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## **Resilience of English vowel perception across regional accent variation**

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Running title: Perception of native vowel variation

## **Abstract**

In two categorization experiments using phonotactically legal nonce words, we tested Australian English listeners' perception of all vowels in their own accent as well as in four less familiar regional varieties of English which differ in how their vowel realizations diverge from Australian English: London, Yorkshire, Newcastle (UK), and New Zealand. Results of Experiment 1 indicated that amongst the vowel differences described in sociophonetic studies and attested in our stimulus materials, only a small subset caused greater perceptual difficulty for Australian listeners than for the corresponding Australian English vowels. We discuss this perceptual tolerance for vowel variation in terms of how perceptual assimilation of phonetic details into abstract vowel categories may contribute to recognizing words across variable pronunciations. Experiment 2 determined whether short-term multi-talker exposure would facilitate accent adaptation, particularly for those vowels that proved more difficult to categorize in Experiment 1. For each accent separately, participants listened to a pre-test passage in the nonce word accent but told by novel talkers before completing the same task as in Experiment 1. In contrast to previous studies showing rapid adaptation to talker-specific variation, our listeners' subsequent vowel assimilations were largely unaffected by exposure to other talkers' accent-specific variation. **(197 words)**

**Keywords:** vowel perception, sociophonetic variation, English accents, perceptual learning, Perceptual Assimilation Model

# 1 Introduction

## 1.1 *Phonological limits on phonetic variation*

In natural spoken interaction, listeners extract both linguistic properties, i.e., lexical identity and phonological structure, as well as indexical information about talkers from the speech signal. Talkers range from the routinely familiar to those who are just being encountered for the first time. Listeners will have varying degrees of familiarity with a talker's accent, i.e., speech characteristics shared within a particular community. In the extreme case of an unfamiliar talker whose accent is being heard for the first time, listeners may require time to 'tune in' to the talkers particular speech patterns in order to rapidly and accurately identify the intended spoken words. This process is supported by a phonological system abstracted over a lifetime of experience with phonetic variation within and across talkers (Best, 2015; Johnson, 1997; Pierrehumbert, 2003).

There is little controversy about the long-standing view that the lexical stock of a language is predominantly constructed from a finite and abstract system of phonological contrasts (e.g., Bloomfield, 1926; Browman & Goldstein, 1989; Chomsky & Halle, 1968; Goldinger, 2007; Pierrehumbert, 2016). However, work in recent years has highlighted the plasticity of phonological categories. A given speaker's productions can change over time in concert with changes in the ambient speech environment (Tamminga, MacKenzie, & Embick, 2017) including exposure to languages (Flege, Schirru, & MacKay, 2003; Fowler, Sramko, Ostry, Rowland, & Hallé, 2008; Sancier & Fowler, 1997), dialects (Nycz, 2013), roommates (Pardo, Gibbons, Suppes, & Krauss, 2012; Sonderegger, Bane, & Graff, 2017), television (Stuart-Smith, Pryce, Timmins, & Gunter, 2013) or broader societal change (Harrington, Palethorpe, & Watson, 2000). On shorter timescales, shifts in production can be observed within a single conversation in response to the interlocutor (Kim, Horton, & Bradlow, 2011; Pardo, 2006) or within a single experimental session through shadowing

(Nielsen, 2011) or even subtler manipulations (Shaw, 2013). In perception, listeners can rapidly adapt their phonetic expectations for phonological categories to the speech patterns of particular talkers (e.g., Norris, McQueen, & Cutler, 2003; Cutler et al., 2010), including talkers with accents other than that of the listener (Bradlow & Bent, 2008; Maye et al., 2008; Sumner, 2011). They also perceptually compensate for contextual constraints on speech articulation, such as viewing the speaker holding a pencil between their teeth (e.g., Kraljic & Samuels, 2006).

Understanding the interplay between the phonological system by which the lexicon is structured, on the one hand, and the extensive talker, accent and token variability that is associated with the realization of particular phonological categories, on the other, presents a fundamental theoretical challenge. Addressing that challenge is central to a broader understanding of the phonological systems of human languages, how they are learned, and how they change over time. Specifically, a crucial yet still unanswered (indeed, virtually unexamined) question is: For a given phonological category, what limits of tolerance prevail before listeners' fine-tuned abilities to deal with natural phonetic variation start to derail - i.e., before they begin to attribute the phonetic form they have just heard to a different phonological category in their own regional accent than the category intended by the speaker?

The concept of *perceptual assimilation* may be useful in identifying these limits. Perceptual assimilation was originally proposed to account for cross-language speech perception findings (Perceptual Assimilation Model [PAM]: e.g., Best, 1995; Best & Tyler, 2007), where it refers to listeners' strong tendencies to perceive non-native phones as exemplars of the well-entrenched phonological contrasts of their native language. Perceptual assimilation refers to the specific role that phonological categories play in shaping speech perception. In the L2 (or non-native speech) context, perceptual assimilation accounts for difficulties in discriminating many non-native contrasts, as well as for non-native contrasts that pose little to no discrimination difficulties (e.g.,

Best et al., 1988; 2001; Best & Hallé, 2010). Here, we posit that perceptual assimilation of natural phonetic variation operates within the *native* language, where it allows listeners to accommodate novel phonetic variants they encounter to the system of phonological categories they have abstracted from their prior experience. The mechanism of perceiving speech in terms of phonological categories (see also, Holt & Lotto, 2010), while it can cause specific difficulties for L2, may support flexible perception in the native language in crucial ways. Listeners are reasonably well able to recognize the great majority of words of a novel talker who speaks a previously unencountered and phonetically disparate regional accent of the same language. This ability may depend on perceptual assimilation, i.e., that listeners tolerate a wide range of talker variability in the expression of phonological categories. The benefits of perceptual assimilation in this regard should be clearest when listeners are able to detect systematic relationships among the categories of the full phonological system, rather than being presented with only a few phonemes or contrasts. Perceptual flexibility, in other words, could facilitate recognition of the more stable networks of phonological contrast that are, and must be, maintained across the entire system (Chodroff & Wilson, to appear).

Regional variation in English vowels provides a paramount example of higher level constancy, or phonological systematicity, in the face of phonetic variation. In a wide-ranging survey of regional accent variation in English, Wells (1982) introduced the Lexical Set framework to express the phonological coherence of the lexicon across varieties of English as spoken around the world. There are large swathes of the lexicon that share the “same” phonological vowel category even as the particular phonetic qualities of the vowel may vary substantially not only from talker to talker, but also geographically from region to region. We refer to the latter type of variation as regional *accents*. Because of this state of affairs, the KIT vowel, for example, refers to how the vowel in all words using the same stressed vowel as <kit> is phonetically realized within each particular accent. There are,

of course, cases of vowel mergers and splits that have taken place historically in some but not other regional accents of English, i.e., phonological differences. But these processes are the exceptions (captured in Wells' framework with either separate lexical sets, e.g., BATH for words that pattern variably across accents with either TRAP or PALM, or lexical-indexical differences, e.g., <tomato> as [tə'mɛɪrou] in American English, in which the bolded vowel belongs to the FACE lexical set, versus [tə'mɛ:rou] in Australian English, in which the vowel belongs to the PALM set). Overwhelmingly, the network of phonological contrasts supported by the English lexicon is preserved across regional accents despite substantial phonetic variation across accents in vowel quality realization for a given lexical set.

Our aim in the present study was to exploit the natural variation in vowel quality found across the vowel systems of five regional accents of English in order to assess the stability of the perceptual system in the face of variation. Specifically, we asked whether the differences across English accents described in the sociophonetics literature have consequences for the perception of vowels in terms of phonological categories. We began by assessing how vowels in various accents are perceptually assimilated, as indexed by vowel categorization and goodness-of-fit ratings (i.e., a listener judgement of how well a particular token fits into their vowel category), across the entire vowel inventory, 20 vowels per accent (Experiment 1). We then assessed how pre-test exposure to a meaningful story told in a given accent may influence perception of vowels in that accent (Experiment 2). Our experiments provided listeners with exposure from multiple talkers of either their own English accent or one of the unfamiliar (or, at least, less familiar) regional accents, which we have carefully selected to exhibit a range of relevant vowel variation.

As our goal was to delineate system-wide behavior, our experimental paradigm falls between two experimental research traditions (see Cristià et al. 2012 for an overview of many of the relevant studies). One tradition targets specific speech segments or individual phonemic contrasts, and



assesses phonetic adaptation at the level of sub-lexical units (e.g., Evans & Iverson, 2004, 2007; Kraljic & Samuels, 2005; Maye et al., 2008; Norris, McQueen & Cutler, 2003; Sumner, 2011). These experiments typically introduce synthesized variation to some relevant acoustic aspect of the target item, providing a focused test of the perceptual effects of just the manipulated aspect of only the targeted segment(s). Another tradition uses naturally produced connected, meaningful speech and assesses how exposure impacts overall comprehension, using dependent measures such as reaction time or transcription accuracy, without a detailed analysis of the phonetic or phonological characteristics of the speech for which perception is impacted by accent exposure (e.g., Bradlow & Bent, 2008; Clark & Garrett, 2004; Floccia et al., 2006, 2009). Experiments in these two traditions have not been designed to pinpoint system-wide adjustments to phonological expectations in response to natural phonetic variation. Our approach adopts key aspects of each research tradition and adds several crucial comparisons. We provide pre-test exposure to either the listeners' familiar (native) accent or an unfamiliar accent, in the form of an engaging story (*cf.* Maye et al., 2008). However, unlike previous phonetic adaptation research, our materials are not synthetically manipulated. Instead, we make use of naturally produced variation present in multiple speakers of regional accents of English. To assess how exposure affects each vowel in the system, unlike prior research into exposure effects on comprehension of connected speech, i.e., involving real words, our experiment following pre-test accent exposure probed perception of the entire vowel inventory in spoken nonce words.

In two experiments, we investigated the effect of accent variation and of pre-test accent exposure on categorizations of English vowels as spoken in five accents (described in greater detail below): Australian English (the listeners' native regional accent) and the four less familiar accents spoken in London (UK), New Zealand, Yorkshire (UK), and Newcastle (UK). In Experiment 1, we examined Australian listeners' perceptual assimilation of vowels in nonce words produced in the

five accents, as indexed by categorization and goodness ratings of the vowels after they had first attended to the pre-test exposure story told by Australian speakers. Experiment 2 assessed whether, and if so how, categorizations and goodness ratings of those same nonce word vowels spoken in each of the non-Australian accents are influenced by pre-test exposure to the story, but this time told by other speakers of that same unfamiliar accent.

## 1.2 Hypotheses

### 1.2.1 Experiment 1: Perceptual assimilation of vowels across accents

There are two broad possibilities regarding how listeners may handle the phonetic variation stemming from talkers of different regional accents across the vowel system of their native language. Both are grounded in the premise that perceptual attunement creates a system of distinct native-accent vowel categories to which the phonetic realizations of the vowels in another regional accent will be assimilated according to perceived similarities and differences. At issue is whether the vowel differences described in the sociophonetics literature correspond also to perceived differences in phonological category (or goodness of fit to a category) or whether listeners are able to recover the intended phonological category despite the phonetic variation.

The PAM framework provides a convenient vocabulary for expressing phonetic/phonological differences in terms of perception, i.e., how a listener with one type of phonological system perceives a speaker with a different phonological system (Best, 1995; Best & Tyler, 2007). As applied to cross-accent speech perception, the relevant assimilation types are as follows: an “other-accent” vowel can be perceived either as equivalent to the native-accent realization of the same lexical set vowel (Nativelike assimilation: NL); or as a Category-Goodness (CG) difference from the native-accented vowel for the same lexical set; or as a Category-Shift (CS) that is perceived as a

better example of a different lexical set vowel in the native accent; or as Uncategorized (UC), i.e., not perceived as a clear member of any single lexical set vowel in the native accent (Best et al., 2013; Ying et al., 2013; Best et al., 2015a, 2015b). In comparing the other-accent vowels in our study to the vowels of the Australian listeners, we make systematic use of this ontology, mapping sociophonetic descriptions of the accents of interest to these types of perceptual assimilation. These constitute predictions of the sociophonetic descriptions as expressed in the PAM vocabulary of assimilation types.

The first broad hypothesis is that the sociophonetic descriptions (reviewed in 1.3 below) mapped to the perceptual assimilation types above will predict perceptual behavior. On this hypothesis, listener categorization will be sensitive to the variation documented for these accents. An alternative hypothesis follows from the tendency for vowels to be weakly categorized in L2 (Bundgaard-Nielsen, Best & Tyler, 2011; Tyler, Best, Faber & Levitt, 2014; Faris, Best & Tyler, 2016) as well as in the native language (Pisoni, 1975; Repp, 1984). Compared with consonants, categorical boundaries are not as sharp for vowels. In spoken word recognition, English listeners tolerate more variation in vowels than in consonants as demonstrated, for example, by the word reconstruction paradigm, in which English listeners presented with a non-word such as *eltimate* are more likely to make a word by changing the vowel *eltimate* → *ultimate* than by changing a consonant *eltimate* → *estimate* (Van Ooijen, 1996). Perception across the vowel system may be flexible to all but extreme degrees of natural vowel variation, within or between accents. On this hypothesis, listeners will not be sensitive to the full range of accent differences documented in the sociophonetic literature, because they will tolerate the variation. Perceptual flexibility could lead to overall accommodation of most realization differences to the native vowels of the corresponding lexical sets.

