI) (Fig. 4)  
576 (Albulescu et al., 2014; Clewley and Kennedy, 1976; Michel and Gomatos, 1973; Sreevalsan  
577 and Yin, 1969). The majority of the *in vitro* synthesized RNA is in a single-stranded form, and  
578 CHIKV virus synthesizes three ssRNA species, all of positive-polarity: subgenomic and genomic  
579 RNA as well as RNA II which is a ~7.5-kb fragment preceding the subgenomic promoter  
580 (Albulescu et al., 2014; Clewley and Kennedy, 1976). Kinetics studies with SFV have shown  
581 that RF and RI dsRNA are synthesized first and serve as precursors for 26S and 42S ssRNAs,  
582 which are then synthesized at a linear rate (Michel and Gomatos, 1973). Both 26S and 42S  
583 ssRNAs synthesized *in vitro* are also methylated. The methylation occurs at the 5' terminus of  
584 the RNA strands and the cap structure is the same as in cells. Furthermore, the methylation  
585 activity co-purifies with the polymerase activity although the *in vitro* synthesis of ssRNAs is  
586 not dependent on the methylation (Cross and Gomatos, 1981).

587 The *in vitro* polymerase activity of CHIKV is relatively stable between 20 and 37°C  
588 reflecting the need of alphaviruses to replicate both in mosquito and mammalian cells. One  
589 third of the *in vitro* ssRNA made by CHIKV is in RIs and the rest is released from the RCs. The  
590 newly made RNA is rather stable indicating some mode of protection, and it is suggested that  
591 membrane association, polysomes, RNA structure, or its encapsidation provide this  
592 (Albulescu et al., 2014). For SFV, it has also been indicated that the RNA species synthesized  
593 *in vitro* are associated with some structures and do not occur in a free form (Michel and  
594 Gomatos, 1973).

595 Ultracentrifugation in sucrose-density gradients has been the main method to purify  
596 the alphavirus RCs. A cytoplasmic complex isolated from SINV-infected cells synthesized *in*  
597 *vitro* ssRNA, RF, and maybe also RIs, and membrane association of the structure was proposed  
598 based on the detergent and nuclease treatments (Sreevalsan and Yin, 1969). A similar  
599 approach was used to show that SFV polymerase activity, viral RNA, and CPVs enrich in the  
600 same fraction. Furthermore, as the isolated CPVs contained viral RNA, their central role in  
601 RNA replication was indicated (Friedman et al., 1972). In another SFV study, *in vivo* pulse-  
602 labeled RNA and polymerase activity enriched in the smooth-membrane fraction, which

603 synthesized and released 26S and 42S ssRNA. Addition of the cytosolic fraction to the smooth-  
604 membrane fraction did not increase the polymerase activity indicating that no soluble factors  
605 are needed for the *in vitro* RNA synthesis (Gomatos et al., 1980).

606 Detergent solubilisation has also been used in many approaches to purify the alphavirus  
607 RCs (Barton et al., 1991; Clewley and Kennedy, 1976; Gomatos et al., 1980; Ranki and  
608 Kääriäinen, 1979). However, all these protocols seem to affect the activity of the polymerase  
609 demonstrating the central role of membrane association. First, Clewley and Kennedy (1976)  
610 developed a multi-step purification scheme to purify SFV RCs by ultracentrifugation in density  
611 gradients, detergent solubilisation, and affinity chromatography. Although the polymerase  
612 was purified over 300-fold, the recovery of activity was only about 5%. Furthermore,  
613 purification products incorporated label only into RI or RF indicating that the release of ssRNA  
614 was affected and the polymerase was unable to initiate new strands during the *in vitro*  
615 synthesis (Clewley and Kennedy, 1976). The same inhibition of ssRNA release was observed  
616 when SFV RCs were solubilized from the smooth-membrane fraction, and the polymerase  
617 activity was reduced (Gomatos et al., 1980). Besides, only some of the nsPs were detected in  
618 the solubilized RCs (Clewley and Kennedy, 1976; Gomatos et al., 1980; Ranki and Kääriäinen,  
619 1979). Detergent-solubilized RCs of SINV purified by ultracentrifugation in glycerol and  
620 sucrose gradients have been shown to contain all four nsPs but also these RCs incorporated  
621 label only into RIs (Barton et al., 1991).

622 It is clear that new approaches are needed to obtain highly purified RCs, which remain  
623 active in RNA synthesis. One such approach could be *in vitro* assembly as alphavirus nsPs are  
624 able to assemble into functional RCs *in vitro*, and the presence of an endogenous template  
625 is not a prerequisite for the *in vitro* RNA synthesis. The membrane fraction containing the  
626 uncleavable polyprotein P123 and polymerase nsP4 of SINV is able to initiate and synthesize  
627 the minus-strand RNA when provided with an exogenous plus-strand RNA template (Lemm  
628 et al., 1998). Expression of nsP1 + uncleavable P23 + nsP4 also enables the *in vitro* minus-  
629 strand synthesis while cleavable P123 + nsP4 results in decreased RNA synthesis confirming  
630 that the polyprotein P23 is necessary for the minus-strand initiation complex. If soluble nsP4  
631 is combined with the membrane fraction containing P123 and an exogenous template is  
632 added, *in vitro* RNA synthesis is also observed. In addition, nsP4 expressed and purified from  
633 *E. coli* is able to synthesize RNA *in vitro* when combined with the P123-membrane fraction  
634 and template (Rubach et al., 2009). Thus, *in vitro* assembled RCs might represent an

635 interesting starting point to solve the structure. Activity-preserving purification method  
636 would then provide a tool to resolve the components, organization, and functional details of  
637 alphavirus RCs. Especially, such an approach would be invaluable in order to determine host  
638 factors crucial for the formation of functional RCs.

