

## A DISK AROUND THE PLANETARY-MASS COMPANION GSC 06214-00210 b: CLUES ABOUT THE FORMATION OF GAS GIANTS ON WIDE ORBITS\*

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### ABSTRACT

We present Keck OSIRIS 1.1–1.8  $\mu\text{m}$  adaptive optics integral field spectroscopy of the planetary-mass companion to GSC 06214-00210, a member of the  $\sim 5$  Myr Upper Scorpius OB association. We infer a spectral type of L0  $\pm 1$ , and our spectrum exhibits multiple signs of youth. The most notable feature is exceptionally strong Pa $\beta$  emission ( $\text{EW} = -11.4 \pm 0.3 \text{ \AA}$ ), which signals the presence of a circumplanetary accretion disk. The luminosity of GSC 06214-00210 b combined with its age yields a model-dependent mass of  $14 \pm 2 M_{\text{Jup}}$ , making it the lowest-mass companion to show evidence of a disk. With a projected separation of 320 AU, the formation of GSC 06214-00210 b and other very low mass companions on similarly wide orbits is unclear. One proposed mechanism is formation at close separations followed by planet–planet scattering to much larger orbits. Since that scenario involves a close encounter with another massive body, which is probably destructive to circumplanetary disks, it is unlikely that GSC 06214-00210 b underwent a scattering event in the past. This implies that planet–planet scattering is not solely responsible for the population of gas giants on wide orbits. More generally, the identification of disks around young planetary companions on wide orbits offers a novel method to constrain the formation pathway of these objects, which is otherwise notoriously difficult to do for individual systems. We also refine the spectral type of the primary from M1 to K7 and detect a mild ( $2\sigma$ ) excess at 22  $\mu\text{m}$  using *Wide-Field Infrared Survey Explorer* photometry.

**Key words:** planets and satellites: formation – stars: individual (GSC 06214-00210) – stars: pre-main sequence

*Online-only material:* color figures

### 1. INTRODUCTION

Direct imaging with adaptive optics (AO) on large telescopes is beginning to reveal the orbital architecture and demographics of extrasolar planetary systems on wide orbits ( $> 10$  AU). Several populations of planetary-mass companions are emerging in this nascent field, including giant planets residing in debris disks at moderate separations of  $\lesssim 100$  AU (HR 8799 bcde: Marois et al. 2008, Marois et al. 2010; Fomalhaut b: Kalas et al. 2008;  $\beta$  Pic b: Lagrange et al. 2009) and planetary-mass objects on extremely wide orbits of several hundred AU (e.g., CHXR 73 B: Luhman et al. 2006; 1RXS J1609–2105 b: Lafrenière et al. 2008; Ross 458 C: Goldman et al. 2010; Scholz 2010).

Despite their growing numbers, the formation mechanisms of these companions remain obscure. Three plausible (and non-mutually exclusive) routes have been proposed: core accretion plus gas capture (Pollack et al. 1996; Alibert et al. 2005), disk instability (Cameron 1978; Boss 1997), and direct collapse from molecular cloud fragmentation (Bate 2009). In situ formation through core accretion is unlikely for companions on wide orbits because the timescale to grow massive cores at these separations is longer than the observed lifetimes of protoplanetary disks ( $\sim 5$  Myr; e.g., Hernández et al. 2007; Evans et al. 2009; Currie

et al. 2009). On the other hand, models of disk instability have succeeded in forming gas giants between  $\sim 20$  and 100 AU, with specific results depending on the initial conditions and physical assumptions of the simulations (Rafikov 2005; Stamatellos & Whitworth 2008; Boss 2011).

There is debate about whether disk instability can account for planetary-mass companions at separations of several hundred AU (Boss 2006; Rafikov 2007; Nero & Bjorkman 2009; Stamatellos & Whitworth 2009; Dodson-Robinson et al. 2009; Kratter et al. 2010; Rice et al. 2010; Boley et al. 2010; Baruteau et al. 2011). Some of the conflicting results arise from different approaches to modeling disk heating and cooling as well as uncertainties in the initial disk masses and surface densities, which are poorly constrained by observations during the embedded Class 0 and I protostellar phases when this mechanism is most likely to occur. Additionally, environmental factors such as envelope accretion onto the disk (both steady state and episodic) are only beginning to be included in simulations (Vorobyov & Basu 2010; Stamatellos et al. 2011). Despite these difficulties, there is some evidence that disks around Class 0 objects can be both massive ( $> 0.2 M_{\odot}$ ) and large ( $> 200$  AU; Eisner et al. 2005; Jørgensen et al. 2005; Enoch et al. 2009; Jørgensen et al. 2009), leaving open the possibility that disk instability can form planets at wide separations.

There have been fewer theoretical studies focusing on the formation of gas giants by direct collapse from molecular clouds. In this scenario, planetary-mass objects form as ejected by-products of a fragmenting pre-stellar cloud core. Bate (2009) used numerical simulations to follow the gravitational collapse of a molecular cloud (see also Bate et al. 2002, 2003) and found that all stellar and substellar objects begin as

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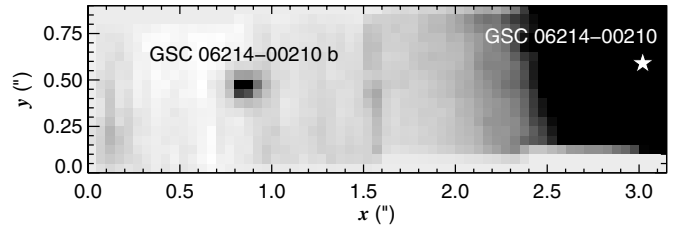
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opacity-limited fragments with masses of a few times that of Jupiter and subsequently accrete gas, increasing their mass over time. In these simulations, low-mass brown dwarfs and planetary-mass objects form directly from dense filamentary cloud structures and from instabilities in disks; in both cases they begin as dynamically unstable multiple systems and are ejected from dense regions of gas, halting strong accretion and limiting their masses below the hydrogen burning minimum mass. The formation of gas giants at extreme separations may have also proceeded in this fashion, perhaps by being ejected to wider orbits on faster timescales than brown dwarfs before appreciable accretion has occurred. Alternatively, as noted by Bate et al. (2003), preferential accretion from the primary could also explain the population of both brown dwarfs and planetary-mass companions on orbits of several hundred AU. In this framework of fragmentation plus ejection, planetary-mass objects on wide orbits represent the low-mass tail of brown dwarf companion formation.

In addition to these in situ formation models, other explanations for giant planets on wide orbits involve formation at close separations (perhaps through core accretion or disk instability) and subsequent orbital evolution to large separations. Several possibilities have been proposed, including outward scattering from dynamical interactions with another massive planet (Boss 2006; Debes & Sigurdsson 2006; Scharf & Menou 2009; Veras et al. 2009) and outward resonant migration with another planet while still embedded in a disk (Crida et al. 2009). These myriad possibilities make it difficult to identify the formation mechanisms of individual systems discovered by direct imaging.

The value of this population extends beyond informing formation scenarios; the direct detection of thermal photons enables detailed studies of their atmospheres. In particular, spectroscopy of young low-mass companions is providing insight into the influence of surface gravity on the atmospheric properties of low-temperature objects. Metchev & Hillenbrand (2006) find that the young brown dwarf companion HD 203030 B has an earlier spectral type than expected from its evolutionary model-derived temperature, suggesting that gravity may impact the transition from L-type dwarfs to T-type dwarfs. More recent photometry and spectroscopy of the young ( $\sim 30$  Myr; Zuckerman et al. 2011) HR 8799 planets indicate that they have unusually thick photospheric clouds, possibly signaling that thick clouds are a general phenomenon of young gas giants (Marois et al. 2008; Bowler et al. 2010; Currie et al. 2011; Madhusudhan et al. 2011; Barman et al. 2011a). The emergent spectrum of the  $\sim 5$  Myr planetary-mass companion 2M1207b shows similar signs of a dusty atmosphere (Skemer et al. 2011; Barman et al. 2011b). There is a growing need to explore this unexpected correlation with more objects spanning a range of gravities and temperatures (or equivalently, masses and ages).

Here we present near-infrared spectroscopy of the recently discovered planetary-mass companion GSC 06214-00210 b (Kraus et al. 2008; Ireland et al. 2011), which orbits a member of the Upper Scorpius OB association ( $\sim 5$  Myr) at a projected separation of 320 AU. In Section 2, we present *J*- and *H*-band spectroscopy of the companion as well as optical and near-infrared spectroscopy of the primary. We describe the spectroscopic properties of GSC 06214-00210 b, atmospheric model fits, predictions from evolutionary models, and analysis of the primary star in Section 3. In Section 4, we examine the validity of planet–planet scattering as a migration scenario for GSC 06214-00210 b. Finally, Section 5 provides the conclusions of our work.



**Figure 1.** Median-collapsed *J*-band OSIRIS cube showing GSC 06214-00210 and its companion. The primary is marked with a white star. The spaxel scale is  $0.05 \text{ pixel}^{-1}$  and the separation between the primary and the companion is  $2.2''$ .

## 2. OBSERVATIONS

### 2.1. Keck/OSIRIS *J*- and *H*-band Spectroscopy of GSC 06214-00210 b

We observed GSC 06214-00210 b on 2010 July 9 with the OH-Suppressing Infrared Imaging Spectrograph (OSIRIS; Larkin et al. 2006) integral field unit with natural guide star AO on Keck II. The weather was photometric with good seeing ( $0.4''$ – $0.6''$  according to the Differential Image Motion Monitor (DIMM) on Canada–France–Hawaii Telescope (CFHT)). We observed GSC 06214-00210 b in the *Jbb* ( $1.180$ – $1.416 \mu\text{m}$ ) and *Hbb* ( $1.473$ – $1.803 \mu\text{m}$ ) bandpasses with the  $0.05 \text{ pixel}^{-1}$  plate scale, resulting in a lenslet geometry of  $16 \times 64$ , a field of view of  $0.8'' \times 3.2''$ , and a resolving power ( $R \equiv \lambda/\delta\lambda$ ) of  $\sim 3800$ . The separation of GSC 06214-00210 b from the primary ( $2.2''$ ) is large compared to the measured FWHM of the companion ( $0.11''$  in *Jbb*;  $0.13''$  in *Hbb*) and the seeing disk ( $\sim 0.5''$ ), so contamination from the primary is negligible. We obtained a total of 40 minutes in *Jbb* (5 minutes/exposure  $\times$  4 nodded pairs) and 20 minutes in *Hbb* (200 seconds/exposure  $\times$  3 nodded pairs) with  $1''$  offsets along the long axis of the field of view. The airmass ranged from 1.35 to 1.64 during the observations. Immediately following our science observations we targeted the nearby A0V star HD 148968 at an airmass of 1.50–1.67. We also acquired sky frames in both filters before GSC 06214-00210 and after HD 148968.

