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1 **Swell-Compression Characteristics of a Fiber-Reinforced Expansive Soil:**  
2 **Experiments and Modelling**

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# 21 Swell-Compression Characteristics of a Fiber-Reinforced Expansive Soil:

## 22 Experiments and Modelling

### 23 Abstract

24 This study presents results of an experimental program in respect to fiber's capacity of mitigating the swelling  
25 behavior of an expansive soil. Two types of tape-shaped synthetic fibers, i.e. fiber A (width  $f_w=2.5\text{mm}$ ) and  
26 fiber B ( $f_w=7\text{mm}$ ) were used as the reinforcements. Fibers were incorporated at three contents, i.e.  $f_c=0.5\%$ ,  
27 1% and 1.5%, each having two aspect ratios (i.e.  $f_{AR}=15/2.5$  and  $30/2.5$  for fiber A, and  $f_{AR}=15/7$  and  $30/7$  for  
28 fiber B). Samples were prepared at optimum moisture content and maximum dry unit weight, and were further  
29 subjected to oedometer swell-compression tests. An in-depth discussion on the swell-time and compression-  
30 stress characteristics was also presented. For a given type of included fiber, reduction in swelling potential and  
31 swelling pressure was observed to be a direct function of  $f_c$  and  $f_{AR}$ , with the former taking on a more  
32 pronounced role. Furthermore, for a given fiber content and fiber length, the greater fiber width (lower  $f_{AR}$ )  
33 assumed more efficiency in restricting swelling. The hyperbola concept was extended to the swell-compression  
34 framework, promoting simple equations capable of simulating the swell-compression behavior of the fiber-  
35 reinforced soil with an acceptable degree of accuracy.

36 **Keywords:** Expansive soil; Tape-shaped fibers; Aspect ratio; Swelling potential; Swelling pressure;  
37 Hyperbola concept.

### 38 Notations

39	$C_c$	Compression index
40	$C_{ps}$	Primary swelling rate
41	$C_{ss}$	Secondary swelling rate
42	$e_0$	Initial void ratio
43	$f_{AR}$	Fiber aspect ratio (fiber length to width ratio)
44	$f_c$	Fiber content
45	$f_l$	Fiber length
46	$f_{TS}$	Fiber tensile strength
47	$f_w$	Fiber width
48	$NRMSE$	Normalized root mean square error
49	$P_s$	Swelling pressure
50	$R^2$	Coefficient of determination
51	$S_p$	Swelling potential
52	$t$	Elapsed time of swelling
53	$t_{is}$	Completion time of the initial swelling phase
54	$t_{ps}$	Completion time of the primary swelling phase
55	$t_{ss}$	Completion time of the secondary swelling phase
56	$1/\beta_s$	Long-term predicted swelling potential
57	$\varepsilon_a$	Axial strain (swelling or compression)
58	$\varepsilon_{ais}$	Initial swelling strain
59	$\varepsilon_{aps}$	Primary swelling strain
60	$\varepsilon_{ass}$	Secondary swelling strain
61	$\sigma'$	Effective stress
62	$\sigma'_0$	Nominal overburden stress during swelling
63	$\sigma_y$	Yield stress

## 64 **1. Introduction**

65 As a consequence of their inherent characteristics including low strength, high compressibility and a high  
66 potential for swelling and shrinkage, expansive soils are often characterized as unsuitable construction  
67 materials for the majority of civil engineering applications (Nalbantoglu 2006). Therefore, such soils often  
68 require modification – a process commonly referred to as stabilization – to satisfy design criteria prior  
69 application. Stabilization may be achieved through two approaches, i.e. chemical and mechanical techniques.  
70 Chemical techniques mainly include the addition of chemical agents (e.g. lime, cement and polymer binder)  
71 to the soil mass, enhancing physico-chemical interactions and thereby amending the soil fabric into a coherent  
72 matrix of improved properties (e.g. Al-Rawas et al. 2005; Mirzababaei et al. 2009; Yazdandoust and Yasrobi  
73 2010; Kalkan 2011; Estabragh et al. 2014). The mechanical approach makes use of mechanical effort (e.g.  
74 compaction) with the aid of reinforcements. Common reinforcements include fibers of synthetic (e.g.  
75 polypropylene and nylon) and natural (e.g. coir and palm) origin or other fiber-like materials such as plastic  
76 waste strips, shredded tires and waste carpet fibers. As the global community is shifting towards a more  
77 sustainable mindset, alternatives capable of replacing or minimizing the use of traditional cementitious agents  
78 have been highly encouraged. The use of fibers may be regarded among the most well-received propositions  
79 in this context.

80 The fiber assemblage randomly distributes in the soil regime, and where optimized in dosage and geometry,  
81 amends the expansive soil in respect to moisture insensitivity (i.e. swell-shrink related volume changes),  
82 strength increase, and ductility improvement (e.g. Puppala and Musenda 2000; Tang et al. 2007; Abdi et al.  
83 2008; Al-Akhras et al. 2008; Sivakumar Babu et al. 2008; Attom et al. 2009; Viswanadham et al. 2009<sup>a,b</sup>; Tang  
84 et al. 2010; Plé and Lê 2012; Trouzine et al. 2012; Mirzababaei et al. 2013; Estabragh et al. 2014; Phanikumar  
85 and Singla 2016; Chaduvula et al. 2017). In some cases, a combination of fibers and traditional cementitious  
86 agents may be required to address severe expansive potential (e.g. Cai et al. 2006; Punthutaecha et al. 2006;  
87 Kumar et al. 2007; Shahbazi et al. 2016). Based on these studies, improvement in strength or swelling  
88 characteristics have been primarily reported as a function of fiber content. However, fiber geometrical  
89 properties, mainly defined in terms of aspect ratio (fiber length to the diameter or width ratio), also portrays  
90 an equally important role in yielding an effective stabilization scheme.

91 Some of the more recent contributions addressing the aspect ratio-dependent swelling phenomenon have been  
92 provided in Table 1. A rather common emphasis on the application of bar-shaped fibers with relatively small  
93 diameters, yielding relatively large aspect ratios may be observed among the documented studies. Such  
94 materials when applied at high contents are prone to clustering, thus would be associated with implementation  
95 difficulties under field conditions. Meanwhile, tape-shaped fibers with relatively large widths, promoting  
96 relatively small aspect ratios have been less regarded in the literature. Such materials are mainly consumed in  
97 the packaging industry and are available in abundance, posing a problem for safe disposal without degrading  
98 the environment. As such, its beneficial reuse as an alternative to bar-shaped fibers may provide a more feasible  
99 stabilization scheme. To address any remaining ambiguities associated with adopting appropriate aspect ratios,  
100 this study intends to evaluate the effect of other less adopted aspect ratio values on the swell-compressibility

101 characteristics of a highly expansive soil through a series of oedometer swell-compression tests. In addition,  
102 the hyperbola concept was extended to the swell-compression framework, resulting in simple equations  
103 capable of simulating the swell-compression behavior of fiber-reinforced expansive soils.

## 104 **2. Materials and methods**

### 105 **2.1. Soil**

106 The soil used in this study was clay of high plasticity (CH). X-ray diffraction (XRD) analysis identified the  
107 minerals of quartz, calcite, Na/Ca-feldspar, K-feldspar, and clay minerals group of illite and montmorillonite.  
108 Other soil properties included a pH of 8.3, electrical conductivity (EC) of 10.25dS/m and cation exchange  
109 capacity (CEC) of 17.95meq/100gr. Typical mechanical properties, determined as per relevant ASTM  
110 standards, are provided in [Table 2](#). The swelling potential (i.e.  $S_p^{7\text{kPa}}$ ) and swelling pressure were 17.50% and  
111 325kPa, respectively; from which the soil was graded into *highly expansive* in accordance with the [Sridharan](#)  
112 [and Prakash \(2000\)](#) classification criteria.

### 113 **2.2. Fibers**

114 Two types of tape-shaped polypropylene fibers, i.e. fiber A (width  $f_w=2.5\text{mm}$ ) and fiber B ( $f_w=7\text{mm}$ ) as  
115 illustrated in [Fig. 1](#), were used as the reinforcements. Both fibers were cut into two lengths, i.e.  $f_l=15\text{mm}$  and  
116 30mm, ending up with aspect ratios of  $f_{AR}=15/2.5$  and  $30/2.5$  for fiber A, and  $f_{AR}=15/7$  and  $30/7$  for fiber B.  
117 Each of the four fiber choices was included into the soil at three contents, i.e.  $f_c=0.5\%$ , 1% and 1.5%. Physical  
118 and mechanical properties of the fibers, as supplied by the manufacturer, are presented in [Table 3](#).

