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A Two-Step Absorber Deposition Approach To Overcome Shunt Losses in Thin-Film Solar Cells: Using Tin Sulfide as a Proof-of-Concept Material System

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Citation	Steinmann, Vera, Rupak Chakraborty, Paul H. Rekemeyer, Katy Hartman, Riley E. Brandt, Alex Polizzotti, Chuanxi Yang, et al. 2016. "A Two-Step Absorber Deposition Approach To Overcome Shunt Losses in Thin-Film Solar Cells: Using Tin Sulfide as a Proof-of-Concept Material System." ACS Applied Materials & Interfaces 8 (34) (August 31): 22664–22670. doi:10.1021/acsami.6b07198.
Published Version	10.1021/acsami.6b07198
Citable link	http://nrs.harvard.edu/urn-3:HUL.InstRepos:28553791
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1 **A two-step absorber deposition approach to overcome shunt losses in thin film solar cells:**
2 **using tin sulfide as a proof-of-concept materials system**

3
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14
15 **Abstract**

16 As novel absorber materials are developed and screened for their photovoltaic (PV) properties, the
17 challenge remains to reproducibly test promising candidates for high-performing PV devices.
18 Many early-stage devices are prone to device shunting due to pinholes in the absorber layer,
19 producing “false negative” results. Here, we demonstrate a device engineering solution towards a
20 robust device architecture, using a two-step absorber deposition approach. We use tin sulfide (SnS)
21 as a test absorber material. The SnS bulk is processed at high temperature (400°C) to stimulate
22 grain growth, followed by a much thinner, low-temperature (200°C) absorber deposition. At lower
23 process temperature, the thin absorber overlayer contains significantly smaller, densely packed
24 grains, which are likely to provide a continuous coating and fill pinholes in the underlying absorber
25 bulk. We compare this two-step approach to the more standard approach of using a semi-insulating
26 buffer layer directly on top of the annealed absorber bulk, and demonstrate a more than 3.5x
27 superior shunt resistance R_{sh} with smaller standard error $\sigma_{R_{sh}}$. Electron-beam induced current
28 (EBIC) measurements indicate a lower density of pinholes in the SnS absorber bulk when using
29 the two-step absorber deposition approach. We correlate those findings to improvements in the
30 device performance and device performance reproducibility.

31
32 **1. Introduction**

33 The rapid performance improvement of lead halide perovskite solar cells has spurred the search
34 for non-toxic, earth-abundant perovskite-inspired photovoltaic (PV) materials.¹⁻³ To validate the
35 PV potential of these candidates, it remains an important challenge to demonstrate high-
36 performing PV devices. Many early-stage devices based on new-emerging perovskite-inspired
37 absorber materials are prone to device shunting due to pinholes in the absorber layer, caused by
38 unoptimized fabrication processes.⁴⁻⁶ There is the danger that early-stage low power conversion
39 efficiencies (PCE) due to shunting effects may contribute to “false negative” results. Hence, there
40 is an urgent need to engineer more robust device architectures that allow for rapid PV device
41 performance testing of novel absorbers without sacrificing device performance due to shunting
42 losses. Yokoyama *et al.* have recently introduced a modified vapor assisted solutions processing
43 method for more uniform and pinhole-free thin film fabrication *via* solution.⁷ Pinhole treatments
44 in thin films has previously been developed for large area amorphous silicon⁸ as well as cadmium
45 telluride solar cells⁹.

