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Damp and dry heat degradation of thermal oxide passivation of p^+ silicon

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Thermal SiO₂ passivates both moderately and heavily doped silicon surfaces irrespective of the dopant type, which is advantageous in high-efficiency solar cell designs. Commercial photovoltaic cells are submitted to accelerated ageing tests, such as damp-heat exposure, to ensure they maintain their performance for at least 20 yr. We find damp-heat exposure causes a severe and rapid degradation of thermal SiO₂ passivation on p^+ silicon surfaces. The reaction is so severe that the diffused-region recombination in the degraded state is limited by the diffusion of minority carriers to the Si–SiO₂ interface not the density of interface defects D_{it} . Certainly, this effect renders the thermal-oxide passivation useless if employed on a solar cell. To study the cause of the degradation, we also test the effects of storage in dry heat and room ambient conditions. Examination of the rate of degradation in the tested storage conditions in comparison with modelled diffusion of moisture in SiO₂, we find a significant correlation between the time dependent J_{0e} and moisture supplied to the interface, leading us to the conclusion that moisture ingress and subsequent reaction at the SiO₂–Si interface are the cause of both damp-heat and room-ambient degradation. © 2014 AIP Publishing LLC. [<http://dx.doi.org/10.1063/1.4869057>]

I. INTRODUCTION

Thermally grown SiO₂ passivates crystalline-silicon surfaces by chemically deactivating recombination centers, thereby reducing the density of interface defects D_{it} .¹ Unlike aluminum oxide and silicon nitride, the contribution of field-effect passivation for thermally oxidized surfaces is small owing to its low-insulator charge Q_f .² The combination of low D_{it} and Q_f enables SiO₂ to effectively passivate both moderately and heavily doped surfaces irrespective of the dopant type. Hence, SiO₂ is suitable for passivating interdigitated-back-contact (IBC) and high-efficiency front-junction solar cells, which require simultaneous passivation of n^+ and p^+ regions.^{3,4}

Commercial photovoltaic modules are routinely submitted to accelerated testing, including to damp-heat exposure at 85 °C and 85% relative humidity (RH) for 1000 h.⁵ To pass accelerated testing, the modules must preserve at least 95% of their rated output power. As standard encapsulation technology for crystalline-silicon cells does not prevent the ingress of moisture,⁶ it is important that the cell passivation schemes are stable under damp-heat exposure.

The stability of the SiO₂–Si interface passivation has been studied in-depth for microelectronic applications. Stimuli such as moisture,⁷ radiation,^{1,8} film stress,⁹ thermal annealing,¹⁰ and combined electric-field stress and thermal annealing—negative bias temperature instability¹¹—degrade the SiO₂–Si interface. SiO₂–Si interface degradation reactions resulting from the aforementioned stimuli are caused by the reactions of hydrogenous species at or near the SiO₂–Si interface.¹² Photovoltaic related studies have found damp-heat exposure degrades SiO₂ passivation. For both n^+ diffused and moderately doped n - and p -type surfaces, a 3–5

fold increases in recombination has been observed, where the degradation reaction saturates in 7 days.^{13,14}

In this work, we study thermal SiO₂ passivated p^+ surfaces exposed to the following storage conditions: damp heat at 85 °C and 85% RH; dry heat at 85 °C and <5% RH; and at room temperature and <15% RH as summarized in Table I. We observe a more severe degradation of p^+ surfaces exposed to damp heat than has been observed for n^+ surfaces, whereby the emitter-saturation current density J_{0e} increases from 40 to 2200 fA/cm², saturating in four days. We find that dry-heat exposure exhibits a slower reaction where J_{0e} increases from 40 to 330 fA/cm² over the course of 160 days, whereupon the degradation reaction has not saturated. Samples exposed to room-temperature and low humidity also degrade but the reaction is slow and only observable after 40 days, where the J_{0e} increases from 40 to 300 fA/cm². Previous measurements of dry-heat and room-ambient exposure have been observed where similar but faster reactions were observed.¹⁵ (We note that in a preliminary study on damp-heat exposure of SiO₂ passivated p^+ silicon, the experiment was likely compromised by the initial measurements commencing long after the samples had degraded in the room ambient.¹⁶)

