# Association of oily fish intake, sex, age, BMI, and *APOE* genotype with plasma long chain n-3 fatty acid composition <sup>1-3</sup>

Helena L Fisk<sup>\*1</sup>, Michael Irvine<sup>2</sup>, Elizabeth A Miles<sup>1</sup>, John C Mathers<sup>3</sup>, Chris J Packard<sup>4</sup>, Christopher K Armah<sup>5</sup>, Bettina M Kofler<sup>5,\*</sup>, Peter J Curtis<sup>2</sup>, Anne M Minihane<sup>2</sup> and Philip C Calder<sup>1,6</sup>

<sup>1</sup>Faculty of Medicine, University of Southampton, Southampton, United Kingdom.

<sup>2</sup>Norwich Medical School, The Faculty of Medicine and Health Sciences, University of East Anglia, Norwich, United Kingdom.

<sup>3</sup>Human Nutrition Research Centre, Institute of Cellular Medicine, Newcastle University, Newcastle upon Tyne, United Kingdom.

<sup>4</sup> College of Medical, Veterinary and Life Sciences, University of Glasgow, Glasgow, United Kingdom.

<sup>5</sup>The School of Chemistry, Food Biosciences and Pharmacy, University of Reading, Reading, United Kingdom.

<sup>6</sup>The National Institute for Health Research Southampton Biomedical Research Centre, University Hospital Southampton National Health Service Foundation Trust and University of Southampton, Southampton, United Kingdom.

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<sup>3</sup>Reprints not available. Address correspondence to Helena L. Fisk, Human Development and Health Academic Unit, Faculty of Medicine, University of Southampton, IDS Building, MP887 Southampton General Hospital, Tremona Road, Southampton SO16 6YD, United Kingdom

E-mail: <u>h.fisk@soton.ac.uk</u>

## <sup>4</sup>Abbreviations:

LC n-3 PUFA, Long chain omega-3 polyunsaturated fatty acids; EPA, Eicosapentaenoic acid; DPA, Docosapentaenoic acid; DHA, Docosahexaenoic acid; APOE, Apolipoprotein E; BMI, body mass index; PC, Phosphatidylcholine; NEFAs, Non-esterified fatty acids; CEs, Cholesteryl esters; TGs, Triacylglycerols; FFQ, Food frequency questionnaire; FAMEs, Fatty

acid methyl esters; GLM, General linear model; SEM, Standard error mean; LDL, Lowdensity lipoprotein; LDLRs, Low-density lipoprotein receptors; HDLs, High density lipoproteins; LDLC, LDL-cholesterol.

Running title: Determinants of fatty acid status

- 1 Abstract
- 2

3	Omega-3 fatty acids are associated with better cardiovascular and cognitive health. However, the
4	concentration of EPA, DPA and DHA in different plasma lipid pools differs and factors influencing
5	this heterogeneity are poorly understood. Our aim was to evaluate the association of oily fish intake,
6	sex, age, BMI and APOE genotype with concentrations of EPA, DPA and DHA in plasma PC,
7	NEFAs, CEs and TGs. Healthy adults (148 male, 158 female, age 20-71 years) were recruited
8	according to APOE genotype, sex and age. Fatty acid composition was determined by gas
9	chromatography. Oily fish intake was positively associated with EPA in PC, CEs and TGs, DPA in
10	TGs, and DHA in all fractions ( $P \le 0.008$ ). There was a positive association between age and EPA
11	in PC, CEs and TGs, DPA in NEFAs and CEs, and DHA in PC and CEs ( $P \le 0.034$ ). DPA was
12	higher in TGs in males than females ( $P < 0.001$ ). There was a positive association between BMI
13	and DPA and DHA in TGs ( $P < 0.006$ and 0.02, respectively). APOE genotype*sex interactions
14	were observed: the APOE4 allele associated with higher EPA in males ( $P = 0.002$ ), and there was
15	also evidence for higher DPA and DHA ( $P \le 0.032$ ). In conclusion, EPA, DPA and DHA in plasma
16	lipids are associated with oily fish intake, sex, age, BMI, and APOE genotype. Such insights may be
17	used to better understand the link between plasma fatty acid profiles and dietary exposure and may
18	influence intake recommendations across population subgroups.
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23	Keywords: apolipoprotein E (APOE) genotype; oily fish intake; omega 3 status; n-3 long chain
24	polyunsaturated fatty acids; eicosapentaenoic acid (EPA); docosahexaenoic acid (DHA); fatty acid

25 status; blood lipids.

#### 26 Introduction

27 There is convincing evidence that higher intakes of the marine long chain n-3 PUFAs (LC n-3 PUFAs) eicosapentaenoic acid (EPA) and docosahexaenoic acid (DHA), are beneficial to 28 29 cardiovascular and cognitive health, acting through a number of biological mechanisms, and that the concentration of EPA and DHA present in blood and tissue lipids is correlated positively with 30 these effects <sup>1-5</sup>. Oily fish are a good source of EPA and DHA; therefore, national and international 31 authorities recommend regular consumption of oily fish such as salmon, mackerel, kippers, 32 33 sardines, herring, trout and fresh tuna, in order to provide approximately 500 mg EPA+DHA per day <sup>6</sup>, with higher intakes of LC n-3 PUFAs recommended for those with diagnosed cardiovascular 34 disease <sup>7</sup>. However, the associations between intake and blood and tissue status, and therefore 35 physiological benefits, are highly variable<sup>8</sup>, and the factors influencing this heterogeneity are not 36 well understood. A greater knowledge of determinants of LC n-3 PUFA status could lead to the 37 development of more robust, and perhaps subgroup specific, recommendations for EPA and DHA 38 intake. 39

