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1 **Amygdala levels of the GluA1 subunit of glutamate receptors and its phosphorylation**  
2 **state at serine 845 in the anterior hippocampus are biomarkers of ictal fear but not**  
3 **anxiety**  
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30 **Running title:** GluA1 phosphorylation states in the limbic system as biomarker of ictal fear  
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37 **Abstract:** Fear is a conscious state caused by exposure to real or imagined threats that trigger  
38 stress responses that affect the body and brain, particularly limbic structures. A sub-group of  
39 patients with mesial temporal lobe epilepsy related to hippocampus sclerosis (MTLE-HS) have  
40 seizures with fear, which is called ictal fear (IF), due to epileptic activity within the brain  
41 defensive survival circuits structures. Synaptic transmission efficacy can be bi-directionally  
42 modified through potentiation (LTP, long-term potentiation) or depression (LTD, long-term  
43 depression) as well as the phosphorylation state of Ser831 and Ser845 sites at the GluA1  
44 subunit of the glutamate AMPA receptors, which has been characterized as a critical event for  
45 this synaptic plasticity. In this study, GluA1 levels and the phosphorylation at Ser845 and  
46 Ser831 in the amygdala (AMY), anterior hippocampus (aHIP) and middle gyrus of temporal  
47 neocortex (CX) were determined with Western blots and compared between MTLE-HS  
48 patients who were showing (n = 06) or not showing (n = 25) IF. Patients with IF had an 11%  
49 decrease of AMY levels of the GluA1 subunit (p = 0.05) and a 21.5% decrease of aHIP levels  
50 of P-GluA1-Ser845 (p = 0.009) compared to patients not showing IF. The observed  
51 associations were not related to imbalances in the distribution of other concomitant types of  
52 aura, demographic, clinical or neurosurgical variables. The lower levels of P-GluA1-Ser845 in  
53 the aHIP of patients with IF were not related to changes in the levels of the serine/threonine-  
54 protein phosphatase PP1-alpha catalytic subunit or protein kinase A activation. Taken  
55 together, the GluA1 subunit levels in AMY and P-GluA1-Ser845 levels in the aHIP show an  
56 overall accuracy of 89.3% (specificity 95.5% and sensitivity 66.7%) to predict the presence of  
57 IF. AMY levels of the GluA1 subunit and aHIP levels of P-GluA1-Ser845 were not associated  
58 with the psychiatric diagnosis and symptoms of patients. This is the first report to address  
59 neuroplasticity features in human limbic structures connected to the defensive survival circuits,  
60 which has implications for the comprehension of highly prevalent psychiatric disorders and  
61 symptoms.

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74 **1. Introduction**

75 Fear is a distinct and recognized human emotion that is considered to be a conscious  
76 state caused by exposure to real or imagined threats.<sup>1,2</sup> Defensive survival circuits detect and  
77 respond to threats, which initiates stress responses in the brain and body that indirectly  
78 contribute to conscious fear.<sup>2</sup> In predisposed individuals, acute and intense stress has been  
79 associated with post-traumatic stress disorder, and chronic and repetitive stress has been  
80 associated with depression and anxiety disorders.<sup>3</sup>

81 Epilepsies are characterized by recurrent spontaneous hyperexcitable and  
82 hypersynchronous brain activity<sup>4,5</sup> that occurs in approximately 0.5 to 1% of the world population.  
83 Thirty percent of all patients who have drug-resistant epilepsy are candidates for pre-surgical  
84 evaluation.<sup>6</sup> Mesial temporal lobe epilepsy related to hippocampus sclerosis (MTLE-HS) is the  
85 most common type of surgically treatable epilepsy.<sup>7-9</sup> In MTLE-HS, the hippocampus (HIP) is  
86 involved in seizure onset for 48.5% of cases, the amygdala (AMY) is involved in 26.7% of  
87 cases, and synchronous onset in the two structures occurs for the remaining 24.8% of cases.<sup>10</sup>  
88 Before consciousness is impaired, patients can become aware of their seizure symptoms in a  
89 phenomenon called epileptic aura.<sup>11</sup> The typical MTLE-HS aura includes olfactory, abdominal,  
90 autonomic, cephalic or psychic sensations, including déjà-vu, jamais-vu and fear.<sup>14</sup> The aura  
91 of fear, which is also termed ictal fear (IF), is characterized by a sudden, often short, conscious  
92 state of fear that occurs during the seizure and is unrelated to any real or imagined threats,  
93 including the fear of a seizure itself.<sup>13-16</sup> **In MTLE-HS patients evaluated with stereotactic**  
94 **implanted depth electrodes (SEEG), the IF sensation and associated behaviour occurred**  
95 **when epileptic discharges involved or interfered with orbito-prefrontal, anterior cingulate, and**  
96 **temporal limbic cortices but did not occur if only the AMY was activated by the epileptic**  
97 **discharge. Interestingly, sensation of fear without associated behavioural changes can be**  
98 **evoked by electric stimulation of the AMY.**<sup>15</sup>

99 Active synapses are bi-directionally modifiable in brain regions, such as the AMY, HIP  
100 and neocortex.<sup>17,18</sup> A long-lasting increase in synaptic transmission, called long-term  
101 potentiation (LTP), is usually induced by high-frequency neuronal stimulation.<sup>17</sup> Decreases in  
102 synaptic efficacy are caused by long-term depression (LTD) after low-frequency stimulation  
103 (LFS).<sup>17</sup> *In vivo* pharmacological evidence suggests there is an association between LTP and  
104 the fear associative memory task one-trial inhibitory avoidance,<sup>19-22</sup> which is thought to induce  
105 LTP in the HIP.<sup>23</sup> Fear conditioning, which is another fear associative memory task, can be  
106 inactivated by LTD and reactivated by LTP in the AMY, which supports a causal link between  
107 these synaptic processes and fear associative memory.<sup>18</sup> **AMPA ( $\alpha$ -amino-3-hydroxy-5-**  
108 **methyl-4-isoxazolepropionic acid) receptors are heterotetrameric assemblies of GluA1-4**  
109 **subunits, and the phosphorylation states of Ser831 and Ser845 of the GluA1 subunit are**  
110 **involved in LTP and LTD.**<sup>24-29</sup> **LTP induction increases the phosphorylation of both sites.**<sup>28,29</sup>

111 Conversely, in naive synapses, LTD induction dephosphorylates Ser845, whereas in  
112 potentiated synapses, Ser831 is dephosphorylated by LTD induction. The level of GluA1  
113 subunit phosphorylation on the Ser831 and Ser845 sites can be used as biomarkers of  
114 synaptic plasticity changes in human brain samples.<sup>30</sup>

115 Because the AMY is a part of a set of defensive survival circuits and its activation  
116 contributes to feelings of fear<sup>2</sup> and the anterior hippocampus (aHIP) is mostly connected to  
117 the AMY and associated with emotional encoding,<sup>31</sup> we investigated whether the occurrence  
118 of IF was differentially associated with the levels of the GluA1 subunit and its phosphorylation  
119 at the Ser831 and Ser845 sites in the AMY and aHIP of MTLE-HS patients. For comparison,  
120 we analysed samples resected from the middle temporal neocortex (CX). We also investigated  
121 if the IF and the levels of the GluA1 subunit and its phosphorylation in the AMY and aHIP were  
122 independently associated with the psychiatric diagnosis and symptoms found in our patients.

