CORE

# Decomposing Quantified Conjunctive (or Disjunctive) Formulas 

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#### Abstract

Model checking-deciding if a logical sentence holds on a structure-is a basic computational task that is well-known to be intractable in general. For first-order logic on finite structures, it is PSPACE-complete, and the natural evaluation algorithm exhibits exponential dependence on the formula. We study model checking on the quantified conjunctive fragment of first-order logic, namely, prenex sentences having a purely conjunctive quantifierfree part. Following a number of works, we associate a graph to the quantifier-free part; each sentence then induces a prefixed graph, a quantifier prefix paired with a graph on its variables. We give a comprehensive classification of the sets of prefixed graphs on which model checking is tractable, based on a novel generalization of treewidth, that generalizes and places into a unified framework a number of existing results. ${ }^{1}$


## 1 Introduction

### 1.1 Overview of result

Model checking, the problem of deciding if a logical sentence holds on a structure, is a fundamental computational task that appears in many guises throughout computer science. Witness its appearance in areas such as logic, artificial intelligence, database theory, constraint satisfaction, and computational complexity, where versions thereof are often taken as canonical complete problems for complexity classes. It is well-known to be intractable in general: for first-order logic on finite structures it is PSPACE-complete. By first-order logic model checking on finite structures, we refer to the following problem: given a first-order sentence $\phi$ and a finite structure $\mathbf{B}$, decide if $\phi$ evaluates to true on $\mathbf{B}$. Indeed, the natural algorithm for evaluating a first-order sentence $\phi$ on a finite structure $\mathbf{B}$ can require time $|B|^{m(\phi)}$, where $|B|$ is the size of the universe of $\mathbf{B}$, and $m(\phi)$ denotes the maximum number of free variables over all subformulas of $\phi$. (For more details on both this PSPACE-completeness result and this natural algorithm, we refer the reader to the discussion by Flum and Grohe [30, Section 4.3].) This general intractability, coupled with the exponential dependence on the sentence, naturally prompts the search for restricted classes of sentences enjoying tractable model checking.

One fragment of first-order logic that has been heavily studied in this light is the fragment of primitive positive sentences, which are prenex sentences built from atomic formulas, conjunction, and existential quantification, that is, sentences having the form $\exists x_{1} \ldots \exists x_{m}\left(\alpha_{1} \wedge \ldots \wedge \alpha_{n}\right)$, where the $x_{i}$ are variables and where the $\alpha_{i}$ are atomic formulas. These sentences have been approached by researchers possessing a variety of motivations and perspectives $[29,32,40,39,34,35,36,9,16,3,4,5,19,18,23,26,25]$. In the

[^0]database literature, they are known as conjunctive queries and are of central interest; the problem of model checking such sentences is also a formulation of the constraint satisfaction problem [40]. One approach to restricting such sentences is to restrict the primal graph of a sentence, which is the graph whose vertex set is the set of variables of the sentence, and where two variables are linked by an edge if they occur together in a common atomic formula. (This graph is also known as the constraint graph and as the Gaifman graph.) A classical result in this vein is that when the primal graphs of a set of primitive positive sentences have bounded treewidth, the model checking problem is polynomial-time decidable; see for instance the paper of Freuder [32]. Treewidth is a complexity measure on graphs that assigns a non-negative integer value to each finite graph; a set of graphs is said to have bounded treewidth if there exists a constant $k$ that upper bounds the treewidth of all graphs in the set. For a set of primitive positive sentences having bounded treewidth, each sentence can be decomposed into a tree-like shape that admits efficient evaluation.

After bounded treewidth on the primal graphs (of primitive positive sentences) was identified as a sufficient condition for tractability, a natural consideration was whether or not there were other graph-based conditions that guaranteed tractability. This consideration can be formulated as follows.

## Research Question 1 On which sets of primal graphs is primitive positive model checking tractable?

One can naturally ask this research question for two notions of tractability. The first is polynomial-time tractability, and the second is fixed-parameter tractability, where the formula is taken as the parameter of an instance; indeed, bounded treewidth implies tractability in both senses. Research Question 1 was completely resolved by Grohe, Schwentick, and Segoufin [39], who proved that bounded treewidth is the only explanation for tractability in this setting. Namely, they showed a perfect complement to the bounded treewidth tractability result: if a set of primal graphs is tractable-under either of the tractability notions-then the set has bounded treewidth. (As one would expect, this result is proved relative to a complexity-theoretic assumption, in particular, an established and widely believed assumption from parameterized complexity.) These authors make use of the excluded grid theorem of graph minor theory to help achieve an understanding of graph sets having unbounded treewidth. In their paper, they point to the research direction of considering larger fragments of first-order logic.

A fragment of first-order logic under current scrutiny $[10,20,11,12,13,14,15,21]$ is the class of quantified conjunctive sentences, which is the generalization of primitive positive sentences where both quantifiers are admitted, that is, sentences of the form $Q_{1} v_{1} \ldots Q_{m} v_{m}\left(\alpha_{1} \wedge \ldots \wedge \alpha_{n}\right)$, where each $Q_{i} \in$ $\{\forall, \exists\}$ is a quantifier, each $v_{i}$ is a variable, and each $\alpha_{j}$ is an atomic formula. The classical quantified boolean formula (QBF) problem is a special case of model checking on such sentences, where the structures have boolean (two-element) universes. Model checking quantified conjunctive sentences is PSPACE-complete and thus in a certain sense captures the full complexity of first-order logic.

Researchers have pursued the graph-based approach to identify tractable restrictions of this fragment [10, 43, 33]. One basic result, proved by Gottlob, Greco, and Scarcello [33] is that, in contrast to the primitive positive case, bounded treewidth of the primal graph is not sufficient to guarantee tractability of model checking quantified conjunctive sentences. Indeed, they show that even when the primal graph is a tree, this model checking problem is coNP-hard for $\Pi_{2}$ prefixes, harder for the respective higher levels of the polynomial hierarchy when further alternations are added, and PSPACE-hard for arbitrary prefixes.

The natural object pointed to by these results for further complexity studies is the pair consisting of the primal graph and the quantifier prefix of a quantified conjunctive sentence. We call such a pair a prefixed graph. Indeed, via this object, we have the following.

- The bounded treewidth tractability result on primitive positive sentences can be captured by considering sets of prefixed graphs having bounded treewidth and purely existential prefixes.
- Research Question 1 can be equivalently rephrased as follows.

Rephrasing of Research Question 1: On which sets of prefixed graphs that have purely existential prefixes is quantified conjunctive model checking tractable?

- The intractability results of Gottlob, Greco and Scarcello [33] can be described by considering sets of prefixed graphs having bounded treewidth and prefixes of various alternation forms.

A research issue prompted by this view of these results is to attempt to give tractability results on prefixed graphs (having arbitrary prefixes) that both generalize the given tractability result and make use of the prefix in a non-trivial way. Such tractability results were presented, for example, by Flum, Frick, and Grohe [31] and Adler and Weyer [2]. (These works in fact describe tractable fragments of general first-order model checking.) In analogy to and as a generalization of Research Question 1, one can ask for a complete description of the tractable sets of prefixed graphs.

## Research Question 2 On which sets of prefixed graphs is quantified conjunctive model checking tractable?

Observe that all of the complexity results described thus far contributed towards the understanding of this research question, in particular by providing tractability or intractability results on particular sets of prefixed graphs.

In this article, we completely resolve Research Question 2, for both polynomial-time tractability and fixed-parameter tractability; we thus generalize and place into a unified framework all of the described complexity results. In particular, we introduce a new notion of width on prefixed graphs. We then prove that if a set of prefixed graphs has bounded width, then model checking is polynomial-time tractable, and hence also fixed-parameter tractable (where the formula is taken as the parameter of an instance); otherwise, model checking is not fixed-parameter tractable and hence not polynomial-time tractable, under a complexitytheoretic assumption from parameterized complexity (namely, that $\mathrm{W}[1]$ is not contained in non-uniform FPT). In the case of bounded width, we show that sentences can be efficiently transformed so as to fall in a slight relaxation of bounded-variable first-order logic that allows for efficient evaluation. Note that model checking for bounded-variable first-order logic is well-known to be tractable (see for example Vardi [45], and also recall the discussion in the first paragraph of the present article). In the case of unbounded width, we show (essentially) that any set of prefixed graphs can be closed under an operation that we refer to as simplification, and then use this result to give a reduction from either the k-clique or co-k-clique problem. As we discuss within the paper, our result also implies a classification result for model checking quantified disjunctive sentences.

Our width measure has a simple definition that takes into account the ordering given by the quantifier prefix and treats the two quantifiers asymmetrically. This measure is equal to treewidth (plus one!) on prefixed graphs having purely existential prefixes, and constitutes a natural generalization of treewidth in its own right. The novelty of this width measure is evidenced by an example set of formulas (described by prefixed graphs) to which our tractability result applies, but which provably do not fall into the tractable classes presented in the works of Flum, Frick, and Grohe [31] and Adler and Weyer [2].

It is worth pointing out and emphasizing that both our tractability results and our intractability results are novel, and are being presented for the first time in this paper. This is in contrast to many complexity dichotomy and classification theorems: oftentimes, when such theorems are established, they confirm that a known condition for intractability is the unique source of intractability, or analogously, that certain known conditions or techniques for tractability in fact are the only explanations for tractability.

### 1.2 Related work

Dalmau, Kolaitis and Vardi [27] generalized the bounded treewidth tractability result on primitive positive sentences; they proved that for any set of such sentences logically equivalent to a sentence set having bounded treewidth, the set is tractable. Note that bounded treewidth implies bounded arity of relations, since a relation of arity $k$ induces a clique of size $k$ in the primal graph, and a clique of size $k$ in the primal graph implies that the treewidth of the primal graph is $k-1$ or greater. Grohe [38] proved a complement to this tractability result by showing that, under the assumption of bounded arity, tractability of primitive positive sentences implies inclusion in the tractable class identified by Dalmau, Kolaitis and Vardi; Grohe's result, which applies to arbitrary sentence sets (of bounded arity) also generalizes the discussed result of Grohe, Schwentick and Segoufin.

Researchers have also given computational complexity results for primitive positive sentences based on the hypergraph containing, for each atomic formula, an edge with the variables of the atomic formula $[35,36,1,16,41]$. This line of research leads to a theory of hypergraph complexity measures. As mentioned, bounded treewidth implies bounded arity of relations; tractability results based on the described hypergraph, in contrast, can be applied to sentences where the arity of relations is not bounded. Bounded treewidth is sufficient to describe the tractability results corresponding to Research Question 1, which deals with a classification of graphs, and this theory of hypergraph complexity is not needed for the solution to Research Question 1. Gottlob, Leone and Scarcello [35, 36] introduced and studied the hypergraph complexity measures of hypertree width and generalized hypertree width, and showed that bounded hypertree width constitutes a tractable class having various desirable properties. Later, the tractability of bounded generalized hypertree width was proved independently by Adler, Gottlob and Grohe [1] and Chen and Dalmau [17]. Comprehensive classification results on hypergraphs have been given by Marx under the truth-table representation of relations [42] and under the representation of relations via an explicit listing of tuples [41]; see also related work by Chen and Grohe [19].

We now turn to discuss results on quantified conjunctive sentences. Chen [10] presented an algorithm showing tractability of such sentences under bounded alternation, bounded treewidth, and bounded universe size on the structure (we refer the reader to the paper for precise details). Gottlob, Greco and Scarcello [33] presented a number of complexity results, including a result showing hardness under bounded alternation and bounded treewidth, in essence showing that the bounded universe size assumption was crucial for Chen's algorithm. Pan and Vardi [43] performed a close study of the time complexity of Chen's algorithm, showing that the non-elementary growth rate with respect to the number of alternations and the treewidth is necessary.

