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# Correlations Between Brain Structure and Symptom Dimensions of Psychosis in Schizophrenia, Schizoaffective, and Psychotic Bipolar I Disorders

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3 Full Title: Correlations Between Brain Structure and Symptom Dimensions of Psychosis in  
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6

7 Running Title: Brain Structure and Symptom Dimensions in Psychosis  
8

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## Abstract

**Background:** Structural alterations may correlate with symptom severity in psychotic disorders, but the existing literature on this issue is heterogeneous. Additionally, it is not known how cortical thickness and cortical surface area correlate with symptom dimensions of psychosis.

**Methods:** Subjects included 455 individuals with schizophrenia, schizoaffective, or bipolar I disorders. Data were obtained as part of the Bipolar Schizophrenia Network for Intermediate Phenotypes (BSNIP) study. Diagnosis was made through the Structured Clinical Interview for DSM-IV. Positive and negative symptom subscales were assessed using the Positive and Negative Syndrome Scale (PANSS). Structural brain measurements were extracted from T1-weight structural MRIs using FreeSurfer v5.1 and were correlated with symptom subscales using partial correlations. Exploratory factor analysis was also used to identify factors among those regions correlating with symptom subscales.

**Results:** The positive symptom subscale correlated inversely with gray matter volume (GMV) and cortical thickness in frontal and temporal regions, while the negative symptom subscale correlated inversely with right frontal cortical surface area. Among regions correlating with the positive subscale, factor analysis identified four factors, including a temporal cortical thickness factor and frontal GMV factor. Among regions correlating with the negative subscale, factor analysis identified a frontal GMV-cortical surface area factor. There were no significant diagnosis by structure interactions with symptom severity.

**Conclusion:** Structural measures correlate with positive and negative symptom severity in psychotic disorders. Cortical thickness demonstrated more associations with psychopathology than cortical surface area.

**Keywords:** positive, negative, cortical thickness, surface area, psychopathology, gray matter

## Introduction

Structural imaging studies have established the presence of subtle structural brain alterations in psychotic disorders. For schizophrenia, some of the most consistent findings include reductions in gray matter volume (GMV) of frontal, temporal, and limbic regions<sup>1</sup>, while bipolar disorder has also been associated with GMV reductions in prefrontal, temporal, and limbic regions<sup>2,3</sup>.

Studies have found correlations between structural alterations and symptom dimensions of psychosis; however, findings have been heterogeneous. In schizophrenia, inverse correlations between positive symptom severity and GMV of temporal lobe regions, most commonly the superior temporal gyrus (STG), have been frequently reported<sup>4-8</sup>, but a minority of studies have observed no correlation or a direct correlation between positive symptom severity and GMV of these regions<sup>9-11</sup>. Results have been similarly mixed for the negative symptom dimension, with several studies finding inverse correlations with GMV of frontal regions<sup>12-14</sup>, and other studies reporting no correlation or a positive correlation with frontal regions<sup>15-17</sup>.

Multiple reasons may account for the heterogeneity in findings. Positive symptoms of psychosis can wax and wane with time; thus results may be influenced by illness acuity of subjects at the time of scan. Other reasons for heterogeneity of results may include variations in technical aspects of imaging methodology, variable adjustment for confounding factors, and differences in subject characteristics, such as duration of illness.

In addition to the heterogeneity of findings on clinical correlations with GMV, the literature is limited regarding correlations with non-volumetric structural measures. Two constituents of volume, cortical thickness (CT) and cortical surface area (CSA), have

1  
2  
3 demonstrated significant alterations in both schizophrenia<sup>18-20</sup> and bipolar disorder<sup>21-23</sup>. They  
4 may correlate differently with psychopathology due to their distinct neurobiological and genetic  
5 origins. According to a prevailing theory of cortical development, CSA is determined by the total  
6 number of cortical columns that form the cerebral cortex, while CT is determined by number of  
7 neurons within each column<sup>24-26</sup>. A recent longitudinal neuroimaging study of children found  
8 evidence for independent developmental trajectories of CT and CSA<sup>27</sup>. Additionally,  
9 neuroimaging studies of twins have found that CSA and CT are both highly heritable but  
10 probably genetically distinct<sup>28</sup>.

11  
12 Thus far, the literature has not established whether CT and CSA have distinct correlations  
13 with positive and negative symptoms. Correlations have been reported between CT and  
14 propensity for hallucinations<sup>19</sup> and positive symptoms<sup>29</sup> among individuals with schizophrenia.  
15 However, one cross-diagnostic study of psychosis did not find an association between CT and  
16 symptom dimensions of psychosis<sup>22</sup>. Analysis of symptom correlations with CT and CSA may  
17 reveal their differential contributions to psychopathology, which in turn could help identify more  
18 specific neuropathological processes that drive the emergence of psychotic symptoms. In  
19 addition, inclusion of multiple diagnostic categories may reveal whether symptom-structure  
20 correlations are trans-diagnostic.

21  
22 In this study, we examined correlations between symptom dimensions of psychosis and  
23 regional GMV, CSA and CT in schizophrenia, schizoaffective disorder and bipolar I disorder  
24 with psychosis. Correlations were examined using partial correlations between individual regions  
25 and subscales, and factor analysis was used to summarize overall structure-symptom  
26 relationships. Based on the existing literature<sup>30</sup>, we hypothesized that temporal alterations would  
27 correlate with the positive subscale, while frontal alterations would correlate with the negative  
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3 subscale. We also hypothesized that CT and CSA would show distinct correlations with symptom  
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5 dimensions. This is one of the largest sample sizes to date in which associations with dimensions  
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7 of psychosis have been examined.  
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## 10 11 12 **Methods**

### 13 **Participants**

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Subjects included individuals with a DSM-IV diagnosis of schizophrenia, schizoaffective disorder, or bipolar I disorder with psychotic features. Subjects were recruited as part of the Bipolar-Schizophrenia Network for Intermediate Phenotypes (B-SNIP), using recruitment methods that have been detailed elsewhere<sup>31,32</sup>. Inclusion criteria included the following: (1) age 15 – 65; (2) English proficiency, as determined by ability to follow task instructions; (3) no known history of neurologic disorders including head injury; (4) no history of substance abuse within the last month or substance dependence within the last 6 months; and (5) negative urine toxicology screen on day of testing. Patients were generally clinically stable and receiving consistent psychopharmacological treatment for 4 weeks prior to testing. Study protocols were approved by institutional review boards at each study site, and subjects signed informed consent forms.

