



Investigation of Lipid Oxidation in the Raw Materials of a Topical Skin Formulation: A Topical Skin Formulation Containing a High Lipid Content

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4 **Investigation of lipid oxidation in the raw materials of a topical skin formulation:**
5 **A topical skin formulation containing a high lipid content.**

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15 **Keywords**

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18 Oxidative Stability, Nutrition and Health, Autoxidation, Lipid Chemistry, Lipid Analysis and Lipids
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20 **Abstract**

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22 Several studies have demonstrated that lipid oxidation often occurs in topical skin formulations which
23 can affect product odour (both positively and negatively). Furthermore, odour detection threshold
24 values and odour descriptors of identified volatile oxidation products in cleansing and skin cream
25 formulation prototypes were recently determined by a trained sensory panel at the Technical
26 University of Denmark in the Division of Food Technology. In this study, we investigated lipid
27 oxidation in a prototype skin cream formulation as well as in selected cosmetic skin care raw
28 materials. Lipid oxidation was measured regularly over a six-month period for the product and over a
29 three-month period for the raw materials by headspace gas chromatography–mass spectrometry. The
30 volatile compound present in the highest initial concentration, and which increased most during
31 storage, was 3-methyl-1-butanol (medicinal, chemical/cleaning agent odour), and its formation was
32 linked to the raw material isoamyl p-methoxycinnamate. The odour character of the product after
33 storage was assessed and informally deemed acceptable for consumer usage and typical of topical
34 dermatocosmetic products. A potential pathway for its formation was also identified. In addition, the
35 concentrations of several well-known lipid oxidation products increased during storage and were
36 suggested to originate primarily from rice bran wax, which oxidized more readily than other raw
37 materials due to its unsaturated nature.
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42 **Introduction**

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44 Several studies have shown that lipid oxidation often occurs in topical skin care formulations
45 containing unsaturated lipids and that lipid oxidation products can affect product quality (1–6) (i.e.
46 odour (4–6) and colour (2)), potentially impacting product both positively and/or negatively.
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3 30 Earlier studies have shown that raw materials were at least partly responsible for volatile compounds
4 31 present in simple emulsions immediately after their production (7,8). Since topical skin care
5 32 formulations are often emulsions, knowledge obtained from studies on simple emulsions can provide
6 33 some understanding of the mechanisms behind the formation of volatile compounds in topical
7 34 products. However, the composition of topical skin care formulations is far more complex than that of
8 35 simple emulsion systems and so are the oxidation mechanisms. In order to determine whether/which
9 36 raw materials are responsible for volatile compounds present in freshly produced topical skin
10 37 formulations, several factors must be considered: volatiles introduced by raw materials, production
11 38 method (e.g. temperature and other processing conditions as well as exposure to oxygen and light), and
12 39 the mechanisms leading to the formation of volatile compounds (7–12). Volatile lipid oxidation
13 40 products can also be formed during storage as a result of interactions between raw materials,
14 41 production method and storage conditions. Temperature and exposure to oxygen and light during
15 42 storage are factors that can influence the rate of lipid oxidation after production.

16 43 Other studies have investigated the effect of impurities in raw materials on oxidative stability in
17 44 finished food products and model emulsions, as summarised in a review by Waraho *et al.* (12), who
18 45 concluded that the oxidative stability of the finished product was linked to the quality of the raw
19 46 materials. Since some raw materials used in foods are common with cosmetics, studies performed on
20 47 raw materials for food can be used as guidance for cosmetics.

21 48 In a study on raw materials for personal care products, the impact of the production method on the
22 49 quality of myristyl myristate, a skin conditioning and opacifying agent, was explored (13). The purity
23 50 of myristyl myristate products varied from 80.1% to 97.5% between manufacturers. Furthermore, the
24 51 oxidative status of the myristyl myristate products measured by peroxide value (PV) fluctuated from
25 52 <0.1 to 6.0 meq/kg depending on the manufacturer and product grade. In addition, the colour, acid
26 53 value (0.2 - 0.8 mg/g), hydroxyl value (1.6 - 14.0 mg/g) and saponification value (128 – 134 mg/g)
27 54 also varied widely between the production methods used (13).

28 55 Two other studies investigated the oxidative stability of skin creams with new active ingredients, and
29 56 both studies showed significant changes in physical and oxidative stability as well as odour properties
30 57 as a result of the addition of extracts from Icelandic brown algae *Fucus vesiculosus* (2,14). This
31 58 highlights the importance of securing each raw material's quality, stability and an understanding of
32 59 raw material interactions.

33 60 The aim of this study was to explore lipid oxidation in selected raw materials and in a topical skin
34 61 formulation containing high levels of lipids. A second aim was to correlate any raw material oxidation

with the finished product oxidation to identify any culpable agents. In addition, we aimed to understand the mechanism leading to the formation of any identified volatile compounds.

Materials

Prototype Skin Cream Formulation (PSCF)

The prototype skin cream formulation was produced by GlaxoSmithKline (Brentford, United Kingdom) and contained several raw materials including rice bran wax, glycerine, isostearyl isostearate, palmitic acid monoethanolamine (PMEA). The prototype skin cream formulation contained approximately 29 % of lipid.

Raw materials

Separately to the aforementioned prototype product, individual (cosmetic-industry-relevant) raw materials were assessed for lipid oxidation potential:

- Rice bran wax (Koster Keunen, Bladel, Netherlands),
- Glycerine (Croda Europe Ltd, East Yorkshire, England),
- Isostearyl isostearate (Croda Europe Ltd, East Yorkshire, England),
- Palmitic Acid Monoethanolamine (PMEA; Jan Dekker, Wormerveer, Netherlands),
- Isoamyl p-methoxycinnamate (UV cinnamate) (Symrise AG, Holzminden, Germany),
- Bis-ethylhexyloxyphenol methoxyphenyl triazine (UV triazine) (BASF SE, Ludwigshafen, Germany),
- Hexyl 2-(1-(diethylaminohydroxyphenyl)methanoyl)benzoate (UV benzoate) (BASF SE, Ludwigshafen, Germany).

Methods

Storage conditions

PSCF was stored for 6 months at 5°C, 20°C and 40°C without exposure to light and at 20°C with exposure to light and for 2 weeks at 50°C. Samples were taken after 0, ½, 1, 2, 3 and 6 months.

Raw materials were stored at 40°C for 3 months; samples were taken after 0, 1, 2 and 3 months of storage.

