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Title

Who participates in conservation incentive programs? Absentee and group landholders are in the mix.

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1 **Abstract**

2 Voluntary incentive programs are widely used to generate conservation actions on private
3 land. Although there is a growing body of research about factors that influence landholder
4 participation in incentive programs, studies generally conceptualise landholders in
5 agricultural landscapes as owner-occupier, farming individuals or families. Few studies have
6 considered participation by absentee landholders and fewer still have recognised group
7 landholders (e.g. non-government organisations or community groups) as potential incentive
8 program participants. We examined participation in a conservation stewardship tender
9 (reverse auction) in South Australia to identify the diversity within participants, and
10 particularly to evaluate the extent of participation by absentee landholders and groups. A
11 diverse set of landholders participated, where nearly a quarter of participants were absentee
12 landholders, and a small component were groups. Although small in number, groups were
13 shown to be important because they were likely to offer larger land areas in the stewardship
14 tender. With very little known about how absentee and group landholders may differ from
15 their counterparts, further research is recommended to inform incentive program design. We
16 recommend that incentive programs consider landholder diversity in order to achieve
17 effective conservation in agricultural landscapes.

18

19 **Keywords:** stewardship; covenant; auction; native vegetation; Bayesian

20 Model Averaging

21 1. Introduction

22 At the global scale, publicly governed protected areas are not sufficient to meet
23 environmental targets on their own (UNEP-WCMC and IUCN, 2016), leaving a significant
24 contribution required from private landholders (Figgis, 2004; Knight, 1999; Norton, 2000).
25 Consequently, private landholders have an important role to play in biodiversity conservation
26 and the sustainable provision of other ecosystem services. The public good quality of
27 biodiversity conservation and the implementation and opportunity costs of changing
28 management mean that there are often cost barriers to optimal production of conservation
29 benefits on private land (Kinzig et al., 2011). Offering payments to private landholders for
30 environmental services through voluntary incentive programs is one approach widely
31 employed to generate conservation action on private land (Doremus, 2003; Kamal et al.,
32 2015). However the drivers of participation can be complex and in many cases remain
33 insufficiently known (Lastra-Bravo et al., 2015; Sorice and Donlan, 2015).

34 When participation in incentive programs is voluntary, the environmental outcomes of
35 the program rely on appropriate levels of participation (Mettepenningen et al., 2013; Rolfe et
36 al., 2017; Selinske et al., 2015; Zanella et al., 2014). Positive environmental outcomes are
37 dependent on sufficient participation from landholders responsible for the assets of interest.
38 However, high participation is not always desirable. In programs with a finite budget where
39 participants compete for funds, interest in participation may extend far beyond the available
40 budget, resulting in avoidable transaction costs and inefficiencies for the program and
41 participants (Whitten et al., 2013). Knowledge of the target audience, and the factors that
42 influence their participation, is therefore required to inform the design of effective incentive
43 programs (Mettepenningen et al., 2013; Morrison et al., 2012; Rolfe et al., 2017; Whitten et
44 al., 2013).

45 While the level of incentives offered is a key factor, there are many other factors that
46 influence participation in incentive programs. These include characteristics of the potential
47 participants themselves, their landholdings, their attitudes and behaviour and the social
48 context (Lastra-Bravo et al., 2015; Morrison et al., 2012). Research in this area commonly
49 examines factors such as participant age, education level and experience (e.g. Comerford,
50 2014; Pavlis et al., 2016) and dependence on the land or associated resources (e.g. Lindhjem
51 and Mitani, 2012; Petrzelka et al., 2012). Social factors such as trust, connectedness and
52 access to information (e.g. Moon, 2013; Morrison et al., 2012; Zanella et al., 2014) and
53 attitudes and behaviour including personal satisfaction from participation, agreement with the
54 incentive program goals, business orientation and information seeking behaviour (e.g.
55 Comerford, 2014; Morrison et al., 2012; Pavlis et al., 2016; Reimer and Prokopy, 2014) are
56 also frequently addressed. However, as Burton (2014) highlights, findings about the presence
57 and direction of relationships between these factors and participation can be inconsistent or
58 contradictory because the cause of the relationships often remain poorly understood. Another
59 limitation of this area of research is that studies of environmental behaviour in agricultural
60 landscapes almost always conceptualise landholders as owner-occupier farming individuals
61 or families, in empirical studies and reviews (e.g. Burton, 2014; Defrancesco et al., 2008; Hill
62 et al., 2011; Perkins et al., 2013) and in economic choice experiments (e.g. Boxall et al.,
63 2017; Wichmann et al., 2016). Exceptions to this prevailing view are a small number of
64 studies that have considered absentee landholders (e.g. Lindhjem and Mitani, 2012; Petrzelka
65 and Armstrong, 2015; Petrzelka et al., 2013; Petrzelka et al., 2012; Ulrich-Schad et al., 2016).

66 In many places, rural land ownership is becoming increasingly diverse, with growing
67 numbers of non-primary producer “amenity migrants” (Cooke and Lane, 2015; Gosnell and
68 Abrams, 2011) and absentee landholders (Mendham and Curtis, 2010; Petrzelka et al., 2013).
69 While the influence of land use on participation has been addressed by many studies, only a

70 small number of these have examined participation by absentee landholders. Studies of
71 absentee landholder participation indicate that absentee landholders may be less concerned
72 with financial incentives for land management change (Farmer et al., 2015), or accept lower
73 incentives compared with resident owners (Lindhjem and Mitani, 2012), and that access to
74 information can be a key barrier to participation (Petzelka et al., 2012; Ulrich-Schad et al.,
75 2016). Another contribution to the diversity of participants in conservation on private land is
76 made by group landholders such as community groups, not-for-profit conservation
77 organisations, and corporations (Fitzsimons, 2015; Gosnell and Travis, 2005; Selinske et al.,
78 2015). To our knowledge, information about group landholders as participants in
79 conservation incentive programs has not been directly examined in the literature.

