



Delivering Value for Money with BIM-embedded Housing Refurbishment

Journal:	<i>Facilities</i>
Manuscript ID	f-05-2017-0048.R2
Manuscript Type:	Original Article
Keywords:	BIM, Housing Refurbishment, Life cycle costs, Life cycle assessment, Sustainable Construction, Construction Technology

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Abstract

Purpose – The aim of this research is to examine if BIM is feasible as an information management platform to determine a financially and environmentally affordable housing refurbishment solution based on the LCC and LCA calculation.

Design/methodology/approach – A case study in conjunction with BIM simulation approach using BIM tools was adopted to identify the feasibility of BIM for the simultaneous formulation of LCC and LCA in housing refurbishment.

Findings – This research reveals that BIM is a suitable for the information management platform to enable construction professionals to consider trade-off relationship between LCC and LCA simultaneously, and determine the most financially and environmentally affordable refurbishment solution. The interoperability issues in data exchange among different BIM tools and unstandardized BIM object libraries with incomplete datasets of construction materials are recognized as the major shortcomings in a BIM system. Essential remedial actions to overcome the shortcomings in the current BIM tools are identified.

Research limitations/implications – Actual housing information and various refurbishment materials for the BIM simulation are limited.

Practical implications – This research contribute to supporting construction professionals to prepare practical BIM adoption for the integration of the LCC and LCA that can significantly improve early decision makings on sustainable housing refurbishment.

Originality/value – This research will contribute to providing proper remedial actions to overcome the shortcomings in the current BIM tools, and insights for construction professionals to understand the implication of BIM-embedded housing refurbishment.

Keywords: BIM, Housing Refurbishment, LCC, LCA, Sustainable Construction

Paper type: Article

Introduction

Refurbishment in the building sector is an internationally overarching issue to achieve energy efficiency improvement, and in particular, the European countries have released various sustainable refurbishment strategies and initiatives (Almas et al., 2011; European Union, 2012; Jensen and Maslesa, 2015). For example, the UK government has released the whole-house refurbishment strategy, Great British Refurbishment to refurbish 80% of the total old housing stock complying with the modern energy standard by 2020 (DECC, 2009) as the UK possesses the oldest housing stock among developed countries with 8.5 million properties over 60-years-old (EST, 2007). Since the investment in housing refurbishment will be compensated from reduced energy bill over a building life cycle, the value for money is a vital aspect to be considered from the outset of a refurbishment project. Consequently, researchers recommend the integrated use of Life Cycle Cost (LCC) and Life Cycle Assessment (LCA) methodologies to deliver value for money for clients by offering the most affordable refurbishment solution economically and environmentally (Swarr et al. 2011; Ortiz et al., 2016). When the LCC is considerably planned, 60% of operational cost savings can be achieved over 30 years by investing 20% more capital cost in the construction phase (Flanagan and Jewell, 2005) and the better performance of a low carbon house can be examined in comparison with a traditional house. While the operational cost is being reduced, the amount of embodied CO₂ in a low carbon building becomes three times higher than in a conventional building (Sartori and Hestnes, 2007). Blengini and Di Carlo (2010) proved that the costs for the construction phase and operation phase are in inverse proportion to each other. Thus, the trade-off relationship, which is the balance between energy efficiency improvement and capital investment based on the LCC and LCA, needs to be fully considered and considerably planned as a house has a long lifespan. Yet, not all construction professionals can understand the trade-off relationship between the LCC and LCA in terms of the capital investment and energy efficiency improvement based on various refurbishment alternatives (Menassa, 2011).

Integration of LCC and LCA in Sustainable Refurbishment

The European Directive 2012/27 has been established as a strategy for energy efficiency improvement aiming at cost-effective refurbishment and less CO₂ emission (European Union, 2012). The strategy provides two main approaches - Energy Performance of Building Directive and Energy Efficiency Directive – focusing on the balanced cost-energy efficiency optimal approach to sustainable refurbishment alternatives. In addition, the EU established the legislative framework - Directive 2014/24/EU - to promote sustainable public procurement through LCC (Official journal of the European Union, 2014) although the consideration of LCA has been limitedly given. In alignment