Flum, Frick, and Grohe [31] described tractable classes for general first-order logic based on nonrecursive stratified datalog programs. Chen and Dalmau [17] described a notion of treewidth for quantified conjunctive sentences, showing that bounded treewidth sentences are tractable via a consistency/pebble game type algorithm. This work is a point of contact with the empirical work on solving such sentences: Pulina and Tacchella [44] gave evidence suggesting that the Chen/Dalmau treewidth notion is a good estimator of empirical hardness. Adler and Weyer [2] generalized a tractability result of Flum, Frick, and Grohe as well as the tractability result of Chen and Dalmau by giving a notion of treewidth for first-order logic.

### 1.3 Structure of article

The remainder of this article is structured as follows. In Section 2, we present the basic notions to be used and to be studied in the article. In Section 3, we present our width notion, and also present the statement of the main theorem. In Section 4, we prove properties of prefixed graphs that will be of utility. In Section 5, we give our tractability result; in Section 6, we give our intractability result. We conclude in Section 7.

## 2 Preliminaries

Graphs and prefixes All graphs that we will consider are undirected, finite, and simple. A graph $G$ consists of a vertex set, denoted by $V(G)$, and an edge set, denoted by $E(G)$, which is a set of size-two subsets of $V(G)$. In this article, when $G, G^{\prime}$ are graphs with $V(G)=V\left(G^{\prime}\right)$ and $E(G) \subseteq E\left(G^{\prime}\right)$, we say that $G$ is a subgraph of $G^{\prime}$, and also that $G^{\prime}$ is a supergraph of $G$. The union $G \cup G^{\prime}$ of two graphs $G, G^{\prime}$ is defined to be the graph with vertex set $V(G) \cup V\left(G^{\prime}\right)$ and edge set $E(G) \cup E\left(G^{\prime}\right)$. When $S$ is a set, we use $K(S)$ to denote the clique on $S$, that is, the graph with vertex set $S$ and edge set $\left\{\left\{s, s^{\prime}\right\} \mid s, s^{\prime} \in S, s \neq s^{\prime}\right\}$.

Let $G$ be a graph and let $U \subseteq V(G)$ be a subset of the vertex set. The graph $G[U]$ is defined to be the graph with vertex set $U$ and edge set $E(G) \cap E(K(U))$. The graph $G \backslash U$ is defined to be the graph $G[V(G) \backslash U]$. The set of neighbors of $U$, denoted by $N(U)$, is defined to be the set $\{v \in V(G) \backslash$ $U \mid$ there exists $u \in U$ such that $\{u, v\} \in E\}$.

A quantifier prefix is a sequence of the form $Q_{1} v_{1} \ldots Q_{n} v_{n}$ where each $Q_{i} \in\{\forall, \exists\}$ is a quantifier, and the $v_{i}$ are pairwise distinct variables. Relative to a quantifier prefix, a variable $v_{i}$ for which $Q_{i}=\exists$ is called an existentially quantified variable or an existential variable; similarly, a variable $v_{i}$ for which $Q_{i}=\forall$ is called a universal variable or a universally quantified variable. A quantifier prefix $Q_{1} v_{1} \ldots Q_{n} v_{n}$ naturally induces an equivalence relation $\equiv_{B}$ on the variables $\left\{v_{1}, \ldots, v_{n}\right\}$ where $v_{i} \equiv v_{j}$ if either (1) $i \leq j$ and $Q_{i}=Q_{i+1}=\cdots=Q_{j}$, or (2) $j \leq i$ and $Q_{j}=Q_{j+1}=\cdots=Q_{i}$. Each equivalence class of $\equiv_{B}$ is called a block. We say that a block is existential if its variables are existentially quantified, and that a block is universal if its variables are universally quantified. Relative to a quantifier prefix $P=Q_{1} v_{1} \ldots Q_{n} v_{n}$, we define a preorder $\leq_{P}$ on the set of variables $\left\{v_{1}, \ldots, v_{n}\right\}$ where $v_{i} \leq_{P} v_{j}$ if and only if $v_{i} \equiv_{B} v_{j}$ or $i \leq j$. We write $v_{i}<_{P} v_{j}$ if and only if $v_{i} \leq_{P} v_{j}$ and $v_{i} \not 三_{B} v_{j}$. We drop the subscript in $\leq_{P}$ and $<_{P}$ if the quantifier prefix is clear from the context.

A prefixed graph is an undirected graph $G$ that has associated with it a quantifier prefix $P(G)=$ $Q_{1} v_{1} \ldots Q_{n} v_{n}$ where $v_{1}, \ldots, v_{n}$ is a list of the vertices of $V(G)$, with each vertex appearing exactly once. Let $G$ be a prefixed graph and let $U \subseteq V(G)$. We use $G[U]$ to denote the prefixed graph whose graph is $(V(G), E(G))[U]$ and whose quantifier prefix is the subsequence of $P(G)$ containing the elements of $U$. We use $G \backslash U$ to denote the prefixed graph $G[V(G) \backslash U]$.

Parameterized complexity We present the elements of parameterized complexity that will be used in the paper, and refer the reader to the book by Flum and Grohe [30] for more information.

Let $\Sigma$ be an alphabet used to encode decision problems. A parameterization is a polynomial-time computable mapping $\kappa: \Sigma^{*} \rightarrow \Sigma^{*}$ that maps each string $x$ to a parameter $\kappa(x)$. A parameterized problem is a pair $(Q, \kappa)$ consisting of a decision problem $Q \subseteq \Sigma^{*}$ and a parameterization $\kappa$.

A mapping $g$ defined on $\Sigma^{*}$ is said to be non-uniformly fixed-parameter tractable (nuFPT) with respect to a parameterization $\kappa$ if there exist a function $f$ from $\Sigma^{*}$ to the natural numbers and a polynomial $p$ (over the natural numbers) such that for every $k$, there exists an algorithm $A_{k}$ that computes $g$ on $\left\{x \in \Sigma^{*} \mid \kappa(x)=k\right\}$ in time bounded above by $f(\kappa(x)) p(|x|)$. A mapping $g$ defined on $\Sigma^{*}$ is said to be fixed-parameter tractable (FPT) with respect to a parameterization $\kappa$ if there exists a single algorithm $A$ that can, for every $k$, play the role of $A_{k}$ in the definition of nuFPT. A decision problem $(Q, \kappa)$ is in nuFPT if the characteristic function of $Q$ is nuFPT with respect to $\kappa$, and is in FPT if the characteristic function of $Q$ is FPT with respect to $\kappa$.

Let $(Q, \kappa),\left(Q^{\prime}, \kappa^{\prime}\right)$ be parameterized problems. A nuFPT (respectively, FPT) reduction from $(Q, \kappa)$ to ( $Q^{\prime}, \kappa^{\prime}$ ) is a nuFPT (respectively, FPT) mapping $g$ such that (1) for all $x \in \Sigma^{*}$, it holds that $x \in Q$ if and only if $g(x) \in Q^{\prime}$, and (2) for each $k$, the set $\kappa^{\prime}(g(\{x \mid \kappa(x)=k\}))$ is finite. We will make use of the following facts.

Proposition 2.1 The composition of a nuFPT reduction from $(Q, \kappa)$ to $\left(Q^{\prime}, \kappa^{\prime}\right)$ and a nuFPT reduction from $\left(Q^{\prime}, \kappa^{\prime}\right)$ to $\left(Q^{\prime \prime}, \kappa^{\prime \prime}\right)$ is a nuFPT reduction from $(Q, \kappa)$ to $\left(Q^{\prime \prime}, \kappa^{\prime \prime}\right)$.

Proposition 2.2 The class of decision problems in nuFPT is closed under nuFPT reductions.
We will exhibit reductions from the k -clique problem, which we view as the parameterized problem of deciding, given a pair $(G, k)$ consisting of a graph and a positive integer $k$, whether or not the graph contains a clique of size $k$; the parameterization is given by $\kappa(G, k)=k$. We also make use of the fact that the k-clique problem is complete for the parameterized complexity class known as $W$ [1] [30].

Problem framework By a signature, we mean a set consisting of relation symbols, each of which has a finite arity associated with it. Let $\sigma$ be a signature. A quantified conjunctive sentence over $\sigma$ is a first-order sentence of the form $P \phi$ where $P$ is a quantifier prefix and $\phi$ is the conjunction of $\sigma$-atomic formulas; by a $\sigma$-atomic formula, we mean a predicate application $R\left(v_{1}, \ldots, v_{k}\right)$ where $R \in \sigma$, the $v_{i}$ are variables, and $k$ is the arity of $R$. We remark that in defining these sentences, we do not assume that equality "comes for free", but rather, assume that equality, if used, is explicitly represented in the signature $\sigma$. We permit arity 0 relation symbols, and say that a signature is binary if each relation symbol has arity less than or equal to 2 .

A structure B over a signature $\sigma$ consists of a universe $B$, which is a set, and a relation $R^{\mathbf{B}} \subseteq B^{k}$ for each $R \in \sigma$; here, $k$ denotes the arity of $R$. Our results are robust across many natural representations of structures; two representations for which our results hold are (1) the representation of a relation by an explicit listing of included tuples, and (2) the representation of a relation by a truth table that contains a bit for every element of $B^{k}$, where $B$ is the universe and $k$ is the arity of the relation.

For a quantified conjunctive sentence $\Phi=P \phi$ with quantifier prefix $P=Q_{1} v_{1} \ldots Q_{n} v_{n}$, we define the prefixed graph $G_{\Phi}$ of $\Phi$ to be the graph with $V\left(G_{\Phi}\right)=\left\{v_{1}, \ldots, v_{n}\right\}, E\left(G_{\Phi}\right)$ equal to the set of all pairs $\left\{v_{i}, v_{j}\right\}$ such that $v_{i}, v_{j}$ are different and occur together in a $\phi$-atomic formula, and $P\left(G_{\Phi}\right)=P$.

Let $\mathcal{G}$ be a set of prefixed graphs. We define quantified conjunctive model checking over $\mathcal{G}$, denoted by QC-MC $(\mathcal{G})$, to be the problem of deciding, given a pair $(\Phi, \mathbf{B})$ consisting of

- a quantified conjunctive sentence $\Phi$ having $G_{\Phi} \in \mathcal{G}$, and
- a structure $\mathbf{B}$,
both over the same signature, whether or not $\mathbf{B} \models \Phi$. We will generally view QC-MC $(\mathcal{G})$ as a parameterized problem, and take its parameterization $\kappa$ to be the mapping defined by $\kappa(\Phi, \mathbf{B})=\Phi$.


## 3 Width definition and statement of main theorem

We now present our width notion. An elimination ordering of a prefixed graph $G$ is a pair ( $G^{\prime}, u_{1}, \ldots, u_{n}$ ) consisting of a supergraph $G^{\prime}$ of $(V(G), E(G))$ and an ordering $u_{1}, \ldots, u_{n}$ of the vertices $V(G)$ such that for all distinct variables $u_{i}, u_{j}$ in the ordering, the following conditions hold:
(1) If $u_{k}$ is an existential variable in the ordering such that $i<k, j<k,\left\{u_{i}, u_{k}\right\} \in E\left(G^{\prime}\right)$ and $\left\{u_{j}, u_{k}\right\} \in E\left(G^{\prime}\right)$, then $\left\{u_{i}, u_{j}\right\} \in E\left(G^{\prime}\right)$.
(2) If $\left\{u_{i}, u_{j}\right\} \in E\left(G^{\prime}\right), u_{i}$ is universal, $u_{j}$ is existential and $u_{i}<_{P(G)} u_{j}$, then $i<j$.
(3) If $u_{i}$ is existential, $u_{j}$ is universal and $u_{i}<_{P(G)} u_{j}$, then $i<j$.

