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1 **Conserved but flexible modularity in the zebrafish skull: Implications for craniofacial**
2 **evolvability**

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29 Morphological variation is the outward manifestation of development and provides fodder for adaptive
30 evolution. Because of this contingency, evolution is often thought to be biased by developmental
31 processes and functional interactions among structures, which are statistically detectable through forms
32 of covariance among traits. This can take the form of substructures of integrated traits, termed modules,
33 which together comprise patterns of variational modularity. While modularity is essential to an
34 understanding of evolutionary potential, biologists currently have little understanding of its genetic
35 basis, nor its temporal dynamics over generations. To address these open questions we compared
36 patterns of craniofacial modularity among laboratory strains, defined mutant lines and a wild
37 population of zebrafish (*Danio rerio*). Our findings suggest that relatively simple genetic changes can
38 have profound effects on covariance, without greatly affecting craniofacial shape. Moreover, we show
39 that instead of completely deconstructing the covariance structure among sets of traits, mutations cause
40 shifts among seemingly latent patterns of modularity suggesting that the skull may be predisposed
41 toward a limited number of phenotypes. This new insight may serve to greatly increase the evolvability
42 of a population by providing a range of 'preset' patterns of modularity that can appear readily and allow
43 for rapid evolution.

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53 **Introduction**

54 Variation is essential for evolution to proceed, but the *patterns* of available variation can bias the rate
55 and direction of evolutionary change. For example, within the context of adaptive divergence such
56 biases have been referred to as ‘genetic lines of least resistance’ whereby adaptive phenotypic change
57 occurs along a trajectory where genetic variation is most available to improve fitness [1]. However, it
58 remains unclear what may underlie these biases to limit change in particular directions. A key
59 mechanism proposed to influence such evolutionary biases is modularity, which refers to the
60 organization of traits into subsets that are highly integrated and semi-independent of other such subsets
61 [2]. Modularity is proximately determined by underlying developmental processes (e.g. shared fields of
62 gene expression or cell populations) and through functional (e.g. biomechanical) interactions, but at an
63 anatomical level it can be empirically identified through measures of covariance among traits (i.e.,
64 variational modules). Ultimately, modularity is believed to bias adaptive evolution through the
65 relaxation of fitness tradeoffs, whereby one anatomical region may respond positively to selection
66 while limiting potentially detrimental effects on another anatomical region [2,3,4,5].

67

68 While modularity is essential to an understanding of evolutionary potential, biologists currently have
69 little understanding of its genetic basis, nor its temporal dynamics over generations. Modularity is often
70 assumed to be a stable property of multicellular organisms, even across widely disparate morphologies,
71 that only change over long geological timescales [6,7,8]. This would indicate that the mechanisms
72 underlying modularity are deeply ancestral and possess little to no allelic variation. However, there is
73 growing evidence that patterns of covariance are distinct between closely related species and strains
74 [3,5,9]. This would suggest that modularity has a relatively simple genetic basis, and may respond
75 rapidly to selection. Alternatively, modularity may be responsive to genetic alterations but with only a
76 limited range of outcomes with regards to pattern. Distinguishing between these scenarios is important

77 for a more comprehensive understanding of how modularity may influence phenotypic evolution.

78 A key step in addressing this important question is to understand the genetic basis of modularity
79 [4,5]. The craniofacial skeleton is suitable for such investigations of modularity because it is an
80 inherently complex anatomical structure, with a high number of movable bony elements that together
81 perform a wide variety of adaptively relevant functions [3,4,5]. Also, in many organisms the
82 development of the craniofacial skeleton has been well characterized. This provides the basis for a
83 number of functional and developmentally derived hypotheses to be made about how traits may covary.
84 Quantitative genetic analyses of shape change within the cranium of inbred mouse lines have shown
85 that many loci of minor effect influence the shape of different skeletal regions [10,11]. This trend is
86 also supported by genetic screens and mapping of the causal variation of diverse craniofacial disorders
87 [12,13,14,15]. Therefore, while craniofacial *shape* may have a complex genetic basis, which hints at a
88 lack of an evolutionary line of least resistance, the pattern of modularity itself might have a simpler
89 genetic basis [5]. Indeed, evidence is emerging that covariance structure is highly sensitive to mutations
90 [9]. A deeper appreciation for how mutations impact modularity as an independent trait may determine
91 how a complex array of shape-determining genetic variation is revealed in the phenotype.

92 Here we assess the genetic basis of craniofacial modularity across both shared and varied
93 genetic backgrounds to determine how discrete mutations may affect variational modules. The
94 zebrafish (*Danio rerio*) is a powerful vertebrate model with which to study skeletogenesis at all stages
95 of development [16]. While the vast majority of zebrafish craniofacial mutants described to date exhibit
96 gross, qualitative (i.e., presence/absence) defects that are not amenable to analyses of modularity, our
97 recent screens on postembryonic development of the zebrafish have identified a large collection of
98 mutations that result in subtle but significant shifts in craniofacial shape (e.g. 17). As these mutants are
99 identified at the adult stage, they reflect the type of changes that are permissible while maintaining
100 functionality and hence viability [18,19]. In other words, the phenotypes of these mutants may be

101 reflective of variance that is possible in natural evolutionary radiations; although not necessarily by
102 similar genetic changes. With this resource, we predicted that mutations with subtle effects on shape
103 may have pronounced influences on modularity in the skull. To gain insights into the potential natural
104 state of modularity, we contrasted findings from lab strains with a wild-caught population of zebrafish.
105 Wild-caught populations likely face very different selection pressures that should limit the effect of
106 mutations on modularity [9]. Our findings have major implications for explaining how a complex
107 character like the craniofacial skeleton can so readily evolve, with results suggesting that minor genetic
108 perturbations can shift patterns of craniofacial modularity, and that such changes in trait covariance are
109 independent of the magnitude of changes in shape. Notably, we also find that while different genetic
110 backgrounds exhibit distinct patterns of modularity, ancestral patterns may 'reappear' in the face of
111 mutation. These findings suggest new properties for modularity and an increased understanding of its
112 genetic basis. Indications are that a simple genetic change may reveal latent patterns of modularity,
113 and/or effectively 'hide' other types of covariance from selection. We suggest that the evolvability of
114 the skull may be facilitated on both short and long-term timescales by this conserved but flexible
115 covariance structure that predisposes lineages to a limited range of trajectories for adaptive phenotypes.