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3 with the European Directives, the Italian National Energy Strategy recommended the roadmap
4 scenario for the continuous optimisation of refurbishment processes based on the building
5 classifications, and the Nordic SURE (Sustainable REfurbishment) guideline has been established by a
6 consortium of researchers from the Finland and Norway. The two national energy improvement
7 strategies emphasise the importance of assessing the current status of housing and clients' energy
8 improvement target in order to provide the most cost-effective refurbishment solutions (Almas et al.,
9 2011). Jensen and Maslesa (2015) proposed an advanced process tool, the RENO-EVALUE to provide
10 a clear process that can facilitate better communication and collaboration at the early phase of
11 refurbishment projects among stakeholders to achieve the targeted sustainability. The unique
12 strength of this tool is capable of prioritising stakeholder's demands and establishing a clear target
13 of renovation focusing on the value-adding decision supporting tool at the early phase of a project.
14 The emphasis on the importance of the early phase of a refurbishment project is also supported by
15 other researchers (Juan et al., 2009; Almas et al., 2011). Jenkins et al. (2012) proposed a step-by-step
16 refurbishment measure adoption strategy, the TARBASE (Technology Assessment for Radically
17 improving the Built Asset baSE) domestic model. The researcher argues that a whole-house
18 refurbishment solution must be tailored based on the customers' requirements since there is no
19 universal refurbishment solution. Through the literature review, it is evident that researchers
20 commonly advocate the importance of the early collaboration and involvements of stakeholders to
21 make a proper decision-making at the early design phase, and the necessity of using proper tools to
22 determine the most affordable refurbishment solutions. However, the LCC and LCA information for
23 determining proper refurbishment alternatives are currently considered at the end of the design
24 phase, and separately formulated when flexibility of refurbishment solutions and opportunities to
25 explore various refurbishment alternatives are significantly limited (Ma et al., 2012; Thuvander et al.,
26 2012). Consequently, the current refurbishment practice fails to accommodate the holistic
27 consideration of the LCC and LCA to compare various design alternatives and materials (Tsai et al.,
28 2011). Furthermore, the trade-off relationship between the LCC and LCA depending on various
29 combinations of refurbishment alternatives are not considered simultaneously, and it results in
30 neglecting 50% of possible refurbishment alternatives that can render better outcomes of
31 refurbishment (Schneider and Rode, 2010). In response to the current fragmented practice in the
32 LCC and LCA calculation for sustainable refurbishment, the European Standards has released a
33 holistic assessment standard, CEN TC 350 to measure the financial and environmental impacts of a
34 building and construction (CEN, 2013). The standard recommends adopting two major sustainability
35 assessment standards - Product level, **EN 15804 (CEN, 2012)** and Building level, **EN 15643 series**
36 **(CEN, 2010)**. These standards underline the importance of detailed information requirements for the
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3 economic and environmental assessment of building performance and construction works, which
4 echoes the emphasis of various sustainable refurbishment strategies and studies. However, the
5 interactions between the Product and Building levels standards are not fully integrated (Palaia et al.,
6 2012), and there is a potential issue to integrate the LCC and LCA seamlessly for assessing
7 refurbishment alternatives due to a lack of interoperability in datasets between the two standards
8 (BRE, 2016). Consequently, researchers have undertaken studies to examine a way to integrate LCA
9 and LCC, and recognised the potential solution in a Building Information Modelling (BIM) system as a
10 data integration complementary platform between LCC and LCA methods (Liu and Issa, 2014; Ortiz
11 et al., 2016).

12 **Integration of LCC and LCA in BIM Environment**

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14 Basbagill et al. (2013) and Crawley et al. (2008) argue the potential use of BIM to improve current
15 practice of refurbishments because BIM is capable of enhancing collaboration and integration of
16 project information among stakeholders by improving the overall information flow throughout a
17 project life cycle (Eastman et al, 2011; Wong and Fan, 2013). Rysanek and Choudhary (2013) assert
18 that refurbishment projects should utilise a tool including BIM to support informed decision making
19 among various refurbishment alternatives, while considering multiple criteria such as the implication
20 of cost and the environmental impact. Indeed, BIM is currently mandated as a methodology to
21 procure all public construction projects by many countries including UK, US, European countries, and
22 Asian countries since BIM is capable of facilitating informed decisions regarding sustainability of a
23 building at the early design phase where most of the level of sustainability is determined (Kim et al.,
24 2016). In alignment with the global initiatives of BIM strategies, there have been endeavours to
25 investigate the BIM capability of formulating LCC and LCA based on different refurbishment
26 alternatives, and of coping with design changes internationally. Hong et al. (2012) and Kim et al.
27 (2013) proposed an integrated model to assess the LCC and LCA based on a well-structured life cycle
28 information datasets in the South Korean construction context. Researchers assert the importance
29 of the availability of life cycle information of various refurbishment alternatives at the early design
30 phase, and this research is supported by Kim and Park (2013) as researchers conducted a BIM
31 feasibility study for housing refurbishment in the UK context whether BIM is feasible for an
32 information management system for housing refurbishment, and consequently BIM is recognised as
33 feasible when sufficient BIM datasets including the LCC and LCA are available. Park and Kim (2014)
34 recognized that BIM is capable of accommodating customers' preference for housing refurbishment
35 in terms of refurbishment materials and options at the early design stage, which has been
36 emphasised through the literature as a key aspect of sustainable refurbishment. Ferreira et al. (2015)