639

640

## 641 **7. Host factors and alphavirus polymerase complex**

642 Identities and exact roles of host proteins in alphavirus RNA synthesis remains an open  
643 question. Host proteins co-purify with the RCs (Barton et al., 1991; Clewley and Kennedy,  
644 1976), and several host factors interact with alphavirus RNA or nsP-containing complexes (Fig.  
645 5). Mass spectrometry has revealed that SINV nsP2-, nsP3-, or nsP4-containing complexes are  
646 rich in cytoskeleton proteins, chaperons, elongation factors, heterogeneous nuclear  
647 ribonucleoproteins (hnRNPs), tyrosine 3-monooxygenase/tryptophan 5-monooxygenase  
648 activation proteins (14-3-3 proteins), and ribosomal proteins (Atasheva et al., 2007; Cristea et  
649 al., 2006; Cristea et al., 2010; Frolova et al., 2006; Gorchakov et al., 2008b). A similar set of  
650 proteins, including RNA-binding (e.g. hnRNPs and G3BPs), cytoskeleton (e.g. tubulins),  
651 translation (e.g. eukaryotic translation elongation factors), folding (e.g. heat shock proteins),  
652 and 14-3-3 proteins, were enriched with CPVs isolated from SFV-infected cells (Ahlquist,  
653 2006). The interaction with the host proteins is time-dependent suggesting that different host  
654 proteins are required for the multiple functions of nsP4 at different phases of infection such  
655 as the minus-strand synthesis early, and plus-strand synthesis later in infection (Cristea et al.,  
656 2006; Cristea et al., 2010). However, some of the identified host proteins may interact with  
657 nsPs which are not located in the RCs and thus do not affect the polymerase activity.

658 The number of the identified host factors in the SINV nsP3-containing complexes after  
659 a strong detergent treatment was considerably lower than in the other studies (Gorchakov et  
660 al., 2008b). G3BP1 and G3BP2, nucleic acid-binding protein YBX1, and heat shock protein  
661 HSC70 were detected in these samples (Fig. 5). The same nucleic acid-binding and heat shock  
662 proteins have also been detected in SINV nsP2-containing complexes (Atasheva et al., 2007).  
663 In addition, the heat shock protein HSP-90 has been identified in CHIKV nsP3- or nsP4-  
664 containing complexes as well as in SINV nsP4-containing complexes (Fig. 5) (Cristea et al.,

665 2010; Rathore et al., 2014). Furthermore, silencing of HSP-90 inhibits CHIKV replication  
666 (Rathore et al., 2014).

667 In addition to the SINV nsP3-containing complexes, G3BPs have been detected in the  
668 SINV nsP2- and nsP4-containing complexes as well as in the SFV and CHIKV nsP3-containing  
669 complexes (Fig. 5) (Atasheva et al., 2007; Cristea et al., 2006; Cristea et al., 2010; Frolova et  
670 al., 2006; Gorchakov et al., 2008b; Kim et al., 2016; Panas et al., 2014; Panas et al., 2012). The  
671 role of G3BPs in SINV infection has been studied by their knockdown resulting in enhanced  
672 expression of the polyprotein but with minimal effects on RNA synthesis (Cristea et al., 2010).  
673 On the contrary, it has been shown for CHIKV that the depletion of G3BPs results in  
674 significantly reduced levels of the minus-strand RNA, and consequently the plus-strand RNA,  
675 and in reduced replication (Scholte et al., 2015). It was suggested that early in CHIKV infection  
676 G3BPs are essential in the switch from translation to genome replication by possibly removing  
677 the ribosomes from the viral RNA. The authors also show that late in CHIKV infection G3BPs  
678 are found in nsP3 aggregates which are proposed to prevent the formation of typical stress  
679 granules. Interestingly, the same authors also observed reduction in SINV replication in G3BP-  
680 depleted cells. It was speculated that G3BP2 levels may not have been low enough in previous  
681 studies to observe the inhibition of replication as it was shown that the knockdown of G3BP2  
682 alone is enough to significantly reduce replication of both CHIKV and SINV. A recent study by  
683 Kim et al. demonstrated how New World and Old World alphaviruses differ in their host  
684 protein selection even though in both groups the stress-granule related proteins are used for  
685 RNA replication and RC assembly. While SINV and CHIKV replication were clearly reduced  
686 when both G3BP homologs were knocked out, similar effect was not seen with VEEV. Instead,  
687 the knockout of FXR family members was specifically affecting VEEV (Kim et al., 2016).