### 1.2.2 Experiment 2: Accent exposure effects

Given the unique design of experiment 2, our investigation of accent exposure effects is somewhat exploratory. Accordingly, we identify several hypotheses for how pre-test accent exposure might influence patterns of vowel assimilation. The first is the null hypothesis that naturalistic short-term exposure of the type we have designed for our experiments will not impact perceptual assimilation of vowels at the sub-lexical level that is involved in our nonce word vowel tasks. This prediction arises from the following considerations: on the linguistic side, vowel categories are abstract, they are learned from years of lexical experience, and they participate in deep networks of phonological knowledge about the lexicon. Moreover, vowels also carry indexical information about the talker as well as prosodic information. Listeners may not be able to generalize from naturalistic running speech from multiple talkers to new talkers producing vowels in isolated nonce words.

Alternatively, a second possibility is raised by a wide range of experimental studies demonstrating rapid perceptual adaptation to various types of phonetic variation, including both talker-specific variations and variants generated by phonological rules (e.g., Dahan et al., 2008; Skoruppa & Peperkamp, 2011). Thus, the second hypothesis is that learners will adjust categories incrementally in response to the accent variation in a pre-test exposure phase that presents a meaningful connected speech story told by several speakers of a given accent, thereby developing situation-specific phonological categories that reduce prediction error in mapping subsequent speech to those categories (e.g., Kleinschmidt & Jaeger, 2015). It is important to note, however, that nearly all studies of rapid phonetic adaptation have focused on the listeners' native accent rather than unfamiliar regional accents (but see Adank, Evans, Stuart-Smith & Scott, 2009; Floccia et al., 2006), on talker-specific rather than cross-talker adaptation (*cf.* Kraljic & Samuel, 2006), and

on consonants rather than on vowels (*cf.* Maye, Aslin & Tanenhaus, 2008). Thus, it is a largely open question whether such rapid adaptation can occur for vowels, across talkers, and for natural (not synthesized) variation found in non-native regional accents.

A third possibility is that pre-test exposure to an unfamiliar accent will destabilize the vowel system, leading to *less* reliable categorization. This hypothesis follows from a posited process of “category-widening” as a response to unexpected variation (see, e.g., Maye et al., 2008 and Kleinschmidt & Jaeger, 2015 for discussion). From the standpoint of the listener, the unfamiliar phonetic variants of accents they have less frequently or rarely encountered (as compared to their own regional accent) are likely to introduce uncertainty about phonological categories, a response to which may be to widen the range of tolerated phonetic variation, which would in turn decrease the stability of categorical distinctions across the system. Maye et al. (2008) argue that the adaptation-effects observed in their task, which involved exposure to one synthetic “talker” producing words with categorical vowel substitutions, were not due to category-widening, but this remains a theoretical possibility for more naturalistic speech environments of the type used in our materials.

### *1.3 Selecting the accents for use in the study*

One of the unique aspects of our design, in contrast to the previous research from which we motivated our predictions, is that we sought to evaluate ‘accent-level’ perceptual assimilation of vowels and perceptual adaptation to the pre-test exposure story passage, as opposed to talker-specific assimilation and adaptation patterns. To this end, we tested listeners’ vowel categorizations for each of five accents following pre-test exposure to a passage in the listener’s native accent (Experiment 1: perceptual assimilation) versus following exposure to multiple talkers of the unfamiliar accent (Experiment 2: exposure effects), none of whom were the same talkers heard in

the test phase (vowels categorization task) of the experiment.

The baseline of comparison, i.e., our listeners' native regional accent, is Australian English (Aus). The principal patterns of phonological realization associated with this variety are well-established (Cox 2006; Cox & Palethorpe 2007), and in recent years subject to increasingly fine-grained analyses (Billington, 2011; Cox & Palethorpe, 2012; Cox et al, 2014). Aus, as well the other regional accents included in this study, are non-rhotic — /ɹ/ occurs only in syllable onsets. Non-rhotic accents tend to have more vowels than rhotic accents because the sequence of a vowel followed by a post-vocalic /ɹ/ in a rhotic variety often corresponds to a distinct vowel category in a non-rhotic variety. Selecting all non-rhotic varieties simplifies the mappings between accents in that a vowel in one accent can always be mapped to a vowel in the corresponding lexical item in another accent (*cf.*, mapping vowels in one accent to /Vɹ/ sequences in another accent). To represent Aus for the present study, we recruited participants from the south-western suburbs of metropolitan Sydney. Four further non-rhotic regional accents were then selected, each displaying a different array of vowel realization disparities from Aus, and thus enabling the formulation of distinctive hypotheses about the responses of Aus listeners to phonetic properties of vowels that differ phonetically, or in a few cases systemically, from their own accent: Christchurch in New Zealand, and southeast London, Yorkshire, and Newcastle in the UK. These regional varieties have all been extensively analyzed in recent years (New Zealand: Hay et al, 2008; London: Fox, 2015; Tollfree, 1999, Pzredlacka, 2001, Kerswill et al, 2008, Ryfa, 2013; Yorkshire: Haddican et al, 2013; Newcastle: Watt & Milroy, 1999, Watt & Allen, 2003). Henceforth we refer to these four varieties respectively as NZ, Lon, Yrk, and Ncl.

We used existing descriptions to define a set of phonological-to-phonetic mappings between Aus and each comparator accent, organized in relation to Wells' (1982) lexical sets. For each lexical

set in each pairwise accent comparison with Aus, we first identified whether the comparison to the reference accent (Aus) yielded what Wells describes as a *systemic* difference, i.e. where the two varieties differ phonologically with respect to the number of contrasts in the system (for example, two varieties that differ by virtue of one variety contrasting NEAR and SQUARE vowels and the other not doing so). We also considered what Wells terms *realizational* differences, i.e. differences between accents based on the phonetic variants used across a lexical set. How these characterizations of production influence cross-accent perception in terms of phonological categories is largely unknown. To make concrete the predictions of our first hypothesis, we expressed the differences of the Wellsian taxonomy in terms of the vocabulary of perceptual assimilations in PAM, as introduced in the hypothesis section above. We considered realizational differences to be CG (Category Goodness) differences when listener and talker accents for a given lexical set vowel are small and unlikely to lead the listener to hear a different vowel from the target lexical set than the other-accent talker intended (e.g., Aus listeners may be more likely to hear the retracted variants of TRAP in the Ncl accent as perceptibly non-Aus exemplars of TRAP rather than as representing a different lexical set, such as PALM). In contrast, a CS difference is one where the listener may be led to hear the variant in the talker's variety as belonging to a different lexical set from that which the talker intended (e.g. the raised variant of DRESS found in NZ is likely to be misheard by Aus listeners as KIT). We reiterate that our *a priori* characterization of accent differences in terms of perceptual assimilation types, e.g. CG, CS, etc., is based on published descriptions of the accents and also verified by our own recordings (see below). Whether or not perceptual assimilation of accent differences cuts along the same lines as the sociophonetics descriptions (H1) or tolerates a broader range of variation (H2) remains an empirical question, addressed by our first experiment.

As the basis for expressing accent differences as types of perceptual assimilation, as well as drawing on recent descriptive accounts of the accents, we also made reference to the vowel

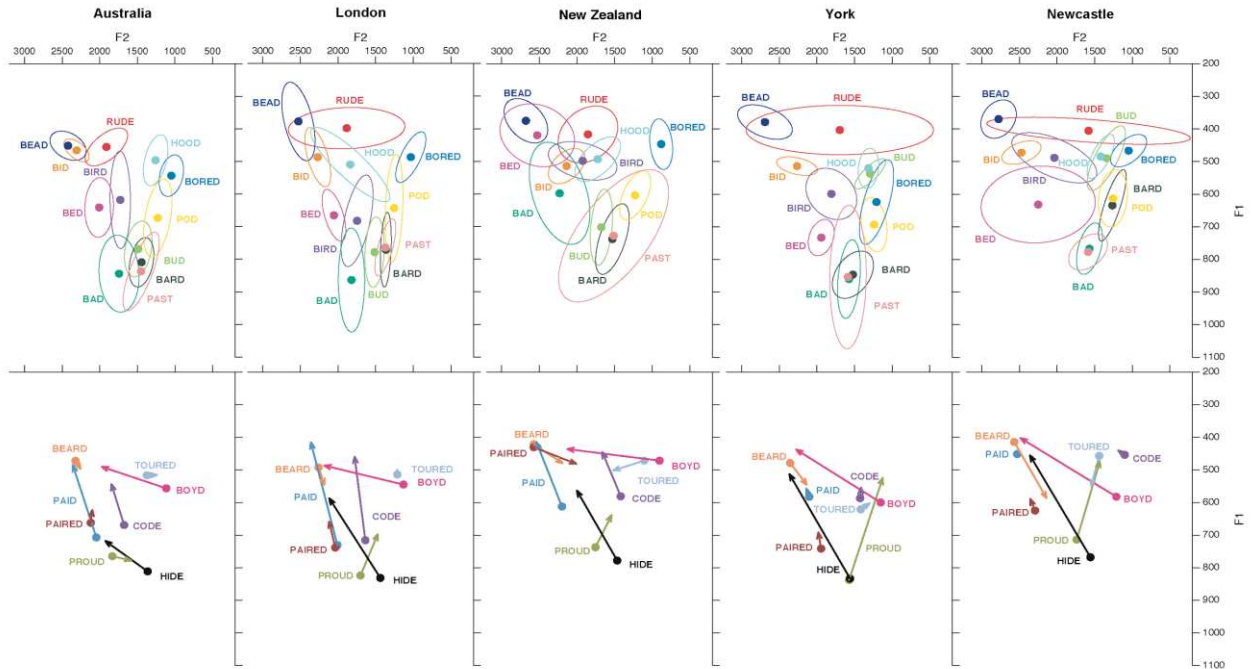
productions in real lexical items of the speakers who we recruited for the experiments. As part of the experimental protocol, each of the four speakers (two male, two female) who produced the nonce words for the vowel categorization task in each of our target accents produced 3-5 repetitions of isolated words (the keywords used in the categorization task, described below) for each of the 20 lexical sets which we were interested in analyzing in this study.<sup>1</sup> The keywords were: <bead, bid, bed, bad, bard, bud, rude, hood, bored, pod, paired, beard, bird, toured, paid, code, hide, boyd, proud>, respectively, for the lexical sets FLEECE, KIT, DRESS, TRAP, PALM (same vowel as START in all five accents), STRUT, GOOSE, FOOT, THOUGHT (same vowel as NORTH in these accents), LOT, NEAR, SQUARE, NURSE, CURE, FACE, GOAT, PRICE, CHOICE and MOUTH. From these keyword recordings, we extracted Lobanov-normalized (Lobanov, 1971) frequencies of F1 and F2 and re-projected the values in Hz, to normalize for speaker (Adank, Smits, & Van Hout, 2004). Plotting these values by accent and vowel enabled us to add a visual dimension to our comparative evaluations of the accent pairs. Figure 1 shows plots of these words, divided into monophthongs (upper panels) and diphthongs (lower panels). For monophthongs, the ellipses show the 95% confidence intervals (CIs) across speakers of Lobanov-normalized F1/F2, measured at the vowel midpoints; for diphthongs, the arrows show the Lobanov-normalized F1/F2 values at 20% and 80% of vowel duration. The separation into monophthongs and diphthongs is based on classical designation. Note that which vowels are actually realized as diphthongs differs between Aus, Lon and NZ as a set, versus Ncl and Yrk as another set. Also note that each accent shows dense regions with numerous overlapping vowels, and sparser regions with much less overlap. These formant patterns are largely consistent with published descriptions on each accent (see citations above). We now summarize the findings of our pairwise accent comparisons, which will refer both to lexical sets, expressed in small caps,

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<sup>1</sup> This paper does not address vowels in pre-/l/ contexts, which give rise to a range of contrast neutralizations in all five of our target accents.



e.g., DRESS, and the recordings of the keywords displayed Figure 1. In our discussion, we designate keywords with “< >”, e.g., <bed>. We have ordered the discussion from the most to the least similar vowel systems of the other accents relative to Aus.



**Figure 1.** Aus, Lon, NZ, Yrk and Ncl nonce speakers’ keyword vowels (3-5 tokens per keyword per speaker x 2 males and 2 females per accent) for the nominal (classically-defined) monophthongs (top panel) versus diphthongs (lower panel) of English. Ellipses for monophthongs represent 95% confidence intervals around the Lobanov (1971) normalized values of F1/F2 at 50% of vowel duration; colored arrows for diphthongs represent change in formants from 20% of vowel duration to 80%.