In addition to intake of the specific LC n-3 PUFAs and their precursors, the heterogeneity in 40 habitual EPA, docosapentaenoic acid (DPA) and DHA concentrations may be influenced by 41 differences in fatty acid metabolism between sexes; females are reported to synthesise EPA, DPA 42 and DHA from shorter chain n-3 fatty acids more readily than males <sup>9-13</sup>. Lipid metabolism alters 43 with age and becomes dysregulated in obesity, and EPA and DHA concentrations have been 44 reported to be affected by increasing BMI <sup>12 14</sup> as well as with age <sup>10-12</sup>. Apolipoprotein E (APOE) 45 genotype is associated with altered lipid metabolism and transport, with differential responses in 46 APOE4 carriers relative to non-carrier groups <sup>12 14</sup>. Recent reports highlight the importance of 47 APOE genotype in the response of EPA and DHA to supplementation and have indicated 48 interactions between genotype and BMI<sup>14</sup>. In addition, the concentrations of LC n-3 PUFAs in 49 individual lipid pools within blood (and in other tissues) differs <sup>15</sup>. However, despite these insights 50 from the published literature, the influence of oily fish intake, along with sex, age, BMI and APOE 51 genotype on EPA, DPA and DHA concentrations in different plasma pools has not been examined 52 systematically. Using samples from the FINGEN study<sup>4</sup>, where participants were prospectively 53 recruited based on a number of these variables (sex, age, and APOE genotype), we have conducted 54 such an analysis in a large number of participants to evaluate the independent and interactive impact 55 of a number of potential determinants (oily fish intake, sex, age, BMI and APOE genotype) on EPA, 56 DPA and DHA concentrations in the main plasma lipid fractions. 57

58

## 59 Participants and methods

The FINGEN study was a multi-centre trial conducted at the Universities of Glasgow, 60 61 Newcastle, Reading and Southampton in the United Kingdom. Three hundred and twelve participants were recruited prospectively on the basis of APOE genotype (87 were APOE2 62 63 homozygotes or APOE2/APOE3, 111 were homozygous for APOE3, and 114 were APOE4/APOE3 or APOE4 homozygotes), sex (149 male and 163 female) and age (20 to 71 years, with 64 approximately equal numbers in each of the 5 decades)<sup>4</sup>. Data from 306 participants were included 65 in the current analysis, with the numbers in each subgroup detailed in **Supplemental Table 1** and 66 67 Supplemental Table 2. Exclusion criteria included: diagnosed endocrine dysfunction including diabetes or fasting glucose concentration > 6.5 mmol/L, myocardial infarction in the previous 2 68 years, the use of medication that may interfere with lipid metabolism, fasting total cholesterol of > 69 8.0 mmol/L or TG of > 3.0 mmol/L, a BMI of < 18.5 or > 36.0 kg/m<sup>2</sup>, or currently following a 70 weight loss diet. Individuals taking n-3 fatty acid supplements were also excluded. The study was 71 approved by the research ethics committee at each of the participating centres and written informed 72 consent was obtained from all subjects prior to participation. 73

74

#### 75 Study design

The FINGEN study was a randomised double blind, placebo controlled, crossover study testing two doses of fish oil compared with placebo <sup>4</sup>. Here we evaluate the association of oily fish intake, sex, age, BMI and *APOE* genotype with fasting concentrations of EPA, DPA and DHA in plasma phosphatidylcholine (PC), non-esterified fatty acids (NEFAs), cholesteryl esters (CEs) and triacylglycerols (TGs) at baseline, prior to intervention. Habitual oily fish intake was estimated by food frequency questionnaire (FFQ), using self-reported portions completed at baseline. Oily fish was defined as salmon, herring, mackerel, fresh tuna, sardines, kippers, and trout.

83

## 84 Fatty acid analysis

The fatty acid composition of the plasma fractions was determined by gas chromatography. 85 86 Dipentadecanoyl PC, heneicosanoic acid, cholesteryl heptadecanoate and tripentadecanoin internal 87 standards were added to the plasma. Total plasma lipid was extracted using chloroform: methanol (2:1, v/v) containing butylated hydroxytoluene (50 mg/L) as described by Folch et al <sup>16</sup>, and PC, 88 NEFA, CE and TG fractions were separated and isolated by solid phase extraction on aminopropyl 89 silica cartridges. CEs and TGs were eluted in a combined fraction with the addition of chloroform. 90 PC was then eluted from the cartridge with the addition of chloroform: methanol (60:40 v/v). 91 NEFAs were eluted from the cartridge with the addition of chloroform: methanol: glacial acetic acid 92 (100:2:2 v/v). CEs and TGs were separated on a hexane primed aminopropyl silica cartridge with 93 94 the addition of hexane to elute CEs, and the addition of hexane: methanol: ethyl acetate (100:5:5

v/v/v) to elute TGs. The fatty acids within the resulting lipid fractions were methylated by the 95 96 addition of methanol in 2% (v/v) sulphuric acid at 50°C for 2 hours to produce fatty acid methyl esters (FAMEs)<sup>17</sup>. FAMEs were extracted into hexane and separated in a BPX-70 fused silica 97 capillary column (30 m  $\times$  0.25 mm  $\times$  25  $\mu$ m; SGE Analytical Science, United Kingdom) using an 98 Agilent 6890 series gas chromatograph equipped with flame ionisation detection (Agilent 99 Technologies, California, United States). The FAMEs were identified by comparison with retention 100 times of 37 FAME and menhaden oil standards run alongside the samples, and quantified with the 101 102 use of the internal standards using ChemStation software (Agilent Technologies, California, United States) and Microsoft Excel (Microsoft Corporation, Washington, United States). Fatty acid 103 104 composition data are expressed as absolute concentrations (µg/ml plasma) and as relative concentrations (g/100 g total fatty acid (%)). 105

106

#### 107 Statistics

Here we report baseline data obtained as part of the previous FINGEN trial<sup>4</sup>. Characteristics
 for participants included in the baseline analysis are detailed in **Supplemental Table 1** and

#### 110 Supplemental Table 2.

Results for the relative (%) and absolute concentrations (µg/ml) of fatty acids are reported 111 for 303 to 306 and 292 to 306 participants in the four plasma lipid fractions. Data were checked for 112 normality by plotting distributions of residuals obtained from general linear model (GLM) analysis 113 of the data, and were analysed appropriately with a univariate GLM following log<sub>10</sub> transformation. 114 115 All variables were included in the univariate model with individual associations analysed using 'main effects' and interaction between age and BMI, age and fish intake, and sex and APOE 116 analysed using 'interaction' analysis options within the model. P values were corrected for multiple 117 analyses using Bonferroni post hoc analysis resulting in a significance value of P = 0.006 for whole 118 119 group analysis and P = 0.008 for analyses where males and females were analysed separately. All statistical analyses were conducted using SPSS software (version 21; SPSS Inc, Chicago, IL). 120 Statistical significance was defined as P < 0.05. Results are expressed as mean  $\pm$  SEM or median 121  $(25^{\text{th}}, 75^{\text{th}} \text{ percentiles}).$ 122

