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The inter- and intrarater reliability and agreement for field-based assessment of scapular control, shoulder range of motion, and shoulder isometric strength in elite adolescent athletes

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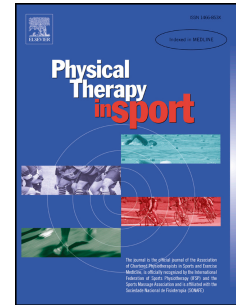
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TITLE PAGE**The inter- and intrarater reliability and agreement for field-based assessment of scapular control, shoulder range of motion, and shoulder isometric strength in elite adolescent athletes**

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1 **ANONYMOUS TITLE PAGE**

2 **The inter- and intrarater reliability and agreement for field-based assessment of**
3 **scapular control, shoulder range of motion, and shoulder isometric strength in elite**
4 **youth athletes**

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ACCEPTED MANUSCRIPT

19 **ABSTRACT**

20 **Objectives:** To investigate the intra- and interrater reliability and agreement for field-based
21 assessment of scapular control, shoulder range of motion (ROM), and shoulder isometric
22 strength in elite youth athletes.

23 **Design:** Test-retest reliability and agreement study.

24 **Setting:** Eight blinded raters (two for each assessment) assessed players on field during two
25 testing sessions separated by one week.

26 **Participants:** 162 elite youth handball players with or without a history of previous shoulder
27 pain within the preceding six months.

28 **Main Outcome Measures:** Kappa (κ) and prevalence-adjusted bias-adjusted kappa (PABAK)
29 coefficients for scapular control reliability, and 95% limits of agreement (LOA) for ROM and
30 strength agreement.

31 **Results:** Scapular control demonstrated substantial to almost perfect reliability (κ 0.67 to
32 0.84, PABAK from 0.68 to 0.88). Mean strength values ranged from 0.9N/kg to 1.6N/kg, and
33 LOAs ranged from -0.7N/kg to 0.8N/kg. Rotational strength revealed additionally systematic
34 bias between and within rater. No or acceptable systematic bias were evident for ROM and
35 abduction strength measures. Mean values and LOAs for ROM ranged between 39.9° to
36 52.3°, and from -12.6° to 9.9°, respectively.

37 **Conclusions:** Scapular control and ROM can be assessed on the field with acceptable
38 reliability. The threshold for reliable measurements of isometric strength using handheld-
39 dynamometers is high.

40

41 **High Lights:**

- 42 • Scapular control and ROM can be assessed with acceptable reliability in a field-based
43 setting.

- 44 • Risk of injury threshold for ROM differences must exceed 5° to exceed measurement
45 error.
- 46 • Using hand-held dynamometer for strength assessments should be used with caution.

47 **Key words: Handball, hand-held dynamometer, inclinometer, scapular dyskinesis**

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65 INTRODUCTION

66 Sports that require repetitive overhead movements places athletes at high risk for shoulder
67 injury¹. Consequently, identifying risk factors is important for injury prevention in sport².
68 Previous studies have identified several modifiable risk factors for a shoulder injury in
69 overhead athletes; such as limited dominant shoulder internal rotation range of motion
70 (ROM)³⁻⁵, decreased shoulder external rotation and abduction strength^{6,7}, and lack of scapular
71 control³. However, little is known about the reliability of the physical examination
72 procedures used to measure these factors in sport. Reliability is essential to the clinical utility
73 of a shoulder assessment procedure as well as its use in research, because if the assessments
74 have large measurement error, it may be hard to find an association between the shoulder
75 assessment and future development of shoulder injuries in cohort studies, or to measure the
76 effect of treatment or training over time.

77 Previous studies⁸⁻¹³ investigating the inter- and intrareliability of shoulder test outcomes in
78 overhead athletes have reported conflicting findings, with intraclass correlation coefficients
79 (ICC) ranging from 0.13⁸ to 0.99^{11,12} and kappa (κ) coefficients ranging from 0.08¹³ to 0.61¹⁰.
80 While methodological issues likely account for some variation in reliability outcomes^{14,15},
81 study limitations constrain the interpretation of these results. Common shortcomings include
82 the investigation of small cohorts, and inadequate statistical analyses (not supporting ICC
83 reliability coefficients with agreements estimates). Moreover, no other studies have
84 investigated the reliability of both ROM, scapular control, rotational and abduction strength
85 in the same athlete study population. Finally, with only one exception⁹, all previous studies
86 have, to the best of our knowledge, been performed in controlled environments i.e. separate
87 rooms which are contrary to the common field-based assessment of athletes.

88 Therefore, the purpose of the present study was to investigate the intra- and interrater
89 reliability of field-based shoulder testing in a sample of elite youth handball players.

90 **METHODS**

91 **Participants**

92 We recruited elite handball players aged 14 to 18 years from five colleges specialized in
93 handball. We excluded players if they reported a history of (a) previous shoulder surgery, (b)
94 previous glenohumeral dislocation, or (c) glenoid labrum tear, (d) rotator cuff tear, or (e)
95 fracture in the shoulder region within the past 6 months, as well as (f) those who experienced
96 pain during assessment procedures.

97 All participants provided a signed informed consent before study enrolment.

98 **Raters and pilot studies**

99 We recruited eight final year physiotherapy students (two for each shoulder assessment) with
100 no prior experience in performing the assessment of participants. All raters underwent
101 approximately two hours of training in the testing procedures as part of two pilot studies. The
102 first pilot study was performed using twenty physiotherapy students as participants. In this
103 study, we evaluated and refined the test protocols and the feasibility of the procedures based
104 on qualitative feedback from participants as well as an experienced research physiotherapist.
105 In a second pilot study with forty-five handball players aged 14 to 18 years, the raters further
106 refined and evaluated the procedure and the interpretation of test results.

