



Homologous Recombination within Large Chromosomal Regions Facilitates Acquisition of β -Lactam and Vancomycin Resistance in *Enterococcus faecium*

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1 Title: **Homologous recombination within large chromosomal regions facilitates acquisition**
2 **of beta-lactam and vancomycin resistance in *Enterococcus faecium*.**

3

4 Running title: Transfer of Vancomycin resistance

5

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23 **ABSTRACT**

24 The transfer of DNA between *Enterococcus faecium* strains has been characterized by both the
25 movement of well-defined genetic elements and by the large-scale transfer of genomic DNA
26 fragments. In this work we report on the whole genome analysis of transconjugants resulting
27 from mating events between the vancomycin-resistant *E. faecium* C68 strain and vancomycin
28 susceptible D344RRF to discern the mechanism by which the transferred regions enter the
29 recipient chromosome. Vancomycin-resistant transconjugants from five independent matings
30 were analysed by whole genome sequencing. In all cases but one, the penicillin binding protein
31 5 gene (*pbp5*) and the Tn5382-vancomycin resistance transposon were transferred together and
32 replaced the corresponding *pbp5* region of D344RRF. In one instance, Tn5382 inserted
33 independently downstream of the D344RRF *pbp5*. Single nucleotide variants (SNV) analysis
34 suggests that entry of donor DNA into the recipient chromosome occurred by recombination
35 across regions of homology between donor and recipient chromosomes, rather than through
36 insertion sequence-mediated transposition. Transfer of genomic DNA was also associated with
37 transfer of C68 plasmid pLRM23 and another putative plasmid. Our data are consistent with
38 transfer initiated by a cointegration of a transferable plasmid with the donor chromosome, with
39 subsequent circularization of the plasmid/chromosome cointegrate in the donor prior to transfer.
40 Entry into the recipient chromosome occurs most commonly across regions of homology
41 between donor and recipient chromosomes.

42 **INTRODUCTION**

43 *Enterococcus faecium* has emerged as one of the leading causes of healthcare-associated
44 infections due to a combination of high intrinsic levels of resistance to commonly used
45 antibiotics, a remarkable genome plasticity that favours the ability to acquire *de novo* resistance

46 when challenged with new antibiotics and its ability to survive in diverse environments (1-4).
47 This high prevalence of antibiotic resistance, including widespread high-level resistance to the
48 first-line antibiotics ampicillin and vancomycin (3, 5), presents a challenge for effective
49 treatment of *E. faecium* infections.

50 Acquisition of resistance determinants by enterococci is mediated by a variety of mobile genetic
51 elements, including transferable plasmids, insertion sequences (IS), transposons and a high
52 degree of recombination between strains (4, 6-8). In vancomycin-resistant *E. faecalis* strain
53 V583, it has been estimated that as much as 25% of the genome has been acquired via horizontal
54 gene transfer (9). The spread of vancomycin-resistant enterococci (VRE) is one of the major
55 concerns in hospital settings worldwide. Vancomycin resistance results from acquisition of
56 transposon-associated complex operons that enable the bacteria to use modified cell wall
57 pentapeptide precursors that bind the glycopeptide antibiotics with lower affinity (10). There are
58 several *van* operons that vary in the type of enzymes encoded (11). The most widely distributed
59 in clinical strains worldwide are *vanA* and *vanB* (12). Tn1546, a Tn3-family transposon, most
60 commonly harbours the *vanA* operon while Tn5382 most commonly carries *vanB*, which is
61 similar to conjugative transposons (13).

62 The *vanB*/Tn5382 transposon was first reported in *E. faecium* C68, a multi-resistant clinical
63 isolate in which it was located immediately downstream of a penicillin binding protein 5 (*pbp5*)
64 allele conferring high-level of resistance to ampicillin. The genetic linkage of *pbp5* and *vanB* has
65 been identified in different strains of *E. faecium* isolated from different geographical regions (12,
66 14). This association is not universal and the *vanB* element can insert in other regions of the
67 chromosome or be plasmid-borne (15). C68 is a clinical isolate with broad antibiotic resistance
68 and a large plasmid (pLRM23) implicated in increased gastrointestinal colonization (16).

69 Transfer of the *vanB* transposon and *pbp5*, were observed in association with substantial
70 quantities of C68 genomic DNA (13, 17). Those recipient strains however, lacked *pbp5* due to a
71 large chromosomal deletion involving Tn916 (18).

72

73 The precise mechanism of transfer of the *vanB* element in *E. faecium* has not been completely
74 resolved. In the literature both *bona fide* conjugative transposition and mobilization of large
75 fragments of chromosomal DNA often in association with plasmids have been observed (10, 13,
76 15, 19, 20). Mechanisms of transfer are better elucidated in *E. faecalis* than in *E. faecium* in a
77 large measure because of the fact that *E. faecalis* is considerably more amenable to genetic
78 manipulation. Yet the clinical problem of resistance, especially resistance to ampicillin, is far
79 greater in *E. faecium* than *E. faecalis*, making understanding the specifics of *E. faecium*
80 resistance transfer a high priority. In *E. faecalis* the transfer of large segments of chromosomal
81 DNA from V583 to recipient cells has been attributed to the involvement of transferable
82 plasmids in donor cell that recombine with the donor chromosome across common IS elements,
83 followed by an F-like transfer of plasmid and chromosomal DNA using the plasmid origin of
84 transfer (21). These investigators were able to show that virtually any segment of donor
85 chromosome could be mobilized.