123

## 124 **2. Materials and Methods**

125

### 126 **2.1. Patients**

127 Thirty-one adult patients who were surgically treated between May 2009 and  
128 December 2012 at Centro de Epilepsia de Santa Catarina were prospectively included in this  
129 study, which was approved by the Ethics Committee for Human Research of Universidade  
130 Federal de Santa Catarina (365-FR304969). Written informed consent was obtained from all  
131 participants. They had seizures impairing awareness at least once a month despite adequate  
132 treatment with antiepileptic drugs (AEDs).<sup>32</sup> The anamnesis, neurological examination,  
133 psychiatric and neuropsychological evaluation, surface video-EEG analysis, and magnetic  
134 resonance imaging (MRI, 1.5 Tesla) were consistent with unilateral MTLE-HS.<sup>7-9,33-37</sup> The  
135 analysed variables were gender, race, marital status, current work activity, history of initial  
136 precipitating injury (IPI), laterality of HS, AEDs, psychiatric diagnosis, age, level of education,  
137 disease duration, monthly frequency of seizures, and quality of life. Psychiatric diagnoses  
138 were determined by the Fourth Edition of the Diagnostic and Statistical Manual of Mental  
139 Disorders (DSM-IV)<sup>38</sup> and the identification of psychiatric conditions frequently associated with  
140 epilepsy.<sup>34,39,40</sup> Quality of life was evaluated using the Quality of Life in Epilepsy Inventory-31  
141 (QOLIE-31).<sup>7,8,41</sup> Anxiety and depressive symptoms were assessed by the Hospital Anxiety  
142 and Depression Scale (HADS)<sup>37,42</sup> in the last 26 patients who were included in the study.

143

### 144 **2.2. Characterization of IF and other epileptic auras**

145 Patients were evaluated by a board-certified clinical neurophysiologist with expertise in  
146 epilepsy surgery and who were well familiar with auras, including IF. In all patients, the seizure  
147 semiology was essentially the same for several years. The reported auras included epigastric,

148 cephalic, fear, déjà vu, sternal, jamais-vu, dizziness, autonomic, olfactory, gustatory,  
149 sensations of ascending body chills, or poorly defined symptoms. Patients described only one  
150 type of aura or a sequence of two or three different auras. IF was assessed as previously  
151 described<sup>13</sup> using a standardized interview and confirmed only if (1) it was reported as being  
152 concomitant with an epileptic seizure; (2) it arose spontaneously out of context without any  
153 external or mental motivation; and (3) it could be clearly distinguished from fear of a seizure.  
154 IF perception was described by our using the words “fear”, “fear sensation”, “sensation of  
155 death”, “thoughts of dread”, “impending death” or “bad feeling of fear”. Care was taken to avoid  
156 suggestive questioning. Patients who could not remember any type of aura were classified in  
157 the group without aura (see the supplementary table 1). A careful VEEG analysis showed that  
158 all patients with IF (n=06) showed a horrified, tense or preoccupied facial expression during  
159 the seizure. Two of the twenty-five patients who did not report IF showed facial behaviour that  
160 suggested fear during their seizures. However, because they did not report ictal fear, they  
161 were classified in the group without IF. No patient had hypermotor behaviour that suggested  
162 frontal lobe semiology.

163

### 164 **2.3. Anaesthesia protocol**

165 The anesthetic protocol was the same for all patients,<sup>30</sup> starting between 7:30 to 8:30  
166 a.m. with intravenous (i.v.) bolus of propofol (2 mg/kg), fentanyl (2 µg/kg) and rocuronium (0.9  
167 mg/kg), followed by i.v. remifentanyl infusion (0.1-0.2 µg/kg/min) and isoflurane inhalation  
168 (0.5-0.6 MAC). A dexamethasone bolus (10 mg i.v.) was infused immediately after intubation  
169 as an adjunctive anti-inflammatory in 20 patients. Hydration was done with isotonic saline (1.2  
170 ml/kg/h) plus the half volume of diuresis. Cephalotine (30 mg/kg) was given 30 min before the  
171 anesthesia. Oral AEDs were maintained until the day of surgery (6 a.m.). Patients received 20  
172 mg/kg of phenytoin i.v. 12 hours before the surgery and those under phenytoin at home only  
173 received their oral dose at the day of surgery. All patients received a phenytoin bolus (5 mg/kg  
174 i.v.) after the brain samples were collected.

175

### 176 **2.4. Surgery, intraoperative variables and brain tissue sampling**

177 The analysed samples from brain tissue were removed by a standard anterior and  
178 temporal lobectomy<sup>8,9</sup> without thermo-coagulation following the recommended prospective  
179 collection model<sup>43</sup> as previously described.<sup>30,44</sup> A 1-cm<sup>2</sup> sample of middle temporal cortex (CX)  
180 localized 3 cm posterior to the temporal lobe pole was gently dissected from the white matter.  
181 After assessing the mesial temporal region, two-thirds of the AMY, including its basal and  
182 lateral nucleus, were resected. Finally, the HIP head and body were removed "en bloc", and  
183 the anterior hippocampus (aHIP) was quickly dissected on ice-refrigerated glass. Immediately  
184 after collection, the samples were transferred to an Eppendorf tube, frozen in liquid nitrogen

185 and stored in a -80°C freezer for later analysis. The anaesthesia duration for collecting the  
186 brain samples was controlled. Arterial blood gases, electrolytes, haematocrit/haemoglobin,  
187 pH, mean arterial pressure, heart and respiratory rate during the AMY/aHIP sampling were  
188 controlled. Haemodynamic and respiratory parameters remained stable during all procedures,  
189 and there were no surgical complications.

190

## 191 **2.5. Biochemical analysis**

192 All samples were homogenized by the same researcher on the same day and stored  
193 at -80°C until the analysis. The phosphorylation levels and total amount of target proteins were  
194 determined in a blinded manner for all clinical data by western blot (WB) as previously  
195 described.<sup>30,45–47</sup> Briefly, the brain samples were mechanically homogenized in buffer solution  
196 containing 50 mM Tris, pH 7.0, 1 mM EDTA, 100 mM NaF, 0.1 mM PMSF, 2 mM Na<sub>3</sub>VO<sub>4</sub>,  
197 1% Triton X-100, 10% glycerol, protease inhibitor cocktail and centrifuged 10,000 x g at 4°C  
198 for 10 min. The supernatants were diluted in electrophoresis buffer. The protein content was  
199 estimated by the method described by Peterson (1977).<sup>48</sup> The proteins (60 µg per track) were  
200 electrophoresed in 10% sodium dodecyl sulfate polyacrylamide gel electrophoresis (SDS-  
201 PAGE) and transferred to nitrocellulose membranes. Proteins were detected with specific  
202 antibodies [anti-phospho-GluA1-Ser831 (Sigma-Aldrich, A4352); anti-phospho-GluA1-Ser845  
203 (Sigma-Aldrich, A4477); anti-total-GluA1 (Santa Cruz Biotechnology, sc-13152); anti-PP1  
204 (Santa Cruz Biotechnology, sc-7482); anti-phospho-PKA substrates (Cell Signaling, #9624);  
205 anti-EAAT1 (Cell Signaling, #5684); anti-EAAT2 (Cell Signaling, #3838); anti-GFAP (Cell  
206 Signaling, #3670) in a 1:1000 dilution. The blots were developed by chemiluminescent  
207 reaction. For load control all membranes were incubated with anti-β-actin antibody (Santa  
208 Cruz Biotechnology, sc-47778, 1:2000). The phosphorylation level was determined as a ratio  
209 of the optic density (OD) of the phosphorylated band relative to the OD of the total band. The  
210 protein immunocontent was determined as a ratio of the OD of the protein band to the OD of  
211 the β-actin band.<sup>30</sup> Due to the lack of brain tissue samples from healthy controls, an internal  
212 control (IC) sample was applied as a reference in all electrophoresis. The reference sample  
213 was obtained from 3 pooled HIP prepared as all other samples. The OD ratio  
214 (phosphorylated/total or total/β-actin) for each target protein in the reference sample was  
215 considered 100% and the data were expressed as percentage variation from the reference  
216 sample.<sup>30</sup>

