

Metrics to assess how longitudinal channel network connectivity and in-stream Atlantic salmon habitats are impacted by hydropower regulation

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Running head

Regulation impacts on channel connectivity and Atlantic salmon habitat

Abstract

Habitat fragmentation in channel networks and riverine ecosystems is increasing globally due to the construction of barriers and river regulation. The resulting divergence from the natural state poses a threat to ecosystem integrity. Consequently, a trade-off is required between the conservation of biodiversity in channel networks and socio-economic factors including power generation, potable water supplies, fisheries and tourism. Many of Scotland's rivers are regulated for hydropower generation but also support populations of Atlantic salmon (*Salmo salar* L.) that have high economic and conservation value. This paper investigates the use of connectivity metrics and weightings to assess the impact of river barriers (impoundments) associated with hydropower regulation on natural longitudinal channel connectivity for Atlantic salmon. We applied two different weighting approaches in the connectivity models that accounted for spatial variability in habitat quality for spawning and fry production and contrasted these models with a more traditional approach using wetted area. Assessments of habitat loss using the habitat quality weighted models contrasted with those using the less biologically relevant wetted area. This highlights the importance of including relevant ecological and hydrogeomorphic information in assessing regulation impacts on natural channel connectivity. Specifically, we highlight scenarios where losing a smaller area of productive habitat can have a larger impact on Atlantic salmon than losing a greater area of less suitable habitat. It is recommended that future channel connectivity assessments should attempt to include biologically relevant weightings, rather than relying on simpler metrics like wetted area which can produce misleading assessments of barrier impacts.

KEY WORDS: hydropower; river regulation; Atlantic salmon; longitudinal channel connectivity; river network; weighting

Introduction

Habitat fragmentation is a major cause of biodiversity loss (e.g., Bascompte and Sole, 1996; Dudgeon *et al.*, 2006; Grill *et al.*, 2015). In riverine ecosystems anthropogenic river fragmentation is caused primarily by the construction of barriers such as dams, weirs and culverts (Pringle, 2001). Although the size and scale of barriers is highly variable, ranging from small culverts to large dams, they all have the potential to fully or partially block access to and from parts of the channel network, thus moving the system away from the natural connected state that maintains ecological function (Tetzlaff *et al.*, 2007). With increasing human population size, there is an increasing demand on water resources for power generation, potable supply and irrigation. These demands are expected to significantly and rapidly increase the number of dams and the degree of river regulation globally (Zarfl *et al.*, 2014; Grill *et al.*, 2015). Concerns have been raised that an increasing degree of river regulation is likely to alter the heterogeneity and connectedness of river systems (Poff *et al.*, 2007; Tockner *et al.*, 2011), further threatening the integrity of their dependent ecosystems.

The application of graph theory and connectivity indices is a powerful approach for quantifying the impacts of regulation on the hydrological connectivity of channel networks. Such indices have been widely used in terrestrial landscape ecology to investigate the role of connectivity in determining the ability of species to persist in fragmented landscapes (e.g., Urban *et al.*, 2009; Dale and Fortin, 2010; Gilarranz and Bascompte, 2012; Rubio and Saura, 2012). More recently these approaches have been extended to explicitly consider the specific structure of river ecosystems. Rivers occur as dendritic hydrological and ecological networks (Campbell Grant *et al.*, 2007), and connectivity can be assessed using a variety of approaches and metrics, depending on the aims of the research, the target species and their life history characteristics (Peterson *et al.*, 2013). For example, a group of commonly used connectivity indices are based on centrality (Jordan *et al.*, 2007), a structural characteristic of network elements (e.g., habitat patches or reaches) that puts specific emphasis on positional importance and network structure in determining the system's connectivity (Urban *et al.*, 2009; Erős *et al.*, 2011). However, these indices often fail to give emphasis to the role of habitat characteristics and the life history needs of migratory species. Therefore, not all indices of connectivity are equally suitable for application to migratory fish species such as anadromous salmonids. Indeed, connectivity indices have been developed to address this issue by including additional information on, for example, passability of barriers, (upstream) migration ability, patch size, habitat quality, population structure, and life history traits (Schick and Lindley, 2007; Cote *et al.*, 2009; Branco *et al.*, 2012; McKay *et al.*, 2013). This has led to successful application of graph theory and connectivity indices in understanding channel networks and guiding the restoration and management of riverine systems (e.g., Segurado *et al.*, 2013; Branco *et al.*, 2014; Mahlum *et al.*, 2014).

In the absence of detailed biological information or appropriate models of habitat quality, a common approach is to assume that all habitat is of equal quality and assess habitat loss or impacts to connectivity using simple metrics such as wetted area, river length, or volume of river reaches (e.g., Cote *et al.*, 2009; Grill *et al.*, 2014). A major drawback with such measures is a lack of ecological and hydrogeomorphic detail, potentially leading to over- or under-estimation of the impacts of barriers on connectivity depending on the relative quality of available habitat. In practice this means that there is an implicit risk that assessments of impacts on natural channel connectivity focus on areas that may only play a minor role in supporting local communities, and thus limit the quality and relevance of such assessments.