86 In the current work we were interested in analysing the mechanism of transfer of Tn5382 and
87 *pbp5* from C68 to *E. faecium* D344RRF, whose chromosome contains a distinct *pbp5* allele but
88 does not contain Tn5382 or vancomycin resistance. Our studies were designed to address four
89 specific questions: 1) Does transfer of the *vanB* operon result in the exchange of the D344RRF
90 *pbp5* allele with the high-level ampicillin resistance conferring *pbp5* allele from the donor C68?
91 2) Does transfer of vancomycin resistance involve transposition of Tn5382 itself? 3) Does

92 integration of C68 DNA into the recipient chromosome occur by homologous recombination or
93 by IS-mediated transposition? and 4) Is C68 plasmid pLRM23 associated with the genomic
94 transfer?

95 MATERIALS AND METHODS

96 Strains and media

97 *E. faecium* strain C68 is a vancomycin-resistant strain carrying a *vanB2* resistance element
98 integrated into the chromosome, and was originally isolated from a faecal sample of a
99 hospitalized patient (13). *E. faecium* strain D344RRF is a rifampicin/fusidic acid-resistant variant
100 of clinical isolate D344R (22). Bacteria were grown on Brain Heart Infusion (BHI) broth or agar
101 (Fluka, St. Louis, MO).

102

103 Conjugation experiments

104 Conjugation experiments were performed as previously described either in (23) or by cross-
105 streak technique (24). Briefly, overnight cultures of both donor and recipient were mixed in 15
106 ml conical tubes at a 1:1 ratio (200µl each culture). After 1h, the tubes were spun down, 200 µl
107 of media kept and plated onto non-selective BHI-agar plates. Mating plates were incubated at
108 37°C overnight. The mixed bacteria were recovered with a loop and resuspended in 3 ml of
109 sterile PBS/2mM EDTA, centrifuged, most of the supernatant removed and the cells were plated
110 onto selective BHI agar plates with vancomycin 25µg/ml, fusidic acid 25 µg/ml and rifampicin
111 50 µg/ml. The plates were incubated for 3 days at 37°C. Colonies were re-streaked onto identical
112 plates to confirm resistance and isolate single colonies. Single colonies were inoculated into BHI

113 broth with vancomycin 25µg/ml, fusidic acid 25 µg/ml and rifampicin 50 µg/ml and used to
114 make glycerol stocks and prepare genomic DNA.

115 To evaluate if extracellular DNA could be implicated in the transfer the donor and recipient cells
116 were mixed and incubated in minimal media salts supplemented with 0.5% glucose, 0.2 mM
117 MgSO₄, 0.1 mM CaCl₂ with or without 300µg/ml of Bovine pancreas Dnase I (Roche) for 1 h at
118 room temperature, after incubation the mixed cells were plated onto BHI agar. To test if
119 bacteriophages played a role in DNA transfer, we followed the method described by (21), briefly
120 25 ml of C68 overnight growth was pelleted and the supernatant was filter-sterilized using a
121 0.45-µm filter. The cell-free supernatant was diluted 2-fold with fresh BHI medium, and the
122 conditioned medium was inoculated with D344RRF. After an overnight incubation, cells were
123 pelleted, resuspended in 200 µl of fresh BHI, and plated onto selection plates with vancomycin
124 25µg/ml, fusidic acid 25 µg/ml and rifampicin 50 µg/ml.

125 Microbroth MICs for vancomycin, ampicillin, fusidic acid and rifampicin were determined in
126 BHI broth according to previously published method (13).

127

128 **Serial passaging**

129 Transconjugants TC-A and TC-B, obtained from the first mating were subjected to serial passage
130 either with vancomycin 10 µg/ml, ampicillin 12.5 µg/ml or no selection for about 400
131 generations in BHI broth to evaluate if continuous selection with antibiotic had an impact on the
132 resistance levels. The original transconjugants, and the final passage for each condition were
133 subjected to whole genome sequence for comparison.

134

135 **Gene expression studies**

136 D344RRF, C68 and transconjugant TC-A before (P0) and after passaging (P9 and P13) frozen
137 stocks were used to inoculate an overnight BHI culture, the next morning the cells were diluted
138 1:1000 and were grown with shaking at 37°C to an OD₆₀₀ of 0.2. At that point, cultures were
139 treated either with ampicillin, vancomycin or no antibiotic to a final concentration of half the
140 MIC value and were grown up to an OD₆₀₀ of 0.6 (around four hours) with shaking. Cells were
141 broken open with glass beads (Lysing Matrix B, MP Biomedical) using a mini-BeadBeater
142 (BioSpec), and the RNA was purified using the Qiagen RNeasy minikit. Complementary DNA
143 (cDNA) was synthesized using the Bio-Rad iScript gDNA Clear cDNA Synthesis kit.
144 Quantitative PCR was carried out using the Bio-Rad iTaq universal Probes kit in a multiplexed
145 reaction in the CFX98 real-time PCR cycler. Relative gene expression was calculated using the
146 $\Delta\Delta Cq$ method and normalized relative to expression of 16S rRNA (25). To compare expression
147 levels we did a one-way ANOVA analysis using Prism 7 (GraphPad Software Inc). The primers
148 and probes used for the experiment are listed in supplemental table 4.

