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Initiation of soil formation in weathered sulfidic Cu-Pb-Zn tailings under subtropical and semi-arid climatic conditions

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Abstract

Field evidence has been scarce about soil (or technosol) formation and direct phytostabilization of base metal mine tailings under field conditions. The present study evaluated key attributes of soil formation in weathered and neutral Cu-Pb-Zn tailings subject to organic amendment (WC: woodchips) and colonization of pioneer native plant species (mixed native woody and grass plant species) in a 2.5-year field trial under subtropical and semi-arid climatic conditions. Key soil indicators of engineered soil formation process were characterized, including organic carbon fractions, aggregation, microbial community and key enzymatic activities. The majority (64-87 %) of the OC was stabilized in microaggregate or organo-mineral complexes in the amended tailings. The levels of OC and water soluble OC were elevated by 2-3 folds across the treatments, with the highest level in the treatment of WC and plant colonization (WC+P). Specifically, the WC+P treatment increased the proportion of water stable macroaggregates. Plants further contributed to the N rich organic matter in the tailings, favouring organo-mineral interactions and organic stabilization. Besides, the plants played a major role in boosting microbial biomass and activities in the treated tailings. WC and plants enhanced the contents of organic carbon (OC) associated with aggregates (e.g., physically protected OC), formation of water-stable aggregates (e.g., micro and macroaggregates), chemical buffering capacity (e.g., cation exchange capacity). Microbial community and enzymatic activities were also stimulated in the amended tailings. The present results showed that the formation of functional technosol was initiated in the eco-engineered and weathered Cu-Pb-Zn tailings under field conditions for direct phytostabilization.

Keywords

Soil formation, Organic carbon fractions, microbial community, enzymes, Cu-Pb-Zn tailings

1 1. Introduction

2 ¹Sulfidic metal mine tailings inherently possess major constraints of hydrogeochemical instability
3 due to the presence of abundant reactive primary minerals (e.g., sulphides) and physical compaction
4 due to fine and homogenous texture, without favourable basic physicochemical conditions for the
5 survival and colonization of even tolerant native plant species (Huang et al., 2012). As a result,
6 direct phytostabilization of sulfidic metal mine tailings under field conditions has so far been
7 largely unsuccessful without substantial improvement of physical structure, and biogeochemical
8 capacity and functions (Ye et al., 2002; Mendez and Maier, 2007; Huang et al., 2012). Organic
9 amendments (OA) (e.g., municipal and industrial by-products, manures, biosolids) were used to
10 improve short-term plant establishment, (Romero et al., 2007; Meeinkuirt et al., 2013). However,
11 long-term growth and recruitment of revegetated plant species would require systematic
12 development and rehabilitation of soil attributes and processes in the tailings towards those of
13 functional technosols (Huang et al., 2014; Li et al., 2015a; Zornoza et al., 2017). Conventional
14 revegetation approach for tailings is to apply a surface barrier material (30-100cm) and a suitable
15 growth medium for plant establishment. The *in situ* technology to eco-engineer tailings into
16 technosols would not only reduce costs related to sourcing and transporting large volumes of
17 external capping materials and/or soils, but also reduce the environmental liability to surrounding
18 ecosystems. Sulfidic metal mine tailings (e.g., Cu, Pb-Zn, Fe ore) are mineralogically and
19 chemically different from natural soil, which are much more abundant in primary minerals (e.g.,
20 pyrite, chalcopyrites) with unstable geochemistry and inherent extreme toxicity (Li and Huang,
21 2015). Natural weathering of these primary minerals, especially pyrite is shown to be relatively
22 rapid even in arid area and controls the levels of acidity and solubility of toxic metals and saline
23 ions (Gleisner and Herbert, 2002; Hayes et al., 2011), which are fundamental causes for

WC: woodchips
OC: organic carbon
OA: organic amendments
MIM: Mount Isa Mines
TD5: Tailings dam 5

24 phytotoxicity and rehabilitation failure (Callery and Courtney, 2015). After an extensive weathering
25 of sulfidic tailings over decades (>e.g., about 30 years) under semi-arid climatic conditions, the
26 relative hydro-geochemical stability resulted from the oxidation and depletion of large amounts of
27 sulphides (<8 wt.%) compared to the freshly deposited sulfidic tailings (>30 wt.%) (Forsyth, 2014),
28 providing the opportunity to rehabilitate physical structure (e.g., water-stable aggregates) and soil
29 biological properties towards functional technosols and the colonization of target plant communities
30 (Huang et al., 2012; Huang et al., 2014). In the early phase of soil formation, water-stable
31 aggregates and microbial metabolic functions in relation to organic matter decomposition would be
32 indicative of the initiation of soil formation in the weathered tailings, in response to the eco-
33 engineering inputs, such as organic inputs and pioneer plant colonization.

34 Tailings contain very low levels of organic matter, with little soil microbial abundance and diversity
35 and metabolic activities (Li et al., 2015b). Although exogenous inputs of organic matter
36 significantly overcome physical compaction of the tailings through bulking effects, it is necessary to
37 initiate and stimulate the formation of water-stable aggregates and intrinsic physical structure for
38 the formation of functional technosols. Aggregation of tailing particles would be results of
39 integrated interactions of (geo)chemistry, mineralogy and biology in the eco-engineered tailings.
40 Soil formation from parent materials is substantially accelerated by the involvement of
41 microorganisms and plant roots in the natural soil systems (Jenny, 1941). Our previous studies
42 showed that organic amendments and colonization of tolerant pioneer plant stimulated aggregation,
43 microbial abundance and organic carbon sequestration in Pb-Zn tailings in glasshouse trials (Yuan
44 et al., 2016).

45 It is recognized that studies conducted under glasshouse conditions had much more favourable
46 water and temperature conditions than those in the field, leading to improved survival and growth of
47 microorganisms and introduced plants. Field evidence of eco-engineered soil formation in sulfidic
48 metal mine tailings has been scarce in literature. It is necessary to investigate if the eco-engineering
49 factors (such as organic amendments and pioneer plant growth) could also effectively stimulate

50 physicochemical and biological changes in the tailings under field conditions, in order to develop
51 field-based eco-engineering methodology to stimulate *in situ* soil formation from tailings. This
52 would be a prerequisite to improving success of phytostabilization of sulfidic tailings.

53 The present study aimed to characterize key indicators of initial phase of soil formation, including
54 the properties of aggregates and associated organic carbon contents, microbial biomass, and
55 activities of representative soil enzymes, in the weathered Cu-Pb-Zn tailings subject to organic
56 amendments and/or colonization of mixed native pioneer plant species for 2.5 years under the field
57 conditions. We sampled a 2.5-year field trial established in Northwest Queensland, Australia, under
58 subtropical (19-32 °C) and semi-arid climatic conditions (2800 mm annual pan evaporation and 464
59 mm average annual rainfall mainly in November-February). In this field trial, molecular analysis
60 revealed a shift from lithotroph- to organotroph-dominance bacterial communities in woodchips
61 (WC) amended Cu-Pb-Zn tailings with native vegetation cover (Li et al., 2015a).