Significantly, this work directly investigates the different time scales and magnitudes of the degradation reactions by modeling the diffusion of water through SiO₂ for the different storage conditions, similar to the theory discussed by Klampaftis *et al.*¹⁴ This paper demonstrates that damp-heat degradation is more severe for p^+ surface as compared to published results on n^+ surfaces.¹⁷ Indeed, a capping layer of silicon nitride may prevent the effects of damp-heat and room-ambient exposure,^{17,18} an important design consideration when attempting to fabricate stable solar cells with a p^+

TABLE I. Storage conditions used in this work. The samples were stored at room temperature, in a dry heat, or damp heat for 3820 h. A fourth set of samples were initially stored in damp heat for 64 h then transferred to dry heat for remainder of the experiment.

Storage description	Condition 1 (Temp./RH)	Condition 2 (Temp./RH)
Damp heat	85 °C/85%	...
Room ambient	25 °C/~15%	...
Dry heat	85 °C/<5%	...
Damp heat to dry heat	85 °C/85%	85 °C/<5%

surface.^{3,19} This paper outlines our experimental procedure, then presents the degradation results, and finally results are discussed alongside calculations of moisture supplied to the Si-SiO₂ interface.

II. EXPERIMENTAL

We now summarize our experimental procedure, giving details on the sample fabrication, the measurement procedure, and the theory related to our modeling of moisture diffusion in thermal SiO₂.

Photoconductance measurements were used to determine J_{0e} of symmetrical boron-diffused test structures passivated by thermal SiO₂. One day after fabrication, the wafers were exposed to the following atmospheric conditions (as outlined in Table I): (i) heated ambient at 85 °C and 85% RH—referred to as damp heat—where the samples were stored in a temperature and humidity controlled test chamber (Haida, HD-150 T), (ii) room temperature (22–26 °C) and dry air (~15% RH)—referred to as room ambient—where the samples were stored in an air-tight container with silica gel drying chips (Sigma Aldrich), and (iii) heated ambient at 85 °C and <5% RH—referred to as dry heat—where the samples were stored in an thermocouple-controlled atmospheric oven. The J_{0e} was measured as a function of storage time for 3820 h (160 days). After 64 h, some samples stored in damp heat were removed from the test chamber and placed in storage under dry heat conditions to examine the reversibility of the degradation with and without a moisture source.

A. Sample fabrication

To fabricate test structures, 100 mm diameter float zone, (100) orientated, $1.16 \pm 0.04 \Omega \text{cm}$, phosphorus-doped silicon wafers were acid-etched to remove saw damage and Radio Corporation America cleaned to remove organic and metallic impurities. All wafers received a symmetrical p^+ boron diffusion from a BBr₃ source in a clean boron-diffusion quartz furnace (Tempress R&D scale horizontal four-stack furnace). The borosilicate glass (BSG) was deposited at 900 °C for 10 min. The boron was subsequently driven in with an anneal in N₂ for 10 min at 910 °C followed by an oxidation for 20 min at 920 °C. The BSG was removed in hydrofluoric acid to allow the growth of a high-quality SiO₂ layer. A subsequent oxidation was performed in a clean oxidation quartz furnace (Tempress R&D scale horizontal

four-stack furnace). The oxidation was performed at 1000 °C for 30 min followed by an *in-situ* anneal in N₂ at 1050 °C. Wafers were then subjected to a 400 °C anneal in Ar/H₂ 95%/5% forming gas for 30 min to hydrogenate the Si-SiO₂ interface. The fabrication procedure described above led to 16 samples with a sheet resistance of $280 \pm 10 \Omega/\text{sq}$, an SiO₂ thickness of $25 \pm 10 \text{ nm}$, and an initial effective lifetime of $510 \pm 100 \mu\text{s}$ at $1 \times 10^{15} \text{ cm}^{-3}$, resulting from a bulk lifetime of $2.3 \pm 0.9 \text{ ms}$ and a J_{0e} of $47 \pm 9 \text{ fA/cm}^2$.

The electrically active dopant profile, measured using WEP CVP21 electrochemical capacitance voltage (ECV) wafer profiler, of the p^+ diffusion is plotted in Figure 1. We see that there is no significant surface depletion, owing to dopant redistribution during the high temperature anneal. The doping profiles measured by ECV were corrected in order to account for variability in the profiler's contact area. We followed a process outlined by Bock *et al.*²⁰ which matches the sheet resistance predicted by the ECV profile to the four-point probe measured sheet resistance—using the mobility model in Ref. 21.