116

117 **1. Materials and methods**

118 (a) Collection and rearing of fish

119 Lab strains of zebrafish were reared under standardized lab conditions and fed flake food and artemia
120 daily until at least 1+ years of age. Wild zebrafish were obtained by dipnets from the Kosi river, India
121 during the autumn of 2015. A total of 369 individuals were investigated (Wild caught n =63 Strains:
122 AB n=50, Tuebingen n=71; mutant lines: *alf*^{dt30mh} n=46 [20], *lof*^{dt2} n=50 (XX), and *btm*^{t3404} n =89
123 (Harris, MP, ZF models unpublished). All samples were cleared and stained using alizarin red
124 following Potthoff [21]. Photographs of the lateral left side were taken for each individual using a

125 Zeiss Axiocam MRC digital camera mounted on a Zeiss SV12 dissecting scope. Images were imported
126 into TPSdig2 [22], and landmark coordinates were captured in two-dimensional (x,y) space.

127

128 (b) Data collection

129 For investigations of variational modularity it has become standard practice to include as much shape
130 information as is reasonably possible [5,23,24,25,26,27]. This increases objectivity by assessing a
131 broader spectrum of possible interactions among traits. Sliding semi-landmarks [28] make possible the
132 description of shapes combining curves and classic homologous landmarks on the same object, and the
133 incorporation of these data has become standard in the field of morphometrics [29,30]. Here, a total of
134 24 regular landmarks and 38 semi-landmarks were sampled across the craniofacial region (Figure 1).
135 Landmarks were superimposed by conventional Procrustes superimposition [31], while semi-landmarks
136 were superimposed by allowing them to slide along curves bounded by landmarks to minimize the
137 Procrustes distance among individuals. Superimposition of semi-landmarks was done in TpsRelw [32]
138 using chord distances. Finally, allometric variation in shape was removed by calculating residuals from
139 a regression of shape on centroid size using Standard6a [33].

140

141 (c) Hypothesized patterns of modularity

142 Our analytical approach is based on the operational definition of modularity whereby by covariance
143 among traits arises over ontogeny through sequential and hierarchical process including developmental
144 and physical interactions between structures (cells, tissues) [34]. To begin testing hypotheses of
145 modularity we selected a total of 8 *a priori* models representing the spatial distribution of effects from
146 a diversity of developmental (e.g., cellular condensation) and functional (e.g., effects of muscle,
147 ligament, and tooth attachment on bone deposition and remodeling) processes (Figure1, Table1). An
148 additional null model representing a lack of any integration or modularity was also included in our

149 analyses.

150 Several valid methods of analysis exist for examining variational modularity but we favoured an
151 established approach with the ability to perform model selection. Specifically, we focused on a
152 minimum deviance approach that provides especially powerful options for model selection [5,35].
153 Model selection approaches are ideal for investigations of modularity because they are objective by
154 providing the ability to discern which models that are best supported from a range of valid hypotheses.
155 Therefore, model selection approaches provide an exploratory approach for determining the most
156 relevant patterns of modularity. However, it should be noted that alternative methods for exploring
157 modularity using model selection procedures based upon maximum likelihood have recently been
158 developed [36]. While this represents an important advancement, the minimum deviance approach
159 explores a much wider range of covariance structures than the likelihood approach (preliminary
160 analysis of our data suggests 3695 vs 37 models for minimum deviance and likelihood approaches
161 respectively). This increased range of modularity models provides a much more objective approach that
162 we describe further below. Further, modules delimited using the widely employed Escoufier's RV can
163 be highly integrated with each other so long as intra-modular covariances are higher [37]. This
164 somewhat contradicts with what makes modularity relevant to evolution whereby modules are quasi-
165 independent and free to be modified without interfering with others. Therefore, this justifies our use of
166 the minimum deviance approach which implies this evolutionary freedom because a model will only fit
167 well if modules are independent [35]. All tests and approaches for variational modularity hypotheses
168 were implemented within the matlab package MINT (available at: [http://www-](http://www-personal.umich.edu/~emarquez/morph/index.html)
169 [personal.umich.edu/~emarquez/morph/index.html](http://www-personal.umich.edu/~emarquez/morph/index.html)). A heuristic visual guide for the main analytical
170 procedures in MINT can be found in our previous research [5].

171

172

173 (d) Testing modularity

174 The minimum deviance method fits models to the covariance matrix of landmark coordinates which is
175 assessed using a standardized gamma statistic (γ^*) [35]. The null hypothesis predicts that the difference
176 between the observed and expected covariance matrices is no greater than expected by chance; thus, a
177 low p value indicates that the model fits the data poorly [5]. The best fitting model is the one that
178 deviates least from the data taking into account the number of fixed parameters.

179 For our data it was not biologically realistic to expect that our original 8 hypotheses of
180 modularity were mutually exclusive, therefore we took advantage of this approach's ability to test all
181 possible non-nested combinations of the models, giving a total of 3695 tested models. The models
182 tested with this method also allowed for overlapping modules and therefore likely cover a substantial
183 proportion of the developmental and functional processes capable of affecting covariation patterns in
184 the skull. This approach has been successfully applied to the study of modularity in several organisms
185 [3,5], including zebrafish [37].