149

150 **Whole genome sequencing**

151 Illumina MiSeq. Total DNA was extracted using the Qiagen genomic tip-100 (Qiagen, Valencia,
152 CA) according to the kit manual, with minor modifications. Briefly, 6 ml of overnight culture
153 with vancomycin 25 μ g/ml, fusidic acid 25 μ g/ml and rifampicin 50 μ g/ml was used for each
154 DNA sample. To break up the cells 80 μ l of 100 mg/ml lysozyme was used with 2h incubation at
155 37°C. The DNA samples were diluted to 0.3 ng/ μ l, and 5 μ l were used for library generation
156 using the Nextera XT DNA sample preparation kit and Nextera XT index primers (Illumina, San

157 Diego, CA). Sufficient sample was diluted to 600 μ l to provide a 15-20 pmol multiplexed library,
158 and sequenced on an Illumina MiSeq V2 instrument as 2X150 paired-end reads.

159 Pacific Biosciences single molecule sequencing. DNA was isolated as for Illumina sequencing
160 and 10 μ g of high quality DNA was used to make large insert libraries (10kb) to sequence using
161 Pacific Biosciences RS II sequencing (Pacific Biosciences, Menlo Park, CA) technology. For
162 each sample we used one PacBio RS II SMRT cell.

163

164 **Bioinformatics**

165 Illumina assemblies were performed off-instrument using CLCBio Genomics Workbench v6.5
166 (Cambridge, MA). Fastq files were trimmed for quality and minimum length (50 bp) and reads
167 were *de novo* assembled at high stringency (Length fraction= 0.9; Similarity fraction = 0.99)
168 using default mismatch/insertion/deletion costs. A summary of the genome assemblies is
169 provided in supplemental table 2.

170 PacBio genome assemblies were done using the HGAPv3 assembly platform with a minimum
171 read length set to 5000kb and a 4% error rate allowed by the Genomic Analysis and
172 Bioinformatics Shared Resource, Duke University Center for Genomic and Computational
173 Biology, Durham, NC, USA.

174 Gene synteny and inferred contig order were analysed by comparing the parental genomes
175 (D344RRF and C68) versus the fully closed genomes of *E. faecium* DO and *E. faecium* Au0004
176 (Accession numbers ASM17439v2 and GCA_000250945.1) with MAUVE 2.3.1 (26). Genome
177 annotation was done using RAST (27, 28) and particular genes manually curated.

178 Detection of SNVs/indels was accomplished by mapping reads to parents (donor and recipient)
179 reference assembly using the same parameters. Quality based SNVs were detected at a

180 minimum frequency of 95% with a minimum of 30-fold coverage using default criteria. SNVs
181 were obtained with Geneious 8.1.7 (Biomatters Ltd, New Zealand) (supplemental table 3). The
182 crossover regions for donor to recipient DNA integration were identified by SNV and validated
183 by PCR using Phusion high fidelity polymerase (NEB, Ipswich, MA) and Sanger sequencing, the
184 primers used are listed in supplemental table 4.

185

186 **Plasmid identification**

187 The identification of the putative pLRM23 was done using the PacBio assembly of C68 and TC-
188 A and looking for a contig with the presence of the *hyaluronidase* gene. We were able to retrieve
189 a single 217 kb contig containing the predicted full length pLRM23 from the C68 PacBio
190 assembly. After the identification of the canonical pLRM23 sequence using the PacBio
191 assemblies we searched for the presence of genes of plasmid origin in the transconjugants by
192 mapping the Fastq reads from D344RRF and the transconjugants vs. the PacBio assembly of C68
193 (supplemental table 5C) and then by comparing the regions that were unique to C68 and the
194 transconjugants but not to D344RRF. The *hyaluronidase* (*hyl*) gene was used as an experimental
195 pLRM23 marker. The *hyl* gene was detected by PCR and Sanger sequencing (supplemental
196 figure 2 and supplemental table 3).

197

198 **RESULTS**

199 **Selection of transconjugants and whole genome sequencing**

200 We performed five independent matings between C68 and D344RRF to select for
201 transconjugants (TC) that acquired vancomycin resistance. The resistance phenotypes of the

202 parents and the obtained transconjugants are listed on table 1. Our transfer frequencies ranged
203 from 10^{-9} to 10^{-8} per recipient CFU, in agreement of what was previously reported for similar
204 experiments (13, 17). Fourteen individual transconjugants (representing about 5% of all colonies
205 obtained after the mating) resistant to vancomycin, rifampicin and fusidic acid were randomly
206 selected for whole genome sequencing and further characterisation. Vancomycin MICs for the
207 different transconjugants ranged from 12.5 to 400 $\mu\text{g/ml}$ and ampicillin MICs ranged from 6.3 to
208 400 $\mu\text{g/ml}$ (table 2). For both antibiotics the MICs were lower than those observed in the donor
209 C68, as has been reported previously (29). Interestingly the MICs of TC-A and TC-B
210 transconjugants increased to comparable levels of those observed in the parental strains after
211 continuous passaging for about ~ 400 generations, the MICs increase was observed in passaged
212 cells regardless the passaging conditions (BHI only, ampicillin or vancomycin) (table 2). To look
213 for possible causes for the lower observed MICs and their further increase after passaging, cells
214 from the final passage for each condition were collected and subjected to whole genome
215 sequencing and their genomes were compared to the corresponding un-passaged parental
216 transconjugant to look for SNV or other differences. In all passaged transconjugants (BHI,
217 ampicillin or vancomycin) we did not identify differences that suggest that the increased
218 vancomycin and ampicillin MICs are due to genomic changes.