62 **2. Materials and methods**

63 **2.1 Experimental design and sampling**

64 Background information regarding the location and climatic conditions of experimental site were
65 previously described (Li et al., 2014). Briefly, the tailings dam of Mount Isa Mines (MIM) (20.73
66 °S, 139.5 °E) is located in Northwest Queensland, Australia, under subtropical and semi-arid
67 climatic conditions. The rainfall and temperature conditions during field incubation were in the
68 appendices (Fig.A1).

69 The weathered and neutral Cu/Pb-Zn tailings were excavated in bulk from MIM Tailings dam 5
70 (TD5) (decommissioned), which were air-dried, crushed and mixed thoroughly before use. An
71 appropriate volume of the tailings was mixed with designated treatments: namely, control (tailings
72 only, TD5) and WC (ratio of organic carbon and nitrogen, OC: N = 98) at the rate of 20 % (v/v)
73 (TD5+WC), which were loaded into intermediate bulk containers (1 x 1 x 1 m dimension) *in situ* in
74 November 2009. Native plants (*Triodia pungens*, *Acacia chisolmii*, *Ptilotus exaltatus*) were

75 sown/transplanted in the tailings with or without WC (i.e., TD5+P, TD5+WC+P). There were 4
76 treatments in total: TD5, TD5+WC, TD5+P, and TD5+WC+P, with 3 replicates in each treatment.
77 Tailings and the amended/revegetated tailings from each replicate were sampled in April 2012 at 0-
78 10 cm depth from the treatments described above. Each sample consisted of a composite of 5 cores
79 taken randomly from the central area (about 5-10 cm from the edge) of each container. The fresh
80 samples were sealed in plastic bags in the field and transported back to the laboratory in a cool
81 container and stored at 4°C for microbial analysis within 1 week after collection. For
82 physicochemical analyses, subsamples were dried at 40 °C and sieved through 2 mm prior to use.

83 **2.2 Physicochemical analysis**

84 The pH and electrical conductivity (EC) (1:5 tailings: water) in water were measured by using a pH
85 electrode (TPS 900-P) and an EC electrode (TPS 2100), respectively. Cation exchange capacity
86 (CEC) was quantified using the silver thiourea method (Rayment and Lyons, 2011). Water holding
87 capacity (WHC) was measured using the saturation method (Wang et al., 2003). Total elemental
88 concentrations (i.e., Cu, Pb, Zn, S, Fe) were determined by means of inductively coupled plasma
89 optical emission spectroscopy (ICP-OES, Varian) after aqua-regia digestion. A standard reference
90 soil material (SRM 2711a Montana soil, National Institute of Standards, USA) was used to verify
91 the accuracy of element determinations with recoveries ranging from $90 \pm 10\%$. Water-soluble
92 organic carbon (WSOC) was extracted by shaking fresh samples with deionized water at a ratio of
93 1: 2 (w/v) on an end-over-end shaker at 20 °C for 1 h and determined using the dichromate
94 digestion method (Bremner and Jenkinson, 1960). Concentrations of water-soluble elements (i.e.,
95 Cu, Pb, Zn, S) were analysed with ICP-OES after shaking 1 g samples in 50 ml deionised water for
96 1 h.

97 **2.3 Aggregates separation and organic carbon fractionation**

98 OC in the tailings was fractionated following the procedure as shown in Fig. 1 (Six et al., 2002). In
99 brief, 150 g of air-dried samples were submerged in deionized water on a 250 µm sieve for 5
100 minutes to allow slaking of water-unstable aggregates, which were separated through a nest of

101 sieves (250 μm and 53 μm) using wet sieving method. The sieving carried out manually by moving
102 the sieves up and down 3 cm at the rate of 50-time in 2 minutes. Fractions retained on each sieve
103 were gently back-washed into 500 ml polyethylene evaporation containers and oven dried at 50-60
104 $^{\circ}\text{C}$ for 15 h. The mass of silt+clay particles ($< 53 \mu\text{m}$) was calculated by the differences between
105 total mass of the sample used for fractionation and the aggregates collected. Mean weight diameter
106 (MWD) was calculated using the following equation **Error! Reference source not found.**):

$$107 \quad MWD = \sum_{i=1}^n x_i w_i \text{ (Eq. 1)}$$

108 where x_i is the mean diameter of any particular size range of aggregates separated by sieving, w_i is
109 the weight of aggregates in that size range as a fraction of the total dry weight of the tailings used,
110 and n is the number of aggregate classes separated.

111 Following initial separation, a 15 g sub-sample of macroaggregate ($> 250 \mu\text{m}$) and microaggregate
112 (53-250 μm) fractions were dispersed in 45ml 0.5 % sodium hexametaphosphate (Calgon) using a
113 mechanical end-over-end shaker for 15 h at a speed of 30 rpm at room temperature ($22 \pm 1 \text{ }^{\circ}\text{C}$). The
114 dispersed macroaggregate and microaggregate fractions were further separated by passing the
115 tailings through 53 μm sieve. The OC in the intra-macroaggregate particulate fraction ($> 53 \mu\text{m}$)
116 (macro-iPOC) was regarded as unprotected OC in the tailings; and that in the intra- microaggregate
117 particulate fraction ($> 53 \mu\text{m}$) (micro-iPOC) was regarded as physically protected OC in the
118 tailings. The OC in intra-macroaggregate silt+clay fraction ($< 53 \mu\text{m}$) (macro-iMOC), intra-
119 microaggregate silt+clay fraction ($< 53 \mu\text{m}$) (micro-iMOC), and silt+clay particles ($< 53 \mu\text{m}$)
120 (oMOC) were all regarded as mineral associated OC (MOC).

121 **Fig. 1**

122 OC and N concentrations in tailings and each fractions were determined by dry-combustion with a
123 LECO CNS-2000 analyzer (LECO Corporation, MI, USA) after acid-removal of inorganic carbon
124 (You et al. 2014). There was a mean of 99.6 % mass recovery in aggregate dispersion and
125 fractionation procedure. Recovery of OC ranged from 85.5 to 115.3 % (mean, 104.1 %) in
126 macroaggregate dispersion and fractionation, and ranged from 84.7 to 109.2 % (mean, 96.9 %) in

127 microaggregate dispersion and fractionation, respectively. OC stock in each fraction was calculated
128 based on OC concentrations of each fraction and mass distribution (%) of the fraction.

129 **2.4 Microbial biomass, mineralization rates and enzyme assays**

130 Microbial biomass carbon (MBC) in fresh samples was determined using the chloroform fumigation
131 and extraction method. MBC was calculated as the difference of OC between fumigated and
132 unfumigated samples with a conversion factor K_{EC} as 0.38 (Vance et al., 1987). Microbial biomass
133 nitrogen (MBN) was calculated as the difference of ninhydrin nitrogen (Inubushi et al., 1991)
134 between fumigated and unfumigated samples, which was multiplied with a conversion factor K_{EN} as
135 0.54 (Joergensen, 1996).