### 119 **2.3. Sample preparation**

120 A series of standard compaction tests were carried out on natural soil and various soil-fiber mixtures in  
121 accordance with the ASTM D698 standard. The mixtures and corresponding compaction results are provided  
122 in [Table 4](#). Minor variations were observed for optimum moisture content, while the maximum dry unit weight  
123 displayed a marginal decreasing trend with increase in fiber content, mainly attributed to the lower specific  
124 gravity and larger specific surface area of fibers compared to soil particles ([Estabragh et al. 2012](#); [Kalkan 2013](#);  
125 [Estabragh et al. 2014](#)). Samples were prepared by static compaction at corresponding optimum moisture  
126 content and maximum dry unit weight values (see [Table 4](#)). The required amount of water corresponding to  
127 the desired optimum moisture content was added to each mixture, and manually mixed as conducted in [Tang](#)  
128 [et al. \(2007\)](#), [Consoli et al. \(2009\)](#) and [Estabragh et al. \(2014, 2016\)](#). Extensive care was dedicated to pulverize  
129 the lumped particles, targeting homogeneity of mixtures. Mixtures were then enclosed in plastic bags and  
130 stored under room temperature conditions for 24 hours, ensuring even distribution of moisture throughout the  
131 soil mass. A special split mold was designed and fabricated from stainless steel to accomplish static  
132 compaction. The mold consisted of three sections, i.e. the top collar, the middle oedometer ring, and the bottom  
133 collar. The oedometer ring measures 75mm in diameter and 20mm in height and accommodates the sample  
134 for the swell-compression test. The inner surface of the mold was smeared with a thin layer of silicon grease  
135 to avoid friction during compaction. Mixtures were compressed in the mold at three layers by a constant  
136 displacement rate of 1.5mm/min to a specific compaction load, each layer having attained the desired

137 maximum dry unit weight. The required compaction load was different for each mixture and was obtained  
138 through trial and error. The surface of the first and second compacted layers were scarified to ensure a good  
139 bond between adjacent layers of the mixture.

#### 140 **2.4. Swell-compression test**

141 Samples were subjected to the one-dimensional oedometer swell-compression test as specified in the ASTM  
142 D4546 standard. The test included two stages, i.e. swell and compression. In the first stage, the desired sample  
143 was allowed to freely swell under a low nominal overburden stress of  $\sigma'_0=1\text{kPa}$ . The incurred axial swelling  
144 strain was recorded during various swelling time intervals to a point in which swell-time equilibrium, a state  
145 corresponding to the swelling potential of the sample,  $S_p$ , was achieved. During compression, the swollen  
146 sample was gradually loaded to arrest the built-up axial swelling strain. The stress required to retain the initial  
147 placement or void ratio of the sample was taken as the swelling pressure  $P_s$  (Sridharan et al. 1986).

### 148 **3. Results and discussion**

149 Axial strain-time curves obtained from one-dimensional oedometer swell tests are illustrated in Fig. 2 (2a:  
150  $f_{AR}=15/2.5$ ; 2b:  $f_{AR}=30/2.5$ ) and Fig. 3 (3a:  $f_{AR}=15/7$ ; 3b:  $f_{AR}=30/7$ ) for fibers A and B, respectively. As a result  
151 of fiber-reinforcement, the axial strain-time locus experienced a major downward shift over the  $\varepsilon_a:\log t$  space,  
152 indicating a significant reduction in the magnitude of exhibited swelling strain and thus swelling potential  
153 during swell evolution. At a specific elapsed time of swelling and for a given type of included fiber  
154 corresponding to a particular aspect ratio, an increase in fiber content  $f_c$  was accompanied by a significant  
155 reduction in swelling strain. At  $t=1440\text{min}$ , for instance, natural soil displayed an axial swelling strain of  
156  $\varepsilon_a(t)=22.15\%$ ; while the inclusion of 0.5%, 1% and 1.5% fiber A ( $f_{AR}=15/2.5$ ) led to  $\varepsilon_a(t)=14.90\%$ , 12.05% and  
157 10.05%, respectively (see Fig. 2a). A similar yet less pronounced case can also be made for fiber aspect ratio  
158  $f_{AR}$ . At  $t=1440\text{min}$ , for instance, fiber B corresponding to  $f_c=1\%$  and  $f_{AR}=15/7$  resulted in  $\varepsilon_a(t)=9.97\%$ ; while  
159 for  $f_{AR}=30/7$  of the same inclusion,  $\varepsilon_a(t)=8.15\%$  was observed (compare Figs. 3a and 3b). Natural soil exhibited  
160 a swelling potential of  $S_p=26.40\%$ . Maximum reduction in  $S_p$  was achieved in the case of  $f_c=1.5\%$   
161 corresponding to  $f_{AR}=30/2.5$  and 30/7 for fibers A and B, respectively. These samples resulted in  $S_p=16.00\%$   
162 and 12.15%, respectively.

163 Axial strain-effective stress curves obtained from one-dimensional compression tests are illustrated in Fig. 4  
164 (4a:  $f_{AR}=15/2.5$ ; 4b:  $f_{AR}=30/2.5$ ) and Fig. 5 (5a:  $f_{AR}=15/7$ ; 5b:  $f_{AR}=30/7$ ) for fibers A and B, respectively.  
165 Similarly, the inclusion of fibers to the soil mass altered the axial strain-effective stress locus, promoting a  
166 noticeable downward shift over the  $\varepsilon_a:\log \sigma'$  space, and thus a significant reduction in swelling pressure. In  
167 general, reduction in swelling pressure  $P_s$  follows a trend similar to that of swelling potential  $S_p$ . For instance,  
168 the swelling pressure dropped from  $P_s=325\text{kPa}$  for natural soil to 215kPa for the sample reinforced with 0.5%  
169 fiber A corresponding to  $f_{AR}=15/2.5$ . The value further decreased to  $P_s=205\text{kPa}$  at  $f_{AR}=30/2.5$ , indicating an  
170 aspect ratio-dependent compressibility (compare Figs. 4a and 4b). Similar variations were also observed for  
171 the same inclusion of fiber B where  $P_s$  dropped from 201kPa at  $f_{AR}=15/7$  to 158kPa at  $f_{AR}=30/7$  (compare Figs.  
172 5a and 5b). Similar to  $S_p$ , maximum reduction in  $P_s$  was achieved in the case of  $f_c=1.5\%$  corresponding to

173  $f_{AR}=30/2.5$  and  $30/7$  for fibers A and B, respectively. These samples resulted in  $P_s=135\text{kPa}$  and  $95\text{kPa}$ ,  
174 respectively.

175 **Figs. 6a** and **6b** illustrate the variations of swelling potential and swelling pressure against fiber content  $f_c$  for  
176 the tested samples. For a specific type of included fiber, reduction in  $S_p$  and  $P_s$  may be considered as a function  
177 of  $f_c$  and  $f_{AR}$ . The magnitude of decrease, however, seems to be dominated by  $f_c$ , while  $f_{AR}$  also portrays a  
178 significant yet less pronounced role. In addition, for a given fiber content and fiber length, a greater fiber width  
179 and thus lower aspect ratio (i.e. fiber B versus fiber A) assumes more efficiency in reducing the effect of  
180 swelling. The fiber inclusions are able to amend the soil fabric through improvement achieved in three aspects,  
181 i.e. resistive tension forces generated due to soil-fiber contact (Al-Akhras et al. 2008; Viswanadham et al.  
182 2009<sup>a</sup>; Trouzine et al. 2012), soil-fiber interlock (Tang et al. 2007, 2010; Kalkan 2013; Phanikumar and Singla  
183 2016), and fiber non-wetting attribute (Cai et al. 2006; Viswanadham et al. 2009<sup>b</sup>; Estabragh et al. 2014).  
184 Resistive tension forces grow as a consequence of fibers experiencing tensile stress in the presence of strong  
185 swelling forces. Increase in fiber content leads to an increase in total surface area, promoting a greater contact  
186 level between fibers and soil particles. This in turn increases the resistive tension forces among fibers, thus  
187 restricting the effect of swelling. Meanwhile, the randomly distributed fibers resemble a spatial three-  
188 dimensional network to weave or interlock soil particles into a coherent matrix of restricted heave. The greater  
189 the number of included fibers (increase in  $f_c$ ) the more effective the interlocking effect. The swell dependence  
190 on aspect ratio is ascribed to the improvement mechanisms, i.e. resistive tension forces and interlocking. For  
191 a given type of included fiber, an increase in  $f_{AR}$  increases soil-fiber contacts, in turn generating a greater net  
192 resistive tension force among fibers coupled with an enhanced soil-fiber interlocking, restricting the effect of  
193 swelling. This improvement mechanism is in line with the fiber tensile strength  $f_{TS}$ , i.e.  $3000\text{MPa}$  for fiber B  
194 and  $1250\text{MPa}$  for fiber A (see **Table 3**). The more resilient the fiber to withstand stretching along its axis, the  
195 less chance the swelling forces may have to facilitate movement of soil particles interlocked to the fiber.