219 To determine if the expression levels of *pbp5* and *vanB ligase* could be implicated in the MIC
220 differences observed between the transconjugants and the parental strains we used the naïve TC-
221 A and its passaged derivatives, TC-A Van P13 and TC-A Amp P9, to study *pbp5* and *vanB*
222 *ligase* expression in the presence of ampicillin or vancomycin. We found that *pbp5* expression
223 was not significantly modified in the presence of ampicillin compared to the corresponding
224 untreated samples. In contrast when cells were grown in the presence of vancomycin, *pbp5*

225 expression was induced in all groups tested (figure 1 A). The *vanB ligase* gene was minimally
226 expressed in the absence of vancomycin and was highly induced if the cells were grown in the
227 presence of the antibiotic. The expression levels of *vanB ligase* were significantly lower in the
228 naïve TC-A compared to the expression of C68, after passaging both TC-A Amp P9 and TC-
229 Amp P13 shown increased *vanB ligase* expression that were comparable to C68 (figure 1B).

230 To perform in depth analysis of the transferred DNA we analysed the whole genome sequences
231 of the fourteen transconjugants (Accession numbers LRAR00000000-LRBE00000000), the
232 recipient strain D344RRF (Accession number LOQQ00000000) and our laboratory stock of the
233 donor strain C68 (Accession number LRAQ00000000 and LPUE00000000).

234 We did not recover transconjugants from D344RRF cells incubated with cell-free C68
235 supernatant, suggesting that phage-mediated transduction is not the mechanisms for DNA
236 transfer in our system. We did recover the same proportion of transconjugants from mating
237 reactions treated with DNaseI cells compared with non-treated ones, suggesting that extracellular
238 DNA do not play a major role in DNA transfer between our two strains.

239

240 **Vancomycin resistance acquisition is associated with *pbp5* allelic replacement in *E. faecium***

241 *E. faecium pbp5* operon consists of three genes: *ftsW*, *psr* (penicillin-binding protein synthesis
242 repressor) and *pbp5* (29). C68 and D344RRF *pbp5* operon differ in four positions (figure 2A).

243 The first difference is the insertion of a C 153 bp downstream the ribosomal binding site in the
244 *psr* gene in C68 causing a frame shift of the open reading frame and introducing a premature
245 stop codon 309 bp downstream the start of the gene, possibly generating a truncated protein. The
246 second difference is the presence of an extra codon (AGT) in C68 *pbp5* gene at position 1399,
247 which introduces an additional serine. The other two differences are two non-synonymous SNVs

248 on position 1456A>G and 1494T>G of C68 *pbp5* gene (figure 2B). The presence of the extra
249 serine and the two non-conservative amino acid substitutions decreases the affinity of C68-Pbp5
250 to penicillin (30).

251 Whole genome sequencing revealed that the 14 selected transconjugants incorporated the full 34
252 kb region corresponding to the *vanB* resistance element Tn5382 from the donor strain in the
253 vicinity of *pbp5*. The ends of Tn5382 were clearly identifiable and were conserved in all
254 transconjugants. In none of the transconjugants did we identify the retention of *pbp5* from both
255 C68 and D344RRF. TC-D was the only transconjugant that maintained the *pbp5* allele from the
256 recipient strain D344RRF, but acquired *vanB*/Tn5382. The sequence comparison between TC-D
257 and the donor and recipient strains shows that Tn5382 integrated into D344RRF chromosome
258 downstream of the *pbp5* gene in a location indistinguishable from that in C68. In this region, the
259 first SNV that distinguishes D344RRF from C68 occurs 546 bp upstream of the *pbp5* stop codon.
260 On the opposite end of the transposon, the first SNV that distinguishes D344RRF from C68
261 occurs ca. 2 kb from the transposon end. The SNV in this location corresponds to sequence from
262 C68. The next SNV occurs roughly 2.4 kb from the first SNV and corresponds to sequence from
263 D344RRF. Interestingly, we identified an additional independent recombination event 13.8 kb
264 further to the left of the transposon insertion that replaced approximately 9.1 kb of the recipient
265 genome (figure 2C, table 3). We identified another transconjugant (TC-M) that also had an
266 additional recombination upstream of *vanB*/Tn5382.

267

268 **Transposition of Tn5382 itself is not necessary for acquisition of vancomycin resistance**

269 We found that that unlike the case of typical conjugative transposons (31, 32) *vanB* insertion did
270 not occur at random/semi-random in the recipient's genome but in association with the *pbp5*

271 locus. In all transconjugants but one (93%), variable quantities of contiguous DNA, including the
272 C68 *pbp5* allele, were also transferred, which is not in tune with conjugative transposition. The
273 amount of chromosomal DNA transferred along with Tn5382 varied in each transconjugant,
274 ranging from 37 kb to 185 kb (table 3). We did not find evidence of mutations in Tn5382 that
275 might be responsible for defective conjugative transposition.

276

277 **Integration of acquired DNA into the recipient chromosome occurs by recombination along**
278 **homologous regions**

279 The crossover regions between donor and recipient were identified by SNV analysis in our
280 genome assemblies and were confirmed by PCR and Sanger sequencing.

281 In *ca.* 64% of cases (TC-A, TC-D, TC-G, TC-H and TC-I [downstream] being the exceptions)
282 the crossovers occurred in regions devoid of putative transposable elements, suggesting that the
283 DNA integration from the donor into the recipient occurred by recombination across regions of
284 homology and not by IS element-mediated transposition. The upstream crossover point of TC-G
285 occurred in the region of transposon Tn916 (18) in the D344RRF chromosome, disrupting the
286 genes coding for the transposase and the conjugation proteins. In the case of TC-H we were
287 unable to confirm by PCR the crossover regions as they are presumably within an IS element that
288 prevented amplification.