688 The complex formed by nsP3 and G3BP has shown to recruit sphingosine kinase 2 (SK2)  
689 (Fig. 5) (Reid et al., 2015b). In addition, SK2 co-localizes with dsRNA in the infected cells  
690 indicating its presence in the RCs, and most likely nsP3 is responsible for its recruitment to  
691 the RCs. Knockdown of SK2 inhibits CHIKV replication, and during infection SK2 has been  
692 shown to interact with proteins involved in mRNA processing and gene expression such as  
693 poly(C)-binding proteins (PCBPs) 1 and 2. The carboxy-terminal proline-rich motif of SFV,  
694 SINV, and CHIKV nsP3 binds SH3-domains of amphiphysin-1 and -2 (Fig. 5) (Neuvonen et al.,  
695 2011). The interaction is important for viral RNA replication, and as amphiphysins work in

696 membrane dynamics (Graham and Kozlov, 2010), they might be important for spherule  
697 formation in alphavirus-infected cells (Neuvonen et al., 2011).

698 Several hnRNPs have been shown to relocalize during alphavirus infections and to  
699 interact with viral components (Ahlquist, 2002, 2006; Ahlquist et al., 2003; Balistreri et al.,  
700 2007; Cristea et al., 2006; Frolova et al., 2006; Gui et al., 2010). SINV nsP1, nsP2, and nsP3 as  
701 well as subgenomic RNA but not the genomic RNA co-immunoprecipitate with hnRNP K (Fig.  
702 5), and based on the knockdown of hnRNP K it was speculated that hnRNP K facilitates  
703 transcription of the subgenomic RNA (Ahlquist et al., 2003). Knockdown of hnRNP A1 also  
704 results in reduced SINV replication (Balistreri et al., 2007). It was suggested that hnRNP A1  
705 plays a role in SINV minus-strand RNA synthesis and viral RNA translation as it interacts with  
706 the 5' UTR. Furthermore, hnRNP A1 interacts with both SINV genomic and subgenomic  
707 promoters, and it has been shown that hnRNP A1 is required for the plus-strand, both  
708 genomic and subgenomic, RNA synthesis (Gui et al., 2010). Several hnRNPs were also  
709 recognized in the CPVs isolated from SFV-infected cells (Ahlquist, 2006). Silencing of RNA-  
710 binding proteins hnRNP M and C facilitated SFV, CHIKV, and SINV replication, while silencing  
711 of hnRNP K increased SFV translation but decreased CHIKV and SINV translation. Silencing of  
712 PCBP 1, on the other hand, decreased SFV translation.

713 In addition to hnRNPs, a number of host proteins have been shown to co-purify with  
714 the viral RNA and indicated to play role in the initiation of RNA synthesis. Short  
715 oligonucleotides corresponding to the 3' end of SINV, SFV, and RRV minus strand bind cellular  
716 proteins, and these might be needed for the initiation of the plus-strand RNA synthesis (Bruce  
717 et al., 2008; Bruce et al., 2010). Mosquito homolog of the La autoantigen binds to the 3' end  
718 of the SINV minus strands, and it may also play a role in the initiation of the plus-strand RNA  
719 synthesis as the La autoantigen is known to bind to the 3' oligo(U) terminus of the transcripts  
720 made by RNA polymerase III and to control transcription termination as well as reinitiation by  
721 the polymerase (Buchholz et al., 1999; Chen and Ahlquist, 2000). In addition, mutations in the  
722 5' cis elements or 3' UTR of the SINV genome have host-specific effects indicating that these  
723 elements interact with cellular factors (Chen et al., 2001; Frolov et al., 2001; Gorchakov et al.,  
724 2004; Niesters and Strauss, 1990a, b). It has been speculated that alphaviruses use PABP in  
725 the genome circularization and thus in the initiation of the minus-strand RNA synthesis, and  
726 PABP has been detected in the nsP2-containing complexes isolated from SINV-infected cells  
727 (Atasheva et al., 2007; Frolov et al., 2001). However, interaction between the poly(A) tail of

728 the genome and PABP remains to be studied. In addition to the initiation, host proteins might  
729 be important in the switch from the minus- to plus-strand RNA synthesis. It has been  
730 suggested that RNase L has a role in the shutoff of the minus-strand RNA synthesis and  
731 formation of stable RCs with transcriptional activity as knockout of RNase L results in  
732 continuous synthesis of the SINV minus- and plus-strand RNA (Sawicki et al., 2003).  
733 To date, no host proteins has been shown to directly interact with the alphavirus polymerase  
734 and affect its activity. Once the structure of the RCs is resolved, we will be able to understand  
735 which host proteins play the most important roles in the function of the polymerase and the  
736 entire RC.

737

## 738 **8. Antivirals and alphavirus polymerase complex**

739 CHIKV and related alphaviruses cause febrile illness accompanied by myalgia, arthralgia, and  
740 rash. Although mortality is low, symptoms can last from weeks to months, or even years  
741 (Weaver and Lecuit, 2015). Recent emergence of CHIKV in the Caribbean was followed by  
742 epidemics (Leparc-Goffart et al., 2014) exemplifying the threat that vector-borne alphaviruses  
743 currently have on human health. Consequently, most of the antiviral work on alphaviruses  
744 has focused on CHIKV. Nevertheless, there are no approved, effective antivirals or vaccines  
745 against any human alphavirus (Abdelnabi et al., 2015; Ahola et al., 2015). Here, we focus on  
746 those antivirals which may target viral nsPs or host factors interacting with them.