### 1.3.1 Aus-Lon

For historical reasons (Moore, 2008), it is perhaps unsurprising that Lon is the accent in our sample that is most phonetically and phonologically similar to the Aus reference accent; it served as a main contributor to the settling of anglophone Australia. There are no differences that can be characterized as systemic, i.e., phonological differences between the two vowel systems. A review

of the literature on this accent relative to descriptions of Aus suggests a case for CS differences for the Lon MOUTH and GOAT lexical sets to be respectively categorized as the TRAP and MOUTH vowels by Aus listeners (Wells, 1982; Tollfree, 1999; Kerswill et al., 2008; Fox, 2015). However, the acoustic measurements of the Lon stimulus talkers' productions of the keywords selected to represent these two lexical sets, <proud> and <code> respectively, suggest these vowels were realized in such a way as to result instead in, at most, a modest CG difference from Aus.

However, the FOOT vowel for our Lon talkers (<hood>) is notably fronted relative to Aus, which is consistent with reports of FOOT fronting in London (Tollfree, 1999) but not Aus talkers (e.g., Cox & Palethorpe, 2007). Thus, Lon FOOT <hood> overlaps in F1/F2 space with Aus NURSE <bird>. It was therefore possible that Aus listeners would show CS perception of Lon FOOT vowel as NURSE, although duration differences may push against this outcome, in which case Lon FOOT might instead be perceived by Aus listeners as neighboring short vowels, e.g., BED, STRUT. The remaining Lon vowels are likely to either reflect modest CG differences from Aus (KIT, BATH, PRICE, SQUARE, NEAR, PALM/START, THOUGHT/NORTH in the keywords <bid, past, hide, paired, beard, bard, bored> respectively; note that the latter two pairs reflect mergers in non-rhotic accents) or no difference, i.e., NL assimilations (DRESS, TRAP, LOT, STRUT, GOOSE, FACE, CHOICE in <bed, bad, pod, bud, rude, paid, boyd>).

### 1.3.2 Aus-NZ

The realizations of most vowels in NZ are quite similar to those in Aus, but a few differ rather substantially. The only systemic difference is with the NEAR/SQUARE contrast, which is neutralized for many NZ speakers, yielding (near-)homophonic pairs such as *beer/bare* and *fear/fare*. This situation would be expected to yield CS perception of the vowel in <paired> as NEAR. In addition, three realizational differences in the anterior half of the vowel space, arising from the chain shift

that has taken place in the short front vowels of NZ, are likely to lead to CS assimilations to Aus. The NZ vowel in TRAP (<bad>) is raised such that it approximates Aus DRESS (<bed>), NZ DRESS (<bed>) is realized as a high front vowel akin to Aus KIT (<bid>), and NZ KIT (<bid>) is centralized and lowered relative to Aus, placing it somewhat proximal to Aus NURSE (<bird>) and/or STRUT (<bud>). There is considerable variability in these realizations across NZ speakers and phonological contexts, but it is common for listeners who are unfamiliar with NZ accents to mishear these vowels. Indeed, the NZ KIT vowel has taken on virtual shibboleth status (as in the oft-cited *flush and chups* – Bell, 1997). The remaining vowels are likely to yield no difference at all (NL assimilations to the same Aus vowels), or at most a modest CG difference (e.g., the near-merged SQUARE and NEAR are both realized as a falling diphthong in NZ but as contrasting long monophthongs in Aus).

### 1.3.3 Aus-Yrk

Two differences between Aus and Yrk are systemic. The first is the well-known absence of historical split between FOOT (<hood>) and STRUT (<bud>) in the accents of the northern half of England, including Yrk and Ncl. STRUT vowels in these varieties are typically realized with the same quality as FOOT vowels. The fusion of these categories is clear to see in Figure 1, as is the fact that the realization of the undifferentiated Yrk FOOT/STRUT category is phonetically very close to the realization of FOOT in Aus. The second systemic difference relates to the TRAP, BATH, and PALM/START lexical sets (<bad, past, bard>). BATH is a restricted lexical set that is realized with the same vowel as TRAP in the north of England, but with the same vowel as PALM/START in southern England varieties and their historically related varieties in the antipodes, Aus (and NZ) English. In Aus, BATH is realized with the same low central variant as PALM/START, while TRAP is realized with a short low front variant that, as pointed out by Cox (2006), has in recent times lowered from [æ] towards [a].

Again, these differences can readily be observed in Figure 1. Note that the fused Yrk variant for TRAP/BATH is located in-between Aus TRAP and Aus BATH/PALM/START, which may also affect how Aus listeners categorize this Yrk vowel.

The Yrk-Aus accent comparison also yields a number of potential non-systemic CS differences. Yrk THOUGHT/NORTH (keyword <bored>) can be realized as an unrounded open back variant [ɑ:], which has the potential to be misheard by Aus listeners as PALM/START. Yrk FACE (<paid>) is typically realized as a long mid-open monophthongal front vowel ([ɛ:]), which could be misheard by Aus listeners as the vowel typically encountered for SQUARE (<paired>). Yrk GOAT (<code>) is typically realized as a long mid-high monophthong varying between [o:] and [ɔ:] or centralized rounded [ø:] (Williams & Kerswill, 1999: 143-146), thus rendering it likely to be miscategorized by Aus listeners as THOUGHT/NORTH or NURSE. The remaining vowels of Yrk are likely to give rise to CG assimilations to the corresponding Aus vowels or, in the case of CHOICE (<boyd>), to NL assimilation, as the realization of this lexical set across the two varieties appears to be quite similar.

#### 1.3.4 *Aus-Ncl*

The Ncl accent's vowel system is the most phonetically distinct from Aus. The Aus-Ncl comparison yields the same two systemic differences as described above for Aus-Yrk, namely an absence of the FOOT-STRUT lexical set split, and an alignment of the restricted lexical set BATH with TRAP. But Ncl diverges further from Yrk in respect of their other differences from Aus. For example, Ncl GOAT is variably realized as [o:]/[ʊə]. The [o:] variant is likely to be misheard by Aus listeners as THOUGHT/NORTH, the [ʊə] as a disyllabic vowel found in some CURE words (<toured>; see below). Ncl PRICE (<hide>) is realized as a rising diphthong, occasionally with a higher, fronted onset ([ɛɪ], lower F1) than Aus, which may be miscategorized as FACE (<paid>).

Other realizational differences may be heard as a CG variation from the Aus vowel; e.g., Ncl

MOUTH is a rising diphthong with a larger F1 trajectory ([aʊ]/[eʊ] than Aus MOUTH ([æə]), thus is likely to sound different but unlikely to be categorically misperceived. The remaining Ncl lexical set vowels are likely either to be perceived as a CG difference from Aus, or to be heard by Aus listeners as NL, as their realizations are similar to Aus, e.g., FOOT, CHOICE (<hood, boyd>).

### 1.3.5 *CURE as a special case*

The status of CURE (<toured>) across all five accents warrants comment. In all the varieties in question, this lexical set—which carries a low functional load—either has undergone, or is in the process of undergoing, change in its realization and in its relationship to other lexical sets. For Lon, the range of realizational variants spans a diphthongal [ʊə] variant through to a [ɔ:] variant in which CURE is merged with THOUGHT/NORTH (Ryfa, 2013). The latter forms are more frequent in the speech of younger speakers. Cox and Palethorpe (2007) note an analogous situation for Aus, pointing out that a diphthongal variant is produced only infrequently by younger speakers and that more common variants are a monophthong that has merged with THOUGHT/NORTH ([ɔ:] in Aus) or a disyllabic realization of [ɪ:ə]. A similar picture is found in Ncl and Yrk where both monophthong and diphthong variants are in evidence, but younger speakers quite commonly produce diphthongal and disyllabic variants. In sum, CURE is variable and appears to be in a state of flux within all of the accents focused on in this study, but the parameters of that variation are somewhat similar across all locations. It is noteworthy that the limited isolated word material used to derive Figure 1 seems to have generated primarily monophthongal realizations across all varieties, albeit with slightly different locations in the F1/F2 space.

### 1.3.6 *Summary*

As a result of this comparative analysis, we identified four comparator accents (Lon, NZ, Yrk,

NcL) varying in the type and scale of differences relative to the listener accent (Aus). Of course, in making these comparisons, it is important to recognize that there is variability in the realization of any phoneme or lexical set within any accent, across both talkers and tokens, so the comparisons outlined above are necessarily approximations. Nonetheless, critically for the purposes of this study they are a sufficient approximation to the typical cross-accent differences to enable us to interpret our findings.

## **2 Method**

We report on a total of nine different conditions, split across two experiments. All conditions involve participants listening to the same story told in either their own accent, Aus, or one of the four unfamiliar (or less familiar) accents, and then completing a vowel categorization and ratings task with nonce words spoken in one of the five accents. The manipulations across conditions were the accent of the pre-test exposure story (Aus in Experiment 1; the other accents in Experiment 2) and the accent of the stimulus materials that were categorized after listening to the story (both experiments). As a shorthand label for each of the conditions we use one letter from the accent, A (Aus), L (Lon), Z (NZ), Y (Yrk), N (NcL), and express conditions as combinations of the exposure story accent and the accent of the nonce words in the test phase. For example, Z-Z refers to the condition in which listeners heard the story in New Zealand-accented English and then categorized vowel stimuli also produced in New Zealand-accented English. To assess the influence of regional accent on perceptual assimilation of vowel categories, the A-A condition (Australian accent for the story and for the vowel categorization task) served as the baseline for performance in the first Experiment, in which this condition was compared with A-L, A-Z, A-Y, and A-N to assess the influence of the differing accents on the perceptual assimilation of vowels. In Experiment 2, the conditions in which listeners heard the pre-test exposure story and the test items in one of the other

accents, L-L, Z-Z, Y-Y, N-N, were used to assess the influence of accent exposure on vowel categorization. Here, the relevant baseline conditions were A-L, A-Z, A-Y, and A-N from Experiment 1. For example, any change in performance in L-L relative to A-L would indicate an effect of accent exposure on perceptual assimilation of Lon vowels.

## 2.1 *Participants*

144 undergraduates (University of Western Sydney) aged 17.9-30.2 years ( $M = 21.1$ ;  $SD = 2.7$ ) completed a vowel categorization and goodness rating task that used nonce stimuli produced by multiple talkers of one of five English regional accents. Participants were quasi-randomly assigned to one of the nine conditions involving combinations of the five accents, i.e. there were 16 (8M/8F) per condition. All were native monolingual speakers of Aus, with no reported speech/hearing/language problems and having had minimal long-term regular exposure to other languages or other regional English accents. All were raised in monolingual Australian English homes in Greater Western Sydney.

## 2.2 *Stimuli*

### 2.2.1 *Pre-test accent exposure passage*

Immediately preceding the experimental vowel categorization tasks, participants were asked to listen to a meaningful passage (~10 minutes) told by multiple speakers of either Aus or of the same regional accent as the nonce words in the vowel categorization task. For this purpose, we created an extended version of the children's story *Chicken Little* that contained at least ten occurrences, in stressed syllables of real words, of each vowel used in the nonce items. The complete text of the story is provided in Appendix B. The exposure passages were created from recordings of two male

and two female speakers of each accent (see also 2.2.2 for more information on the speakers) by selecting three non-adjacent subsections of the passage for each speaker, and concatenating the subsections in sequence to form the complete story. A 1.5s fade out and fade in was added between subsections (corresponding to the natural pauses speakers left between subsections). The final passage was scaled to 65dB.

### 2.2.2 *Nonce words*

The phonotactically permissible frame /z**V**bə/ was used to elicit nonce words for each of the English vowels in 20 lexical sets: FLEECE, KIT, DRESS, TRAP, PALM, STRUT, GOOSE, FOOT, THOUGHT, LOT, NEAR, SQUARE, NURSE, CURE, FACE, GOAT, PRICE, CHOICE, MOUTH, and BATH. None of the resulting nonce items in the /z**V**bə/ frame formed a real word. The very low phonotactic probability of /z**V**bə/ also minimized lexical biases in perception of the nonce target items.

The nonce targets were produced six times each by two female and two male speakers from each region. None of the speakers were those used for the pre-test exposure passage in the same accent. Two tokens per nonce word per speaker per accent were selected on the basis that the target vowel was judged representative of the accent by a phonetically trained researcher experienced with that accent. Tokens were extracted with a 100 ms buffer of inter-stimulus silence at the beginning and end of the nonce word. A ramp and damp were imposed on the initial and final 20 ms of each file, respectively, and tokens were normalized to 65 dB.

All speakers recorded for the nonce words and the exposure passage (2.2.1) were recorded in their native accent region by research assistants familiar with the accent: Lon speakers in London, Ncl/Yrk speakers in Northern English, NZ speakers in Christchurch and Aus speakers in Sydney. The



speakers for the nonce word tokens and the speakers used for the exposure passage were consistent across all listeners. The age ranges of these speakers (both nonce words and exposure passage speakers) were: Western Sydney (17.0-26.4 years,  $M = 21.7$ ,  $SD = 3.9$ ), southeast London (20.2-50.6 years;  $M = 38.0$ ,  $SD = 14.3$ ), Christchurch, New Zealand (18.5-20.6 years,  $M = 19.6$ ,  $SD = 1.0$ ), Yorkshire (19.5-31.7 years;  $M = 24$ ,  $SD = 5.4$ ), and Newcastle (21.5-45.9 years,  $M = 31.6$ ,  $SD = 11.7$ ).