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3 88 The samples were stored in closed 40 ml opaque bottles. Samples were stored in individual bottles, to
4 89 be withdrawn at each time point for each analysis. After sampling, all samples were stored at 5°C until
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6 90 analysis.
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8 91 Oil extraction methodology

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11 92 Oil was extracted from 5 g of PSCF and UV cinnamate with the Bligh and Dyer method (15) (n = 2).
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13 93 However, a reduced amount of solvent was applied as described by Iverson *et al.* (16). In brief, lipids
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15 94 were extracted by the use of a homogenous mixture of 20 ml of chloroform, 20 ml of methanol and 15
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17 95 ml of water. The water soluble parts were separated from the lipid soluble parts by a subsequent
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19 96 addition of chloroform and methanol. Phase separation was completed by centrifugation. After phase
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21 97 separation was completed, chloroform in the chloroform and lipid phase was evaporated, and the oil
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23 98 content could then be determined gravimetrically. The lipid extract was used as the starting material
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25 99 for analysis of PV and determination of fatty acid composition.

26 100 Determination of Peroxide Value

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28 101 PV was measured using the IDF method (17) and quantified by colorimetric determination of iron
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30 102 thiocyanate spectrophotometrically at 500 nm by UV mini 1240 (Shimadzu, Duisburg, Germany) (n =
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32 103 2). The spectrophotometer was reset to detect chloroform/methanol (7:3) solvent as zero.
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34 104 Quantification of volatile compounds

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36 105 Extraction of volatile compounds, GC-MS analyses and quantification were done automatically as
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38 106 described by Thomsen *et al.* (18) with the following modification of the sample preparation, collection
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40 107 and water evaporation (Table 1). These modifications were done in order to extract volatile
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42 108 compounds from all matrices, to avoid contamination of the tube by powders and to remove water
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44 109 residues.

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46 110 Briefly, volatile compounds were collected from 1 g of sample in a 10 mL vial (n = 3). The automation
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48 111 sequence was: incubation for 4 min at a temperature of 60 °C or 45 °C (see Table 1). The sample was
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50 112 agitated at 300 rpm (agitator on time: 10 s, agitator off time: 1 s). Thereafter, purging with nitrogen at
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52 113 50 ml/min through the headspace of the vial was started for 20 min. The volatile compounds were
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54 114 trapped on tubes containing Tenax GR 300 (Gerstel GmbH & Co. KG., Mülheim an der Ruhr,
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56 115 Germany). Water residues were removed from the tubes with a 50 mL/min purge flow (see Table 1).
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58 116 Then the volatile compounds were desorbed from tubes in the thermal desorption unit (initial temp 40
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60 117 °C, then 720 °C/min to 280 °C kept there for 5 min) to the GC. The volatile compounds were analysed

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3 118 on a GC-MS model: HP 6890 - HP 5973 (Agilent Technologies, USA). Chromatographic separation
4 119 was performed on a DB1701 column (30 m × ID 0.25 mm × 0.5 µm film thickness, J&W Scientific,
5 120 Folsom, CA, USA) using helium gas flow (1.3 mL/min) in the GC. The MS settings were: 70 eV,
6 121 electron ionization mode, mass to charge ratio (m/z) scan between 30 and 250. The GC temperature-
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8 122 program was as follows: initial 45°C, 5°C/min until 90°C, 4°C/min to 220°C and held for 4 min.
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11 123 Fatty acid methyl esters (FAME)

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14 124 Fatty acid compositions in oil and Bligh and Dyer extracts were determined as described by Safafar *et*
15 125 *al.* (19) (n = 2). In brief, 1 g of Bligh and Dyer extract or 0.3 g of oil were weighed in test tubes. The
16 126 chloroform was evaporated from Bligh and Dyer extract with nitrogen. Then, internal standard 23:0
17 127 was added to the oil and extracted together with heptane with BHT, toluene and borontrifluoride in
18 128 methanol. Samples were mixed and methylated in a microwave oven (Microwave 3000 SOLV, Anton
19 129 Paar, Ashland, VA, USA) and then cooled down. Saturated NaCl and heptane with BHT were added
20 130 and thereafter phase separation occurred. The upper phase of the sample was transferred into 1 mL
21 131 vials and analysed by Agilent 7890A gas chromatograph (Agilent Technologies, Palo Alto, CA, USA)
22 132 with a DB-WAX fused silica capillary column (10 m×0.1 mm, 0.1 µm; Agilent Technologies, Palo
23 133 Alto, CA, USA), helium as carrier gas and a flame ionization detector. The GC temperature program:
24 134 initial 160 °C, 10.6 °C/min until 200°C and held for 0.3 min, 10.6°C/min to 220°C and held for 1 min,
25 135 and 10.6°C/min to 240°C and held for 3.8 min. Fatty acids were identified by comparing their
26 136 retention time to that of authentic standards. Fatty acids were expressed as % fatty acid of total fatty
27 137 acids from C8-C24.
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38 138 pH determination

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41 139 The pH was measured using a Metrohm 827 pH meter (Metrohm, Herisau, Switzerland).
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44 140 Description of difference scale

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46 141 An expert panel of 3 scientists conducted a fast industry standard method to assess the odour changes.
47 142 In this method, the sample odour was graded versus a reference sample stored at 5°C. The samples
48 143 were ranked from one to five based on a scale description of difference (DOD) between sample and
49 144 reference sample (Table 2). All samples ranked three or less were deemed within product range.
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53 145 Statistical analysis

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3 146 A two-way analysis of variance and a Bonferroni multiple comparison test were employed to evaluate
4 147 significant changes in Figure 1 and 2. The significance level was 0.05. The statistical analysis was
5 148 conducted using Graph Pad Prism version 6 (Graph Pad, La Jolla, USA).

8 9 149 **Results and discussion**

10 11 150 Lipid oxidation in PSCF: PV and volatile analysis

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14 151 PV was used as a measurement of the primary oxidation products, lipid hydroperoxides. PV was
15 152 initially 0.62 ± 0.01 meq/kg and remained below 0.65 meq/kg during the 6 months of storage at 5°C,
16 153 20°C and 40°C (data not shown). When exposed to light during storage, the PV increased slightly to
17 154 1.44 ± 0.17 meq/kg. According to PV, lipid oxidation only occurred to a low extent. However, a low
18 155 PV does not necessarily imply that no oxidation has occurred; it may be related to rapid conversion of
19 156 lipid hydroperoxides to secondary volatile oxidation products. It is therefore also advisable to assay for
20 157 secondary lipid oxidation products.