In Scotland, many rivers are regulated for hydropower. River barriers have been created (i.e., dams and diversions) that can change the spatial and temporal connectivity within river networks. Yet, at the same time they sustain substantial populations of Atlantic salmon (*Salmo salar* L.), a species of high economic and conservation value, and other sensitive species like the freshwater pearl mussel (*Margaritifera margaritifera* L.) (Jackson *et al.*, 2007; Birkel *et al.*, 2014; Quinlan *et al.*, 2015). The impacts of river regulation for hydropower on the availability and quality of salmon habitat are complex and not fully understood. Atlantic salmon have a range of habitat requirements, depending on life-stage and the unique characteristics of specific river systems (e.g., Tetzlaff *et al.*, 2008; Malcolm *et al.*, 2012; Milner *et al.*, 2012; Nislow and Armstrong, 2012). Therefore, the use of very simple rules or metrics to assess the impacts of anthropogenic activity is unlikely to be adequate for the management of salmon populations (Malcolm *et al.*, 2012; Milner *et al.*, 2012). Improved understanding of the impacts of barriers on Atlantic salmon habitat, set within an interdisciplinary framework that addresses hydrogeomorphic and ecological

factors, is vital to advance our knowledge on processes that influence the hydrological cycle and ultimately determine the functioning of lotic environments.

Our work aims to integrate hydrogeomorphic and ecological aspects into a spatially explicit connectivity framework that can be applied at multiple spatial scales in river networks. Such an approach can more reliably highlight areas that are important to maintain in-stream processes that provide good quality habitat supporting the conservation of salmon, and contribute to their sustainable management of in an era of marked environmental change (Goode *et al.*, 2013). The value of such an approach is illustrated using the case study of the River Lyon, an intensively studied tributary of the River Tay in Scotland with a substantial hydropower influence where previous studies provide valuable background data (Mulet, 2004; Jackson *et al.*, 2007; Geris *et al.*, 2015). The objectives of this study are: 1) To assess the impacts of river regulation on longitudinal connectivity, i.e., the likely ability of Atlantic salmon individuals to pass barriers located along the longitudinal profile of a river network (Cote *et al.*, 2009; Mahlum *et al.*, 2014), where we apply a weighting for habitat quality using two approaches based on (a) information on reach type morphology (*sensu* Montgomery and Buffington, 1997), and (b) predicted salmon fry density from the Scottish national fry density model (Millar *et al.*, 2015); 2) To determine the importance of such weighting approaches, we compare results with the more commonly used wetted area weighting to assess how the different approaches can (mis)-inform assessments of regulation impacts; and 3) Estimate the likely loss of production brought about by different impoundments.

Methods

Study site

The River Lyon is a major tributary of the River Tay, located in the Central Highlands of Scotland (Figure 1). The Tay is Scotland's largest river catchment and an important river system for salmon fishing. The 49 km long River Lyon drains an area of 391 km², with elevations ranging between 97 and 1211 m AMSL. Owing to its glacial history, the catchment has steep hillslopes and tributary streams, with a wide gently sloping valley bottom. Hydropower infrastructure was developed in the 1950s, since then the river has been heavily regulated for hydropower (Geris *et al.*, 2015; Soulsby *et al.*, 2015), and so, in addition to natural semi-passable barriers (i.e., waterfalls that are passable under certain conditions), there are a number of manmade barriers to fish migration (i.e., dams; Figure 1A). Passability of barriers is based on the Scottish Environmental Protection Agency (SEPA) dataset on barriers to fish migration (Table 1). The dams span the entire width of the river and their passability depends on the presence or absence of fish passes. There are two large dams on the main stem of the river. The upstream Lubreoch dam is a large buttress type dam without a fish pass that prevents any access to the upper catchment (Figure 1A). The second barrier (Stronuich reservoir dam) receives water released from Lubreoch and transfers it to a neighbouring catchment. A fish pass is present and it is assumed that this barrier is fully passable. It is likely that passability for salmonids will be less than 100% in either an up- or downstream migration direction (e.g., Bunt *et al.*, 2012; Noonan *et al.*, 2012). Thus, our assumption of 100% passability may lead to an overestimation of connectivity. However, given the large uncertainty around the passability of barriers (Bunt *et al.*, 2012), which has not been quantified for the Stronuich dam fish pass, the choice is considered reasonable. There are also two smaller dams without fish passes, located on tributary streams that enter the Lyon downstream of Lubreoch and Stronuich dams, and are assumed to be impassable barriers (Figure 1A). Finally, there are two waterfalls on the main stem of the river downstream of Stronuich dam. Based on flow regime, characteristics of the feature, and the ability of salmon to pass objects, we assume that these are passable 80% of the time (i.e. in

all but the lowest flows). It is assumed that all barriers are fully passable in the downstream direction. Further details of the Tay and Lyon catchment areas and regulation schemes are reported by Birkel *et al.* (2014); Geris *et al.* (2015); and Soulsby *et al.* (2015).

Connectivity index

To assess the impact of regulation on connectivity in the Lyon channel network, we used the Dendritic Connectivity Index for anadromous fish species (DCI_d) (Cote *et al.*, 2009; Mahlum *et al.*, 2014). The index gives a global measure of a systems connectivity and it can inform habitat management by indicating which sections of the river network are important to maintain high levels of connectivity. It allows a weighting for habitat quality and assignment of different passability values to barriers, the impact of which is then accounted for cumulatively. The DCI_d and $DCI_{\text{sectional}}$ indices include both upstream and downstream migration. For downstream passability we have assumed a value of 1, i.e., in the downstream direction barriers are always passable, making it effectively identical to the habitat connectivity index for upstream passage developed by McKay *et al.* (2013). Connectivity is addressed for the anadromous life cycle as a whole by including upstream and downstream migration and thus allows us to also look at impacts of regulation on out migrating parr and smolts, and the importance of individual sections in determining the system's connectivity ($DCI_{\text{sectional}}$) (Mahlum *et al.*, 2014). To determine the $DCI_{\text{sectional}}$, each section in the network is considered to be the start of the network and subsequently the connectivity with the rest of the network is calculated. See Supplementary material for a detailed description of these indices.