217

## 218 **2.6. Statistical analysis**

219 Continuous variables showed a normal distribution (Kolmogorov–Smirnov, p<0.10)  
220 and differences between patients with and without IF were analysed with the Student's t-test.  
221 Categorical variables were analysed by Fisher's exact test. Pearson's coefficient was used for

222 analysis of correlations. A univariate analysis was done to identify imbalances in the  
223 distribution of demographic, clinical, laboratorial and neurosurgical variables between patients  
224 with and without IF with a  $p < 0.20$ . These variables were included in a multiple binary  
225 regression analysis to determine the independent association between IF and the target  
226 variables. Because we had a predetermined hypothesis and to avoid a type II error, no  
227 corrections for multiple comparisons were applied, and  $p < 0.05$  was considered statistically  
228 significant.

229

### 230 **3. Results**

231 Six patients (19.4%) had no aura, 25 (80.6%) had at least one aura type, and six (19.4  
232 %) had IF alone or in combination with other auras (supplementary table 1).

233 Table 1 shows that patients with IF had lower levels of GluA1 (-11%) in the AMY ( $p =$   
234 0.05) but not in the aHIP ( $p = 0.82$ ) and CX ( $p = 0.38$ ) compared to patients without IF. Patients  
235 reporting IF also had 21.5% lower levels of P-GluA1-Ser845 in the aHIP ( $p = 0.009$ ) but not in  
236 the AMY ( $p = 0.28$ ) and CX ( $p = 0.20$ ). Patients with IF showed a non-significant trend ( $p = 0.14$ )  
237 for lower levels of P-GluA1-Ser831 in the aHIP, but not in the AMY and CX, compared to  
238 patients without IF. **The results remained unchanged when the two patients without IF that**  
239 **exhibited facial expressions of fear were excluded from the analysis ( $p = 0.03$  for the AMY**  
240 **levels of GluA1 subunit and  $p = 0.01$  for the a-HIP levels of P-GluA1-Ser845, data not shown).**

241 **Because IF could be related to imbalances in tissue gliosis, we compared the levels of**  
242 **glial fibrillary acidic protein (GFAP) between patients reporting or not reporting IF (Table 1).**  
243 **There were no differences in the GFAP levels in the AMY ( $p = 0.75$ ), aHIP ( $p = 0.52$ ) and CX**  
244 **( $p = 0.84$ ) between patients with or without IF. There was also no correlation between the GluA1**  
245 **subunit and the GFAP levels in the AMY ( $r = 0.03$ ,  $p = 0.88$ , data not shown).**

246 **As a second marker of gliosis, and because glutamate transmission could be affected**  
247 **by changes in glutamate reuptake by astrocytes, the levels of excitatory amino acid transporter**  
248 **type 1 and 2 (EAAT1 and EAAT2) were determined in the same analysed samples (Table 1).**  
249 **No significant association ( $p \geq 0.64$ ) was observed between the occurrence of IF and the levels**  
250 **of EAAT1 and EAAT2 in all of the analysed structures.**

251 Multiple linear regressions were performed to investigate the independent association  
252 between the levels of the GluA1 subunit in the AMY or P-GluA1-845 in the aHIP and the IF  
253 (see Suppl. Table 2). After controlling for the distribution of other frequent auras, only IF was  
254 independently associated with aHIP levels of P-GluA1-Ser45 (supplementary table 2, final  
255 model 1) or the AMY levels of the GluA1 subunit (supplementary Table 2, final model 2).

256 The demographic and clinical variables are shown in table 2. The patients were mostly  
257 female (58.1%), had a mean age of 36.4 years, 6.6 years of education, 9 seizures impairing  
258 awareness per month and 24 years of disease duration. None of the investigated variables



259 were significantly associated with the occurrence of IF. There was a non-significant trend ( $p =$   
260 0.17) for lower prevalence of IF in patients taking benzodiazepines. Supplementary Table 3  
261 shows that surgical and laboratory variables, e.g., storage time of samples and time since the  
262 last seizure before surgery, were not associated with IF. There was a non-significant trend for  
263 higher levels of arterial  $PO_2$  pressure during surgery ( $p=0.13$ ) and longer storage time ( $p=0.15$ )  
264 for the samples from patients with IF (supplementary Table 3).

265 Table 3 shows that after controlling for imbalances in the distribution of the arterial  
266  $PO_2$ , benzodiazepine use, and time of sample storage with multiple binary regression, the  
267 presence of IF remains independently associated with aHIP levels of P-GluA1-Ser845  
268 (adjusted OR 0.92, CI 95% 0.85-0.99,  $p=0.04$ ) and shows a trend for association with GluA1  
269 subunit levels in the AMY (adjusted OR 0.92, CI 95% 0.84-1.01,  $p=0.09$ ). Considering the  
270 biological plausibility and the small sample size, we believe the observed trend ( $p=0.09$ ) was  
271 a false negative result and both biomarkers were maintained in the final binary regression  
272 model (table 3). The aHIP levels of P-GluA1-Ser845 alone had an overall accuracy of 92.1%  
273 (specificity 95.5% and sensitivity 33.3%) to predict the occurrence of IF. The AMY levels of  
274 the GluA1 subunit alone had an overall accuracy of 87.2% (specificity 100% and sensitivity  
275 33.3%) to predict the occurrence of IF. Together, the AMY levels of GluA1 and the aHIP levels  
276 of P-GluA1-Ser845 showed an overall accuracy of 89.3% (specificity 95.5% and sensitivity  
277 66.7%) to predict the IF occurrence.

278 Because protein kinase A (PKA) phosphorylates and the serine/threonine-protein  
279 phosphatase PP1-alpha catalytic subunit (PP1) dephosphorylates GluA1-Ser845, we  
280 investigated the correlation between the aHIP levels of P-GluA1-Ser845 and PKA activation  
281 or PP1. There was a significant positive correlation between PKA activation and P-GluA1-  
282 Ser845 levels (figure 1A). The PP1 levels were not correlated with P-GluA1-Ser845 levels  
283 (figure 1B). However, the multiple linear regression analysis revealed that only IF, but not the  
284 levels of PKA activation or PP1 levels, were independently associated with the aHIP levels of  
285 P-GluA1-Ser845 (figure 1). The results indicate the association between IF and lower aHIP  
286 levels of P-GluA1-Ser845 was not related to changes in the levels of PKA activation or PP1.