747 The RNA-dependent RNA polymerase, or the RC, offers a good target for antivirals, and  
748 there are several modified nucleosides against alphaviruses. Nucleoside analog ribavirin,  
749 which causes inhibition of genome replication by depletion of GTP pools, has antiviral activity  
750 against several alphaviruses (Briolant et al., 2004; Leyssen et al., 2006). However, 6-aza-  
751 uridine, which causes intracellular UTP depletion, is a more effective antiviral against CHIKV  
752 and SFV than ribavirin (Briolant et al., 2004; Rada and Dragun, 1977; Scholte et al., 2013)  
753 although ribavirin and interferon- $\alpha$ 2b have a subsynergistic effect on both viruses (Briolant et  
754 al., 2004). Furthermore, combination of ribavirin and doxycycline, which is a semi-synthetic  
755 tetracycline antibiotic and predicted to bind to nsP2 protease active site and E2 glycoprotein,  
756 gives good antiviral effect on CHIKV by inhibiting both entry and replication (Rothan et al.,  
757 2015). Ribavirin 5'-sulfamate, which is a close analog of ribavirin, is also active against SFV but



758 it is highly cytotoxic (Smee et al., 1988). Mycophenolic acid inhibits CHIKV replication, even  
759 more efficiently than ribavirin, and the most likely mechanism is also GTP-pool depletion  
760 (Khan et al., 2011; Scholte et al., 2013). A SINV mutant resistant to both mycophenolic acid  
761 and ribavirin has three amino acid changing mutations in nsP1 indicating that these  
762 compounds affect the guanine 7-methyltransferase activity of nsP1 (Scheidel and Stollar,  
763 1991). In contrast, a Gly641 to Asp substitution in nsP2 or a Cys483 to Tyr substitution in nsP4  
764 renders CHIKV resistant to ribavirin and at the same time increases replication fidelity (Coffey  
765 et al., 2011; Stapleford et al., 2015). In contrast to their *in vivo* effects, ribavirin, 6-aza-uridine,  
766 or mycophenolic acid have no measurable effects on the CHIKV *in vitro* RNA-synthesizing  
767 activity. It might be that these nucleoside analogs are unable to inhibit CHIKV replication *in*  
768 *vitro* as they affect cellular NTP pools and the *in vitro* assay contains NTPs supplied in excess.  
769 In addition, some of the drugs may not be converted to their active, phosphorylated form  
770 during the *in vitro* replication assay (Albulescu et al., 2014).

771 Besides the polymerase activity, nsP1 is a promising target of alphavirus antivirals. In  
772 addition to mycophenolic acid and ribavirin, 3-deaza-adenosine inhibits CHIKV replication and  
773 most likely affect nsP1 by interfering of S-adenosylmethionine-dependent methylation (De  
774 Clercq, 1998; Scholte et al., 2013). In addition to nucleotide analogs, a novel class of small  
775 molecules have been observed to inhibit CHIKV, and the resistant variants have a Pro34 to  
776 Ser substitution in nsP1. Using VEEV nsP1, the authors showed that these molecules inhibit  
777 the guanylylation activity of nsP1 (Delang et al., 2016; Gigante et al., 2014).

778 Favipiravir (T-705) is a broad-spectrum antiviral and inhibits RNA viruses most likely by  
779 competing with the incorporation of ATP and GTP (Furuta et al., 2013). Two mechanisms have  
780 been proposed; chain termination or lethal mutagenesis. Favipiravir has been shown to block  
781 replication of both New and Old World alphaviruses, including CHIKV, SFV, SINV, Eastern  
782 equine encephalitis virus, Western equine encephalitis virus, VEEV, ONNV, RRV, and BFB  
783 (Delang et al., 2014; Julander et al., 2009). In addition, defluorinated analogue of favipiravir,  
784 T-1105, has the same effect (Delang et al., 2014). Favipiravir inhibits CHIKV RNA synthesis,  
785 and correlation between CHIKV RNA amount and infectivity decrease indicates that the  
786 mechanism of action is different than the induction of lethal mutagenesis. Low-level  
787 favipiravir-resistant CHIKV variants have a Lys291 to Arg mutation in nsP4, and this lysine is  
788 highly conserved in positive-strand RNA viruses. This suggests that the target site of favipiravir  
789 is the well-conserved region of the RdRp (Delang et al., 2014).

790 As mentioned in the previous section, CHIKV and SINV nsP4-containing complexes  
791 interact with HSP-90, and drugs against HSP-90 have been shown inhibit CHIKV replication  
792 (Rathore et al., 2014). A virtual screening simulation was used to find compounds against  
793 CHIKV nsP2, and one of the hits targeting the central part of the protease active site inhibited  
794 CHIKV replication (Bassetto et al., 2013). Continuing from these lead compounds, Das et al.  
795 described a set of related compounds, some of which were shown both to inhibit the nsP2  
796 protease as well as virus replication (Das et al., 2016). Collectively, the number of potential  
797 antivirals against alphaviruses has increased in recent years and it seems that the possibility  
798 to (pre)treat humans is soon at hand. Furthermore, recognition of host factors essential for  
799 the formation and function of the alphaviruses RCs will open more avenues to explore new  
800 antivirals.

801

## 802 **9. Concluding remarks**

803 In spite of the extensive studies on alphavirus replication strategies, the knowledge of the  
804 biochemical and structural properties of the viral polymerase nsP4 is surprisingly limited. This  
805 unusual polymerase apparently cannot act alone but needs the co-operation of and  
806 interaction with the other nonstructural proteins. Many types of experiments have given  
807 important information concerning the activities of both nsP4 and the other nsPs and defined  
808 their roles during RNA synthesis and replication complex assembly.