80 This study aims to investigate the diversity in incentive program participants, and in
81 particular, to identify the role of absentee landholders and groups. We took a novel approach
82 to the characterisation of participants in a conservation stewardship program in South
83 Australia where incentives were allocated by tender (a reverse, single-sealed-bid auction).
84 We examined a range of participant characteristics including their involvement in primary
85 production, whether they are resident or absentee and whether they participated as an
86 individual/family or group. Statistical models were used to test the relationships between
87 these factors and the size of the area offered in the tender. Results are discussed in the context
88 of incentive program design to promote conservation on private land.

89 2. Materials and methods

90 To investigate the question of which landholders participate in conservation incentive
91 programs we used the BushBids conservation stewardship program as a case study. This
92 program had 163 unique participants and spanned a large geographic area (more than 30,000
93 km²) in the agricultural regions to the east of Adelaide, South Australia. Average annual
94 rainfall in the program area ranged from approximately 880 mm in the wettest part of the Mt
95 Lofty Ranges to approximately 210 mm in the arid plains to the north of the River Murray
96 (BOM, 2014). Agricultural activities in the program area included broad-acre cropping
97 (cereals, pulses, oilseed), hay and silage production, horticulture, viticulture, livestock
98 grazing, and intensive livestock production (ABS, 2016). The program area's native
99 vegetation was diverse, primarily including eucalypt dominated forests, woodlands, and
100 mallee, as well as grasslands, wetlands, and chenopod shrublands (DEWNR, 2011).

101

102 2.1. BushBids conservation stewardship program

103 The work presented here is based on the BushBids program (Australian Government,
104 2006). The aim of this program was to support private landholders to maintain or restore the
105 ecological function of remnant native vegetation on their property. Briefly, private
106 landholders were invited to tender (bid) for 5 or 10 year contracts to manage and restore
107 native vegetation. Over the period from 2006 to 2013, there were five BushBids projects with
108 a total of eight tender rounds (Table 1). The projects were advertised through a variety of
109 channels: local newspapers and newsletters; local radio and television; agricultural field days;
110 and government and non-government organisation natural resources management networks.
111 Participation was voluntary and landholders were not obliged to bid in the tender, or accept
112 the contract if their bid was successful. After the landholder made an expression of interest,
113 an on-site assessment of the location, size and condition of the native vegetation on their

114 property was made by BushBids, and a native vegetation management plan was prepared for
115 the landholder (O'Connor et al., 2014). Management plans mapped the area of native
116 vegetation offered in the project and outlined management actions designed to maintain or
117 improve the condition or ecological function of the native vegetation. Management of grazing
118 pressure from stock and retaining fallen timber were mandatory, and always included in the
119 management plan, while weed control and feral animal control were usually included and
120 revegetation was occasionally included.

121 At a broad level, management plans were consistent throughout all five BushBids
122 projects, however, the extent to which management actions differed from existing practices
123 depended on participant circumstances. Management of stock grazing pressure under a
124 BushBids management plan required complete stock exclusion from the site in most cases,
125 but a conservative stock grazing regime was allowed in grassy ecosystems where it was used
126 as a management tool to maintain or restore ecological function. For some participants this
127 represented a change in management with associated forgone resources, while for participants
128 who had already excluded stock or were already using conservative grazing practices in
129 grassy ecosystems, there was no or minimal change required. Weed species and feral animal
130 species targeted for control also differed between project locations and to a lesser extent,
131 within project locations according to variation in climate and other environmental conditions.
132 The management actions were intended to maintain or restore ecological function in remnant
133 vegetation in order to address past and continuing declines identified by Duncan and
134 Dorrough (2009) and Perring et al. (2015) for example. However, the ecological outcomes of
135 these restoration actions have not yet been documented in research literature.

136

137 2.2. BushBids project locations

138 The five BushBids projects were located in the Mt Lofty Ranges, Murray-Darling
139 Basin and South East regions of South Australia, where much of the original native
140 vegetation has been cleared to provide land for agriculture (Fig. 1). Native vegetation cover
141 in the project locations ranged from 8 % in the Eastern Mt Lofty Ranges (EMLR) project to
142 61 % in the Woodland (WLND) and River Bend (RBND) projects (Table 2). In addition to
143 having the smallest proportion of remaining native vegetation, the EMLR project location
144 had one of the smallest proportions of native vegetation protected in public protected areas
145 (4 %) and the highest proportion of residential land (21 %). The WLND project location had
146 the next largest proportion of residential land (7 %) and although it had a large proportion of
147 remnant vegetation, only 7 % was protected by covenants (similar to conservation easements)
148 on private land and only 3 % was in public protected areas. The RBND location, also with a
149 large proportion of remnant native vegetation, had similar levels of protected vegetation to
150 the WLND location, but a smaller proportion of residential land (3 %) and a larger proportion
151 of land used for primary production (87 %). The Southern Mallee (SMLE) project location
152 had the largest proportion of land used for primary production (96 %) and small proportions
153 of remnant native vegetation (9 %) and residential land (1 %). Like the SMLE location, the
154 South Eastern (SEAST) project location had a very small proportion of residential land,
155 however this location had a moderate proportion of remnant native vegetation (22 %), much
156 of which was protected by covenants (16 %) and in public protected areas (59 %).