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3 and Dukanovic et al. (2016) apply the LCC and LCA to a residential building refurbishment in Italy and
4 Serbia respectively, and researchers recognise that BIM is capable of managing the life cycle
5 information of structural components of buildings. Overall research findings explicitly indicate that
6 BIM is capable of enabling design and construction professionals to integrate the LCC and LCA, and
7 facilitate stakeholders' early involvement as it has been emphasised by researchers in sustainable
8 refurbishment. Researchers share the same perspectives that the integration of life cycle
9 information of each refurbishment alternative are essential to generate the most affordable
10 refurbishment solution in a BIM environment using the LCC and LCA calculations.
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15 Although researchers recognised BIM as a possible solution, researchers also pointed out that BIM
16 can only be an enabler when proper and reliable datasets are available. Indeed, the LCC and LCA
17 datasets are still maintained, calculated and compared separately within a BIM system, and
18 eventually it fails to achieve the seamless updates on LCC and LCA calculations depending on
19 different selections of refurbishment alternatives (Shadram et al., 2016). Although the International
20 Council for Local Environmental Initiatives developed the SMART SPP Guide to integrate LCC and LCA
21 for the sustainable procurement (ICLEI, 2016), the tool is still calculating the LCC and LCA separately.
22 To overcome the unintegrated practice, researchers argue that the improvement on data exchange
23 format and interoperability of LCC and LCA datasets are essential (Shadram et al., 2016). For the
24 data exchange improvement, Hjelseth (2010) proposed a BIM object development containing life
25 cycle information of building components. The concept of BIM objects development is supported by
26 Jrade and Abdulla (2012), and researchers examined the capability of Industry Foundation Classes
27 (IFC) as the information communication medium to confirm whether IFC can facilitate a seamless
28 data exchange between LCC and LCA datasets within a BIM system. Consequently, the researchers
29 discovered that IFC has a limitation to establish a direct data exchange as there are data loss and
30 distortions within a BIM system between LCC and LCA. **Bueno and Fabricio (2017)** argue that a
31 universal data exchange protocol such as IFC is required to be developed further in order to
32 calculate and integrate the LCC and LCA study results simultaneously. Thus, this research aims at
33 examining BIM as an information management platform for housing refurbishment if it is feasible to
34 formulate the LCC and LCA calculation simultaneously, and cope with different refurbishment
35 alternatives in terms of refurbishment material specifications. More importantly, this research is
36 expected to reveal a remedy strategy to overcome the current data interoperability issues in a BIM
37 system.
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Research methodology

As this research focuses on the current contemporary event, a case study is chosen as the most suitable research strategy (Yin, 2003). A case study with BIM simulation is carried out to examine how BIM can serve as a life cycle information integration platform to support proper decision makings for housing refurbishment, and why BIM tools - Autodesk Revit and IES VE/IMPACT - can suggest an optimised refurbishment solution based on the simultaneous LCC and LCA information. For a case study with BIM simulation, a typical UK detached solid wall house is selected because this is the most energy inefficient housing type requiring immediate attention and in needs of refurbishment (National Refurbishment Centre, 2012), and the least affected housing type by the operating conditions such as indoor temperature of an adjacent house like terraced or semi-detached house. The average housing condition data for a solid wall house published by the UK government was used to build up a case housing model hypothetically because the condition of housing indicates a wide range of variation in its characteristic such as year built, construction types, physical dimensions, and construction materials, which cannot be generalized (Jenkins et al., 2012). Nevertheless, the hypothetical BIM model simulation is able to establish a base for design and construction professionals to compare potential alternatives before actual refurbishment is carried out. Therefore, it is worth emphasising that the outcome of this research should be used as a supporting tool for decision making, not as definitive decision making criteria. A hypothetical basic BIM model is created by BIM tools - Autodesk Revit for 3D modelling and IES Virtual Environment/Integrated Material Profile And Costing Tool (IES VE/IMPACT) for the LCA and LCC calculation including trade-offs relationships. Autodesk Revit is currently the most prevalent tool in the construction industry (NBS, 2016), and the IES VE/IMPACT has been adopted for various energy simulations including commercial (Jankovic, 2016) and residential buildings (Murray et al., 2012; Crawley et al., 2008), because it is the most advanced BIM tool that can conduct various energy simulations in refurbishment considering all possible building conditions and without additional data and model processing steps. More importantly, IES VE/IMPACT is specifically developed to calculate a whole building energy assessment based on LCC and LCA simultaneously. It has a full capability to conduct a complete energy and carbon performance analysis based on the EN 15804 (CEN, 2012) environmental profiles methodology for the LCC and LCA calculations in conjunction with two international building services standards - CIBSE and ASHRAE (Kurnitski, 2008; Sousa, 2012). IES VE is also qualified software for calculating energy savings recommended by Department of Energy in the US (DOE, 2016). Thus, IES VE/IMPACT is an internationally recognised tool, and highly relevant to this research to conduct a BIM simulation for the simultaneous LCC and LCA calculations based on the international standard.

Basic information for a house model

Detailed information of a basic house model regarding rooms and construction materials is provided in Appendix 1. The gross internal floor area was used for the calculation of LCC and LCA and energy performance simulation, and the total usable floor area is 130 m². The information regarding air permeability, weather data and thermal bridging have been inherited from IES VE/IMPACT.

Refurbishment Practices and Insulation Materials

The current refurbishment best practices inducing insulation of external wall, loft, and underfloor insulation between joists, and triple glazing were applied to compare the LCC and LCA outcomes between a basic and refurbished house model. The fibre glass and Expanded Polystyrene (EPS) were selected for the insulation materials based on the previous research findings of home occupants' consideration over insulation material selection (Park and Kim, 2014), which is the initial cost as the first priority. The selected materials belong to the relatively low cost range compared to other high initial material costs such as Vacuum Insulated Panel. In addition, the two insulation materials are only commonly available in both Autodesk Revit and IES VE/IMPACT material database, and they have been chosen for examining the seamless information exchange.

