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# Diagnostic Utility of Ocular Symptoms and Vision for Cytomegalovirus Retinitis

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1 **Diagnostic utility of ocular symptoms and vision for cytomegalovirus retinitis**

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## 24 **Abstract**

25 **Purpose:** CMV retinitis remains a leading cause of blindness in countries with a  
26 high burden of AIDS. Although dilated fundus examinations are recommended for  
27 those with CD4 counts below 100 cells/ $\mu$ L, in practice only those with poor vision  
28 and/or symptoms are routinely referred for screening. Therefore, the predictive  
29 value of this common practice should be assessed.

30 **Methods:** This is a prospective cross-sectional study. Patients with known HIV  
31 and a CD4 count of less than 100 cells/ $\mu$ L attending an HIV clinic in Chiang Mai,  
32 Thailand completed a standardized questionnaire about visual symptoms and  
33 underwent visual acuity testing and dilated fundus examination. Participants  
34 without CMV retinitis were invited for repeated examinations every 3 months until  
35 their CD4 count exceeded 100 cells/ $\mu$ L. Patient-level statistical analyses were  
36 conducted to calculate diagnostic test characteristics, with bootstrapping to  
37 account for correlated data.

38 **Results:** HIV patients with CMV retinitis were more likely to complain of visual  
39 symptoms ( $p = 0.01$ ) compared to those without CMV retinitis, including scotoma ( $p$   
40  $= 0.0002$ ), itchy or watery eyes ( $p < 0.0001$ ), and eye pain ( $p = 0.003$ ); they were  
41 also more likely to have visual acuity worse than CF ( $p = 0.0003$ ). However, the  
42 absence of eye symptoms and the absence of poor vision did not strongly affect  
43 the probability that a patient did not have disease (negative likelihood ratio 0.56  
44 and 0.76, respectively).

45 **Conclusions:** Ocular symptoms and poor visual acuity were poor diagnostic  
46 indicators for the presence of CMV retinitis. Systemic screening for HIV patients  
47 with CD4 count below 100 cells/ $\mu$ l should be carried out to catch the disease at its  
48 early stage to avoid blindness.

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## 52 **Introduction**

53 Cytomegalovirus (CMV) retinitis is an opportunistic infection that is a leading  
54 cause of blindness in developing countries with a high burden of AIDS (1,2).

55 Experts generally recommend asymptomatic screening with indirect  
56 ophthalmoscopy for HIV patients with CD4 counts less than 100 cells/ $\mu$ l in order to  
57 diagnose the disease at an early stage before any visual disability has occurred.

58 However, in resource-limited settings, the reality is that only patients with visual  
59 symptoms or poor visual acuity are referred for a screening examination. We were  
60 interested in assessing this common practice specifically for at-risk patients in a  
61 primary care setting in Asia. The predictive value of symptoms and vision in such a  
62 population has not been well characterized, even though the vast majority of CMV  
63 retinitis occurs in Asia (3), and even though primary care HIV providers make  
64 clinical decisions about whether to screen for CMV retinitis (1). In this prospective  
65 cross-sectional study, we assessed the relationship between self-reported ocular  
66 symptoms, visual acuity, and an eventual diagnosis of CMV retinitis to determine  
67 whether HIV providers could increase the yield of eye screening examinations by  
68 asking about visual symptoms and testing for vision.

69

## 70 **Materials and Methods**

71 This was a prospective cross-sectional study conducted with approval from  
72 the Committee on Human Research at the University of California, San Francisco

73 and the Institutional Review Board of Nakornping Hospital, Chiang Mai, Thailand. It  
74 was performed in adherence with the tenets of the Declaration of Helsinki.