186 To determine the best supported hypothesis of modularity we implemented a Monte Carlo
187 model selection procedure. Comparative testing was conducted using all *a priori* models, and was
188 based on the goodness of fit metric γ^* . This metric represented a measure of the dissimilarity between
189 observed and expected covariances for each model [35]. In this approach, each model was comprised
190 of a series of partitions among our landmarks and semilandmarks. Partitions represented a hypothesized
191 module or subspace predicted to be highly integrated relative to other such partitions. The statistical
192 significance of γ^* was assessed under the null hypothesis that the fit of observed patterns of
193 morphological covariance to a hypothesized model, are no larger than the fit of observed covariance to
194 a randomly-generated matrix [35,38]. Because this Monte Carlo approach can often reveal multiple
195 statistically supported models we performed an additional analysis to help distinguish the best
196 supported models. This involved two steps. First, models were ranked based on their γ^* value, and

197 second, the support for these rankings was determined by a jackknife approach in which γ^* values and
198 model ranks were recomputed after removing 1,000 randomly chosen subsets comprising 10% of the
199 data.

200

201 (e) Testing for similarities in wider patterns of covariance

202 If a particular model fit two of our strains or mutants (AB, Tu, *alf*, *lof*, or *btm*) equally well, it would
203 not necessarily mean that they were close to each other in model space. This is because two objects that
204 are equally distant from a third are not required to occupy the same position, especially in a high-
205 dimensional space. In our case the values calculated across models for each group represented
206 reference points to determine their relative position. At the same time these values can describe the
207 nature of the covariance structure within each group, vectors of γ^* values can also be useful for
208 comparing the covariance structure among groups. However, because each group may be centered at a
209 different position, only the direction of these vectors can be compared, which we achieved by
210 examining correlations between γ^* vectors of each group. We did not use all 3,695 possible γ^* values
211 in these correlations; rather, we used the top 50 ranked models for each group, yielding a total of 134
212 γ^* values. This increased the possibility that we were testing associations between the most
213 biologically relevant models.

214 Finally, we complemented our tests for patterns of modularity by examining shape variation
215 among lines of zebrafish. This involved using the same standardized set of landmarks used above to
216 examine covariation. Instead, in this case we extracted the consensus configuration of landmarks for
217 each zebrafish line for calculations of pairwise Procrustes distances. These distances among consensus
218 shapes were obtained using the software Coordgen8 [39].

219

220

221 **2. Results and discussion**

222 Our data support the idea that patterns of craniofacial modularity are flexible in response to simple
223 genetic mutations. These observations have important implications for explaining patterns of evolution,
224 including adaptive radiations where relatively minor differences in genetic variation can be present
225 amongst species [40,41]. Further, our findings demonstrate how the domestication of lab strains (an
226 evolutionary process itself) can inadvertently alter patterns of trait covariation through a process of
227 selection, including within so called ‘wild-type’ lines that appear outwardly similar to each other (e.g.
228 Tu vs. AB). Surprisingly, our comparisons reveal that certain patterns of modularity can persist while
229 others perhaps re-emerge in a lineage over evolutionary time. We discuss this newly discovered
230 property of modularity below along with a range of other implications.

231

232 (a) Modularity is an intrinsic attribute of the zebrafish skull

233 Modularity in the zebrafish skull was pervasive across all lab strains and wild fish. However, Monte
234 Carlo tests were unable to distinguish among models. Therefore, our interpretations of modularity are
235 based on the relative rankings of γ^* values (i.e. models) that were strongly supported via jackknife
236 analysis. Across our different strains and mutant lines support for the null model of no integration was
237 consistently the lowest based on γ^* values. Jackknife tests also fully supported this ranking in all
238 groups. Support for the top-ranked hypothesis for each of AB, Tu, *btm*, *lof*, and wild-caught fish was
239 very high, with jackknife tests corroborating the number one ranking of these hypotheses within 96.1 to
240 99.9% of the 1,000 runs (Table 2). For *alf* fish, support for the top-ranked hypothesis was lower with
241 59.7% of jackknife runs, but this was due to competition from a very similar 2nd-ranked hypothesis that
242 35.1% of jackknife runs supported. The differences between these competing hypotheses were minor
243 making it unlikely that their differences have much biological significance (Figure 2). Taken together
244 these results suggest that while modularity is pervasive within zebrafish, patterns are subject to vary

245 across lines. Given that modularity is often examined at macroevolutionary scales this finding provides
246 the important that modularity can evolve at the population level (which lines are akin to) and change
247 rapidly within a given species.

248

249 (b) Phenotypically similar wild-type lines express different patterns of craniofacial modularity

250 We analyzed two highly polymorphic inbred lines, Tubingen and AB/Oregon, to assess the baseline
251 integration in wildtype zebrafish skulls and the normal variation that is seen across lab populations. As
252 our previous analysis of nucleotide diversity within zebrafish strains indicate that lines may differ
253 greatly in SNP density and diversity, these strains test the intra-strain variation among groups having
254 diverse genetic backgrounds [42]. This genetic difference is associated with a modest difference in
255 craniofacial shape as measured by Procrustes distance (PD, Table 2), and a marked difference in
256 modularity. The top-ranked modularity hypothesis for both lines possessed a lower jaw module (Figure
257 2), and there was a moderate correlation between the top 50 modules for each wildtype line (Table 2).
258 However, the second module in the top-ranked hypothesis was distinct between lines. In Tu fish, this
259 module encompassed the upper jaws and opercle region of the skull, while the cranium conspicuously
260 lacked integration. AB fish, on the other hand, possessed a module that integrates the neurocranium and
261 opercle region of the skull, while the upper jaws lack integration. Thus, the two main wild-type genetic
262 backgrounds used in zebrafish research are associated with distinct modularity patterns. We consider
263 these patterns to be resting states post-domestication upon which we can examine the effects of
264 mutations. Further, we can also use these patterns to assess the impact of domestication.