GIS analysis for connectivity

Readily available GIS datasets were collated for the analysis (Table 1). After performing basic pre-processing of the raw 5 m digital terrain model (DTM) with the Hydrology toolbox from ESRI ArcGIS version 10.2.1, the DTM was used to derive the river network. Reaches that were located above natural impassable barriers were excluded from the river network, where the impassability classification is based on the SEPA barriers dataset. Attributes were assigned to each river reach in the river network; the latter consists of 50 m reaches with additional nodes at confluences. First, we used GIS data to determine Wetted Area (WA) from width data, available in the Ordnance Survey Master Map River Polygons dataset for South Lanarkshire and Perth-Kinross, at 5 m intervals averaged for 50 m reaches. Subsequently, average widths were multiplied with reach lengths to determine the reach area. Lochs were added to reaches separately, based on the OS Mastermap dataset (Figure 2A). Second, empirical reach classification data (Montgomery and Buffington, 1997) was assigned to reaches downstream of the Lubreoch dam by Mulet (2004). It is recognised that there are limitations to the use of the classification based on surface flow types, developed by Montgomery and Buffington (1997). Primarily, the classification potentially suffers from user bias and the spatial extent of reach types can be difficult to delineate (Woodget *et al.*, 2016), thus introducing a potential source of uncertainty. Quality multipliers were then applied to the reach types (see Table 2) to reflect their value as Spawning Habitat (SH) following hydraulically-based habitat utilisation data for adult salmon spawning in similar Scottish rivers (Moir *et al.*, 2004). The available data on spawning habitat preference of salmon in Scottish rivers is limited. Moir *et al.* (2004) have mapped reach types in two Scottish salmon rivers and the percentage usage of reach types for spawning within the two rivers, the classification results show that not all the same reach types are shared between the two rivers in Moir *et al.* (2004) and the river Lyon. Therefore, we have attributed relative scores for quality of reach types as spawning habitat using the data in Moir *et al.* (2004) in combination with available knowledge on spawning habitat for Atlantic salmon (e.g., Gibson, 1993; Armstrong *et al.*, 2003) as a guideline to get reasonable estimates of spawning habitat quality.

It should be noted that Atlantic salmon in Scotland do not spawn in lochs (Gibson, 1993) and therefore the quality multiplier has a value of 0.01, i.e., only 1% of loch area was included to represent the low importance of lochs in terms of providing habitat (Figure 2B and Figure 3). Third, the Scottish national salmon fry density model developed by Millar *et al.* (2015) was used to predict salmon fry densities for points spaced at 100 m intervals along the river network. Density predictions were assigned to the 50 m reaches based on the nearest prediction point. Full details of the model are provided by Millar *et al.* (2015). However, in brief, the model predicts salmon fry densities from a large (1800 sites) electrofishing dataset covering the whole of Scotland using a suite of spatial, temporal and GIS derived habitat covariates. Model output was successfully fitted to available electrofishing data and GIS covariates used in the model are robust between sites increasing the utility of the model over locally derived production models (Millar *et al.*, 2015). For the purposes of this study, the model was used to predict fry densities for a particular day of the year (Day 250), and for the year with the highest observed national fry production (2003). As such the output can be interpreted as an estimate of fry production (FP) in a good year having accounted for habitat. By summing density estimates over the river network it was possible to obtain estimates of fry production in the presence or absence of barriers with associated uncertainty (Figure 1C and 2C).

To simplify the river network and expedite the analysis of the connectivity indices, reaches were summarised based on the location of barriers, resulting in a total of 9 river sections (Figure 1B).

Weighting

To determine the relative ecological importance of reaches, we applied weights based on the stream attributes described above. The different weightings inherently induce different assumptions about the value of the reaches. Weighting for simple WA puts emphasis on larger wetted areas and assumes that all wetted habitat is of equal ecological value, i.e., losing them will have a large impact on connectivity; weighting for SH puts emphasis on response reach types, i.e., reaches where the sediment transport capacity is smaller than sediment supply leading to pool-riffle and wandering reach types, as opposed to transport reach types where sediment transport capacity exceeds sediment supply forming step-pool and cascade reach types (Montgomery and Buffington, 1997). Thus, losing them will have a larger impact on connectivity; weighting for FP puts emphasis on areas that are predicted to have higher juvenile densities, thus reflecting both wetted area and habitat quality, and has the strongest direct link to guiding management, where losing areas with higher predicted densities has a higher impact on connectivity.

Connectivity simulations

We used the Fish Passage Extension (FIPEX version 2.2.1) for ArcGIS (version 10.2.1) to compute the DCI_d and $DCI_{\text{sectional}}$. Barriers to fish migration were added in a downstream sequence from the top of the catchment to the mouth. This approach enabled us to investigate the cumulative impact of each of the individual barriers on the overall connectivity, regardless of their origin (i.e., manmade or natural). Because any assessment of connectivity losses should be compared with the natural state that includes natural barriers, the impact of artificial barriers was assessed as a proportion of the natural connectivity state. $DCI_{\text{sectional}}$ was only calculated where all barriers were present in the system.

Assessing losses in fry production associated with barriers

The construction of the Lubreoch and Stronuich dams led to changes in the topography of the river network by increasing the size of Loch Lubreoch and the creation of the Stronuich reservoir (Figure 1A vs 1D). Therefore, to determine the potential loss of fry production between historic and contemporary river states, it was first necessary to construct pre-impoundment topographic maps for the river system. This was achieved using an Ordnance Survey map that pre-dated construction of the dams in Glen Lyon (Figure 1D; Table 1). Additional GIS covariates for the density model were collected for those sections that were riverine (rather than lacustrine) in the historic state. Next, the same approach to predict densities for the historic river network was used as described for the contemporary river network (see section: *GIS analysis for connectivity*). For the purposes of this paper it was assumed that production and wetted areas below the dams have not been affected by impoundment. It is recognised that this is an over-simplification and that post-construction production has probably been reduced even in remaining river reaches, but this provides a reasonable estimate of the minimum impact of dam construction.