21
22 158 The assay for secondary volatile oxidation products, via dynamic headspace GC-MS analysis,
23 159 confirmed that the low PV was due to a fast conversion to aldehydes and alcohols. The concentration
24 160 for the following volatile aldehydes increased significantly during storage (Figure 1): butanal, 3-
25 161 methylbutanal, pentanal, hexanal, benzaldehyde and octanal. Butanal, pentanal, hexanal and octanal
26 162 are all well-known lipid oxidation products. 3-methylbutanal and benzaldehyde have been suggested to
27 163 originate from non-enzymatic browning reactions (20–22). Butanal, 3-methylbutanal, pentanal and
28 164 hexanal increased to a greater extent during storage at 20°C and 40°C without exposure to light and at
29 165 20°C with exposure to light than at 5°C (Figure 1A-D). Unexpectedly, benzaldehyde and octanal
30 166 increased most during storage at 20°C without exposure to light followed by 20°C with exposure to
31 167 light.

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33 168 In an earlier study, we determined odour detection threshold values for lipid oxidation products, which
34 169 is the concentration at which the volatile compounds start to affect product odour. However, these
35 170 were only determined for the volatile compounds that increased during storage in a PSCF. In general,
36 171 we found that odour detection threshold values in PSCF were above 70 ng/g (5,6). Therefore, volatile
37 172 compounds present in concentrations below 70 ng/g were not considered to affect product odour when
38 173 present alone (3-methylbutanal and octanal) in the current study.

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40 174 The odour detection threshold value determined for butanal was 72 ± 3 ng/g (5,6). In the present study,
41 175 the concentration was above this level after 3 months storage at 20°C, 20°C with exposure to light or

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3 176 40°C, and after 6 months at 5°C (Figure 1A). Butanal odour in PSCF has been described as parmesan
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5 177 and sour dishcloth (5,6).

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7 178 The odour detection threshold value for pentanal (87 ± 5 ng/g) was slightly higher compared with
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9 179 butanal (5,6). The concentration was above this level after 3 months at 20°C with exposure to light (at
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11 180 92 ng/g) or 40°C (at 104 ng/g), and after 6 months at 20°C or 5°C (Figure 1C). Pentanal odour in
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13 181 PSCF has been described as green and milk acidic (5,6). The odour detection threshold value for
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15 182 hexanal has not been determined in PSCFs. Based on the odour detection threshold values obtained for
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17 183 butanal and pentanal, it is estimated to be above 90 ng/g. Hexanal concentrations were above this level
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19 184 after 6 months of storage at all storage conditions. In literature, its odour has been described as fatty,
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21 185 green and fresh (23,24). In addition to aldehydes, a few alcohols and ketones increased as well (Figure
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23 186 2).

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25 187 The concentration of 3-methyl-1-butanol was significantly above its odour detection threshold value of
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27 188 1926 ± 316 ng/g after 6 months of storage. Odour detection threshold values have not been determined
28
29 189 for the ketones. However, none of the ketones increased to concentrations above 70 ng/g. Therefore, it
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31 190 is assumed that these ketones did not affect product odour. In a previous study, 3-methyl-1-butanol
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33 191 was described with the odour of glue, rubber, chemical, medicine, cleaning agent (5,6). An expert
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35 192 panel of 3 scientists conducted a DOD sensory evaluation to assess the odour changes, PSCF increased
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37 193 in intensity of chemical and cleaning agent, and scored 3 on the DOD scale after 6 months storage with
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39 194 exposure to light and at 40°C. Since many volatile compounds were present from the beginning of the
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41 195 storage period, they may originate directly from raw materials. Selected raw materials were explored
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43 196 to link volatile compounds in PSCF to those present in raw materials.

40 197 Lipid oxidation in selected raw materials

43 198 One of the primary functions of a cream is to moisturise and protect the skin so they often contain high
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45 199 levels of lipids, but unsaturated lipids can oxidize and form volatile compounds. Several volatile
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47 200 compounds were present initially in the lipid ingredients and more were generated during accelerated
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49 201 storage at 40°C in the following ingredients: rice bran wax and glycerine (Figure 3A and 3B). PSCF
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51 202 also contained D-panthenol, which was very stable during accelerated storage. Thus, benzaldehyde
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53 203 was the only volatile aldehyde that could be detected and this was not possible until 3 months of
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55 204 storage when 139 ± 9 ng/g was detected (data not shown).

55 205 Initially, some raw materials (rice bran wax and glycerine) contained several aldehydes and thus
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57 206 contributed to the initial concentration of all 10 volatile compounds detected in PSCF. Two raw
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207 materials, rice bran wax and glycerine, contained butanal and contributed to the presence of this
208 volatile compound in the freshly produced PSCF. Furthermore, rice bran wax contained 1-pentanol at
209 262 ng/g and 2-pentanone at 6 ng/g after accelerated storage. Therefore, it is likely that these two raw
210 materials contributed to the development of 1-pentanol and 2-pentanone in PSCF. Moreover, the initial
211 content of pentanal, 3-methylbutanal, 2-hexanone and hexanal in PSCF originated partly from rice
212 bran wax and glycerine. The last aldehyde, benzaldehyde, may originate from D-panthenol (data not
213 shown), rice bran wax and glycerine.

214 Only low concentrations of volatile compounds were present in glycerine compared with rice bran
215 wax. Glycerine can oxidize to aldehydes such as glyceraldehyde in presence of metal ions and elevated
216 temperature. Overall, 11 different oxidation products that have a three carbon structure have been
217 identified for glycerine. However, the oxidation products can react with other molecules to form
218 compounds with more than three carbons. One proposed mechanism is a reaction between
219 glyceraldehyde and glycerine to form glycerine acetate described by Jungermann and Sonntag (25).
220 Another possibility is simple polymerisation. The purity of glycerine was 99.5%. Moreover, the
221 impurities may also contribute to the volatile compounds developing during accelerated storage.

222 Rice bran wax (mostly wax esters) mainly contained saturated fatty acids (86%; 16:0, 18:0, 20:0, 22:0
223 and 24:0), in addition to monounsaturated (6.5%; 18:1 n-9) and polyunsaturated fatty acids (3%; 16:3
224 n-4, 18:2 n-6, 20:3 n-6 and 20:4 n-6). Despite a low concentration of polyunsaturated fatty acids, rice
225 bran wax had significantly higher concentrations of most volatile compounds detected than glycerine
226 because polyunsaturated fatty acids were highly susceptible to auto-oxidation. Auto-oxidation of
227 polyunsaturated fatty acids gives rise to formation of primary oxidation product which can decompose
228 further to secondary oxidation products. One of most likely decomposition pathways is scission.
229 Scission (either α or β) results in a complex mixture of secondary oxidation products including the
230 measured alcohols, ketones and aldehydes (21,22).

231 The following two raw materials, PMEA and isostearyl isostearate, work as skin conditioners in PSCF.
232 Initially, only hexanal, butanal and pentanal were present in PMEA and isostearyl isostearate (Figure
233 4), and they may thus partly be responsible for the initial presence of hexanal in PSCF.