## 196 **4. Swell-compression model**

### 197 **4.1. Description**

198 As illustrated in **Fig. 7a**, the axial strain-time relationship plotted over the  $\epsilon_a:\log t$  space develops into an S-  
199 shaped curve, graphically represented by the initial, primary and secondary swelling; phases during which  
200 swelling takes place (Dakshanamurthy 1978; Sivapullaiah et al. 1996; Sridharan and Gurtug 2004; Rao et al.  
201 2006; Cui et al. 2012; Ye et al. 2015; Chen et al. 2017). The initial swelling phase, referred to as the first inter-  
202 layer or inter-crystalline swelling, involves macro-structural rearrangements, promoting small volume changes  
203 mainly less than 10% of the total volume increase. Inter-layer swelling continues into the primary swelling  
204 phase which constitutes for up to 80% of the total volume increase, and is graphically represented by a steep-  
205 sloped linear portion bounded by the initial and primary swelling time margins. The secondary swelling phase  
206 takes place as a result of double-layer repulsion, displaying small time-dependent volume changes. Both the  
207 primary and secondary swelling phases occur at micro-structural level where swelling of active clay minerals  
208 take place. Critical variables obtained from the S-shaped curve, defined by a conventional graphical  
209 construction as outlined in **Fig. 7a**, are regarded as useful concepts capable of predicting short- and long-term

210 heave under field conditions (Sridharan and Gurtug 2004), which may be characterized as: **i)** completion time  
 211 of the initial and primary swelling phases ( $t_{is}$  and  $t_{ps}$ ); **ii)** initial, primary and secondary swelling strains ( $\varepsilon_{ais}$ ,  
 212  $\varepsilon_{aps}$  and  $\varepsilon_{ass}$ ); **iii)** primary and secondary swelling rates,  $C_{ps}$  and  $C_{ss}$ , defined as:

$$C_{ps} = \frac{(\%) \varepsilon_{aps}}{10^{-2} \times \log \left( \frac{t_{ps}}{t_{is}} \right)} \quad (1)$$

$$C_{ss} = \frac{(\%) \varepsilon_{ass}}{10^{-2} \times \log \left( \frac{t_{ss}}{t_{ps}} \right)} \quad (2)$$

213 Where  $t_{ss}$  is completion time of the secondary swelling phase.

214 A similar formulation occurs for the axial strain-effective stress relationship plotted over the  $\varepsilon_a:\log\sigma'$  space, as  
 215 illustrated in Fig. 7b. The curve can be divided into two regions, namely the elastic (recompression) and plastic  
 216 (virgin compression) compression zones; phases during which compression takes place. The two regions are  
 217 separated by the yield stress ( $\sigma'=\sigma_y$ ), a transitional stress state which divides the compressibility of the soil into  
 218 a region of small-elastic and large-plastic deformations (Casagrande 1936; Boone 2010). The yield stress  $\sigma_y$   
 219 was defined as the intersection of the recompression and virgin compression lines over the semi-log space of  
 220 void ratio and effective stress (Cui and Delage 1996; Estabragh et al. 2011). Slope of the linear post-yield  
 221 segment, depicted as VCL in Fig. 7b, over the  $\varepsilon_a:\log\sigma'$  space may be adopted to represent the compression  
 222 index ( $C_c$ ) by:

$$C_c = \frac{(1 + e_0) \overbrace{\Delta \varepsilon_a(\sigma')}^{(\%)}}{10^{-2} \times \log \Delta \sigma'} \quad (3)$$

223 Swell-time curve variables for the tested samples are provided in Table 5. As demonstrated in the table,  $t_{is}$  and  
 224  $t_{ps}$  varied in a way opposite to that of swelling potential  $S_p$ . The primary and secondary swelling strains mainly  
 225 demonstrated a trend similar to that of  $S_p$ , meaning that for a given type of included fiber,  $f_c=1.5\%$   
 226 corresponding to the greater aspect ratio (i.e.  $f_{AR}=30/2.5$  for fiber A and  $f_{AR}=30/7$  for fiber B) promoted the  
 227 lowest  $\varepsilon_{aps}$  and  $\varepsilon_{ass}$  values. The initial swelling strain  $\varepsilon_{ais}$  for fiber-reinforced samples also exhibited a noticeable  
 228 decrease compared to that of natural soil, however, no specific trend was observed. Variations of  $C_{ps}$  and  $C_{ss}$   
 229 with  $f_c$  for various reinforcement scenarios are, respectively, illustrated in Figs. 8a and 8b. The fiber inclusions  
 230 led to a noticeable decrease in  $C_{ps}$  and  $C_{ss}$ , indicating a capacity of counteracting the heave in magnitude and  
 231 time. The greater the fiber content or the wider the fiber the less the swelling rates, following a monotonic  
 232 trend for the tested samples. As an optimal case,  $C_{ps}$  and  $C_{ss}$  decreased from  $1.23 \times 10^{-1}$  and  $3.87 \times 10^{-2}$  for natural  
 233 soil to  $6.52 \times 10^{-2}$  and  $1.99 \times 10^{-2}$  for the sample reinforced with fiber B where  $f_c=1.5\%$  and  $f_{AR}=30/7$ ,  
 234 respectively.

235 Variations of  $C_c$  and  $\sigma_y$  for the tested samples are provided in Table 5. Both  $C_c$  and  $\sigma_y$  are dependent on fiber  
 236 content, demonstrating a fall-rise trend, unanimously decreasing at  $f_c=0.5\%$  then rising for higher  $f_c$  inclusions.



237 As a result,  $C_c$  and  $\sigma_y$  nearly reverted to the initial value obtained for natural soil in some circumstances. Such  
 238 a fall-rise relationship suggests that  $f_c=0.5\%$  may be optimal in respect to reducing material collapse when  
 239 stressed. Excessive fiber inclusions likely give rise to significant deformation. It is noteworthy to cross check  
 240 the compression characteristics with the swelling rates which are in favor of a higher fiber content. This  
 241 discrepancy implies that the fiber, like a net, is effective at weaving the soil into a coherent matrix of restricted  
 242 heave, while when excessively included raises deformation concerns.

#### 243 **4.2 Model development**

244 The rectangular hyperbola concept has been widely acknowledged as a simple yet accurate approach capable  
 245 of reproducing the S-shaped swell-time curve over a wide time domain of  $t \in (0, \infty)$  (Dakshanamurthy 1978;  
 246 Sridharan et al. 1986; Sivapullaiah et al. 1996; Sridharan and Gurtug 2004; Ye et al. 2015; Soltani et al. 2017).  
 247 The two-parameter rectangular hyperbola with respect to the axial strain-time relationship can be expressed  
 248 as:

$$\begin{aligned}
 (\%) \varepsilon_a(t) &= \frac{t}{\alpha_s + \beta_s t} \\
 \text{Boundary conditions : } &\begin{cases} \varepsilon_a(0) = 0 \\ \lim_{t \rightarrow \infty} [\varepsilon_a(t)] \cong \frac{1}{\beta_s} \end{cases} \quad (4)
 \end{aligned}$$

249 Where  $\alpha_s$  and  $\beta_s$  are the fitting parameters, and  $1/\beta_s$  defines the positive asymptotic value of the function when  
 250  $t \rightarrow \infty$ , equally the long-term predicted swelling potential.