B. Measurement of J_{0e}

Photoconductance lifetime measurements were performed with a Sinton Instruments WCT-120. The measurements were taken under transient²² and quasi-steady state conditions with a generalized analysis,²³ in accordance with the procedure detailed in Ref. 24.

Unlike the conventional approach of the Kane and Swanson method^{22,25} for J_{0e} calculation, we do not make the assumption that the depthwise Δn in the wafer is uniform. Instead, we find a more accurate J_{0e} ^{25,26} by numerically solving the one-dimensional depthwise profile of Δn considering the diffusion, recombination, and generation of carriers in the quasi-neutral bulk of a silicon sample such that the simulated Δn averaged across the wafer Δn_{avg} matches that of the measured Δn_{avg} . Our measurement procedure necessitates the numerical solving of the partial differential equation given in Ref. 23 using the boundary conditions from Ref. 22. The error presented in the measurements of J_{0e} (Figs. 3 and 4) represents the minimum and maximum sample-to-sample

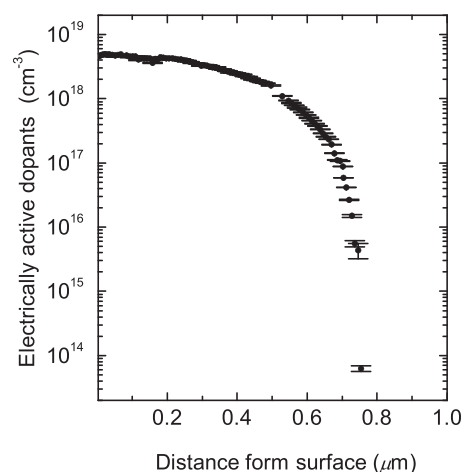


FIG. 1. ECV measured electrically active dopant profiles for the boron diffusion used to study the effect of moisture on SiO₂ passivated p^+ surfaces.

variation, which is significantly greater than the uncertainty in the measurements.²⁷

C. Modeling of moisture diffusion

To aid in the explanation of our measured J_{0e} results, we have modelled the diffusion of moisture through SiO_2 in order to determine the relative flux of water molecules supplied to the SiO_2 -Si interface for samples exposed to each of the three storage conditions. In this work Fickian diffusion is assumed, which in one dimension is represented by a generalized complementary error function of the form

$$n_{T_i, RH_j}(x, t) = n_{T_i, RH_j}(0) \operatorname{erfc} \left(\frac{x}{2\sqrt{D_{H_2O}^{(T_i)} t}} \right), \quad (1)$$

where $n_{T_i, RH_j}(x, t)$ is the moisture concentration, $n_{T_i, RH_j}(0)$ is a fixed concentration at the SiO_2 -air surface, and $D_{H_2O}^{(T_i)}$ is the diffusion coefficient at temperature T_i . This model assumes an infinitely thick SiO_2 layer. To account for a finite SiO_2 thickness, we perform an integration to determine the total moisture supplied to the interface

$$H_2O_{\text{int}}(t) = \int_{\infty}^{t_{\text{ox}}} n(t)_{T_i, RH_j} dx, \quad (2)$$

where t_{ox} is the thermal oxide thickness and n_{T_i, RH_j} is calculated from Eq. (1). This calculation therefore makes the rough approximation that the interface absorbs H_2O molecules at the same rate as they diffuse through silicon and that the interface is an infinite H_2O sink. We view this metric as the time-dependent total supply of reactants available to cause degradation.