265

266 (c) Domestication alters craniofacial shape and variability

267 Wild-caught zebrafish were used to augment comparisons among laboratory-reared fish. “Wild-type”
268 strains of zebrafish were derived from pet store stock many decades ago, and are therefore likely to be

269 removed from wild conditions for dozens of generations. In this time they have spread to research labs
270 around the globe. Thus, their demographic history is characterized by bottle-necks, inbreeding, and
271 altered selection patterns. Domestication can be a potent force of morphological and behavioral change,
272 both intentional and unintentional (e.g., domestication syndrome) [43,44,45,46]. Consistent with this,
273 wild-caught zebrafish exhibit by far the most divergent skull shapes, with pairwise Procrustes distances
274 consistently more than 2x greater than any other non-wild comparison (Table 3). Correlations among
275 top-ranked modularity models are also consistently low when wild-caught fish are compared to
276 laboratory strains (Table 2). A notable exception to this trend is the top-ranked model of modularity in
277 wild-caught fish, which is nearly identical to that of AB wild-types. Both top models are robustly
278 supported by our jackknife analysis, which suggests that core aspects of the covariance structure have
279 been preserved and have influenced craniofacial shape evolution during domestication.

280

281 (d) Simple mutations cause a shift to latent patterns of modularity

282 *Battering ram (btm)* is a previously undescribed mutant identified in the ZF Models large-scale
283 mutagenesis screen for mutations affecting changes in the adult skeleton ([http://www.zf-health.org/zf-](http://www.zf-health.org/zf-models/)
284 [models/](http://www.zf-health.org/zf-models/)). The mutant was founded on the Tu background and is characterized by alterations in the
285 coordinated growth of the skull leading to a notable reduction in the preorbital region (Figure 1). This
286 phenotypic effect however is quite variable, and although extreme forms are common, population level
287 shape analyses show that mean craniofacial shape in *btm* is similar to both wildtype strains (Table 2).
288 Notably, however, the *btm* population exhibited a marked shift away from its original Tu background
289 state in terms of modularity, and toward the covariance pattern exhibited by AB (Figure 2). The best-
290 supported hypothesis was nearly identical between *btm* and AB lines. Moreover, the correlation
291 between the top models of integration for *btm* and AB was the highest of any pair-wise comparison
292 (Table 2). The analysis of the *btm* mutant reveals a shift between wildtype resting states such that the

293 *btm* mutation has resulted in the skull to converge on the same pattern of modularity found in the AB.
294 Notably, this represents a shift to a putative baseline pattern of modularity represented by wild-caught
295 fish (see above). What is remarkable here is that this convergence has happened in spite of these strains
296 having distinct genetic backgrounds. These data suggest that there may be a limited number of
297 covariance patterns possible in the skull, and that relatively simple genetic changes can result in the
298 reorganization of variation such that certain patterns become latent (i.e., hidden from selection), while
299 previously dormant patterns become resurrected. This apparent flexibility of skull covariance patterns
300 has broader implications for phenotypic evolution (see below).

301

302 (e) Introgression results in new combinations of variational modules

303 The mutant *alf* was identified in the Tuebingen 1996 large-scale screen [15] and is due to an alteration
304 in the potassium channel, *Kcnk5b* [47]. *Alf* was founded on the Tu background. In order to assess how
305 introgression affects patterns of covariance, *alf* specimens analyzed in this study were outcrossed to the
306 AB background for 2 generations. Our hypothesis was that the introgression of AB alleles into *alf* may
307 shift modularity towards a more AB state. Consistent with this hypothesis, the top-ranked model for *alf*
308 does appear to be a composite between AB and Tu states (Figure 2). On the one hand, it retains a
309 module that encompasses the orbital and dorsal opercle regions, similar to Tu. Notably, it also
310 possesses a third module that integrates neurocranial and opercle landmarks (Figure 2). More generally,
311 *alf* show relatively strong relationships in model space to both AB and Tu (Table 2). Thus, introgression
312 can lead to the parsing of variational modules and the ‘melding’ of covariance structures that are
313 present in the parental lineages.

314 This observation has important implications for the role of hybridization in promoting
315 phenotypic diversification. While hybridization has long been considered to be a homogenizing force
316 with respect to biodiversity, and a barrier to speciation [48], it has become increasingly obvious in

317 recent years that hybridization can also be a significant positive force in promoting diversification [49].
318 In particular, transgressive segregation is the process through which hybridization leads to the
319 production of novel phenotypes, which is likely achieved through the recombination of alleles in hybrid
320 progeny [50,51]. Linking the processes of transgressive segregation and adaptation, however, rests on
321 the assumption that hybrids retain a fully integrated phenotype [54]. We show here that *alf*(*Tu*) x AB
322 skulls are indeed integrated. Moreover, we show that patterns of integration are a novel combination of
323 covariance pattern between AB and Tu strains. In nature, this should translate to hybrid populations that
324 expose a new pattern of variation to selection, which could significantly enhance their evolutionary
325 potential.