Note that the ordering $u_{1}, \ldots, u_{n}$ of the vertices $V(G)$ may differ from the ordering given by the quantifier prefix $P(G)$. The width of an elimination ordering $\left(G^{\prime}, u_{1}, \ldots, u_{n}\right)$ is the maximum over all existential vertices $u_{k}$ of the quantity $w\left(u_{k}\right)=1+\left|\left\{u_{i} \mid i<k,\left\{u_{i}, u_{k}\right\} \in E\left(G^{\prime}\right)\right\}\right|$; if no existential vertices exist, it is defined as 0 . Note that $w\left(u_{k}\right)$ can be viewed as the size of the set containing $u_{k}$ along with all $G^{\prime}$-neighbors of $u_{k}$ that come before it in the ordering. The width of a prefixed graph $G$ is the minimum width over all of its elimination orderings. In the case that the prefixed graph $G$ contains only existential quantification, it is readily seen that our definition specializes to the definition of treewidth based on elimination orderings, and that the width of $G$ is equal to the treewidth of $G$ plus one. We refer the reader to Bodlaender [8] for characterizations of treewidth based on elimination orderings.

To achieve our positive algorithmic results, we perform a translation from quantified conjunctive sentences to a certain fragment of first-order logic, defined as follows. We use $\mathrm{FO}_{\forall}^{k}$ to denote the set containing each first-order formula $\phi$ such that every subformula of $\phi$ either has $k$ or fewer free variables or is a universally quantified atomic formula, by which we mean a formula of the form $\forall y_{1} \ldots \forall y_{j} \psi$ for an atomic formula $\psi$. This is a relaxation of $\mathrm{FO}^{k}$, the set of first-order formulas with at most $k$ variables, primarily due to our allowing universally quantified atomic formulas: note that it is known and straightforward to verify that for any formula $\phi$ whose subformulas have at most $k$ free variables, the formula $\phi$ can be rewritten to a logically equivalent $\mathrm{FO}^{k}$-formula by renaming variables. We use $\mathrm{QCFO}_{\forall}^{k}$ to denote the set of all $\mathrm{FO}_{\forall}^{k}$ formulas built from atomic formulas, conjunction $(\wedge)$, existential quantification ( $\exists$ ), and universal quantification $(\forall)$.

The following is the statement of our main theorem.
Theorem 3.1 Let $\mathcal{G}$ be a set of prefixed graphs.

- If there exists a constant $k \geq 1$ such that every prefixed graph in $\mathcal{G}$ has width less than or equal to $k$, then the problem $\mathrm{QC}-\mathrm{MC}(\mathcal{G})$ is polynomial-time decidable (and hence fixed-parameter tractable). In this case, there exists a polynomial-time algorithm that, given a quantified conjunctive sentence $\Phi$ whose prefixed graph is in $\mathcal{G}$, computes a logically equivalent sentence $\Phi^{\prime} \in \mathrm{QCFO}_{\forall}^{k}$.
- Otherwise, the problem QC-MC $(\mathcal{G})$ is not fixed-parameter tractable, even when restricted to binary signatures, unless $W[1] \subseteq$ nuFPT.

In the first case, that is, when there exists a constant $k \geq 1$ upper bounding the width of all prefixed graphs in $\mathcal{G}$, we say that the set $\mathcal{G}$ has bounded width; otherwise, we say that it has unbounded width. This theorem follows directly from Theorems 5.1, 5.2, and 6.5, proved below.

Remark 3.2 This theorem also gives a complexity classification on quantified disjunctive sentences. The definition of a quantified disjunctive sentence is that of a quantified conjunctive sentence, with the change that the quantifier-free part is a disjunction (rather than conjunction) of atomic formulas. The prefixed graph $G_{\Phi}$ of a quantified disjunctive sentence is defined identically. In the case that $\mathcal{G}$ is a set of graphs having bounded width, the tractability result applies to quantified disjunctive sentences; in particular, by taking the negations of quantified disjunctive sentences to obtain quantified conjunctive sentences, translating to the logic $\mathrm{QCFO}_{\forall}^{k}$, and then negating again, one obtains a translation into the logic $\mathrm{QCFO}_{\exists}^{k}$ that is dual to $\mathrm{QCFO}_{\forall}^{k}$. The intractability result also transfers to quantified disjunctive sentences: the key point is that model checking a set of quantified conjunctive sentences over binary signatures can be reduced to model checking a set of quantified disjunctive sentences in polynomial time, since computing the complements of the relations of structures can be performed in polynomial time under the assumption of bounded arity.

Remark 3.3 The non-uniformity of the complexity-theoretic assumption originates from the lack of any computability condition on the set $\mathcal{G}$. If the set $\mathcal{G}$ is assumed to be recursively enumerable, then the second part of the theorem can be proved under the (a priori) weaker assumption that $\mathrm{W}[1] \subseteq$ FPT does not hold. (The situation is the same, for example, in the papers by Grohe, Schwentick and Segoufin [39] and Grohe [38].)

Remark 3.4 By the results of Bodirsky and Grohe [6], there exists a family $\mathcal{G}$ of prefixed graphs such that QC-MC $(\mathcal{G})$ is in NP, but not NP-complete nor in P, unless P equals NP. This justifies the use of a complexity-theoretic assumption that is more refined than $\mathrm{P} \neq \mathrm{NP}$.

Example 3.5 We define a set of prefixed graphs $\mathcal{G}=\left\{G_{n}\right\}_{n \geq 1}$ as follows. For each $n \geq 1$, define $P\left(G_{n}\right)=$ $\exists x_{1} \ldots \exists x_{n} \forall y$ and $E\left(G_{n}\right)=\left\{\left\{x_{i}, y\right\} \mid i \in\{1, \ldots, n\}\right\}$. Each prefixed graph $G_{n}$ has width 1 via the elimination ordering $\left.((V) G), E(G)), x_{1}, \ldots, x_{n}, y\right)$, and our main theorem (Theorem 3.1) thus implies the tractability of $\mathrm{QC}-\mathrm{MC}(\mathcal{G})$. From [2, Proposition 3.16] and [2, Theorem 6.5], it follows directly that the sequence of quantified conjunctive formulas $\phi_{n}=\exists x_{1} \ldots \exists x_{n} \forall y \bigwedge_{1 \leq i \leq n} E\left(x_{i}, y\right)$ (given by [2, Proposition 3.16]) provably does not fall into the tractable classes presented by Flum, Frick, and Grohe [31] and Adler and Weyer [2]. But, the prefixed graph of each formula in this sequence is contained in $\mathcal{G}$.

Example 3.6 Consider the set of prefixed graphs $\mathcal{G}=\left\{G_{n}\right\}_{n \geq 1}$ defined as follows. For each $n \geq 1$, define $P\left(G_{n}\right)=\exists x_{1} \ldots \exists x_{n} \forall y \exists x$ and $E\left(G_{n}\right)=\{\{y, x\}\} \cup\left\{\left\{x_{i}, x\right\} \mid i \in\{1, \ldots, n\}\right\}$. Observe that each prefixed graph $G_{n}$ is a star graph (and a tree) where if the variable $y$ were to be removed, the result would be a star of existential variables. In an ordering satisfying the conditions of elimination ordering of $G_{n}$, by (3) each variable $x_{i}$ must appear before $y$, and by (2) the variable $y$ must appear before $x$. Hence, the only possible ordering, up to permutation of the variables $x_{i}$, is $x_{1}, \ldots, x_{n}, y, x$. For each such ordering, since $x$ is connected to all other vertices, by condition (1) a supergraph giving an elimination ordering must connect all of the vertices, that is, must be a clique. We thus have that the width of $G_{n}$ is $n+2$, and that the set $\mathcal{G}$ has unbounded width.

## 4 Properties of elimination orderings of prefixed graphs

For a prefixed graph $G$ and an arbitrary ordering $\bar{u}=u_{1}, \ldots, u_{n}$ of $V(G)$, define $G^{\bar{u}}$ to be the minimum (with respect to inclusion of the set of edges) supergraph of $G$ satisfying condition (1) in the definition of elimination ordering; the graph $G^{\bar{u}}$ can be computed by starting from $G$ and then iteratively adding edges wherever condition (1) is not satisfied, until a fixed point is reached. A straightforwardly verified fact that we will use is that, for any prefixed graph $G$ and any ordering $\bar{u}$ of $V(G)$, if $G^{\prime}$ is a graph such that $\left(G^{\prime}, \bar{u}\right)$ is an elimination ordering for $G$, then $\left(G^{\bar{u}}, \bar{u}\right)$ is an elimination ordering which has width less than or equal to that of $\left(G^{\prime}, \bar{u}\right)$. We shall abuse notation and denote $\left(G^{\bar{u}}, \bar{u}\right)$ by $\bar{u}$, and for instance will say that $\bar{u}$ is an elimination ordering to mean that $\left(G^{\bar{u}}, \bar{u}\right)$ is an elimination ordering.

In this section, we establish a number of results concerning our width notion that will be used to understand prefixed graphs from a computational standpoint. The first lemma, which follows, shows that any "projection" of an elimination ordering $\bar{u}$ for a graph $G$ is an elimination ordering for the corresponding induced subgraph of $G$. The second lemma gives, for an ordering $\bar{u}$, a description of $G^{\bar{u}}$ in terms of $G$ itself.

Lemma 4.1 If $\bar{u}=u_{1}, \ldots, u_{n}$ is an elimination ordering of a prefixed graph $G$ then for every selection of indices $i_{1}, \ldots, i_{m}$ with $1 \leq i_{1}<i_{2}<\cdots<i_{m} \leq n$, the subsequence $\overline{u^{\prime}}=u_{i_{1}}, \ldots, u_{i_{m}}$ is an elimination ordering of $G\left[\left\{u_{i_{1}}, \ldots, u_{i_{m}}\right\}\right]$ having width that is less than or equal to that of $\bar{u}$.

Proof. By assumption, we have that $\left(G^{\bar{u}}, \bar{u}\right)$ is an elimination ordering of $G$. It is straightforward to verify (using the definition of elimination ordering) that $\left(G^{\bar{u}}\left[\left\{u_{i_{1}}, \ldots, u_{i_{m}}\right\}\right], \overline{u^{\prime}}\right)$, the restriction of $\left(G^{\bar{u}}, \bar{u}\right)$ to $\overline{u^{\prime}}$, is an elimination ordering of $G\left[\left\{u_{i_{1}}, \ldots, u_{i_{m}}\right\}\right]$ having width that is less than or equal to that of $\bar{u}$. It follows that $\overline{u^{\prime}}=\left(G^{\overline{u^{\prime}}}, \overline{u^{\prime}}\right)$ is an elimination ordering having width that is less than or equal to that of $\bar{u}$.

Lemma 4.2 Let $G$ be a prefixed graph and $\bar{u}=u_{1}, \ldots, u_{n}$ be an ordering of $V(G)$. For every pair of indices $i, j$ with $1 \leq i<j \leq n$, the pair $\left\{u_{i}, u_{j}\right\}$ is an element of $E\left(G^{\bar{u}}\right)$ if and only if $u_{i}$ and $u_{j}$ are connected in $G\left[\left\{u_{i}, u_{j}\right\} \cup\left\{u_{l} \mid l>j, u_{l}\right.\right.$ is existential $\left.\}\right]$.