### 2.2.3 Keywords

For 19 of the 20 target vowels, a real word was selected to serve as a printed keyword choice for listeners to use in categorizing the nonce word vowels in the assimilation task. We did not have a separate keyword for the BATH vowel, as it systematically groups with one of the other vowels for all accents: in Aus/Lon/NZ, BATH and PALM group together; in Ncl/Yrk, BATH and TRAP group together. Keywords were presented together on a grid in the form of real /bVd/ words, with exceptions made if the context did not result in an easily recognizable word (e.g., we used <code> instead of <bode>, <rude> instead of <bood>). The keywords were: <bead, bid, bed, bad, bard, bud, rude, hood, bored, pod, paired, beard, bird, toured, paid, code, hide, boyd, proud>, respectively, for the lexical sets FLEECE, KIT, DRESS, TRAP, PALM (same vowel as START in all five accents), STRUT, GOOSE, FOOT, THOUGHT (same vowel as NORTH in these accents), LOT, NEAR, SQUARE, NURSE, CURE, FACE, GOAT, PRICE, CHOICE and MOUTH.

### 2.3 Procedure

Participants received the pre-test exposure story told in Aus (Experiment 1) or in one of the four unfamiliar accents (Experiment 2), then heard and judged nonce words in one of the five accents: Aus (baseline condition in Experiment 1) or Lon, NZ, Yrk, or Ncl (both experiments).

Participants were not told which accent(s) they would be listening to, nor in fact did we inform them that accents were involved in the task. They first completed the pre-test exposure phase in which they listened to the *Chicken Little* story, then answered five multiple choice questions about the story to ensure they had paid attention. Next, they completed the vowel categorization and goodness rating task in the nonce accent for their condition.

On each trial of the categorization task, participants heard a nonce token. They then saw a grid on a computer monitor containing the vowel keywords for this 19-alternative forced choice (19AFC) task. Participants clicked on the keyword whose highlighted vowel they considered to best match the target vowel in the nonce token they had heard. The layout of keywords on the grid was randomized across participants, but the order for a given participant remained constant throughout the task. After selecting a keyword, they were asked to rate the goodness of the fit, i.e., how well the vowel that they heard matched the vowel in the keyword they had chosen. Participants rated the goodness of fit on a 7-point Likert scale (7 = excellent, 1 = poor). To familiarize participants with the task and their randomized grid, prior to the categorization task, they completed training trials (without feedback) with nonce tokens produced by the speakers of the story, arranged so that they received one token per grid item. After training, participants completed the categorization test (160 trials = 20 nonce words x 2 tokens x 4 speakers), presented in random order via e-Prime (v. 2.0.8.22). There were four breaks built into the categorization task. The total task took between 40-60 minutes, depending on the pace of the individual participant and the time that they chose to rest during breaks.

## 2.4 Analysis

PAM provides a framework for interpreting data from categorization and rating tasks, such as the task employed in this study, in terms of perceptual assimilation types (Best, 1995; Best & Tyler,

2007). Different types of perceptual assimilations can be diagnosed from listener behavior by considering categorization accuracy, goodness ratings and incorrect response patterns. In order to effectively and efficiently apply this technique, we have formalized how these sources of data are integrated in diagnosing perceptual behavior. Our analytical expression of the integration of those contributions aggregates accuracy, goodness ratings, and incorrect response patterns; it is given in (1). We refer to this measure of listener behavior as *Accuracy'*.

*Accuracy'* is computed as the difference between two weighted conditional probabilities. The first is  $P(c_t|s_t)$ , the conditional probability of choosing the correct (or target) keyword,  $c_t$ , given the stimulus,  $s_t$ . This term is typically reported as categorization accuracy. The second conditional probability is  $(c_i|s_t)$ , the probability of choosing a particular incorrect keyword,  $c_i$ , given the stimulus,  $s_t$ . This occurs when the target nonce vowel is perceptually assimilated to a keyword vowel other than the one intended by the speaker. Since there are 19 keywords in total, the number of incorrect keywords available for any given trial is 18. The weighted probability (weights are discussed below) of each incorrect keyword choice is summed to make up the right side of the difference. This is subtracted from the weighted probability of choosing the correct (or speaker-intended) keyword vowel. One property of *Accuracy'* is that, because it is a difference score, it can be negative. This is the case whenever the probability of an incorrect keyword selection is greater than the probability of the correct keyword choice.

In the calculation of *Accuracy'*, as defined in (1), the conditional probabilities of the target keyword (the vowel the speaker intended) and the incorrect keywords are expressed relative to the conditional probability of each keyword selection across the task, yielding the terms  $\frac{P(c_t|s_t)}{P(c_t)}$  and  $\frac{P(c_i|s_t)}{P(c_i)}$ . Relativized measures are appropriate in this case because they adjust for possible biases in choosing one keyword over another. With 19 keywords in the experiment, each keyword has an equal *a priori* probability of being chosen of 0.052 or 5.2% of the time, i.e., if no other biases

contribute to the choice. The average percentage of keyword selection in our experiment was very close to this ( $\underline{M} = 5.3\%$ ;  $\underline{SD} = 2.0\%$ ), but the actual mean percentage choices for individual keywords ranged from 3.0% (for <bird>) to 10.7% (for <bed>). In accordance with the goals of this study, we would like to interpret differences in keyword choices in terms of perceptual assimilation, e.g., to attribute the higher frequency of choosing the <bed> keyword, which represents the DRESS lexical set, as indicating that nonce word vowels are more often perceptually assimilated to the DRESS vowel than to the NURSE vowel. We cannot rule out, however, other factors besides perceptual assimilation that may influence the listeners' choices of keywords. Properties of the keywords themselves, such as usage frequency, phonological neighborhood density, semantic salience, position on the screen, and other yet unknown factors, may also contribute to a listener's tendency to choose one keyword more often than another. Dividing the conditional probabilities of keyword choice, given the stimulus, by keyword probability, i.e., the frequency with which a keyword was chosen across the experiment by a participant, normalizes the data. We note as well that at least some of the numerous factors that may influence whether a particular keyword is chosen more often than another are likely to vary from participant to participant. For example, the arrangement of the keywords on the screen was a different random configuration for each participant, possibly affecting individuals' keyword choice biases. For this reason, we calculated the experiment-wide probability of a keyword selection by participant.

The last terms to introduce in (1) are the weights,  $r_t$  and  $r_i$ . The relative probabilities of accurate and inaccurate keyword choices are weighted by the participants' goodness ratings, which were also collected in the experiment. The term  $r_t$  is the goodness rating when the correct keyword was chosen. The term  $r_i$  is the goodness rating when the incorrect keyword was chosen. These terms modulate the accuracy ratings such that correct choices with high goodness ratings increase *Accuracy'* while incorrect choices with high goodness ratings decrease *Accuracy'*. A key assumption

in weighting normalized accuracy by goodness ratings is that these two dependent measures tap into the same mental construct, with ratings providing finer-grained data than accuracy alone. Although this is assumed in some antecedent literature on cross-language speech perception, the situation for cross-accent speech perception may be different. Specifically, in the context of cross-accent perception, ratings may evoke socio-evaluative judgements associated with the accent. In this case, the possible benefit of ratings tapping into sub-categorical variation may be offset by influences on ratings that are orthogonal to our main concerns here. For this reason, we also calculated Accuracy' without ratings, following the equation in (2). We tested for correlations between weighted (1) and unweighted (2) Accuracy' score within each condition, calculated both by subject and by vowel. In each case, the correlation was extremely high (Spearman's correlations within conditions,  $\rho = .95$  to  $.99$ , tested over all conditions together [by subject] =  $.97$ ), showing that the goodness ratings did not much influence the Accuracy' scores. We also noticed some patterns in the goodness ratings that suggest that they are indeed tapping into something different from the categorization results. For these reasons, we focus our main presentation of the results below on unweighted Accuracy', henceforth just Accuracy', and provide a separate analysis of the goodness ratings in section 3.3. A complete description of the raw results, including accuracy, goodness ratings and confusion matrices for each accent are provided in the appendix.

(1) Weighted Accuracy'

$$Accuracy' = r_t * \frac{P(c_t|s_t)}{P(c_t)} - \sum_{i=1}^{18} r_i * \frac{P(c_i|s_t)}{P(c_i)}$$

(2) Unweighted Accuracy'

$$Accuracy' = \frac{P(c_t|s_t)}{P(c_t)} - \sum_{i=1}^{18} \frac{P(c_i|s_t)}{P(c_i)}$$

The results below are organized to address, first, the influence of regional accent on perceptual assimilation (Experiment 1) and, second, the influence of pre-test exposure accent on assimilations (Experiment 2). To determine the statistical significance of differences across conditions and across vowels, we fitted linear mixed effects models to *Accuracy'* using the lme4 package (Bates et al., 2014) in R (version 3.3.1).

For Experiment 1, analyses of the *Accuracy'* data evaluated the influence of accent on perceptual assimilation of vowels. We used the native regional accent of the listener group, Aus, as the accent of pre-test exposure story for all five conditions in this experiment. In the baseline condition the nonce words in the categorization task that followed the story were also presented in Aus. That condition served as the basis of comparison for the four other-accent test conditions. We fitted one model for each comparison of the Aus nonce word condition with another accent nonce word condition: A-A vs. A-L; A-A vs. A-Z; A-A vs. A-Y; A-A vs. A-N. The fixed factors in the models were the accent of the nonce stimuli (Aus vs. other), vowel, and the interaction between vowel and accent. A random intercept for subject was included to capture participant-to-participant variability in task performance. The fixed factor of accent was treatment-coded, with Aus serving as the reference level. Thus, negative coefficients for accent indicate lower *Accuracy'* for the other accent than for

Aus. Vowel was coded as a categorical variable with 19 levels.

We used deviation coding (e.g., Menard, 2010; for linguistic analyses see, e.g., Hofmeister, Jaeger, Arnon, Sag & Slater, 2013; Recasens & Mira, 2015) for the vowel factor, rather than selecting a reference vowel, because of the difficulty in selecting a single reference level from all vowels across accents. This approach nonetheless required leaving one vowel out of the deviation coding; we chose the least-selected keyword vowel <toured> (lexical set CURE). The effect of accent on *Accuracy'* for each vowel was therefore compared with an intercept based on the mean *Accuracy'* across vowels (minus <toured>). The interaction between vowel and accent was also included as a factor in all of the models, as we expected that the effect of accent on *Accuracy'* would not be uniform across vowels but, rather, driven by the vowels known to differ the most across accents.

For Experiment 2, to evaluate the effect of exposure, we fitted a series of nested models to the eight relevant conditions (A-L vs. L-L; A-Z vs. Z-Z; A-Y vs. Y-Y; A-N vs. N-N), the most complex of which included a three-way interaction between vowel (19 levels), accent of the nonce items (L, Z, Y, N), and exposure accent (story presented in the listeners' native accent (i.e., Aus), or in the relevant non-native (non-Aus) accent).