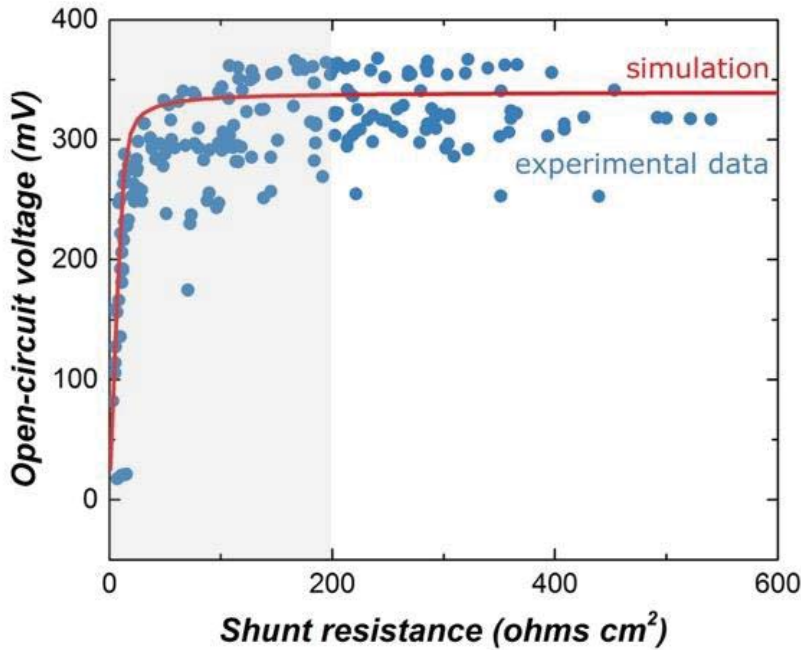
1 Tin sulfide is a promising, emerging thin film absorber candidate; tin (Sn) and sulfur (S) are both
2 scalable and non-toxic constituents. The SnS molecule congruently evaporates as one molecule at
3 temperatures below 600°C, allowing for potentially low manufacturing costs. Due to its high
4 optical absorption coefficient in the visible spectrum ($> 10^5 \text{ cm}^{-1}$),¹⁰⁻¹² film thicknesses below 1
5 μm are sufficient to absorb most of the incident sunlight. Despite its promising PV properties,
6 devices based on SnS are still underperforming compared to the theoretical maximum efficiency
7 of 32%, assuming a bandgap of 1.1 eV.¹³ In recent years NREL certified record efficiencies of η
8 = 3.88% and $\eta = 4.36\%$ have been achieved via thermal evaporation (TE) and atomic layer
9 deposition (ALD), respectively.^{14,15}

10 A performance loss analysis of TE SnS solar cells suggests that the device performance and
11 performance reproducibility of TE SnS solar cells is affected by a low shunt resistance R_{sh} (74Ω
12 cm^2), which reduces the fill factor (FF) and open-circuit voltage V_{OC} .¹⁴ The low shunt resistance
13 might result from pinholes in the SnS bulk and current pathways around the edges of the device.¹⁴
14 Experimental data of identically fabricated one-step deposition baseline devices indeed reveals a
15 correlation between the shunt resistance and the V_{OC} , as shown in Figure 1. A low $R_{\text{sh}} < 200 \Omega \text{ cm}^2$
16 limits the V_{OC} potential and reproducibility of the device (see grey-shaded area). For $R_{\text{sh}} > 200 \Omega$
17 cm^2 , the number of devices with a V_{OC} of $(330 \pm 30) \text{ mV}$ significantly increases. Figure 1 reveals
18 only observe three outliers with a V_{OC} well below 300 mV for $R_{\text{sh}} > 200 \Omega \text{ cm}^2$. Overall, a higher
19 shunt resistance enables a higher V_{OC} and improves performance reproducibility. The trend in
20 experimental data (blue dots) matches the expected trend seen in device simulations (red line),
21 when varying the R_{sh} , using a previously developed optoelectronic model¹⁶ with all other device
22 parameters kept constant at the values used in reference¹⁶. In addition, calculations predict a 15%
23 relative improvement in the FF and thus in the overall device performance if R_{sh} can be increased
24 to $1000 \Omega \text{ cm}^2$.¹⁴ Hence, a simple but reliable approach towards mitigation of shunt losses in early-
25 stage thin film device may allow for rapid material and device evaluation before final device
26 optimization is completed, with a reduced risk of “false negatives” from shunting.

27
28 In this work, we investigate the root cause of shunting losses in thin film solar cells and its impact
29 on performance and performance reproducibility. We study SnS thin film substrate-style solar
30 cells. We test the hypothesis that many of our solar cells suffer from a low device shunt resistance
31 due to pinholes in the SnS absorber bulk. We use electron-beam induced current (EBIC)
32 measurements to image through-thickness current pathways in the SnS absorber. We demonstrate
33 a two-step absorber deposition approach that appears to block pinholes and thus improves the shunt
34 resistance R_{sh} . As a result, we observe enhanced solar cell performance and performance
35 reproducibility. This two-step deposition approach is generalizable, and may be applicable to other
36 thin film opto-electronic device structures as a shunt mitigation engineering solution.