75         Details of the study population and enrollment process have been described  
76 elsewhere (4). Briefly, from June 18, 2010 through June 15, 2012, patients with a  
77 CD4 cell count of less than 100 cells/ $\mu$ L who presented to the HIV clinic at  
78 Nakornping Hospital in Chiang Mai, Thailand were offered enrollment in the study.  
79 Patients who were pregnant, younger than 18 years, or had a diagnosis of CMV  
80 retinitis were excluded. After written informed consent, participants were asked if  
81 they had any ocular symptoms using a standardized questionnaire administered by  
82 a designated nurse. Visual acuity was then assessed with spectacles and pinhole,  
83 followed by a dilated fundus examination by a fellowship-trained retina specialist  
84 (CJ) to determine the presence or absence of CMV retinitis. Study participants  
85 without CMV retinitis were offered repeated screening every 3 months until their  
86 CD4 cell count increased to 100/ $\mu$ L or greater; the same questionnaire and  
87 examinations were conducted at each study visit.

88         We performed patient-level statistical analyses. Diagnostic test  
89 characteristics, i.e. sensitivity, specificity, positive and negative predictive values,  
90 positive and negative likelihood ratios, were calculated considering a positive test  
91 to be symptoms in either eye or visual acuity of Counting Fingers (CF) in the  
92 worse-seeing eye, and patients were considered to have CMV retinitis if it was  
93 detected in either eye. Study participants were censored after the first eye was  
94 diagnosed with CMV retinitis. Bootstrapped 95% confidence intervals (10000  
95 repetitions, re-sampled at the patient level) were calculated to account for

96 correlated data (i.e., multiple visits by the same participant). All statistical analyses  
97 were performed using Stata/SE 14.0 (StataCorp LP, College Station, Texas).

98

## 99 **Results**

100           Of 258 patients with CD4 counts under 100 cells/ $\mu$ L seen in the HIV clinic  
101 during the study period, 103 were enrolled, including 23 who had 1 subsequent  
102 ophthalmologic examination and 5 who had 2 subsequent examinations. Mean age  
103 was 37.5 years and 61.2% were male. Mean enrollment CD4 count was 29.5  
104 cells/ $\mu$ L. Sixteen patients were diagnosed with CMV retinitis in either eye at some  
105 point during the study.

106           Among the 16 person-visits where CMV retinitis was diagnosed in either  
107 eye, nine or 56.3% reported a history of ocular symptoms and four or 25.0% had  
108 visual acuities of worse than CF in the worse-seeing eye (Table 1). Among the 120  
109 person-visits where CMV retinitis was not detected in either eye, the corresponding  
110 numbers were 26 (21.7%) and 2 (1.7%, Table 1). Symptoms were more often  
111 reported at patient-visits in which CMV retinitis was diagnosed compared with  
112 those visits where CMV retinitis was not diagnosed ( $p = 0.01$ ); symptoms that were  
113 significantly more common among patients with CMV retinitis included scotoma ( $p$   
114 = 0.0002), itchy or watery eyes ( $p < 0.0001$ ), and eye pain ( $p = 0.003$ ). Reduced  
115 visual acuity was also more frequent when CMV retinitis was eventually diagnosed;  
116 patients diagnosed with CMV retinitis were more likely to have visual acuity worse

117 than CF ( $p = 0.0003$ ) and less likely to have visual acuity better than 20/40  
 118 compared to other patients ( $p = 0.02$ , Table 1).

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Table 1. Association of cytomegalovirus (CMV) retinitis with ocular symptoms and visual acuity

| Characteristic              | N (%) or Mean (95% CI)                               |                                     | P-value <sup>b</sup> |
|-----------------------------|--|-------------------------------------|----------------------|
|                             | No CMV retinitis<br>N=120 person-visits <sup>a</sup> | CMV retinitis<br>N=16 person-visits |                      |
| <b>Symptoms</b>             |  |                                     |                      |
| Any symptom                 | 26 (21.7%)   | 9 (56.3%)                           | 0.01                 |
| Blurry vision               | 21 (17.5%)   | 3 (18.8%)                           | 0.93                 |
| Flashes/floaters            | 9 (7.5%)   | 2 (12.5%)                           | 0.48                 |
| Scotoma                     | 1 (0.83%)  | 2 (12.5%)                           | 0.0002               |
| Itchy or watery eyes        | 1 (0.83%)  | 2 (12.5%)                           | <0.0001              |
| Eye pain                    | 1 (0.83%)  | 1 (6.25%)                           | 0.003                |
| <b>Vision</b>               |  |                                     |                      |
| Better than 20/40           | 106 (88.3%)  | 10 (62.5%)                          | 0.02                 |
| 20/40 to Counting Fingers   | 10 (8.3%)  | 2 (12.5%)                           | 0.56                 |
| Worse than Counting Fingers | 2 (1.7%)   | 4 (25.0%)                           | 0.0003               |