136 The N mineralization rate was assessed using an incubation method (Chen et al., 2004). In brief, 50
137 g fresh tailings samples were incubated aerobically at 25 °C for 28 days. Water loss from the
138 tailings during incubation was adjusted with deionised water every two days. Subsamples were
139 taken at day 0 and 28 after commencing incubation and extracted with 2M potassium chloride for
140 the analysis of mineral N (the sum of ammonium nitrogen (NH_4-N) and nitrate nitrogen (NO_3-N) in
141 the extract). The net mineralization rate was calculated from the difference of mineral N in the
142 extracts of each incubated sample between day 28 and day 0. Concentrations of NH_4-N in the
143 extracts were measured with the indophenol blue method (Verdouw et al., 1978) and NO_3-N with
144 the salicylic acid colorimetric method (Cataldo et al., 1975). Activities of four enzymes were
145 measured in this study. Dehydrogenase activity was measured using the method of (Serra-Wittling
146 et al., 1995). Invertase activity was determined using sucrose as the substrate (Frankeberger and
147 Johanson, 1983). Urease activity was determined with urea as a substrate for incubation (McGarity
148 and Myers, 1967). Neutral phosphatase activity was analysed by the disodium phenyl phosphate
149 method (Shen et al., 2006).

150 **2.5 Statistical methods**

151 Primary data processing was performed using Microsoft[®] Excel. One-way analysis of variance
152 (ANOVA) was carried out for significant treatment effects after normality check. Two-way

153 ANOVA was carried out for test of significance of the effects of organic amendments (OA) and
154 Plant. Means were compared using the least significant differences (LSD) test at $P = 0.05$. Pearson
155 linear correlations between biogeochemical properties and microbial properties in tailings were also
156 calculated. All statistical analyses were conducted using the SPSS software package (SPSS
157 Statistics 20.0, Chicago, IL, USA).

158 3. Results

159 3.1 Geochemical and physico-chemical conditions among treatments

160 The weathered Cu-Pb-Zn tailings represents a habitat deficient in nutrients but high in levels of
161 soluble salts and total heavy metals, which were above known tolerance thresholds. Specifically, the
162 levels of total N (TN) and water soluble nitrogen (WSN) in the tailings TD5 were the lowest, which
163 were 0.11 g kg^{-1} and 0.9 mg kg^{-1} , respectively (Table 1). Tailings amended with the WC
164 with/without plant colonization (i.e., TD5+WC, TD5+P, TD5+WC+P) showed an overall
165 improvement of the levels of N. The N levels were more than tripled in all the amended tailings,
166 ranging from 0.34 to 0.43 g kg^{-1} , with the highest levels in the TD5+WC+P treatment. The levels of
167 WSN increased up to 3.1 mg kg^{-1} in TD5+WC. In the tailings with plant cover, (i.e., TD5+P and
168 TD5+WC+P), WSN increased by 5-fold (17.2 and 19.0 mg kg^{-1} respectively), compared to those in
169 TD5+WC (Table 1).

170 Despite of the high degree of weathering, the weathered tailings contained high concentrations of
171 soluble salts (EC) and water-soluble S. Cu, Pb and Zn, regardless of treatments, perhaps due to
172 inadequate leaching activities. However, the levels of water soluble heavy metals (such as Cu and
173 Zn) were 1000-fold lower than their total levels, due to the presence of circumneutral pH
174 conditions. The treatments did not significantly decrease pH in TD5+P and TD5+WC+P. In contrast,
175 EC levels in all the amended tailings (i.e., TD5+WC, TD5+P, TD5+WC+P) were significantly
176 elevated, compared to that in the control (tailings only) ($P < 0.001$), possibly due to enhanced
177 dissolution of minerals and ion exchange. The levels of water-soluble S and Cu in these amended
178 tailings were elevated to 2-3 folds of those in the control (Table 1).

179 In the tailings without treatments, microaggregates accounted for about 49.8 % and
180 macroaggregates only 11.2 % across the treatments. The treatments of WC and plant growth (i.e.,
181 TD5+WC, TD5+P, TD5+WC+P) increased the proportion of macroaggregates to 19-21%
182 (compared to 11% in the control) and MWD by 33-50% (Table 1). The WHC was the lowest in the
183 control (TD5), but increased by 2-3% in all the treated tailings ($P < 0.01$).

184 Table 1

185 3.2 Organic carbon fractions

186 In the tailings without the eco-engineering inputs, the TOC levels in the tailings were as low as 1.5
187 g kg^{-1} (Table 1). The WC with/without plant growth treatments significantly elevated the TOC
188 levels by as much as 2-6 folds in the amended tailings (including TD5+WC, TD5+P, TD5+WC+P)
189 ($P < 0.05$), with the highest in the TD5+WC+P. Water-soluble organic carbon (WSOC) accounted
190 for only 1-2 % of the TOC in the treated tailings. Compared to as low as 5.1 mg kg^{-1} in the TD5,
191 WSOC levels were significantly increased ($P < 0.05$) in all the amended tailings, ranging from 26.1
192 to 78.3 mg kg^{-1} , with the highest in the TD5+WC+P. The majority (64-87 %) of the OC was
193 stabilized in microaggregate or organo-mineral complexes in all the treatments (Fig. 2).

194 Specifically, the WC and plant treatments significantly ($P < 0.05$) increased OC concentrations and
195 OC stocks in all the fractions, except for the OC in Macro-iMOC fraction of the WC treatment (i.e.,
196 TD5+WC) (Table 2). The OC concentrations associated with the Macro-iPOC fraction increased
197 from 3.2 g kg^{-1} in the control to 11.7-13.8 g kg^{-1} in the amended tailings, regardless of plant
198 colonization (including TD5+WC, TD5+P, TD5+WC+P). The OC stock in Macro-iPOC fraction
199 increased from 0.19 g kg^{-1} in the control to 0.9-1.7 g kg^{-1} tailing, accounting for 22-36 % of TOC.
200 The physically protected OC in microaggregate (Micro-iPOC) also increased significantly in all the
201 amended tailings ($P < 0.001$), regardless of plant growth. The concentrations of Micro-iPOC
202 increased from 2.3-3.7 g kg^{-1} in the control to 4.3-6.0 g kg^{-1} in the amended tailings. Similarly, the
203 OC stock in Micro-iPOC fraction increased from 0.73 g kg^{-1} in the control, to 1.42-1.45 g kg^{-1} in
204 the WC-amended tailings regardless of plant growth (i.e., TD5+WC and TD5+WC+P). The

205 physically protected OC accounted for 13-34 % of the TOC in the amended tailings. The OC
206 concentrations in mineral associated fractions (Macro-iMOC, Micro-iMOC and iMOC) increased
207 from 1.8 g kg⁻¹ in the control to 3.1-9.1 g kg⁻¹ in the amended tailings. The corresponding OC stock
208 was 1.1-2.0 g kg⁻¹ (45-77 % of TOC) in the amended tailings, compared to that (0.6 g kg⁻¹) in the
209 control.