Energy Performance Standards and Material Specifications

Building Regulation (BR) Part L 2010, BR Part L 2013 and the Fabric Energy Efficiency Standard (FEES) were adopted for energy simulations to calculate the LCC and LCA based on each energy standards. The BR Part L 2010 and 2013 mandates the minimum energy efficiency standard for a new build house in the UK, and the FEES has been recently introduced to the BR Part L 2013 aimed at achieving zero carbon homes by 2016, which is the most energy efficient standard available at present. These energy efficiency standards have been adopted because these are the most reliable standards at present. As each country has its own unique building regulation depending on its geographic location and temperature, it is challenging to examine all energy efficiency standards for domestic buildings. However, the UK energy efficiency standards adopted in this research have similar U-values to other energy efficiency standards for domestic buildings in European countries such as Denmark and Finland (Scottish Building Standards Agency, 2007). For example, the basic U-values for an external wall are 0.3, 0.2 and 0.24 in the UK, Denmark, and Finland respectively, and the differences can be considered negligible. The detailed information of U-values and material specifications of wall, roof, floor, door and window based on different energy standards have been provided in Appendix 2.

Research Results

LCC and LCA study outcomes

The LCC and LCA study outcomes of refurbished houses with different energy standards have been identified lower than a basic house model as shown in Table 1. The sum of installed materials does not exceed the life cycle cost and environmental impact of new build house, and in terms of the energy consumption, approximately 80% of energy cost can be saved when the maximum energy standard (FEES) is adopted, and 74% energy cost saving is achievable for the minimum energy standard (BR 2010/2013) adoption. Thus, housing refurbishment is confirmed as an economically beneficial and environmentally responsible option for energy efficiency improvement to a client and a community. It has been examined that an average of 50% CO₂ emission reduction can be achieved as 49% and 51% reduction were achieved when the minimum and maximum energy standards were applied respectively. The research outcome in terms of CO₂ emission reduction is supported by the previous research result that the maximum of 50% to 60% CO₂ reduction can be achieved through whole-house fabric refurbishment (Boardman, 2007), which indicates this research outcome can be considered reliable.

Table 1. Life Cycle study Result with Fibre Glass (FG) and EPS

The LCC continues to increase as higher energy standards are adopted, and the LCC is much smaller when fibre glass is used compared to the EPS. There are differences in the LCC between using the fibre glass and the EPS because the initial material cost of fibre glass (£5.25/m²) is less than the EPS (£9.88/m²). This difference in material costs impacts on construction costs and operating costs such as major and minor repairs because operating costs are calculated as a percentage of the construction costs within a BIM tool (See Appendix 3 and 4). Construction costs for both material options continue to increase as higher energy standards are adopted. This is because more insulation materials are required to meet the higher energy standards in terms of the U-values of house elements and thickness of insulation materials. While the total construction cost increases, the rate of increase continues to decrease because the changes of U-values of house elements and thickness of insulation materials become less (See Appendix 2). Based on the LCC and LCA outcomes, the fibre glass is the most affordable construction materials for refurbishment compared to the EPS. Thus, it is recommended selecting a material with a low material cost and a low embodied CO₂ as it renders less life cycle costs and environmental footprints. It is confirmed that a BIM system can facilitate the simultaneous LCC and LCA calculation by reflecting changes in material specifications and energy

standards.

If only the construction costs are considered, the minimum energy standard should be adopted as both material options continue to increase. However, construction professionals and clients need to investigate the operating costs simultaneously as it presents a different increasing pattern from the construction costs. Operating costs of the EPS indicate the same pattern as the construction cost, while the operating costs of the fibre glass does not continuously increase (See Table 1). For example, the operating costs of the fibre glass with the BR 2013 (Notional) and the FEES (Maximum) are less than the operating costs with the BR 2010 (Notional). The fluctuation of operating costs is caused by the inverse proportion relationship as the construction cost continues to increase for applying higher energy standard, while the operating energy costs continue to decrease as energy performance continues to be improved (Blengini and Di Carlo, 2010). This relationship needs to be considered at the early design stage with design professionals being responsible for identifying the optimum point where the total cost of construction cost and energy cost result are at the minimum level. Hence, this research confirms that the trade-off relationship between cost and energy efficiency improvement can be formulated and considered for the refurbishment plan within a BIM system in conjunction with the LCC and LCA studies.

Furthermore, the trade-off relationship, which is a certain level of inevitable compromise between LCC and LCA, needs to be considered at the early design stage to identify the optimum point where the total cost of construction cost and energy cost result is at the minimum level. It can be advised that the LCC information needs to be understood and utilised individually and collectively for better decision making since the LCC is comprised of the construction cost and operational costs. Based on the findings, construction professionals can advise customers to adopt a higher energy standard such as FEES (Maximum), when they wish to achieve high energy efficiency, since it is more financially and environmentally beneficial.

Data Interoperability in a BIM environment

Once the LCC and LCA outcomes are calculated, construction professionals can modify selected refurbishment solution by applying different construction materials and/or thickness. As BIM is supposed to facilitate a seamless information exchange between BIM tools, the modified refurbishment information within the life cycle information calculation tool (IES VE/IMPACT) should be transferred back into the initial house model to authorise the final decision on refurbishment solution. However, the feedback loop currently cannot be accommodated due to the different

material datasets and interoperability problems between BIM tools as shown in Figure 1. When the updated information is transmitted back to the original BIM tool (Revit), the imported model is either presented as a simple picture with no material data (DFX), or presented in an uncoordinated manner with no material data (gbXML).

