1 Drug delivery across length scales

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22 Drug delivery across length scales

23	Over the last century there has been a dramatic change in the nature of
24	therapeutic, biologically active molecules available to treat disease. Therapies
25	have evolved from extracted natural products towards rationally designed
26	biomolecules, including small molecules, engineered proteins, and nucleic acids.
27	The use of potent drugs which target specific organs, cells, or biochemical
28	pathways, necessitates new tools which can enable controlled delivery and dosing
29	of these therapeutics to their biological targets. Here, we review the
30	miniaturisation of drug delivery systems from the macro- to nano- scale, focusing
31	on controlled dosing and controlled targeting as two key parameters in drug
32	delivery device design. We describe how the miniaturisation of these devices
33	enables the move from repeated, systemic dosing, to on demand, targeted
34	delivery of therapeutic drugs, and highlight areas of focus for the future.

35 Keywords: drug delivery; length scale; targeting; macro; micro; nano

36

38 INTRODUCTION

39 Over the last century there has been a dramatic change in the nature of therapeutic, biologically active molecules available to treat disease. This is represented by the move 40 41 from extracted natural products and synthesised natural product mimics¹, towards 42 rationally designed biomolecules including small molecules, engineered proteins, and nucleic acids²⁻⁴. The evolution of potent drugs which target specific organs, cells, or 43 44 biochemical pathways, necessitates new tools which can enable controlled delivery and 45 dosing of these therapeutics to their biological targets. Therapies which can be 46 administered as a single procedure, either through the delivery of a single dose of a 47 potent curative therapeutic, or through the implantation of a device that can maintain a precise, long term drug dosing regime, are highly desired. 48

49 Macro-scale drug delivery devices have been widely adopted since the 1970s, 50 when long-acting intra-uterine contraceptive implants were offered as an alternative to 51 daily repeated oral administration of systemically released hormones⁵. These implants 52 could be inserted during a single procedure, and remained effective for several years 53 through localised and controlled release of contraceptive hormones. Since then, a wide 54 range of systems for therapeutic drug delivery have been developed, broadly focused on 55 systems which can help to regulate drug dosing⁶, and those which aid targeted delivery for site-specific therapeutic action⁷⁻¹⁰. Drug delivery devices are in widespread clinical 56 57 use, however, many of these systems are limited in their ability to deliver therapeutics 58 to smaller biological structures with control over both drug dosing and therapeutic 59 targeting.

For certain drugs, failure to adhere to a specific dosing schedule can
dramatically impact therapeutic efficacy. In vaccination, the timing of drug dosing (e.g.

62 the dosing regimen as well as the time of day) is an important parameter in the development of robust immunity¹¹. Drug dosing is therefore a crucial consideration in 63 64 drug delivery device design, and many strategies have been explored to regulate dosing; including continuous, controlled release systems^{7, 12-15}, enhanced therapeutic circulation 65 time^{16, 17} and stimuli responsive drug administration^{7, 18-22}. Separately, as therapeutic 66 67 targets become increasingly specific, and therapies become increasingly potent, 68 delivering site specific therapeutic action becomes a more critical design feature. 69 Control over both of these aspects can improve patient safety, quality of life and 70 compliance by lessening the stringent requirements of strict medication schedules and 71 reducing off-target effects.

Here, we review drug delivery systems across the macro- to nano- scale, highlighting systems which enable controlled dosing, and those which aid drug targeting. We explore the role of length scale in the function of these systems, considering macro-, micro- and nano- systems which are capable of delivery to organs, cells and cellular substructures of interest (Figure 1). We describe how the miniaturisation of these devices enables the move from repeated, systemic dosing, to on demand, targeted delivery of therapeutic drugs, and consider areas of future interest.

79 MACRO SCALE DELIVERY SYSTEMS

Macro scale delivery systems, measuring from 1 mm upwards in size, are particularly suited for long-term drug delivery. Their scale allows a single device to contain a considerable therapeutic reservoir, and enables precise engineering of accompanying parts required for more complex controlled drug administration, such as pumps, batteries, catheters and sensors^{23, 24}. For effective systemic drug delivery, drugs must first traverse the body's natural physical barriers and reach the systemic circulation, 86 which can prove challenging for macro scale devices²⁵. A number of approaches have 87 been used to address this challenge, with examples including ingestible devices²⁶⁻²⁸, 88 topical delivery through transdermal systems²⁹⁻³², controlled release implants inserted 89 close to vasculature⁶, and infusion pump systems which are connected to the systemic 90 circulatory system via cannula or catheter²³. Here, we review a range of macro scale 91 drug delivery devices, and discuss how their scale impacts their ability to deliver 92 therapeutics.

93 Systemic delivery systems

94 Ingestible devices

95 Ingestion is one of the most convenient administration routes for devices small enough to pass through the oesophagus²⁵. Although a widely used drug delivery route, ingestion 96 97 based delivery mechanisms have typically had limited usefulness in long term and 98 controlled delivery systems, due to frequent gastric emptying times of between 2-3 hours³³. To combat this, several approaches have been developed to improve gastric 99 100 retention times for macro devices small enough to swallow. These approaches focus on 101 retaining devices within the stomach to provide continuous, systemic drug release, 102 through adhesion to the stomach wall or changes in device geometry. In one example, 103 macro devices composed of drug eluting polymers can be folded into a capsule small 104 enough to swallow, which then expands in the stomach. These low density, floating 105 devices are buoyant, and cannot pass through the pyloric sphincter and into the intestines intact^{28, 33-35}. Inclusion of a biodegradable moiety allows the devices to slowly degrade *in* 106 107 vivo, and subsequently passage through the gastro intestinal tract post drug delivery. 108 Advances in device design will enable systems to be retained beyond the current limit of 109 a few months, potentially allowing longer term macro scale delivery systems which can

110 be administered without surgical intervention. Although ingestible devices offer a robust 111 controlled delivery system that can passage through the gastro intestinal (GI) system, 112 orally delivered drugs must first be absorbed through the gut to become bioavailable. The 113 GI tract consists of the stomach, small intestine (duodenum, jejunum and ileum), the large 114 intestine (colon), and the rectum; drug absorption can occur across the entire GI tract. The 115 majority of drug absorption is occurs via the highly adapted microvilli in the small 116 intestine, however class III/IV drugs have been shown to preferentially absorb across the 117 colon³⁶. Several drug delivery systems utilise the distinct chemical environments present 118 in specific regions of the gastrointestinal tract to facilitate a more targeted drug delivery 119 approach, discussed in detail in the targeted delivery section below. Regardless of 120 absorption site, orally administered drugs are subject to first-pass metabolism and hepatic 121 clearance, and so systemic bioavailability of a therapeutic drug concentration may be 122 lower when compared to intravenous administration.