157

158 2.3. Data

159 Data about participants and their native vegetation were collected by the BushBids
160 program through the expression of interest and site assessment processes. A subset of these
161 data was made available for this study, including the size of the area offered for management

162 at the draft management plan stage (referred to here as management plan size), the presence
163 of proposed and existing covenants (similar to conservation easements), gender of the
164 primary contact person/people, town of postal address and nearest town to the property, entity
165 type, and information about the participant's involvement in primary production. This
166 information was used to generate a set of eight categorical variables and one numerical
167 variable characterising participants. The categorical variables were selected to provide
168 information about the diversity of participants. Entity type, absentee status and primary
169 production status were selected to evaluate the extent to which participants diverged from
170 owner occupier, farming individuals or families. Gender of primary contact was included
171 firstly to identify the extent of gender diversity in participants and secondly to evaluate how
172 the other variables related to this fundamental demographic diversity measure. Although it's
173 been shown that in developed economies women are more likely than men to engage in pro
174 environmental behaviour (Hunter et al., 2004; Raymond and Brown, 2011), in this study, this
175 trend is likely to be hidden by the gender imbalance in management and ownership of rural
176 land noted by Raymond and Brown (2011). Three covenant (conservation easement) status
177 variables were included to allow evaluation of how permanent covenants may interact with
178 participation in the stewardship tender. Finally, the project location variable was included to
179 allow evaluation of how the other participant characteristics varied with location.

180 Entity type includes two categories: individual/family and group. The
181 individual/family category includes individuals or family groups where all members were
182 connected by a familial relationship. All remaining participants shared a common
183 characteristic in that they were groups where not all group members shared a familial
184 relationship. This group category comprises a broad spectrum of participants including
185 community groups, non-government organisations and corporations (other than family

186 business structures), and also extends to include local government and informal groups where
187 two or more group members were co-owners or co-managers with no familial relationship.

188 The absentee or resident variable was generated using information about the nearest
189 town to the land offered for management and the town of the participant's postal address.
190 Where the town nearest the land offered for management and the town of the postal address
191 of the participant matched or were proximate, the participant was classified as resident. If the
192 town nearest to the land offered for management and the town of the participant's postal
193 address were spatially distant then the participant was classified as absentee. For example,
194 these cases included participants who resided in Adelaide (or a major regional centre) but
195 offered management services on a rural property. Some of the absentee participants resided
196 on rural properties but offered management services on rural property in a different location.
197 Where a participant offered land for management from two or more properties and met the
198 criteria for being resident at one property the participant was classified as resident.

199 The gender of the primary contact was derived from the contact names participants
200 gave when expressing interest in BushBids, and for the site assessment and development of
201 the management plan. A number of participants provided contact names for more than one
202 person and where male and female contact names were given this was classified as "both"
203 rather than male or female.

204 The primary production status was assessed using a range of information collected by
205 BushBids. This information included direct observations made by BushBids personnel,
206 satellite imagery of the areas adjacent to the native vegetation and participant reported land
207 use. If there was any primary production activity undertaken by the participant or by another
208 party on the participant's property, then the participant was classified as a primary producer.
209 Primary production activity included livestock, cropping, orchards and vineyards. Keeping
210 horses for recreation and small scale, domestic gardening or poultry keeping were not

211 classified as primary production activity. A small number of participants (six) could not be
212 classified using the available information.

213 The project location was also included as a categorical variable. As some participants
214 were involved in more than one BushBids project, participants were classified according to
215 the BushBids project where their bid was successful, or if they did not make a successful bid,
216 they were allocated to the first BushBids project they participated in.

217 The covenant variables categorise participants according to the presence or absence of
218 existing and proposed covenants in their BushBids management plan. The covenants referred
219 to in this study are similar to conservation easements in the USA (e.g. Fishburn et al., 2009),
220 in that they are binding agreements established to conserve environmental values on private
221 land. This study exclusively deals with Native Vegetation Heritage Agreements, a form of
222 covenant in South Australia (Adams and Moon, 2013). Native Vegetation Heritage
223 Agreements establish legally prescribed, usually permanent land use restrictions on a piece of
224 land, with the agreement registered on the land title (Native Vegetation Act, 1991). Land use
225 restrictions under Native Vegetation Heritage Agreements include restrictions on clearance or
226 removal of native flora and fauna, introduction of non-native organisms and fertiliser, and
227 removal or disturbance of soil and rock (Native Vegetation Council, 2017). They are
228 generally consistent regardless of location and ecosystem type, with some relatively rare
229 exceptions for stock grazing in grassy ecosystems (where it is used as a tool for conservation)
230 and in the Monarto area where a specific type of Heritage Agreement was historically
231 established with lower level restrictions. These variations in restrictions are unlikely to affect
232 many of the participants and therefore have not been addressed in the analysis. The three
233 covenant variables used in this study; existing covenant, proposed covenant and existing
234 and/or proposed covenant were created from information about the presence of existing and
235 proposed Native Vegetation Heritage Agreements within the management plans negotiated

236 with participants. Where a Native Vegetation Heritage Agreement application had been
237 submitted prior to participation in BushBids but the agreement had not yet been established,
238 this was treated as an existing covenant.

239 The numerical variable management plan size was the total size of the area offered by
240 the landholder for BushBids at the draft management plan stage, regardless of whether or not
241 the landholder submitted a bid. Where the landholder participated in multiple BushBids
242 projects and/or tender rounds, the total area offered by that landholder across all projects and
243 rounds was used.

244

245 2.4. Data analysis

246 The Pearson's Chi-square test of independence with Yates' Continuity Correction was
247 used to test whether absentee landholders and covenants were associated with primary
248 production status. Observations from the unclassified category for primary production status
249 were excluded from this analysis due to the small number of observations in this category.
250 All expected frequencies were greater than five.