107 *Procedures*

108 All participants attended two testing sessions separated by one week. We performed the
109 testing procedures in rooms or corners available in the participant's own fields before or
110 during a training practice. The same room or corner was used for each testing session, and the
111 second test session was performed at the exact same day of the week and time of the day as
112 the first test session. All testing procedures had to be finished within 1.5 – 2 hours which

113 gave each rater a short time-frame to perform each assessment. Furthermore, the raters
114 performed the assessments with other players around as a disturbing factor.
115 During data collection, participants rotated between four different test stations in random
116 order. Each station involved assessments of either (1) scapular control, (2) shoulder internal
117 and external rotational isometric strength (3) shoulder internal and external rotational ROM
118 or (4) isometric abduction strength. Scapular control assessment was only evaluated at the
119 first testing session. Two repetitions of each ROM and strength test were performed at each
120 testing session. A practice repetition was first performed to familiarize the participant with
121 the measurement procedure. Raters were blinded to the other raters' results and participants'
122 arm dominance. Additional details of the testing procedures are included as part of online
123 Supplementary Appendix 1.

124 As a part of the first test session, all participants answered a baseline questionnaire that
125 established their arm dominance and weight.

126 *Scapular control*

127 The participants performed five repetitions of full shoulder flexion and abduction while
128 holding either a 3 kg (for male) or 2 kg (for female) hand weight. Girls were only allowed to
129 wear normal bra, and not sports bras. Scapular dyskinesis was defined as the presence of
130 either winging or dysrhythmia as described by McClure et al.¹³

131 Each shoulder was evaluated independently and classified as (a) normal scapular control (the
132 scapula is stable with minimal motion during the initial 30 degree to 60 degree
133 humerothoracic elevation, then smoothly and continuously rotates upward during elevation
134 and smoothly and continuously rotates downward during humeral lowering. No evidence of
135 winging is present¹³), (b) subtle scapular dyskinesis (mild or questionable evidence of either
136 dysrhythmia or winging, not consistently present¹³) or (c) obvious dyskinesis (striking,
137 clearly apparent abnormality like dysrhythmias or winging of 2.54 or greater displacement of

138 scapular from thorax, evident on at least 3/5 trials¹³) during shoulder flexion and abduction
139 movements. The final evaluation of scapular control was based on combined flexion and
140 abduction test movements as described by McClure et al.¹³. If both motions were rated as
141 normal or subtle, the final rating was normal. If both motions were rated as subtle dyskinesia,
142 the final rating was subtle dyskinesia, and if either motion was rated as obvious dyskinesia,
143 the rating was obvious dyskinesia.

144 *Internal and external range of motion*

145 The ROM test protocol was based on a procedure described previously³. We measured
146 shoulder internal and external ROM using a single digital inclinometer (Pro 3600 Digital
147 Protactor, Level developments) with the participant supine on a portable table and the
148 shoulder abducted to 90°, and the elbow flexed to 90°. A ruler was taped to the inclinometer
149 to ensure correct placement at the midpoint between the ulnar styloid and olecranon. A folded
150 towel was placed under the distal humerus to ensure alignment of the upper arm in the frontal
151 plane. The rater palpated the coracoid process of the involved scapula and rotated the
152 participant's shoulder to end range. End range internal and external ROM was defined as the
153 point at which the coracoid process was felt to move in either anterior direction (internal
154 rotation) or posterior/superior direction (external rotation). The rater stabilized the shoulder
155 in this position by placing their medial forearm (the arm closest to the examination table) on
156 the participant's upper arm and exerting downward pressure while gripping the participant's
157 forearm³ (Appendix 1).

158 *Isometric internal and external strength*

159 Maximum isometric internal and external rotational strength was assessed using a handheld
160 dynamometer (CommanderTM Muscle Tester, JTECHmedical) and from a modified version
161 of the protocol reported by Hurd et al.¹⁶. Participants were positioned supine with their
162 shoulder abducted to 90° and in neutral rotation, and elbow flexed to 90°. A strap was placed

163 across the participant's anterior pelvis along the anterior superior iliac spines to fixate the
164 lower trunk to the table.

165 The raters used both arms, which were straight (no flexion at the elbow) to avoid counter
166 pressure, and placed their folded hands around the anterior (internal rotation) or posterior
167 (external rotation) part of the wrist. The dynamometer was strapped around the fingers on the
168 medial hand so that they could use the lateral hand to stabilize the equipment at its correct
169 placement on the wrist as shown in Appendix 1. The participant was instructed to maintain a
170 maximal contraction against the dynamometer for 5 seconds.

171 *Abduction strength*

172 Shoulder maximum isometric abduction strength was performed with the participant in the
173 'full-can' supraspinatus test position as described by Reinold et al.¹⁷. A 30-degree angle was
174 marked on the floor with tape to align the participant's shoulder in the plane of the scapula.
175 Their shoulder was positioned in 90° of abduction using a goniometer, with the thumb
176 pointing upwards and with the arms in the scapular plane position. The rater was seated in a
177 chair with arms elevated and extended at the elbow. A handheld dynamometer
178 (Commander™ Muscle Tester, JTECHmedical) was positioned 1 cm proximal from the line
179 of the radiocarpal joint (Appendix 1). The participant was instructed to exert a maximal
180 contraction against the dynamometer and rater while maintaining a maximum contraction for
181 5 seconds.

182 **Statistical analysis**

183 All statistical analyses were conducted in Stata version 14.1 software (StataCorp, College
184 Station, TX, USA). We calculated means and standard deviations across participants and
185 raters for all dependent continuous variables based on the first test session, which was applied
186 as normative reference values. Isometric strength data is presented in newton (N), and N

187 normalized to body weight (N/kg). In addition to the absolute ROM measures, we calculated
188 the difference between the dominant and non-dominant arm.

189 We estimated the intertester reliability of scapula control with Cohen's kappa coefficients (κ).
190 To assist the interpretation of κ outcomes, we also calculated indices of prevalence and bias
191 and prevalence-adjusted bias-adjusted kappa coefficients (PABAK)¹⁸. Benchmarks suggested
192 by Landis and Koch¹⁹ were used to interpret the κ and PABAK outcomes (>0.81, almost
193 perfect; 0.61 to 0.80, substantial; 0.41 to 0.60, moderate; 0.21 to 0.40, fair; 0.00 to 0.20,
194 slight; and <0.00, poor).