The raw data were flat fielded, sky subtracted, cleaned for cosmic rays and bad pixels, assembled into three-dimensional data cubes using the appropriate rectification matrices, and wavelength calibrated using version 2.3 of the OSIRIS Data Reduction Pipeline. Figure 1 shows an example of a collapsed *J*-band data cube. The science target and standard star spectra were extracted with aperture radii of 3 and 4 spaxels, respectively. The individual spectra from each band were then scaled to a common level and median combined. Spectral measurement uncertainties were determined by computing the standard errors about the median. The spectra were telluric corrected with the `xtellcor_general` routine in the *Spectool* spectroscopic reduction package (Vacca et al. 2003; Cushing et al. 2004). We tested sky subtraction with sky frames (A-sky) and with the nodded science data (A–B) by reducing the data both ways; the influence on the final spectrum was minor. We chose the former (A-sky) for our final spectrum.

The *J*- and *H*-band spectra were independently flux calibrated using flux ratios for GSC 06214-00210 from Ireland et al. (2011) together with Two Micron All Sky Survey (2MASS) photometry of the primary (Skrutskie et al. 2006). Ireland et al. (2011) report flux ratios in the Mauna Kea Observatory (MKO) system, so we use the 2MASS–MKO conversions from Leggett et al. (2006)

to arrive at MKO apparent magnitudes for GSC 06214-00210 b of  $J = 16.25 \pm 0.04$  mag and  $H = 15.55 \pm 0.04$  mag.

## 2.2. IRTF/SpeX Near-infrared Spectroscopy of the Primary GSC 06214-00210

We observed the primary star GSC 06214-00210 with the SpeX spectrograph (Rayner et al. 2003) in short wavelength cross dispersed mode (SXD) at the NASA Infrared Telescope Facility (IRTF) on 2011 April 29 UT. The seeing reported by the CFHT DIMM was between  $0''.4$  and  $0''.6$ , and there were light cirrus clouds during the observations. We used the  $0''.3$  slit aligned to the parallactic angle, yielding a resolving power of  $\sim 2000$ , and obtained a total of 4 minutes of data (30 s/exposure  $\times$  4 nodded pairs) by nodding along the slit in an ABBA pattern. After our science observations, we targeted the nearby A0V star HD 144925 and obtained calibration frames at a similar airmass. The data were reduced with version 3.4 of *Spextool* (Vacca et al. 2003; Cushing et al. 2004).

We also acquired low-resolution ( $R \sim 100$ ) spectroscopy of the primary with IRTF/SpeX in prism mode on 2011 May 12 UT. The weather was poor with complete cirrus coverage so we used the opportunity to verify the spectral slope of our SXD spectrum. We used the  $0''.8$  slit and obtained 160 s of data (20 s/exposure  $\times$  4 nodded pairs) at an airmass of 1.38. Immediately afterward we observed HD 144925 for telluric calibration.

## 2.3. UH 2.2 m/SNIFS Optical Spectroscopy of GSC 06214-00210

We obtained an optical spectrum of GSC 06214-00210 on 2011 May 16 UT under clear conditions using the Super Novae Integral Field Spectrograph (SNIFS) instrument (Lantz et al. 2004) on the University of Hawaii (UH) 2.2 m telescope. SNIFS is an optical integral field spectrograph with  $R \sim 1000$ – $1300$  that splits the signal with a dichroic mirror into blue ( $\sim 3000$ – $5200$  Å) and red ( $\sim 5200$ – $9500$  Å) channels. The images are resampled with microlens arrays, dispersed with gratings, and focused onto blue- and red-sensitive CCDs.

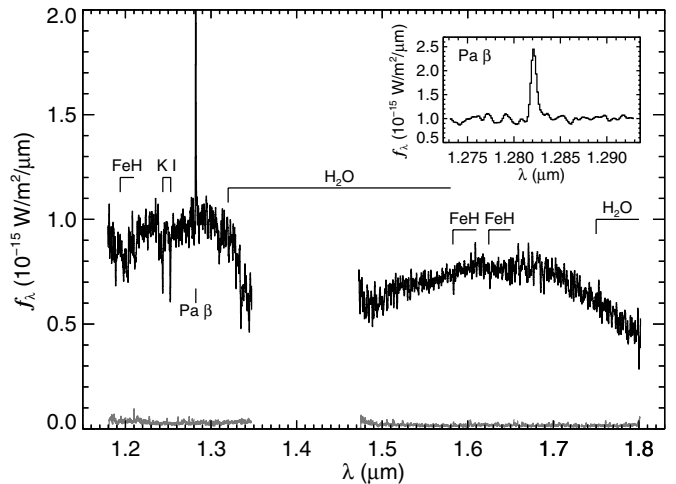
A single 100 s exposure of the science target was sufficient to achieve high signal-to-noise ratio (S/N;  $\sim 200$ ). The processing was performed with the SNIFS data reduction pipeline, which is described in detail in Aldering et al. (2006) and Scalzo et al. (2010). The processing includes dark, bias, and flat-field corrections; assembling the data into red and blue three-dimensional data cubes; cleaning them for cosmic rays and bad pixels; sky subtraction; extracting the spectra using a semi-analytic point-spread function (PSF) model; and wavelength calibrating the spectra with arc lamp exposures taken at the same telescope pointing as the science data. Corrections for instrument response, airmass, and telluric lines are based on observations of the Feige 66 standard star using calibrated observations in Oke (1990). The far ends of the blue and red channels have low QE, so to avoid these regions we trim our final spectrum to 3300–4900 Å and 5170–8700 Å.

## 3. RESULTS

### 3.1. Properties of GSC 06214-00210 b

#### 3.1.1. Spectral Properties and Classification of GSC 06214-00210 b

Our OSIRIS spectrum of GSC 06214-00210 b is presented in Figure 2. The most striking feature in the data is the strong Pa $\beta$  emission (EW =  $-11.4 \pm 0.3$  Å) at  $1.282 \mu\text{m}$ . The feature



**Figure 2.** Flux-calibrated  $J$ - and  $H$ -band spectra of GSC 06214-00210 b. The strong emission line at  $1.282 \mu\text{m}$  (inset) is Pa $\beta$  (EW =  $-11.4 \pm 0.3$  Å). The spectrum exhibits absorption features typical of late-M/early-L spectral types including FeH, K I, and H $_2$ O. Spectral measurement uncertainties are shown at the bottom in gray.

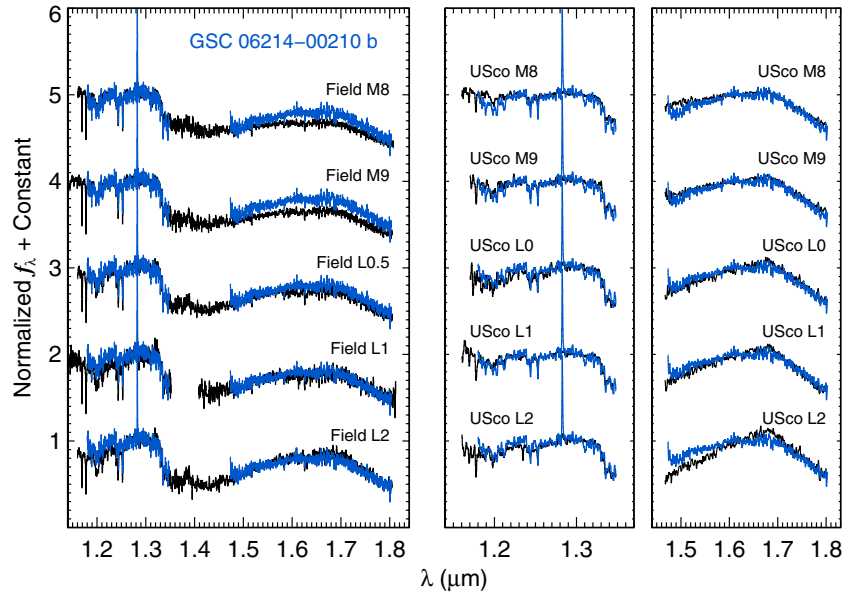
is present in each pair of dithered  $J$ -band observations of GSC 06214-00210 b and spans  $\sim 9$  spectral elements (Figure 2, inset), so it is not a result of a cosmic ray or bad pixel. One of our  $J$ -band OSIRIS data sets includes the primary (seen in Figure 1; the rest of data are dithered to avoid the star) and no Pa $\beta$  emission is observed in that spectrum of the star. Pa $\beta$  emission is also absent in our medium-resolution SXD spectrum of the primary obtained  $\sim 8.5$  months later. We therefore rule out the possibility that the emission observed in the companion is a result of contamination from the primary.

Pa $\beta$  emission in young stars can arise from accretion or outflows (Folha & Emerson 2001; Whelan et al. 2004), both of which imply the presence of a circumplanetary disk around GSC 06214-00210 b. The presence of a disk is bolstered by photometry from Ireland et al. (2011), who found a red  $K$ – $L'$  color compared to field M and L dwarfs and suggest that the excess may originate from thermal disk emission. Although other parameters such as metallicity, surface gravity, and dust can also affect the  $K$ – $L'$  color of late-type objects, these are unlikely to be the origin of the red color for GSC 06214-00210 b based on the expected near solar metallicity of USco members, a comparison to colors of field objects and giants (Ireland et al. 2011), and the minor influence of dust on  $K$  –  $L'$  colors at the M/L transition predicted by models (Baraffe et al. 2003; Chabrier et al. 2000). We note that the  $K$ – $L'$  color of 1.2 mag is redder than many other young brown dwarfs with excesses attributed to disks (e.g., Liu et al. 2003; Allers et al. 2009). We describe the inferred accretion rate and luminosity in Section 3.1.4 and we discuss the implications of a disk in the context of the formation of GSC 06214-00210 b in Section 4.1.

Other notable features in our spectrum include absorption at  $\sim 1.20 \mu\text{m}$  from FeH, 1.244 and 1.253  $\mu\text{m}$  K I lines, deep H $_2$ O steam bands at  $\sim 1.4$  and  $\sim 1.8 \mu\text{m}$ , and FeH bandheads in the  $H$  band near  $1.6 \mu\text{m}$  (Figure 2). All of these point to a late-M or early-L spectral type (Cushing et al. 2005).

Further classification of GSC 06214-00210 b warrants some care since there is no universally adopted near-infrared classification scheme for young late-type stars and brown dwarfs. The classification of young brown dwarfs in the literature is inconsistent and often a mix of qualitative (comparative) and quantitative (index-based) systems (e.g., Reid et al. 1995;





**Figure 3.** GSC 06214-00210 b (blue) compared to M8–L2 field objects (left panel) and members of Upper Scorpius (right panels). GSC 06214-00210 b resembles the L0.5 field object, although the depth of the K I lines are noticeably weaker in the younger object. The  $J$  band of GSC 06214-00210 b is well matched to M9–L2 USco objects and the  $H$  band to M9–L1 members; we adopt a spectral type of  $L0 \pm 1$ . Field objects are from the IRTF Spectral Library (Cushing et al. 2005; Rayner et al. 2009). From top to bottom they are Gl 752 B (M8), DENIS-P J1048–3956 (M9), 2MASS J0746+2000AB (L0.5), 2MASS J0208+2542 (L1), and Kelu-1 AB (L2). The USco spectra are from Lodieu et al. (2008). From top to bottom they are USco J155419–213543 (M8), USco J160847–223547 (M9), USco J160737–224247 (L0), USco J160723–221102 (L1), and USco J160603–221930 (L2). In the left panel the spectrum of GSC 06214-00210 b was smoothed to match the resolving power of the field objects ( $R \sim 2000$ ). In the right panels the spectrum of GSC 06214-00210 b and the USco spectra were smoothed to  $R \sim 1000$ . The spectra are normalized to 1.29–1.32  $\mu\text{m}$  in the left and middle panels and to 1.60–1.65  $\mu\text{m}$  in the rightmost panel.