251 Ward's hierarchical cluster analysis was undertaken to identify groups within the
252 participants. This method provided a relatively high cophenetic correlation coefficient (0.63)
253 compared with other hierarchical cluster analysis methods and provided an interpretable
254 solution with four groups, each containing a sufficient number of observations. A
255 dissimilarity matrix was created for the cluster analysis using Gower's metric due to this
256 metric's suitability for categorical variables. The dissimilarity matrix used a subset of the
257 data: entity, gender of primary contact, primary production status, resident/absentee status
258 and status for new and existing covenants separately. Observations in the "unclassified"
259 category for primary production status were excluded due to the small number of
260 observations in this category.

261 Linear modelling was used to test the relationship between management plan size and
262 the predictor variables entity type, primary production status, absentee status, covenant status
263 and gender of primary contact. The management plan size variable was natural log
264 transformed to meet assumptions of normality, and observations with “unclassified” primary
265 production status were removed from the dataset. A linear model of the main effects was
266 fitted and tested with ANOVA both by adding terms to the model and dropping terms from
267 the model. These two approaches yielded slightly different results, however, we selected the
268 more conservative results from the additive approach for presentation here.

269 Generalized Linear Modelling with Bayesian Model Averaging (BMA) (Hoeting et
270 al., 1999) was then used to confirm the results from the linear model and examine the
271 relationship of management plan size to predictor variables within each of the four largest
272 projects. BMA calculates an average of multiple model predictions, weighted by the posterior
273 model probabilities. When a predictor variable had a 0.75 or greater probability of inclusion
274 in the model, it was considered to be an important predictor (Thomson et al., 2007; Viallefont
275 et al., 2001).

276 All statistical analyses were performed using R 3.2.4. The Gower’s dissimilarity
277 matrix was created using the package cluster 2.0.5 (Maechler et al., 2016), while the package
278 BMA 3.18.6 (Raftery et al., 2015) was used for Bayesian Model Averaging.

279 3. Results

280 3.1. Participants

281 Of the 163 participants, a large majority were individuals or families (92 %) with the
282 remainder consisting of groups (8 %). The gender of the primary contact person was most
283 frequently male (60 %), although a considerable proportion of primary contacts were female
284 (20 %) or included at least one person of each gender (20 %).

285 A little over half the participants were involved in some kind of primary production
286 (55 %), while approximately 41 % were not involved in primary production and a small
287 number (4 %) could not be classified (Fig. 2(a-d)). At the time of expressing interest in the
288 program, most participants were resident on their property (77 %), however, a considerable
289 proportion were not (23 %) (Fig. 2(a)). Thirty four per cent of participants had an existing
290 covenant (similar to a conservation easement) over part or all of the land offered in BushBids
291 (Fig. 2(c)) and 25 % of participants indicated they would like to apply for a covenant
292 (Fig. 2(d)). Almost half of the participants (44 %) did not have a covenant over the land
293 offered in the project and were not proposing to apply for one as part of their offered
294 management services (Fig. 2(b)).

295 The Chi-squared independence test revealed that resident/absentee status was
296 dependent on primary production status (Chi-square independence test $X^2=23.4$, $df=1$,
297 $P<0.0001$) with a larger proportion of absentee landholders within those not involved in
298 primary production, compared with those landholders who were involved in primary
299 production (Fig. 2(a)). However, no significant relationship was found between covenants
300 and primary production status ($X^2=1.6$, $df=1$, $P=0.2$) (Fig. 2(b)).

301 Four groups of participants were identified through hierarchical cluster analysis and
302 are here after referred to as clusters (Fig. 3(a-d)). Cluster 1 was the largest and included 45 %
303 of all participants. It mainly comprised participants who were classed as individuals or

304 families (94 % of Cluster 1) (Fig. 3(a)), resident (85 % of Cluster 1) (Fig. 3(b)), and involved
305 in primary production (65 % of Cluster 1) (Fig. 3(c)). Nearly all participants in this cluster
306 did not have an existing covenant (97 % of Cluster 1) (Fig. 3(d)) and did not have a proposed
307 covenant (99 % of Cluster 1) (Fig. 3(f)). The next largest cluster, Cluster 2, included 23 % of
308 total participants and was comprised entirely of individuals or families (Fig. 3(a)) with a
309 proposed covenant (Fig. 3(f)). This cluster had relatively similar proportions of primary
310 producers (56 % of Cluster 2) and non-primary producers (44 % of Cluster 2) (Fig. 3(c)), a
311 relatively large proportion of absentee landholders (25 % of Cluster 2) (Fig. 3(b)) and the
312 largest proportion of female primary contacts (42 % of Cluster 2) (Fig. 3(e)) compared with
313 the other clusters. Cluster 3 included 17 % of all participants and was comprised exclusively
314 of participants who were not involved in primary production (Fig. 3(c)) and also had a large
315 component of absentee landholders (65 % of Cluster 3) (Fig. 3(b)), groups (31 % of Cluster
316 3) (Fig. 3(c)) and participants with an existing covenant (92 % of Cluster 3) (Fig. 3(d)).
317 Finally, Cluster 4 was the smallest cluster and included 15 % of all participants. Similar to
318 cluster 1, cluster 4 was comprised entirely of participants who were resident (Fig. 3(a)),
319 involved in primary production (Fig. 3(c)) and not proposing a new covenant (Fig. 3(f)).
320 However unlike cluster 1, all members of the cluster 4 had an existing covenant (Fig. 3(d)).