### 3 Results

#### 3.1 Experiment 1: Perceptual assimilation of vowels across accents

##### 3.1.1 Vowel perception in native Aus

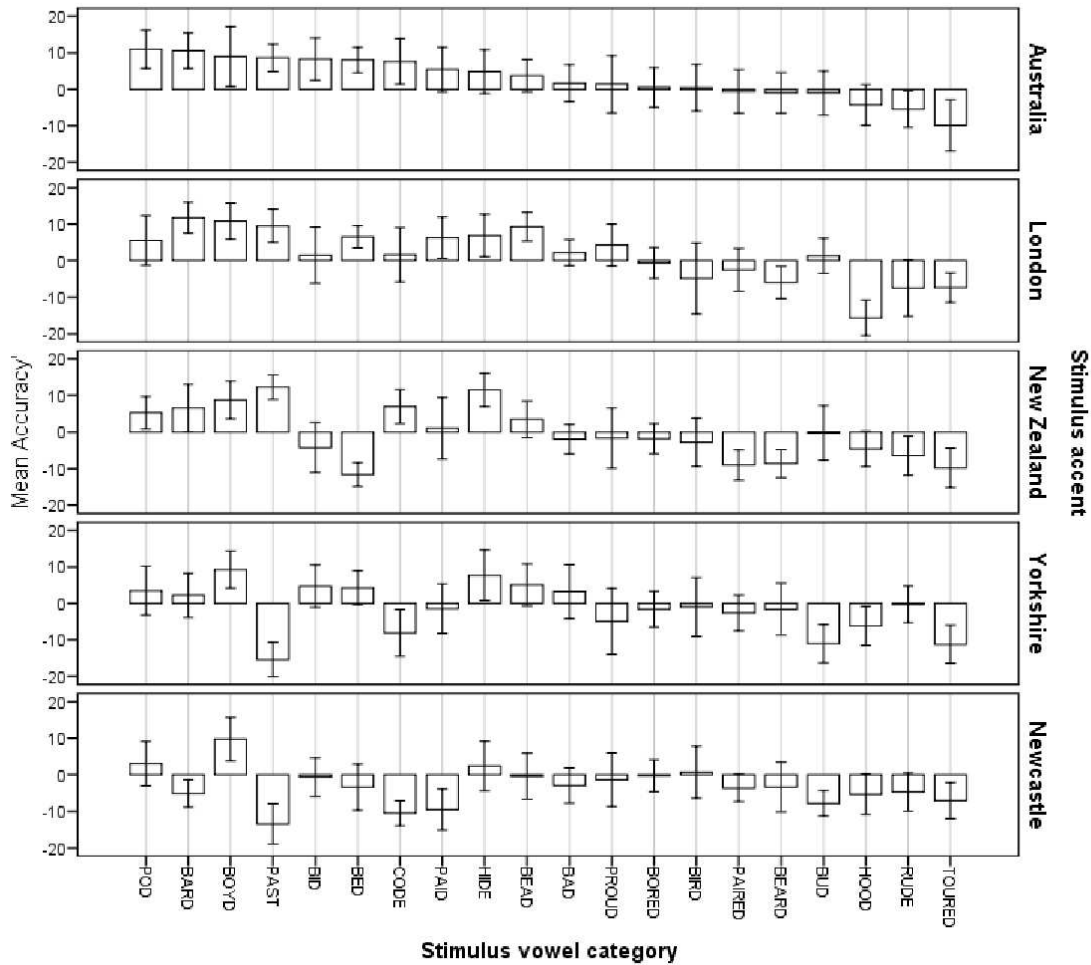
Figure 2 shows *Accuracy'* by vowel for the Aus baseline (A-A condition). Error bars indicate 95% confidence intervals. The vowels are ordered from highest *Accuracy'* on the left side of the figure to

lowest *Accuracy'* on the right. The highest scores were in the 10s for the vowels of keywords < pod > and < bard >, corresponding to raw accuracies of 80% and 64% correct vowel choices, respectively; the three highest raw accuracies also included < bed > at 80% correct but its *Accuracy'* was below 10, reflecting the high overall frequency of choosing that keyword across the experiment. The lowest *Accuracy'* score was -9 for < toured >, with the lowest raw accuracy of 21%. Raw accuracy across vowels and conditions is provided in Appendix C. Confusion matrices are provided in the Appendix E. Even the highest *Accuracy'* (and raw accuracies) are not near ceiling levels, underscoring that this task is challenging for listeners even for native-accented vowels. We observe a positive mean *Accuracy'* with error bars that do not overlap zero (see also leftmost column of Table 1), for seven Aus vowels (those of keywords < pod, bard, boyd, past, bid, bed, code, >). This indicates that, for these seven vowels, participants chose the correct vowel more often than the sum of all alternatives. This was not the case for 12 other vowels, which have error bars that overlap zero. These vowels were just as likely to be categorized as other vowels as they were to be categorized as the target vowel. At the negative end of the continuum, *Accuracy'* fell significantly *below* zero for one Aus vowel, < toured > (lexical set CURE), indicating it was significantly *less* likely to be identified as the CURE vowel than as some other vowel(s). As we discussed in the introduction, for many Australians this vowel may no longer constitute a separate category (i.e., CURE has undergone or is undergoing merger with THOUGHT/NORTH).

Table 1 summarizes the fixed effects in the mixed models. We have also included in the two lefthand columns the vowel coefficients for a mixed model of the A-A condition (excluding the effect of accent, in this case), so as to quantify the impressive range of *Accuracy'* found even within the baseline condition. The columns for London, New Zealand, Yorkshire, and Newcastle show coefficients for the vowel\*accent interaction term in the models described above. We considered *t* values  $\geq |2.325|$  (df = 42, *p* < .025, two-tailed, 97.5% CI) to reflect significant differences in



*Accuracy'* values between a vowel in a given accent and the corresponding Aus vowel (these are indicated by double asterisk in the table).



**Figure 2.** Mean *Accuracy'* scores (error bars = 95% Confidence Intervals) obtained for categorization and goodness ratings of each vowel in each accent in Experiment 1 (Perceptual assimilation). The dotted gray lines in the non-Australian accent plots provide the reference levels of *Accuracy'* found in the A-A condition, for ease of visual comparisons across accents.

**Table 1.** Linear mixed model results on *Accuracy'* values for: a) A-A condition mean \* vowel; b-e) Accent \* vowel.

Other-accent vowels with significantly lower (negative) or higher (positive) *Accuracy'* values than the corresponding Aus vowel are designated by boldface. NOTE: <toured> is absent from this table as it was the vowel deleted for the deviation coding in the models.

Keyword Vowel	(a) Australia		(b) London		(c) New Zealand		(d) Yorkshire		(e) Newcastle	
	$\beta$	<i>t</i>	$\beta$	<i>t</i>	$\beta$	<i>t</i>	$\beta$	<i>t</i>	$\beta$	<i>t</i>
<pod>	8.00	4.07**	-4.13	-1.38	-2.39	-0.79	-3.23	-1.03	-1.78	-0.58
<bard>	7.63	3.88**	2.47	0.82	-0.69	-0.23	-4.15	-1.33	<b>-9.56</b>	<b>-3.12**</b>
<boyd>	6.04	3.07**	3.12	1.04	3.07	1.02	4.48	1.43	6.89	2.25
<past>	5.69	2.89**	2.17	0.72	6.95	2.30	<b>-19.79</b>	<b>-6.32**</b>	<b>-15.97</b>	<b>-5.22**</b>
<bid>	5.35	2.72**	-5.49	-1.83	<b>-9.24</b>	<b>-3.06**</b>	0.60	0.19	-2.81	-0.92
<bed>	5.05	2.57**	-0.17	-0.06	<b>-16.29</b>	<b>-5.39**</b>	0.45	0.15	-5.26	-1.72
<code>	4.67	2.38**	-4.73	-1.58	2.62	0.87	<b>-11.47</b>	<b>-3.66**</b>	<b>-12.04</b>	<b>-3.93**</b>
<paid>	2.47	1.25	2.19	0.73	-1.12	-0.37	-2.64	-0.84	<b>-8.81</b>	<b>-2.88**</b>
<hide>	1.89	0.96	3.40	1.13	<b>9.97</b>	<b>3.30**</b>	7.06	2.25	3.67	1.20
<bead>	0.79	0.40	6.86	2.28	3.01	1.00	5.58	1.78	1.98	0.65
<bad>	-1.26	-0.64	1.82	0.61	-0.34	-0.11	5.75	1.84	1.53	0.50
<proud>	-1.55	-0.79	4.16	1.39	0.27	0.09	-2.07	-0.66	3.40	1.11
<bored>	-2.40	-1.22	0.12	0.04	0.98	0.32	2.12	0.68	5.32	1.74
<bird>	-2.52	-1.28	-4.01	-1.33	0.05	0.02	2.79	0.89	6.41	2.09
<paired>	-3.52	-1.79	-0.68	-0.23	-5.15	-1.71	2.12	0.68	3.09	1.01
<beard>	-3.93	-2.00	-3.69	-1.23	-4.36	-1.44	3.60	1.15	3.74	1.22
<bud>	-3.94	-2.00	3.66	1.22	4.09	1.35	-5.81	-1.85	-0.68	-0.22
<hood>	-7.23	-3.67**	<b>-10.08</b>	<b>-3.36**</b>	2.94	0.97	2.35	0.75	5.08	1.66
<rude>	-8.38	-4.26**	-0.77	-0.26	2.27	0.75	<b>9.35</b>	<b>2.99**</b>	6.85	2.24

### 3.1.2 Perceptual assimilation of vowels in the other accents

Across the other four accents, only a handful of vowels showed significant *Accuracy'* differences from the Aus baseline. To facilitate visual comparison of performance on the other four accents against the Aus baseline, the mean *Accuracy'* for Aus, shown on the top panel of Figure 2 is also superimposed as a dotted grey line on the panels for the other accents. For Lon, only one vowel's

*Accuracy'* was significantly different from Aus: the vowel in <hood>, the keyword representing the FOOT lexical set. For NZ, Australian listeners showed significantly lower *Accuracy'* on <bid> (KIT) and <bed> (DRESS). There were also two vowels in Yrk that had significantly lower *Accuracy'* than the A-A baseline: <past> (BATH) and <code> (THOUGHT/NORTH). Ncl, as expected, showed the largest number of significant negative deviations from the Aus baseline. In addition to <past> and <code>, significant negative effects were also found for <bard> (PALM) and <paid> (FACE).

The vowels that differ significantly from Aus are largely consistent with our earlier summary of accent differences and with the plots of the keyword vowels produced by our nonce word speakers for each accent (Figure 1). As summarized in our accent review and confirmed in the nonce talkers' choice word formant plots (Figure 1), FOOT <hood> is fronted in Lon relative to Aus. Accordingly, it was often perceived as vowels that are short and non-back in Aus: STRUT <bud> (18%), KIT <bid> (15%), or DRESS <bed> (14%). The misperception of NZ <bed> (DRESS) as <bid> (46%) follows from the raised position of NZ DRESS relative to Aus. The low *Accuracy'* for NZ KIT <bid>, which is centralized relative to Aus, is largely due to categorizing this vowel as DRESS <bed> (27%), which is not necessarily a straightforward consequence of the acoustics. It may be a case of hyper-correction or reflect some familiarity of Aus listeners with NZ vowels. The cases of mis-categorization of the Northern England vowels also followed predicted trends. Listeners assimilated Yrk and Ncl GOAT <code> to NORTH/THOUGHT, presumably because of the monophthong quality of GOAT in Yrk and Ncl. Predictably as well, <past> (BATH) was assimilated to TRAP in both Yrk and Ncl. The raised position of <bard> (PALM/START) and the high, front monophthongal production of FACE <paid> in Newcastle is consistent with their categorization, respectively, as NORTH/THOUGHT <bored> (23%) and DRESS <bed> (29%).

In addition to the largely expected negative effects of accent described above, there were also a few cases in which, somewhat surprisingly, Australian listeners categorized vowels better in one

of the other accents than in Aus. This occurred for NZ vowel of the PRICE lexical set (<hide>), and Yrk GOOSE <rude>. In the case of the PRICE <hide> vowel, formant measurements at the peak of the nonce vowel stimuli for each accent (Appendix A) indicate that F1 was notably higher and F2 lower (more open and somewhat more backed), and there was notably less variability, in the NZ than in the Aus stimuli. It is not clear which of these differences made this vowel easier to categorize in NZ productions, nor why.<sup>2</sup> Moreover, this pattern did not hold for Yrk GOOSE <rude>, where the Yrk F1 value was instead comparable to the Aus value, while its F2 value was much *higher* (more fronted), and its variability on both dimensions was higher rather than lower, relative to Aus. Moreover, the Lon GOOSE <rude> vowel displayed the same pattern of acoustic differences from Aus GOOSE <rude> and yet did *not* yield an *Accuracy'* difference from Aus. The differences among these cases may hint that better *Accuracy'* for a vowel in another accent could rest on its relative position (and/or variability) within the accent's vowel space.

In sum, the vowels for which significant negative effects of accent were found correspond closely to differences between accents noted in the descriptive literature. That said, not all such "known" accent differences degraded *Accuracy'*. Out of 80 comparisons (20 vowels/accents X 4 accents), only nine (Lon: FOOT; NZ: KIT, DRESS; Yrk: BATH, THOUGHT; Ncl: BATH, GOAT, PALM, FACE) showed significant negative differences. Thus, to a large degree, the categorization and rating performance on vowels, as reflected in the *Accuracy'* scores, is consistent across accents. We return to this issue in the general discussion revisiting the two broad hypotheses laid out for this experiment.

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<sup>2</sup> The dominant error for listeners in both their own accent (Aus) and NZ was the same: <bid> kit and <paid> face in both cases.

