

1 Living on the edge – utilising lidar data to assess the importance of vegetation structure  
2 for avian diversity in fragmented woodlands and their edges

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21

22 ABSTRACT

23 *Context.* In agricultural landscapes, small woodland patches can be important wildlife refuges. Their  
24 value in maintaining biodiversity may, however, be compromised by isolation, and so knowledge about  
25 the role of habitat structure is vital to understand the drivers of diversity. This study examined how  
26 avian diversity and abundance were related to habitat structure in four small woods in an agricultural  
27 landscape in eastern England.

28 *Objectives.* The aims were to examine the edge effect on bird diversity and abundance, and the  
29 contributory role of vegetation structure. Specifically: what is the role of vegetation structure on edge  
30 effects, and which edge structures support the greatest bird diversity?

31 *Methods.* Annual breeding bird census data for 28 species were combined with airborne lidar data in  
32 linear mixed models fitted separately at i) the whole wood level, and ii) for the woodland edges only.

33 *Results.* Despite relatively small woodland areas (4.9 – 9.4 ha), bird diversity increased significantly  
34 towards the edges, being driven in part by vegetation structure. At the whole woods level, diversity was  
35 positively associated with increased vegetation above 0.5 m and especially with increasing vegetation  
36 density in the understorey layer, which was more abundant at the woodland edges. Diversity along the  
37 edges was largely driven by the density of vegetation below 4 m.

38 *Conclusions.* The results demonstrate that bird diversity was maximised by a diverse vegetation  
39 structure across the wood and especially a dense understorey along the edge. These findings can assist  
40 bird conservation by guiding habitat management of remaining woodland patches.

41

42 Keywords: avian diversity, fragmentation, vegetation structure, lidar, forest edge, habitat structure,  
43 edge effect, biodiversity

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46 1 INTRODUCTION

47 Habitat fragmentation has been shown to have negative impacts on species diversity across ecosystems  
48 (Donald et al. 2001; Mahood et al. 2012). A common example of a modern fragmented landscape is a  
49 mosaic of woodland patches scattered in an agricultural matrix. In such settings, fragmentation reduces  
50 the total extent of habitat for woodland species, increases patch isolation, and alters the habitat quality  
51 of individual patches, for example by changing the physical characteristics, including edge to interior  
52 ratios (Fuller 2012). Birds have been widely studied in this context because of the correlation  
53 demonstrated between their diversity and overall biodiversity (Kati et al. 2004; Gregory and van Strien  
54 2010). Much previous work has shown direct effects of habitat fragmentation on bird distributions,  
55 abundance, diversity and reproductive success (Hinsley et al. 1996; Rodriguez et al. 2001; Turcotte and  
56 Desrochers 2003; Hinsley et al. 2009).

57 Bird diversity in fragmented woodland is influenced by the area, structure and composition of  
58 the woods themselves and by the configuration of the surrounding landscape (Opdam et al. 1985;  
59 Hinsley et al. 1995; Fletcher et al. 2007). Woodland edge habitat can provide resources such as nest  
60 sites for birds that typically forage in more open and agricultural landscapes (Benton et al. 2003; Fahrig  
61 et al. 2011; Wilson et al. 2017). In addition, the presence of connecting landscape features such as  
62 hedgerows and tree lines can offer additional habitat, cover and dispersal corridors for a range of  
63 species (Hinsley et al. 1995; Fuller et al. 2001). Partly due to these reasons, but also strongly influenced  
64 by vegetation structure (Fuller 1995; Batáry et al. 2014), higher densities of some bird species may be  
65 recorded at forest edges (Schlossberg and King 2008; Knight et al 2016).

66 The influence of vegetation structure across forest edges has been investigated using  
67 conventional field methods, such as ground-based vegetation and bird surveys, and more recently with  
68 remote sensing techniques. For example, in the Czech Republic, Hofmeister et al. (2017) assessed the  
69 role of fragment size, edge distance and tree species composition on bird communities using aerial

70 imagery and land cover maps and found that both distance to the woodland edge and tree species  
71 composition had significant effects for majority of common bird species. In Canada, Wilson et al.  
72 (2017) used high-resolution aerial imagery and documented positive relationships between the presence  
73 of linear woody features and bird diversity among the forest-edge communities (models including the  
74 linear woody features were ranked best). In contrast, Duro et al. (2014) found low or moderate  
75 relationships between Landsat imagery based predictors and patterns of bird diversity in an agricultural  
76 environment ( $R^2$  values between 0.28 and 0.3 for Landsat TM predictors and avian beta and gamma  
77 diversity). Thus, the drivers of diversity in fragmented woodlands, and especially in relation to edge  
78 habitat, may be too fine-scaled to be studied without sufficient consideration of the structural  
79 composition of vegetation.

80 While field methods and remote sensing imagery are limited in their ability to estimate the  
81 three-dimensional (3D) structure of vegetation, airborne laser scanning (ALS), utilising light detection  
82 and ranging (lidar), is ideal for this. The first studies to use lidar to characterize wildlife habitats were  
83 conducted on songbirds in the UK (Hinsley et al. 2002; Hill et al. 2004). Since then, the literature has  
84 grown considerably with many reviews showing the usefulness of lidar data in wildlife studies across  
85 different landscapes (e.g. Bradbury et al. 2005; Vierling et al. 2008; Davies and Asner 2014; Hill et al.  
86 2014), and investigating data fusion and specific metrics with which lidar could assist in habitat  
87 modelling (Vogeler and Cohen 2016). Recent bird studies using lidar have assessed the effects of  
88 vegetation structure on plant, bird and butterfly species diversity (Zellweger et al. 2017), on grouse  
89 broods in boreal forests (Melin et al. 2016), and on habitat envelopes of individual forest dwelling bird  
90 species (Garabedian et al. 2017; Holbrook et al. 2015; Vogeler et al. 2013).

91 In Britain, Broughton et al. (2012) showed that occupation of forest edge by Marsh Tits  
92 (*Poecile palustris*) was lower than in the interior, which was associated with differences in habitat  
93 structure as assessed using airborne lidar data. Aside from this single species study, the technology has