In order to calculate $H_2O_{\text{int}}(t)$, it is necessary to determine $n_{T_i, RH_j}(0)$ and $D_{H_2O}^{(T_i)}$ for the storage conditions listed in Table I. We determined $D_{H_2O}^{(25)}$ and $D_{H_2O}^{(85)}$ to be $(10 \pm 7) \times 10^{-18}$ and $(6 \pm 5) \times 10^{-20}$ cm^2/s , respectively, by extrapolating the temperature dependent D_{H_2O} relationship of Moulson and Roberts.²⁸ We are satisfied that the extrapolation is reasonable despite Moulson and Roberts' experimental data being taken at temperatures 600–1200 °C because we independently determined $D_{H_2O}^{(85)}$ by analyzing previously published secondary-ion-mass spectrometry (SIMS) measurements of hydrogen in SiO_2 .¹⁷ The SIMS measurements were performed on 180 nm thermal SiO_2 layers exposed to 85 °C and 85% RH damp heat for 0, 1000, and 10 000 min and show the hydrogen concentration as a function of depth increasing with exposure time.¹⁷ It is clear that the SIMS measured hydrogen originates from the ingress of moisture, hence, the diffusion coefficient can be extracted from this data by fitting Eq. (1) to the measured hydrogen profiles. The SIMS calculated $D_{H_2O}^{(85)}$ is in good agreement with the Moulson and Roberts' extrapolation, as evidenced in Figure 2, which plots the Moulson and Roberts data, their fit including their parameterized uncertainty, and our calculated $D_{H_2O}^{(85)}$. We note that the extrapolation is also in agreement

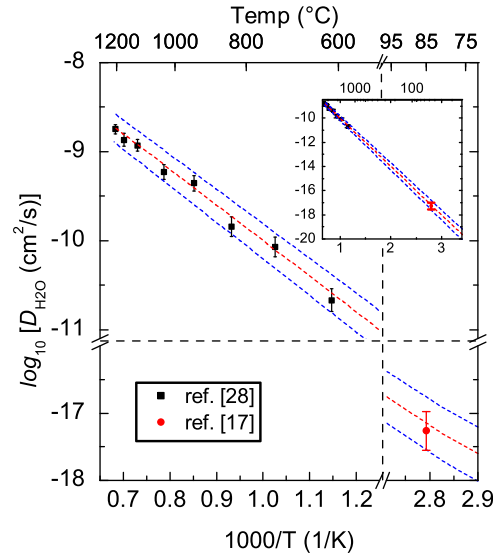


FIG. 2. Plot of temperature dependent diffusion coefficient for moisture in silica. High temperature data taken by Moulson and Roberts²⁸ (black markers). The extrapolation of the Moulson and Roberts' diffusion relationship to low temperature is given by the dashed lines (red—the line of best fit, blue—the upper and lower confidence limits). Included in this plot is the D_{H_2O} calculated from the SIMS measurements presented by McIntosh and Dai.¹⁷

with other low-temperature measurements of molecular H_2O diffusion in SiO_2 , see Refs. 29 and 30.

In the modeling that follows below, $n_{85,85}(0)$ was set to be 1×10^{20} cm^{-3} , a value equivalent to the peak hydrogen concentrations in the SIMS measurements in Ref. 17. To determine $n_{25,15}(0)$, we consider the relative partial pressure of H_2O . From Henry's law, the partial pressure of H_2O at 85 °C and 85% RH, $P_{H_2O}^{85,85}$, is proportional to the concentration of H_2O at the SiO_2 surface, that is $P_{H_2O}^{85,85} = k_H \times n_{85,85}(0)$, where k_H is Henry's constant. Assuming k_H is not temperature dependent, which we suspect is reasonable over these small temperature ranges. The ratio of k_H under the different storage conditions is approximately equal to the ratio of the saturation partial pressures at the corresponding temperatures, implying that $n_{T_i, RH_j}(0)$ is dependent mostly on humidity rather than temperature. Therefore, with knowledge of the partial pressures for each condition, we calculate $n_{25,15}(0) \approx (P_{H_2O}^{25,15}/P_{H_2O}^{85,85}) \times n_{85,85}(0) \approx 0.18 \times n_{85,85}(0)$ and $n_{85,05}(0) \approx (P_{H_2O}^{85,05}/P_{H_2O}^{85,85}) \times n_{85,85}(0) \approx 0.11 \times n_{85,85}(0)$.

III. RESULTS

Figure 3 plots J_{0e} as a function of time for samples exposed to the storage conditions listed in Table I. We observe three characteristic degradation reactions: (i) damp-heat degradation where J_{0e} increases from 40 to 2200 fA/cm^2 saturating at around 100 h (Fig. 3(a)), (ii) dry-heat degradation where J_{0e} increases from 40 to 330 fA/cm^2 without saturating over 3820 h (Fig. 3(b)), and (iii) room-ambient degradation where the increasing J_{0e} becomes significant after 1000 h (Fig. 3(b)). In addition, we plot J_{0e} for samples that were removed from damp heat

(after partial degradation) to dry heat over the course of the experiment (Fig. 3(a)).