809 The RCs form membranous invaginations (spherules) on cellular membranes  
810 and are thought to restrict the replication to a protected environment inaccessible to cellular  
811 defence mechanisms. At the same time, spherules gather all the necessary components to a  
812 compact structure, which may limit the activities of the polymerase complex in important  
813 ways. However, very little is still known concerning the cellular proteins that may participate  
814 in spherule formation or function, and how and in what numbers both viral nonstructural  
815 proteins and host proteins are structurally arranged in the RCs. All these details would be  
816 invaluable in order to fully understand how different RNA species are produced, how the RC  
817 switches the strand-specificity and what the exact roles of each nonstructural protein within  
818 a spherule are. It has been shown that the polymerase activity together with the presence of  
819 other nsPs is necessary for spherule formation and that the spherule size is determined by  
820 the length of the RNA template (Kallio et al., 2013). Yet the chronological order of spherule

821 formation steps, including the timing of RNA recruitment and complex assembly are  
822 unresolved.

823           Alphaviruses are emergently causing epidemics on several continents, but there  
824 are no approved antivirals or vaccines available. The vulnerable replication steps involving  
825 both the nonstructural proteins and the participating host components would be ideal targets  
826 in the fight against these viruses, making it clear that more precise structural and functional  
827 knowledge of the RC is required.

828

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834

## 835 **Tables**

836 **Table 1.** Summary of the viruses discussed in this review.

<b>Genus / Family</b>	<b>Virus species</b>	<b>Abbreviation</b>
<i>Alphavirus / Togaviridae</i>	Barmah Forest virus	BFV
	Chikungunya virus	CHIKV
	Eastern equine encephalitis virus	EEEV
	O'nyong-nyong virus	ONNV
	Ross River virus	RRV
	Semliki Forest virus	SFV
	Sindbis virus	SINV
	Venezuelan equine encephalitis virus	VEEV
	Western equine encephalitis virus	WEEV
<i>Rubivirus / Togaviridae</i>	Rubella virus	RUBV
<i>Bromovirus / Bromoviridae</i>	Brome mosaic virus	BMV
<i>Alphanodavirus / Nodaviridae</i>	Flock House virus	FHV

837

## 838 **Figure legends**

### 839 **Figure 1.**

840 Alphavirus genome structure. The positive-sense RNA genome is about 11,5 kilobases in  
841 length and contains two open reading frames; first encoding for the nonstructural proteins  
842 (nsPs) 1-4 and second for structural proteins (C, capsid; E1/2/3, envelope glycoproteins and  
843 6K, a 6 kDa protein). UTR, untranslated region; SGP, subgenomic promoter; A(n), polyA.

844

### 845 **Figure 2.**

846 Schematic of the polyprotein processing and RNA synthesis. After disassembly of the  
847 incoming virus particles, the viral plus-strand RNA is released and the nonstructural proteins  
848 are translated as a polyprotein. After cleavage of nsP4, the RC synthesizes minus strand from  
849 the genomic RNA. Further cleavage of all nsPs to individual proteins switches synthesis to  
850 genomic and subgenomic positive-strand RNA. The structural proteins are translated from  
851 subgenomic RNA, leading to the packaging of viral genomic RNA to the forming nucleocapsid.

852

### 853 **Figure 3.**

854 Membranous replication complexes of SFV. A) Spherules located at the plasma membrane at  
855 an early time point. B) Type I cytopathic vacuole (CPV-I) of an infected cell, containing  
856 numerous spherules lining the membrane. C) 3D reconstruction of a single spherule. D)  
857 Schematic of a spherule with replication complex proteins located hypothetically on the neck  
858 region and newly synthesized RNA coming out. The scale bars in A and B are 200 nm and 100  
859 nm, respectively.

860

### 861 **Figure 4.**

862 A schematic model of the replicative form (RF) and replicative intermediate (RI). Purple  
863 indicates the polymerase complex. RI contains several unfinished plus strands. Minus strands  
864 are shown as blue and plus strands as pink.

865

### 866 **Figure 5.**

867 Examples of host proteins identified in alphavirus nsP-containing complexes. nsP1-, nsP2-,  
868 nsP3- and nsP4-containing complexes and their interactions with membrane-curvature

869 proteins amphiphysins, poly(A)-binding protein (PABP), heterogeneous nuclear  
870 ribonucleoprotein K (hnRNP K), nucleic acid-binding protein YBX1, heat shock proteins HSC70  
871 and HSP-90, Ras-GTPase-activating protein (SH3 domain)-binding proteins G3BP1 and 2, and  
872 Sphingosine kinase 2 (SK2).