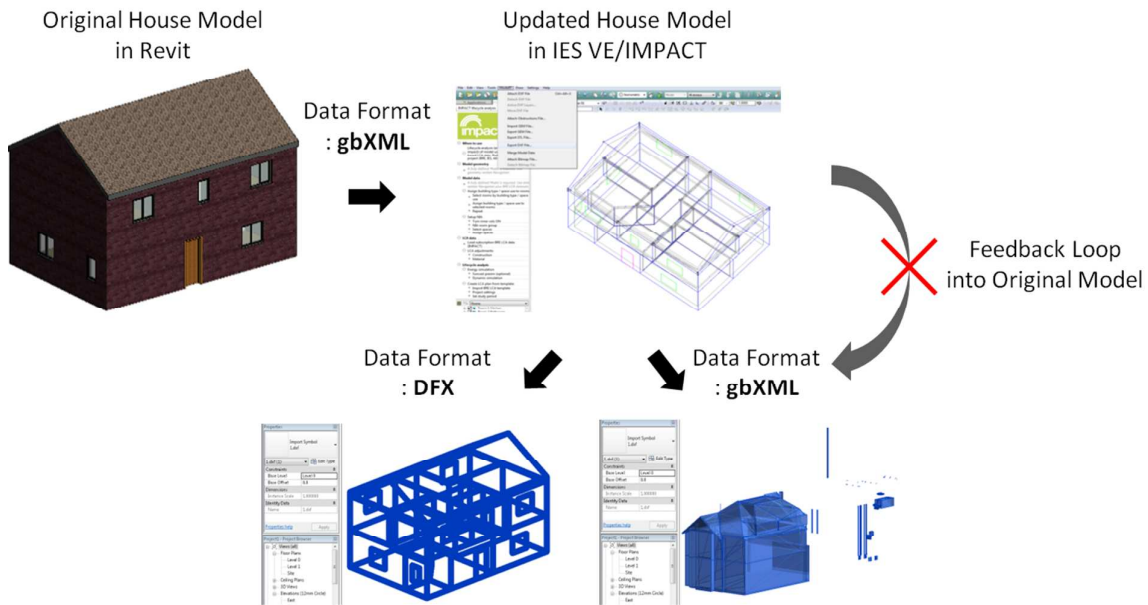


Figure 1. Broken Feedback Loop in a BIM System

Discussions

Data Interoperability between BIM tools

This research identifies that interoperability among various BIM tools is still a critical technical barrier. Although the concept of IFC and Green Building XML (gbXML) data formats is recognised by researchers as a universal medium for seamless data exchange regardless of proprietary BIM tools.

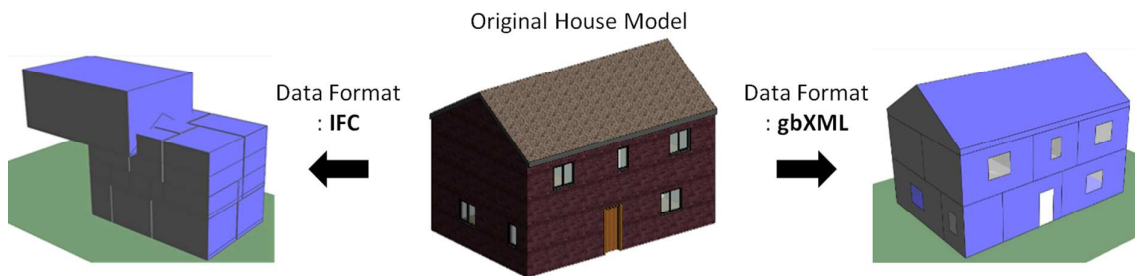


Figure 2. Data Exchange Result in IFC (left) and gbXML (right) Data Format

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3 As shown in Figure 2, the geometric arrangement is broken when IFC data retrieved from Revit is
4 transferred to IES VE/IMPACT, while gbXML format transfers a geometrically congruent model. All
5 the geometric information is not presented in the same way although the IFC data format is
6 supposed to be a communication channel between different BIM tools. In addition, essential
7 refurbishment information including specification of insulation materials is not transferred, although
8 the gbXML format transfers geometric information without distortion of a model. The missing
9 information about the insulation materials and thickness need to be manually entered and reviewed.
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11 More importantly, essential data loss while transmitting a model must be thoroughly reviewed and
12 amended before conducting the LCC and LCA calculation to avoid a situation known colloquially as
13 'garbage in, garbage out'.
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20 ***Unstandardised Datasets of LCC and LCA***

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22 As aforementioned, researchers emphasise that BIM objects should be equipped with standardised
23 datasets including specification of materials and thermal performance for the reliable LCC and LCA
24 calculation. However, it is revealed that the current BIM objects are built on a database provided by
25 specific proprietary BIM tools. Consequently, Revit has its own generic material codes, and IES
26 VE/IMPACT also has its own LCC and LCA datasets. For example, a fibre glass is specified as
27 'B1020400' in Revit, while IES VE/IMPACT recognises it as 'Fibre Glass'. In the LCC dataset, a fibre
28 glass is specified as '3015103A', while embodied CO₂ is not specified in the LCA dataset.
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34 ***Essential remedy actions for broken feedback loop***