234 Several volatile compounds appeared in the raw materials during the 3 months of storage, but some of
235 these volatile compounds only appeared in PMEA and isostearyl isostearate (2-heptanone, heptanal
236 and nonanal) (Figure 4). However, all 10 volatile compounds that increased during storage in PSCF
237 also appeared and increased in isostearyl isostearate and PMEA, namely butanal, 3-methylbutanal
238 (only isostearyl isostearate), pentanal, 2-pentanone, 1-pentanol (only isostearyl isostearate), 3-methyl-

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3 239 1-butanol (only isostearyl isostearate), hexanal, 2-hexanone, octanal and benzaldehyde (only PME A).
4 240 Therefore, it is likely that PME A and isostearyl isostearate contributed to the increase observed in
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6 241 PSCF of most of the volatiles. The structure of both PME A and isostearyl isostearate did not indicate a
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8 242 clear reactive group/site, which can result in the observed volatile compounds. More studies are
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10 243 therefore needed to understand where they originate from. Their presence may be related to impurities
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12 244 present in the raw materials.

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14 245 The last raw materials included in this study were UV filters. These raw materials were produced with
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16 246 the purpose of being reactive towards pro-oxidants. Three UV filters were investigated: UV benzoate,
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18 247 UV triazine and UV cinnamate. Initially, only a small amount of octanal was present in UV benzoate,
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20 248 and UV triazine did not contain any known oxidation products (Figure 5).

21 249 After 3 months of accelerated storage, aldehydes predominantly formed in UV benzoate and UV
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23 250 triazine. Some of the volatile compounds that appeared during the 3 months of storage were not
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25 251 present in PSCF (heptanal and nonanal). UV benzoate and UV triazine generated butanal, 3-
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27 252 methylbutanal (only UV benzoate), pentanal, hexanal, 2-hexanone, octanal and benzaldehyde after 3
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29 253 months storage.

30 254 In contrast to the other two UV filters, UV cinnamate contained substantial amounts of 3-methyl-1-
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32 255 butanol initially, and the concentration of this compound increased further during storage (Figure 5). In
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34 256 addition, UV cinnamate also generated 3-methylbutanal during storage. After three months of
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36 257 accelerated storage, octanal 28 ng/g, pentanal 42 ng/g and benzaldehyde 495 ng/g appeared as well
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38 258 (Figure 5C). Although several aldehydes occurred after three months of storage, their concentrations
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40 259 were low in UV filters compared with the concentrations in humectant, skin texture modifying and
41
42 260 skin conditioning raw materials. Therefore, UV benzoate and UV triazine were not explored further.
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44 261 However, the high concentration of 3-methyl-1-butanol generated by UV cinnamate would be
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46 262 expected to impact a finished product odour. A trained sensory panel described 3-methyl-1-butanol as
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48 263 glue, rubber, chemical, medicine and cleaning agent (5,6). Therefore, it is important to understand the
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50 264 route of reactions leading to 3-methyl-1-butanol in order to identify ways to control it.

51 265 MacManus-Spencer *et al.* (26) have previously investigated the degradation of octyl p-
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53 266 methoxycinnamate under photolytic conditions and identified 4-methoxybenzaldehyde and 2-
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55 267 ethylhexanol among the products. Two cleavage routes were considered in their work where the alkene
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57 268 in the UV-filter either reacted with water followed by a retro-aldol reaction or a reaction occurred with
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59 269 singlet oxygen to form the aldehydes through an unstable dioxetane (26). The same pathways can be
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270 envisioned in our case where UV cinnamate either would form 4-methoxybenzaldehyde and isoamyl

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3 271 acetate by reaction with water or undergo a cleavage with singlet oxygen to give the corresponding
4 272 aldehydes (Scheme 1). The addition of water to cinnamates followed by a retro-aldol reaction is a
5 273 known biosynthetic pathway in the synthesis of plant benzoic acids from cinnamates (27). As a result,
6 274 it should also be a feasible chemical route although the transformation is probably very slow. The
7 275 cleavage of olefins by singlet oxygen is well-known (28–30) and the formed isoamyl ester of glyoxylic
8 276 acid is presumably labile enough to hydrolyse completely under the storage conditions (31). Finally,
9 277 direct hydrolysis of UV cinnamate to the carboxylic acid and 3-methyl-1-butanol should also be
10 278 included in the considerations (Scheme 1).

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17 279 In addition to 3-methyl-1-butanol the degradation of UV cinnamate may thus also form 4-
18 280 methoxybenzaldehyde and isoamyl acetate which can be used to distinguish between the different
19 281 pathways. The pH of UV cinnamate was 4.23 initially and decreased slightly to 4.01 after 3 months
20 282 storage at 40°C. Inspection of the chromatograms from UV cinnamate did indeed reveal the presence
21 283 of both 4-methoxybenzaldehyde and isoamyl acetate. The retention time was 31.887 for 4-
22 284 methoxybenzaldehyde and 14.024 for isoamyl acetate and both signals were confirmed by external
23 285 standards. Notably, the acetate of the alcohol was not detected in the earlier work by MacManus-
24 286 Spencer *et al.* (26). 4-Methoxybenzaldehyde and isoamyl acetate were both present in UV cinnamate
25 287 from the beginning of the storage period and their amounts increased further during storage. Although,
26 288 the two by-products have not been quantified by the use of calibration curves, they appear to be
27 289 formed in somewhat equal amounts and certainly to a much lesser degree than 3-methyl-1-butanol,
28 290 which is the main by-product. As a result, 4-methoxybenzaldehyde and 3-methyl-1-butanol cannot be
29 291 formed by the oxidative cleavage with singlet oxygen since this would give rise to similar amounts of
30 292 both compounds. Instead, it is very likely that 4-methoxybenzaldehyde and isoamyl acetate are formed
31 293 by the addition of water and a retro-aldol reaction.

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43 294 This leaves the direct hydrolysis of the ester as the main pathway for the formation of 3-methyl-1-
44 295 butanol. It is known that esters can hydrolyse under near neutral conditions, but the reaction is very
45 296 slow. For ethyl cinnamate the half-life for hydrolysis in water at pH 4.0 and 25 °C is estimated to be
46 297 about 100 years (32). This number will be higher for UV cinnamate in the present case since the
47 298 hydrolysis is slower in a non-polar environment. However, the amount of 3-methyl-1-butanol released
48 299 in Figure 5 only corresponds to about 0.2‰ (w/w) after 3 months storage at 40°C. Therefore it is
49 300 hypothesised that this is a result of a very slow direct hydrolysis of the ester in the UV-filter under the
50 301 near neutral conditions.