873

874

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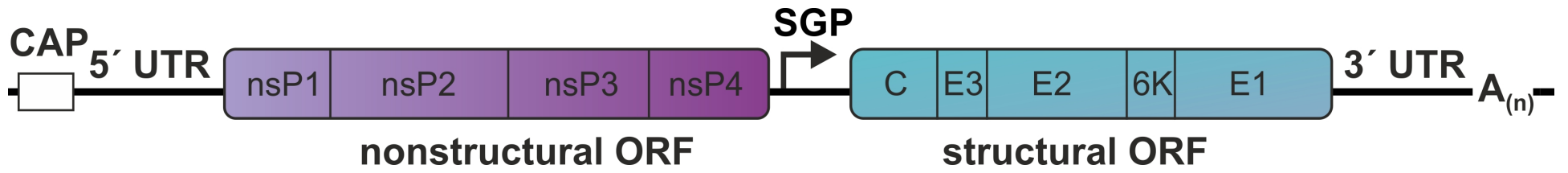
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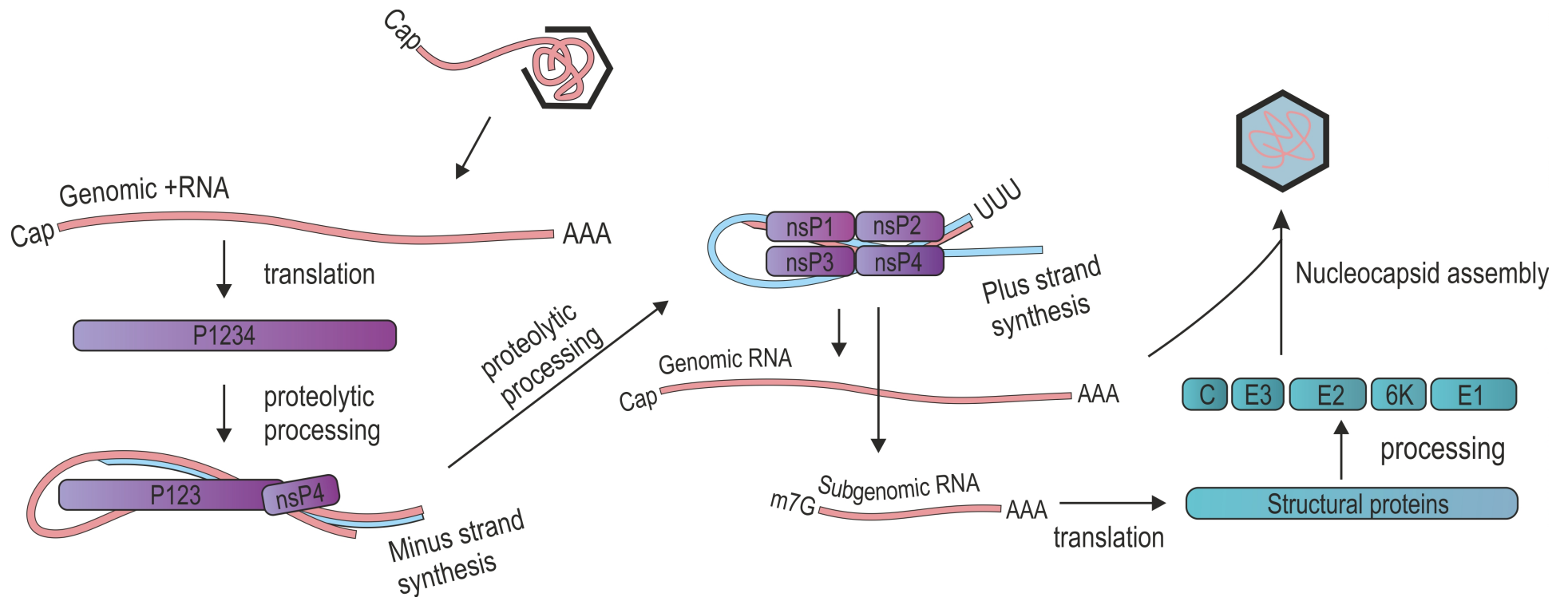
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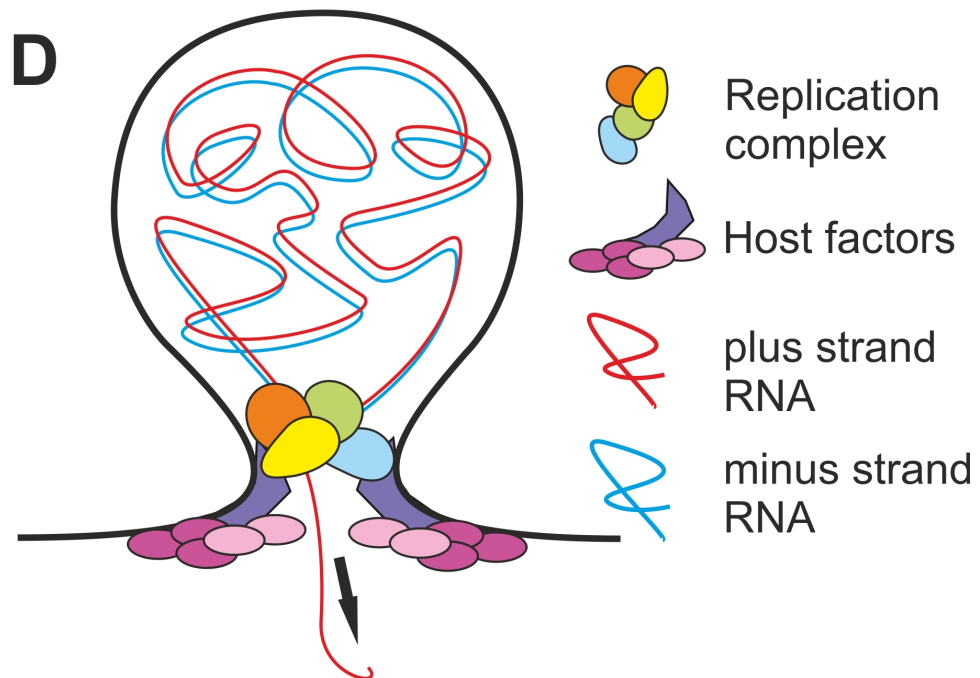
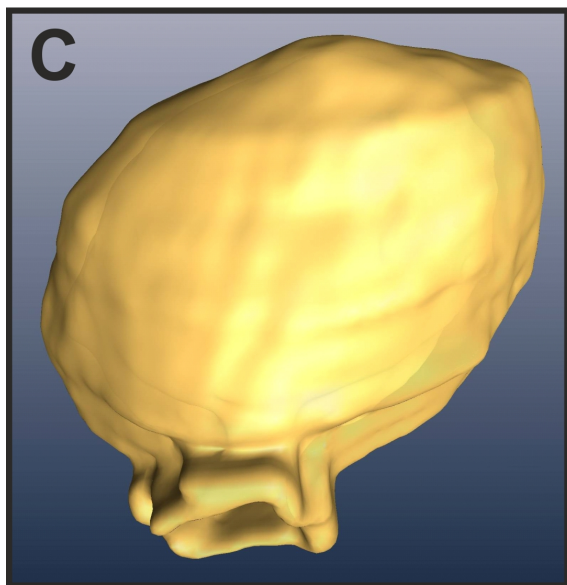