37
38 The design of a robust thin film device architecture will be essential for our ongoing work on SnS
39 bulk engineering and testing the impact on device performance. The two-step deposition method
40 will allow the use of more conductive buffer layers in substrate-style device configurations. Here,
41 we use tin sulfide as a proof-of-concept materials systems. Device shunting due to pinhole
42 formation has been observed in other polycrystalline thin film materials as well. Novel
43 polycrystalline absorber materials such as antimony selenide (Sb_2Se_3)²⁷ and copper antimony
44 sulfide (CuSbS_2)²⁸ have been successfully applied in photovoltaic devices. However, shunt
45 resistances have been reported which may limit the device performance to-date. When exploring
46 novel promising classes of materials for thin film device applications (*e.g.*, nitride semiconductors

1 for solar energy conversion²⁹), it will be important to avoid false-negatives due to device shunting.
 2 We believe that the here presented two-step absorber deposition approach (in particular the
 3 combination of a high-temperature and subsequent low-temperature step) is generalizable, and
 4 may be applicable to a variety of novel thin film materials (potentially even beyond photovoltaic
 5 applications).
 6



7
 8 **Figure 1** Open-circuit voltage V_{OC} of identically fabricated SnS one-step deposition baseline devices plotted as a
 9 function of the illuminated shunt resistance R_{sh} . An increase in R_{sh} leads to an increase in V_{OC} and a smaller standard
 10 error in V_{OC} . The trend in experimental data (blue dots) matches the expected trend seen in device simulations (red
 11 line), when varying the R_{sh} , using a previously developed optoelectronic model¹⁶ with all other device parameters kept
 12 constant at the values used in reference¹⁶. The grey shaded area highlights the regime of low R_{sh} resulting in low V_{OC} .
 13 For $R_{sh} > 200 \Omega \text{ cm}^2$, the number of devices with a V_{OC} of $(330 \pm 30) \text{ mV}$ significantly increases with the exception
 14 of only three outliers showing a V_{OC} well below 300 mV.

15
 16
 17 **2. Experimental Methods**

18 SnS substrate-style devices were fabricated on commercial Si/SiO₂ wafers. The wafers were
 19 cleaned in a hot solvent bath and nitrogen-dried prior to the molybdenum (Mo) back contact
 20 deposition. The SnS bulk was deposited in a single-source custom-made thermal evaporator at a
 21 substrate temperature of $240 \pm 30 \text{ }^\circ\text{C}$. Further details on the back contact and absorber bulk
 22 deposition can be found in reference¹⁴. The SnS bulk was annealed for 60 minutes in 4% H₂S in
 23 N₂ at 400°C , with a total pressure of $28 \pm 1 \text{ Torr}$. For the SnS one-step deposition baseline devices,
 24 the thermally evaporated SnS thin film was exposed to ambient air for 24 hours to grow a thin
 25 SnO_x layer prior to the buffer layer deposition. For the two-step absorber deposition devices, the
 26 thermally evaporated SnS thin film was transferred from the annealing furnace to the atomic layer
 27 deposition (ALD) chamber. During the transfer the film was exposed to air for less than two

1 minutes. A second thin SnS absorber layer (75 nm) was grown *via* ALD at 200°C before applying
2 the same surface oxidation procedure as to the baseline SnS samples. The *n*-type buffer layer was
3 grown *via* ALD at 120°C on all SnS device samples, comprising 30 nm of nitrogen-doped Zn(O,S)
4 with a S/Zn ratio of 1:14 and 10 nm ZnO. Indium tin oxide (ITO) with a sheet resistance of 40
5 Ω/sq was sputtered as the transparent top contact, using a shadow mask. Ag fingers and contact
6 pads were deposited *via* e-beam evaporation and used for metallization.

7
8 The morphology of the SnS thin films was imaged by field-emission scanning electron microscopy
9 (FESEM, Zeiss, Ultra-55). Cross-sectional EBIC measurements were performed at MIT, using an
10 FEI Helios NanoLab dual-beam system equipped with a Point Electronic DISS 5 EBIC system, at
11 an accelerating voltage of 5 kV and beam current of 86 pA. The device cross-section was polished
12 before the EBIC measurement using argon ion milling (JEOL cross section polisher), at an
13 accelerating voltage of 5 kV and argon flow rate of 6 sccm for 4 hours.

14
15 The solar cells were characterized at room temperature (24.9°C) by current density-voltage (*J-V*)
16 and external quantum efficiency (EQE) measurements at MIT, using a Keithley 2400 sourcemeter.
17 The standard illumination of 100 mW cm⁻² was generated by a Newport Oriel 91194 solar
18 simulator with a 1300 W Xe-lamp using an AM1.5G filter, and a Newport Oriel 68951 flux
19 controller calibrated by a silicon reference cell equipped with a BK-7 window, certified by the
20 National Renewable Energy Laboratory (NREL). The area of each device is 0.27 cm², defined by
21 the ITO area. The EQE measurements were performed with a PV Measurements Model QEX7
22 tool. In addition, a representative SnS baseline device was characterized with and without a light
23 mask (area of 0.22 cm²) by the cell certification team at NREL. The light mask is used as an
24 additional tool to define the active device area under illumination. Device simulations were
25 performed using a solar cell capacitance simulator (SCAPS).¹⁷ The shunt resistance R_{sh} in the dark
26 and under illumination was derived from the slope of the *J-V* curve at zero voltage.