287 Finally, IF was not associated with the psychiatric diagnosis (DSM criteria,  $p=0.78$ ) or  
288 with anxiety ( $p=0.77$ ) or depression ( $p=0.53$ ) symptoms (Table 2). No significant correlations  
289 were observed between the AMY levels of the GluA1 subunit and HADS scores for anxiety  
290 (figure 2A,  $r=0.27$ ,  $p=0.21$ ) or depression (figure 2B,  $r=0.18$ ,  $p=0.41$ ). Finally, the aHIP levels  
291 of P-GluA1Ser-845 were also not associated with HADS scores for anxiety (figure 2C,  $r=0.08$ ,  
292  $p=0.71$ ) or depression (figure 2D,  $r=0.04$ ,  $p=0.85$ ).

293 Representative Western blot results are shown in suppl. figure 1.

294

#### 295 4. Discussion

296 Patients with unilateral drug-resistant MTLE-HS and IF had significantly lower levels  
297 of P-GluA1-Ser845 in the aHIP and GluA1 subunit in the AMY ipsilateral to the HS than  
298 patients without IF. The association between P-GluA1-Ser845 levels and IF was not related  
299 to changes in PKA activation or PP1 levels in the aHIP. The phosphorylation of GluA1-Ser845  
300 also can be modulated by protein phosphatases 2A and 2B<sup>27</sup> as well as by protein kinase G.<sup>49</sup>  
301 Furthermore, GluA1-Ser-845 can also be modified by O-linked N-acetylglucosamine (O-  
302 GlcNAc),<sup>50</sup> a post-translational modification regulated by O-GlcNAc transferase (OGT) and O-  
303 GlcNAcase, which are enzymes that were not analysed in this present study. It should be  
304 noted that this process could impair GluA1-Ser-845 phosphorylation and might be associated  
305 with hippocampal LTD.<sup>50</sup> Therefore, these mechanisms might affect GluA1-Ser845  
306 phosphorylation and deserve further investigation.

307 Using MRI, Cendes et al.<sup>14</sup> showed that MTLE-HS patients reporting IF had a  
308 significant reduction in their AMY volume (16%) compared to patients without IF, and their  
309 post-operative histopathology correlated well with AMY atrophy.<sup>14</sup> We believe the 11%  
310 reduction in the AMY levels of the GluA1 subunit observed in our patients with IF may reflect  
311 the neurochemical aspects of the MRI results reported by Cendes et al. several years ago.<sup>14</sup>

312 In rodents, the HIP encodes contextual aspects of conditioned fear and has major  
313 projections to both the prefrontal cortex and the basolateral AMY.<sup>51</sup> Inhibitory avoidance  
314 learning promotes an increase in P-GluA1-Ser831 but not GluA1-Ser-845 in HIP  
315 synaptoneuroosomes.<sup>23,52</sup> The phosphorylation pattern of these two sites of the GluA1 subunit  
316 in relation to fear memory resembles what occurs in the LTP induced in posterior hippocampal  
317 area CA1 by high-frequency stimulation.<sup>23,53</sup> In addition, phosphorylation of the GluA1 subunit  
318 at Ser845 by PKA has been implicated in the enhancement of AMPAR-mediated currents,<sup>54,55</sup>  
319 insertion of AMPARs into the postsynaptic membrane,<sup>54,56</sup> and LTP induction after prior LTD.<sup>29</sup>  
320 During LTD, the P-GluA1-Ser845 levels may be decreased<sup>56,57</sup> and associated with the  
321 removal of AMPARs from synapses, whereas LTP is associated with the delivery of AMPARs  
322 to synapses.<sup>25,28,29</sup> Our results may indicate an LTD-like neuroplasticity in the aHIP of patients  
323 showing IF compared to patients without IF. Moreover, in contextual fear conditioning, the  
324 increased HIP levels of P-GluA1-Ser831 seem to be specifically associated with learning  
325 rather than a non-specific effect of aversive stimuli (such as a foot shock or novel context  
326 exposure).<sup>23,52,53,58</sup> This outcome could mean that the slight, but not significant, decrease in  
327 Ser831 phosphorylation in the aHIP ( $p = 0.14$ ) observed in this study is an indication that IF is  
328 distinct from fear conditioning.

329 The association between the lower aHIP levels of P-GluA1-Ser-845 and IF may be  
330 related to previous findings collected with magnetic resonance spectroscopy (MRS) that show  
331 a higher degree of neuronal dysfunction in the aHIP of MTLE-HS patients reporting IF.<sup>13</sup> Taken  
332 together, both results agree with the classical view of a functional role for aHIP within fear and

333 anxiety-related behaviours and the endocrine stress response.<sup>31</sup> In physiological conditions,  
334 fear caused by exposure to threats results in stress responses<sup>1,59</sup>. Increased levels of  
335 glucocorticoids released during chronic stress reduces dendritic branching and spine count in  
336 the rat hippocampus<sup>60,61</sup> and has been associated with HIP atrophy<sup>62</sup> and psychiatric illness,  
337 including anxiety and mood disorders.<sup>3</sup> However, we did not find any association between the  
338 psychiatric diagnoses or symptoms of depression or anxiety and the presence of IF as well  
339 the aHIP levels of P-GluA1-Ser-845 and the AMY levels of the GluA1 subunit.

340 The relationship between fear caused by exposure to real or imagined threats and the  
341 unmotivated aura of fear in temporal lobe epilepsy seizures is unknown. The differential  
342 diagnosis between panic attacks and IF can be challenging,<sup>15,63</sup> and several findings suggest  
343 that both disorders can be part of a continuum of abnormal hyperexcitability or involvement of  
344 defensive survival circuits.<sup>63-65</sup> We speculate that our findings in patients with MTLE-HS may  
345 have some implications for the role of neuroplasticity in panic attacks. Testing this idea will  
346 require some ingenuity since the occurrence of spontaneous fear cannot be investigated  
347 under experimental conditions. Temporal lobe epilepsy surgery is the only opportunity to  
348 obtain samples from defensive survival circuit structures under adequate conditions to  
349 investigate biomarkers of synaptic plasticity. However, our study design does not allow us to  
350 make a definitive conclusion as to whether IF is a cause, consequence, or an epiphenomenon  
351 of the lower levels of GluA1 in the AMY and P-GluA1-Ser-845 in the aHIP.

352 Variations in gliosis in aHIP and the AMY of MTLE-HS<sup>66,67</sup> patients could be a  
353 confounding bias in our study. Because histopathological analysis was not feasible in the  
354 samples used for WB analysis, determining the GFAP and astrocytic glutamate transporters  
355 levels were viable alternatives for controlling the gliosis distribution in our samples. The small  
356 sample size is a well-known limitation in WB studies, and false negative results are definitely  
357 possible. However, the significant associations that were found in a small sample strengthens  
358 the credibility of the results.

359 We would like to emphasize the positive aspects of our study: i) the hypothesis was  
360 established prior to the analysis; ii) the prospective study design had a blinded analysis; iii)  
361 use of the HADS questionnaire avoided reliance on identifying aspects of the somatic  
362 symptoms of psychiatric illness; iv) the extensive control applied to clinical variables and  
363 collection of the brain samples; and v) the multivariate analysis approach, which is rarely  
364 applied in studies using Western blot results of protein phosphorylation under clinical  
365 scenarios. Therefore, we do believe that our results provide reliable information concerning  
366 neuroplasticity in fear-related brain structures.