123 Transdermal delivery

124 In contrast to ingestible devices, transdermal drug delivery methods offer many benefits over the more traditionally administered oral route³⁷. Firstly, compared to orally 125 126 delivered pharmacologics of the same dose, they can provide increased levels of 127 circulating, bioavailable drugs. This leads to a reduction in the drug dose needed to elicit a specific pharmacological effect³⁸⁻⁴⁰, and can therefore reduce side effects. 128 Transdermal patches provide a controlled release system which is well suited to the 129 130 delivery of small molecules, though dermal penetration and delivery efficacy is affected 131 by both the molecular size and hydrophilicity of the therapeutic being administered. 132 Transdermal patches have been widely used to deliver small molecules such as nicotine in smoking cessation therapies⁴¹, and contraceptive/postmenopausal hormones such as 133 estradiol and estrone³⁸. Drug delivery patches are well suited for the continuous, 134

135 systemic delivery of low dose therapeutics. Drug delivery profiles range from a few

136 days to a few weeks due to limitations in drug loading capacity, and patches must

137 remain attached to the skin for the duration of delivery.

138 Polymer implants

139 Implantable polymer devices have been in use since the 1970's for longer term systemic 140 drug delivery. Early examples included the Norplant® and Jadelle® non-degradable 141 subcutaneous contraceptive implants, which provided controlled release of 142 contraceptive hormones over 5 years. Early devices were composed of an implanted 143 polymer-drug composite coated with a porous ethyl vinyl acetate membrane 4^{2-44} . This 144 membrane facilitated controlled kinetic release of the hormones, and similar devices 145 have been used to systemically deliver a range of pharmaceuticals including antiinflammatory drugs and antibiotics⁴⁵⁻⁴⁸. As with the early Norplant® devices, many of 146 147 these systems are based on non-degradable polymers with a fixed-rate delivery profile, 148 controlled by drug diffusion from within the device. Devices are usually implanted, and 149 removed, via a minor surgical procedure at the end of their delivery lifetimes. To 150 minimise surgical intervention required in the removal of these devices, longer-acting, 151 degradable subcutaneous rod implants are currently in development⁴⁹.

152 In a similar approach, polymer hydrogel systems can be used for kinetically controlled systemic drug release^{7, 13, 50}. Hydrogels have the potential to deliver virtually 153 any therapeutic^{13, 51}; controlled release parameters are widely tuneable, with the nature 154 155 of the hydrogel crosslinking determining the kinetics of controlled release and the 156 biodegradability of the system. Both chemical (covalent) and physical (electrostatic 157 assembly, stereo-complexation, supramolecular host-guest inclusion) crosslinking can 158 be used to form hydrogel structures, however care must be taken to ensure the 159 crosslinking agent does not impact drug potency. The wide range of cross-linking

160 chemistries and polymer compositions provides tuneable delivery profiles that can last
161 from several days up to several years, making polymer implants a versatile class of
162 materials for drug delivery.

163 *Pump systems*

164 A number of implantable infusion pump systems have been developed for long-term delivery of therapeutics with metered dosing 23 . These devices can measure up to 10 cm 165 166 in diameter, and are often surgically implanted into patients. They generally consist of a 167 reservoir-pump system which offers dosing schedules based on continuous fixed rate 168 delivery, timed dose delivery, or on-demand delivery in response to specific stimuli. 169 Dosing is usually controlled by an integrated pump system, which can be driven through mechanical, peristaltic means 52-55, propellant systems 56-59, or is driven by 170 171 osmosis/diffusion⁶⁰. Due to the invasive surgical nature of implantation, these devices 172 are primarily used for continuous therapeutic release in chronic medical conditions^{54, 56,} 173 ⁵⁷. Examples include intrathecal delivery of opioid based analgesic therapies, or delivery 174 of muscle relaxants such as baclofen in patients with severe contractions. This 175 continuous, metered dosing is particularly useful for analgesics in long term and 176 palliative care, where the risk of misadministration and accidental overdose, a current 177 concern with prescribed opioid tablets, can be reduced.

For metered and on-demand dosing, stimuli responsive pumps can be used. These have proven particularly useful in diabetes, where sensors implanted under the skin can monitor and respond to glucose levels (MiniMed, Paradigm® RevelTM)⁶¹. In these systems, reservoirs containing insulin, or twin reservoirs containing insulin and glucagon, are connected to sensors and facilitate metered drug delivery in response to hypo- or hyper-glycaemic events. Recently, wireless, smartphone connected sensors 184 have been developed which allow patients and healthcare professionals to manage glucose levels in real time^{62, 63}. These devices enable a truly patient specific, on-demand 185 therapy, and are now clinically used in systemic applications^{60, 62, 64}. However, the 186 187 reservoir-based systems described limits the drug formulations to liquid systems, and 188 device lifetimes are limited by the reservoir loading capacity. Additionally, the use of a 189 power source to run the pumps in peristaltic devices limits the usefulness of these devices due to short battery lifetimes (usually limited to 4-7 years)⁶⁵. Finally, due to 190 191 their scale these more complex systems currently remain unsuitable for targeted or 192 localised delivery approaches, which require smaller scale devices.