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303 Linking volatiles in PSCF with those in raw materials

304 The volatile compounds present in PSCF and raw materials are summarized in Table 3. In brief, the
305 increase observed in butanal in PSCF during storage may mainly originate from isostearyl isostearate,
306 for which the concentration was above odour detection threshold value from the beginning of the
307 storage. However, butanal also developed in rice bran wax, glycerine, PMEA, UV triazine and UV
308 benzoate during storage.

309 The formation of 3-methylbutanal was related to several raw materials, namely, rice bran wax,
310 glycerine, isostearyl isostearate, UV cinnamate and UV benzoate. The concentration of pentanal
311 increased in all raw materials and the concentration was above odour detection threshold value in rice
312 bran wax, isostearyl isostearate and PMEA after 3 months of storage. Hexanal increased significantly
313 in the PSCF during storage and also increased to high concentrations in rice bran wax and PMEA
314 (more than 150 ng/g). In addition, hexanal was present in glycerine, isostearyl isostearate, UV triazine
315 and UV benzoate in low concentrations (less than 70 ng/g). Benzaldehyde mainly increased in PSCF at
316 20°C with exposure to light to 112 ng/g after 6 months' storage. It was possible to relate benzaldehyde
317 to all raw materials except isostearyl isostearate. The last aldehyde octanal appeared in all raw
318 materials except glycerine during accelerated storage. Particularly the concentration of octanal
319 increased in rice bran wax. The alcohol 1-pentanol marginally increased in a few materials, rice bran
320 wax and isostearyl isostearate. In contrast, to the low concentration of 1-pentanol and 3-methyl-1-
321 butanol in isostearyl isostearate, 3-methyl-1-butanol was present in high concentration in PSCF from
322 the beginning and throughout the storage period. The RMs shown to generate 3-methyl-1-butanol
323 during storage were UV cinnamate, glycerine and isostearyl isostearate. Lastly, the two ketones, 2-
324 pentanone and 2-hexanone, were present in both PSCF and several raw materials but only in low
325 concentrations.

326 GSK Toxicology group (2017) has assessed the human safety impact of the volatiles included in this
327 report. At the determined levels these substances do not raise any toxicological concern, neither locally
328 or systemically (33).

329 **Conclusion**

330 This study explored lipid oxidation and oxidative degradation in a topical skin formulation (PSCF)
331 containing high levels of lipid. Some secondary volatile oxidation products were present initially and
332 more were generated during the 6 months of storage. Most notably, 3-methyl-1-butanol was present in
333 a high concentration initially and it increased further during storage. Since the concentration of 3-

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3 334 methyl-1-butanol was higher than the odour detection threshold value after six months of storage, it
4 335 was expected to affect product odour after long term storage, generating an increase in the medicinal,
5 336 chemical/cleaning agent-type odour character. This product was therefore assessed for odour changes
6 337 (informally vs. a 5°C control sample) and deemed acceptable and typical of a dermocosmetic product,
7 338 highlighting again the importance of considering the combination effect (of other volatiles present) and
8 339 the product base odour when interpreting the impact of any lipid oxidation on product odour.

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11 340 Selected raw materials were explored in order to link volatile compounds affecting the quality in the
12 341 topical skin formulation to raw material(s). The UV cinnamate filter developed high levels of 3-
13 342 methyl-1-butanol during storage so was identified as a material to control. A potential pathway leading
14 343 to 3-methyl-1-butanol was proposed.

15 344 Furthermore, well-known lipid oxidation products and non-enzymatic browning products were
16 345 suggested to originate from rice bran wax in particular because of its unsaturated nature. It was
17 346 surprising that volatile lipid oxidation products occurred in PMEA and isostearyl isostearate, as these
18 347 raw materials did not contain reactive sites for oxidation. More studies are needed to explore why
19 348 volatile compounds appeared.

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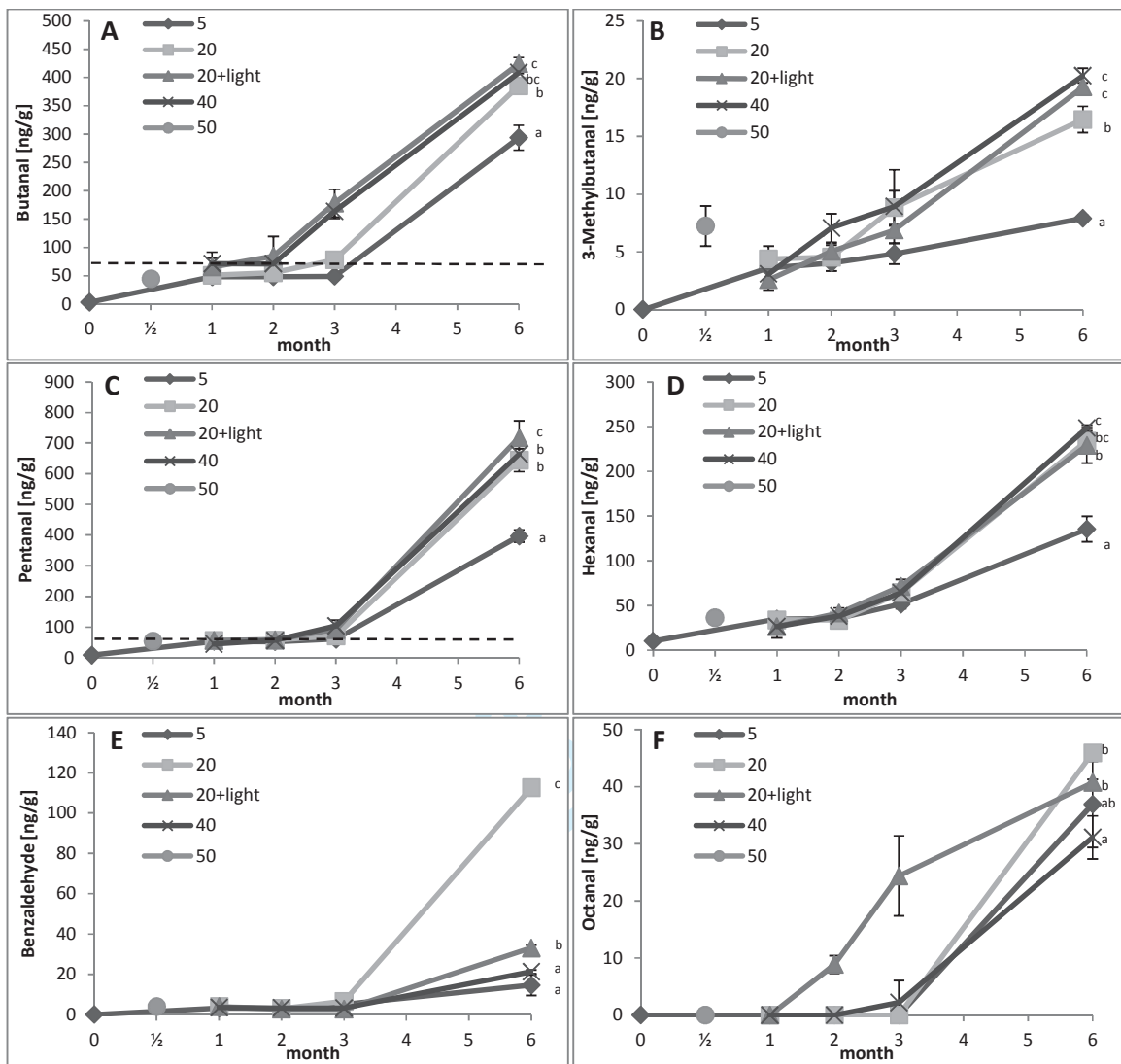


