Nonlinear Compensation Using Digital Back-Propagation in Few-Mode Fibre Spans with Intermediate Coupling

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Abstract We investigate for the first time the performance of virtual back-propagation using multimode Manakov equations, derived for the weak- and strong-coupling regimes, after forward-propagation using a fully stochastic model over all linear coupling regimes.

Introduction

Mode-division multiplexing (MDM) over fewmode fibres (FMFs) has been proposed as a next-generation solution to overcome the impeding capacity exhaustion of current singlemode fibres (SMFs). To maximise the capacity of a FMF, additional impairments must be mitigated, namely: linear mode coupling (XT)¹, differential mode delay (DMD)¹ and intermodal nonlinear effects^{2,3}. DMD and XT have been successfully mitigated using multi-input multi-output (MIMO) equalization after transmission over thousands of kms². However, to the best of our knowledge, the applicability of nonlinear compensation techniques such as digital back-propagation (DBP) to MDM has not been studied yet, particularly for the most practical intermediate coupling regime.

In this paper, we evaluate DBP for MDM. Our DBP exploits multimode Manakov equations derived for either the weak- or strong-coupling regimes. The dependence of the compensation gain for the two DBP systems on mode coupling and DMD is analysed, showing that significant performance gain may be achieved.

Nonlinear FMF Model

The generalized nonlinear Schrödinger equation (GNLSE) for FMFs can be written as⁴:

where *i* and *j* are the orthogonal states of polarization of each mode *u*. $A_{ui}(z,t)$, $\beta_{ui}^{(1)}$, $\beta_{ui}^{(2)}$ and α_{ui} are the slowly varying field envelope, group delay, group delay dispersion and attenuation, respectively. γ_{uvij} is the nonlinear coefficient between *ui* and *vj*, which depends on the intermodal effective area as shown in⁴.

In Eq. (1), \hat{D} is the differential operator that accounts for dispersion and attenuation, and \hat{N} is the nonlinear operator that accounts for all the intramodal and intermodal nonlinear effects⁴. The last term on the right-hand side accounts for the linear mode coupling arising from fibre structure



Fig. 1: Schematic illustration of the symmetric SSFM used for numerical simulations.

imperfections, where C_{uvij} are the coupling coefficients as derived in⁴.

For SMF the split-step Fourier method (SSFM) obtains an approximate solution of the Schrödinger equation by assuming that over a small distance h the dispersive and nonlinear effects act independently. For FMFs, we extend such an approach by assuming that the mode coupling also acts independently. Such approximations require *h* to be much shorter than the dispersion length $T_0^2/|\beta_u^{(2)}|$ and the walk-off length $T_0/|\beta_u^{(1)}-\beta_v^{(1)}|$ where T_0 is the pulse width, and shorter than the correlation length L_c defined³ for $XT(L_c) = [e^2 - 1]/[e^2 + 1]$.

Fig. 1 presents a schematic illustration of the symmetric SSFM used for numerical simulations. In a symmetric SSFM, the effect of nonlinearity is included in the middle of the segment rather than at the segment boundary⁵, providing higher accuracy. Finally, the step-size was selected by bounding the local error⁵, more computationally efficient at high accuracy than the other methods, e.g. nonlinear phase rotation.

The linear mode coupling is modelled considering fibre sections with a random displacement of the core centre position⁴. The coupling is set by varying the amplitude of the variation in lateral section offset as explained in³.

Fibre Characteristics

The fibre considered guides six linearly polarized (LP) modes: LP₀₁, LP₀₂, LP_{11a}, LP_{11b}, LP_{21a} and LP_{21b}, the same fibre considered in³. Tab. 1 shows the fibre linear characteristics at 1550nm, and Tab. 2 shows the intermodal nonlinear coefficients. In Tab. 1 can be seen that the FMF considered presents a DMD of 5.19 ps/km. In Tab. 2, the uncoupled nonlinear coefficients are shown, whilst the uncoupled degeneracy factors