193 Localised delivery systems

194 Proximal polymer implants

195 Many smaller macro scale devices can be surgically implanted within target organs to 196 allow for proximal drug release, enabling therapeutic efficacy using lower doses than 197 required in systemic delivery systems. A widespread example are contraceptive devices 198 introduced into the body via the vaginal tract. Contraceptive devices, briefly discussed earlier, are widely adopted clinically, and intra uterine devices (IUDs)⁵ have been in 199 200 widespread use since the 1970s. IUDs and contraceptive vaginal rings can both be 201 applied via a minor surgical procedure, and systems such as the NuvaRing® and 202 Nestorone® offer controlled release on the order of 1 month to 3 years^{66, 67} before 203 removal. For increased efficacy and reduced side effects, new progestin-infused rings 204 or combination drug devices that include both progesterone and estrogenic steroids are 205 in development⁶⁶. Future developments are focused on combining localised 206 contraceptive drug release with systemic drug release, providing a multiplexed system to reduce the risk of sexually transmitted infections^{67, 68} in a vaginal ring device. 207

208 To reach less accessible target organs, surgical intervention is often required to 209 position macro devices correctly. A number of polymer-based macro devices are 210 indicated for controlled drug delivery to target organs, exemplified by stent-based⁶⁹ 211 drug delivery. Stents are used for drug delivery in coronary interventions, and 212 additionally in non-vascular target organs including the oesophagus, biliary duct, 213 trachea, bronchi, sinus cavities, ureters, and urethra^{70, 71}. First-generation vascular stents 214 were approved by the FDA in 1994, and were manufactured from bare metal alloys or 215 ceramic composites. However, these stents caused complications including hyperplastic 216 growth and restenosis. Next generation stents therefore included novel metallic and 217 polymeric stent materials with more open mesh-like frameworks, and simultaneously 218 eluted anti-inflammatory drugs^{71, 72} to reduce restenosis. Stents often provide a physical 219 support coupled with drug eluting capabilities. The continued optimisation of stent 220 structures to reduce plaque prolapse (through increased radial strength) and increase 221 biocompatibility (using fluoropolymer materials) renders these devices as versatile tools 222 for the delivery of a diverse class of drugs. Many systems have been developed for the controlled delivery of anti-inflammatory, antimicrobial, and analgesic drugs^{70, 71} using 223 224 diffusion based release profiles.

225 In contrast, less rigid polymer systems, have been used for non-structural drug 226 delivery. Both biodegradable polymer stents, and implantable hydrogel systems can be 227 used for localised therapeutic delivery. Biodegradable polymer systems are particularly useful for non-structural drug delivery systems⁷⁰, as they can be packed into surgical 228 229 sites and release drugs during healing before being resorbed into the body. These 230 polymer systems have been used in applications ranging from advanced wound healing to the delivery of chemotherapeutics^{73, 74}. In contrast, macro scale polymer-based 231 232 hydrogel systems are useful for both surgical and non-surgical drug administration. A

233 widespread example is hydrogel contact lenses used for ocular drug delivery. These 234 devices can increase the bioavailability of drugs otherwise limited by burdensome ocular administration dosing regimens^{48, 75}. They have proven particularly useful for 235 236 delivery of medications for eye diseases including glaucoma, and delivery of antibacterial agents to the eye⁷⁶⁻⁷⁸. In general, hydrogel thickness can be used to control 237 238 drug loading, and delivery profiles can be tuned to offer zero-order release kinetics. 239 Many hydrogel systems are unsuitable for localised ocular delivery applications due to 240 their limited transparency, stability, and the use of non-biocompatible crosslinking 241 agents during formation. Drug delivery contact lenses must be designed to possess 242 luminous transmittance, oxygen permeability, mechanical stability, and biocompatibility⁷⁶⁻⁷⁹ in addition to their drug loading capacity. These stringent material 243 244 requirements highlight the complexities in designing drug delivery systems which 245 match localised tissue requirements. Next, we discuss advances in macro scale drug 246 delivery systems which enable a more targeted delivery approach.

247 Targeted delivery systems

248 Targeted delivery systems, where a device enables the delivery of a therapeutic to a 249 target organ or cell based in a different location to the administration site, are relatively 250 limited in macro scale devices. Due to their scale, macro devices are often too large to 251 engage with many biological structures and target cells. A notable exception to this is 252 the use of stimuli responsive macro scale devices for delivery to chemically distinct regions of the gastrointestinal tract^{34, 80-82}. The chemical environment of the stomach 253 254 often poses a challenge to pH sensitive and enzymatically degraded protein and peptide 255 therapeutics. Drug delivery devices frequently utilise local variations in target tissue pH 256 to control drug release, with hydrogels sensitive to alkali pH and enteric tablet coatings 257 facilitating delivery to the intestines.

258 For many other target tissues, macro scale devices are too large to travel through the 259 vasculature or lymphatic system and move towards target organs. In an alternative 260 approach, macro devices which can recruit a target cell towards the device to selectively 261 deliver a therapeutic drug to a target cell type have been developed. These devices have 262 been trialled in vaccination systems, where chemokines (CXCL12) have been 263 incorporated into a polymer material to encourage the recruitment of immune cells. 264 Once the immune cells reach the macro device, they "collect" the drug. In this way, the 265 macro device can overcome the transport limitation imposed due to its size, and provides a targeted delivery system to specific cells by recruiting the cell of interest⁸³⁻⁸⁵ 266 267 to the device location. This relatively new concept offers promise for the design of a 268 range of macro devices which can act in a targeted manner

269 Summary

270 Macro scale devices and their component parts can be precisely engineered to allow fine 271 control over drug dosing schedules, and in some cases, can be coupled with sensor 272 technology to enable patient specific systemic drug release. The dimensions of most 273 macro scale devices and their capacity to hold large therapeutic reservoirs renders them 274 suitable to long term drug delivery. However, these dimensions also limit their 275 usefulness in targeting smaller biological structures. Although some smaller macro 276 devices can be surgically inserted into larger target organs (i.e. uterus, large arteries) to 277 facilitate proximal or localised drug release, devices cannot migrate through the body, 278 limiting their ability to deliver drugs in a targeted fashion. The advent of new polymer 279 implants which can directly recruit target cells offers the potential to combine the 280 intrinsic advantages of macro devices (drug loading capacity, precision engineering, 281 patient specific stimuli responsive systems) with the targeting advantages usually found

in smaller scale systems, and is an exciting future direction for macroscale devicedevelopment.

284 MICRO SCALE DELIVERY SYSTEMS

285 Devices at the micron scale range from 1-1000µm, allowing them to be introduced 286 within the body without the need for a major surgical procedure through ingestion (orally administered osmotic pumps)^{24, 86, 87}, inhalation, and inoculation (microparticles, 287 microneedles)⁸⁸⁻⁹². Many key biological structures are organised on the micron length 288 289 scale, with cells themselves measuring from 5-20 microns in diameter and possessing 290 cellular substructures on the order of nano- to micro-meters. Several biological transport networks are also organised on the micro-scale; lymphatic capillaries^{93, 94} have a 291 diameter of ~10-60 μ m, whilst circulatory vasculature⁹⁵ measures on the order of 10 292 293 mm in arteries and veins to around 1 µm in capillaries. Micro-scale drug delivery 294 devices therefore offer the unique advantage of being able to interface with organoid, 295 cellular, and subcellular structures on a comparable length scale to their biological 296 targets. In addition, they may be able to navigate through the body's transport networks, 297 rendering them particularly suitable for localised and targeted delivery systems. Here, 298 we review a range of micro scale delivery systems with increasing target specificity.