27 28 29 **3. Results and Discussions**

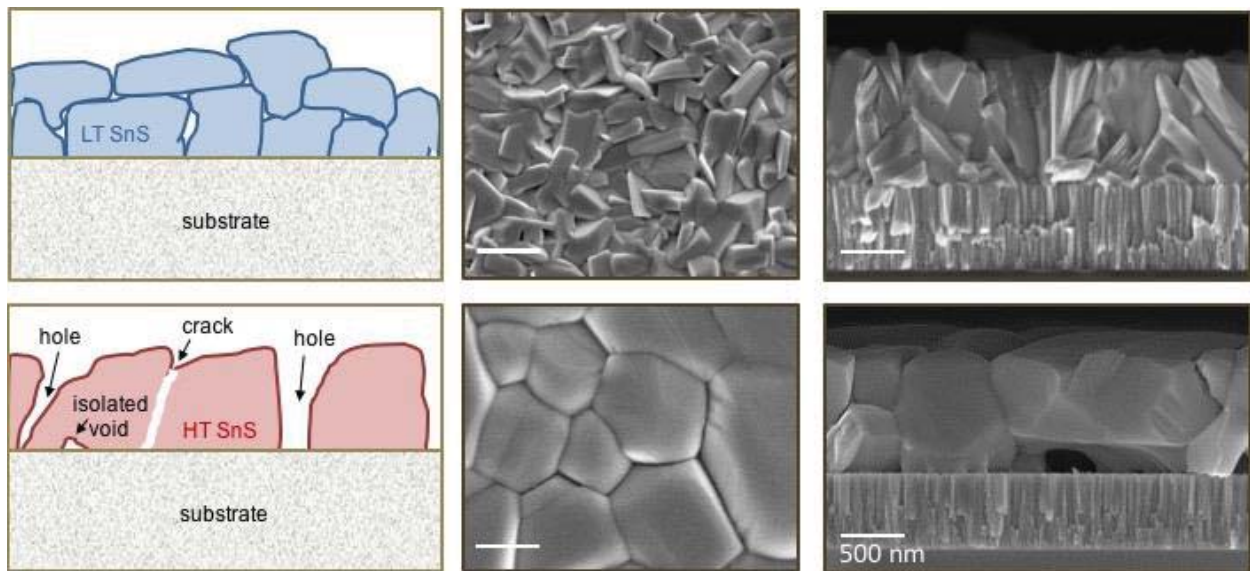
30 31 **3.1.High-temperature treatment of polycrystalline thin films**

32 High-temperature (HT) treatments have been shown to stimulate grain growth in various
33 polycrystalline thin film absorber materials (*e.g.*, CZTS,¹⁸⁻²⁰ CdTe²¹ and lead halide
34 perovskites²²), contributing to enhanced device performances due to reduced charge carrier
35 recombination losses at grain boundaries. Similarly, we have found HT treatments of the SnS
36 absorber films to result in significant grain growth and increased charge carrier transport
37 properties.^{23,24} Figure 2 reveals plan-view and cross-sectional scanning electron micrographs
38 (SEM) comparing small-grained (< 500 nm), as-deposited SnS thin films at 240°C (top row) to
39 large-grained (~ 1 μm), annealed SnS thin films at 400°C in H₂S atmosphere (bottom row).

40
41 HT treatments, however, may also cause voids and cracks in the polycrystalline SnS thin films due
42 to locally unfavorable surface energetics and/or coefficients of thermal expansion. The SEM of
43 the annealed SnS thin films suggest some void formation along grain boundaries. The simplified
44 schematics on the left illustrate the effect of HT treatment on the thin film morphology. The as-
45 deposited film at low temperature (LT, here 240°C) is densely packed, forming a continuous film.
46 The annealed film at HT exhibits discontinuities due to the formation of holes, cracks and/or

1 isolated voids upon grain growth. Note that these discontinuities upon HT treatment have been
 2 observed in SnS thin films independent of the deposition technique (thermal evaporation and
 3 atomic layer deposition).²⁵