123

## 124 **Results**

125 The group (n = 306) mean age and BMI was  $45.1 \pm 0.7$  y and  $25.2 \pm 0.2$  kg/m<sup>2</sup>, respectively.

126

127 Male and female participants were well matched for age, but males had a significantly higher

average BMI (P < 0.001, Supplemental Table 1 and Supplemental Table 2). There were no sex

129	differences in the proportion of total dietary energy consumed from fat, saturated fat (SFA),
130	monounsaturated fat (MUFA) or polyunsaturated fat (PUFA) (data not shown). The average oily
131	fish intake was 1.0 portion per week with no association of sex with oily fish intake.
132	
133	For all three LC n-3 PUFAs, the greatest concentrations were evident in the PC fraction, with
134	median absolute concentrations ( $\mu$ g/ml) of 15.1, 11.9 and 44.1 for EPA, DPA and DHA,
135	respectively. The median values for EPA, DPA and DHA for the whole group and $P$ values for the
136	association of oily fish intake, sex, age, BMI and APOE with the plasma concentrations of these
137	fatty acids in the four lipid fractions are presented in Table 1. The data according to oily fish intake
138	are shown in Supplemental Figures 1-4, while data according to age and BMI are shown in Table
139	2 and Supplemental Tables 3-5, and those according to APOE genotype*sex in Figures 1-3.
140	
141	Plasma EPA, DPA and DHA in the group as a whole
142	
143	EPA: The concentration of EPA in plasma CEs and TGs was positively associated with oily fish
144	intake ( $P \le 0.004$ ), with evidence for positive association in plasma PC also ( $P = 0.018$ ) ( <b>Table 1</b> ).
145	There was evidence for a positive association between EPA and age in plasma PC, CE's and TGs (P
146	= 0.021, 0.019, and 0.034 respectively) and for the concentration of EPA in CEs to differ by sex ( $P$
147	= 0.055), (Table 2). A higher concentration of EPA in CEs was observed in males (Table 2), and
148	the concentration of EPA in TGs was associated with an $APOE^*$ sex interaction ( $P = 0.044$ , data not
149	shown).
150	
151	DPA: The concentration of DPA was positively associated with oily fish intake in plasma TGs ( $P =$
152	0.006), with evidence for positive association in plasma PC also ( $P = 0.022$ ) ( <b>Table 1</b> ). DPA in TGs
153	was positively associated with BMI ( $P = 0.006$ ) ( <b>Table 1</b> ), and there was evidence for the positive
154	association of DPA in NEFAs and CEs with age ( $P = 0.031$ and 0.007 respectively, <b>Table 1</b> ). The
155	concentration of DPA significantly differed by sex with a higher concentration of DPA observed in
156	plasma TGs in males (P <0.001), with a trend in PC also (P 0.031) ( <b>Table 1</b> ). There was also a
157	significant <i>APOE</i> *sex interaction for the concentration of DPA in CEs ( $P \le 0.005$ , data not shown).
158	(Table 1),
159	
160	DHA: The concentration of DHA in all plasma lipid fractions was positively associated with oily

161 fish intake ( $P \le 0.001$ ). There was evidence for a positive association of DHA in TGs with BMI (P

162 = 0.020) (**Table 1**) and with age in PC,-CEs and TGs (P = 0.037, 0.039, and 0.050 respectively,

163 **Table 1**).

1	.6	4

165	Overall in PC, NEFAs, CEs, and TGs, the highest oily fish consumers (2+ portions of oily fish per
166	week) had 55%, 42%, 52% and 119% higher EPA+DHA, respectively, compared with those
167	reporting no oily fish intake (Supplemental Figure 4).
168	
169	Due to the significant evidence of sex and APOE*sex interactions, subgroup analysis was
170	performed in males and females separately.
171	
172	Subgroup analysis of plasma EPA, DPA and DHA according to sex
173	
174	Significance data (P) are reported for EPA, DPA and DHA in <b>Table 2</b> and median data are reported
175	for EPA, DPA and DHA in <b>Supplemental Tables 3, 4, and 5</b> respectively.
176	
177	EPA (Table 2, Supplemental Table 3): The concentration of EPA in plasma TGs was positively
178	associated with oily fish intake in both males and females ( $P \le 0.008$ ), while the concentration of
179	EPA in PC was positively associated with oily fish intake in females only ( $P \le 0.004$ . EPA
180	concentration in TGs was positively associated with age and BMI in females ( $P = 0.006$ ), while
181	EPA in TGs differed by APOE genotype in males ( $P = 0.002$ ), with evidence for this in CEs also ( $P$
182	= 0.019), ( <b>Figure 1</b> ). A greater concentration of EPA in TGs was observed in male <i>APOE</i> 4 carriers
183	(P = 0.002) with evidence for this in PC and CEs also $(P = 0.019  and  0.053  respectively)$ , (Figure
184	1).
185	
186	DPA (Table 2, Supplemental Table 4): The concentration of DPA in plasma TGs was positively
187	associated with oily fish intake in females ( $P = 0.008$ ). There was evidence for DPA concentration
188	in PC to differ with APOE genotype in males ( $P \le 0.053$ , Figure 2) with further analysis revealing
189	evidence for higher concentrations of DPA in PC in APOE4 allele carriers ( $P = 0.032$ , Figure 2).
190	
191	DHA (Table 2, Supplemental Table 5): The concentration of DHA was positively associated with
192	oily fish intake in plasma PC, NEFAs, and TGs in females ( $P \le 0.002$ ) and plasma PC in males ( $P \le 0.002$ )
193	0.003), (Table 2). There was evidence for DHA in plasma NEFAs to be associated with BMI in
194	females ( $P = 0.010$ , <b>Table 2</b> ), and for DHA in CEs to differ by APOE genotype in males. Further
195	analysis revealed evidence for a higher concentration of DHA in CEs in APOE4 carriers ( $P = 0.021$ ,
196	Figure 3).
197	