251 Other forms of the hyperbola function have been adopted in the literature to represent the void ratio-effective  
 252 stress relationship during compression (Sridharan and Gurtug 2005; Chong and Santamarina 2016; Soltani  
 253 2016):

$$\begin{aligned}
 e(\sigma') &= e_0 - \frac{\sigma'^{\mu}}{\alpha_c + \beta_c \sigma'^{\mu}} \\
 \text{Boundary conditions : } &\begin{cases} e(0) = e_0 \\ \lim_{\sigma' \rightarrow \infty} [e(\sigma')] \cong e_0 - \frac{1}{\beta_c} \end{cases} \quad (5)
 \end{aligned}$$

254 Where  $e(\sigma')$  is void ratio in respect to effective stress  $\sigma'$ ,  $e_0$  is the initial void ratio, and  $\alpha_c$ ,  $\beta_c$  and  $\mu$  are the  
 255 fitting parameters.

256 By setting  $e(\sigma') \rightarrow \varepsilon_a(\sigma')$ ,  $e_0 \rightarrow S_p$  and  $\sigma'^{\mu} \rightarrow (\sigma' - \sigma'_0)^{\mu}$ , Equation (5) may be rewritten to satisfy the axial strain-  
 257 effective stress relationship with respect to the swell-compression testing conditions:

$$\varepsilon_a(\sigma') = S_p - \frac{(\sigma' - \sigma'_0)^\mu}{\alpha_c + \beta_c(\sigma' - \sigma'_0)^\mu} \quad (6)$$

Boundary conditions :  $\begin{cases} \varepsilon_a(\sigma'_0) = S_p \\ \lim_{\sigma' \rightarrow \infty} [\varepsilon_a(\sigma')] \cong S_p - \frac{1}{\beta_c} \end{cases}$

258 Where  $S_p$  is swelling potential, which could be fixed as  $S_p=1/\beta_s$  (%), and  $\sigma'_0$  is the nominal overburden stress  
 259 at which the sample was allowed to swell ( $\sigma'_0=1\text{kPa}$  for this study).

260 The swelling pressure  $P_s$ , by definition (i.e.  $\varepsilon_a(\sigma')=0$ ), can be expressed as:

$$P_s = \sigma'_0 + \left[ \frac{\alpha_c}{\beta_s - \beta_c} \right]^{\frac{1}{\mu}} \quad (7)$$

261 Fitting parameters in respect to the proposed swell-compression model (Equations 4 and 6) were obtained by  
 262 the non-linear least-squares optimization technique. The regression accuracy was examined adopting the  
 263 coefficient of determination  $R^2$  and the normalized root mean square error  $NRMSE$ . Fig. 9 presents a typical  
 264 illustration of the proposed swell-compression model for natural soil and the sample reinforced with fiber B  
 265 where  $f_{AR}=30/7$ . Summary of the regression analysis outputs are provided in Table 6. The high  $R^2$  and low  
 266  $NRMSE$  values imply an excellent agreement between actual and predicted data, both in terms of correlation  
 267 and error. The  $R^2$  values were mainly above the 0.99 margin, indicating that approximately 99% of the  
 268 variations in experimental observations are captured and further explained by the proposed model. The  $NRMSE$   
 269 values were observed to be less than 5% for all cases, indicating a maximum prediction offset of 5% associated  
 270 with the proposed swell-compression model. The fitting parameters in respect to the proposed swell-  
 271 compression model are fiber-dependent. As such, a further systematic investigation into the fitting parameters  
 272 may facilitate the development of empirical or dimensional relationships as a function of fiber properties, e.g.  
 273  $\beta_c=F(f_c, f_{AR}, f_{TS})$ . Such a framework would not only complement computational analyses but may also prove  
 274 useful for numerical implementations concerning fiber-reinforced expansive soils.

## 275 5. Conclusions

276 The efficiency of two types of tape-shaped synthetic fibers in counteracting the soil heave upon wetting and  
 277 collapse upon stressing was investigated through a series of experimental tests. Based on test results, the  
 278 following points can be drawn:

- 279 ■ The fiber inclusions prompted a significant reduction in swelling behavior, i.e. swelling potential  $S_p$  and  
 280 swelling pressure  $P_s$ . For a given type of fiber, the reduction was dependent on the fiber content  $f_c$  and the  
 281 fiber aspect ratio  $f_{AR}$ , with the former taking on a more pronounced role. Meanwhile, increase in fiber width  
 282  $f_w$  led to further reduction of  $S_p$  and  $P_s$ .
- 283 ■ Fiber-reinforced samples exhibited an S-shaped swell path, suggesting three swell phases, i.e. initial,  
 284 primary and secondary swelling. Variables obtained from the S-shaped curve were content- and aspect  
 285 ratio-dependent. Completion time of the initial and primary swelling phases varied in a way opposite to that

286 of swelling potential  $S_p$ , while variations observed for the primary and secondary swelling rates were in  
287 direct agreement with  $S_p$ .

- 288 ▪ The compression path for fiber-reinforced samples suggested two compression phases, i.e. elastic and  
289 plastic compression. The yield stress and the compression index were also content- and aspect ratio-  
290 dependent, with  $f_c=0.5\%$  suggesting an optimal case among the tested scenarios.
- 291 ▪ The hyperbola concept was extended to the swell-compression framework, promoting simple equations  
292 capable of simulating the swell-compression behavior of the fiber-reinforced expansive soil with an  
293 acceptable degree of accuracy.

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391 **List of Tables**

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401

**Table 1** – A summary of some of the more recent contributions addressing the aspect ratio-dependent swelling phenomenon

Reference	Type of fiber	Shape of fiber	Content $f_c$ (%)	Length $f_l$ (mm)	Diameter or width $f_d$ or $f_w$ (mm)	Aspect ratio $f_{AR}=f_l/f_d$ or $f_w$
Abdi et al. (2008)	Polypropylene	Bar-shaped	1	5	N/A	N/A
			2	10		
			4	15		
			8			
Al-Akhras et al. (2008)	Nylon	Bar-shaped	1	5	0.2	25
			2	10		
			3	15		
			4	20		
	Palmyra fiber	Bar-shaped	5	10	0.4	100
				20		
				30		
				40		
Sivakumar Babu et al. (2008)	Coir fiber	Bar-shaped	1.0	15	0.25	60
			1.5		0.35	$\approx 43$
			2.0			
			2.5			
Viswanadham et al. (2009 <sup>a, b</sup> )	Polypropylene	Tape-shaped	0.25	30	2	15
			0.50	60		30
Estabragh et al. (2014)	Polyethylene	Bar-shaped			0.3	$\approx 33$
						$\approx 67$
						100
	Polypropylene	Tape-shaped	0.5	10	3.0	$\approx 3$
			1.0	20		$\approx 7$
			1.5	30		10
	Polypropylene	Tape-shaped			5.0	2
						4
				6		
Phanikumar and Singla (2016)	Nylon	Bar-shaped	0.05	15	1	15
			0.10			
			0.15			
			0.20			
			0.25			
			0.30			
Shahbazi et al. (2016)	Polyacrylonitrile	Bar-shaped	0.2	N/A	N/A	5
			0.9			15
			1.6			25
			2.3			35
			3.0			45

402

**Table 2** – Mechanical properties of the expansive soil

Properties	Standard designation	Value
Specific gravity, $G_s$	ASTM D854	2.76
Clay (<2 $\mu$ m) (%)		41.15
Silt (2–75 $\mu$ m) (%)	ASTM D422-63	42.75
Sand (0.075–4.75mm) (%)		16.10
Liquid limit, $LL$ (%)		85.30
Plastic limit, $PL$ (%)	ASTM D4318	26.05
Plasticity index, $PI$ (%)		59.25
Shrinkage limit, $SL$ (%)	ASTM D427	10.34
USCS Classification	ASTM D2487	CH
Swelling potential, $S_p^{1kPa}$ (%)		26.40
Swelling potential, $S_p^{7kPa}$ (%)	ASTM D4546	17.50
Swelling pressure, $P_s$ (kPa)		325
Maximum dry unit weight, $\gamma_{dmax}$ (kN/m <sup>3</sup> )		14.95
Optimum moisture content, $\omega_{opt}$ (%)	ASTM D698	23.40
<b>Notes:</b>		
$S_p^{1kPa}$ = % Expansion from optimum moisture content under $\sigma'_0=1kPa$		
$S_p^{7kPa}$ = % Expansion from air-dry condition under $\sigma'_0=7kPa$		