(A color version of this figure is available in the online journal.)

Kirkpatrick et al. 1999; Martín et al. 1999; Reid et al. 2001; Geballe et al. 2002; Riddick et al. 2007); when multiple systems are used the adopted spectral type is usually an (subjectively weighted) average from several schemes.

We classify GSC 06214-00210 b primarily based on comparisons to near-infrared spectra of field objects and late-type free-floating members of Upper Scorpius (USco; Figure 3). The advantage of using field objects is that they are optically typed and the advantage of using USco members is that they have the same age as GSC 06214-00210 b. Spectra of field objects originate from the IRTF Spectral Library (Cushing et al. 2005; Rayner et al. 2009) and those of USco objects from Lodieu et al. (2008). Many of the USco spectra have modest S/N so we first Gaussian smoothed them from their native resolution of  $R \sim 1700$  to  $R \sim 1000$  and cleaned them for strong single pixel outliers probably originating from imperfect bad pixel or cosmic ray removal in the original reduction. For the comparative analysis in Figure 3, the OSIRIS spectrum of GSC 06214-00210 b was smoothed to the appropriate resolving power for each sample.

The K I lines in GSC 06214-00210 b are weaker than those in M8–L2 field objects, which is a common signature of youth (Gorlova et al. 2003; McGovern et al. 2004; Kirkpatrick et al. 2006). When normalized to 1.29–1.32  $\mu\text{m}$ , as in Figure 3, the best matches to the  $J$  band are M8–L0.5 dwarfs. The  $J$  band in GSC 06214-00210 b is significantly bluer compared to the field L1 and L2 dwarfs. Together the joint  $J+H$  spectra appear to best fit the L0.5 dwarf, although the 1.6–1.65  $\mu\text{m}$  FeH features are somewhat stronger in the field object.

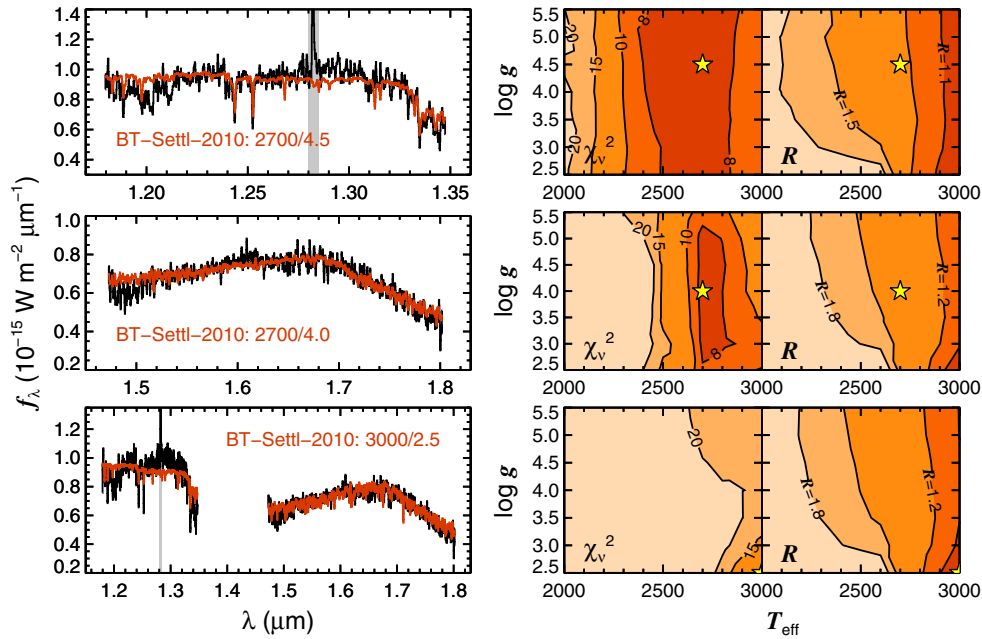
The best USco matches are M9–L2 objects in the  $J$  band and M9–L1 objects in the  $H$  band. Interestingly, the 1.6  $\mu\text{m}$  FeH features appear to be slightly stronger and the overall  $H$  band less triangular in GSC 06214-00210 b compared to

the USco members. The depth of the K I lines are similar for GSC 06214-00210 b and the M8–L2 sequence. Note that the spectral types of some objects from Lodieu et al. (2008) appear to be 1–3 subtypes later than their optical classifications (Herczeg et al. 2009; Biller et al. 2011).

Allers et al. (2007) define a spectral index from 1.50 to 1.57  $\mu\text{m}$  based on the depth of the  $\text{H}_2\text{O}$  absorption that correlates well with optical spectral types from M5 to L0 and is independent of gravity. This index yields a spectral type of  $M9.5_{-1.1}^{+1.2}$  for GSC 06214-00210 b, which is consistent with the comparison to field objects and USco members. The errors incorporate spectral measurement uncertainties and intrinsic scatter in the relation. Altogether we assign GSC 06214-00210 b a spectral type of  $L0 \pm 1$ . Note that this agrees well with the estimate of M8–L4 by Ireland et al. (2011) based on colors.

### 3.1.2. Effective Temperature and Bolometric Luminosity

We fit the solar metallicity BT-Settl grid of model atmospheres (2010 version; Allard et al. 2010) to our spectrum of GSC 06214-00210 b. The BT-Settl models incorporate updated water opacity sources, revised solar abundances, and a new advanced treatment of dust formation using radiation hydrodynamic simulations. This new grid reproduces the observed colors and spectral energy distributions (SEDs) of late-M and L dwarfs better than the Ames-Cond and Ames-Dusty models of Allard et al. (2001), which examined the limiting cases of atmospheric dust formation. The grid spans effective temperatures between 2000 and 3000 K ( $\Delta T_{\text{eff}} = 100$  K) and gravities between 2.5 and 5.5 dex (cgs;  $\Delta \log g = 0.5$ ). The fitting procedure relies on  $\chi^2$  minimization as detailed in Cushing et al. (2008) and Bowler et al. (2009). To improve the S/N of the data, we Gaussian smoothed our OSIRIS spectrum from its



**Figure 4.** BT-Settl-2010 atmospheric model fits (red) to our flux-calibrated OSIRIS spectrum (black).  $J$  (top),  $H$  (middle), and joint  $J+H$  (bottom) segments are fit separately; the best-fitting models are labeled. Contour plots map the average reduced  $\chi^2$  values and inferred radii for each spectral segment based on Monte Carlo simulations of the data, which account for spectral measurement and photometric errors. We exclude the  $\text{Pa}\beta$  emission line in the fits (gray shaded region). Surface gravity is poorly constrained compared to effective temperature. The inferred temperature of 2700–3000 K is significantly higher than evolutionary-model predictions of  $\sim 2200$  K (Figure 5). The reduced  $\chi^2$  contours represent values of 8, 10, 15, and 20, and the radius contours represent values of 1.1, 1.2, 1.5, and 1.8  $R_{\text{Jup}}$ . The best-fit model is plotted as a yellow star. Note that the best-fitting model to the joint  $J+H$  spectrum is at the edge of the grid.

(A color version of this figure is available in the online journal.)

native resolving power of  $R \sim 3800$  to  $R \sim 2000$  and propagated the measurement errors through the convolution. We smoothed the models to  $R \sim 2000$  and fit them to the data in a Monte Carlo fashion by randomly generating synthetic observations based both on the spectral measurement uncertainties and the  $J$ - and  $H$ -band photometric uncertainties (Section 2.1) used for flux calibration. For each trial, we determine the best-fitting spectrum and save the  $\chi^2$  values from fitting every model in the grid. Figure 4 displays  $\chi^2$  contour maps averaged over 100 Monte Carlo trials. Since the spectra are flux calibrated and the distance ( $d$ ) is constrained to  $145 \pm 14$  pc (de Zeeuw et al. 1999; Ireland et al. 2011), the radius ( $R$ ) can be calculated from the multiplicative factor ( $R^2/d^2$ ) used to scale the models to the data (see Bowler et al. 2009). Figure 4 shows the contour plot of the inferred radius for GSC 06214-00210 b, representing averages from the Monte Carlo trials and incorporating the uncertainty in the distance estimate.

The  $J$  (1.17–1.36  $\mu\text{m}$ ),  $H$  (1.45–1.83  $\mu\text{m}$ ), and  $J+H$  (1.17–1.83  $\mu\text{m}$ ) spectral segments were fit separately. To avoid the  $\text{Pa}\beta$  emission line, the 1.280–1.285  $\mu\text{m}$  region was excluded from the fits. The best-fitting model to the  $J$  band is  $T_{\text{eff}} = 2700$  K/ $\log g = 4.5$  with  $R = 1.22 R_{\text{Jup}}$ .<sup>6</sup> The models match the depth of the  $\text{K I}$  lines and 1.35  $\mu\text{m}$   $\text{H}_2\text{O}$  depth reasonably well, but they fail to reproduce the 1.2  $\mu\text{m}$   $\text{FeH}$  feature and the continuum level from 1.27 to 1.31  $\mu\text{m}$ . In the  $H$  band the models provide a better match to the data. The best-fit model is  $T_{\text{eff}} = 2700$  K/ $\log g = 4.0$  with  $R = 1.36 R_{\text{Jup}}$ , which is similar to the result from the  $J$  band.