All subjects received the Structured Clinical Interview for DSM-IV (SCID-IV)<sup>33</sup>. A consensus process was used to establish diagnosis using results from the SCID-IV, chart review, and review of psychiatric and medical histories. The Positive and Negative Symptom Scale (PANSS)<sup>34</sup> was used to assess positive, negative, and general symptoms in patients. Inter-site standardization of symptom ratings was carried out by periodic meetings for rater training, using established ‘gold standard’ interviews. At the beginning of the study, there was a face-to-face

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3 training session for all raters, with a requirement for reliability of >0.85. Rater training was  
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5 repeated annually to re-establish reliability<sup>31</sup>.  
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8 A total of 455 patients had complete datasets available for structural MRI and symptom  
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10 scales for analysis of structure-symptom correlations<sup>31,32</sup>. Of these 455 subjects, 181 individuals  
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12 were categorized as having schizophrenia, 117 individuals as having schizoaffective disorder,  
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14 and 157 individuals as having bipolar I disorder with psychotic features. 352 healthy controls  
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16 were also evaluated in the larger study; they received structural MRIs but did not receive PANSS  
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18 assessments.  
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### 24 **MRI-structural imaging**

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27 High-resolution isotropic T1-weighted MPRAGE sequences were obtained. Sites used  
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29 comparable but slightly different MPRAGE acquisition parameters; full details for each site have  
30  
31 been described previously<sup>31</sup>. The Alzheimer's Disease Neuroimaging Initiative (ADNI) protocol  
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33 was used at all sites to standardize imaging analysis (<http://www.loni.ucla.edu/ADNI>). All  
34  
35 images were subjected to a rigorous data quality control process. First, images were opened,  
36  
37 converted to nifti format, and checked for scanner artifacts. If the images passed through this  
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39 check, they were run through auto-recon 1 in FreeSurfer v5.1<sup>35</sup>. Images were then checked for  
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41 remaining non-brain tissues (dura or sinus). Trained raters, all reliable above 95%, edited images  
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43 to remove any remaining non-brain tissue. An independent rater then determined if images were  
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45 adequately cleaned for segmentation, and images were then processed through auto-recon 2 and  
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### 56 **Statistical Analysis**



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3 All statistical analysis was done using the program R (Vienna, Austria; 2013,  
4 <http://www.R-project.org>, version 2.15.3). Data were examined for bivariate normality using the  
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6 multivariate Shapiro-Wilk test (R package: mvnrmtest), revealing that clinical symptom  
7  
8 measures were not normally distributed. Non-parametric tests were used for further analyses.  
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10 Diagnostic differences in demographic variables and symptom subscales were tested through the  
11  
12 Kruskal-Wallis and chi-squared tests. Partial correlations were performed to correlate individual  
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14 structural measures with symptom subscales. Additionally, a factor analysis approach was used  
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16 to identify structural factors among regions associated with symptom subscales.  
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25 *Partial correlations between structural measures and symptom subscales*  
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27 A series of variables were tested for potential inclusion as co-variates. These included  
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29 age, sex, race, study site, intracranial volume (ICV), socioeconomic status, patient educational  
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31 level, duration of illness, and antipsychotic medication status (a binary variable representing  
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33 whether or not the patient was currently on an antipsychotic). Duration of illness was computed  
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35 by subtracting age at illness onset from current age. Socioeconomic status was represented by  
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37 patient Hollingshead Index score, while patient educational level was represented by patient  
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39 years of education. Study site was treated as a categorical variable, with each site being ‘dummy  
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41 coded’ as a binary variable for regression analyses. Variables were retained as co-variates if they  
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43 correlated with either structural measures or symptom subscales, using the Kruskal-Wallis test  
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45 for categorical variables and the Spearman correlation for continuous variables.  
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51 Using partial Spearman correlations, symptom subscales were first correlated with GMV,  
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53 CSA, and mean CT of each lobe, and were Hochberg-adjusted for multiple comparisons (32  
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55 comparisons per subscale)<sup>36</sup>. For each lobe that demonstrated statistically significant  
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3 correlations with a symptom subscale, sub-regions of that lobe were then correlated with that  
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5 symptom subscale in a step-down fashion (see Supplemental Table 1 for lists of the sub-regions  
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7 that constituted each lobe). These correlations were again Hochberg-adjusted for multiple  
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9 comparisons by the total number of sub-regions tested for correlations with that symptom  
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11 subscale.  
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15 GMV and CSA for each lobe were computed by adding the GMVs or CSAs of  
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17 component sub-regions. A mean CT for each lobe was determined by calculating a weighted  
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19 average of the cortical thicknesses of component sub-regions (i.e., CT of each sub-region  
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21 multiplied by CSA of that sub-region, divided by total CSA for that lobe).  
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25 Lobes and sub-regions that showed significant correlations in the whole-group analysis  
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27 were then tested for correlations with symptom subscales within each diagnostic group, and were  
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29 corrected for total number of correlations tested within that diagnostic group. Symptom  
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31 subscales and PANSS total scores were also correlated with total GMV. Finally, a supplemental  
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33 analysis was done using current antipsychotic dose in chlorpromazine equivalents, which was  
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35 only available for 295 out of 455 patients. Analyses were repeated in this subset of patients with  
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37 and without chlorpromazine dose as a co-variate to evaluate the impact of this co-variate.  
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#### 44 Exploratory factor analysis