**Table 3** – Physical and mechanical properties of the fibers (as supplied by the manufacturer)

<b>Type of fiber</b>	<b>Fiber A</b>	<b>Fiber B</b>
<b>Properties</b>	<b>Value</b>	
Specific gravity, $G_s$	0.72	0.85
Width, $f_w$ (mm)	2.5	7.0
Thickness, $f_t$ (mm)	0.01	0.03
Tensile strength, $f_{TS}$ (MPa)	1250	3000
Young's modulus, $f_E$ (MPa)	7000	5000
Type	Single fiber	
Shape	Tape-shaped	
Water adsorption	Negligible	
Resistance to acid and alkaline	Excellent	

**Table 4** – Mechanical properties of the prepared samples

<b>Fiber</b>	$f_c$ (%)	$f_{AR}=f_l/f_w$	$\omega_{opt}$ (%)	$\gamma_{dmax}$ (kN/m <sup>3</sup> )	$e_0$
–	–	–	23.40	14.95	0.811
<b>Fiber A</b>	0.5		22.35	14.80	0.823
	1.0	15/2.5	22.10	14.62	0.838
	1.5		21.72	14.30	0.872
	0.5		25.15	14.50	0.860
	1.0	30/2.5	23.45	14.50	0.853
	1.5		21.05	13.92	0.924
<b>Fiber B</b>	0.5		22.20	14.40	0.874
	1.0	15/7	21.95	14.12	0.904
	1.5		21.20	13.96	0.919
	0.5		22.22	14.25	0.893
	1.0	30/7	25.11	14.15	0.900
	1.5		26.15	13.60	0.970

**Table 5** – Summary of the swell-time and compressibility curve variables for the tested samples

Fiber	$f_c$ (%)	$f_{AR}=f_l/f_w$	$t_{is}$ (min)	$t_{ps}$ (min)	$\varepsilon_{ais}$ (%)	$\varepsilon_{aps}$ (%)	$\varepsilon_{ass}$ (%)	$S_p$ (%)	$C_{ps}$	$C_{ss}$	$P_s$ (kPa)	$C_c$	$\sigma_y$ (kPa)
–	–	–	45	1640	3.71	19.22	3.47	26.40	$1.23 \times 10^{-1}$	$3.87 \times 10^{-2}$	325	0.388	45
<b>Fiber A</b>	0.5	15/2.5	110	3750	2.67	18.37	1.91	22.95	$1.20 \times 10^{-1}$	$3.55 \times 10^{-2}$	215	0.331	38
	1.0		115	4200	2.25	18.22	1.58	22.05	$1.17 \times 10^{-1}$	$3.23 \times 10^{-2}$	198	0.388	49
	1.5		155	4450	2.48	15.97	1.40	19.85	$1.10 \times 10^{-1}$	$3.02 \times 10^{-2}$	177	0.405	82
	0.5	30/2.5	130	4200	2.84	17.90	1.71	22.45	$1.19 \times 10^{-1}$	$3.49 \times 10^{-2}$	205	0.377	44
	1.0		160	4460	2.48	15.45	1.37	19.30	$1.07 \times 10^{-1}$	$2.96 \times 10^{-2}$	192	0.401	55
	1.5		265	6100	2.10	13.05	0.85	16.00	$9.58 \times 10^{-2}$	$2.60 \times 10^{-2}$	135	0.443	66
<b>Fiber B</b>	0.5	15/7	155	4255	2.27	16.20	1.63	20.10	$1.13 \times 10^{-1}$	$3.37 \times 10^{-2}$	201	0.340	38
	1.0		165	4625	2.38	14.47	1.35	18.20	$1.00 \times 10^{-1}$	$3.02 \times 10^{-2}$	163	0.386	41
	1.5		200	5110	2.16	13.09	1.10	16.35	$9.30 \times 10^{-2}$	$2.72 \times 10^{-2}$	136	0.431	76
	0.5	30/7	140	4510	2.57	16.51	1.47	20.55	$1.09 \times 10^{-1}$	$3.21 \times 10^{-2}$	158	0.382	39
	1.0		170	4710	2.19	12.82	1.19	16.20	$8.89 \times 10^{-2}$	$2.71 \times 10^{-2}$	124	0.390	42
	1.5		190	5765	1.78	9.67	0.70	12.15	$6.52 \times 10^{-2}$	$1.99 \times 10^{-2}$	97	0.461	53

**Table 6** – Summary of the regression analysis outputs in respect to the proposed swell-compression model (Equations 4, 6 and 7)

Fiber	$f_c$ (%)	$f_{AR}=f_i/f_w$	$a_s$	$\beta_s$	$R^2$	$NRMSE$ (%)	$S_p$ (%)	$1/\beta_s$ (%)	$\varepsilon_a(t_{ss})$ (%)	$a_c$	$\beta_c$	$\mu$	$R^2$	$NRMSE$ (%)	$P_s^a$ (kPa)	$P_s^m$ (kPa)
–	–	–	10.79	$3.73 \times 10^{-2}$	0.994	3.3	26.40	26.81	26.22	0.951	$1.68 \times 10^{-2}$	0.661	0.999	0.9	325	335
Fiber A	0.5	15/2.5	34.59	$4.01 \times 10^{-2}$	0.993	3.5	22.95	24.94	23.38	0.318	$1.20 \times 10^{-2}$	0.453	0.992	3.1	215	214
	1.0		42.24	$4.09 \times 10^{-2}$	0.990	4.2	22.05	24.45	22.65	0.293	$3.72 \times 10^{-3}$	0.389	0.994	2.9	198	204
	1.5		51.80	$4.52 \times 10^{-2}$	0.990	4.1	19.85	22.12	20.33	0.303	$1.35 \times 10^{-3}$	0.377	0.993	3.1	177	169
	0.5	30/2.5	41.37	$4.11 \times 10^{-2}$	0.991	4.0	22.45	24.33	22.58	0.321	$8.17 \times 10^{-3}$	0.433	0.996	2.4	205	194
	1.0		54.25	$4.71 \times 10^{-2}$	0.995	3.0	19.30	21.23	19.50	0.372	$4.86 \times 10^{-3}$	0.418	0.993	3.1	192	183
	1.5		103.84	$5.33 \times 10^{-2}$	0.994	3.1	16.00	18.76	16.31	0.354	$1.26 \times 10^{-3}$	0.396	0.989	3.7	135	127
Fiber B	0.5	15/7	53.30	$4.43 \times 10^{-2}$	0.995	3.2	20.10	22.57	20.66	0.582	$1.76 \times 10^{-2}$	0.589	0.990	3.9	201	188
	1.0		60.54	$4.95 \times 10^{-2}$	0.995	2.9	18.20	20.20	18.46	0.742	$1.67 \times 10^{-2}$	0.625	0.995	2.7	163	149
	1.5		79.13	$5.47 \times 10^{-2}$	0.996	2.8	16.35	18.28	16.45	0.355	$1.92 \times 10^{-4}$	0.388	0.994	2.7	136	126
	0.5	30/7	48.74	$4.36 \times 10^{-2}$	0.995	3.2	20.55	22.94	21.11	0.387	$1.32 \times 10^{-2}$	0.505	0.994	3.1	158	156
	1.0		70.68	$5.61 \times 10^{-2}$	0.992	3.8	16.20	17.83	16.25	1.012	$1.85 \times 10^{-2}$	0.689	0.996	2.5	124	120
	1.5		109.18	$7.25 \times 10^{-2}$	0.987	4.8	12.15	13.79	12.36	1.849	$1.85 \times 10^{-2}$	0.785	0.995	2.6	97	91

**Notes:** $1/\beta_s$  = Long-term predicted swelling potential $\varepsilon_a(t_{ss})$  = Short-term predicted swelling potential (Equation 4 when  $t=t_{ss}=216\text{hr}$ ) $P_s^a$  = Actual swelling pressure $P_s^m$  = Predicted swelling pressure (Equation 7)