Figure 1. Aldehydes increasing in PSCF during 6 months of storage at 5°C (◆), 20°C (□), 20°C with exposure to light (▲), 40°C (×) and 50°C (●). The dotted line indicates the odor detection threshold value (butanal and pentanal). The development of A) butanal, B) 3-methylbutanal, C) pentanal, D) hexanal, E) benzaldehyde and F) octanal during storage [ng/g]. Results are presented as average \pm SD and N=3. Significance differences at 0.05 level are only marked for the last sampling point.

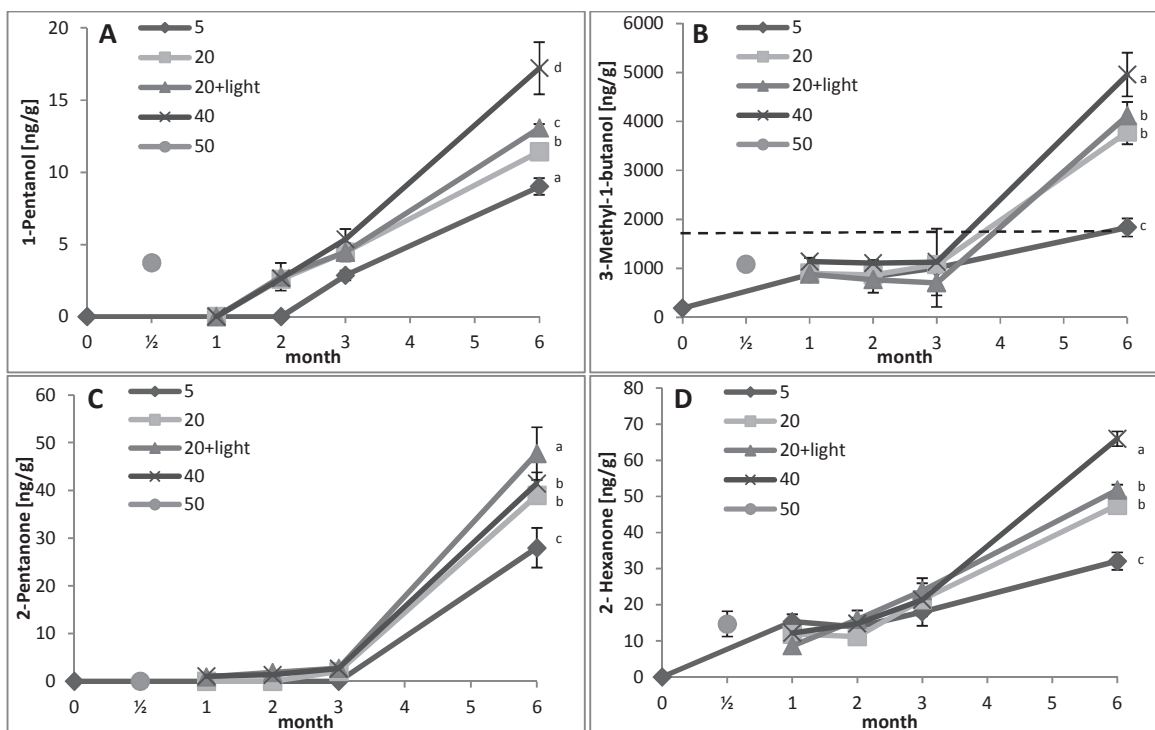


Figure 1. Alcohols and ketones increasing in the PSCF during the 6 months of storage at 5°C (◆), 20°C (◻), 20°C with exposure to light (▲), 40°C (×) and 50°C (●). The dotted line is added for the exact threshold value (3-methyl-1-butanol). The development of A) 1-pentanol, B) 3-methyl-1-butanol, C) 2-pentanone, and D) 2-hexanone during storage [ng/g]. Results are presented as average \pm SD and N=3. Significance differences at 0.05 level are only marked for the last sampling point.