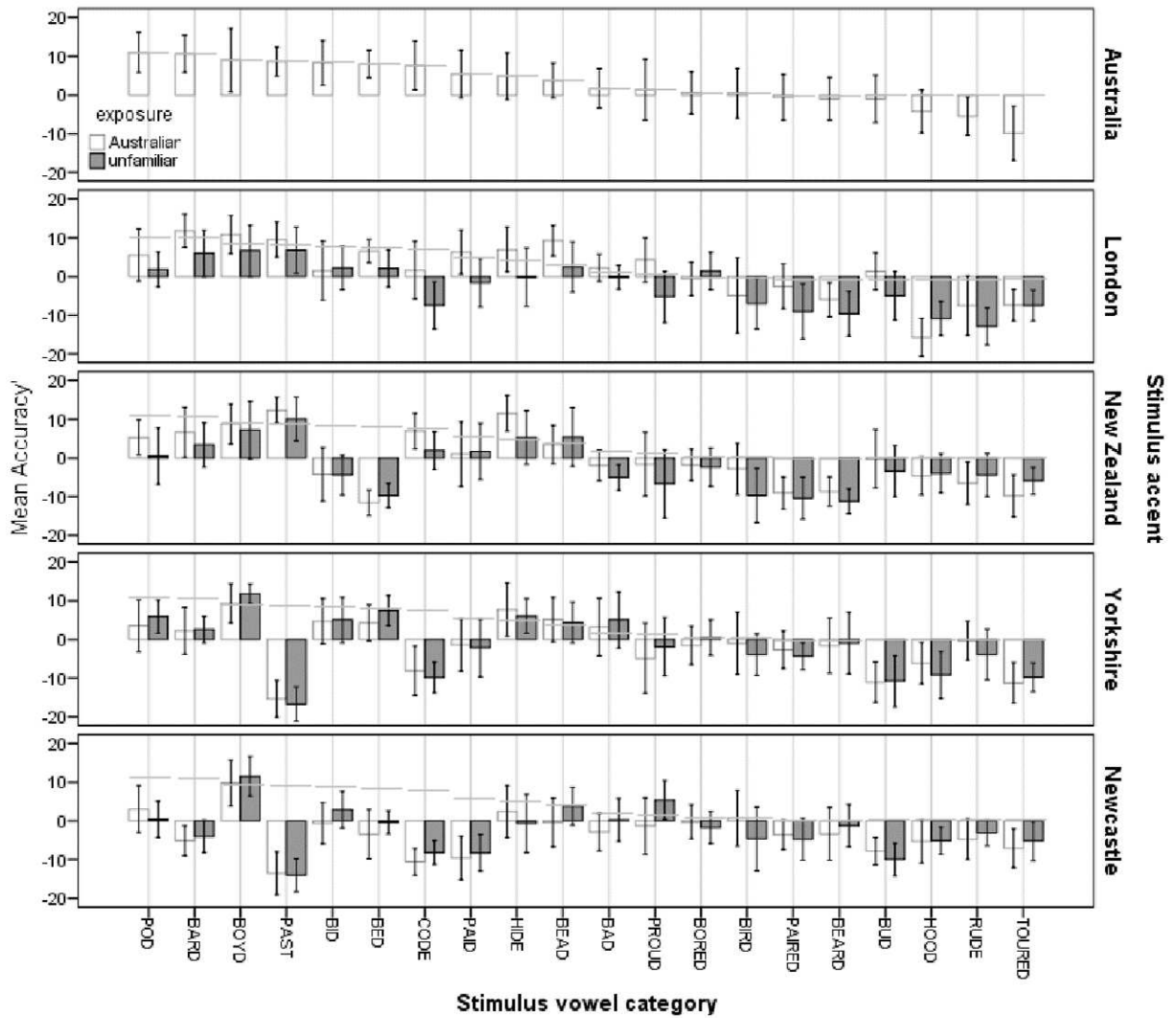
### 3.2 Experiment 2: Accent exposure effects

To evaluate the effect of pre-test exposure accent, we compared vowel categorizations in the conditions in which listeners heard the exposure story in their native and most familiar accent (Aus) before completing the nonce vowel categorization task in one of the other (unfamiliar, or less familiar) accents against the conditions in which they heard the story in the same (unfamiliar) accent as the nonce stimuli, e.g., a comparison between A-L and L-L conditions. We thus evaluate whether accent-matched pre-test exposure facilitates performance in the vowel categorization task when the talkers in the two phases of the experiment are different.

Figure 3 summarizes the exposure effects on vowel *Accuracy'* scores. For this comparison, the Aus-other accent conditions (shown in white bars), e.g., A-L, serve as the baseline for the matched exposure conditions (shown in grey bars), e.g., L-L. For reference, the top panel repeats the Aus baseline results (A-A condition) and the grey lines indicate those results in each panel.

The main pattern we observe is that the matched exposure conditions (grey bars), e.g., L-L, closely resemble the unmatched conditions (white bars), e.g., A-L, for each accent. This pattern indicates that, by and large, pre-test exposure to the story in an unfamiliar accent did not influence patterns of perceptual assimilation of vowels produced by new talkers of that accent. We evaluated that observation statistically by fitting a series of three nested linear mixed effects models to the eight conditions relevant to assessing exposure effects (condition A-A was excluded), which are represented in the bottom four panels of Figure 3. The baseline model, defined in (i), contained vowel as the only fixed factor. The second model, the “accent” model in (ii), added the accent of the test stimuli and the interaction between accent and vowel as predictors. The third model, the “exposure” model in (iii), added exposure accent as a third factor along with all interactions among exposure, vowel, and accent, including the three-way interaction. All models contained a random

intercept for subjects. Model comparisons, conducted via pairwise ANOVAs, are summarized in (iv) of Table 2. Adding accent to the model resulted in significant improvement over Baseline (vowel only). Moreover, the increased model complexity resulting from pairwise interactions between accent and vowel is justified by the lower AIC (Akaike Information Criterion) in the accent model than the baseline model. Adding exposure to the model together with interactions between exposure and the other factors, vowel and accent, increases the complexity of the model substantially, from 82 to 162 degrees of freedom. Yet, despite this substantial increase in degrees of freedom, there is only a marginal reduction in residual deviance in the exposure model. The differences between the accent and exposure models is not statistically significant. Moreover, the AIC of the exposure model is *higher* than the AIC of the accent model, indicating that the small increase in variance explained does not justify the additional complexity of the exposure model.



**Figure 3.** Mean *Accuracy'* scores (error bars = 95% Confidence Intervals) obtained for each nonce vowel in each accent in Experiment 2, after story exposure in Aus versus after story exposure in the same unfamiliar accent of the nonce words task. The dotted gray lines provide the reference levels of *Accuracy'* found in the A-A condition (familiar accent), for ease of visual comparisons across accent exposure conditions.

**Table 2.** Nested linear mixed effects models fitted to the eight conditions relevant to assessing exposure effects: (i) The baseline model contained vowel as the only fixed factor. (ii) The “accent” model added the accent of the test stimuli and the interaction between accent and vowel as predictors. (iii) The “exposure” model added exposure accent as a third factor along with all interactions. (iv) Model comparisons were conducted via pairwise likelihood ratio tests.

- (i) Baseline:  $\text{accuracy\_prime} \sim \text{vowel.f} + (1|\text{subject})$
- (ii) Accent:  $\text{accuracy\_prime} \sim \text{vowel.f} * \text{accent.f} + (1|\text{subject})$
- (iii) Exposure:  $\text{accuracy\_prime} \sim \text{vowel.f} * \text{accent.f} * \text{exposure.f} + (1|\text{subject})$
- (iv) Model comparison

Model	Df	AIC	deviance	Chisq	ChiDf	Pr(>Chisq)
Baseline	22	19427	19383			
Accent (cf. Baseline)	82	19065	18901	482.8	60	2.20E-16***
Exposure (cf. Accent)	162	19155	18831	70.1	80	0.7789

The model comparison thus confirms the trend shown in Figure 3. Pre-test accent exposure of the type that we provided our listeners did not have a significant effect on vowel categorization of nonce words (produced by new talkers of the same accent). Focusing on just those vowels that showed significant negative accent effects in Experiment 1 (9 out of 80 possibilities) does not render a different result. Some of these vowels show a small increase in *Accuracy*’ with exposure — Lon <hood> (FOOT) and NZ <bed> (DRESS) both show small improvements with exposure, as do NCL vowels <bard> (START), <code> (GOAT), and <paid> (FACE). However, other vowels show no effect or effects in the opposite direction (negative effects of exposure) — the latter include NZ <bid> (KIT), Yrk <past> (BATH) and <code> (GOAT), and Ncl <past> (BATH). Thus, of the nine



vowels that show significant negative effects of accent, five show small positive effects of exposure while four show small negative effects of exposure. When we consider exposure effects, the subset of vowels that showed significant accent effects echoes the broader trend in the data. Some combinations of vowel and accent show marginal increases and some show marginal decreases. The overall pattern cannot be distinguished from randomly distributed noise around a null effect of accent exposure.

From the literature on perceptual learning for speech, we had extrapolated several hypotheses about possible exposure effects in this task. One of these was that listeners would improve after pre-test exposure to the target accent because they learn phonetic patterns characteristic of the accent that generalize to new talkers and to nonce words. Prior perceptual learning studies have shown generalization across words within talkers (e.g., Norris et al., 2003) and generalization to new talkers (e.g., Kraljic & Samuel, 2006). Exposure to multiple talkers has also been shown to improve perceptual learning of a dialect, when the task is to identify that dialect (Clopper & Pisoni, 2004), and, of course, naturalistic exposure to an accent can lead to changes in vowel categorization decisions (Evans & Iverson, 2004). However, we are not aware of any positive effects of accent exposure involving the level of generalization in our task, i.e., across talkers and from words in context to non-words in vowel categorization. Our results thus suggest a limit on perceptual learning.

There are many possible reasons for the absence of learning in this paradigm. It is possible that the vowel categorization task was not sensitive enough — too abstract or too difficult — to pick up perceptual learning effects. Listeners may have shown more reliable positive exposure effects in a task that did not focus on the abstract phonological category, such as sentence transcription (Baese-Berk, Bradlow, & Wright, 2013; Bradlow & Bent, 2008) and sentence verification tasks (Adank, Evans, Stuart-Smith, & Scott, 2009). It could also be the case that multi-talker exposure does not

aid perceptual learning of accent-based vowel differences (cf., Clopper & Pisoni, 2004). In addition, listeners might have benefitted if they had been provided with explicit labelling of the accent or instructions that the pre-test exposure accent and the nonce task accent were the same/different. Additionally, although we screened participants for exposure to the accents in our study via a questionnaire, we cannot rule out the possibility that listeners may have been “pre-adjusted” to the accents due to prior exposure of which they were unaware. We also point out that since vowels carry indexical information about the talker, listeners may have attributed some of the vowel variation in the pre-test exposure story to the particular talkers, which may also have interfered with accent-based generalization to the nonce words of new talkers of that accent in the categorization task.

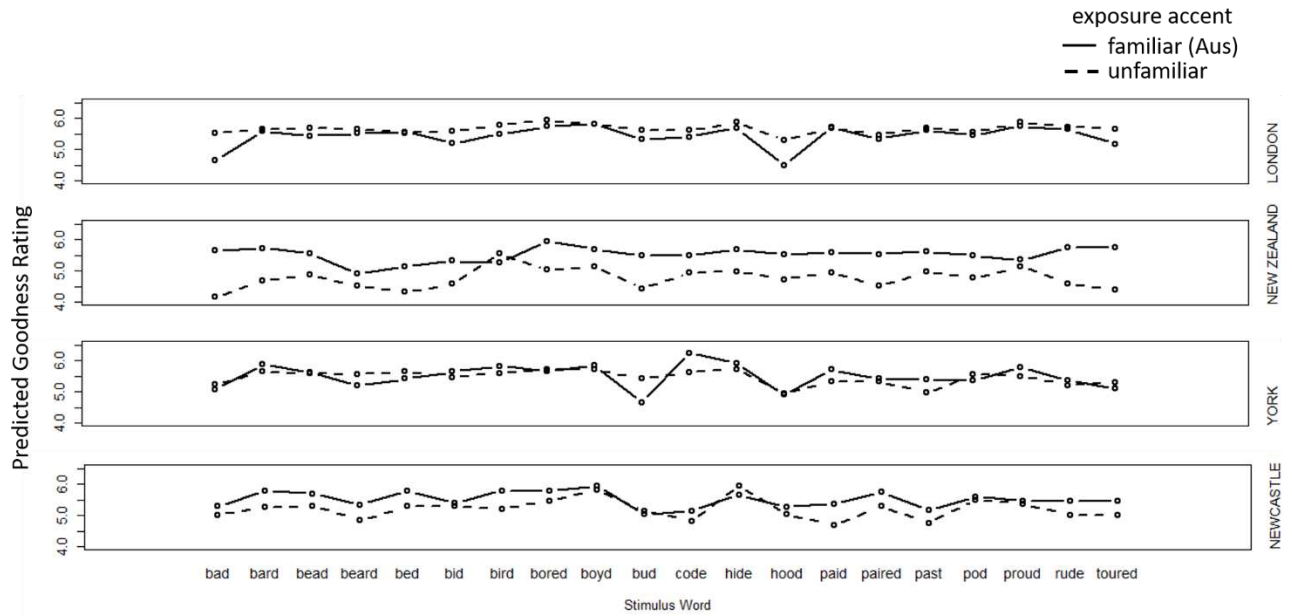
In the General Discussion, we return to these points and to some trends regarding how *Accuracy'* varied for vowels and accents across the experiments. First, however, we consider whether the goodness ratings for correct/accurate responses alone might reflect some sensitivity to accent differences that was missed by our *Accuracy'* index.