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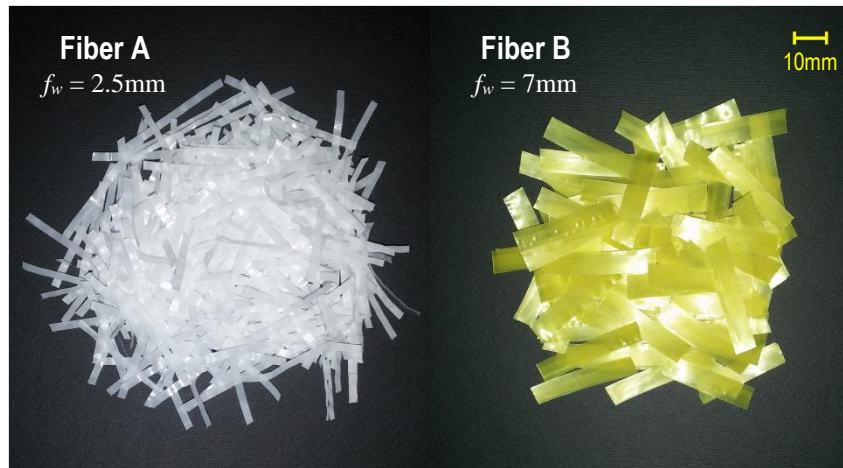
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**Fig. 1** – Loose tape-shaped polypropylene fibers



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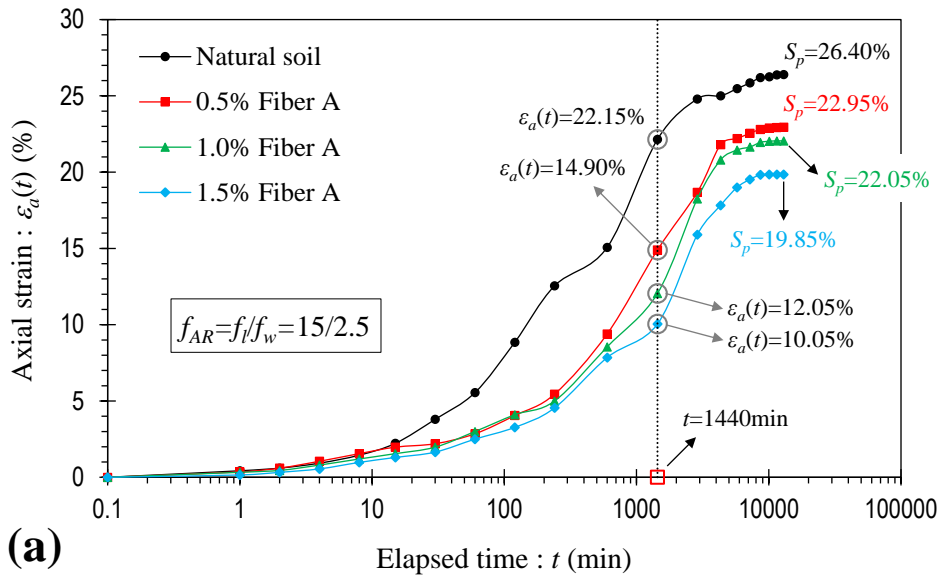
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**Fig. 2** – Axial strain-time curves for natural soil and samples reinforced with fiber A: **(a)**  $f_{AR}=15/2.5$ ; **(b)**

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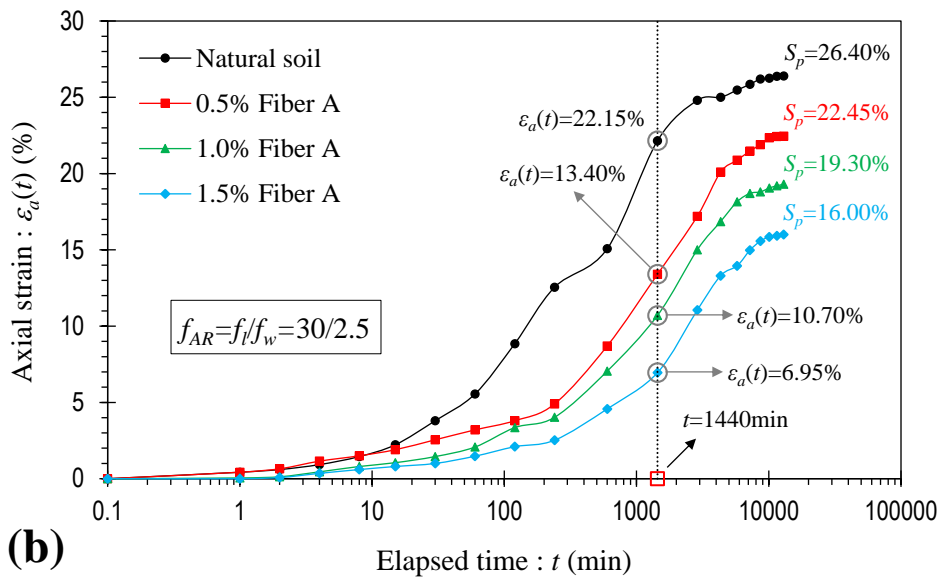
$f_{AR}=30/2.5$



**(a)**

433

434



**(b)**

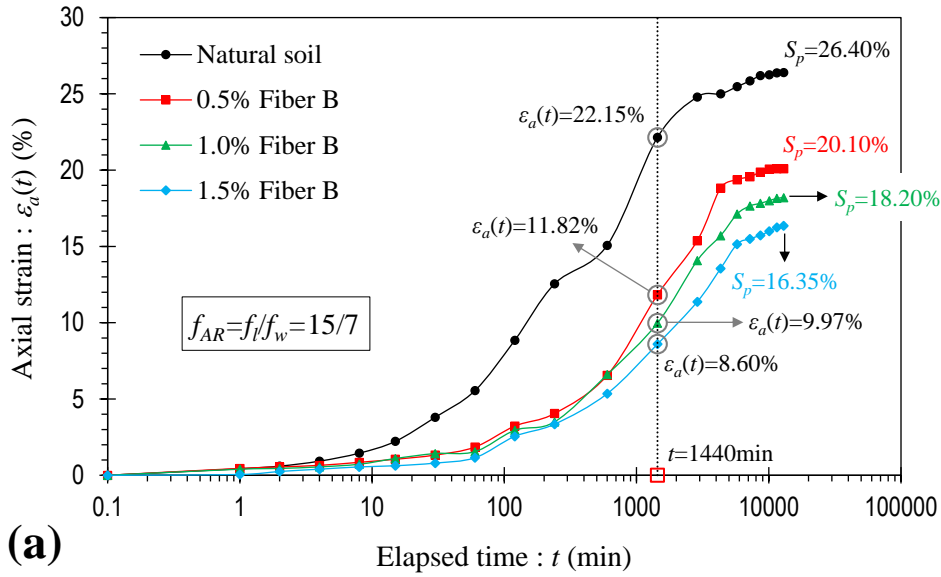
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**Fig. 3** – Axial strain-time curves for natural soil and samples reinforced with fiber B: (a)  $f_{AR}=15/7$ ; (b)

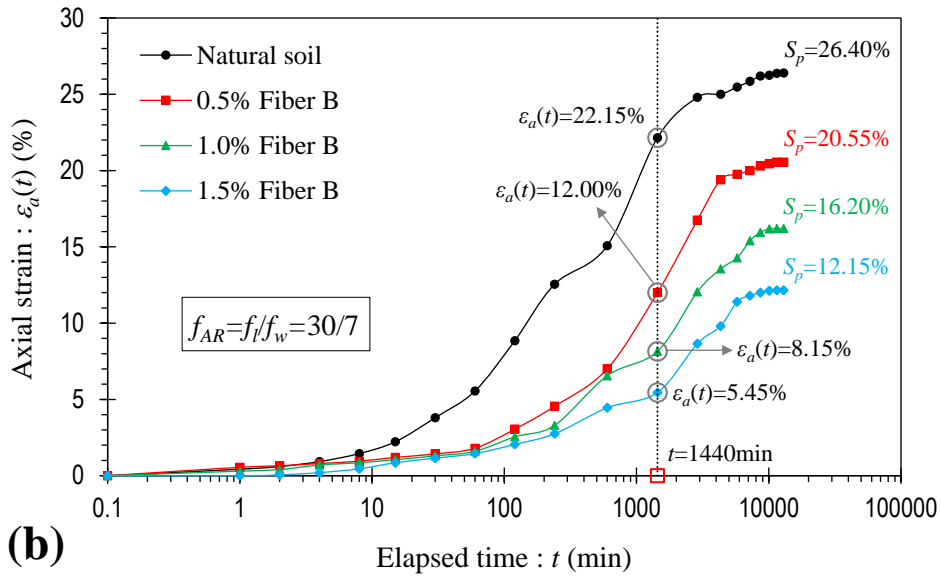
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$f_{AR}=30/7$