321

322 3.1.1. Absentee landholders

323 A majority of participating absentee landholders were non-primary producers (76 %
324 of 38 absentee participants) compared with 21 % who were primary producers (Fig. 2(a)).
325 Likewise, more absentee participants were individuals/families (82 % of absentee
326 participants) than were groups (18 % of absentee participants). The WLND project location
327 had the highest percentage of absentee participants (34 % of participants in that project)
328 followed by the EMLR project where 29 % of participants were absentee and the RBND

329 project where 26 % of participants were absentee (Fig. 4(a)). The SMLE and SEAST projects
330 both had lower rates of absentee participants with 11 % and 9 % respectively.

331

332 3.1.2. Groups

333 Following a similar pattern as the absentee participants, most participating groups
334 were non-primary producers (77 % of 13 participating groups), with the remaining 23 %
335 being classified as primary producers. There was a roughly even division between absentee
336 (seven) and resident (six) participants within those classified as groups. Project locations with
337 the highest proportions of participating groups were the RBND project where 13 % of
338 participants were groups, and both the EMLR and WLND projects where 9 % of participants
339 were groups (Fig. 4(b)). The SMLE project had no participating groups, while 5 % of
340 participants in the SEAST project were groups.

341

342 3.2. Management plan size

343 The size of the area offered by participants in the tenders (referred to here as
344 management plan size) differed by four orders of magnitude ranging from 0.5 ha to
345 4 792.6 ha, with a median of 45.7 ha and interquartile range of 19.0 ha to 179.6 ha. Linear
346 modelling showed a significant relationship between management plan size and both project
347 location and entity type (see Table 3 and Sup. 1). Other participant characteristics (primary
348 production status, covenant status, gender of primary contact and resident/absentee status)
349 were not significantly related to management plan size.

350 Generalised linear modelling with Bayesian model averaging confirmed the
351 relationship between management plan size and project location and entity type with these
352 factors identified as the strongest predictors of management plan size, and the only predictors
353 with greater than 75 % chance of inclusion in the model for all project locations combined

354 (Table 4). Entities classified as individuals or families were likely to have smaller
355 management plans (coefficient of -1.14) than entities classified as groups. Compared with
356 EMLR, participants in all other project locations were likely to have larger management plans
357 (coefficients ranging from 0.97 to 2.00). The SEAST project location was the only individual
358 location where predictors with a greater than 75 % chance of inclusion were identified (Table
359 4). These predictors were entity type, gender of primary contact, primary production status
360 and covenant status. Again, participants classified as individuals or families were likely to
361 have smaller management plans than group participants. In the SEAST location, participants
362 with female-only primary contacts were likely to have smaller management plans than
363 participants with primary contacts that included both genders. Non-primary producers were
364 likely to have smaller management plans than primary producers and participants with neither
365 existing nor proposed covenants were likely to have smaller management plans than those
366 with covenants or proposed covenants.

367 4. Discussion

368 In this study we characterised participants in a conservation stewardship tender
369 (reverse auction) and examined how these characteristics related to the size of the area
370 offered in the tender. The range of landholders interested in participating was diverse,
371 including a **considerable** proportion of absentee landholders, a small but important constituent
372 of groups and relatively equal proportions of primary producers and non-primary producers.
373 Project location was the best predictor of the size of the area offered in the tender followed by
374 entity type, with groups likely to offer larger areas compared with individuals and families.
375 The diversity of landholders participating in the tender is consistent with the increasing
376 diversity within agricultural landscapes highlighted by Mendham and Curtis (2010). Both
377 absentee landholders and groups were found to be important participants, absentee
378 landholders because they made up a **considerable** proportion of total participants and groups
379 because of the larger areas they offered in the tender. Programs seeking to incentivise
380 conservation actions on private land must understand these ownership structures, particularly
381 where they result in participant behavioural differences compared with traditional, production
382 oriented, family ownership models.

383

384 4.1. Absentee landholders

385 Absentee landholders made up nearly a quarter of all participants and came from all
386 project locations. This large component of absentee landholders confirms their relevance
387 within the community of private land managers in agricultural landscapes and aligns with
388 studies showing increasing absentee ownership in various parts of the world (Petrzelka et al.,
389 2013) including Australia (Klepeis et al., 2009; Mendham and Curtis, 2010). Further, these
390 results provide empirical evidence of absentee landholders' interest in participating in a
391 conservation stewardship tender, which to our knowledge has not previously been

392 documented. Project locations with the highest rates of absentee landholder participation
393 were the EMLR project location where much of the area is within 50 km of Adelaide (the
394 nearest city) and the WLND and RBND project locations where the majority of the area is
395 within 150 km of Adelaide and there is a relatively large proportion of native vegetation
396 remaining in the landscape. Travel distance from cities is likely to influence the rates of
397 absentee land ownership, at least for non-primary producing landholders, however other
398 interrelated factors may also play a role such as amenity values, land productivity and value,
399 and land use planning and policy. Although there is a substantial body of research relating to
400 amenity migrants (Gosnell and Abrams, 2011), relatively little is known about absentee
401 landholders. More research is needed to better understand the patterns and drivers of absentee
402 ownership and how rates of absentee landholder participation in incentive programs compare
403 with rates of absentee land ownership in agricultural landscapes.