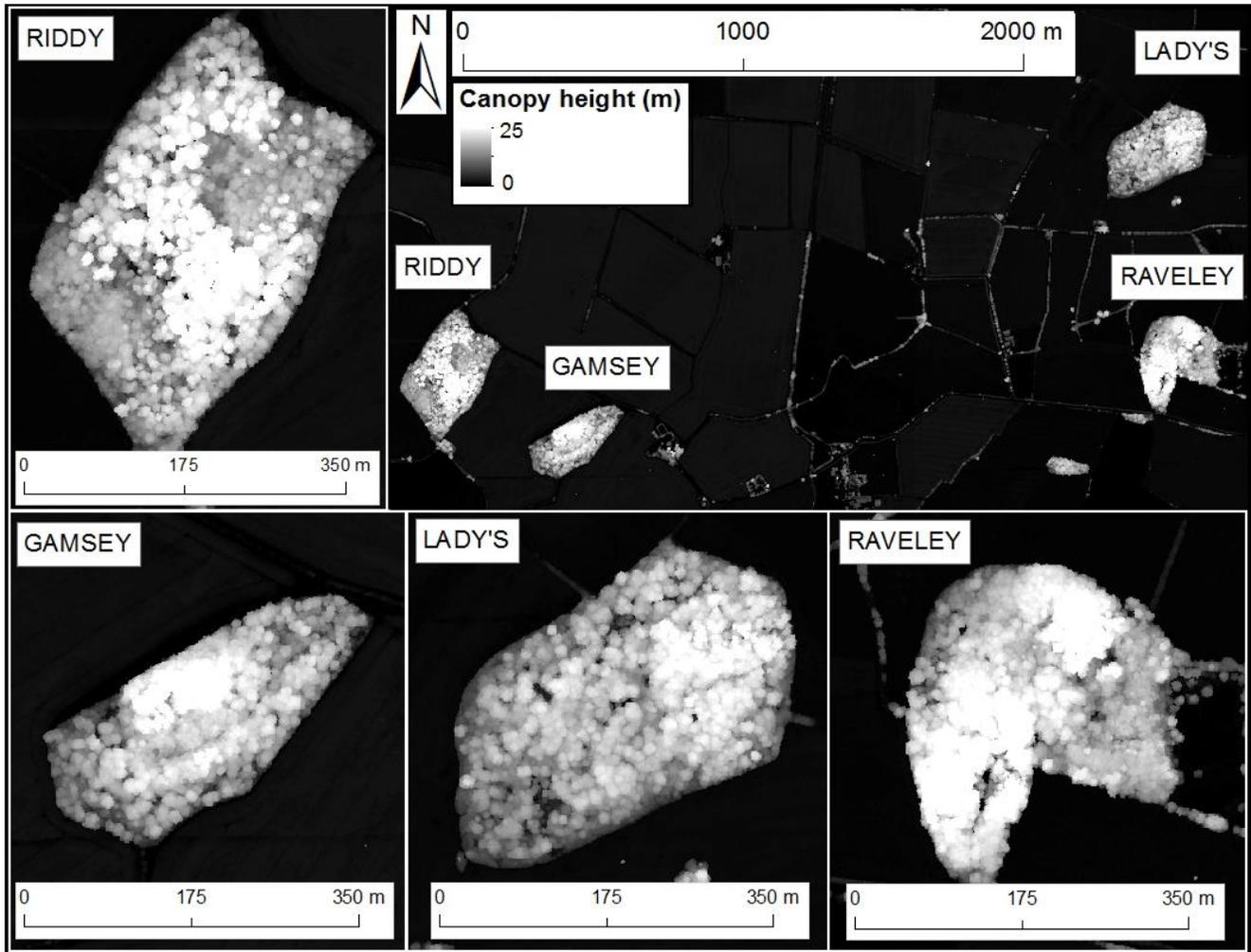
94 yet to be fully applied to species communities in habitat refuges within highly modified environments.  
95 This paper combines airborne lidar data with breeding bird census data for four small, isolated woods  
96 within an agricultural landscape to: 1) quantify the edge effect on bird species diversity in each wood;  
97 2) determine the role of vegetation structure in any edge effect and how this might vary between the  
98 woods; and 3) assess how edge structure could be managed to enhance bird diversity and abundance in  
99 small woods.

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## 101 2 MATERIALS AND METHODS

### 102 **2.1 Study area**

103 The study was conducted in Cambridgeshire, eastern England (52°25'19.3" N, 0°11'18.3" W), where  
104 four remnant patches of ancient woodland that once covered the area lie within ca. 8 km<sup>2</sup> in a landscape  
105 dominated by intensive arable agriculture (Figure 1). The four woods comprise Riddy Wood (9.4 ha),  
106 Lady's Wood (8.4 ha) Raveley Wood (7.2 ha) and Gamsey Wood (4.9 ha).



107

108 **Figure 1.** The study area and the four target woods displayed as Canopy Height Models, which show  
 109 the top surface of the vegetation and its height (lighter shading indicates taller vegetation).

110

111 The woods are broadly similar in tree species composition and structure; no wood was being actively  
 112 managed during the study period (except maintenance of rides and control of deer populations). All  
 113 woods are dominated by Common Ash (*Fraxinus excelsior*), English Oak (*Quercus robur*), Field  
 114 Maple (*Acer campestre*) and Elm (*Ulmus* spp.). Elm occurs in discrete patches within each wood  
 115 among an admixture of the other species. The main shrub species are Common Hazel (*Corylus*  
 116 *avellana*), Hawthorn (*Crataegus* spp.) and Blackthorn (*Prunus spinosa*), which are well mixed and

117 common throughout the woods, although the exterior woodland edges are generally dominated by  
118 Blackthorn, particularly in Lady's Wood and Riddy Wood. The main differences between the four  
119 woods are related to their shape, area and growth-stage of the forest, with the vegetation at Lady's  
120 Wood being generally lower than in the other three.

121 All woods are located within 5 – 20 m above sea level with no steep topography (e.g. hills,  
122 ridges, ravines or other distinct topographical features) in the near vicinity. All the woods are similarly  
123 surrounded by an agricultural matrix and other larger woods are located ca. 1,200 m away. Individual  
124 ringed birds have been noted to move between these woods and the study woods, but there is no  
125 evidence for any systematic bias in such movements.

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## 127 **2.2 Bird data collection**

128 As part of a larger, long-term study, the woods were surveyed annually in 2012 to 2015 to determine  
129 the abundance and distribution of their breeding bird populations. Each wood was visited four times per  
130 year from late March to late July. Visits started shortly after dawn and avoided weather conditions  
131 likely to depress bird activity (e.g. rain and strong winds).

132 Birds were recorded using a spot mapping technique (Bibby et al. 1992) based on the Common  
133 Birds Census method of the British Trust for Ornithology (Marchant 1983). Each wood was searched  
134 systematically using a route designed to encounter all breeding territories (Bellamy et al. 1996). Routes  
135 varied between visits, but always included walking around the perimeter. All birds seen or heard, and  
136 their activity, were recorded on a map of the wood and the mapped locations were later digitised into a  
137 GIS. Due to the small size of the woods, and the familiarity of the surveyors with the sites, the accuracy  
138 of the mapping was estimated to be ca.  $\pm 10$  m. Individuals were recorded only once, omitting any  
139 suspected repeat observations, and only the initial location of mobile individuals was included in  
140 analyses.

141           Only records of putative adults were included in the analysis because the locations of dependent  
142 young are not independent of their parents, and because juvenile habitat use is not necessarily related to  
143 breeding requirements or selection of the species concerned. In the event, the fourth visit was omitted  
144 entirely from the analysis because it contained a high proportion of juvenile records. Several species  
145 were also omitted: nocturnal species such as Owls (*Strix* spp.) because the census technique could not  
146 detect them reliably; game birds because their presence/absence was influenced by local rearing and  
147 release activities; species such as Grey Heron (*Ardea cinerea*) and Mallard (*Anas platyrhynchos*) which  
148 were associated with ponds; colonially breeding species such as Jackdaws (*Corvus monedula*); and  
149 ubiquitous Woodpigeons (*Columba palumbus*). In total, the bird data comprised 3506 observations of  
150 28 species (Table 1).

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164 **Table 1.** The number of bird observations recorded from each wood by species during three survey  
 165 visits in each of four years (2012-15).