210 In the bulk tailings, OC: N ratios ranged from 11.7-13.7 without significant differences among the
211 treatments (Table 1); but this ratio did vary greatly among different OC fractions (Table 2).
212 Specifically, in all the amended tailings (i.e., TD5+WC, TD5+P, TD5+WC+P), OC: N ratios were
213 highest in the Macro-iPOC (24.5-33.0) fraction, followed by Micro-iPOC (16.8-17.7) and the
214 lowest in MOC (4.1-6.3) (e.g., Macro-iMOC, Micro-iMOC). Furthermore, OC: N ratios in Macro-
215 iPOC fraction significantly increased to 24.5-33.0 ($P < 0.05$) in the amended tailings, compared to
216 13.9 g kg⁻¹ in the control. The ratios in physically protected OC (Micro-iPOC) elevated to 16.8-17.7
217 ($P < 0.05$) in the amended tailings, compared to 7.3 in the control. The OC: N ratios in Macro-
218 iMOC and Micro-iMOC in all the tailings were not different.

219 Table 2

220 Fig. 2

221 3.3 Microbial properties altered by WC and plant

222 Microbial biomass (MBC, MBN), microbial quotient (MBC: TOC), and net mineralization rate
223 were measured in the tailings samples collected from different treatments. MBC only accounted for
224 a small portion (0.5-1.4 %) of the TOC in all the treatments. It was not surprised to have observed
225 the lowest the levels of MBC and MBN in the tailings without any treatments. Microbial biomass
226 (estimated from MBC and MBN) were stimulated significantly in all the amended tailings,
227 particularly in the WC-amended tailings colonized by the mixed native plants (TD5+WC+P). A
228 similar trend of net N mineralization rates was observed among these treatments (Table 3). In
229 particular, compared to the control and WC-treatments (i.e., TD5 and TD5+WC), tailings colonized
230 by the plants (regardless of WC amendment) were characterized by higher biomass, microbial

231 quotient (MBC: TOC) and net N mineralization rates, indicating the importance of root systems in
232 rehabilitating active microbial biomass (Table 3).

233 **Table 3.**

234 In the present study, selected enzymes were examined, which are closely related to the energy
235 transfer (dehydrogenase) and element cycling processes of C (invertase), N (urease) and P (neutral
236 phosphatase) in the tailings (Fig. 3). Microbial biomass was positively correlated to with these
237 enzyme activities (Table 4). Consistent with the stimulated microbial biomass in the tailings with
238 plant colonization, the activities of dehydrogenase, invertase and neutral phosphatase were
239 significantly elevated in these treatments (i.e., TD5+P and TD5+WC+P), with the highest in
240 TD5+WC+P. The urease activity was significantly increased in the WC amended tailings with or
241 without plants (i.e., TD5+WC and TD5+WC+P), but without significant difference between
242 treatments with and without plants (i.e., TD5 and vs TD5+P. Neutral phosphatase activity showed
243 no significant difference among the amended tailings (i.e., TD5+WC, TD5+P, and TD5+WC+P).

244 **Fig. 3**

245 **Table 4.**

246 **4. Discussion**

247 The present findings suggested that eco-engineering inputs (i.e., WC and plant introduction) did
248 accelerate the early phase of soil formation in neutral and weathered Cu/Pb-Zn tailings under field
249 conditions. The eco-engineering inputs (i.e., organic amendment and plant colonization) increased
250 soil organic matter and N accumulation in the treated tailings, stimulated aggregation and organo-
251 mineral interactions, and elevated microbial biomass and enzymatic functions in the amended
252 tailings (i.e., TD5+WC, TD5+P, and TD5+WC+P), particularly in those with combined organic
253 amendment (WC) and plant colonization. These were indicative of positive changes leading
254 towards of rehabilitation of soil structure and functions, in response to the eco-engineering inputs
255 under field conditions, although the rates of aggregation and carbon accumulation were lower than
256 those examined under the glasshouse condition (Yuan et al., 2016).

257 In metal mine tailings deficient in organic matter, organic carbon associated with aggregates and
258 minerals is an important indicator of the effectiveness of eco-engineering practices and the initiation
259 of early phase soil formation in tailings. SOC is not only compositionally essential (e.g., for direct
260 physical effects of improving compaction) but functionally critical (e.g., organo-mineral
261 interactions and chemical buffering) within the context of soil formation (Li et al., 2015a; Yuan et
262 al., 2016). The TOC levels were positively correlated with the levels of N (including TN, WSN),
263 the water-stability of aggregates (WMD), hydraulic properties (WHC), ion buffering capacity
264 (CEC), microbial biomass (MBC, MBN) and activities (net mineralization, enzymes). The rates of
265 OC stabilization ($0.5 - 1.0 \text{ g OC kg}^{-1} \text{ y}^{-1}$) (sum of OC physically protected and mineral associated) in
266 the amended tailings under field conditions were even 5-10 fold than those reported in natural soil
267 during primary succession where development chemical conditions would be relatively quasi-
268 equilibrated without dynamic hydro-geochemical reactions like in metal mine tailings (Anderson,
269 1977; Crews et al., 2001). As a result, the accumulation of OC in aggregates and mineral complexes
270 would be an integrated indicator demonstrating the early sign of soil formation from the fine
271 textured tailings, in response to eco-engineering inputs.

272 Both WC and introduction of native plants contributed to the elevated OC availability to microbial
273 colonizers. In all the amended tailings (i.e., TD5+WC, TD5+P, and TD5+WC+P), there were
274 relatively higher proportions of OC in the unprotected form (22-36%) (Macro-iPOC). The OC: N
275 ratios (24.5-33.0) (Table 2) in this fraction were also significantly higher than the bulk tailings
276 (11.7-13.3) (Table 1) in the amended tailings. It is assumed that the Macro-iPOC would be further
277 decomposed and stabilized in these amended tailings, continuing to enhance the formation of water-
278 stable aggregates and overall physical properties as technosols (Bruun et al., 2010).

279 It is widely accepted that recalcitrance, spatial inaccessibility and organo-mineral interactions are
280 major mechanisms contributing to OC stabilization in soils (von Lützow et al. 2008). In the present
281 study, the majority of OC (64-77%) in the amended tailings were protected in microaggregates or in
282 the form of organo-mineral complexes. The mineral associated OC (MOC) became increasing

283 dominant in the amended tailings, accounting for 45-77 % of TOC, This suggests that the increasing
284 organic matter from WC and their derivatives from decomposition would have promoted organo-
285 mineral interactions in the tailings (Yuan et al., 2016).

286 Organo-mineral interactions are the fundamental step of aggregation and OC stabilization in the
287 agricultural and natural soils (Kögel-Knabner et al., 2008; Monserie et al., 2009). Aggregate
288 formation is a critical process of technosol formation in tailings, which is essential to the
289 development of physical structure with sufficient hydraulic properties to support root penetration
290 and water infiltration. In the present study, the increases in aggregate proportion and water-stability
291 were positively correlated with the levels of stabilized OC (Table 5) in the WC-amended tailings
292 with or without plants. From the evidence here, the combined effects of organic matter
293 decomposition driven by the addition of WC and root effects of colonizing plants and associated
294 microbial activities had initiated the *in situ* organo-mineral interaction, aggregation and OC
295 stabilization under the subtropical and semi-arid climatic conditions. Further investigation may
296 focus on how to accelerate the rate of the structural development in the rooting profile of the
297 amended tailings.