#### 198 **Discussion**

EPA and DHA have been widely reported for their beneficial effects on cardiovascular and 199 cognitive health <sup>1-4 18</sup> but a high level of variation in associations between intake and blood and 200 tissue status has been observed<sup>8</sup>. The current analysis aimed to identify factors associated with 201 concentrations of EPA, DPA and DHA in major lipid fractions in plasma from individuals 202 203 consuming their usual diet in order to identify sources of variation in these concentrations. Identification of the contribution that oily fish intake, sex, age, BMI and APOE genotype make to 204 EPA, DPA and DHA status is important for two reasons. First it will highlight the sources of the 205 206 heterogeneity in status of these fatty acids, contributing to a better understanding of the use of fatty acid profiles as a measure of dietary intake amongst different population subgroups. Secondly, it 207 may allow the development of sub-group specific recommendations for LC n-3 PUFA intake. 208

The current study reports associations for multiple confounding variables with the relative 209 and absolute concentrations of EPA, DPA and DHA in different plasma lipids. The relative 210 concentration allows investigation of LC n-3 PUFA concentrations in relation to all other fatty acids 211 within the plasma pool (% unit changes), while the absolute concentration allows investigation of 212 213  $\mu$ g/ml unit changes in LC n-3 PUFAs independently of any other fatty acid within the plasma pool. Both ways of expressing the data are useful and informative and both are used in the literature in the 214 215 field. The absolute concentration of a fatty acid within any plasma lipid fraction will be influenced by the total concentration of that fraction. The absolute concentration of a particular fatty acid may 216 differ between individuals or between sub-groups while the relative concentration of that fatty acid 217 may not be different between those individuals or sub-groups. Conversely, the relative 218 219 concentration could be different but the absolute concentration may not be. Plasma lipids are involved in transport of fatty acids between tissues where they have different actions depending 220 upon their structure. Hence, the absolute concentration of a fatty acid in a plasma lipid reflects the 221 exposure of tissues to that fatty acid and hence is likely to be a meaningful way of reporting the 222 fatty acid. Conversely, fatty acids often compete with one another for metabolism or for function 223 and hence the relative concentration of each fatty acid (i.e. %) is also likely to be meaningful. 224

Quantitatively, PC is the main plasma LC n-3 PUFA pool and the current study reports a greater relative concentration of EPA+DHA in plasma PC (**Supplemental Figure 4**) in individuals consuming 2+ portions of oily fish a week compared to those who reported not consuming oily fish, as well as positive associations between EPA, DPA and DHA in other plasma lipid fractions and oily fish intake. Positive associations for oily fish intake and EPA and DHA are reported for plasma phospholipids <sup>19-21</sup> which are confirmed by data from the current analysis which shows 55% higher EPA+DHA in plasma PC in those consuming two portions of oily fish (each 150 g) per week

compared with those reporting no oily fish consumption. Two portions of oily fish supply about 4-5 232 g of EPA+DHA per week, equivalent to 600-700 mg per day<sup>22 23</sup>. Previous studies report 233 comparable increases of 81% in plasma phospholipid EPA+DHA, and 8.8 µg/ml and 8.5 µg/ml in 234 235 total plasma EPA and DHA respectively following 16 week consumption of oily fish providing 485 mg EPA+DHA per day <sup>20</sup> and 6 week consumption of oily fish providing 927 mg EPA+DHA per 236 day, respectively<sup>21</sup>. Overall, the findings of the current analysis support existing reports that oily 237 fish intake is associated with, and at a population level is the main determinant of, LC n-3 PUFAs 238 in all major blood lipid pools, which may therefore be used as biomarkers of oily fish intake 4 19 24 239 <sup>25</sup>. Our analysis does not clearly indicate which plasma lipid fraction would best reflect dietary 240 241 intake of EPA and DHA, since, in general all four plasma lipid fractions showed dose-dependent increases in EPA and DHA concentration (both absolute and relative) with increasing frequency of 242 243 oily fish consumption.

There is some evidence that age influences the concentration of EPA and DHA in various 244 plasma fatty acid fractions, <sup>10</sup> which has been attributed in part to higher habitual fish intake with 245 increasing age. Oily fish intake was controlled for in the current statistical analysis, allowing clearer 246 attribution of any observed associations of age with EPA, DPA and DHA concentrations to altered 247 metabolism and not to dietary differences in intakes of oily fish. Any influence of APOE group 248 distribution was also ruled out as, despite a greater number of individuals aged 50-59 yr being 249 included in the current analysis, there was no significant difference in the distribution of APO E2, 250 E3 and E4 genotypes between age groups (data not shown). A 28 d stable isotope tracer study in 251 young (mean age 27 y) vs older (mean age 77 y) adults reported a 1-2 fold greater enrichment of 252 <sup>13</sup>C–DHA in plasma phospholipids and CEs in the older age group, suggesting a medium term age-253 254 related difference in DHA homeostasis associated with accumulation of DHA in the circulation in older people <sup>26</sup>. The findings of the current analysis support reports of increased plasma DHA with 255 increasing age <sup>11 27 28</sup> and we further also report positive associations between age and EPA and 256 DPA, suggesting LC n-3 PUFAs accumulate in plasma pools during ageing. However, this may in 257 258 part be due to an increase in circulating cholesterol and CE with age (Table 3). Evidence of positive associations of plasma total cholesterol with age dates back to the late 1970s<sup>29</sup>, and these have been 259 reported in both males and females <sup>30</sup>. Increased circulating LDL (**Table 3**) may be reflected in 260 higher absolute total PC and CE concentrations with age (P = 0.008 and 0.018, age 20-29 vs 60+ yr 261 for PC and CE respectively, data not shown) and we observed that total cholesterol (TC) and LDL-262 cholesterol (LDLC) concentrations were significantly positively correlated with LC n-3 PUFA 263 concentrations in PC (TC, *P* = <0.001, 0.003, 0.027; LDLC *P* = <0.001, <0.001, 0.003, absolute 264 EPA, DPA and DHA respectively, data not shown), and that TC, LDLC and high density 265

CEs (TC, P = <0.001, 0.002 absolute EPA and DHA respectively, LDL, P = <0.001, 0.046, 0.055 absolute EPA, relative DPA and DHA respectively, HDLC, P = 0.046 relative DPA, data not shown). These data suggest CE levels may play a significant role in the association of age with LC n-3 PUFAs reported in this analysis.