299 Systemic delivery systems

300 Microfabricated electromechanical systems (MEMS)

301 Microfabrication techniques and advances in pump technology have allowed injection

302 and infusion devices (described earlier in the macro section) to be created on smaller

303 and smaller length scales. Micro fabricated electromechanical systems (MEMS) offer a

304 micron sized infusion device that can provide localised fixed rate or variable dose

delivery, and recently further miniaturisation of fabrication technologies has encouraged 305 development of nanoelectromechanical systems⁹⁶ (NEMS). MEMS systems can deliver 306 both liquid and solid-phase drug formulations⁹⁷⁻¹⁰⁴ in a manner analogous to macro 307 308 scale infusion pumps. The delivery dose is controlled by an infusion system, which is either fixed rate (i.e. diffusion-based) or active (i.e. pumped) ^{9,97}. In pumped systems, 309 310 devices can be either non-mechanical (i.e. electrophoresis, electro osmosis) or 311 mechanical in nature (piezoelectric, electromagnetic, shape memory alloy), with the 312 choice of pump system impacting both the delivery dosage schedule and device life time^{101-103, 105, 106}. For example, non-mechanical pumps usually have a limited flow rate 313 compared to variable piezoelectric pumps^{101, 104, 105, 107-110} yet piezoelectric pump 314 315 systems often require higher voltage systems and increased operating power, reducing 316 battery and device lifetime. Although these devices are small enough to be implanted 317 within the body, they have a reduced reservoir capacity compared to their macro scale 318 counterparts. To combat the low loading capacity, refillable devices⁹⁹ are being 319 developed which enable reservoir replenishment and dose manipulation post-320 implantation. Due to the placement of these devices within the body, refilling these 321 systems will likely require an additional surgical procedure, rendering these devices 322 unsuitable for non-surgical applications.

323 Microneedles

Microneedle patches have been developed which facilitate drug delivery without surgical intervention. These consist of an array of high aspect ratio needles^{90, 91} on the order of 500-1000 μ m in length, which can penetrate the epidermis and reach the underlying dermal layer. Once they are in contact with this layer, they can be used to deliver small molecules, proteins, or nucleic acids via hollow, solid, or biodegradable needles³⁰. The needles are usually made of metal, silica, or polymer composites, and are

fabricated through microlithography^{29, 88-91, 111} using etching, casting, or printing 330 331 methods. The simplest of these systems use solid and porous non-degradable needles 332 coated with a drug, or biodegradable drug-polymer composite that can dissolve into the 333 tissue once the microneedles contact the dermal layer. Alternatively, the microneedles 334 can be uncoated, and removed once they have penetrated the epidermal barrier, 335 allowing topical application of therapeutics to the area. An alternative system uses 336 hollow needles coupled to a liquid reservoir, using capillary action to inject liquid into 337 the dermal layer in an analogous manner to traditional macro needles. In contrast, 338 biodegradable needle systems can be applied directly to the skin and used to deliver 339 drugs with a more continuous release profile. By carefully tuning polymer 340 compositions, these systems can controllably deliver drugs in a single administration lasting a few seconds, or with a longer kinetic release profile of a few weeks^{30, 90}. 341

342 Polymer implants

A range of injectable administration methods have been developed to implant polymer based micro scaled drug delivery systems. The simplest of these involve micro scaled polymer masses which are administered using a clinical gauge needle to directly penetrate the skin barrier, and deposited subcutaneously. A wide range of injectable polymer compositions have been explored, from early studies using polylactic acid and biodegradable polylactide-co-glycolide acid polymer systems, to newer stimuli responsive copolymer systems, and novel hydrogel systems¹¹².

Micro scale injectable hydrogels with controlled release properties^{83, 113-115} have emerged as promising polymer delivery systems for clinical applications. For example, shear-thinning hydrogels are particularly well suited to subcutaneous systemic delivery devices¹¹⁵⁻¹¹⁷, as they remain liquid during application and solidify on placement post354 injection. This renders shear thinning hydrogel systems suitable for delivery to a wide 355 range of target locations, however for longer term release profiles (over 6 months) 356 multiple hydrogel injections would likely be required in a clinical setting. To prevent 357 overloading the subcutaneous space with polymer materials, hydrogels with tuneable 358 degradation rates (matched to the rate of drug release) can ensure polymer residue does 359 not remain in the body after the therapeutic has been delivered. As an alternative, 360 refillable drug delivery depots have recently been developed, which aim to overcome 361 the drug loading limitations inherent in microscopic drug delivery depots. These 362 systems use "tagged" drugs which can be administered intravenously and migrate towards the polymer depot^{118, 119}, providing a refillable controlled release depot. 363

364 Microparticles

365 Microparticles typically consist of a degradable, drug loaded microparticle that acts as a 366 drug delivery depot. These particles can be suspended in saline, and injected 367 intravenously where, if appropriately sized, they circulate systemically. Microparticles are typically formed by emulsion or solvent evaporation techniques^{15, 32, 120-125}, 368 369 potentially resulting in a loss of potency for sensitive therapeutics after exposure to 370 organic solvents during the fabrication method. In an alternative approach, ultra-371 sonication and freezing can be used to generate microparticles with control over 372 polydispersity[11], reducing the need for organic solvents and maintaining drug 373 potency. A variety of polymers have been used to fabricate microparticles, and the 374 choice of polymer directly impacts both the microparticle targeting ability and the 375 specific release kinetics. For example, PLGA microparticles are FDA approved for 376 clinical use in a range of applications, including chemotherapeutic drug delivery and 377 hormone release. Their release profiles can be tuned to continuously deliver therapeutic 378 load over the course of two days, or for up to eight weeks, depending on the lactide to

glycolide ratio¹²⁶⁻¹²⁸ within the polymer. Recently, more advanced microparticles have
been developed which offer a more complex kinetic release profile. These materials
combine several different polymer architectures to offer a single particle that can release
therapeutic load in 2-3 distinct bursts. These systems are likely to prove extremely
useful in vaccine development, where an entire multi-dose vaccination schedule could
be administered systemically in one injection^{11, 129}.