Tab. 1: Fibre Linear Characteristics at 1550nm

/	ID	ID	ID	ID	ID	ID		
	LF 01	LF 02	LF 11a	LF 11b	LF 21a	LF 21b		
GD [ps/km]	-0.29	-2.93	-0.66	-0.66	2.27	2.27		
D [ps/(nm.km)]	22.18	21.55	22.15	22.15	21.84	21.84		
S [fs/(nm ² .km)]	66.45	61.46	66.15	66.15	63.68	63.68		
α [dB/km]	0.20	0.20	0.20	0.20	0.20	0.20		
GD – mode group delay								

Tab. 2: Nonlinear Coefficients (γ_{uv}) at 1550nm [W⁻¹/km]

v u	LP ₀₁	LP ₀₂	LP _{11a}	LP _{11b}	LP_{21a}	LP _{21b}
LP ₀₁	0.72	0.36	0.36	0.36	0.18	0.18
LP ₀₂	0.36	0.36	0.18	0.18	0.18	0.18
LP _{11a}	0.36	0.18	0.55	0.55	0.27	0.27
LP _{11b}	0.36	0.18	0.55	0.55	0.27	0.27
LP _{21a}	0.18	0.18	0.27	0.27	0.41	0.41
LP _{21b}	0.18	0.18	0.27	0.27	0.41	0.41

can be find in Eq. (1). However, in the presence of mode coupling, it has been shown that the nonlinear distortion can be modelled using averaged coefficients, and new Manakov equations were derived for FMFs^{6,7}. In the weak--coupling (WC) regime⁷, only the averaging over birefringence fluctuations must be considered, reducing the *intramodal* degeneracy factor to 8/9 and the intermodal degeneracy factor to 4/3. In the strong coupling (SC) regime^{6,7}, the averaging includes all propagation modes. For *N*-modes, the nonlinear operators for WC and SC are, respectively^{6,7}:

$$\hat{N} = -j \left[\frac{8}{9} \sum_{k \in \{i,j\}} \gamma_{uuik} |A_{uk}|^2 + \frac{4}{3} \sum_{\substack{v \neq u \\ k \in \{i,j\}}} \gamma_{uvik} |A_{vk}|^2 \right]$$
(2)

$$\hat{N} = -j \sum_{\substack{v \\ k,l=\{i,j\}}} \kappa |A_{vk}|^2, \quad \kappa = \frac{4}{3} \frac{2N}{2N+1} \left(\frac{1}{N^2} \sum_{\substack{u,v \\ k,l=\{i,j\}}} \gamma_{uvkl} \right)$$
(3)

Simulation Setup

Twelve 28 GBd QPSK signals were transmitted, one per orthogonal polarization mode yielding a total bit rate of 672 Gb/s per wavelength. Together with the information data, a preamble was transmitted consisting of constant amplitude zero autocorrelation (CAZAC) sequences, used for time synchronization and channel estimation. Root raised cosine filters with a roll-off factor of 0.001 were used for pulse shaping. Simulations considered 2¹⁶ symbols per polarization mode, from which 2¹¹ were CAZAC symbols.

The receiver scheme is shown in Fig. 2. After homodyne detection, the baseband electrical signals were sampled at 56 GS/s, yielding 12 digital signals at 2 samples/symbol. DBP was then implemented by launching the coherently received signals into a virtual fibre with characteristics of opposite-sign values of those in the transmission channel, except that no mode coupling was considered. Back-propagation was implemented using the modified SSFM presented with a fixed step size and considering the nonlinear operators derived for WC and SC, Eq. (2) and (3), respectively. As a reference, for linear



Fig. 2: Linear receiver and DBP scheme for 6 LP modes.

compensation, the coherently received signals were compensated for chromatic dispersion in the frequency domain using the values in Tab. 1.

In all cases, mode coupling and (residual) DMD were subsequently compensated for using data-aided channel estimation and equalization, as shown in Fig. 2. Coarse time synchronization was performed using the Schmidl & Cox autocorrelation metric. Subsequently, fine-time synchronization and channel impulse response (CIR) estimation were performed by crosscorrelating with the training CAZAC sequences. The 12×12 CIR estimations were converted into the frequency domain. The MIMO frequency domain equalizer was calculated by inverting the channel matrix, and, finally, the Q-factor for each received signal was calculated using the mean and standard deviation of the received symbols.