298 The forms of organic matter seemed to have marked impacts on the organo-mineral interaction,
299 aggregation and OC stabilization processes in the tailings. In the amended tailings, OC
300 concentrations were significantly higher in the mineral associated fractions (i.e., Macro-iMOC and
301 Micro-iMOC) with plant cover (TD5+P and TD5+WC+P), compared to those without plants (i.e.,
302 TD5 and TD5+WC). The different OC stabilized with tailings mineral particles among treatments
303 may be partially attributed to the different forms of OC entering into tailings and the
304 biogeochemical modification of organic compounds. The added WC was low in N (OC: N ratio of
305 98) with a slow decomposition rate in the tailings, since favourable OC: N ratios are required in soil
306 OC decomposition (Aber and Melillo, 1982). In addition, the low levels of available N in the WC
307 amended tailings was one of main negative factors resulting in the low abundance of bacterial
308 community which was dominant with tolerant bacteria (e.g., *rubrobacter*) (Li et al., 2014). In

309 contrast, in the tailings with plant cover, root exudates and root biomass turnover would have
310 generated the mixture of labile OC and a range of small molecular weight compounds (e.g.,
311 carbohydrates, carboxylic acids and amino acids) in the tailings (Lynch and Whipps, 1991). Due to
312 negative charges in organic molecules, these substances may readily interact with the mineral phase
313 (Jones and Brassington, 1998), which was exposed with abundant reactive sites resulted from rapid
314 weathering processes in the tailings (Yuan et al., 2014; Yuan et al., 2016).

315 Meanwhile, the root systems of the colonizing plants presented a favourable hosting effect on
316 microbial communities as revealed by the increased microbial biomass and associated organic
317 matter decomposition (Fig. 3). As decomposition proceeds, N is retained in the microbial products
318 (e.g., organic molecules and compounds) (Quideau et al., 2000), facilitating interactions with
319 tailings mineral particles and the stabilization of relevant pools of OC in the form of organo-mineral
320 complexes. Functional groups of organic matter (e.g., aliphatic or phenolic OH), aliphatic acid (e.g.,
321 citric acid, malic acid) and some proteinaceous organic compounds (e.g., amines, ring-NH,
322 heterocyclic-N) in soil are likely to strongly bind with minerals (e.g., Fe, Al, Mn oxides, edge sites
323 of phyllosilicates, allophane, imogolite, smectite, vermiculite, illite) to form resistant organo-
324 mineral complexes in the soil (Feng et al., 2005; Vieublé Gonod et al., 2006; Kögel-Knabner et al.,
325 2008). Therefore, coupling with the introduction of native plants (e.g., tolerant acacia species)
326 which are tolerant of saline and low nutrient conditions, organic amendments of active functional
327 groups and more favourable OC: N ratios would be preferred to amend the weathered tailings for
328 accelerating soil formation.

329 **Table 5**

330 The WC-amendment may have triggered enhanced dissolution of secondary minerals already
331 formed and perhaps, further weathering of S-bearing mineral (e.g., pyrite, chalcopyrite), resulted in
332 elevated levels of salts, S and Cu in the water extract in the amended tailings, compared to the
333 tailings without any treatments (Table 1). The weathered and neutral Cu-Pb-Zn tailings were largely
334 depleted in reactive minerals (e.g., pyrite) with a relatively stable hydro-geochemistry (Forsyth,

335 2014). Many metal mine tailings are rich in sulphidic minerals and it is a prerequisite of technosol
336 formation to weather most of these unstable primary minerals into geochemically and relatively
337 stable secondary minerals (Huang et al., 2012). On one hand, microbial communities present in this
338 type of tailings are likely to be more diverse than those in the extremely acid tailings (Mendez et al.,
339 2008). On the other hand, direct plant colonization could be achieved in the highly weathered
340 sulfidic metal mine tailings (e.g., Cu, Pb-Zn tailings) or tailings dominant by secondary minerals
341 (e.g. coal deposit, bauxite residues), which would further advance the progress and developmental
342 stage of technosol formation (Courtney et al., 2013; Huot et al., 2014). Nevertheless, abundant
343 *Thiobacillus sp.*, autotrophic sulphur oxidizers, remain in this weathered tailings (Li et al., 2014). In
344 addition, heterotrophic bacterial community may play some role in facilitating mineral weathering
345 processes (Pradhan et al., 2008). The induced mineral weathering associated with organic
346 amendments in tailings has been reported in previous studies (Li et al., 2013). Although rapid
347 geochemical reactions may be unlikely in the extensively weathered tailings, further dissolution of
348 minerals in the tailings may have occurred in response to the treatments, leading to elevated salinity
349 in pore water. Introduced plants and microbes may be at least initially, stressed by the elevated
350 levels of salts and trace metals resulted from enhanced dissolution of mineral products formed from
351 the weathering process in the tailings, during the initial stage of phytostabilization. This implies the
352 importance of leaching and surface transportation of salts (e.g., sulphates) during seasonal rainfalls,
353 which are important in natural soil formation (Stockmann et al., 2011).

354 It is important to develop a diverse and functioning microbial community to realize biogeochemical
355 functions (e.g., OM decomposition and nutrient cycling) for sustaining the productivity of plant
356 communities (Harris, 2003). Previous studies have indicated that initially low microbial diversity in
357 non-vegetated tailings rapidly increased after plant establishment and later succession (Alguacil et
358 al., 2011; Li et al., 2014), with significantly improved microbial functions (decomposition, nutrient
359 cycling etc.) even with a relatively low plant coverage in tailings (Moynahan et al., 2002). In the
360 present study, microbial biomass and biogeochemical processes were improved in the amended

361 tailings with WC and/or growing plants, as indicated by the MBC and MBN and enzyme activities.
362 Specifically, the increased OC in the amended tailings would have provided energy and nutrients
363 for microbial colonizers, greatly stimulating development of heterotrophic bacteria and facilitating
364 the decomposition and element cycling processes in the tailings (Table 5). The presence of
365 surviving plants is an important factor to shift the microbial community in the tailings away from
366 the autotrophic dominant structure (Li et al., 2014).

367 In general, after 2.5 years field incubation, the amended tailings is still far away from natural soil,
368 where the greatest TOC in TD5+WC+P was only 5 g kg⁻¹ (Table 1), which is 10-50 % of those in
369 natural soils under similar climatic conditions (Bird et al., 2002; Kihara et al., 2012). Besides,
370 bacterial communities in all the amended tailings were still dominated by tolerant species and a
371 fungal community is hardly detected in these tailings (Li et al., 2014), with much less diverse than
372 communities that colonize in natural soils (Berg and Smalla, 2009). However, the current evidence
373 suggests that the combined approach with organic matter and tolerant plant introduction can initiate
374 the process of soil formation towards the goal of functional technosol, albeit at a slow rate under the
375 semi-arid climatic conditions.