385 Localised delivery systems

386 Implantable microchips

387 As infusion pump and microchip devices have been miniaturised, they have become 388 increasingly suitable for localised drug delivery applications. Recently, new MEMS 389 devices have been developed which offer an implantable "pharmacy-on-a-chip" design, 390 allowing the delivery of multiple therapeutics, each at a specified time. These devices 391 can provide precise dosing control through as many as 100 unique reservoirs opened on 392 the order of microseconds. The first "pharmacy-on-a-chip" devices successfully 393 completed phase one human clinical trials in 2012, and were used to deliver human parathyroid hormone fragment^{86, 130} to eight osteoporotic patients. Taking this 394 395 technology further, micron-sized reservoir-based devices holding up to 100 drugs and 396 drug combinations in a small microchip have been implanted into tumour sites. Due to 397 their high aspect ratio and small scale, implants can be extracted using a conventional 398 biopsy needle, and the tissue can be examined to determine tumour regression in 399 response to each of the therapeutic drugs and drug combinations tested. In this way, 400 surgeons and oncologists can develop a personalised drug treatment plan for cancer patients based on positive responses to specific drug combination therapies^{131, 132}, tested 401 402 using the microchip.

403 Controlled polymer architecture

404 Polymers can be modified to display controlled topographical and physical features, 405 enabling delivery of therapeutics to a specific biological target organ. For example, the 406 Nektar aerosolised inhaler based systems deliver microparticles containing a range of 407 therapeutics, and uses microparticles with a reduced density to aid pulmonary particle 408 delivery¹³³. Microparticle geometry has also been shown to directly impact cellular uptake, with both particle size and shape¹³⁴⁻¹³⁸ playing an important role in the response 409 410 of specific tissues and cells. Given the importance of the three dimensional structure to 411 cellular interactions, shape memory polymers are being developed that can be injected 412 into a target organ using a syringe, and then resume a specific 2D or 3D shape on 413 reaching 37°C in the body. One example of these systems involves bio-adhesive shape 414 memory hydrogel sheets, which provide an easily applied, injectable patch drug 415 delivery device for local drug administration^{72, 139-142}.

416 Targeted delivery systems

417 *Ligand targeting*

418 The identification of organ and cell specific ligands has facilitated targeted delivery of 419 micro scale systems. This is often accomplished using conjugation strategies which link 420 targeting ligands onto polymers. Ligand conjugated polymers can be used to form drug 421 delivery microparticles, which can be formulated to encapsulate a range of therapeutic 422 cargo including proteins, small molecules, and cytokines within a ligand decorated polymer shell^{17, 143}. Conjugation of specific ligands can also alter the systemic 423 424 circulation time; for example the inclusion of a polyethylene glycol (PEG) moiety is 425 thought to prevent binding and uptake of microparticles by non-targeted cell types. The 426 inclusion of specific ligands facilitates microparticle binding to specific cells and tissues

- 427 presenting the receptor for the attached ligand. These systems have proven particularly
- 428 useful for targeting the liver though the ASGPR1 receptor^{144, 145}, or targeting
- 429 systemically circulating dendritic cells^{146, 147}. As ligands of other target organs are
- 430 identified, this method will enable more specific targeting.

431 Microbubbles

432 In an alternative approach, smaller, drug loaded microbubbles have been injected 433 systemically. The application of ultrasound (which bursts these bubbles) can be used to 434 target drug release to a specific therapeutic area. This emerging technology makes use 435 of clinically available imaging facilities located in hospitals and clinics to induce 436 controlled release in target biological structures. The technique has proven popular in 437 delivering drugs to larger target organs, such as the kidney¹⁴⁸⁻¹⁵⁰. The development of 438 ultrasound mediated drug deliver follows previous discoveries that traditional delivery 439 through topical administration to the skin, gastrointestinal tract and mucus membranes is enhanced with simultaneous application of an ultrasound probe^{151, 152}. 440

441 Summary

442 Micro scale systems offer a robust tool to facilitate drug delivery in systemic, localised, 443 and targeted fashions. Whilst macro scale devices can offer controlled release for 444 durations of months to years, micro systems have limited reservoir and loading 445 capacity, usually limiting drug delivery to weeks and months. There are also limited 446 examples of systems with programmed release profiles, as the majority of the drug 447 delivery systems rely on diffusion and biodegradation to provide a continuous and fixed 448 rate delivery. Recently, pulsatile hydrogel release systems, pulsatile microparticles, and 449 stimuli responsive drug delivery approaches have been developed which offer the 450 promise of greater control over complex dosing schedules. Although micro systems are

limited by therapeutic reservoir and release profiles, they excel in providing localised
and targeted drug delivery. Their small scale enables implantation into key organs, and
microparticles and microbubbles can travel through the vasculature to enable both
systemic and more targeted delivery. Poorly vascularised tissues, and those isolated by
complex physiological barriers such as the blood-brain barrier, remain difficult to target.
As new approaches to crossing physiological barriers are identified, micro scale systems
will play an increasingly important role in targeted delivery.

458 NANO SCALE DELIVERY SYSTEMS

459 Nanoscale systems are generally defined as being of the order of between 1 to 460 100 nm (ISO/TS 80004-1:2015), however in practice can range from 1 to 1000 nm¹⁵³. 461 Nanotechnology can be used to improve the pharmacokinetic properties of traditional 462 pharmaceuticals, increase circulation time, solubility, and enhance delivery into 463 difficult-to-access tissues. In addition, nanostructures below 200 nm can undergo uptake in various cell types and avoid clearance by phagocytosis¹⁵⁴, making nanoscale 464 465 systems particularly promising for targeted intra-cellular delivery of a variety of 466 therapeutic cargo. This section will provide an overview of recent advances in 467 nanotechnology for drug delivery, and identify remaining challenges.