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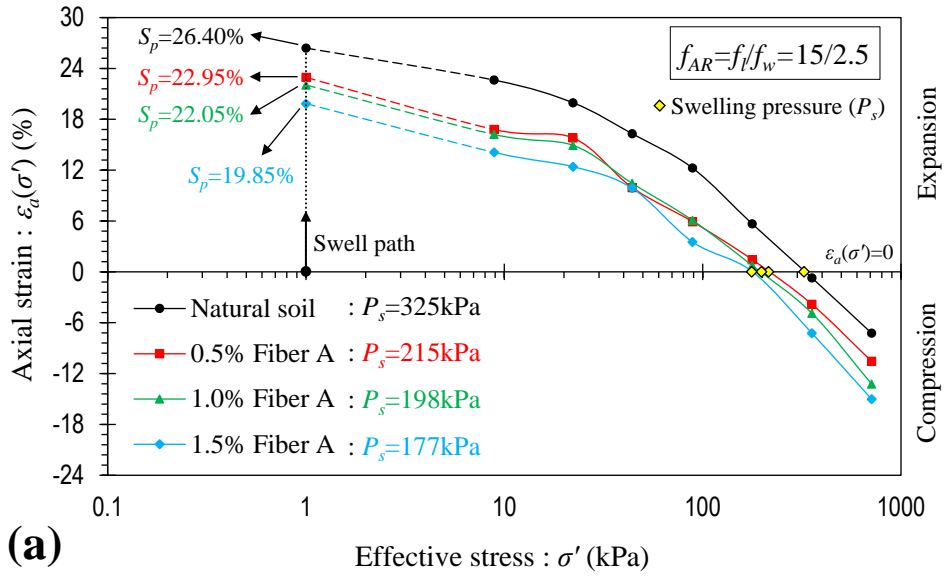


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**Fig. 4** – Axial strain-effective stress curves for natural soil and samples reinforced with fiber A: **(a)**

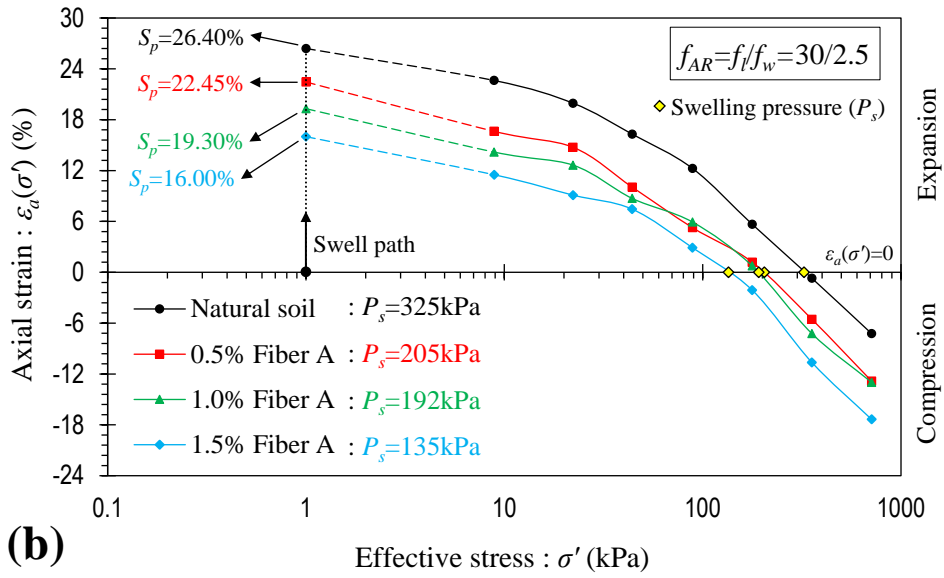
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$f_{AR}=15/2.5$ ; **(b)**  $f_{AR}=30/2.5$



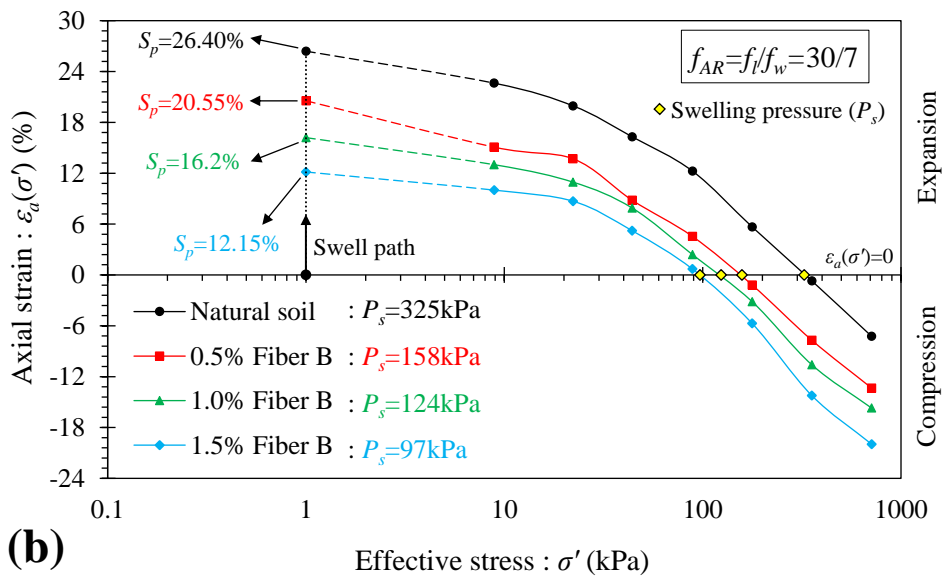
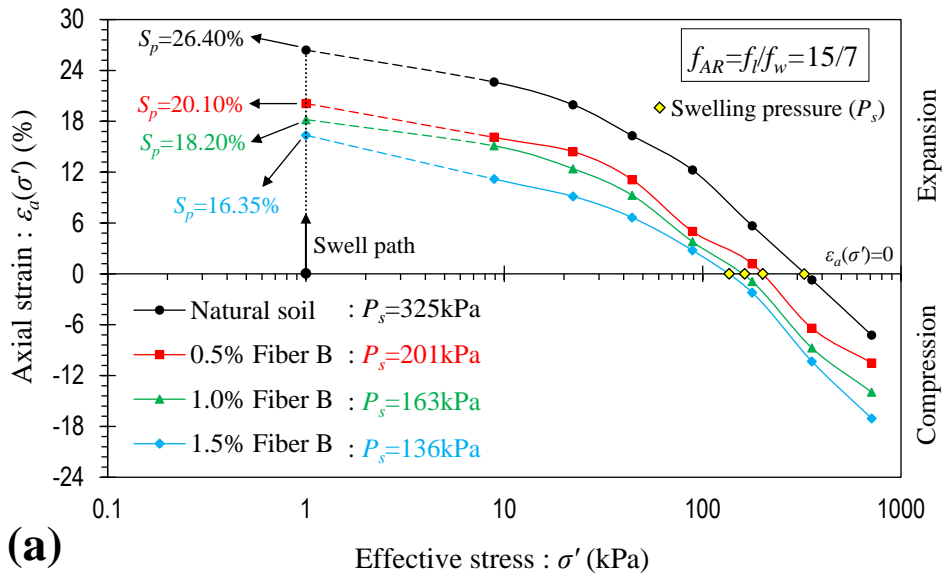
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446 **Fig. 5** – Axial strain-effective stress curves for natural soil and samples reinforced with fiber B: (a)  $f_{AR}=15/7$ ;  
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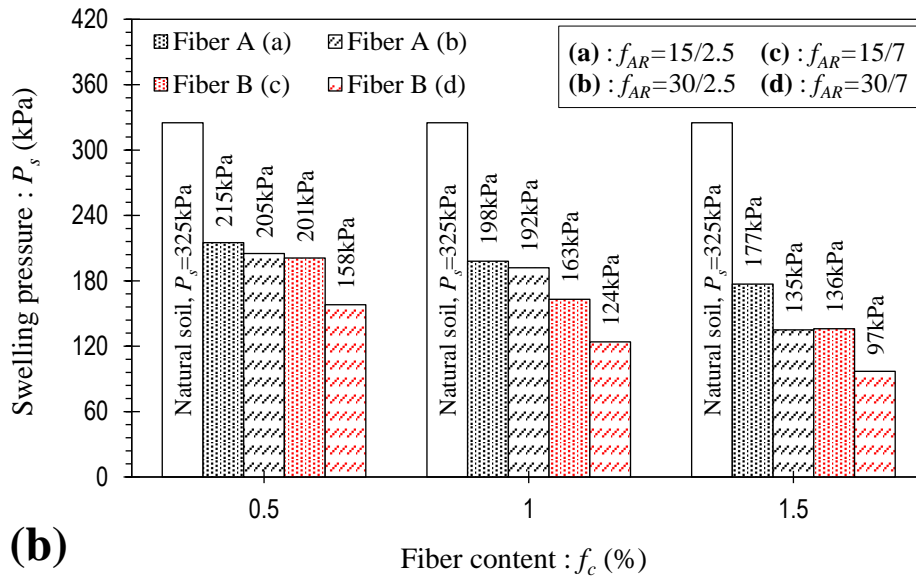
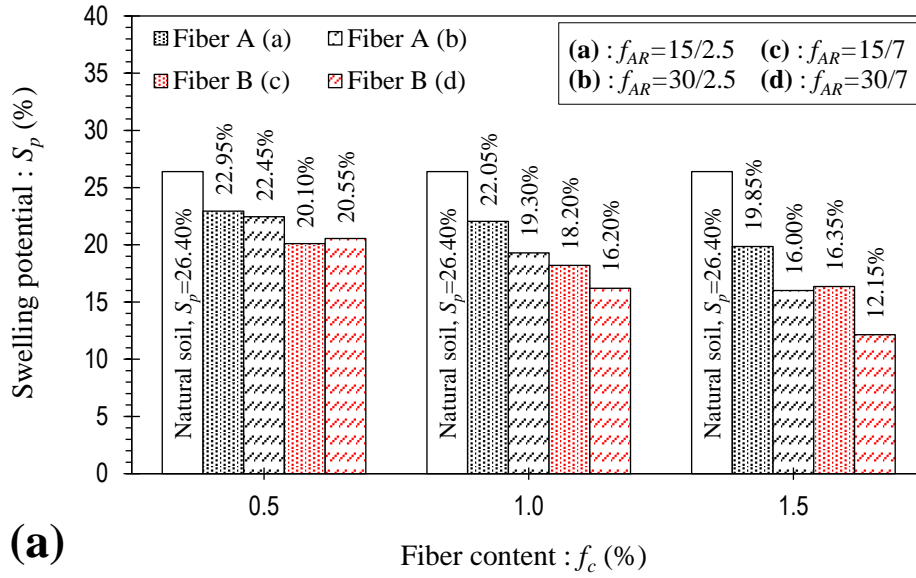