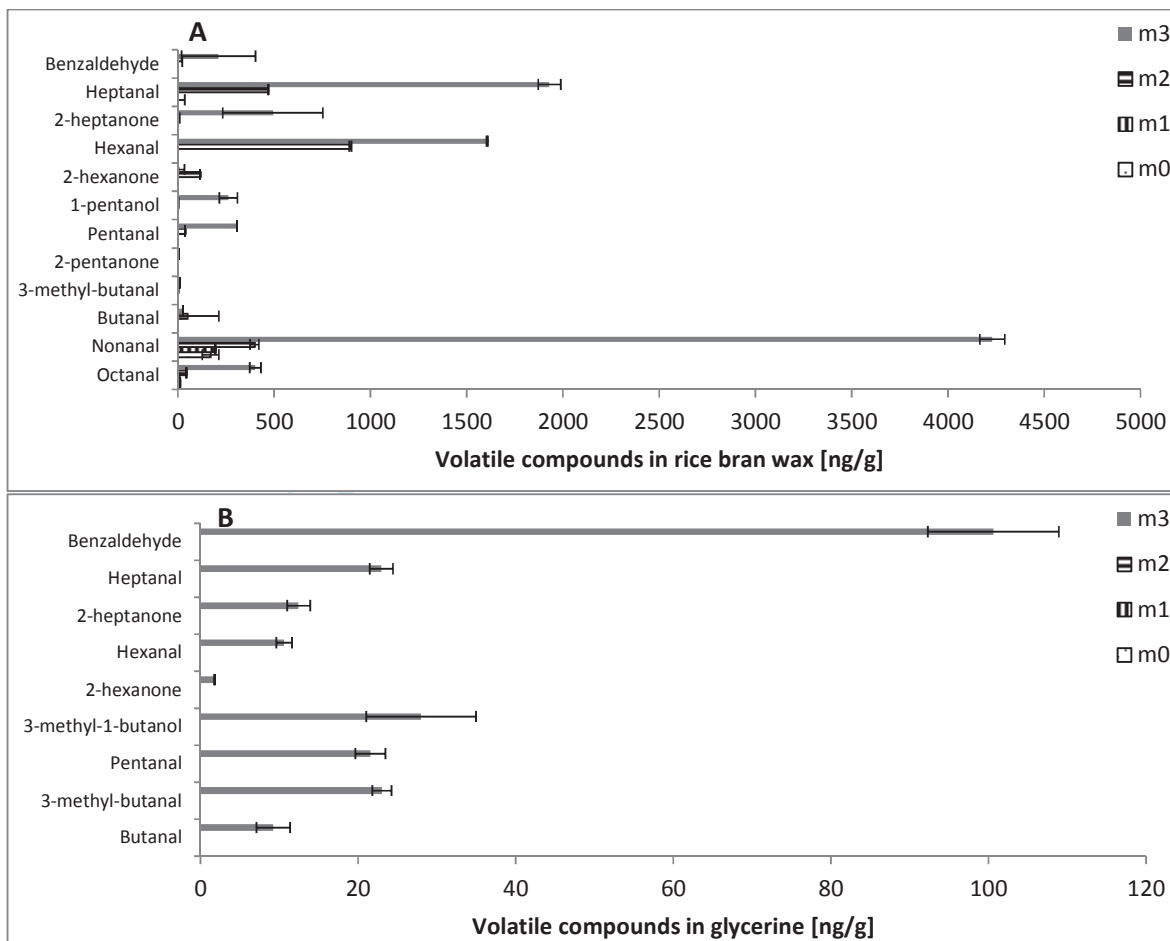


Figure 3. Volatile compounds [ng/g] present in raw materials during the 3-month storage at 40°C. A) rice bran wax and B) glycerine. Results are presented as average \pm SD and N=3.

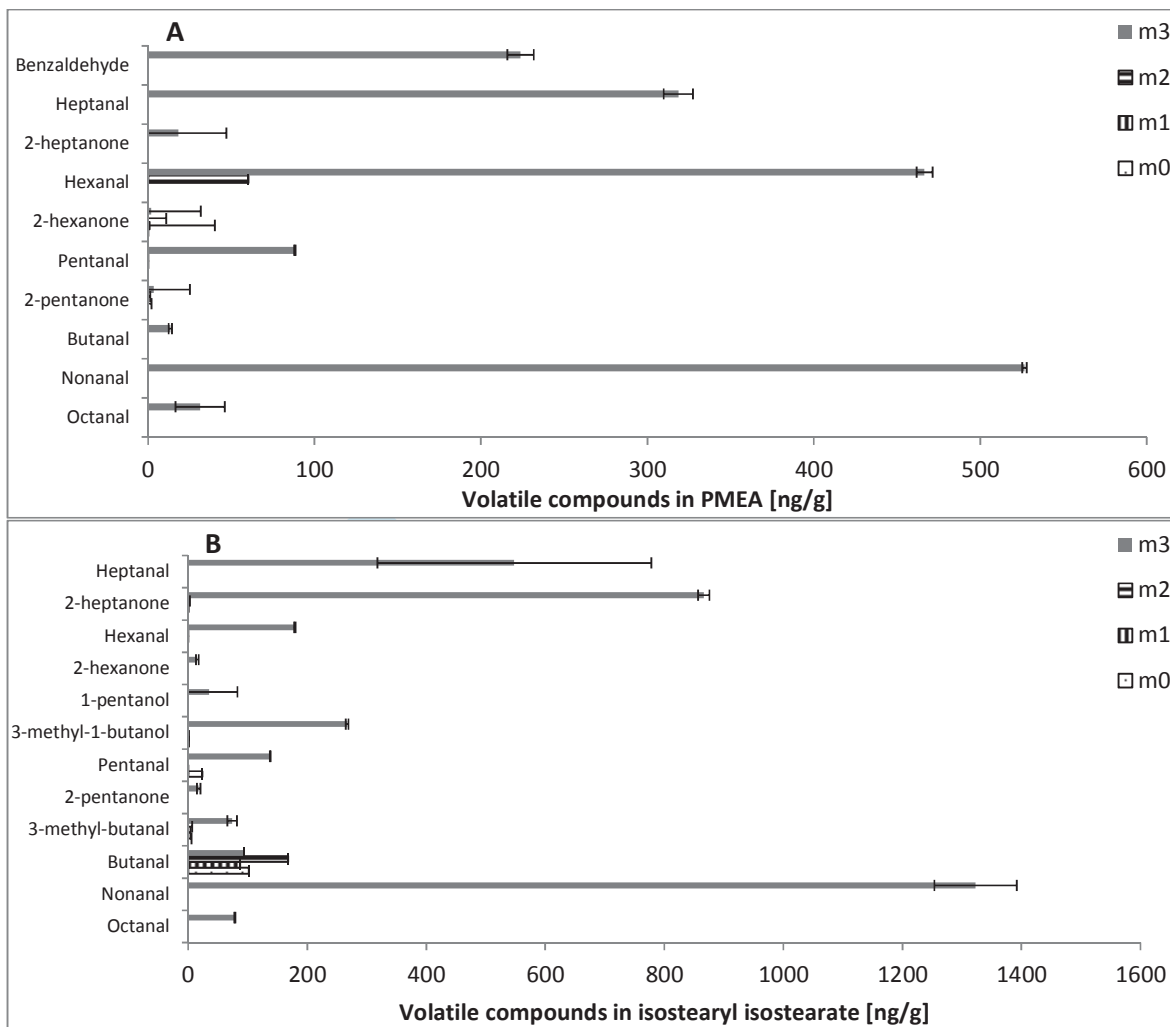


Figure 4. Volatile compounds [ng/g] present in skin texture modifying and skin conditioning raw materials during the 3-month storage at 40°C. A) PME A and B) isostearyl isostearate. Results are presented as average \pm SD and N=3.