289 Upstream of the *vanB*/Tn5382 the crossover regions in 10 transconjugants and the two secondary
290 integrations occurred in a 17 kb region beginning at 10,646 bp left of Tn5382. Within this region
291 we identified three groups of transconjugants that share the same or very similar crossovers:
292 Group 1 (TC-B, F, K, M), Group 2 (TC-C, I, J, L) and Group 3 (TC-E and N) (figure 3A).

293 Downstream of *vanB*/Tn5382 we only found two shared crossover sites in TC-C and TC-G. In
294 other transconjugants we identified crossovers that occurred in the same region but were not
295 flanked by the same SNV, these cases were TC-B and TC-F, TC-H, TC-J and TC-K (figure 3A).
296 Only TC-A did not share any crossover region with other transconjugants. None of the
297 transconjugants shared both crossover regions. DNA integration into the recipient's chromosome
298 was not completely at random as several transconjugants shared crossover regions. In particular
299 the 17 kb region upstream of *vanB*/Tn5382 appeared to be a hotspot for DNA integration. The
300 average GC content for this region is 36.2%, which is not different for the next 17 kb upstream
301 of it or between the donor and the recipient. Interestingly in the regions surrounding the SNVs
302 that mark the crossover regions we did identified sudden changes in GC content with the
303 presence of AT-rich strings. However, local changes of GC content are not a particular feature of
304 the 17 kb region that could fully explain why it was preferentially targeted for recombination
305 (figure 3B).

306 By analysing the SNV density of the recipient strain D344RRF and the transconjugants along the
307 C68 chromosome we identified that the region flanking *vanB*/Tn5382 has fewer SNVs compared
308 with the surrounding chromosome (figure S1). Upstream of *vanB*/Tn5382 we found only 17
309 SNV in 33 kb compared to 67 SNVs in the next 33 kb; and 31 SNV in 56 kb downstream of
310 *vanB*/Tn5382 compared to 253 SNVs in the next 56 kb. The crossovers for 12 transconjugants
311 (TC-A and TC-I being the exception for the downstream crossover) occurred within this region
312 of very low polymorphisms.

313 The presence of a highly homologous stretch of DNA with local AT-rich regions might explain
314 the preference for recombination in this chromosomal region.

315 The SNV analysis did not suggest additional crossover regions in other parts of the D344RRF
316 chromosome.

317 **pLRM23 associates with genomic DNA transfer**

318 C68 plasmid pLRM23 was previously identified as an important participant in the co transfer of
319 antibiotic resistance (24). To get a better insight into the pLRM23 plasmid sequence and other
320 putative transferable elements we analysed the C68 PacBio assembly (LPUE00000000) for the
321 presence of the *hyaluronidase (hyl)* gene, which was previously identified as part of pLRM23.
322 We identified *hyl* residing in a 217,169 bp contig. The contig size is comparable to what was
323 previously experimentally determined for pLRM23 based on caesium chloride purification and
324 agarose gel analysis (16). The putative pLRM23 sequence contains a high abundance of genes
325 for mobile element proteins, transposases and integrases/recombinases (Supplemental table 5A).
326 It also contains putative replication initiation proteins A (*repA*) and B (*repB*) genes and a
327 putative conjugation protein gene from the *traG/traD* family often identified in conjugative
328 plasmids (33). Unlike other *E. faecium* large plasmids (34), pLRM23 does not carry genes
329 involved in antibiotic resistance but contains a high abundance of genes from the
330 phosphoenolpyruvate-dependent phosphotransferase system (PTS) family involved in
331 carbohydrate metabolism and putative regulation of virulence factors in bacteria (35). pLRM23
332 from C68 exhibits some similarity to other *E. faecium* plasmids, sharing 43.7 % sequence
333 identity with *E. faecium* DO plasmid 3, including the replication and conjugation proteins.

334

335 To investigate if pLRM23 was consistently co-transferred during conjugation, we first compared
336 the canonical pLRM23 sequence (obtained from the C68 PacBio sequencing) to the PacBio
337 sequencing data for TC-A (LRHK00000000). We identified a single TC-A matching contig,

338 suggesting that the full-length pLRM23 was transferred to TC-A. Then, by mapping the Illumina
339 sequencing reads of all transconjugants versus the canonical pLRM23 plasmid sequence, we
340 were able to determine if the plasmid, or regions of it, co-transferred with the acquisition of
341 vancomycin resistance. Transconjugants TC-A and TC-B harboured the same amount of putative
342 plasmid sequences as C68, 217 kb. Transconjugants TC-G and TC-H have 185 and 166 kb,
343 respectively, including in all a previously characterised 16.4 kb region containing the putative
344 *hyl* (16, 36). The presence of the *hyl* gene was confirmed by PCR using the same DNA samples
345 that were used for whole genome sequencing in all transconjugants. The presence of pLRM23 in
346 transconjugants TC-A and TC-B was stable over ~400 generations as the two were subjected to
347 serial passaging and after that their whole genome were sequenced, showing that the canonical
348 pLRM23 sequence did not change during the course of the passaging.