367 In conclusion, recurrent IF is associated with lower levels of P-GluA1-Ser-845 in the  
368 aHIP and the GluA1 subunit in the ipsilateral AMY of patients with unilateral MTLE-HS. This  
369 is the first report to address neuroplasticity features in human limbic structures connected to

370 the defensive circuit, which may have implications for understanding highly prevalent  
371 psychiatric disorders and symptoms.

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382

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384

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563

**Table 1:** Variation in the neurochemical parameters levels are expressed as a percentage of the reference sample in AMY, aHIP and CX according to the presence of IF.

Variables	All cases n = 31 Mean (SE)	No Ictal Fear n = 25 Mean (SE)	Ictal Fear n = 06 Mean (SE)	“p” value
<b>Amygdala</b>				
GluA1 subunit	97.5 (12.5)	99.6 (9.0)	88.6 (20.6)	<b>0.05<sup>a</sup></b>
P-GluA1-Ser845	108.1 (18.3)	109.7 (17.7)	99.8 (21.3)	0.28
P-GluA1-Ser831	109.3 (16.8)	109.8 (15.7)	107.1 (22.6)	0.73
GFAP	108.2 (10.6)	107.9 (11.3)	109.5 (8.3)	0.75
EAAT1	95.5 (23.0)	96.0 (24.3)	93.5 (18.2)	0.82
EAAT2	93.6 (15.2)	94.1 (16.8)	91.1 (15.1)	0.65
<b>Anterior Hippocampus</b>				
GluA1 subunit	96.7 (15.9)	97.5 (15.3)	94.0 (19.7)	0.82
P-GluA1-Ser845	104.2 (19.2)	108.8 (17.0)	87.3 (18.5)	<b>0.01<sup>b</sup></b>
P-GluA1-Ser831	97.0 (19.8)	99.8 (19.7)	88.7 (16.7)	0.14
GFAP	105.0 (7.4)	104.3 (7.8)	107.7 (5.7)	0.52
EAAT1	96.1 (22.6)	95.1 (23.8)	99.5 (19.4)	0.65
EAAT2	90.3 (20.6)	90.7 (19.8)	88.7 (25.4)	0.97
P-PKA substrates	97.5 (25.7)	101.1 (23.6)	83.9 (31.2)	0.15
PP1	95.6 (12.0)	96.6 (13.2)	92.0 (4.1)	0.36
<b>Middle temporal neocortex</b>				
GluA1 subunit	101.5 (8.1)	102.5 (7.0)	97.8 (11.5)	0.38
P-GluA1-Ser845	11.7 (17.2)	114.8 (15.6)	103.1 (16.2)	0.12
P-GluA1-Ser831	118.6 (17.2)	118.3 (17.5)	119.7 (17.9)	0.88
GFAP	112.4 (16.1)	110.3 (7.5)	116.7 (12.5)	0.84
EAAT1	108.6 (14.7)	109.9 (14.2)	104.8 (16.9)	0.64
EAAT2	106.5 (11.2)	106.5 (9.5)	106.2 (17.6)	0.87

Data are expressed as the mean (SD) level of the neurochemical parameter expressed as percentage of the reference sample which was considered 100%;

<sup>a</sup> Significant decrease of 11 % in AMY levels of GluA1 subunit in patients with IF;

<sup>b</sup> Significant decrease of 21.5 % in HIP levels of P-GluA1-Ser845 in patients with IF.

**Table 2:** Clinical, demographic, neuroradiological, neurophysiological, and surgical variables of patients with MTLE-HS according to the presence of IF.

Variables	All cases n = 31	Ictal Fear		“p” value
		No n = 25 (80.6)	Yes n = 06 (19.4)	
<b>Gender</b>				
Female	18 (58.1)	15 (60.0)	03 (50.0)	0.67
Male	13 (41.9)	10 (40.0)	03 (50.0)	
<b>Race</b>				
Caucasian	27 (87.1)	22 (88.0)	05 (83.3)	1.0
Others	04 (12.9)	03 (12.0)	01 (16.7)	
<b>Marital status</b>				
Single	17 (54.8)	14 (56.0)	03 (50.0)	0.22
Married	10 (32.3)	09 (36.0)	01 (16.7)	
Divorced or Widower	04 (12.9)	02 (8.0)	02 (33.3)	
<b>Current work activity</b>				
Working	11 (35.5)	08 (32.0)	03 (50.0)	0.48
House wife	06 (19.4)	04 (16.0)	02 (33.3)	
Health Insurance	04 (12.9)	04 (16.0)	0	
Not working	10 (32.3)	09 (36.0)	01 (16.7)	
<b>History of initial precipitant injury</b>				
No	07 (22.6)	05 (20.0)	02 (33.3)	0.60
Yes	24 (77.4)	20 (80.0)	04 (66.7)	
<b>MRI side of HS</b>				
Right side	16 (51.6)	13 (52.0)	03 (50.0)	1.0
Left side	15 (48.4)	12 (48.0)	03 (50.0)	
<b>Antiepileptic drugs regimen<sup>c</sup></b>				
Monotherapy	09 (29.0)	06 (24.0)	03 (50.0)	0.32
Two or more drugs	22 (71.0)	19 (76.0)	03 (50.0)	
<b>Benzodiazepines</b>				
No	16 (51.6)	11 (44.0)	05 (83.3)	<b>0.17</b>
Yes	15 (48.4)	14 (56.0)	01 (16.7)	
<b>Carbamazepine</b>				
No	06 (19.4)	05 (20.0)	01 (16.7)	1.0
Yes	25 (80.6)	20 (80.0)	05 (83.3)	
<b>Phenobarbital</b>				
No	19 (61.3)	15 (60.0)	04 (67.7)	1.0
Yes	12 (38.7)	10 (40.0)	02 (33.3)	
<b>Diphenilhydantoin</b>				
No	28 (90.3)	22 (88.0)	06 (100.0)	1.0
Yes	03 (9.7)	03 (12.0)	0	

<b>Valproic acid</b>				
No	27 (87.1)	21 (84.0)	06 (100.0)	
Yes	04 (12.9)	04 (16.0)	0	
				0.56
<b>Lamotrigine</b>				
No	27 (87.1)	21 (84.0)	06 (100.0)	
Yes	04 (12.9)	04 (16.0)	0	
				0.56
<b>Topiramate</b>				
No	29 (93.5)	23 (92.0)	06 (100.0)	
Yes	02 (6.5)	02 (8.0)	0	
				1.00
<b>Hand dominance</b>				
Right	27 (87.1)	21 (84.0)	06 (100.0)	
Non-right	04 (12.9)	04 (16.0)	0	
				0.56
<b>Psychiatric comorbidities</b>				
No diagnosis	15 (48.4)	13 (52.0)	02 (33.3)	
Depressive disorder	08 (25.8)	06 (24.0)	02 (33.3)	
Anxiety disorder <sup>a</sup>	03 (9.7)	02 (8.0)	01 (16.7)	
Interictal dysphoric disorder	03 (9.7)	02 (8.0)	01 (16.7)	
Ictal psychosis	02 (6.4)	02 (8.0)	0	
				0.78
<b>HADS anxiety scores <sup>b</sup></b>	8.3 (3.4)	8.5 (3.2)	8.0 (4.3)	0.77
<b>HADS depression scores <sup>b</sup></b>	7.0 (3.9)	7.3 (4.0)	6.0 (3.9)	0.53
<b>Age (years)</b>	36.4 (12.1)	36.7 (12.1)	34.8 (13.1)	0.75
<b>Education (years)</b>	6.6 (3.0)	6.8 (2.9)	5.7 (3.5)	0.41
<b>Disease duration (years)</b>	24.3 (11.7)	24.6 (11.1)	23.0 (11.9)	0.77
<b>Monthly seizures frequency <sup>c</sup></b>	<b>7.5 (4.9)</b>	<b>7.1 (4.68)</b>	<b>9.6 (6.5)</b>	<b>0.32</b>
<b>QOLIE-31 overall score <sup>d</sup></b>	35.2 (15.3)	34.6 (14.6)	37.7 (19.6)	0.66