### 3.3 Goodness Ratings

In this section we analyze the goodness ratings, in order to establish whether there is any residual information in their patterning that cannot be detected by the *Accuracy'* scores. Figures showing goodness ratings by vowel and condition are reported in Appendix D.

We fit a linear mixed effects regression model to the raw ratings of accurate responses. Random effects were participant (listener) and talker, and we tested a three-way interaction among accent, exposure, and stimulus word. ANOVA comparison with a model containing all two-way interactions

reveals the three-way interaction to be highly significant ( $p < .0001$ )<sup>3</sup>. The model interaction is shown in Figure 4. Separate models fit to each accent show significant interactions between exposure and accent for York, London and NZ, and significant main effects of exposure for NZ (a negative effect) and London (a positive effect).



**Figure 4.** Significant interaction among stimulus word, condition and accent in the analysis of raw goodness ratings for correct (accurate) vowel categorizations alone. The labels of x-axis correspond to the words provided to listeners as response categories for the nonce word stimuli.

The effect for the NZ accent is particularly salient, and differs clearly from the other three accents: pre-test story exposure to NZ speakers leads Australian listeners to rate all NZ nonce vowels (except the special case CURE vowel) as 'less good' than the same NZ nonce vowels following exposure to the story told by Aus speakers. If the goodness ratings were providing a channel of

<sup>3</sup> In order to check that the use of a linear model for a non-continuous dependent variable wasn't overly influencing the pattern of results in some way, we also checked this with a logistic model, creating two 'goodness' categories by dividing the data at the mean. A logistic model of this binary factor also returns a significant accent x exposure x stimulus word interaction. We choose to report linear models as this allows us to avoid collapsing across response categories.

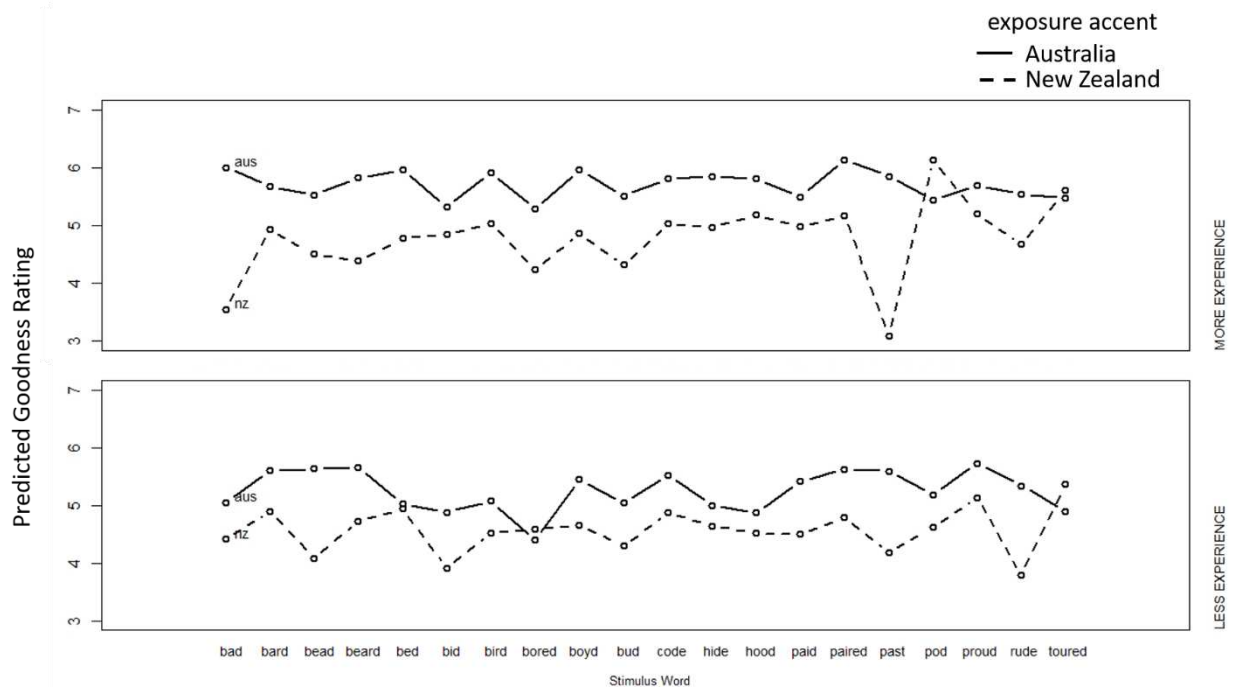
information about the perceptual mapping, then it is hard to understand why goodness ratings of correct responses would drop to the NZ voices after a period of exposure to the accent. Our interpretation of this result is that it does not tell us directly about the goodness of the mapping, but rather, reflects an attitudinal response to the NZ accent. Aus listeners are likely to encounter NZ speakers in the Sydney environment more often than they would typically encounter speakers of the UK accents. Therefore, when they heard the NZ accent in the exposure period, this provided sufficient information to identify the speakers as New Zealanders. We speculate that they then go on to infer that the voices in the perceptual categorization task are also New Zealanders. Past work has shown that Australian and New Zealand listeners readily recognize each other's accents (Weatheral, Gallois, & Pittam, 1998). Moreover, Aus listeners perceive the way that New Zealanders pronounce vowels to be 'less good' than Aus vowel pronunciations, so this identification of the speakers as New Zealanders leads to a wholesale drop in vowel ratings. Australians' greater degree of experience with New Zealand English compared to the other accents in the study goes along with some stereotypes and negative attitudinal responses. Australian material in the media and on the internet indicates an awareness of New Zealanders' vowel pronunciations, e.g., "where 'Jungle Bills' is what you sing at Christmas time." Although the results are somewhat controversial, there are studies suggesting that Australians do not hold the NZ accent in high regard (Bayard 2001), including an open response survey that reveals a pattern of "reciprocated negativity" between New Zealanders' and Australians' responses to each other's accents (Garret et al. 2005: 223).

If this drop is not about perceptual adaptation but rather about attitude and experience, as we propose, we can make two further predictions. First, we might expect to see a wholesale drop in the non-accurate responses, too. And second, the effect might be mediated by the individual respondent's own reported experience with New Zealand English.

To test the first prediction, we tested for an interaction between stimulus word and exposure

within inaccurate responses to each accent. No interactions or main effects of exposure were found for the London or Newcastle accent. For York, there was a significant interaction between stimulus word and exposure, but no main effect of exposure. For NZ, there was both a significant interaction and a significant main effect ( $p < .02$ ). That is, for the NZ accent, but not for the other accents, there is a significant overall drop in goodness, even for the incorrect answers.

To test the second prediction, we entered information about participants' previous experience into our models. Our background questionnaires asked each listener to self-report any exposure they had to the target accents. This was designed to screen out participants with high levels of exposure, for example from family members or other cohabitants. However, the analyzed participants also contained some variation in their answers to this question. We went through the open responses and created a binary code, separating those reporting no exposure, or very little (e.g. a one-week holiday, or occasional interaction with customers), from those who reported greater exposure (e.g. regular interactions with friends or more distant relatives). There were too few participants with exposure to York or Newcastle accents, making analysis of this factor impractical for those accents, and the exposure pattern was imbalanced for the London accent (with relatively few having no exposure in the AL condition). However, the distribution for the NZ accent made analysis of this variable practical [17 low experience (10 in Z-Z), and 15 high experience (6 in Z-Z)].



**Figure 5.** Interaction plot showing significant interaction among stimulus, experiment condition, and previous experience in the raw goodness ratings for correct (accurate) vowel categorizations, for listeners responding to the NZ accent following the pre-test story in Aus versus in NZ. The labels of x-axis correspond to the words provided to listeners as response categories for the nonce word stimuli.

A logistic mixed effects model of the NZ goodness ratings to accurate responses revealed a significant three-way interaction between stimulus word, experimental condition and previous experience, as shown in Figure 5. When the exposure accent is Australian English, participants with greater previous experience to NZ English hear the vowels as better examples of their category than participants with less experience do. Both groups drop their goodness ratings following the NZ exposure passage, and the distribution of this drop across words differs somewhat for the two groups. Thus, it seems as though goodness ratings are tapping into something different from the categorization results, which limits their usefulness for sharpening our conclusions about perceptual assimilation.

## 4 General Discussion

To address our core question of how listeners handle variation in vowel realizations within their native language, we investigated the categorization and ratings of vowels in five different regional accents, including the listener's native accent. We selected regional accents of English in which vowel realizations differ to various degrees from those of our listener group's Australian accent. We assessed whether the differences between accents that have been described in the literature have consequences for the perception of vowels in terms of phonological categories and whether short-term multi-talker exposure to the non-Aus accents yields improvement over baseline performance.

We considered two broad hypotheses as to how accent variation could impact the perception of vowels in terms of phonological categories, both of which stem from considerations of how perceptual assimilation might operate in the native language. In cross-language speech perception, a situation in which listener and speaker phonological systems differ, perceptual assimilation can hinder accurate perception of speech contrasts. Along these same lines, our first hypothesis was that regional accent variation, particularly systemic differences across languages, would lead to miscategorization, as the phonetic differences would be perceptually assimilated to different lexical sets than those intended by the speaker, i.e. CS differences. To evaluate this, we cast descriptions of accents as assimilation types. An alternative hypothesis is that perceptual assimilation exists primarily to support successful spoken language processing in the native language. More specifically, the segmental components of an utterance that deviate from their phonetic realizations in the listener's native accent may still resonate in perception with the language's phonological categories. To put it another way, phonological categories — or perceivers of them — may tolerate a good range of natural variation. On this hypothesis, a listener will perceive a vowel category

despite substantial variation in its precise phonetic signature. This ability to “hear through” variation to recover the phonological category may be fundamental to maintaining perceptual flexibility in the native language. This includes tolerating variability in phonetic form so as to perceive stable phonological categories across variations in talkers and accents. On this scenario, perceptual behavior in our task may not cut along the same lines as the descriptions of accent differences that served as the basis for establishing perceptual assimilation types.

To summarize the main results, we found, firstly, that performance on the task was well below ceiling overall, even when listening to the native accent. Second, some vowels were categorized better than others. This was true even for vowels presented in the listeners’ native accent. Moreover, the pattern of *Accuracy*’ across vowels was largely consistent for the different accents, even as the physical signal representing those categories varied. That is, only a rather small set of vowels per accent scored significantly lower *Accuracy*’ values than the corresponding Aus vowel. Third, pre-test exposure to the accents of the stimuli did not lead to significant improvement in accurate vowel categorization. Fourth, analyses of accent-exposure effects on the goodness ratings alone, on the other hand, suggest they may reflect perceivers’ attitudinal biases toward particular, more-familiar accents. In the remainder of this section, we discuss each of these results in turn.

#### *4.1 Baseline performance on the vowel categorization task*

The vowel categorization task was hard for listeners. *Accuracy*’ was well below ceiling for all vowels and accents. Thus, listeners did not approach perfect consistency in choosing the correct vowel from the grid for any of the vowels, even when presented in the native accent of the listeners. Part of this low accuracy is likely due to the less categorical nature of vowel perception as opposed to consonants (e.g., Pisoni, 1975; Repp, 1984). Indeed, we have observed sharper categorization boundaries in this type of task for consonants (Shaw et al., 2014). In general, it is known from other



tasks, such as word reconstruction, that English listeners tolerate more variation in vowels than in consonants (Van Ooijen, 1996). Despite this, we find it unlikely that a native listener would fail to recognize, e.g., minimal pair words differentiated by vowels in their native language. The experimental task that we employed here, however, is much more difficult than minimal-pair word recognition, in that it provided the listener with only the bottom-up signal (lacking any lexical or phonotactic referent), and offered 19 possible responses (all of the monophthong and diphthong vowel categories in Australian English). For starters, there are very few phonotactic environments in English in which all vowels are attested, so the practical task of selecting amongst 19 vowels in a constant phonological frame, such as the one that we posed to the participants, rarely occurs “in the wild”. Moreover, vowel identity is typically cued by the lexical items that contain the vowels. We designed our task to eliminate all lexical as well as phonotactic information (by placing the vowels in the phonotactically licit but rare carrier frame, /zVbə/), leaving the listener with only the phonetic signal to go on. The listeners’ job was made even more difficult by the task itself in that it requires an abstract meta-linguistic judgement about phonological categories, and goodness of fit to those categories. Moreover, the materials in the nonce categorization task drew from four different speakers of the target accent randomly presented (c.f., a blocked design), and provided no phonetic context to adjust to the speaker beyond the /zVbə/ frame in which the target vowels were embedded. Under these circumstances, it is not surprising that vowel categorization is below ceiling. We interpret the low baseline performance in Aus (the A-A condition) as an indirect indication that other factors, including lexical item, phonotactic probabilities, and talker information likely contribute substantially to the ease with which we normally recognize contrastive vowels in words and larger meaningful utterances. Certainly the indeterminacy associated with the nearly pure bottom-up categorization our task required leaves plenty of room for other factors to yield higher accuracy in recognizing vowels in spoken words.