326

327 (f) Overgrowth mutants show convergent patterns of covariance

328 *Alf* represents a class of fin overgrowth mutants and such increased growth may alter the pattern of
329 integration seen in the skull. Although *alf* does not have any outward craniofacial phenotype, to
330 ascertain if growth could be a developmental parameter affecting craniofacial modularity, we compared
331 the covariance in *alf* to that in the *lof* longfin mutant, which exhibits comparable overgrowth properties.
332 *Lof* is a spontaneous dominant mutant identified in the aquarium trade [52]. *Lof* and *alf* are not allelic
333 and like *alf*, *lof* does not have a pronounced effect on mean craniofacial shape (Table 2). We find that
334 *alf* and *lof* mutants displayed a remarkably high degree of similarity in patterns of covariance within the
335 skull. This is partially evident from consideration of the top-ranked models for each line, which have a
336 similar variational module that encompasses the orbital and dorsal opercle regions of the skull (Figure
337 2). More striking however is the observation that the top 50 ranked models for each line are highly
338 similar, as demonstrated by a high degree of correlation (Table 2). Thus, independent mutations
339 affecting similar physiological processes (i.e., bone overgrowth) converge on a common covariance
340 structure. This convergence in modularity supports the assertion that developmental systems are a

341 major contributor to determining covariance structure.

342 (g) Robustness in response to genetic perturbation

343 Our data indicate that some modules are more robust to genetic change than others. Specifically, the
344 lower jaw and associated structures form a relatively stable module that is unchanged in 5 of 6 lines.
345 The only instance where the module differs is in *longfin* fish where the lower jaw module is augmented
346 with the addition of upper jaw landmarks (Figure 2). Our tested mutant lines represent only a small
347 fraction of those available for zebrafish, however they are consistent with a general trend among
348 mineralized tissue mutants whereby the phenotypic effect on the mandible is generally more stable
349 compared to other regions of the craniofacial skeleton (e.g., 53,54,55). Thus, while further testing is
350 necessary, we suggest that a conserved mandibular module may exist due to its specific developmental
351 and/or functional attributes. For example, the progenitor of the mandible is Meckel's cartilage, which is
352 derived from a specific population of neural crest progenitor cells [56], and forms the foundation upon
353 which subsequent ossification occurs. In addition, four out of the six individual bony elements that
354 ultimately constitute the mandible (dentary, retroarticular, quadrate, interopercle) begin ossification at
355 the same stage of development (~5.1mm NL)[57]. The remaining two structures (anguloarticular and
356 symplectic) ossify soon after (5.5mm, and 6mm respectively). Thus, the presence of this variational
357 module is consistent with a common developmental origin and timing of differentiation. Moreover, all
358 of these elements are tightly integrated with respect to function (i.e., jaw rotation). The functional
359 integration of these structures is predicted to link them through the iterative process of mechanical
360 stress and subsequent remodeling of the bone [54,58]. The reinforcement of early developmental
361 patterning by ongoing functional demands could result in the establishment of a mandibular module
362 that is robust to genetic mutation. If so, a prediction for future research would be that the evolution of
363 the lower jaw in *Danio* would be constrained to one or few dimensions relative to other regions of the
364 skull.

365

366 (h) Simplifying the complex: re-emergent modularity as a means for evolvability

367 Evolutionary genetics has long recognized that the genetic basis of traits falls along a continuum from
368 simple to complex [59]. However, there remains a high degree of uncertainty as to the genetic
369 underpinnings of higher order properties of development, such as integration and modularity. On one
370 hand, since modularity is thought to result from biomechanical interactions among traits and through
371 the interaction of multiple genes at localized spatial scales during development, its genetic basis is
372 thought to be complex due to the sheer number of processes involved. Alternatively, recent research on
373 phenotypic integration and modularity has revealed a surprisingly simple genetic basis for these traits
374 [5,60,61]. These results are consistent with data presented here, which indicate that simple genetic
375 changes can alter what is commonly considered a complex aspect of the phenotype (i.e. modularity).
376 Taken together, such insights suggest two potential outcomes from an evolutionary perspective. First,
377 such a trait underlain by few loci of major effect would be expected to readily evolve in the face of
378 selection. Second, the phenotypic options for change would be constrained by the limited number of
379 loci involved.

380 A paradox in craniofacial biology is that variation in this structure has been shown to be
381 underlain by a large number of loci, which suggests a low degree of evolvability, and yet it is one of the
382 most disparate characters within and among vertebrate lineages. We suggest that a possible resolution
383 to this paradox is the genetic decoupling of phenotypic variation and covariation. Early mapping
384 studies in mice showed that the genetic basis of variation and covariation appear to be highly
385 overlapping, which suggests pleiotropy [62]. Likewise, we have have also implicated genetic
386 pleiotropy in the covariation of craniofacial traits in African cichlids [63,64,65]. However, we have also
387 demonstrated that the genetic basis of variation in fish is distinct from that influencing covariation
388 [5,63,65]. In line with this, our zebrafish lines show substantial changes in modularity in response to

389 discrete mutations, but with little effect on craniofacial variation. It is possible that mammals and fish
390 differ in this regard, as relative to fish, mammals have far fewer independently moveable elements in
391 the craniofacial skeleton, which may predispose them to fewer modules and the coupling of variation
392 with covariation. A greater degree of decoupling may be a property of fish which allows them to avoid
393 the tradeoffs that would occur under pleiotropy and in turn increase their evolvability. Indeed, fish are
394 well-known as the most speciose group of vertebrates with a sixfold greater number of species than
395 mammals [66].

396 The transient nature of modularity across our zebrafish indicates that a flexible covariance
397 structure is possible for the skull. Evidence suggests that shifts in modularity can be mediated by
398 discrete mutations that can cause a pattern to re-emerge. Therefore, while evidence suggest that
399 modularity can be responsive to population-level processes it remains to be seen what range is possible.
400 Nonetheless, by readily altering patterns of trait modularity through discrete mutations new and
401 different types of variants should be exposed to selection (blue dots, Figure 3). In other words, such
402 mutations that alter *covariation* could have the effect of ‘releasing’ genetic variation underlying
403 morphological *variation*. Such shifts could represent the emergence of an evolutionary trajectory
404 forming the first “large” step toward an adaptive optimum (Figure 3). Through this process modularity
405 has the potential to facilitate trait evolution toward an adaptive peak utilizing allelic variants of modest
406 or even minor effect on shape. These latent patterns may represent a 'reservoir of evolvability' only to
407 re-emerge in response to a new mutation or environment that facilitates rapid phenotypic evolution in
408 complex characters.