Proof. Consider the sequence of supergraphs $G_{i}^{\bar{u}}$ of $(V(G), E(G))$, defined for $i=n, \ldots, 1$ inductively as follows:

- $G_{n}^{\bar{u}}=(V(G), E(G))$
- $G_{i-1}^{\bar{u}}=G_{i}$ if $u_{i}$ is universal
- $E\left(G_{i-1}^{\bar{u}}\right)=E\left(G_{i}^{\bar{u}}\right) \cup K\left(\left\{u_{j} \mid j<i, u_{j} \in N\left(u_{i}\right)\right\}\right)$ if $u_{i}$ is existential (here, $N$ is with respect to $\left.G_{i}^{\bar{u}}\right)$

For every $k$ with $1 \leq k \leq n$ define $V_{k}$ as $\left\{u_{l} \mid l>k, u_{l}\right.$ is existential $\}$. Observe that $G_{0}^{\bar{u}}=G^{\bar{u}}$ and that $\left\{u_{i}, u_{j}\right\} \in G^{\bar{u}}$ if and only if $\left\{u_{i}, u_{j}\right\} \in G_{j}^{\bar{u}}$. The result follows by combining this observation with the following claim: for every $1 \leq i<j \leq k \leq n$ such that $\left\{u_{i}, u_{j}\right\} \notin E(G),\left\{u_{i}, u_{j}\right\} \in G_{k}^{\bar{u}}$ if and only if $u_{i}$ and $u_{j}$ are connected in $G\left[\left\{u_{i}, u_{j}\right\} \cup V_{k}\right]$.

We shall finish the proof by proving the claim. Let $i, j, k$ be a counterexample to the claim with $k-$ $j$ minimum. Since $G_{n}^{\bar{u}}=G$ it follows that $k<n$. By the minimality of $k-j$ we can assume that $\left\{u_{i}, u_{j}\right\} \notin G_{k+1}^{\bar{u}}$, that $u_{i}, u_{j}$ is not connected in $\left.G\left[\left\{u_{i}, u_{j}\right\} \cup V_{k+1}\right\}\right]$, and that $u_{k+1}$ is existential. Hence, we have that $\left\{u_{i}, u_{j}\right\} \in G_{k}^{\bar{u}}$ if and only if for every $l \in\{i, j\},\left\{u_{l}, u_{k+1}\right\}$ is an edge of $G_{k+1}^{\bar{u}}$, which by the induction hypothesis, is equivalent to the fact that for every $l \in\{i, j\}, u_{l}$ and $u_{k+1}$ are connected in $\left.G\left[\left\{u_{l}, u_{k+1}\right\} \cup V_{k+1}\right\}\right]$. This is equivalent, since, $u_{i}$ and $u_{j}$ are not connected in $\left.G\left[V_{k+1}\right]\right)$, to the fact $u_{i}$ and $u_{j}$ are connected in $\left.G\left[\left\{u_{i}, u_{j}\right\} \cup V_{k}\right\}\right]$.

We now introduce the notion of a final universal variable; intuitively, it is a universal variable $y$ that can be eliminated from a prefixed graph $G$, that is, can be placed in the final position of an elimination ordering. After this, we relate the width and elimination orderings of $G$ to those of $G \backslash\{y\}$. Following this, we define an analogous notion for the existential variables, that of final existential component, and establish analogous results.

Definition 4.3 Let $G$ be a prefixed graph. A universal variable $y \in V(G)$ that does not have any existential neighbor $x$ with $y<_{P(G)} x$ is called a final universal variable of $G$.

For two orderings $\overline{u_{1}}, \overline{u_{2}}$, we use the notation $\left(\overline{u_{1}}, \overline{u_{2}}\right)$ to denote the concatenation of the orderings $\overline{u_{1}}$ and $\overline{u_{2}}$. With respect to a prefixed graph $G$, we say that an elimination ordering $\left(G^{\prime}, \bar{u}\right)$ is minimal if its width is equal to that of $G$.

Lemma 4.4 If $y$ is a final universal variable of a prefixed graph $G$ then width $(G)=$ width $(G \backslash\{y\})$. In particular, if $\bar{u}$ is a minimal elimination ordering for $G \backslash\{y\}$, then $(\bar{u}, y)$ is a minimal elimination ordering for $G$.

Proof. ( $\leq$ ) If $\bar{u}$ is an elimination ordering of $G \backslash\{y\}$ then $(\bar{u}, y)$ is straightforwardly verified to be an elimination ordering of $G$ of the same width.
$(\geq)$ This follows directly from Lemma 4.1.

Definition 4.5 Let $G$ be a prefixed graph and let $B_{1}, \ldots, B_{r}$ be the blocks of its quantifier prefix $P(G)$, in order. A nonempty set $C \subseteq B_{r}$ is a final existential component if

- $B_{r}$ is existential,
- $C$ is a connected component of $G\left[B_{r}\right]$, and
- $r=1$ or $N(C) \cap B_{r-1} \neq \emptyset$.

Lemma 4.6 Assume that $G$ is a prefixed graph and $C$ is a final existential component of $G$. There is a minimal elimination ordering $\left(G^{\prime}, \bar{u}\right)$ of $G$ such that $(1) \bar{u}=\left(\overline{u_{1}}, \overline{u_{2}}\right)$ where $\overline{u_{2}}$ is an ordering of $C$, and (2) $G^{\prime}$ contains the edges of $K(N(C))$.

Proof. Let $\bar{u}$ be a minimal elimination ordering of $G$. If $v$ is a universal variable in $N(C)$ then $v$ preceeds (in $\bar{u}$ ) every element of $C$. Indeed, let $D \subseteq C$ be maximal with the property that $G[D]$ is connected and every variable in $D$ is preceeded by $v$ (in $\bar{u}$ ). Clearly, $v \in N(D)$. If there is some variable $w \in C \backslash D$ then there is such a variable $w$ in $N(D)$ and by Lemma 4.2, $G^{\bar{u}}$ contains $\{v, w\}$ contradicting condition (2) of elimination ordering.

Let $B_{1}, \ldots, B_{r}$ be the quantifier blocks of $P(G)$, in order. Write $\bar{u}$ as $u_{1}, \ldots, u_{n}$, and let $u_{i} \in C$.
We claim that if $j>i$ and $u_{j}$ is existential then $u_{j} \in B_{r}$. Why? By definition of final existential component, $N(C) \cap B_{r-1}$ contains some element $v$ which, by the previous claim, should preceed $u_{i}($ in $\bar{u})$. Hence, $v$ preceeds $u_{j}$ (in $\bar{u}$ ). If $u_{j}$ was not in the block $B_{r}$, then it would be in a block strictly preceeding (in $P(G)$ ) the block $B_{r-1}$, and this would violate condition (3) of elimination ordering.

Assume now that $u_{i+1} \notin C$. We claim that $\left\{u_{i}, u_{i+1}\right\} \notin E\left(G^{\bar{u}}\right)$. If $u_{i+1}$ is universal, then this follows immediately from condition (2) of elimination ordering. If $u_{i+1}$ is existential, then this follows from the previous claim and Lemma 4.2.

As a consequence of this claim, the ordering obtained by switching the positions of $u_{i}$ and $u_{i+1}$ is an elimination ordering of the same width. Iterative application of this argument shows that there is a minimal elimination ordering satisfying (1). Finally, it follows from Lemma 4.2 that any ordering satisfying (1) also satisfies (2).

Definition 4.7 For a final existential component $C$ of a prefixed graph $G$, define $G^{-C}$ to be the prefixed graph with $V\left(G^{-C}\right)=V(G) \backslash C, E\left(G^{-C}\right)=E(G \backslash C) \cup E(K(N(C)))$, and $P\left(G^{-C}\right)$ equal to $P(G)$ but with the variables in $C$ (and their accompanying quantifiers) removed.

Lemma 4.8 Assume that $G$ is a prefixed graph and $C$ is a final existential component thereof. Then

$$
\operatorname{width}(G)=\max \left(\operatorname{width}\left(G^{-C}\right), \text { width }(G[C \cup N(C)])\right.
$$

Furthermore, there is a polynomial-time algorithm that computes a minimal elimination ordering for $G$ given a minimal elimination ordering for $G^{-C}$ and $G[C \cup N(C)]$.

Proof. $(\geq)$ Let $\left(G^{\prime}, \bar{u}\right)$ be the minimal elimination ordering of $G$ obtained by applying Lemma 4.6 to $G$ and $C$. By applying Lemma 4.1 to the subsequences of $\bar{u}$ containing the vertices of $V(G) \backslash C$ and $C \cup N(C)$, respectively, one obtains elimination orderings for $G^{-C}$ and $G[C \cup N(C)]$ of width less than or equal to width $(G)$.
$(\leq)$ Let $\left(G^{\bar{u}-C}, \overline{u_{-C}}\right)$ be a minimal elimination ordering for $G^{-C}$. By Lemma 4.6, there exists a minimal elimination ordering of $G[C \cup N(C)]$ having the form $\left(G^{\prime},\left(\overline{u_{N(C)}}, \overline{u_{C}}\right)\right)$ where $\overline{u_{N(C)}}$ is an ordering of
$N(C), \overline{u_{C}}$ is an ordering of $C$, and $G^{\prime}$ contains the edges of $K(N(C))$. Observe that the two elimination orderings $\left(G^{\overline{u-C}}, \overline{u_{-C}}\right),\left(G^{\prime},\left(\overline{u_{N(C)}}, \overline{u_{C}}\right)\right)$ overlap in exactly the variables $N(C)$, and each have graphs that contain the edges of $K(N(C))$. (Note that the graph $G^{\bar{u}-C}$ contains the edges of $K(N(C)$ ), by the definition of $G^{-C}$.)

We claim that $\left(G^{\overline{u_{-C}}} \cup G^{\prime},\left(\overline{u_{-C}}, \overline{u_{C}}\right)\right)$ is an elimination ordering of $G$. We verify this as follows. First, observe that any $G$-edge including a vertex in $C$ is contained in $G[C \cup N(C)]$ and hence $G^{\prime}$, and any $G$ edge including a vertex in $V(G) \backslash C$ is contained in $G^{-C}$ and hence $G^{\bar{u}-C}$; thus, the graph $G^{\bar{u}-C} \cup G^{\prime}$ is a supergraph of $G$. We now verify each of the conditions (1)-(3).
(1) Consider first an existential variable $u_{k}$ in $C$. Any edges including $u_{k}$ must be contained in $E\left(G^{\prime}\right)$, and hence condition (1) is satisfied for $u_{k}$, since $\left(G^{\prime},\left(\overline{u_{N(C)}}, \overline{u_{C}}\right)\right)$ is an elimination ordering. Consider next an existential variable $u_{k}$ in $V(G) \backslash C$, and assume that $i<k$ and $j<k$. The variables $u_{i}, u_{j}, u_{k}$ are all contained in $\overline{u_{-C}}$, and any edges between the variables $u_{i}, u_{j}, u_{k}$ that are contained in $G^{u_{-C}} \cup G^{\prime}$ must be contained in $G^{\bar{u}-C}$. That condition (1) holds for $u_{k}$ follows from the fact that ( $G^{\overline{u-C}}, \overline{u_{-C}}$ ) is an elimination ordering.
(2) Suppose that $\left\{u_{j}, u_{j}\right\}$ is an edge, $u_{i}$ is universal, $u_{j}$ is existential, and $u_{i}<_{P(G)} u_{j}$. The variable $u_{i}$ must be contained in $V(G) \backslash C$, since it is universal. If $u_{j}$ is contained in $V(G) \backslash C$, then $i<j$ follows from the fact that $\left(G^{\overline{u_{-C}}}, \overline{u_{-C}}\right)$ is an elimination ordering (and itself obeys (2)). If $u_{j}$ is contained in $C$, then $i<j$ follows directly from the definition of the given ordering.
(3) Suppose that $u_{i}$ is existential, $u_{j}$ is universal, and $u_{i}<_{P(G)} u_{j}$. The variable $u_{j}$ must be contained in $V(G) \backslash C$, since it is universal. The variable $u_{i}$ must also be contained in $V(G) \backslash C$, since it does not occur in the last block and hence cannot be an element of a final existential component. Thus, $i<j$ follows from the fact that ( $\left.G^{\overline{u-C}}, \overline{u_{-C}}\right)$ is an elimination ordering (and itself obeys (3)).