## 4.2 *Effects of accent variation*

Although we did indeed find some cases in which phonetic variation in vowels, as described in the literature and verified in our own recordings, influenced listener perception, the results show that vowel perception is largely consistent across accents. Phonetic differences across the accents for a number of vowels, including some that are stereotypical of particular accents, failed to result in significant miscategorizations above and beyond the miscategorization of those vowels in the native accent. For example, despite the overlap between FOOT and STRUT in Yrk, Australian listeners did no worse in categorizing and rating Yrk STRUT than they did on their own Aus STRUT vowel. From the standpoint of our first hypothesis, that accent differences map to perceptual differences, this is surprising. Rather, this result favors the alternative—that vowels with different realizations across accents are nevertheless assimilated to the same phonological category. Listeners appear to assimilate substantial variation, even variation on the magnitude that has been described as systemic differences across accents. Flexibility in the linguistic interpretation of the signal may, complementarily, also leave room for perception of indexical information, e.g., properties of the talker that are also available in the signal (Best, 2015). That is, non-commitment (or minimal commitment) to the linguistic identity of the signal, in the presence of variation and the absence of lexical information, may facilitate parsing phonetic variation in terms of talker-specific characteristics (see also Shaw, 2016). Alongside phonological categories, listeners may also be abstracting social categories of the talkers from phonetic variants (as argued in Szakay et al., 2016), a process which may exact an encoding cost (Clopper et al., 2016) but which, conversely, may provide benefits when the relevant characteristics of the talkers are known.

After correcting for biases in overall likelihood of selection of specific keywords and incorporating ratings (both incorporated into our *Accuracy*' calculation), we found that the pattern

in *Accuracy*' across vowels was fairly consistent across accents. This may indicate differences in perceptual assimilation strength across phonological categories, in this case among the vowels of English. Perceptual asymmetries are not unusual in the vowel perception literature (Polka & Bohn, 2003, 2011; Schwartz et al., 2005), a fact that is expected if vowel categories have different perceptual strengths. There are also converging results from the phonology literature, where there is a growing awareness that phonological categories are more graded in both production and perception than have been typically assumed (Hall, 2009; Smolensky et al., 2014; Warner et al., 2004). Factors affecting the strength of perceptual assimilation (i.e., tolerance of variance) may include the predictability of the vowel in context (Shaw and Kawahara, 2017), functional load (e.g., Hockett, 1967), phonological neighborhood density (Solomon & Munson, 2004), or phonetic salience (Carlson et al., 1979; Rosner & Pickering, 1994; Escudero & Vasiliev, 2011), factors that we plan to pursue in future studies.

Amongst the backdrop of a general consistency in the patterning of *Accuracy*' by vowel across accents, there were a small number of significant negative vowel\*accent interactions. These are cases in which changing the accent leads to significantly lower *Accuracy*' for a vowel. A total of nine such cases (out of a possible 80) were revealed in our data. These include a subset of the differences that we foregrounded in our review of the accents, but many of the other differences documented in the literature and present in our materials failed to result in significantly lowered *Accuracy*'. For example, the centralized <bid> (KIT) vowel and the raised <bed> (DRESS) vowel in NZ both led to lower *Accuracy*', but the NZ NEAR-SQUARE merger (<beard> ~ <paired>) and raising of TRAP (<bad>) did not. Similarly, for both Yrk and Ncl, *Accuracy*' was lower for BATH (<past>) and GOAT (<code>), as expected from our review of these accents in the introduction. Yet the lack of contrast between FOOT~STRUT in these two accents did not cause a significant decrease in Aus listeners' *Accuracy*' for either vowel in either accent. In these cases, Aus listeners showed perceptual

flexibility, that is, tolerance of the variation. In particular, it is intriguing to note that both of the two systemic differences among the vowel systems of our selected accents (lack of FOOT-STRUT split in Yrk and Ncl; NEAR~SQUARE merger in NZ) failed to result in significant accent differences in *Accuracy*'. Familiarity with an accent might be at play here and is known to affect perception (Sumner & Samuel, 2009). Although we screened our participants for relevant exposure, it may be that these more stereotypical accent differences are known within our listener population despite lack of direct reported exposure, a hypothesis that could potentially be tested by drawing on methods from the folk linguistics literature (e.g., Niedzielski & Preston, 2000). However, we note that all of the vowels involved in the systemic differences we have described here showed fairly low *Accuracy*' even in the baseline A-A condition. They are among the 10 Australian vowels that overlap with 0 *Accuracy*', i.e., that within the context of this task naturally tolerate mutual variation, even by listeners of accents in which they are not merged. This is probably for reasons that are related to our discussion of perceptual strength, including properties of the lexicon that are likely to be shared to a large degree even across our geographically dispersed accents. The perceptual assimilation results may be suggestive— either independently or in tandem with other lexical factors — of which vowels are more/less likely to be susceptible to change over time. At the least, we speculate that toleration of phonetic variation, which varies from vowel to vowel, may contribute to the perceptual landscape that gives rise to accent differences diachronically.

Returning to our PAM-inspired characterization of assimilation patterns as either CS (category shifting) or CG (category goodness) differences, as laid out in the introduction, none of the CG accent differences we had foregrounded had a significant effect on *Accuracy*'. Recall that the CG differences are those that sociophonetic descriptions recognize as different phonetic realizations across accents. These realizations contain information about the regional accent of the talkers but the phonetic variation does not significantly influence categorization of the vowels. When it comes

to mapping a variable phonetic form to a phonological category there is unity in the face of regional variation. The significant negative effects in our data were all CS differences and, as we have already indicated, not even all of the CS differences showed significant deviations in *Accuracy*'. Overall, perceptual flexibility seems to subsume much of the variation that has been discussed as distinguishing regional accents of English. From the standpoint of our two hypotheses on how perceptual assimilation relates to cross-accent differences, we interpret this result as greater support the second hypothesis— as it predicts that a fair range of variation in relevant phonetic dimensions should be assimilated to the same phonological category in perception.

### 4.3 *Resilience to exposure*

In light of the observed accent effects, we now turn to the topic of exposure, and how relatively brief but immediate pre-test exposure to a less familiar accent impacted perceptual assimilation. Our exposure manipulation involved a meaningful story told round-robin by four speakers. Multiple talker exposure of this degree has been shown to support learning in the L2 perception literature for some contrasts (Bradlow, Pisoni, Akahane-Yamada, & Tohkura, 1997; Lively, Logan, & Pisoni, 1993; Wang, Spence, Jongman, & Sereno, 1999) and in some studies of foreign accent adaptation (Baese-Berk et al., 2013; Bradlow & Bent, 2008; Sumner, 2011). However, given the small number of vowels for which accent actually had a significant effect, the opportunities to make perceptual gains from exposure at the level of the accent were also relatively small. In numerical terms, the effect of exposure showed the greatest positive benefit for Newcastle, which makes sense given that this accent was the most phonetically distinct from the listeners' accent, based both on our review of the sociophonetic literature and on listener performance in Experiment 1. There may be no deeper reason for this except that the larger effect of accent leaves greater potential for improvement. Still, the effect of exposure was not significant overall, nor was the interaction between exposure and

accent or the three-way interaction among accent\*exposure\*vowel. In short, exposure had little effect on *Accuracy*' for categorization and rating of nonce vowels in any of the other accents in this experiment. There may be several reasons for the lack of improvement in vowel categorization following exposure.

We reiterate that the talkers who produced the nonce word items in the perceptual categorization experiment were different from the talkers who produced the exposure passage in all conditions. Some of the classic effects of perceptual learning for speech are now known to be talker-specific. For example, rapid adaptation of fricative categories following exposure to an ambiguous segment as reported in (Norris, McQueen, & Cutler, 2003) and in (Kraljic & Samuel, 2005) does not generalize to new speakers (Eisner & McQueen, 2005), although variation in stop consonants can (Kraljic & Samuel, 2006). Moreover, there is evidence that multiple talkers can be tracked over the course of the experiment (Kraljic & Samuel, 2007), further suggesting that adaptation may be talker-specific. Paradigms that provide more naturalistic exposure (as opposed to specific manipulation of a single contrast) have not reliably shown short-term adaptation (Floccia et al., 2009). Benefitting from exposure in our paradigm requires adapting to naturalistic speech at the level of the accent as opposed to adapting only to specific talkers. From the standpoint of the Ideal Adapter (Kleinschmidt & Jaeger, 2015), accent-based adaptation takes the form of generalization to new talkers and is predicted to occur as a function of similarity between talkers. An additional complication for listeners (and for the Ideal Adapter) is that, the exposure story and the categorization task stimuli were different not only in the specific talkers that produced them but also in style. The exposure passage involved running speech and a meaningful story; the nonce words were produced in isolation. There may have been accent level adaptation that simply did not generalize to the nonce words. Additionally, since nonce words provide no further information to “reinforce” perceptual adjustments stemming from exposure, listeners may quickly rebound to baseline expectations for

vowels in the nonce context. That is, the choice words representing lexical sets on the screen may have triggered long-term representations of the corresponding vowels as opposed to talker- or accent- specific adjustments.

Relative to studies that have documented rapid adaptation to talkers and/or accents, our study is unique in several critical ways. Instead of isolating a few phonetic dimensions and using artificial manipulation of the speech signal, our study featured natural variation found across talkers and accents of English and throughout its vowel system. The phonetic properties of our materials varied and co-varied on numerous dimensions, as they do in naturalistic speech contexts. We gave our listeners the opportunity to rapidly adapt, but only at the level of the accent, not at the level of the talker. In all conditions, the talkers in the test phase of our experiment were different from the talkers of the exposure story. Benefiting from the accent exposure in the story in the vowel categorization experiment would require abstracting phonetic properties of a given accent from the exposure story. The results of this experiment produced no evidence for such adaptation effects, and indeed, experimental evidence for accent-level adaptation to phonological categories, independent of the words that contain them, on such a short time scale is indeed slim—we have found no such cases in the literature. Listeners may naturally attribute phonetic variation, such as that represented in our materials, to properties of the specific speakers and only adapt to the accent at longer timescales than are feasible to observe in a laboratory experiment (cf. Evans & Iverson, 2007). More broadly, we surmise that non-commitment to the bottom-up phonological categorization of the acoustic-phonetic signal in terms of particular vowels should actually benefit lexical recognition when the message presents real words (recovery of the message) and, moreover, may support listeners' ability to attribute phonetic variation to indexical properties of the talker rather than to linguistic properties of the utterance.

#### 4.4 *Sensitivity of vowel goodness ratings to attitudes about accents*

As reported above, analyses of the goodness ratings alone, unlike the analyses of *Accuracy*, revealed a significant effect of accent exposure on judgments about the quality of vowels, specifically for the New Zealand accent and to a smaller opposing degree for the London vowels. These two accents are more familiar to the Australian listeners than are the York and Newcastle (UK) accents, for which exposure yielded no clear effects on goodness ratings. Thus, it appears that the goodness ratings tap into different perceptual processes than do vowel categorization judgments. Given the significant negative effect of exposure on vowel goodness ratings for the New Zealand accent coupled with independent evidence that Australians tend to hold negative attitudes toward this accent, in contrast with the positive exposure effect on goodness judgments about vowels in the London accent, which is not generally held in the same low regard, we speculate that vowel goodness ratings may be more sensitive to prior experience with and/or attitudes about particular accents than is vowel categorization accuracy. Further research could help delineate the nature of this experiential and/or attitudinal effect on vowel quality judgments.

## 5 **Conclusion**

To assess the contribution of perceptual assimilation to cross-accent vowel categorization, we conducted a series of vowel categorization experiments across several English accents. The two experiments compared how Australian listeners categorize vowels in their native accent with vowels from four other, less familiar regional accents of English, selected for how they differ with respect to Australian English: London, Yorkshire, and Newcastle (UK), and New Zealand. In Experiment 1, listeners categorized the vowels without any previous exposure within the context of the task to the unfamiliar test accents. In Experiment 2, we provided separate groups of Australian listeners with



pre-test exposure to each of these accents before test. Results of Experiment 1 confirmed that some accent differences lead to increased difficulty in categorizing a modest number of vowels. However, many of the cross-accent vowel differences reported in the literature on English accents and attested in our stimulus materials failed to increase perceptual confusion by Australian listeners. This is in part because Australian listeners showed variable performance on even their native vowel inventory, such that some vowels were categorized more reliably than others. Differences across accents tended not to cause greater confusion than within-speaker variation in the native (familiar) accent. We interpret these findings as an indication that the process of perceptual assimilation functions within the listener's native language to support perceptual flexibility. That is, tolerance of phonetic differences allows listeners to perceive vowel variation across accents in terms of the same phonological category. In Experiment 2, we observed that patterns of cross-accent vowel categorization, including those cases of misperception across accents, remained stable even after short-term immediate pre-test exposure to a meaningful multi-talker story in the target accent. In contrast to previous studies showing rapid adaptation to talker and accent variation, our results suggest that adaptation to accents (as opposed to talkers) at the level of phonological categories (as opposed to words) through more naturalistic exposure probably takes place at longer timescales than a single laboratory session permits.

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