To assess uncertainty in the difference between the pre- and post- impoundment production estimates a parametric bootstrap was performed. In short, for both scenarios, 1000 realisations of the model coefficients were created for each scenario assuming multivariate normality and these were used to predict production. Confidence intervals were calculated using the 5th and 95th percentile of model realisations. The change in production was calculated as a simple ratio of the production estimates.

Results

Impacts of different barriers and weighting on connectivity indices

When both natural and anthropogenic barriers were considered purely as constraints on fish migration, the DCI_d index for the Lyon system was 18.2%, 73.9%, and 74.7% for WA, SH, and FP weighting, respectively (Table 3).

Between the weighting approaches there are major differences in cumulative impact of the individual barriers on longitudinal connectivity. The WA weighted connectivity index indicated a severe effect of Lubreoch dam (i.e., 76.5% drop) and had minimal effects potentially associated with natural barriers on connectivity, i.e., a maximum drop of 3.4%, for the waterfall furthest downstream (Table 3). In contrast, the weighting for SH and FP suggested that the impact of the Lubreoch dam is almost an order of magnitude smaller and the drops in cumulative connectivity are 10.3 and 5%, respectively. Additionally, larger impacts were potentially associated with natural barriers on the main stem, i.e., drops of 12-14.2%, for SH and FP weighting, respectively (Table 3). Compared to WA weighting, these drops are at least 3.5 times greater. In all weighting scenarios, the drops in cumulative connectivity caused by the small dams on tributary streams are small. The reason for this is, that they constitute small wetted areas but at the same time contain little suitable spawning habitat and not predicted to be very productive. This shows that depending on the type of weighting, the impact of barriers depends strongly on its characteristics and location. Additionally, the SH and FP weightings suggest that losing less but more suitable/productive areas can have a larger impact than losing larger areas of unsuitable/unproductive habitat (Table 3).

Put into perspective of natural connectivity, where only artificial barriers to fish migration are considered, the overall connectivity as a percentage of natural connectivity was 27%, 91.6%, and 95.2% for WA, SH, and FP weighting, respectively (Table 4). It is clear that for all

weightings Lubreoch dam causes the main decrease, although the scale of impact differs greatly between WA weighting and weighting for SH and FP (i.e., 73% drop for WA, compared to 8.4% and 4.8% for SH and FP, respectively).

When the role of different river sections in 'providing connectivity' is considered, the WA weighting suggests that the area upstream of Lubreoch dam is very important for connectivity (Figure 4). Again, in stark contrast, the connectivity indices weighted for SH and FP suggested that the downstream parts of the network were more important for sustaining high levels of connectivity (Figure 4). The two barriers that were placed on steep and small tributary streams played a minor role in determining within-network connectivity. They constituted small wetted areas, little of which was suitable for spawning or fry production.

Loss of production due to construction of barriers

It is estimated that the introduction of anthropogenic barriers to the Glen Lyon system would have resulted in a 21% (95% CI 16-26.5%) reduction in fry production relative to the natural state. Based on the output from the parametric bootstrapping, none of the simulations predicted a higher production in the current system.

Discussion

Impacts of barriers on longitudinal connectivity

The impacts of anthropogenic barriers on the DCI_d index in the river Lyon was relatively small for the SH and FP weighted scenarios. The results are, naturally, strongly influenced by our assumptions on the passability values, which have not been quantified for the Lyon. Consequently, in the absence of a thorough empirical assessment of passabilities, a difficult and onerous undertaking, we have to rely on knowledge of the local system in terms of flow regime and general investigations into efficiency of similar fish bypass systems.

The validity of the assumption that barriers are always passable in a downstream direction is hard to ascertain, but may to some extent be realistic in the Lyon system where the lochs are relatively small, and juvenile fish can pass via surface spillways and through regulating outlets. However, there are some factors that can effectively reduce passability of barriers and potentially lead to a substantial increase in fish mortality. Firstly, turbine passage itself can result in significant mortality. A number of studies have assessed the mortality rates of salmonids passing through turbines and culverts (Mathur *et al.*, 1996; Čada, 2001; Budy *et al.*, 2002; Ferguson *et al.*, 2006; Scruton *et al.*, 2008; Calles and Greenberg, 2009; Stephenson *et al.*, 2010; Deng *et al.*, 2011; Keefer *et al.*, 2013). Depending on the particular dam type, fish species, and unique characteristics of the river, the mortality rates vary between 6-69% (Mathur *et al.*, 1996; Keefer *et al.*, 2013). Even where fish are able to pass a barrier, survival can be affected subsequently through sub-lethal effects (Budy *et al.*, 2002; Ferguson *et al.*, 2006; Stich *et al.*, 2015). Secondly, in recent years, there have been increasing concerns about the potentially low attraction rate of some fish bypasses for both up- and downstream migration and the delay to migration this can cause. Although, small weirs and barriers are not present in the river Lyon, they have been shown to also have a marked influence on the speed of downstream migration, especially under low-flow conditions and even in relatively natural river systems (Gauld *et al.*, 2013). Such delays have indirect effects on mortality through increased predation risk by e.g., piscivorous birds like sawbill ducks (*Merganser* spp.), resident Brown trout (*Salmo trutta* L.), and Northern pike (*Esox lucius* L.) (not present in river Lyon) for smolts, predation by e.g., otters (*Lutra lutra* L.) for adults, and exhaustion (Calles and Greenberg, 2009; Gustafsson, 2010). Furthermore, delays in migration can have serious consequences for smolts migrating out to sea. Smolts

have a window of opportunity to migrate to sea when they are physiologically ready to enter the saline environments of the estuary and the sea (McCormick *et al.*, 1998). Delays can lead to desmoltification (Thorstad *et al.*, 2012), which increases the risk of mortality, but may also lead to a mismatch in their timing of sea entry which potentially reduces their chances of survival and returning as adults for spawning (Scheuerell *et al.*, 2009). These are important issues, as the effects of direct and indirect mortality, and delays are not currently included in our approach, or in connectivity metrics in general, whereas the implications could be severe. Taken together this suggests that in reality our DCI_d and $DCI_{\text{sectional}}$ values are likely to be overestimated.