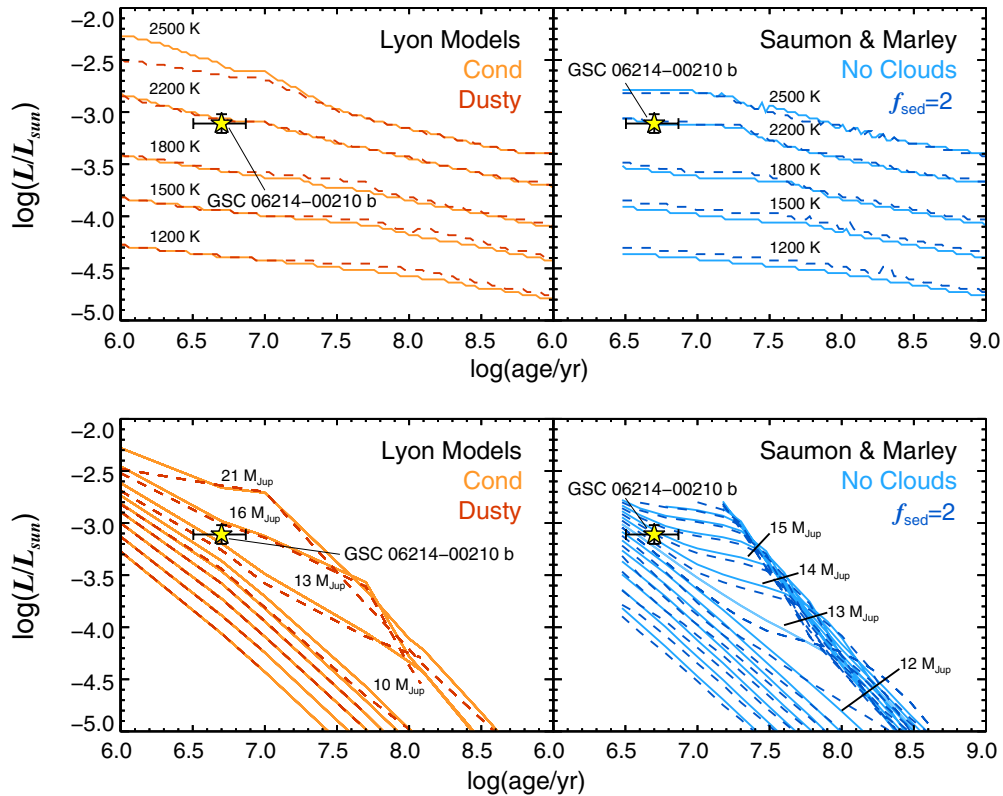
A fit to the combined  $J+H$  region produces a different result:  $T_{\text{eff}} = 3000$  K/ $\log g = 2.5$  and  $R = 1.02 R_{\text{Jup}}$ . The quality of the fit is rather poor as the  $J$ -band continuum

slope deviates dramatically from the data and the depth of the  $\text{K I}$  lines are poorly reproduced. The  $H$  band, however, is accurately reproduced by the model. In this case, the best-fitting model is at the edge of the model grid so it may not represent the global minimum. The 2700 K/4.0 dex model that matches the individual bands overestimates the  $J$ -band flux and underestimates the  $H$ -band flux when fit to the entire spectrum.

Another approach to infer effective temperature is to use predictions from evolutionary models based on an object’s age and bolometric luminosity. The age of the Upper Scorpius association has long been known to be  $\sim 5$  Myr, primarily constrained from fitting isochrones to known members in the  $H$ - $R$  diagram (see Preibisch & Mamajek 2008; Slesnick et al. 2008). Inferring the age of a cluster from the  $H$ - $R$  diagram can be problematic, however, because pre-main-sequence evolutionary models may have significant systematic errors (Hillenbrand & White 2004; Kraus & Hillenbrand 2009) perhaps caused in part by the influence of episodic accretion (Baraffe et al. 2009; Baraffe & Chabrier 2010). With these uncertainties in mind, we adopt an age of  $5 \pm 2$  Myr for GSC 06214-00210 b.

We calculated the bolometric luminosity by integrating an artificial spectrum constructed from the flux-calibrated OSIRIS  $J$ - and  $H$ -band spectral segments combined with a model spectrum for wavelengths shorter than the  $J$ -band segment (0.001–1.15  $\mu\text{m}$ ), between the  $J$  and  $H$  segments (1.36–1.45  $\mu\text{m}$ ), and longer than the  $H$ -band segment (1.83–1000  $\mu\text{m}$ ). At each model-data interface, the model was scaled to the data to create a continuous spectrum. Uncertainties in the spectra and flux calibration were accounted for in a Monte Carlo fashion. To test the sensitivity of the resulting luminosity on the input atmospheric model temperature, we calculated the luminosity using three BT-Settl models with temperatures of 2000 K, 2500 K, and 3000 K, and a gravity of 4.0 dex. The influence on the resulting luminosity was negligible ( $\sim 0.01$  dex).

<sup>6</sup> The standard value for Jupiter’s radius is 71,492 km (Lindal et al. 1981), which is the equatorial radius at 1 bar.



**Figure 5.** Evolutionary model-predicted temperature (top) and mass (bottom) of GSC 06214-00210 b. The left panels show the Lyon Cond and Dusty models of Baraffe et al. (2003) and Chabrier et al. (2000), while the right panels show the Saumon & Marley (2008) clear and cloudy ( $f_{\text{sed}} = 2$ ) variants. In the top panels, the models are interpolated onto a grid of constant temperatures. All models yield an effective temperature of  $\sim 2200 \pm 100$  K for GSC 06214-00210 b with little variation between the cloudy and clear prescriptions or the different models. The Lyon models and the Saumon & Marley models predict masses within  $1 M_{\text{Jup}}$  from each other, with the cloudy versions yielding slightly higher masses than the clear variants. We adopt a mass of  $14 \pm 2 M_{\text{Jup}}$  for GSC 06214-00210 b.

(A color version of this figure is available in the online journal.)

Based on 1000 Monte Carlo trials, we derived a bolometric luminosity of  $-3.1 \pm 0.1$  dex for GSC 06214-00210 b.

To further verify our luminosity calculation we use the  $K$ -band bolometric correction calibrated with field objects from Golimowski et al. (2004). We arrive at a luminosity of  $-3.04 \pm 0.10$  dex, where the uncertainty takes into account errors in the distance, spectral type, photometry, and intrinsic scatter in the relation. In addition, we compute the luminosity using parameters predicted from evolutionary models (see below;  $T_{\text{eff}} = 2200$  K/ $\log g = 4.0$ ). We flux calibrated the BT-Settl, Ames-Dusty, and Ames-Cond (Allard et al. 2001) models to the mean of the  $J$ -,  $H$ -, and  $K$ -band flux calibration scaling factors, accounting for photometric uncertainties in a Monte Carlo fashion. The resulting luminosities are nearly identical at  $-3.11 \pm 0.09$  dex, only differing by  $\sim 0.01$  dex among the models. The radii inferred from the scaling factor and the distance (see above) are also virtually the same at  $1.89 \pm 0.18 R_{\text{Jup}}$ .

Figure 5 (top panels) displays interpolated temperature tracks as a function of luminosity and age for various evolutionary models. The left panel shows the Lyon Cond and Dusty models of Baraffe et al. (2003) and Chabrier et al. (2000), which demonstrate the limiting effects of photospheric dust formation (formation and settling versus complete retention; Allard et al. 2001). The right panel shows the evolutionary models of Saumon & Marley (2008) for photospheres with no clouds (“nc”) and those with significant amounts of dust (“ $f_{\text{sed}} = 2$ ”).

We determined effective temperatures in a Monte Carlo fashion by interpolating the evolutionary models. The Lyon

models begin at 1 Myr and the Saumon & Marley models begin at 3 Myr, so we excluded ages younger than those in the analysis. (The resulting probability density functions (PDFs) for the age are truncated Gaussians in linear space). The Cond and Dusty models yielded nearly identical results of  $2160 \pm 85$  K for  $10^4$  Monte Carlo draws. The Saumon & Marley models were similar, yielding  $2200 \pm 100$  K for the case with no clouds and  $2185 \pm 120$  K for the cloudy version. These values are substantially lower than the those of the best-fitting model atmospheres.

The temperatures inferred from the atmospheric models ( $\sim 2700$ – $3000$  K) are warmer than previous determinations of young M/L transition objects in the literature by  $\sim 300$ – $500$  K (e.g., Béjar et al. 2008; Kuzuhara et al. 2011; Luhman 2004). A similar result was obtained by Dupuy et al. (2010) in their analysis of resolved late-M binaries in the field with dynamical masses. They found that the most widely used atmospheric models systematically yield effective temperatures  $\sim 250$  K higher than the temperatures predicted by evolutionary models, which are thought to be more reliable because they are less sensitive to missing or incomplete opacity sources (Chabrier et al. 2000). Likewise, the radii inferred from the atmospheric model fitting are systematically smaller than the value of  $\sim 1.95 R_{\text{Jup}}$  predicted by evolutionary models (Section 3.1.4), which is probably a result of overestimated effective temperatures in the fits.

Luhman (1999) developed an effective temperature-spectral-type scale for young M dwarfs intermediate between those of dwarfs and giants. The calibration was defined to ensure that components of the quadruple system GG Tau and members of the young cluster IC 348 were aligned on the same isochrone

from the Baraffe et al. (1998) evolutionary models in the H-R diagram. Luhman et al. (2003) revised the scale for M8 and M9 spectral types based on the latest-type members of IC 348 and Taurus. According to Luhman et al., the effective temperature of a young M9 object is  $\sim 2400$  K. To estimate temperatures beyond the Luhman et al. scale, Allers et al. (2007) subtract relative offsets taken from the temperature scale of field objects. This amounts to  $\sim 92 \pm 175$  K for field M9–L0 objects based on the relation of Golimowski et al. (2004) (the uncertainty is from the rms scatter of the  $SpT - T_{\text{eff}}$  relation). Assuming approximate uncertainties of 100 K for the Luhman et al. scale, this yields a temperature of  $\sim 2310 \pm 200$  K, which is consistent with the evolutionary model predictions but disagrees with those from the atmospheric model fitting.

### 3.1.3. Mass

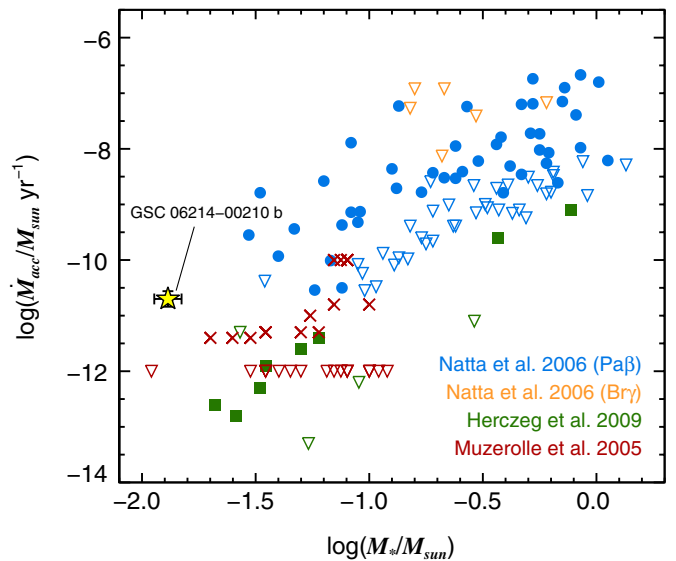
The mass of GSC 06214-00210 b was first estimated to be  $\sim 12 M_{\text{Jup}}$  by Kraus et al. (2008) from  $M_K$  measurements. It was recently updated to  $\sim 12\text{--}15 M_{\text{Jup}}$  by Ireland et al. (2011) based on  $JHK$  colors and evolutionary models. We refine the mass determination using our luminosity measurement and a variety of evolutionary models (Figure 5, bottom panels). We use the same procedure to determine the mass from evolutionary models as we use in Section 3.1.2 to determine temperature. The Cond and Dusty models yield  $13.6 \pm 2.4 M_{\text{Jup}}$  and  $14.2 \pm 2.4 M_{\text{Jup}}$ , and the Saumon & Marley cloudless and cloudy models yield  $14.1 \pm 1.9 M_{\text{Jup}}$  and  $14.4 \pm 1.8 M_{\text{Jup}}$ . These values are in close agreement with previous estimates and hug the border of the brown dwarf/planetary-mass limit as defined by the deuterium-burning limit ( $\sim 13 M_{\text{Jup}}$ ; Spiegel et al. 2011).