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46 To construct an enriched sample of brain regions, all regional structural measures (80  
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48 GMV measures, 66 CSA measures, and 66 CT measures) were first screened for correlations  
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50 with the positive and negative symptom subscales. Regional measures that correlated with the  
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52 positive subscale at a significance level of  $p < 0.05$ , uncorrected, were entered into factor  
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54 analysis. These regional measures were regressed against age, ICV, sex, and race. The residuals  
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3 of these regressions were then used to create the correlation matrix for factor analysis, as has  
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5 been done with structural brain measures previously<sup>37,38</sup>; that is, the correlation matrix for factor  
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7 analysis consisted of partial correlations among these regional measures, controlling for co-  
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9 variates of age, ICV, sex, and race.

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12 Principal factor extraction (also called ‘principal axes factoring’) was used to extract  
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14 factors because of deviations from multivariate normality<sup>39</sup>. A scree test was performed to obtain  
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16 an initial estimate of number of factors<sup>40,41</sup>. Additionally, several factor analyses were performed  
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18 using different numbers of factors and were evaluated for overall factor structure. A final number  
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20 of factors was chosen if it produced factors with no or few item cross-loadings and at least three  
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22 variable loadings above 0.45<sup>42</sup>. Direct oblique rotation was performed to assess if factors were  
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24 correlated with each other. Factor scores for subjects were derived using regression to maximize  
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26 determinacy of scores<sup>43</sup>.

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29 Each factor score was entered as a predictor into a regression model with a full set of co-  
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31 variates (see previous section) to verify associations with the positive symptom subscale. Next,  
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33 all factor scores were entered into a single model with co-variates to assess their relative  
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35 correlations with symptom subscale scores. To assess specificity of correlations between factor  
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37 scores and symptom subscales, factor scores were also tested for correlations with the negative  
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39 subscale. Finally, to assess diagnosis by structure interactions, models were re-run with the  
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41 inclusion of diagnosis and diagnosis by structure interaction terms. Assumptions of multiple  
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43 regression were tested through visualization of QQ plots and residual-versus-fitted plots.  
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51 An identical and separate factor analysis process was performed using those regions that  
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53 correlated with the negative symptom subscale.  
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## Results

### Demographics

Subject demographics and study site demographics are presented in Table 1 and Supplemental Table 2, respectively. Mean values for symptom subscales varied across diagnostic groups and study sites ( $p < 0.05$  using Kruskal-Wallis rank sum test). Post-hoc comparisons of symptom subscales in schizophrenia and bipolar I disorder indicated that all symptom subscales were significantly higher in the schizophrenia group ( $p < 0.05$  using Wilcoxon rank sum test).

[Table 1]

### Partial correlations between structural measures and symptom subscales

All potential co-variates demonstrated correlations with at least one symptom subscale and were retained as co-variates in further analyses. Additionally, age, sex, race, study site, duration of illness, and intracranial volume correlated with structural measures ( $p$ -adjusted  $< 0.05$ ).

**Correlations with structural measures in combined group.** PANSS positive symptom subscale was correlated with frontal and temporal GMV reductions and temporal CT reductions, while the PANSS negative subscale was correlated with reductions in right frontal CSA ( $p$ -adjusted  $< 0.05$ , Table 2, Figure 1, Supplemental Figure 1). There were no correlations with the PANSS general subscale.

[Table 2]

**Correlations with structural measures within diagnostic groups.** These structural measures were then evaluated for symptom-subscale correlations within diagnostic groups. Correlations remained significant within the schizophrenia group, but only the correlation with

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2  
3 the right insula CT would have survived correction for multiple comparisons. Correlations within  
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5 bipolar disorder and schizoaffective disorder were largely non-significant (Table 2).  
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8 **Correlations with total GMV.** In the combined group of all patients, total GMV  
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10 correlated inversely with the PANSS positive subscale ( $r = -0.177$ ,  $p = 0.00015$ ) and the PANSS  
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12 total score ( $r = -0.118$ ,  $p = 0.011$ ), but not with the PANSS negative subscale ( $r = -0.061$ ,  $p =$   
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14  $0.19$ ) or the PANSS general subscale ( $r = -0.0827$ ,  $p = 0.08$ ).  
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18 Among subjects with available data on antipsychotic dose in chlorpromazine equivalents,  
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20 effect sizes of correlations decreased when chlorpromazine equivalent medication dose was  
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22 included as a co-variate, but the overall pattern of correlations was very similar.  
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25 [Figure 1]  
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### 27 Exploratory Factor Analysis