Insulin has a role in the regulation of genes involved in whole body lipid homeostasis 271 including in the removal of lipids from the circulation<sup>31</sup>; in cases of insulin resistance, such 272 removal can be compromised. The occurrence of insulin resistance is reported to rise with 273 274 increasing age and BMI and despite individuals with diabetes or a fasting glucose concentration > 6.5 mmol/L being excluded from the current analysis, differences in fasting glucose were still 275 evident between age and BMI groups (glucose positively correlated with age and BMI; P < 0.001 276 both, data not shown). Thus, insulin resistance may contribute to the higher EPA and DPA 277 concentrations in plasma lipid pools observed with increasing age and BMI. 278

279 Increasing body fatness and obesity influence many aspects of fatty acid and lipid metabolism and contribute to disease states such as hypertriglyceridemia, diabetes, and fatty liver 280 disease <sup>12 32</sup>; loss of insulin sensitivity with increasing adiposity results in adipose tissue lipolysis 281 and associated higher plasma NEFA concentrations <sup>32-34</sup>. In the current analysis, there was no 282 correlation between total NEFA concentrations and BMI (data not shown); however, significant, but 283 complex, associations between BMI and LC n-3 PUFAs were evident in plasma TGs, with an 284 overall trend towards lower relative concentrations of EPA and DHA with increasing BMI, which is 285 consistent with previous observations  $^{33}$  <sup>35</sup>. Increased  $\beta$ -oxidation of DHA associated with increased 286 BMI may in part explain lower proportions of LC n-3 PUFAs in TGs <sup>36</sup> although altered TG 287 synthesis and/or selective tissue uptake and partitioning in obesity may also be involved. We 288 289 observed no association of BMI with absolute plasma concentrations of LC n-3 PUFAs and suggest the lower relative concentrations (i.e., %) of EPA and DHA are likely to be offset by increases in 290 291 total TG concentrations with increasing BMI.

The proteins encoded by the APOE gene play a major role in the transport and metabolism 292 293 of lipids via interaction with LDL receptors (LDLRs). Two common polymorphisms (rs7412 and 294 rs429358) of the APOE gene in humans result in three protein isoforms, APOE2, E3 and E4. APOE2 and APOE3 are found in the circulation mainly on high density lipoproteins (HDLs) 295 whereas APOE4 is found preferentially on very low density lipoproteins (VLDLs) with lower 296 concentrations residing on HDLs <sup>37</sup>. The APOE4 allele has been associated with reduced longevity 297 <sup>38</sup>, and enhanced risk of cardiovascular disease <sup>39</sup> and Alzheimer's disease <sup>40</sup>. Although centrally 298 involved in fatty acid transport and handling in plasma and tissues (and in particular within the 299 brain where APOE is almost the only apolipoprotein present), the impact of APOE genotype on 300 301 these processes, and the contribution of dysregulated EPA and DHA metabolism to disease risk is

unknown. However, <sup>13</sup>C–DHA labelling studies provide evidence that DHA metabolism is
 disturbed in those who are *APOE4* carriers <sup>41</sup>.

In the current analysis, APOE4 carriers had significantly higher concentrations of TC and 304 305 HDLC, and lower concentrations of LDLC (Table 3); however, sex\*APOE genotype interactions 306 were evident and in male APOE4 carriers we observed to have significantly higher concentrations 307 of LDLC as well as of total CEs (data not shown). One advantage of investigating associations in individual plasma lipid classes as opposed to total lipid is that possible effects of APOE and 308 309 lipoprotein transport and metabolism may be more easily identified. If the associations between APOE and LC n-3 PUFAs are seen to occur in lipid pools which are predominantly related to LDL 310 311 and VLDL particles, they may reflect the dysregulation in lipoprotein handling in people with the E4 allele. However, if the associations between LC n-3 PUFA and APOE genotype are seen to 312 occur across all lipid pools, they may be indicative of alternative mechanisms. Further subgroup 313 analysis indicated higher EPA, DPA and DHA concentrations in CEs, EPA and DPA in PC, and 314 EPA in TGs in male APOE4 carriers relative to the non-carrier groups. The higher EPA and DHA 315 may reflect higher overall CE and PC concentrations; however, the lack of association between 316 APOE genotype and fatty acid concentrations in females is suggestive of a sex specific association 317 independent of CE and PC metabolism. 318

Interestingly, we have previously reported APOE genotype mediated differences in the 319 response of plasma EPA and DHA to a fish oil supplement given over eight weeks in males, with 320 lower enrichment in total lipid and phospholipid EPA and DHA in APOE4 carriers relative to the 321 wild-type APOE3/E3 genotype, but only in overweight participants <sup>14</sup>. The aetiology of these 322 associations with LC n-3 PUFA metabolism is currently unknown. As with the association with 323 324 age, higher plasma LC n-3 PUFAs in APOE4 carriers may reflect reduced tissue uptake and DHA accumulating in the circulation. Although lower overall concentrations of APOE were observed in 325 326 APOE4 carriers (data not shown) no difference in plasma APOE concentrations were evident between sexes, which potentially could have contributed to the differential associations of APOE 327 328 genotype with EPA, DPA and DHA concentrations. The preferential binding of VLDL by APOE4 and possible associations of APOE genotype with PC and CE synthesis and cellular uptake of EPA 329 and DHA via the LDLR family, LDLR concentrations and specific LC PUFA transporters such as 330 the MFSD2A transporter in the brain <sup>42</sup> may be involved, and are worthy of future investigations. 331 Associations between sex and the activity of these transporters and receptors would also be of 332 interest, along with sex and APOE associations with FADS and ELOVL genes which encode 333 desaturation and elongation enzymes required for the synthesis of LC n-3 PUFAs. Differential 334 synthesis of EPA and DHA has been reported between sexes; Pawlosky et al report greater ability 335 of females to convert ALA to DHA through increased conversion of DPA to DHA compared to 336

males when consuming a beef based diet. These results were not observed when consuming a fish 337 338 based diet in which the capacity to convert DPA to DHA was equal between males and females. These findings suggest LC n-3 PUFA metabolism in females may be more sensitive to dietary 339 alterations or may be affected by hormonal regulation<sup>43</sup>. Indeed there is evidence for up-regulation 340 of the desaturase-elongase pathway via oestrogenic actions resulting in increased conversion of 341 ALA to EPA<sup>19 44 45</sup> and to DHA<sup>11 13 46</sup> indicating significant effects of female sex hormones on the 342 metabolism of LC n-3 PUFAs. Consistent with these observations, there is evidence for an increase 343 344 in DHA in relation to EPA and DPA at baseline and in response to EPA+DHA intake in females compared to males <sup>47 48</sup>. The current analysis further reports lower concentrations of both DPA (-345 346 36% lower absolute concentration in TGs) and EPA (20% lower absolute concentration in TGs) in females but does not report higher concentrations of DHA in females or find a significant effect of 347 sex on the ratio of DPA: DHA (P > 0.50, data not shown). However, these results are also in 348 contrast to other reports describing increased concentrations of EPA and DHA in females <sup>19 44 45</sup>. 349 These data from the current analysis suggest investigation into associations between sex, APOE, 350 and fatty acid synthesis enzymes and transporters would be of worthwhile to further understand the 351 mechanisms by which these associations occur. 352