### 3.1.4. Accretion

In a study of accretion diagnostics in young brown dwarfs, Natta et al. (2004) found that  $\text{Pa}\beta$  luminosity is well correlated with accretion luminosity at low masses. We use their empirical relation to derive the accretion luminosity and mass accretion rate for GSC 06214-00210 b.

The equivalent width (EW) of the  $\text{Pa}\beta$  emission line is  $-11.4 \pm 0.3 \text{ \AA}$ , and the flux from that line is  $1.12 \pm 0.03 \times 10^{-18} \text{ W m}^{-2}$ . Assuming a distance of  $145 \pm 14 \text{ pc}$ , we find  $\log(L_{\text{Pa}\beta}/L_{\odot}) = -6.14 \pm 0.08$  for the  $\text{Pa}\beta$  line luminosity. Applying the empirical relationship between  $\text{Pa}\beta$  luminosity and accretion luminosity from Natta et al. (2004, Equation 2) yields an accretion luminosity of  $\log(L_{\text{acc}}/L_{\odot}) = -4.4 \pm 1.3$ . The mass accretion rate ( $\dot{M}$ ) and the accretion luminosity are related through  $\dot{M} = L_{\text{acc}}R/GM$ , where  $R$  is object's radius and  $M$  is its mass. We calculate a radius from evolutionary models in the same fashion as in Sections 3.1.2 and 3.1.3 and find  $R = 0.20 \pm 0.01 R_{\odot}$ . This yields a mass accretion rate of  $\log(\dot{M}) = -10.7 \pm 1.3$ , where  $\dot{M}$  is in  $M_{\odot} \text{ yr}^{-1}$ . The uncertainty is dominated by scatter in the fitted relation from Natta et al. and reduces to 0.14 dex when these are ignored.

Mass accretion rates are observed to depend strongly on stellar mass and roughly follow an  $\dot{M} \propto M_*^2$  empirical relationship (e.g., Muzerolle et al. 2005; Natta et al. 2006; although see Clarke & Pringle 2006). This trend spans two orders of magnitude in mass and six orders of magnitude in mass accretion rate, although there are over two orders of magnitude of intrinsic scatter in the relation. Figure 6 shows the position of GSC 06214-00210 b in the  $\log \dot{M} - \log M_*$  diagram relative to members of various star-forming regions. The dependence of accretion rate on mass is clear, and objects with masses  $\lesssim 30 M_{\text{Jup}}$  ( $\log(M_*/M_{\odot}) \lesssim -1.5$ ) appear to have accretion rates



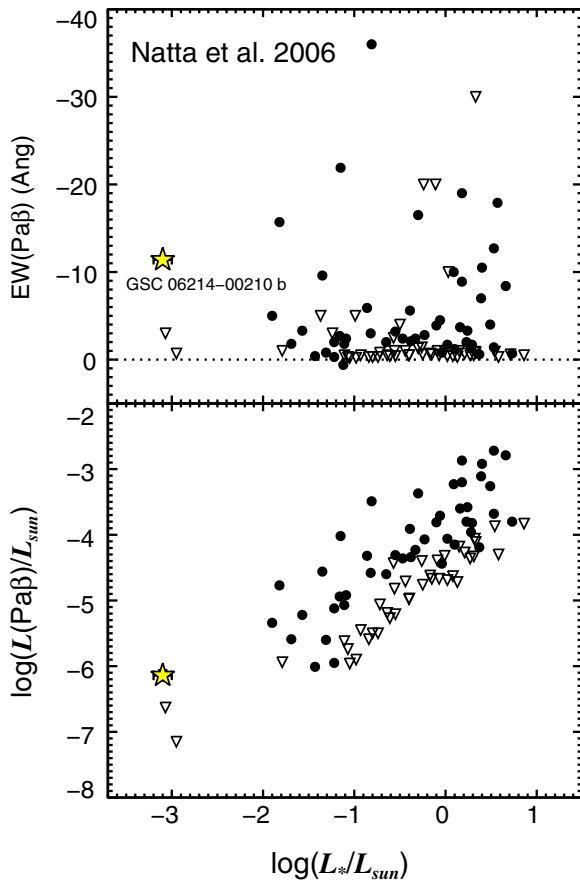
**Figure 6.** Mass accretion rates ( $\dot{M}$ ) vs. stellar mass. The data are from Natta et al. (2006, accretion rates derived using  $\text{Pa}\beta$  lines are shown in blue while those using  $\text{Br}\gamma$  are in orange), Herczeg et al. (2009, green), and Muzerolle et al. (2005, red). Open inverted triangles represent upper limits. Although the various samples represent different ages and methodologies used to derive mass accretion rates, the data clearly show the strong dependency of accretion rate on mass. The accretion rate of GSC 06214-00210 b appears to be somewhat higher than for low-mass brown dwarfs. The uncertainties shown here in the accretion rate for GSC 06214-00210 b ignore errors in the conversion from  $L_{\text{Pa}\beta}$  to  $L_{\text{acc}}$  from Natta et al. (2004) since we are making a direct comparison to their sample. The uncertainty increases to  $\pm 1.3$  dex when the errors are included. (A color version of this figure is available in the online journal.)

below  $\sim 10^{-11} M_{\odot} \text{ yr}^{-1}$ . GSC 06214-00210 b has a somewhat higher accretion rate than the lowest mass brown dwarfs from Muzerolle et al. (2005) and Herczeg et al. (2009), although it is consistent with the scatter seen at higher masses.

While we have assumed that the emission in GSC 06214-00210 b originates from energy released during accretion, we briefly examine whether it could result from chromospheric activity. Short & Doyle (1998) modeled active M dwarf chromospheres and found that weak  $\text{Pa}\beta$  emission is possible at some chromospheric pressures. In Figure 7, we show the relative strength of the  $\text{Pa}\beta$  EW and line luminosity to objects from the Natta et al. (2006) sample of young stars. The  $\text{Pa}\beta$  emission strength for GSC 06214-00210 b is comparable to the strongest accretors from that sample and is larger than the vast majority of comparison stars. We can also examine the correlation between  $\text{Pa}\beta$  emission and the  $\text{H}\alpha$  10% line width, which is a widely adopted accretion indicator. Among the sample of young brown dwarfs from Natta et al. (2004), four out of the five that show evidence of accretion based on their  $\text{H}\alpha$  10% widths also exhibit  $\text{Pa}\beta$  emission. No objects with  $\text{Pa}\beta$  emission were identified as non-accretors, which together with the unusually strong  $\text{Pa}\beta$  EW support the notion that the observed emission in GSC 06214-00210 b is a result of accretion.

We note that GSC 06214-00210 b is also likely to have other emission lines.  $\text{H}\alpha$  is a good candidate since it correlates well with  $\text{Pa}\beta$  emission in brown dwarfs.  $\text{Br}\gamma$  is observed in some, but not all, accreting objects. For example, Natta et al. (2004) found that only two out of eight brown dwarfs with  $\text{Pa}\beta$  emission showed  $\text{Br}\gamma$  emission. However,  $\text{Br}\gamma$  emission does seem to correlate with the strength of the  $\text{Pa}\beta$  line, which increases the likelihood that GSC 06214-00210 b is emitting at that line.





**Figure 7.** Comparison of the Pa $\beta$  EW (top) and line luminosity (bottom) for GSC 06214-00210 b to the Natta et al. (2006) sample. Filled circles represent detections and open inverted triangles represent upper limits. The position of GSC 06214-00210 b is marked with a yellow star.

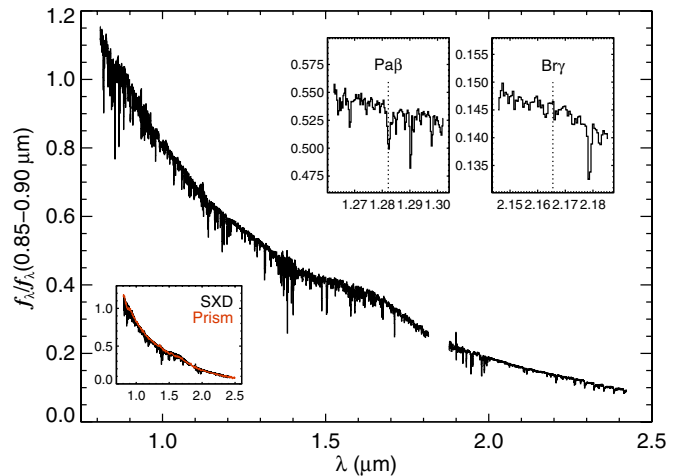
(A color version of this figure is available in the online journal.)

### 3.2. Properties of the Primary GSC 06214-00210

The primary star GSC 06214-00210 is a weak-lined T Tauri member of Upper Sco (see Ireland et al. 2011 for a review of the literature). Medium-resolution optical spectroscopy by Preibisch et al. (1998) revealed weak H $\alpha$  emission ( $EW = -1.51 \text{ \AA}$ ) which can be attributed to chromospheric activity. Our 0.8–2.5  $\mu\text{m}$  SXD spectrum is presented in Figure 8; no emission lines are present. Compared to late-K and early-M dwarfs from the IRTF Spectral Library (Rayner et al. 2009), as shown in Figure 9 (right panel), the near-infrared spectrum resembles late-K objects more closely than early-M objects. We verified that our SXD spectrum was properly reduced by obtaining a low-resolution spectrum with SpeX in prism mode during a night of poor weather conditions. The shapes of the spectra are virtually identical (lower left inset of Figure 8), verifying our SXD spectrum and calling into question the nominal spectral type of M1 originally assigned by Preibisch et al. (1998).

Overall, the optical spectrum is best matched by that of a K7 dwarf from the Pickles (1998) Spectral Library from  $\sim 3300$  to  $8700 \text{ \AA}$  (Figure 9, left panel).<sup>7</sup> The shape of the SED and the depth of the TiO absorption bands differ substantially from those of the M1 spectrum. We also infer a spectral type using the spectral indices defined by Reid et al. (1995), which measure the depth of various molecular absorption bands in the optical.

<sup>7</sup> We use the modification to the MK classification scheme that assigns K7 as an intermediate type between K5 and M0.



**Figure 8.** SXD spectrum of the primary GSC 06214-00210. No Pa $\beta$  or Br $\gamma$  emission lines are evident (upper right insets), which is consistent with mid-infrared photometry showing no indication of a disk. The bottom left inset shows that the SpeX/prism low-resolution spectrum (red) agrees well with the SpeX/SXD moderate-resolution data.