When considering upstream migration, the assumptions made for impassable barriers are more reasonable, as without some form of bypass system migration is not possible. In the case of the two natural barriers and the fish bypass at Stronach dam, our assumptions can substantially affect the results as assuming lower passability values would decrease the connectivity values and *vice versa*. With the available knowledge on the effect of flow regime on temporal changes in passability (Shaw *et al.*, 2016), and a general lack of reliable information on fish bypass efficiency (Bunt *et al.*, 2012; Noonan *et al.*, 2012), the values we used are thought to be reasonable but might be overestimating the true passability values of anthropogenic barriers and under estimating passability of natural barriers.

Despite the increasing use of connectivity metrics for assessing the potential impacts of barriers, the approach has limitations in its application to large-scale systems. For example, there are concerns about the diversion from the natural state because regulation for hydropower can have additional effects on temperature regime (Imholt *et al.*, 2013), in-stream hydraulic conditions, food availability and hydrochemistry (Jackson *et al.*, 2007). Yet, the impacts of regulation do not propagate well across scales, effects seen at local scales can be balanced out at larger scales (Birkel *et al.*, 2014; Geris *et al.*, 2015; Soulsby *et al.*, 2015). This has further implications for the use of generic measures to infer habitat quality over large scales, as they may not capture the potentially large impacts at local scales.

It remains to be seen whether the strongly contrasting assessment of barrier effects using connectivity metrics with different weightings would also occur in other river catchments. The impact of barriers in the river Lyon should be considered in the context of the highly linear morphology of the river network. The absence of suitable habitat in the steep tributary streams means that any barriers on tributaries have almost no effect and barriers on the main stem affect a relatively small proportion of the total river network (i.e., only the main stem sections). In a river system that has a more branched network structure where tributary streams make up a relatively larger proportion of the total river network, are less steep and easily accessible to migrating salmon, the impact of barriers could be substantially bigger.

Comparison of weighted connectivity indices and wetted area approach

Our work has shown that using wetted area as an indicator for ecological suitability of habitat, without the inclusion of hydrogeomorphically and ecologically relevant information, has the risk to mis-inform assessments of regulation impacts on hydrological processes governing habitat availability and suitability. For example, had a wetted area weighting been considered, the results suggest the biggest gain per unit area would be to improve fish passage beyond Lubreoch dam, due the large wetted area of Loch Lyon compared to the rest of river's wetted area. In contrast, our modelling work suggests that the impacts on hydrologic connectivity for the Lubreoch hydropower dam is relatively minor, because it is not productive and contains very little suitable area for spawning habitat, rather most

productive habitat in the catchment has been maintained under current conditions. The question then becomes, what is the appropriate scale to try and characterise habitat quality? For example, at relatively small scales, there are studies where high-resolution remotely sensed imagery has been used to map in-stream habitat elements over river lengths ranging between 1 and 5 km (e.g., Marcus *et al.*, 2003; Tamminga *et al.*, 2015), this could provide insights into which reaches in a river network are likely to provide the most suitable habitat per unit area assuming that there are strong links between hydromorphological classification and ecological value. However, when assessing connectivity with the aim of understanding changes in hydrologic processes that ultimately govern habitat availability in larger systems (entire river systems and areas larger than 1000 km²) with limited detailed local knowledge, a trade-off may have to be made between small-scale detailed knowledge and large-scale trends where it is necessary to use proxies for habitat that can be used to model habitat quality.

Two alternative types of weighting were applied in this study. These suggest that even coarse scale information can provide insight into regulation impacts in a way that is more likely to be effective than using an approach like wetted area weighting or assessments using river length. Although the resolution at which we collected our geomorphic data (50 m reaches) was relatively coarse and such that some small-scale details may be missed, it is unfeasible in terms of time and costs (a common limitation) to obtain in-stream habitat assessments for the entire river, unless significant advances are made in the use and costs of remote sensing techniques that can characterise depth and substrate sizes at large scales using e.g., green LiDAR or structure-from-motion photogrammetry based techniques (Woodget *et al.*, 2016). The fry density model provides us with a useful tool to make predictions at an intermediate resolution across large spatial scales, i.e., for the whole of Scotland (Millar *et al.*, 2015). In the absence of large datasets required to develop models like the fry density model, similar, albeit less detailed, models may be developed that can give insight into the importance of river sections in providing suitable habitat. For example, using presence/absence data available for part of a river network and GIS covariates, some studies have shown the power of statistical modelling techniques to model the likelihood of species to be present/absent over large spatial scales (De'ath and Fabricius, 2000; Leathwick *et al.*, 2008; Branco *et al.*, 2014).