123 <sup>a</sup> Includes (1) the baseline examinations of 87 participants who were never diagnosed with CMV  
 124 retinitis in either eye and 3 participants eventually diagnosed with CMV retinitis at the 3-month  
 125 examination, (2) the 3-month examinations of 25 participants who were never diagnosed with CMV  
 126 retinitis, and (3) the 6-month examinations of 5 participants who were never diagnosed with CMV  
 127 retinitis in either eye.

128 <sup>b</sup> Logistic regression performed with bootstrapped 95% confidence intervals to account for multiple  
 129 visits from the same patient (10,000 replications, re-sampled at patient level)

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Relative to an ophthalmologist examination, the presence of any ocular  
 133 symptom had a sensitivity of 56.3% (32.0 – 80.5%) and specificity of 78.0% (69.7 –  
 134 86.3%) for the diagnosis of CMV retinitis. In comparison, the presence of visual  
 135 acuity worse than CF was 25.0% (3.47– 46.5%) sensitive and 98.3% (95.9 –  
 136 100%) specific for diagnosing CMV retinitis (Table 2). In this study, the prevalence  
 137 of CMV retinitis among the screened population was 15.5%. At this prevalence, the  
 138 positive predictive value of ocular symptoms and visual acuity worse than CF were  
 139 25.7% (11.2 – 40.3%) and 66.7% (29.0 – 100%), respectively, and the  
 140 corresponding negative predictive values were 92.9% (87.9 – 98.0%) and 90.6%



141 (85.6 – 95.6%, Table 2). When diagnostic accuracy was expressed as likelihood  
 142 ratios, the probability of having CMV retinitis was greatly increased by the  
 143 presence of visual acuity worse than CF (positive likelihood ratio 14.8, 95%CI 2.93  
 144 – 74.2), whereas eye symptoms increased the probability of CMV retinitis by a  
 145 smaller degree (positive likelihood ratio 2.6, 95%CI 1.5 – 4.4). The absence of  
 146 symptoms and the absence of poor vision were much less useful for ruling out  
 147 disease, with negative likelihood ratios of 0.56 and 0.76, respectively.

148

149 Table 2. Diagnostic test characteristics of ocular symptoms and visual acuity for the prediction of  
 150 CMV retinitis.

151

|                           | Diagnostic test characteristic (95% CI) <sup>a</sup> |  |
|---------------------------|--|--|
|                           | Presence of any type of ocular symptom in either eye | Vision worse than Counting Fingers in the worse-seeing eye |
| Sensitivity               | 56.3% (32.0 – 80.5%)                                 | 25.0% (3.47– 46.5%)  |
| Specificity               | 78.0% (69.7 – 86.3%)                                 | 98.3% (95.9 – 100%)  |
| Positive Predictive Value | 25.7% (11.2 – 40.3%)                                 | 66.7% (29.0 – 100%)  |
| Negative Predictive Value | 92.9% (87.9 – 98.0%)                                 | 90.6% (85.6 – 95.6%)                                       |
| Positive likelihood ratio | 2.6 (1.5 – 4.4)                                      | 14.8 (2.93 – 74.2)   |
| Negative likelihood ratio | 0.56 (0.32 – 0.99)                                   | 0.76 (0.57 – 1.01)   |
| ROC area                  | 0.67 (0.54 – 0.80)                                   | 0.62 (0.51 – 0.73)   |

152

CI: confidence interval; CMV: cytomegalovirus; ROC: receiver operating characteristic

153

a Bootstrapped 95% confidence intervals (CIs) constructed (10,000 replications, sampled at the patient level) to account for multiple visits from the same individual.