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30 **Positive symptom subscale.** Initial screening revealed that 58 out of 212 regions were  
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32 correlated with the positive subscale ( $p < 0.05$ , uncorrected). Scree test suggested between 4 and  
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34 6 factors, and further evaluation supported four reliable factors: a temporal CT factor, a frontal  
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36 GMV factor, a fronto-parietal CT factor, and a precuneus GMV-SA factor (Table 3, Supplemental  
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38 Table 3). There were no cross-loadings of variables. Direct oblique rotation was retained because  
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40 factors were correlated.  
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43 [Table 3]  
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46 All four factor scores were significant predictors of the positive subscale in separate  
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48 regression models with co-variates (temporal CT score:  $B = -1.04$ ,  $p = 0.00035$ ; frontal GMV  
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50 score:  $B = -1.16$ ,  $p = 0.000047$ ; fronto-parietal CT score:  $B = -0.66$ ,  $p = 0.024$ ; precuneus GMV-  
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52 SA score:  $B = -1.0$ ,  $p = 0.00071$ ). When all four factor scores were entered into the same  
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54 regression model with co-variates, the temporal CT score ( $B = -0.80$ ,  $p = 0.028$ ) and frontal  
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3 GMV score ( $B = -0.71$ ,  $p = 0.032$ ) remained significant predictors of the positive subscale  
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5 (adjusted  $R^2 = 0.176$ ). There were no significant diagnosis by factor interactions. None of the  
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7 factor scores were significant predictors of the negative subscale.  
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11 **Negative symptom subscale.** Fourteen out of 212 regions correlated with the negative  
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13 subscale upon initial screen ( $p < 0.05$ , uncorrected). Scree test suggested one factor, and  
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15 extraction of more than one factor led to degenerate factor structure and cross-loading of  
16  
17 variables. Further evaluation supported a frontal GMV-SA factor (Table 3, Supplemental Table  
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19 4). No rotation was performed because of the single factor structure. This factor score was a  
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21 significant predictor of the negative subscale ( $B = -0.99$ ,  $p = 0.00032$ , adjusted  $R^2 = 0.139$ ). The  
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23 diagnosis by factor interaction was not significant. Lastly, this factor was a significant predictor  
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25 of the positive subscale ( $B = -0.87$ ,  $p = 0.0019$ , adjusted  $R^2 = 0.150$ ).  
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## 32 Discussion

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34 This study examined correlations between symptom dimensions and regional GMV, CT,  
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36 and CSA, in a group of individuals with schizophrenia, schizoaffective disorder, or bipolar I  
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38 disorder with psychotic features. Partial correlations were used to evaluate symptom correlations  
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40 with individual regions, and factor analysis was used to summarize and compare structure-  
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42 symptom relationships. The PANSS positive subscale correlated with reductions in both temporal  
43  
44 and frontal structural measures. Among regions correlating with the positive subscale, factor  
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46 analysis identified a temporal CT factor, a frontal GMV factor, a fronto-parietal CT factor, and a  
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48 precuneus GMV-SA factor, of which the temporal CT and frontal GMV factors independently  
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50 predicted positive symptom severity when all four factors were jointly entered in a regression  
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52 model.  
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4 Temporal regions have been previously implicated in the production of psychotic  
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6 symptoms. Functional MRI studies have noted activation of temporal regions during real-time  
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8 auditory hallucinations <sup>44</sup>, while structural MRI studies have found associations between  
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10 temporal regions and both hallucinations <sup>4,45</sup> and thought disorder <sup>46</sup>. While frontal associations  
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12 with the positive subscale have been observed less frequently in the literature, several other  
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14 studies have found correlations between the positive subscale and reductions in overall frontal  
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16 volume <sup>47</sup> or GMV in regions such as the inferior frontal gyrus <sup>48,49</sup>. Abnormalities in frontal  
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18 regions could impact cognitive processes of working memory, attention, and language processing  
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20 <sup>50</sup>, contributing to positive symptoms such as disorganization and delusions.  
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25 Comparing diagnostic groups, structure-symptom correlations were larger in the  
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27 schizophrenia group than in the two other diagnostic categories. However, our factor analysis did  
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29 not find significant interactions between diagnosis and structural factors on symptom subscales,  
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31 indicating no major impact of diagnosis on structure-symptom correlations. Overall, findings in  
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33 the schizophrenia group may reflect their higher level of subtle brain pathology, which may in  
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35 turn be associated with their more chronic and persistent psychotic symptoms compared with  
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37 schizoaffective and bipolar I disorders. Differences in exposure to antipsychotic medication are  
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39 unlikely to completely account for the larger effect sizes of correlations in schizophrenia. While  
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41 individuals with schizophrenia typically have greater exposure to antipsychotics than individuals  
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43 with bipolar disorder, our secondary analyses found that antipsychotic medication dose did not  
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45 have a major impact on effect sizes of correlations.  
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51 The PANSS negative subscale correlated inversely with CSA of right frontal regions, and  
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53 factor analysis identified a frontal GMV-SA factor among those regions that correlated with the  
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55 negative subscale. These results corroborate prior studies reporting correlations between frontal  
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3 reductions and negative symptoms <sup>13,51-53</sup>, and inverse correlations between regional cerebral  
4 blood flow and negative symptoms on positron emission tomography (PET) scans <sup>54</sup>. Overall, the  
5 negative subscale demonstrated fewer correlations with structural measures than the positive  
6 subscale. This observation may indicate the relatively greater contribution of social and non-  
7 structural biological influences in the manifestation of negative symptoms.  
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15 As predicted, CT and CSA diverged in their associations with symptom subscales. CT  
16 reductions exhibited more correlations with symptom subscales, particularly the positive  
17 subscale, than CSA. Interestingly, one recent trans-diagnostic study of schizophrenia and bipolar  
18 I found more widespread regional reductions in CT than in CSA <sup>20</sup>. This study (and an earlier  
19 study with the same subject sample) did not find associations of either type of structural measure  
20 with symptom subscales <sup>20,22</sup>. Our findings suggest that CT may be more closely associated with  
21 symptoms of psychosis than CSA. These findings may reflect the distinct neurobiological  
22 processes underlying these two aspects of structure. As mentioned earlier, recent research  
23 indicates that CT and CSA may have distinct genetic influences <sup>28</sup>, may follow independent  
24 developmental trajectories in childhood <sup>27</sup>, and may not be highly correlated with each other <sup>20</sup>.  
25 CT has been shown to fluctuate in response to environmental factors such as cannabis use <sup>55,56</sup>  
26 and childhood trauma <sup>56</sup>, and may represent a “state” marker that tracks more closely with  
27 fluctuating positive symptoms than CSA. Given the more widespread correlations of CT with the  
28 symptom dimensions, particularly the positive subscale, future investigations may wish to focus  
29 on pathophysiological processes of CT as driving the development of psychosis.  
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51 Notably, while correlations were significant, their magnitudes were small, indicating that  
52 other factors likely make independent contributions to symptom severity. Symptom severity is  
53 partly driven by social factors, such as education and socioeconomic status, whose  
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3 neurobiological effects may not be captured by structural measures. Additionally, small effect  
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5 sizes of structure-symptom correlations may complement the findings of neuropathology studies  
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7 of schizophrenia, which observe subtle reductions in cortical neuropil and volumes of neuronal  
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9 cell bodies, rather than loss of neurons<sup>57,58</sup>. The effect sizes of our correlations may also reflect  
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11 the influence of processes that enlarge structural measures. For example, inflammation, which  
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13 may be important in early stages of schizophrenia<sup>59</sup>, could lead to structural enlargement  
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15 through free water retention, thus reducing the strength of inverse correlations between structure  
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17 and symptoms. Overall, the small effect sizes of structure-symptom correlations corroborate the  
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19 concept of psychosis as a disorder of network connectivity<sup>60</sup>, involving subtle neurochemical  
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21 and neurophysiological alterations in the interactions between brain regions. Our observations of  
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23 frontal and temporal contributions to psychosis is consistent with the possibility that fronto-  
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25 temporal connectivity may be of particular importance in the pathogenesis of positive symptoms  
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34 This study had several strengths. This is one of the largest sample sizes thus far in which  
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36 this question has been examined. Inclusion of all three diagnostic categories permitted the  
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38 examination of associations in psychosis in a trans-diagnostic fashion, and inclusion of CT and  
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40 CSA permitted exploration of their relative associations with symptom subscales. Many potential  
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42 confounding factors were included in the analysis. Additionally, the subject sample consisted of  
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44 clinically stable, chronically ill individuals. Structural and physiological abnormalities may  
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46 change across the course of illness in psychosis<sup>62</sup>, and structural alterations may be more  
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48 relevant in understanding persistent symptoms in a chronic population. Thus, our results may  
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50 reflect more stable correlations between brain structure and residual, treatment-resistant  
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There were several limitations. Because data were cross-sectional, it was not possible to draw conclusions about causal relationships. The region-of-interest analysis necessitated a heavy correction for multiple comparisons, which may have obscured some findings. Additionally, data on subjects' lifetime history of antipsychotic use were not collected, although current antipsychotic use was included. Longitudinal antipsychotic use may be associated with gray matter changes<sup>63</sup> and may have contributed to structure-symptom correlations in this study. Last, neuropsychological test results were not analyzed here, though they have been reported elsewhere in this sample<sup>64</sup>.