Loss of production due to construction of barriers

We recognise that, although relative production values between the two scenarios are robust, the uncertainties in the absolute predictions from the fry density model are large. These result from the patchy nature of fry abundance (depending on whether fish spawned nearby in the previous years), error propagation related to uncertainties around the fry density model, and the GIS covariates, see Millar *et al.* (2015). Therefore, the predictions need to be interpreted as being indicative rather than absolute. Another assumption is that production and wetted area below dams have not been affected by impoundment. In reality, the areas below dams could be producing lower or higher numbers of fish due to changes in discharge regime or that changes in discharge have reduced or increased the channel area compared with the historical situation. The consequence of the latter is that the multiplier for channel width is under- or overestimated and would thus lead to an under- or overestimation of the actual reach area and therefore of production. In the case of the river Lyon, a reduction in production of approximately 20% could be considered a modest, though still significant loss. Although this is due to a loss of habitat for a protected and economically important species, habitat losses need to be contextualised in the light of other societal goals such as clean energy and reduced C emissions (Lazzaro and Botter, 2015). In other river networks, with

different characteristics or where impassable barriers are placed lower in the system, the potential losses could be much greater.

The results in our study depended strongly on our focal species; the impact of barriers is not negative by default. On the one hand some native species, like Brown trout (*Salmo trutta* L.), may benefit from the construction of barriers and the consequent increase in reservoir sizes. On the other hand, Northern pike, which is non-native to much of Scotland, also benefits from increased reservoir sizes, but could lead to issues with higher mortality rates of migrating salmon smolts due to predation. Moreover, in some areas barriers are being constructed on purpose to prevent invasive species from entering a sensitive system, thus barriers might also serve to protect species from being outcompeted (Buktenica *et al.*, 2013).

The effects of river regulation through the construction of barriers should be viewed in the context of the effects of natural barriers that are already present. Manmade barriers can reduce or increase the effect of natural barriers (e.g., by increasing, decreasing flow). Thus, different types of regulation may have a different impact on connectivity. For example inter-basin transfers of water have effectively increased exchange between otherwise disconnected systems in continental Europe (Tockner *et al.*, 2011). This has led to an increase in connectivity, although the effects can be adverse as the risk of disease spread and invasive species increases dramatically (Poff *et al.*, 2007).

Conclusion

We have assessed the impacts of river regulation on longitudinal connectivity using connectivity indices. Often, wetted area is used to infer the amount of lost habitat and the consequences this might have for in-stream processes. We used two different types of weighting and compared these to the wetted area approach. Our results indicate that using wetted area could greatly misinform assessments of such impacts. Instead, we suggest that the inclusion of more relevant hydrogeomorphic and ecological details can improve our ability to identify those areas in the river network that are able to maintain high levels of connectivity. Focussing on those areas could increase the ability regulated system to provide suitable in-stream conditions important for ecosystem functioning. Moreover, our results showed that losing less but more suitable and productive areas can have a larger impact on connectivity than losing more but less suitable and productive areas. This is important in terms of setting flow and process related targets for the regulation of rivers and floodplains globally. Changes to current guidelines for specific systems should be made with appropriate caution as it is necessary to first investigate the effect of scale and, in the case of nested catchments, the inclusion of other regulated rivers within the catchment to ascertain the robustness of the approach. Moreover, any management and conservation decision needs to be based on a solid understanding of what the ecological targets are. This study has looked at a fundamental element (i.e., longitudinal habitat connectivity) that makes up the habitat template, but needs to be part of a holistic approach in which the spatial and temporal aspects of, for example, hydraulic conditions, temperature, community dynamics, and sediment budgets are considered.

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Table 1: Types of data used in the analysis, description of data, and source.

Data	Description	Source
DTM	Raster data, 5m resolution	Edina Digimap, Ordnance Survey. URL: http://digimap.edina.ac.uk
Ordnance Survey Mastermap (OS Mastermap)	Topography	Edina Digimap, Ordnance Survey. URL: http://digimap.edina.ac.uk
Ordnance Survey Mastermap for South Lanarkshire and Perth-Kinross (OSMM_SLA_PerK)	River polyline data	Edina Digimap, Ordnance Survey. URL: http://digimap.edina.ac.uk
Barriers to fish migration	Point data	James Hutton Institute, Scotland
Reach Classification Glen Lyon	River polyline data/50m reaches	SEPA, Marine Scotland Science (Mulet, 2004)
Historic Ordnance Survey Mastermap	1-inch OS map for Killin & Loch Rannoch area; period 1921-1930	National Library of Scotland. URL: http://maps.nls.uk/geo/explore

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Table 2: Quality multiplier values for the different reach types present in the River Lyon. Values range between 0 and 1, with higher quality habitat having values closer to 1 and *vice versa*.

Reach type	Quality multiplier	Reach type	Quality multiplier
Bedrock (B)	0	Bedrock/Cascade (B/C)	0
Bedrock/Pool-riffle	0.1	Cascade (C)	0
Loch	0.01	Plane-Bed (PB)	0.25
Plane-Bed/Pool-Riffle (PB/PR)	0.5	Pool-Riffle (PR)	0.6
Pool-Riffle/Wandering (PR/W)	0.5	Step-Pool (SP)	0.15

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Table 3: Simulation results for the DCI_d connectivity index. Impact of barriers considered regardless of origin, purely as barrier to fish migration. Passability values range between 0 and 1, where 0 represents and impassable barrier and 1 a fully passable barrier. Values for DCI_d for the three weighting approaches are given as cumulative percentage of connectivity after barriers are added from top to bottom; a value of 100% would represent a scenario where the river network is fully connected.