195 We calculated the reliability and agreement calculations for continuous measures in two
196 ways. Preferably, we wanted to use a similar approach as recommended by Hayen et al.²⁰, as
197 this allows us to assess inter- and intrarater reliability simultaneously, and take the repeated
198 measures of our design into account. In this approach, we analyzed differences using a mixed
199 two-way analyses of variance (ANOVA) for repeated measurements. Rater and period were
200 entered as fixed effects, and the following were entered as random effects: participant x rater,
201 participant x period and residuals. Based on the variance components, we calculated Bland
202 and Altman bias and 95% limits of agreement (LOA) statistics and ICCs for the following
203 comparisons: 1) within the same rater and day, 2) within the same rater on different days, and
204 3) within the same day and between different raters. Formulas can be found in supplementary
205 material as Appendix 2. However, the applied statistical model assumes no systematic
206 differences between the rater's two repeated measurements within a day, which was not the
207 case for all the strength measures in our study. For these assessments, we, therefore,
208 calculated LOA^{21,22} and ICC based on the mean between each rater's two repeated
209 measurements for the inter- and intrareliability between days. ICCs were calculated using a
210 two-way mixed absolute agreement model (ICC 3,1)²³. Benchmarks suggested by Fleis were
211 used to interpret ICC outcomes: (a) >0.90 = excellent reliability; (b) 0.80 to 0.89 = good; (c)

212 0.70 to 0.79 = moderate; and finally (d) <0.70 = low reliability²⁴. LOAs were interpreted as
213 the minimal detectable change (MDC)²⁵.

214 The number of participants included in our analysis was based on the formula for limits of
215 agreement described by Bland & Altman²²: $N = (2 * 1.96 * s / w)^2$, where s is the standard
216 deviation and w the width of the LOAs. We only applied this calculation for the ROM
217 procedures. SD was set to be 11 based on a study of TROM on badminton players²⁶. Since
218 the inclinometers are very sensitive we set our acceptable LOA to 5. Based on these
219 assumptions, we therefore required 74 players for each gender.

220

221 **RESULTS**

222 We enrolled 162 participants (82 girls) in the study, and the number of participants included
223 in the analyses for each assessment for the dominant arm is listed in Figure 1. The
224 demographic characteristics of the sample are presented in Table 1. Normative reference
225 values for ROM and strength measurements are presented in the Supplementary material as
226 Appendix 3.

227

228 (Please place Figure 1 and Table 1 around here)

229

230 *Scapular control*

231 The intertester reliabilities of scapular control assessments are presented in Table 2. The
232 raters' individual results for the final combined rating are found in Supplementary material as
233 Appendix 4. The results demonstrated substantial κ coefficients (range 0.59 to 0.96) except
234 for abduction movements for the non-dominant arm, which revealed moderate κ coefficients
235 of 0.47 to 0.49. PABAK agreement ranged between 0.68 and 0.80. Based on both raters'

236 assessments, the prevalence proportion of obvious scapular dyskinesis in the dominant arm
237 was 10% for girls and 39% for boys. For the non-dominant arm, the prevalence proportion
238 was 21% for the girls and 39% for the boys (Appendix 4). We estimated the indices of
239 prevalence and bias¹⁸ for the girls dominant arm to -0.80 and -0.02, respectively, and for the
240 boys dominant arm to -0.21 and 3.91, respectively. For the non-dominant arm, we estimated
241 the indices of prevalence and bias for the girls to -0.57 and 0.04, and for the boys to -0.19 and
242 0 (Appendix 4).

243

244 (Please place Table 2 around here)

245

246 *Range of motion*

247 Reliability and agreement results for ROM assessments are summarized in Table 3. Rater 1
248 systematically measured some degrees lower than Rater 2 in 6 out of 8 (6/8) measurements.
249 Intrareliability between days revealed systematic bias of approximately 1° in 4/8
250 measurements. For both internal and external range of motion, we found the narrowest LOAs
251 within the same rater compared to between rater measurements, in which external rotation
252 revealed the widest LOAs. The systematic differences between raters decreased when
253 looking at the difference between dominant and non-dominant calculations compared to the
254 absolute values, but the LOAs were approximately the same except for the intrarater
255 measurements within day, which revealed wider LOAs. Mean values ranged between 39.9°
256 and 52.3° (Appendix 3).

257

258 (Please place Table 3 around here)

259

260 *Isometric rotational strength*

261 Rater 3 was the only rater who in 9/16 assessments had between-day bias. Between rater
262 assessments demonstrated systematic bias in all male assessments. Intra- and interrater LOAs
263 were approximately the same ranging from -0.5 N/kg to 0.9 N/kg (Table 4). Mean values
264 ranged between 1.3 N/kg and 1.6 N/kg (Appendix 3).

265 *Abduction strength*

266 One significant difference was found in the intrarater assessments and none between raters.
267 LOAs ranged from -0.4 N/Kg to 0.4 N/Kg (Table 4) and mean values from 0.9 N/kg to 1.2
268 N/kg (Appendix 3).

269

270 (Please place Table 4 around here)

271

272 **DISCUSSION**

273 The present study is the first to establish the reliability and agreement of scapular control, and
274 shoulder ROM and strength assessments in the same large study population in field-based
275 conditions.

276 **Scapular control**

277 We identified greater κ values (0.67 to 0.84) than those presented in previous studies ($\kappa =$
278 0.55 to 0.58)¹³. In our study, all boys performed the assessments with a 3-kg dumbbell and
279 all the girls with a 2-kg dumbbell, which is slightly higher weights than described by
280 McClure et al.¹³. In addition, McClure et al. based the choice of dumbbell on body weight
281 and not on gender, which may have influenced the comparison of the two study results.
282 There were slight differences between the κ and PABAK values, which indicate that the κ
283 values were influenced by either bias or the prevalence of obvious dyskinesia. The prevalence

284 of obvious scapular dyskinesis in the dominant arm for the girls was only 10%. This number
285 is much lower in what we found in our cohort study²⁷ using the same procedure as described
286 in this study. Here the prevalence proportion for the dominant arm in girls was 30% (results
287 not published). It is likely that the low prevalence proportion has influenced our results for
288 the girls as the prevalence influence the expected agreement by chance.

289 It has been argued that scapular control should be dichotomized (e.g., absent or present)
290 rather than categorized (normal control, slight dyskinesis, obvious dyskinesis)²⁸. Our findings
291 demonstrate that dichotomization increases interrater agreement when compared to three-
292 option categorization. Consequently, dichotomization of scapular control into normal
293 (normal+subtle dyskinesis) or obvious dyskinesis may be more suitable for research and
294 applicable for clinical use.