In conclusion, among a combined group of individuals with schizophrenia, schizoaffective, and bipolar I disorders, the PANSS positive subscale was inversely correlated with GMV and cortical thickness in frontal and temporal regions, while the PANSS negative subscale was inversely correlated with frontal cortical surface area and GMV. Overall, cortical thickness appeared more strongly associated with psychopathology, particularly the positive subscale, than cortical surface area. However, the magnitudes of all correlations were low. These results lend support to associations between structural brain alterations and severity of psychopathology.

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### 10 **Conflicts of Interest**

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12  
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14

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18  
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20

21  
22 Dr. Sweeney has been on advisory boards for Bristol-Myers Squibb, Eli Lilly, Pfizer, Roche, and  
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25  
26 Dr. Tamminga has the following disclosures to make: Intracellular Therapies (ITI, Inc.)—  
27 Advisory Board, drug development; PureTech Ventures—Ad Hoc Consultant; Eli Lilly Pharma-  
28 ceuticals—Ad Hoc Consultant; Sunovion—Ad Hoc Consultant; Astellas—Ad Hoc Consultant;  
29 Cypress Bioscience—Ad Hoc Consultant; Merck—Ad Hoc Consultant; International Congress  
30 on Schizophrenia Research—Organizer, unpaid volunteer; National Alliance on Mental Illness—  
31 Council Member, unpaid volunteer; American Psychiatric Association—Deputy Editor.  
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41 The other authors report no disclosures.  
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Table 1. Subject Demographics and Symptom Scale Characteristics

	All Patients	Schizophrenia	Schizoaffective	Bipolar I
n	455	181	117	157
Mean Age (sd)	36.2 (12.7)	35.8 (12.6)	36.0 (12.1)	36.7 (13.3)
Sex M : F <sup>a</sup> (% M : % F)	220 : 235 48% : 52%	118 : 63 65% : 35%	56 : 61 48% : 52%	46 : 111 29% : 71%
Race (%) <sup>a,b</sup>	AA: 184 (40 %) CA: 242 (53 %) OT: 29 (6 %)	AA: 94 (52 %) CA: 73 (40 %) OT: 14 (8 %)	AA: 52 (44 %) CA: 58 (50 %) OT: 7 (6 %)	AA: 38 (24 %) CA: 111 (71 %) OT: 8 (5 %)
Mean PANSS Positive (sd) <sup>c</sup>	16.2 (5.5)	17.2 (5.4)	18.6 (4.9)	13.3 (4.8)
Mean PANSS Negative (sd) <sup>c</sup>	14.9 (5.3)	16.8 (5.8)	15.4 (4.5)	12.3 (4.1)
Mean PANSS General (sd) <sup>c</sup>	32.2 (9.0)	32.8 (9.1)	35.0 (8.7)	29.5 (8.4)
Mean PANSS Total (sd) <sup>c</sup>	63.3 (16.9)	66.7 (16.9)	69.1 (15.4)	55.1 (14.7)
Mean Intracranial Volume (sd) <sup>c</sup>	1437 cc (186 cc)	1467 cc (197 cc)	1396 cc (177 cc)	1434 cc (174 cc)
Mean Duration of Illness (sd) <sup>c</sup>	18.6 yrs (12.3 yrs)	16.4 yrs (11.8 yrs)	19.9 yrs (11.7 yrs)	20.1 yrs (12.9 yrs)
Mean Years of Education (sd) <sup>c</sup>	13.4 yrs (2.4 yrs)	12.8 yrs (2.3 yrs)	13.2 yrs (2.2 yrs)	14.3 yrs (2.4 yrs)
Mean Hollingshead Score (sd) <sup>c,d</sup>	48.2 (15.7)	53.2 (14.6)	48.7 (14.6)	42.1 (15.7)
Antipsychotic Status (%) <sup>a,e</sup>	Yes: 85%; No: 15%	Yes: 92%; No:8%	Yes: 86%; No: 14%	Yes: 75%; No: 25%