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## 156 Discussion

157

In this study population with a CD4 count below 100 cells/ $\mu$ L presenting to a

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primary care HIV clinic, the presence of ocular symptoms and reduced visual

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acuity considerably increased the likelihood of having CMV retinitis, but had low

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positive predictive value at the relatively low prevalence of retinitis found in this

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population. The absence of eye symptoms and the absence of poor vision were

162 better predictors for not having CMV retinitis. This was likely due to the low  
163 prevalence of disease, since the presence of eye symptoms and poor vision did  
164 not greatly change the likelihood that an individual had CMV retinitis.

165         Although several prior studies have assessed the relationship between  
166 visual symptoms, visual acuity, and CMV retinitis, few have been done in Asia (5).  
167 The most relevant prior studies are from India and Vietnam, and enrolled  
168 prospective series of HIV patients for CMV retinitis screening (6,7). The present  
169 study differs from these two studies in that unlike the Indian study, we included  
170 only those HIV patients with a CD4 count less than 100 cells/ $\mu$ L, and unlike the  
171 Vietnamese study, we performed screening at a primary care HIV clinic instead of  
172 an eye clinic. Our findings were generally consistent with these other two studies,  
173 which also found a poor positive predictive value (18-40%) and a higher negative  
174 predictive value (40-95%).

175         Some previous studies have assessed the relationship between milder  
176 forms of visual impairment and CMV retinitis (7,5). However, we thought it was  
177 unlikely that providers in a busy HIV clinic would routinely test for Snellen visual  
178 acuity. We reasoned that testing for Counting Fingers vision was a quick test that  
179 could easily be incorporated into an HIV provider's clinic visit, and hence could be  
180 a valuable diagnostic test. We found that this test for low vision was a poor  
181 predictor of CMV retinitis. Its negative predictive value was better; that is, those  
182 with vision of Counting Fingers or better were unlikely to have CMV retinitis.  
183 However, the high negative predictive value was most likely due to the overall low  
184 prevalence of CMV retinitis in the population, since poor vision had a negative

185 likelihood ratio of 0.76, which suggests that having good vision did not strongly  
186 change the probability that a person did not have CMV retinitis.

187 Our study also found that CMV retinitis patients were more likely to complain  
188 of itchy or watery eyes compared to HIV patients without CMV retinitis.

189 Keratoconjunctivitis sicca is one of the most common ophthalmic manifestations of  
190 HIV/AIDS, and it was thought to be related to an autoimmune phenomena such as  
191 Sjogren-like syndrome that caused abnormal lymphocytic infiltration of the lacrimal  
192 gland (8). The prevalence of dry eye syndrome among HIV patients, however, has  
193 significantly decreased over the years possibly as a result of HAART (9). The  
194 results of our study therefore suggest that while scotoma, floater and flashes are  
195 common symptoms to be associated with retinal detachment in the setting of CMV  
196 retinitis, dry eyes should be another important symptom to elicit during history-  
197 taking that is associated with worse immune function and eye disease among HIV  
198 patients.

199 We specifically designed the present study to mimic the clinical decision-  
200 making that an HIV provider faces when deciding whether to perform CMV retinitis  
201 screening. We reduced selection bias by conducting the study at a primary care  
202 HIV clinic instead of an ophthalmology clinic and by enrolling only those patients  
203 with CD4 counts below 100 cells/  $\mu$ L, who are at the greatest risk. We performed  
204 the study prospectively to reduce the chances of misclassification bias. The study's  
205 chief limitations were the relatively low numbers of patients diagnosed with CMV  
206 retinitis and uncertain generalizability outside Thailand.

207 In conclusion, this prospective cross-sectional analysis showed that ocular

208 symptoms and poor visual acuity were poor diagnostic indicators for the presence  
209 of CMV retinitis. Systemic screening for HIV patients with CD4 count below 100  
210 cells/ $\mu$ l should be carried out to catch the disease at its early stage to avoid  
211 blindness.

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213       None

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