4
 5 When depositing the ALD *n*-type buffer material post absorber treatment, it may fill voids in the
 6 underlying HT absorber bulk, providing direct current pathways between the Mo back contact and
 7 the *n*-type buffer layer. ALD is known to provide conformal coating even in high-aspect ratio gaps
 8 and trenches.²⁶ In the traditional device architectures as used in reference ¹⁴, the choice of buffer
 9 layer is thus limited to semi-insulating materials. To enable the use of more conductive buffer layer
 10 materials, which may promote beneficial interface band bending in the absorber layer, we develop
 11 a simple approach to fill voids in the HT SnS bulk. We propose the deposition of a thin, continuous
 12 SnS overlayer prior to the buffer deposition. We process the second, thin SnS overlayer (75 nm)
 13 *via* ALD and at a lower temperature (120°C) to grow densely packed small SnS grains.
 14
 15
 16



17
 18 **Figure 2** Morphology comparison of as-deposited SnS thin films at 240°C (top) and sister samples annealed at 400°C
 19 in an H₂S atmosphere. The left: simplified schematics comparing dense packing of smaller grains (< 500 nm) at low-
 20 temperature (LT) and grain growth as well as void formation at high-temperature (HT). The right: SEM in plan-view
 21 and cross-section of SnS thin film before and after H₂S annealing at 400°C. The scale bar is 500 nm.

22 **3.2. Two-step absorber deposition approach**

23
 24 We use cross-sectional SEM and EBIC to visualize the impact of the two-step deposition approach
 25 on pinhole-filling and device shunting. Results are compared to the one-step deposition baseline
 26 device. Figure 3 reveals device cross-sections of a representative one-step deposition baseline
 27 device (Figure 3a) and a representative two-step deposition device (Figure 3b). The SEMs on the
 28 left reveal cracks in the annealed SnS bulk in both devices. For the baseline device, the EBIC data
 29 shows current collection along the entire length of the crack, implying that the crack in the baseline
 30 device becomes a through-thickness current pathway, reducing the shunt resistance of the device.
 31 In the two-step deposition device, however, the LT SnS overlayer appears to successfully coat the
 32 bottom of the crack in the HT SnS bulk, preventing a detrimental through-thickness current

1 pathway. The EBIC data in Figure 3b suggests that the crack is only partially filled by the LT SnS.
2 We detect no through-thickness current in EBIC at the location of the through-thickness crack in
3 the two-step device.

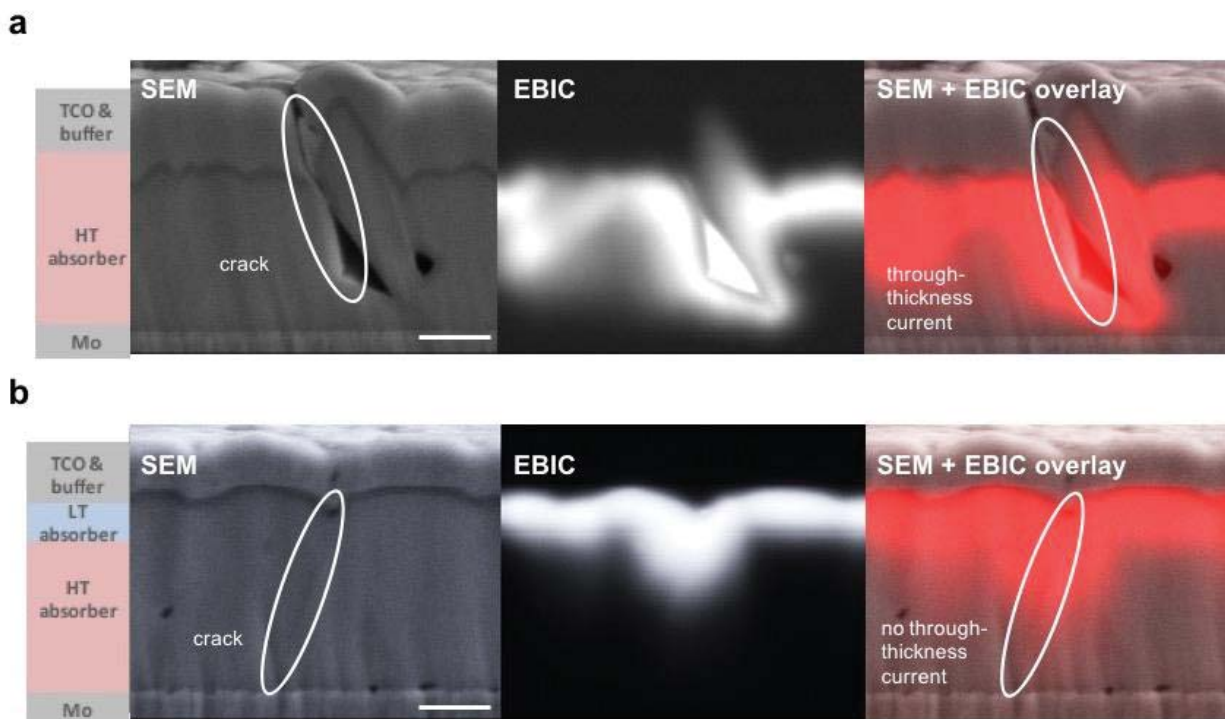
4
5 Note that Figure 3 shows only a small area of each device, focusing on a representative crack
6 between two large SnS grains. We compared the cross-section of each representative device over
7 a lateral distance of 500 μm . In total we observe ten cracks in the SnS absorber layer in the one-
8 step deposition baseline device and six cracks in the two-step absorber deposition device, which
9 may contribute to the device leakage current. In the one-step deposition device, we identify five
10 out of the ten cracks as performance limiting through-thickness current pathways. In the two-step
11 deposition device, however, we observe only one through-thickness current pathway out of six
12 detectable cracks. Based on the present EBIC data, the likelihood of cracks resulting in through-
13 thickness current pathways is reduced from 50% to 16%. Table I summarizes the numbers of
14 cracks and through-thickness current pathways for both device types.