For reference, we have included previously published measurements of J_{0e} for samples stored in similar conditions in Figure 3. In Fig. 3(a), we have included measurement of J_{0e} for thermal oxide passivated n^+ phosphorus-diffused surfaces as a function of time from McIntosh and Dai.¹⁷ These samples have a similar SiO₂ thickness (25 ± 5 nm) and were lightly diffused ($210 \pm 10 \Omega/\text{sq}$). The degradation occurs over a similar time scale; however, the magnitude of the degradation is less severe. In Fig. 3(b), we also include previously published degradation data for thermal oxide passivated p^+ diffusions.¹⁵ There are significant differences in the rate and magnitude of the degradation for the previously published results, especially when comparing the room ambient with the dry-ambient results.

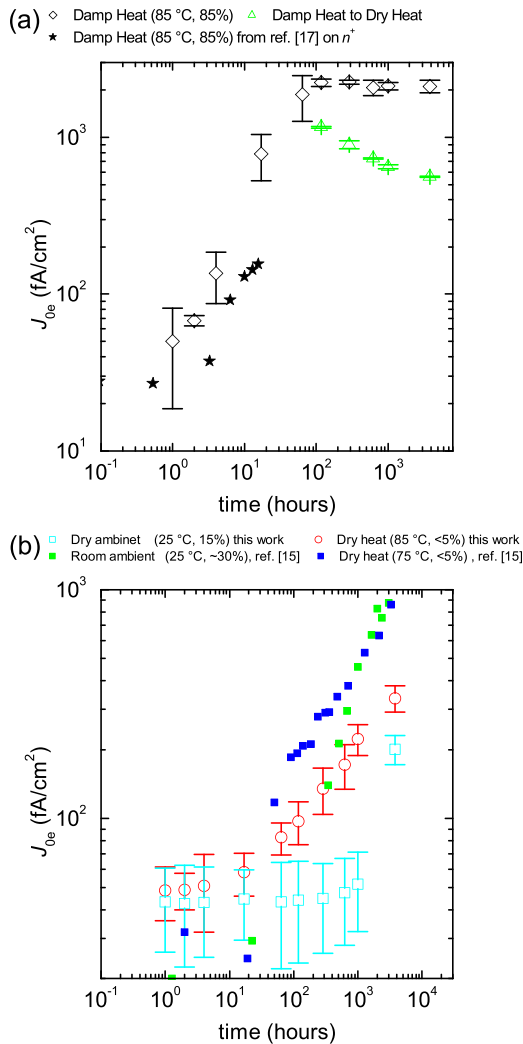


FIG. 3. Graph (a) plots J_{0e} as a function of time for samples exposed to damp heat (black diamonds), and samples removed from damp heat to dry heat (green triangles). For comparison, we have plotted the J_{0e} as a function of time measured by McIntosh and Dai¹⁷ (black stars), where in this case the surfaces were n^+ phosphorus diffused not p^+ boron diffused. Graph (b) plots J_{0e} as a function of time for samples exposed to dry-heat and room-ambient conditions. For comparison, the degradation data presented by Thomson and McIntosh¹⁵ are included. The specific storage conditions are listed in the respective legends.

In Figure 4, we replot the measured J_{0e} as a function of time for the samples that were measured in this work for (i) samples stored in damp heat (Fig. 4(a)), (ii) samples stored in room ambient (Fig. 4(b)), and (iii) samples stored in dry heat (Fig. 4(c)). For comparison, we include lines representing the upper and lower calculated H_2O_{int} plotted against a second vertical axis (the right y-axis). The upper and lower limits of H_2O_{int} were calculated from the uncertainty in the moisture diffusion coefficient. The H_2O_{int} y-axes have been equivalently scaled across the three plots.