Species	Latin name	Number of observations				Total
		Raveley	Riddy	Lady's	Gamsey	
Blackbird	<i>Turdus merula</i>	36	72	60	49	217
Blackcap	<i>Sylvia atricapilla</i>	43	69	74	39	225
Blue tit	<i>Cyanistes caeruleus</i>	161	217	190	137	705
Bullfinch	<i>Pyrrhula pyrrhula</i>	3	7	18	10	38
Chaffinch	<i>Fringilla coelebs</i>	65	108	119	64	356
Chiffchaff	<i>Phylloscopus collybita</i>	16	28	40	17	101
Coal tit	<i>Parus ater</i>	18	15	8	11	52
Crow	<i>Corvus corone</i>	7	2	1	8	18
Dunnock	<i>Prunella modularis</i>	9	8	23	10	50
Garden warbler	<i>Sylvia borin</i>	0	1	5	0	6
Goldcrest	<i>Regulus regulus</i>	2	1	1	0	4
Goldfinch	<i>Carduelis carduelis</i>	7	5	7	4	23
Great spotted woodpecker	<i>Dendrocopos major</i>	24	30	23	16	93
Great tit	<i>Parus major</i>	97	105	129	74	405
Green woodpecker	<i>Picus viridis</i>	7	17	14	17	55
Jay	<i>Garrulus glandarius</i>	4	3	8	4	19
Long-tailed tit	<i>Aegithalos caudatus</i>	28	30	23	25	106
Magpie	<i>Pica pica</i>	10	1	9	0	20
Marsh tit	<i>Poecile palustris</i>	19	15	1	8	43
Nuthatch	<i>Sitta europaea</i>	0	6	0	1	7
Robin	<i>Erithacus rubecula</i>	72	83	119	57	331
Song thrush	<i>Turdus philomelos</i>	1	5	5	12	23
Stock dove	<i>Columba oenas</i>	20	36	27	12	95
Treecreeper	<i>Certhia familiaris</i>	46	41	31	30	148
Whitethroat	<i>Sylvia communis</i>	2	8	5	4	19
Willow warbler	<i>Phylloscopus trochilus</i>	0	2	2	0	4
Wren	<i>Troglodytes troglodytes</i>	51	106	129	47	333
Yellowhammer	<i>Emberiza citrinella</i>	1	1	2	6	10
Total		749	1022	1073	662	3506

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### 167 2.3 Airborne lidar data collection and pre-processing

168 The lidar data of the study area were collected with a Leica ALS50-II laser scanning system during  
 169 leaf-on conditions on June 1<sup>st</sup> 2014. The bird survey years (2012-2015) were selected to be close to this

170 year to ensure temporal compatibility with vegetation structure (Vierling et al. 2014). Bird survey data  
171 were not available for 2016.

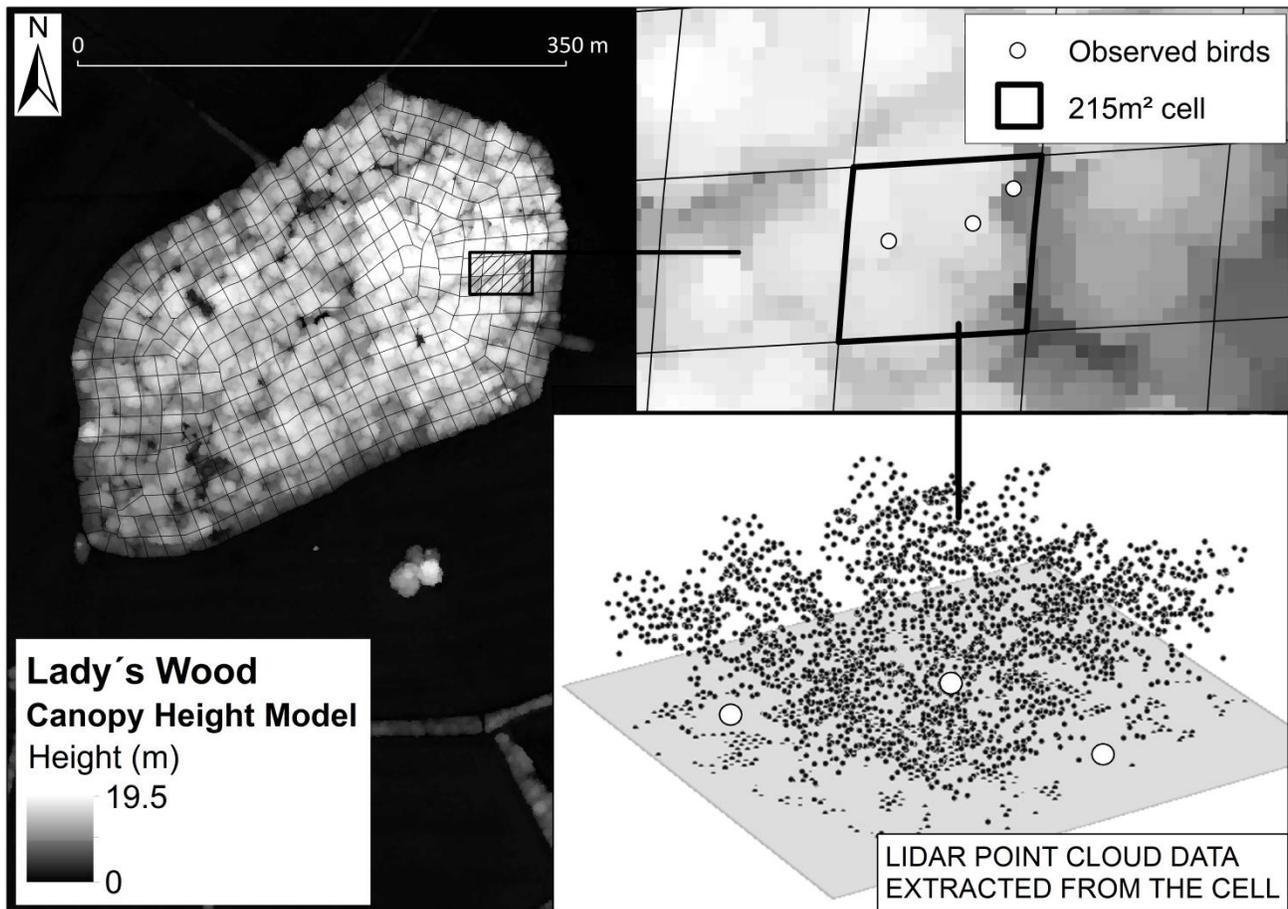
172 The lidar sensor was mounted on a fixed-wing aircraft flown at an altitude of ca. 1600 m with a  
173 scan half angle of 10 degrees and a pulse repetition frequency of 143.7 MHz, resulting in a nominal  
174 sampling density of 1.9 pulses per m<sup>2</sup> and a footprint size of ca. 35 cm. Due to overlapping flight lines  
175 the average sampling density in the study area was 2.7 pulses per m<sup>2</sup>, a density that has proven to be  
176 sufficient in describing vegetation structure when assessing wildlife habitats and forest structural  
177 profile in general (Zellweger et al. 2017; Melin et al. 2016; Hill et al. 2004). The ALS50-II device  
178 captures a maximum of four return echoes for one emitted laser pulse with an approximate vertical  
179 discrimination distance of 3.5 m between the echoes. All of the echo categories were used in this study.  
180 The lidar echoes were classified into ground or vegetation hits following the method of Axelsson  
181 (2000), as implemented in LAStools software. Next, a raster Digital Terrain Model (DTM) with a 1 m  
182 spatial resolution was interpolated from the classified ground hits using inverse distance weighted  
183 interpolation (IDW). This DTM was then subtracted from the elevation values (z-coordinates) of all the  
184 lidar returns to scale them to above ground height.

185

## 186 **2.4 Calculating variables of diversity and vegetation structure**

187 For analysis, the four woods were delineated into cells with an area of ca. 215 m<sup>2</sup>. The cell size was  
188 chosen to account for potential inaccuracies in bird locations and to ensure sufficient lidar echoes  
189 within the cells to adequately calculate the 3D metrics of vegetation structure. The delineation was  
190 done with basic geoprocessing tools in QGIS. Cells were constrained to lie within the woodland  
191 boundary and hence cell shape was allowed to be irregular to ensure similar cell areas and to fit within  
192 the irregular boundaries of the woods. However, it was ensured that the cells, especially along the  
193 edges, were of approximately similar depth and shape so that differences would not introduce any

194 systematic bias in relation to bird occurrence probabilities. Next, bird data (i.e. individual bird  
195 locations) and lidar data were extracted for each cell, which formed the research setting (Figure 2).  
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197  
198 **Figure 2.** Lady's Wood delineated into grid cells, showing the cell-level bird and lidar data.