349 Interestingly, the *hyl* gene was also amplified in TC-C, TC-E, TC-F and very faintly in TC-I,
350 whose genome sequences did not have the gene (or other pLRM23 fragments) (figure S2A,
351 supplemental table 1, supplemental table 5C), suggesting that colonies of those transconjugants
352 might constituted a mixed population with cells still retaining fragments of the plasmid. To
353 confirm the PCR finding and to determine if the amplification of plasmid genes was stable in
354 these transconjugants, we performed serial passages for 5 days from the original glycerol stocks
355 and then repeated the PCR amplification of *hyl* DNA. *hyl* was detected weakly in TC-D, TC-F
356 and TC-I after an overnight growth but not after 5 days of continuous culture (figure S2B),
357 suggesting that if a small population containing pLRM23 derived-sequences was present in the
358 original stocks this population did not persist. These data also suggest that pLRM23 or parts of it
359 were likely co-transferred and just did not get fixed in these colonies.

360

361 In addition to the transfer of putative pLRM23 we identified a further 15 kb region that
362 transferred from C68 to transconjugants TC-A to TC-E and TC-G to TC-I that may be an
363 additional plasmid. This second plasmid, named pRIH77 has two bacteriocin-related genes,
364 mobilization and replication genes but does not have putative conjugation genes (Supplemental
365 table 5B), suggesting that is a mobilisable but non-conjugative plasmid.

366 DISCUSSION

367

368 Although individual transposons have been identified for both *vanA* and *vanB* elements (37-39),
369 the transfer of these determinants between *E. faecium* strains has been associated with the
370 movement of large segments of chromosomal DNA (10, 13, 15). The mechanisms for these
371 transfers have never been precisely described, though in some cases they appear to involve
372 association of the donor chromosome with a conjugative plasmid prior to transfer (40, 41). In
373 elegant experiments in *Enterococcus faecalis*, Manson and colleagues (21) described transfer
374 events that involved chromosomal integration of the transferable plasmids pTEF1 and pTEF2
375 across similar IS elements, and proposed a subsequent transfer event in which portions of
376 plasmid and genomic DNA transfer to recipient strains using an Hfr-like mechanism (21), and a
377 similar mechanism is proposed to happen in *E. faecium* (42), however the precise mechanisms by
378 which the transferred DNA entered into the recipient chromosome were not addressed in these
379 studies.

380 Previous work by our group and others have found conflicting evidence regarding the nature of
381 mobilisation of Tn5382-associated vancomycin resistance (10, 13, 15, 19, 20). Our present
382 results suggest that in most cases the acquisition of vancomycin resistance is not mediated by
383 direct transposition of Tn5382. In one of our studied transconjugants, TC-D, the insertion of

384 Tn5383 occurred immediately downstream of *pbp5*, and this transconjugant retained the *pbp5*
385 gene from the recipient strain. These data could be explained by three possibilities. The first is
386 direct transposition of Tn5382 into the location downstream of *pbp5*, accompanied by
387 homologous recombination of a small (less than 4 kb) region immediately downstream. The
388 second would be one-sided transposition on the left side of Tn5382 and homologous
389 recombination on the right. The final possibility would be entry by homologous recombination
390 across regions flanking Tn5382 with the left-side recombination occurring across a small region
391 (within 664 bp) of the genome. Our data do not allow us to distinguish between these
392 possibilities, nor is enough known about enterococcal recombination to determine which is most
393 likely.

394 Our data are consistent in two respects with entry of donor chromosomal regions into the
395 recipient chromosome occurring through homologous recombination. The first is that in none of
396 the strains did we identify co-existence of *pbp5* from both donor and recipient in the same cell.
397 In most cases, the donor (C68) *pbp5* was the only one present in the genome sequence,
398 suggesting that the presumptive pLRM23-chromosome cointegrate does not remain as such for
399 long as we were unable to identify this structure in any of our transconjugants, recombining with
400 the recipients chromosome directly involving the regions flanking *pbp5*. The second is that our
401 SNV analysis suggested that the crossovers occurred in different regions, many of which
402 contained no identifiable mobile elements.

403

404 The nature of our experiments did not allow a precise identification of crossover points in these
405 transconjugants, since we relied on naturally occurring SNVs between donor and recipient,
406 which are not evenly distributed throughout the genomes. Despite this, we did identify several

407 transconjugants in which crossover regions appeared to be very similar, suggesting that
408 characteristics of these regions facilitate homologous recombination. Crossover regions for 9 of
409 the transconjugants were in locations devoid of IS elements or other identifiable mobile
410 elements, suggesting that these putative “hotspots” were not based on the presence of
411 transposable elements and in none of the cases did we found the presence of IS elements in the
412 vicinity of both crossover regions. Surrounding the SNVs that mark the crossover regions
413 clustered within the 17 kb region upstream of *vanB*/Tn5382 we did identify sudden changes in
414 GC content, with the SNV that mark the beginning and the end of the crossover region occurring
415 in the vicinity of AT-rich stretches, these findings suggest that the regions in which
416 recombination occur have local differences in GC, including A/T strings with lower melting
417 points. Another important finding was that the crossovers occurred in a region were both
418 D344RRF and C68 chromosomes are highly homologous with lower density of SNV and other
419 polymorphisms than other regions of the chromosome, suggesting that a long stretch of highly
420 homologous DNA is preferentially selected for recombination. Interestingly it was previously
421 described that the *pbp5* gene can be mobilised from one *E. faecium* strain to other linked to
422 *vanB*/Tn5382 (13, 17) or as a part of a larger chromosomal region to create hybrid strains (42).