Barrier	Passability	$DCI_d(\%)$		
		Wetted Area	Spawning Habitat	Fry Production
Lubreoch	0	23.5	89.7	95.0
Small dam on tributary (hi)	0	23.4	89.4	94.3
Stronuich	1	23.4	89.4	94.3
Waterfall on mainstem(hi)	0.8	21.6	86.0	89.1
Small dam on tributary (lo)	0	21.6	85.9	88.9
Waterfall on mainstem (lo)	0.8	18.2	73.9	74.7

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Table 4: Simulation results for the DCI_d connectivity index. Impact of artificial barriers considered as percentage of natural connectivity. Values for DCI_d for the three weighting approaches are given as cumulative percentage of natural connectivity after barriers are added from top to bottom; a value of 100% would represent a scenario where the artificial barrier do not lead to a further decrease of natural connectivity.

Barrier	DCI_d (% of natural connectivity)		
	Wetted Area	Spawning Habitat	Fry Production
Lubreoch	27.2	91.8	95.9
Small dam on tributary (hi)	27.1	91.6	95.4
Stronuich	27.1	91.6	95.4
Small dam on tributary (lo)	27.0	91.6	95.2

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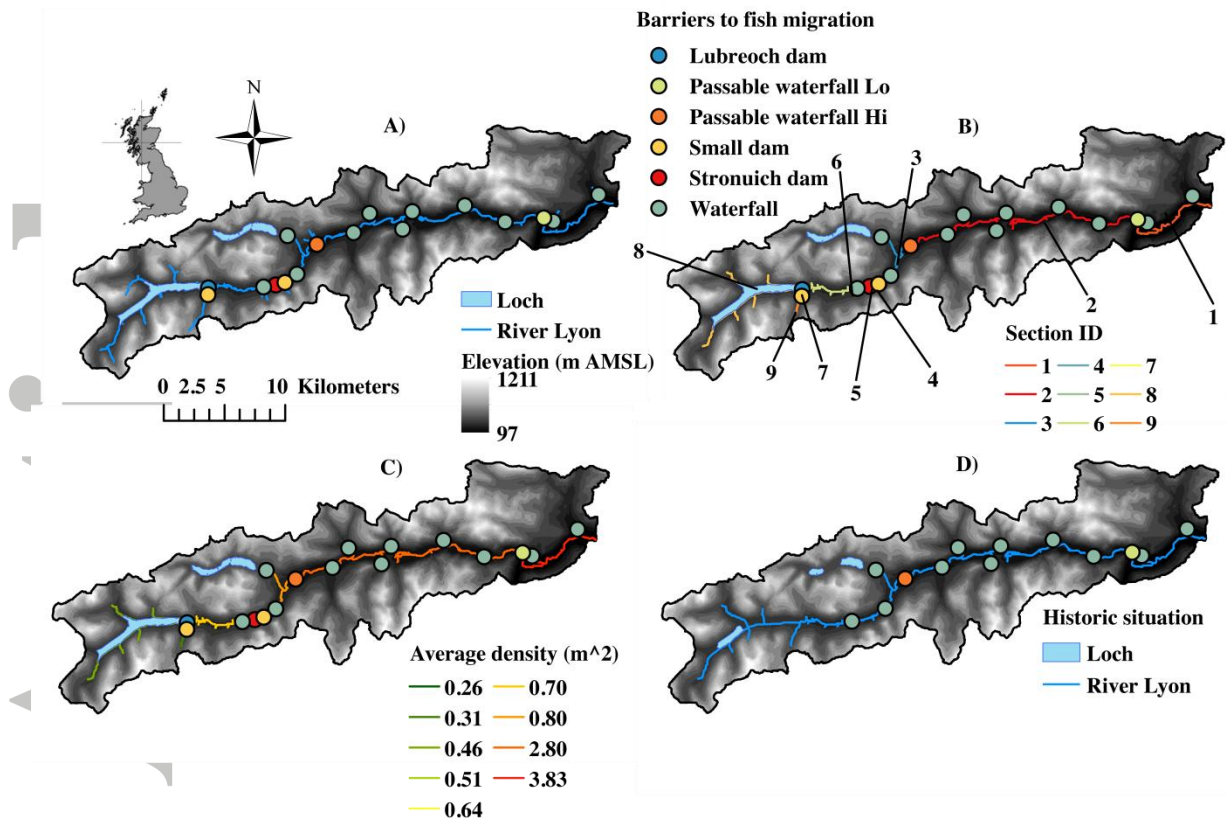


Figure 1: A) topography; B) 9 sections of the simplified river network; C) mean density per metre square for each section, based on Millar et al., 2015; D) historic map showing river network pre-regulation, note dams not present and lochs smaller in size, loch at Stronuich not present (based on OS map, period prior to 1930, from National library of Scotland).

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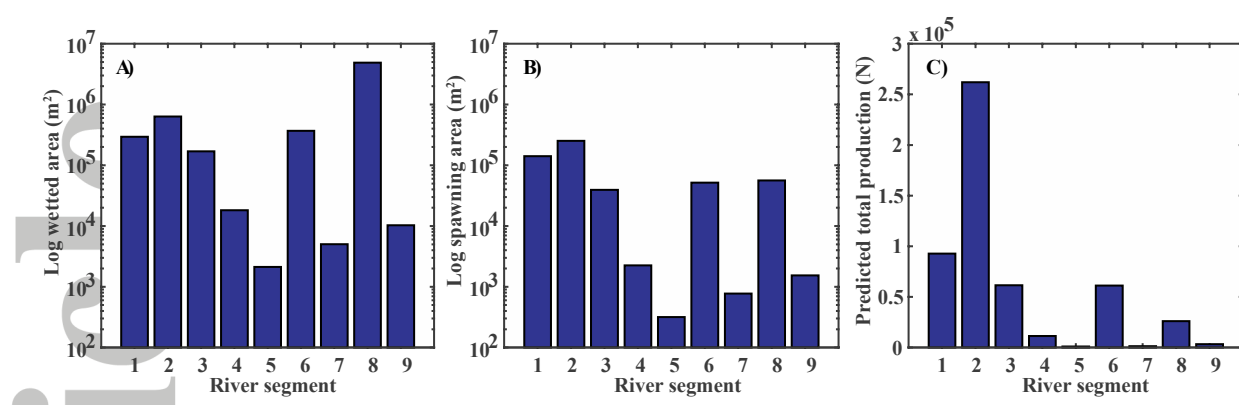


Figure 2: A) indicates the Log of wetted area for each reach (as indicated in Figure 1); B) indicates the Log of the area weighted by suitability for spawning; C) indicates the predicted total juvenile production, based on the national fry density model.