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200 Lidar data were used to obtain metrics of vegetation structure such as maximum and average canopy  
201 height and its standard deviation, proportion of vegetation above ground level (defined as  $> 0.5$  m) ,  
202 proportion of vegetation at different height levels of the overstorey (canopy) and understorey (shrub)  
203 layers, and Foliage Height Diversity (FHD) (see Table 2). FHD was calculated according to MacArthur  
204 and MacArthur (1961):

205

206  $FHD = - \sum p_i * \log(p_i)$  (1)

207

208 where  $p_i$  is the proportion of lidar returns in zone  $i$ . The FHD was derived by binning the lidar returns  
209 into zones according to their height: 0.5 – 4 m, > 4 – 8 m, > 8 – 12 m, > 12 – 16 m, > 16 – 20 m and >  
210 20 m. The division created six nearly equal height classes in terms of how the proportion of vegetation  
211 was spread throughout the vertical profile of the woods. The variable FHD has been estimated in a  
212 similar fashion from lidar data for bird habitat modeling in Clawges et al. (2008). The chosen variables  
213 have proven to be attainable from lidar data and useful in assessing vegetation structure and bird  
214 habitats, in particular (Hill et al. 2014).

215 Other cell-specific metrics included the Euclidean distance from the centroid of each cell to the  
216 nearest woodland-field edge, and for the edge cells only, the Euclidean distance to the nearest  
217 hedgerow and the aspect (i.e. the slope direction or bearing), which was calculated from the DTM. The  
218 purpose of aspect was to assess whether, for example, south-facing edges differ in their vegetation  
219 structure compared with north-facing ones due to different light conditions or degree of exposure.  
220 Distances to hedgerows were included because hedges may provide hedgerow-dwelling species with  
221 access points to the edges of small woods (Hinsley et al. 1995). The definition ‘nearest hedgerow’  
222 included hedges adjoined to the woodland edge and also those within 300 m (the maximum distance to  
223 any hedge).

224 Finally, indices of bird diversity were derived for each cell as species richness (*SpeciesN*)  
225 calculated as the cumulative total number of species, bird abundance (*BirdN*) calculated as the  
226 maximum number of individual birds encountered in a cell in any one survey, and the Shannon index  
227 of diversity (Shannon 1948) (*ShannonD*). All the metrics are listed in Table 2.

228

**Table 2.** The cell-specific predictor and response variables used in the analysis

<b>PREDICTOR VARIABLES</b>	
<b>Variable</b>	<b>Description</b>
<i>WoodID</i>	Used as the random effect as the data were grouped into four woods.
<i>FHD</i>	Foliage Height Diversity. Calculated from all returns using equation [1]. FHD conveys the proportional distribution of vegetation throughout the full vertical profile of the forest.
<i>p_veg</i>	% of lidar returns coming from above 0.5 m (vegetation hits). A <i>p_veg</i> value of 0.55 would mean that 55% of returns from this cell came from above 0.5 m.
<i>*p_canopy_X</i>	% of lidar returns coming from above X m in the vegetation profile, calculated from all the returns. A <i>p_canopy_8</i> value of 0.75 would mean that 75% of returns from this cell came from above 8 m.
<i>*p_shrub_X</i>	% of lidar returns between 0.5 and X m, calculated only from the returns below X m. A <i>p_shrub_4</i> value of 0.6 would mean that 60% of the returns coming from below 4 m within this cell hit vegetation, not the ground.
<i>h_max</i>	Maximum height of the lidar returns per cell.
<i>h_avg, hstdev</i>	Average height of the lidar returns per cell and their standard deviation
<i>EdgeDistance</i>	The Euclidean distance (m) from the centroid of a cell to the nearest edge.
<i>HedgeDistance 1 and 2</i>	The Euclidean distance (m) from the centroid of a cell to the nearest hedgerow (calculated for the edge cells only). Assessed as a continuous variable (1) and as a categorical variable (2) divided into 25 m classes, i.e.: 0 – 25 m, > 25 – 50 m, etc.
<i>Aspect</i>	The slope direction of the cell (calculated for the edge cells only). Assessed as a categorical variable divided into eight classes, i.e. north, north-east, east etc.
<b>RESPONSE VARIABLES</b>	
<b>Variable</b>	<b>Description</b>
<i>ShannonD</i>	The Shannon index of diversity
<i>BirdN</i>	Bird abundance: the maximum number of individual birds observed in the cell during any single survey.
<i>SpeciesN</i>	Bird species richness: the cumulative total number of species observed within the cell.
<i>*four cut-off values (4, 6, 8 and 10 m) were used for assessing the density of shrub- and canopy cover at different heights. This equals to eight different variables, four for shrub cover and four for canopy cover.</i>	

## 233 **2.5 Modeling bird diversity and abundance**

234 The aim of the modeling was to examine which variables had the greatest effect on bird diversity and  
235 whether or not this differed between the four woods. Therefore, linear mixed-effects models were the  
236 chosen method. Mixed models extend the basic linear model such that they recognize grouped or  
237 nested structures in data via random effects. Here, the data were grouped into four separate woods with  
238 different areas and structures.

239 Altogether, two sets of models were fitted to the data. The first models quantified for cells  
240 across the whole wood the most significant predictors of bird diversity out of those listed in Table 2.  
241 The second models were fitted only to data from the row of cells immediately adjacent to the edge of  
242 each wood, corresponding to a width of approximately 14.7 m. This was to examine what drives bird  
243 diversity along the edge itself, i.e. establish what determines a favoured edge and how its vegetation  
244 might differ from sections of edges that are avoided. Variable selection was done by forward selection  
245 where the single most significant variable was first added to the model, after which the process was  
246 iterated until no more variables could be added; the final model included only significant ( $p < 0.05$ )  
247 variables. All modeling and analyses were conducted in R (R Core Team 2017) using the package *nlme*  
248 (Pinheiro et al. 2017) and *ggplot2* (Wickham 2009) for visualizations. Package *lmfor* (Mehtätalo 2017)  
249 were used to examine model residuals, which showed no non-linearity or heteroscedasticity.  
250 Multicollinearity among the final predictors was examined with the *vis* function from the package *car*  
251 (Fox and Weisberg 2011), and it was noted not to be an issue. Spatial autocorrelation (SAC) was  
252 examined individually for each wood and it was noted to be present in the immediate neighborhood of  
253 a cell. This was accounted for by using a linear SAC structure with the built-in functions available in  
254 the *nlme* package.

255

256

257 3 RESULTS

258 **3.1. Bird diversity in the study area**

259 The four woods differed in how many species they supported, and in individual species abundance. The  
260 most abundant generalists, such as the Blue Tit, Robin and Great Tit, followed a consistent pattern  
261 where they were less abundant in the two smaller woods (Gamsey and Raveley) than in the two larger  
262 woods (Riddy and Lady's). In contrast, some edge-preferring species, such as Yellowhammer and  
263 Whitethroat, were encountered more often in the smallest wood (Gamsey) than in the others (Table 1).  
264 Bird diversity and abundance per unit area were highest in Gamsey, followed by Lady's, Raveley and  
265 Riddy Woods (Table 3).

266

267 **Table 3.** Summary statistics of the cell-level bird diversity metrics in the four woods. *ShannonD* refers  
268 to Shannon Index, *BirdN* to the maximum number of birds encountered during one visit and *SpeciesN*  
269 to the number of different species encountered. *Avg.* refers to arithmetic mean, *Max.* to the maximum  
270 value and *Std.Dev* to standard deviation.