295 **Range of motion**

296 No clinically relevant systematic error (bias) was identified for intrarater agreements (the
297 difference was 1° or below). Intrarater LOAs between days ranged from -8.4° to 9.9°, which
298 means that almost 10°s change is required to be 95% certain that the change between the
299 measurements is not due to variability of measurement error if the same rater repeats the
300 measurement. Interrater agreements revealed systematic error (bias) of between 3.6° and
301 6.7°s, and slightly higher LOAs for particularly external rotation.

302 Injury risk factor studies have included differences in shoulder ROM between dominant and
303 non-dominant arms as a potential predictor of injury^{3,4}. Our results demonstrate that using
304 this calculation reduced the amount of systematic error in the inter-rater assessments;
305 however, based on the LOA, a 10°s change is still required to be sure a change in the
306 measurement is not due to measurement error. In handball, 5°s change in Total ROM has
307 been reported to be associated with reduced odds for shoulder injury [Odds Ratio (OR) :0.77
308 (95% CI 0.56 to 0.995)]³. Thus, it can be argued that 5°s represent a clinical important

309 change. Unfortunately, the study by Clarsen et al. only reports ICCs and not LOAs as
310 reliability measures. However, according to our study, a difference of 5°s may be a low
311 threshold for a clinical change as there is a reasonable chance that this is due to measurement
312 error.

313 In previous reliability studies of passive ROM in overhead athletes, only one study undertook
314 analyses beyond the calculation of ICCs. Boon et al.⁸ reported MDC values ranging from
315 18.23° to 27.55° for intrarater reliability and 22.14° to 25.21° for inter-rater reliability, which
316 are considerably higher than our LOA estimates. However, they also reported greater
317 absolute rotational maximum values than ours, which affects the MDC²⁴. These
318 dissimilarities may be explained by differences in measurement technique and instruments as
319 Boon et al. used a goniometer to measure shoulder ROM, while we used a digital
320 inclinometer²⁴.

321 **Rotational strength**

322 We identified significant systematic errors in 10/16 assessments in the interrater analysis, and
323 in 9/16 measurements in the intrarater analysis for rater 3, whereas rater 4 did not
324 demonstrate any significant systematic errors.

325 Limits of agreements for the rotational strength measures without systematic differences
326 demonstrated an individual range in both internal and external rotation (-48 N to 51 N). This
327 is approximately 42% – 56% of the estimated mean reference values. In addition, it is more
328 than 5 times higher than 10 N, which can be argued to represent the minimal clinically
329 important difference, as Clarsen et al. have reported a 29% reduced odds [OR:0.71 (95% CI
330 0.44 to 0.99)] for substantial shoulder problem per 10 N increase in external rotational
331 strength³. Clarsen et al. performed this test differently with the arm at 0°s of abduction³,
332 which might have an influence on the clinical importance value, but still given the high

333 LOAs it is extremely difficult to estimate an actual clinical difference using this approach in
334 youth handball.

335 Fieseler et al.¹¹ is to our knowledge the only other study which has investigated the isometric
336 intrarater reliability of internal and external rotation using HHD between 7 days. They report
337 LOAs ranging from -17.0 N to 19.4 N for internal rotation and -18 N to 15 N for external
338 rotation in the throwing arm, which are narrower LOAs than the LOAs reported in our study,
339 but still almost twice as high as what might be the clinical relevant difference¹¹. A possible
340 explanation of these dissimilarities may be that both of our raters were female, who had
341 trouble holding the position when testing some of the strong males, as demonstrated by the
342 systematic bias for primary male assessments. A previous study has argued that when the
343 strength of the muscle group being tested exceeds the capacity of the assessor to hold against
344 or stabilize the assessed person, the force measured will represent the limitations of the rater
345 and not the strength of the assessed subject⁹. Hand held dynamometry is easy to apply, but
346 the test procedures have to be improved so that the measurements do not rely on the strength
347 of the rater. A possible solution might be to attach the HHD to a suction cup²⁹. We,
348 therefore, modified our procedures to include external belt-fixation, and re-evaluated it in a
349 small sample of 17 male u-18 handball players²⁷. This approach narrowed the LOA by up
350 50% compared to the intrareliability results presented in this paper, which indicate, that this
351 could be an applicable and reliable approach to use for field-based assessments of rotational
352 strength. However, it has to be further investigated in a larger sample.

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357 Abduction strength

358 No significant differences existed between the two raters and within raters in their
359 measurement of abduction strength. Still, the MDC were around 0.4 N/kg in the worst cases,
360 which is high according to mean values ranging between 0.9 N/kg to 1.2 N/kg.
361 Normalizing the N values to weight changed some of the reliability results slightly in both the
362 rotational and abduction strength measurements. We have presented both measures to be able
363 to compare N outcomes with previous studies and to provide the reliability results for the
364 normative values normalized to weight (Appendix 3) which is the advised strength measure
365 to use in risk factor studies of overhead athletes³⁰.
366 The only other study investigating the reliability of abduction strength is also the only study
367 besides ours assessing reliability measures on-field⁹. Unfortunately, they only report ICC,
368 which makes comparisons difficult. One could speculate that the reason for the wide LOAs in
369 both abduction and rotational strength is the result of factors within the player such as the
370 players' motivation or fatigue, as other agreement studies from other populations also
371 demonstrate relatively large MDC using a HHD^{24,31}. In our study, the players rotated between
372 several test stations and it is possible that this influenced the players' motivation and fatigue.
373 However, a previous study investigating the reliability of abduction strength conclude that a
374 strength gain is more reliable to use than a HHD because it has the advantage of having the
375 participant to pull up against a plate that is stabilized by his body weight instead of relying on
376 the strength of the assessor⁹. Thus, eliminating the random errors from the raters by attaching
377 the HHD to a suction cup instead of using the rater's arms²⁹ might improve our results. As
378 for the rotational strength procedures, we, therefore, modified our abduction strength
379 procedures to include external belt-fixation, and re-evaluated it in the same sample as
380 described above²⁷. Again, this approach narrowed the LOA by up 50% compared to our
381 intrareliability results presented in this paper, and was much easier to perform for the

382 physiotherapist. The reliability of this procedure has to be further established due to the small
383 sample size in that study²⁷.