404 Participating absentee landholders included both primary producers and non-primary
405 producers, with most classed as non-primary producers. Absentee primary producers may
406 have included landholders who own two or more spatially separated rural properties to take
407 advantage of resources available in different environments (e.g. seasonal grazing for stock
408 while crops are grown on the primary property), or landholders who reside in an urban area
409 and manage the property remotely. Non-primary producer absentee participants are likely to
410 have included “weekenders” who reside in urban areas and periodically visit their rural
411 properties for recreation and/or other purposes, or groups who jointly use and manage the
412 land for a variety purposes (such as recreation, conservation and/or non-primary production
413 businesses). Recreation has been found to be a widespread purpose for land ownership among
414 absentee landholders and intersection of multiple land uses is also common (Petrzelka and
415 Armstrong, 2015; Petrzelka et al., 2009). To facilitate participation by absentee landholders,

416 private land conservation policy makers are advised to recognise that absenteeism may
417 coincide with a variety of often co-existing land use objectives.

418 Although they are a diverse group, absentee landholders may face some particular
419 challenges for land management and incentive program participation that set them apart from
420 resident landholders. Absentee landholders may not access the same information sources as
421 resident landholders, therefore presenting a challenge for incentive program recruitment.
422 Time constraints may also be a major barrier for absentee landholders, including at the time
423 of recruitment to a program (Mendham et al., 2012), having insufficient time available to
424 implement management actions (Kendra and Hull, 2005; Klepeis et al., 2009) or experiencing
425 difficulties with the timing or frequency of visits required for management, such as
426 implementing weed control at a critical weed lifecycle stage. The cost of implementing
427 management actions may also be influenced by absentee status (Mendham et al., 2012). For
428 example, there may be additional costs associated with travel and transport to the property
429 and/or hired labour and equipment. However, absentee landholders' possible willingness to
430 accept lower incentive rates (Lindhjem and Mitani, 2012) may offset additional management
431 costs. Another management challenge potentially exacerbated by absenteeism is impacts
432 from unauthorised access to the property (O'Connor, 2016) for activities such as off road
433 vehicle use, camping, hunting (Kendall et al., 2013) and timber theft (Petrzelka et al., 2013).
434 Many of these barriers or challenges faced by absentee landholders can be addressed by
435 incentive program design. For example, program recruitment methods can be designed to
436 reach absentee landholders through the use of appropriate advertising messages (Morrison et
437 al., 2017), advertising channels, and timing of recruitment events (Mendham and Curtis,
438 2010). Absentee participation is also likely to be supported by programs that allow some
439 flexibility in timing for engagement and implementation of management actions. Further

440 research is needed to determine the extent to which issues affecting absentee landholders are
441 addressed by incentive programs.

442

443 4.2. Groups

444 The number of group participants was relatively small, however the group entity type
445 was positively related to management plan size. This highlights their importance as potential
446 participants in incentive programs. Although groups have been acknowledged as managers in
447 the private land conservation literature (Fitzsimons, 2015; Selinske et al., 2015) and in studies
448 of landholders in agricultural landscapes (Gosnell and Travis, 2005), to our knowledge, they
449 have not previously been considered in studies about participation in incentive programs.
450 Participants classed as groups in this study included a wide range of group forms, from non-
451 government organisations to local government, corporations, community groups and small
452 informal groups of individuals who co-own and/or co-manage remnant native vegetation. We
453 acknowledge that this is a very broad spectrum and that motivations for participation in
454 incentive programs and objectives for land management may vary considerably between
455 groups, however, in this study, the small number of participating groups did not allow further
456 classification at a finer scale. Further research is needed to identify group types along with
457 their motivations and constraints for participation in conservation incentive programs.
458 Despite the wide variation in types of groups, there may be some characteristics that group
459 landholders share. For example, groups may require longer time periods for decision making,
460 a need that could be accommodated in incentive program design. As current information
461 relating to groups and their participation in incentive programs is very scarce, it is an
462 important area for future research to inform policy.

463

464 4.3. Covenants

465 Landholders with and without existing covenants (conservation easements)
466 participated in the conservation stewardship tender and some were interested in applying for
467 a new covenant. The largest cluster of participants identified with hierarchical cluster analysis
468 was characterised by participants who did not have an existing covenant and did not express
469 interest in applying for one. This highlights a large constituency of participants who were not
470 prepared to enter into an in-perpetuity covenant but were still willing to offer management
471 services over a five or ten year period. Participants in this cluster were resident, primary
472 producing individuals or families. Given that previous research indicates that participation is
473 reduced when the program employs compulsory covenanting (Comerford, 2013), this large
474 sector of landholders may have been dissuaded from participation if the program had made
475 covenanting mandatory.

476 The next largest cluster was characterised by landholders seeking a covenant and
477 included both primary producers and non-primary producers as well as absentee and resident
478 landholders. Therefore, there was a potential supply of covenants from both primary
479 producers and non-primary producers and absentee and resident landholders. The final two
480 clusters were characterised by participants who had an existing covenant and were not
481 seeking an additional covenant. These clusters show that even with an existing covenant there
482 is a perceived need for additional management cost recovery, that is, these landholders do not
483 consider that a covenant on its own was sufficient to meet their management objectives.

484

485 4.4. Management plan size

486 Location and entity type were the only reliable predictors of management plan size
487 when all project locations were considered together. This relationship between project
488 location and management plan size is probably driven by differences in agricultural

489 productivity, rainfall and proximity to the city of Adelaide and large rural centres, as well as
490 associated differences in average land parcel size, property size and land value. Group
491 entities were likely to include larger areas in their management plans than entities classified
492 as individuals/families. This could be explained by the ability of groups to pool resources and
493 therefore purchase and manage larger areas of land. It might also be a consequence of historic
494 development patterns leaving some large areas of uncleared land with relatively low
495 production value where buyers do not expect to recover their investment through production.
496 With groups being more likely to offer larger areas of land in the incentive program, they
497 may be seen as an important sector of participants to recruit. However, maximising the area
498 of land offered by each participant or the total area offered in the incentive program may not
499 always be desirable. For instance, an adequate number of participants is required to provide
500 competition in a tender making it potentially undesirable to have a small number of
501 participants offering large land areas, and there may also be significant transaction costs for
502 each entrant meaning increasing participation beyond adequate levels is not justified by the
503 total budget for incentive payments (Whitten et al., 2013).