15
16 The EBIC data indicate a lower through-thickness crack density and lower through-thickness
17 currents in the two-step absorber deposition devices. Since EBIC is a powerful but time-intensive
18 measurement technique, the data presented here do not provide enough statistics to quantify fully
19 the impact of the two-step deposition approach on device shunting, but rather shows a relative
20 comparison within the studied device areas.

21
22
23 **Table I** The numbers of cracks and through-thickness current pathways in the SnS absorber bulk that are observed
24 in a representative one-step deposition device and two-step deposition device across a total lateral distance of 500
25 μm .

Device architecture	# of detectable cracks (SEM)	# of through-thickness current pathways (EBIC)
One-step deposition	10	5
Two-step deposition	6	1

26
27



1
2 **Figure 3** Cross-sectional SEM and EBIC measurements of SnS substrate-style devices. **a** one-step absorber deposition
3 with high-temperature (HT) treatment as applied in the baseline SnS substrate-style device architecture. Cracks in the
4 annealed SnS bulk become preferred through-thickness current pathways, creating pathways of lower resistance
5 between the Mo back contact and the buffer layer and transparent conductive oxide (TCO) on top, as demonstrated in
6 the EBIC image. **b** two-step absorber deposition approach employing a second, thin SnS overlayer deposited *via* ALD
7 at low-temperature (LT) to fill voids in the HT SnS bulk and to prevent detrimental through-thickness current
8 pathways. Despite the appearance of a crack in the absorber layer, there is no through-thickness EBIC signal that
9 would indicate a shunt pathway. The scale bar is 400 nm.

10
11

12 3.3. Tin sulfide solar cells

13 Next, we performed current density–voltage (J - V) measurements on eleven identically processed
14 SnS one-step deposition baseline devices and two-step deposition devices. The distribution of J - V
15 curves measured in the dark and under 1 Sun illumination is shown in Figure 4a and 4b. While
16 both sample sets—baseline (red lines) and two-step deposition (blue lines)—contain one heavily
17 shunted cell each, we observe a broader performance spread across the baseline cells. In Figure
18 4c, we compare the J - V curves of one representative one-step deposition baseline device (red lines)
19 and one two-step deposition device (blue lines), indicating a high V_{OC} of 370 mV and a low leakage
20 current density of 18 nA/cm² in short-circuit condition for the two-step deposition device. The
21 solar cell characteristics of the two representative devices from Figure 4c are listed in Table II.
22 Both devices were measured under similar conditions without a light mask at MIT. We observe a
23 5.6% relative improvement in the V_{OC} and 5.1% relative improvement in the FF for the two-step
24 deposition device compared to the one-step deposition baseline device due to improvements in the
25 shunt resistance R_{sh} by more than a factor of 3.5 under illumination and a factor of 30 in the dark.
26 The J_{SC} of the two-step deposition device, however, is 3.7% lower relative to the one-step