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36 Housing refurbishment solution development based on LCC and LCA using BIM tools is confirmed
37 possible, but this research realised that there is a limitation in a BIM system and no definitive
38 solution to resolve the broken feedback loop and unstandardised datasets. To challenge this, this
39 research reveals essential remedy actions to fully utilize BIM tools and filling the gap in the seamless
40 data communications as shown in Table 2. Furthermore, establishing standardised common BIM
41 objects library with proper LCC and LCA datasets are highly recommended to utilize BIM tools for
42 housing refurbishment because the application of BIM concept cannot add more value to the
43 customers and the construction industry without reliable datasets regarding construction materials.
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50 Table 2. Essential Remedy Actions for LCC and LCA calculation in IES VE/IMPACT

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53 More importantly, it has been recognised that reliable complementary input datasets published by
54 highly-rated construction organizations should be secured and utilises to formulate reliable LCC and
55 LCA information. To avoid biased LCC and LCA information calculated by automated BIM calculation
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3 functions, the data published by well-known construction organizations are recommended in
4 conjunction with the consideration of country-specific project environments and standards. For
5 example, Australian SMM6 (Standard Method of Measurement) should be applied in the Australian
6 context instead of the UK SMM7. Furthermore, manual manipulations and updates on construction
7 materials and design of BIM objects are fundamentally required as the BIM maturity level is not
8 advanced enough to accommodate a single source data repository BIM system. The current
9 construction industry still heavily uses the 2D paper-based drawings in conjunction with BIM tools.
10 Thus, experience and insights of construction professionals are vital to manipulate a BIM dataset and
11 to interpret BIM models properly instead of blindly accepting the information derived from a BIM
12 tool.
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20 **Limitations of the research**

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22 This research was able to examine only limited types of refurbishment materials including fibre glass
23 and EPS due to the current limited availability of standardised material datasets in BIM tools. In
24 order to conduct a more in-depth comparative analysis of LCC and LCA with different types of
25 refurbishment materials, more BIM objects or library with reliable LCC and LCA datasets are
26 required. This research confines the scope of a case study to a detached solid wall house based on
27 the UK government data, and more international perspectives on housing type and energy standards
28 are required to expand knowledge in the implication of BIM adoption in the housing refurbishment.
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35 **Conclusion**

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37 This research examines the feasibility of BIM as the first step if it could be a suitable tool to
38 determine affordable housing refurbishment solution based on the simultaneous LCC and LCA
39 calculation. Consequently, BIM is identified capable of providing simultaneous LCC and LCA
40 information on refurbishment alternatives and feasible information management platform for
41 housing refurbishment. It is also confirmed that the most financially and environmentally affordable
42 refurbishment solution can be determined based on the trade-offs relationships between the LCC
43 and LCA by examining different refurbishment alternatives and energy efficiency levels. Thus, this
44 research is expected to contribute to construction professionals to enhance understanding of the
45 BIM-embedded environment and implication of utilising proper BIM tools to deliver value for money
46 to clients in a housing refurbishment project. Although this research utilised UK housing type as a
47 case study, the LCC and LCA methodology and energy standards are equivalent to European and
48 international standards respectively. Hence, the research findings should be capable of providing a
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3 base for design and construction professionals to compare potential refurbishment alternatives
4 before actual refurbishment is carried out. Finally, this research contributes to identifying and
5 sharing the lessons learned by providing essential remedy actions to overcome challenges and fully
6 utilize BIM tools, although a certain amount inefficient manual processes such as reviewing
7 transferred model and re-entering construction information are inevitable. The revealed limitations
8 and suggested remedial actions will enable design and construction professionals to challenge for a
9 successful utilisation of BIM for housing refurbishment. Future research should focus on exploring
10 further in the BIM dataset for practical implementation of a BIM system on housing refurbishment
11 with a realistic case study.
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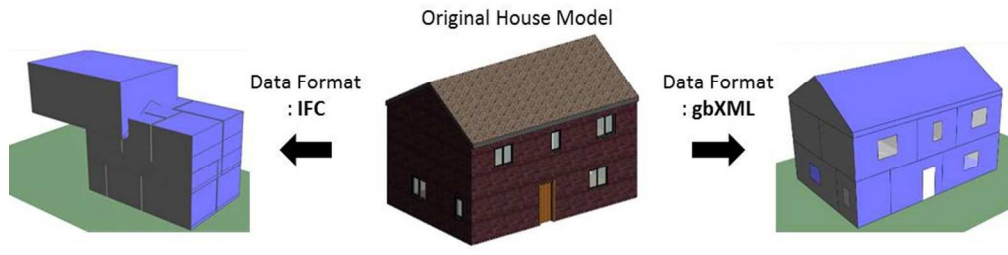
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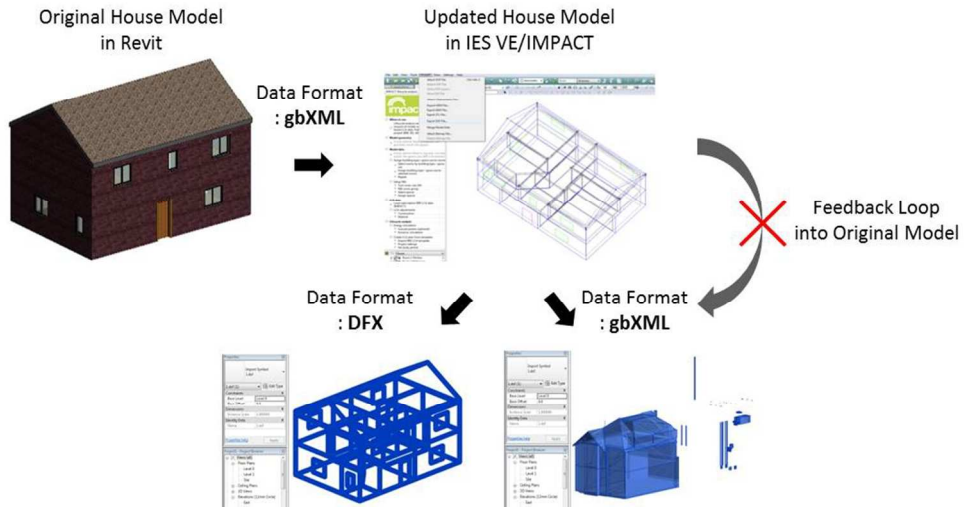
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177x45mm (150 x 150 DPI)