384 **Statistical approach**

385 Unfortunately, very few reproducibility studies in overhead sport have reported other
386 reliability values than ICC. It has been argued^{25,32} that ICC is a poor estimate to solely
387 conclude on because high ICC does not necessarily mean that a test is reliable as well as low
388 ICC does not necessarily mean that a test is unreliable¹³. In situations with a homogeneous
389 sample in which there is little variability among subjects' scores, it is difficult to obtain a
390 high ICC despite low measurement error, whereas high ICC may be reported in a more
391 heterogeneous sample with greater variation between subjects' scores in which the ICC will
392 be scarcely affected by measurement error²⁵. Absolute reliability measures as SEM, LOA or
393 MDC are much easier to interpret and more applicable for the clinicians in the field as they
394 reflect the agreement and error in the relevant value of the measurement. Our results are a
395 good example of how conclusions based solely on ICCs can be misleading (Table 3 & 4).
396 Intraclass correlation coefficients for the strength measurements demonstrated substantial and
397 almost perfect reliability but the poorest agreements, whereas the ROM measurements
398 revealed poor reliability based on the ICCs but more acceptable LOAs and no or small
399 systematic difference for particularly intrarater measures.

400 Another drawback to the use of ICC and comparison between studies is that of the mentioned
401 seven reliability studies in overhead sport^{3,8,9,11,12,33,34}, only two studies^{3,12} have stated the
402 statistical ICC model they have applied. In our study, we aimed to include all measurements
403 of each rater in the ANOVA analysis²⁰, which we believe gives a more accurate picture of the
404 reliability and measurement errors. However, the current model assumes no systematic
405 differences between the rater's two repeated measurements within the day, which was not
406 fulfilled for all the strength measures in our study. We, therefore, had to use the mean of the

407 measurements²³, which improves reliability estimates compared to those derived from single
408 measures²⁵. In future studies, the applied ANOVA statistical model can be extended to
409 accommodate for within day systematic differences.

410 **Limitations**

411 In a clinical setting, or in studies seeking to establish modifiable non-participating risk factors
412 for shoulder injuries, it is often only possible to test players in a short time-frame before or
413 during training sessions in rooms or corners available in that particular field, and with players
414 around as a disturbing factor. The primary study strength was the pragmatic measurement
415 approach that reflected the real-world application, thus enhancing external validity of the
416 results. However, due to the short time frame for testing, the observers did not manage to test
417 all players in all the tests. For abduction strength and scapula control, rater 5, 6 and 8 were
418 absent from one test day. The smaller study sample for these particular assessments may have
419 influenced the results.

420 Furthermore, these results are based on only two novice raters. We recruited novice
421 physiotherapists mainly due to practical and economic reasons, however, this also reflects the
422 “real-world” scenario in youth handball as very few youth handball teams have an
423 experienced physical therapist connected. Nevertheless, this limits the generalizability of our
424 results. Larger studies including several raters, among them, more experienced raters should
425 be conducted before conclusive clinical recommendations can be made.

426 **Clinical relevance**

427 Scapular control, range of motion and isometric strength measures have all been used to
428 identify risk factors for shoulder injuries in sport³⁻⁷, and are used in clinical practice to
429 measure the effect of treatment or training over time. Our results highlight the importance of
430 taking the measurement error for continuous measures into account when interpreting results
431 in risk factor studies and clinical practice. Such measurement errors may explain why it has

432 been difficult to define a cut point in which continuous variables are translated into a
433 dichotomous risk factor that can distinguish whether a player is at increased risk or not³⁵. It
434 should further be emphasized that clinicians or raters need to be trained before using these
435 tests in practice, and it is recommended that clinicians and raters routinely perform intra- and
436 interrater agreement tests to reduce the measurement errors.

437 **CONCLUSIONS**

438 Scapular control can be assessed in elite youth athletes with acceptable reliability in a field-
439 based setting. Shoulder range of motion can be assessed with acceptable intrareliability
440 within day. However, intrareliability between days and interreliability demonstrated greater
441 levels of measurement error. This emphasizes that the risk of injury threshold for ROM
442 differences used in risk factor studies must exceed the commonly use of 5 degrees to ensure
443 observed changes are not due to measurement error.

444 Using hand-held dynamometer for isometric shoulder rotation and abduction strength
445 assessments should be used with caution due to high threshold for reliable measures, and
446 future studies should investigate new procedures to measure shoulder isometric strength
447 measures in athletes.

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TABLES AND FIGURES

Table 1. Demographics of study population by sex

	Female (n=82)	Male (n=80)
Shoulder injury within the previous 6 months		
Yes n (%)	30 (37)	19 (24)
No n (%)	52 (63)	61 (37)
Age Group		
U16 n (%)	43 (52)	46 (57)
U18 n (%)	39 (48)	34 (33)
Dominant arm		
Righth arm n (%)	67 (82)	62 (78)
Left arm n (%)	15 (18)	18 (22)
Player position	*	*
Back players n (%)	38 (47)	30 (38)
Wing players n (%)	18 (22)	28 (35)
Line players n (%)	14 (17)	11 (14)
Goal keepers n (%)	11 (14)	10 (13)
Mean age, years (sd)	16.38 (0.97)*	16.22 (1.16)*
Mean height, cm (sd)	1.73 (0.05)	1.83 (0.07)
Mean weight, kg (sd)	67.95 (8.06)	76.28 (11.65)
Mean years handball experience (sd)	9.52 (2.60)*	8.39 (2.46)
Mean hours weekly handball training (sd)	9.73 (2.78)	8.31 (1.97) †

* 1 missing † 2 missing

Table 2. Interreliability of scapular control for elite youth handball players by sex