Having established that $\left(G^{\bar{u}-C} \cup G^{\prime},\left(\overline{u_{-C}}, \overline{u_{C}}\right)\right)$ is an elimination ordering of $G$, it remains only to show that this elimination ordering has width less than or equal to $\max \left(\right.$ width $\left(G^{-C}\right)$, width $(G[C \cup N(C)])$. To demonstrate this, the following observation suffices. For any existential variable $x$, the value of $w(x)$ in our elimination ordering $\left(G^{\bar{u}-C} \cup G^{\prime},\left(\overline{u_{-C}}, \overline{u_{C}}\right)\right)$ is equal to the value of $w(x)$ in $\left(G^{\bar{u}-C}, \overline{u_{-C}}\right)$ when $x \in V(G) \backslash C$, and is equal to the value of $w(x)$ in $\left(G^{\prime},\left(\overline{u_{N(C)}}, \overline{u_{C}}\right)\right)$ when $x \in C$.

Definition 4.9 When $G$ is a prefixed graph containing a final existential component $C$ such that $V(G)=$ $C \cup N(C)$, we refer to $G$ as a simple prefixed graph.

We remark that for any prefixed graph $G$ and any final existential component $C$ thereof, the prefixed graph $G[C \cup N(C)]$ is always simple.

We now turn to present a result on the width of simple prefixed graphs, but before doing so, present the following lemma that will be of help. We say that a prefixed graph $G$ is existential if all of the variables are existentially quantified in $P(G)$. For an existential prefixed graph $G$, we have that the width of $G$ is equal to the treewidth of $(V(G), E(G))$ plus one.

Lemma 4.10 Let $G$ be an existential prefixed graph of width $k$ and let $u_{1}, \ldots, u_{i}$ be a clique of $G$. Then there exists an elimination ordering for $G$ of width $k$ that starts with $u_{1}, \ldots, u_{i}$.

Lemma 4.10 is a well-known property of ordinary treewidth.

Lemma 4.11 Let $G$ be a simple prefixed graph with final existential component $C$ and let $H$ be an existential prefixed graph with $V(H)=V(G)$ and $E(H)=E(G) \cup E(K(V(G) \backslash C))$. Then width $(G)=\operatorname{width}(H) \leq$ $|(V(G) \backslash C)|+\operatorname{width}(G[C])$.

Proof. ( width $(G) \leq \operatorname{width}(H)$ ): Let $\overline{u_{1}}$ be an ordering of $V(G) \backslash C$ such that for all $u, v \in V(G) \backslash C$, if $u<_{P(G)} v$ then $u$ precedes $v$ in $\overline{u_{1}}$. By Lemma 4.10 there is a minimal elimination ordering for $H$ of the form $\left(\overline{u_{1}}, \overline{u_{2}}\right)$, where $\overline{u_{2}}$ is an ordering of the variables in $C$. It is readily verified that $\left(\overline{u_{1}}, \overline{u_{2}}\right)$ is an elimination ordering for $G$ that has width less than or equal to the width of $\left(\overline{u_{1}}, \overline{u_{2}}\right)$ viewed as an elimination ordering for $H$.
(width $(G) \geq$ width $(H)$ ): By Lemma 4.6 there exists a minimal elimination ordering ( $G^{\bar{u}}, \bar{u}$ ) for $G$ of the form $\left(\overline{u_{1}}, \overline{u_{2}}\right)$ where $\overline{u_{2}}$ is an ordering of $C$ and where $G^{\bar{u}}$ contains all edges of $K(N(C))$. Let $\left(H^{\prime}, \bar{u}\right)$ be the elimination ordering for $H$ induced by $\bar{u}$. It is straightforward to verify that $\left(H^{\prime}, \bar{u}\right)$ is equal to $\left(G^{\bar{u}}, \bar{u}\right)$. We claim that, with respect to this common elimination ordering, the width of $H$ is less than or equal to the width of $G$.

Let $x_{0} \in C$ be the first variable that occurs in $\overline{u_{2}}$, and let $k$ be $|V(G) \backslash C|$. By Lemma 4.2, for each variable $v$ in $V(G) \backslash C$, it holds that $\left\{v, x_{0}\right\}$ is an edge in $G^{\bar{u}}$. Hence $w\left(x_{0}\right)$ in $\left(G^{\bar{u}}, \bar{u}\right)$ is equal to $k+1$. To establish the claim, consider any variable $v \in V(G)$. If $v$ is existentially quantified in $G$, then $w(v)$ is taken into account when computing the width of $\left(G^{\bar{u}}, \bar{u}\right)$ for each of $G$ and $H$. If $v$ is universally quantified in $G$, then $w(v)$ is taken into account when computing the width of $\left(G^{\bar{u}}, \bar{u}\right)$ for $H$, but not $G$; however, $w(v)$ is less than or equal to $k$ and hence less than or equal to $w\left(x_{0}\right)$.
(width $(H) \leq|(V(G) \backslash C)|+$ width $(G[C])$ : Let $\overline{u_{1}}$ be an arbitrary ordering of the variables in $V(H) \backslash C$ and $\overline{u_{2}}$ be a minimal elimination ordering for $G[C]=H[C]$. Then $\left(\overline{u_{1}}, \overline{u_{2}}\right)$ is an elimination ordering for $H$ of width at most $|(V(G) \backslash C)|+\operatorname{width}(G[C])$.

We can now establish a basic computational property of our width notion: for any fixed $k$, an elimination ordering for an input prefixed graph $G$ of width at most $k$ can be efficiently computed, if one exists at all.

Theorem 4.12 For every $k \geq 1$ there is a polynomial-time algorithm that, given as input a prefixed graph $G$, computes an elimination ordering for $G$ of width less than or equal to $k$ if width $(G) \leq k$, and otherwise, correctly reports "width $(G)>k$ ".

Proof. The algorithm in fact computes a minimal elimination ordering (if it holds that width $(G) \leq k$ ). The following is the description of the algorithm.

- If the last quantifier block of the current graph $G$ is universal, then, for any variable $y$ in that block, the algorithm is called on $G \backslash\{y\}$, and if an elimination ordering is returned, $y$ is concatenated to it. This is justified by Lemma 4.4.
- If the last quantifier block of the current graph $G$ is existential, and $G$ has a final existential component $C$, it is checked if the width of $G[C \cup N(C)]$ is less than or equal to $k$ by Lemma 4.11 and an algorithm for computing treewidth [7]. If this check fails, the algorithm halts and reports "width $(G)>k$ "; this is justified by Lemma 4.8. Otherwise, a minimal elimination ordering for $G[C \cup N(C)]$ is recorded, and the algorithm is recursively applied to $G^{-C}$. If the recursive call returns an elimination ordering, then the algorithm returns a minimal elimination ordering for $G$ by making use of the algorithm of Lemma 4.8.
- If the last quantifier block $B$ of the current graph $G$ is existential, and $G$ has no final existential component $C$, then the following is performed.

If $B$ is the only existential quantifier block, then either it is the only quantifier block or there is one other quantifier block that is universal. Then, since $G[B]$ is purely existential, its width is equal to the treewidth of the graph of $G[B]$ plus one. So, an algorithm for treewidth [7] checks if the treewidth of this graph is less than or equal to $k-1$; if so, it finds a minimal elimination ordering $\bar{u}$ of $G[B]$ and outputs the ordering consisting of any universal variables of $G$ followed by $\bar{u}$.
If $B$ is not the only existential quantifier block, let $B_{1}, \ldots, B_{r}$ denote the quantifier blocks of $P(G)$. Then let $G^{\prime}$ be the prefixed graph equal to $G$ but with the change that $P\left(G^{\prime}\right)$ is equal to $B_{1}, \ldots, B_{r-2} \cup$ $B_{r}, B_{r-1}$. It is straightforward to verify that the elimination orderings of $G$ and $G^{\prime}$ coincide, and so width $(G)=\operatorname{width}\left(G^{\prime}\right)$. Thus, the algorithm is called recursively on $G^{\prime}$.

## 5 Tractability

In this section, we establish the first part of Theorem 3.1. We first show that, when $k$ upper bounds the width of a quantified conjunctive sentence, the sentence can be efficiently translated into an equivalent sentence in $\mathrm{QCFO}_{\forall}^{k}$ (Theorem 5.1). We then show that for any fixed $k$, sentences in $\mathrm{QCFO}_{\forall}^{k}$ can be efficiently model-checked (Theorem 5.2).

Theorem 5.1 For each constant $k \geq 1$, there exists a polynomial-time algorithm that, given any quantified conjunctive sentence $\Phi$ whose prefixed graph has width less than $k$ computes a logically equivalent sentence $\Phi^{\prime} \in \mathrm{QCFO}_{\forall}^{k}$.

The idea of the proof of Theorem 5.1 is as follows. The algorithm is defined by induction on the length of an elimination ordering $u_{1}, \ldots, u_{n}$ for $\Phi$. Two cases are considered depending on how the last variable $u_{n}$ in the elimination ordering is quantified. In each of the two cases, a formula $\Psi$ is constructed; this formula $\Psi$ is structurally similar to $\Phi$, and has $u_{1}, \ldots, u_{n-1}$ as an elimination ordering. The algorithm can then be applied inductively to $\Psi$, and then from the resulting $\mathrm{QCFO}_{\forall}^{k}$ formula, the desired formula $\Phi^{\prime} \in \mathrm{QCFO}_{\forall}^{k}$ can be constructed.
Proof. (Theorem 5.1) The algorithm first invokes the algorithm of Theorem 4.12 to obtain an elimination ordering $\bar{u}=u_{1}, \ldots, u_{n}$ of the prefixed graph $G$ of $\Phi$. Following this, we define the behavior of the algorithm inductively on the length of the elimination ordering $\bar{u}$. In the case that $n=0$, the sentence $\Phi$ does not contain any variables, and the algorithm outputs $\Phi$.

Now suppose that the sequence has length $n>0$. Assume that $\Phi$ has the form $P \phi$ where $P$ is the quantifier prefix and $\phi$ is the quantifier-free part. We describe how the sentence $\Phi^{\prime}$ is computed in two cases, depending on whether $u_{n}$ is existential or universal.

Assume first that $u_{n}$ is universal. We write $\phi$ as a conjunction of atomic formulas $\phi_{1} \wedge \cdots \wedge \phi_{m}$. Variable $u_{n}$ is a final universal variable by condition (2) of elimination ordering, and thus we have that $\Phi$ is logically equivalent to $P^{\prime} \forall u_{n} \phi$ where $P^{\prime}$ is obtained by removing $\forall u_{n}$ from $P$. Also we have

$$
P^{\prime} \forall u_{n}\left(\phi_{1} \wedge \cdots \wedge \phi_{m}\right) \equiv P^{\prime}\left(\left(\forall u_{n} \phi_{1}\right) \wedge \cdots \wedge\left(\forall u_{n} \phi_{m}\right)\right)
$$

where $\equiv$ denotes logical equivalence. Define $\psi_{i}=\left(\forall u_{n} \phi_{i}\right)$ for all $i \in\{1, \ldots, m\}$. Define $\Psi$ to be the formula $P^{\prime}\left(R_{1}\left(\overline{t_{1}}\right) \wedge \cdots \wedge R_{m}\left(\overline{t_{m}}\right)\right)$ where the $R_{i}$ are new relation symbols and $\overline{t_{i}}$ is a tuple containing the free variables of $\psi_{i}$.