Facilities



185x94mm (150 x 150 DPI)

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Table 1. Life Cycle study Result with Fibre Glass (FG) and EPS

		Basic Model	Adopted Energy Standard				
			BR 2010/2013 (Min)	BR 2010 (Notional)	BR 2013 (Notional)	FEES (Max)	
Energy Cost (£ /yr)		1,150	295	253	235	225	
CO ₂ Emission (kg/yr)		10,985	5,636	5,356	5,329	5,328	
LCC (£)	Construction Cost	FG	41,371	7,066	9,055	9,899	10,425
		EPS		12,005	15,691	18,420	19,917
	O&M Cost	FG	205,359	144,414	146,070	145,829	145,939
		EPS		148,325	151,470	152,497	153,669
Total Cost	FG	246,731	151,480	155,125	155,728	156,364	
	EPS		160,330	167,160	170,917	173,586	
LCA (kg)	Total CO ₂	FG	45,980	17,833	21,980	26,079	28,469
		EPS		19,141.0	23,692.2	28,443	31,018

Facilities

Table 2. Essential Remedy Actions for LCC and LCA calculation in IES VE/IMPACT

Capability	Examination Result	Remedy Action
Model Creation	Fully Capable	-
Weather Data	Fully Capable	-
Thermal Performance Calculation	Fully Capable	-
Material Data	Material Data Loss during BIM Model Exchange	Manual manipulation of U-value and Thickness
On-site Energy Consumption (Electricity/Gas)	Fully Capable	-
CO ₂ Emission Calculation	Fully Capable	-
Renewable Energy	Fully Capable	-
LCC Calculation	Fully Capable	-
LCA Calculation	Fully Capable	-
LCC and LCA Trade-offs Calculation	Fully Capable	-
Energy Standard Application	Fully Capable	
Data Exchange (Import/Export)	Geometric Data Loss with IFC Format	Not suitable for full data exchange
	Congruent BIM Model Transfer with gbXML Format	Manual updates and modifications of BIM model and construction materials required after model import
LCC Dataset Availability	Partially Available	Construction Materials Cost Construction Labour Cost Additional Data Required: SMM7 Estimating Price Book (BCIS, 2012)
LCA Dataset Availability	Partially Available	Embodied CO ₂ for Materials Additional Data Required: a) University of Bath, (Hammond and Jones, 2011) b) BRE Green Guide Specification (BRE, 2013)
		Embodied CO ₂ for Construction Works Additional Data Required: Black Book (Franklin and Andrews, 2010)

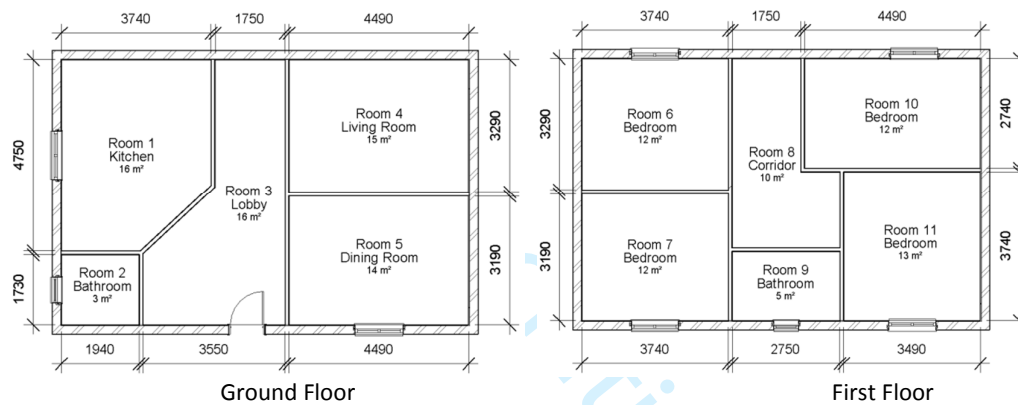
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Facilities