Test	Sex	Agreement (%)	KAPPA	PABAK
<u>Dominant arm</u>				
Flexion	Female (n=56)	87.50	0.62	0.75
Flexion	Male (n=69)	89.86	0.73	0.80
Abduction	Female (n=56)	89.29	0.60	0.79
Abduction	Male (n=69)	85.29	0.64	0.71
Scapular control categorized	Female (n=56)	84.06	0.70	0.80
Scapular control categorized	Male (n=69)	90.18	0.67	0.68
Scapular control dichotomized	Female (n=56)	98.21	0.90	0.96
Scapular control dichotomized	Male (n=69)	85.51	0.70	0.71
<u>Non-dominant arm</u>				
Flexion	Female (n=56)	89.29	0.74	0.79
Flexion	Male (n=70)	90.71	0.77	0.81
Abduction	Female (n=56)	85.71	0.49	0.71
Abduction	Male (n=70)	79.71	0.47	0.59
Scapular control categorized	Female (n=56)	93.75	0.84	0.88
Scapular control categorized	Male (n=70)	84.06	0.67	0.76
Scapular control dichotomized	Female (n=56)	96.43	0.89	0.93
Scapular control dichotomized	Male (n=70)	90.00	0.79	0.80

PABAK= prevalence and bias adjusted kappa. Scapular control categorized = scapular control categorized into (a) normal, (b) subtle, or (c) obvious dyskinesia [25]. Scapular control dichotomized = scapular control dichotomized into (a) normal (normal + subtle), or (b) obvious dyskinesia.

Table 3. Within-day and between-day rater reliability of internal and external rotational range of motion for elite youth handball players by sex

Assessments	Sex	Within-day Same Rater		Within-day Between Rater			Between-day Same Rater		
		LOA	ICC	Bias (95% CI)	LOA	ICC	Bias (95% CI)	LOA	ICC
<u>Dominant arm</u>									
External (°)	Female (n=80)	-4.0 to 4.0	0.82	-5.0 (-5.8 to -4.2)	-12.5 to 2.4	0.36*	-0.7 (-1.4 to 0.0)	-7.6 to 6.2	0.46
External (°)	Male (n=80)	-4.3 to 4.3	0.85	-3.6 (-4.6 to -2.7)	-12.2 to 5.0	0.44*	1.1 (0.1 to 2.2)	-7.6 to 9.9	0.42*
Internal (°)	Female (n=80)	-3.3 to 3.3	0.82	-0.4 (-1.0 to 0.3)	-6.3 to 5.6	0.40	-0.9 (-1.5 to -0.3)	-6.8 to 5.0	0.42*
Internal (°)	Male (n=77)	-3.1 to 3.1	0.87	-0.3 (-1.0 to 0.4)	-6.9 to 6.3	0.45	-0.0 (-0.8 to 0.8)	-6.7 to 6.7	0.43
<u>Non-dominant arm</u>									
External (°)	Female (n=80)	-4.1 to 4.1	0.81	-6.7 (-7.5 to -5.9)	-14.3 to 0.8	0.35*	-0.3 (-1.1 to 0.4)	-7.3 to 6.6	0.46
External (°)	Male (n=75)	-4.3 to 4.3	0.84	-4.1 (-5.0 to -3.2)	-12.6 to 4.3	0.40*	1.0 (0.1 to 2.0)	-7.0 to 9.1	0.45*
Internal (°)	Female (n=80)	-3.2 to 3.2	0.88	-2.1 (-2.9 to -1.4)	-8.9 to 4.7	0.47*	-1.2 (-2.0 to -0.4)	-8.4 to 6.0	0.41*
Internal (°)	Male (n=77)	-3.5 to 3.5	0.87	-1.6 (-2.4 to -0.8)	-9.0 to 5.7	0.40*	-0.2 (-1.0 to 0.6)	-7.1 to 6.8	0.46
<u>Difference dominant and non-dominant arm</u>									
External (°)	Female (n=80)	-5.5 to 5.5	0.79	1.6 (0.6 to 2.7)	-8.4 to 11.6	0.49*	-0.3 (-1.2 to 0.6)	-8.8 to 8.3	0.30
External (°)	Male (n=80)	-6.0 to 6.0	0.75	0.6 (-0.4 to 1.6)	-9.0 to 10.2	0.36	-0.1 (-1.1 to 1.0)	-9.5 to 9.4	0.38
Internal (°)	Female (n=78)	-4.7 to 4.7	0.83	1.7 (0.8 to 2.6)	-7.0 to 10.4	0.41*	0.4 (-0.6 to 1.3)	-8.4 to 9.1	0.42
Internal (°)	Male (n=75)	-4.9 to 4.9	0.84	1.2 (0.2 to 2.3)	-8.3 to 10.7	0.44*	0.0 (-1.0 to 1.1)	-9.1 to 9.2	0.40

LOA = 95% Limits of agreement, CI = Confidence interval, ICC = Intra Class Correlation, * Significant rater effect

Table 4. Within-day and between-day reliability of abduction strength and internal and external rotational shoulder strength for elite youth handball players by sex