423

424 Earlier work from our laboratory showed evidence for *pbp5* transfer from *E. faecium* C68 into
425 the *pbp5*-deficient strain D344SRF that included an intermediate that was in a closed circular
426 form in the transconjugant (17). This closed circular form was likely a cointegrate between the
427 donor chromosome and C68 transferable plasmid pLRM23 that persisted for a time because of
428 the absence of a homologous *pbp5* region in the recipient chromosome. Eventually, entry into
429 the chromosome in these circumstances (which occurred independently in several

430 transconjugants) likely involved crossover between IS elements on the recipient chromosome
431 and plasmid. In the current study we used a recipient strain that has the entire *pbp5* region in its
432 chromosome, presenting extensive regions of homology with the transferred chromosomal
433 region to facilitate crossover, in a model that more closely approximates transfer events as they
434 may occur in nature.

435

436 The pLRM23 plasmid was previously associated with increased gastrointestinal colonization in a
437 mouse infection model (16), however the genes involved were not identified. Here we found that
438 pLRM23 is highly enriched in PTS genes, including the four-gene cluster of the mannose-family
439 PTS previously reported by Zhang and collaborators (43) as to be important for murine
440 gastrointestinal tract colonization after depletion of the endogenous microbiota. Genes from the
441 PTS including the mannose operon were identified in association with mobile elements and
442 possibly implicated in improved human colonization in Clade A1 strains (44). The presence of
443 the mannose PTS genes might help to explain the role of pLRM23 and related plasmids in
444 gastrointestinal colonization, it is interesting however, that both C68 and D344RRF also carry a
445 chromosomally encoded mannose PTS operons and D344RRF lacks the ability to successfully
446 colonize the mouse gastrointestinal tract even with high inoculum, suggesting that this capability
447 is a complex trait (16).

448 It was previously observed that the transfer of vancomycin resistance from *E. faecium* C68 to a
449 susceptible strain was associated in high frequency (70%) with the acquisition of a *hyl* positive
450 plasmid (pLRM23) (24). Our current results showed only 28% frequency of co-transfer of
451 pLRM23 and vancomycin resistance, but this might be an underestimate due to instability of
452 pLRM23 in the D344RRF background or incomplete plasmid transfer. We identified genes

453 corresponding to pLRM23 in the genome assemblies of four of fourteen selected
454 transconjugants. Interestingly, by PCR we identified the presence of the *hyl* gene sequence
455 transiently in four additional transconjugants, suggesting that some parts of pLRM23 transferred
456 to the recipient strain but did not integrate stably into the chromosome or persist as a separate
457 replicon, likely in a mixed bacterial population. The four transconjugants in which pLRM23
458 persisted all had large fragments of plasmid DNA in the genome assemblies, including the *repA*
459 gene, suggesting that the plasmid replication machinery was also transferred. In at least TC-A
460 and TC-B, it appears that plasmid transfer was complete. Complete plasmid transfer is
461 inconsistent with transfer by an Hfr-like mechanism. But in our view is compatible with the
462 formation of a covalently closed circular transfer intermediate (plasmid/chromosome
463 cointegrate), which excises from the donor chromosome, carrying a portion of the chromosome
464 and then transfers by conjugation (45). After conjugation the persistence of the plasmid in the
465 transconjugant would then depend upon whether the plasmid origin of replication and replicase
466 genes were included in the transferred package. In the cases where partial plasmid sequences
467 were detected we could not rule out an Hfr-like mechanism. Another possibility is that
468 chromosome mobilisation may be mediated by chromosomally encoded regions without the need
469 of a conjugative plasmid. In this instance chromosomal DNA transfer and plasmid transfer might
470 be independent of each other, however more work would be necessary to test this possibility.

471

472 In conclusion, transfer and replacement of large regions of genomic DNA between *E. faecium*
473 strains appears to be commonly facilitated by recombination across regions of homology. A
474 better understanding of these transfer events will inform analyses of the molecular epidemiology

475 of resistance and virulence in this species and suggest possible mechanisms by which transfer of
476 these determinants could be interrupted.

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622

623 **FIGURE LEGENDS AND TABLES**

624 **Figure 1:** Relative expression levels of *pbp5* and *vanB ligase* in the naïve TC-A transconjugant
625 (P0) or in the resulting selected ones (A P9: continuously passaged in the presence of ampicillin
626 for ~400 generations and V P13: continuously passaged in the presence of vancomycin for ~400
627 generations). The parental strains (D344RRF and C68) were included for comparison. The
628 parents and the three versions of TC-A were grown with either ampicillin (amp) or vancomycin
629 (van) and relative expression was calculated using the corresponding group without antibiotic
630 treatment. Expression levels of 16S rRNA were used as calibrator. Error bars indicate the
631 standard error of the mean for biological triplicates. A) *pbp5* expression is not modified with
632 respect to the control (untreated cells) in the presence of half the MIC of ampicillin, *pbp5*
633 expression was induced in C68 and the three different TC-A groups in the presence of
634 vancomycin. B) *vanB ligase* expression was very low in the absence of vancomycin. In the
635 presence of the antibiotic there was a significant induction in *vanB ligase* expression. The un-
636 passaged TC-A (P0) had lower *vanB ligase* expression levels compared to C68 and the passaged
637 groups which correlates with lower vancomycin MIC. White bars: BHI grown cells (calibrator),
638 grey bar: amp grown cells, black bar: van grown cells. ****p <0.0001, ***< 0.0002, *<0.05.