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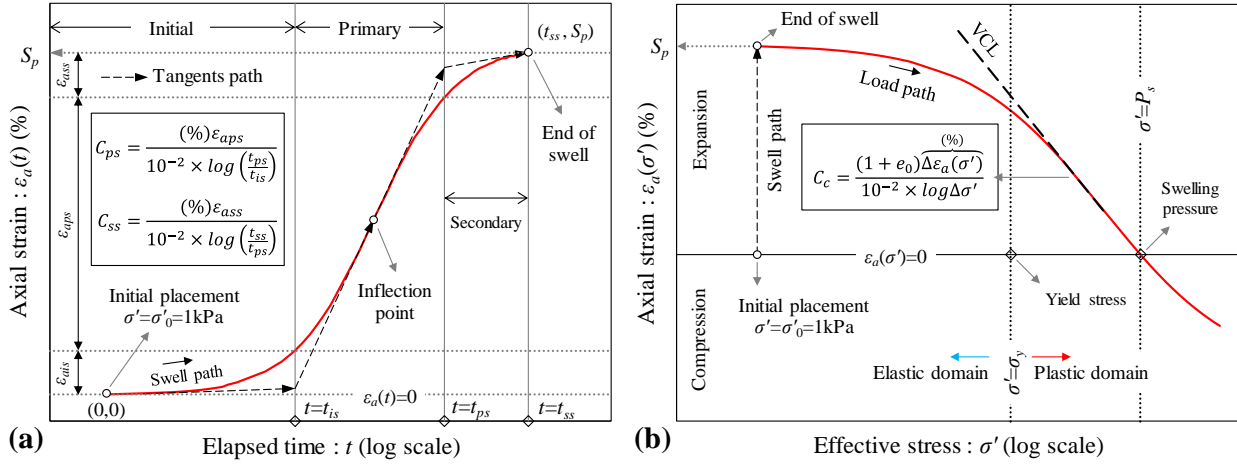
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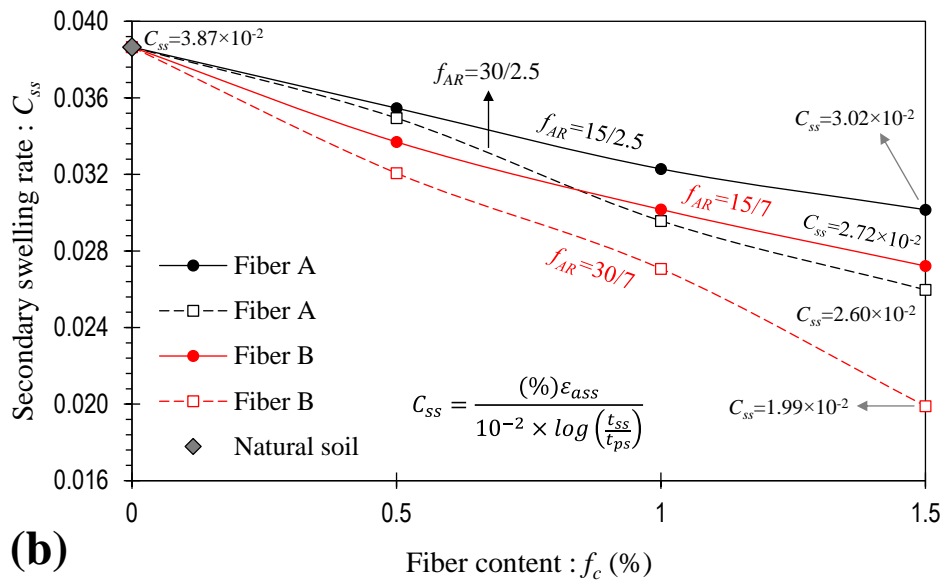
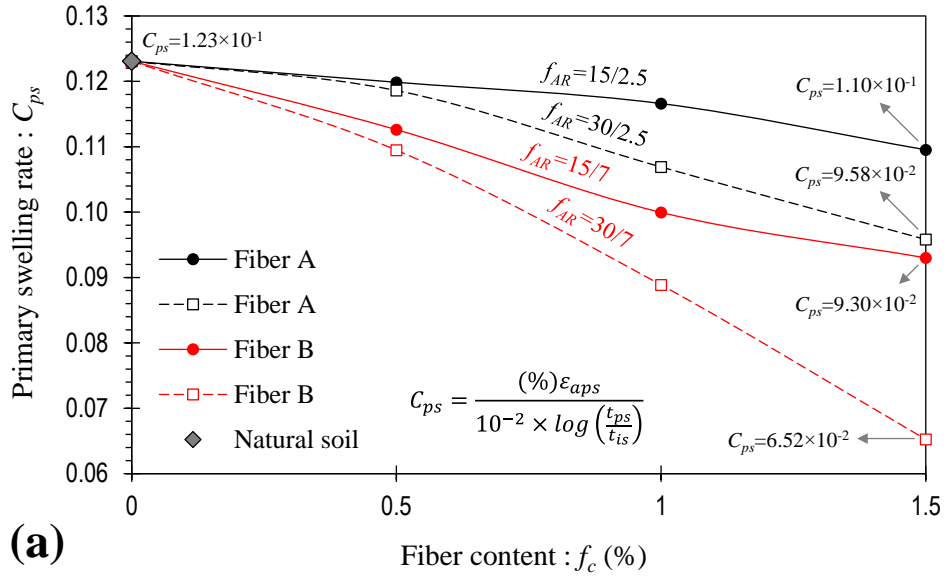
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**Fig. 9** – Typical illustration of the proposed swell-compression model (Equations 4, 6 and 7) for natural soil and samples reinforced with fiber B where  $f_{AR}=30/7$