409

410 **3. Conclusions**

411 Our findings highlight the utility of looking beyond the outward phenotype in order to gain a
412 better understanding of the developmental, genetic, and functional processes that shape phenotypic

413 variation and bias phenotypic evolution. Indeed, our data demonstrate how a focus on morphological
414 variation alone can be misleading. Populations that look similar can have very different underlying
415 modularity affecting variation of their phenotypes, and thus potentially respond to selection in very
416 different ways. Given that variational modularity is not an outwardly obvious trait the validation of
417 statistical results provides a challenge for the field, especially given the number of approaches available
418 for investigating modularity [35,36,37,67]. We suggest that such a validation may be possible through
419 connections that can be made between patterns of modularity and genetic variation. Indeed, advances in
420 techniques now allow patterns of modularity to be treated as a quantitative trait that can be genetically
421 mapped [5]. Such an expansion of methodology can move the assessment of modularity toward more
422 experimental approaches whereby the impact of candidate genes on patterns of covariance can be
423 explored. In this sense the various statistical approaches could be considered a first step toward
424 providing hypotheses that can be tested experimentally with mechanistic approaches. Coupled with the
425 mutational approach illustrated here, these investigations will provide researchers the inroads needed to
426 dissect the proximate causes of phenotypic modules, which will ultimately lead to a far better
427 understanding of the factors that influence organismal evolvability.

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658 **Table 1.** *A priori* developmental and functional modules of modularity tested in this study.

Model	Description
[4-14,19,43,47,58,61] [1-3,14-18, 20-60,62]	Early vs late ossification- bones in the anterior region of the jaws tend to ossify first along with parts of the opercular region (Cubbage and Mabee 1999)
[1-19,39-41,44-46][20-33,48-54][59,61][34-38,55,56,57,60][58][62]	Breathing seeing feeding- regions are divided based on their function in respiration, eye muscle attachment, feeding (the oral jaws), the anterior of the head also comprises a module here
[59,61,1-47] [48-58,60,62]	Movable vs fixed- regions are defined based on their ability to move, or as a muscle attachment point
[34-38,40,41,45,46,55-58,60,62] [1-34, 39, 42-44, 47-54, 59, 61]	Dermal vs cartilage bone- Regions are defined by how bone develops, either through cartilage to ossified bone, or directly to dermal bone (Cubbage and Mabee 1999)
[1-9,34-42,45-46,55-62][10-33,47-54]	Lateral line bones- Bones that are innervated by the lateral line are delineated as a module
[34-38,55-58,60-62][10-19,43-46,59][1-9,20-33,39-42,47-54]	Epaxial/hypaxial- regions where groups of hypaxial muscles lie ventral to the spine are delineated from expaxial muscles of the head

[1-19,40-47] [48-62]	Preorbital 1- the region anterior to the eye is defined as module (Cooper et al. 2010; Parsons et al. 2011)
[1-19,40-47][48,20-25,28-29,34-38,48-50,55-57,60][26,27,30-33,51-54,58,59,61,62]	Preorbital 2- the region anterior to the eye is defined as a module, as are the eye region itself, and opercular region.

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675 **Table 2.** Pairwise correlations (r-values) for *alf*, *lof*, *btm*, AB, Tu, and wild lines of zebrafish. R-values
 676 are shown below the diagonal and represent correlations of gamma values (γ^*) for 134 models of
 677 modularity derived from the minimum deviance method.

	<i>Alf</i>	<i>Lof</i>	<i>btm</i>	AB	Tu	Wild
<i>alf</i>	-					
<i>lof</i>	0.76890292	-				
<i>btm</i>	0.09678171	-0.29179039	-			
AB	0.29885544	-0.04242859	0.80249807	-		
Tu	0.52203087	0.54731221	0.34982901	0.58620055	-	
Wild	0.15728985	0.24022154	-0.1505487	0.0220932	0.2253471	-

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691 **Table 3.** Pairwise Procrustes distances for craniofacial shape variation among lines of zebrafish.

	<i>Alf</i>	<i>lof</i>	<i>btm</i>	<i>AB</i>	Tu	Wild
<i>alf</i>	-					
<i>lof</i>	0.0342	-				
<i>btm</i>	0.0377	0.0237	-			
AB	0.0465	0.0425	0.0372	-		
Tu	0.0348	0.0334	0.0379	0.055	-	
Wild	0.1299	0.1341	0.1359	0.1347	0.1340	-