<sup>a</sup>significantly different across diagnostic groups by chi-squared test

<sup>b</sup>AA = African-American; CA = Caucasian-American; OT = Other

<sup>c</sup>significantly different across diagnostic groups by Kruskal-Wallis test

<sup>d</sup>Hollingshead occupation score multiplied by 7, added to Hollingshead education score multiplied by 4; higher score indicates lower social class

<sup>e</sup>currently taking antipsychotics (yes or no)

Table 2. Structure-Subscale Correlations Within Combined Group and Within Diagnostic Categories

Lobe	Measurement	Subscale	Combined Group		Schizophrenia		Schizoaffective		Bipolar I	
			r	p-adjusted <sup>a</sup>	r	p-value <sup>b</sup>	r	p-value <sup>b</sup>	r	p-value <sup>b</sup>
Left Frontal GMV	GMV	PANSS Positive	-0.162	0.016	-0.176	0.018	-0.072	0.44	-0.067	0.41
Left pars orbitalis			-0.182	0.004	-0.113	0.130	-0.139	0.13	-0.141	0.078
Left superior frontal			-0.166	0.013	-0.170	0.022	-0.170	0.067	-0.015	0.85
Right Frontal GMV	GMV	PANSS Positive	-0.165	0.013	-0.170	0.022	-0.015	0.88	-0.031	0.70
Right superior frontal			-0.180	0.005	-0.160	0.032	-0.155	0.095	0.010	0.90
Right Temporal GMV	GMV	PANSS Positive	-0.158	0.020	-0.141	0.058	-0.054	0.57	-0.020	0.80
Right superior temporal			-0.155	0.031	-0.155	0.036	-0.148	0.11	-0.020	0.80
Right fusiform			-0.178	0.005	-0.089	0.240	-0.145	0.12	-0.067	0.40
Right Temporal CT	Cortical Thickness	PANSS Positive	-0.173	0.007	-0.183	0.013	-0.079	0.40	-0.047	0.56
Right middle temporal			-0.150	0.045	-0.199	0.0072	0.025	0.79	-0.091	0.26
Right superior temporal			-0.155	0.032	-0.138	0.064	-0.102	0.27	-0.104	0.19
Right insula			-0.175	0.006	-0.236	<b>0.0014<sup>c</sup></b>	-0.075	0.42	0.021	0.80
Right Frontal Area	Surface Area	PANSS Negative	-0.151	0.041	-0.153	0.040	0.081	0.34	-0.023	0.77
Right pars orbitalis			-0.138	0.026	-0.145	0.051	-0.150	0.11	-0.093	0.25
Right superior frontal			-0.138	0.026	-0.101	0.17	0.016	0.86	-0.190	0.018
Right precentral			-0.159	0.007	-0.150	0.043	-0.064	0.49	-0.162	0.042

<sup>a</sup> p-values Hochberg-adjusted as described in the text

<sup>b</sup> unadjusted p-value

<sup>c</sup> significant after Hochberg correction

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Table 3. Factor Analysis: Regions Loading Above 0.45 on Factors Derived Through Principal Factors Extraction				
Positive Subscale: Four Factors				Negative Subscale: One Factor
F1: Temporal CT	F2: Frontal GMV	F3: Fronto-parietal CT	F4: Precuneus	F: Frontal GMV-SA
L fusiform CT	R lateral orbitofrontal GMV	L superior parietal CT	R precuneus GMV	R superior frontal GMV
R middle temporal CT	R superior frontal GMV	R inferior parietal CT	L precuneus GMV	R superior frontal CSA
R superior temporal CT	L lateral orbitofrontal GMV	L superior frontal CT	R precuneus CSA	R precentral GMV
R fusiform CT	L superior frontal GMV	R lateral occipital CT	R fusiform CSA	R precentral CSA
L inferior temporal CT	R superior frontal CSA	R supramarginal CT		R lateral orbitofrontal CSA
R inferior temporal CT	R rostral middle frontal GMV	R superior frontal CT		R pars orbitalis CSA
R insula CT	L superior frontal CSA	L lateral occipital CT		R pars orbitalis GMV
L fusiform GMV	L pars orbitalis GMV	L supramarginal CT		R paracentral CSA
L insula CT	R pars orbitalis GMV	L superior parietal GMV		L superior parietal GMV
R temporal pole CT	L rostral middle frontal GMV	R lingual CT		L posterior cingulate GMV
R banks of superior temporal sulcus CT				
R fusiform GMV				
R middle temporal GMV				