<i>WoodID (and size)</i>	<i>ShannonD</i>			<i>BirdN</i>			<i>SpeciesN</i>		
	<i>Avg.</i>	<i>Std.Dev.</i>	<i>Max.</i>	<i>Avg.</i>	<i>Std.Dev.</i>	<i>Max.</i>	<i>Avg.</i>	<i>Std.Dev.</i>	<i>Max.</i>
<b>Riddy (9.4 ha)</b>	0.56	0.56	2.36	1.22	0.58	6	1.93	1.60	12
<b>Lady's (8.4 ha)</b>	0.62	0.59	2.15	1.33	0.58	4	2.13	1.72	9
<b>Raveley (7.2 ha)</b>	0.61	0.56	2.08	1.31	0.62	4	2.08	1.53	8
<b>Gamsey (4.9 ha)</b>	0.69	0.63	2.38	1.35	0.70	6	2.39	1.95	12

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272 **3.2 Forest structure in the woods and their edges**

273 The decision to group the data by wood prior to the modeling was justified by the clear difference in  
274 the details of their structure (Figure 3A). Lady's Wood is dominated mostly by vegetation below 11 m  
275 in height and with all trees being below 20 m. In addition, Lady's Wood (together with Raveley) is  
276 more open than the other woods, as shown by a proportionally higher number of ground echoes (class 1

277 in Figure 3A). By contrast, Gamsey Wood has the lowest proportion of ground echoes and (together  
278 with Riddy Wood), the tallest canopies.

279 The differences are further evident at the woodland edges (Figure 3B). Lady's Wood is clearly  
280 different from the other woods by having over 80 % of its edge vegetation below 7 m. Also, the edge of  
281 Lady's Wood is the densest, having the lowest proportion of ground echoes (class 1 in Figure 3B). By  
282 contrast, Raveley Wood has the highest proportion of vegetation in the higher canopies (above 12 m)  
283 and the lowest amount below 8 m at its edge. Raveley Wood also has the most open edges (i.e. highest  
284 proportion of ground and near-ground echoes – class 1 in Figure 3B).

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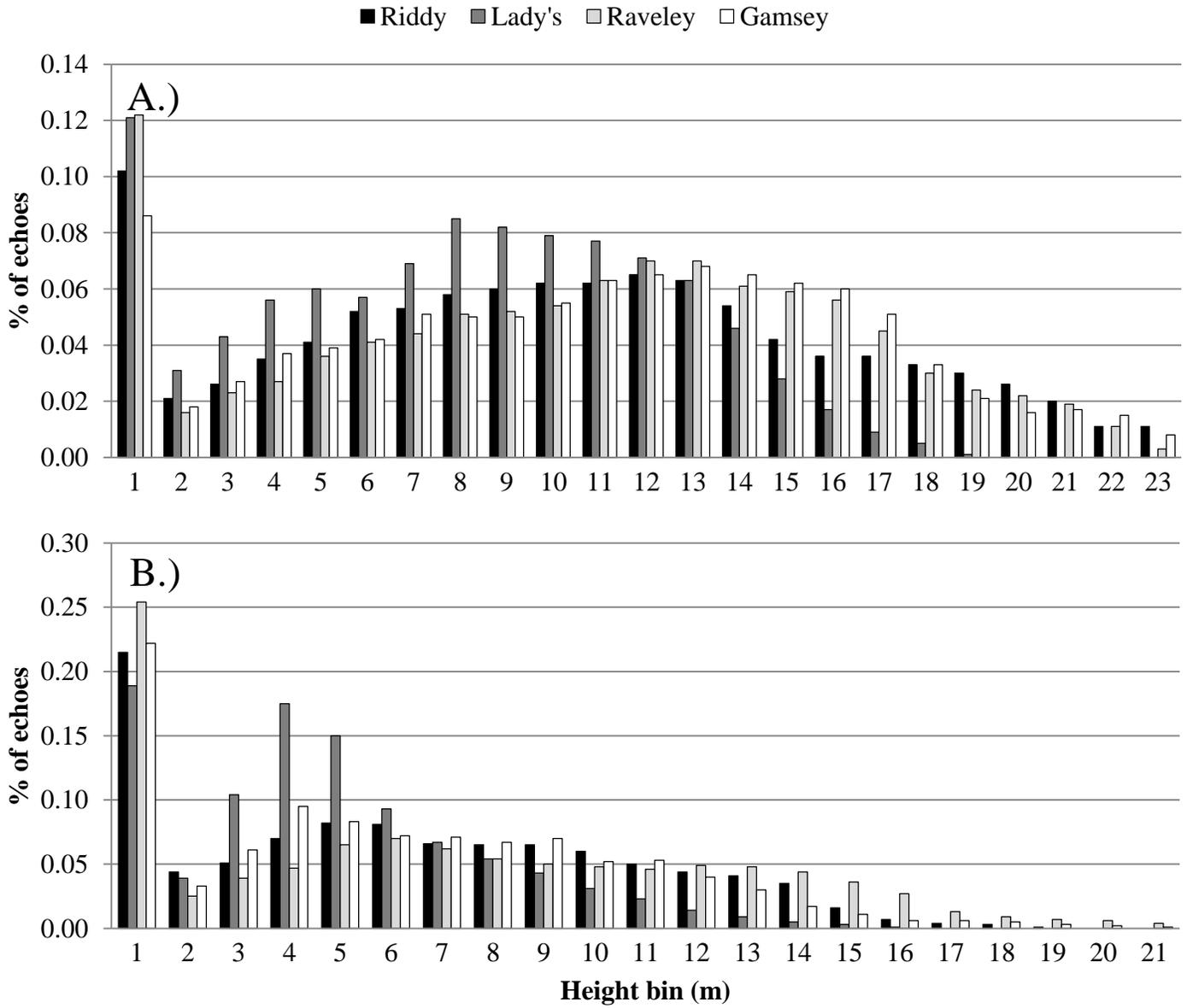
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**Figure 3.** Histograms showing the proportion (Y-axis) of lidar echoes reflecting from vegetation heights in 1 m height bins in four whole woods (A.) and along their edges only (B.). The X-axis shows different height bins, where Class 1 includes echoes below 1 m, Class 2 includes those within 1 – 2 m, etc. In A. Class 23 includes all echoes above 22 m, and in B. Class 21 includes all echoes above 20 m.

### 325 **3.3 Drivers of bird diversity and abundance in the woods**

326 Three variables, *EdgeDistance*, *p\_veg* and *p\_canopy\_6* (Table 2), were selected as the most significant  
327 predictors in all the ‘whole wood’ models, i.e. for all three response variables (*SpeciesN*, *BirdN*,  
328 *ShannonD*), while the amount of vegetation between the ground and 4 m was the single most  
329 significant predictor in the ‘edge models’ for all three response variables (Table 4). Thus, bird diversity  
330 and abundance decreased with increasing edge distance and increased with higher amounts of  
331 vegetation (*p\_veg*). However, the relationships to a second variable, *p\_canopy\_6* (the amount of  
332 vegetation above 6 m), were negative indicating that bird abundance and diversity were negatively  
333 influenced by an increase in the amount of vegetation if it took place only in the top canopy and not at  
334 all in the shrub layer, i.e. below 6 m. Similar trends were also apparent within the model output for  
335 woodland edges, where the hotspots of avian abundance and diversity were the edges with the densest  
336 shrub cover (i.e. the highest amount of vegetation below 4 m). As all three tested bird metrics were  
337 highly consistent in their relationships with the predictor variables, only *SpeciesN* is shown for  
338 reference in Figures 4 and 5.