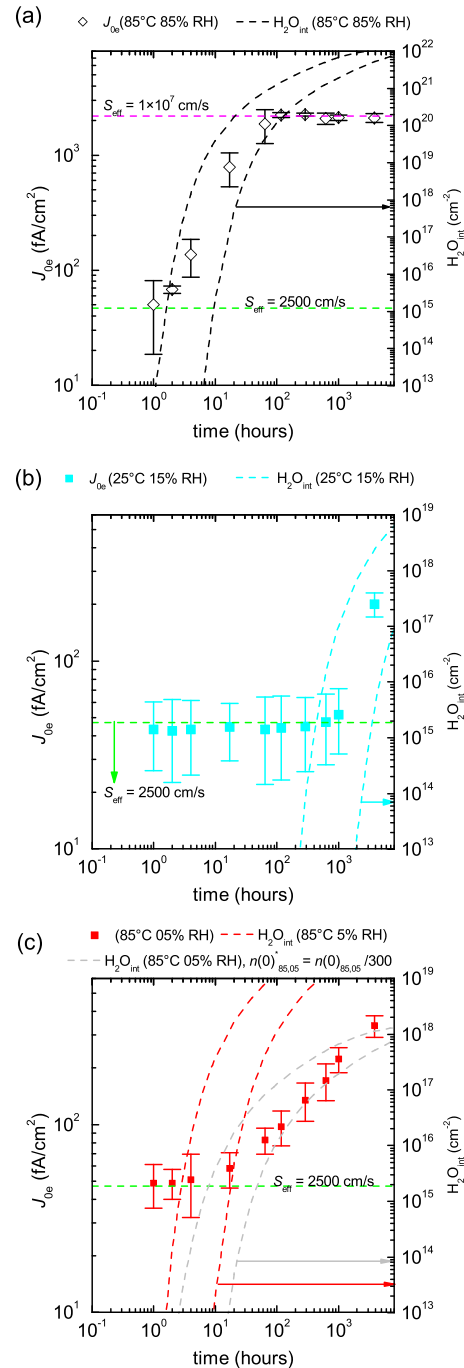


FIG. 4. Plots of J_{0e} (left vertical axis) as a function of time for samples exposed to: damp heat (graph (a)), room-ambient (graph (b)) and dry-room ambient (graph (c)). Additionally, we have included lines representing the modelled H_2O_{int} (right vertical axis) plotted as a function of time, equivalently scaled to the J_{0e} axis throughout graphs (a), (b), and (c).

We see a strong correlation with increasing J_{0e} and H_2O_{int} for samples stored at 85 °C and 85% RH (Fig. 4(a)), as well as for 25 °C and 15% RH (Fig. 4(b)). For samples stored in dry heat (Fig. 4(c)), there is not a good agreement when comparing J_{0e} and H_2O_{int} , using the method outlined in Sec. II C for ascertaining $n_{85,05}(0)$ (compare the dashed red lines to the closed red symbols). However as will be discussed, if $n_{85,05}(0)$ is arbitrarily scaled a good fit of H_2O_{int} to measured J_{0e} can be achieved (see grey dashed lines Fig. 4(c)).

For reference, we have used a 1D emitter model³¹ to calculate the J_{0e} when the effective surface-recombination velocity S_{eff} is set to its maximum level (10^7 cm/s) as dictated by the thermal velocity of electrons in crystalline silicon. This simulation uses the ECV measured dopant profile (see Figure 1). The simulated maximum J_{0e} is 2180 fA/cm² and is plotted as the dashed horizontal line in Figure 4, and thus, the J_{0e} cannot exceed this value irrespective of the number of interface defects. It agrees with the experimental saturated J_{0e} attained by the samples exposed to damp heat (Fig. 4(a)). Conversely, the minimum J_{0e} , which is measured for samples in their pre-degraded state, is 47 fA/cm². This initial J_{0e} can be simulated with S_{eff} of 2500 ± 500 cm/s, which thereby provides an estimate of the surface-recombination velocity before moisture degradation. This value is shown as a green dashed line in each plot of Figure 4.