The ordering $u_{1}, \ldots, u_{n-1}$ is an elimination ordering of the prefixed graph of $\Psi$, and so the algorithm can be invoked recursively to obtain a sentence $\Psi^{\prime} \in \mathrm{QCFO}_{\forall}^{k}$ that is logically equivalent to $\Psi$. Define $\Phi^{\prime}$ to be the formula obtained from $\Psi^{\prime}$ by replacing each instance of $R_{i}\left(\overline{t_{i}}\right)$ in $\Psi^{\prime}$ with $\psi_{i}$. The formula $\Phi^{\prime}$ is clearly logically equivalent to $\Phi$. We now argue that $\Phi^{\prime} \in \mathrm{QCFO}_{\forall}^{k}$.

Consider a subformula $\phi^{*}$ of $\Phi^{\prime}$. If $\phi^{*}$ is a subformula of one of the $\psi_{i}$, then by definition $\phi^{*}$ is a universally quantified atomic formula. Otherwise, we have that $\phi^{*}$ is obtained from a subformula $\psi^{*}$ of $\Psi^{\prime}$ by replacing each instance of $R_{i}\left(\overline{t_{i}}\right)$ in $\psi^{*}$ with $\psi_{i}$. By induction, it holds that $\Psi^{\prime} \in \mathrm{QCFO}_{\forall}^{k}$, implying that $\psi^{*}$ either has $k$ or fewer free variables or is a universally quantified atomic formula. It follows that $\phi^{*}$ must also have $k$ or fewer variables or be a universally quantified atomic formula.

Assume now that $u_{n}$ is existential. By condition (3) of elimination ordering $u_{n}$ belongs to the last quantifier block of $P$ implying that $\Phi$ is logically equivalent to $P^{\prime} \exists u_{n} \phi$, where $P^{\prime}$ is obtained by removing $\exists u_{n}$ from $P$. Write $\phi$ as $\phi_{1} \wedge \phi_{2}$ where $\phi_{1}$ is the conjunction of all atomic formulas from $\phi$ that do not contain $u_{n}$, and $\phi_{2}$ is the conjuntion of all atomic formulas from $\phi$ that do contain $u_{n}$. We have $P^{\prime} \exists u_{n}\left(\phi_{1} \wedge \phi_{2}\right) \equiv$ $P^{\prime}\left(\phi_{1} \wedge\left(\exists u_{n} \phi_{2}\right)\right)$. Define $\Psi$ to be the formula $P^{\prime}\left(\phi_{1} \wedge R(\bar{t})\right)$, where $R$ is a new relation symbol and $\bar{t}$ is a tuple containing the free variables of $\exists u_{n} \phi_{2}$. The prefixed graph of $\Psi$ has as elimination ordering $\left(G^{\bar{u}}\left[\left\{u_{1}, \ldots, u_{n-1}\right\}\right],\left(u_{1}, \ldots, u_{n-1}\right)\right)$, and so the algorithm can be invoked recursively to obtain a sentence $\Psi^{\prime} \in \mathrm{QCFO}_{\forall}^{k}$ that is logically equivalent to $\Psi$. Define $\Phi^{\prime}$ to be the formula obtained from $\Psi^{\prime}$ by replacing $R(\bar{t})$ in $\Psi^{\prime}$ with $\exists u_{n} \phi_{2}$. The formula $\Phi^{\prime}$ is clearly logically equivalent to $\Phi$.

We now argue that $\Phi^{\prime} \in \mathrm{QCFO}_{\forall}^{k}$. Consider a subformula $\phi^{*}$ of $\Phi^{\prime}$. If $\phi^{*}$ is a subformula of one of the $\exists u_{n} \phi_{2}$, then the claim follows because $\phi_{2}$ has at most $k$ variables ( $\phi_{2}$ has at most $k$ variables since, by condition (1) in the definition of elimination ordering, $u_{n}$ and all of its neighbors in the prefixed graph of $G$ form a clique in the graph of $G$ ). Otherwise $\phi^{*}$ is obtained from a subformula $\psi^{*}$ of $\Psi^{\prime}$ by replacing each instance of $R(\bar{t})$ in $\psi^{*}$ with $\exists u_{n} \phi_{2}$. By induction, we have that $\Psi^{\prime} \in \mathrm{QCFO}_{\forall}^{k}$. We consider the form of $\psi^{*}$. If $\psi^{*}$ has $k$ or fewer free variables, then $\phi^{*}$ also has $k$ or fewer free variables. If $\psi^{*}$ is a universally quantified atomic formula, we consider the atomic formula underlying $\psi^{*}$. If this atomic formula came from $\phi_{1}$, then $\phi^{*}$ is equal to $\psi^{*}$. If this atomic formula is equal to $R(\bar{t})$, then it must have $(k-1)$ or fewer variables, since $\bar{t}$ has $(k-1)$ or fewer variables; it follows that $\phi^{*}$ must also have $(k-1)$ or fewer variables.

Theorem 5.2 If $\mathcal{G}$ is a set of prefixed graphs having bounded width, then the problem QC-MC( $\mathcal{G})$ is polynomial-time decidable.

Proof. There exists a constant $k$ upper bounding the width of all prefixed graphs in $\mathcal{G}$. The algorithm behaves as follows. Given an instance $(\Phi, \mathbf{B})$ of $\mathrm{QC}-\mathrm{MC}(\mathcal{G})$, the algorithm invokes the algorithm of Theorem 5.1, with respect to $k$, to obtain a logically equivalent sentence $\Phi^{\prime} \in \mathrm{QCFO}_{\forall}^{k}$. The algorithm then evaluates $\Phi^{\prime}$ on $\mathbf{B}$ in the natural fashion, computing the satisfying assignments for each subformula of $\Phi^{\prime}$ recursively [45]. The set of satisfying assignments for a subformula $\phi^{*}$ of $\Phi$ is less than or equal to $|B|^{k}$ in the case that $\phi^{*}$ has $k$ or fewer variables, and is less than or equal to $\left|R^{\mathbf{B}}\right|$ in the case that $\phi^{*}$ is a universally quantified atomic formula where the atomic formula is on relation symbol $R$. Thus, the set of satisfying assignments for each subformula is bounded above by a polynomial in the input representation, and the algorithm can be carried out in polynomial time.

## 6 Intractability

In this section, we establish hardness of the problem $\mathrm{QC}-\mathrm{MC}(\mathcal{G})$ for graph sets $\mathcal{G}$ having unbounded width. It will be convenient to work with relatively quantified formulas. For a unary relation symbol $S$, we use
$(\forall y \in S) \phi$ as syntactic shorthand for $\forall y(S(y) \rightarrow \phi)$. Let $G$ be a prefixed graph. Let $\sigma_{G}$ be the signature $\left\{R_{\left(v, v^{\prime}\right)} \mid\left\{v, v^{\prime}\right\} \in E(G)\right\} \cup\left\{S_{y} \mid \forall y\right.$ appears in $\left.P(G)\right\}$ where the $R_{\left(v, v^{\prime}\right)}$ are binary relation symbols and the $S_{y}$ are unary relation symbols. Let $R(G)$ be defined to be equal to $P(G)$, but with each universally quantified variable $\forall y$ replaced with $\forall y \in S_{y}$. Define $\phi_{G}$ to be the quantifier-free formula $\bigwedge_{\left\{v, v^{\prime}\right\} \in E(G)} R_{\left(v, v^{\prime}\right)}\left(v, v^{\prime}\right)$. Define $\Phi_{G}$ to be the sentence $R(G) \phi_{G}$. We have that $\Phi_{G}$ is a sentence over $\sigma_{G}$. We will interpret $\Phi_{G}$ over structures $\mathbf{B}$ having the property that for every $\left\{v, v^{\prime}\right\} \in E(G)$, it holds that $\left\{(a, b) \mid(a, b) \in R_{\left(v, v^{\prime}\right)}^{\mathbf{B}}\right\}=\left\{(a, b) \mid(b, a) \in R_{\left(v^{\prime}, v\right)}^{\mathbf{B}}\right\}$. When working with structures, for an edge $\left\{v, v^{\prime}\right\} \in E(G)$, we may discuss only one of $R_{\left(v, v^{\prime}\right)}, R_{\left(v^{\prime}, v\right)}$, which will be justified by this property; for instance, in defining structures, we may define the interpretation of just one of $R_{\left(v, v^{\prime}\right)}, R_{\left(v^{\prime}, v\right)}$.

Let $\mathcal{G}$ be a set of prefixed graphs. We define $\operatorname{RQC}-\mathrm{MC}(\mathcal{G})$ to be the parameterized problem of deciding, given a pair $\left(\Phi_{G}, \mathbf{B}\right)$ where $G \in \mathcal{G}$ and where $\mathbf{B}$ is a structure over $\sigma_{G}$, whether or not $\mathbf{B} \models \Phi_{G}$; the prefixed graph $G$ is taken as the parameter of an instance. Note that the R of RQC-MC is intended to indicate that the quantified formulas are relatively quantified. The following lemma shows that it is sufficient to prove the hardness of RQC-MC $(\mathcal{G})$.

Lemma 6.1 For any set $\mathcal{G}$ of prefixed graphs, there exists a polynomial-time reduction from the problem RQC-MC $(\mathcal{G})$ to the problem $\mathrm{QC}-\mathrm{MC}(\mathcal{G})$.

Proof. Let $\left(\Phi_{G}, \mathbf{B}\right)$ be an instance of RQC-MC $(\mathcal{G})$. We assume that for each universally quantified variable $y$ of $G$, it holds that $S_{y}^{\mathbf{B}} \neq \emptyset$. For each universally quantified variable $y$ of $G$, we fix a mapping $f_{y}: B \rightarrow S_{y}^{\mathrm{B}}$ where $f_{y}$ acts as the identity on $S_{y}^{\mathrm{B}}$. Let $\Phi$ be the formula $P(G) \phi_{G}$. We describe a structure $\mathbf{B}^{\prime}$ such that $\left(\Phi, \mathbf{B}^{\prime}\right)$ is an equivalent instance of $\mathrm{QC}-\mathrm{MC}(\mathcal{G})$, as follows. We have $B^{\prime}=B$.

If $y, y^{\prime}$ are both universally quantified, we define $R_{\left(y, y^{\prime}\right)}^{\mathbf{B}^{\prime}}=B \times B$ if $R_{\left(y, y^{\prime}\right)}^{\mathbf{B}} \supseteq S_{y}^{\mathbf{B}} \times S_{y^{\prime}}^{\mathrm{B}}$, and we define $R_{\left(y, y^{\prime}\right)}^{\mathrm{B}^{\prime}}=\emptyset$ otherwise. If $y$ is universally quantified and $x$ is existentially quantified, we define $R_{(y, x) .}^{\mathbf{B}^{\prime}}=\left(R_{(y, x)}^{\mathbf{B}} \cap\left(S_{y}^{\mathbf{B}} \times B\right)\right) \cup\left\{\left(b_{1}, b_{2}\right) \mid\left(f_{y}\left(b_{1}\right), b_{2}\right) \in R_{(y, x)}^{\mathbf{B}}\right\}$. An existential winning strategy for $\left(\Phi, \mathbf{B}^{\prime}\right)$ is straightforwardly verified to also be an existential winning strategy for $\left(\Phi_{G}, \mathbf{B}\right)$. In the other direction, suppose that there is an existential winning strategy [37, Chapter 3] for $\left(\Phi_{G}, \mathbf{B}\right)$. Simulating this strategy and mapping the value assigned to each universally quantified variable $y$ under $f_{y}$ is straightforwardly verified to give an existential winning strategy for $(\Phi, \mathbf{B})$.