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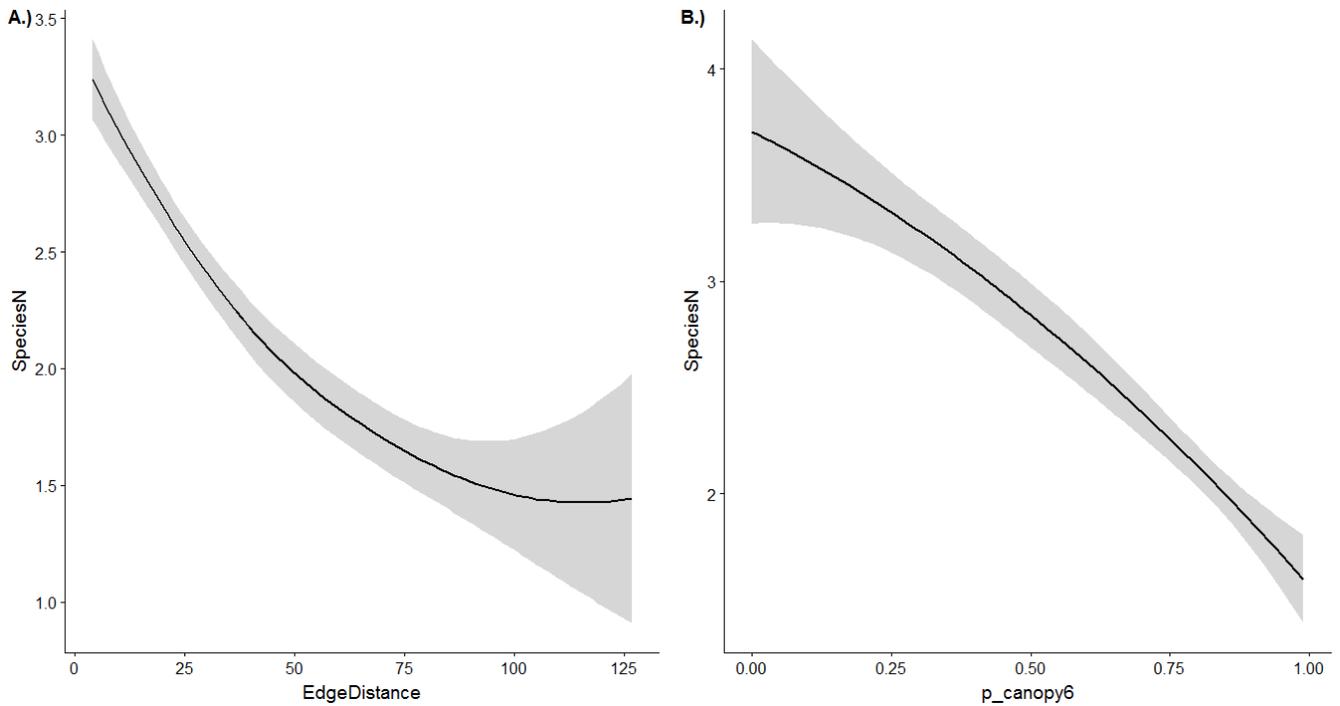
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348 **Figure 4.** Illustration of the relationship between *EdgeDistance* (A) and *p\_canopy\_6* (B) with species  
 349 richness (*SpeciesN*) in the ‘whole woods’ (all woods combined). The grey polygons around the lines  
 350 depict the standard errors. *EdgeDistance* is the Euclidean distance to the nearest woodland-field edge  
 351 and *p\_canopy\_6* is the proportion of lidar echoes above 6 m.

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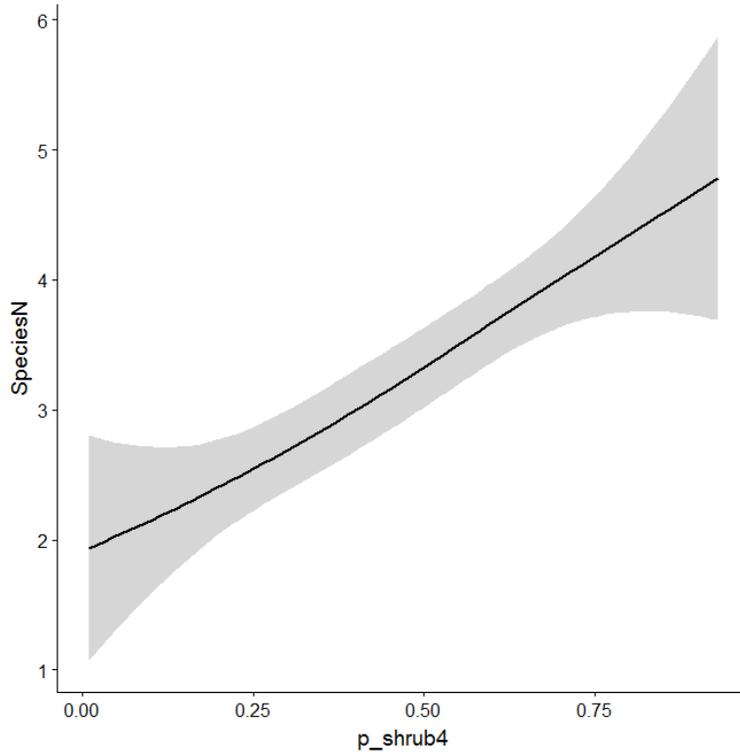
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360 **Figure 5.** Illustration of the relationship between  $p\_shrub\_4$  and species richness ( $SpeciesN$ ) in the  
 361 woodland edges (all woods combined). The grey polygon around the line depicts the standard error.  
 362  $p\_shrub\_4$  is the proportion of echoes from below 4 m which hit vegetation.

363

364 It was notable that the effects of both distance from the woodland edge and shrub cover were  
 365 consistent between the four woods and for all the diversity metrics, albeit varying in strength (Table 4).  
 366 Gamsey Wood, despite its smallest size, had the highest average diversity and most bird species per  
 367 unit area, followed by Lady's, Riddy and Raveley Wood. Similarly, the decrease in bird diversity as  
 368 edge distance increased was evident in all woods, but due to its smallest size, the effect was the  
 369 strongest in Gamsey Wood (Table 4A). Along the edge, there was no significant difference in bird  
 370 diversity between the woods and the relationships of the diversity metrics were also consistent: as the  
 371 amount of vegetation below 4 m increased, so did bird abundance and diversity (Table 4B).

372

373 **Table 4.** The mixed models of bird abundance and diversity in relation to vegetation structure in the  
 374 four woods. The random ‘wood effects’ relate to corresponding intercept values from fixed effects. For  
 375 instance, the wood effect of *Raveley* on the Shannon index (-0.14) is subtracted from the Intercept of  
 376 0.55, while that of *Gamsey* (0.19) is added to it. All parameter estimates were significant at  $p < 0.05$ .

<b>A.) WHOLE WOOD MODELS</b>								
<b>Fixed effects</b>	<b>Model parameter estimates</b>							
<b>Response</b>	<i>Intercept</i>		<i>EdgeDistance</i>		<i>p_veg</i>		<i>p_canopy_6</i>	
	Estimate	Std.error	Estimate	Std. error	Estimate	Std.error	Estimate	Std.error
<i>ShannonD</i>	0.55	0.2	-0.01	0.002	0.75	0.25	-0.47	0.11
<i>BirdN</i>	1.47	0.21	-0.005	0.001	0.46	0.28	-0.22	0.09
<i>SpeciesN</i>	2.11	0.57	-0.02	0.01	2.18	0.71	-1.57	0.31
<b>Random effects</b>	<b>The wood effect</b>			<b>EdgeDistance</b>				
<b>Wood</b>	<i>ShannonD</i>	<i>BirdN</i>	<i>SpeciesN</i>	<i>Shannon</i>	<i>BirdN</i>	<i>SpeciesN</i>		
Raveley	-0.14	0.004	-0.38	0.004	-0.0002	0.01		
Riddy	-0.05	-0.03	-0.05	0.002	0.0002	0.004		
Lady’s	0.01	0.02	0.01	-0.001	-0.002	0.0003		
Gamsey	0.19	0.002	0.19	-0.01	-0.0004	-0.02		
$\sigma$	0.15	0.03	0.43	0.004	0.0003	0.01		
$\varepsilon$	0.53	0.58	1.47					