353

In conclusion, we report concentrations of EPA, DPA and DHA to vary across APOE 354 genotype and that sex is an important factor to consider when evaluating LC n-3 PUFA 355 concentrations in these genotypic subgroups. Our results also confirm that concentrations of EPA, 356 357 DPA and DHA in plasma pools are suitable population markers of oily fish consumption and show that age and sex are important contributors to the variation in EPA, DPA and DHA concentrations 358 359 in plasma lipids independent of APOE genotype. These variables should be considered when interpreting LC n-3 PUFA concentrations as a marker of dietary intake and when suggesting dietary 360 361 LC n-3 PUFA recommendations to ensure benefits are achieved across population subgroups. Investigation into the handling of supplemental EPA and DHA in these subgroups is to be 362 363 addressed in a further publication and could provide the basis for more detailed advice. However, the aetiology and physiological significance of the interaction between sex and APOE genotype and 364 its association with EPA, DPA and DHA status still requires further investigation. 365

366

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372

#### 373 Authors' responsibilities

The authors' responsibilities were as follows: GL, CKA, JCM, CJP, PCC and AMM (the study

management group) were responsible for designing the original FINGEN study and supervising all

aspects of the reported work; EAM, BMK, PJC and CKA recruited and screened volunteers, carried

377 out the intervention, collected the blood samples and collected the anthropometric, questionnaire

and compliance data; HLF conducted the laboratory analysis reported herein; HLF and MI

379 conducted statistical analysis; HLF wrote the draft of the manuscript; all authors contributed to the

380 final version of the manuscript.

381

## **382 Conflicts of interest**

383 PCC is an advisor to Pronova BioPharma, Aker Biomarine, Smartfish, Sancilio, Solutex, Dutch

384 State Mines, Cargill and Danone/Nutricia. None of the other authors has any conflict to declare.

385

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## Table 1

Median EPA, DPA, and DHA in the plasma lipid fractions and statistical significance (P) of the association of oily fish intake, sex, age, and BMI on absolute and relative concentrations of these LC n-3 PUFAs<sup>1</sup>

	EPA							
	PC	NEFAs	CEs	TGs	PC	NEFAs	CEs	TGs
	( <i>P</i> )	( <i>P</i> )	( <i>P</i> )	(P)	(P)	( <i>P</i> )	(P)	( <i>P</i> )
	%	%	%	%	µg/ml	µg/ml	µg/ml	µg/ml
Median	1.01	0.43	0.77	0.42	15.14	0.85	14.2	2.98
(25th, 75th percentile)	(0.65, 1.57)	(0.26, 0.66)	(0.46, 1.10)	(0.27, 0.65)	(8.74, 23.23)	(0.48, 1.47)	(8.45, 23.45)	(1.83, 4.72)
Oily Fish Intake <sup>2</sup>	0.055	-	0.004	< 0.001	0.018	-	0.058	< 0.001
Sex	-	-	0.055	-	-	-	-	-
Age <sup>3</sup>	0.063	-	-	-	0.021	-	0.019	0.034
$BMI^4$	-	-	_	0.041	-	-	-	-
		DPA						
	PC	NEFAs	CEs	TGs	PC	NEFAs	CEs	TGs
	( <i>P</i> )	(P)	( <i>P</i> )	(P)	(P)	(P)	(P)	(P)
	%	%	%	%	µg/ml	µg/ml	µg/ml	µg/ml
Median	0.78	0.31	0.07	0.33	11.87	0.62	1.34	2.32
(25th, 75th percentile)	(0.55, 0.98)	(0.22, 0.44)	(0.05, 0.12)	(0.23, 0.47)	(7.90, 15.67)	(0.42, 0.86)	(0.84, 2.51)	(1.31, 3.51)
Oily Fish Intake <sup>2</sup>	0.022	-	-	0.006	0.044	-	-	0.016
Sex	0.026	-	-	0.043	0.054	-	-	< 0.001
Age <sup>3</sup>	-	0.031	-	-	-	-	0.007	-
$BMI^4$	-	-	-	0.006	-	-	-	-
				D	HA			
	PC	NEFAs	CEs	TGs	PC	NEFAs	CEs	TGs
	( <i>P</i> )	( <i>P</i> )	( <i>P</i> )	( <i>P</i> )				

	%	%	%	%	µg/ml	µg/ml	µg/ml	µg/ml
Median	2.86	1.1	0.46	0.61	44.13	2.07	9.01	4.28
(25th, 75th percentile)	(2.08, 3.93)	(0.80, 1.54)	(0.32, 0.61)	(0.39, 0.98)	(29.94, 57.68)	(1.43, 3.18)	(5.88, 12.59)	(2.38, 7.19)
Oily Fish Intake <sup>2</sup>	< 0.001	< 0.001	0.001	< 0.001	< 0.001	0.002	0.045	< 0.001
Sex	-	-	-	-	-	-	-	-
Age <sup>3</sup>	0.037	-	-	-	0.043	-	0.039	0.050
$BMI^4$	-	-	-	0.02	-	-	-	-

EPA, eicosapentaenoic acid; DPA, docosapentaenoic acid; DHA, docosahexaenoic acid; PC, phosphatidylcholine; NEFAs, non-esterified fatty acids; CEs, cholesteryl esters; TGs, triacyglycerol.

 $^{1}P$  values obtained using  $\log_{10}$  data in univariate general linear model analysis. Individual associations were investigated for by the addition of all other variables as covariates, controlling for any associations between confounding variables that may influence the dependant variable. The resulting *P* values are therefore reflective of the sole association between the variable of interest and the dependant variable.