<sup>a</sup> Anxiety disorders: generalized anxiety disorder (two patients in the group without fear), social phobia (one patient in IF group);

<sup>b</sup> HADS anxiety and depression were applied only in 26 patients (5 had IF);

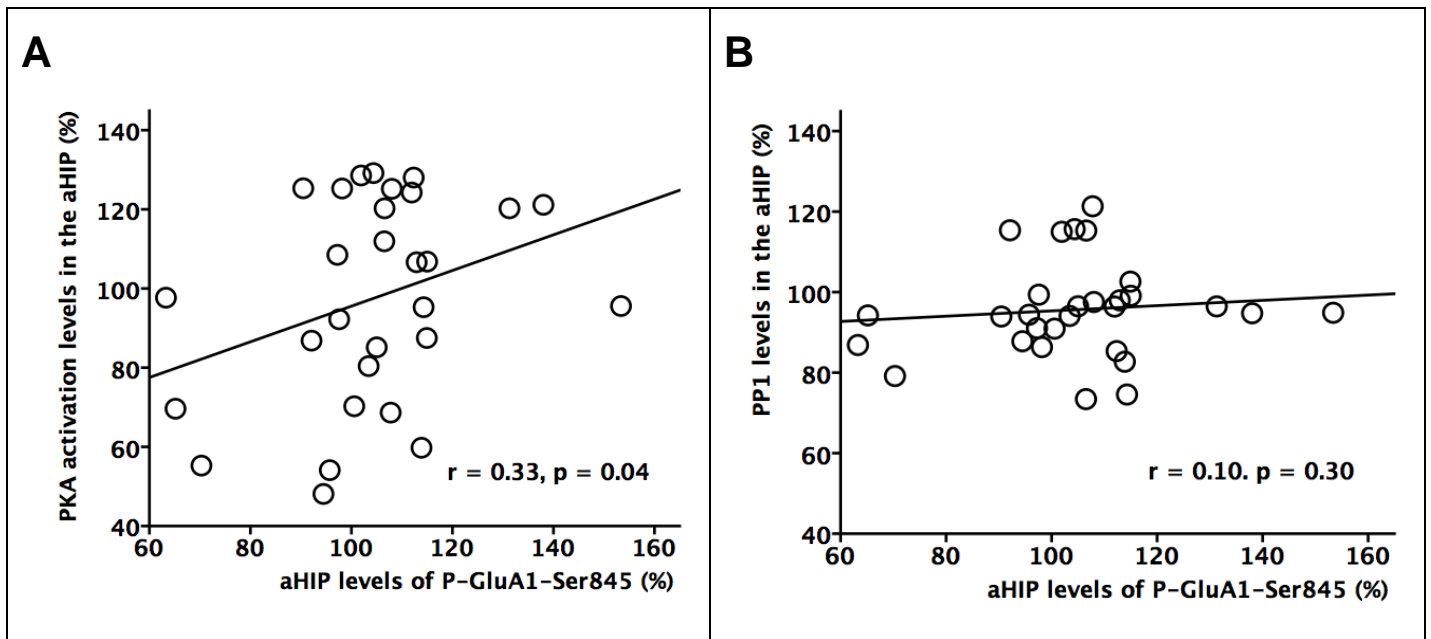
<sup>c</sup> Seizures impairing awareness;

<sup>d</sup> QOLIE-31 = Quality of Life in Epilepsy Inventory-31 overall score.

**Table 3:** Independent association between IF and aHIP levels of P-GluA1-Ser-845 and AMY levels of GluA1 after controlling for imbalances in the distribution of potential confounding variables.

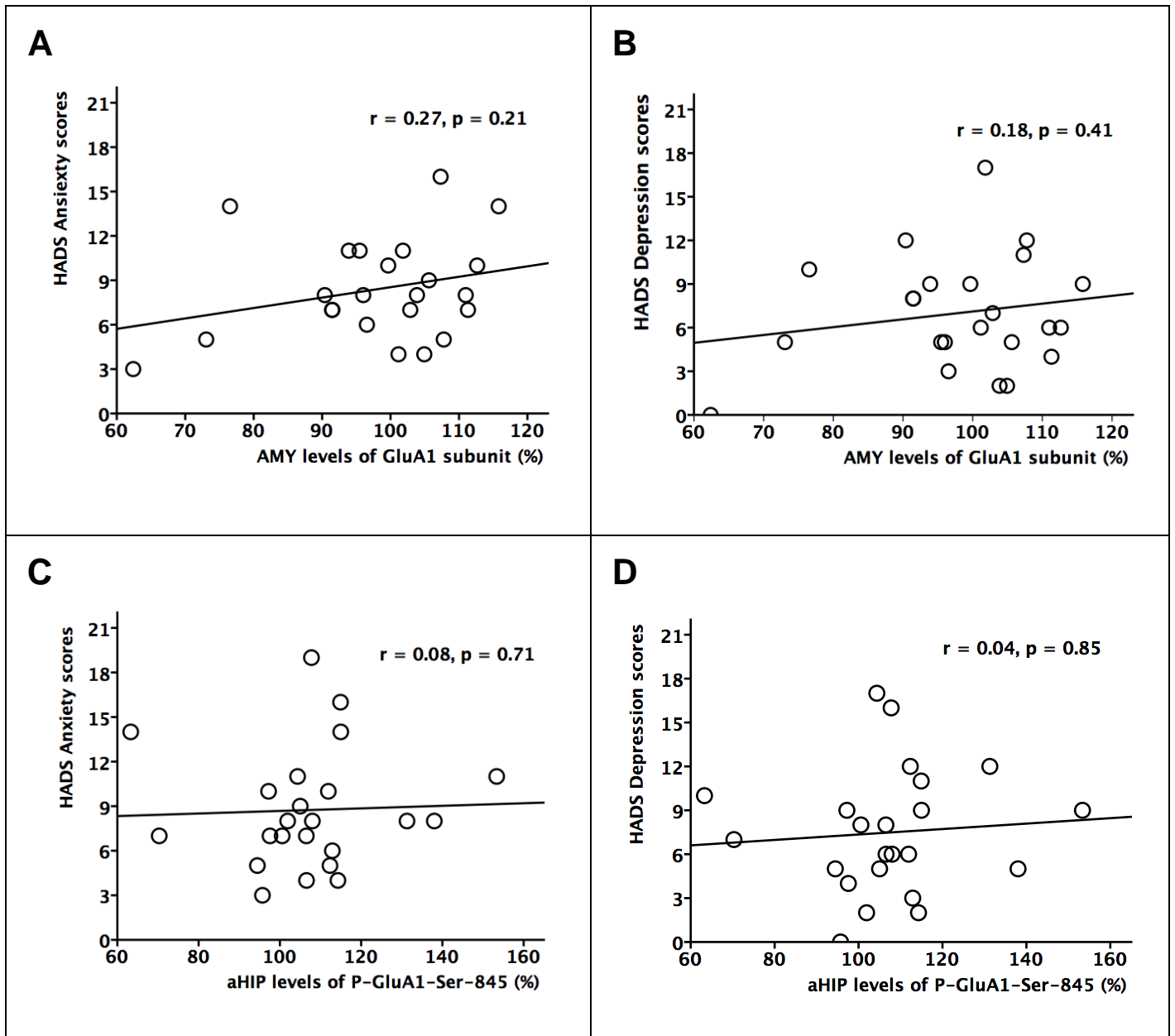
Predictive variables	Crude OR (CI 95%)	“p” level	Adjusted OR (CI 95%)	“p” levels
<b>Initial model</b>				
HIP levels of P-GluA1-Ser845	0.92 (0.86 to 0.99)	0.03	0.88 (0.74 to 1.05)	0.17
AMY levels of GluA1 subunit	0.93 (0.97 to 1.00)	0.07	0.91 (0.80 to 1.04)	0.16
PO <sub>2</sub> pressure during surgery (mmHg)	0.99 (0.98 to 1.00)	0.20	0.98 (0.95 to 1.00)	0.16
Storage time of samples (months)	1.08 (0.97 to 1.20)	0.15	0.96 (0.73 to 1.26)	0.76
Benzodiazepines use	0.16 (0.02 to 1.55)	0.11	6.6 (0.18 to 238.7)	0.30
<b>Final model <sup>a</sup></b>				
aHIP levels of P-GluA1-Ser845	0.92 (0.86 to 0.99)	0.03	0.92 (0.85 to 0.99)	0.04
AMY levels of GluA1 subunit	0.93 (0.97 to 1.00)	0.07	0.92 (0.84 to 1.01)	0.09