If $G$ and $H$ are prefixed graphs, we say that $H$ is a simplification of $G$, denoted $H \prec G$, if:

1. $H=G^{-C}$ where $C$ is a final existential component of $G$, or
2. $H=G[U]$ where $U \subsetneq V(G)$.

We will now show, in the next lemma, that when $H \prec G$, there is a quite desirable type of reduction from RQC-MC $(\{H\})$ to $\operatorname{RQC}-\mathrm{MC}(\{G\})$, in particular, a reduction that increases the universe size of the structure by at most a multiplicative constant (Lemma 6.2). Here, by $\{H\}$, we denote the set that contains $H$ as its single element; the notation $\{G\}$ is explained analogously. The following lemma will then show (essentially) that, with respect to the problem $\operatorname{RQC}-\mathrm{MC}(\cdot)$, there is a nu-fpt reduction from any graph $G^{\prime}$ derived by taking simplifications of $\mathcal{G}$-graphs to $\mathcal{G}$ itself (Lemma 6.3); the reduction iteratively applies the reduction in Lemma 6.2.

Lemma 6.2 There exists a polynomial-time mapping that, given

- a pair $H, G$ of non-empty prefixed graphs such that $H \prec G$ and
- an instance $\left(\Phi_{H}, \mathbf{B}\right)$ of $\operatorname{RQC}-\mathrm{MC}(\{H\})$,
computes an instance $\left(\Phi_{G}, \mathbf{B}^{\prime}\right)$ of $\operatorname{RQC}-\mathrm{MC}(\{G\})$ that is equivalent in the sense that $\mathbf{B} \models \Phi_{H}$ if and only if $\mathbf{B}^{\prime} \models \Phi_{G}$; in addition, for each such pair $H \prec G$, there exists a constant $L \geq 1$ such that any pair of instances $\left(\Phi_{H}, \mathbf{B}\right),\left(\Phi_{G}, \mathbf{B}^{\prime}\right)$ related by the mapping has $\left|B^{\prime}\right| \leq L|B|$.

Proof. Suppose that $H=G[U]$ for a subset $U \subsetneq V(G)$, and let $\left(\Phi_{H}, \mathbf{B}\right)$ be an instance of RQC-MC $(\{H\})$. Let $W$ denote the variables $V(G) \backslash U$. We define $\mathbf{B}^{\prime}$ as follows. For each $w \in W$, let $b_{w}$ be a fresh value, and let $B^{\prime}=B \cup\left\{b_{w} \mid w \in W\right\}$. For every universally quantified variable $w \in W$, we define $S_{w}^{\mathbf{B}^{\prime}}=\left\{b_{w}\right\}$. For every pair of distinct variables $w, w^{\prime} \in W$, we define $R_{\left(w, w^{\prime}\right)}^{\mathbf{B}^{\prime}}=\left\{\left(b_{w}, b_{w^{\prime}}\right)\right\}$. For every pair of variables $w \in W, u \in U$, we define $R_{(w, u)}^{\mathbf{B}^{\prime}}=\left\{b_{w}\right\} \times B$. It is straightforward to verify that $\mathbf{B} \models \Phi_{H}$ if and only if $\mathbf{B}^{\prime} \models \Phi_{G}$.

Suppose now that $H=G^{-C}$ for a final existential component $C$ of $G$ with $B_{1}, \ldots, B_{r}$ the blocks of $P(G)$. If $r=1$, we can use the previous argument, so we argue the case where $r>1$ and where $N(C) \cap B_{r-1} \neq \emptyset$.

We define a structure $\mathbf{B}^{\prime}$ in the following way.
Fix a variable $y_{0} \in B_{r-1} \cap N(C)$. We define

$$
S_{y_{0}}^{\mathbf{B}^{\prime}}=\left\{(b, n) \mid b \in S_{y_{0}}^{\mathbf{B}}, n \in N(C)\right\} .
$$

For all other universally quantified variables $y \in V(G)$, we define $S_{y}^{\mathrm{B}^{\prime}}=S_{y}^{\mathrm{B}}$.
Define $D_{C}=\{(n \approx b) \mid n \in N(C), b \in B\}$. The universe of $\mathbf{B}^{\prime}$ is $B^{\prime}=B \cup D_{C} \cup S_{y_{0}}^{\mathbf{B}^{\prime}}$. Clearly, we have $\left|B^{\prime}\right| \leq|B|(2|N(C)|+1)$.

We define the binary relations as follows.

1. For each edge $\left\{v, v^{\prime}\right\} \in E(G)$ not containing $y_{0}$ that is in $E(H)$, define

$$
R_{\left(v, v^{\prime}\right)}^{\mathrm{B}^{\prime}}=R_{\left(v, v^{\prime}\right)}^{\mathrm{B}}
$$

2. For each edge of the form $\left\{v, y_{0}\right\} \in E(G)$ that is in $E(H)$, define

$$
R_{\left(v, y_{0}\right)}^{\mathrm{B}^{\prime}}=\left\{(a,(b, n)) \mid(a, b) \in R_{\left(v, y_{0}\right)}^{\mathrm{B}}, n \in N(C)\right\}
$$

3. For each edge $\left\{c, c^{\prime}\right\} \in E(G)$ with $c, c^{\prime} \in C$, define $R_{\left(c, c^{\prime}\right)}^{\mathbf{B}}$ to be $\left\{((n \approx b),(n \approx b)) \mid b \in S_{y_{0}}^{\mathbf{B}}, n \in\right.$ $N(C)\}$, that is, the equality relation on $D_{C}$.
4. For each edge $\{n, c\} \in E(G)$ with $n \in N(C) \backslash\left\{y_{0}\right\}, c \in C$, define $R_{(n, c)}^{\mathrm{B}^{\prime}}$ to be the set of elements ( $b,\left(n_{c} \approx b_{c}\right)$ ) such that

$$
\left[n=n_{c} \Rightarrow b=b_{c}\right] \wedge\left[n \neq n_{c} \Rightarrow\left(b, b_{c}\right) \in R_{\left(n, n_{c}\right)}^{\mathbf{B}}\right]
$$

5. For each edge $\left\{y_{0}, c\right\} \in E(G)$ with $c \in C$, define $R_{\left(y_{0}, c\right)}^{\mathrm{B}^{\prime}}$ to be the set of elements $\left\{\left(\left(b_{0}, n_{0}\right),\left(n_{c} \approx\right.\right.\right.$ $\left.b_{c}\right)$ ) such that $\left[y_{0}=n_{c} \Rightarrow b_{0}=b_{c}\right]$ and

$$
\left[y_{0} \neq n_{c} \Rightarrow\left(b_{0}, b_{c}\right) \in R_{\left(y_{0}, n_{c}\right)}^{\mathrm{B}}\right] \wedge\left[n_{0}=n_{c}\right]
$$

We now prove that $\mathbf{B} \models \Phi_{H}$ if and only if $\mathbf{B}^{\prime} \models \Phi_{G}$. We view the problem of deciding whether or not a quantified conjunctive sentence $P \phi$ holds on a structure $\mathbf{B}$ as game between an existential player and a universal player: the variables are set to values in $B$ by the respective players according to the order $P$, and the existential player wins if and only if all $\phi$-atomic formulas are satisfied. We use $\pi_{i}$ to denote the operator which projects a relation onto the $i$ th coordinate.

Suppose that existential player wins the $\Phi_{H}$-game on B. We show that the existential player can win the $\Phi_{G}$-game on $\mathbf{B}$. Consider the following existential strategy for the $\Phi_{G}$-game. The strategy plays according to the winning strategy for $\Phi_{H}$ on $B_{1}, \ldots, B_{r-2}$. The result is an assignment $g_{r_{2}}=h_{r_{2}}$ defined on $B_{1} \cup$ $\ldots \cup B_{r-2}$. Then, for an assignment $g_{r-1}$ defined on $B_{r-1}$, we define $h_{r-1}$ to be equal to $g_{r-1}$ but with $h_{r-1}\left(y_{0}\right)=\pi_{1}\left(g_{r-1}\left(y_{0}\right)\right)$. The $\Phi_{H}$-winning strategy, in response to $h_{r-1}$, provides a response assignment $h_{r}$ defined on $B_{r} \backslash C$ such that $h=h_{r-2} \cup h_{r-1} \cup h_{r}$ is a satisfying assignment of $\phi_{H}$.

Define $n_{0}=\pi_{2}\left(g_{r-1}\left(y_{0}\right)\right)$. Define $g_{r}$ to be the extension of $h_{r}$ defined on $B_{r}$ where for all $c \in C$, it holds that $g_{r}(c)=\left(n_{0} \approx h\left(n_{0}\right)\right)$. Let $g$ denote $g_{r-2} \cup g_{r-1} \cup g_{r}$. We verify that $g$ satisfies all atomic formulas of $\phi_{G}$, by considering the different cases above. For atomic formulas of type (1) and (2), it suffices to check the definition of $h\left(y_{0}\right)=h_{r-1}\left(y_{0}\right)$ in terms of $g\left(y_{0}\right)$ and to observe that on all other variables where $h$ is defined, $g$ is defined and equal to $h$. Atomic formulas of type (3) are clearly satisfied since $g$ gives the same value to all $c \in C$. Consider an atomic formula $R_{(n, c)}(n, c)$ of type (4). We have $(g(n), g(c))=\left(h(n),\left(n_{0} \approx h\left(n_{0}\right)\right)\right)$ which is straightforwardly verified to be in $R_{(n, c)}^{\mathrm{B}^{\prime}}$. Consider an atomic formula $R_{\left(y_{0}, c\right)}^{\mathbf{B}^{\prime}}\left(y_{0}, c\right)$ of type (5). We have $\left(g\left(y_{0}\right), g(c)\right)=\left(\left(h_{r-1}\left(y_{0}\right), n_{0}\right),\left(n_{0} \approx h\left(n_{0}\right)\right)\right)$, which is straightforwardly verified to be in $R_{\left(y_{0}, c\right)}^{\mathrm{B}^{\prime}}$.

Suppose that the universal player wins the $\Phi_{H}$-game on $\mathbf{B}$. We show that the universal player can win the $\Phi_{G}$-game on $\mathbf{B}^{\prime}$; we describe a universal strategy. First, the strategy simulates the winning universal strategy for the $\Phi_{H}$-game on the blocks $B_{1}, \ldots, B_{r-2}$, obtaining an assignment $g_{r-2}=h_{r-2}$ to these blocks. There is an assignment $h_{r-1}$ to $B_{r-1}$ such that no extension of $h_{r-2} \cup h_{r-1}$ satisfies $\phi_{H}$. We now consider two cases.

If $h_{r-2} \cup h_{r-1}$ already violates an atomic formula in $\phi_{H}$ of the form $R_{\left(n, n^{\prime}\right)}\left(n, n^{\prime}\right)$, with $n, n^{\prime} \in N(C)$, then the strategy being defined sets $g_{r-1}\left(y_{0}\right)=\left(h_{r-1}\left(y_{0}\right), n\right)$, and sets $g_{r-1}$ equal to $h_{r-1}$ on the variables in $B_{r-1} \backslash\left\{y_{0}\right\}$. We claim that there is no assignment $g_{r}$ defined on $B_{r}$ such that $g=g_{r-2} \cup g_{r-1} \cup g_{r}$ satisfies $\phi_{G}$. Consider any assignment $g_{r}$ defined on $B_{r}$. Let $h^{\prime}=h_{r-2} \cup h_{r-1}$, and fix an element $c \in C$. The value $g_{r}(c)$ has the form $(n \approx b)$. By considering the definition of $R_{(n, c)}^{\mathrm{B}^{\prime}}$, it can be seen that in fact $g_{r}(c)$ has the form $\left(n \approx h^{\prime}(n)\right)$. By using the fact that $\left(h^{\prime}(n), h^{\prime}\left(n^{\prime}\right)\right) \notin R_{\left(n, n^{\prime}\right)}^{\mathbf{B}}$, inspection of the definition of $R_{(n, c)}^{\mathbf{B}^{\prime}}$ shows that $g$ does not satisfy $\phi_{G}$.