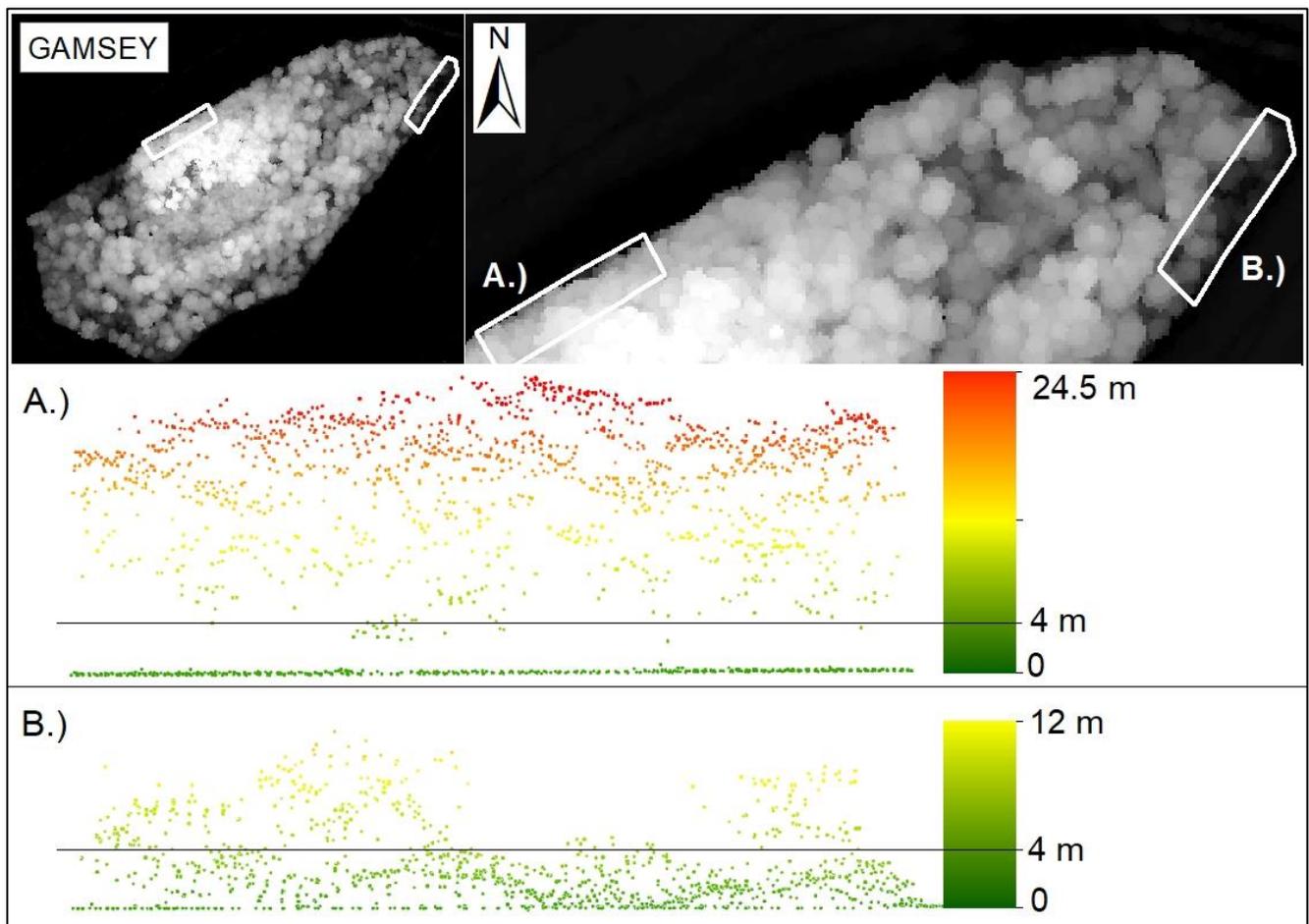
<b>B.) EDGE MODELS</b>				
<b>Fixed effects</b>	<b>Model parameter estimates</b>			
<b>Response</b>	<i>Intercept</i>		<i>p_shrub_4</i>	
	Estimate	Std.error	Estimate	Std.error
<i>ShannonD</i>	0.57	0.1	0.86	0.18
<i>BirdN</i>	1.34	0.13	0.54	0.26
<i>SpeciesN</i>	1.77	0.34	3.24	0.61
<b>Random effects</b>	<b>The wood effect</b>			
<b>Wood</b>	<i>ShannonD</i>	<i>BirdN</i>	<i>Species</i>	
Raveley	< 0.001	< 0.001	< 0.001	
Riddy	< 0.001	< 0.001	< 0.001	
Lady’s	< 0.001	< 0.001	< 0.001	
Gamsey	< 0.001	< 0.001	< 0.001	
$\sigma$	< 0.001	< 0.001	< 0.001	
$\varepsilon$	0.61	0.39	2.06	

377

378 Figure 6 further illustrates the relationship between bird diversity and shrub vegetation at two  
 379 specific sites along the edge of Gamsey Wood with the lowest and the highest numbers of bird species

380 respectively. Whereas the most diverse section in terms of avifauna (Figure 6B) had most of its  
381 vegetation spread between the ground and 4 m with comparably few ground echoes, the least diverse  
382 section (Figure 6A) was almost lacking vegetation in this same height stratum. This section of the edge  
383 has a high overstorey canopy, which continues down until the height of 4 m after which a clear  
384 majority of the lidar echoes hit the ground indicating a lack of vegetation below 4 m.

385



386

387 **Figure 6.** Visualization of the forest structure in two sites along the edge of Gamsey Wood with the  
388 lowest (A) and highest (B) species diversity. Both sections cover an area of ca. 15 x 40 metres. Section  
389 A had average values of 1.5 species per cell while Section B had average values of 10.3 species per  
390 cell.

391 4 DISCUSSION

392 This study examined the drivers of bird species diversity and abundance in relation to vegetation  
393 structure across four woods and, specifically, at their edges. Bird diversity and abundance were found  
394 to be positively affected by vegetation density, and the importance of the shrub layer for both whole  
395 woods and the edges was also revealed. These findings were achieved by combining lidar data with  
396 spot-mapped bird data, which allowed the examination of the spatial relationships between bird  
397 distributions and vegetation structure across the whole woods and in relation to the full vegetation  
398 height profile. The capabilities of the type of lidar data used, as well as the variables derived from it, in  
399 characterising 3D vegetation structure have been shown by many previous studies (Zellweger et al.  
400 2017, Melin et al. 2016, Broughton et al. 2012, Vogeler et al. 2013, Hill et al. 2004). However, our  
401 results extend those of other studies where optical remote sensing data have been used to assess bird-  
402 edge relationships (Duro et al. 2014; Pfeifer et al. 2017), without the advantage of 3D data on  
403 vegetation structure. While field methods have quantified the importance of shrub vegetation in edge-  
404 habitats (Knight et al. 2016), lidar offers an efficient and, due to national scanning campaigns, an  
405 increasingly available method (Melin et al. 2017).

406 Small woods are often regarded as being composed of ‘all edge’, but our results showed a clear  
407 edge effect for all four woods, with a decline in bird diversity and abundance from the edges to the  
408 centres across a distance of 75 m or more (Figure 4). While both the number of species and abundance  
409 responded positively to increasing vegetation density throughout a wood, the main driver of this  
410 response was the density of vegetation below 6 m, i.e. within the shrub layer (Figure 4, Table 4A).