IV. DISCUSSION

From this work it is clear that damp-heat exposure catastrophically degrades the thermal SiO₂ passivation of p^+ surfaces. Degradation also occurs in samples stored under dry-heat and dry-room ambient conditions (see Table I for summary). Such degradation would in most cases lead to device failure. In particular, this effect is relevant to IBC and high-efficiency front-junction solar cell structures;^{3,4} indeed, H₂O ingress and accumulation at the SiO₂-Si interface are the likely cause of degraded open-circuit voltages observed in laboratory devices.¹⁹

We conclude that the Si-SiO₂ interface degradation can be explained by one physical driver: H₂O ingress. Moisture causes depassivating reactions involving hydrogenous species at the Si-SiO₂ interface. Further, we find from the repairing of the interface when the samples were removed from damp heat to dry heat that the effect of the depassivation reactions are at least partially reversible and are likely explained by the removal of moisture from the Si-SiO₂ interface.

On a molecular level, the degradation process can be explained as proceeding in three stages. First is the diffusion of water vapor into the amorphous SiO₂ film, as demonstrated by first-principle density-function simulations whereby H₂O migrates through large voids and ring structures in the SiO₂ layer.⁷ Second, the amorphous SiO₂ film hosts a range of local environments providing low-energy reaction pathways for H₂O dissociation.^{8,32} Third, dissociated H₂O species react with the Si-SiO₂ interface³³⁻³⁵ creating and deactivating electronic defects.

When comparing the effect of damp heat on p^+ surfaces to n^+ surfaces (see Figure 3 top graph), we find that the

degradation is more severe for p^+ surface. One explanation for this observation is that the defect created by the reaction of moisture at the Si-SiO₂ interface has a significantly larger capture-cross section for electrons (the minority carrier in p^+ regions) than for holes. Such an explanation has previously been discussed in the context of room-ambient exposure.³⁶ We note a considerable difference between recorded degradation of samples stored in dry-room ambient (this work) compared to previous measurements of room temperature degradation.¹⁵ These differences could be due to (1) the samples measured in the previous study were not stored in a container with drying chips but in room ambient (30%–40% RH), and (2) the surface dopant profiles were not the same.

From Figure 4 where we have compared calculated H_2O_{int} to J_{0e} , we find that there is a strong correlation with the increase in J_{0e} , for two of three storage conditions. Considering first the cases of damp-heat (Fig. 4(a)) and dry-ambient exposure (Fig. 4(b)), initially the J_{0e} measured is not correlated to the changing H_2O_{int} ; in this case there has not been enough moisture supplied to the interface to cause significant degradation. As H_2O_{int} increases above 2×10^{15} cm⁻², there is a correlation between increasing J_{0e} and H_2O_{int} . The correlation is maintained until, in the case of damp heat exposure, the J_{0e} saturates (~ 2200 fA/cm²). This divergence is related to the Si-SiO₂ interface having degraded to such an extent that the recombination in the diffused region is no longer limited by the defect at the interface but the diffusion of minority carriers to the surface. Hence, there is no longer any relationship between J_{0e} and H_2O_{int} .

When comparing our calculated H_2O_{int} with J_{0e} for dry-heat exposure (Fig. 4(c)), we find poor correlation. Although the degradation commences when the calculated H_2O_{int} exceeds 1×10^{15} cm⁻², the rate of degradation is slower than the rate of the H_2O_{int} increases. We find that if the surface concentration $n_{85,05}(0)$ is reduced by a factor of 300 a good correlation between J_{0e} and the calculated H_2O_{int} is calculated (grey lines in Fig. 4(c)). This infers that either our method for determining $n_{85,05}(0)$ is invalid for the dry-heat storage, or that in the case of dry heat, the degradation is not caused solely by moisture ingress.

V. CONCLUSIONS

In this paper, we have experimentally compared the effect exposure to damp-heat, dry-heat, and dry-room ambient conditions on thermal SiO₂ passivated p^+ silicon surfaces. We find that although the degrading effect varies vastly in time scale and magnitude, the reactions can be explained by the ingress of moisture. We arrive at this conclusion by calculating H_2O_{int} and comparing it to measurements of the J_{0e} . We note degradation is observed with dry-ambient storage but can take years to saturate. In this instance, damp-heat accelerated testing is an excellent test to determine the stability of the Si-SiO₂ interface. The magnitude of the degradation observed would easily cause catastrophic degradation in most devices. Hence, a capping layer that is capable of preventing moisture ingress, such as silicon nitride, is essential when using thermal oxides on p^+ surfaces.

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