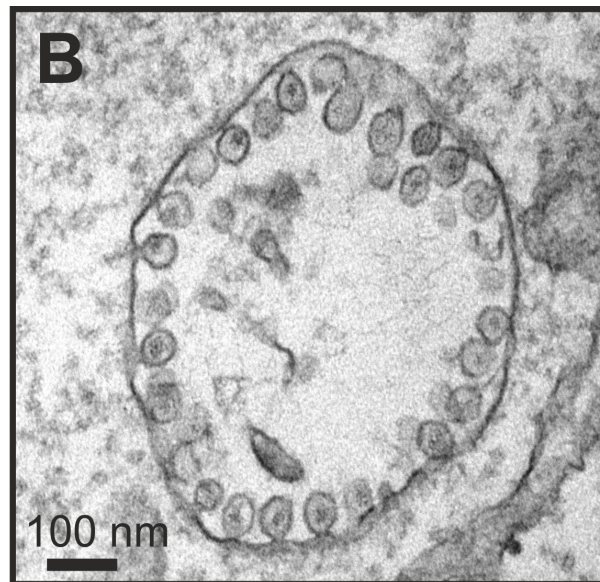
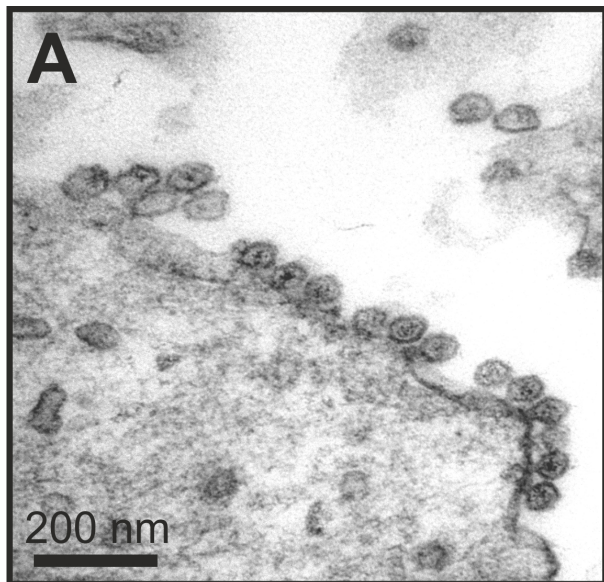
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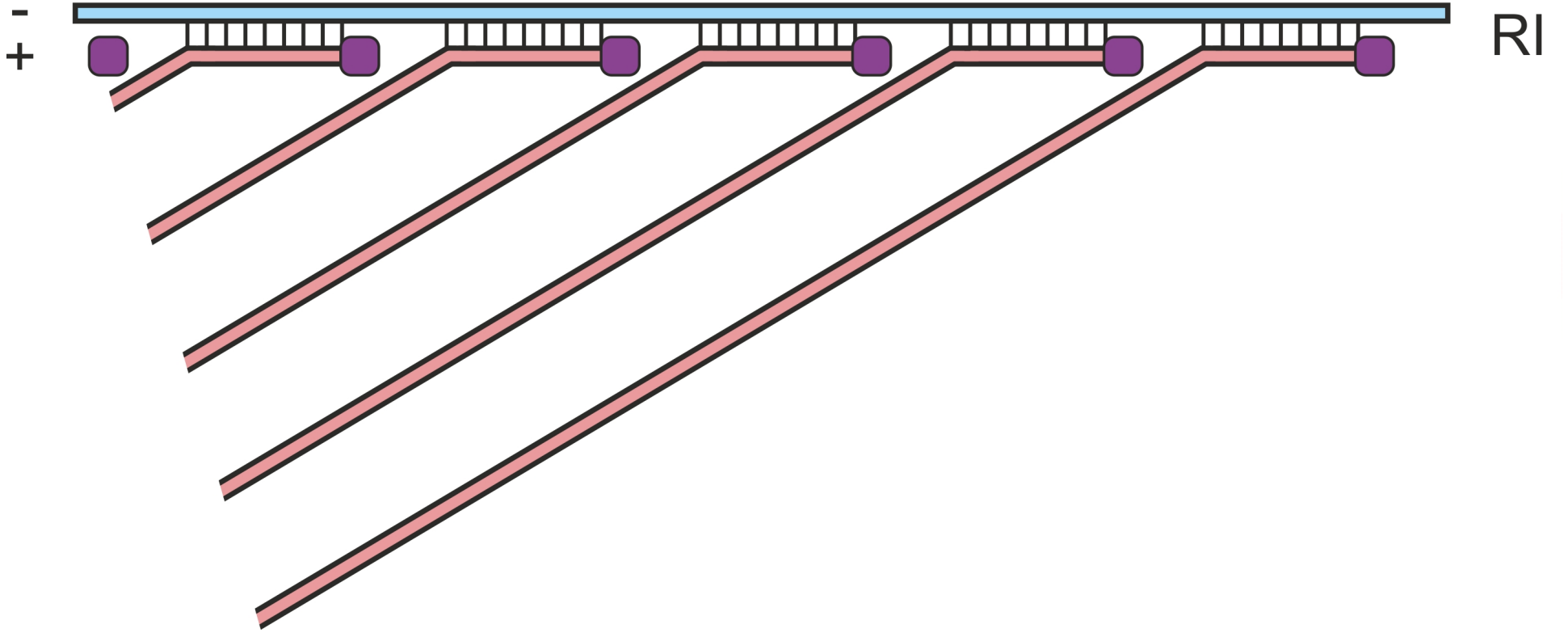
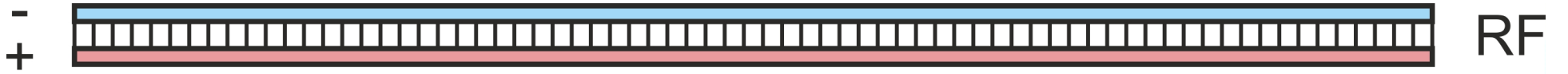
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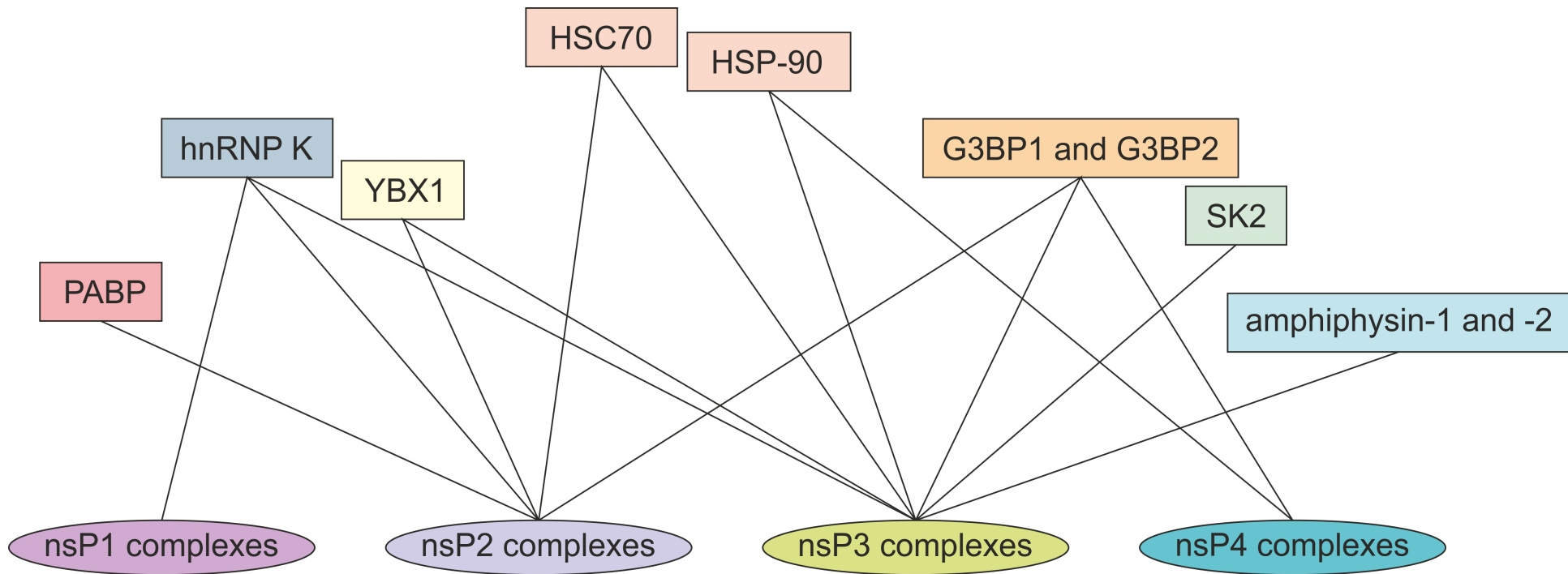












- Alphavirus core polymerase subunit nsP4 is unable to synthesize RNA on its own.
- Processing of the replicase polyprotein regulates the stages of RNA replication.
- RNA replication takes place in special membrane invaginations known as spherules.
- High-resolution structures for polymerase and the membranous complex are lacking.