Test	Sex	Within-day			Between-day Same Rater			Between-day		
		Bias (95% CI)	LOA	ICC	Bias (95% CI)	LOA	ICC	Bias (95% CI)	LOA	ICC
Rotational strenght dominant arm										
					Rater 3			Rater 4		
Ext (<i>N/kg</i>)	Female	0.0 (-0.1 to 0.1)	-0.5 to 0.5	0.68	0.1 (-0.0 to 0.2)	-0.5 to 0.7	0.49	-0.0 (-0.2 to 0.1)	-0.6 to 0.5	0.50
Ext (<i>N/kg</i>)	Male	0.1 (0.0 to 0.2)	-0.5 to 0.7	0.71*	0.1 (-0.0 to 0.2)	-0.5 to 0.7	0.66	0.1 (-0.0 to 0.2)	-0.5 to 0.7	0.62
Int (<i>N/kg</i>)	Female	0.1 (0.0 to 0.2)	-0.5 to 0.7	0.65*	0.1 (-0.0 to 0.2)	-0.5 to 0.6	0.66	-0.0 (-0.1 to 0.0)	-0.6 to 0.5	0.55
Int (<i>N/kg</i>)	Male	0.3 (0.2 to 0.3)	-0.4 to 0.9	0.76*	0.2 (0.1 to 0.3)	-0.4 to 0.8	0.76*	-0.0 (-0.1 to 0.1)	-0.7 to 0.7	0.55
Ext (<i>N</i>)	Female	0.9 (-3.9 to 5.7)	-34.4 to 36.2	0.64	5.6 (-2.5 to 13.8)	-35.7 to 46.9	0.42	-1.8 (-9.9 to 6.2)	-38.9 to 35.3	0.49
Ext (<i>N</i>)	Male	6.9 (0.1 to 13.7)	-42.3 to 56.1	0.73*	8.2 (-2.8 to 19.2)	-37.3 to 53.7	0.74	7.6 (-2.3 to 17.6)	-36.0 to 51.3	0.70
Int (<i>N</i>)	Female	6.9 (2.4 to 11.3)	-31.1 to 44.8	0.65*	5.0 (-1.6 to 11.6)	-32.1 to 42.1	0.66	-3.2 (-9.3 to 2.8)	-37.3 to 30.8	0.52
Int (<i>N</i>)	Male	19.6 (13.9 to 25.2)	-27.2 to 66.4	0.78*	13.1 (4.3 to 21.9)	-30.4 to 56.6	0.79*	0.1 (-9.0 to 9.3)	-48.0 to 48.2	0.60
Rotational strenght non- dominant arm										
Ext (<i>N/kg</i>)	Female	-0.0 (-0.6 to 0.6)	-0.5 to 0.5	0.75	0.1 (0.0 to 0.2)	-0.3 to 0.5	0.74*	0.0 (-0.1 to 0.1)	-0.4 to 0.4	0.71
Ext (<i>N/kg</i>)	Male	0.2 (0.1 to 0.2)	-0.4 to 0.7	0.75*	0.1 (0.0 to 0.2)	-0.3 to 0.5	0.79*	-0.0 (-0.1 to 0.1)	-0.4 to 0.4	0.70
Int (<i>N/kg</i>)	Female	0.0 (-0.0 to 0.1)	-0.5 to 0.6	0.70	0.1 (0.0 to 0.2)	-0.4 to 0.6	0.73*	-0.1 (-0.1 to 0.0)	-0.5 to 0.4	0.62
Int (<i>N/kg</i>)	Male	0.2 (0.1 to 0.3)	-0.4 to 0.9	0.75*	0.2 (0.0 to 0.3)	-0.5 to 0.8	0.76*	0.0 (-0.1 to 0.1)	-0.6 to 0.6	0.62
Ext (<i>N</i>)	Female	-0.2 (-4.0 to 3.6)	-30.6 to 30.3	0.72	6.1 (0.9 to 11.4)	-21.6 to 33.8	0.71*	2.7 (-2.2 to 7.5)	-23.8 to 29.2	0.70
Ext (<i>N</i>)	Male	11.4 (6.6 to 16.1)	-24.3 to 47.0	0.81*	7.4 (0.5 to 14.2)	-22.8 to 37.6	0.83*	-3.5 (-11.0 to 4.0)	-36.6 to 29.6	0.82
Int (<i>N</i>)	Female	1.8 (-2.3 to 5.8)	-32.6 to 36.2	0.68	5.5 (-0.1 to 11.2)	-25.7 to 36.8	0.70	-3.5 (-8.8 to 1.9)	-34.3 to 27.3	0.60
Int (<i>N</i>)	Male	16.2 (10.3 to 22.0)	-33.1 to 65.4	0.77*	11.5 (2.2 to 20.8)	-36.2 to 59.2	0.78*	2.2 (-6.2 to 10.6)	-42.1 to 46.6	0.70
Abduction strenght dominant arm										
					Rater 5			Rater 6		
(<i>N/kg</i>)	Female	-0.0 (-0.0 to 0.0)	-0.3 to 0.3	0.84	0.0 (-0.0 to 0.1)	-0.3 to 0.3	0.59	0.0 (-0.1 to 0.1)	-0.3 to 0.3	0.78
(<i>N/kg</i>)	Male	-0.0 (-0.0 to 0.0)	-0.4 to 0.3	0.82	0.0 (-0.1 to 0.1)	-0.4 to 0.4	0.67	0.0 (-0.0 to 0.1)	-0.3 to 0.4	0.73
(<i>N</i>)	Female	0.0 (-2.5 to 2.6)	-17.4 to 17.5	0.47	1.9 (-2.6 to 6.4)	-17.8 to 21.7	0.55	0.7 (-3.8 to 5.1)	-18.8 to 20.1	0.80
(<i>N</i>)	Male	-0.3 (-3.7 to 3.1)	-28.1 to 27.5	0.84	1.9 (-5.3 to 9.1)	-31.4 to 35.2	0.68	3.0 (-2.2 to 8.3)	-25.5 to 31.5	0.88
Abduction strenght non-dominant arm										
(<i>N/kg</i>)	Female	0.0 (-0.0 to 0.1)	-0.2 to 0.3	0.84	0.1 (0.0 to 0.1)	-0.3 to 0.4	0.71*	0.0 (-0.1 to 0.1)	-0.3 to 0.4	0.61
(<i>N/kg</i>)	Male	-0.0 (-0.1 to 0.0)	-0.4 to 0.4	0.79	0.1 (0.0 to 0.1)	-0.2 to 0.3	0.61	-0.0 (-0.1 to 0.1)	-0.4 to 0.4	0.72
(<i>N</i>)	Female	1.5 (-0.9 to 3.9)	-15.4 to 18.5	0.77	4.9 (1.4 to 8.4)	-13.1 to 21.8	0.67	-0.2 (-5.0 to 4.6)	-23.5 to 23.1	0.51
(<i>N</i>)	Male	-1.5 (-5.1 to 2.1)	-29.8 to 26.8	0.87	3.7 (-3.6 to 11.0)	-31.0 to 36.3	0.66	-0.2 (-6.3 to 5.8)	-32.8 to 32.3	0.74

LOA = 95% Limits of agreement, CI = Confidence interval, ICC = Intra Class Correlation, Ext = External, Int = Internal, Ext dif = difference between dominant and non-dominant arm in external rotation, Int dif = difference between dominant and non-dominant arm in internal rotation *Significant rater effect.