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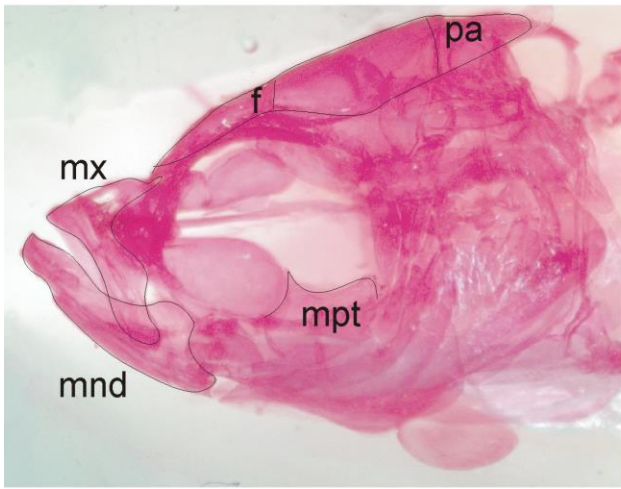
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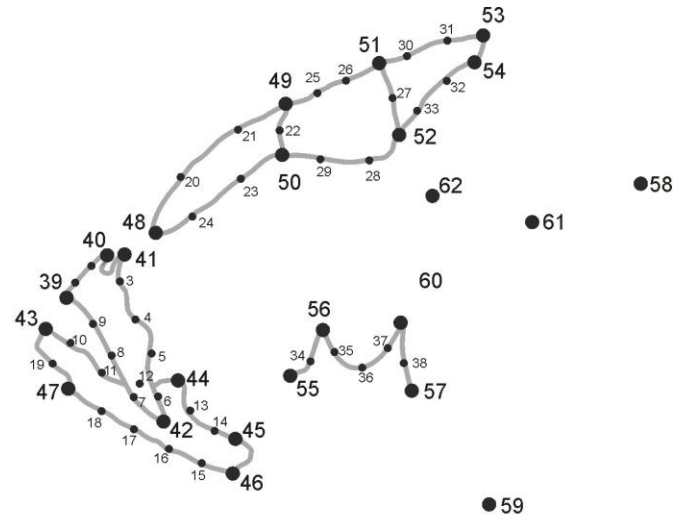
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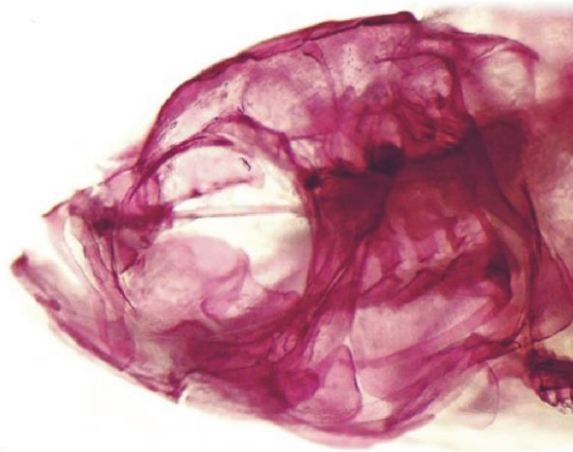
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(a)



(b)

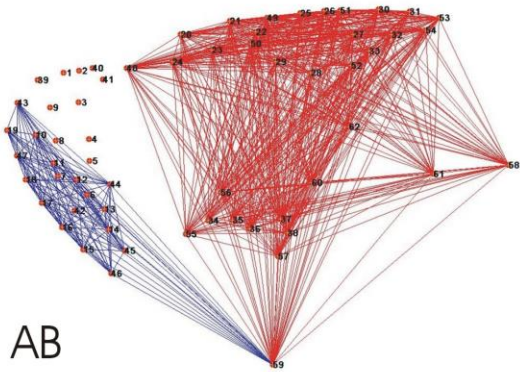


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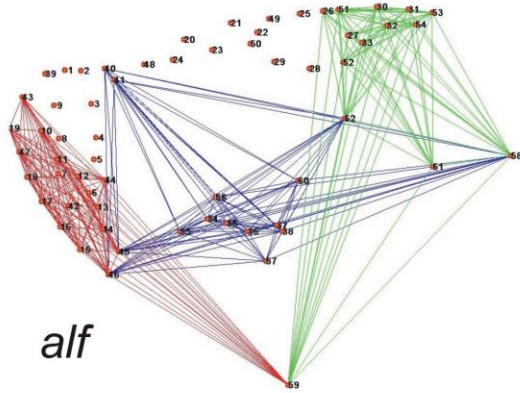
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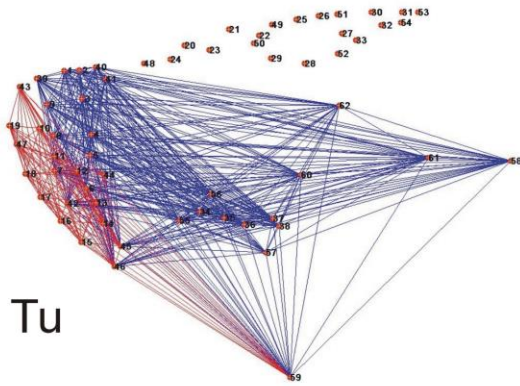
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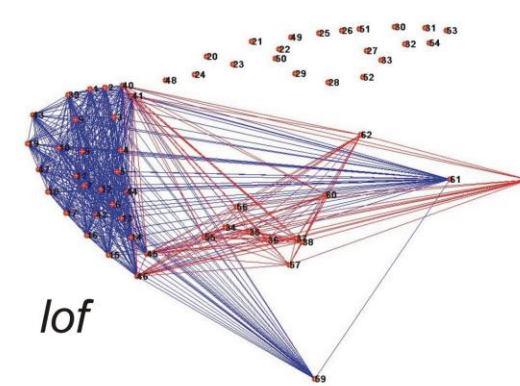
AB