468 Systemic delivery systems

469 Nanoparticles

470 Nanoparticle systems enable the systemic delivery of sensitive or unstable therapeutics

471 by protecting them against degradation, extending circulation time, and facilitating

472 delivery across biological barriers that would normally be prevented due to

473 hydrophilicity, size or charge [9, 10]. Re-formulating existing drugs into nanoparticle

delivery systems can reduce toxicity, and nanoparticles can be formulated using a
variety of materials including lipids, polymers and peptides. Nanoparticle formulations
can also be used to deliver sensitive cargo at risk of degradation from ubiquitous
nucleases *in vivo*, such as nucleic acids. Systemic nanoparticle mediated delivery has
been demonstrated for mRNA¹⁵⁵, and localized delivery of DNA has been demonstrated
following topical application of DNA nanoparticles to the skin¹⁵⁶ in patients with
epidermolysis bullosa.

481 To improve solubility, drugs are often encapsulated inside micelle carriers, or

482 conjugated to water soluble polymers. Liposome-based carriers were first described in

483 the 1960s and are amongst the most well established nano-carriers for systemic delivery

484 ¹⁵⁷. They have been widely used to improve aqueous solubility of hydrophobic drugs,

485 illustrated by the antifungal amphotericin B, which is insoluble at physiological pH and

486 also highly toxic. The liposomal formulation of this drug, AmBisome®, can be

487 administered systemically with dramatically reduced toxicity¹⁵⁸⁻¹⁶⁰.

488 Synthetic conjugates have also been used to formulate drug delivery nanoparticles, and 489 inclusion of water soluble polymers, such as polyethylene glycol, can aid systemic 490 solubility without the inclusion of dose-limiting solvents. Paclitaxel is an example of a 491 poorly water-soluble drug that has been formulated within degradable polylactide-492 polyethylene glycol block co-polymers, allowing better delivery through nanoscale drug 493 delivery micelles (Genexol-PM). These micellar nanoparticle formulations can also enhance transdermal drug delivery¹⁶¹⁻¹⁶³, and have been used to deliver hormones such 494 495 as estradiol via topical application. The incorporation of polyethylene glycol (PEG) into these nanoparticle systems can increase particle stability¹⁶⁴⁻¹⁶⁶, reduce protein 496 497 adsorption (opsonization), and prevent subsequent phagocytic clearance. For example, 498 PEGylated liposomal doxorubicin formulations have been shown to extend circulation

499 times^{164, 167} when compared to uncoated liposomes, or free doxorubicin. However,

500 studies have indicated that repeated administration of PEGylated formulations can lead

501 to an increased clearance rate of subsequent doses of PEGylated liposomes. This is

502 likely due to IgM antibodies raised after first exposure ¹⁶⁴ or pre-existing IgG antibodies

- 503 to PEG. ¹⁶⁸
- 504 Nanowires, nanoneedles and nanotubes

High-aspect-ratio nanoscale systems¹⁶⁹⁻¹⁷¹ such as nanowires, nanoneedles and 505 506 nanotubes have been used to deliver a wide variety of biomolecules, and can be 507 combined with macro and micro systems to enhance drug delivery technologies. For 508 example, micron scaled drug delivery particles have been coated with nanowires which 509 enhance retention in the mucosal tract. This strategy enables prolonged retention to mucosal epithelial tissue¹⁷², facilitating enhanced drug delivery and limiting clearance. 510 511 In addition, nano scale needles (nanoneedles), wires, and tubes can be loaded directly 512 with drugs for intracellular drug delivery. Biodegradable silicon nanoneedles grafted 513 onto micron sized patches have been used for the intra-cellular delivery of VEGF DNA 514 to localised regions of skin and exposed muscle¹⁶⁹, where they are able to enhance 515 neovascularization compared to delivery of naked DNA. Recently, more sophisticated systems have been developed which can release cargo in response to specific stimuli^{29,} 516 517 ⁹², including changes in pH and temperature, and the presence of specific enzymes. 518 High-aspect delivery systems are typically silicon-based, however alternative materials (such as carbon nanotube based drug reservoirs¹⁷³⁻¹⁷⁶) are currently being developed. 519 520 These material systems will need to meet stringent nano scale safety and toxicity 521 requirements before widespread clinical use.

522 Nanogels

523 Nanoscale hydrogels (nanogels) broadly consist of crosslinked polymeric particles 524 which can be synthesized using a range of synthetic and naturally derived materials including polyacrylamides, polydimethylsiloxane, and chitosan^{166, 177-180}. Nanogels offer 525 526 a large surface area for bioconjugation, and as for the microgel systems described 527 earlier, cross-linking can be used to fine tune drug delivery release kinetics for the 528 delivery of hydrophobic, hydrophilic and charged solutes. Nanogels have been used for 529 both targeted intracellular delivery and systemic delivery. These systems have recently 530 been applied to intra-nasal vaccine delivery, where they can be retained in nasal mucosa 531 to generate systemic immunity as well as local mucosal immunity^{181, 182}.

532 Localised delivery systems

533 Stimuli responsive nanosystems

534 In an effort to move towards systems with spatio-temporal control of drug delivery, a 535 number of nanosystems have been developed which can be triggered to release cargo by 536 exposure to external stimuli such as heat, light and ultrasound. Ultrasound has been utilized to increase transdermal delivery of a variety of nanoparticles through the skin^{29,} 537 ^{149, 150}, whilst heat and light sensitive nanosystems have enabled delivery to deeper 538 539 tissues. For example, thermosensitive lipids that have transition temperatures between 540 40-45°C can be used to formulate liposomes that become unstable and release cargo 541 once exposed to this temperature. ThermoDox® is a temperature-sensitive doxorubicin-542 PEGylated liposome that is systemically delivered but releases cargo in regions where 543 local temperatures are elevated to 40 °C by application of radiofrequency. The thermo-544 responsive formulation was shown to increase local concentration of the drug several 545 folds higher in the radiofrequency treatment area compared to intravenously

administered doxorubicin, and compared to non-thermosensitive liposomal formulations
 of doxorubicin¹⁸³.

548 Alternatively, light has been used as an external stimulus for photodynamic therapies. Visudyne® is a liposomal formulation of verteporfin^{184, 185} used to treat 549 550 ocular conditions. It is systemically administered but only exerts its toxic effects when 551 exposed to light. When light is applied to the eye, this formulation can be used to treat 552 ocular neovascularization due to diseases such as age-related macular degeneration. For 553 these treatments to be successful, stimuli must be able to penetrate to the target tissue to 554 facilitate drug release. This renders tissues closer to the surface more amenable to these 555 therapies; for deeper structures alternative targeted delivery systems are required.