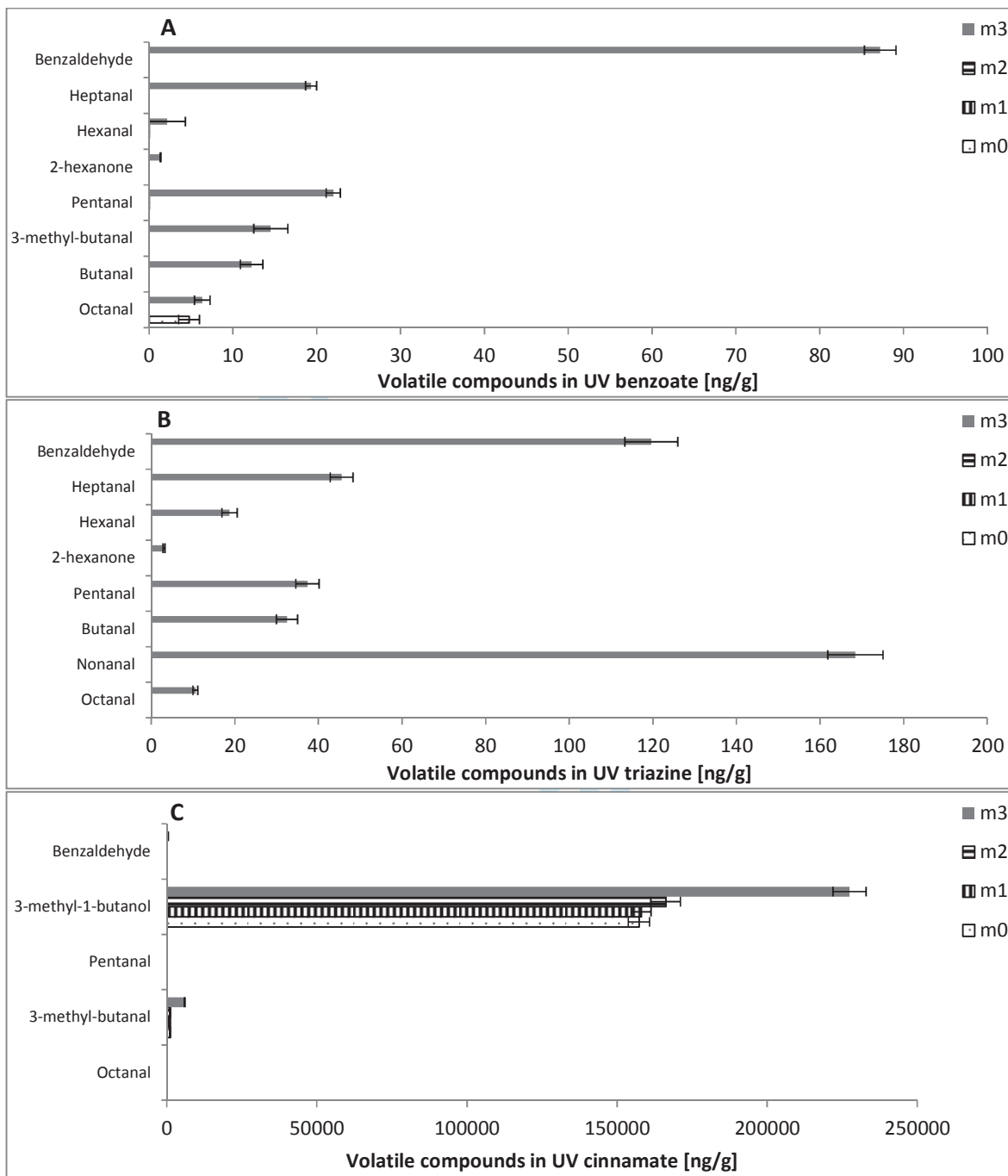
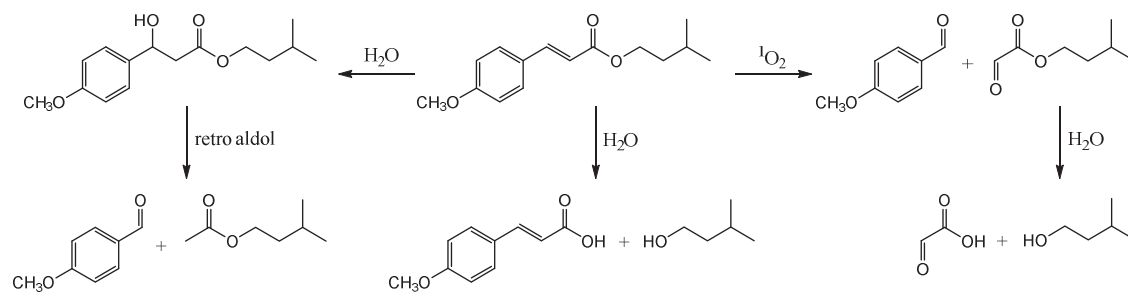


Figure 5. Volatile compounds [ng/g] present in UV filter raw materials during the 3 months of storage at 40°C. A) UV benzoate, B) UV triazine, and C) UV cinnamate. Results are presented as average \pm SD and N=3.



Scheme 1. Potential pathways for cleavage of UV cinnamate.

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Table 1. Sample preparation, collection conditions and water evaporation applied for collection of volatile compounds from PSCF and raw materials.

Samples	Preparation	Collection	Evaporation
PSCF	1 g sample. Incubation at 45°C for 5 min.	50 mL/min at 45°C for 10 min	50 ml/min at 25°C for 22 min.
Rice bran wax Glycerine Isostearyl isostearate UV cinnamate	1 g sample. Incubation at 60°C for 4 min.	50 mL/min at 60°C for 20 min	-
PMEA UV triazine UV benzoate	1 g of sample and water were mixed (1:1). Incubation at 45°C for 5 min.	50 mL/min at 45°C for 10 min	50 ml/min at 25°C for 22 min.

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Table 2. Description of difference scale.

<u>DOD Scale</u>	<u>Description of Difference</u>
1	No differences in character or intensity noted
2	Reasonably sure difference exists, though difference may be too subtle to accurately describe
3	Definite difference, can describe difference with reasonable surety
4	Product or material out of expected range. Moderate or large intensity differences or ANY character differences.
5	Outside normal range. Large intensity and/or character differences.

Note: DOD = Degree of Difference

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Table 3. Summary of volatile compounds present in both PSCF and raw materials. += present, ++ = present above threshold value (5,6) in raw material (only available for butanal, pentanal and 3-methyl-1-butanol), and - = absent.

Volatile compounds/ Raw material	Butanal	3-methylbutanal	Pentanal	Hexanal	Benzaldehyde	Octanal	1-pentanol	3-methyl-1-butanol	2-pentanone	2-hexanone
Rice bran wax	+	+	++	+	+	+	+	-	+	+
Glycerine	+	+	+	+	+	-	-	+	-	+
Isostearyl isostearate	++	+	++	+	-	+	+	+	+	+
PMEA	+	-	++	+	+	+	-	-	+	+
UV cinnamate	-	+	+	-	+	+	-	++	-	-
UV triazine	+	-	+	+	+	+	-	-	-	+
UV benzoate	+	+	+	+	+	+	-	-	-	+