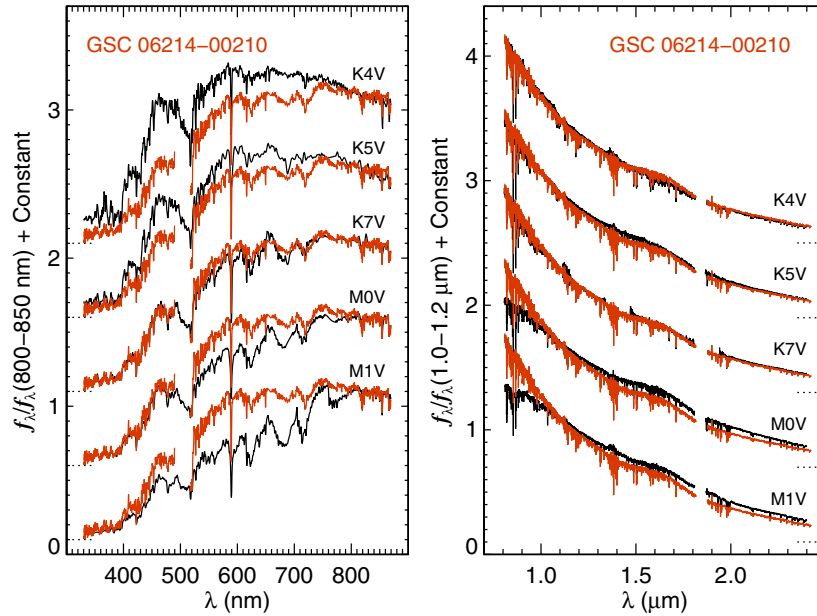
(A color version of this figure is available in the online journal.)

Our value for the TiO5 index (0.916) yields a spectral type of K5.5. Since many of the optical absorption bands are gravity-sensitive, a slightly earlier spectral type is not unexpected using this index-based scheme. Similarly, the CaH2 index (0.908) indicates an effective temperature of  $\sim 4200 \text{ K}$  using the  $SpT-T_{\text{eff}}$  relation from Woolf & Wallerstein (2006). All of these diagnostics suggest a spectral type of late-K rather than early-M, so we revise the spectral type of GSC 06214-00210 from M1 to  $K7 \pm 0.5$ .

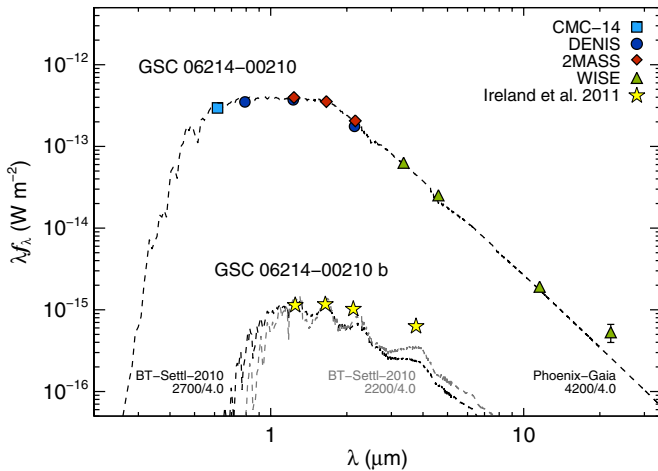
We determine the effective temperature of GSC 06214-00210 by constructing its SED from 0.6 to  $22 \mu\text{m}$  (Figure 10) and comparing it to the grid of solar metallicity Phoenix-Gaia model atmospheres (Brott & Hauschildt 2005). The photometry originate from the Carlsberg Meridian Catalog 14 (CMC14) for the  $r'$  band (Evans et al. 2002); the Deep Near-Infrared Southern Sky Survey (DENIS) for  $i$ ,  $J$ , and  $K_S$  bands (Epchtein et al. 1997); the 2MASS for  $J$ ,  $H$ , and  $K_S$  bands (Skrutskie et al. 2006); and the Wide-Field Infrared Survey Explorer (WISE) for 3.4, 4.6, 12, and  $22 \mu\text{m}$  bands (Wright et al. 2010). Zero-point flux densities are from Stoughton et al. (2002) for CMC14, Fouqué et al. (2000) for DENIS, Rieke et al. (2008) for 2MASS, and Wright et al. (2010) for WISE. The models are flux calibrated using the mean scaling factor from the 2MASS  $J$ ,  $H$ , and  $K_S$  bands. We limit the comparison to models with  $\log g = 4.0$ , which is the approximate surface gravity from evolutionary models for a K7 star at 5 Myr. The  $r'$ ,  $i$ , and  $J$  bands carry the most weight in constraining the effective temperature because the SED turns over to the Rayleigh–Jeans tail at  $\lambda \gtrsim 1.6 \mu\text{m}$  ( $H$  band). The best match is the 4200 K model, although the 4100 K and 4300 K models provide decent fits. Warmer and cooler model temperatures begin to diverge from the photometry, so we assign an uncertainty of 150 K for the temperature.

The WISE  $22 \mu\text{m}$  photometry disagrees with the model in Figure 10, suggesting a slight excess for the primary. In Figure 11, we compare the position of GSC 06214-00210 to field K7 dwarfs and other USco members in the  $W1-W4$  versus  $J-K_S$  diagram. To isolate a sample of field K7 dwarfs we used a compilation of the revised *Hipparcos* catalog (van Leeuwen 2007) generated by E. Mamajek (2011, private communication) with



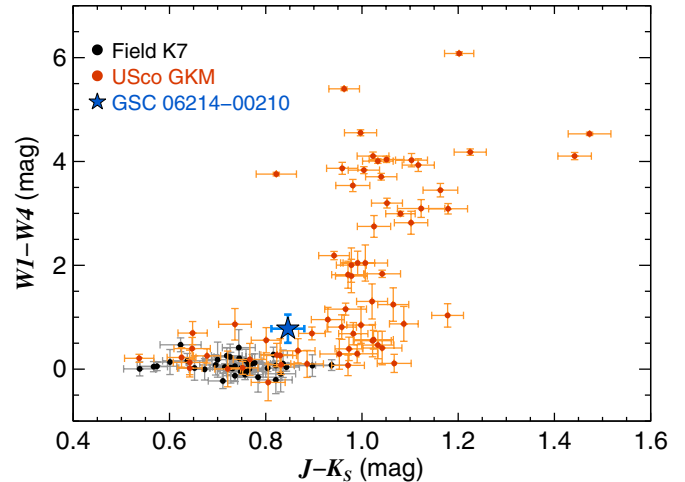


**Figure 9.** Left: UH 2.2 m/SNIFS spectrum of the primary (red) compared to dwarf stars from the Pickles (1998) Spectral Library. The SNIFS spectrum is a composite of blue (3300–4900 Å) and red (5170–8700 Å) channels. GSC 06214-00210 is well matched by the K7 template. Right: SXD spectrum (red) compared to dwarf stars from the IRTF Spectral Library (Rayner et al. 2009). From top to bottom the objects are HD 45977 (K4), HD 36003 (K5), HD 201092 (K7), HD 19305 (M0), and HD 42581 (M1). K4–K7 objects are good matches to our near-infrared spectrum of the primary. We adopt a spectral type of  $K7 \pm 0.5$  for GSC 06214-00210. (A color version of this figure is available in the online journal.)



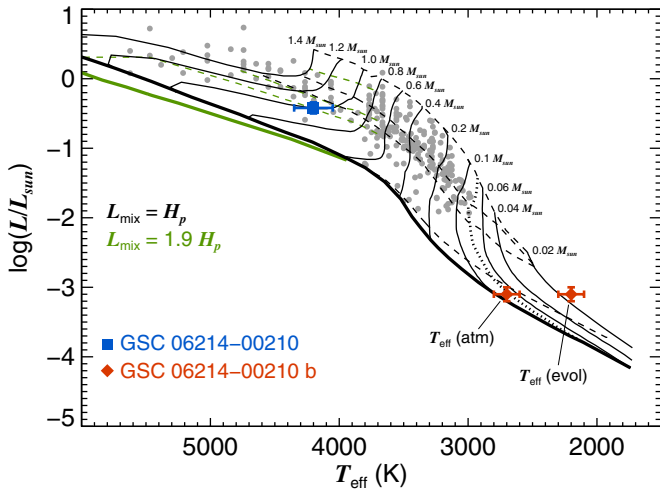
**Figure 10.** Spectral energy distributions of GSC 06214-00210 and its companion. The  $T_{\text{eff}} = 4200 \text{ K}/\log g = 4.0$  Phoenix-Gaia model matches the 0.6–12  $\mu\text{m}$  photometry of the primary. For the companion the  $T_{\text{eff}} = 2700 \text{ K}/\log g = 4.0$  and  $T_{\text{eff}} = 2200 \text{ K}/\log g = 4.0$  BT-Settl-2010 models are plotted. The warmer temperature is from fitting atmospheric models and the cooler temperature is the evolutionary model prediction. Both models are flux calibrated to the  $J$ -band photometry of the companion. The excess flux in  $L'$ , and perhaps also in  $K$  are likely caused by thermal emission from a circumplanetary disk. Uncertainties in the photometry are smaller than the symbol sizes except for the WISE 22  $\mu\text{m}$  point. The slight excess at 22  $\mu\text{m}$  seen in the primary may be caused by a circumstellar disk. (A color version of this figure is available in the online journal.)

spectral types and  $V$ -band magnitudes from the original catalog (Perryman et al. 1997). An absolute magnitude cut of  $M_V > 5$  was imposed to exclude giants. This yielded 272 K7 dwarfs, which we then fed into the WISE Preliminary Release Source Catalog query using a search radius of  $10''$ . (The PSF FWHM for WISE bandpasses range between  $6''$  and  $12''$ .) Among the resulting detections, we kept only those with the best photometric quality flags in all bands ( $ph\_qual = \text{“A”}$  or  $\text{“B”}$ ), the best contamination and confusion flags ( $cc\_flags = \text{“0000”}$ ), ex-



**Figure 11.**  $W1-W4$  vs.  $J-K_S$  diagram for field K7 stars (black filled circles) and Upper Scorpius members with spectral types of G, K, and M (filled red circles). Field stars have  $W1-W4$  colors near 0.0 mag, while many of the redder USco members show an excess at these wavelengths. WISE photometry of GSC 06214-00210 (blue star) suggests an excess at the  $2\sigma$  significance level. (A color version of this figure is available in the online journal.)

tended source flags consistent with a point source ( $ext\_flag = \text{“0”}$ ), and stable variable flags ( $var\_flag < 5$ ). This produced 41 objects, which are plotted in Figure 11. We performed a similar search of the WISE Preliminary Catalog for known USco members from Preibisch & Mamajek (2008). We queried around 251 USco members with GKM spectral types applying the same flags as above; 67 objects yielded reliable WISE detections. Field stars and some USco members have  $W1-W4$  colors of  $\sim 0.0 \pm 0.2$  mag, although most USco objects show moderate to large excess in that color. The  $W1-W4$  color of  $0.78 \pm 0.27$  for GSC 06214-00210 indicates a mild ( $2\sigma$ ) excess compared to field K7 stars.