639

640 **Figure 2:** *pbp5* and TC-D insertion site. A) *pbp5* operon cartoon showing the differences
641 between strains D344RRF and C68. In C68 an insertion (C 153) bp downstream the ribosomal
642 binding site causes a frame shift introducing a premature stop codon 309 bp downstream the start
643 of the gene, possibly generating a non-functional gene. B) *pbp5* gene in C68 codes for an extra
644 serine and has two amino acid substitutions compared with D344RRF (bold red). C) Alignment
645 of D344RRF, TC-D and C68 in the region of the *vanB/Tn5382* integration. The vancomycin-

646 resistance carrying transposon is shown in green, flanked by the transposon ends (light blue) the
647 segments in which C68 genome replaced that of D344RRF in the transconjugant are shown in
648 light grey. The crossover regions are shown in purple, flanked by the SNV used to identify the
649 region. Note the additional C68 integration in TC-D upstream the *pbp5* operon. *pbp5* from
650 D344RRF was not replaced by that of C68 in this transconjugant.

651

652 **Figure 3:** Crossover regions. A) Cartoon representation of crossover regions in all individual
653 transconjugants. The area of the crossover is measured from the last SNV corresponding to the
654 donor strain, C68, to the first SNV corresponding to the recipient strain, D344RRF.
655 Transconjugants are organised by groups with shared left crossover region. Notice additional
656 crossover regions in transconjugants D and M (light grey). The amount of chromosomal DNA
657 integrated in addition to Tn5382 is measured from the left or right ends of the element up to the
658 last SNV between D344RRF and the each transconjugant. The integrated DNA shown in
659 kilobases. B) GC and AT content plot for C68 (donor) and D344RRF (recipient) in the
660 chromosomal region where crossovers occurred; GC content is shown in blue, AT content is
661 shown in green. The high GC area in C68 corresponding to *vanB*/Tn5382 element is highlighted
662 between red lines.

663

664

665 TABLE 1: Antibiotic resistance profile of the parental strains and selected transconjugants

666

Strain	Resistance traits	Origin
C68	Amp^r, Van^r, Ery^r, Tet^r, Str^r, Gen^r,	Clinical isolate (13)
D344RRF	Amp^r ^a, Rif^r, Fus^r, Ery^r, Tet^r, Str^r, Kan^r,	Derived from clinical isolate D344R (22)
Transconjugants	Amp^r, Van^r, Rif^r, Fus^r, Ery^r, Tet^r, Str^r, Kan^r	Transconjugants obtained from mating C68 and D344RRF during this work

667

668 Amp: Ampicillin, Van: Vancomycin, Ery: Erythromycin, Tet: Tetracycline, Str: Streptomycin,

669 Gen: Gentamicin, Rif: Rifampicin, Fus: Fusidic acid, Kan: Kanamycin.

670 ^aIntermediate resistance levels.

671 **TABLE 2: MICs for parental strains and transconjugants**

672

STRAIN	MIC $\mu\text{g/ml}$			
	Van	Amp	Fus	Rif
C68	>400	>400	1.6	<0.2
D344RRF	1.6	12.5	>400	>400
TC-A P0	100	50	>400	>400
TC-A BHI P13	400	200	>400	>400
TC-A Van P13	400	>400	>400	>400
TC-A Amp P9	400	400	>400	>400
TC-B P0	200	50	>400	>400
TC-B BHI P13	400	>400	>400	>400
TC-B Van P13	400	200	>400	>400
TC-B Amp P9	400	>400	>400	>400
TC-C	25	25	200	>400
TC-D	50	25	400	>400
TC-E	50	50	200	>400
TC-F	50	100	400	>400
TC-G	25	12.5	200	400
TC-H	50	25	200	>400
TC-I	200	25	>400	>400
TC-J	200	25	>400	>400
TC-K	100	100	>400	>400

673

674

TC-L	50	6.3	>400	>400
TC-M	100	25	>400	>400
TC-N	12.5	6.3	200	200

675 **TABLE 3: Integration site and size of integrated DNA in the studied transconjugants**

TC	1 st SNV of COR Left of <i>vanB</i> ^{a,b}	1 st SNV of COR Right of <i>vanB</i> (integrase site)	Minimum DNA transferred ^c	Size of COR between the two delimiting SNVs	Additional integration sites
TC-A	-49.87	+100.8	184.6	Left 574	
				Right 307	
TC-B	-10.6	+49.9	94.5	Left 3,112	
				Right 4,315	
TC-C	-16.7	+27.7	78.4	Left 6,229	
				Right 1,159	
TC-D	0	+2.9	36.9	Left 654	9,098; -14 left of <i>vanB</i>
				Right 2,466	
TC-E	-14.9	+15.1	64	Left 2,005	
				Right 2171	
TC-F	-10.9	+47.9	92.8	Left 3,112	
				Right 2,049	
TC-G	-61.8	+27.6	123.4	Left 2,878	
				Right 1,157	
TC-H	-60.2	+81.3	175.5	Left 593	
				Right 126	
TC-I	-16.9	+124	174.9	Left 6,182	

				Right 11	
TC-J	-16.9	+79.9	130.8	Left 6,182	
				Right 1,240	
TC-K	-10.9	+78.7	123.6	Left 3,112	
				Right 185	
TC-L	-16.9	+48.4	99.3	Left 6,182	
				Right 947	
TC-M	-10.9	+36	80.9	Left 3,113	11,719; -16.9 of <i>vanB</i>
				Right 7,373	
TC-N	-14.9	+5.9	54.8	Left 2,005	
				Right 371	

676 COR: Crossover region

677 ^a DNA in kilobases

678 ^b Considering the first position of Tn5382 to the left side (-); and the last position of Tn5382 to
679 the right side (+).

680 ^c Including the 34 kb *vanB*/Tn5382 element