If $h_{r-2} \cup h_{r-1}$ does not violate an atomic formula in $\phi_{H}$ of the form $R_{\left(n, n^{\prime}\right)}\left(n, n^{\prime}\right)$ with $n, n^{\prime} \in N(C)$, then the strategy being defined sets $g_{r-1}\left(y_{0}\right)=\left(h_{r-1}\left(y_{0}\right), a\right)$ for some arbitrary $a \in N(C)$ and sets $g_{r-1}$ equal to $h_{r-1}$ on the variables in $B_{r-1} \backslash\left\{y_{0}\right\}$. We claim that there is no assignment $g_{r}$ defined on $B_{r}$ such that $g=g_{r-2} \cup g_{r-1} \cup g_{r}$ satisfies $\phi_{G}$. Consider any assignment $g_{r}$ defined on $B_{r}$, and set $h_{r}=g_{r}$. The assignment $h=h_{r-2} \cup h_{r-1} \cup h_{r}$ violates an atomic formula in $\phi_{H}$. But by assumption, this atomic formula must have the form $R_{\left(v, v^{\prime}\right)}\left(v, v^{\prime}\right)$ where $\left\{v, v^{\prime}\right\} \in E(G)$, and hence this atomic formula appears also in $\phi_{G}$. The assignment $g$ does not satisfy $\phi_{G}$ by the definition of (1) and (2).

In the rest of this section, when $\mathcal{G}$ is a set of prefixed graphs $\mathcal{G}$, we will use $\mathcal{G}^{*}$ to denote the closure of $\mathcal{G}$ under taking simplifications.

Lemma 6.3 For any set of prefixed graphs $\mathcal{G}$, there exists a nu-fpt reduction from $\operatorname{RQC}-\mathrm{MC}\left(\mathcal{G}^{*}\right)$ to $\operatorname{RQC}-\mathrm{MC}(\mathcal{G})$.
Proof. We describe a reduction. Let $G^{\prime}$ be an arbitrary prefixed graph in $\mathcal{G}^{*}$. By definition of $\mathcal{G}^{*}$, there
exists a sequence of prefixed graphs $G^{\prime}=G_{1} \prec G_{2} \prec \cdots \prec G_{m}$ with $G_{m} \in \mathcal{G}$. The reduction, given an instance ( $\Phi_{1}, \mathbf{B}_{1}$ ) of RQC-MC $\left(\left\{G_{1}\right\}\right)$, repeatedly applies the mapping of Lemma 6.2 to obtain a sequence of instances $\left(\Phi_{1}, \mathbf{B}_{1}\right) \ldots,\left(\Phi_{m}, \mathbf{B}_{m}\right)$ where $\left(\Phi_{i}, \mathbf{B}_{i}\right)$ is an instance of RQC-MC $\left(\left\{G_{i}\right\}\right)$; we use $\sigma_{i}$ to denote its signature. The output of the reduction is $\left(\Phi_{m}, \mathbf{B}_{m}\right)$.

We bound the running time of this reduction as follows. We use the fact that there exists a polynomial $s$ such that any binary structure $\mathbf{B}$ over signature $\sigma$ (having at least one non-empty relation) has a representation of size $s(|B \| \sigma|)$. We may and do assume that $s$ has only positive coefficients. Let $t$ be a polynomial that bounds the running time of the mapping of Lemma 6.2 ; we also assume that $t$ has only positive coefficients.

Let $L_{i}$ be the constant given by Lemma 6.2 for the pair $G_{i} \prec G_{i+1}$, for each $i=1, \ldots, m-1$. For each $i$, we have $\left|B_{i}\right| \leq L_{1} \cdots L_{i-1}\left|B_{1}\right|$. For each $i$, we thus have $\left|B_{i}\right| \leq L_{1} \cdots L_{m-1}\left|B_{1}\right|$. The reduction described here invokes $m-1$ times an algorithm of running time $t$ on an input of size at most $s\left(L_{1} \cdots L_{m-1}\left|B_{1}\right|\right)$. The total running time is thus bounded above by $(m-1) t\left(s\left(L_{1} \cdots L_{m-1}\left|B_{1}\right|\right)\right) \leq$ $(m-1)\left(L_{1} \cdots L_{m-1}\right)^{D} t\left(s\left(\left|B_{1}\right|\right)\right)$ where $D$ denotes the degree of the polynomial $t \cdot s$.

Putting together the results given in this section so far, we have a nu-fpt reduction from RQC-MC $\left(\mathcal{G}^{*}\right)$ to QC-MC $(\mathcal{G})$. We thus need to show hardness of the problem $\operatorname{RQC}-\mathrm{MC}\left(\mathcal{G}^{*}\right)$. The following lemma is key.

Lemma 6.4 Suppose that $\mathcal{G}$ is a set of simple prefixed graphs of unbounded width. Then, there exists a nu-fpt reduction from either $k$-clique or co-k-clique to RQC-MC(G).

Proof. Let us consider the quantity width $(G[C])$ over simple prefixed graphs $G$ in $\mathcal{G}$ with $C=C_{G}$ denoting the final existential component of a graph $G$ (this final existential component exists by the assumption that $\mathcal{G}$ is a set of simple prefixed graphs).

If this quantity is unbounded, then by the result of [39], there is a nu-fpt reduction from k -clique to $\operatorname{RQC}-\mathrm{MC}(\{G[C] \mid G \in \mathcal{G}\})$, which is a particular case of $\operatorname{RQC}-\mathrm{MC}\left(\mathcal{G}^{*}\right)$. The result then follows from Lemma 6.3.

If this quantity is bounded, we argue as follows. By Lemma 4.11 , for each $k \geq 1$, there exists a graph $G \in \mathcal{G}$ such that $|V(G) \backslash C|=|N(C)| \geq k$. We consider the number of existentially quantified variables in $N(C)$ over all graphs $G \in \mathcal{G}$. If this is unbounded, then the graphs $G^{-C}$ contain (as subgraphs) cliques of existential variables of all sizes. It follows that the set $\mathcal{G}^{*}$ contains, as prefixed graphs, cliques of existential variables of all sizes, and the k-clique problem can be reduced directly to $\operatorname{RQC}-\mathrm{MC}\left(\mathcal{G}^{*}\right)$; the result then follows from Lemma 6.3.

It remains to argue the case where the number of universally quantified variables in $N(C)$ is unbounded over all graphs $G \in \mathcal{G}$. By appeal to Lemma 6.3, it suffices to argue in the case where for each $k \geq 1$, there exists a graph $G \in \mathcal{G}$ with $|N(C)|=k$ and $N(C)$ contains only universal variables. We reduce from the problem co-k-clique. Let $((V(H), E(H)), k)$ be an instance of co-k-clique. Let $G \in \mathcal{G}$ be a graph with $|N(C)|=k$. We show how to encode the instance of co-k-clique as an instance of RQC-MC(\{G\}). We define a structure $\mathbf{B}$ as follows. Define $S_{y}^{\mathbf{B}}=V(H)$ for each $y \in N(C)$ and define $D_{C}=\left\{\left(y^{\prime}, y^{\prime \prime}, v^{\prime}, v^{\prime \prime}\right) \in\right.$ $\left.N(C)^{2} \times V(H)^{2} \mid y^{\prime} \neq y^{\prime \prime},\left\{v^{\prime}, v^{\prime \prime}\right\} \notin E(H)\right\}$. For each pair $c, c^{\prime} \in C$, define $R_{\left(c, c^{\prime}\right)}^{\mathbf{B}}$ to be the equality relation on $D_{C}$. For each $y \in N(C)$ and $c \in C$, define $R_{(y, c)}^{\mathrm{B}}$ to be the relation containing all pairs $\left(v,\left(y^{\prime}, y^{\prime \prime}, v^{\prime}, v^{\prime \prime}\right)\right) \in V(H) \times D_{C}$ such that

$$
\left[y=y^{\prime} \Rightarrow v=v^{\prime}\right] \wedge\left[y=y^{\prime \prime} \Rightarrow v=v^{\prime \prime}\right] .
$$

We claim that there is no $k$-clique in $(V(H), E(H))$ if and only if $\mathbf{B} \vDash \Phi_{G}$. Let $y_{1}, \ldots, y_{k}$ denote the elements of $N(C)$.

Suppose that there is no $k$-clique in $(V(H), E(H)$ ). Consider any mapping $f: N(C) \rightarrow V(H)$. There exist distinct indices $i, j$ such that $\left\{f\left(y_{i}\right), f\left(y_{j}\right)\right\}$ is not contained in $E(H)$. It is straightforward to verify that the extension of $f$ sending all variables $c \in C$ to $\left(y_{i}, y_{j}, f\left(y_{i}\right), f\left(y_{j}\right)\right)$ satisfies $\phi_{G}$. We conclude that $\mathbf{B} \models \Phi_{G}$.

Suppose that there is a $k$-clique $\left\{v_{1}, \ldots, v_{k}\right\}$ in $(V(H), E(H))$. Let $f: N(C) \rightarrow V(H)$ be the mapping that sends each $y_{i}$ to $v_{i}$. We claim that there is no extension of $f$ that satisfies $\phi_{G}$. We prove this by contradiction. Assume that there is such an extension $f^{\prime}$. By the definition of the relations $R_{\left(c, c^{\prime}\right)}^{\mathrm{B}}$, we have that $f^{\prime}$ sends all variables $c \in C$ to the same value. Let $c$ be any variable in $C$. By the definition of the relations $R_{(y, c)}^{\mathbf{B}}$, we have that $f^{\prime}(c)$ has the form $\left(y_{i}, y_{j}, f\left(y_{i}\right), f\left(y_{j}\right)\right)$. But this cannot be an element of $D_{C}$, since $\left\{f\left(y_{i}\right), f\left(y_{j}\right)\right\} \in E(H)$, and we have the contradiction. We conclude that $\mathbf{B} \not \vDash \Phi_{G}$. $\square$

We can now give the main theorem of this section.
Theorem 6.5 Let $\mathcal{G}$ be a set of prefixed graphs. If $\mathcal{G}$ has unbounded width, then $\mathrm{QC}-\mathrm{MC}(\mathcal{G})$ is not fixedparameter tractable on binary signatures, unless $W[1] \subseteq$ nuFPT.

Proof. From Lemmas 4.4 and 4.8 it follows that if $\mathcal{G}$ has unbounded width then $\mathcal{G}^{*}$ contains simple prefixed graphs of unbounded width. By Lemma 6.4, the problem RQC-MC $\left(\mathcal{G}^{*}\right)$ admits a nu-fpt reduction from k-clique or co-k-clique. By Lemmas 6.3 and 6.1 , the problem QC-MC $(\mathcal{G})$, on binary signatures, does as well.

## 7 Conclusion

In this article, we gave a complete complexity classification of sets of prefixed graphs, with respect to the problem of model checking quantified conjunctive sentences. A natural future direction for research is to attempt to obtain classifications on sets of quantified conjunctive sentences. One classification of this form, in a certain multi-sorted version of quantified conjunctive logic, was achieved by Chen and Marx [22]. One can ask if such a classification can be obtained in usual one-sorted first-order logic. Another direction is to study the complexity of counting answers to formulas; in this problem, one is given a first-order formula and a finite structure, and the goal is to count the number of assignments, to the free variables of the formula, that satisfy the formula on the structure. There have been recent results on this direction in the context of primitive positive formulas [28,23] and existential positive formulas [24].

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