**Figure 12.** H-R diagram for GSC 06214-00210 and its companion. The evolutionary models of Baraffe et al. (1998) with  $L_{\text{mix}} = H_p$  (black) are overplotted with 1, 5, 10, and 100 Myr isochrones (dashed lines) and iso-mass tracks (solid lines) from  $1.4$  to  $0.02 M_{\odot}$ . The 1 Gyr main-sequence isochrone is shown as a thick line and the hydrogen burning minimum mass (HBMM) of  $\sim 0.072 M_{\odot}$  is shown as a dotted line. The  $L_{\text{mix}} = 1.9 H_p$  isochrones for 1 Myr, 5 Myr, 10 Myr, and 1 Gyr are shown in green. The position of the primary indicates a mass of  $\sim 1 M_{\odot}$ ; our adopted mass of  $0.9 \pm 0.1 M_{\odot}$  is based on an average from several evolutionary models (see the text for details). The position of GSC 06214-00210 b (red) is shown for the warmer atmospheric model-inferred temperature (2700 K) and the cooler evolutionary model-derived temperature (2200 K). The warmer temperature is inconsistent with the young age of the system. Gray circles show members of Upper Scorpius from Preibisch & Mamajek (2008).

(A color version of this figure is available in the online journal.)

We calculate the luminosity of the primary by integrating the flux-calibrated 4200 K/ $\log g = 4.0$  synthetic spectrum, yielding  $L_* = 0.38 \pm 0.07 L_{\odot}$ . The error budget is dominated by the uncertainty in the distance (the uncertainty in effective temperature contributes  $\sim 0.01$  dex). In Figure 12, we show the position of GSC 06214-00210 in the H-R diagram. The pre-main-sequence evolutionary models of Baraffe et al. (1998) for  $L_{\text{mix}} = H_p$  are displayed from  $0.02$  to  $1.4 M_{\odot}$  with isochrones from 1 Myr to 1 Gyr (black). We also include the  $L_{\text{mix}} = 1.9 H_p$  1 Myr, 5 Myr, 10 Myr, and 1 Gyr isochrones for higher masses ( $\geq 0.6 M_{\odot}$ ). Gray circles show the positions of Upper Scorpius low- and intermediate-mass members in Preibisch & Mamajek (2008; Tables 1 and 2). The position of GSC 06214-00210 is consistent with the scatter exhibited by other Upper Scorpius members, although it sits at a somewhat older isochrone than the nominal 5 Myr age of the complex. For the prescription in Figure 12 with  $L_{\text{mix}} = H_p$ , the isochronal age is  $\sim 16$  Myr with a  $1\sigma$  range of 13–25 Myr. For  $L_{\text{mix}} = 1.9 H_p$ , which is required to fit the Sun, the inferred age is  $\sim 10$  Myr with a range of 6–16 Myr. Note that the isochronal age of low-mass USco members ( $\sim 5$  Myr) diverges from the cluster age based on the  $L_{\text{mix}} = H_p$  prescription ( $\sim 10$  Myr) and instead is more consistent with the age inferred from the  $L_{\text{mix}} = 1.9 H_p$  grid.

We estimate the mass of GSC 06214-00210 from its position on the H-R diagram together with predictions from pre-main-sequence evolutionary models. The BCAH98 models imply a mass of  $\sim 1.0 M_{\odot}$  (Figure 12). We also compare it to the evolutionary models of D’Antona & Mazzitelli (1994), which yield a mass of  $\sim 0.8 M_{\odot}$  and Palla & Stahler (1999), which yield  $\sim 0.9 M_{\odot}$ . Given these moderate systematic differences, we adopt a mass of  $0.9 \pm 0.1 M_{\odot}$ . Note that this is significantly

**Table 1**  
Properties of the Primary GSC 06214-00210

| Property                 | Value                     |
|--------------------------|---------------------------|
| Observed                 |                           |
| $SpT$                    | $K7 \pm 0.5$              |
| $L_{\text{Bol}}$         | $0.38 \pm 0.07 L_{\odot}$ |
| $r'$ (CMC14)             | 11.94 mag                 |
| $i$ (DENIS)              | $11.08 \pm 0.03$ mag      |
| $J$ (DENIS)              | $10.05 \pm 0.06$ mag      |
| $K_S$ (DENIS)            | $9.31 \pm 0.06$ mag       |
| $J$ (2MASS)              | $9.998 \pm 0.027$ mag     |
| $H$ (2MASS)              | $9.342 \pm 0.024$ mag     |
| $K_S$ (2MASS)            | $9.152 \pm 0.021$ mag     |
| $3.4 \mu\text{m}$ (WISE) | $9.094 \pm 0.024$ mag     |
| $4.6 \mu\text{m}$ (WISE) | $9.111 \pm 0.021$ mag     |
| $12 \mu\text{m}$ (WISE)  | $8.985 \pm 0.035$ mag     |
| $22 \mu\text{m}$ (WISE)  | $8.315 \pm 0.271$ mag     |
| Estimated                |                           |
| Distance                 | $145 \pm 14$ pc           |
| Age                      | $5 \pm 2$ Myr             |
| Mass                     | $0.9 \pm 0.1 M_{\odot}$   |
| $T_{\text{eff}}$         | $4200 \pm 150$ K          |

**Table 2**  
Properties of the Companion GSC 06214-00210 b

| Property                                  | Value                       |
|---|-----------------------------|
| Observed                                  |                             |
| $SpT$                                     | $L0 \pm 1$                  |
| Projected separation                      | $2''.2$ ( $320 \pm 30$ AU)  |
| EW(Pa $\beta$ )                           | $-11.4 \pm 0.3 \text{ \AA}$ |
| $\log(L_{\text{Bol}}/L_{\odot})$          | $-3.1 \pm 0.1$              |
| $J$ (MKO) <sup>a</sup>                    | $16.25 \pm 0.04$ mag        |
| $H$ (MKO) <sup>a</sup>                    | $15.55 \pm 0.04$ mag        |
| $K$ (MKO) <sup>a,b</sup>                  | $14.94 \pm 0.03$ mag        |
| $L'^a$                                    | $13.75 \pm 0.07$ mag        |
| Estimated                                 |                             |
| Mass (Evol.)                              | $14 \pm 2 M_{\text{Jup}}$   |
| $T_{\text{eff}}$ (Evol.)                  | $2200 \pm 100$ K            |
| $T_{\text{eff}}$ (BT-Settl)               | $2700 \pm 200$ K            |
| $\log(L(\text{Pa}\beta)/L_{\odot})$       | $-6.14 \pm 0.08$            |
| $\log(L_{\text{acc}}/L_{\odot})$          | $-4.4 \pm 1.3$              |
| $\log(\dot{M}/M_{\odot} \text{ yr}^{-1})$ | $-10.7 \pm 1.3$             |

#### Notes.

<sup>a</sup> Photometry from Ireland et al. (2011).

<sup>b</sup> Weighted mean and uncertainty of four measurements from Ireland et al. (2011).

higher than the value of  $0.6 \pm 0.1 M_{\odot}$  that has been cited in previous work using the later M1 spectral type.

## 4. DISCUSSION

### 4.1. Did GSC 06214-00210 b Experience a Scattering Event?

As described in Section 1, several explanations can account for the observed population of planetary-mass companions orbiting stars at several hundred AU. Unfortunately, these models make few unique or testable predictions, and there is considerable debate about formation scenarios in the literature. Here we focus on the viability of one explanation, planet–planet scattering, in the context of our results for GSC 06214-00210 b.

The formation of closely packed planetary systems with two or more giant planets naturally leads to dynamical interactions which can significantly alter the orbits of one or more

components. These close encounters produce a wide range of outcomes including (but not limited to) collisions between planets, accretion onto the star, rearrangement to new stable or quasi-stable orbits, scattering to close separations, scattering to highly eccentric wide orbits, and complete ejection (“ionization”). There is growing observational evidence that scattering is an important phenomenon in extrasolar planetary systems. It has been invoked to explain the population of planets with high eccentricities found in radial velocity surveys (e.g., Rasio & Ford 1996; Ford & Rasio 2008; Jurić & Tremaine 2008), the distribution of Rossiter–McLaughlin spin–orbit measurements (Morton & Johnson 2011), and, more recently, the possible abundance of unbound gas giants inferred from microlensing surveys (Sumi et al. 2011).

We examine a hypothetical scattering event for GSC 06214-00210 b by assuming it was formed much closer than its present location through conventional means (core accretion or disk instability) and was ejected to a large orbit through the gravitational interaction with another massive body. Monte Carlo simulations of scattering events show that, given unequal planet mass ratios, the lower-mass planets show a much stronger preference for outward scattering than the more massive components (Veras & Armitage 2004; Ford & Rasio 2008) with little dependency on which planet initially had the wider orbit. If GSC 06214-00210 b was ejected to its present location as a result of such an event then it is likely that another object at least as massive ( $\sim 14 M_{\text{Jup}}$ ) also formed in that system.

Ejections of planets onto wide orbits are not uncommon outcomes in simulations so the discovery of a planetary-mass object at  $\sim 300$  AU is perhaps not surprising. The presence of a disk around GSC 06214-00210 b, however, implies that if it underwent scattering then its disk was not destroyed during point of closest approach with the scatterer. To our knowledge there are no studies that specifically investigate the survivability of circumplanetary disks during scattering events. Here we qualitatively examine the two relevant length scales—the separation at closest approach during a scattering event and the circumplanetary disk radius—to assess the likelihood of a disk surviving such an encounter.

The criterion for orbital stability between two coplanar planets on circular orbits was found by Gladman (1993) to be

$$\Delta_{\text{cr}} \geq 2\sqrt{3} R_{\text{H,M}}, \quad (1)$$

where  $\Delta = a_2 - a_1$  is the difference between the initial semimajor axes of the planets and  $R_{\text{H,M}}$  is the mutual Hill radius (Marchal & Bozis 1982):

$$R_{\text{H,M}} = \left( \frac{m_1 + m_2}{3M_*} \right)^{1/3} \frac{a_1 + a_2}{2}. \quad (2)$$

This radius defines the region in which the gravitational force between two bodies is larger than the force on them due to the star. The critical range for stability  $\Delta_{\text{cr}}$  can be divided into an outer region encompassing weak interactions, which can result in simple rearrangements of the system architectures with new quasi-stable orbits (Ford et al. 2001; Veras & Armitage 2004), and an inner region where strong interactions occur, which is characterized by chaotic events made up of collisions and ejections. This boundary must be found empirically from Monte Carlo simulations (see Gladman 1993 for the empirical relation for equal-mass planets).