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3 Figure 1. Significant correlations between lobe measures and positive symptom severity for all patients and diagnostic groups; plotted values are  
4 residuals after adjustment for co-variates.  
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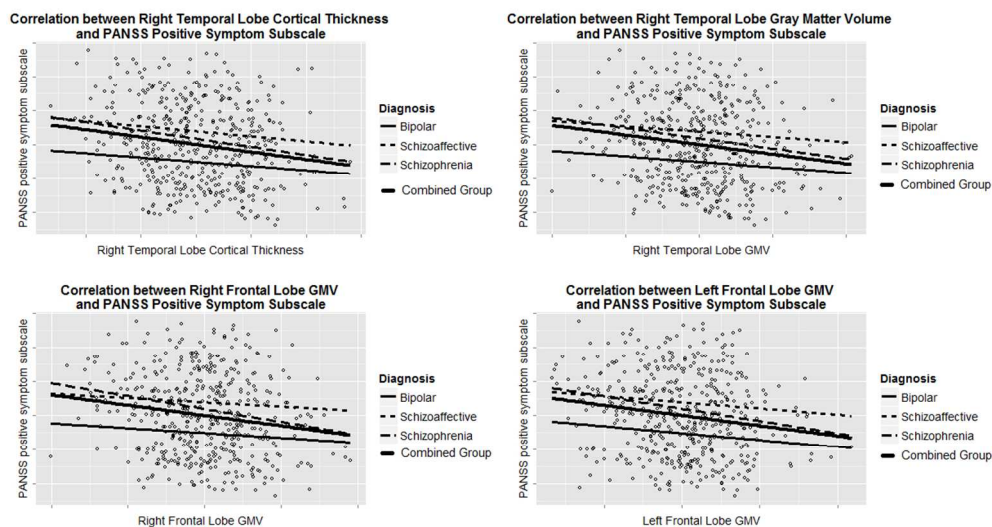


Figure 1. Significant correlations between lobe measures and positive symptom severity for all patients and diagnostic groups; plotted values are residuals after adjustment for co-variates. 103x56mm (300 x 300 DPI)

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Lobes (Right and Left)	Component sub-regions of lobe (identical for right and left lobes)
Frontal GMV	GMV of caudal middle frontal, rostral middle frontal, medial orbitofrontal, lateral orbitofrontal, pars opercularis, pars orbitalis, pars triangularis, superior frontal, precentral, and paracentral regions
Temporal GMV	GMV of banks superior temporal sulcus, inferior temporal, middle temporal, superior temporal, fusiform, entorhinal, parahippocampal, transverse temporal, temporal pole, and insula regions
Limbic GMV	GMV of hippocampus, amygdala, caudal anterior cingulate, isthmus cingulate, rostral anterior cingulate, posterior cingulate
Striatal GMV	GMV of thalamus, caudate, putamen, pallidum, and accumbens
Parietal GMV	GMV of inferior parietal, superior parietal, supramarginal, precuneus, and postcentral regions
Occipital GMV	GMV of cuneus, lingual, pericalcarine, and lateral occipital regions
Frontal Surface Area	Identical to Frontal GMV, but composed of surface areas
Temporal Surface Area	Identical to Temporal GMV, but composed of surface areas
Limbic Surface Area	Surface areas of caudal anterior cingulate, isthmus cingulate, rostral anterior cingulate, and posterior cingulate regions
Parietal Surface Area	Identical to Parietal GMV, but composed of surface areas
Occipital Surface Area	Identical to Occipital GMV, but composed of surface areas
Frontal Cortical Thickness	Identical to Frontal GMV, but composed of cortical thicknesses
Temporal Cortical Thickness	Identical to Temporal GMV, but composed of cortical thicknesses
Limbic Cortical Thickness	Cortical thicknesses of caudal anterior cingulate, isthmus cingulate, rostral anterior cingulate, posterior cingulate
Parietal Cortical Thickness	Identical to Parietal GMV, but composed of cortical thicknesses
Occipital Cortical Thickness	Identical to Occipital GMV, but composed of cortical thicknesses

Notes: 1. Component sub-regions were identical for lobes within both hemisphere. 2. Striatal regions are sub-cortical; thus, cortical thickness and surface area measurements were unavailable for these regions.

Supplemental Table 2. Study Site Differences

Site	1 (CT)	2 (GP)	3 (GT)	4 (JS)	5 (MB)	6 (MK)
Location	Texas	Connecticut	Maryland	Illinois	Boston	Detroit
N	85	46	114	120	24	66
Mean PANSS Positive (sd)	19.0 (4.3)	15.7 (4.6)	14.6 (5.9)	16.2 (5.4)	13.0 (5.5)	17.0 (5.4)
Mean PANSS Negative (sd)	15.1 (3.9)	14.6 (5.7)	14.1 (5.1)	16.1 (6.1)	12.3 (6.3)	14.9 (4.8)
Mean PANSS General (sd)	36.7 (7.7)	32.5 (8.5)	26.0 (6.7)	33.9 (8.5)	28.3 (10.2)	35.4 (8.7)
Mean PANSS Total (sd)	70.8 (14.4)	62.8 (15.8)	54.7 (14.2)	66.2 (16.5)	53.6 (19.7)	67.3 (17.2)
Within-site Diagnostic Breakdown (N, %)	SP: 21 (25 %) SZA: 38 (45 %) BP: 26 (31 %)	SP: 16 (35 %) SZA: 20 (43 %) BP: 10 (22 %)	SP: 64 (56 %) SZA: 23 (20 %) BP: 27 (24 %)	SP: 37 (31 %) SZA: 27 (23 %) BP: 56 (47 %)	SP: 8 (33 %) SZA: 3 (13 %) BP: 13 (54 %)	SP: 35 (53 %) SZA: 6 (9 %) BP: 25 (38 %)

Notes: 1. SP = schizophrenia, SZA = schizoaffective disorder, BP = bipolar I with psychotic features. Percentages may not add up to 100 due to rounding. 2. Subscale scores were significantly different across sites (p < 0.05 by Kruskal-Wallis test).