376 **5. Conclusions**

377 This study suggested that the early soil formation *in situ* could be initiated in neutral and weathered
378 Cu/Pb-Zn tailings by means of combined organic amendment and introduction of tolerant native
379 plants under the subtropical and semi-arid climatic conditions. The colonization of tolerant plants
380 played a particularly important role in OC stabilization through aggregation and organo-mineral
381 interactions, which could be attributed to the greater microbial biomass and N rich organic
382 compounds input.

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517 **Tables**

518 Table 1 Selective physicochemical properties in the treatments.

Treatments*	pH	EC (mS cm ⁻¹) ^a	CEC (cmol ⁺ kg ⁻¹) ^b	WHC (%) ^c	TOC:N	Aggregates						
						Macroaggregate (%)	Microaggregate (%)	MWD(mm) ^f				
TD5	6.7(0.0)	3.4(0.3) ^a	0.79(0.27) ^a	19.2(1.2) ^a	13.7(2.4)	11.2(2.4) ^a	49.8(4.0) ^a	0.21(0.03) ^a				
TD5+WC	6.7(0.1)	4.5(0.8) ^β	1.66(0.41) ^a	21.8(0.7) ^β	13.3(2.9)	19.2(2.4) ^β	31.1(1.5) ^β	0.28(0.03) ^β				
TD5+P	6.4(0.1)	5.2(0.4) ^β	4.52(0.31) ^β	22.1(0.4) ^β	11.7(1.7)	19.1(2.8) ^β	50.2(0.3) ^a	0.30(0.03) ^β				
TD5+WC+P	6.5(0.0)	5.6(0.7) ^β	4.48(0.43) ^β	21.7(0.2) ^β	13.3(2.2)	21.1(1.1) ^β	51.3(2.1) ^a	0.32(0.01) ^β				
Treatments*	Total (g kg ⁻¹)						Water Soluble (mg kg ⁻¹)					
	TOC ^d	TN ^e	Cu	Pb	Zn	S	C	N	S	Cu	Zn	
TD5	1.5(0.0) ^a	0.11(0.03) ^a	1.1(0.1)	1.9(0.1)	4.1(0.3)	47(4)	91(6)	5.1(2.0) ^a	0.9(0.4) ^a	238(125) ^a	0.12(0.01) ^a	18.4(2.3)
TD5+WC	4.3(0.4) ^β	0.34(0.11) ^β	1.0(0.1)	1.9(0.2)	3.9(0.4) ^a	46(5)	88(4)	48.8(19.5) ^β	3.1(0.2) ^β	601(113) ^β	0.33(0.01) ^β	18.5(2.6)
TD5+P	4.7(0.2) ^β	0.40(0.04) ^β	1.0(0.1)	1.5(0.1)	3.5(0.6)	41(2)	83(5)	26.1(1.9) ^γ	17.2(1.2) ^γ	706(73) ^β	0.45(0.06) ^γ	17.5(0.8)
TD5+WC+P	5.5(0.0) ^γ	0.43(0.08) ^β	1.0(0.0)	1.6(0.1)	3.7(0.4)	38(3)	94(8)	78.3(2.4) ^δ	19.0(1.3) ^δ	710(92) ^β	0.48(0.03) ^γ	17.2(0.3)

519 *TD5: TD5 tailing, TD5+WC: TD5 amended with woodchips, TD5+P: TD5 growing plants, TD5+WC+P: TD5 amended with woodchips and growing
520 plants. ^a Electrical conductivity. ^b Cation exchangeable capacity. ^c Water holding capacity. ^d Total organic carbon. ^e Total nitrogen. ^f Mean weight
521 diameter. ^g Standard error; Values are means with standard error brackets (n = 3); values labelled with letters ‘α, β, γ and δ’ within the column indicate
522 significant differences among treatments at the level of $P < 0.05$ (only labelled for selected parameters).

523 Table 2 OC concentrations and OC: N ratios of OC fractions in all the treatments.

Treatments*	Macro-iPOC		Micro-iPOC		Macro-iMOC		Micro-iMOC	
	OC (g kg ⁻¹)	OC: N	OC (g kg ⁻¹)	OC: N	OC (g kg ⁻¹)	OC: N	OC (g kg ⁻¹)	OC: N
TD5	3.2(0.3) ^a	13.9(5.7) ^a	1.8(0.1) ^a	7.3(1.1) ^a	2.3(0.4) ^a	4.4(1.6) ^a	3.7(0.4) ^a	6.1(1.4) ^a
TD5+WC	13.8(2.2) ^b	33.0(6.6) ^b	6.0(0.1) ^b	17.7(1.8) ^b	3.1(0.5) ^a	4.1(0.9) ^a	5.6(0.5) ^b	5.3(0.2) ^a
TD5+P	11.7(0.8) ^b	27.2(3.4) ^b	4.3(0.3) ^b	16.8(3.0) ^b	8.3(1.8) ^b	5.9(0.7) ^a	7.2(0.2) ^c	6.3(1.4) ^a
TD5+WC+P	12.5(1.0) ^b	24.5(3.2) ^b	4.5(0.0) ^b	16.8(1.5) ^b	9.5(1.4) ^b	5.5(0.6) ^a	7.9(0.4) ^c	5.8(0.9) ^a

524 *TD5:TD5 tailing, TD5+WC: TD5 amended with woodchips, TD5+P: TD5 growing plants,
525 TD5+WC+P: TD5 amended with woodchips and growing plants.

526 Macro-iPOC, intra-macroaggregate > 53 µm particulate OC; Micro-iPOC, intra-microaggregate > 53
527 µm particulate OC; Macro-iMOC, intra-macroaggregate mineral associated OC < 53 µm fraction;
528 micro-iMOC, intra-micro-aggregate mineral associated OC < 53 µm fraction. Values are means (n
529 = 3) with standard error in brackets; values labelled with letters 'a, b, c, d' indicate significant
530 differences among the treatments at the level of $P < 0.05$.

531

532 Table 3 Microbial biomass (MBC, MBN), microbial quotient (MBC: TOC) and net mineralization
533 rate in all the treatments.

Treatments*	MBC ^a (mg kg ⁻¹)	MBN ^b (mg kg ⁻¹)	MBC: TOC ^c (%)	Net mineralization rate (mg mineral N kg ⁻¹ d ⁻¹)
TD5	13.0(1.8) ^a	0.87(0.22) ^a	0.86(0.13) ^b	0.018(0.003) ^a
TD5+WC	18.3(1.3) ^{ab}	1.89(0.28) ^b	0.43(0.14) ^a	0.030(0.009) ^{ab}
TD5+P	53.8(2.4) ^c	4.99(0.03) ^c	1.00(0.04) ^{bc}	0.045(0.011) ^b
TD5+WC+P	76.1(7.9) ^c	6.20(0.93) ^c	1.37(0.14) ^c	0.039(0.010) ^b

534 *Treatments: TD5 tailing, TD5+WC: TD5 amended with woodchips, TD5+P: TD5 growing plants,
535 TD5+WC+P: TD5 amended with woodchips and growing plants. ^a microbial biomass carbon; ^b
536 microbial biomass nitrogen; ^c total organic carbon. Values are means (n = 3) with standard error in
537 brackets; values labelled with letters 'a, b, c, d' indicate significant differences among the
538 treatments at the level of $P < 0.05$.