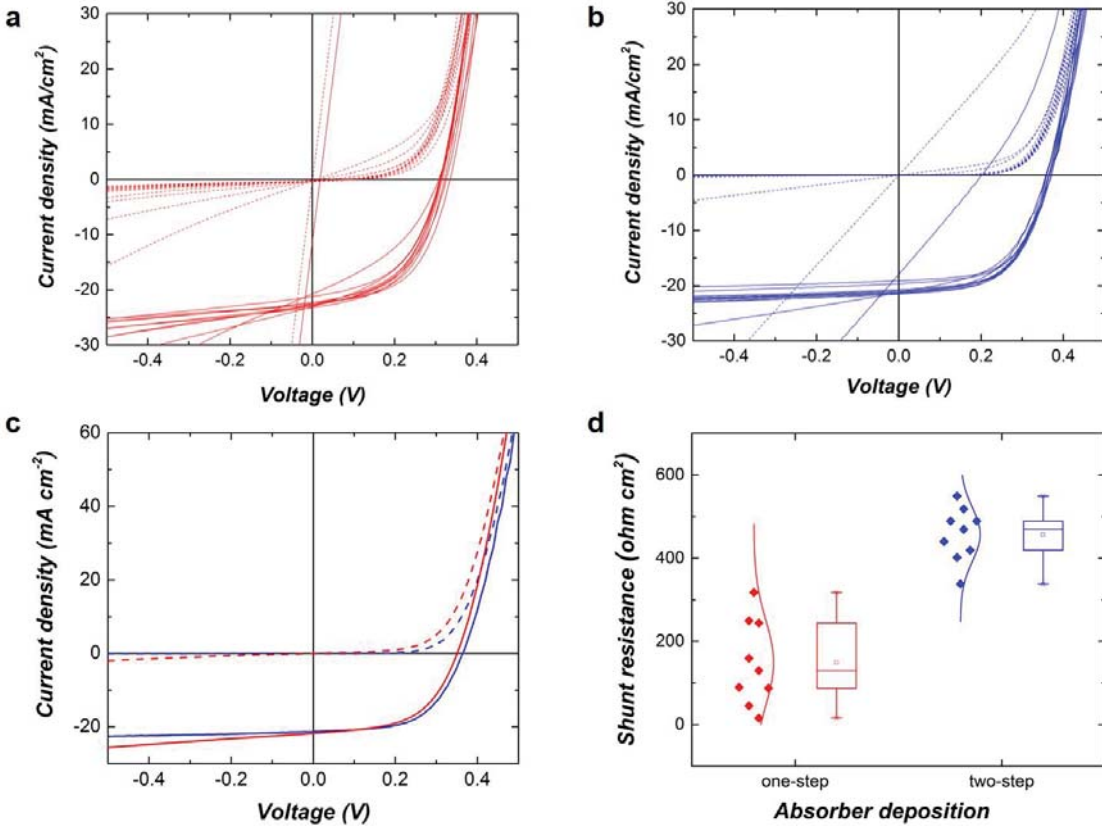
1 deposition device. The overall device efficiency reveals a slight improvement by 7% relative from
2 3.80% to 4.08% experimentally (see Table II).

3
4 An independent J - V measurement on the representative baseline device was performed at NREL
5 with and without light mask, indicating a 2 – 3% relative decrease in V_{OC} and J_{SC} and a 2 – 3%
6 increase in the FF, when applying the light mask. Comparing the measurements at MIT and at
7 NREL suggests that the J_{SC} measurements at MIT yield an 8.5% overestimate. The V_{OC} and FF
8 measurements at MIT and NREL without light mask are within the statistical error.

9
10 The shunt resistance R_{sh} was computed from the dark and illuminated J - V data in reverse bias for
11 nine identically processed baseline and two-step deposition devices. We compare the light R_{sh} for
12 the HT baseline and the HT+LT two-step deposition device in Figure 4d. We exclude two baseline
13 and two-step deposition devices due to heavy shunting because we attribute the shunting in these
14 cases to macroscopic shunts formed during sample handling. The median light R_{sh} improved from
15 $129 \Omega \text{ cm}^2$ for the one-step baseline devices to $469 \Omega \text{ cm}^2$ for the HT+LT two-step deposition
16 devices ($>$ factor of 3.5). The median dark R_{sh} improved from $134 \Omega \text{ cm}^2$ to $3997 \Omega \text{ cm}^2$ (factor of
17 30).