Appendix 1. Basic Information for a Hypothetical House Model

Room and Space Information

Floor	Rooms	Description	Area (m ²)
Ground Floor	Room 1	Kitchen	16
	Room 2	Bathroom	3
	Room 3	Lobby	16
	Room 4	Living Room	15
	Room 5	Dining Room	14
First Floor	Room 6	Bedroom	12
	Room 7	Bedroom	12
	Room 8	Corridor	10
	Room 9	Bathroom	5
	Room 10	Bedroom	12
	Room 11	Bedroom	13



Detailed Construction Information

Element	Construction Type	Component	Thickness (mm)	U-value (W/m ² k)
Roof	Pitched Roof (Timber Joist and Rafter)	Roofing Tile	25	0.8
		Wood (Batten)	25	
		Roofing Felt	5	
		Timber Structure	140	
External Wall	Solid Brickwork Masonry Wall	Dense Gypsum Plaster Finish	13	2.1
		Solid Brickwork	220	
Floors	Suspended Timber Floor	Timber Joist Structure	225	0.7
		Chipboard	25	
		Carpet	10	
Windows	Double Glazing	Double Glazing, Timber Frame	6mm Glazing	2.0
Exterior Door	Wooden Door	Wooden Door	44	3.0

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Appendix 2. Current Energy Efficiency Standards (U-value) and Material Specifications

Current Energy Efficiency Standards (U-value)

Housing Element	Energy Standards (W/m ² K)			
	BR 2010/2013 (Minimum)	BR 2010 (Notional)	BR 2013 (Notional)	FEES (Maximum)
Wall	0.3	0.22	0.18	0.15
Floor	0.25	0.18	0.13	0.13
Roof	0.2	0.15	0.13	0.13
Window	2.0	1.4	1.4	1.2
Door	2.0	1.2	1.2	1.0

*Note: The standards stand for the U-value (W/m²K) of each housing element

BR = Building Regulation (HM Government, 2016),

FEES = Fabric Energy Efficiency Standard (Zero Carbon Hub, 2016)

Insulation Material Specifications for Energy Standards (mm)

Housing Element	Insulation Material	Energy Performance Standard			
		BR 2010/2013 (Minimum)	BR 2010 (Notional)	BR 2013 (Notional)	FEES (Maximum)
Wall	Fibre Glass	120	170	210	260
	EPS	100	140	175	215
Floor	Fibre Glass	145	170	260	260
	EPS	120	140	215	215
Roof	Fibre Glass	190	260	300	300
	EPS	155	215	250	250
Door	Wooden Door	45	90	90	105
Window	Timber Framed	Double Glazing : 24mm (U-value Frame: 2.71, Glazing: 1.75)	Triple Glazing: 42mm (U-value Frame: 3.1, Glazing: 1.27)	Triple Glazing: 42mm (U-value Frame: 3.1, Glazing: 1.27)	Triple Glazing: 42mm (U-value Frame: 0.85, Glazing: 1.27)

Reference

HM Government (2016), Building Regulation 2013 Approved Document L1A, London, UK.

Zero Carbon Hub (2016), Fabric Energy Efficiency Standard, London, UK.

Appendix 3. LCC Study Rate and Cycle Provided by IES VE/IMPACT database

House Element	Major Repair Rate (% of Construction Cost)	Major Repair Cycle	Minor Repair Rate (% of Construction Cost)	Minor Repair Cycle	Reactive Repair Rate (% of Construction Cost)	Reactive Repair Cycle	Decorate Cost/m ²	Decorate Cycle	Replace Rate (% of Construction Cost)	Replace Cycle	Clean Cost/m ²	Clean Cycle	Operation Cost	Occupancy Cost (a)	Routine Maintenance Cost (b)
Upper floors	20%	35yrs							155%	65yrs					
Roof	7%	30yrs	5%	40yrs	5%	15yrs			70%	60yrs	£ 0.10				
External walls	25%	50yrs	5%	30yrs		5yrs	£ 4.63	4yrs	135%	60yrs	£ 0.34	5yrs			
Dense Plaster			11%	35yrs	2%	5yrs	£ 9.26	4yrs	130%		£ 0.69				
Windows	10%	15yrs	1%	5yrs	3%	5yrs	£ 7.50	3yrs	145%	30yrs	£ 5.01	1yrs			
External doors	10%	10yrs							120%	35yrs					

Occupancy Costs (a)			Routine Maintenance Costs (b)		
Description	Rate/m ²	Gross Internal Floor Area (GIFA)	Description	Rate/m ²	Gross Internal Floor Area (GIFA)
Waste treatment Cost	£ 1.20	___m ²	Annual Fabric Repair Cost	£ 5.63	___m ²
Sewage Cost	£ 0.75	___m ²	Annual Inspection Cost	£ 4.85	___m ²

For the LCC and LCA study a 60-year life cycle is applied with a net present value method (NPV) based on a discount rate (d) of 0.78%. Equation A (Flanagan and Jewell, 2005) shows the calculation of this discount rate with the interest rate (r) of 3.5% (HM Treasury, 2011) and the inflation rate (i) of 2.7% (Office for National Statistics, 2015).

$$\frac{(1+r)}{(1+i)} - 1 = d \quad (\text{Equation A})$$

Reference

HM Treasury (2011), Green Book, London, UK.

Office for National Statistics (2015), Consumer Price Inflation September, 2015, London, UK