539

540 Table 4 Pearson correlations among microbial biomass (MBC and MBN) and activities (Net
541 mineralization, Dehydrogenase activity, Urease activity, Invertase activity and Neutral phosphatase
542 activity) in all the treatments.

Microbial properties	Net mineralization	Dehydrogenase activity	Urease activity	Invertase activity	Neutral phosphatase activity
MBC ^a	0.92**	0.77**	0.73**	0.93**	0.71**
MBN ^b	0.91**	0.78**	0.68*	0.96**	0.77**

543 ^a microbial biomass carbon; ^b microbial biomass nitrogen.

544 ‘***’, ** and *’ indicate significance of correlation at the levels of $P < 0.001$, $P < 0.01$ and $P < 0.05$
545 respectively.

546 Table 5 Effects of experimental factors (i.e., WC, plant) and their interactions on OC stocks in each
547 OC fractions in the tailings.

OC fractions*	WC		Plant		WC x Plant	
	<i>F</i>	Sig.	<i>F</i>	Sig.	<i>F</i>	Sig.
TOC	65.19	0.000	202.49	0.000	52.85	0.000
Macro-iPOC	63.22	0.001	93.47	0.000	74.21	0.000
Micro-iPOC	24.37	0.002	121.95	0.000	6.95	0.030
Macro-iMOC	11.50	0.009	22.38	0.001	0.24	0.640
Micro-iMOC	0.00	0.952	310.38	0.000	0.05	0.824
MOC	0.00	0.985	6.42	0.035	2.74	0.137

548 *OC: organic carbon; WC: woodchips; TOC: total organic carbon; Macro-iPOC, intra-
549 macroaggregate > 53 μm particulate OC; Micro-iPOC, intra-microaggregate > 53 μm particulate
550 OC; Macro-iMOC, intra-macroaggregate mineral associated OC < 53 μm fraction; micro-iMOC,
551 intra-micro-aggregate mineral associated OC < 53 μm fraction; MOC: sum of Macro-iMOC, Micro-
552 iMOC and mineral associated OC in <53 μm fraction.

553

554 Figures captions

555 Fig. 1. Organic carbon (OC) fractionation procedure in the tailings.

556

557 Fig. 2 OC content as non-protected OC (Macro-iPOC), physically protected OC (Micro-iPOC) and
558 mineral associated OC in macroaggregate (Macro-iMOC), microaggregate (Micro-iMOC) and
559 silt+clay particles (oMOC) in all the treatments. Treatments include TD5: tailing, TD5+WC: TD5
560 amended with woodchips, TD5+P: TD5 growing plants, TD5+WC+P: TD5 amended with
561 woodchips and growing plants. Values are means ($n = 3$) with standard error bar; the letters 'a, b, c,
562 d' above each OC fractions indicate significant differences among the treatments at the level of $P <$
563 0.05.

564

565 Fig. 3 Activities of dehydrogenase (a), urease (b), invertase (c) and neutral phosphatase (d) in all the
566 treatments. Treatments include TD5: tailing, TD5+WC: TD5 amended with woodchips, TD5+P:
567 TD5 growing plants, TD5+WC+P: TD5 amended with woodchips and growing plants. Values are
568 means ($n = 3$) with standard error bar; the letters 'a, b, c, d' above the bars indicate significant
569 differences among the treatments at the level of $P < 0.05$.

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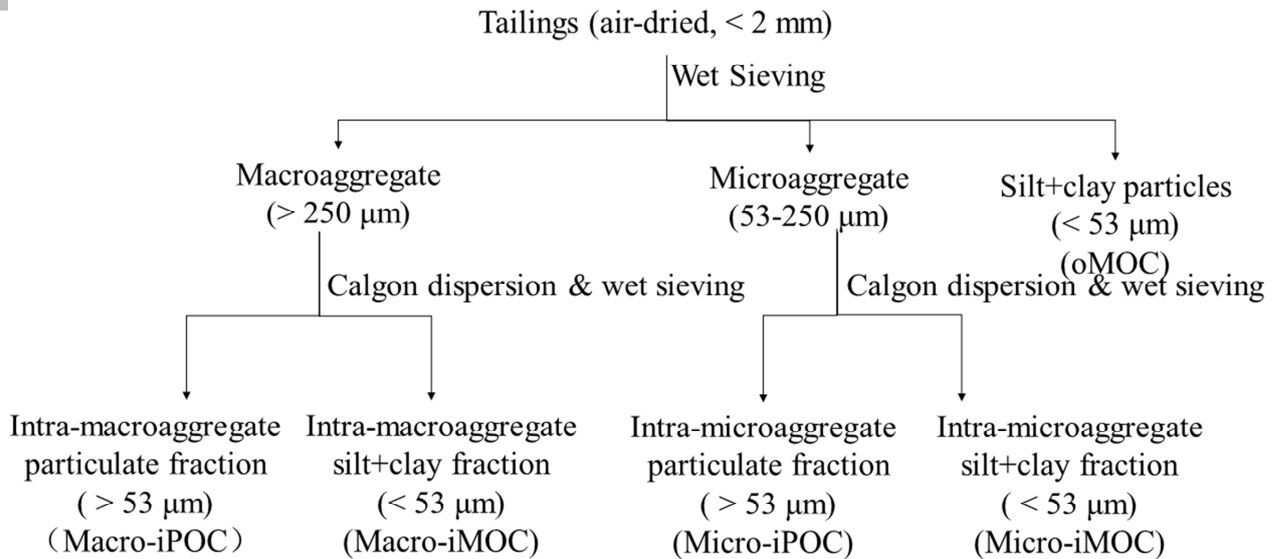


Fig. 1. Organic carbon (OC) fractionation procedure in the tailings.