556 Targeted delivery systems

557 Site-specific accumulation

558 Systemically delivered nanoparticle systems may accumulate in organs such as the 559 liver, lung and in tumors. This site-specific accumulation after systemic administration 560 has been observed using nanocarriers where there is no specific targeting moiety. 561 Nanoparticles that tend to accumulate at tumor sites are thought to be subject to the enhanced permeability and retention¹⁸⁶ (EPR) effect. Nanoparticles are small enough to 562 563 traverse through the leaky blood-tumor barrier resulting in accumulation and therefore 564 enhanced drug delivery to the tumor site. Lung accumulation is thought to be due to the 565 cationic nature of nanoparticles leading blood component aggregation and accumulation in pulmonary vasculature.187,188 566

567 Selective biodistribution has also been attributed to *in vivo* post-modification of

568 nanoparticles, where particular apolipoproteins are preferentially adsorbed onto the

569 nanoparticle, and can facilitate trafficking to, and enhance retention in, the

570 corresponding organ. In one example, polysorbate 80 modified polybutylcyanoacrylate 571 nanoparticles were used to deliver doxorubicin to the brain. Doxorubicin does not cross 572 the blood brain barrier alone, but when delivered as a nanoparticle formulation, delivery to the brain was achieved¹⁸⁹ and found to be due to adsorption of apolipoprotein onto 573 574 the polymer nanoparticles. Similarly, lipid nanoparticles carrying nucleic acids were shown to accumulate in the liver after adsorption of apolipoprotein $E^{190, 191}$. These 575 576 examples demonstrate that nanoparticle design must consider factors such as particle size, charge and shape^{170, 192}, which can influence tissue accumulation and therefore 577 578 targeting efficacy.

579 Receptor-mediated targeting

580 In a similar manner to microparticle based delivery, nanoparticles can be formulated 581 with protein and ligand tags to enable selective delivery. Identifying specific receptor 582 ligand pairs which enable cell selective delivery is a topic of great interest. To reduce 583 phagocytosis and enhance therapeutic efficacy, nanosystems can be conjugated with "self" peptides such as CD47¹⁹³ which act as a "don't eat me" marker. For tumor 584 585 targeting, nanoparticles can be decorated with ligands that target receptors that are overexpressed in cancer cells, such as the transferrin receptor¹⁹⁴. Protein and antibody 586 587 tagging can also facilitate organ and cellular targeting; HER2 antibodies have been 588 conjugated to liposomal doxorubicin¹⁶⁵ to mediate targeted binding in breast cancer. 589 Antibody presentation on nanoparticles can also increase cellular particle uptake; when 590 trastuzumab and rituximab were bound to PLA particles, particle uptake was increased six-fold compared with PLA particles lacking targeting molecules¹⁹⁵. In an alternative 591 592 strategy, Abraxane, an albumin bound nanoparticle formulation of paclitaxel, is thought 593 to undergo an albumin-receptor (gp60) mediated endothelial transcytosis¹⁹⁶⁻¹⁹⁸, enabling 594 the drug to pass through endothelial cell walls in tumor micro vessels to achieve

595 enhanced intra-tumoral concentrations and anti-tumor activity.

596	In addition to targeting specific cells, nanocarriers that contain cargo must also
597	efficiently enter cells to deliver the therapeutic component. Particle shape, size, and
598	composition can all affect penetration efficacy, and coating the nanoparticle with cell
599	penetrating peptides is proving a promising strategy. By screening a library of cell
600	penetrating peptides (CPP) using a high throughput strategy, three human peptides were
601	identified which improved in vivo delivery when conjugated to lipid-like
602	nanoparticles ¹⁹⁹ . As the mechanism for cellular entry and endosomal escape become
603	better understood, new ligands will be identified to enhance these processes and

enhance intracellular targeting with increased specificity and efficiency.

605 Summary

604

606 Nano based systems are an enabling technology for the delivery of traditional drugs and 607 novel therapies such as nucleic acid based drugs. Nanoparticles can be used to optimize 608 the pharmacokinetics of conventional therapeutics, and offer a promising platform for 609 targeting specific cells and organelles by manipulating biological pathways such as 610 endocytosis and ligand targeting to gain cellular entry. Due to their small scale, drug 611 loading and precision engineering is challenging, rendering current nanoscale systems 612 less suited for the continued or controlled release of therapeutic loads. Additionally, the 613 development of safe and effective nanoscale materials presents a significant hurdle for 614 clinical translation.

615 CONCLUSIONS

Modern drug therapies include small molecules, proteins, and genetic
engineering based strategies. These therapies often have precise biological therapeutic
targets, and are most effective when delivered with specific dosing regimens. The need

619 to combine targeted delivery and dosing in smaller and smaller scale systems has driven 620 the miniaturisation of drug delivery devices from the macro to micro to the nano scale. 621 We have reviewed a range of devices and described their ability to controllably deliver 622 biomolecules, summarised in Table 1 and Figure 4. In general, macro devices offer 623 precisely engineered systems which can provide systemic and localised drug delivery 624 over a period of several years. Devices have a defined lifetime, usually limited by 625 battery power and reservoir capacity, before they need to be surgically replaced. In 626 some cases, precision engineering and the incorporation of wireless sensors for on-627 demand sensing has enabled patient specific drug delivery, most commonly applied to 628 insulin therapies. As the length scale of these devices is reduced to smaller macro 629 devices, they can be surgically implanted into additional target organs to enable 630 localised therapeutic delivery, however their scale limits their ability to target smaller 631 biological structures. In a smart workaround, cell specific delivery can be achieved 632 using macro scale polymer implants that recruit desired cells for targeted delivery, and 633 when coupled with refillable drug depots this will provide an exciting future direction 634 for cell specific delivery.

635 In contrast, micro and nano systems can often be coupled with targeting ligands and can 636 travel through the vasculature and lymphatic systems to reach specific cell targets. 637 Several of these systems can undergo burst release in response to external stimuli such 638 as light, heat, or ultrasound. However, there are limited examples of these systems being 639 able to intrinsically facilitate controlled dosing schedules. Notable exceptions are burst 640 release polymer particles which offer a single administration of an entire dosing schedule, and stimuli responsive nanoneedle delivery systems which use cleavable 641 642 linkers to deliver therapeutics in response to target enzyme expression. These systems 643 straddle the boundary between sensing and drug delivery and offer an exciting direction

644 for responsive drug delivery therapies. A major limitation to micro and nano scale 645 systems is the limited therapeutic load these systems can carry, limiting the duration of 646 controlled release to between hours and, at most, a few months. This suggests micro-647 and nano- scale systems are best suited to short term delivery of up to 3 months, or the 648 targeted delivery of curative and preventative therapeutics that can be administered with 649 a single application.