18
19 For the HT+LT device, we observe a R_{sh} reduction (factor of 8.5) upon illumination, which hints
20 at some voltage-dependent collection efficiency. Note that the HT SnS bulk and the LT SnS
21 overlayer are processed *via* different deposition techniques (thermal evaporation and atomic layer
22 deposition) as well as at different temperatures. This may affect charge collection at the SnS
23 absorber/buffer interface. Overall, the narrower distribution of the light R_{sh} indicates an improved
24 performance reproducibility for the HT+LT devices with standard errors $\sigma_{R_{sh}}$ of $21 \Omega \text{ cm}^2$
25 compared to $34 \Omega \text{ cm}^2$ for the baseline devices.

26



1
2 **Figure 4** Comparison of SnS solar cell data of the baseline device which includes a high-temperature (HT) absorber
3 treatment and the two-step absorber deposition device which uses a low-temperature (LT) absorber overlayer on top
4 of the HT treated absorber bulk. **a** and **b** show the distribution of J - V characteristics in the dark (dashed lines) and
5 under 1 Sun illumination (solid lines) for eleven identically processed devices following the baseline processing
6 protocol (plot **a**, red lines) and the two-step absorber deposition (plot **b**, blue lines), respectively. **c** directly compares
7 the J - V data of two representative devices (baseline HT and two-step deposition HT+LT). **d** compares the illuminated
8 shunt resistance R_{sh} of the baseline HT and two-step deposition HT+LT SnS devices, excluding heavily shunted
9 devices.

10 **Table II** Solar cell device data for the representative one-step deposition baseline and two-step deposition device
11 characterized at MIT and at NREL, with and without light mask.

Device	Facility	Light mask	V_{oc} [mV]	J_{sc} [mA/cm ²]	% FF	% PCE
One-step dep.	MIT	No	350.8	19.9	54.3	3.80
Two-step dep.	MIT	No	370.6	19.2	57.1	4.08
One-step dep.	NREL	No	351.9	20.0	53.5	3.78
One-step dep.	NREL	Yes	342.5	19.5	55.0	3.69

12
13

14 4. Summary and Conclusions

15 In this work, we have developed a simple approach to mitigate shunt losses in thin film solar cells
16 that may result from pinhole formation in polycrystalline materials. By engineering a two-step
17 absorber deposition method, we demonstrate a robust substrate-style device architecture, which

1 appears to successfully eliminate through-thickness current pathways in the polycrystalline
2 absorber bulk.

3
4 We test this approach on tin sulfide (SnS) thin film solar cells as a proof-of-concept. The two-step
5 deposition yields a more than 3.5x superior device shunt resistance under illumination compared
6 to the more standard approach of using a semi-insulating buffer layer directly on top of the
7 annealed absorber bulk. Improvements in the shunt resistance are correlated to gains in the open-
8 circuit voltage and fill factor, resulting in an overall device performance improvement from 4.15%
9 to 4.44% (both devices measured at MIT). Even more importantly, the newly engineered devices,
10 incorporating the two-step absorber deposition, reveal higher performance reproducibility.

11
12
13 It is worth noting that the baseline devices used in this study were initially fabricated and
14 characterized at MIT in February 2014. The here presented data in Figure 4c and Table II, however,
15 were taken in March 2016 at MIT and NREL. Comparing the data sets from 2014 (see reference
16 ¹⁴) and 2016, we do not observe any evidence of materials degradation despite ambient air exposure
17 for 24 months.

18 19 20 **Acknowledgements**

21 The authors thank K. Emery from the cell certification team at the National Renewable Energy
22 Laboratory for the assistance with current density–voltage measurements, M. L. Castillo for her
23 help with substrate preparation and J. R. Poindexter and R.L.Z. Hoyer for fruitful scientific
24 discussions. This work was supported by a TOTAL SA grant, by the Engineering Research Center
25 Program of the National Science Foundation and the Office of Energy Efficiency and Renewable
26 Energy of the Department of Energy under NSF Cooperative Agreement No. EEC-1041895 as
27 well as by the U.S. Department of Energy through the SunShot Initiative under contract DE-
28 EE0005329. V. Steinmann, R. Chakraborty, R. E. Brandt and A. Polizzotti acknowledge the support
29 of the Alexander von Humboldt foundation, a MITeI/TOTAL Energy fellowship, and two NSF
30 GRFP fellowships, respectively. This work made use of the Center for Materials Science and
31 Engineering at MIT which is supported by the NSF under award DMR-08-19762, and the Center
32 for Nanoscale Systems at Harvard University which is supported by NSF under award ECS-
33 0335765.

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