504 The SEAST project location was the only individual project with reliable predictors of
505 management plan size. Here, group entities, primary producers and sites with an existing
506 covenant were associated with larger management plan sizes, while having a female primary
507 contact was associated with smaller management plan size. The relationship to primary
508 production status may be due to the generally larger properties held by primary producers
509 compared with non-primary producers (Mendham and Curtis, 2010), while the relationship to
510 covenant status may be a consequence of previous government legislation (e.g. Upper South
511 East Dryland Salinity and Flood Management Act, 2002) and associated policy having
512 already established covenants on many of the larger remnants in the project location.

513 **5. Conclusion**

514 This study challenges the notion that, in agricultural landscapes, landholders
515 interested in conservation incentive programs are typically farming individuals or families.
516 Using empirical evidence from a case study conservation tender program we have shown that
517 participating landholders can be diverse in land use, residence distance from the property and
518 land ownership structure. They may or may not use their property for primary production,
519 they may be resident on the property or absentee and they may own the land individually or
520 jointly as part of a group. Both absentee landholders and groups were important participants
521 in the conservation program, absentee landholders due to their **considerable** numbers and
522 groups because they were likely to offer larger land areas in the tender.

523 **Given the importance of absentee and group landholders revealed by this study, and**
524 **the extremely limited information currently available regarding these landholder types, we**
525 **recommend further research to address the following knowledge gaps. Firstly, research is**
526 **needed to identify more specific group types within the broad category of group landholders**
527 **and to investigate their motivations and constraints for participation. For absentee**
528 **landholders, research is needed to further explore the drivers and patterns of absentee land**
529 **ownership and to evaluate how rates of absentee landholder participation compare to rates of**
530 **absentee land ownership. Finally, research is also needed to further examine the extent to**
531 **which issues affecting absentee landholders, such as access to information, time constraints**
532 **and unauthorised property access, are addressed by incentive programs. This knowledge will**
533 **be valuable to inform future policy design for conservation incentive programs in agricultural**
534 **landscapes.**

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Table 1. BushBids conservation stewardship tenders

Project name	Tender Rounds	Contract start	Contract length (years)	No. unique participants
Eastern Mt Lofty Ranges (EMLR)	2	2006-07	5 or 10	55
Woodland (WLND)	2	2010-11	5	32
Riverbend (RBND)	1	2013	5	23
Southern Mallee (SMLE)	1	2013	5	9
South Eastern (SEAST)	2	2013	5	44

Table 2. BushBids project location size, native vegetation cover and land use

Project	Total area km ²	Native vegetation km ² (%) ^{a f}	Covenant km ² (%) ^{b d}	Public protected areas km ² (%) ^{b e}	Land use (%) ^{c g}		
					Primary production	Reserve/vacant/recreation	Residential
EMLR	2 758	233 (8 %)	88 (38 %)	9 (4 %)	76 %	2 %	21 %
WLND	5 878	3 581 (61 %)	245 (7 %)	120 (3 %)	81 %	10 %	7%
RBND	5 787	3 509 (61 %)	735 (21 %)	105 (3 %)	87 %	10 %	3 %
SMLE	6 964	618 (9 %)	162 (26 %)	44 (7 %)	96 %	1 %	1 %
SEAST	27 899	6 092 (22 %)	961 (16 %)	3 600 (59 %)	84 %	14 %	1 %

NB spatial statistics calculated using Geocentric Datum of Australia 1994 and Albers Equal Area projection in ArcGIS 10.4.1

^a Per cent of total area. ^b Per cent of native vegetation. ^c Per cent of total mapped land use ('Primary production' includes agriculture, horticulture, livestock grazing and forestry; 'Reserve/vacant/recreation' includes golf, reserve, recreation and vacant; and 'Residential' includes residential, rural residential, non-private residential and vacant residential). ^d Spatial data source (DEWNR, 2017). ^e Spatial data source (DEWNR, 2015). ^f Spatial data source (DEWNR, 2011). ^g Spatial data source (DPTI, 2016).

Table 3. Relationship of participant characteristics to management plan size

Factor	Df	F value	Pr(>F)	Coefficient ±SE
<i>Project location</i>	4	8.4672	0.0004e-02 ***	
WLND				1.2 ±0.3
RBND				1.5 ±0.4
SMLE				1.8 ±0.5
SEAST				0.8 ±0.3
<i>Entity type</i>	1	8.3245	0.0045**	
Individual/family				-1.2 ±0.4
<i>Gender of primary contact</i>	2	1.7511	0.1772	
<i>Primary production status</i>	1	3.7677	0.0542	
<i>Resident or absentee</i>	1	0.6255	0.4303	
<i>Covenant status</i>	1	2.9944	0.0857	

Table 4. Probability of a non-zero coefficient P(inc), coefficients, Bayesian Information Criterion (BIC) and R² for management plan size in all locations combined and in EMLR, SEAST, WLND and RBND locations, determined with Bayesian Model Averaging.