<sup>2</sup> Oily fish intake: 0 portions/week, 0.1-0.99/week, 1.0-1.99/week, and 2+/week. Oily fish defined as: salmon, herring, mackerel, fresh tuna, sardines, kippers, and trout.

<sup>3</sup> Age: 20-29y, 30-39y, 40-49y, 50-59y, 60+y.

<sup>4</sup> BMI: Normal weight = 18-25 (kg/m<sup>2</sup>), Overweight = 25.1-30 (kg/m<sup>2</sup>) and Obese = 30.1-46 (kg/m<sup>2</sup>).

## Table 2

Statistical significance (P) of the associations between oily fish intake, sex, age, BMI and LC n-3 PUFAs in males and females<sup>1</sup>

		MALES							
		PC	NEFAs	CEs	TGs	PC	NEFAs	CEs	TGs
		$P^{1}$	$P^1$	$P^1$	$P^{1}$	$P^{1}$	$P^{1}$	$P^1$	$P^{1}$
		%	%	%	%	µg/ml	µg/ml	µg/ml	µg/ml
EPA	Oily fish intake <sup>2</sup>	-	_	-	0.028	0.062	0.061	-	0.008
	Age <sup>3</sup>	-	-	-	-	-	-	0.058	0.019
	$\mathrm{BMI}^4$	-	-	-	0.014	-	-	-	-
DPA	Oily fish intake <sup>2</sup>	-	_	NS	_	0.066	0.026	-	-
	Age <sup>3</sup>	-	-	0.068	-	-	-	0.012	-
	$BMI^4$	-	-	-	-	-	-	-	-
DHA	Oily fish intake <sup>2</sup>	0.003	0.023	0.016	_	0.002	0.014	-	-
	Age <sup>3</sup>	-	-	0.011	-	-	0.024	0.005	-
	$\mathrm{BMI}^4$	-	-	-	-	-	-	-	-
					FEM	ALES			
		PC	NEFAs	CEs	TGs	PC	NEFAs	CEs	TGs
		$P^{1}$	$P^{1}$	$P^{1}$	$P^{1}$	$P^{I}$	$P^{1}$	$P^{1}$	$P^{1}$
		%	%	%	%	µg/ml	µg/ml	µg/ml	µg/ml
EPA	Oily fish intake <sup>2</sup>	0.004	-	0.009	< 0.001	0.003	-	-	< 0.001
	Age <sup>3</sup>	0.04	-	-	-	0.039	-	-	0.006
	$BMI^4$	-	-	-	-	-	0.052	-	0.006
DPA	Oily fish intake <sup>2</sup>	-	_	-	0.008	-	-	-	0.067
	Age <sup>3</sup>	-	-	-	-	-	-	-	-
	$BMI^4$	-	-	-	-	-	-	-	-
DHA	Oily fish intake <sup>2</sup>	0.001	0.001	0.003	< 0.001	< 0.001	0.048	-	0.001

Age <sup>3</sup>	0.035	-	-	-	0.047	-	-	0.032
$BMI^4$	-	-	-	-	-	0.010	-	-

EPA, eicosapentaenoic acid; DPA, docosapentaenoic acid; DHA, docosahexaenoic acid; PC, phosphatidylcholine; NEFAs, non-esterified fatty acids; CEs, cholesteryl esters; TGs, triacyglycerol.

 $^{1}P$  values obtained using  $\log_{10}$  data in univariate general linear model analysis. Individual associations were investigated for by the addition of all other variables as covariates, controlling for any associations between confounding variables that may influence the dependant variable. The resulting *P* values are therefore reflective of the sole association between the variable of interest and the dependant variable.

<sup>2</sup> Oily fish intake: 0 portions/week, 0.1-0.99/week, 1.0-1.99/week, and 2+/week. Oily fish defined as: salmon, herring, mackerel, fresh tuna, sardines, kippers, and trout.

<sup>3</sup> Age: 20-29y, 30-39y, 40-49y, 50-59y, 60+y.

<sup>4</sup> BMI: Normal weight = 18-25 (kg/m<sup>2</sup>), Overweight = 25.1-30 (kg/m<sup>2</sup>) and Obese = 30.1-46 (kg/m<sup>2</sup>).

## TABLE 3

Blood cholesterol (mmol/l) concentration according to sex, age, BMI, APOE genotype and oily fish intake

	TC		HDL	C	LDLC	
	Mean	SEM	Mean	SEM	Mean	SEM
Male	5.16	0.08	1.26	0.02	3.34	0.07
Female	5.16	0.08	1.61	0.03	3.17	0.07
$^{1}P$	NS		< 0.001		NS	
Age group						
20-29y	4.39	0.14	1.46	0.05	2.56	0.13
30-39y	4.68	0.1	1.34	0.04	2.93	0.1
40-49y	5.34	0.11	1.47	0.04	3.41	0.09
50-59y	5.57	0.1	1.45	0.05	3.62	0.09
60+y	5.59	0.13	1.49	0.06	3.52	0.1
$^{2}P$	< 0.001		NS		< 0.001	
<sup>3</sup> BMI group						
Normal weight	4.91	0.08	1.57	0.03	2.98	0.07
Overweight	5.33	0.08	1.34	0.03	3.43	0.07
Obese	5.63	0.18	1.20	0.05	3.77	0.17
$^{2}P$	< 0.001		< 0.001		< 0.001	
APOE genotype <sup>4</sup>						
E2	4.71	0.09	1.54	0.04	2.76	0.08
E3	5.19	0.1	1.43	0.04	3.31	0.08
E4	5.46	0.08	1.37	0.03	3.57	0.07
$^{1}P$	< 0.001		0.006		< 0.001	
Oily fish intake <sup>5</sup>						
0/wk	4.9	0.12	1.41	0.04	3.11	0.1
0.1-0.99/wk	5.21	0.09	1.44	0.03	3.25	0.07
1-1.99/wk	5.3	0.12	1.48	0.05	3.38	0.1
2+/wk	5.16	0.15	1.41	0.07	3.28	0.15
$^{2}P$	NS		NS		NS	NS

TC, Total cholesterol; HDLC, high density lipoprotein cholesterol; LDLC, low density lipoprotein cholesterol.

<sup>1</sup>*P* values obtained from one-way ANOVA model.

 $^{2}P$  values obtained from Pearson's correlation model.