411 Vegetation density in the shrub layer was similarly important within the edges themselves  
412 (Figure 5), with all the edge models selecting vegetation heights of 4 m (variable *p\_shrub\_4*) as the  
413 single most significant driver of bird diversity and abundance (Table 4B). The distance to the nearest  
414 hedgerow had a mild negative effect on bird species richness (*SpeciesN*), but with a p-value of 0.07 it

415 was dropped from the final models. Several bird species, including Dunnock, Goldfinch, Whitethroat  
416 and Yellowhammer, which are typical of hedgerow habitats in Britain (Fuller et al. 2001), will also nest  
417 in the edges of small woods (Hinsley et al. 1995) and occurred in small numbers in the study woods  
418 (Table 1). However, overall bird diversity at the edge was most strongly influenced by vegetation  
419 structure in the edges themselves, suggesting that such ‘hedgerow species’ (and others) may be absent  
420 from woodland edges in the absence of suitable vegetation structure.

421         The response of birds to edge habitat appears to be more complex than the edge effect proposed  
422 by Odum (1958), whereby species richness and abundance increased in the transition zone, or ecotone,  
423 between two habitat types. Instead, it seems to depend on a number of factors including the  
424 characteristics of the species community, the structure of the edges in relation to interior habitat, and  
425 perhaps most especially the structure (e.g. patch size and spatial arrangement) and history of the wider  
426 landscape (Baker et al. 2002). For example, a study of declining shrubland birds in the eastern United  
427 States (Schlossberg and King 2008) found that many species avoided edges and achieved higher  
428 densities in patch centres; their presence in forest edges being more a consequence of habitat scarcity  
429 than active preference. Why such bird species, often regarded as ‘early successional’ and hence  
430 potentially typical of shrubby forest edges (Fuller 2012), should actually avoid edges is unclear, but the  
431 more recent history of landscape change in the United States compared to Europe, and hence the time  
432 available for bird species to adapt, may have a role (Martin et al. 2012). Other factors including habitat  
433 quality, microclimate, competition, and parasitism or predation may also be involved (Murcia 1995),  
434 the latter effect being suggested as an ‘ecological trap’ (Gates and Gysel 1978; Chalfoun et al. 2002).  
435 Intensive landscape modification may, however, dilute the ‘ecological trap’ effect by reducing predator  
436 diversity and abundance (Batáry et al. 2014). At some scales, detection of strong external edge effects  
437 may be influenced by the frequency and distribution of internal edges. In a study of forest fragments

438 (maximum size 255 ha) in the Czech Republic, Hofmeister et al. (2017) found that 60% of the forest  
439 area was within 50 m of an edge and only 10% at more than 150 m.

440 In intensive agricultural landscapes of the UK, and elsewhere in Europe, habitat edges, along  
441 with hedgerows, may constitute the majority of the shrubby vegetation available. Hence these habitats  
442 tend to attract woodland species requiring dense cover for nesting and/or foraging and open country  
443 species in search of nest sites, as well as early successional species. This general pattern was apparent  
444 in our study woods; species recorded more frequently (on average) within 40 m of the edge than  
445 elsewhere included woodland species (Wren, Chaffinch, Long-tailed Tit, Robin and Blackbird), open  
446 country species (Goldfinch and Yellowhammer), and early successional species (Garden Warbler,  
447 Whitethroat and Dunnock). Green Woodpecker was also more frequent near edges, which was  
448 consistent with its use of trees for nest holes whilst mostly foraging outside of woodland. The central  
449 areas of our study woods were not lacking a shrub layer, but the edges had a greater density of lower-  
450 level (i.e. below 4 m) shrub vegetation potentially offering more foraging resources and greater cover,  
451 and were accessible to the open country species mentioned above. These kinds of ecotonal woodland  
452 edges with relatively low bushy growth grading into taller shrub and tree cover are generally  
453 recommended as a management objective (Symes and Currie 2005; Blakesley and Buckley 2010).  
454 Other studies have also reported greater bird abundance and diversity at forest edges and ecotones,  
455 including both internal and external edges (Fuller 2000; Terraube et al. 2016).

456 Higher light intensity along unshaded bushy edges can promote greater vegetation density with  
457 concomitant greater potential to provide resources. For example, flowering shrubs in the woodland  
458 edge may provide important food resources in early spring and hence increased bird usage. In our  
459 woods, Blackthorn in flower attracted species such as tits, most notably Marsh Tits, which are more  
460 usually associated with mature trees. The dense structure of Blackthorn also provided nest sites for a  
461 range of species including Long-tailed Tit, Chaffinch, Blackcap and Dunnock, but some of these,

462 particularly the former two, also foraged in mature trees within the wood. Our finding that both bird  
463 abundance and diversity had a similar relationship with edge distance and vegetation structure  
464 (*p\_canopy\_6* and *p\_shrub\_4*) was consistent with this hypothesis that the complexity of the vegetation  
465 offers greater niche diversity (more food, cover and nest sites supporting more individuals). Thus,  
466 woodland bird diversity seems to depend on the overall structural complexity of the wood: a patch of  
467 scrub without trees or a stand of trees lacking shrubs are both unlikely to support the range of species  
468 typical of structurally diverse woodland.

469 Previous work (Hinsley and Bellamy 1998) found that the co-occurrence of greater species  
470 richness and the abundance of individual bird species in small woods were influenced by their  
471 connectivity, the number of habitat types present within a wood and the density of vegetation in the  
472 shrub layer. The present study highlights the importance of the woodland edge in providing dense  
473 shrubby vegetation. Large tracts of woodland can contain complex networks of rides and glades with  
474 shrubby edge vegetation whilst retaining the overall essential structure of closed canopy woodland. In  
475 contrast, small woods are too small to support extensive internal structures without becoming  
476 disjointed, i.e. more open habitat with a greater resemblance to scrub than woodland. Thus, the external  
477 edges of small woods are a valuable resource, and especially so in intensive arable landscapes where  
478 the contrast between the patches of semi-natural habitat and the cropland tends to be abrupt and stark.

479 Although there seem to be few genuinely edge-dependent bird species, this may be largely a  
480 matter of how 'edge' is interpreted. For example, Skylarks (*Alauda arvensis*) and Meadow Pipits  
481 (*Anthus pratensis*) using mosaic habitats of heather and grassland would not usually be described as  
482 edge species, whereas Black Grouse (*Tetrao tetrix*) using complexes of woodland and moorland may  
483 be (Watson and Moss 2008). In fragmented forest, Holbrook et al. (2015) found both the area of  
484 harvested forest and vegetation structure influenced site occupancy of red-naped sapsuckers  
485 (*Sphyrapicus nuchalis*). Similarly, Flashpohler et al. (2010) found that fragment size and vegetation

486 structure both affected bird species distributions. Also, even in the absence of a physical edge, there are  
487 many species requiring the young growth and/or dense low cover which is typical of a woodland edge  
488 (Fuller 2012), and the importance of shrub vegetation in general for birds has been well documented  
489 (Melin et al. 2016; Lindberg et al. 2015; Müller et al. 2010). It has been argued that the deforestation  
490 and fragmentation of Britain's woodlands happened so long ago that current conservation is being  
491 targeted to species already adjusted to patchy landscapes (Rackham 1986; Dolman et al. 2007), which  
492 further underlines the significance of knowing what features of vegetation are most important for birds.  
493 To maximize woodland bird diversity and abundance, management strategies should seek to create and  
494 maintain substantial low shrubby woodland edges in combination with good shrub cover beneath the  
495 tree canopy within woodlands (Fuller 1995; Broughton et al. 2012). In general, when planning habitat  
496 management, special care should be taken to first identify and then to preserve the features of habitat  
497 that act as determinants for diversity. This is especially critical within the agricultural mosaics where  
498 woodlands are already affected by fragmentation and isolation.

499

#### 500 DATA ACCESSIBILITY

501 The lidar data used for this study is available from the Centre for Environmental Data Analysis at  
502 <http://www.ceda.ac.uk/>. The bird data is owned and maintained by the Centre of Ecology and  
503 Hydrology (<https://www.ceh.ac.uk/>).

504

#### 505 CONFLICT OF INTEREST

506 The authors declare that they have no conflict of interests.

507

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509

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