Results

DBP performance was studied considering an optical super-channel consisting of 3 channels 28 Gbaud DP-QPSK per mode (spaced of 28.1 GHz), giving a total bit rate of 2 Tb/s, over 10 spans of 100 km. No sources of spontaneous noise were considered to assess the isolated impact of mode coupling on DBP performance. The fibre DMD was varied by scaling the mode group delay values in Tab. 1. The XT value was varied from -70 to 0 dB/100m covering the range of coupling values presented in the literature⁴. For forward propagation, the step size was selected by bounding the local error to be lower than 10⁻⁵, but for back-propagation a constant step size is used. Furthermore, the DBP performance was studied by back-propagating the 3 channels using either the WC-Manakov Eq. $(2)^7$ or the SC-Manakov Eq. $(3)^{6,7}$. Finally, the Qfactor was averaged over the 12 polarization modes considering only the centre channels.

Fig. 3 shows the *Q-factor* gain over linear equaliser (ΔQ) for WC- and SC-DBP as a function of the DBP step after 1000 km for a DMD free fibre and launch power of 6 dBm/ch. Note that by going from 200 m to 100 m negligible gain is observed. Thus, from this point, the step was kept at 100 m as complexity is out of the scope of this paper.

Fig. 4 shows the *Q*-factor as a function of the power per channel (P_{ch}) after 1000 km for two DMD free fibres with crosstalk of -70 and 0 dB/100m. First, it can be seen that WC-



Fig. 3: Q-factor gain over linear eq. for WC- and SC-DBP as a function of the DBP step after 1000 km for *DMD* = 0 and 6 dBm/ch. Error bars for 10 repetitions.



Fig. 4: *Q*-factor as a function of the power per channel after 1000 km for $XT = \{-70, 0\}$ dB/100m and for *DMD* = 0. Error bars for 10 repetitions.



Fig. 5: *Q-factor* gain over linear eq. as a function of *XT* after 1000 km for *DMD* = {0.01, 1, 10} ps/km and 10 dBm/ch. Error bars for 10 repetitions.

provide and SC-DBP their highest Q improvement for low XT and high XT respectively, as expected. Moreover, one can observe that WC-DBP only provides gain for transmission over the weakly coupled fibre, while SC-DBP provides gain for both fibres. WC-DBP is particularly penalizing for high XT values as the nonlinear coefficients in Eq. (2) are larger than the actual channel coefficients leading to large overcompensation. SC-DBP provides gain even for low XT as the nonlinear coefficients in Eq. (3)are smaller than the actual channel coefficients leading to undercompensation.

Fig. 5 shows the *Q*-factor improvement over linear equalisation for WC- and SC-DBP as a function of XT after 1000 km for three values of *DMD*, {0.01, 1, 10} ps/km and at a launch power

of 10 dBm/ch. It can be seen that for negligible DMD values (<0.01 ps/km), WC- and SC-DBP provide significant compensation in the regimes where their Manakov equations are valid (for *XT* < -40 dB/100m and *XT* > -20 dB/100m, respectively). For small DMD values (~1 ps/km), WC-DBP still works within the WC regime (XT < -40 dB/100 m), but SC-DBP provides no significant gain. SC-DBP using the uncoupled group delay values as in Tab. 1 no longer follows the actual DMD evolution along the fibre thus incorrectly estimates the nonlinear distortion evolution. Furthermore, for moderate DMD values (~10 ps/km) neither of the DBP approaches work for any XT value. This is because, for long distances (1000 km) and moderate DMD values, even small XT values lead to specific evolutions of the nonlinear and group delay operators, significantly different from that of the uncoupled operators in the Manakov approximation.

Conclusions

We have shown that significant nonlinear compensation is possible for WC FMF with small DMD. Compensation gains are maximised using the WC Manakov equation, but are also possible using the SC Manakov equation. Outside this region (SC or high DMD fibres) we observed no gain from a Manakov based DBP, and tracking of mode coupling will be required, as observed for SMF systems impacted by PMD. Whilst this paper has not focussed on DSP complexity, we anticipate that simplified algorithms which retain much of the benefit of Manakov DBP may be developed for WC low DMD fibres, or WC DMD managed links.

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