<sup>3</sup> BMI: Normal weight = 18-25 (kg/m2), Overweight = 25.1-30 (kg/m2) and Obese = 30.1-46 (kg/m2).

 $^4$  APOE genotype: E2 (E2/E2 and E2/E3), E3 (E3/E3), and E4 (E3/E4 and E4/E4) .

<sup>5</sup> Oily fish defined as: salmon, herring, mackerel, fresh tuna, sardines, kippers, and trout.

**FIGURE 1** Absolute concentrations ( $\mu$ g/ml) of eicosapentaenoic acid (EPA) in phosphatidylcholine (PC), non-esterified fatty acids (NEFAs), cholesteryl esters (CEs) and triacylglycerols (TGs) lipid fractions in male and female subjects according to APOE genotype. Distribution of participants in each APOE allele group are as follows; PC: Males: E2/E2 = 1, E2/E3 = 32, E3/E3 = 40, E3/E4 = 51, and E4/E4 = 2. Total: 126. PC: Females: E2/E2 = 3, E2/E3 = 39, E3/E3 = 44, E3/E4 = 43, and E4/E4 = 10. Total: 139. NEFAs: Males: E2/E2 = 2, E2/E3 = 29, E3/E3 = 45, E3/E4 = 50, and E4/E4 = 2. Total: 128. NEFAs: Females: E2/E2 = 3, E2/E3 = 42, E3/E3 = 44, E3/E4 = 45, and E4/E4 = 10. Total: 144. CEs: Males: E2/E2 = 2, E2/E3 = 33, E3/E3 = 50, E3/E4 = 52, and E4/E4 = 2. Total: 139. CEs: Females: E2/E2 = 3, E2/E3 = 44, E3/E3 = 48, E3/E4 = 49, and E4/E4 = 10. Total: 154. TGs: Males: E2/E2 = 2, E2/E3 = 32, E3/E3 = 50, E3/E4 = 53, and E4/E4 = 2. Total: 139. TGs: Females: E2/E2 = 3, E2/E3 = 45, E3/E3 = 49, E3/E4 = 48, and E4/E4 = 10. Total: 155. \* P < 0.050, and \*\* P > 0.050 but < 0.060. P values were obtained using log-10 data in univariate general linear model (GLM) analysis controlling for covariates (age, BMI, and oily fish intake). Where there was a significant association with APOE genotype, significance between specific APOE alleles was assessed using parameter estimates obtained from the GLM results.

FIGURE 2 Absolute concentrations (µg/ml) of docosapentaenoic acid (DPA) in phosphatidylcholine (PC), non-esterified fatty acids (NEFAs), cholesteryl esters (CEs) and triacylglycerols (TGs) lipid fractions in male and female subjects according to APOE genotype. Distribution of participants in each APOE allele group are as follows; PC: Males: E2/E2 = 1, E2/E3 = 32, E3/E3 = 40, E3/E4 = 51, and E4/E4 = 2. Total: 126. PC: Females: E2/E2 = 3, E2/E3 = 39, E3/E3 = 44, E3/E4 = 43, and E4/E4 = 10. Total: 139. NEFAs: Males: E2/E2 = 2, E2/E3 = 29, E3/E3 = 45, E3/E4 = 50, and E4/E4 = 2. Total: 128. NEFAs: Females: E2/E2 = 3, E2/E3 = 42, E3/E3 = 44, E3/E4 = 45, and E4/E4 = 10. Total: 144. CEs: Males: E2/E2 = 2, E2/E3 = 33, E3/E3 = 50, E3/E4 = 52, and E4/E4 = 2. Total: 139. CEs: Females: E2/E2 = 3, E2/E3 = 44, E3/E3 = 48, E3/E4 = 49, and E4/E4 = 10. Total: 154. TGs: Males: E2/E2 = 2, E2/E3 = 32, E3/E3 = 50, E3/E4 = 53, and E4/E4 = 2. Total: 139. TGs: Females: E2/E2 = 3, E2/E3 = 45, E3/E3 = 49, E3/E4 = 48, and E4/E4 = 10. Total: 155. \* P < 0.050. \*\* P > 0.050 but < 0.070. P values were obtained using log-10 data in univariate general linear model (GLM) analysis controlling for covariates (age, BMI, and oily fish intake). Where there was a significant association with APOE genotype, significance between specific APOE alleles was assessed using parameter estimates obtained from the GLM results.

**FIGURE 3** Absolute concentrations ( $\mu$ g/ml) of docosahexaenoic acid (DHA) in phosphatidylcholine (PC), non-esterified fatty acids (NEFAs), cholesteryl esters (CEs) and triacylglycerols (TGs) lipid fractions in male and female subjects according to *APOE* genotype. Distribution of participants in each *APOE* allele group are as follows; PC: Males: E2/E2 = 1, E2/E3 = 32, E3/E3 = 40, E3/E4 = 51, and E4/E4 = 2. Total: 126. PC: Females: E2/E2 = 3, E2/E3 = 39, E3/E3 = 44, E3/E4 = 43, and E4/E4 = 10. Total: 139. NEFAs: Males: E2/E2 = 2, E2/E3 = 29, E3/E3 = 45, E3/E4 = 50, and E4/E4 = 2. Total: 128. NEFAs: Females: E2/E2 = 3, E2/E3 = 33, E3/E3 = 44, E3/E4 = 45, and E4/E4 = 10. Total: 144. CEs: Males: E2/E2 = 2, E2/E3 = 33, E3/E3 = 50, E3/E4 = 52, and E4/E4 = 10. Total: 144. CEs: Males: E2/E2 = 3, E2/E3 = 32, E3/E3 = 50, E3/E4 = 52, and E4/E4 = 10. Total: 154. TGs: Females: E2/E2 = 2, E2/E3 = 32, E3/E3 = 44, E3/E4 = 49, and E4/E4 = 10. Total: 154. TGs: Males: E2/E2 = 2, E2/E3 = 32, E3/E3 = 50, E3/E4 = 53, and E4/E4 = 10. Total: 155. \* P = 0.021. *P* values were obtained using log-10 data in univariate general linear model (GLM) analysis controlling for covariates (age, BMI, and oily fish intake). Where there was a significant association with *APOE* genotype, significance between specific *APOE* alleles was assessed using parameter estimates obtained from the GLM results.