To test the critical range for stability  $\Delta_{\text{cr}}$ , Chambers et al. (1996) ran simulations of two interacting planets with various

separations and confirmed that their orbits were stable for at least  $10^7$  yr when  $\Delta > 2\sqrt{3} R_{\text{H,M}}$ , and when  $\Delta < 2\sqrt{3} R_{\text{H,M}}$  they eventually experienced a close encounter with a distance at closest approach of  $< 1 R_{\text{H,M}}$ . Although the planet masses in that study were smaller than mass scales for a GSC 06214-00210-like system, a similar study for  $\sim$ Jovian mass planets by Marzari (2002) resulted in similar close approach scales of  $< 1 R_{\text{H,M}}$  and a large frequency of ejections. Most scattering studies focus on various outcomes as a function of the initial planet spacings without discussing the distances of closest approach from the simulations, which is the relevant length scale we are interested in here. The exception is for collisions, defined by various authors to be the outcome when  $\Delta$  becomes less than about the sum of the planetary radii. Given the relatively large frequency of collisions in some simulations (e.g., Ford et al. 2001 find that collision rates can reach tens of percent depending on the planet radii and semimajor axes), the distances of closest approach appear to be quite small, which is consistent with the separation of  $< 1 R_{\text{H,M}}$  found by Chambers et al. (1996) and Marzari (2002).

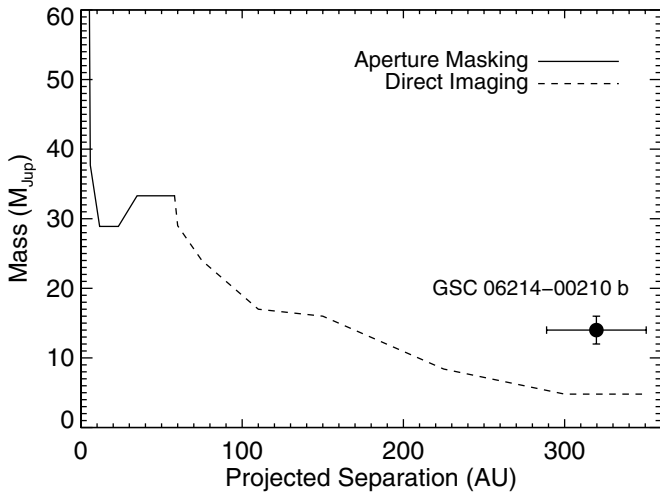
Circumplanetary disks are thought to form around young gas giant planets from accretion of circumstellar disk material once a planet has opened a gap in the protoplanetary disk (e.g., Lubow et al. 1999; Ward & Canup 2010). In our solar system, the regular satellites of the giant planets are fossil records of these structures (Canup & Ward 2002) and the excess optical emission from Fomalhaut b has been attributed to scattering from an enormous disk around that extrasolar planet (Kalas et al. 2008). The outer radii of these disks have been investigated by several authors using analytical arguments and hydrodynamical simulations. Quillen & Trilling (1998), Ayliffe & Bate (2009), and Martin & Lubow (2011) obtain similar results of  $\sim 0.3$ – $0.4 R_{\text{H}}$ , which roughly correspond the centrifugal radius. (Note that the *individual* Hill radius  $R_{\text{H}}$  is the limiting case of the mutual Hill radius  $R_{\text{H,M}}$  when  $m_2$  goes to zero and  $a_1 = a_2$ . If  $m_2 = c m_1$  and  $a_1 \sim a_2$  then  $R_{\text{H,M}}$  and  $R_{\text{H}}$  differ by a factor of  $(1 + c)^{1/3}$ .)

Since Hill radii depend on the planet masses and semimajor axes, we consider the 1:1, 1:2, and 1:5 cases of planet mass ratios to better understand the relative magnitudes of the scales of disk disruption and scattering closest approach. For an equal-mass coplanar scattering event ( $m_2 = m_1$ ), the maximum separation at which the disks will not be disrupted is  $(r_{\text{disk } 1} + r_{\text{disk } 2}) \sim 0.7 R_{\text{H}}$ . Assuming  $a_1 \sim a_2$  during a close encounter, the conversion from mutual Hill radius to the point-particle Hill radius is a factor of 1.26, so the approximate scale of closest approach is  $\lesssim 1.26 R_{\text{H}}$ . For the case where  $m_2 = 2m_1$ , the disk disruption scale is  $\sim 0.8 R_{\text{H}}$  and the distance of closest approach is  $\lesssim 1.4 R_{\text{H}}$ . Likewise, when  $m_2 = 5m_1$ , the disruption scale becomes  $\sim 0.95 R_{\text{H}}$  and the distance of closest approach is  $\lesssim 1.8 R_{\text{H}}$ .

These results suggest that the disruption of circumplanetary disks may be common in scattering events, especially if the distance to closest approach is less than about one-half of a mutual Hill radius. The degree to which a disk is affected by these interactions (i.e., disk truncation versus complete destruction) likely depends on the disk sizes, planet mass ratio, relative disk inclinations, relative planet speeds, and impact parameter. Nevertheless, we interpret the retention of the disk around GSC 06214-00210 b as evidence against a past scattering event, although detailed simulations are needed.

Further insight into the formation of wide, low-mass companions can be gleaned from the architectures of other low-mass ratio systems (see Section 4.2). In a two-planet scattering event





**Figure 13.** Constraints on additional companions around GSC 06214-00210 based on aperture masking results from Kraus et al. (2008) and direct imaging from Ireland et al. (2011). Objects with masses twice that of GSC 06214-00210 b are excluded at projected separations  $\gtrsim 60$  AU. The aperture masking limits exclude brown dwarfs with masses  $\gtrsim 35 M_{\text{Jup}}$  at projected separations  $\gtrsim 5$  AU. The uncertainty in the projected separation of GSC 06214-00210 b (30 AU) is dominated by the distance error.

involving an ejection, energy conservation requires the remaining bound planet to have a final semimajor axis greater than one-half of its initial semimajor axis (e.g., Ford & Rasio 2008). Since none of the wide planetary-mass companions discovered so far have an observed scatterer present in the system, the scattering hypothesis appears unlikely, although the dearth of published detection limits for additional companions in these systems hampers a more quantitative assessment. The notable exception is deep AO imaging of the 1RXS J1609–2105 system by Lafrenière et al. (2010) and somewhat shallower AO imaging of the GSC 06214-00210 system by Ireland et al. (2011).

Finally, we note that if GSC 06214-00210 b was scattered to a large orbit then we can constrain the final location of the hypothetical scatterer using the aperture masking detection limits from Kraus et al. (2008) combined with the direct imaging detection limits from Ireland et al. (2011). As shown in Figure 13, the detection limits exclude objects with the same mass as GSC 06214-00210 b at projected separations  $\gtrsim 170$  AU,  $30 M_{\text{Jup}}$  objects (roughly twice the mass of GSC 06214-00210 b)  $\gtrsim 60$  AU, and  $40 M_{\text{Jup}}$  objects  $\gtrsim 5$  AU.

#### 4.2. Accretion in Bound and Free-floating Planetary-mass Objects

The discovery of strong accretion in GSC 06214-00210 b prompts the broader question of how common this phenomenon is in other objects. Although the census of young planetary-mass companions has been steadily growing over the past several years, the moderate-resolution spectra needed to detect infrared emission lines have only been obtained for a fraction of these objects.

Six companions with masses  $\lesssim 20 M_{\text{Jup}}$  and ages  $\lesssim 10$  Myr are known: SR 12 C (Kuzuhara et al. 2011), CHXR 73 B (Luhman et al. 2006), 1RXS J1609–2105 b (Lafrenière et al. 2008), DH Tau b (Itoh et al. 2005), CT Cha B (Schmidt et al. 2008), and GSC 06214-00210 b (note that the uncertainties in masses and ages can be rather large). We have ignored companions to young brown dwarfs (e.g., 2M1207 Ab) since the formation of those systems was probably different from that of stars and

wide companions. Low-resolution spectra have been published for most of these companions, but moderate-resolution spectra have only been acquired for 1RXS J1609–2105 b (Lafrenière et al. 2008; Lafrenière et al. 2010), CT Cha B (Schmidt et al. 2008), and GSC 06214-00210 b (this work). CT Cha B and GSC 06214-00210 b both show Pa $\beta$  emission, but there is no evidence of accretion or thermal disk emission out to  $4 \mu\text{m}$  for 1RXS J1609–2105 b.

Two out of three very low mass companions therefore show evidence of a disk. The sample size is minute, but this could hint that a large fraction of these objects are accreting. Moderate-resolution near-infrared spectroscopy of the remaining companions would help address this matter. Intriguingly, the low-mass ( $\sim 25 M_{\text{Jup}}$ ) brown dwarf companion GQ Lup B also shows Pa $\beta$  emission (Seifahrt et al. 2007; Schmidt et al. 2008; Lavigne et al. 2009), which may support this notion.

With a large enough sample size, the presence of accretion or thermal disk emission from young planetary-mass companions might be used to learn about their formation mechanisms. If this population formed like free-floating planetary-mass objects then they should both share similar physical properties such as disk frequencies. Such a result would be a sign of a common formation mechanism. In the future, a similar analysis might be applied to extrasolar planets found at close separations (e.g.,  $< 100$  AU) and those at wide separations ( $> 100$  AU) to test formation mechanisms, perhaps in the context of core accretion and disk instability.

## 5. CONCLUSION

Our *J*- and *H*-band spectroscopy of GSC 06214-00210 b reveals it is a late-type object ( $L0 \pm 1$ ) with several signatures of youth, including very strong Pa $\beta$  emission. The discovery of accretion in this object confirms the suggestion by Ireland et al. (2011) based on its *K* – *L'* color that it possesses a circumplanetary disk. The accretion rate of  $10^{-10.7} M_{\odot} \text{ yr}^{-1}$  is higher than other objects with comparable masses but is consistent with the scatter in accretion rates at larger masses. Atmospheric model fits to our spectrum yield relatively warm temperatures (2700–3000 K), which disagree with the cooler predictions from evolutionary models ( $2200 \pm 100$  K). With our new luminosity measurement of  $-3.1 \pm 0.1$  dex, we refine the predicted mass of GSC 06214-00210 b to  $14 \pm 2 M_{\text{Jup}}$ , making it the lowest-mass companion to harbor a disk. In addition, our optical and near-infrared spectroscopy of the primary indicate an earlier spectral type of  $K7 \pm 0.5$  than previously reported. We revise the mass estimate of GSC 06214-00210 to  $0.9 \pm 0.1 M_{\odot}$  based on our updated temperature and luminosity. *WISE* photometry of the primary reveals a marginal ( $2\sigma$ ) excess at  $22 \mu\text{m}$ .

GSC 06214-00210 b is one of only a handful of known companions orbiting stars at several hundred AU with masses straddling the brown dwarf/planetary-mass limit. While we cannot unambiguously distinguish the formation mechanism of GSC 06214-00210 b, we suggest that planet–planet scattering is an unlikely explanation. The small distance at closest approach between giant planets in a scattering event intimates that circumplanetary disks are probably disrupted during such encounters. If this conclusion is bolstered by more detailed dynamical simulations then another explanation—perhaps in situ formation—must be relevant for the population of planetary-mass companions on wide orbits. This also implies that the young free-floating planetary-mass objects with disks are

probably not scattered planets and instead represent the low-mass tail of brown dwarf formation.

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