Predictor/BIC/ R ² /n	All locations		EMLR	SEAST		WLND	RBND
	P(inc)	coeff ±SD	P(inc)	P(inc)	coeff ±SD	P(inc)	P(inc)
Intercept (Group entity, Both genders, Primary producer, EMLR location, Covenant)	100.0		100.0	100.0		100.0	100.0
Entity type Individual/family	92.0	-1.14 ±0.52	15.4	79.1	-1.84±1.26	59.6	15.1
Gender of primary contact Female	0.0		0.0	84.6	-1.58±0.89	2.4	1.2
Male					-0.10±0.42		
Resident or absentee	6.5		44.9	17.4		11.7	37.2
Primary production status Non-primary producer	32.9		11.0	90.5	-1.40 ±0.71	12.0	49.4
Project location WLND	100.0	1.29 ±0.32	NA	NA		NA	NA
RBND		1.48 ±0.36					
SMLR		2.00 ±0.53					
SEAST		0.97 ±0.31					
Covenant status No covenant	14.9		25.4	100.0	-1.76 ±0.44	12.4	15.5
BIC	-602.3		-151.9	-98.1		-76.3	-41.6
R ²	0.22		0.06	0.56		0.12	0.19
n	157		53	42		32	21

Pr(inc) probability of inclusion

BIC Bayesian information criterion

Coefficient and standard deviation shown only when Pr(inc) >75 %

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Fig. 1 BushBids project locations Eastern Mt Lofty Ranges (EMLR), Woodland (WLND), Riverbend (RBND), Southern Mallee (SMLE) and South Eastern (SEAST) in South Australia, Australia.

Fig. 2 Per cent of participants involved in primary production (unclassified indicated by UC) and (a) absentee or resident, (b) with an existing covenant and/or proposed covenant, (c) with an existing covenant, (d) with a proposed covenant. NB width of bar indicates proportion or per cent of participants in a given category, dark grey indicates 'yes' and light grey indicates 'no' for y-axis variables.

Fig. 3 Characteristics of participant clusters identified with cluster analysis. (a) per cent of entity type (G=group, I=individual/family) within clusters, (b) per cent of absentee and resident landholders within clusters, (c) per cent of primary producers and non-primary producers within clusters, (d) per cent of participants with existing covenants, (e) per cent of primary contact gender (B=both genders, F=female, M=male), and (f) per cent of participants with proposed covenants. NB width of bar indicates proportion or per cent of participants in a given cluster.

Fig. 4 Per cent of (a) absentee participants (Y=yes, N=no) and (b) participating groups (G=group, I=individual/family) in project locations (unlabelled bars are SMLE project location). NB width of bar indicates proportion or per cent of participants in each project location.

Supplement 1 Management plan size (ln ha) showing mean \pm standard error for (a) project location, (b) entity type (G=group, I=individual/family), (c) gender of primary contact (B=both genders, F=female, M=male), (d) primary production status (PP=primary producer, non-PP=non primary producer), (e) absentee status (Y=yes, N=no), (f) covenant status (Y=existing and/or proposed covenant, N=no covenant).

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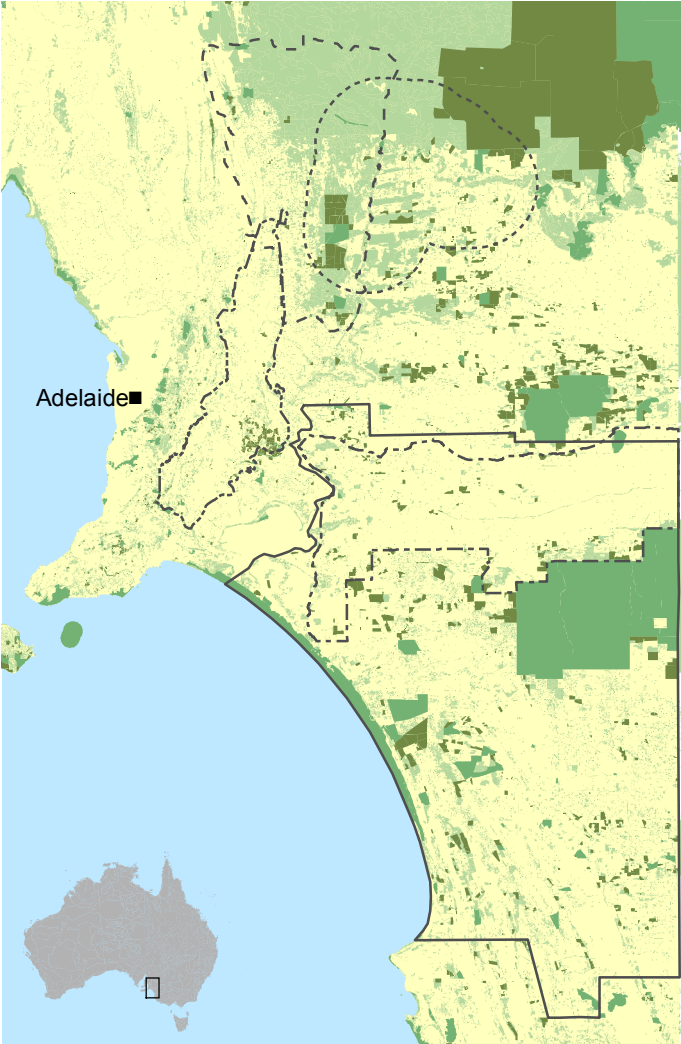
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

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Highlights


- Incentive participants in agricultural areas are generally framed as resident farming individuals
- Empirical data from large conservation tender challenges this conceptualisation
- Participants were diverse in land use, residence location and ownership structure
- Absentee and group landholders were important types of participants






-  EMLR
-  WLND
-  RBND
-  SMLE
-  SEAST



0 50 Kilometers



-  Private protected areas (covenant)
-  Public protected areas
-  Native vegetation cover