650 Moving forwards, next generation devices will focus on increasing the efficacy of

therapeutic delivery at smaller and smaller length scales. As mechanisms of

nanoparticle cellular entry and endosomal escape are elucidated, nanoscale systems with

653 greater delivery efficacy and therapeutic effect will be developed. Additionally, the

development of stimuli responsive polymer systems will enable on-demand and patient-

655 specific delivery systems to be developed in response to specific cues. Future devices

will combine the advantages of macro scale delivery systems with the precise targeting

657 capabilities of devices on the nano scale.

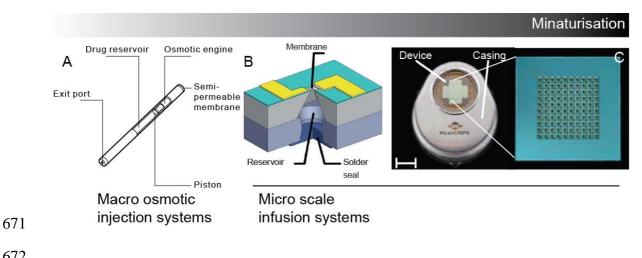
659 FIGURES AND TABLES:

- 660 **FIGURE 1: Length scales in drug delivery** An overview of the biological length
- scales important in drug delivery, including target organs, biological barriers and sub-
- 662 cellular structure of interest.

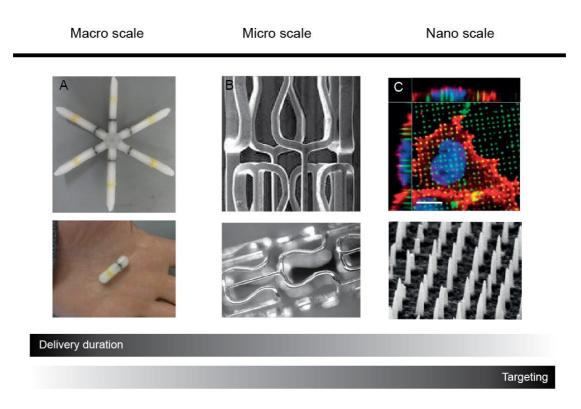
1cm 100mm	10mm 1mm	100µm	10µm	1µm 1(10nr 00nm	n 1nm
Whole organs	Vasculature Sk	in barrier	Cells	Organelles	s	eceptors

664

- FIGURE 2: Miniaturisation of controlled dosing pump systems Infusion based 665 666 devices have progressed rapidly from macroscale implantable pump systems able to
- deliver single therapeutics (A) to micro scaled pumps capable of delivering over 100 667
- 668 individual doses (B). Figures were adapted from the following references with
- permission: A- Adapted with permission from Nature Biotechnology ²⁰⁰ © 2003; B-669
- Reprinted with permission from Science Translational Medicine ¹³⁰ © 2012. 670



673 FIGURE 3: Polymer based drug delivery systems across length scales Polymer 674 based drug delivery systems offer extremely versatile morphologies for drug delivery 675 applications across several orders of magnitude. On the macro scale, flexible polymers 676 have been folded into capsules small enough to swallow. (A) Precision engineering has 677 enabled macro scale stents which possess micron scale features. These features are often 678 made of, or coated in, biodegradable polymer substrates (B) providing both rigidity and 679 drug eluting capabilities. (C) Polymer nanoneedles can be fabricated with a variety of 680 aspect ratios to penetrate cells for nucleic acid drug delivery. Figures were adapted 681 from the following references with permission: A- Reprinted with permission from Science Translational Medicine ²⁰¹ © 2016. B- Reprinted with permission from the 682 Journal of Interventional Cardiology ²⁰² © 2004. C- Reprinted by permission from 683 684 Macmillan Publishers Ltd [165] © 2015.



687 **FIGURE 4: Overview of drug delivery systems by length scale** A comparison

688 between drug delivery strategies across length scales, illustrated by material delivery

689 class, scale and the targeting ability to each therapy. Due to their scale, there are few

690 examples of targeted macro scale drug delivery systems.

	Systemic delivery	Localised delivery	Targeted delivery				
Nano scale Micro scale Macro scale	Infusion pumps Polymer implants Ingestible devices Skin patches Microparticles Microneedles		Cell recuriting implants				
	Polymer implants Y Nanoparticles OOO OO	Microbubbles					

692 **TABLE 1: Considerations in drug delivery device design** The table provides an

693 extension to Figure 4, and overview of the main considerations in drug delivery device

694 design according to device scale. Types of device, typical therapeutics, targeting ability

and typical delivery profiles are indicated for highlighted delivery systems.

Scale	Device (administration route)	Types of therapeutic typically delivered	Targeting			Delivery Duration					
			Systemic	Localised	Targeted	Hours	Days	Weeks	Months	6 months+	Years +
Macro	Ingestible devices	Peptides, Proteins, Small									
	(ingestion)	molecules									I
	Skin patches	Peptides, Proteins, small									
		molecules									I
	Polymer implants	Peptides, Proteins, Small									
		Molecules									
	Pumps	Proteins, Small Molecules									
Micro	Microneedles	Peptides, Proteins, Small									
		Molecules, nucleic acids									
	Microparticles	Proteins, Small Molecules									
	Polymer implants	Proteins, Small Molecules									
	Microbubbles	Proteins									
Nano	Nanoneedles, tubes and	Peptides, Proteins, nucleic									·
	wires	acids, small molecules									1
	Nanogels	Peptides, proteins, small									
		molecules									
	Nanoparticles	Peptides, Proteins, nucleic									
		acids, small molecules,									
		nucleic acids									

696

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702

703 **DECLARATIONS OF INTEREST**

- 704 R.L. is co-inventor on multiple patents and patent applications describing drug delivery
- systems, and has a financial interest in Lyndra Inc., a biotechnology company focused
- on the development of oral drug delivery for long drug release. The rest of the authors
- 707 declare no competing financial interests.
- 708

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