<sup>a</sup> Overall accuracy 89.3% (specificity 95.5% and sensitivity 66.7%) to predict the occurrence of IF (Nagelkerke R<sup>2</sup> = 0.50); HIP levels of P-GluA1Ser845 alone has an overall accuracy of 92.1% (specificity 95.5% and sensitivity 33.3%) to predict the occurrence of IF (Nagelkerke R<sup>2</sup> = 0.34); AMY levels of GluA1 subunit alone has an overall accuracy of 87.2%% (specificity 100% and sensitivity 33.3%) to predict the occurrence of IF (Nagelkerke R<sup>2</sup> = 0.17).



Predictive variables	aHIP levels of P-GluA1-Ser845			“p” value
	Multiple linear regression coefficients			
	r	r <sup>2</sup>	B (CI 95%)	
<b>Initial model</b>	0.51	0.26		0.05
Constant			92.8 (65.2 to 120.3)	< 0.0001
Ictal fear			- 18.8 (- 35.7 to - 1.9)	0.03
PKA activation in the HIP			0.16 (- 0.11 to 0.43)	0.24
PP1 levels in the HIP			- 0.004 (- 0.57 to - 0.56)	0.98

**Figure 1:** Correlations between the variation in the level of PKA activation (A) and PP1 (B) in aHIP and the variation in the P-GluA1-Ser845 levels in the aHIP. Data are expressed as the level of the neurochemical parameter determined as percentage of the reference sample which was considered 100%. PKA activation was determined using an antibody against phospho-PKA substrates (indirect measure of PKA activation) which detects peptides and proteins containing a phospho-serine/threonine residue with arginine at the -3 and -2 positions, which is a consensus sequence that undergoes PKA-dependent phosphorylation. There was a significant positive correlation between the levels PKA activation and the P-GluA1-Ser845 ( $r = 0.33$ ,  $p = 0.04$ ). No association was observed between the P-GluA1-Ser845 and the PP1 levels ( $r = 0.10$ ,  $p = 0.30$ ). Statistical analysis done by Pearson correlation (1-tailed). After the multiple linear regression analysis (bottom of Figure 1) only the presence of the IF, but not the levels of PKA activation and PP1, remain independently and negatively associated with the P-GluA1Ser845 variation in the aHIP. The validity of the model was confirmed by many aspects: there were no outliers, the data points were independent, the distribution of residuals satisfied the normality assumptions, the variance was constant and there was no multicollinearity.



**Figure 2:** Pearson's correlation between the neurochemical changes in AMY and aHIP and the psychiatric symptoms of MTLE-HS patients (n = 26). A) AMY levels of GluA1 subunit and anxiety symptoms (HADS Anxiety); B) AMY levels of GluA1 subunit and depression symptoms (HADS Depression); C) aHIP levels of P-GluA1-Ser845 and anxiety symptoms (HADS Anxiety); D) aHIP levels of P-GluA1-Ser845 and depressive symptoms (HADS Depression).

**SI Table 1:** Frequency of different of auras reported by MTLE-HS patients.

<b>Epileptic auras, n (%)</b>	<b>All Cases n = 31 (%)</b>
<b>None</b>	06 (19.4)
<b>Any type of aura <sup>a</sup></b>	25 (80.6)
Fear	06 (19.4)
Abdominal sensation	06 (19.4)
Chest sensation	05 (16.1)
Poorly defined symptoms	04 (12.9)
Cephalic sensation	03 (9.7)
Déjà-vu	02 (6.5)
Dizziness	02 (6.5)
Jamais-vu	01 (3.2)
Tachycardia	01 (3.2)
Olfactory	01 (3.2)
Body ascending chill	01 (3.2)

<sup>a</sup> Patients described only one type of aura or a sequence of two or three different auras.



**SI Table 2:** Independent association between aura of fear and aHIP levels of P-GluA1-Ser45 and AMY levels of GluA1 after controlling for imbalances in the distribution of other types of aura.

Variables and models	Linear regression coefficients			“p” value
HIP levels of P-GluA1-Ser845	r	r <sup>2</sup>	B (CI 95%)	
<b>Initial Model 1</b>	0.51	0.26		0.18
Constant			109.5 (98.4 to 120.6)	< 0.0001
Ictal fear (n = 06)			-21.1 (-38.0 to -3.6)	0.02
Abdominal sensation (n = 06)			-2.9 (-21.4 to 15.6)	0.75
Chest sensation (n = 05)			-3.6 (-23.5 to 16.2)	0.71
Cephalic sensation (n = 04)			-0.5 (-29.9 to 18.3)	0.62
Poorly defined symptoms (n = 03)			-7.5 (-14.7 to 29.8)	0.49
<b>Final Model 1</b>	0.47	0.22		
Constant			108.8 (101.5 to 116.0)	< 0.0001
Ictal Fear			-21.5 (-37.4 to -5.6)	0.01
AMY levels of GluA1	r	r <sup>2</sup>	B (CI 95%)	
<b>Initial Model 2</b>	0.46	0.21		0.27
Constant			99.7 (92.9 to 106.6)	< 0.0001
Ictal fear (n = 06)			-9.9 (-21.7 to 1.7)	0.09
Abdominal sensation (n = 06)			-1.0 (-13.2 to 11.7)	0.87
Chest sensation (n = 05)			-6.0 (-19.2 to 7.2)	0.36
Cephalic sensation (n = 04)			12.3 (-4.0 to 28.6)	0.13
Poorly defined symptoms (n = 03)			-0.3 (-17.7 to 11.7)	0.68
<b>Final Model 2</b>	0.35	0.12		
Constant			99.6 (94.7 to 104.4)	< 0.0001
Ictal fear			- 11.0 (- 22.0 to 0.06)	0.05

**SI Table 3:** Surgical and laboratorial variables, storage time of samples and time since the last seizure before the epilepsy surgery according to the presence of IF.