Supplemental Table 3. Factor Loadings for Principal Factors Extraction and Oblique Rotation of Four Factors: Positive Subscale

	F1: Temporal CT	F2: Frontal GMV	F3: Fronto-parietal CT	F4: Precuneus
SS Loadings	8.00	6.70	6.67	3.96
Proportion of Variance	0.14	0.12	0.12	0.07
L fusiform CT	0.82			
R middle temporal CT	0.81			
R superior temporal CT	0.79			
R fusiform CT	0.73			
L inferior temporal CT	0.71			
R inferior temporal CT	0.69			
R insula CT	0.58			
L fusiform GMV	0.55			
L insula CT	0.55			
R temporal pole CT	0.55			
R banks of superior temporal sulcus CT	0.50			
R fusiform GMV	0.47			
R middle temporal GMV	0.46			
R lateral orbitofrontal GMV		0.75		
R superior frontal GMV		0.70		
L lateral orbitofrontal GMV		0.66		
L superior frontal GMV		0.61		
R superior frontal CSA		0.57		
R rostral middle frontal GMV		0.57		
L superior frontal CSA		0.56		
L pars orbitalis GMV		0.52		
R pars orbitalis GMV		0.50		
L rostral middle frontal GMV		0.49		
L superior parietal CT			0.83	
R inferior parietal CT			0.81	
L superior frontal CT			0.81	
R lateral occipital CT			0.70	
R supramarginal CT			0.67	
R superior frontal CT			0.59	
L lateral occipital CT			0.52	
L supramarginal CT			0.51	
L superior parietal GMV			0.51	
Right lingual CT			0.46	
R precuneus GMV				0.67
L precuneus GMV				0.61
R precuneus CSA				0.60
R fusiform CSA				0.50

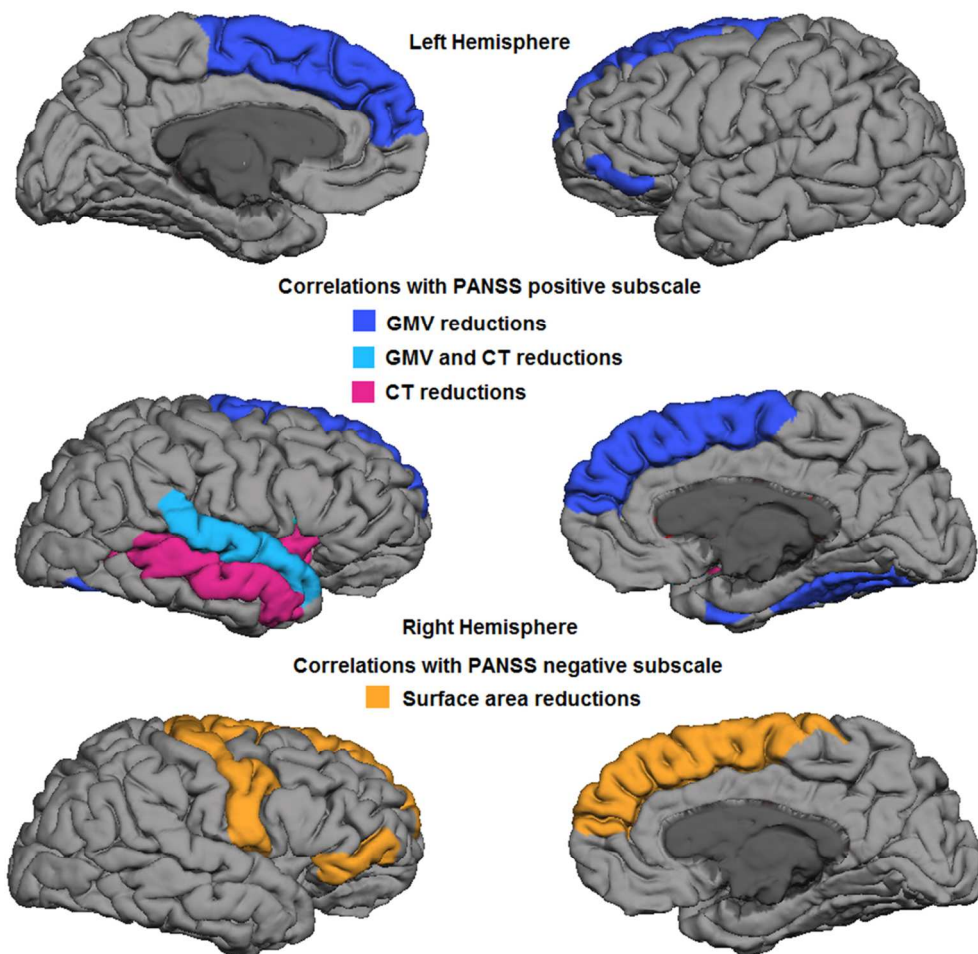
There were no cross-loadings. The following regions did not load above 0.45 on any factor: L caudal middle frontal GMV, L isthmus cingulate GMV, L superior temporal GMV, L hippocampus GMV, R hippocampus GMV, R pars triangularis GMV, R supramarginal GMV, R banks of superior temporal sulcus GMV, R superior temporal GMV, L lateral occipital GMV, L superior temporal CSA, L pars orbitalis CSA, L transverse temporal CSA, L caudal middle frontal CSA, L pars triangularis CSA, L posterior cingulate CT, L parahippocampal CT, R posterior cingulate CT, R pars triangularis CT, L medial orbitofrontal CT, L pars orbitalis CT.

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Supplemental Table 4. Factor Loadings for Principal Factors Extraction of One Factor: Negative Subscale	
	Frontal GMV-SA factor
SS Loading	3.54
Proportion of Variance	0.25
R superior frontal GMV	0.72
R superior frontal CSA	0.71
R precentral GMV	0.66
R precentral CSA	0.62
R lateral orbitofrontal CSA	0.60
R pars orbitalis CSA	0.51
R pars orbitalis GMV	0.48
R paracentral CSA	0.46
L superior parietal GMV	0.45
L posterior cingulate GMV	0.44
Regions not loading above 0.45 on this factor: R fusiform CSA, R fusiform GMV, R posterior cingulate CT, R fusiform CT	

Supplemental Figure 1: Correlations between regional brain structure and positive and negative symptom subscales.





39 Supplemental Figure 1. Correlations between regional brain structure and positive and negative symptom  
40 subscales.

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