Total number of players present at testround 1 (n=162)			
Range of motion	Rotational strength	Abduction strength	Scapula control
Pain-free test Rater1* <ul style="list-style-type: none"> Internal Female (n=78) Internal Male (n=75) External Female (n=77) External Male (n=75) 	Pain-free test Rater3 <ul style="list-style-type: none"> Internal Female (n=73) Internal Male (n=74) External Female (n=57) External Male (n=60) 	Pain-free test Rater5 <ul style="list-style-type: none"> Female (n=49) Male (n=68) 	Pain-free test Rater7 <ul style="list-style-type: none"> Female (n=75) Male (n=79)
Pain-free test Rater2* <ul style="list-style-type: none"> Internal Female (n=77) Internal Male (n=76) External Female (n=76) External Male (n=76) 	Pain-free test Rater4 <ul style="list-style-type: none"> Internal Female (n=76) Internal Male (n=75) External Female (n=60) External Male (n=61) 	Pain-free test Rater6 <ul style="list-style-type: none"> Female (n=50) Male (n=68) 	Pain-free test Rater8 <ul style="list-style-type: none"> Female (n=59) Male (n=69)
Painful test Rater1 <ul style="list-style-type: none"> Internal Female (n=1) Internal Male (n=1) External Female (n=2) External Male (n=1) 	Painful test Rater3 <ul style="list-style-type: none"> Internal Female (n=1) Internal Male (n=3) External Female (n=17) External Male (n=17) 	Painful test Rater5 <ul style="list-style-type: none"> Female (n=13) Male (n=11) 	Painful test Rater7 <ul style="list-style-type: none"> Female (n=0) Male (n=0)
Painful test Rater2 <ul style="list-style-type: none"> Internal Female (n=1)* Internal Male (n=1)* External Female (n=2) External Male (n=1) 	Painful test Rater4 <ul style="list-style-type: none"> Internal Female (n=3) Internal Male (n=3) External Female (n=19) External Male (n=1) 	Painful test Rater6 <ul style="list-style-type: none"> Female (n=13) Male (n=11) 	Painful test Rater8 <ul style="list-style-type: none"> Female (n=0) Male (n=0)
Not tested <ul style="list-style-type: none"> Rater1 Female (n=3)* Rater1 Male (n=4)* Rater2 Female (n=4) Rater2 Male (n=3) 	Not tested <ul style="list-style-type: none"> Rater3 Female (n=8) Rater4 Male (n=3) Rater3 Female (n=3) Rater4 Male (n=2) 	Not tested <ul style="list-style-type: none"> Female (n=19) Male (n=1) 	Not tested <ul style="list-style-type: none"> Rater 7 Female (n=7) Rater 7 Male (n=1)
Total number of players present at testround 2 (n=137)			
Pain-free test Rater1* <ul style="list-style-type: none"> Internal Female (n=39) Internal Male (n=33) External Female (n=39) External Male (n=32) 	Pain-free test Rater3 <ul style="list-style-type: none"> Internal Female (n=34) Internal Male (n=29) External Female (n=31) External Male (n=26) 	Pain-free test Rater5 <ul style="list-style-type: none"> Female (n=25) Male (n=27) 	Not tested
Pain-free test Rater2* <ul style="list-style-type: none"> Internal Female (n=34) Internal Male (n=30) External Female (n=34) External Male (n=30) 	Pain-free test Rater4 <ul style="list-style-type: none"> Internal Female (n=38) Internal Male (n=30) External Female (n=27) External Male (n=25) 	Pain-free test Rater6 <ul style="list-style-type: none"> Female (n=26) Male (n=33) 	
Painful test Rater1 <ul style="list-style-type: none"> Internal Female (n=0) Internal Male (n=0) External Female (n=0) External Male (n=1) 	Painful test Rater3 <ul style="list-style-type: none"> Internal Female (n=1) Internal Male (n=4) External Female (n=14) External Male (n=7) 	Painful test Rater5 <ul style="list-style-type: none"> Female (n=2) Male (n=3) 	
Painful test Rater2 <ul style="list-style-type: none"> Internal Female (n=0) Internal Male (n=1) External Female (n=0) External Male (n=1) 	Painful test Rater4 <ul style="list-style-type: none"> Internal Female (n=0) Internal Male (n=1) External Female (n=11) External Male (n=6) 	Painful test Rater6 <ul style="list-style-type: none"> Female (n=3) Male (n=1) 	
Analyzed			
<ul style="list-style-type: none"> Internal Female (n=80) Internal Male (n=77) Int dif Female (n=79) Int dif Male (n=75) External Female (n=80) External Male (n=80) Ext dif Female (n=78) Ext dif Male (n=75) 	Interrater <ul style="list-style-type: none"> Internal Female (n=73) Internal Male (n=69) External Female (n=54) External Male (n=53) 	Interrater <ul style="list-style-type: none"> Female (n=46) Male (n=66) 	<ul style="list-style-type: none"> Female (n=56) Male (n=69)
	Rater 3 Intrarater <ul style="list-style-type: none"> Internal Female (n=33) Internal Male (n=26) External Female (n=27) External Male (n=19) 	Rater 5 Intrarater <ul style="list-style-type: none"> Female (n=21) Male (n=23) 	
	Rater 4 Intrarater <ul style="list-style-type: none"> Internal Female (n=33) Internal Male (n=29) External Female (n=23) External Male (n=21) 	Rater 6 Intrarater <ul style="list-style-type: none"> Female (n=21) Male (n=31) 	

Figure 1. Population flow showing the number of participants included, tested and analyzed.

(Int+Internal=Internal rotation, Ext+External=External rotation. Ext dif = The difference in external rotation between dominant and non-dominant arm. Int dif = The difference in internal rotation between dominant and non-dominant arm)

High Lights:

- Scapular control and ROM can be assessed with acceptable reliability in a field-based setting.
- Risk of injury threshold for ROM differences must exceed 5° to exceed measurement error.
- Using hand-held dynamometer for strength assessments should be used with caution.

The Ethics Committee of the Central Denmark Region exempted the study from full ethical review (167/2012) due to the observational methodological study design. The Danish Data Protection Agency (J. nr. 2012 - 41 -1042) approved the study. All participants provided a signed informed consent before study enrolment.

ACCEPTED MANUSCRIPT