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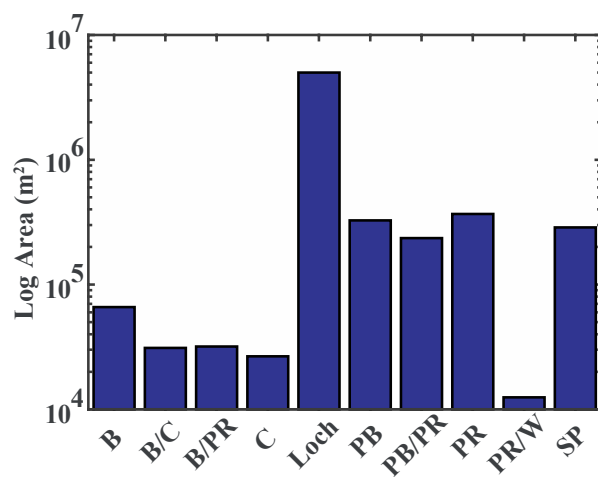


Figure 3: Log of the area in square metres for the classified reach types, as they are present in the River Lyon (see Table 2 for abbreviations).

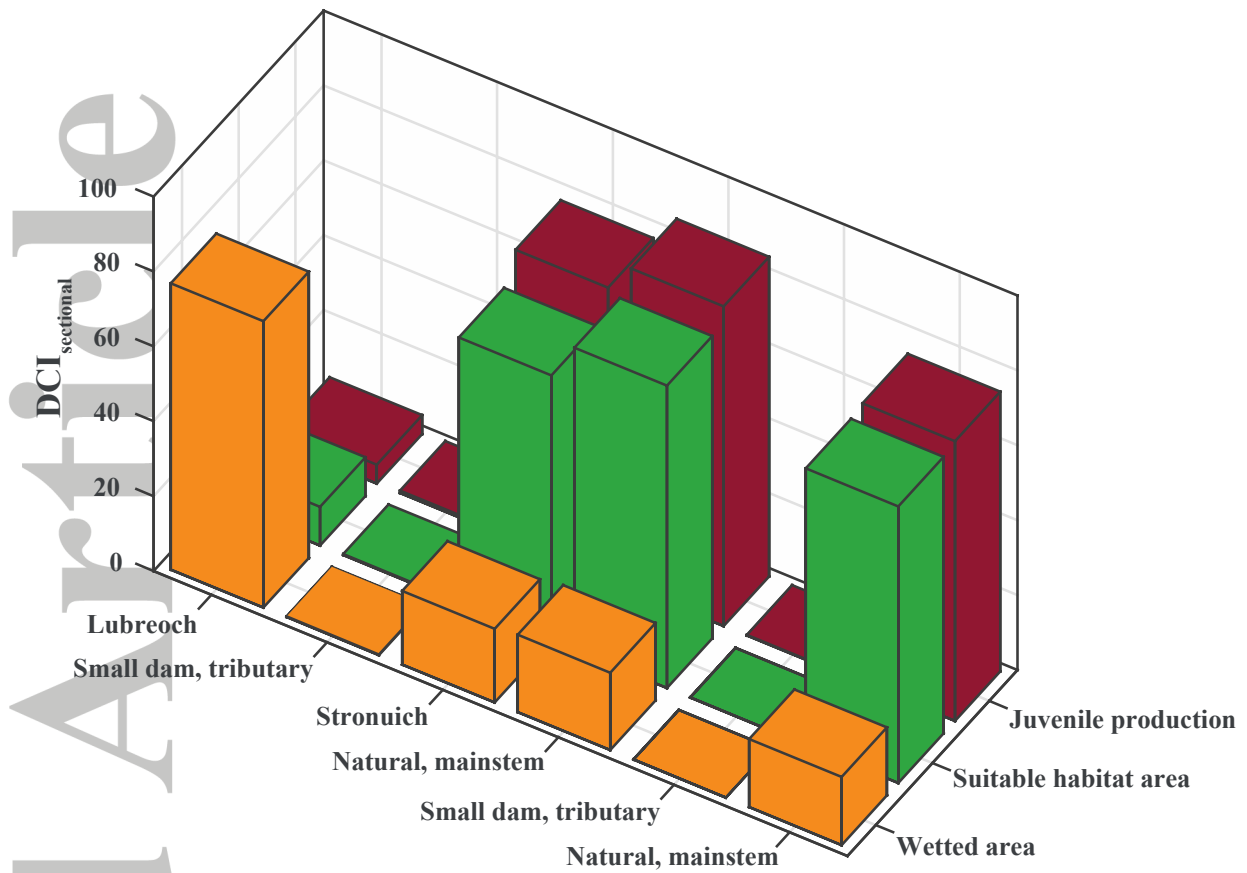


Figure 4: Connectivity for sections in the system between the different barriers (i.e., ability to travel from one section to the rest of the network, passing a barrier). Sectional connectivity indicates connectivity for each section to the rest of the river network, i.e., it does not reflect the connectivity of the whole system like DCI_d (see Table 3).