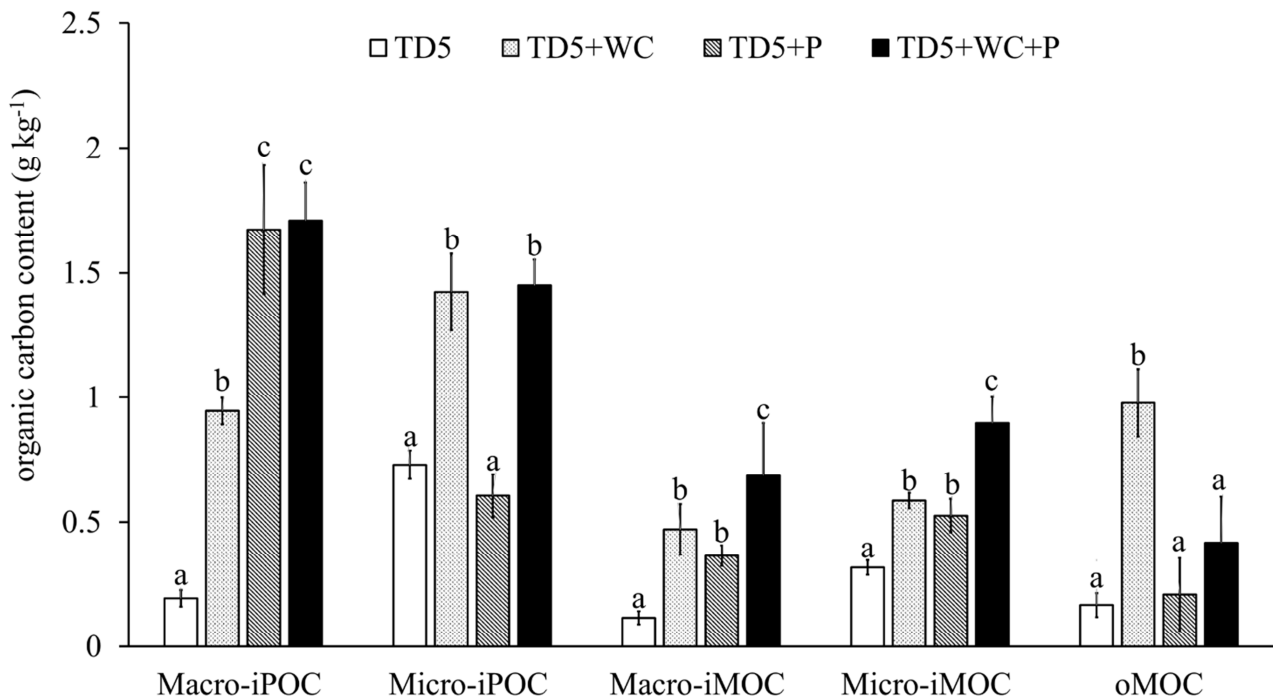


Fig. 2 OC content as non-protected OC (Macro-iPOC), physically protected OC (Micro-iPOC) and mineral associated OC in macroaggregate (Macro-iMOC), microaggregate (Micro-iMOC) and silt+clay particles (oMOC) in all the treatments. Treatments include TD5: tailing, TD5+WC: TD5 amended with woodchips, TD5+P: TD5 growing plants, TD5+WC+P: TD5 amended with woodchips and growing plants. Values are means ($n = 3$) with standard error bar; the letters 'a, b, c, d' above each OC fractions indicate significant differences among the treatments at the level of $P < 0.05$.

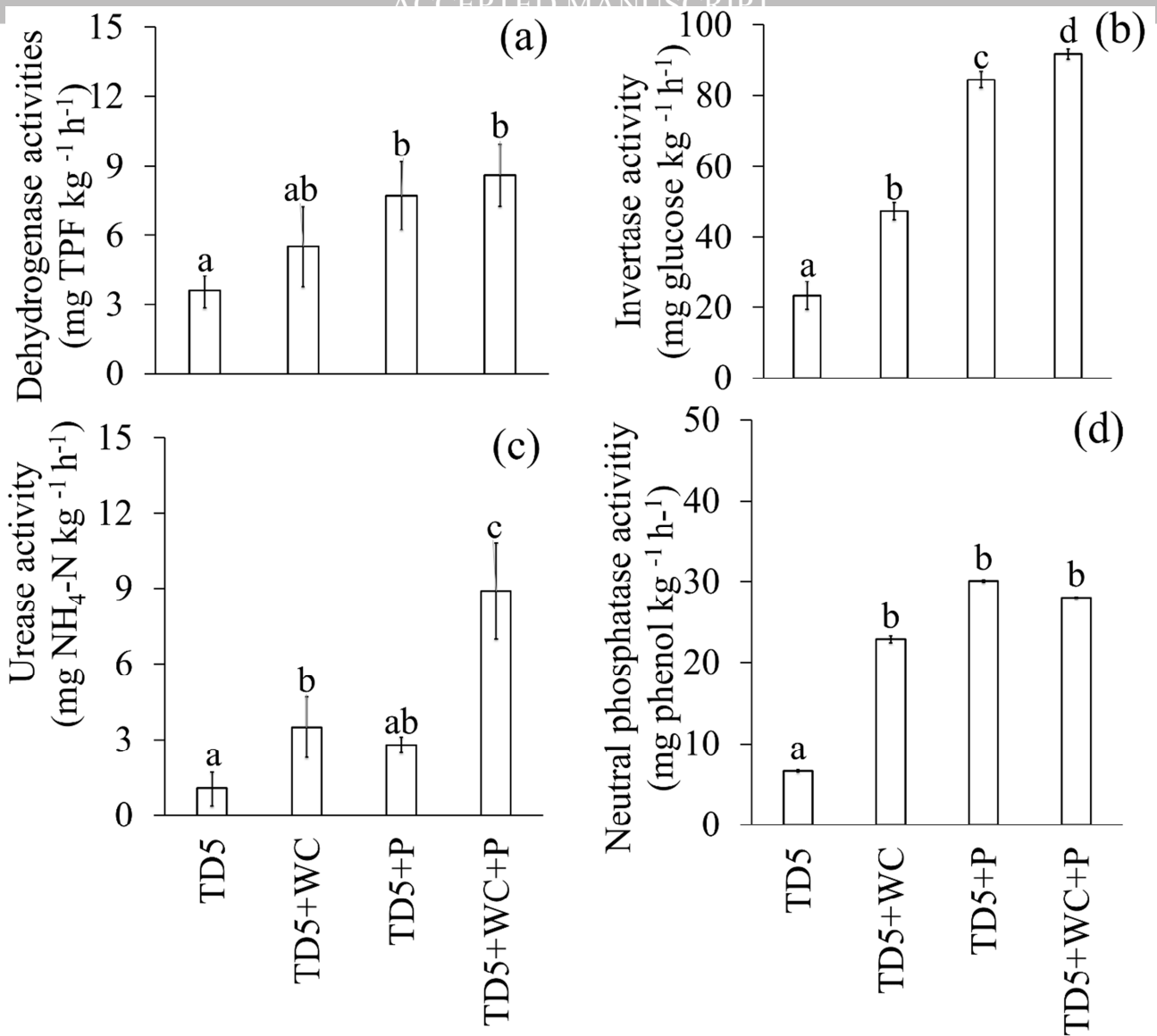


Fig. 3 Activities of dehydrogenase (a), urease (b), invertase (c) and neutral phosphatase (d) in all the treatments. Treatments include TD5: tailing, TD5+WC: TD5 amended with woodchips, TD5+P: TD5 growing plants, TD5+WC+P: TD5 amended with woodchips and growing plants. Values are means (n = 3) with standard error bar; the letters 'a, b, c, d' above the bars indicate significant differences among the treatments at the level of $P < 0.05$.

- Plant growth and WC input initiated soil formation in weathered Cu-Pb-Zn tailings
- The treatments increased water-stable aggregates and organic carbon stabilization
- The treatments elevated microbial biomass and key enzymatic activities in the tailings
- Combined woodchips and native plants were preferred to stimulate tailing-soil formation.