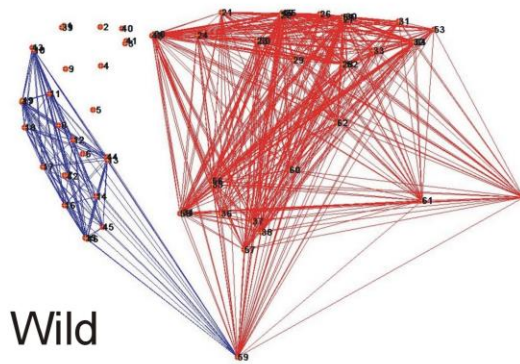
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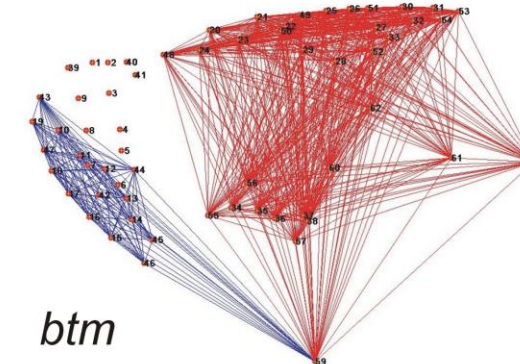
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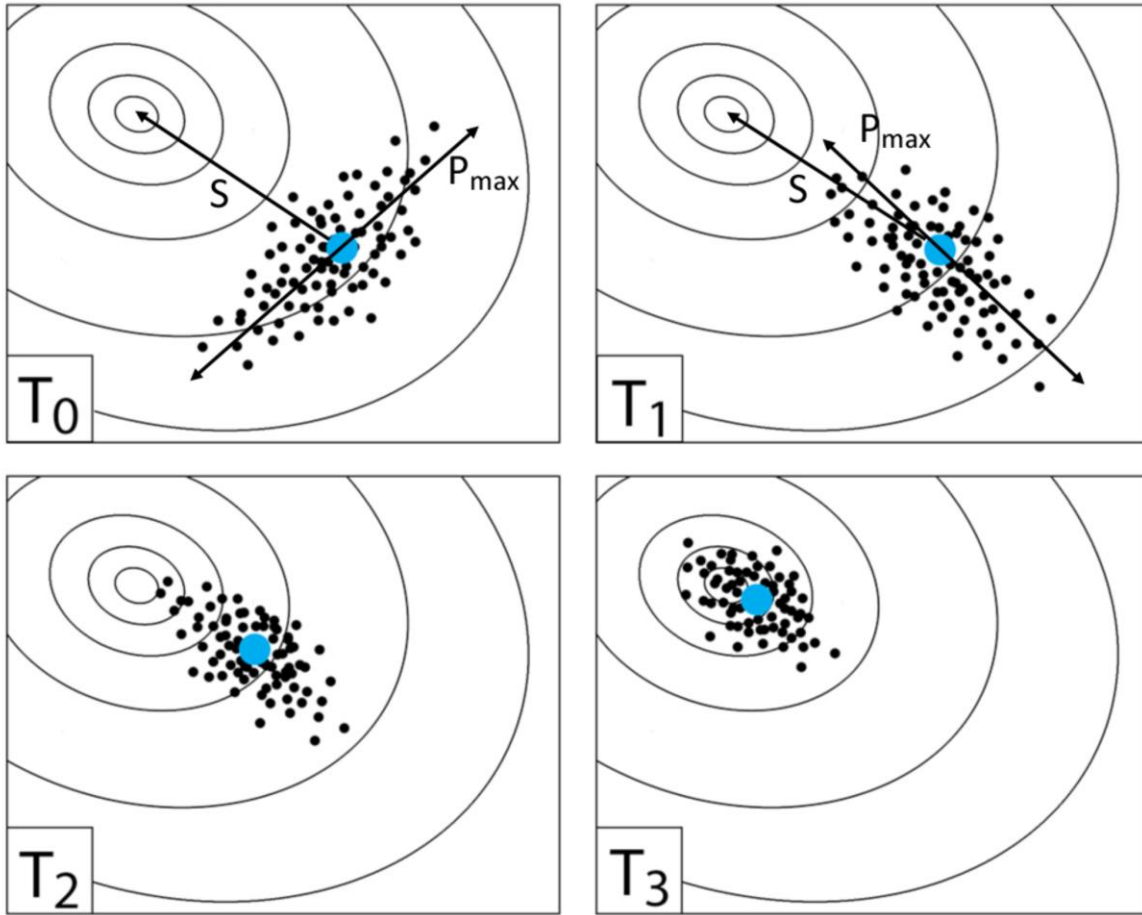
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btm

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718 **Figure 1.** Basic anatomy of the zebrafish head from a left lateral view. (a) The anatomical
719 regions of the zebrafish head in a representative sample and the landmarks and semi-
720 landmarks collected for our analysis (mnd = mandible, mx = maxillae, f = frontal, pa = parietal,
721 mpt = metapterygoid). (b) Landmarks (numbered large black circles) and semi-landmarks
722 (small black circles) were used to quantify shape in the zebrafish head. (c) The *btm* mutant,
723 which possesses relatively short oral jaws.

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725 **Figure 2.** Diagrams depicting the best-supported hypothesis of variational modularity for each
726 of the zebrafish lines. Lines of the same colour within a strain belong to the same module.

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729 **Figure 3.** A hypothetical scenario in which a flexible pattern of trait covariance facilitates an
730 evolution response to selection. In each quadrant, the scatterplot represents a two-
731 dimensional (i.e., x,y) morphospace for individuals in a population, superimposed upon an
732 adaptive landscape. At time 0 (T_0), the covariance pattern (i.e., P_{\max}) is roughly perpendicular
733 to the axis of selection (S), which is oriented toward an adaptive peak. A dramatic shift in
734 covariance structure due to a relatively simple genetic change could alter patterns of
735 modularity such that P_{\max} is more in line with the axis of selection (T_1), without changing the
736 mean shape (blue dot). This would represent a vital first step in an adaptive walk toward a
737 fitness optimum (T_{2-3}), in which subsequent steps are accomplished utilizing loci with modest
738 to small effect sizes. In this way traits with a complicated genetic basis with respect to form
739 could nevertheless show an evolutionary response to selection that is consistent with a
740 geometric model.

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