Variables	Ictal Fear			“p” value
	All cases n = 31	No n = 25 (80.6)	Yes n = 06 (19.4)	
Mean arterial pressure (mmHg)	67.5 (9.6)	68.2 (10.4)	64.8 (5.3)	0.38
Heart rate (per minute)	73.7 (11.9)	73.9 (11.9)	72.8 (13.4)	0.98
Respiratory rate (per minute)	11.6 (1.7)	11.7 (1.8)	11.2 (1.3)	0.70
Biochemical analysis of blood <sup>a</sup>				
pH	7.41 (0.4)	7.41 (0.04)	7.43 (0.04)	0.52
Arterial PCO <sub>2</sub> pressure (mmHg)	28.6 (4.3)	28.6 (4.7)	29.0 (3.2)	0.94
Arterial PO <sub>2</sub> pressure (mmHg)	229.6 (61.5)	236.6 (53.1)	200.4 (88.7)	<b>0.13</b>
Hematocrit (%)	35.0 (3.8)	34.6 (3.7)	37.0 (3.9)	0.21
Glucose (mg/dL)	116.3 (24.6)	118.7 (25.8)	104 (6.3)	0.28
Sodium (mEq/L)	138.2 (3.5)	138.0 (3.7)	139.0 (2.0)	0.63
Potassium (mEq/L)	4.1 (0.4)	4.1 (0.4)	4.2 (0.1)	0.21
Ionic calcium (mg/dL)	4.2 (0.8)	4.2 (0.9)	4.5 (0.1)	0.51
Lactic acid (mg/dL)	2.1 (1.1)	2.1 (1.1)	2.0 (1.0)	0.88
Storage time of samples (months) <sup>b</sup>	24.0 (8.9)	22.8 (8.7)	28.7 (9.2)	<b>0.15</b>
Time since last seizure (hours) <sup>c</sup>	225 (418)	216 (330)	264 (455)	0.82
Time for CX sampling (min) <sup>d</sup>	188 (39)	192 (40)	173 (34)	0.30
Time for AMY/HIP sampling (min) <sup>e</sup>	260 (54)	262 (57)	246 (43)	0.62
Time of HIP manipulation (min) <sup>f</sup>	11.2 (4.9)	11.6 (4.8)	9.8 (5.7)	0.42
Dexamethasone, n (%) <sup>g</sup>				
No	11 (35.5)	08 (32.0)	03 (50.0)	
Yes	20 (64.5)	17 (68.0)	03 (50.0)	0.64

<sup>a</sup> Biochemical analysis was done in the arterial blood collected during surgery when AMY and HIP were resected;

<sup>b</sup> Time course since brain tissue sampling and storage until the neurochemical analysis;

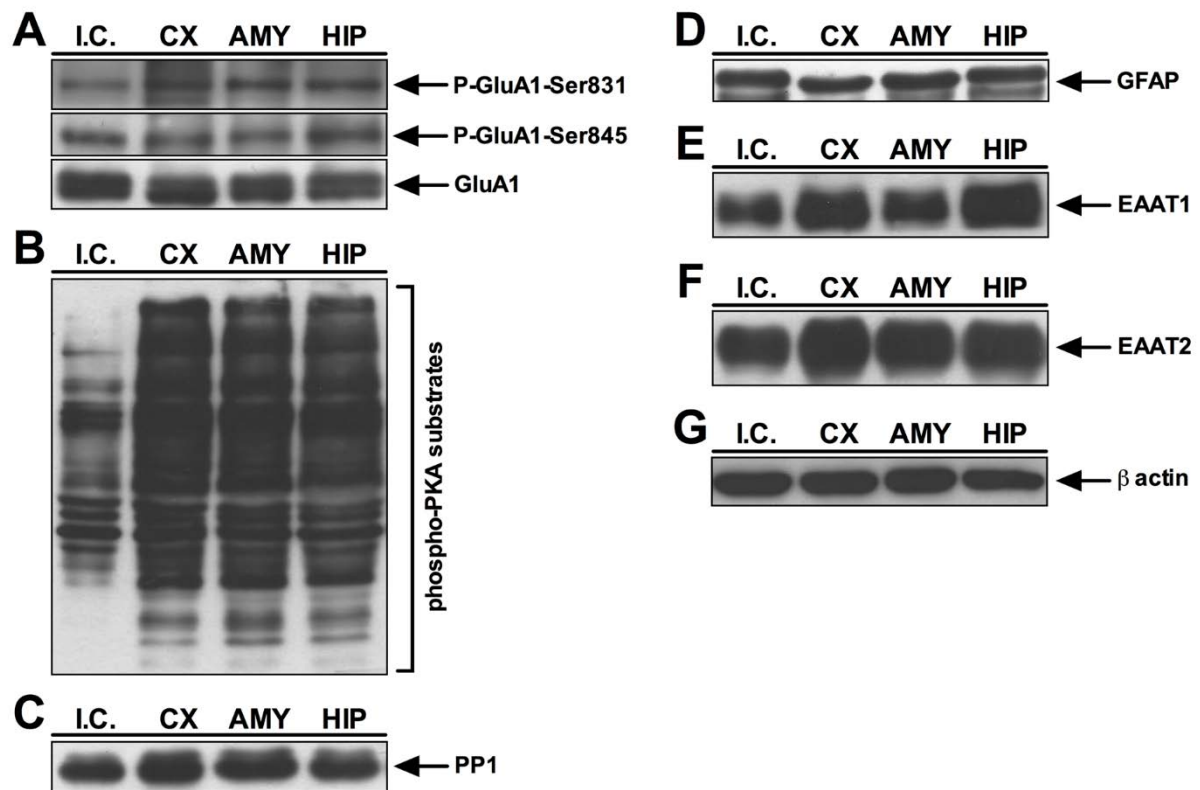
<sup>c</sup> Time course since the last seizure attack occurrence and brain tissue sampling;

<sup>d</sup> Time course since anesthesia induction until CX tissue sampling;

<sup>e</sup> Time course since anesthesia induction until AMY/HIP tissue sampling;

<sup>f</sup> Time course since HIP vessels thermo-coagulation started until the complete resection of the HIP;

<sup>g</sup> Dexamethasone administered during anesthetic induction (single i.v. dose of 10 mg).



**SI Figure 1:** Representative western blots of GluA1 subunit of AMPA receptor (A), PKA (B), PP1 catalytic subunit (C), GFAP (D) EAAT1 (E), EAAT2 (F) and  $\beta$  actin (G) in the middle temporal neocortex (CX), amygdala (AMY) and anterior hippocampus (HIP) of patients and the internal control sample (I.C.). The images are illustrative and represent the pattern detection of targets of interest.